

# Nanoscale Metal–Organic Frameworks Stabilize Bacteriochlorins for Type I and Type II Photodynamic Therapy

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**ABSTRACT:** Herein we report the design of a bacteriochlorin-based nanoscale metal–organic framework, Zr-TBB, for highly effective photodynamic therapy via both type I and type II mechanisms. The framework of Zr-TBB stabilizes 5,10,15,20-tetra(*p*-benzoato)bacteriochlorin (TBB) ligands toward oxygen and light via geometrical constraint. Upon 740 nm light irradiation, Zr-TBB efficiently generates various reactive oxygen species, including singlet oxygen, superoxide anion, hydrogen peroxide, and hydroxyl radicals, to afford superb antitumor efficacy on mouse models of breast and colon cancers, with cure rates of 40% and 60%, respectively.

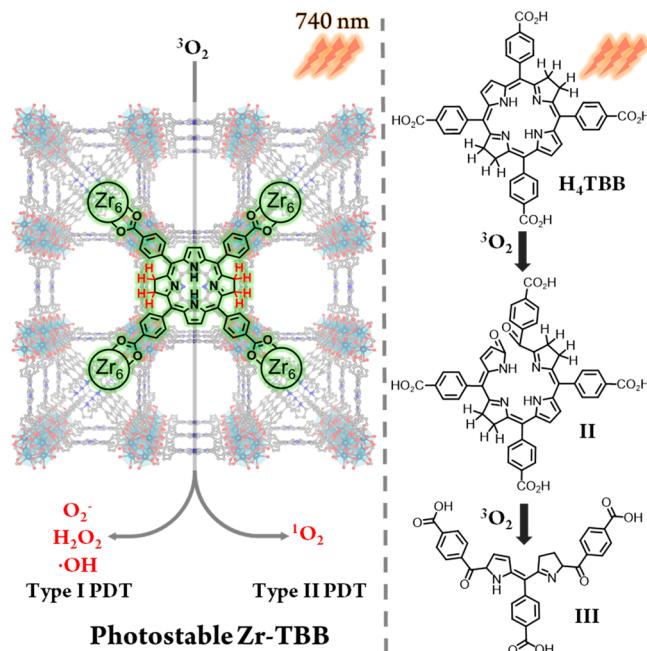
Photodynamic therapy (PDT) is a minimally invasive and effective local therapy for many cancers,<sup>1–5</sup> but its clinical utility is limited by side effects from photosensitivity caused by residual photosensitizers (PSs) in normal tissues, shallow light penetration depth in tumors, and low oxygen concentrations in hypoxic tumors.<sup>6–8</sup> As highly reduced derivatives of porphyrins and chlorins, bacteriochlorins possess several distinct features to overcome the challenges faced by conventional PSs: (1) weak absorption in the visible spectrum minimizes photosensitivity from ambient light, (2) strong absorption in the near-infrared region (700–850 nm) increases PDT efficacy, and (3) type I PDT tolerates hypoxia.<sup>9–11</sup> Padeliporfin, a Pd-coordinated bacteriochlorin, was approved in Europe for PDT treatment of prostate cancer.<sup>12</sup> However, bacteriochlorins are unstable toward oxygen and light,<sup>13–15</sup> significantly reducing their potency in PDT.<sup>16–18</sup>

With tunable and porous structures,<sup>19–22</sup> high PS loading,<sup>23</sup> and rigid structures,<sup>24</sup> nanoscale metal–organic frameworks (nMOFs) have emerged as novel nanophotosensitizers for PDT.<sup>25–28</sup> By incorporating different PS ligands, nMOFs can be fine-tuned to optimize PDT efficacy. The rigid frameworks of nMOFs not only constrain the ligands from structural changes to reduce unimolecular photodecomposition but also isolate the PSs from each other to prevent inter-PS self-quenching.

