

1

2 Persistent El Niño driven shifts in marine cyanobacteria 3 populations

4

5

6

7 Alyse A. Larkin¹, Allison R. Moreno², Adam J. Fagan¹, Alyssa Fowlds¹, Alani Ruiz¹ and Adam
8 C. Martiny^{1,2}

9

10

11

12 ¹ Department of Earth System Science, University of California at Irvine, Irvine, California,
13 United States of America

14 ² Department of Ecology and Evolution, University of California at Irvine, Irvine, California,
15 United States of America

16

17

17

—

21

22 **Abstract**

23 In the California Current Ecosystem, El Niño acts as a natural phenomenon that is
24 partially representative of climate change impacts on marine bacteria at timescales relevant to
25 microbial communities. Between 2014-2016, the North Pacific warm anomaly (a.k.a., the
26 “blob”) and an El Niño event resulted in prolonged ocean warming in the Southern California
27 Bight (SCB). To determine whether this “marine heatwave” resulted in shifts in microbial
28 populations, we sequenced the *rpoC1* gene from the biogeochemically important
29 picocyanobacteria *Prochlorococcus* and *Synechococcus* at 434 time points from 2009-2018 in
30 the MICRO time series at Newport Beach, CA. Across the time series, we observed an increase
31 in the abundance of *Prochlorococcus* relative to *Synechococcus* as well as elevated frequencies
32 of ecotypes commonly associated with low-nutrient and high-temperature conditions. The
33 relationships between environmental and ecotype trends appeared to operate on differing
34 temporal scales. In contrast to ecotype trends, most microdiverse populations were static and
35 possibly reflect local habitat conditions. The only exceptions were microdiversity from
36 *Prochlorococcus* HLI and *Synechococcus* Clade II that shifted in response to the 2015 El Niño
37 event. Overall, *Prochlorococcus* and *Synechococcus* populations did not return to their pre-
38 heatwave composition by the end of this study. This research demonstrates that extended
39 warming in the SCB can result in persistent changes in key microbial populations.

40 **Introduction**

41 Ocean warming may be driving an areal increase of the globe's oligotrophic gyres
42 through increased stratification and weaker nutrient entrainment [1, 2]. Marine bacterioplankton
43 may be sensitive to this global ocean change. To date, ocean time series efforts have shown that
44 marine bacteria are highly responsive to seasonal environmental cycles [3-6] and display a slow
45 decay in community similarity on an annual to multi-annual scales [7, 8]. Paleo-oceanographic
46 evidence suggests that climatic tipping points can lead to relatively rapid shifts in plankton
47 community composition, including cyanobacteria [9]. However, across systems, seasonal
48 patterns in bacterial community composition have generally been resilient to discrete, or "pulse,"
49 disturbances such as storms and short-term anthropogenic impacts [10-13]. Moreover,
50 quantitative measurements of the response of marine bacteria to warming experiments *in situ* are
51 rare [14], particularly in comparison to the range of warming experiments in soils and other
52 ecosystems [15]. In contrast, a multitude of studies have shown that eukaryotic marine
53 phytoplankton and zooplankton show significant changes in community structure in response to
54 longer-term climatic warming oscillations on time scales from El Niño to the Atlantic
55 Multidecadal Oscillation [16-18]. Thus, empirical observations of bacterioplankton responses to
56 *in situ* ocean warming are both limited and critical for understanding how ocean ecosystems
57 respond to climate change.

58 Given the rapid generation times of marine bacteria, El Niño is a natural laboratory that
59 can be used to understand bacterioplankton responses to multiannual-scale ocean warming and
60 climate change impacts in the North Pacific Ocean. From 2014 through 2016, the Warm
61 Anomaly and a significant El Niño event resulted in a prolonged "marine heatwave" across the
62 Eastern North Pacific Ocean [19, 20]. In the Southern California Bight (SCB), these events

63 resulted in suppressed primary production but little to no change in C export [21, 22].
64 Stratification and lower nutrient supply lead to reduced particulate organic matter concentrations
65 with concurrent high carbon:phosphorus (C:P) and nitrogen:phosphorus (N:P) ratios [23].
66 Previous studies of plankton communities in the SCB revealed increasing cyanobacteria cell
67 abundance [5]. In addition, zooplankton populations showed significant changes in composition
68 at the species level [24], suggesting that warming has a significant effect on planktonic
69 communities in this region. Prior to the 2014-16 events, an analysis of hydrographic data (1984–
70 2012) from the California Cooperative Oceanic Fisheries Investigations (CalCOFI) program
71 revealed declining inorganic N:P ratios at coastal locations in the SCB [25]. Based on these
72 observations, we predicted that prolonged warming, decreased nutrient availability, and shifting
73 N:P ratios due to the 2014-2016 climate anomalies would also result in a shift in marine
74 cyanobacteria populations.

75 The highly abundant, widely distributed, and biogeochemically significant cyanobacteria
76 *Prochlorococcus* and *Synechococcus* represent an important model system that can be used to
77 determine the impact of changing environmental conditions on population-specific microbial
78 diversity. Both genera are characterized by genomic traits associated with light, temperature, and
79 nutrient optima that drive variable, clade-specific biogeographic patterns of abundance at high
80 levels of phylogenetic similarity [26–30]. Thus, phylogenetic diversity of these genera (i.e.,
81 ecotypes [31]) can be readily associated with subtle ocean changes due to our extensive
82 knowledge of their respective trait distributions [32]. Previous time series studies of
83 *Synechococcus* and *Prochlorococcus* have demonstrated stable interannual patterns of relative
84 ecotype abundance as well as seasonal switching in either ecotype or sub-ecotype taxonomic
85 patterns occurring at very rapid time scales [33–37]. In the SCB, cold-water ecotypes

86 *Synechococcus* Clade I and Clade IV have been shown to dominate most of the year, with
87 intermittent incursions of the warm-water ecotype Clade II [33, 37, 38]. Thus, we predict that (i)
88 cyanobacteria will be sensitive to climatically-forced environmental changes and (ii) shifts in
89 diversity at specific phylogenetic levels and their associated traits will reveal how
90 bacterioplankton experience these environmental changes.

91 Here, we use cyanobacterial populations to test whether microbial populations across
92 differing levels of phylogenomic similarity show significant community changes in response to a
93 prolonged warming period. To characterize microbial populations, we sequenced the highly
94 variable cyanobacterial *rpoC1* gene [39] from weekly-to-monthly samples between 2009 and
95 2018 at the Newport Pier MICRO time series. We specifically predicted that (i) the abundance of
96 *Prochlorococcus* relative to *Synechococcus* would increase in response to the El Niño
97 conditions, (ii) warming would result in an increase in the relative abundance of the high-
98 temperature ecotypes of *Prochlorococcus* and *Synechococcus*, and (iii) changes in nutrient
99 availability would result in systematic shifts in the composition of microdiverse populations over
100 seasonal and annual time scales. This work has important implications for understanding how the
101 link between phylogenetic trait distributions and microbial populations both dictates response to
102 and reveals the impact of future anthropogenic ocean warming.

103

104 **Materials and methods**

105 **Sample Collection**

106 Between September 2009 and 2018, 434 surface seawater samples were taken at varying
107 temporal resolutions (i.e., daily to monthly) from the MICRO time series at Newport Pier in
108 Newport Beach, California, USA (33.608°N and 117.928°W). In this observational field study
109 no permits were required to collect seawater samples. Sample collection and processing were
110 performed as previous [5, 23]. Briefly, two autoclaved bottles were rinsed with ocean water
111 before collection and immediate transport. Replicate DNA samples were collected via filtration
112 of 1 L of seawater through a 2.7 μ m GF/D and a 0.22 m polyethersulfone Sterivex filter
113 (Millipore, Darmstadt, Germany) using sterilized tubing and a Masterflex peristaltic pump (Cole-
114 Parmer, Vernon Hills, IL). DNA was preserved with 1620 μ l of lysis buffer (23.4 mg/ml NaCl,
115 257 mg/ml sucrose, 50 mM Tris-HCl, 20 mM EDTA) and stored at -20°C. Nitrate and phosphate
116 samples were collected in prewashed 50 mL Falcon tubes and filtered through a 0.2 μ m syringe
117 filter and stored at -20°C. Nutrient samples were analyzed at the Marine Science Institute at the
118 University of California, Santa Barbara or at University of California, Irvine for measurement of
119 NO_3^- and PO_4^{3-} as soluble reactive phosphorus (SRP). Finally, temperature was recorded via an
120 automated shore station. The data was downloaded from the SCCOOS website for the data range
121 2009-2018. Environmental trends can be accessed via the MICRO BCO-DMO deployment (bco-
122 dmo.org/deployment/632387).

123 **DNA Extraction and Amplification**

124 Bacterial DNA was extracted from Sterivex syringe filters (Millipore). The filters were
125 incubated at 37°C for 30 minutes with lysozyme (50 mg/ml final concentration) before

126 Proteinase K (1 mg/ml) and 10% SDS buffer were added and incubated at 55°C overnight. The
127 DNA was precipitated using a solution of ice-cold isopropanol (100%) and sodium acetate (245
128 mg/ml, pH 5.2), pelleted via centrifuge, and resuspended in TE buffer (10 mM Tris-HCl, 1 mM
129 EDTA) in a 37°C water bath for 30 min. DNA was purified using a genomic DNA Clean and
130 Concentrator kit (Zymo Research Corp., Irvine, CA), and the concentration was quantified using
131 a Qubit dsDNA HS Assay kit and Qubit fluorometer (ThermoFisher, Waltham, MA).

132 **Sequencing of *rpoC1* Gene**

133 Polymerase chain reaction (PCR) was used to amplify the cyanobacterial *rpoC1* gene
134 using degenerative *rpoC1* primers, SAC1039R (5'- CYT GYT TNC CYT CDA TDA TRT) and
135 5M_newF (5'-GAR CAR ATH GTY TAY TTY A). A total of 4 ng DNA was added to 20 µl
136 reactions [0.3 µM primers, 2.5 units 5-Prime HotMaster DNA Taq Polymerase (Quantabio,
137 Beverly, MA), MasterAmp 1× Premix F (Epicenter Biotechnologies, Wisconsin, USA)].

138 Amplified samples from 2009-2013 were sequenced on a 454 Titanium platform (Roche,
139 Switzerland). Amplification was performed with the following PCR steps: 95°C for 2 min, 10
140 cycles of 95°C for 30 s, 50°C for 40 s, and 72°C for 60 s. The resulting PCR product was
141 retrieved and a forward primer with the Roche 454 Titanium flow cell adapter sequences and a
142 12 base pair Golay barcode sequence was added to the reaction at a concentration of 1 ng/µl. The
143 barcoded sequences were amplified under the following conditions: 23 cycles of 95°C for 30 s,
144 50°C for 40 s, 72°C for 60 s, and a final extension step 72°C for 10 min.

145 Samples from 2014-2018 as well as select samples from 2011-2013 were sequenced
146 using a MiSeq platform (Illumina, San Diego, CA) with 300 bp paired-end chemistry.
147 Amplification occurred under the following conditions: 94°C for 2 min, 34 cycles of 94°C for 30
148 s, 48°C for 40 s, and 72°C for 60 s. Next, 1 µl each of I5 and I7 Nextera v2 barcoded indices

149 with Illumina adapters (1 ng/μl) were added to the PCR products. Annealing of the barcoded
150 indices to the amplicon occurred as follows: 10 cycles of 94°C for 30 s, 55°C for 40 s, and 72°C
151 for 60 s, and a final extension at 72 °C for 10 min.

