

## Differential response of rice evapotranspiration to varying patterns of warming

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### ABSTRACT

Rice is a staple food crop that provides more calories to the global population than any other crop. Rice production is also a major consumer of fresh-water resources. Hence, changes in rice evapotranspiration ( $ET_c$ ) due to projected warming patterns is becoming necessary in any management of water resources and food security assessments. Here, air temperature ( $T_a$ ) measurements from 1003 meteorological stations covering the period from 1967 to 2016 in China, Japan and the Philippines are first used to assess warming trends. Energy fluxes were then assembled so as to evaluate the responses of rice  $ET_c$  to various warming trends. A modified Priestley-Taylor formulation was used to interpret  $ET_c$  under differing warming scenarios. Results showed that the average values of daily mean  $T_a$  from 1997–2016 increased by 4.6% relative to the period from 1967–1996, where 85% of all stations marked an increase of 0.5–1.5 °C. Greater increment in average daily minima in  $T_a$  (5.1%) was noted in the past 20 years compared to the average daily maximum in  $T_a$  (3.7%), showing asymmetric warming. The changed growth duration linearly decreased as ambient seasonal mean  $T_a$  increased, and higher temperature sensitivity of altered growth duration occurred at greater warming level. Overall, the proposed modified Priestley-Taylor model can be used for estimating  $ET_c$  of rice for both half-hourly and daily scales provided the growth duration is a priori known. Changes in seasonal  $ET_c$  of rice under varying types of warming patterns are largely explained by both ambient seasonal mean  $T_a$  and changes in growth duration.

### 1. Introduction

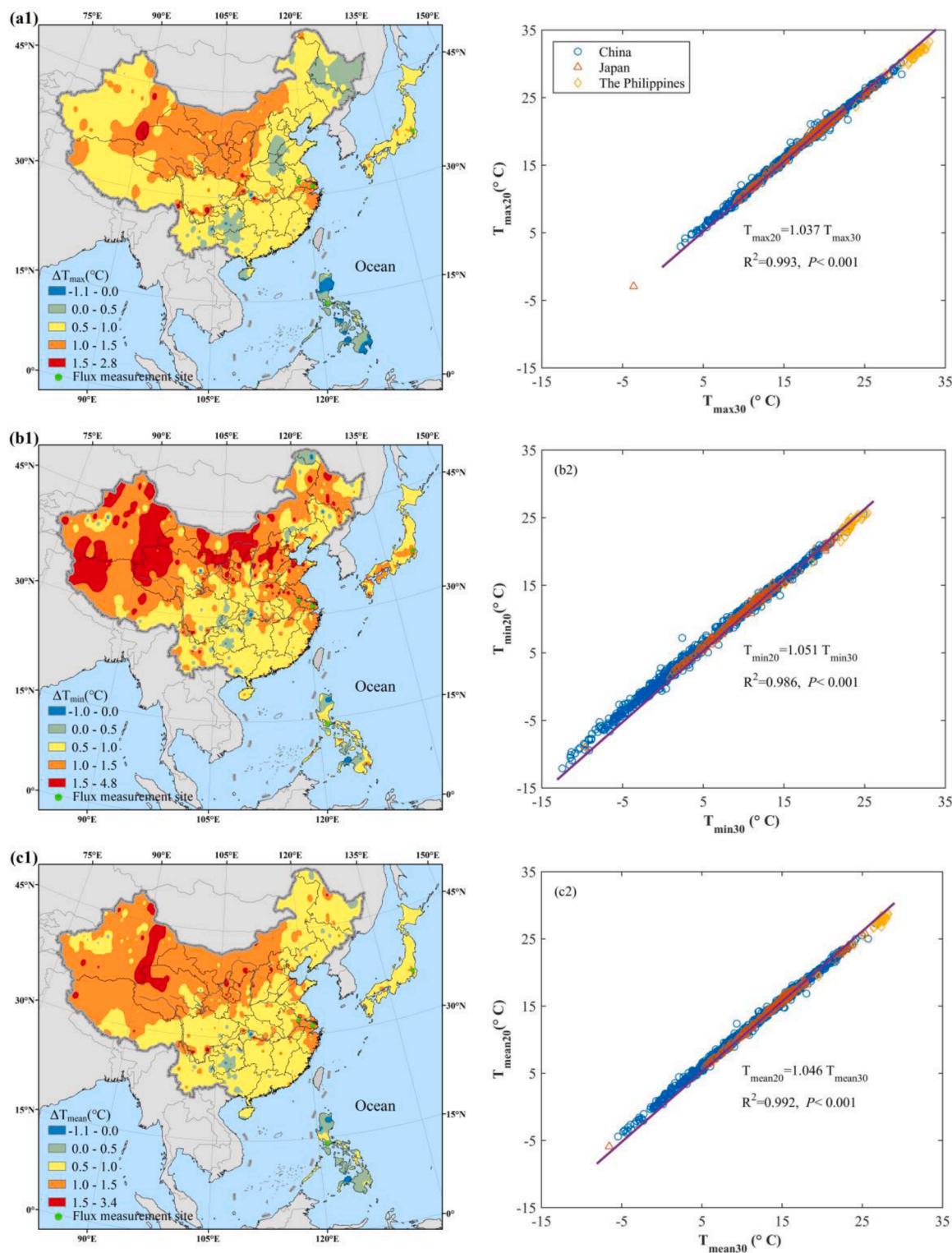
Rice (*Oryza sativa* L.), an important staple food, is widely planted worldwide with total planting area over 167 million ha in 2018 (FAO, 2020). It provides food for >50% of the global population, where >90% of the rice is produced and consumed in Asia (Dong et al., 2011; Seck et al., 2012; FAO, 2020). With a growing population worldwide, a 70% increase in food production is necessary to meet projected caloric demands in 2050 (Alexandratos and Bruinsma, 2012). Hence, any reduction in rice production will have adverse impacts on food security (Godfray et al., 2010; Wang et al., 2019). Rice production is facing challenges from numerous factors such as global warming, water

shortages, biotic and abiotic stresses, among others that limit the expansion of rice planting area (Peng et al., 2004; Tao et al., 2008). Among these factors, uptick in warming on rice production is of primary concern and continues to draw research attention. Global average surface air temperature was shown to have increased by 0.65–1.06 °C since the pre-industrial times, and is projected to increase further with 1.0 to 3.7 °C by the end of this century (IPCC, 2014). Furthermore, a faster increase in daily minimum temperature was also observed when compared to daily maximum (Peng et al., 2004; Chen et al., 2017). Warming level at different times of the day (i.e. all-day, day-time only, night-time only and asymmetric warming) should have variable effects on rice water consumption, which in turn, affects rice growth and

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**Fig. 1.** Changes in average values of maximum, minimum and mean temperature ( $\Delta T_{\max}$ ,  $\Delta T_{\min}$ ,  $\Delta T_{\text{mean}}$ ) over 1997–2016 relative to 1967–1996 (a1, b1, c1), and relations between average maximum, minimum and mean air temperature over 1997–2016 ( $T_{\max20}$ ,  $T_{\min20}$ ,  $T_{\text{mean}20}$ ) and those over 1966–1996 ( $T_{\max30}$ ,  $T_{\min30}$ ,  $T_{\text{mean}30}$ ) (a2, b2, c2) in China, Japan and the Philippines. The datasets were from 805 meteorological stations in mainland China, 158 stations in Japan and 40 stations in the Philippines. The four flux measurement sites were also marked in the figure.

production.

Controlled manipulation studies such as the Free Air  $\text{CO}_2$  Enrichment (FACE) experiments, Open Top Chamber (OTC) experiments, or free air temperature increase facilities experiments have been used to explore varying environmental conditions on rice production (Dong et al., 2011;

Cai et al., 2016; Chen et al., 2017; Wang et al., 2018). In these studies, net  $\text{CO}_2$  assimilation, crop growth, grain yield and quality have been documented. However, the effects of varying warming patterns on rice evapotranspiration ( $ET_c$ ) have rarely been reported, which may be attributed to the difficulty in measuring rice  $ET_c$  under field condition.

**Table 1.**

Detailed information on the four rice paddy sites measuring latent heat fluxes

	Site Nanjing	Kunshan	Mase	IRRI
<b>Site characteristics</b>				
Country	China	China	Japan	The Philippines
Position	32.21°N, 118.68°E, altitude 14.4 m	31.25°N, 120.95°E, altitude 17.5 m	36.05°N, 140.03°E, altitude 13 m	14.14°N, 121.26°E, altitude 21 m
Mean annual precipitation (mm)	1106	1100	1200	2075
Mean annual temperature (°C)	15.4	15.5	13.7	27.5
<b>Soil properties</b>				
Soil type	Silt loam	Clay	Clay loam	Silt clay
Bulk density (g cm <sup>-3</sup> )	1.53	1.30	1.35	1.16
Sand-silt-clay (%)	4.6-83.0-12.4	8.9-16.1-75.0	37.5-29.0-33.5	23-45-32
Saturated water content (cm <sup>3</sup> cm <sup>-3</sup> )	0.43	0.50	0.43 <sup>a</sup>	0.45 <sup>a</sup>
Wilting point (cm <sup>3</sup> cm <sup>-3</sup> )	0.06	0.18	0.08 <sup>a</sup>	0.08 <sup>a</sup>
<b>Crops/management properties</b>				
Cultivar	Nanjing 5055	Jia 04-33	Koshihikari	NSIC Rc 122
Cropping pattern	Rice - wheat rotation	Rice - wheat rotation	Single rice	Double rice
Planting area (m <sup>2</sup> )	210 × 100	200 × 150	1000 × 1500	200 × 200
Start-end of season	Jun. 1–Nov. 8, 2018 May 30–Oct. 25, 2019	Jun. 26–Oct. 25, 2013 Jun. 28–Oct. 27, 2014	May 2–Sept. 19, 2002 May 2–Sept. 19, 2003 May 2–Sept. 9, 2004 May 2–Sept. 13, 2005 May 2–Sept. 20, 2006	Dec. 21, 2012–Apr. 1, 2013 Jun. 28–Oct. 22, 2013 Dec. 2, 2013–Mar. 14, 2014 Jun. 18–Oct. 1, 2013
Flooding periods	Most of growth season <sup>b</sup>	Minority of growth season <sup>c</sup>	Most of growth season <sup>d</sup>	Most of growth season <sup>e</sup>
References	Qiu et al. (2019)	Xu et al. (2017a, b; 2019)	Saito et al. (2005); Ono et al. (2015)	Alberto et al. (2009; 2011)

<sup>a</sup> These values were not reported, and were estimated by using a neural network algorithm with the Rosetta package (Schaap, 1999) and reported soil particle data.<sup>b</sup> Water was drained mostly towards the end of crop development and end of late stages.<sup>c</sup> The rice field was irrigated following water saving irrigation practice. Under this irrigation practice, the water was absent during most of the period, whereas the rice field was flooded only during the re-green stage or within 3–5 days of fertilizer or pesticide application. The rice field was irrigated to saturated water content when the volumetric soil water content dropped to 60–80% of saturated water content at different growth stages as described elsewhere (Xu et al., 2017b; Liu et al., 2018).<sup>d</sup> Standing water was covered during transplanting and middle Aug. except for two temporary drainage periods in the middle of the growth season (Saito et al., 2005).<sup>e</sup> Standing water was absent during 0–37 days after transplanting as well as 1–2 weeks before harvest (Alberto et al., 2011; 2014).

