

1 **Short title: Host-induced germination of root parasitic plants**

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5 **The mechanism of host-induced germination in root parasitic plants**

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14 **One-sentence summary:**

15 A family of receptors that evolved in the Orobanchaceae family enable seeds of parasitic plants
16 to sense strigolactones from a nearby host root and germinate.

17

18 **Author Contributions**

19 DCN wrote the manuscript.

20

21 **Abstract**

22 Chemical signals known as strigolactones were discovered more than 50 years ago as host-
23 derived germination stimulants of parasitic plants in the Orobanchaceae. Strigolactone-
24 responsive germination is an essential adaptation of obligate parasites in this family, which
25 depend upon a host for survival. Several species of obligate parasites, including witchweeds
26 (*Striga*, *Alectra* spp.) and broomrapes (*Orobanche*, *Phelipanche* spp.), are highly destructive
27 agricultural weeds that pose a significant threat to global food security. Understanding how
28 parasites sense strigolactones and other host-derived stimulants will catalyze the development
29 of innovative chemical and biological control methods. This review synthesizes the recent
30 discoveries of strigolactone receptors in parasitic Orobanchaceae, their signaling mechanism,
31 and key steps in their evolution.

32 **Strigolactones, multifaceted signals in plants and soil**

33 The seed of many parasitic species in the Orobanchaceae can lie dormant for years or decades
34 in soil until chemical signals from a nearby host root activate their germination. This remarkable
35 adaptation is critical for obligate parasites such as witchweeds (*Striga*, *Alectra* spp.) and
36 broomrapes (*Orobanche*, *Phelipanche* spp.), whose survival depends upon attaching to a host
37 soon after germination. Witchweeds and broomrapes are major constraints to crop production
38 and food security in sub-Saharan Africa, Asia, and the Mediterranean region (Xie et al., 2010).
39 The need to develop chemical or biological solutions for this multibillion-dollar agricultural
40 problem has driven a quest to understand how host-triggered germination occurs. Several
41 questions are prominent: 1) What host-derived cues are detected by parasite seeds? 2) How
42 are those cues perceived and translated into a germination response? and 3) How did this
43 adaptation evolve and continue to evolve?

44

45 Answers to the first question began in 1966 with the discovery of strigol, a potent germination
46 stimulant of *Striga lutea* that was isolated from cotton (*Gossypium*) root exudates (Cook et al.,
47 1966). In the following decades, more than 20 other parasite germination stimulants with similar
48 chemical structures to strigol have been identified from root exudates (Yoneyama et al., 2018b;
49 Bouwmeester et al., 2020). These molecules compose the family of strigolactones (SLs). Key
50 structural features of SLs and their major classifications are discussed in Box 1.

51

52 The structure and stereochemistry of SLs often influences their activity as parasite germination
53 stimulants. For example, *Striga gesnerioides* selectively germinates in response to orobanchol-
54 type SLs but its germination is inhibited by strigol-type SLs (Nomura et al., 2013). Many
55 synthetic SL analogs have been developed during the search for inexpensive, stable
56 compounds that can trigger suicidal germination of parasites in the absence of host. One such
57 analog, GR24, is commonly used to study SL signaling and SL roles in plant development.
58 However, GR24 is frequently used as a racemic mixture (*rac*-GR24) of a 2'R configured
59 molecule known as GR24^{5DS} or (+)-GR24, and its unnaturally configured 2'S enantiomer,
60 GR24^{ent-5DS} or (-)-GR24 (Figure 1). These molecules activate two different pathways (Scaffidi et
61 al., 2014; Flematti et al., 2016). In *Arabidopsis thaliana*, GR24^{5DS} signals through D14, while
62 GR24^{ent-5DS} signals predominantly through KAI2.

63

64 Nearly 40 years after the isolation of strigol, an explanation emerged for why plants exude SLs.
65 SLs promote hyphal branching, metabolic activity, and hyphopodium formation of arbuscular

66 mycorrhizal (AM) fungi, enhancing the ability of the fungi to colonize roots (Akiyama et al., 2005;
67 Besserer et al., 2008; Gomez-Roldan et al., 2008; Kobae et al., 2018). Plants supply AM fungi
68 with carbon in exchange for mineral nutrients. When inorganic phosphate or nitrogen availability
69 is low, symbiosis with AM fungi is particularly beneficial and SL production increases
70 (Yoneyama et al., 2007; López-Ráez et al., 2008; Umehara et al., 2008). A few years after the
71 discovery that SLs affect growth of AM fungi, it was found that SLs are not just signals to the
72 rhizosphere but are also plant hormones that regulate the outgrowth potential of axillary buds, or
73 tillers (Gomez-Roldan et al., 2008; Umehara et al., 2008). Diverse roles for SLs in plant
74 development have since been identified through studies of SL biosynthesis and signaling
75 mutants and application of SL analogs. In addition to shoot branching, SLs regulate stem
76 elongation, auxin transport, root elongation, leaf shape and angle, leaf senescence, secondary
77 growth of the cambium, defense against pathogens and nematodes, stomatal closure, and
78 drought tolerance (Gomez-Roldan et al., 2008; Umehara et al., 2008; Agusti et al., 2011;
79 Kapulnik et al., 2011; Ruyter-Spira et al., 2011; Scaffidi et al., 2013; Shinohara et al., 2013; Bu
80 et al., 2014; Van Ha et al., 2014; Yamada et al., 2014; Lauressergues et al., 2015; Soundappan
81 et al., 2015; Ueda and Kusaba, 2015; Bennett et al., 2016; Lahari et al., 2019; Nasir et al., 2019;
82 Kalliola et al., 2020; Li et al., 2020; Shindo et al., 2020). It may be that non-canonical SLs (see
83 Box 1) function as plant hormones, whereas canonical SLs have external roles primarily
84 (Yoneyama et al., 2018b). In tomato (*Solanum lycopersicum*) at least, loss of both SL types
85 causes obvious developmental phenotypes, but loss of canonical SL production alone does not
86 (Wakabayashi et al., 2019).

87

88 **Strigolactone perception in non-parasitic angiosperms**

89 To understand how SLs are recognized by the seed of root parasites, it is useful to first discuss
90 how SLs are perceived as hormones by non-parasitic plants. SLs are recognized by DWARF14
91 (D14)/DECREASED APICAL DOMINANCE2 (DAD2)/RAMOSUS3 (RMS3), which is both an
92 α/β -hydrolase protein and a receptor (Arite et al., 2009; Hamiaux et al., 2012; Waters et al.,
93 2012; de Saint Germain et al., 2016; Yao et al., 2016). SL causes D14 to interact with MORE
94 AXILLARY GROWTH (MAX2)/DWARF3 (D3) (Hamiaux et al., 2012; Zhao et al., 2015; Yao et
95 al., 2016). As an F-box protein, MAX2/D3 confers substrate specificity to an SCF (Skp1, Cullin,
96 F-box) E3 ubiquitin ligase complex. SCF complexes attach polyubiquitin chains to target
97 proteins, which are then rapidly degraded by the 26S proteasome. The targets of D14-SCF^{MAX2}
98 are a subset of proteins in the SUPPRESSOR OF MAX2 1 (SMAX1)-LIKE (SMXL) family that
99 are known as DWARF53 (D53) in rice (*Oryza sativa*) and petunia (*Petunia hybrida*), or SMXL6,

100 SMXL7, and SMXL8 in *Arabidopsis thaliana*. SL activates association of D14 with these targets.
101 Without D14, MAX2 is likely to have little or no interaction with SMXLs (Jiang et al., 2013; Zhou
102 et al., 2013; Soundappan et al., 2015; Wang et al., 2015; Liang et al., 2016; Shabek et al., 2018;
103 Lee et al., 2020).

104

105 The SL signaling mechanism is analogous to gibberellin, auxin, and jasmonate signaling
106 mechanisms. In each of these pathways, hormone perception triggers SCF-mediated
107 degradation of protein targets that indirectly regulate gene expression through association with
108 transcription factors. The targets of auxin and jasmonate signaling also interact directly or
109 indirectly with transcriptional corepressors in the TOPLESS/TOPLESS-RELATED (TPL/TPR)
110 family. Thus, target degradation triggers downstream transcriptional responses (Blázquez et al.,
111 2020). The prevailing hypothesis for SL signaling is that SMXL proteins function similarly as
112 transcriptional co-repressors. SMXL proteins have a conserved C-terminal EAR motif that
113 mediates interactions with TPL/TPR proteins (Jiang et al., 2013; Soundappan et al., 2015;
114 Wang et al., 2015; Ma et al., 2017). In rice, D53 also interacts with the transcription factor
115 IDEAL PLANT ARCHITECTURE1 (IPA1) to regulate expression of *TEOSINTE BRANCHED1*
116 (*OsTB1*), *D53* itself, and other downstream genes (Lu et al., 2013; Song et al., 2017). A similar
117 mechanism is found in wheat. TaD53 interacts with two homologs of IPA1, SQUAMOSA
118 PROMOTER BINDING PROTEIN-LIKE17 (SPL17) and SPL3, to repress *TB1* expression (Liu et
119 al., 2017). Partnering with SPL transcription factors may be specific to monocots, however
120 (Bennett et al., 2016; Wang et al., 2020a). Other transcription factors that presumably associate
121 with SMXL proteins await discovery. Unexpectedly, the model of SMXL function has recently
122 been extended to include SMXL proteins themselves as transcription factors (Wang et al.,
123 2020a). *Arabidopsis* SMXL6 can bind DNA directly to regulate its own expression as well as that
124 of SMXL7 and SMXL8. In addition, SMXL6 works with unknown partners to regulate expression
125 of *BRANCHED1* (*BRC1*), *TCP DOMAIN PROTEIN 1* (*TCP1*), and *PRODUCTION OF*
126 *ANTHOCYANIN PIGMENT 1* (*PAP1*), which encode key regulators of downstream growth
127 responses to SL. Of the 401 SL-responsive genes that have been identified in *Arabidopsis*
128 seedlings, 28 genes are directly bound by SMXL6 (Wang et al., 2020a). Putatively, other SMXL
129 family proteins function as transcription factors too, adding an unusual twist to the typical model
130 of F-box mediated phytohormone signaling mechanisms.

131

132 **Activation of the strigolactone receptor D14**

133 D14 has a strictly conserved Ser-His-Asp catalytic triad that is a common feature of α/β -
134 hydrolase proteins. D14 hydrolyzes SL slowly, and this activity requires an intact catalytic triad
135 (Hamiaux et al., 2012; Seto et al., 2019). Although the byproducts of SL hydrolysis are not
136 thought to be active, catalytic triad mutants demonstrate that hydrolysis is important for D14
137 signaling activity, with the notable exception of a D218A substitution (Hamiaux et al., 2012; Seto
138 et al., 2019). During SL hydrolysis the methylbutenolide D-ring is opened and cleaved through
139 nucleophilic attack by the catalytic Ser, and ultimately transferred to the catalytic His residue (de
140 Saint Germain et al., 2016; Yao et al., 2016). A crystal structure of Arabidopsis D14 (AtD14) in
141 complex with rice D3 (OsD3) and Arabidopsis Skp1 (ASK1) led to the proposal that the opened
142 D-ring bridges the Ser and His residues in the activated form of D14, forming a covalently linked
143 intermediate molecule (CLIM) (Yao et al., 2016). However, further analyses of the electron
144 density at this site have challenged this interpretation. An iodide ion has been proposed to
145 explain the electron density present in the pocket of activated D14, but a methylbutenolide-His
146 complex seems to provide an even better fit (Carlsson et al., 2018; Bürger and Chory, 2020a).
147 Covalent modification of the catalytic His residue by the D-ring is supported by tandem mass
148 spectrometry analysis of D14 orthologs from Arabidopsis, pea (*Pisum sativum*), and rice (de
149 Saint Germain et al., 2016; Yao et al., 2016; Yao et al., 2018b). Eventually, the D-ring can be
150 released, enabling a new round of SL hydrolysis at least *in vitro*. However, D14 is degraded
151 within a few hours after SL treatment in Arabidopsis and rice (Chevalier et al., 2014; Hu et al.,
152 2017). If this is a common feature of angiosperms, D14 proteins that have a slow rate of D-ring
153 release, such as RMS3 in pea, are likely to function as “one-shot” enzymes (de Saint Germain
154 et al., 2016).