152 The resulting PCR product length was analyzed via agarose gel electrophoresis and the
153 barcoded products were pooled. Dimers that were less than 500 nucleotides long were then
154 removed using magnetic bead purification (Agencourt AMPure XP beads, Beckman Coulter Inc.,
155 Brea, CA). Product purity and length distribution was checked using a 2100 Bioanalyzer high
156 sensitivity DNA trace (Agilent, Santa Clara, CA). Roche 454 sequencing was performed using a
157 454 GS FLX+ Titanium (Roche, Basel, Switzerland) resulting in 323,143 reads. Illumina
158 sequencing was performed using a MiSeq 300 bp paired-end platform with 600 cycles (Illumina,
159 San Diego, CA) resulting in 14,492,986 reads. Sequence files are available from the NCBI
160 Sequence Read Archive (accession #PRJNA624320).

161 **Sequence Analysis**

162 Both 454 and Illumina sequences were quality filtered using the same processing steps.
163 First, PhiX control sequences were removed using Bowtie 1.1.2. FASTQC was then used to
164 determine where read quality dropped below Q20. The 454 reads were truncated to 385 bp and
165 the Illumina forward reads were truncated to 255 bp. Next, fastq-mcf [40] was used to filter reads
166 with a mean quality score below 20 or with more than 1% of bases below Q20. Finally,
167 sequences were trimmed to the same 248 bp fragment (BioPython, v. 1.7.0, [41]). Quality
168 filtered and truncated sequences were imported into QIIME 2 (v. 2.2018.11, [42]).

169 The 454 and Illumina demultiplexed sequences were denoised independently using the
170 QIIME 2 command dada2 denoise-single with the following parameters (--p-trunc-len 0 --p-
171 max-ee 3 --p-n-reads-learn 800000). Next, the datasets were merged and sequences were

172 taxonomically identified using the vsearch classifier (default parameters) to compare sequences
173 to a hand-curated database of 115 *Prochlorococcus*, *Synechococcus*, and other common marine
174 bacterial reference genomes. Statistical analyses of taxonomic patterns were performed in the R
175 computing environment [43]. Prior to calculations of ecotype relative abundance, samples were
176 rarefied to a consistent sequencing depth of 3000 sequences per sample (“rrarefy” in the “vegan”
177 package, [44]). A depth of 3000 was selected via the protocol outlined in [45]. Briefly, the
178 species matrix was rarefied to a range of depths 10 times each, then both richness and Shannon’s
179 diversity trends were compared to the overall dataset via Pearson correlation. A rarefaction depth
180 of 3000 resulted in diversity trends that were > 95% similar to the overall dataset (S1 Fig). All
181 samples were rarefied 100 times and the median count for each taxon in each sample was used in
182 all relative abundance and temporal trend analyses.

183 To identify microdiverse populations, sequence feature IDs associated with known
184 *Prochlorococcus* and *Synechococcus* reference genomes were used to extract and align ecotype-
185 specific *rpoC1* sequences. Sequence codons were aligned (MEGA, version 7.0.21) and
186 sequences with frameshift mutations were removed. The ecotype-specific majority sequence for
187 each sample was calculated (BioPython) based on the 30% nucleotide consensus at each base
188 pair position using all sequences associated with the ecotype of interest. Highly conserved single
189 nucleotide polymorphisms (SNPs) in the station-specific majority sequences were identified via
190 comparison to the *rpoC1* sequence from a reference genome.

191 SNP-delineated microdiverse “haplotypes” were identified in one *Prochlorococcus* and
192 one *Synechococcus* ecotype (HLI and Clade II). Specifically, aligned reads were used to
193 calculate the pairwise Euclidean distance between all sequences (i.e., no. of nucleotide
194 differences). This distance matrix was then clustered via average-linkage hierarchical clustering

195 (“hclust” in the “stats” package). Within-cluster sum of squares was used to determine the
196 optimum number of SNP clusters, then the stability of the SNP clusters was assessed via Jaccard
197 bootstrapping (“cluster.stats” and “clusterboot” in the “fpc” package, [46]). Three basepair
198 positions in the consensus sequence were used to distinguish between the two largest stable
199 haplotype clusters for each ecotype (HLI: base pairs 9, 57, 108; Clade II: base pairs 48, 78, 81].
200 Sequences from each sample that matched the haplotype-specific SNP profiles were counted and
201 transformed into relative abundance by dividing by the sequence count for each ecotype.

202 **Environmental Trends**

203 To quantify both seasonal and interannual trends in the environment and microbial
204 community, we fit the following ANOVA model to our data:

205

$$206 Y_{ijk} = \mu + \alpha_{Year} X_{Year,j} + \beta_{Month} X_{Month,k} + \varepsilon_{ijk} \quad (1)$$

207

208 Specifically, we performed Type II ANOVAs on categorical linear regressions of temperature,
209 nitrate, phosphate, or relative clade abundance observations (Y_{ijk}) as a function of year (X_j) and
210 month (X_k) with corresponding regression coefficients (i.e., treatment effects) α_{Year} and β_{Month} .
211 Thus, in this manuscript α_N represents the annual nitrate trend, and β_P represents the monthly
212 phosphate trend, etc. We used the “Anova” function in the “car” package [47] and the “lm”
213 function in the “stats” package of R to perform this analysis.

214

215 **Results**

216 We tested the prediction that warming and declining nutrient supply in the Southern
217 California Bight (SCB) would result in an increase in the oligotrophic ecotypes of
218 *Prochlorococcus* and *Synechococcus*. Therefore, we collected temperature, nutrient, and
219 microbial genomic DNA samples for *rpoC1* gene sequencing at 434 time points from 9
220 September 2009 to 5 December 2018 as a part of the Newport Beach Pier MICRO time series
221 (33.608°N, 117.928°W). Specifically, we compared the effects of seasonal and interannual
222 environmental variability on microbial populations at the genus, ecotype, and microdiverse
223 phylogenomic level.

224 **Decomposing Environmental Variability**

225 As reported in Martiny *et al.* 2016 and Fagan *et al.* 2019, temperature and inorganic
226 nutrient concentrations showed significant seasonal and interannual variability across the
227 MICRO time series. To lend context to our novel cyanobacterial population trends, here we add
228 environmental information for 2018 (Fig 1A). Both month and year of sampling had a significant
229 effect on the observed environmental patterns, demonstrating both seasonality and interannual
230 variation (Table 1). Temperature and nutrient concentrations were anti-correlated across the
231 seasonal cycle. Nutrient concentrations were highest in the Winter and Spring, whereas
232 temperature was highest in the Summer and Fall (Fig 1A,C). However, these trends became
233 partially decoupled across multi-year scales. Specifically, temperature and nitrate both showed
234 decreasing trends in 2013 (Fig 1C). Next, nitrate continued to decrease but temperature rose
235 sharply in 2014-2015. Temperature began to decrease in 2016 concurrently with an increase in
236 nitrate, but these trends reversed in 2017. Here, we additionally report that both temperature and
237 nitrate had increasing trends in 2018. In contrast, phosphate had a decreasing trend throughout

238 most of the time series with slight increases in 2012, 2017, and 2018. As a result, the warmest
239 years were 2014, 2015 and 2018, but whereas low nutrient concentrations were observed in
240 2014-2015, 2018 had relatively high inorganic nutrients. Overall, these results support the
241 conclusion that El Niño peaked in 2015 [5, 23, 48] . However, nitrate began to decrease at the
242 sampling site prior to the marine heatwave, suggesting that multiple physical oceanographic or
243 anthropogenic processes could have regulated the environmental changes at this location.

244 **Shifts in Cyanobacteria Lineages**

245 The ratio of *Prochlorococcus* to *Synechococcus* reads also showed significant seasonal
246 and interannual trends. In an ANOVA model, month and year explained a combined 47% of the
247 variance in the *Prochlorococcus*/*Synechococcus* ratio (Table 1). On a seasonal cycle, this ratio
248 had its highest increase in the early Winter concurrent with decreasing temperature (S2 Fig).
249 However, the ratio increased across all 9 years of the transect (S2 Fig). The largest increases in
250 the *Prochlorococcus*/*Synechococcus* ratio were observed in 2014 and 2015 with the onset of low
251 inorganic nutrient conditions and El Niño. A similar shift from a *Synechococcus*-dominated
252 system to an increased abundance of *Prochlorococcus* was also observed using flow cytometry
253 [5]. As seen in other studies [3], there was a significant relationship between the sequence read
254 ratio and the cell count ratio when DNA and flow cytometry samples were collected
255 simultaneously (S3 Fig). This ratio was not dependent on sequencing platform but both platforms
256 overestimated the ratio of *Prochlorococcus* to *Synechococcus* reads when *Prochlorococcus* cell
257 counts were below 15 cells/ml (S3 Fig). Thus, the sequence and cell counts were mostly
258 correlated and suggested an increase in the *Prochlorococcus*/*Synechococcus* ratio and an
259 increasingly oligotrophic system.

Fig 1: Seasonal and interannual changes in environmental conditions and ecotype frequencies. (A) Temperature (°C), nitrate and phosphate concentrations (μM), and the \log_{10} -transformed ratio of *Prochlorococcus* sequence counts relative to *Synechococcus* sequence counts in the MICRO time series. (B) Interpolated relative abundance of *rpoC1* reads taxonomically assigned to the six most abundant *Prochlorococcus* (Pro.) and *Synechococcus* (Syn.) ecotypes. The sampling dates are marked along the top of the time series. (C) Linear model regression coefficients for environmental variables and relative ecotype abundance as a function month and year (see Methods). A trend of 0 is marked with horizontal dashed lines.

260

261 Ecotype Level Trends

262 During the 2014-2016 SCB marine heatwave, we observed an increase in the relative
263 abundance of *Prochlorococcus* and *Synechococcus* ecotypes commonly associated with high
264 temperature conditions. Month and year explained between 21-44% of the variance in relative
265 ecotype abundance across the MICRO time series (Table 1). On seasonal time scales,
266 *Synechococcus* Clade I increased in the Spring (~Feb.-Jun.), *Synechococcus* Clade II and
267 *Prochlorococcus* High Light I (HLI) increased in the Summer and Fall (~Jul.-Oct.), and
268 *Prochlorococcus* Low Light I (LLI) and High Light II (HLII) demonstrated slight increases in
269 the Winter (Dec.) (Fig 1C). Only the *Synechococcus* Clade IV ecotype did not show significant
270 monthly variability (Table 1).

271 At annual time-scales, the system was initially dominated by the cold-water
272 *Synechococcus* ecotypes Clade I and Clade IV [28] (Fig 1B). However, in 2014, there was a
273 large increase in the relative abundance of *Prochlorococcus* cooler water ecotype HLI [27] as
274 well as a slight increase in the relative abundance of the warm-water *Synechococcus* Clade II.
275 Moreover, at the peak of El Niño in the fall of 2015, we detected the high-light, high-temperature
276 *Prochlorococcus* ecotype HLII. As the marine heatwave abated in 2017, *Synechococcus* Clade II
277 persisted in the system but *Prochlorococcus* HLII disappeared. Overall, Clade II and all
278 *Prochlorococcus* ecotypes had an increasing trend throughout the entire time series, with the
279 highest frequencies seen during the 2014-2015 El Niño period (Fig 1C). Conversely, Clade I and

280 Clade IV largely had decreasing trends across the time series. In sum, relative ecotype abundance
 281 showed systematic seasonal and interannual variability that partially aligned with environmental
 282 trends in temperature and nutrients.