Herein we report the use of nMOFs to stabilize bacteriochlorins for effective PDT. Experimental and computational studies demonstrated the stabilization of 5,10,15,20-tetra(*p*-benzoato)bacteriochlorin (TBB) ligands in the Zr-TBB nMOF toward oxygen and light owing to geometrical constraint by the framework. Zr-TBB mediated effective PDT via both type I and type II mechanisms by generating various reactive oxygen species (ROSs), including superoxide anions ( $O_2^-$ ), hydrogen peroxide ( $H_2O_2$ ), hydroxyl radicals ( $\cdot OH$ ), and singlet oxygen ( $^1O_2$ ), upon irradiation at 740 nm (Scheme 1). Zr-TBB showed superb *in vivo* antitumor efficacy

on 4T1- and MC38-bearing mouse models of breast and colon cancers to afford cure rates of 40% and 60%, respectively.

**Scheme 1. Stabilization of Bacteriochlorin Ligands in Zr-TBB for Type I and Type II PDT**

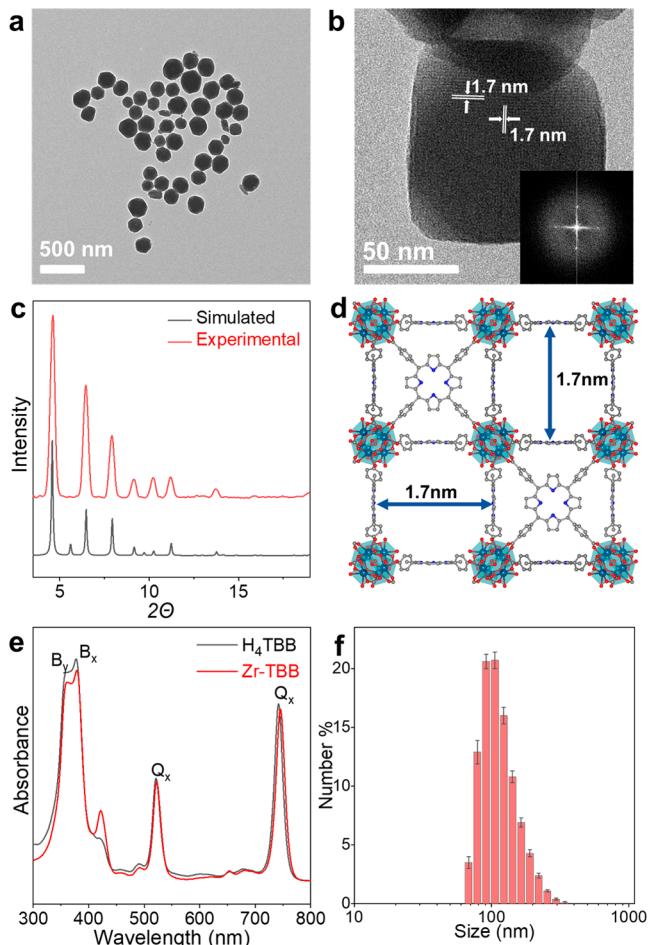


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The new bacteriochlorin  $H_4$ TBB was synthesized via solvent-free reduction of 5,10,15,20-tetra(*p*-benzoato)-porphyrin ( $H_4$ TBP) with *p*-toluenesulfonyl hydrazide (Figure S1).<sup>29</sup> The UV-vis spectrum of  $H_4$ TBB in *N,N*-dimethylformamide (DMF) exhibited four major peaks (Figure 1e)



**Figure 1.** TEM image (a), HR-TEM image and FFT pattern (b), PXRD pattern (c), X-ray crystal structure (d), UV-vis spectra in DMF (e), and DLS number-averaged diameter in EtOH (f) of Zr-TBB.

