

Rectification in Molecular Tunneling Junctions Based on Alkanethiolates with Bipyridine–Metal Complexes

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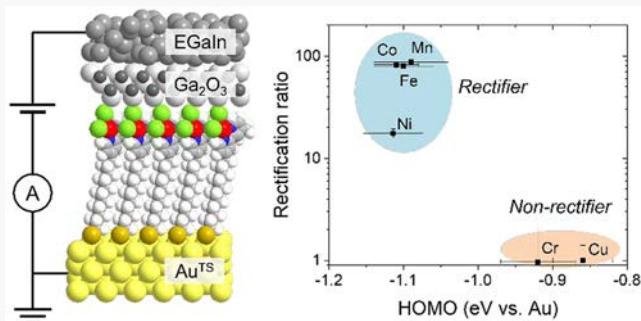
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ABSTRACT: This paper addresses the mechanism for rectification in molecular tunneling junctions based on alkanethiolates terminated by a bipyridine group complexed with a metal ion, that is, having the structure $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY-MCl}_2$ (where $\text{M} = \text{Co}$ or Cu) with a eutectic indium–gallium alloy top contact (EGaIn, 75.5% Ga 24.5% In). Here, $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY}$ is a self-assembled monolayer (SAM) of an alkanethiolate with 4-methyl-2,2'-bipyridine (BIPY) head groups, on template-stripped gold (Au^{TS}). When the SAM is exposed to cobalt(II) chloride, SAMs of the form $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY-CoCl}_2$ rectify current with a rectification ratio of $r^+ = 82.0 \pm 1.0$ V. The rectification, however, disappears ($r^+ = 1.0$) when the SAM is exposed to copper(II) chloride instead of cobalt. We draw the following conclusions from our experimental results: (i) $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY-CoCl}_2$ junctions rectify current because only at positive bias (+1.0 V) is there an accessible molecular orbital (the LUMO) on the BIPY-CoCl₂ moiety, while at negative bias (−1.0 V), neither the energy level of the HOMO or the LUMO lies between the Fermi levels of the electrodes. (ii) $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY-CuCl}_2$ junctions do not rectify current because there is an accessible molecular orbital on the BIPY-CuCl₂ moiety at both negative and positive bias (the HOMO is accessible at negative bias, and the LUMO is accessible at positive bias). The difference in accessibility of the HOMO levels at −1.0 V causes charge transfer—at negative bias—to take place via Fowler–Nordheim tunneling in BIPY-CoCl₂ junctions, and via direct tunneling in BIPY-CuCl₂ junctions. This difference in tunneling mechanism at negative bias is the origin of the difference in rectification ratio between BIPY-CoCl₂ and BIPY-CuCl₂ junctions.



INTRODUCTION

When charge passes through a molecule connected by two electrodes, if the rate of charge transport (CT) in one direction is different than in the opposite direction (at the same magnitude of applied voltage), the molecular junction *rectifies* current, and is relevant to the subject of molecular rectifiers.^{1–12} Rectification is especially useful in mechanistic studies of charge transport through molecular junctions because the same junction is used to measure tunneling currents at both positive and negative bias voltage. The commonality reduces errors due to junction-to-junction variability in the measurement of current.^{13–21}

We previously reported that molecular junctions composed of BIPY-terminated *n*-alkanethiol-based self-assembled monolayers (SAMs) on template-stripped metal surfaces rectify current.^{22,23} We have observed that the conductive properties (including rectification) of these BIPY junctions can change drastically upon exposure to metal ions. This paper describes the rectification of tunneling currents at ± 1.0 V in junctions with the structure $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY-M//Ga}_x\text{/EGaIn}$, where $\text{M} = \text{Co}$ or Cu (Figure 1). The objective of this paper is to understand

why tunneling currents differ between BIPY-CoCl₂ junctions and BIPY-CuCl₂ junctions.

SAMs based on metal complexes of BIPY are an excellent model system to investigate molecular rectifiers classified as having an asymmetrically positioned chromophore in their molecular structure (e.g., molecules composed of an insulating alkyl chain terminated by a more conducting, aromatic moiety) for two reasons. First, the ability for BIPY to form complexes with transition metal ions makes it possible to modify the frontier energy levels of the BIPY-MCl₂ group. A survey of the effects of different metal ions (Cr, Mn, Fe, Co, Ni, or Cu) showed that BIPY-CoCl₂ and BIPY-CuCl₂ junctions exhibited pronounced differences in rectification. The differences in rectification between BIPY-CoCl₂ and BIPY-CuCl₂ junctions