283 **Table 1: Type II ANOVA results relating seasonality and interannual variability to
 environmental trends.**

	Total SS	Month SS	Year SS	Month P-Value	Year P-Value	Adjusted R ²
Temperature	3255.39	2091.83	160.35	< 0.001	< 0.001	0.68
Nitrate	2157.63	331.79	80.48	< 0.001	0.015	0.16
Phosphate	17.69	1.67	2.07	< 0.001	< 0.001	0.18
Pro/Syn Ratio	1200.51	366.46	259.23	< 0.001	< 0.001	0.47
Pro.HLI	7.63	1.55	2.10	< 0.001	< 0.001	0.44
Pro.HLII	0.35	0.07	0.03	0.004	< 0.001	0.28
Pro.LLI	0.12	0.01	0.03	< 0.001	0.015	0.21
Syn.I	7.03	2.53	0.64	< 0.001	0.001	0.39
Syn.IV	6.12	0.24	2.25	0.38	< 0.001	0.37
Syn.II	0.69	0.08	0.17	0.001	< 0.001	0.29
Pro.HLI.2	44.01	1.10	21.85	0.15	< 0.001	0.51
Syn.II.2	28.49	2.53	10.93	0.03	< 0.001	0.44

284 Seasonality (month) and interannual variability (year) each have a significant effect on almost every
 variable examined, including environmental patterns, the ratio of *Prochlorococcus*/*Synechococcus*,
 and the relative abundance of cyanobacterial taxa. SS = Sum of Squares.

285 Relative ecotype abundance trends generally showed significant correspondence with
 286 changes in temperature and inorganic nutrients. However, the strength of each relationship was
 287 dependent on the temporal factor and the taxa level examined (Fig 2; for data associated with Fig
 288 2 see Table S1). We wanted to determine whether the non-independent relationship between the
 289 relative abundance of *Prochlorococcus* and *Synechococcus* influenced their apparent temporal
 290 trends. Therefore, we examined the relative abundance of the ecotypes normalized to all
 291 Cyanobacteria ($Pro_{All} = Pro_{Ecotype\ Abundance} / (Pro_{Abundance} + Syn_{Abundance})$ and $Syn_{All} = Syn_{Ecotype}$
 292 $Abundance / (Pro_{Abundance} + Syn_{Abundance})$) versus normalized to within *Prochlorococcus* ($Pro_{Within} =$

293 $\text{Pro}_{\text{Ecotype Abundance}} / \text{Pro}_{\text{Abundance}}$) or *Synechococcus* ($\text{Syn}_{\text{Within}} = \text{Syn}_{\text{Ecotype Abundance}} / \text{Syn}_{\text{Abundance}}$). In
294 almost all cases, the link between ecotype trend and environmental trend was the same for both
295 Syn_{All} and $\text{Syn}_{\text{Within}}$ (Fig 2). In contrast, Pro_{All} and $\text{Pro}_{\text{Within}}$ showed very different correlations
296 depending on the normalization. HLI, LLI, and HLII all increased relative to the major
297 *Synechococcus* clades (i.e., I and IV). However, the trend was more complicated within
298 *Prochlorococcus*, where LLI and HLII showed non-linear relationships with HLI. Thus, the
299 observed trends were dependent on the phylogenomic level of the analysis. On a seasonal cycle,
300 when examining the within-genus trends, HLI and Clade IV had significant positive correlations
301 with temperature trends; LLI had a significant positive correlation with phosphate trends; Clade I
302 had a significant positive correlation with nitrate trends; and Clade IV had a significant negative
303 correlation with nitrate trends (Fig 2). Across all Cyanobacteria on an interannual scale, Clade I
304 had a significant negative relationship and all *Prochlorococcus* ecotypes had significant positive
305 relationships with temperature trends. Within *Synechococcus*, Clade II had a significant positive
306 correlation with interannual temperature trends. Additionally, HLI had a significant negative and
307 Clade IV had a significant positive correlation with nitrate interannually. There were no
308 significant correlations between interannual phosphate and ecotype trends. Overall, temperature
309 trends had a stronger relationship with relative ecotype abundance across years, whereas nitrate
310 had a similar relationship with the ecotype trends across months and years, suggesting that the
311 effects of changing nitrate and temperature operate on different temporal scales.

Fig 2: Pearson correlation coefficients (r) between relative abundance trends and environmental trends. The significance of each correlation is marked as p -value: * < 0.05 , ** < 0.01 , *** < 0.001 .
312 Bars without a mark had a non-significant correlation.

313 **Microdiversity Trends**

314 Most microdiverse *Synechococcus* and *Prochlorococcus* populations were dominated by
315 a single SNP-delineated population across the time series. We identified microdiverse
316 populations (i.e. “haplotypes”) based on conserved single nucleotide polymorphisms (SNPs) in
317 the *rpoC1* gene (Fig 3). A limited set of closely related haplotypes were present in four of the six
318 most abundant ecotypes (LLI, HLII, Clade I and Clade IV) across all seasons and years. Stable
319 SNPs were particularly prominent for the *Synechococcus* Clade I (Fig 3). Conversely, the
320 *Synechococcus* Clade IV and *Prochlorococcus* LLI ecotypes had few unique SNPs across the
321 time series. The final ecotype with a single dominant haplotype, *Prochlorococcus* HLII, had a
322 highly stochastic distribution of SNPs, which may be influenced by its generally low sequence
323 counts and associated sequencing errors. In contrast, there were clear shifts in *Prochlorococcus*
324 HLI and *Synechococcus* II haplotypes.

Figure 3: Microdiversity clusters within the most abundant *Prochlorococcus* and *Synechococcus* ecotypes were temporally and statistically stable. Dendograms represent the average-linkage hierarchical clustering of single nucleotide polymorphisms (SNP) differences between a *rpoC1* reference sequence and the majority consensus sequence at each sampling time point. Each row of the dendrogram represents the consensus *rpoC1* sequence at a time point, where only SNPs (in comparison to the reference sequence) are depicted. Only time points where the ecotype was present are shown. The reference *rpoC1* sequence is depicted at the top of each dendrogram. Reference genomes used to compare *rpoC1* sequences included: HLI – MIT9515; LLI – NATL2A; HLII – MIT9301; Clade I – WH8016; Clade IV – CC9902; and, Clade II – CC9605. Within-cluster sum of squares was used to determine the optimum number of SNP-based clusters (i.e., haplotypes). Cluster labels (red) indicate bootstrapped Jaccard similarity values for the most stable clusters (values < 0.5 are unstable, 0.6 – 0.75 weak stability, 0.75–0.85 stable, and > 0.85 highly stable). Stable clusters are alternately shaded in grey for emphasis.

325

326 The SCB marine heatwave appeared to induce shifts in the relative abundance of
327 *Prochlorococcus* HLI and *Synechococcus* II haplotypes. For both HLI and Clade II, two
328 statistically stable haplotypes were identified (Fig 4). On average 90.2% of the HLI and 99.8%
329 Clade II reads were associated with one of two haplotypes, respectively. The two *Synechococcus*

330 Clade II haplotypes, but not the *Prochlorococcus* HLI haplotypes, showed significant seasonal
331 variability (Table 1 and Fig 4B). The haplotypes Pro.HLI.1 and Syn.II.1 were frequent from the
332 Spring/Summer of 2010 through the Summer/Fall of 2012 (Fig 4A). During this time, the second
333 haplotypes (Pro.HLI.2 and Syn.II.2) appeared during short periods. However, starting in 2013-
334 2014, Pro.HLI.2 and Syn.II.2 rose in frequency (Fig 4B). A systematic reversal of this trend
335 occurred briefly for *Synechococcus* II in the first half of 2017, when Syn.II.2 decreased in
336 relative abundance before increasing again in 2018. The Pro.HLI.2 and Syn.II.2 seasonal and
337 interannual patterns were also compared to the trends in environmental parameters, but only
338 phosphate had a significant correlation with seasonal HLI haplotype trends (Fig 4C).
339 Comparisons to trends in the ratio of inorganic nitrate:phosphate were also non-significant (data
340 not shown). Thus, although year of sampling had a strong influence on relative haplotype
341 abundance, interannual changes in the dominant haplotype could not be attributed to a specific
342 environmental factor.

343 **Figure 4: *Prochlorococcus* HLI haplotypes (Pro.HLI.1 and Pro.HLI.2) and *Synechococcus* II
haplotypes (Syn.II.1 and Syn.II.2) showed significant shifts in composition across the time series.**
(A) Interpolated relative abundance of the haplotypes. Samples with less than 10 reads were removed
from the analysis. (B) Linear regression coefficients for monthly and yearly trends in the Pro.HLI.2
and Syn.II.2 haplotypes. (C) Pearson's correlation coefficients (ρ) comparing haplotype to
environmental monthly and yearly trends. The significance of each correlation is marked as p -value: *
 < 0.05 . Bars without a mark had a non-significant correlation.

344 Testing for Endemism Among Warm Water Ecotypes

345 The contrast between ecotypes with stable versus variable haplotypes raised the question
346 as to whether some haplotypes were endemic to our study site. Alternatively, they may have
347 represented populations physically transported from the broader eastern Pacific Ocean. To place
348 the microdiversity of our warm water ecotypes in the context of other Pacific Ocean regions, we
349 compared our *Prochlorococcus* HLII and *Synechococcus* Clade II haplotypes to previously

350 collected surface samples from the central Pacific Ocean [49]. For HLII, the majority of samples
351 taken from gyre and equatorial regions clustered separately from the MICRO samples (Fig 5).
352 However, 12 of the Newport samples were a part of stable clusters that included the open ocean
353 samples. Although these samples showed similar SNPs, they did not have similar *in situ*
354 temperature or nitrate concentrations. For Clade II, equatorial samples with high nitrate
355 concentrations clustered separately but were most closely related to the Syn.II.1 haplotype. In
356 contrast, Clade II samples from the low nutrient, high temperature North Pacific Subtropical
357 Gyre clustered with the Syn.II.2 haplotype, which was most abundant later in the time series.
358 This result suggests that the microdiversity of populations observed in the SCB is at least
359 partially connected to other locations in the Pacific Ocean.

Fig 5: Comparison of *Prochlorococcus* HLII and *Synechococcus* Clade II *rpoC1* highly conserved SNPs detected at the Newport MICRO times series and on Pacific Ocean cruise NH1418 (September-October 2014; 19.00°N, -158.00°W to -3.00°N, -149.67°W; 5m depth).
Newport and NH1418 samples largely clustered separately but had some overlap in SNPs. Samples with less than 10 sequences were removed from the analysis. Clade labels (red) indicate bootstrapped Jaccard similarity values for the most stable clusters with more than two samples (values < 0.5 are unstable, 0.6 – 0.75 weak stability, 0.75-0.85 stable, and > 0.85 highly stable).

360
361

362 **Discussion**

363 Our data suggests that long-term warming in the Southern California Bight initiated a
364 significant change in picocyanobacteria populations that persisted for 2-5 years (Fig 1). In
365 contrast, zooplankton communities typically return to pre-El Niño states within 1-2 years [24].
366 Although “alternative stable states” have been documented in macroecology [50, 51], few studies
367 have reported persistent changes in microbial communities [52, 53]. Stable, long-term shifts in
368 microbial composition have generally been driven by changes in conditions linked to deeply
369 conserved traits such as shifts from iron-reduction to sulfate-reduction [54], oxic to anoxic
370 conditions [55], or increased photoinhibition [56]. In the marine environment, multi-centennial
371 changes in temperature and nutrient availability may have led to shifts in the abundance of N₂-
372 fixing cyanobacteria in the North Pacific Subtropical Gyre (NPSG) [9]. Moreover, a polarity
373 reversal of the Pacific Decadal Oscillation in 1976 may have caused increased mixed layer
374 stratification and shoaling, decreased nutrient availability, and a regime shift from a eukaryote-
375 to a prokaryote-dominated system in the central Pacific Ocean [57]. In the MICRO time series,
376 the marine heatwave of 2014-2016 and concurrent 2015 El Niño resulted in an increase in
377 ecotypes associated with warmer conditions including *Prochlorococcus* HLI, LLI, and HLII as
378 well as *Synechococcus* Clade II (Fig 1). Moreover, the HLI and Clade II microdiverse haplotypes
379 demonstrated persistent, altered community composition in response to the 2015 El Niño
380 disturbance (Fig 4A). Here, similar processes as those observed in the NPSG may be operating
381 concurrently to drive community composition changes in the SCB.

