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## Multiple global change stressor effects on phytoplankton nutrient acquisition in a future ocean

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### Main Text

#### Summary

Predicting the effects of multiple global change stressors on microbial communities remains a challenge because of the complex interactions among those factors. Here, we explore the combined effects of major global change stressors on nutrient acquisition traits in marine phytoplankton. Nutrient limitation constrains phytoplankton production in large parts of the present-day oceans, and is expected to increase due to climate change, potentially favouring small phytoplankton that are better adapted to oligotrophic conditions. However, other stressors, such as elevated  $p\text{CO}_2$ , rising temperatures and higher light levels, may reduce general metabolic and photosynthetic costs, allowing the reallocation of energy to the acquisition of increasingly limiting nutrients. We propose that this energy reallocation in response to major global change stressors may be more effective in large-celled phytoplankton species and, thus, could indirectly benefit large more than small-celled phytoplankton, offsetting, at least partially, competitive disadvantages of large cells in a future ocean. Thus, considering the size-dependent responses to multiple stressors may provide a more nuanced understanding of how different microbial groups would fare in the future climate and what effects that would have on ecosystem functioning.

#### Primary production in a future ocean

Marine phytoplankton play a pivotal role in the oceanic carbon cycle and fuel the marine food web. Consequently, climate-driven shifts in oceanic primary production will have major consequences not only for carbon export, but also for the structure and functioning of the entire marine biome. Understanding how multiple global change stressors act simultaneously affecting phytoplankton productivity and community structure is difficult because of the complex interactions among those factors [1]. Looking at traits that are involved in potential phytoplankton responses to different global change stressors and determining how these traits are affected by those stressors, together with assessing

1 potential trade-offs that may be involved, could help us improve the conceptual  
2 understanding of multiple stressor effects on different phytoplankton groups.  
3

4 Both elevated  $p\text{CO}_2$  and warming are major global change stressors impacting  
5 marine phytoplankton, and their effects can be direct as well as indirect. For instance,  
6 elevated  $p\text{CO}_2$  may directly facilitate oceanic primary production through enhanced  
7 photosynthesis [2-4]. Yet, the effects are species- and even strain-specific, depending on  
8 distinct inorganic carbon acquisition strategies including the operation and regulation of  
9 carbon concentrating mechanisms (CCMs) [5-8]. Various studies, however, have shown that  
10 elevated  $p\text{CO}_2$  does not necessarily enhance primary production, or may even have  
11 negative effects, e.g., caused by concomitant changes in carbonate chemistry such as  
12 reduced pH (i.e. ocean acidification; [9, 10]). Warming directly affects organisms by  
13 enhancing their metabolic rates [11, 12]. Specifically, warming may enhance respiration  
14 rates more than photosynthesis, and thus possibly lead to declines in net oceanic carbon  
15 fixation [13, 14].  
16

17 Besides the direct effects on primary production, warming is also expected to  
18 enhance thermal stratification at low and mid-latitudes, preventing nutrients from deep  
19 waters entering the well-lit surface mixed layer, thus exacerbating phytoplankton nutrient  
20 limitation and reducing primary production [15-17]. Moreover, enhanced nutrient trapping  
21 in the Southern Ocean due to climatic changes was shown to increase nutrient export to the  
22 deep ocean, further strengthening nutrient limitation [18]. Thus, present-day oceanic  
23 phytoplankton primary production is already constrained by the availability of key  
24 nutrients such as nitrogen, phosphorus and iron [19], and this limitation is expected to  
25 increase in a future ocean. Phytoplankton have developed a range of traits to deal with  
26 prevailing low nutrient conditions. These nutrient utilization traits may change in response  
27 not only to increased nutrient limitation but to major global change stressors as well, such  
28 as higher  $p\text{CO}_2$  and temperatures.  
29

30 How do increased  $p\text{CO}_2$ , warming and nutrient limitation interact to modify  
31 phytoplankton physiology, ecology and ecosystem impacts? No doubt, the effects are  
32 complex and varied. To illustrate this complexity, we focus on how phytoplankton nutrient  
33 acquisition may be modified by elevated  $p\text{CO}_2$ , warming and higher light availabilities, and  
34 what consequences this may have on oceanic ecosystems. Inspired by trait-based  
35 approaches in ecology [20-22], we propose using traits to understand the combined effects  
36 of climate change factors and nutrient limitation on marine phytoplankton. Specifically, we  
37 highlight the impacts of climate change on nutrient acquisition at the individual level  
38 through phenotypic plasticity, at the population level through genotype-specific responses  
39 with potential consequences for evolutionary adaptation, and at the community level  
40 through climate-driven species sorting, revealing unexpected scenarios for shifts in  
41 community size structure.  
42