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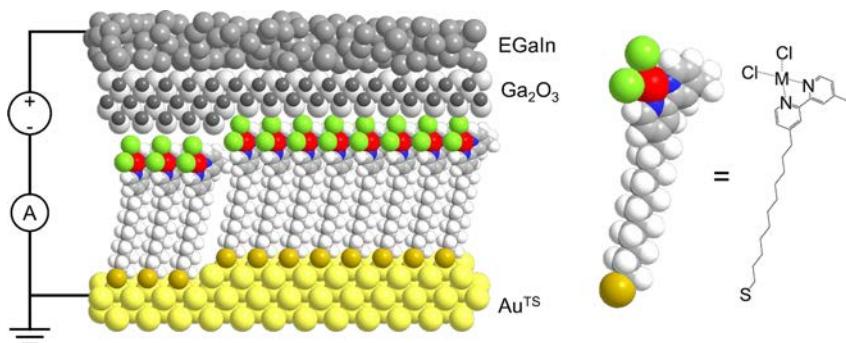


Figure 1. Schematic images of the molecular junction with the structures of $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY}\text{-MCl}_2/\text//\text{GaO}_x\text{/EGaIn}$. XPS results show a 1:1 ratio between the metal and the 2,2'-bipyridine group. In reality, interfaces at EGaIn are rough, and the Au surface is not perfectly flat. Detailed descriptions of molecular structure are summarized in the *Supporting Information*.

Table 1. Elemental Ratios in the $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY}\text{-CoCl}_2$ and $\text{Au}^{\text{TS}}\text{-S}(\text{CH}_2)_{11}\text{BIPY}\text{-CuCl}_2$ Junctions Characterized by XPS^a

Junctions	Sulfur:Nitrogen	Sulfur:Nitrogen (corrected for thickness of SAMs)	Metal:Nitrogen	Metal:Chloride
$\text{BIPY}\text{-CoCl}_2$	1.27 ± 0.05	1.18 ± 0.04	1.18 ± 0.14	1.18 ± 0.06
$\text{BIPY}\text{-CuCl}_2$	1.27 ± 0.08	1.18 ± 0.05	1.19 ± 0.12	1.17 ± 0.16

^aRaw data is in the *Supporting Information*. Experiments were replicated a total of nine times, and uncertainty values represent the standard deviation.

suggests that rectification is determined purely by the electronic structure of the molecular junction, as opposed to (a) asymmetry in the nature of the two electrodes (Au bottom-electrode and EGaIn top-electrode), (b) asymmetry in the top and bottom contacts (covalent Au–S bond at bottom-electrode and a van der Waals contact with the EGaIn), (c) redox reactions involving EGaIn, or (d) the oxide layer of EGaIn. Second, BIPY-MCl₂ junctions, in principle, have the same supramolecular structure, which eliminates the uncertainty associated with differences in packing density, orientation, and conformation.

RESULTS AND DISCUSSION

BIPY-CoCl₂ and BIPY-CuCl₂ SAMs both have 1:1 (M:BIPY) binding ratios. X-ray photoelectron spectroscopy (XPS) was employed to characterize the elemental composition of the BIPY-MCl₂ SAMs, using a total of nine samples. The atomic ratio of nitrogen to sulfur in the SAMs was determined to 1.8 ± 0.04 for BIPY-CoCl₂ SAMs and 1.8 ± 0.05 for BIPY-CuCl₂ SAMs, after correcting for attenuation of the sulfur signal due to the SAM thickness (Table 1; see *Supporting Information* for details). These values agree with the ratio measured for BIPY SAMs (1.79 ± 0.04),²⁴ demonstrating BIPY-CoCl₂ and BIPY-CuCl₂ SAMs have a similar ratio of nitrogen to sulfur relative to one another, and to uncomplexed BIPY SAMs. These observations indicate that the core structure of the SAMs remain unchanged upon metal complexation. The ratios of nitrogen to metal were 1.8 ± 0.14 for BIPY-CoCl₂ junctions and 1.9 ± 0.12 for BIPY-CuCl₂ junctions. The ratios strongly suggested that metal and the surface-bound 2,2'-bipyridine form a 1:1 BIPY-M²⁺ complex. Figure 1 shows the schematic images of the molecular junction (details about possible arrangements are described in the *Supporting Information*).^{25,26} The ratio of chloride to metal in these junctions was measured to be 1.8 ± 0.06 for BIPY-CoCl₂ junctions and 1.7 ± 0.16 for BIPY-CuCl₂ junctions. The substoichiometric Cl⁻ ion signal may be the result of an exchange between Cl⁻ and hydroxide upon contact of the SAM surface with trace water in the atmosphere, or in the ethanolic solution during the preparation of the SAM. The

species of anion could affect the change of energy levels in molecular junctions. The averaged $J(V)$ traces measured in BIPY-CoCl₂ and BIPY-CuCl₂ junctions which complexed with other halide anions, however, still showed no significant change in rectification (Figure S4).