The  $ET_c$  is linked to crop growth, biomass and yield production. It is also a primary component in the water and surface energy balance components, and plays an important role in land–atmosphere interaction in the earth system (Koster et al., 2006; Wang and Dickinson, 2012) and in assessment of subsurface processes that are connected to methane and nitrous oxide production. As a result of the large planting area of rice, even small variation in  $ET_c$  introduced by global warming can represent substantial volumes of water and may indirectly affect regional climate (Zhang et al., 2019). Unsurprisingly, a quantitative investigation of rice  $ET_c$  in response to varying types of warming patterns is becoming necessary for any future water resource management (Pan et al., 2015).

Measurements and observations of rice  $ET_c$  in the field under warming conditions face numerous challenges as the paddy rice field is flooded during most of the growing period. Hence, numerical models remain indispensable for estimating rice  $ET_c$  into the future. Some studies have used crop models such as CERES-Rice and Oryza 2000 forced by an envelope of climate-change scenarios to estimate the effects of ‘all-day’ warming on rice  $ET_c$  (Tao et al., 2008; Kim et al., 2013). However, uncertainties remain depending on the crop model, the global climate model and emission scenarios used. In addition, crop models running on a daily time scale cannot be used to assess the effect of daytime, nighttime or asymmetric warming on rice  $ET_c$ . Furthermore, these crop models require substantial amounts of parameters and parameterization making it difficult to track causal links between warming and responses (such as  $ET_c$ ). The well-known energy-driven Priestley–Taylor (P–T) model (Priestley and Taylor, 1972), a simplified version of the Penman–Monteith formulation has been widely used and offers a compromise between model complexity and predictive skills. The P–T was shown to reasonably describe hourly or daily  $ET_c$  for rice, wheat, maize, cotton, tomato, and mixed land cover types at regional or global scale (Fisher et al., 2008; Yao et al., 2013; Ding et al., 2013a;

Ershadi et al., 2014; Ai and Yang, 2016; Qiu et al., 2019 Gong et al., 2021). In this model,  $ET_c$  is a product of an equilibrium evaporation rate and a P–T coefficient ( $\alpha_{PT}$ ), where equilibrium evaporation only depends on meteorological conditions including net radiation, ground soil or water surface heat flux and air temperature. However, the  $\alpha_{PT}$  (=1.26) requires modifications for crops even for well-irrigated conditions. The factors affecting  $\alpha_{PT}$  reported in the literature include air temperature, relative humidity, mulching, soil moisture availability and leaf area index (Ding et al., 2013a). The leaf area index (highly dynamic for numerous crops) in the proposed model affects the proportional energy received by the canopy and the soil (or water) surface. However, isolating warming effects on leaf area remain fraught with challenges and difficulties. Leaf area dynamics are governed by numerous phenomena including photosynthesis and carbon allocation, phloem transport, nutrient uptake and storage, plant–plant competition for above or below-ground resources, among others. If leaf area can be substituted by a variable that is impacted by temperature, the model may still be used to assess rice  $ET_c$  in response to varying types of warming patterns and this frames the scope here.

Warming also impacts phenology, which affects seasonal  $ET_c$ . The variation in phenology, mainly due to temperature accumulation, can be described using various temperature response curves based on three cardinal temperatures (Wang and Engel, 1998; Zheng et al., 2014; Aslam et al., 2017). In contrast to prior studies, the Wang–Engel curvilinear temperature response function (Wang et al., 2017a) is introduced here into seasonal  $ET_c$  estimates. This function was shown to be reasonably accurate for several crop studies, including rice.

Based on an extensive dataset from 1003 surface meteorological stations distributed in East and Southeast Asia, we first ask whether varying types of warming patterns are evident in the recent 20 years when compared to an earlier 30–year period. A modified P–T model for

estimating half-hourly or daily rice  $ET_c$  based on another data set collected from four paddy sites are used to explore schemes linking rice  $ET_c$  to temperature. Furthermore, the P–T model is employed to assess the effects of varying types of warming (i.e. all-day, day-time, night-time and asymmetric warming) on rice  $ET_c$  considering the varied phenology introduced and documented by warming studies at the four aforementioned sites and others.

## 2. Materials and methods

### 2.1. Datasets on temperature collected from meteorological stations

Three datasets were used here. The first dataset includes continuous daily values of maximum, minimum and mean air temperature ( $T_{\max}$ ,  $T_{\min}$ , and  $T_{\text{mean}}$ ) from 1967 to 2016. The data were provided by 805 surface meteorological stations in mainland China, which were shared freely for teaching and research purposes from the China Meteorological Administration (<http://data.cma.cn>). The latter two meteorological datasets include continuous monthly  $T_{\max}$ ,  $T_{\min}$ , and  $T_{\text{mean}}$  from 1967 to 2016 at 158 stations in Japan and 40 stations in the Philippines. The dataset in Japan, accessed on May 3, 2020, are public and can be downloaded from the Japan Meteorological Agency websites (<http://www.jma.go.jp/jma/index.html>), while the dataset in the Philippines can be obtained freely for students/academic research purposes following a request from the Philippine Atmospheric, Geophysical, and Astronomical Services Administration websites (DOST-PAGASA) (<http://bagong.pagasa.dost.gov.ph/>).

The datasets were divided into two time periods 1967–1996 and 1997–2016. These two periods were used to investigate long-term (or climatic) changes in  $T_{\max}$ ,  $T_{\min}$ , and  $T_{\text{mean}}$  over the recent 20 years (1997–2016) relative to the previous 30 years (1967–1996).

### 2.2. Datasets on energy fluxes collected from four paddy sites

Energy fluxes from four paddy rice flux sites in East and Southeast Asia have been collected and analyzed so as to determine  $ET_c$  and its relation with warming patterns. These sites include the Nanjing and Kunshan sites in southeast China, the Mase site in Japan, and the International Rice Research Institute (IRRI) site in the Philippines as shown in Fig. 1. The datasets from the Mase and IRRI sites, accessed on July 22, 2019, are public and can be downloaded from the AsiaFlux websites ([https://db.cger.nies.go.jp/asiafluxdb/?page\\_id=16](https://db.cger.nies.go.jp/asiafluxdb/?page_id=16)). The detailed site characteristics, soil, crops and management properties are summarized in Table 1.

At the Nanjing site, the half-hourly energy fluxes over the paddy rice field were measured using the Bowen-ratio energy balance method. Detailed information about these energy flux measurements, quality controls, and gap filling procedures are described elsewhere (Qiu et al., 2019). Briefly, the net radiation ( $R_n$ ,  $\text{W m}^{-2}$ ) and solar radiation ( $R_s$ ,  $\text{W m}^{-2}$ ) were measured at 2.0 m above the ground by using a NR Lite2 net radiometer (Kipp & Zonen, Delft, Netherlands) and a CS320 digital thermopile pyranometer (Campbell Scientific, Logan, UT, USA), respectively. Air temperature ( $T_a$ ,  $^{\circ}\text{C}$ ) and relative humidity (RH, %) were measured at 1.5 m and 2.9 m above the ground using two 083E-1 temperature–humidity probes (Met One Instruments, Grants Pass, OR, USA). A CS451 pressure transducer (Campbell Scientific, Logan, UT, USA) was used to measure surface water level. Volumetric soil water content ( $\theta$ ,  $\text{cm}^3 \text{cm}^{-3}$ ) was measured using CS616 water content reflectometers (Campbell Scientific, Logan, UT, USA) at depths from 0.1–0.5 m below the surface at 0.1 m intervals. A SI-111 infrared radiation pyrometer (Apogee Instruments, Logan, UT, USA) oriented vertically downward at a height of 0.1 m above the ground was used to measure the surface or soil water temperature. Two HFP01 heat flux plates (Hukseflux, Delft, Netherlands) buried at a depth of 0.05 m below the ground were used to measure soil heat flux ( $G_s$ ,  $\text{W m}^{-2}$ ). Four Type-T thermocouples (Omega Engineering, Stamford, CT, USA) were installed

to measure soil temperature at soil surface and at a depth of 0.03 m below the ground in line with each soil heat flux plate. All raw data were collected by a CR1000 data logger (Campbell Scientific, Logan, UT, USA) every 5 s and 30-min averages were calculated and stored.

At the Kunshan, Mase and IRRI sites, the half-hourly energy flux data were measured using an open-path eddy covariance system described elsewhere (Saito et al., 2005; Alberto et al., 2012; 2014; Ono et al., 2015; Xu et al., 2017a). Briefly, the latent ( $\lambda ET_c$ ,  $\text{W m}^{-2}$ ) and sensible heat ( $H$ ,  $\text{W m}^{-2}$ ) fluxes were measured by a triaxial sonic anemometer (model CSAT3; Campbell Scientific, Logan, UT, USA, for the Kunshan and IRRI sites, and model DA-600-3TV; Kaijo, Tokyo, Japan, for the Mase site) and an open path-infrared gas analyzer (model EC150; Campbell Scientific, Logan, UT, USA, for the Kunshan site, and model LI-7500; LI-COR, Lincoln, NE, USA, for the Mase and IRRI sites) operating at a sampling frequency of 10 Hz. Both sensors were installed at 2.5, 3.0 and 2.12 m above the ground, respectively, for the Kunshan, Mase and IRRI sites. The  $R_n$  and  $R_s$  were measured using a 4-component net radiometer (model CNR4, CNR1; Kipp & Zonen, Delft, Netherlands, and model NR01; Hukseflux, Delft, Netherlands, respectively) at 1.50, 2.35 and 2.79 m above the ground, respectively for the Kunshan, Mase and IRRI sites. The soil heat flux plates (model HFP01; Hukseflux, Delft, Netherlands, or model MF-81; EKO, Tokyo, Japan) were positioned at a depth of 0.05–0.08 m beneath the ground to measure  $G_s$ . The  $\theta$  was measured using time or frequency domain reflectometers (model CS616 or TDR 100; Campbell Scientific, Logan, UT, USA) at soil depths of 0.1, 0.2 and 0.3 m for the Kunshan site, 0.05, 0.1 and 0.2 m for the Mase site and 0–0.25 m for the IRRI site. The soil temperature in the top 0.01–0.40 m soil was measured by TCAV soil thermocouple probes (Campbell Scientific, Logan, UT, USA) at the Kunshan site or Type-T thermocouples (Omega Engineering, Stamford, CT, USA) at the Mase and IRRI sites. All the data were collected by a data logger (model CR5000, CR3000; Campbell Scientific, Logan, UT, USA, or model DRM3; TEAC, Tokyo, Japan) and 30-min averages were calculated and stored. The energy fluxes in these three sites were quality controlled as reported elsewhere (Alberto et al., 2009; Ono et al., 2015; Xu et al., 2017a). The missing half-hourly  $\lambda ET_c$  and  $H$  data were gap-filled using linear regressions between half-hourly  $\lambda ET_c$  or  $H$  and available energy every 14 days for each season. The energy fluxes measured by the eddy covariance system were adjusted by the Bowen-ratio closure method (Twine et al., 2000; Ding et al., 2010). In this method, the Bowen ratio measured by eddy covariance system is assumed to be correct, and this Bowen ratio is employed in the energy-balance closure. Valid objections against using such a correction may be justified as entrainment of heat and water vapor may impact sensible and latent heat fluxes differently; yet these corrections were deemed necessary for uniform comparison across sites and methods. Specifically, the P–T is an energy-based scheme and thus ensuring an energy balance closure in the measurements is recommended for across site comparisons.