382 Given the systematic and persistent changes in Cyanobacteria populations in the SCB,
383 what are the ecosystem processes driving this shift in microbial communities? Both
384 *Prochlorococcus* as a whole, which is more abundant in high temperature biomes than

385 *Synechococcus* [58], and the *Synechococcus* high temperature Clade II increased in relative
386 abundance across the entire time series (Fig 1 and S2 Fig). In addition, it is well-documented that
387 20°C represents a temperature threshold above which *Prochlorococcus* HLII outpaces HLI
388 ecotype growth [27, 59]. At MICRO, *in situ* temperatures were above 20°C for greater than
389 20.8% of dates in 2014, 2015, 2017, and 2018 and less than 14.2% of dates in 2010-2013 and
390 2016. Thus, the increased abundance of all *Prochlorococcus*, *Prochlorococcus* HLII, and
391 *Synechococcus* Clade II may all reflect this warming. However, the
392 *Prochlorococcus/Synechococcus* ratio as well as HLII relative abundance peaked in the winter
393 (Fig 1 and S2 Fig). Additionally, the within-genus HLII monthly trends were negatively
394 correlated with temperature (Fig 2). These results may indicate an important role of regional
395 warming and advection of both oligotrophic water and microbial communities into the SCB [5,
396 23]. The 2015 El Niño event was one of the strongest on record in terms of broad-scale regional
397 warming, even though reductions in upwelling and upwelling-favorable winds were relatively
398 weak in comparison to previous, strong El Niño events such as 1982-1983 and 1997-1998 [48].
399 In addition, the SCB represents a transition zone between the southward California Current (CC)
400 and the northward California Undercurrent (CUC). Thus, relatively small changes in source
401 waters for the SCB may significantly change microbial populations. An increase in the
402 northward CUC signal in SCB waters was observed between 1984-2012 [25]. The CC is
403 characterized by cold, low-salinity, nutrient-poor “young” temperate water, whereas the CUC
404 has high-salinity, nutrient-rich “old” equatorial waters [60]. Therefore, changes in water mass
405 may result in the influx of oligotrophic communities with a higher abundance of
406 *Prochlorococcus* cells from the HLII ecotype. Comparison of microdiverse HLII and Clade II
407 populations at MICRO and in the NPSG supports the conclusion that these populations are

408 phylogenomically linked (Fig 5). We hypothesize that an increased percentage of high-
409 temperature days locally but also a larger-scale regional warming trend that promotes the
410 advection of oligotrophic populations into the SCB, contribute to a shifting picocyanobacteria
411 community at MICRO.

412 There are several important caveats to our conclusions including the application of
413 multiple sequencing platforms and temporal co-variance between environmental factors. The
414 sequencing platform was partially associated with year of sampling and thus confounded with
415 the shift in *Prochlorococcus/Synechococcus* sequence read ratios. However, the relationship
416 between the sequence read ratio and the cell count-ratio of *Prochlorococcus/Synechococcus* was
417 not dependent on sequencing platform (S3 Fig). Moreover, out of the six instances of samples
418 collected with 48 hours but sequenced on different platforms, only one date showed significantly
419 different ecotype frequencies between 454 and Illumina (S4 Fig). Previous studies have
420 similarly shown that the ratio of major marine microbial taxa is consistent across genomic
421 platforms [61]. In addition, a large number of highly conserved SNPs in the *rpoC1* consensus
422 sequences were observed throughout the time series, regardless of sequencing platform (Fig 3).
423 Rapid changes in sequencing platforms and technology, both in terms of read length and depth of
424 coverage, are likely to continue into the future. Our analysis suggests that conserved SNPs can
425 be integrated across platforms. The strong seasonal co-variance of multiple environmental
426 factors also makes it challenging to separate the effects of temperature and nutrient
427 concentrations on picocyanobacteria populations. However, our multi-year sampling regime
428 partially addressed this issue as temperature and nutrient concentrations were less correlated at
429 this time-scale. Overall, temperature disturbances including the El Niño event, the partial
430 interannual decoupling of environmental trends, and the prevalence of stable microdiversity

431 across the 9 years of the MICRO time series has made it an excellent natural laboratory to study
432 the effects of climatic shifts on microbial populations.

Fig 6: Conceptual diagram of picocyanobacterial community shifts at the MICRO time series from 2009 – 2018. *Synechococcus* cells are outlined in purple and *Prochlorococcus* cells are outlined in green. Blue cell shading indicates cold temperature-adapted ecotypes and yellow cell shading indicates warm temperature-adapted ecotypes. The blue line indicates the nitrate trend (N) and the red line indicates the temperature trend (T).

433

434 The MICRO picocyanobacteria time series illustrates how we can “bi-directionally” link
435 shifts in microbial diversity and environmental conditions to develop a deeper understanding of
436 the impact of global changes. Past studies have revealed a rich understanding of the phylogenetic
437 conservatism of response traits in microbial populations [62-64]. One of the main trait
438 differences between the *Prochlorococcus* and *Synechococcus* genera is cell size, which results in
439 a competitive advantage of *Prochlorococcus* under warm, low nutrient conditions [65]. Within
440 the genera, it is also well-established that different light, temperature, and iron response traits are
441 linked to specific ecotypes [27-29, 66]. In contrast, responses to biotic interactions, subtle
442 temperature shifts, and nutrient supply ratios have been associated with microdiverse clades [30,
443 49, 67, 68]. *Prochlorococcus* and *Synechococcus* responses to environmental change at MICRO
444 generally conformed to the phylogenomically predicted response at the genus and ecotype level
445 (Fig. 6). *Prochlorococcus* likely increased relative to *Synechococcus* across the time series due
446 to traits conferring a competitive advantage in warm, oligotrophic conditions. Similarly, on
447 annual scales, HLI, HLII, and Clade II likely increased over *Synechococcus* Clade I and Clade
448 IV due to traits related to high temperatures and low nutrient concentrations [28]. However,
449 several responses to environmental change did not conform to our knowledge of expected
450 response traits. *Prochlorococcus* HLII and *Synechococcus* Clade IV showed a seasonally negative
451 and positive correlation with temperature, respectively, and thus a divergent trend compared to

452 past studies. We attribute these unexpected observations to advection of genotypes from the
453 broader eastern Pacific Ocean region that is also experienced warming. Overall, we saw limited
454 changes at the microdiversity level, suggesting that the effect of shifts in environmental
455 conditions or biotic interactions [37, 49, 69] were muted for most haplotypes here. However,
456 some of these processes likely elicited the large responses in HLI and Clade II haplotypes
457 observed in 2014-2015 (Fig 4), which supports our conclusion of a persistent shift in
458 picocyanobacteria populations. It is too early to state whether this shift is indicative of a new
459 ecosystem state in terms of nutrients, temperature, and cyanobacteria (Fig 6), but future time
460 series efforts should continue to monitor climatic changes in the SCB. Global climate change is
461 expected to have a complex impact on marine microorganisms as a result of nonlinear
462 biophysical interactions between environmental conditions [70, 71]. Shifts in microbial
463 populations and their traits can act as a “biosensor” and allow us to develop a stronger
464 understanding of how climatic changes affect ecosystem functioning.

465

466 **Acknowledgements**

467 The authors would like to thank the large number of individuals who have contributed to
468 collecting data for the MICRO time series including, but not limited to, Celine Mouginot,
469 Stephen Hatosy, Jeremy Huang, Tanya Lam, Sarah Bowen, and the Allison Lab at the University
470 of California Irvine.

471

472 **References:**

473 1. Polovina JJ, Dunne JP, Woodworth PA, Howell EA. Projected expansion of the
474 subtropical biome and contraction of the temperate and equatorial upwelling biomes in
475 the North Pacific under global warming. *ICES Journal of Marine Science*.
476 2011;68(6):986-95.

477 2. Moore JK, Lindsay K, Doney SC, Long MC, Misumi K. Marine Ecosystem Dynamics
478 and Biogeochemical Cycling in the Community Earth System Model CESM1(BGC) :
479 Comparison of the 1990s with the 2090s under the RCP4.5 and RCP8.5 Scenarios.
480 *Journal of Climate*. 2013;26(23):9291-312.

481 3. Fuhrman JA, Hewson I, Schwalbach MS, Steele JA, Brown MV, Naeem S. Annually
482 reoccurring bacterial communities are predictable from ocean conditions. *Proceedings of
483 the National Academy of Sciences of the United States of America*. 2006;103(35):13104-
484 9.

485 4. Gilbert JA, Steele JA, Caporaso JG, Steinbrueck L, Reeder J, Temperton B, et al.
486 Defining seasonal marine microbial community dynamics. *ISME Journal*. 2012;6(2):298-
487 308.

488 5. Martiny AC, Talarmin A, Mouginot C, Lee JA, Huang JS, Gellene AG, et al.
489 Biogeochemical interactions control a temporal succession in the elemental composition
490 of marine communities. *Limnology and Oceanography*. 2016;61(2):531-42.

491 6. Ward CS, Yung CM, Davis KM, Blinebry SK, Williams TC, Johnson ZI, et al. Annual
492 community patterns are driven by seasonal switching between closely related marine
493 bacteria. *ISME Journal*. 2017;11(11):1412-22.

494 7. Hatosy SM, Martiny JBH, Sachdeva R, Steele J, Fuhrman JA, Martiny AC. Beta
495 diversity of marine bacteria depends on temporal scale. *Ecology*. 2013;94(9):1898-904.

496 8. Cram JA, Chow CET, Sachdeva R, Needham DM, Parada AE, Steele JA, et al. Seasonal
497 and interannual variability of the marine bacterioplankton community throughout the
498 water column over ten years. *ISME Journal*. 2015;9(3):563-80.

499 9. McMahon KW, McCarthy MD, Sherwood OA, Larsen T, Guilderson TP. Millennial-
500 scale plankton regime shifts in the subtropical North Pacific Ocean. *Science*.
501 2015;350(6267):1530-3.

502 10. Stark C, Condron LM, Stewart A, Di HJ, O'Callaghan M. Influence of organic and
503 mineral amendments on microbial soil properties and processes. *Applied Soil Ecology*.
504 2007;35(1):79-93.

505 11. Jones SE, Chiu CY, Kratz TK, Wu JT, Shade A, McMahon KD. Typhoons initiate
506 predictable change in aquatic bacterial communities. *Limnology and Oceanography*.
507 2008;53(4):1319-26.

508 12. Shade A, Read JS, Youngblut ND, Fierer N, Knight R, Kratz TK, et al. Lake microbial
509 communities are resilient after a whole-ecosystem disturbance. *ISME Journal*.
510 2012;6(12):2153-67.

511 13. El-Swais H, Dunn KA, Bielawski JP, Li WK, Walsh DA. Seasonal assemblages and
512 short-lived blooms in coastal north-west Atlantic Ocean bacterioplankton. *Environmental
513 Microbiology*. 2015;17(10):3642-61.

514 14. von Scheibner M, Dorge P, Biermann A, Sommer U, Hoppe HG, Jurgens K. Impact of
515 warming on phyto-bacterioplankton coupling and bacterial community composition in
516 experimental mesocosms. *Environmental Microbiology*. 2014;16(3):718-33.

517 15. Wang X, Liu LL, Piao SL, Janssens IA, Tang JW, Liu WX, et al. Soil respiration under
518 climate warming: differential response of heterotrophic and autotrophic respiration.
519 *Global Change Biology*. 2014;20(10):3229-37.

520 16. Martinez E, Antoine D, D'Ortenzio F, Gentili B. Climate-Driven Basin-Scale Decadal
521 Oscillations of Oceanic Phytoplankton. *Science*. 2009;326(5957):1253-6.

522 17. Edwards M, Beaugrand G, Helaouet P, Alheit J, Coombs S. Marine Ecosystem Response
523 to the Atlantic Multidecadal Oscillation. *Plos One*. 2013;8(2):e57212.

524 18. Racault MF, Sathyendranath S, Brewin RJW, Raitsos DE, Jackson T, Platt T. Impact of
525 El Nino Variability on Oceanic Phytoplankton. *Frontiers in Marine Science*. 2017;4.