assignable to the transitions from two HOMOs (HOMO-1 and HOMO) to two LUMOs (LUMO and LUMO+1) based on a four-orbital model.<sup>30</sup> For  $H_4$ TBB, the  $B_y$  peak at  $\lambda_{\max} = 361$  nm had a molar extinction coefficient ( $\epsilon$ ) of  $70.4 \text{ mM}^{-1} \cdot \text{cm}^{-1}$ , whereas the  $B_x$  peak at  $\lambda_{\max} = 377$  nm had an  $\epsilon$  of  $73.4 \text{ mM}^{-1} \cdot \text{cm}^{-1}$ . These  $\epsilon$  values are  $\sim 4$  times lower than those of Soret bands in  $H_4$ TBP ( $\epsilon_{420} = 460 \text{ mM}^{-1} \cdot \text{cm}^{-1}$ ) and 5,10,15,20-tetra(*p*-benzoato)chlorin ( $H_4$ TBC,  $\epsilon_{420} = 381 \text{ mM}^{-1} \cdot \text{cm}^{-1}$ ), suggesting that  $H_4$ TBB might alleviate photosensitivity side effects from ambient light.<sup>26</sup> The  $Q_x$  and  $Q_y$  peaks of  $H_4$ TBB had an  $\epsilon_{521}$  of  $32.4 \text{ mM}^{-1} \cdot \text{cm}^{-1}$  and an  $\epsilon_{742}$  of  $58.4 \text{ mM}^{-1} \cdot \text{cm}^{-1}$ , respectively. The  $Q_y$  peak of  $H_4$ TBB at 742 nm is nearly ideal for tissue penetration, and  $H_4$ TBB has  $\sim 12$  and  $\sim 2$  times higher  $\epsilon$  values than those of  $H_4$ TBP and  $H_4$ TBC, respectively.<sup>26</sup>  $H_4$ TBB is thus a superior PS over  $H_4$ TBP and  $H_4$ TBC, with an optimal  $Q_y$  wavelength and a much higher  $\epsilon$ .<sup>31</sup>

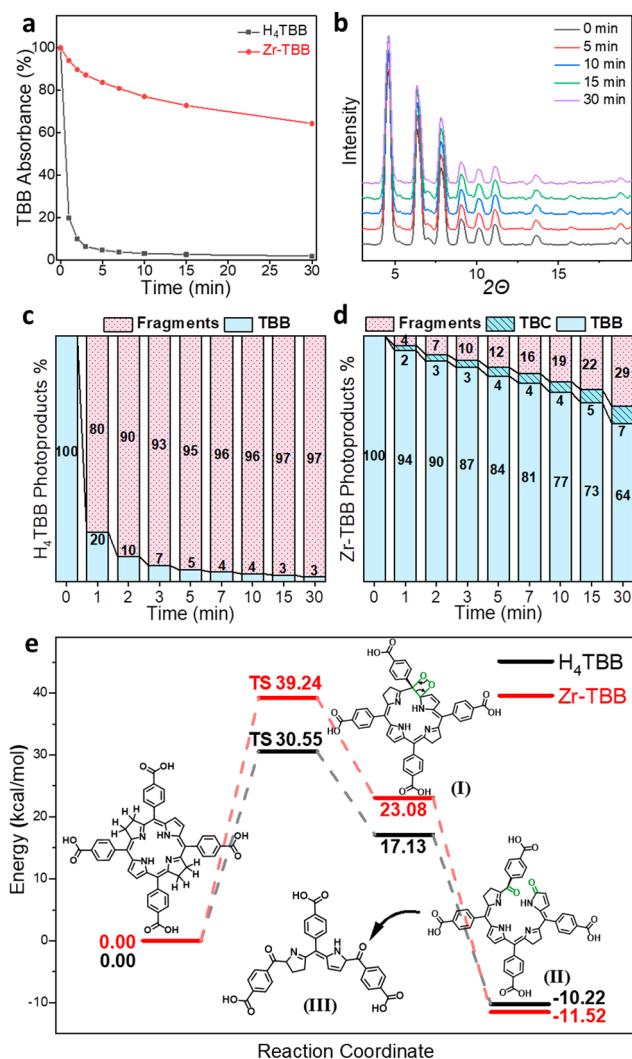
$Zr$ -TBB was synthesized via a solvothermal reaction of  $ZrCl_4$ ,  $H_4$ TBB, and 88% formic acid in DMF at  $100^\circ\text{C}$  under

anaerobic conditions. Single-crystal X-ray diffraction of  $Hf$ -TBB revealed a PCN-224 structure in the  $Im\bar{3}m$  space group with  $Hf_6(\mu_3\text{-O})_4(\mu_3\text{-OH})_4$  secondary building units linked by TBB ligands to afford a 3-D framework of *she* topology (Figure 1d and Figure S3).<sup>32</sup> Powder X-ray diffraction pattern (PXRD) studies indicated that  $Zr$ -TBB adopted the same structure as  $Hf$ -TBB (Figure 1c), with a formula of  $[Zr_6(\mu_3\text{-O})_4(\mu_3\text{-OH})_4(OH)_6(H_2O)_6]_2(\text{TBB})_3$ . Inductively coupled plasma mass spectrometry (ICP-MS) and UV-vis spectra gave a  $Zr$ -to-TBB ratio of 4.22, which is slightly lower than the theoretical ratio of 4, likely due to minor decomposition of TBB ligands during nMOF synthesis. Thermogravimetric analysis showed a weight loss of 65.9% in the  $25\text{--}600^\circ\text{C}$  range, consistent with the expected value of 64.3% for the conversion of  $Zr$ -TBB to  $ZrO_2$  (Figure S6).