For the four sites, the surface soil heat flux ( $G$ ,  $\text{W m}^{-2}$ ), sum of  $G_s$ , heat storage in the soil above the soil heat flux plates and heat storage in the floodwater, was calculated as described elsewhere (Ding et al., 2010; Alberto et al., 2011; Qiu et al., 2019). The heat storage in the floodwater at the Kunshan site was not calculated as a result of the water saving irrigation practices (Table 1) that standing water was absent during most of growth period.

In addition, the fraction of canopy cover ( $f_c$ ) at the Nanjing site was determined based on eight images of plant canopy using a digital camera at intervals of 7–15 days. The images were analyzed by the CAN-EYE software (V6.1, INRA) that calculates the ratio of green vegetation pixels to the whole image (Liu et al., 2013).

### 2.3. The modified P–T model

The  $\lambda ET_c$  (energy flux form) or  $ET_c$  (mass flux form) can be estimated using a modified P–T equation (Priestley and Taylor, 1972)

$$\lambda ET_c = \alpha_{PT} \frac{\Delta}{\Delta + \gamma} (R_n - G), \quad (1)$$

where  $\alpha_{PT}$  is the bulk P–T coefficient,  $\lambda$  is the latent heat for vaporization ( $\text{MJ kg}^{-1}$ ),  $\gamma$  is the psychrometric constant ( $\text{kPa} \text{ } ^\circ\text{C}^{-1}$ ) - almost a constant at a specified site;  $\Delta$  is the slope of saturation vapour pressure-temperature curve ( $\text{kPa} \text{ } ^\circ\text{C}^{-1}$ ) and varies with  $T_a$  using (Allen et al., 1998)

$$\Delta = 4098 \frac{0.6108 \exp(17.27T_a/(T_a + 237.3))}{(237.3 + T_a)^2}. \quad (2)$$

The  $\lambda ET_c$  can be divided into its two component  $\lambda T_r$  (energy flux form of transpiration) and  $\lambda E_w$  (energy flux form of ground evaporation), determined from

$$\lambda T_r = f_t f_{cw} \alpha_{co} \frac{\Delta}{\Delta + \gamma} R_{nc}, \quad (3)$$

$$\lambda E_w = f_{sw} \alpha_{so} \frac{\Delta}{\Delta + \gamma} (R_{nw} - G), \quad (4)$$

where  $T_r$  and  $E_w$  are the crop transpiration and evaporation, respectively;  $f_t$  is the plant temperature constraint;  $f_{sw}$  and  $f_{cw}$  are the soil moisture constraint for  $\lambda E_w$  and  $\lambda T_r$ , respectively;  $R_{nc}$  is the absorbed energy by the canopy, and  $R_{nw}$  is the absorbed energy by water or soil surface.  $R_{nc}$  and  $R_{nw}$  are given by

$$R_{nc} = (1 - \tau) R_n, \quad (5)$$

$$R_{nw} = \tau R_n, \quad (6)$$

where  $\tau$  is the fraction of radiation transmission reaching the water or soil surface, determined as

$$\tau = 1 - f_c^\beta, \quad (7)$$

or

$$\tau = \exp(-k \text{LAI}), \quad (8)$$

where  $f_c$  is the fractional canopy cover [0–1],  $k$  is the canopy extinction coefficient set to 0.45 for rice (Alberto et al., 2014; Qiu et al., 2019), LAI is the leaf area index,  $\beta$  is a fitting parameter taken as 1.25 for rice based on regression analysis between Eqs. (7) and (8). The  $f_c$  in Eq. (7) is affected by  $T_a$  as discussed later that then make it possible to assess the effects of warming on  $ET_c$ . The soil moisture constraint for  $\lambda E_w$ ,  $f_{sw}$ , is given as (Ding et al., 2013a)

$$f_{sw} = \begin{cases} S_e < 0.75 \\ 1.0 \quad S_e \geq 0.75 \end{cases}, \quad (9)$$

where  $S_e$  is the effective surface saturation in the 0–0.10 m soil layer, determined as

$$S_e = (\theta - \theta_w)/(\theta_s - \theta_w), \quad (10)$$

where  $\theta_s$  and  $\theta_w$  are the volumetric saturated water content and wilting point, respectively ( $\text{cm}^3 \text{ cm}^{-3}$ ). The plant temperature constraint,  $f_t$ , is given by (Yao et al., 2013; Ershadi et al., 2014)

$$f_t = \exp \left( - \left( \frac{T_a - T_{g \text{ opt}}}{T_{g \text{ opt}}} \right)^2 \right), \quad (11)$$

where  $T_{g \text{ opt}}$  is the optimum plant growth temperature ( $^\circ\text{C}$ ). Based on a meta-data analysis, Sánchez et al. (2014) showed that mean  $T_{g \text{ opt}}$  for *Oryza sativa* is  $27.6 \text{ } ^\circ\text{C}$ , which is adopted here. The soil moisture constraint for  $\lambda T_r$ ,  $f_{cw}$ , can be calculated as (Lv et al., 2018)

$$f_{cw} = \begin{cases} 1 & \theta_r \geq \theta_{r1} \\ \ln(1 + 100\theta_r)/\ln(96) & \theta_{r2} < \theta_r < \theta_{r1} \\ 0.963 \exp((\theta_r - \theta_{r2})/\theta_{r2}) & \theta_r \leq \theta_{r2} \end{cases} \quad (12)$$

where  $\theta_r$  is the relative soil moisture content (i.e. the ratio of  $\theta$  to  $\theta_s$ ),  $\theta_{r1}$  (95%) and  $\theta_{r2}$  (80%) are the two critical values of  $\theta_r$ .  $\alpha_{co}$  and  $\alpha_{so}$  are the modified P–T coefficients under energy-limited conditions for canopy and water or soil, respectively, given as (Tanner and Jury, 1976)

$$\alpha_{so} = \begin{cases} 1.0 & \tau \leq \tau_c \\ \alpha_o - \frac{(\alpha_o - 1)(1 - \tau)}{1 - \tau_c} & \tau > \tau_c \end{cases} \quad (13)$$

$$\alpha_{co} = \frac{\alpha_o - \alpha_{so}\tau}{1 - \tau}, \quad (14)$$

where  $\alpha_o$  is the reference P–T coefficient ( $=1.26$ ). The  $\tau_c$  is a critical value of  $\tau$ , normally set as 0.55 (Morgan et al., 2003; Ding et al., 2013a).

To obtain an analytical expression for  $\alpha_{PT}$ ,  $G$  should be expressed as a fraction of  $R_{nw}$ , given as

$$G = f_G R_{nw}, \quad (15)$$

where  $f_G$  is the fraction of  $G$  to  $R_{nw}$ , set to 0.36 for rice on half-hourly time scale based on data discussed here Fig. A1). This value was close to that reported in Ding et al (2013a) in a maize field and Choudhury et al., (1987) in a wheat field. On daily time scale, the daily  $G$  is small and can be ignored, resulting in an  $f_G = 0$ . Combined with Eqs. (1)–(15), the expression for a dynamic  $\alpha_{PT}$  can be obtained as

$$\alpha_{PT} = \frac{f_{sw} \alpha_{so} (1 - f_G) \tau + f_t f_{cw} \alpha_{co} (1 - \tau)}{1 - \tau f_G}. \quad (16)$$

#### 2.4. Calculation of daily thermal time and growth duration

The daily thermal time (DTT,  $^\circ\text{C d}$ ) is calculated based on  $T_{\text{mean}}$  using three cardinal temperatures, given as

$$K(T) = \begin{cases} \frac{2(T_{\text{mean}} - T_{g \text{ min}})^\alpha (T_{g \text{ opt}} - T_{g \text{ min}})^\alpha - (T_{\text{mean}} - T_{g \text{ min}})^{2\alpha}}{(T_{g \text{ opt}} - T_{g \text{ min}})^{2\alpha}} & T_{g \text{ min}} \leq T_{\text{mean}} \leq T_{g \text{ max}} \\ 0 & T_{\text{mean}} > T_{g \text{ max}} \text{ or } T_{\text{mean}} < T_{g \text{ min}} \end{cases}, \quad (17)$$

$$\alpha = \ln 2 / \ln \left( \frac{T_{g \text{ max}} - T_{g \text{ min}}}{T_{g \text{ max}} - T_{g \text{ opt}}} \right)$$

$$\text{DTT} = K(T) \cdot T_{g \text{ opt}}$$

where  $T_{g\ max}$  and  $T_{g\ min}$  are the maximum and minimum  $T_g$  for crop growth ( $^{\circ}\text{C}$ ), taken as 41 and 12  $^{\circ}\text{C}$  for rice (Lu et al., 2008),  $K(T)$  is the Wang-Engle curvilinear temperature response curve for phenological development rate (Wang and Engel, 1998; Wang et al., 2017a).

Daily thermal time values are converted into accumulated thermal time (ATT,  $^{\circ}\text{C}$ ) so as to determine growth duration as common in a number of phenology models (He et al., 2015). We assume that the end of the growing season under varying types of warming patterns in each year should attain the same maximum ATT under ambient conditions.