526 19. Di Lorenzo E, Mantua N. Multi-year persistence of the 2014/15 North Pacific marine
527 heatwave. *Nature Climate Change*. 2016;6(11):1042-47.

528 20. Ohman MD. Introduction to collection of papers on the response of the southern
529 California Current Ecosystem to the Warm Anomaly and El Nino, 2014-16. *Deep-Sea
530 Research Part I-Oceanographic Research Papers*. 2018;140:1-3.

531 21. Kelly TB, Goericke R, Kahru M, Song H, Stukel MR. CCE II: Spatial and interannual
532 variability in export efficiency and the biological pump in an eastern boundary current
533 upwelling system with substantial lateral advection. *Deep-Sea Research Part I-
534 Oceanographic Research Papers*. 2018;140:14-25.

535 22. Morrow RM, Ohman MD, Goericke R, Kelly TB, Stephens BM, Stukel MR. CCE V:
536 Primary production, mesozooplankton grazing, and the biological pump in the California
537 Current Ecosystem: Variability and response to El Nino. *Deep-Sea Research Part I-
538 Oceanographic Research Papers*. 2018;140:52-62.

539 23. Fagan AJ, Moreno AR, Martiny AC. Role of ENSO Conditions on Particulate Organic
540 Matter Concentrations and Elemental Ratios in the Southern California Bight. *Frontiers
541 in Marine Science*. 2019;6:386.

542 24. Lilly LE, Ohman MD. CCE IV: El Nino-related zooplankton variability in the southern
543 California Current System. Deep-Sea Research Part I-Oceanographic Research Papers.
544 2018;140:36-51.

545 25. Bograd SJ, Buil MP, Di Lorenzo E, Castro CG, Schroeder ID, Goericke R, et al. Changes
546 in source waters to the Southern California Bight. Deep-Sea Research Part II-Topical
547 Studies in Oceanography. 2015;112:42-52.

548 26. West NJ, Scanlan DJ. Niche-partitioning of *Prochlorococcus* populations in a stratified
549 water column in the eastern North Atlantic Ocean. Applied and Environmental
550 Microbiology. 1999;65(6):2585-91.

551 27. Johnson ZI, Zinser ER, Coe A, McNulty NP, Woodward EMS, Chisholm SW. Niche
552 partitioning among *Prochlorococcus* ecotypes along ocean-scale environmental
553 gradients. Science. 2006;311(5768):1737-40.

554 28. Zwirglmaier K, Jardillier L, Ostrowski M, Mazard S, Garczarek L, Vaulot D, et al.
555 Global phylogeography of marine *Synechococcus* and *Prochlorococcus* reveals a distinct
556 partitioning of lineages among oceanic biomes. Environmental Microbiology.
557 2008;10(1):147-61.

558 29. Rusch DB, Martiny AC, Dupont CL, Halpern AL, Venter JC. Characterization of
559 *Prochlorococcus* clades from iron-depleted oceanic regions. Proceedings of the National
560 Academy of Sciences of the United States of America. 2010;107(37):16184-9.

561 30. Larkin AA, Blinebry SK, Howes C, Chandler J, Zinser ER, Johnson ZI. Niche
562 partitioning and biogeography of high light adapted *Prochlorococcus* across taxonomic
563 ranks in the North Pacific. ISME Journal 2016;10(7):1555-67.

564 31. Cohan FM, Koeppel AF. The origins of ecological diversity in prokaryotes. Current
565 Biology. 2008;18(21):R1024-34.

566 32. Larkin AA, Garcia CA, Ingoglia KA, Garcia NS, Baer SE, Twining BS, et al. Subtle
567 biogeochemical regimes in the Indian Ocean revealed by spatial and diel frequency of
568 *Prochlorococcus* haplotypes. Limnology and Oceanography. 2020;65:S220-S32.

569 33. Tai V, Palenik B. Temporal variation of *Synechococcus* clades at a coastal Pacific Ocean
570 monitoring site. ISME Journal. 2009;3(8):903-15.

571 34. Malmstrom RR, Coe A, Kettler GC, Martiny AC, Frias-Lopez J, Zinser ER, et al.
572 Temporal dynamics of *Prochlorococcus* ecotypes in the Atlantic and Pacific oceans.
573 ISME Journal 2010;4:1252-64.

574 35. Needham DM, Chow CET, Cram JA, Sachdeva R, Parada A, Fuhrman JA. Short-term
575 observations of marine bacterial and viral communities: patterns, connections and
576 resilience. ISME Journal. 2013;7(7):1274-85.

577 36. Nagarkar M, Countway PD, Yoo YD, Daniels E, Poulton NJ, Palenik B. Temporal
578 dynamics of eukaryotic microbial diversity at a coastal Pacific site. *ISME Journal*.
579 2018;12(9):2278-91.

580 37. Ahlgren NA, Perelman JN, Yeh YC, Fuhrman JA. Multi-year dynamics of fine-scale
581 marine cyanobacterial populations are more strongly explained by phage interactions than
582 abiotic, bottom-up factors. *Environmental Microbiology*. 2019;21(8):2948-63.

583 38. Tai V, Burton RS, Palenik B. Temporal and spatial distributions of marine
584 *Synechococcus* in the Southern California Bight assessed by hybridization to bead-arrays.
585 *Marine Ecology Progress Series*. 2011;426:133-U64.

586 39. Ferris MJ, Palenik B. Niche adaptation in ocean cyanobacteria. *Nature*.
587 1998;396(6708):226-8.

588 40. Aronesty E. Comparison of sequencing utility programs. *The Open Bioinformatics
589 Journal*. 2013;7(1).

590 41. Cock PJA, Antao T, Chang JT, Chapman BA, Cox CJ, Dalke A, et al. Biopython: freely
591 available Python tools for computational molecular biology and bioinformatics.
592 *Bioinformatics*. 2009;25(11):1422-3.

593 42. Bolyen E, Rideout JR, Dillon MR, Bokulich N, Abnet CC, Al-Ghalith GA, et al.
594 Reproducible, interactive, scalable and extensible microbiome data science using QIIME
595 2. *Nature Biotechnology*. 2019;37(8):852-7.

596 43. R Core TeamTeam. R: A Language and Environment for Statistical Computing. Vienna,
597 Austria: R Foundation for Statistical Computing; 2019.

598 44. Oksanen J, Blanchet FG, Friendly M, Kindt R, Legendre P, McGlinn D, et al. vegan:
599 Community Ecology Package. 2017.

600 45. Lundin D, Severin I, Logue JB, Ostman O, Andersson AF, Lindstrom ES. Which
601 sequencing depth is sufficient to describe patterns in bacterial alpha- and beta-diversity?
602 *Environmental Microbiology Rep*. 2012;4(3):367-72.

603 46. Hennig C. *fpc: Flexible Procedures for Clustering*. 2018.

604 47. Fox J, Weisberg S. *An R Companion to Applied Regression*. Second ed. Thousand Oaks,
605 CA: Sage; 2011.

606 48. Jacox MG, Hazen EL, Zaba KD, Rudnick DL, Edwards CA, Moore AM, et al. Impacts of
607 the 2015-2016 El Nino on the California Current System: Early assessment and
608 comparison to past events. *Geophysical Research Letters*. 2016;43(13):7072-80.

609 49. Kent AG, Baer SE, Mouginot C, Huang JS, Larkin AA, Lomas MW, et al. Parallel
610 phylogeography of *Prochlorococcus* and *Synechococcus*. *ISME Journal*. 2018;(13):430-
611 41.

612 50. Beisner BE, Haydon DT, Cuddington K. Alternative stable states in ecology. *Frontiers in*
613 *Ecology and the Environment*. 2003;1(7):376-82.

614 51. Schroder A, Persson L, De Roos AM. Direct experimental evidence for alternative stable
615 states: a review. *Oikos*. 2005;110(1):3-19.

616 52. Allison SD, Martiny JBH. Resistance, resilience, and redundancy in microbial
617 communities. *Proceedings of the National Academy of Sciences of the United States of*
618 *America*. 2008;105:11512-9.

619 53. Shade A, Peter H, Allison SD, Baho DL, Berga M, Burgmann H, et al. Fundamentals of
620 microbial community resistance and resilience. *Frontiers in Microbiology*. 2012;3.

621 54. Blodau C, Knorr KH. Experimental inflow of groundwater induces a "biogeochemical
622 regime shift" in iron-rich and acidic sediments. *Journal of Geophysical Research-*
623 *Biogeosciences*. 2006;111(G2).

624 55. Bush T, Diao M, Allen RJ, Sinnige R, Muyzer G, Huisman J. Oxic-anoxic regime shifts
625 mediated by feedbacks between biogeochemical processes and microbial community
626 dynamics. *Nature Communications*. 2017;8(1):1-9.

627 56. Veraart AJ, Faassen EJ, Dakos V, van Nes EH, Lurding M, Scheffer M. Recovery rates
628 reflect distance to a tipping point in a living system. *Nature*. 2012;481(7381):357-U137.

629 57. Karl DM, Bidigare RR, Letelier RM. Long-term changes in plankton community
630 structure and productivity in the North Pacific Subtropical Gyre: The domain shift
631 hypothesis. *Deep-Sea Research Part II-Topical Studies in Oceanography*. 2001;48(8-
632 9):1449-70.

633 58. Flombaum P, Gallegos JL, Gordillo RA, Rincon J, Zabala LL, Jiao N, et al. Present and
634 future global distributions of the marine Cyanobacteria *Prochlorococcus* and
635 *Synechococcus*. *PNAS*. 2013;110(24):9824-9.

636 59. Zinser ER, Johnson ZI, Coe A, Karaca E, Veneziano D, Chisholm SW. Influence of light
637 and temperature on *Prochlorococcus* ecotype distributions in the Atlantic Ocean.
638 *Limnology and Oceanography*. 2007;52(5):2205-20.

639 60. Meinvielle M, Johnson GC. Decadal water-property trends in the California
640 Undercurrent, with implications for ocean acidification. *Journal of Geophysical*
641 *Research-Oceans*. 2013;118(12):6687-703.

642 61. Hewson I, Fuhrman JA. Covariation of viral parameters with bacterial assemblage
643 richness and diversity in the water column and sediments. *Deep-Sea Research Part I-*
644 *Oceanographic Research Papers*. 2007;54(5):811-30.

645 62. Martiny AC, Treseder K, Pusch G. Phylogenetic conservatism of functional traits in
646 microorganisms. *ISME Journal* 2013;7(4):830-8.

647 63. Amend AS, Martiny AC, Allison SD, Berlemont R, Goulden ML, Lu Y, et al. Microbial
648 response to simulated global change is phylogenetically conserved and linked with
649 functional potential. *ISME Journal*. 2016;10(1):109-18.

650 64. Isobe K, Allison SD, Khalili B, Martiny AC, Martiny JBH. Phylogenetic conservation of
651 bacterial responses to soil nitrogen addition across continents. *Nature Communications*.
652 2019;10(1):1-8.

653 65. Chisholm SW. Phytoplankton Size. *Primary Productivity and Biogeochemical Cycles in*
654 *the Sea*. 1992;43:213-37.

655 66. Moore LR, Rocap G, Chisholm SW. Physiology and molecular phylogeny of coexisting
656 *Prochlorococcus* ecotypes. *Nature*. 1998;393(6684):464-7.

657 67. Martiny AC, Coleman ML, Chisholm SW. Phosphate acquisition genes in
658 *Prochlorococcus* ecotypes: Evidence for genome-wide adaptation. *Proceedings of the*
659 *National Academy of Sciences of the United States of America*. 2006;103(33):12552-7.

660 68. Scanlan DJ, Ostrowski M, Mazard S, Dufresne A, Garczarek L, Hess WR, et al.
661 Ecological genomics of marine picocyanobacteria. *Microbiology and Molecular Biology*
662 *Reviews*. 2009;73(2):249-99.