BIPY-CoCl₂ junctions rectify current while BIPY-CuCl₂ junctions do not. Figures 2a and b show averaged $J(V)$ curves recorded on BIPY-CoCl₂ (548 traces on 26 junctions) and BIPY-CuCl₂ junctions (357 traces on 17 junctions) using the EGaIn measurement system. We report the rectification ratio, r^+ , as the ratio of current density at a given positive and negative bias ($r^+ = |J(+V)|/|J(-V)|$ at ± 1 V). As shown in Figure 2c, BIPY-CoCl₂ junctions rectified tunneling current with a rectification ratio (r^+) of 82.0. In BIPY-CuCl₂ junctions, however, we did not observe rectification ($r^+ = 1.0$ at ± 1.0 V).

The difference in rectification between BIPY-CoCl₂ and BIPY-CuCl₂ junctions is the result of a difference in their mechanisms of tunneling at negative bias. The largest difference in the magnitude of $J(V)$ occurs at negative bias, where BIPY-CuCl₂ junctions have a 33× larger tunneling current than BIPY-CoCl₂ junctions. At positive bias, the difference in the rate of charge tunneling between BIPY-CoCl₂ and BIPY-CuCl₂ is much smaller (the rate of tunneling is only ~2× larger in BIPY-CoCl₂ junctions).²² This observation—that complexation with copper increases the rate of tunneling at negative bias—led us to hypothesize that an additional conduction path (the HOMO) may be accessible at negative bias in BIPY-CuCl₂, but not in BIPY-CoCl₂ junctions. To assess this hypothesis, we measured the approximate HOMO energy levels of both the BIPY-CoCl₂ and BIPY-CuCl₂ junctions, using both cyclic voltammetry (CV) and ultraviolet photoelectron spectroscopy (UPS).

The HOMO of BIPY-CuCl₂, but not BIPY-CoCl₂, lies between the Fermi level of the two electrodes at -1.0 V. We first characterized the $\text{S}(\text{CH}_2)_{11}\text{BIPY}\text{-CoCl}_2$ and $\text{S}(\text{CH}_2)_{11}\text{BIPY}\text{-CuCl}_2$ SAMs on a Au^{TS} surface with CV (Figure 3) in 0.1 M aqueous KClO_4 electrolyte solutions, using a Pt counter electrode, and an Ag/AgCl reference electrode. The scan rate was 0.1 V/s. For BIPY-CoCl₂ SAMs, no redox peak was

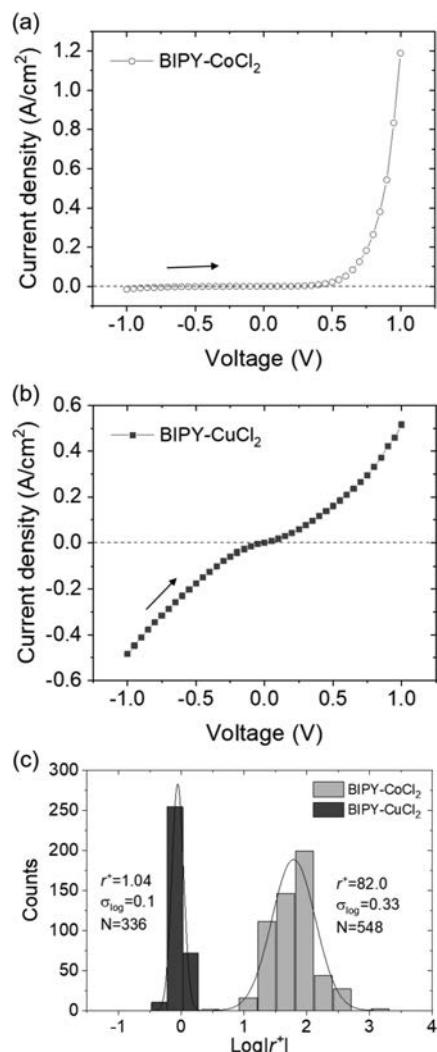


Figure 2. Averaged $J(V)$ traces of (a) BIPY-CoCl₂ and (b) BIPY-CuCl₂ junctions. (c) Histograms of $\log|r^*|$ of the BIPY-CoCl₂ and BIPY-CuCl₂ junctions at ± 1.0 V with a Gaussian fit to the histograms.

observed within the potential range of -0.2 – 1.0 V (vs Ag/AgCl in 1.0 M KCl (aq)). Outside of this potential window, new peaks appeared in the CV, which increased in peak height after each scan—and are thus assumed to be caused by damage to SAM (Figure S5). For this reason, we limited the voltage applied to the working electrode to -0.2 to $+1.0$ V. The BIPY-CuCl₂ SAMs, on the other hand, showed well-defined, reversible anodic ($E_{pa} = \sim 470$ mV) and cathodic ($E_{pc} = \sim 350$ mV) peaks within the -0.2 to $+1.0$ V window (Figure 3). We assume that these peaks are indicative of oxidation/reduction reactions between BIPY-Cu²⁺Cl₂ + e⁻ \leftrightarrow BIPY-Cu⁺Cl.²⁷ We observed a peak separation of approximately ~ 120 mV between the anodic and cathodic peaks. For an ideal, reversible redox reaction, the peak separation should be zero. Previous CV measurements on similar systems, however, are also characterized by finite values of peak separation.^{28,29} The redox process in the CV of the BIPY-CuCl₂ SAM is only partially reversible. We believe that a plausible origin of the irreversibility of the process is the dissociation of Cu²⁺ cations from the SAMs at rates comparable with the time scale of the CV experiments.