155
156 Pea and rice D14 proteins show biphasic SL hydrolysis activity *in vitro* consisting of a brief
157 “burst” phase of rapid hydrolysis followed by a “plateau” phase of slow hydrolysis (de Saint
158 Germain et al., 2016; Shabek et al., 2018). Putatively, the plateau phase is due to the rate at
159 which the enzyme can discharge the SL byproducts (especially the D-ring) and reset. However,
160 Arabidopsis D14 has not shown a biphasic hydrolysis response to the synthetic SL analog
161 GR24 (Box 1; Seto et al., 2019). It is not clear whether this difference reflects the species origin
162 of the D14 or the ligands used. These observations of D14 enzymatic activity are potentially
163 complicated by the use of fluorogenic reporter molecules and SL analogs whose byproducts
164 after hydrolysis may dissociate at different rates than those of natural SLs. The ratio of enzyme
165 to ligand also affects the ability to observe the biphasic response (Shabek et al., 2018). Finally,
166 the presence of D3 and D53 can influence the *in vitro* rates of SL hydrolysis by D14, potentially

167 by stabilizing D14 in different conformations (Shabek et al., 2018). Notably, a major
168 conformational change to D14 and a covalently attached SL byproduct have only been
169 observed when D3 was co-crystallized (Yao et al., 2016). It is unknown how association of D14
170 with SCF^{MAX2} and SMXL proteins influences its reaction kinetics *in vivo*. It is also currently under
171 debate which stage of the process of SL binding and hydrolysis by D14 activates signal
172 transduction (de Saint Germain et al., 2016; Yao et al., 2016; Shabek et al., 2018; Seto et al.,
173 2019). For an excellent critical discussion of the several models for D14 activation that have
174 been proposed, see (Bürger and Chory, 2020a).

175

176 **The mechanism of karrikin perception**

177 Remarkably, the SL signaling pathway is an evolutionary innovation of a pathway that mediates
178 responses to karrikins (KARs) (see excellent review by Machin et al., 2020). KARs are a class
179 of butenolide molecules found in smoke, biochar, and soil after a fire that act as plant growth
180 regulators (Figure 1) (Flematti et al., 2004; Kochanek et al., 2016; Hrdlička et al., 2019). The
181 capacity to respond to KARs is likely to be widespread among angiosperms, and is not
182 restricted to species from fire-prone ecosystems (Nelson et al., 2012).

183

184 KAR signaling is similar to SL signaling in several ways. KAR responses are mediated by
185 SCF^{MAX2} and a D14 paralog known as KARRIKIN INSENSITIVE 2 (KAI2), HYPOSENSITIVE TO
186 LIGHT (HTL), or D14-LIKE (D14L) (Nelson et al., 2011; Sun and Ni, 2011; Waters et al., 2012).
187 SMAX1 and SMXL2, which are paralogs of D53-type SMXL proteins, act downstream of MAX2
188 and KAI2 (Stanga et al., 2013; Stanga et al., 2016). Upon activation, KAI2 interacts with
189 SCF^{MAX2} and SMAX1 or SMXL2, triggering polyubiquitination and degradation of the SMXL
190 proteins (Figure 2) (Khosla et al., 2020a; Zheng et al., 2020; Wang et al., 2020b). This pathway
191 regulates many processes in plants, including seed germination, hypocotyl or mesocotyl
192 elongation, cotyledon expansion, seedling responses to light, leaf shape, cuticle development,
193 drought tolerance, root skewing, root hair density and elongation, and the capacity for AM fungal
194 symbiosis (Shen et al., 2007; Nelson et al., 2009; Nelson et al., 2010; Sun and Ni, 2011; Stanga
195 et al., 2013; Gutjahr et al., 2015; Soundappan et al., 2015; Stanga et al., 2016; Li et al., 2017;
196 Swarbreck et al., 2019; Villaécija-Aguilar et al., 2019; Carbonnel et al., 2020; Choi et al., 2020;
197 Zheng et al., 2020)

198

199 The details of KAI2 activation are less understood than for D14, partly because it remains
200 unclear what ligand(s) KAI2 perceives. Genetic studies clearly show that KAI2 is necessary for

201 KAR responses (Waters et al., 2012). There is also ample biochemical evidence that KAI2 from
202 several species can bind KAR₁ *in vitro* (Guo et al., 2013; Kagiya et al., 2013; Toh et al.,
203 2014; Xu et al., 2016; Lee et al., 2018; Bürger et al., 2019). These observations have supported
204 the idea that KAI2 is a KAR receptor. However, other data strongly suggest that KAR₁ requires
205 metabolism by plants to become a ligand for KAI2. First, the affinity of KAI2 for KAR₁ *in vitro* is
206 typically one to two orders of magnitude lower than the biologically effective concentrations.
207 Second, KAI2-KAR₁ crystal structures from two species have not shown a consistent orientation
208 of KAR₁ in the ligand-binding pocket, so it is unclear which, if either, captures a true binding
209 pose (Guo et al., 2013; Xu et al., 2016). Third, in multiple assays *in vitro* and *in vivo*, KAI2 is
210 unresponsive to KARs but is activated by GR24^{ent-5DS}, which has a stereochemical configuration
211 not found in natural SLs (see Box 1; Figure 1; Flematti et al., 2016). Differential scanning
212 fluorimetry (DSF) can detect shifts in the melting temperature (T_m) of a protein in response to a
213 candidate ligand, and has emerged as a very useful tool for studying activation of D14 *in vitro*
214 (Hamiaux et al., 2012; Abe et al., 2014; Hamiaux et al., 2018; Seto et al., 2019; Yasui et al.,
215 2019). In DSF assays of AtKAI2, GR24^{ent-5DS} but not KAR₁, KAR₂, or GR24^{5DS} triggers a T_m
216 decrease (Waters et al., 2015; Yao et al., 2018a). Corresponding with this putative readout of
217 KAI2 activation, yeast two-hybrid interactions between KAI2 and SMAX1 are stimulated by
218 GR24^{ent-5DS} but not by KAR₁, KAR₂, or GR24^{5DS} (Khosla et al., 2020a). KAI2 can pull down
219 SMAX1 and SMXL2 expressed in protoplasts in the presence of GR24^{ent-5DS}. However, KAI2
220 does not pull down SMXL2 in the presence of KAR₁ (Wang et al., 2020b). Similar results are
221 obtained for KAI2-MAX2 interactions. Yeast two-hybrid interactions between KAI2 and MAX2
222 are weakly enhanced in the presence of *rac*-GR24 (a racemic mixture of GR24^{5DS} and GR24^{ent-}
223 ^{5DS}), but not KAR₁. *In vitro* pulldown interactions between KAI2 and MAX2 are stimulated by *rac*-
224 GR24 but not KAR₁ (Xu et al., 2018). Finally, KAR₁ treatments require several-fold longer
225 incubations than GR24^{ent-5DS} to stimulate polyubiquitination and degradation of SMXL2 protein in
226 Arabidopsis seedlings (Wang et al., 2020b). Therefore, KAR₁ and GR24^{ent-5DS} are not equivalent
227 agonists of KAI2; while GR24^{ent-5DS} is “ready-to-go,” KAR₁ clearly is not. It is currently
228 hypothesized that the normal function of KAI2 in plants is not transducing KAR signals, but
229 sensing an unknown endogenous signal known as KAI2 ligand (KL) (Nelson et al., 2011; Conn
230 and Nelson, 2015; Waters et al., 2015; Sun et al., 2016). It may be that the hydrolyzable D-ring
231 of GR24^{ent-5DS} makes it a better substitute for KL than unmetabolized KARs.

232

233 **Evolution of strigolactone perception in angiosperms**

234 SL biosynthesis has an ancient origin in land plants. The complete set of SL biosynthetic
235 pathway enzymes is found in all major land plant lineages, with the possible exception of
236 hornworts. *Physcomitrium* (formerly *Physcomitrella*) *patens* and *Marchantia polymorpha*, which
237 are used as models of basally diverged land plants, have lost one or more SL biosynthesis
238 genes, but this is not representative of bryophytes. (Walker et al., 2019). Sampling for SLs
239 outside of angiosperms is still somewhat limited, but orobanchol-type SLs have been reported in
240 the lycophyte *Selaginella moellendorffii* and gymnosperms (Yoneyama et al., 2018a; Yoneyama
241 et al., 2018b). The moss *P. patens* is reported to only produce carlactone (the biosynthetic
242 precursor of carlactonoic acid and SLs), and not canonical SLs (Alder et al., 2012; Seto et al.,
243 2014; Yoneyama et al., 2018b). However, an unknown SL-like signal(s) derived from carlactone
244 seems likely. This signal inhibits protonemal growth of moss and can also stimulate germination
245 of the parasitic plant *Phelipanche ramosa* (Proust et al., 2011; Lopez-Obando et al., 2020).

246

247 The emergence of SL signaling in land plants is less clear (Walker et al., 2019; Blázquez et al.,
248 2020; Machin et al., 2020). In terms of MAX2-associated receptors, *KAI2* orthologs are found in
249 early diverging land plant lineages, such as *Physcomitrium*, *Selaginella*, and *Marchantia*.
250 Phylogenetic analysis indicates that *D14* arose from an early duplication of *KAI2*, but clear *D14*
251 orthologs are apparent only in seed-bearing plants (spermatophytes, i.e. gymnosperms and
252 angiosperms) (Bythell-Douglas et al., 2017). Similar to *D14*, the proteins targeted by SL
253 signaling emerged during later phases of land plant evolution. *SMXL* genes are found
254 throughout land plants. However, *SMAX1* orthologs emerged in spermatophytes, and *D53*
255 orthologs (e.g. *SMXL6*, *SMXL7*, and *SMXL8*) evolved later, after the angiosperm lineage
256 diverged (Walker et al., 2019). Divergence within the *SMXL* family may be associated with
257 specialized functions in plant development and the co-evolution of receptor-target pairs (Waters
258 et al., 2017; Blázquez et al., 2020). Notably, *SMAX1*-type and *D53*-type *SMXL* proteins typically
259 have different roles. For example, in *Arabidopsis* *SMAX1* and its paralog *SMXL2* regulate
260 germination and hypocotyl elongation, whereas *SMXL6*, *SMXL7*, and *SMXL8* regulate axillary
261 bud outgrowth (Soundappan et al., 2015; Stanga et al., 2016). However, some developmental
262 processes, such as rice mesocotyl elongation, are regulated by both types of *SMXL* proteins
263 (Zheng et al., 2020).

264

265 Although the genetic components of canonical SL signaling are angiosperm-specific, it is
266 plausible that an analogous SCF^{MAX2} -dependent signaling mechanism mediates SL responses
267 in other land plant clades. However, recent studies provide support for a *KAI2-SCF^{MAX2}*

268 signaling system that mediates responses to KL but not necessarily SL in *Marchantia*
269 *polymorpha* and *Physcomitrium patens*. *Marchantia polymorpha* has a relatively simple set of
270 genes for this pathway, with only two *KAI2*, one *MAX2*, and one *SMXL*. *Mpkai2a* and *Mpmax2*
271 mutants have highly similar phenotypes that are suppressed by loss of *MpSMXL*, as expected
272 from the pathway found in angiosperms, whereas the *Mpkai2b* mutant has no obvious
273 phenotype (Mizuno et al., 2020). High concentrations of *rac*-GR24 affect thalli growth, but in a
274 *MpMAX2*-, *MpKAI2a*-, and *MpKAI2b*-independent manner. Only a 2'S-configured GR24
275 enantiomer, GR24^{ent-4DO}, induces thermal destabilization of the *MpKAI2* proteins *in vitro*,
276 supporting that they do not respond to naturally configured SLs (Mizuno et al., 2020). The
277 signaling system is more complex in *Physcomitrium patens*, which has 13 *KAI2-LIKE* (*PpKAI2L*)
278 genes. It was initially proposed that some of the less-conserved *PpKAI2L* proteins might
279 function as SL receptors in *Physcomitrium patens*, which makes a carotenoid-derived
280 transmissible signal and responds to *rac*-GR24 and GR24^{5DS} (Proust et al., 2011; Hoffmann et
281 al., 2014; Lopez-Obando et al., 2016; Lopez-Obando et al., 2020). However, a biochemical
282 analysis of several *PpKAI2L* proteins only showed evidence of binding KAR₁ or unnaturally
283 configured 2'S SLs (Bürger et al., 2019). Moreover, the *Ppmax2* mutant is phenotypically
284 different from a carlactone-deficient mutant (Lopez-Obando et al., 2018). An extensive genetic
285 analysis of the *PpKAI2L* family now shows that the five members of the *PpKAI2L(A-E)* clade,
286 which are grouped among the eu-KAI2 proteins that are conserved in all land plants, are likely
287 to control growth in coordination with *PpMAX2*. On the other hand, proteins in the
288 *PpKAI2L(JGM)* clade are proposed to mediate responses to carlactone-derived signals in a
289 *PpMAX2*-independent manner (Lopez-Obando et al., 2020). Studies of additional species
290 outside the angiosperms will be required to determine whether these results are representative.
291
292

293 **Distinct germination responses to KARs and SLs in autotrophs and parasites**

294 There are clear differences in the germination responses of *Arabidopsis thaliana* and parasitic
295 Orobanchaceae seed to KAR and SL. *Arabidopsis* seed germinates in response to both
296 treatments, but *rac*-GR24 is clearly less potent than KARs (Nelson et al., 2009). By contrast, the
297 obligate parasites *Orobanche cernua*, *O. crenata*, *O. cumana*, *O. minor*, *Phelipanche*
298 *aegyptiaca*, *P. ramosa*, or *Striga hermonthica* respond well to *rac*-GR24 but are altogether
299 insensitive to KARs (Fernández-Aparicio et al., 2009; Nelson et al., 2009; Scaffidi et al., 2014;
300 Conn et al., 2015; Brun et al., 2019). If we consider KARs as indicators of low competition (due
301 to fire) and SLs as indicators of nearby plants, these selective germination responses seem

302 well-suited for autotrophic and auxotrophic plants, respectively. Germination of obligate
303 parasites in response to KARs that appear after fire would likely be suicidal, for example.