#### 53 54 Plasticity of nutrient acquisition traits

##### 55 56 *Elevated $p\text{CO}_2$ and warming*

57 Nutrient acquisition in phytoplankton approximates a hyperbolic function, with  
58 uptake rates and growth rates steeply increasing at low nutrient concentrations toward  
59 saturation when nutrient is in excess [23-26]. Key nutrient acquisition traits include the  
60 maximum uptake rate ( $V_{\text{max}}$ ) or maximum growth rate ( $\mu_{\text{max}}$ ), and the half-saturation

constant ( $K_{1/2}$ ) that describes the concentration of a nutrient where nutrient uptake or growth equals half of the maximum rate. Nutrient uptake or growth affinity ( $\alpha$ ) combines both traits, representing the initial slope following  $V_{max}/K_{1/2}$  and  $\mu_{max}/K_{1/2}$ , respectively (Fig. 1A) [24, 27]. Climate-driven increases in nutrient limitation may thus likely benefit phytoplankton with high nutrient uptake or growth affinities, either attained through plastic responses or through evolutionary selection. In addition to the uptake traits, the minimum nutrient requirement, minimum nutrient quota  $Q_{min}$ , is important in determining nutrient competitive abilities that can be expressed as scaled uptake affinity  $\frac{V_{max}}{K_{1/2}Q_{min}}$  [28]. In general, smaller-celled species tend to have better competitive abilities [28], so that they would have a competitive advantage in the future more oligotrophic ocean.

Phytoplankton possess high phenotypic plasticity and can strongly modulate their physiology in response to elevated  $pCO_2$  and temperature. Warming may reduce the energetic and elemental costs for overall metabolism, and with elevated  $pCO_2$  the energetic costs of carbon acquisition could be reduced, notably by down-regulation of energy-demanding CCMs [4, 5, 29]. Consequently, cells may reallocate energy and/or elements to enhance the uptake of a limiting resource, leading to higher nutrient uptake or growth affinities (Fig. 1B). Indeed, at higher temperatures phytoplankton seem to have higher nitrogen uptake rates (for ammonium and urea, but not nitrate) [30], and higher nutrient growth affinities (Fig. 2A) [27]. Similarly, elevated  $pCO_2$  also led to higher net nitrogen assimilation rates (i.e., nitrogen quota multiplied by growth rate) in two dinoflagellate species. This was accompanied, however, by a disproportional increase in their  $K_{1/2}$  for nitrogen, highlighting a potential trade-off between the rate at which nitrogen is assimilated and the relative affinity for nitrogen [31]. Consequently, nitrogen growth affinities (i.e.,  $\mu_{max}/K_{1/2}$ ) were at an optimum or decreased with elevated  $pCO_2$  (Fig. 2B). These findings were mainly explained by a shift toward higher investments in nitrogen-rich functional compounds, such as alkaloid toxins and chlorophyll-a. Alternatively, the  $CO_2$ -driven down-regulation of CCMs may enhance photo-oxidative stress, leading to increased energetic and elemental costs (e.g. nitrogen) associated to photo-inhibition [32-34], which may, in turn, lead to reduced nitrogen growth affinities.

A major source of (bioavailable) nitrogen in the open ocean is the  $N_2$  fixed by diazotrophic cyanobacteria [35] and released into the water column. Thus, changes in  $N_2$  fixation could significantly alter N budgets in oligotrophic oceans. Nitrogen fixation has been shown to be strongly temperature-dependent, with optimum rates in warm, low latitude tropical and subtropical regions (Fig. 2C) [36, 37]. Although warming generally enhances  $N_2$  fixation rates, it may also cause oxygen inhibition of the responsible enzyme nitrogenase, thereby possibly leading to a decline in  $N_2$  fixation rates at high temperatures [36, 38]. Nitrogen fixation rates were shown to generally increase at higher  $pCO_2$ , from present day levels of around 400 ppm to approximately 750 ppm [39-41], beyond which  $N_2$  fixation rates leveled off (Fig. 2D). These patterns show that  $N_2$  fixation can be limited by  $CO_2$ , but also that there is the maximum rate at  $CO_2$  levels above 1,000 ppm [42]. Although elevated  $pCO_2$  was shown to be beneficial for  $N_2$  fixation, a decrease in pH may possibly inhibit it due to a decrease in nitrogenase efficiency, resulting in declined growth and  $N_2$  fixation rates [43, 44, but see 45].