663 69. Cram JA, Xia LC, Needham DM, Sachdeva R, Sun FZ, Fuhrman JA. Cross-depth
664 analysis of marine bacterial networks suggests downward propagation of temporal
665 changes. *ISME Journal*. 2015;9(12):2573-86.

666 70. Doney SC, Ruckelshaus M, Duffy JE, Barry JP, Chan F, English CA, et al. Climate
667 Change Impacts on Marine Ecosystems. *Annual Review of Marine Science*, Vol 4.
668 2012;4:11-37.

669 71. Cavicchioli R, Ripple WJ, Timmis KN, Azam F, Bakken LR, Baylis M, et al. Scientists'
670 warning to humanity: microorganisms and climate change. *Nature Reviews*
671 *Microbiology*. 2019;17(9):569-86.

672

673

674 **Supporting Information**

675 **S1 Fig: Comparison of taxa richness (no. of taxa) and diversity (Shannon's Diversity Index)**
676 **in rarefied datasets to the overall dataset revealed high correlations (Pearson's correlation**
677 **coefficient of determination, r^2).** Amplicon datasets were rarefied to a range of depths 10 times
678 each and the correlation between each rarefaction and overall dataset was calculated. A
679 rarefaction depth of 3000 sequences was selected for relative abundance analysis as it was the
680 minimum depth at which all correlations had $r^2 > 0.95$.

681

682 **S2 Fig: Linear model regression coefficients for the \log_{10} -transformed ratio of**
683 ***Prochlorococcus* sequence counts relative to *Synechococcus* sequence counts in the MICRO**
684 **time series as a function month and year (see Methods).** A trend of 0 is marked with
685 horizontal dashed lines. The *Prochlorococcus*/*Synechococcus* ratio show significant seasonal
686 variability and has an increasing trend across all years of the study.

687

688 **S3 Fig: Comparison of the \log_{10} -transformed (*Prochlorococcus* + 1)/(*Synechococcus* + 1)**
689 **ratio calculated by sequence read count and by flow cytometry cell count shows concordant**
690 **patterns in the MICRO time series. (A)** Sequence read ratio across the MICRO time series.
691 Either a Roche 454 (purple) or an Illumina MiSeq (red) platform was used to sequence the *rpoC1*
692 gene. **(B)** Cell count ratio from 2012-2015. Flow cytometry data was collected as in (Martiny *et*
693 *al.* 2016). **(C)** Comparison of read count ratios and cell count ratios in samples where DNA and
694 flow cytometry were collected concurrently. When *Prochlorococcus* cellular abundance was
695 above 15 cells/ml, the sequence ratio showed a significant linear relationship (blue line) with the
696 cell count ratio (*p*-value < 0.001, $R^2 = 0.48$).

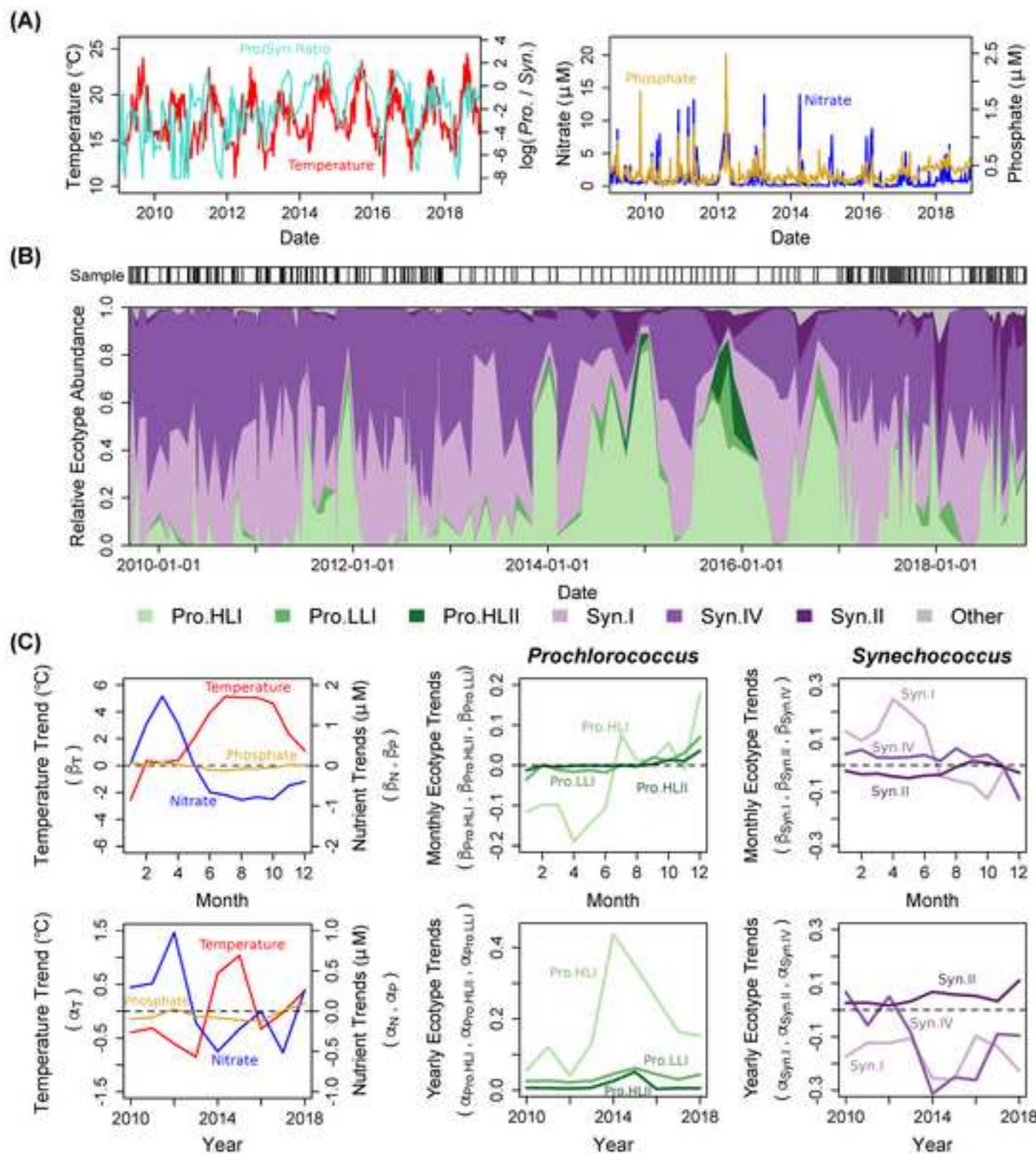
697 **S4 Fig: Relative ecotype abundance for select MICRO samples collected within a two-day**
 698 **window and sequenced on a Roche 454 versus an Illumina MiSeq.** The distribution of
 699 ecotype frequencies between sequencing platforms was compared using Pearson's chi-square test
 700 for homogeneity (10000 permutations, * = p -value < 0.05). The distribution of ecotype
 701 frequencies was only significantly different between sequencing platforms for 1 out 6
 702 comparisons (R = Roche 454, I = Illumina MiSeq).

703 **Table S1: Pearson's correlation coefficients (r) and corresponding p -values for the**
 704 **comparison of seasonal (month) and interannual (year) environmental trends to tax-**
 705 **specific trends.**

706

	TEMPERATURE				NITRATE				PHOSPHATE			
	Month		Year		Month		Year		Month		Year	
	<i>r</i>	<i>p</i> -value	<i>r</i>	<i>p</i> -value	<i>r</i>	<i>p</i> -value	<i>r</i>	<i>p</i> -value	<i>r</i>	<i>p</i> -value	<i>r</i>	<i>p</i> -value
HLI	0.47	0.12	0.77	0.01	-0.60	0.04	-0.71	0.03	-0.33	0.29	-0.41	0.27
LLI	0.25	0.43	0.87	0.002	-0.33	0.29	-0.49	0.18	-0.07	0.84	-0.25	0.52
HLII	0.19	0.56	0.80	0.01	-0.31	0.32	-0.41	0.28	-0.03	0.92	-0.39	0.30
HLI.W	0.61	0.03	0.08	0.84	-0.54	0.07	-0.81	0.008	-0.76	0.004	-0.09	0.83
LLI.W	-0.51	0.09	-0.25	0.52	0.06	0.85	0.62	0.07	0.54	0.07	-0.02	0.96
HLII.W	-0.13	0.69	0.45	0.22	-0.02	0.94	-0.46	0.21	0.15	0.64	-0.35	0.35
SYN.I	-0.56	0.06	-0.91	< 0.001	0.67	0.02	0.33	0.39	0.37	0.24	-0.04	0.92
SYN.IV	0.12	0.70	-0.65	0.06	0.13	0.68	0.69	0.04	-0.18	0.58	0.40	0.28
SYN.II	0.43	0.16	0.64	0.06	-0.52	0.08	-0.27	0.48	-0.18	0.58	0.34	0.37
SYN.I.W	-0.58	0.048	-0.34	0.37	0.69	0.01	-0.24	0.53	0.37	0.23	-0.51	0.16
SYN.IV.W	0.68	0.02	-0.52	0.15	-0.64	0.03	0.60	0.09	-0.55	0.06	0.47	0.20
SYN.II.W	0.49	0.11	0.93	< 0.001	-0.57	0.05	-0.50	0.17	-0.21	0.52	-0.13	0.74
HLI.2	-0.57	0.05	0.65	0.06	0.48	0.11	-0.61	0.08	0.65	0.02	0.14	0.73
SYN.II.2	0.11	0.74	0.63	0.07	-0.16	0.62	-0.41	0.27	0.19	0.56	0.02	0.96

707
 708 Trend values were calculated via linear regression and type II ANOVA (see Methods).
 709 Significant correlations are bolded.