304

305 Several lines of evidence indicate that SLs do not regulate *Arabidopsis* germination. Primary
306 dormant seed of the SL-deficient mutants *max1*, *max3*, and *max4* and the SL-insensitive mutant
307 *d14* have normal germination. In contrast, *kai2* and *max2* mutants have clearly enhanced
308 dormancy (Nelson et al., 2011; Waters et al., 2012). Similar germination trends are observed
309 among *max* mutants under light-restricted conditions (Shen et al., 2012). Therefore, *Arabidopsis*
310 germination is controlled by KAI2-SCF^{MAX2}-dependent signaling, but not by SL signaling through
311 D14. Indeed, the 2'S configured molecules GR24^{ent-5DS} and *ent*-5-deoxystrigol (*ent*-5DS, an
312 enantiomer of the canonical SL 5-deoxystrigol) promote *Arabidopsis* germination, but other
313 stereoisomers of GR24 and natural SLs do not (Scaffidi et al., 2014). These responses
314 correspond to the stereoselective activation of KAI2 *in vitro* and *in vivo* (Scaffidi et al., 2014;
315 Waters et al., 2015; Khosla et al., 2020a; Wang et al., 2020b).

316

317 It might be argued, however, that the exclusive control of germination by KAI2 is only a feature
318 of primary dormant seed. For the commonly used *Arabidopsis* ecotype Col-0, primary dormancy
319 typically is lost within several days of afterripening and varies between seed batches, making
320 this a difficult trait to study. Imbibition of after-ripened *Arabidopsis* seed at supraoptimal
321 temperatures imposes thermoinhibition, a form of secondary dormancy (Toh et al., 2012). This
322 approach has become a powerful tool for evaluating *MAX2*-dependent germination responses in
323 *Arabidopsis* (Toh et al., 2015; Uraguchi et al., 2018; de Saint Germain et al., 2020). SL
324 biosynthesis mutants have been reported to be hypersensitive to seed thermoinhibition,
325 suggesting that SLs contribute to germination under these conditions (Tsuchiya et al., 2010; Toh
326 et al., 2012). However, an *Arabidopsis* *kai2/htl* line that has acquired extraordinary, picomolar
327 sensitivity to applied SLs through introduction of *ShHTL7*, a SL receptor transgene from *Striga*
328 *hermonthica* (see below), still does not germinate under thermoinhibited conditions without a SL
329 treatment (Toh et al., 2015). If *ShHTL7* can sense the non-canonical SLs produced by
330 *Arabidopsis* (Abe et al., 2014; Seto et al., 2014; Brewer et al., 2016; Yoneyama et al., 2018a;
331 Yoneyama et al., 2018b), this suggests that there is little or no SL in *Arabidopsis* seed, even
332 under thermoinhibition conditions. It is not yet clear whether a lack of germination responses to
333 SLs is peculiar to *Arabidopsis* or a common feature of non-parasitic angiosperms. However, it
334 seems that autotrophic plants would be at a competitive disadvantage when germinating in
335 response to SLs, which are exuded from established plants already starved for nutrients.

336

337 **Identification of novel SL receptors in parasitic Orobanchaceae**

338 The discoveries that D14 was likely a SL receptor and its homolog KAI2 regulates seed
339 germination set the stage for understanding SL perception in parasitic Orobanchaceae. It was
340 hypothesized that in parasites a *MAX2*-dependent mechanism had been co-opted for SL-
341 responsive germination (Nelson, 2013). This could occur if D14 became a germination
342 regulator, or if KAI2 evolved the ability to recognize SLs. Supporting the first idea, *Arabidopsis*
343 D14 can crosstalk with SMXL2 when an analog of 4-deoxyorobanchol, GR24^{4DO}, is supplied
344 (Wang et al., 2020b). Putatively D14 can also crosstalk with SMAX1, which has a bigger role
345 than SMXL2 in hypocotyl elongation, as *kai2* seedlings respond to *rac*-GR24 treatment but *kai2*
346 *d14* seedlings do not (Waters et al., 2012; Scaffidi et al., 2014; Stanga et al., 2016). Because
347 the *d14* mutant does not show phenotypes consistent with SMAX1 or SMXL2 overaccumulation,
348 however, it is unlikely that D14 normally regulates these proteins. Furthermore, expression of
349 *D14* under the control of a *KAI2* promoter does not rescue germination of *kai2*, even with *rac*-
350 GR24 treatment (Conn et al., 2015; Waters et al., 2015). Therefore, to regulate germination,
351 D14 might require changes that enhance its expression in seed as well as its affinity for SMAX1-
352 type SMXL proteins. By contrast, evolution of SL perception in a KAI2 protein would require a
353 switch in stereochemical selectivity to accommodate 2'R configured SL molecules as ligands.

354

355 An investigation of *D14* and *KAI2* genes in parasitic plant genomes and transcriptomes was
356 carried out, thanks largely to resources developed by the Parasitic Plant Genome Project and
357 1000 Plants Initiative (Westwood et al., 2012; Conn et al., 2015; One Thousand Plant
358 Transcriptomes Initiative, 2019). This revealed an unexpected, and sometimes dramatic,
359 expansion of *KAI2* copy number in several parasites (Conn et al., 2015). Although *D14* appears
360 to be a single copy gene in non-parasitic and parasitic species in the Lamiids, *KAI2* has
361 undergone extensive duplication in many facultative and obligate parasite genomes (Figure 3).
362 The *Striga asiatica* genome has 21 *KAI2* paralogs, and *Striga hermonthica* is likely to have at
363 least 13 (Conn et al., 2015; Toh et al., 2015; Tsuchiya et al., 2015; Yoshida et al., 2019). Lamiid
364 *KAI2* genes are distributed into three phylogenetic groups undergoing different rates of
365 evolution. Both parasitic and non-parasitic Lamiids have one or two *KAI2* copies that are
366 grouped within a “conserved” clade (*KAI2c*). Many non-parasitic Lamiids and *Striga* spp. have
367 one or two copies of *KAI2* that are grouped within a “intermediate” grade (*KAI2i*) under weaker
368 purifying selection than *KAI2c*. Parasitic Orobanchaceae uniquely carry a third type of rapidly
369 evolving, “divergent” *KAI2* (*KAI2d*) that often comprise the majority of *KAI2* paralogs in a

370 species (Figure 3) (Conn et al., 2015). Because gene duplication can enable the evolution of
371 different gene functions, KAI2d proteins were attractive candidates for SL receptors.

372

373 Indeed, biochemical studies have provided compelling evidence that *KAI2d* genes encode SL
374 receptors. A fluorogenic agonist, Yoshimulactone Green (YLG), was developed to monitor the
375 hydrolytic activity of SL receptors (Tsuchiya et al., 2015). This enabled *in vitro* YLG competition
376 assays, which test the inhibitory effects of a candidate ligand on the rate of YLG hydrolysis by a
377 SL receptor. The half-maximal inhibitory concentration (IC_{50}) value provides an indirect
378 assessment of the affinity a receptor has for a given SL. Of the two KAI2i- and eight KAI2d-class
379 HTL proteins tested from *Striga hermonthica*, ShHTL6 (KAI2d class) and ShHTL7 (KAI2d class)
380 have low IC_{50} (< 500 nM) for several SLs. This likely indicates high affinity, as ShHTL7 has
381 similar K_m and IC_{50} values for strigol of 57 nM and 120 nM, respectively. Other KAI2d-class
382 ShHTL proteins show more selective ligand preferences among five SLs tested in YLG
383 competition assays (Tsuchiya et al., 2015). Isothermal calorimetry assays provide further
384 support that KAI2d proteins have high affinities for SL. Several KAI2d-class ShHTL proteins
385 bind 5-deoxystrigol with K_d values in the ~40 nM to 4 μ M range (Wang et al., 2021).

386

387 Further evidence that KAI2d proteins are SL receptors comes from cross-species
388 complementation experiments, which provide a convenient way to evaluate the function of an
389 individual *KAI2* gene *in vivo*. *Arabidopsis thaliana* is well-suited for this purpose due to the
390 availability of KAR and SL pathway mutants, easy transformation, and a two-month generation
391 time. In these assays, *Arabidopsis kai2* mutants are transformed with *KAI2* transgenes from
392 parasites and tested for responses to SLs and other germination stimulants. Although some
393 transgenes are not functional - which could reflect a loss of function in their native context, or
394 incompatible interactions with signaling partners in *Arabidopsis* - many *KAI2d* transgenes from
395 *Striga hermonthica*, *Phelipanche aegyptiaca*, and *Phelipanche ramosa* confer clear germination
396 responses to SLs, *rac*-GR24, and/or 2'R configured GR24 stereoisomers (Conn et al., 2015;
397 Toh et al., 2015; Khosla and Nelson, 2016; de Saint Germain et al., 2020). Transgenic
398 *Arabidopsis* lines carrying *ShHTL7* are striking examples, with germination responses to
399 picomolar concentrations of several SLs and *rac*-GR24 (Toh et al., 2015). Similarly, a fusion
400 protein of GFP and *Phelipanche ramosa* KAI2d3 confers germination responses to ~10
401 picomolar (+)-GR24 to the *Arabidopsis kai2* mutant (de Saint Germain et al., 2020). PrKAI2d3
402 shows a clear preference for 2'R configured SL analogs, and is several orders of magnitude
403 less responsive to 2'S configured analogs.

404
405 The ability of a parasite *D14* gene to regulate *Arabidopsis* seed germination has not been
406 reported. Therefore, while there are no obvious evolutionary signatures, such as gene
407 duplication or evidence of positive selection, to suggest that *D14* may have gained new
408 functions in parasites, it is still formally possible that it could contribute to SL-responsive
409 germination. Although loss-of-function mutations are not available to test the roles of *KAI2* and
410 *D14* genes in parasites directly, chemical tools have provided a way forward. Sphynolactone-7
411 (SPL7, not to be confused with SPL transcription factors) was developed as a synthetic agonist
412 of *Striga hermonthica* germination (Uraguchi et al., 2018). The potency of SPL7 is very similar to
413 that of the natural SL, 5-deoxystrigol (5DS); both can induce germination effectively at
414 concentrations of ~100 fM. SPL7 is different from 5DS, however, because it shows a high
415 degree of selectivity for ShHTL7 and, to a lesser extent, ShHTL8. *In vitro* YLG competition
416 assays show that SPL7 has an IC₅₀ of 0.31 μM for ShHTL7, 1.2 μM for ShHTL8, and 7.8 μM for
417 ShHTL11. By contrast, SPL7 has an IC₅₀ >10 μM for D14, the KAI2i-class proteins ShHTL2 and
418 3, and the KAI2d-class proteins ShHTL4, ShHTL5, ShHTL6, ShHTL9, and ShHTL10 *in vitro*
419 (Uraguchi et al., 2018). Although not all ShHTL proteins have been tested and their relative
420 abundance in *Striga* seed is unknown, this suggests that ShHTL7 is primarily responsible for
421 detecting SPL7. If so, then activation of ShHTL7 is apparently sufficient to trigger *Striga*
422 *hermonthica* germination. By contrast, ShD14 is not required, at least for responses to SPL7.
423
424 Further support for the importance of ShHTL7 in SL-responsive germination is potentially
425 provided by the non-ionic surfactant Triton X-100, which reduces germination responses of
426 *Striga hermonthica* to *rac*-GR24 (Shahul Hameed et al., 2018). Triton X-100 binds to ShHTL7 *in*
427 *vitro*, blocking *rac*-GR24-induced structural rearrangements of ShHTL7 and its interaction with
428 ShMAX2. Homology modeling suggests that ShHTL7, but not other ShHTL proteins, can
429 accommodate Triton X-100 in their active sites (Shahul Hameed et al., 2018). Therefore, if the
430 inhibition of *Striga hermonthica* germination by Triton X-100 is due to interfering with SL
431 perception and not a nonspecific effect, these data indicate that ShHTL7 is critical for GR24
432 responses. This does not exclude the possibility that other ShHTL proteins contribute to
433 germination responses to 5DS or other SLs. If selective inhibitors can be developed for other
434 ShHTL proteins, their individual contributions to host-induced germination could be evaluated.
435
436 **Where strigolactone perception occurs**

437 Based on a detailed anatomical and physiological analysis, perisperm cells adjacent to the
438 micropyle have been proposed to be the site of host-chemical detection in *Phelipanche*
439 *aegyptiaca* (Joel et al., 2012). In support of this, transcripts of *CYP707A1*, an ABA 8'-
440 hydroxylase that acts downstream of SL signaling, accumulate in these perisperm cells
441 specifically after several hours of GR24 treatment (Lechat et al., 2012).