Besides nitrogen and phosphorus, iron is a major limiting resource for oceanic primary production as well, particularly in the Southern Ocean [19]. Similar to nitrogen and phosphorus, the uptake and assimilation of iron were also shown to be affected by

1 temperature. Specifically, along with enhancing N<sub>2</sub> fixation, warming increased iron use  
2 efficiency in a marine diazotroph (*Trichodesmium*), and this could even offset the effect of  
3 iron limitation [46]. At the same time, however, the inhibitory effect of decreasing pH was  
4 most apparent under Fe-limiting conditions [43, 44]. Whether elevated pCO<sub>2</sub> would  
5 promote N<sub>2</sub> fixation may, thus, depend on the availability of Fe, and further work is needed  
6 to elucidate the interactive effects of Fe and CO<sub>2</sub> on N<sub>2</sub> fixation in marine diazotrophic  
7 cyanobacteria.  
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10  
11 *Increased light availabilities*

12 Enhanced thermal stratification of the oceanic waters may lead to shallowing of the  
13 upper mixed layer that may, together with sea ice retreat, enhance the relative light  
14 availability in the ocean surface layers and thereby stimulate primary production [47, 48].  
15 With higher relative light availabilities, the costs required for light capture may be reduced  
16 and, thus, could allow reallocation of energy and/or elements towards nutrient acquisition.  
17 Indeed, higher light levels were shown to enhance N<sub>2</sub> fixation rates in diazotrophic  
18 cyanobacteria [36, 49]. Moreover, cellular chlorophyll-a content in various phytoplankton  
19 species decreased with higher light intensities [50], which may reduce nitrogen demand for  
20 synthesizing these pigments [51]. Increasing light availability can also directly decrease  
21 nutrient demands of phytoplankton by reducing their elemental quota [52], though these  
22 responses may vary among species [53]. Higher light availabilities combined with elevated  
23 pCO<sub>2</sub>, however, may cause photo-oxidative stress, thereby leading to reduced primary  
24 production [33, 54, but see 55]. Despite being beneficial to photosynthesis, the impact of  
25 enhanced light levels will thus depend on the availability of other resources, and may  
26 possibly become detrimental.  
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29 *Favouring the small...*

30 Climate-driven depletion of nutrients may shift phytoplankton communities towards  
31 dominance by species with low nutrient requirements, high nutrient uptake efficiencies,  
32 and a high flexibility to shunt excess energy towards nutrient acquisition. Being small  
33 seems a particularly good strategy to deal with nutrient depletion, as (absolute) nutrient  
34 requirements are proportional to size [25, 56]. Moreover, because of their high surface-to-  
35 volume ratio, small cells have higher growth and nutrient uptake affinities for nutrients,  
36 and are less likely to become diffusion-limited [25, 57-59]. Consequently, smaller-sized  
37 phytoplankton generally dominate phytoplankton biomass in the open ocean where  
38 nutrients are depleted and primary production is low, while larger celled phytoplankton  
39 are generally more dominant in more productive coastal waters [60, 61].  
40  
41

42 With climate-driven declines in nutrient availabilities, phytoplankton communities  
43 may thus possibly shift towards small-celled species. Indeed, the size of diatom frustules,  
44 indicative of diatom cell size, was shown to be inversely correlated with temperature  
45 variations over the past ~65 million years (Fig. 3A). In other words, warmer periods had  
46 smaller diatoms dominant, which could have resulted from the reductions in nutrient  
47 availability due to enhanced thermal stratification [62]. Also in contemporary marine  
48 phytoplankton, cell size usually decreases with temperature (Fig. 3B) [63]. Similarly,  
49 experimental warming led to a shift in the phytoplankton community toward smaller  
50 phytoplankton species, which was most prominent under high nutrient stress (Fig. 3C) [64].  
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1 This is in line with climate change scenarios tested with a global Earth System model, which  
2 projected a shift toward smaller phytoplankton species, particularly at higher latitudes [65].  
3  
4