The energy level of the HOMO (E_{HOMO}) relative to vacuum can be estimated from the formal half-wave potential $E_{1/2}$ obtained from the cyclic voltammogram (eq 1).³⁰

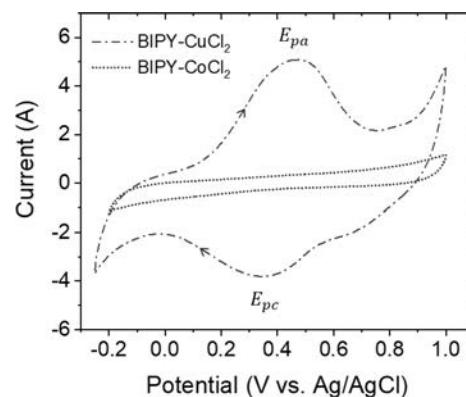


Figure 3. Cyclic voltammograms of SAMs of S(CH₂)₁₁BIPY-CoCl₂ and S(CH₂)₁₁BIPY-CuCl₂ on template-stripped Au. The measurements were run in aqueous 0.1 M KClO₄ solutions, using a Ag/AgCl reference electrode immersed in 1.0 M KCl (aq), and a Pt counter electrode. The SAMs were formed by immersion of a Au^{TS} surface (~ 1 cm²) in 1.0 mM ethanolic solutions of thiol-terminated molecules for 18 h under a nitrogen atmosphere. Metal ions were combined with the BIPY-terminated SAMs using an ethanolic solution of 10 mM metal(II) chloride under a nitrogen atmosphere for 18 h. The BIPY-CuCl₂ SAMs showed reversible anodic ($E_{pa} = \sim 470$ mV) and cathodic ($E_{pc} = \sim 350$ mV) peaks. The CV of the SAM of S(CH₂)₁₁BIPY is in Figure S6.

$$E_{\text{HOMO}} = E_{\text{abs,NHE}} - eE_{1/2,\text{NHE}} \quad (1)$$

where $E_{\text{abs,NHE}}$ is the absolute potential energy (-4.5 eV) of the normal hydrogen electrode (NHE), and $E_{1/2,\text{NHE}}$ is the $E_{1/2}$ vs the NHE. The $E_{1/2,\text{NHE}}$ of the S(CH₂)₁₁BIPY-CuCl₂ ($E_{1/2,\text{NHE},\text{BIPY-Cu}} = 0.60$ V) was 0.60 V, which gave a value for $E_{\text{HOMO},\text{BIPY-Cu}}$ of -5.10 eV. This value of E_{HOMO} for BIPY-CuCl₂ is -0.80 eV with respect to the Fermi level of Au and is thus accessible when -1.0 V is applied to the junction.

We also characterized the HOMO levels of Au^{TS}-S(CH₂)₁₁BIPY-CoCl₂ and Au^{TS}-S(CH₂)₁₁BIPY-CuCl₂ SAMs using UPS (Figure S6). Based on the UPS results, the HOMO level of BIPY-CoCl₂ was -1.11 eV, and that of BIPY-CuCl₂ was -0.86 eV, with respect to the Fermi level of Au^{TS}. The energy level of the HOMO for BIPY-CuCl₂ determined by UPS (-0.86 eV) was almost equal to the value obtained from CV (-0.80 eV). This result indicates that the HOMO level of the BIPY-CuCl₂ moiety is *involved* (accessible) in the tunneling process at -1.0 V. Because the HOMO level of BIPY-CoCl₂, however, is more than 1.0 eV below the Fermi level of the Au electrode, it is *not involved* (inaccessible) at -1.0 V. These results are consistent with our original hypothesis, that the accessibility of molecular orbitals at negative bias is directly correlated with the magnitude of current density at that bias and the overall rectification ratio.

Temperature Dependence of Tunneling Rates. Tunneling is a temperature-independent process, and evidence of temperature dependence in measurements of charge transport is generally associated with an electron hopping step. Electron hopping involves a formal redox process—however fleeting—and thus requires an energetically accessible molecular orbital. Thus, variable temperature measurements can be used to differentiate between a pure tunneling mechanism of charge transport and a mechanism that involves hopping. In these experiments, the EGaIn tip was gently brought into contact with the samples and the Au^{TS}-S(CH₂)₁₁BIPY-MCl₂//GaO_x/EGaIn junction was encapsulated by a photocurable polymer (Norland Optical Adhesive 61, Norland Products). Then, we gently lifted the syringe containing EGaIn to form encapsulated BIPY-MCl₂

junctions to allow for transfer to an environmentally controlled probe station (Lakeshore 1.5K Probe Station).³¹