Dynamic light scattering (DLS) of  $Zr$ -TBB revealed a number-averaged size of  $117.9 \pm 1.4 \text{ nm}$ , with a polydispersity index of 0.09 (Figure 1f). Transmission electron microscopy (TEM) imaging (Figures 1a,b, S4, and S5) revealed spherical to cubic morphology for  $Zr$ -TBB with a diameter of approximately 100 nm. High-resolution TEM (HR-TEM) imaging gave a lattice spacing of 1.7 nm (Figure 1b) for  $Zr$ -TBB, while the fast Fourier transform (FFT) patterns (Figure 1b inset) revealed tetragonal symmetry, consistent with projection down to the crystallographic axis (Figure 1d). Additionally, the UV-vis spectrum of  $Zr$ -TBB showed the same number of peaks as  $H_4$ TBB, with the appearance of a small TBC Soret peak at  $\sim 422$  nm due to slight oxidation of TBB (4%) during nMOF synthesis.

Photostability of  $H_4$ TBB and  $Zr$ -TBB was tested in air-saturated DMF at a  $5 \mu\text{M}$  TBB concentration at  $740 \text{ nm}$  ( $100 \text{ mW} \cdot \text{cm}^{-2}$ ). After irradiation for 5 min, the  $Q_y$  peak absorbance of  $H_4$ TBB dropped to  $<4\%$  of the original value, indicating its severe photobleaching (Figure 2a). In contrast,  $Zr$ -TBB retained 73% and 65% of the  $Q_y$  peak absorbance after light irradiation for 15 and 30 min, respectively, indicating its much enhanced photostability over  $H_4$ TBB. The photodecomposition quantum yield of  $Zr$ -TBB ( $\Phi_{pd} = 8.14 \times 10^{-4}$ ) was 14 times lower than that of  $H_4$ TBB ( $\Phi_{pd} = 1.15 \times 10^{-2}$ , Table S2). The improved TBB stability of  $Zr$ -TBB can be attributed to the spatial constraint of the nMOF framework, which prevents TBB from undergoing structural changes before photooxidation can occur, and the site isolation effect of  $Zr$ -TBB, which prevents TBB ligands from biomolecular decomposition.<sup>33</sup> We found the photostability of  $Zr$ -TBB and  $H_4$ TBB was much improved in oxygen-free conditions (Figure S7).

We used high-resolution mass spectrometry (HR-MS) to characterize the photobleaching products of  $Zr$ -TBB and  $H_4$ TBB after 740 nm irradiation ( $100 \text{ mW} \cdot \text{cm}^{-2}$ ) in air-saturated DMF for 4 h. Photoirradiated  $Zr$ -TBB was digested with 10%  $H_3PO_4$  in DMSO before HR-MS analysis. For  $H_4$ TBB, the  $[H_4\text{TBB}+\text{H}^+]$  peak at  $m/z = 795.2$  disappeared, with the appearance of  $[\text{M}+\text{H}^+]$  at  $m/z = 563.5$  assignable to  $(Z)\text{-}4\text{-}((5\text{-}(4\text{-carboxy-benzoyl})\text{-}1H\text{-pyrrol-2-yl})(4\text{-carboxyphenyl})\text{methylene})\text{-}3,4\text{-dihydro-2H\text{-pyrrole-5\text{-carbonyl})benzoic acid (III, Scheme 1)}$ , a known fragmentation product from bacteriochlorin photobleaching.<sup>34</sup> The fragmentation of  $H_4$ TBB during photooxidation was supported by the UV-vis spectrum, which showed two new peaks at 327 and 406 nm for III and disappearance of all peaks corresponding to  $H_4$ TBB (Figure S7e). In contrast, only  $H_4$ TBC at  $m/z = 793.3$  ( $[\text{M}+\text{H}^+]$ ) was recovered from the digested photoirradiated