442

443 A fluorogenic chemical probe has also been used to examine the sites and timing of SL
444 perception in *Striga hermonthica* (Tsuchiya et al., 2015). YLGW is a brighter, but less specific,
445 form of YLG. It is hydrolyzed *in vitro* by several ShHTL proteins but not by ShD14, ShHTL7, and
446 a few other ShHTL proteins. After seed coat removal, fluorescence microscopy of *Striga*
447 *hermonthica* embryos reveals two waves of YLGW hydrolysis that putatively report the activity
448 of SL receptors. Fluorescence is observed in the embryonic root tip within minutes, then diffuses
449 apically during a “wake-up” phase that occurs over several hours. After a pause during which
450 the fluorescence signal dissipates, germination begins. As the root grows, an “elongation tide” of
451 fluorescence emerges in what appears to be the elongation and differentiation zones. These
452 fluorescence patterns were not observed in non-conditioned seeds, which do not respond to SL
453 (Tsuchiya et al., 2015). Because ShHTL7 and ShD14 do not hydrolyze YLGW, this implies that
454 neither is required for the wake-up phase of SL perception. If YLGW hydrolysis is ShHTL
455 dependent, these results imply the enzymatic activity of other ShHTL proteins.

456

457 **The mechanism of strigolactone perception in parasitic seed**

458 KAI2d proteins in parasites perceive SL in a highly similar manner to D14 (Figure 2). ShHTL7
459 and ShHTL4 hydrolyze *rac*-GR24 and 5DS, whereas ShHTL1 (KAI2c class) and ShHTL3 (KAI2i
460 class) have little or no hydrolytic activity on these substrates (Xu et al., 2018). As a
461 consequence of SL hydrolysis, the methylbutenolide D-ring becomes attached to the catalytic
462 His residue of KAI2d proteins, as was observed for D14. This was shown through mass
463 spectrometry of ShHTL7 after 5DS and *rac*-GR24 hydrolysis, and PrKAI2d3 in the presence of
464 *rac*-GR24 (Yao et al., 2017; Uraguchi et al., 2018; de Saint Germain et al., 2020). Similar
465 covalent modification of the catalytic His residue in ShHTL7 occurs after treatment with a broad
466 range of SPL7 analogs (Uraguchi et al., 2018). Interestingly, PrKAI2d3 also confers weak
467 responses to the hydroxymethylbutenolide product of SL hydrolysis (D-OH), which is ineffective
468 at activating SL signaling (Hamiaux et al., 2012; de Saint Germain et al., 2020). However,
469 because D-OH is ~10,000 times less potent than *rac*-GR24 at activating *Striga hermonthica*
470 germination, this may not be a biologically significant reaction.

471
472 KAI2d proteins very likely function in cooperation with MAX2, as is the case for KAI2 in non-
473 parasitic species. *ShMAX2* is able to rescue many, but not all, mutant phenotypes of
474 *Arabidopsis max2*, demonstrating at least partially conserved functions (Liu et al., 2014).
475 Likewise, the ability of *ShHTL* transgenes to stimulate seed germination or regulate hypocotyl
476 elongation of *Arabidopsis thaliana* is *MAX2*-dependent (Bunsick et al., 2020). Homology
477 modeling of the *ShHTL7-ShMAX2* complex shows an interface that is well-conserved with that
478 of *AtD14-MAX2* (Shahul Hameed et al., 2018). Many of the amino acids at this interface are
479 also highly conserved in the broader eu-KAI2 protein family in land plants (Bythell-Douglas et
480 al., 2017). Finally, *ShHTL4*, *ShHTL5*, *ShHTL7*, *ShHTL8*, and *ShHTL9* physically interact with
481 *ShMAX2* in the presence of *rac-GR24* and natural SLs, similar to *ShD14* (Yao et al., 2017;
482 Shahul Hameed et al., 2018; Xu et al., 2018; Wang et al., 2021).

483
484 Interactions between KAI2 and SMAX1 proteins in parasites have not been tested, however,
485 there is indirect evidence that this is likely to occur. First, *KAI2d* transgenes from parasites are
486 able to regulate *Arabidopsis* germination and seedling growth, which are exclusively or
487 predominantly controlled by SMAX1 among the SMXL family members in *Arabidopsis* (Stanga
488 et al., 2016). Second, GR24 enhances pull-down interactions between *ShHTL7* and *Arabidopsis*
489 SMAX1, but not *Arabidopsis* SMXL6 (Yao et al., 2017). This is consistent with the preference
490 that AtKAI2 shows for SMXL partners, and suggests that only the ligand-binding capacity of
491 KAI2d proteins has changed in parasites (Soundappan et al., 2015; Khosla et al., 2020a). Third,
492 *ShHTL5*, *ShHTL7*, *ShHTL8*, and *ShHTL9* proteins interact with *Arabidopsis* SMAX1 in yeast
493 two-hybrid assays in the presence of *rac-GR24* or natural SLs. When AtMAX2 is coexpressed,
494 *ShHTL* interactions with SMAX1 are strengthened. Likewise, the C-terminal “D2” domain of
495 *Arabidopsis* SMAX1 enhances *in vitro* interactions between *ShHTL7* and AtMAX2 (Wang et al.,
496 2021).

497
498 These observations collectively indicate that KAI2d proteins in parasites have retained the
499 function of KAI2 proteins in stimulating degradation of SMAX1 via SCF^{MAX2}, but are activated by
500 SLs instead of KL or KAR. Thus, *D14* and *KAI2d* have convergently evolved into SL receptors
501 from duplicated *KAI2*.

502
503 **Downstream effects of KAI2 activation**

504 Although activation of KAI2d proteins can reasonably be expected to cause SMAX1 degradation
505 in parasites, how this leads to germination has been less clear. Recent studies have made
506 progress toward understanding crosstalk between SMAX1 degradation and other hormone
507 pathways that regulate seed germination. Two major players in control of physiological seed
508 dormancy are abscisic acid (ABA) and gibberellic acid (GA), which have antagonistic effects as
509 inhibitors and promoters of germination, respectively. Ethylene also promotes germination of
510 many species, including some parasites, at least in part through inhibition of ABA levels and
511 signaling (Arc et al., 2013). Crosstalk between these hormones is complex.

512

513 ABA degradation is an important component of SL-induced germination of *Phelipanche ramosa*
514 seed. Application of *rac*-GR24 quickly and transiently induces expression of *CYP707A1*, which
515 encodes an ABA-catabolizing enzyme. Corresponding with this, ABA levels decline several-fold
516 in conditioned seeds after GR24 treatment. Inhibition of ABA catabolism with the CYP707A
517 inhibitor abscinazole-E2B reversibly blocks GR24-induced germination (Lechat et al., 2012). A
518 similar induction of *CYP707A1* occurs in the obligate parasites *Orobanche cumana*, *O. minor*,
519 and *Striga hermonthica* upon treatment with *rac*-GR24. In contrast, the facultative hemiparasite
520 *Triphysaria versicolor* does not require host-derived stimulants and has only a modest
521 germination response to *rac*-GR24. Induction of *CYP707A1* in *T. versicolor* seed by *rac*-GR24 is
522 similarly low. Thus, SL-induced catabolism of ABA may be more important for germination of
523 obligate parasites than facultative hemiparasites. Indeed, the enhanced seed dormancy of
524 obligate parasites relative to *T. versicolor* may be due to their increased sensitivity to ABA (Brun
525 et al., 2019).

526

527 Initial physiological studies of KAR responses in *Arabidopsis* showed that KAR₁ could not
528 recover germination of GA-deficient mutants. KAR₁ also induces expression of GA biosynthesis
529 genes *GA3ox1* and *GA3ox2* in seed, while not affecting GA sensitivity, suggesting that GA is
530 required for KARs to stimulate *Arabidopsis* germination (Nelson et al., 2009). However, when
531 activated with *rac*-GR24, several KAI2d-class ShHTL proteins expressed in *Arabidopsis* are
532 able to override the GA requirement (Bunsick et al., 2020). A similar effect is achieved through a
533 *smax1* loss-of-function mutation, which can overcome germination inhibition by the GA
534 biosynthesis inhibitor paclobutrazol. The differences between these studies might be due to the
535 degree to which SMAX1 is removed from *Arabidopsis* seeds; i.e. KAR₁ activation of AtKAI2 may
536 not be as effective at reducing SMAX1 protein levels as *rac*-GR24 activation of ShHTL or a
537 *smax1* mutation. Regardless, experiments with *Striga hermonthica* support the idea that SL

538 signaling can activate germination independently of GA in parasitic plants. GA had little effect on
539 promoting *Striga hermonthica* germination, while GR24 stimulated *Striga* germination even in
540 the presence of paclobutrazol (Bunsick et al., 2020).

541
542 It is possible that KAI2d proteins stimulate germination in parasites by inducing production of
543 ethylene. In contrast to paclobutrazol, the ethylene biosynthesis inhibitor
544 aminoethoxyvinylglycine is effective at blocking GR24-stimulated germination of *Striga*
545 *hermonthica* (Tsuchiya et al., 2015). In *Arabidopsis*, SL signaling through D14 triggers dark-
546 induced leaf senescence in a feed-forward loop with ethylene signaling (Ueda and Kusaba,
547 2015). This invites speculation that KAI2-regulated SMXL proteins might also regulate growth,
548 at least partially, through ethylene. Notably, under light-grown conditions ethylene can
549 overcome a GA-deficiency to stimulate *Arabidopsis* germination (Karssen et al., 1989).
550 Furthermore, regulation of root and root hair development in *Lotus japonicus* and *Arabidopsis* by
551 KAR/KL is due to upregulation of ethylene biosynthesis after degradation of SMAX1 (Carbonnel
552 et al., 2020).

553
554 **How KAI2 diversification in parasites may affect host range**
555 During the antagonistic coevolution of parasitic Orobanchaceae and their host plants, an
556 increased capacity for SL perception is likely to have been valuable for a parasite. This could
557 enable the parasite to expand its host range, counterbalance evolution of alternative SLs in
558 hosts, or prevent an evolutionary dead-end when a host species becomes extinct locally. For
559 obligate parasites of crops (i.e. weeds), whose populations can change dramatically from year
560 to year, a broadened SL response could be a key adaptation. For example, this likely enabled
561 the recent expansion in host range for a new race of *Orobanche cumana* (Dor et al., 2020).
562 Expansion of the *KAI2d* gene family in parasitic Orobanchaceae potentially enables detection of
563 a greater variety of SLs. Indeed, although the dataset is limited, there seems to be a trend of
564 more *KAI2d* paralogs in the genomes of weedy parasites, which can attack many crop species,
565 compared to species with specialized parasitic relationships (Figure 3; Conn et al., 2015). At the
566 other end of the spectrum, one *KAI2c* gene but no *KAI2d* gene was able to be identified in a *de*
567 *novo* transcriptome assembly for *Conopholis americana*, which parasitizes oak and beech trees
568 (Figure 3; Conn et al., 2015). Perhaps this parasite does not require a mechanism for its seed to
569 detect the presence of a host because its hosts are so long-lived.

570

571 Because so much emphasis has been placed on studying parasitic weeds, however, it is
572 important to remember that many parasitic Orobanchaceae are not generalists, but instead
573 have a narrow host range. From the point of view of an obligate parasite, an inherent danger of
574 SL-responsive germination is that not all SL-exuding plants are compatible hosts. Plants mount
575 an array of barriers against parasitism, ranging from physical fortifications to immune responses
576 (Clarke et al., 2019). Specialization for different hosts can be observed even among races of a
577 parasitic species, and likely drives speciation (Thorogood et al., 2009). As germination
578 responses are one component of host-specialization, it is not surprising that obligate parasites
579 can show strong germination response preferences for root exudates from specific species
580 (Fernández-Aparicio et al., 2009; Fernández-Aparicio et al., 2011b). Presumably, this is due to
581 the presence of specific SLs in some exudates but not others, as obligate parasites show
582 specific germination responses to different strigolactones (Fernández-Aparicio et al., 2011b;
583 Nomura et al., 2013).