5 ...and the large?  
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7

8 Although nutrient depletion generally favors small phytoplankton, climate-driven  
9 reallocation of energy and/or elements may be particularly beneficial for large species. First,  
10 large species are more diffusion-limited compared to small species and may thus benefit  
11 relatively more from enhanced  $p\text{CO}_2$  diffusion rates. For example, elevated  $p\text{CO}_2$  was shown  
12 to favor growth of larger diatoms [66], and to shift phytoplankton communities to larger  
13 species [66, 67]. Secondly, large species have relatively high elemental investments in light  
14 capturing, because of the lower absorption efficiencies compared to small-celled  
15 phytoplankton [68]. Consequently, large species may benefit relatively more from increased  
16 light availability caused by shallower mixing layer depths in a warmer ocean, as they can  
17 reallocate more resources and energy from light harvesting to nutrient acquisition. Third,  
18 large species also tend to be more flexible in size, with a proportionately greater possible  
19 reduction compared to smaller species, because smaller-celled species are closer to their  
20 minimum structural demands and, therefore, have limited cell size flexibility [69]. Large  
21 species may, thus, have a greater ability to reduce cell size and benefit from the associated  
22 increases in surface-to-volume ratio. Fourth, some larger phytoplankton taxa possess  
23 vacuoles that increase their surface-to-volume ratio, thereby enhancing the effective surface  
24 area for nutrient transport [70, 71]. Fifth, these vacuoles serve as storage compartments for  
25 nutrients, particularly advantageous in fluctuating nutrient conditions [72]. With climate  
26 change, storm intensities are predicted to increase [73], which may temporally enhance  
27 nutrient concentrations in the surface waters by mixing with nutrient-rich deeper waters,  
28 and was shown, as consequence, to promote primary production and favor large diatoms  
29 [74, 75]. Lastly, cell size is generally correlated with genome size [76, 77], and processes  
30 such as adaptive gene loss and genomic streamlining may optimize nutrient acquisition  
31 traits in small phytoplankton species, particularly in more stable environments [78].  
32 Conversely, it is conceivable that larger cells may have a greater gene redundancy leading  
33 to more resilient traits [8], which may provide a competitive advantage in dynamic  
34 environments. In summary, the higher flexibility of larger phytoplankton species in  
35 response to direct and indirect effects of warming and elevated  $p\text{CO}_2$  may, at least partly,  
36 offset their competitive disadvantage in nutrient acquisition.  
37  
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#### 48 Evolution of nutrient acquisition traits 49

50 Impacts of climate change on marine phytoplankton will not only depend on their  
51 plastic responses, but also on their potential to adapt evolutionarily through selection on  
52 standing genetic variation or novel mutations [79, 80]. Adaptation to elevated  $p\text{CO}_2$  and  
53 warming was observed in various phytoplankton species across major marine  
54 phytoplankton groups [81-87]. Yet, evolutionary responses to elevated  $p\text{CO}_2$  seem to be  
55 diverse, and may, furthermore, differ in direction compared to the observed plastic  
56 responses of phenotypes [88]. However, evolutionary changes observed in coccolithophores  
57 that adapted to elevated  $p\text{CO}_2$  were consistent with their plastic responses and, at least  
58 partially, offset fitness losses [81, 88, 89].  
59  
60

1 Evolutionary adaptation toward elevated  $p\text{CO}_2$  was particularly evident under  
2 environmental conditions that decreased fitness [88]. It is therefore conceivable that a  
3 decline in fitness due to increased nutrient limitation might be compensated by adaptation  
4 through increased nutrient uptake affinities. Existing intraspecific genetic and phenotypic  
5 diversity of marine phytoplankton populations is substantial and thus provides the basis  
6 for adaptation through selection of best fit genotypes [90-93]. With regard to nutrient  
7 acquisition, populations of the dinoflagellate *Alexandrium ostenfeldii* were shown to exhibit a  
8 large intraspecific variation in nutrient uptake kinetics, demonstrating a wide range of  
9 nitrogen uptake affinities (Fig. 4) [94]. This suggests a large potential for selection of clones  
10 with higher nutrient uptake affinities when nutrients become (more) limiting.  
11  
12