Figure 4 shows the results of variable temperature measurements of charge transport across the BIPY-CoCl₂ and BIPY-

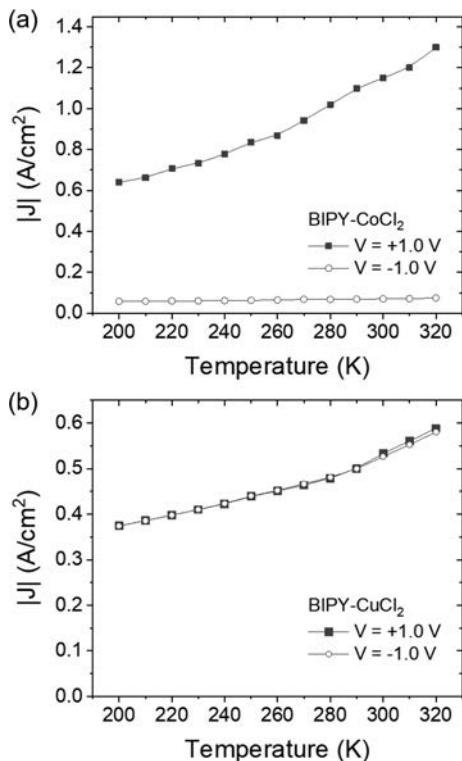


Figure 4. Values of current density measured at -1.0 V and $+1.0\text{ V}$ as a function of temperature in (a) BIPY-CoCl₂ junctions and (b) BIPY-CuCl₂ junctions. The variable temperature experiments were performed with a probe station (Lakeshore 1.5K Probe Station) in vacuum ($1 \times 10^{-9}\text{ bar}$).

CuCl₂ junctions at -1.0 V and $+1.0\text{ V}$. At $+1.0\text{ V}$, the values of current density in both BIPY-CuCl₂ and BIPY-CoCl₂ junctions change with temperature. This temperature dependence implies that there is an accessible molecular orbital at $+1.0\text{ V}$ (see Supporting Information for details). At -1.0 V , however, the current density in BIPY-CoCl₂ junctions does not change with temperature, while in BIPY-CuCl₂ junctions, it does. This result supports our previous CV and UPS data on the relative position of the HOMO levels of BIPY-CoCl₂ and BIPY-CuCl₂, as well as our mechanistic interpretation that the HOMO of BIPY-CuCl₂ is *accessible* at -1.0 V , while the HOMO of BIPY-CoCl₂ is *inaccessible*. Interestingly, we observed a similar dependence on temperature for the current densities at both positive and negative biases for BIPY-CuCl₂. This symmetry suggests a similar activation energy for the hopping step at either bias. We do not believe that this symmetry must necessarily exist for all junctions of this type. Moreover, in comparison to the uncomplexed BIPY junction,²³ we observed that the BIPY-CoCl₂ junction exhibited a difference in the dependence of current density on temperature (Figure S8).

Mechanism for Differences in Tunneling Currents between BIPY-MCl₂ Complexes. Figure 5a and 5b are schematic representations of the energy level diagrams of the BIPY-CoCl₂ and BIPY-CuCl₂ junctions at -1.0 V and $+1.0\text{ V}$, based on the results of our experiments. The HOMO and LUMO are centered on the BIPY-MCl₂ complex, and are thus

isolated from the alkyl chain (i.e., the rectangles representing these MOs do not span the entire width of the barrier) and are in close contact with the GaO_x/EGaIn electrode. As a result of their proximity to the GaO_x/EGaIn electrode, the HOMO and LUMO of the junction are coupled to the energy level of the GaO_x/EGaIn electrode, a phenomenon known as Fermi level pinning.¹⁵

Our mechanistic proposal suggests that the *inaccessible* HOMO of BIPY-CoCl₂ at -1.0 V and the *accessible* LUMO at $+1.0\text{ V}$ is the origin of the rectification in BIPY-CoCl₂ junctions. We believe that a MO that is energetically accessible, and is localized at one end of the molecule, reduces the width of the barrier by approximately the size of the MO (which in this system, is located on the BIPY-MCl₂ complex). This reduction in barrier width is concomitant with an increased rate of charge transport.

The Fowler–Nordheim (FN) plots in Figure 6 distinguish between direct tunneling and FN tunneling across the BIPY-MCl₂ junctions and support our mechanistic interpretation of charge transport in these systems. BIPY-CoCl₂ and BIPY-CuCl₂ show distinctly different graphical features in the FN plots. Most notably, while BIPY-CuCl₂ junctions show predominantly direct tunneling throughout the entire negative bias window, BIPY-CoCl₂ junctions have a transition in the conduction mechanism from direct tunneling to FN tunneling at both negative and positive bias. That is, above a threshold voltage (known as the transition voltage),³² they show a clear linear dependence of $\ln(J/V^2)$ on $1/V$.