**Figure 2.** (a) Time-dependent TBB UV-vis absorbance after light irradiation in air-saturated DMF. (b) Time-dependent PXRD patterns of Zr-TBB after light irradiation. Percentages of photoproducts (TBB, TBC, fragments) of H<sub>4</sub>TBB (c) and Zr-TBB (d) throughout 30 min of light irradiation. (e) Energy profiles of TBB photofragmentation in H<sub>4</sub>TBB and Zr-TBB calculated by DFT.

Zr-TBB, with no evidence of known photofragments. TBC can be generated by direct oxidation of the pyrroline ring of TBB without significant structural changes on the bacteriochlorin.

UV-vis spectroscopy was used to quantify photobleaching products of H<sub>4</sub>TBB (Figure 2c) and Zr-TBB (Figure 2d) after light irradiation for 1–30 min (Figure S7a,b). H<sub>4</sub>TBB was nearly completely photobleached (95%) within 5 min to generate mostly fragmentation product III (95%) and a negligible amount of H<sub>4</sub>TBC (<0.1%). In contrast, Zr-TBB retained 84% TBB in 5 min with the formation of 4% TBC. Only 12% of TBB decomposed into unknown photoproducts. As TBC is also a good PS, TBB retained 80% and 74% PDT efficacy after light irradiation for 15 and 30 min, respectively. The photostability of Zr-TBB was supported by the maintenance of crystallinity, as determined by PXRD (Figure 2b).

Photobleaching of bacteriochlorins typically starts with [2+2] peroxidation reaction between C=C double bonds and O<sub>2</sub> (Figure 2e).<sup>35</sup> The peroxidized intermediate I breaks the  $\pi$ -conjugated bacteriochlorin ring and converts sp<sup>2</sup>-carbons

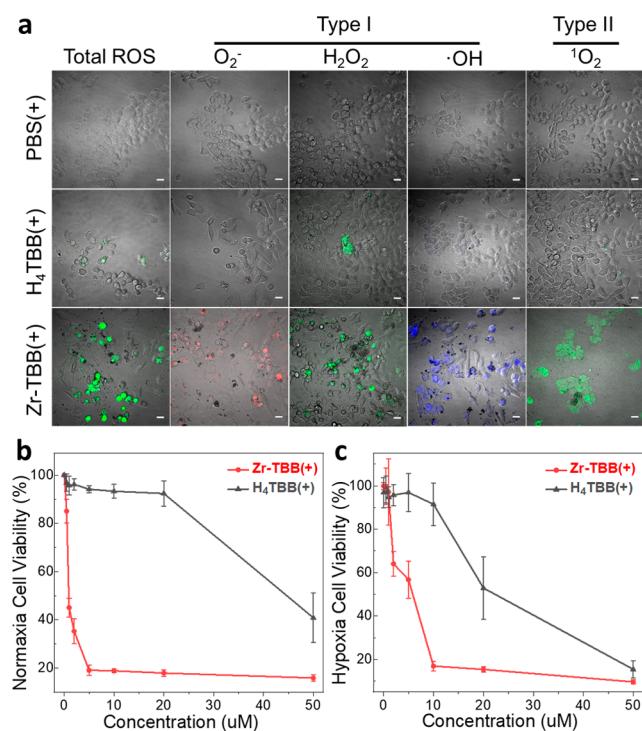
into sp<sup>3</sup>-carbons, leading to significant distortion from the planar structure of TBB. The peroxide bridge is cleaved into two ketones in intermediate II via retro-[2+2] cyclization. Successive peroxidation and retro-[2+2] cyclization form fragmentation product III. However, the rigid framework of Zr-TBB prohibits TBB ligands from undergoing large structural changes, shutting down the light-mediated peroxidation pathway. The pyrroline rings of the bacteriochlorin can still be oxidized to form TBC ligands without disturbing  $\pi$ -conjugation.