## 13 Concluding remarks and future directions

14 We described how a trait-based ecological approach may help understand the  
15 interactive impacts of climate change factors and nutrient limitation on marine  
16 phytoplankton, highlighting possible shifts in nutrient acquisition through elevated  $p\text{CO}_2$ ,  
17 warming or changes in light availabilities. We hypothesize that climate-driven exacerbation  
18 of nutrient limitation may be partially counteracted by the concomitant increases in  $p\text{CO}_2$ ,  
19 temperature and relative light availabilities, which may benefit large phytoplankton species  
20 capable of reallocating greater resources to nutrient acquisition more than small species  
21 and, thus at least partially, offset their competitive disadvantages. This could lead to  
22 different outcomes for phytoplankton size distributions, which, in turn, would have  
23 different effects on ecosystem processes and food-web dynamics. The next step would be to  
24 incorporate energy or resource reallocation in mechanistic models, investigating the  
25 magnitude of possible direct and indirect effects of simultaneously acting stressors, and link  
26 these to food-web and ecosystem models, thereby generating process-based predictions for  
27 oceanic ecosystems.  
28

29 Obviously, global environmental changes involve a multitude of factors that may  
30 affect phytoplankton in diverse ways, maybe different from what we propose here. By  
31 highlighting the complex interplay of several global change stressors on phytoplankton  
32 nutrient acquisition, we argue that investigating how multiple stressors may interact to  
33 modify phytoplankton traits should be an urgent research priority, requiring collaborations  
34 of phytoplankton physiologists, ecologists and modelers. Also, we note that taking into  
35 account interacting stressors may yield different predictions compared to when stressors  
36 are considered in isolation. For example, recent work showed that nutrient limitation may  
37 make phytoplankton more vulnerable to rising temperatures by decreasing their  
38 temperature optima and impeding evolutionary adaptation to warming [95, 96]. Using a  
39 trait-based framework for a better mechanistic understanding of trait flexibility in different  
40 phytoplankton size classes under the combined changes in  $p\text{CO}_2$ , temperature and resource  
41 availabilities, as well as other anticipated environmental change stressors, should further  
42 improve our predictions of the future oceanic primary production and ecosystem dynamics.  
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## Figure and table captions

**Figure 1.** Conceptual overview of nutrient acquisition traits (A) and putative impacts of climate change (warming and elevated  $p\text{CO}_2$ ) on these traits in marine phytoplankton (B). Nutrient acquisition traits include maximum growth ( $\mu_{\text{max}}$ ) or uptake ( $V_{\text{max}}$ ) rate, half-saturation concentration ( $K_{1/2}$ ), and the nutrient or growth uptake affinity ( $\alpha$ ). Red arrows in (B) indicate potential effects of climatic change (darker shades) on nutrient acquisition traits.

**Figure 2.** Impacts of climate change factors on nitrogen acquisition. Temperature and  $\text{CO}_2$  effects on (A,B) nitrogen growth affinity, and (C,D) nitrogen fixation in marine phytoplankton. (A,B) Nitrogen growth affinity is defined as the initial slope of the Monod relationship, expressed as  $\text{L } \mu\text{mol}^{-1} \text{ d}^{-1}$ . Nitrogen fixation is expressed as (C) fmol  $\text{C}_2\text{H}_4 \text{ cell}^{-1} 12 \text{ h}^{-1}$  for *Cyanothece*, and as mmol  $\text{N}_2 (\text{mol POC})^{-1} \text{ h}^{-1}$  for *Trichodesmium*, and as (D)  $\mu\text{mol N (mg Chl a)}^{-1} \text{ h}^{-1}$  for different species or strains (indicated by different color shades) of *Crocosphaera* and *Thrichodesmium*. Figures were redrawn from Reay *et al.* [27] with permission from the American Society for Microbiology (A), from Eberlein *et al.* [31] and Breitbarth *et al.* [37] under the Creative Commons Attribution license (B, C), and from Brauer *et al.* [36] and Hutchins *et al.* [42] both with permission from the Nature Publishing Group (C,D). If unavailable, data was extracted using Engauge Digitizer [97].