### 2.5. Estimation of fraction of canopy cover

The development of  $f_c$  is now related to ATT. The  $f_c$  can be estimated from a modified logistic function given as (Ma and Zhou, 2013)

$$f_c = \frac{a_1}{1 + \exp(a_2 + a_3 \times \text{ATT}_R + a_4 \times \text{ATT}_R^2)}, \quad (18)$$

where  $a_1, a_2, a_3, a_4$  are the fitted empirical coefficients,  $\text{ATT}_R$  is the relative ATT, given as

$$\text{ATT}_R = \begin{cases} \text{ATT}_i / \text{ATT}_{\max A} & \text{ATT}_i \leq \text{ATT}_{\max A} \\ 1 & \text{ATT}_i > \text{ATT}_{\max A} \end{cases}, \quad (19)$$

where  $\text{ATT}_i$  is the ATT at the day  $i$ , and  $\text{ATT}_{\max A}$  is the maximum ATT under ambient condition. The peak  $f_c$  occurs when  $\text{ATT}_R = -a_3 / 2a_4$ .

### 2.6. Warming scenarios

Four different warming scenarios are evaluated and are labeled as follows: all-day warming (+1, +2, +3  $^{\circ}\text{C}$  all-day), day-time warming (+1, +2, +3  $^{\circ}\text{C}$  day-time only), night-time warming (+1, +2, +3  $^{\circ}\text{C}$  night-time only) and asymmetric warming (day-time +1  $^{\circ}\text{C}$ , night-time +3  $^{\circ}\text{C}$ ). Daytime was defined based on finite shortwave (half-hourly  $R_s > 10 \text{ W m}^{-2}$ ) and the remaining time was night-time.

### 2.7. Accuracy indicators

Various indicators were used to assess the goodness of fit by comparing observed and estimated values of variables (Todorovic et al., 2013; Qiu et al., 2021; Paredes and Pereira, 2019). Here, we use four standard indicators

$$b = \sum_{i=1}^n O_i P_i / \sum_{i=1}^n O_i^2, \quad (20)$$

$$R^2 = \frac{\left[ \sum_{i=1}^n (O_i - \bar{O})(P_i - \bar{P}) \right]^2}{\sum_{i=1}^n (O_i - \bar{O})^2 \sum_{i=1}^n (P_i - \bar{P})^2}, \quad (21)$$

$$\text{RMSE} = \left[ \frac{1}{n} \sum_{i=1}^n (P_i - O_i)^2 \right]^{0.5}, \quad (22)$$

$$d_{IA} = 1 - \frac{\sum_{i=1}^N (Q_i - P_i)^2}{\sum_{i=1}^N (|P_i - \bar{Q}| + |O_i - \bar{Q}|)^2}, \quad (23)$$

where  $O_i$  and  $P_i$  are the observed and estimated values,  $\bar{O}$  and  $\bar{P}$  are the average observed and estimated values. The  $b$  and  $R^2$  are the regression coefficient and the coefficient of determination of the linear regression function forced through the origin (i.e.  $Y = b X$ ). The RMSE is the root mean squared error. The  $d_{IA}$  is the index of agreement. A perfect performance (i.e. model identical to observed) yields  $b, R^2$  and  $d_{IA}$  close to 1.0 and RMSE close to 0 (Qiu et al., 2015).

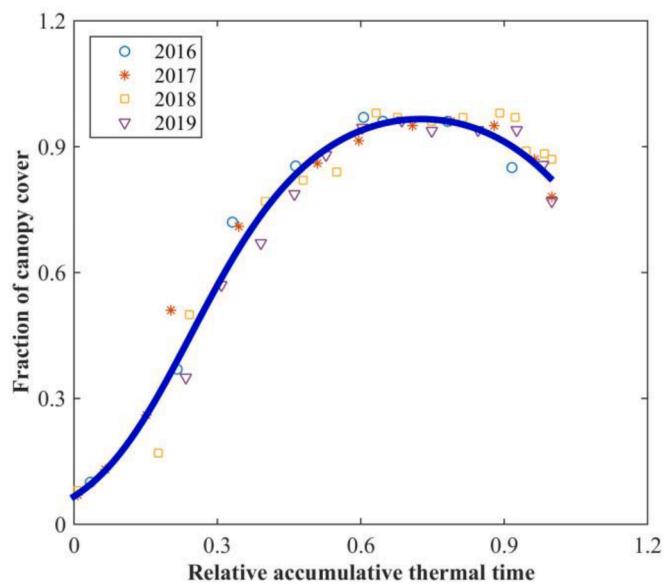


Fig. 2.. The correlation between fraction of canopy cover ( $f_c$ ) and relative accumulative thermal time ( $\text{ATT}_R$ ) for rice. The regression function was  $f_c = 1.24 / (1 + \exp(2.871 - 11.375\text{ATT}_R + 7.832\text{ATT}_R^2))$  with a coefficient of determination  $R^2 = 0.97$  based on the pooled data from Nanjing during 2016 and 2019.

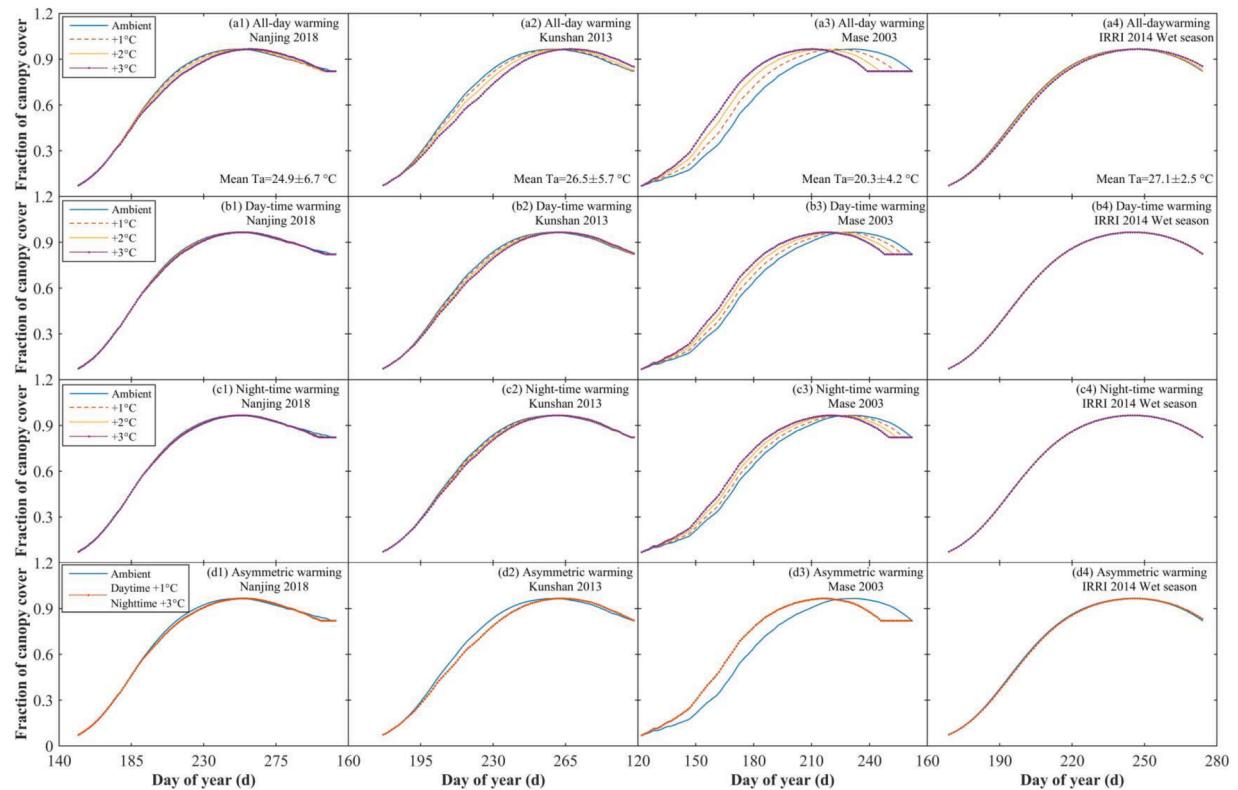
## 3. Results

### 3.1. Temperature trends in China, Japan and the Philippines

The 1003 meteorological stations (805 in China, 158 in Japan and 40 in the Philippines) were used to analyze variations in air temperature over the recent 20 years (1997–2016) relative to an earlier 30 years (1967–1996). Overall, the increases in daily  $T_{\max}$ ,  $T_{\min}$  and  $T_{\text{mean}}$  over the recent 20 years was apparent in 99.3% (799), 98.0% (789) and 98.9% (796) of the stations, respectively, in China, in 99.4% (157), 98.7% (156) and 100% (158) of the stations, respectively in Japan and 62.5% (25), 92.5% (37) and 87.5% (35) of the stations, respectively in the Philippines. On average, the average values of daily  $T_{\max}$ ,  $T_{\min}$  and  $T_{\text{mean}}$  over the recent 20 years were increased by 0.80, 1.01 and 0.85  $^{\circ}\text{C}$ , respectively for China, 0.80, 0.88 and 0.78  $^{\circ}\text{C}$ , respectively for Japan and 0.18, 0.65 and 0.41  $^{\circ}\text{C}$ , respectively for the Philippines. The spatial distribution of varying levels of changes in air temperature were also analyzed and shown in Fig. 1. The increment in average daily  $T_{\max}$  over the recent 20 years was mainly concentrated in 0.5–1.0  $^{\circ}\text{C}$  range for China and Japan (60.1% of total in China, 77.2% in Japan), while in the 0–0.5  $^{\circ}\text{C}$  range for the Philippines (42.5%). The average values of daily  $T_{\min}$  increased by 0.5–1.0  $^{\circ}\text{C}$  and 1.0–1.5  $^{\circ}\text{C}$ , respectively, for 43.1% and 30.6% of total stations (Fig. 1 b1) in China, 57.6% and 29.7% of total in Japan, and 35.0% and 22.5% of stations in the Philippines. Interestingly, the greater increment of average values of daily  $T_{\min}$  (i.e.  $>1.5$   $^{\circ}\text{C}$ ), mainly distributed in Northern China, accounted for 15.2% of the total stations in China. Regression analysis also showed that the increment of average values of daily  $T_{\min}$  (5.1%) over the recent 20 years was greater than that of daily  $T_{\max}$  (3.7%) (Fig. 1 a2, b2), indicating faster night-time warming than day-time warming in these countries. The average values of daily  $T_{\text{mean}}$  over the recent 20 years across all stations increased by 4.6% (Fig. 1 c2) where 85% of stations recorded an increase by 0.5–1.5  $^{\circ}\text{C}$  (Fig. 1 c1). All in all, these results show that warming trends were asymmetric (night-time warming exceeding day-time).