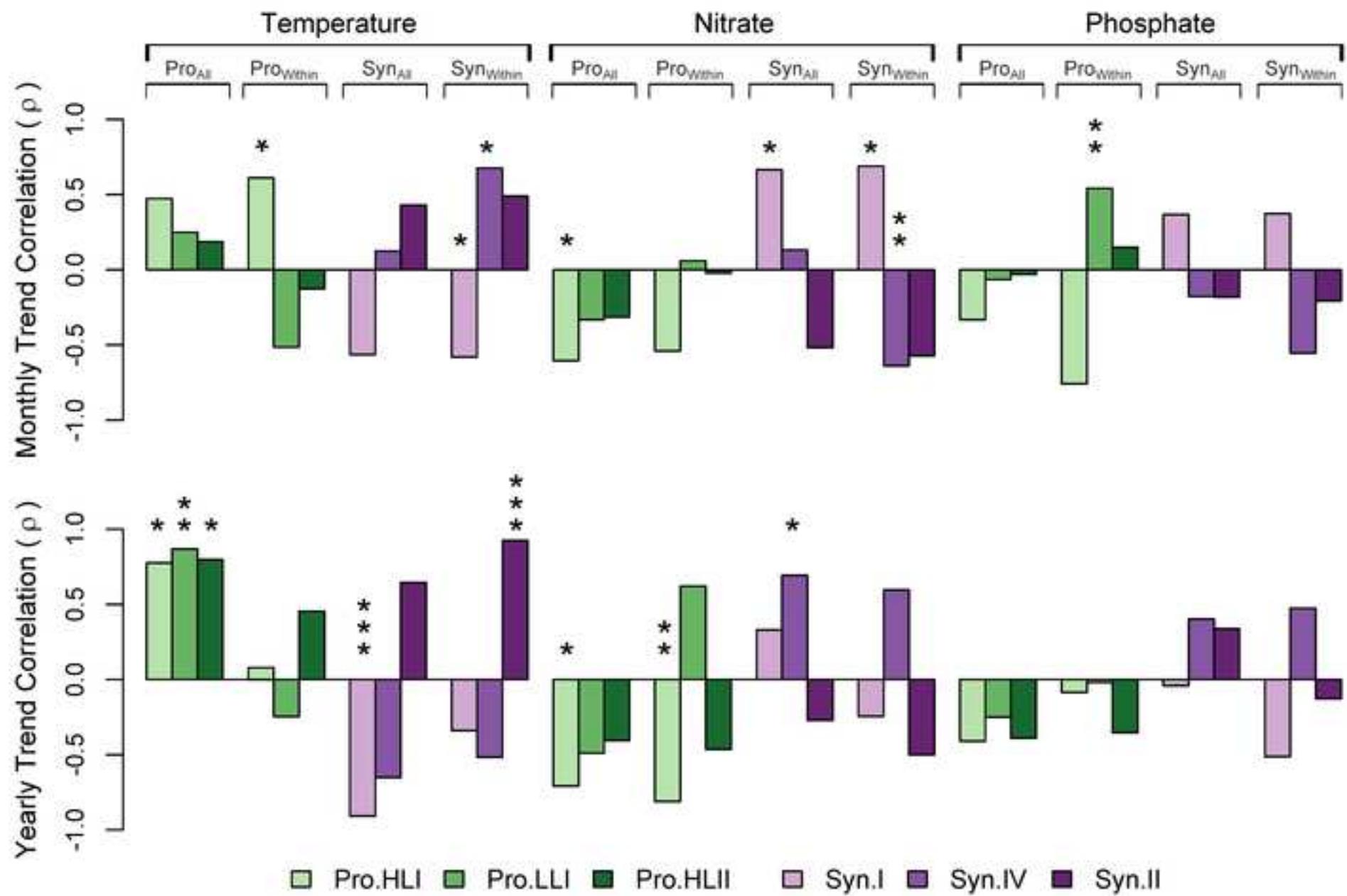


Figure 3

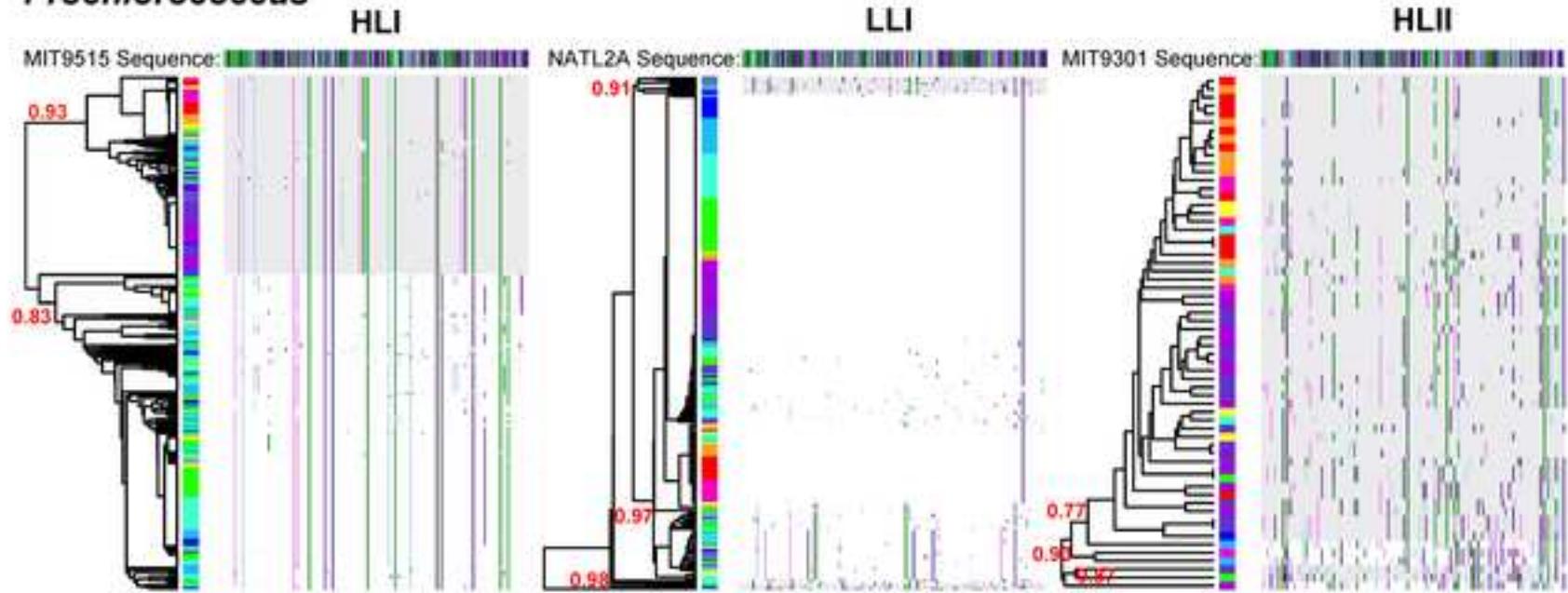
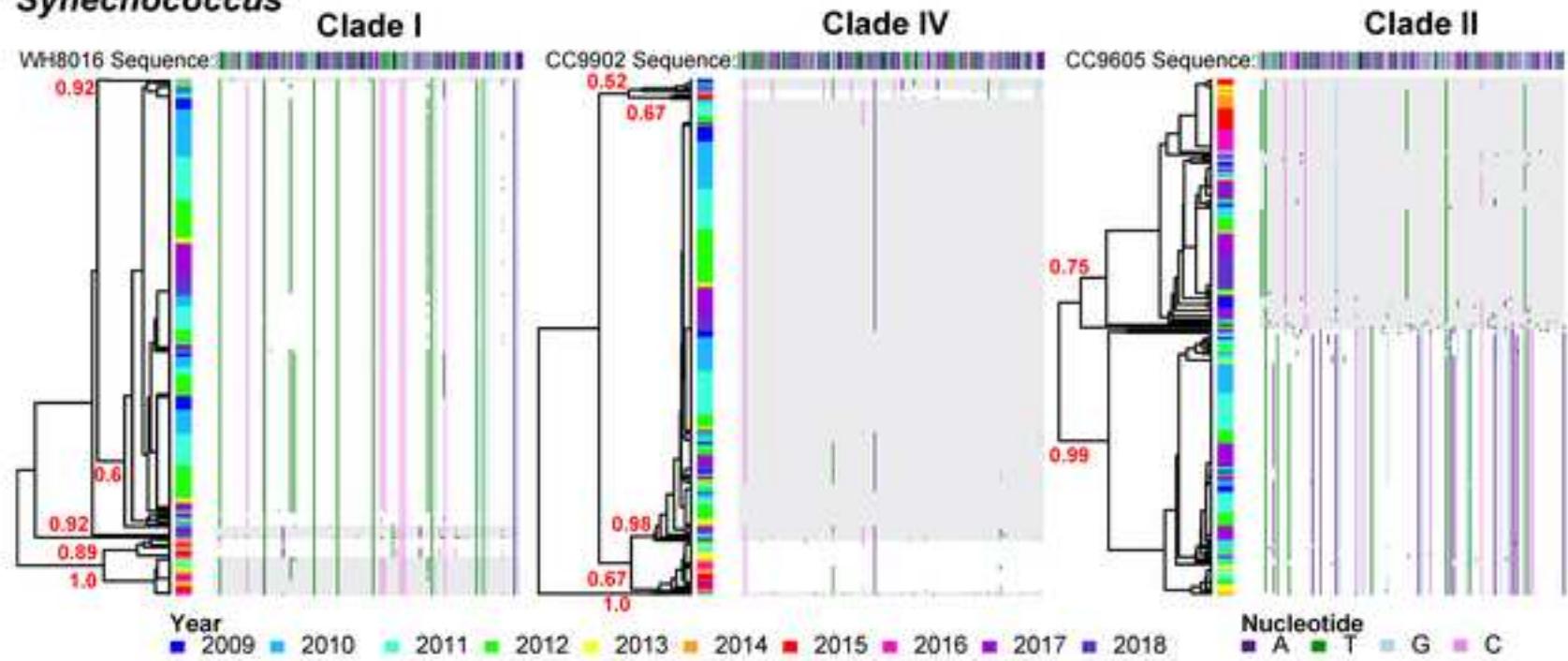
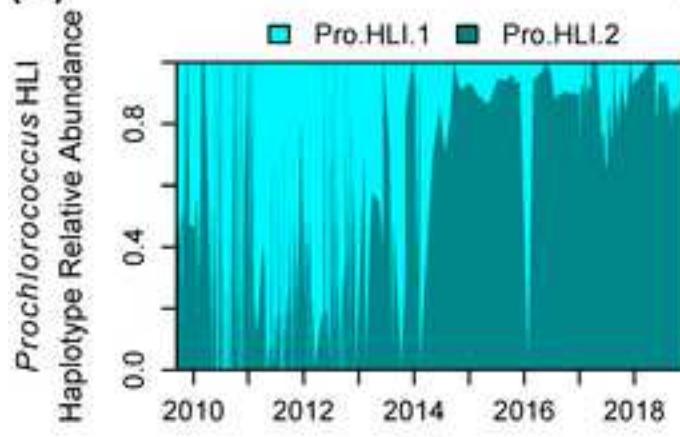
Click here to access/download; Figure; Fig_3.tif ***Prochlorococcus******Synechococcus***

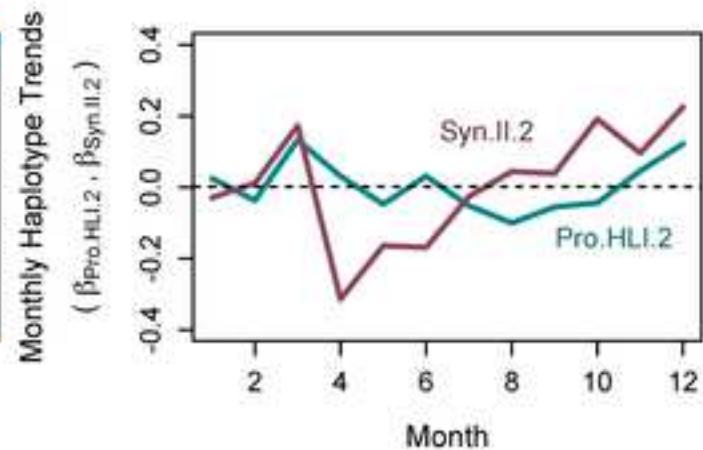
Figure 4

Click here to access/download; Figure; Fig_4.tif

(A)



(B)



(C)