584

585 Putatively, some KAI2d proteins have evolved the ability to detect or prefer specific SL(s).
586 Alternatively, some KAI2d proteins may have become highly sensitive to a broad range of
587 ligands. Both cases have been observed among ShHTL proteins through YLG competition
588 assays and cross-species complementation experiments (Toh et al., 2015; Tsuchiya et al.,
589 2015). It should be noted, however, that the SL preferences of KAI2d proteins *in vitro* do not
590 always reflect which SLs are effective stimulants of seed germination. For example, ShHTL6
591 and ShHTL10 have a very low IC₅₀ for orobanchol (58 nM and 390 nM, respectively), yet *Striga*
592 *hermonthica* seed are relatively unresponsive to orobanchol (Tsuchiya et al., 2015). Thus, the
593 emergent germination response to host-derived stimuli may depend upon the relative
594 abundance and activities of the suite of available KAI2d proteins. Although parasite KAI2d
595 proteins have so far been considered activators of germination, I hypothesize that the function
596 of some KAI2d proteins could be to *inhibit* germination after perception of a specific non-host SL
597 (see Box 2). A combination of positive and negative responses to different SLs could produce
598 finely tuned host-specific germination responses.

599

600 Several non-canonical SLs and lactone molecules that can stimulate germination of parasitic
601 Orobanchaceae have been identified (reviewed in Yoneyama et al., 2018b; Bouwmeester et al.,
602 2020). These include zealactone, avenaoil, heliolactone, dehydrocostus lactone, and
603 peagoldione (Evidente et al., 2009; Joel et al., 2011; Kim et al., 2014; Ueno et al., 2014;
604 Charnikhova et al., 2017). It is plausible that these molecules are also perceived by KAI2d

605 proteins, but their specific receptors await discovery. Notably, dehydrocostus lactone induces
606 CYP707A1 expression in seed of the sunflower (*Helianthus annuus*) parasite *Orobanche*
607 *cumana*, similar to *rac*-GR24, implying it is perceived through a similar system (Brun et al.,
608 2019). Like KARs, dehydrocostus lactone lacks a cleavable D-ring; it may be that it also
609 requires metabolism before perception by *Orobanche cumana*.

610

611 KAI2d agonists are not necessarily restricted to lactones. *Phelipanche ramosa* is a widespread
612 parasitic weed that has recently expanded its host range to include oilseed rape (*Brassica*
613 *napus*). *Phelipanche ramosa* seed germinate in response to isothiocyanates derived from
614 glucosinolate breakdown in *Brassica napus* root exudates, albeit with about four orders of
615 magnitude less sensitivity than to SLs (Auger et al., 2012). Triton X-100 and KK094 inhibit the
616 ability of GR24 to induce *Striga hermonthica* germination, and at least partially interfere with SL
617 perception by ShHTL7 (Shahul Hameed et al., 2018; Nakamura et al., 2019). Addition of these
618 antagonists blocks the germination-stimulating effect of 2-phenethyl isothiocyanate (2-PEITC),
619 suggesting that 2-PEITC may signal through KAI2d protein(s) (de Saint Germain et al., 2020).
620 PrKAI2d3 has recently been reported to undergo a T_m shift in the presence of isothiocyanates,
621 although it is less substantial than the shift induced by GR24. Remarkably, 2-PEITC becomes
622 covalently bound to the catalytic Ser of PrKAI2d3, suggesting a mechanism for activating the
623 receptor (de Saint Germain et al., 2020). The sensitivity of *Phelipanche ramosa* populations to
624 different germination stimulants varies according to the host they were isolated from.
625 *Phelipanche ramosa* seed sourced from *Brassica napus* fields are uniformly responsive to 2-
626 PEITC, whereas *Phelipanche ramosa* seed sourced from tobacco (*Nicotiana tabacum*) or hemp
627 fields show reduced or heterogeneous responses to 2-PEITC (Huet et al., 2020). Comparisons
628 of *KAI2d* sequences and expression levels among these populations should provide fascinating
629 insights into how rapid shifts in host-stimulant perception can evolve. Assuming dehydrocostus
630 lactone is perceived by a KAI2d protein(s), a similar comparison of *KAI2* evolution in the
631 recently diverged species *Orobanche cumana* and *Orobanche cernua* will also be informative.

632

633

634

635 This hypothesis awaits investigation. Meanwhile, it is notable that ShHTL10 and ShHTL11 have
636 high affinities for SLs *in vitro* but are inactive when expressed in *Arabidopsis* (Toh et al., 2015;
637 Tsuchiya et al., 2015; Wang et al., 2021). *ShHTL10* and *ShHTL11* are grouped in a *Striga*-
638 specific *KAI2d* subclade with *ShKAI2d2*, which is also inactive in *Arabidopsis* (Conn et al.,

639 2015). These three proteins have substitutions at several well-conserved surface residues that
640 may affect interactions with MAX2 or SMAX1 (Khosla and Nelson, 2016; Wang et al., 2021).
641 Indeed, ShHTL10 and ShHTL11 do not interact with AtMAX2 or ShMAX2 in yeast two-hybrid
642 assays in the presence of SLs (Wang et al., 2021). The activity of these proteins might explain
643 the reduced germination responses of *Striga hermonthica* to orobanchol-type SLs.

644

645 **Structural basis of ligand-specificity and SL sensitivity in parasitic KAI2d**

646 While there is substantial evidence that KAI2d proteins are responsible for SL perception, very
647 limited surveys suggest that KAI2c and KAI2i proteins in parasitic plants mediate KL and/or KAR
648 perception instead (Conn et al., 2015; Conn and Nelson, 2015; Toh et al., 2015). Therefore,
649 comparisons of the amino acid sequences and three-dimensional structures of parasitic KAI2
650 are expected to reveal how different ligand-specificities and affinities are achieved within this
651 family. Crystal structures have been solved for ShD14 and several KAI2/HTL proteins from
652 *Striga hermonthica*, including ShHTL1/ShKAI2c, ShHTL3/ShKAI2i, ShHTL4, ShHTL5, ShHTL7,
653 and ShHTL8 (Toh et al., 2015; Xu et al., 2016; Xu et al., 2018; Zhang et al., 2020). This wealth
654 of information, as well as homology modeling and mutational analysis, have revealed several
655 factors that influence ligand recognition: pocket size, ligand-positioning residues, and residues
656 that guard the pocket entrance. In the following discussion of these insights, it is important to
657 remember that some mechanistic details derived from *in vitro* or *in silico* single-protein
658 experiments with KAI2/HTL may differ *in vivo*, where signaling partners or other cellular factors
659 may affect a receptor's structure and activity.

660

661 Homology modeling predicts that the ligand-binding pockets of most KAI2d proteins are
662 unusually large compared to KAI2c or KAI2i proteins (Conn et al., 2015; de Saint Germain et al.,
663 2020). Indeed, crystal structures of ShHTL4, ShHTL5, ShHTL7, and ShHTL8 reveal pockets
664 with more than twice the volume of AtKAI2, ShHTL1/ShKAI2c, or ShHTL3/ShKAI2i. These
665 pockets are also larger than those found in D14 proteins from rice and *Striga hermonthica* (Xu
666 et al., 2018; Zhang et al., 2020). Residues at positions Y124, W153, F157, and F194 are among
667 those that influence pocket volume (n.b., residue identifiers are based on AtKAI2). These
668 residues are usually highly conserved in angiosperm KAI2 proteins, but have undergone
669 extensive substitutions to less bulky amino acids in KAI2d proteins (Conn et al., 2015). The
670 substitutions that have occurred at Y124 and S196 in KAI2d proteins are predicted to better
671 accommodate the D-ring of GR24, while non-conservative changes at W153, F194, and A219
672 are proposed to affect ligand positioning (Toh et al., 2015). The pocket volume is also

673 influenced by shifts in the positioning of the lid helix α D1, which forms part of the pocket
674 entrance (Xu et al., 2018). In ShHTL7, helix α D1 tilts away from the pocket entrance more than
675 in ShHTL/KAI2 proteins that have smaller pockets. The shift of helices α D1 and α D2 away from
676 α D3, which enlarges the pocket, is proposed to be due to an Y150F substitution in the α D1- α D2
677 loop (Xu et al., 2018). Variable substitutions at this position occur in some, but not all, KAI2d
678 proteins in parasites.

679

680 By contrast, the pocket of ShHTL1/ShKAI2c has a smaller volume than AtKAI2, and
681 ShHTL3/ShKAI2iB is smaller yet (Xu et al., 2016; Xu et al., 2018). While these proteins can bind
682 KAR₁ *in vitro*, their pockets are likely to be too small to accommodate SLs without substantial
683 conformational changes upon binding. Indeed, ShHTL1 and ShHTL3 do not hydrolyze *rac*-
684 GR24, and ShHTL1 only has very weak hydrolytic activity against 5DS (Xu et al., 2016; Xu et
685 al., 2018). Likewise, *Arabidopsis* lines carrying *ShHTL1/ShKAI2c* and *ShHTL3/ShKAI2i*
686 transgenes are not responsive to *rac*-GR24 or SLs, but *ShKAI2i* lines are responsive to KARs
687 (Conn et al., 2015; Conn and Nelson, 2015; Toh et al., 2015). The small pocket of ShHTL1 is a
688 product of bulky residues, for example at position 190 (Xu et al., 2018). The ShHTL3 pocket is
689 influenced more by an inward shift of helix α D1 that reduces the pocket volume and closes the
690 entrance. Conformational shifts have been observed for α D1 in different ShKAI2iB structures,
691 suggesting this helix could act as a gate-keeper for ligand entry and exit (Xu et al., 2016).

692

693 The significance of many binding pocket residues for the ligand affinity of KAI2 proteins has
694 been examined through site-directed mutations. Substitution of residues 124, 190, and 194 in
695 ShHTL7 with bulky amino acids that reduce pocket volume causes a >100-fold decrease in *rac*-
696 GR24 binding affinity *in vitro* (Xu et al., 2018). Position 124 may be particularly important. This
697 residue is highly conserved as Tyr in KAI2c and Phe in KAI2i, but is substituted with smaller
698 hydrophobic amino acids in KAI2d (Conn et al., 2015). Substitutions of the Leu at this position
699 with Phe or Tyr in ShHTL8 caused 10- to 100-fold increases in IC₅₀ for *rac*-GR24 in YLG
700 competition assays and disrupted *rac*-GR24 hydrolysis (Zhang et al., 2020). Hydrolysis of *rac*-
701 GR24 was also decreased by I124F substitutions in ShHTL6, but not ShHTL4. Conversely,
702 substitutions of Tyr or Phe with Leu at this position enabled *rac*-GR24 hydrolysis by
703 ShHTL1/ShKAI2c and ShHTL2/ShKAI2i (Zhang et al., 2020). A recent in-depth biochemical
704 analysis of the ShHTL7 binding pocket has revealed substitutions at 18 residues that reduce the
705 binding affinity for *rac*-GR24 more than 10-fold. G25A, M139K, and mutation of the catalytic Ser
706 (S95A) have particularly strong effects, with at least a 200-fold reduction in *rac*-GR24 affinity.

707 Interestingly, T157Y appears to cause a ~10-fold increase in affinity for *rac*-GR24 *in vitro* (Pang
708 et al., 2020).

709

710 A more refined understanding of how ligand specificity is determined in KAI2 proteins is
711 beginning to emerge. Due to potential steric clashes, residues 142, 157, 218, and 219 are
712 thought to contribute to specificity in binding 2'R *versus* 2'S stereoisomers of GR24 and KAR₁
713 (Xu et al., 2018). Residues at positions 96 and 189 are important contributors to KAR₁ vs. KAR₂
714 selectivity in Brassicaceae KAI2 proteins (Sun et al., 2020). Likewise, amino acid identity at
715 positions 157, 160, and 190 influences the ability of KAI2 paralogs in *Lotus japonicus* to
716 recognize GR24^{ent-5DS} (Carbonnel et al., 2019). In *Physcomitrium patens* KAI2L proteins, the
717 loop between α D2 and α D3 contributes to ligand specificity, putatively by influencing the
718 formation and rigidity of the ligand-binding pocket (Bürger et al., 2019). A combination of
719 molecular docking and molecular dynamics simulations was used recently to examine
720 interactions between eight SL receptors (including four D14 and four ShHTL proteins) and 20
721 canonical and non-canonical SLs (Bürger et al., 2020b). This *in silico* approach builds upon the
722 static snapshots of protein structure provided by X-ray crystallography to provide detailed
723 predictions of a protein's conformational dynamics and substrate-binding behaviors "in solution."
724 The analysis suggested that an inflexible internal bottleneck can limit access of some SL
725 molecules to the binding pocket of SL receptors and therefore may be an important component
726 of ligand specificity. By contrast, the outer entrance to the pocket does not appear to be a
727 limiting factor for SL compatibility. The pocket itself has enough volume and flexibility to
728 accommodate internal rotation of SLs to optimal binding poses for SL hydrolysis. Interestingly,
729 molecular dynamics simulations predict that the catalytic Ser and His residues are important for
730 positioning SL in the pocket correctly. Pocket residues at KAI2-equivalent positions 157, 134,
731 142, 193, and, to a lesser degree, positions 26 and 124 were implicated in frequently forming
732 contacts with docked SLs (Bürger et al., 2020b).