### 3.2. Dynamics of $f_c$ under varying warming trends

The relation between  $f_c$  and ATT was analyzed based on the pooled



**Fig. 3.** Responses of fraction of canopy cover to all-day (a1-a4), day-time (b1-b4), night-time (c1-c4) and asymmetric warming (d1-d4) in 2018 at the Nanjing site (a1-d1), 2013 at the Kunshan (a2-d2) and the Mase sites (a3-d3) and wet season in 2014 at the IRRI site (a4-d4).

**Table 2.**

The variation of growth duration under all-day, day-time, night-time and asymmetric warming.  $T_a$  is air temperature. DTT is the daily thermal time.  $ATT_{max\ A}$  is the maximum accumulative thermal time under ambient condition. DS and WS are the dry- and wet- season, respectively, in the Philippines.

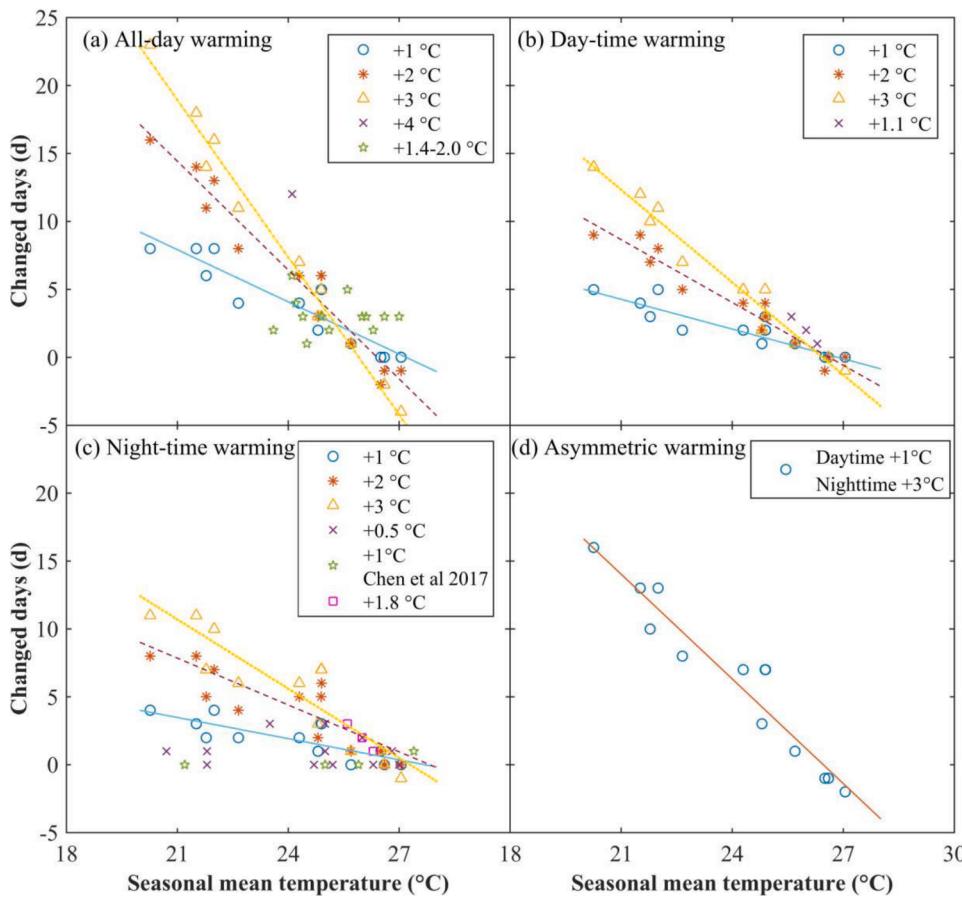
Site	Season	Days	Seasonal mean $T_a$ (°C)	Mean DTT (°C d)	$ATT_{max\ A}$ (°C)	Shortened days (d)						Night-time warming (°C)	Asymmetric warming (°C)		
						All-day warming (°C)			Day-time warming (°C)						
						+1	+2	+3	+1	+2	+3	+1	+2		
Nanjing	2018	161	24.9±6.7	22.6	3637	5	6	3	2	3	3	3	6	7	7
	2019	149	24.9±5.3	24.3	3626	5	6	5	3	4	5	3	5	7	7
Kunshan	2013	122	26.5±5.7	24.0	2930	0	-2	- <sup>a</sup>	0	-1	- <sup>a</sup>	1	1	1	-1
	2014	122	24.3±3.7	24.4	2981	4	6	7	2	4	5	2	5	6	7
Mase	2002	141	22.0±5.1	21.1	2976	8	13	16	5	8	11	4	7	10	13
	2003	141	20.3±4.2	19.3	2722	8	16	23	5	9	14	4	8	11	16
IRRI	2004	131	22.7±5.2	22.3	2916	4	8	11	2	5	7	2	4	6	8
	2005	135	21.8±2.2	21.0	2838	6	11	14	3	7	10	2	5	7	10
IRRI	2006	142	21.5±4.4	21.2	3008	8	14	18	4	9	12	3	8	11	13
	2013 DS	102	25.7±2.2	27.0	2750	1	1	1	1	1	1	0	1	1	1
IRRI	2013 WS	117	26.6±2.3	27.4	3201	0	-1	-2	0	0	0	0	0	0	-1
	2014 DS	103	24.8±2.5	26.3	2709	2	3	3	1	2	2	1	2	3	3
IRRI	2014 WS	106	27.1±2.5	27.4	2909	0	-1	-4	0	0	-1	0	0	-1	-2

<sup>a</sup> The data after 3 days of end of season are unavailable.

data from the Nanjing site since the  $f_c$  data were not available at other sites. The dynamics of  $f_c$  was related to the relative ATT. Fig. 2 shows that the  $f_c$  in 2018 and 2019 increased rapidly as relative ATT increased until it reached about 0.73, followed by a gradual decline. Independent data in 2016 and 2017 at the Nanjing site are also included in Fig. 2 for reference and show analogous variation. This trend between  $f_c$  and relative ATT could be captured by the modified logistic function (Fig. 2). The  $b$  between observed and estimated  $f_c$  was 0.996, and the  $R^2$ , RMSE and  $d_{IA}$  were 0.97, 0.05 and 0.993, respectively. These statistical indicators suggest that the modified logistic function for estimating  $f_c$  was

reasonable and can be used to represent the dynamics of  $f_c$  when daily  $T_a$  during the growth period is known. Using relative ATT rather than ATT here can reduce bias in  $f_c$  produced by ATT differences across regions.

The effects of varying trends in warming on the dynamics of  $f_c$  are presented in Fig. 3, where the specified year at each site was selected for reference (i.e. in 2018 at the Nanjing site, 2013 at the Kunshan site, 2003 at the Mase site, 2014 wet season at the IRRI site). The differential responses of dynamic  $f_c$  to the four warming scenarios are now compared. At the Nanjing and Kunshan sites, the development of canopy cover for all four types of warming scenarios was slower than under ambient



**Fig. 4.** The relation between shortened growth duration and seasonal mean temperature under varying types of warming (all-day, day-time, night-time and asymmetric). The dataset for all-day warming by 1.4–2.0 °C and 4 °C (Dong et al., 2011; Rani and Maragatham, 2013; Cai et al., 2016; Wang et al., 2018), day-time warming by 1.1 °C (Dong et al., 2011) and night-time warming by 0.5–1.8 °C (Dong et al., 2011; Chen et al., 2017) were included for reference. The data without significant difference among treatments in the literature were set to be identical.

**Table 3.**

The regression functions between the shortened days and ambient seasonal mean temperature under different types of warming (all-day, day-time, night-time and asymmetric). The data are shown in Fig. 4.

Warming type	Level (°C)	Regression functions	N	R <sup>2</sup>
All-day	+1	$y = -1.28x + 34.8$	13	0.83***
	+2	$y = -2.67x + 70.3$	13	0.95***
	+3	$y = -3.86x + 99.9$	12	0.98***
Day-time	+1	$y = -0.73x + 19.7$	13	0.82***
	+2	$y = -1.54x + 40.9$	13	0.94***
	+3	$y = -2.27x + 59.9$	13	0.96***
Night-time	+1	$y = -0.52x + 14.3$	13	0.62**
	+2	$y = -1.15x + 31.6$	13	0.75***
	+3	$y = -1.70x + 46.2$	12	0.82***
Asymmetric	Day-time +1, Night-time +3	$y = -2.56x + 67.9$	13	0.92***

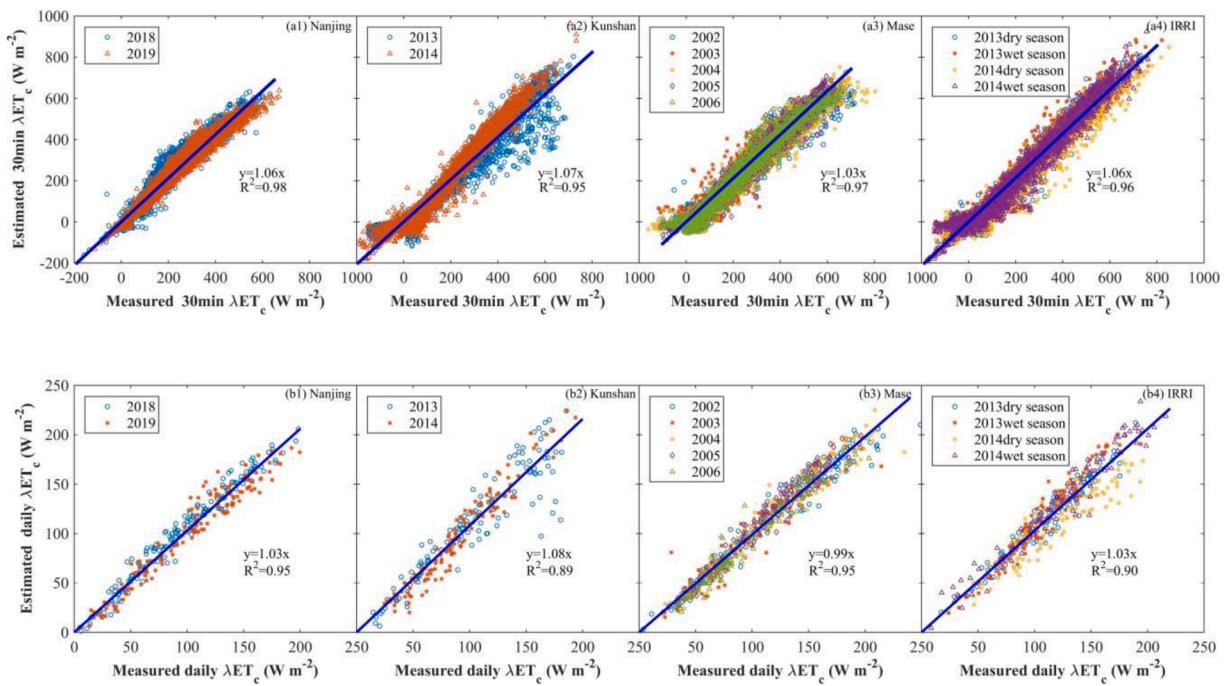
\*\* and \*\*\* indicate the significant level at  $P < 0.01$  and  $0.001$ .

condition (Fig. 3 a1-d1, a2-d2), and greater variations in  $f_c$  were observed for the Kunshan site in 2013 than the Nanjing site in 2018. Similar trends in  $f_c$  induced by warming was also observed at the IRRI site where small variation was noted under all-day warming (Fig. 3 a4), whereas only tiny changes were noted under day-time, night-time and asymmetric warming (Fig. 3 b4-d4). In contrast, the development of canopy coverage under warming was faster than under ambient condition at the Mase site (Fig. 3 a3-d3). Generally, compared to ambient conditions, the largest variations in  $f_c$  were observed under all-day warming followed by asymmetric, day-time and night-time warming. All in all, greater warming levels lead to greater variations in  $f_c$ .