Density functional theory (DFT) calculations were performed to support the photostability difference of bacteriochlorins in H<sub>4</sub>TBB and Zr-TBB (Figure 2e). The crystal structure of Zr-TBB was used, and the structures of the carboxylate groups were frozen during DFT optimization to mimic spatial constraints in the nMOF. In the calculated energy profiles, H<sub>4</sub>TBB displayed a  $\Delta G^\ddagger$  of 30.6 kcal/mol (1.33 eV), while the constrained TBB in Zr-TBB exhibited a much higher  $\Delta G^\ddagger$  of 39.2 kcal/mol (1.70 eV). The 1.69 eV energy in the 740 nm light source was thus sufficient to overcome the  $\Delta G^\ddagger$  in H<sub>4</sub>TBB but insufficient to overcome the  $\Delta G^\ddagger$  in Zr-TBB, which explains the resistance of Zr-TBB to peroxidation and photofragmentation.

Bacteriochlorins can generate multiple ROSs via both type I (O<sub>2</sub><sup>-</sup>, H<sub>2</sub>O<sub>2</sub>, and •OH) and type II (<sup>1</sup>O<sub>2</sub>) mechanisms.<sup>36</sup> The generation of O<sub>2</sub><sup>-</sup>, H<sub>2</sub>O<sub>2</sub>, •OH, and <sup>1</sup>O<sub>2</sub> by H<sub>4</sub>TBB and Zr-TBB was confirmed by electron paramagnetic resonance, hydrogen peroxide detection kit, aminophenyl fluorescein assay (APF), and singlet oxygen sensor green assay (SOSG), respectively. Due to photobleaching, H<sub>4</sub>TBB showed much weaker signals of type I ROSs than Zr-TBB (Figures S12–S14). Similarly, <sup>1</sup>O<sub>2</sub> generation of H<sub>4</sub>TBB reached a plateau within 1 min of light irradiation, while Zr-TBB showed a linear increase of <sup>1</sup>O<sub>2</sub> signal throughout the 15 min experiment (Figure S15).

We next examined cellular uptake, *in vitro* ROS generation, and cytotoxicity of H<sub>4</sub>TBB and Zr-TBB on 4T1 murine breast carcinoma cells. ICP-MS and UV-vis studies showed that 4T1 cells uptook significantly more Zr-TBB than H<sub>4</sub>TBB (Figure S17). The *in vitro* generation of O<sub>2</sub><sup>-</sup>, H<sub>2</sub>O<sub>2</sub>, •OH, and <sup>1</sup>O<sub>2</sub> by Zr-TBB plus light irradiation [denoted Zr-TBB(+)] was detected under confocal laser scanning microscopy (CLSM) with superoxide detection, intracellular hydrogen peroxide, coumarin-3-carboxylic acid assay, and SOSG assay kits, respectively (Figure 3a). The generation of <sup>1</sup>O<sub>2</sub> and O<sub>2</sub><sup>-</sup> by Zr-TBB(+) was confirmed by flow cytometric analyses. H<sub>4</sub>TBB(+) generated much less ROSs than Zr-TBB(+), likely due to low cellular uptake, oxidation, and photobleaching. Zr-TBB(+) efficiently generated four different kinds of ROSs to facilitate type I and type II PDT. The cytotoxicity of Zr-TBB(+) was determined by MTS assay. Under normoxic condition, Zr-TBB(+) exhibited an IC<sub>50</sub> of  $0.91 \pm 0.77 \mu\text{M}$  on 4T1 cells, while H<sub>4</sub>TBB(+) did not show any cytotoxicity at  $\leq 20 \mu\text{M}$  (Figure 3b). Under hypoxic condition, the IC<sub>50</sub> values of Zr-TBB(+) and H<sub>4</sub>TBB(+) on 4T1 cells were  $2.94 \pm 0.76$  and  $19.50 \pm 0.82 \mu\text{M}$ , respectively (Figure 3c). The increased cytotoxicity of H<sub>4</sub>TBB(+) under hypoxia likely resulted from reduced photobleaching at low O<sub>2</sub> concentration. The apoptosis of 4T1 cells after PDT treatments was evaluated by flow cytometry with annexin-V and propidium iodide staining. Zr-TBB(+) treated cells gave significantly stronger apoptosis signals than those treated with H<sub>4</sub>TBB(+) and PBS(+) (Figure S19). Flow cytometry and CLSM imaging