733

734 A major question to be addressed is how some SL receptors in parasites, such as ShHTL7 and
735 PrKAI2d3, are able to achieve such extraordinary sensitivity to SLs. It is important to note that
736 ShHTL7 is not particularly remarkable compared to other KAI2d proteins *in vitro*. In YLG
737 competition assays, ShHTL7 has IC₅₀ values for various SLs in the ~0.1 to 1 μ M range,
738 comparable to ShHTL6 and ShHTL8 (Tsuchiya et al., 2015). Neither does ShHTL7 show an
739 unusually high or low rate of GR24 hydrolysis *in vitro* compared to other KAI2d proteins in
740 *Striga hermonthica* (Tsuchiya et al., 2015). However, in germination assays of transgenic

741 Arabidopsis, the EC₅₀ of *rac*-GR24 for ShHTL7 lines is ~20 pM, about 1000 times lower than the
742 ~30-100 nM EC₅₀ observed in lines carrying ShHTL6 or ShHTL8 (Toh et al., 2015). ShHTL4 and
743 ShHTL5 also confer higher sensitivity to SL *in vivo* than would be expected from YLG
744 competition assays (Toh et al., 2015; Tsuchiya et al., 2015). Clearly, the affinities that KAI2d
745 proteins show for SLs *in vitro* are not sufficient to account for their germination-promoting
746 activities *in vivo* (Shahul Hameed et al., 2018). Instead, KAI2d proteins that confer particularly
747 sensitive germination responses to SL must be more effective at activating downstream signal
748 transduction. This could occur if a receptor is more readily activated or its activated state more
749 persistent. This is a difficult mystery to resolve, not least because of the current disagreements
750 about what constitutes activation of a SL receptor. While some models for D14 have focused on
751 formation of CLIM (or rather, a covalently modified catalytic His residue) during SL hydrolysis,
752 others propose that SL binding is sufficient for signaling and hydrolysis is a subsequent
753 deactivation step (Yao et al., 2016; Seto et al., 2019). Alternatively, enhanced signal
754 transduction could occur if a receptor has higher affinity for MAX2 and/or SMAX1 upon
755 activation than other KAI2d proteins. New evidence supports this hypothesis (Wang et al.,
756 2021). Among 11 ShHTL proteins tested with *in vitro* pull-down assays, ShHTL7 shows a clearly
757 enhanced ability to interact with AtMAX2 in the presence of SL. Substituting five residues at the
758 MAX2 interface of ShHTL6 with ShHTL7 amino acid identities dramatically increases ShHTL6
759 affinity for AtMAX2 in pull-downs to ShHTL7 levels (Wang et al., 2021). *In vivo* assays of this
760 ShHTL6 mutant are needed to establish whether it can confer germination responses to
761 picomolar SL concentrations, similar to ShHTL7.

762
763 The ShHTL7-specific agonist SPL7 and its analogs show that hydrolysis of the D-ring is
764 dispensable for SL signaling, but comes at a very high cost in potency (Uraguchi et al., 2018).
765 SPL7 and its demethylated analog H-SPL7 have minimum effective concentrations on *Striga*
766 *hermonthica* germination of 10 fM and 10 pM, respectively. By contrast, their hydrolysis-
767 resistant analogs require 6 to 8 orders of magnitude higher concentrations to achieve a similar
768 effect. Thus CLIM formation is important for effective signaling. However, the correlation
769 between the rate of CLIM formation *in vitro* and potency *in vivo* is weak. This is illustrated by a
770 series of SPL7 analogs that separately modify the scaffold and D-ring, or by a direct comparison
771 of SPL7 and GR24, which have identical D-rings. Although CLIM formation in ShHTL7 is about
772 10 times slower with SPL7 than GR24, SPL7 is ~1000 times more potent for *Striga* germination.
773 Therefore, interaction of the ABC-ring of SLs or the scaffold of SL analogs with the pocket of

774 KAI2d, presumably after hydrolysis, also appears to be important for highly effective signal
775 transduction (Uraguchi et al., 2018).

776

777 Long-timescale molecular dynamics simulations have been used to compare SL perception in
778 ShHTL7 and AtD14, and identify potential explanations for their different levels of SL sensitivity
779 (Chen et al., 2020). These *in silico* simulations predict that ShHTL7 is more efficient than AtD14
780 at binding GR24 in a productive pose that favors hydrolysis. In part this is due to more stable
781 associations of GR24 with hydrophobic residues at the entrance to the D14 pocket that slow its
782 binding. Also, D14 is more prone to dwelling in nonproductive conformations in which the D-loop
783 of D14, which contains the catalytic Asp residue, extends outside the core of the protein. Finally,
784 the volume of the ShHTL7 pocket fluctuates within a narrower range than D14, reducing small-
785 volume conformations that prevent GR24 binding or large-volume conformations that allow non-
786 productive orientations of the ligand (Chen et al., 2020). These exciting hypotheses may be able
787 to explain at least some of the SL hypersensitivity of ShHTL7. Mutations of ShHTL7 have
788 demonstrated which residues are important for maintaining high sensitivity to agonists (Uraguchi
789 et al., 2018; Xu et al., 2018; Pang et al., 2020). However, specific mutations that cause a KAI2
790 protein to mimic ShHTL7 by increasing its affinity for SL *in vitro* or SL-signaling activity *in vivo*
791 have not been reported. This will be an important goal to demonstrate a true understanding of
792 how highly sensitive SL perception occurs in parasite seed.

793

794

795 **Origins and implications of the *KAI2* expansion in Orobanchaceae**

796 The dramatic *KAI2* expansion observed in several parasite genomes is likely due to unequal
797 crossover events, which cause localized *cis*-duplications. These duplications originated before
798 the parasitic lineage diverged, as tandem or near-tandem *KAI2* duplications can be observed in
799 non-parasitic Asterid relatives of the Orobanchaceae. In tomato (*Solanum lycopersicum*), two
800 pairs of tandem copies of *KAI2* are located on chromosome 2. One pair has a *KAI2c* adjacent to
801 a *KAI2i*, and the other pair has a likely pseudogene *KAI2* adjacent to a *KAI2c*. In *Mimulus*
802 *guttatus*, two *KAI2c* are separated by two genes on the same scaffold. The third *KAI2* paralog, a
803 *KAI2i* is found on another scaffold. The first draft genome sequence for a parasitic plant, *Striga*
804 *asiatica*, has provided further insights into the genomic distribution of the amplified *KAI2* family.
805 The *Striga asiatica* genome has several examples of functional *KAI2* paralogs and *KAI2*
806 pseudogenes that are linked on the same scaffold (Yoshida et al., 2019). Curiously, duplication
807 of *D14* is relatively uncommon in angiosperms (Conn et al., 2015). It is possible that there is

808 purifying selection to maintain a single copy of *D14*. Alternatively, *KAI2* may be surrounded by
809 sequences that make it more prone to unequal crossover events. Genome sequences for *Striga*
810 *hermonthica* and *Orobanche cumana* are expected to be released soon, and will provide useful
811 points of comparison.

812
813 Genetic linkage between *KAI2d* paralogs has several implications. First, the capacity to perceive
814 a set of SLs may be heritable as a haplotype block, potentially enabling rapid spread of a
815 beneficial, multigenic trait through a parasitic population. Second, the *KAI2d* family may be able
816 to expand or contract relatively rapidly through additional unequal crossovers. Gene conversion
817 may also influence the diversity of the *KAI2d* repertoire. Third, it will likely be very difficult to
818 connect perception of a specific SL to a single *KAI2d* through recombination-based trait
819 mapping.

820
821 **Overcoming limitations to genetic studies of *KAI2* function in parasites**
822 *KAI2d* proteins in parasites have been convincingly implicated in the perception of host-derived
823 germination stimulants through *in vitro* SL-binding and SL-hydrolysis assays, cross-species
824 complementation, and application of *KAI2*-specific inhibitors. However, genetic analysis in
825 parasitic plants would provide a more conclusive and direct evaluation of the functional
826 contributions of individual *KAI2d* genes. This would be a formidable pursuit through classical
827 genetic methods due to functional redundancy and genetic linkage among parasite *KAI2*
828 families. Reverse genetic approaches, particularly the use of CRISPR-Cas9 gene editing
829 technology, offer a way forward if efficient methods for parasite transformation are available.

830
831 Transient and stable transformation of the facultative hemiparasites *Triphysaria versicolor* and
832 *Phtheirospermum japonicum* has been achieved with *Agrobacterium tumefaciens* or *A.*
833 *rhizogenes* (Tomilov et al., 2007; Ishida et al., 2011; Bandaranayake and Yoder, 2018). A
834 method for stable transformation of the obligate holoparasite *Phelipanche aegyptiaca* has also
835 been developed, producing transgenic roots and shoot buds (Fernández-Aparicio et al., 2011a).
836 These approaches enable evaluation of gene function in parasite roots. However, fertile plants
837 have not been regenerated, so the ability to induce the heritable genetic changes needed for
838 evaluation of germination phenotypes is still lacking.

839
840 A breakthrough in transformation of recalcitrant dicots was achieved recently (Maher et al.,
841 2020). Expression of developmental regulators such as *WUSCHEL*, *BABY BOOM*, and *SHOOT*

842 *MERISTEMLESS* during *Agrobacterium*-mediated transformation can induce *de novo* formation
843 of meristems from leaf and stem tissues. This method successfully generates heritable
844 transgenic or gene-edited events from plants grown in sterile culture or in soil. Because this
845 approach bypasses the need to regenerate shoots and roots from callus tissue, which is a
846 common roadblock to tissue culture-based transformations, it may be a promising tool for
847 genetic manipulation of parasitic plants.

848

849 In terms of prioritizing targets, gene expression patterns may provide clues about which *KAI2*
850 paralogs have major roles in germination control. So far, comparisons of the expression of
851 *KAI2d* paralogs in different parasite tissues are limited to *Striga hermonthica* and *Striga asiatica*.
852 Interestingly, while the transcripts of some *KAI2d* paralogs are enriched in seeds or induced
853 during seed conditioning, others show seedling-specific expression patterns (Tsuchiya et al.,
854 2015; Yoshida et al., 2019). This raises the possibility that there may be a role for host-derived
855 SL perception after germination.

856

857 **Translational outcomes of understanding the host-detection mechanism**

858 An ongoing goal of the SL field is the discovery of stable and inexpensive chemical substitutes
859 for SLs that can either stimulate suicidal germination of parasitic weeds or block parasite
860 germination in the field. Many approaches to finding parasite germination regulators have been
861 guided initially by assays of hormonal SL activities, such as tillering/shoot branching repression
862 in non-parasitic angiosperms or yeast two-hybrid interactions between D14 and D53 (for
863 example, Nakamura et al., 2019). Others have used assays for activation of *Arabidopsis* *KAI2*
864 (*AtKAI2*). For example, a yeast two-hybrid assay for *AtKAI2* interaction with *MAX2* was used to
865 screen a chemical library of 4,182 compounds. Forty-two compounds that promoted *AtKAI2-*
866 *MAX2* interactions in yeast were further screened with seedling growth and CONSTITUTIVE
867 PHOTOMORPHOGENIC 1 (COP1)-localization assays in *Arabidopsis*, leading to the
868 identification of three lead compounds. All three compounds promoted germination of *Striga*
869 *hermonthica*, albeit with much lower potency than GR24 (Toh et al., 2014). A screen for
870 antagonists that interfere with GR24 perception in *Arabidopsis* seedlings, which occurs through
871 *AtKAI2* and D14, was performed with the same chemical library (Holbrook-Smith et al., 2016).
872 Thirty-seven compounds blocked the inhibitory effect of *rac*-GR24 on *Arabidopsis* hypocotyl
873 elongation, of which seven inhibited germination of *Arabidopsis* seed. The best performing lead
874 compound, termed soporidine, was shown to bind *AtKAI2* and *ShHTL7*. Micromolar

875 concentrations of soporidine can block the stimulation of *Striga hermonthica* germination by rac-
876 GR24 (Holbrook-Smith et al., 2016).