### 3.3. Variation of rice growth duration under warming

There are differential responses of growth duration to varying types of warming at the sites (Table 2). All-day warming shortened the growth duration by 3–6 d at the Nanjing site, 4–23 d at the Mase site, 1–3 d for the dry season at the IRRI site, 4–7 d for cool season (2014) at the Kunshan site, while it prolonged the growth duration by 0–4 d for the warm season (2013) at the Kunshan site and wet seasons at the IRRI site. Similar trends were also observed for day-time and night-time warming. The variations of growth duration under asymmetric warming (day-time +1 °C, night-time +3 °C) are similar to the +2 °C all-day warming at all sites. At the Mase site, the end of the growing season was earlier with increasing (all-day, day-time and night-time) warming level, as well as for day-time and night-time warming in 2019 at the Nanjing site, and in 2014 at the Kunshan site. However, greater warming did not always lead to further shortening of growth duration. In the 2013 dry season at the IRRI site, the reduction of growth duration was almost the same under different warming levels (all-day, day-time and night-time), as well as for day-time and night-time warming in the wet season of 2013 at the IRRI site and the night-time warming in 2013 at the Kunshan site. Furthermore, the shortened growth duration remained 'stagnant' or reduced beyond +2 °C warming at the Nanjing site and in the dry season of 2014 at the IRRI site. Increased all-day warming even further postponed the end of the growing season in the warm season (2013) at the Kunshan site and wet season at the IRRI site when the ambient seasonal mean  $T_a$  was high.

The variations of growth duration under varying types of warming are related to ambient seasonal mean  $T_a$  as shown in Fig. 4 and Table 3. Linear decline curves were found between the changed growth duration and ambient seasonal mean  $T_a$  under varying types of warming patterns. Independent data from the literature for rice are also included in Fig. 4



**Fig. 5.** Comparison of half-hourly (a1-a4) and daily (b1-b4) latent heat fluxes ( $\lambda ET_c$ ,  $ET_c$  is evapotranspiration) measured and estimated by the modified Priestley–Taylor model at the Nanjing (a1, b1), Kunshan (a2, b2), Mase (a3, b3) and IRRI (a4, b4) sites. The blue line in each panel is the fitted line forced through the origin based on the pooled data.

**Table 4**

The goodness of fit statistical indicators when comparing the half-hourly and daily values of latent heat flux ( $\lambda ET_c$ ,  $ET_c$  is evapotranspiration) observed and estimated by the modified Priestley–Taylor model.

Site	Season	30-min $\lambda ET_c$			$d_{IA}$	Daily $\lambda ET_c$			$d_{IA}$
		b	R <sup>2</sup>	RMSE (W m <sup>-2</sup> )		b	R <sup>2</sup>	RMSE (W m <sup>-2</sup> )	
Nanjing	2018	1.10	0.97	29.3	0.988	1.06	0.97	10.7	0.987
	2019	1.03	0.98	21.2	0.995	1.00	0.95	10.5	0.986
	Whole data	1.06	0.98	25.6	0.992	1.03	0.95	10.6	0.987
Kunshan	2013	1.03	0.95	39.6	0.986	1.07	0.85	19.3	0.950
	2014	1.14	0.96	35.2	0.982	1.09	0.94	13.3	0.968
	Whole data	1.07	0.95	37.5	0.985	1.08	0.89	16.6	0.959
Mase	2002	1.01	0.97	25.8	0.993	0.98	0.96	10.9	0.989
	2003	1.03	0.95	28.3	0.985	0.97	0.93	10.8	0.980
	2004	1.02	0.98	25.8	0.994	1.01	0.95	10.4	0.988
IRRI	2005	1.05	0.98	23.1	0.994	1.03	0.97	8.5	0.988
	2006	1.01	0.97	22.3	0.992	0.97	0.96	8.8	0.989
	Whole data	1.03	0.97	25.1	0.992	0.99	0.95	9.9	0.988
IRRI	2013 dry season	1.08	0.96	31.5	0.987	1.04	0.94	8.5	0.978
	2013 wet season	1.11	0.97	29.6	0.988	1.08	0.94	11.5	0.964
	2014 dry season	0.99	0.95	33.1	0.987	0.92	0.86	13.8	0.948
	2014 wet season	1.07	0.97	31.6	0.989	1.07	0.96	11.6	0.981
	Whole data	1.06	0.96	31.5	0.988	1.03	0.90	11.5	0.970

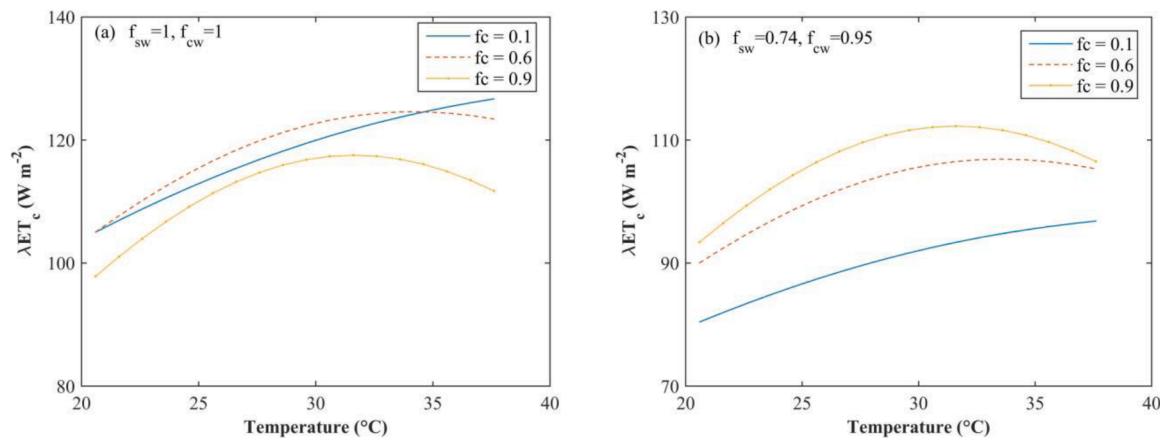
for reference and support the magnitudes calculated here. The greater temperature sensitivity of changed growth duration was found with greater warming level (Table 3). At a prescribed warming level, the temperature sensitivity was greater in all-day warming, followed by day-time and night-time warming (Table 3, Fig. 4), while the asymmetric warming shows a similar trend to the +2 °C all-day warming.

### 3.4. Assessing the $ET_c$ of rice estimated by using the modified P–T model

The comparisons between half-hourly or daily observed and modeled  $ET_c$  using the modified P–T formulation are shown in Fig. 5. Table 4 summarizes the goodness of fit measures. Generally, the agreement between observed and estimated half-hourly  $ET_c$  at all sites is acceptable. The values of  $b$  were 1.03–1.10, 1.03–1.14, 1.01–1.05 and 0.99–1.11 for the Nanjing, Kunshan, Mase and IRRI sites, respectively,

with corresponding  $R^2$  of 0.97–0.98, 0.95–0.96, 0.95–0.98 and 0.95–0.97, indicating that estimated values of half-hourly  $ET_c$  are statistically close to the observed ones and most of variation of the estimated values is explained by the modified P–T model. The values of RMSE, which characterizes the variance of the errors, ranged from 21 to 40 W m<sup>-2</sup> at all sites. The values of  $d_{IA}$  were higher than 0.98, suggesting that mean square error is close to the potential.

Daily values of  $ET_c$  estimated by the modified P–T model also show good agreement with observations at all sites with  $b$  of 0.92–1.09,  $R^2$  of 0.85–0.97, RMSE of 8–19 W m<sup>-2</sup> and  $d_{IA}$  of 0.95–0.99 (Table 4, Fig. 5 b1-b4). All in all, these goodness of fit indicators show that the modified P–T model is robust and could be widely used to estimate the  $ET_c$  of rice for both half-hourly and daily time scale. Daily  $ET_c$  is also reported here because it is essential for developing precision irrigation scheduling (Ding et al., 2013a). To be clear, both data and model are using the same



**Fig. 6.** The responses of latent heat flux ( $\lambda ET_c$ ,  $ET_c$  is evapotranspiration) to air temperature under varying fraction of canopy cover ( $f_c$ ) without (a) and with (b) soil moisture constraint. The net radiation ( $R_n$ ) minus surface ground soil flux ( $G$ ) is set as  $120 \text{ W m}^{-2}$ . The  $f_{sw}$  and  $f_{cw}$  are soil moisture constraint for evaporation and transpiration, respectively.

**Table 5.**

Changes in seasonal evapotranspiration ( $ET_c$ ) between varying types of warming and ambient condition with or without changed growth duration at all sites. DS and WS are the dry- and wet- season.  $T_a$  is air temperature.