For BIPY-CoCl₂ junctions, at -1.0 V , the HOMO level (-4.41 eV) is lower in energy than the Fermi level of the Au electrode (-4.3 eV) and is thus *not* involved in the charge transfer process. The FN plot of the BIPY-CoCl₂ junctions shows that, at -1.0 V , the conduction mechanism of BIPY-CoCl₂ junctions is FN tunneling (Figure 6a). These two results are consistent with the energy level diagram in Figure 5a. At positive bias ($+1.0\text{ V}$), the conduction mechanism for BIPY-CoCl₂ is also FN tunneling. This result supports our interpretation of the variable temperature experiments, because conventional understanding of band structure (which admittedly may not be complete or accurate) suggests that, for a system in which the LUMO is localized adjacent to the ungrounded electrode, FN tunneling at $+1.0\text{ V}$ is impossible without an accessible MO. That is, FN tunneling at $+1.0\text{ V}$ strongly suggests that the LUMO of the BIPY-CoCl₂ moiety is energetically accessible for charge transfer at $+1.0\text{ V}$ (Figure 6b).

For BIPY-CuCl₂ junctions, the results of our CV, UPS, and variable temperature experiments all suggest that the HOMO is energetically accessible at -1.0 V . The FN plot in Figure 6b shows only direct tunneling between 0 and -1.0 V , which is consistent with the energy diagram in Figure 5a involving an accessible HOMO. This mechanistic interpretation is also consistent with the higher rate of charge transport in BIPY-CuCl₂ junctions than in BIPY-CoCl₂ junctions, at -1.0 V . Again, we believe that the accessible HOMO in the BIPY-CuCl₂ junction decreases the width of the barrier. At positive bias, the FN plot for the BIPY-CuCl₂ junctions (Figure 6b) shows a transition from direct tunneling to FN tunneling close to the $+1.0\text{ V}$ region (the transition becomes clear when the applied bias is increased to $+1.5\text{ V}$; see Supporting Information for details). This result is consistent with the energy diagram in Figure 5b, which involves an accessible LUMO at $+1.0\text{ V}$. As is the case at negative bias, due to the accessible LUMO of BIPY-CuCl₂ at $+1.0\text{ V}$, the barrier width across the junction is reduced,