877
878 These strategies may have been improved by greater specificity for parasite KAI2d. Although
879 parasite KAI2d proteins have converged on SL perception with D14, there are substantial
880 differences in their ligand-binding pockets and downstream signaling partners. KAI2d proteins
881 are more similar to AtKAI2 than D14 proteins, of course with obvious differences in their ligand
882 specificities and pockets. Therefore, chemicals that work on D14 or AtKAI2 may not be effective
883 on KAI2d proteins, and vice-versa. The most effective screens for KAI2d agonists and
884 antagonists will likely be based upon direct tests of parasite germination, high-throughput
885 assays for KAI2d activation, or structures of KAI2d proteins. For example, a chemical library
886 screen of 12,000 synthetic molecules for *Striga hermonthica* germination stimulants led to the
887 identification of *N*-arylsulfonylpiperazine as a molecular scaffold that could replace the ABC-ring
888 of SL (Uraguchi et al., 2018). Joining this scaffold to a methylbutenolide D-ring formed SPL7, a
889 specific agonist of ShHTL7 that can trigger *S. hermonthica* germination at femtomolar
890 concentrations. SPL7 has similar potency to the natural SL 5-deoxystrigol, and is at least 100
891 times more potent than (+)-GR24 on *S. hermonthica* (Uraguchi et al., 2018).

892
893 Variability among parasite seed populations, access to parasite seed, and biosafety
894 considerations make parasite germination-based screens particularly challenging. Therefore,
895 there is a need for simple, consistent assays to measure the effects of different compounds on
896 KAI2d activity. Yeast two-hybrid assays between KAI2d proteins and parasite MAX2 or SMAX1
897 might be an effective primary screen for chemical regulators of KAI2d. *Arabidopsis* KAI2 is
898 prone to non-specific interactions with MAX2 in yeast two-hybrid, however (Yao et al., 2018a).
899 The middle domains of SMAX1 mediate KAI2 interactions in *Arabidopsis*, and might provide a
900 clearer interaction assay (Khosla et al., 2020a). Putatively, FRET-based biosensors for SL could
901 also be developed based upon protein-protein interactions with KAI2d, as have already been
902 accomplished for ABA and ABA (Jones et al., 2014; Waadt et al., 2014; Rizza et al., 2017). A
903 functional assay that measures the ability of a parasite KAI2d to target SMAX1 for degradation
904 would be ideal, as the binding or hydrolysis activity of KAI2d proteins for a compound *in vitro*
905 does not always reflect its potency *in vivo*. A highly sensitive and specific assay for SL activity,
906 StrigoQuant, was developed that measures degradation of a ratiometric bioluminescent SMXL6
907 reporter in *Arabidopsis* protoplasts (Samodelov et al., 2016). Potentially, a similar system that
908 measures SMAX1 degradation could enable characterization of the ligand preferences and

909 affinity of a coexpressed parasite KAI2d protein. A ratiometric system that has a similar design
910 principle to StrigoQuant has been used to assay SMAX1 degradation in *Nicotiana benthamiana*
911 leaves (Khosla et al., 2020a; Khosla et al., 2020b). This may be useful as a medium-throughput
912 *in vivo* assay for agonists/antagonists of a KAI2d protein. Another exciting possibility has come
913 from the development of SL biosensors that integrate circular-permuted GFP into DAD2 and
914 ShHTL7 proteins (Chesterfield et al., 2020). A C-terminal fusion of the biosensor to a second
915 fluorescent protein, LSSmOrange, provides an internal control that normalizes for changes in
916 biosensor abundance. These single-protein sensors show a two-fold decrease in GFP
917 fluorescence relative to LSSmOrange in the presence of SLs due to conformational changes
918 that occur in the receptor during SL binding and/or hydrolysis. The cpGFP-ShHTL7 biosensor
919 shows high sensitivity to SLs, with EC₅₀ values ranging from 9 nM for *rac*-GR24 to 116 nM for
920 *rac*-5DS (Chesterfield et al., 2020). However, the relative sensitivity of ShHTL7 to *rac*-GR24,
921 *rac*-orobanchol, and *rac*-5DS differs in biosensor assays *versus* YLG competition assays
922 (Tsuchiya et al., 2015; Chesterfield et al., 2020).

923
924 Crystal structures of receptors unlock additional approaches to the discovery and design of
925 novel chemical regulators. For example, molecular docking can be used to perform *in silico*
926 screens for potential agonists or antagonists that are refined further through *in vitro* assays and
927 structure-guided optimization. This approach was employed with great success to design a
928 headgroup that dramatically improves the affinity of an ABA receptor agonist, opabactin (Vaidya
929 et al., 2019). In another example, pharmacophore models developed from crystal structures of
930 rice D14 were used to perform *in silico* screening of 4.7 million compounds for potential SL
931 signaling inhibitors (Mashita et al., 2016). Further screening of 61 commercially available
932 compounds for the ability to block GR24-induced yeast two-hybrid interactions between D14
933 and D53 or SLR1 led to the identification of 2-methoxy-1-naphthaldehyde (2-MN). Interestingly,
934 2-MN was able to inhibit or partially inhibit germination of *Arabidopsis thaliana* and *Striga*
935 *hermonthica* in the presence of GR24, suggesting it is a KAI2 antagonist as well (Mashita et al.,
936 2016). If applied against KAI2d structures from parasites, this strategy may prove even more
937 effective for identifying regulators of parasite germination. This is illustrated by molecular
938 docking experiments that examined the placement of 4-bromodebranone (4BD) in the ShHTL5
939 pocket (Fukui et al., 2017). 4BD was originally identified as a D14 agonist with a simple
940 structure that suppresses tillering, but it only has weak activity on *Striga hermonthica*
941 germination (Fukui et al., 2013). The docking analysis enabled rational design of 4BD analogs
942 that had improved activity and specificity for *Striga* germination (Fukui et al., 2017). A recent

943 biochemical characterization of 60 ShHTL7 proteins with substitutions in ligand-binding pocket
944 residues enabled the development of a Mutation-dependent Biomacromolecular Quantitative
945 Structure–Activity Relationship (MB-QSAR) model. This model has a strong ability to predict the
946 binding affinities of ShHTL7 mutants and other ShHTL proteins for GR24, and may prove useful
947 for the rational design of new ShHTL regulators (Pang et al., 2020).

948

949 **Concluding Remarks**

950 The discovery of novel receptors for strigolactones in parasites was an important step toward
951 understanding the dynamic relationship between parasitic Orobanchaceae and their hosts.
952 Further questions about the evolution of this key parasitic adaptation are now raised (see
953 Outstanding Questions). Over the past several years, a remarkable combination of approaches
954 from the disciplines of chemistry, biochemistry, structural biology, evolution, and genetics have
955 rapidly led to detailed mechanistic insights into strigolactone signaling in parasites. With the
956 development of new tools to probe activation of KAI2d proteins *in vitro*, *in vivo*, and *in silico*,
957 there is an unprecedented opportunity to translate these discoveries into better ways to combat
958 parasitic weed infestations and improve crop yields.

959

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963

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966 National Institute of Food and Agriculture (Hatch project 1023345).

967

968

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970

971 **Figure Legends**

972

973 **Figure 1. Chemical structures of representative karrikins, strigolactones, and GR24**

974 KAR₁ and KAR₂ are naturally occurring karrikins in smoke. 5-deoxystrigol (5DS) and 4-
975 deoxyorobanchol are representatives of the strigol-type and orobanchol-type strigolactone
976 classes, respectively, which differ in the stereochemical configuration of the B-C ring junction.

977 *rac*-GR24 is a racemic mixture of a synthetic analog of 5DS and its enantiomer. The D-ring of
978 GR24^{ent-5DS} has a 2'S configuration that has not been found in naturally occurring strigolactones.

979

980 **Figure 2. Representative models of KAI2-SCF^{MAX2} signaling in non-parasitic and parasitic**
981 **plants**

982 In *Arabidopsis thaliana*, KAI2 mediates responses to a putative KAI2 ligand (KL) and an
983 unknown modified form of KAR₁. In many parasitic Orobanchaceae such as *Striga hermonthica*,
984 an increase in gene copies of KAI2 have led to diversified ligand preferences. Cross-species
985 complementation assays suggest that KAI2c proteins preferentially mediate responses to KL,
986 and KAI2i proteins can mediate responses to KARs. However, for unknown reasons obligate
987 parasites do not germinate in response to KAR treatments. A diverse collection of KAI2d
988 proteins function as strigolactone (SL) receptors that can regulate seed germination. Activation
989 of a KAI2 protein induces its association with SCF^{MAX2} and a SMAX1-type SMXL protein (e.g.
990 SMAX1 or SMXL2 in *Arabidopsis thaliana*). The SMXL protein is then polyubiquitinated by
991 SCF^{MAX2} and degraded by the 26S proteasome, triggering downstream responses.

992

993 **Figure 3. Diversification of KAI2 in parasitic Orobanchaceae**

994 Bar plot of the number of KAI2 genes and their types detected in Orobanchaceae species and
995 non-parasitic dicots. Obligate parasites require a host to complete their life cycle. Facultative
996 hemiparasites retain photosynthetic capacity and do not require a host for survival.
997 *Lindenbergia philippensis* is a basal non-parasite in the Orobanchaceae. Gene copy estimates
998 are from Conn et al., 2015; Yoshida et al., 2019; and de Saint Germain et al., 2020.

999

1000 **Figure 4. A hypothesis for selective germination responses to strigolactones**

1001 In this model, KAI2d1 and KAI2d2 represent two paralogous receptors that respectively prefer
1002 either strigol-type strigolactone (SL; B-C ring configuration highlighted in purple) or orobanchol-
1003 type SL (B-C ring configuration highlighted in orange) as ligands. For some parasites,
1004 expansion of the KAI2d family may enable responses to a broader range of SLs (left). Activation
1005 of either the KAI2d1 or KAI2d2 receptor by the presence of strigol-type SL or orobanchol-type
1006 SL may cause sufficient SMAX1 degradation to activate germination. This is equivalent to an
1007 OR logic gate. However, the seed of some parasites, such as *Striga gesnerioides*, respond
1008 positively to some SLs but are inhibited by other SLs. This specificity could fine-tune
1009 germination responses to exudates from compatible hosts. In the specialized SL response

1010 hypothesis (right), a dominant-negative mutation (*) causes a KAI2d protein to lose interactions
1011 with either MAX2 or SMAX1, but not both, proteins. Here, the mutant KAI2d1* protein can
1012 interact with SMAX1 but not MAX2 upon activation. This sequesters SMAX1 and prevents it
1013 from being targeted for degradation by other KAI2d-SCF^{MAX2} complexes. Competition between
1014 KAI2d1* and fully functional KAI2d proteins that can trigger SMAX1 degradation affects the
1015 overall abundance of SMAX1. If SMAX1 levels remain high, germination is blocked. This
1016 competition is denoted by versus (VS) and is affected by the presence of SLs that activate
1017 KAI2d1* or KAI2d2.

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ADVANCES

- Strigolactone perception by parasite seed is mediated by a clade of neofunctionalized KAI2d proteins that evolved from a receptor that mediates karrikin responses in non-parasitic plants.
- KAI2d proteins use a similar mechanism to perceive strigolactones as D14, which mediates growth responses to strigolactones in non-parasites, but activate different signaling pathways.
- Crystal structure analyses and chemical probes reveal features of KAI2d ligand-binding pockets that contribute to their specificity.

OUTSTANDING QUESTIONS

- How are non-canonical SLs and other lactone molecules that stimulate parasite germination perceived?
- Do KAI2d proteins have adaptive value as SL receptors in facultative hemiparasites that do not show host-activated germination?
- Do some KAI2d proteins negatively regulate germination after detecting specific SLs?
- Is expansion of the KAI2d clade adaptive in the transition to a weedy lifestyle?
- Is host-triggered germination used by obligate parasites of long-lived perennial hosts?
- What are the roles of KAI2c and KAI2i proteins in parasitic plants? Why do KARs not activate germination of *S. hermonthica*, which expresses KAI2i?
- How does SMAX1 impose seed dormancy, and how has this system become so important to parasite dormancy?
- Which cells in parasite seed control germination? How do parasite seed maintain long-term viability while supporting a system for detecting SLs?

BOX 1. The structure of strigolactones affects their activity in plants

Strigolactones are synthesized from β -carotene via an intermediate molecule, carlactonoic acid (Alder et al., 2012; Seto et al., 2014; Bouwmeester et al., 2020).

