

Tensor Product Markov Chains

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To the memory of our friend and colleague Kay Magaard

Abstract

We analyze families of Markov chains that arise from decomposing tensor products of irreducible representations. This illuminates the Burnside-Brauer theorem for building irreducible representations, the McKay correspondence, and Pitman's $2M - X$ theorem. The chains are explicitly diagonalizable, and we use the eigenvalues/eigenvectors to give sharp rates of convergence for the associated random walks. For modular representations, the chains are not reversible, and the analytical details are surprisingly intricate. In the quantum group case, the chains fail to be diagonalizable, but a novel analysis using generalized eigenvectors proves successful.

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1 Introduction

Let G be a finite group and $\text{Irr}(G) = \{\chi_0, \chi_1, \dots, \chi_\ell\}$ be the set of ordinary (complex) irreducible characters of G . Fix a faithful (not necessarily irreducible) character α and generate a Markov chain on $\text{Irr}(G)$ as follows. For $\chi \in \text{Irr}(G)$, let $\alpha\chi = \sum_{i=1}^\ell a_i \chi_i$, where a_i is the multiplicity of χ_i as a constituent of the tensor product $\alpha\chi$. Pick an irreducible constituent χ' from the right-hand side with probability proportional to its multiplicity times its dimension. Thus, the chance $K(\chi, \chi')$ of moving from χ to χ' is

$$K(\chi, \chi') = \frac{\langle \alpha\chi, \chi' \rangle \chi'(1)}{\alpha(1)\chi(1)}, \quad (1.1)$$

where $\langle \chi, \psi \rangle = |G|^{-1} \sum_{g \in G} \chi(g) \overline{\psi(g)}$ is the usual Hermitian inner product on class functions χ, ψ of G .

These tensor product Markov chains were introduced by Fulman in [37], and have been studied by the hypergroup community, by Fulman for use with Stein's method [36], [37], and implicitly by algebraic geometry and group theory communities in connection with the McKay correspondence. A detailed literature review is given in Section 2. One feature is that the construction allows a complete diagonalization. The following theorem is implicit in Steinberg [77] and explicit in Fulman [37].

Theorem 1.1. ([37]) *Let α be a faithful complex character of a finite group G . Then the Markov chain K in (1.1) has as stationary distribution the Plancherel measure*

$$\pi(\chi) = \frac{\chi(1)^2}{|G|} \quad (\chi \in \text{Irr}(G)).$$

The eigenvalues of K are $\alpha(c)/\alpha(1)$ as c runs over a set \mathcal{C} of conjugacy class representatives of G . The corresponding right (left) eigenvectors have as their χ th-coordinates:

$$r_c(\chi) = \frac{\chi(c)}{\chi(1)}, \quad \ell_c(\chi) = \frac{\chi(1)\overline{\chi(c)}}{|C_G(c)|} = |c^G| \pi(\chi) \overline{r_c(\chi)},$$

where $|c^G|$ is the size of the conjugacy class of c , and $C_G(c)$ is the centralizer subgroup of c in G . The chain is reversible if and only if α is real.

We study a natural extension to the modular case, where p divides $|G|$ for p a prime, and work over an algebraically closed field \mathbb{k} of characteristic p . Let $\varrho_0, \varrho_1, \dots, \varrho_r$ be (representatives of equivalence classes of) the irreducible p -modular representations of G , with corresponding Brauer characters $\chi_0, \chi_1, \dots, \chi_r$, and let α be a faithful p -modular representation. The tensor product $\varrho_i \otimes \alpha$ does not have a direct sum decomposition into irreducible summands, but we can still choose an irreducible composition factor with probability proportional to its multiplicity times its dimension. We find that a parallel result holds (see Proposition 3.1). It turns out that the stationary distribution is

$$\pi(\chi) = \frac{p_\chi(1) \chi(1)}{|G|},$$

where p_χ is the Brauer character of the projective indecomposable module associated to the irreducible Brauer character χ . Moreover, the eigenvalues are the Brauer character ratios $\alpha(c)/\alpha(1)$, where now c runs through the conjugacy class representatives of p -regular elements of G . The chain is usually not reversible; the right eigenvectors come from the irreducible Brauer characters, and the left eigenvectors come from the associated projective characters. A tutorial on the necessary

representation theory is included in Appendix II (Section 9); we also include a tutorial on basic Markov chain theory in Appendix I (Section 8).