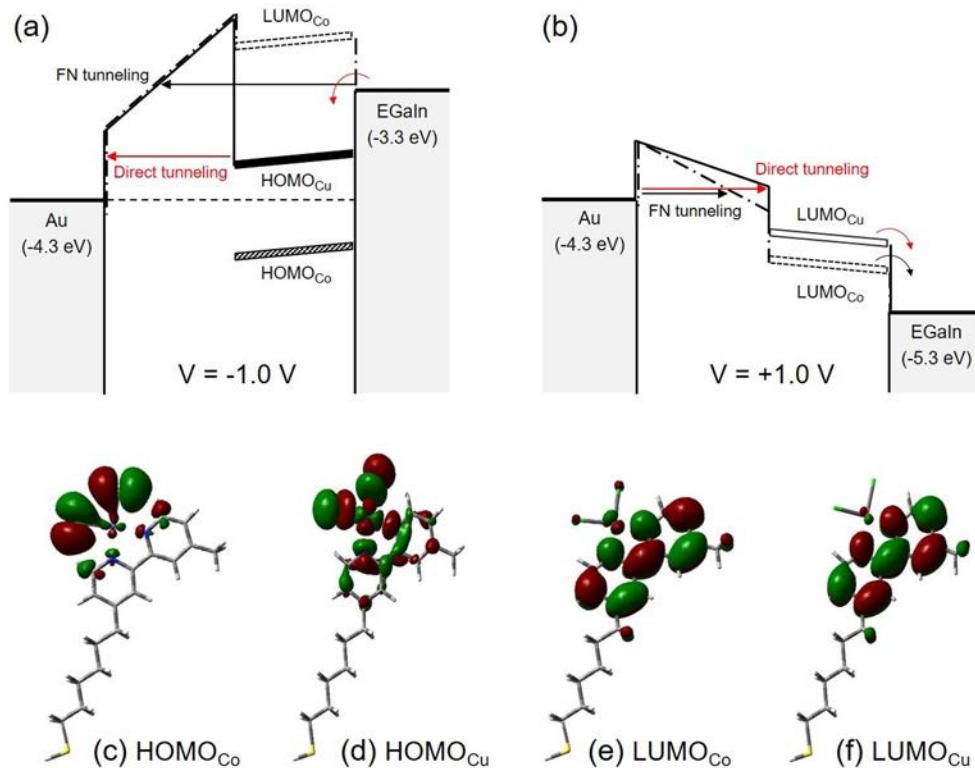


Figure 5. Schematic representations of the proposed energy level diagrams of BIPY-CoCl₂ and BIPY-CuCl₂ junctions (a) at -1.0 V and (b) at $+1.0$ V bias. The bottom template-stripped Au electrode is grounded, and we biased the GaO_x/EGaIn top electrode. The black dash-dotted and black solid lines represent the potential barrier (heights and widths) in BIPY-CoCl₂ and BIPY-CuCl₂ junctions, respectively. We do not know the exact values of LUMOs or the HOMO–LUMO gap. In real molecular junctions, the potential drops near the metal/molecule interfaces are greater than those within the molecule (i.e., the potential barrier is not a straight line). Here, we use a straight line for visual convenience. Also, the black and red arrows indicate the tunneling path of charges across the BIPY-CoCl₂ and BIPY-CuCl₂ junctions, respectively. The black dashed line in (a) was added to emphasize the position of the Fermi level of Au with respect to the HOMOs of conducting moieties. Geometry of molecular orbitals calculated by density functional theory (DFT): HOMO of (c) BIPY-CoCl₂ and (d) BIPY-CuCl₂ and LUMO of (e) BIPY-CoCl₂ and (f) BIPY-CuCl₂.

and the rate of charge transport is nearly the same as that at -1.0 V. Thus, we suppose that the *accessible* HOMO at -1.0 V and the *accessible* LUMO at $+1.0$ V are why no rectification is observed in BIPY-CuCl₂ junctions.³⁰

These results, taken together, suggest that, at -1.0 V, the increased rate of tunneling ($\times 33$) of SAMs of BIPY-CuCl₂ compared to BIPY-CoCl₂ is the result of the presence of an accessible HOMO in the BIPY-CuCl₂ junction (Figure 5a and 5b); the corresponding orbital is not accessible in the BIPY-CoCl₂ junction. For BIPY-CuCl₂ junctions, the mechanism consists of a hopping step to the BIPY-CuCl₂ unit and then a direct tunneling step across the alkyl chain. By contrast, for BIPY-CoCl₂ junctions, due to the inaccessibility of the HOMO level, the mechanism consists of FN tunneling across the entire molecule. At $+1.0$ V, the decreased rate of tunneling ($\times 2$) of SAMs of BIPY-CuCl₂ compared to BIPY-CoCl₂ arises principally from differences in the barrier height at the alkyl/BIPY-MCl₂ interfaces. The mechanism of tunneling in the BIPY-CoCl₂ junction consists of FN tunneling across the alkyl chain (therefore we infer a smaller width of the tunneling barrier), followed by a hopping step to the EGaIn electrode. The mechanism of tunneling in the BIPY-CuCl₂ junction consists of direct tunneling across the alkyl chain followed by a hopping step to the EGaIn electrode.

Other BIPY-M complexes fit the trend. To support our hypothesis (i.e., the relative position of the HOMOs in BIPY-MCl₂ junctions with respect to the Fermi level of Au electrode

determines the occurrence of rectification and the mechanism of tunneling in BIPY-MCl₂ junctions), using the EGaIn junction, we characterized the rectification ratio in BIPY-MCl₂ junctions with other first row transition metals (M = Cr, Mn, Fe, Ni). According to our proposed mechanism, SAMs with a HOMO lower in energy than the Fermi level of Au electrode should rectify current because only at $+1.0$ V is there an accessible molecular orbital (the LUMO) on the BIPY-MCl₂ moiety and the width of the tunneling barrier at $+1.0$ V is smaller than that at -1.0 V. Those with HOMOs higher in energy than the Fermi level of Au should not rectify current because there is an accessible molecular orbital on the BIPY-MCl₂ moiety at both negative and positive bias and the widths of tunneling barriers at ± 1.0 V are almost identical. In agreement with our proposed mechanism, the SAMs with HOMO energy levels higher than the Au surface—BIPY-MnCl₂ (-1.14 eV), BIPY-FeCl₂ (-1.10 eV), BIPY-CoCl₂ (-1.11 eV), and BIPY-NiCl₂ (-1.11 eV) junctions—rectified current (Figure 7), while the SAMs with HOMO energies that are lower than the Au surface—BIPY-CrCl₂ (-0.96 eV) and BIPY-CuCl₂ (-0.86 eV) junctions—did not rectify current (Figure 7).

Moreover, the junctions that rectified current displayed FN tunneling at -1.0 V (see Supporting Information, Figure S13), while the junctions that did not rectify current, displayed direct tunneling (Figure S13). These results show remarkable consistency with our analysis of the BIPY-CoCl₂ and BIPY-CuCl₂ junctions. The Supporting Information contains details.