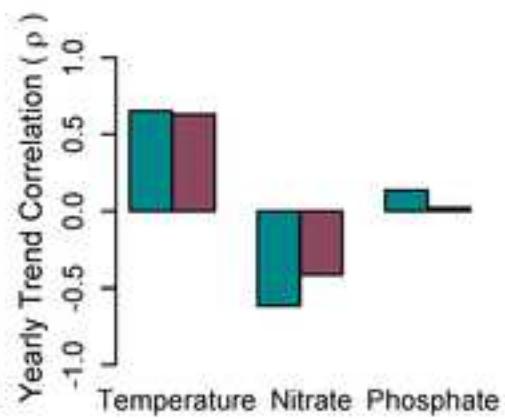
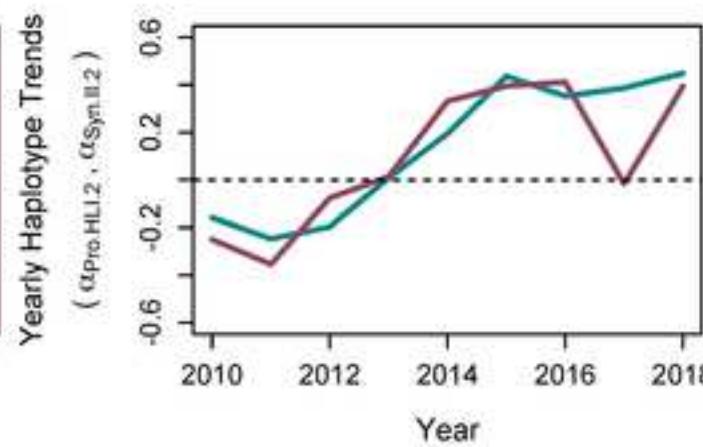
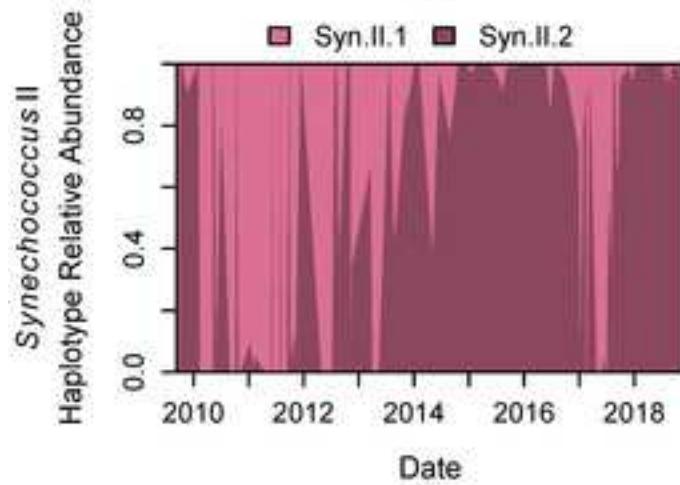
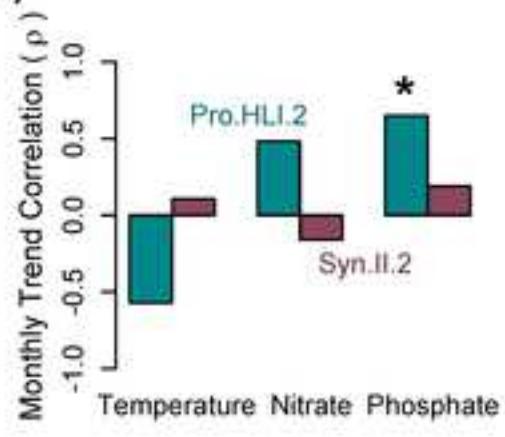
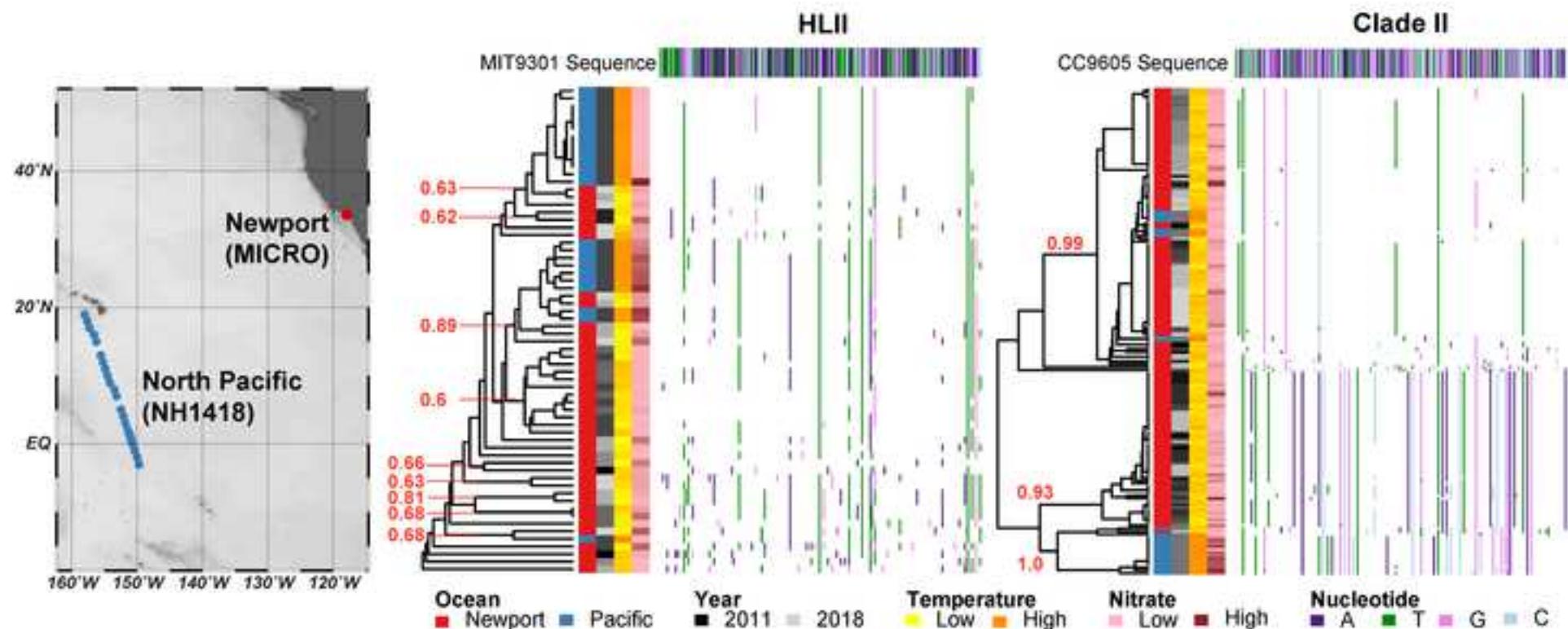
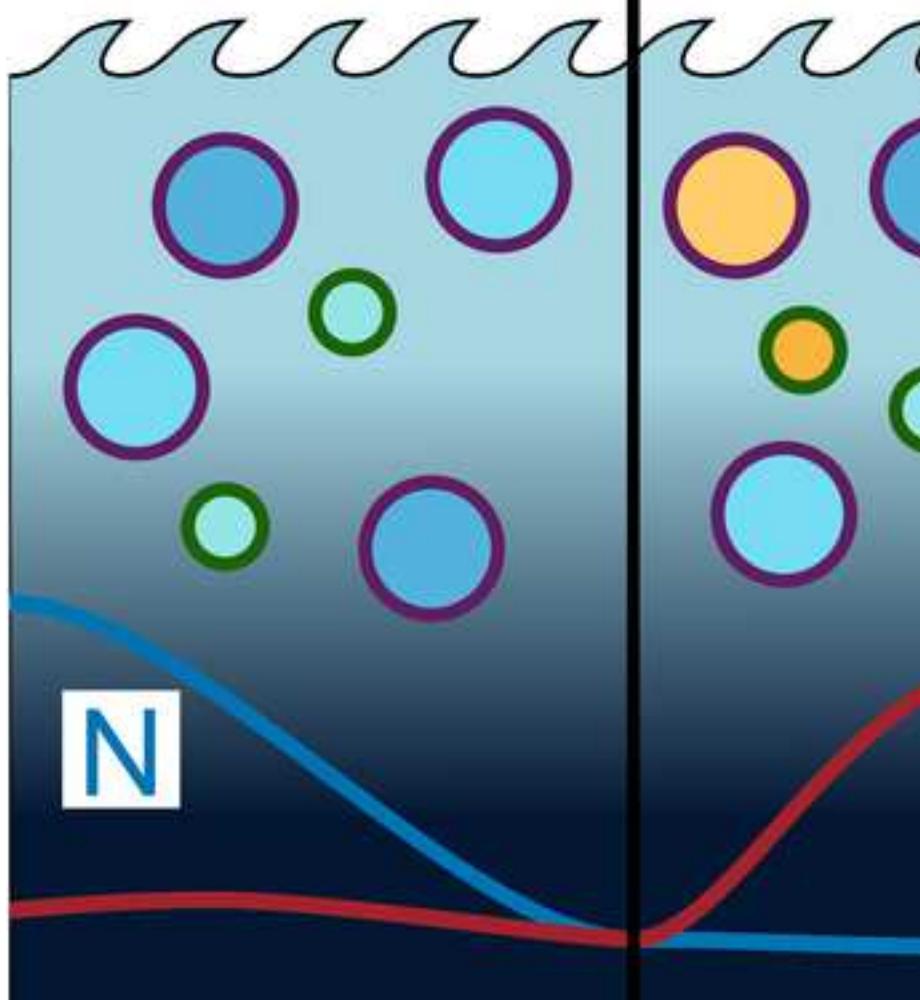


Figure 5

Click here to access/download;Figure;Fig_5.tif 

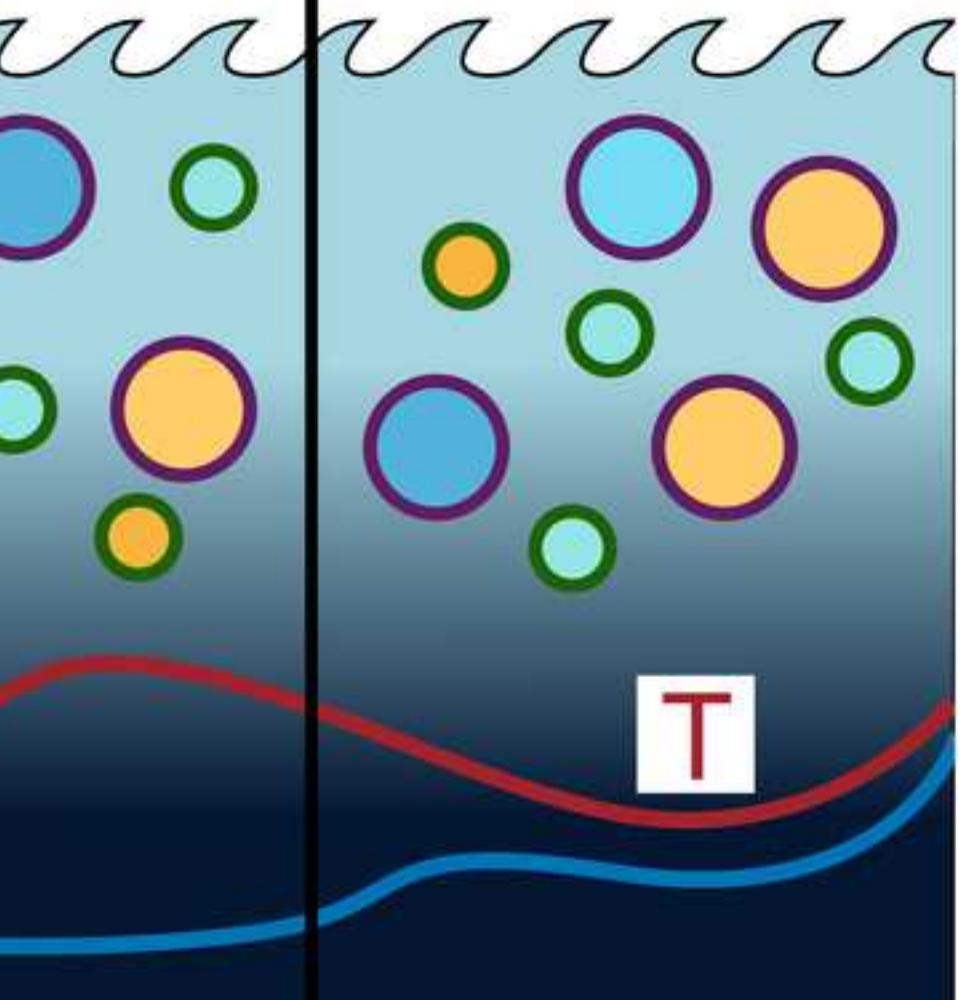
Pre-El Niño

- Low temperature, high N
- High *Synechococcus*
- Majority cold ecotypes



2015 El Niño

- High temperature, low N
- Peak *Prochlorococcus* and warm ecotypes
- Increased advection of oligotrophic haplotypes
- Reflective of acute warming



Post-El Niño

- Continuing high temperature, intermediate N
- Persistent *Prochlorococcus*, warm ecotypes, and haplotypes
- Reflective of chronic warming
- New ecosystem state?