Sites	Season	Seasonal mean $T_a$ ( $^{\circ}\text{C}$ )	Seasonal $ET_c$ differences (mm)											
			All-day warming ( $^{\circ}\text{C}$ )			Day-time warming ( $^{\circ}\text{C}$ )			Night-time warming ( $^{\circ}\text{C}$ )			Asymmetric warming ( $^{\circ}\text{C}$ )		
			+1	+2	+3	+1	+2	+3	+1	+2	+3	Day-time +1 night-time +3		
Changed growth duration	Nanjing	2018	24.9	-1.7	-2.3	3.4	2.2	1.9	2.0	-1.4	-5.8	-7.4	-5.2	
		2019	24.9	-4.6	-4.9	-1.1	-1.3	0.3	-0.7	-5.3	-8.5	-13.4	-9.8	
	Kunshan	2013	26.5	1.6	6.6	<sup>a</sup>	2.3	5.4	<sup>a</sup>	-1.5	-2.1	-2.6	2.4	
		2014	24.3	-2.9	-3.7	-2.1	2.3	1.3	1.6	-2.9	-10.3	-12.4	-8.7	
	Mase	2002	22.0	-12.8	-20.6	-25.8	-4.3	-7.7	-12.7	-9.7	-13.9	-26.9	-25.6	
		2003	20.3	-20.7	-44.4	-60.1	-9.6	-18.1	-29.7	-12.6	-28.1	-40.2	-50.6	
		2004	22.7	-10.6	-17.0	-25.6	-3.8	-6.4	-8.1	-10.8	-17.6	-20.7	-22.4	
		2005	21.8	-12.7	-23.7	-32.4	-2.9	-10.6	-13.9	-7.7	-14.8	-23.8	-24.6	
		2006	21.5	-12.4	-22.2	-35.0	-4.1	-8.6	-14.6	-8.3	-18.2	-26.0	-24.7	
	IRRI	2013 DS	25.7	-1.6	0.8	2.1	4.7	4.1	7.4	-0.4	-5.6	-5.9	-2.1	
		2013	26.6	3.4	9.5	14.9	3.6	6.3	8.3	0.3	0.6	0.8	7.6	
		WS												
		2014 DS	24.8	-4.8	-6.5	-4.2	0.6	0.7	3.9	-4.9	-9.4	-14.4	-10.0	
		2014	27.1	2.1	8.1	16.5	3.1	5.5	11.6	0.1	0.2	5.3	10.8	
No changed growth duration	Nanjing	2018	24.9	2.8	4.6	5.5	2.5	3.8	4.0	0.3	0.6	0.9	3.5	
		2019	24.9	3.6	6.1	7.4	3.9	6.5	7.9	-0.3	-0.5	-0.7	3.2	
	Kunshan	2013	26.5	1.6	2.4	2.5	2.3	3.5	3.8	-0.7	-1.3	-1.8	0.6	
		2014	24.1	4.6	8.4	11.4	5.0	9.0	12.1	-0.4	-0.7	-0.9	4.1	
	Mase	2002	22.0	6.9	12.9	17.9	6.8	12.4	16.9	0.1	0.2	0.3	7.4	
		2003	20.3	8.4	16.4	23.6	7.9	15.1	21.6	0.3	0.7	1.2	9.5	
		2004	22.7	6.3	11.4	15.2	6.7	12.1	16.0	-0.4	-0.7	-1.0	5.7	
		2005	21.8	7.1	13.3	18.5	7.2	13.3	18.3	-0.1	-0.2	-0.3	7.1	
		2006	21.5	7.4	14.0	19.7	6.8	12.7	17.5	0.6	1.1	1.7	8.6	
	IRRI	2013 DS	25.7	3.4	5.7	7.0	3.7	6.4	8.0	-0.4	-0.7	-0.9	2.8	
		2013	26.6	3.4	5.8	7.1	3.1	5.2	6.3	0.3	0.6	0.8	3.9	
		WS												
		2014 DS	24.8	4.2	7.4	9.7	4.4	7.8	10.2	-0.3	-0.5	-0.6	3.8	
		2014	27.1	2.1	3.1	3.1	2.0	2.9	2.8	0.1	0.2	0.2	2.3	
		WS												

<sup>a</sup> The data after 3 days of end of this season are unavailable.

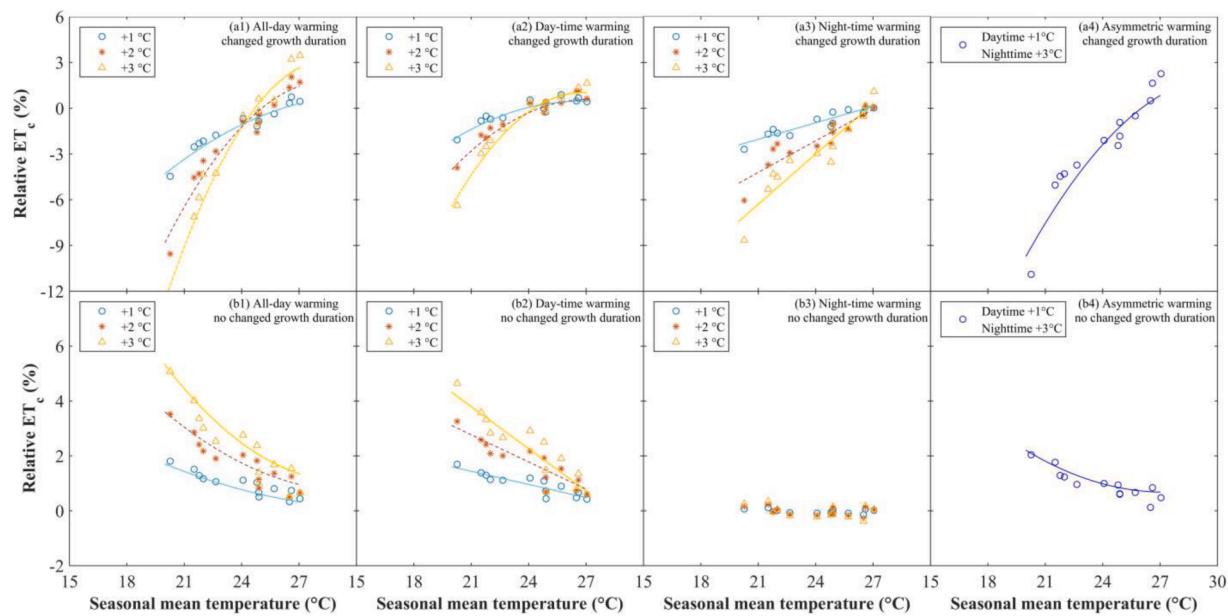
measured net radiation, which can explain the high  $R^2$ . However, that the  $b$  is close to unity and the RMSE is small at diurnal and daily scales also suggest that the P-T coefficient is reasonably reproduced by the proposed model.

### 3.5. Potential effect of global warming on $ET_c$ of rice

Fig. 6 shows the response of  $ET_c$  to  $T_a$  under varying  $f_c$ . At low  $f_c$ , the  $ET_c$  of rice almost linearly increased with increasing  $T_a$  since evaporation was the dominant proportion of  $ET_c$ . While at high  $f_c$ , when transpiration

was dominant, the  $ET_c$  response to  $T_a$  showed rapid increases until it reached to 32–34  $^{\circ}\text{C}$ , followed by a gradual decline. A higher  $f_c$  also induced a greater reduction rate of  $ET_c$  beyond the critical  $T_a$ .

At the seasonal scale, the seasonal  $ET_c$  considering changed growth duration shows different responses to varying types of warming patterns at different sites, as illustrated in Table 5 and Fig. 7. At the Nanjing site, the seasonal  $ET_c$  was reduced for all levels of night-time warming, all-day warming below +3  $^{\circ}\text{C}$  and asymmetric warming, whereas increased for most levels of day-time warming. Similar results were also observed for the Kunshan site. At the Mase site, greater reduction of



**Fig. 7.** The relations between relative seasonal evapotranspiration  $((ET_c w - ET_c A)/ET_c A)$ , where  $ET_c w$  and  $ET_c A$  are seasonal  $ET_c$  under warming and ambient conditions) and seasonal mean temperature under varying types (all-day (a1, b1), day-time (a2, b2), night-time (a3, b3) and asymmetric (a4, b4)) of warming patterns with (a1-a4) or without (b1-b4) considering changed growth duration.

**Table 6.**

The regression functions between relative changes in seasonal evapotranspiration  $((ET_c w - ET_c A)/ET_c A)$ , where  $ET_c w$  and  $ET_c A$  are seasonal  $ET_c$  under warming and ambient conditions) and ambient seasonal mean temperature with and without changed growth duration under varying types of warming (all-day, day-time, night-time and asymmetric).

Warming type	Levels (°C)	Changed growth duration Regression functions	R <sup>2</sup>	No changed growth duration Regression functions	R <sup>2</sup>	N
All-day	+1	$y = -0.049x^2 + 2.96x - 43.9$	0.95***	$y = 0.012x^2 - 0.76x + 12.1$	0.84***	13
	+2	$y = -0.144x^2 + 8.23x - 115.8$	0.94***	$y = 0.029x^2 - 1.74x + 26.8$	0.85***	13
	+3	$y = -0.219x^2 + 12.47x - 174.4$	0.92***	$y = 0.048x^2 - 2.82x + 42.5$	0.87***	12
Day-time	+1	$y = -0.058x^2 + 3.09x - 40.7$	0.87***	$y = -0.16x + 4.8$	0.79***	13
	+2	$y = -0.091x^2 + 4.93x - 66.2$	0.95***	$y = -0.33x + 9.7$	0.81***	13
	+3	$y = -0.160x^2 + 8.58x - 114.0$	0.97***	$y = -0.51x + 14.5$	0.82***	12
Night-time	+1	$y = 0.36x - 9.6$	0.87***	-	-	-
	+2	$y = 0.69x - 18.7$	0.83***	-	-	-
	+3	$y = 1.10x - 29.4$	0.89***	-	-	-
Asymmetric	Day-time +1 Night-time +3	$y = -0.108x^2 + 6.58x - 98.1$	0.90***	$y = 0.029x^2 - 1.58x + 22.2$	0.84***	13

seasonal  $ET_c$  was observed under varying types of warming when compared to the other sites, mainly due to shortened growth duration and cooler season (Tables 2 and 4). Compared with ambient conditions, a greater warming level at the Mase site resulted in a greater reduction of seasonal  $ET_c$ . Differing warming types also lead to different variations of seasonal  $ET_c$  at the Mase site. The greater fluctuations of seasonal  $ET_c$  were found under all-day warming, followed by asymmetric, night-time and day-time warming. At the IRRI site, the values of seasonal  $ET_c$  were generally increased for different warming patterns except for all-day and night-time warming in some dry seasons, and higher increment appeared under greater warming level.

The relative changes in seasonal  $ET_c$  under varying types of warming patterns was related to ambient seasonal mean  $T_a$  and can be summarized by a linear (night-time warming) or parabolic (other types of warming) increase curves (Fig. 7, Table 6). The greater temperature sensitivity of relative changed seasonal  $ET_c$  was found with greater overall warming level.

If not considering changes to the growth duration, the seasonal  $ET_c$  relation appears to be mixed. At a preset warming level, the all-day and day-time warming scenarios generated greater seasonal  $ET_c$  than night-time warming, while asymmetric warming resulted in changes similar to seasonal  $ET_c$  when the all-day warming level was between +1 and +2 °C.

The modified seasonal  $ET_c$  without considering alterations to growth duration generated linear (day-time warming) or parabolic (all-day and asymmetric warming) reduction curves pattern in response to ambient seasonal mean  $T_a$  (Fig. 7 b1-b4). These results indicate that altered growth duration cannot be ignored when assessing variations of seasonal  $ET_c$  with warming.