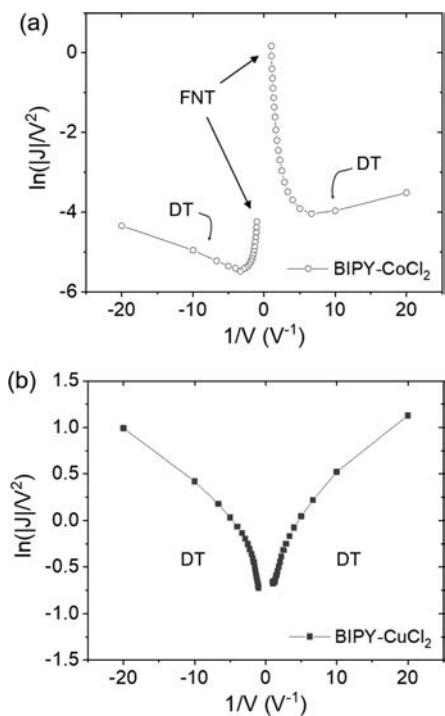


Figure 6. (a) Fowler–Nordheim (FN) plots for BIPY- CoCl_2 , derived from the current-density data in Figure 2a. A transition in conduction mechanism is observed, beginning with direct tunneling (DT) at lower voltages (logarithmic growth) to FN tunneling (FNT) at higher voltages (linear decay). (b) Fowler–Nordheim (FN) plots for BIPY- CuCl_2 , derived from the current-density data in Figure 2b.

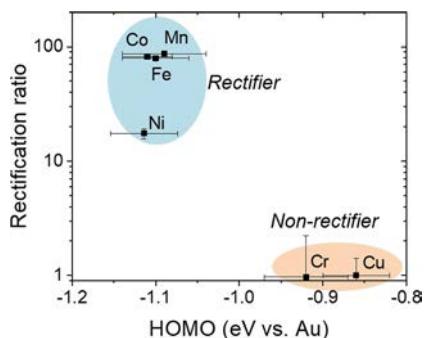


Figure 7. Rectification ratio versus energy of HOMO levels (measured by UPS) of BIPY- MCl_2 junctions.

CONCLUSIONS

In summary, this work describes the mechanism of charge tunneling for $\text{Au}^{\text{TS}}/\text{S}(\text{CH}_2)_{11}\text{BIPY-MCl}_2//\text{GaO}_x/\text{EGaIn}$ junctions, where $\text{M} = \text{Co}$ or Cu . The complexation of metal with 2,2'-bipyridine-terminated SAMs changed the nature of rectification (e.g., rectifier or nonrectifier), and the mechanism of tunneling (FN tunneling or direct tunneling) at ± 1.0 V. BIPY- CoCl_2 junctions rectify current ($r^+ = 82.0$) at ± 1.0 V, while BIPY- CuCl_2 junctions do not ($r^+ = 1.0$). We assert that the rectification observed in BIPY- CoCl_2 junctions originates from the electronic structure of the molecules.

Based on CV, UPS measurements, DFT calculations, electrical characterization, and variable temperature experiments, this study reaches four main conclusions:

- (i) BIPY- CoCl_2 junctions rectify current because only at a positive bias (+1.0 V) is there an accessible molecular

orbital (the LUMO) on the BIPY- CoCl_2 moiety, while at negative bias (-1.0 V) neither the energy level of the HOMO nor that of the LUMO lie between the Fermi levels of the electrodes.

- (ii) BIPY- CuCl_2 junctions do not rectify current because there is an accessible molecular orbital on the BIPY- CuCl_2 moiety at both negative and positive bias (the HOMO is accessible at negative bias, and the LUMO is accessible at positive bias).
- (iii) The difference in accessibility of the HOMO at -1.0 V causes charge transfer—at negative bias—to take place via Fowler–Nordheim tunneling in BIPY- CoCl_2 junctions, and via direct tunneling in BIPY- CuCl_2 junctions. This difference in tunneling mechanism at negative bias is the origin of the difference in rectification ratio between BIPY- CoCl_2 and BIPY- CuCl_2 junctions.
- (iv) The mechanistic interpretation is also supported by expanding the types of metals in these BIPY- MCl_2 junctions, where $\text{M} = \text{Cr}, \text{Mn}, \text{Fe}$, and Ni . BIPY- M junctions with a low-lying HOMO with respect to the Fermi level of Au ($\text{M} = \text{Cr}$ and Cu) rectified current, and those with high-lying HOMOs ($\text{M} = \text{Mn}, \text{Fe}, \text{Co}$, and Ni) did not rectify current.

Reliable rules or guidelines for relationships between molecular structure and charge transport are uncommon in the field of molecular electronics. Through a detailed mechanistic analysis of rectification and charge transport in BIPY- MCl_2 junctions, this work isolates the roles of molecular orbitals on the mechanisms of conductivity through molecular junctions. Furthermore, the mechanistic details described in this work are directly applicable to other molecular junctions, particularly those classified as having an insulating alkane chain terminated by a conductive moiety (i.e., conjugated aromatic group), and specifically how the frontier molecular orbitals influence the mechanism of conduction.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.0c12641>.

Nomenclature, details of experimental methods, statistical analysis, mechanism of tunneling, and general information (PDF)

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Notes

The authors declare no competing financial interest.

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