Carlactonoic acid is converted into either canonical or non-canonical SLs, or both types, depending on the species

(Yoneyama et al., 2018b). Canonical SLs are composed of a tricyclic ABC-ring system connected by an enol-ether bridge to a methylbutenolide D-ring. Based upon their stereochemical configuration at the B-C ring juncture, canonical SLs can be subdivided further into orobanchol-type and strigol-type molecules (Figure 1). By contrast, non-canonical SLs have diverse alternatives to the ABC-ring structure, while retaining an enol-ether-linked methylbutenolide D-ring. It is important to note that all known naturally occurring SLs have a 2'R-configured D-ring.

BOX 2. How do host-specific germination responses evolve?

Even parasitic weeds with broad host ranges can show selective germination responses to SLs. For example, *Striga hermonthica* seed are much more sensitive to strigol-type SLs than to orobanchol-type SLs (Tsuchiya et al., 2015). By contrast, germination of *Striga gesnerioides* is inhibited by strigol-type SLs and promoted by orobanchol-type SLs (Nomura et al., 2013). This raises the question of how stereoselective germination responses evolved in these species, which have many *KAI2d* paralogs. Gaining a response to a specific SL could be a straightforward process in which a *KAI2d* paralog acquires a mutation(s) that alters its ligand-specificity. Gaining negative germination responses or insensitivity to a SL may be more difficult to achieve, particularly if multiple *KAI2d* proteins can perceive it. Nonetheless, there is a selective advantage for this to occur when a SL from a non-host plant triggers suicidal germination.

Insensitivity to the disadvantageous SL could emerge through the gradual acquisition of mutations that deactivate the expression or function of all *KAI2d* that perceive that SL in seed. Alternatively, the same effect could be rapidly achieved through an antimorphic (dominant-negative) mutation of a *KAI2d* protein that recognizes the disadvantageous SL. Such a mutation would prevent the affected *KAI2d* protein from interacting with either *MAX2* or *SMAX1*, but not both proteins. This could enable competitive sequestration of *SMAX1* or *MAX2* from other *KAI2d* proteins, putatively protecting *SMAX1* from degradation and inhibiting germination (Figure 4). Such mutations are plausible, as single amino acid substitutions that affect D14 interactions with *MAX2* or D53-type *SMXL* proteins have been identified (Zhao et al., 2015; Yao et al., 2016; Seto et al., 2019; Lee et al., 2020).

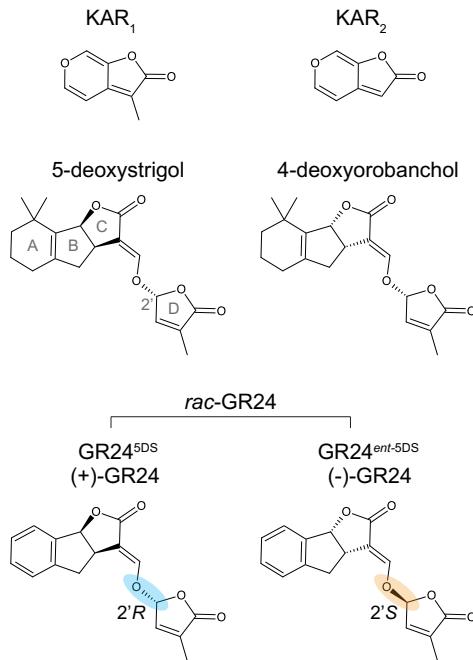


Figure 1. Chemical structures of representative karrikins, strigolactones, and GR24
 KAR₁ and KAR₂ are naturally occurring karrikins in smoke. 5-deoxystrigol (5DS) and 4-deoxyorobanchol are representatives of the strigol-type and orobanchol-type strigolactone classes, respectively, which differ in the stereochemical configuration of the B-C ring junction. rac-GR24 is a racemic mixture of a synthetic analog of 5DS and its enantiomer. The D-ring of GR24^{ent}-5DS has a 2'S configuration that has not been found in naturally occurring strigolactones.

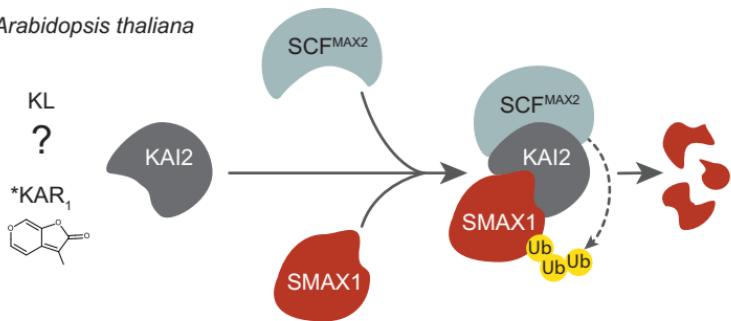
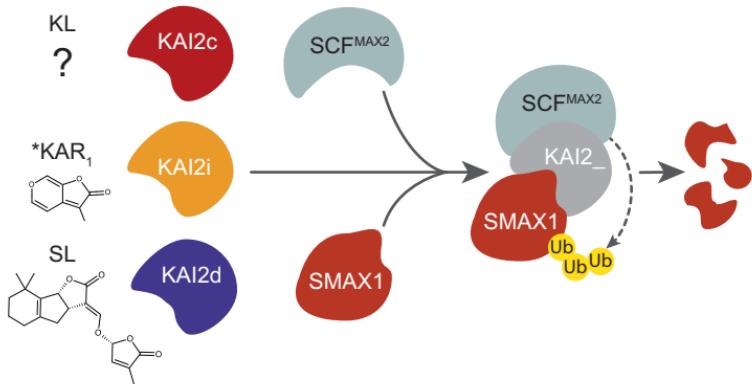
Arabidopsis thaliana*Striga hermonthica*

Figure 2. Representative models of KAI2-SCF^{MAX2} signaling in non-parasitic and parasitic plants

In *Arabidopsis thaliana*, KAI2 mediates responses to a putative KAI2 ligand (KL) and an unknown modified form of KAR₁. In many parasitic Orobanchaceae such as *Striga hermonthica*, an increase in gene copies of KAI2 have led to diversified ligand preferences. Cross-species complementation assays suggest that KAI2c proteins preferentially mediate responses to KL, and KAI2i proteins can mediate responses to KARs. However, for unknown reasons obligate parasites do not germinate in response to KAR treatments. A diverse collection of KAI2d proteins function as SL receptors that can regulate seed germination. Activation of a KAI2 protein induces its association with SCF^{MAX2} and a SMAX1-type SMXL protein (e.g. SMAX1 or SMXL2 in *Arabidopsis thaliana*). The SMXL protein is then polyubiquitinated by SCF^{MAX2} and degraded by the 26S proteasome, triggering downstream responses.

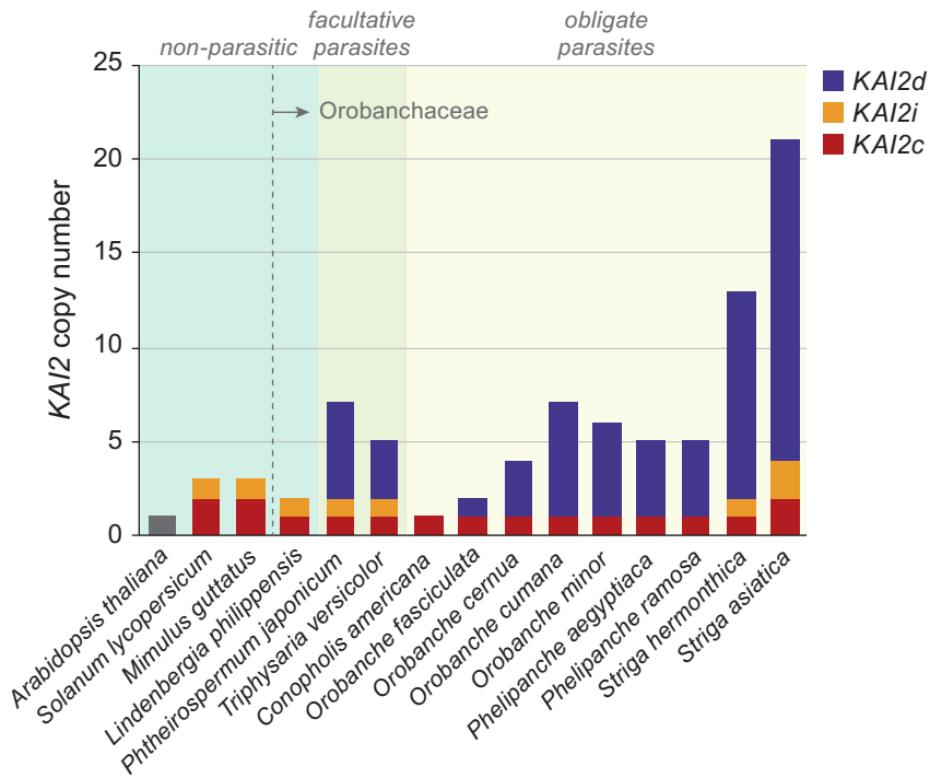


Figure 3. Diversification of KAI2 in parasitic Orobanchaceae

Bar plot of the number of KAI2 genes and their types detected in Orobanchaceae species and non-parasitic dicots. Obligate parasites require a host to complete their life cycle. Facultative hemiparasites retain photosynthetic capacity and do not require a host for survival. *Lindenberghia philippensis* is a basal non-parasite in the Orobanchaceae. Gene copy estimates are from Conn et al., 2015; Yoshida et al., 2019; and de Saint Germain et al., 2020.

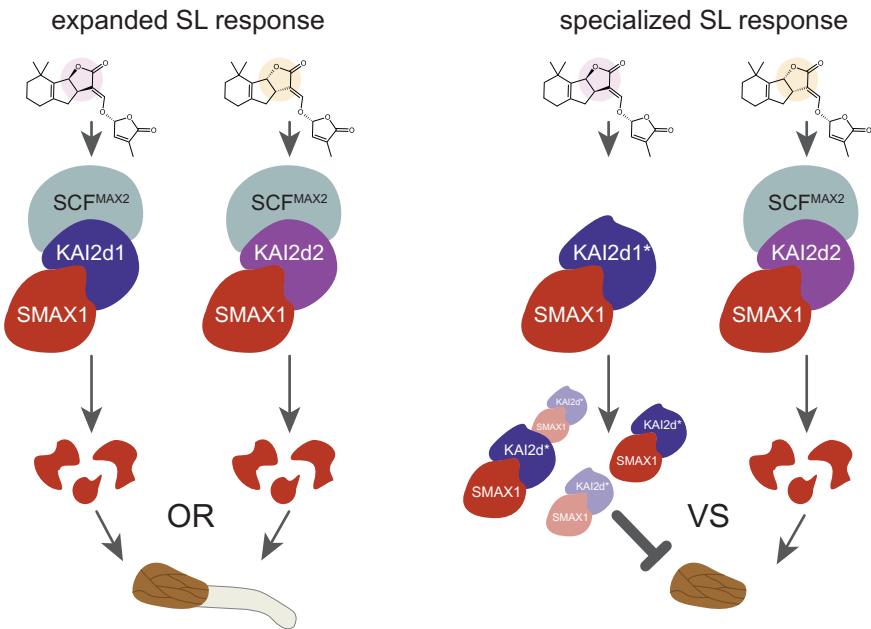


Figure 4. A hypothesis for selective germination responses to strigolactones

In this model, KAI2d1 and KAI2d2 represent two paralogous receptors that respectively prefer either strigol-type SL (B-C ring configuration highlighted in purple) or orobanchol-type SL (B-C ring configuration highlighted in orange) as ligands. For some parasites, expansion of the KAI2d family may enable responses to a broader range of SLs (left). Activation of either the KAI2d1 or KAI2d2 receptor by the presence of strigol-type SL or orobanchol-type SL may cause sufficient SMAX1 degradation to activate germination. This is equivalent to an OR logic gate. However, the seed of some parasites, such as *Striga gesnerioides*, respond positively to some SLs but are inhibited by other SLs. This specificity could fine-tune germination responses to exudates from compatible hosts. In the specialized SL response hypothesis (right), a dominant-negative mutation causes a KAI2d protein to lose interactions with either MAX2 or SMAX1, but not both, proteins. Here, KAI2d1* can interact with SMAX1 but not MAX2 upon activation. This sequesters SMAX1 and prevents it from being targeted for degradation by other KAI2d-SCFMAX2 complexes. Competition between KAI2d1* and fully functional KAI2d proteins that can trigger SMAX1 degradation affects the overall abundance of SMAX1. If SMAX1 levels remain high, germination is blocked. This competition is denoted by versus (VS) and is affected by the presence of SLs that activate KAI2d1* or KAI2d2.

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