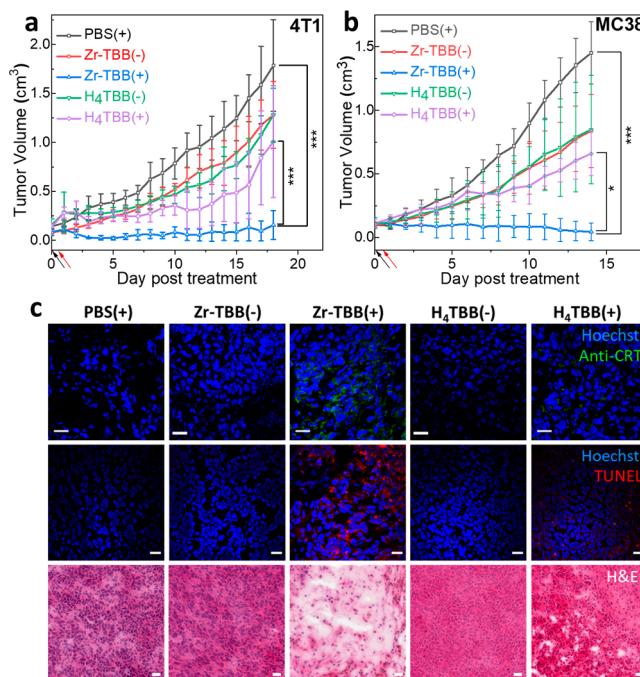
studies also showed that Zr-TBB(+) -treated cells exhibited much stronger calreticulin (CRT) signals than those treated with H<sub>4</sub>TBB(+) and PBS(+) (Figures S23 and S24), indicating more pronounced immunogenic cell death (ICD) caused by Zr-TBB(+).



**Figure 3.** (a) CLSM images of various ROS species generated in 4T1 cells after light irradiation. Total ROS was detected by H<sub>2</sub>DCFDA assay. Scale bar is 20  $\mu\text{m}$ . (b, c) MTS assays of Zr-TBB(+) and H<sub>4</sub>TBB(+) under normoxic (b) and hypoxic (c) conditions.

The *in vivo* antitumor efficacy was investigated on subcutaneous 4T1-bearing BALB/c mice and murine colon carcinoma MC38-bearing C57Bl/6 mice. Zr-TBB(+) exhibited excellent therapeutic effects to afford 91% tumor growth inhibition and a 40% cure rate on 4T1 model (Figure 4a) and 97% tumor growth inhibition and a 60% cure rate on MC38 model. H&E staining showed severe necrosis in Zr-TBB(+) -treated 4T1 tumors. TUNEL (Figure 4c) and CRT expression (Figure 4d) assays by CLSM showed strong apoptosis and ICD induced by Zr-TBB(+) treatment. Finally, steady body weight and minimal abnormalities of major organ sections indicated that Zr-TBB had no systematic toxicity on BALB/c and C57Bl/6 mice.

In summary, we report the use of the framework of Zr-TBB nMOF to stabilize bacteriochlorins toward oxygen and light irradiation. Zr-TBB mediated effective PDT by generating  $\text{O}_2^-$ ,  $\text{H}_2\text{O}_2$ ,  $\cdot\text{OH}$ , and  ${}^1\text{O}_2$  via both type I and type II mechanisms. Zr-TBB showed superb *in vivo* antitumor efficacy on mouse tumor models of breast and colon cancers to afford cure rates of 40% and 60%, respectively. nMOFs thus present a unique platform to design novel nanophotosensitizers based on bacteriochlorins and other unstable photosensitizing molecules.



**Figure 4.** Antitumor efficacy on 4T1-bearing BALB/c mice (a) and MC38-bearing C57Bl/6 mice (b). (c) CLSM imaging of cell surface CRT (top) and cell apoptosis (middle) and H&E staining showing severe apoptosis and necrosis (bottom) after Zr-TBB(+) treatment on 4T1 tumors. Scale bar is 20  $\mu\text{m}$ .

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.0c02129>.

Synthesis and characterization of H4TBB and Zr-TBB, ROS generation and mechanism, anticancer efficacy, and DFT calculations (PDF)

Data for Hf-TBB single crystal (CIF)

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### Author Contributions

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### Notes

The authors declare no competing financial interest.

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