**Figure 3.** Relationships between cell size and temperature in the past, present and future. Size of diatom frustules from sediment cores as a function of reconstructed past temperatures (A), cell volumes of contemporary phytoplankton from culture experiments of brackish water and marine phytoplankton (B), and cell sizes of a Baltic Sea phytoplankton community in response to experimental warming combined with nutrient limitation from a high (darkest shade) to a low (lightest shade) level of nutrient limitation (C). The y-axis in

(B) indicates the difference between cell volume at any temperature and the estimated volume at 15°C, normalized to this mean volume, and the x-axis indicates difference between the tested temperature and 15°C (for further details see Atkinson *et al.* [63]). Figures were redrawn from Finkel *et al.* [62] with Copyright (2005) National Academy of Sciences (A), from Atkinson *et al.* [63] with permission from the Royal Society Publishing (B), and from Peter and Sommer [64] under the Creative Commons Attribution license (C). If unavailable, data was extracted using Engauge Digitizer [97].

**Figure 4.** Intraspecific variation in nitrogen uptake kinetics. (A) Nitrogen uptake rates as a function of nitrogen concentrations, and (B) uptake affinities of various dinoflagellate *Alexandrium ostenfeldii* clones (indicated by different color shades). Data was modified from Brandenburg *et al.* [94] and available through Brandenburg *et al.* [98].