#### 4. Discussion and conclusions

A modified P-T model was proposed to assess the effects of various warming patterns on rice  $ET_c$  at multiple sites in East and Southeast Asia, one of major hubs for rice cultivation (Dong et al., 2011). The model accommodates soil moisture and plant temperature constraints. The main features of the model here, when compared to prior work (Ding et al., 2013a; Qiu et al., 2019), include the usage of  $f_c$  instead of LAI. There are many benefits to using  $f_c$ . First,  $f_c$  can be readily obtained in the field from digital (or phone) camera or even visual inspection (Allen and Pereira, 2009; Ding et al., 2013b). In addition,  $f_c$  variations at regional scales may be accessed from remote sensing platforms (Steduto et al., 2009). Furthermore, the development of  $f_c$  [0-1] was found to be related to ATT (Fig. 2), which makes it possible to assess global warming on rice  $ET_c$  since  $f_c$  is the only crop parameter needed in the model.

**Table 7.**Summary of model findings for the effects of all-day warming on seasonal evapotranspiration ( $ET_c$ ) of rice reported in the literature.

Warming level (°C)	Relative changed seasonal $ET_c$ (%)	Changed growth duration?	Crop pattern	Location	Model	Source
+2.08	-7.2~0 <sup>a</sup>	Yes	Single rice	Gwangju, Korea	DSSAT4.0-CERES-rice	Kim et al. (2013)
+3.09	-7.5~0 <sup>a</sup>	Yes		Bangladesh	YIELD	Mahmood (1997)
+1	-4	Yes	Double rice			
-	Increase	No	-	Indonesia	Energy balance	Saptomo et al. (2009)
+1	-10.7~3.3	Yes	Single rice (Harbin), rice-wheat rotation	Harbin, Hefei, Chengdu, Nanchang and Changsha in China	DSSAT4.0-CERES-rice	Tao et al. (2008)
+2	-14.3~4.8	Yes	(Hefei, Chengdu), double rice (Nanchang, Changsha)			
+3	-18.2~4.3	Yes				
+1	0	Yes	Early rice	Guangzhou, China		
+2	-1.7	Yes				
+3	-0.37	Yes				
+1	0.5	Yes	Late rice	Guangzhou, China		
+2	0.9	Yes				
+3	5.6	Yes				

<sup>a</sup> All-day warming had significant effect for cultivars Nampyeong and Saekyewha, whereas no significant effect for cultivar Unkwang.

The modified P–T model was evaluated using energy flux datasets from four sites in Asia. Overall results show that the proposed modified P–T model is acceptable for both half-hourly and daily scales at multiple sites (Table 4, Fig. 5). Many studies also indicated that the P–T formulation can estimate  $ET_c$  provided adjustments are made to the P–T coefficient for various crops as reported for maize, rice, wheat, tomato, and cotton (Ding et al., 2013a; Ai and Yang, 2016; Qiu et al., 2019; Gong et al., 2021), pasture (Sumner and Jacobs, 2005) and mixed land cover types at regional or global scales using remote sensing (Fisher et al., 2008; Yao et al., 2013; Ershadi et al., 2014). The dynamic  $\alpha_{PT}$  in these studies was well described by using a combination of multiplicative functions reflecting limiting factors to water uptake such as soil and plant moisture constraints, plant temperature constraint, green canopy fraction, fraction of ground mulching and relative surface wetness.

The modified P–T model was used here to assess warming patterns on rice  $ET_c$ . The parameter  $f_c$  in the model was influenced by warming as shown across multiple sites. The responses of a dynamic  $f_c$  to varying types of warming patterns at different sites were, however, not identical. At the Nanjing and Kunshan sites, the development of  $f_c$  under an all warming pattern were slower than under ambient condition (Fig. 3 a1-d1, a2-d2). An opposite trend at the Mase site (Fig. 3 a3-d3) was found. At the IRRI site, small variations in  $f_c$  were observed under varying types of warming relative to ambient condition (Fig. 3 a4-d4). These site differences depend on the ambient seasonal mean  $T_a$  and its deviation (Fig. 3), which in turn affects ATT under varying types of warming. The  $f_c$  variations are consistent with studies reporting LAI variations with warming. For instance, a FACE experiment showed that a +2.0 °C all-day warming led to significant reductions in LAI by 24%, 17%, 12% and 10%, respectively for the jointing, booting, heading and milking stages of rice in a warm season (2013, seasonal mean  $T_a$  of 27.0 ± 4.2 °C), whereas it did not significantly affect LAI in a cool season (2014, seasonal mean  $T_a$  of 24.2 ± 3.4 °C) as discussed elsewhere (Cai et al., 2016). Night-time warming by 0.5 °C significantly reduced LAI at the grain filling stage by 7–12% for early and middle rice (seasonal mean  $T_a$  of 25.0–27.4 °C), whereas it increased LAI by 44% for single rice with low seasonal mean  $T_a$  (20.7–21.8 °C) (Chen et al., 2017).

A large corpus of data show that  $T_a$  affected phenology (Wang and Engel, 1998; Lu et al., 2008) and normalized ATT (Tang et al., 2009; Zheng et al., 2014; Aslam et al., 2017). The ATT used here employ the Wang–Engel curvilinear temperature response function discussed elsewhere (Wang et al., 2017a), which showed an improved performance in modeling phenology. Recently, field experiments indicated that warming accelerated rice phenology depending on the warming level and

pattern as shown in Fig. 4. For instance, all-day warming by 1.4–2.1 °C shortened the growth period by 1–5 d (Dong et al., 2011; Cai et al., 2016; Wang et al., 2018). The earlier end of the growing season of rice by 12 d was also reported under +4 °C all-day warming (Rani and Maragatham, 2013). Day-time warming by 1.1 °C and night-time warming by 0.5–1.8 °C also lead to 0–3 d early maturity (Dong et al., 2011; Chen et al., 2017). In addition, model findings indicated that growth duration of rice in China is shortened by 3–15 and 4.5–18 d, respectively, under +1.5 and +2.0 °C all-day warming scenarios at different sites (Liu et al., 2020). Our results support this consensus on rice growth duration for most of the seasons, where growth duration was shortened by 0–23 d, 0–14 d, 0–11 d and 1–16 d, respectively, under varying levels of all-day, day-time, night-time and asymmetric warming for cool season at different sites. However, for some warm seasons, our results showed that growth duration was prolonged by 0–4 d (Table 2) under differing warming patterns. Furthermore, the variation in growth duration under varying levels of warming are quasi-linear and decline with ambient seasonal mean  $T_a$  (Fig. 4, Table 3). Lu et al. (2008) also reported that seasonal mean  $T_a$  significantly affected the growth duration of rice, where a temperature increment of 1 °C resulted in shortened growth period of 4–5 d. Using 220 series of rice phenology in China covering the period of 1981–2006, it was shown that 95% of the series showed a negative correlation between the growth duration and seasonal mean  $T_a$  (Zhang et al., 2013).

The photoperiod also affects the phenology before the flowering stage (Lu et al., 2008; He et al., 2015). However, a previous study indicated that early and middle maturity rice is not sensitive to the photoperiod (Tang et al., 2009), which is the case for most of our datasets. For this reason, the photoperiod was not considered explicitly in the proposed model.

Combined with changes in growth duration, the effects of warming patterns on seasonal  $ET_c$  of rice were assessed. Changes in seasonal  $ET_c$  under varying levels of warming at all sites showed large variability with growing season, and generally reduced in cool season and slightly increased in warm season (Table 5). Prior model findings show inconsistent effects of all-day warming on seasonal  $ET_c$  as summarized in Table 7. The changes in seasonal  $ET_c$  under all-day warming here (Fig. 7) were within the range of values reported by Tao et al. (2008) (-18.2%–5.6%). These variations in seasonal  $ET_c$  under varying types of warming are attributed to the combined effects of changes in growth duration as earlier discussed and direct effects on  $ET_c$ . If no accounting for changes in growth duration is considered, the seasonal  $ET_c$  here differs in its response to various warming patterns (Table 5) depending on variation

in seasonal  $T_a$  and  $f_c$  (Figs. 6 and 7). Our results are also in line with reports for wheat production, in which the seasonal  $ET_c$  of wheat was increased by 18 mm under +3 °C all-day warming, whereas the overall  $ET_c$  was reduced when considering 13 days earlier crop mature (Asseng et al., 2004). To summarize, changed seasonal  $ET_c$  was shown to linearly or parabolically increase with increased ambient seasonal mean  $T_a$  under varying types of warming patterns (Fig. 7, Table 6). Not considering changes in growth duration can lead to negative correlations between changed seasonal  $ET_c$  and seasonal mean  $T_a$  under certain warming patterns (Fig. 7).

The modified P–T model can be used to estimate daily rice  $ET_c$ . At daily scale, the  $G$  was small and could be ignored (Allen et al., 1998). Based on the pooled data from all sites ( $N=2093$ ), a significant relation between daily  $R_n$  over rice field and daily  $R_s$  was found ( $R_n = 0.638 R_s$ , with  $R^2 = 0.91$ ) indicating that daily  $R_n$  over rice field could be estimated from daily  $R_s$ . Since the rice field was frequently flooded, no soil moisture constraints need to be accounted for. Hence, based on the Eqs. (1), (2) and (16), the needed parameters of the modified P–T model are reduced to  $R_s$  and  $T_a$ , which are routinely provided in weather forecasts or from various climate models such as Coupled Model Intercomparison Project phase 5 (CMIP5) (Wang et al., 2017b). This reduced representation of daily rice  $ET_c$  and its implication for global rice production and water demands is kept for a future study.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix

Fig A1

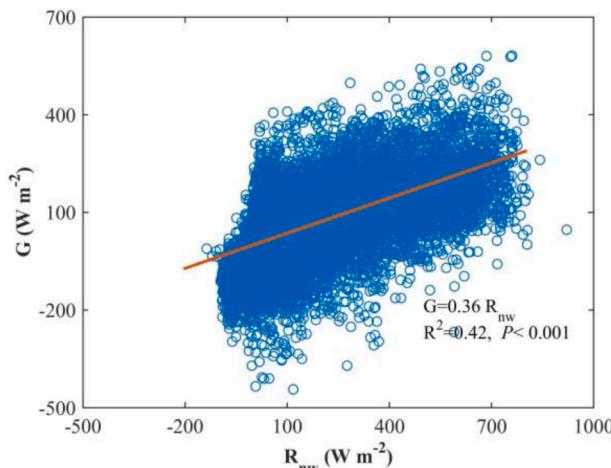


Fig A1.. Relation between surface soil heat flux ( $G$ ) and net radiation received by the water or soil surface ( $R_{nw}$ ) in paddy rice fields based on the pooled data.

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