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Figure 1

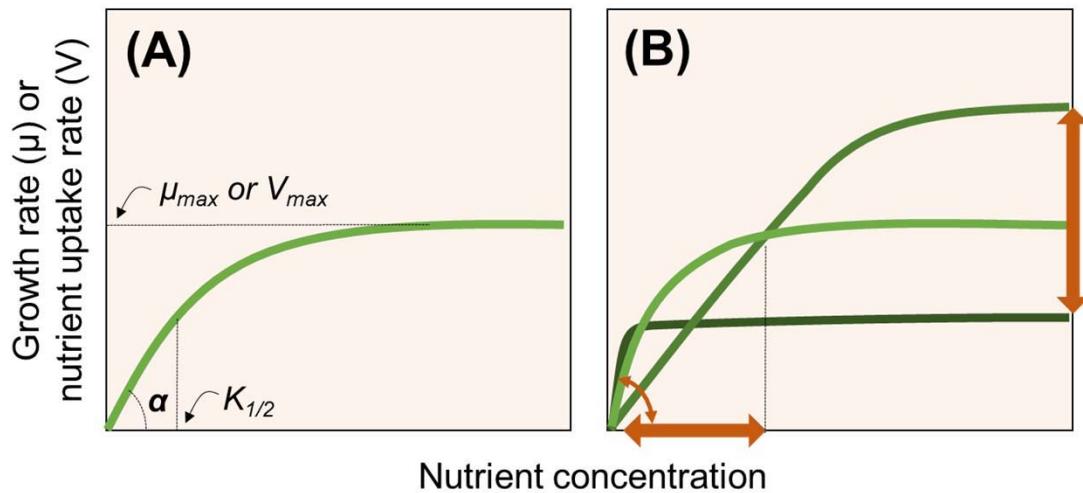


Figure 2

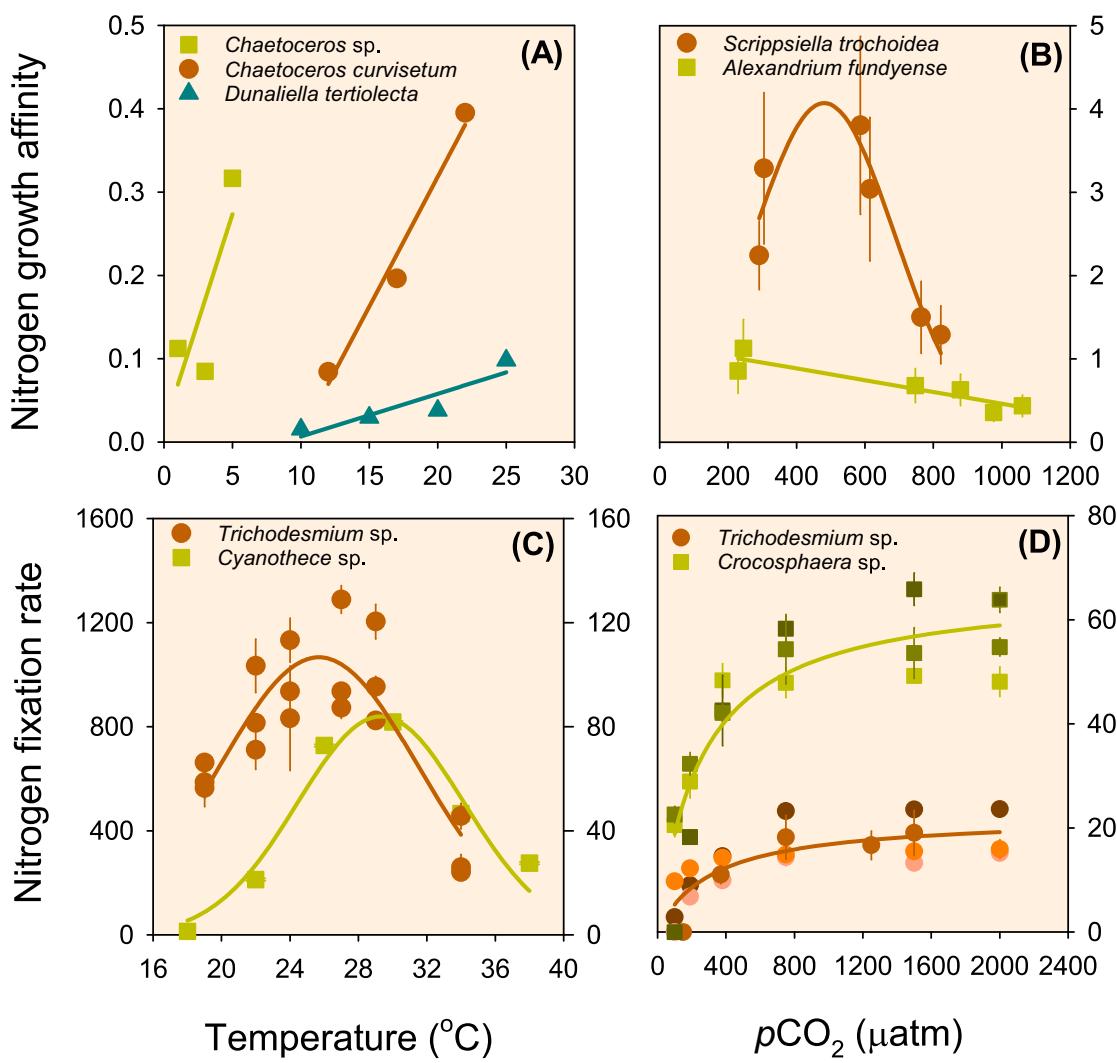


Figure 3

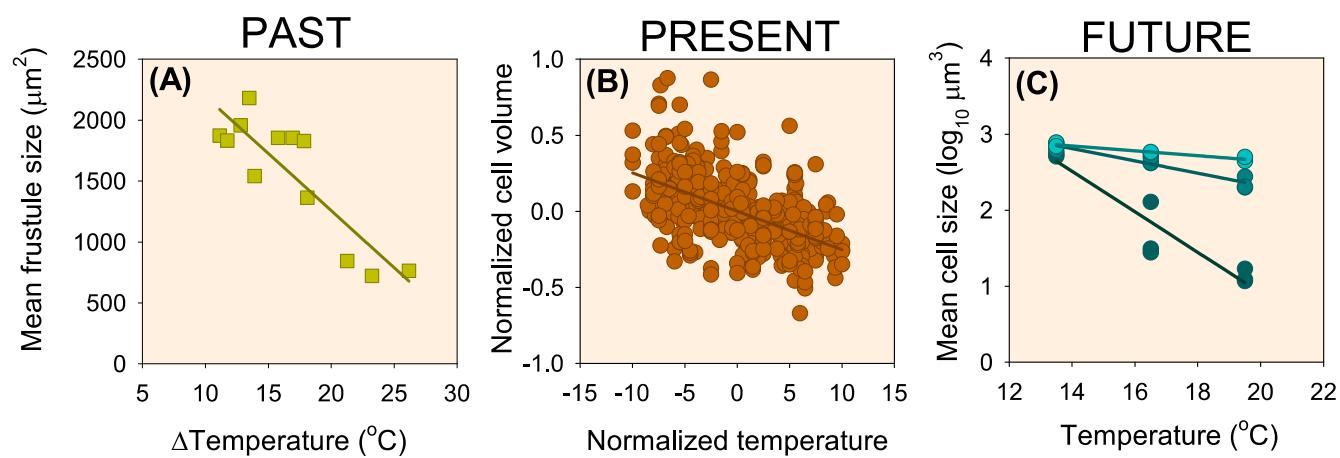


Figure 4