Here are four motivations for the present study:

(a) *Construction of irreducibles.* Given a group G it is not at all clear how to construct its character table. Indeed, for many groups this is a provably intractible problem. For example, for the symmetric group on n letters, deciding if an irreducible character at a general conjugacy class is zero or not is NP complete (by reduction to a knapsack problem in [66]). A classical theorem of Burnside-Brauer [17, 16] (see [51, 19.10]) gives a frequently used route: Take a faithful character α of G . Then all irreducible characters appear in the tensor powers α^k , where $1 \leq k \leq v$ (or $0 \leq k \leq v-1$, alternatively) and v can be taken as the number of distinct character values $\alpha(g)$. This is exploited in [78], which contains the most frequently used algorithm for computing character tables and is a basic tool of computational group theory. Theorem 1.1 above refines this description by showing what proportion of times each irreducible occurs. Further, the analytic estimates available can substantially decrease the maximum number of tensor powers needed. For example, if $G = \mathrm{PGL}_n(q)$ with q fixed and n large, and α is the permutation character of the group action on lines, then α takes at least the order of $n^{q-1}/((q-1)!)^2$ distinct values, whereas Fulman [37, Thm. 5.1] shows that the Markov chain is close to stationary in n steps. In [6], Benkart and Moon use tensor walks to determine information about the centralizer algebras and invariants of tensor powers α^k of faithful characters α of a finite group.

(b) *Natural Markov chains.* Sometimes the Markov chains resulting from tensor products are of independent interest, and their explicit diagonalization (due to the availability of group theory) reveals sharp rates of convergence to stationarity. A striking example occurs in one of the first appearances of tensor product chains in this context, the Eymard-Roynette walk on $\mathrm{SU}_2(\mathbb{C})$ [32]. The tensor product Markov chains make sense for compact groups (and well beyond). The ordinary irreducible representations for $\mathrm{SU}_2(\mathbb{C})$ are indexed by $\mathbb{N} \cup \{0\} = \{0, 1, 2, \dots\}$, where the corresponding dimensions of the irreducibles are $1, 2, 3, \dots$. Tensoring with the two-dimensional representation gives a Markov chain on $\mathbb{N} \cup \{0\}$ with transition kernel

$$K(i, i-1) = \frac{1}{2} \left(1 - \frac{1}{i+1} \right) \quad (i \geq 1), \quad K(i, i+1) = \frac{1}{2} \left(1 + \frac{1}{i+1} \right) \quad (i \geq 0). \quad (1.2)$$

This birth/death chain arises in several contexts. Eymard-Roynette [32] use the group analysis to show results such as the following: there exists a constant C such

that, as $n \rightarrow \infty$,

$$p \left\{ \frac{X_n}{\sqrt{Cn}} \leq x \right\} \sim \sqrt{\frac{2}{\pi}} \int_0^x y^2 e^{-y^2/2} dy, \quad (1.3)$$

where X_n represents the state of the tensor product chain starting from 0 at time n . The hypergroup community has substantially extended these results. See [42], [14], [71] for pointers. Further details are in our Section 2.3.

In a different direction, the Markov chain (1.2) was discovered by Pitman [67] in his work on the $2M - X$ theorem. A splendid account is in [58]. Briefly, consider a simple symmetric random walk on \mathbb{Z} starting at 1. The conditional distribution of this walk, conditioned not to hit -1 , is precisely (1.2). Rescaling space by $1/\sqrt{n}$ and time by $1/n$, the random walk converges to Brownian motion, and the Markov chain (1.2) converges to a Bessel(3) process (radial part of 3-dimensional Brownian motion). Pitman's construction gives a probabilistic proof of results of Williams: Brownian motion conditioned never to hit zero is distributed as a Bessel(3) process. This work has spectacular extensions to higher dimensions in the work of Biane-Bougerol-O'Connell ([12], [13]). See [44, final chapter] for earlier work on tensor walks, and references [10], [11] for the relation to 'quantum random walks'. Connections to fusion coefficients can be found in [24], and extensions to random walks on root systems appear in [57] for affine root systems and in [15] for more general Kac-Moody root systems. The literature on related topics is extensive.

In Section 3.2 we show how finite versions of these walks arise from the modular representations of $SL_2(p)$. Section 7 shows how they arise from quantum groups at roots of unity. The finite cases offer many extensions and suggest myriad new research areas. These sections have their own introductions, which can be read now for further motivation.

All of this illustrates our theme: *Sometimes tensor walks are of independent interest.*

(c) *New analytic insight.* Use of representation theory to give sharp analysis of random walks on groups has many successes. It led to the study of cut-off phenomena [29]. The study of 'nice walks' and comparison theory [27] allows careful study of 'real walks'. The attendant analysis of character ratios has widespread use for other group theory problems (see for example [9], [60]). The present walks yield a collection of fresh examples. The detailed analysis of Sections 3–6 highlights new behavior; remarkable cancellation occurs, calling for detailed hold on the eigenstructure. In the quantum group case covered in Section 7, the Markov chains are not diagonalizable, but the Jordan blocks of the transition matrix have bounded size, and an analysis using generalized eigenvectors is available. This is the first natural example we have seen with these ingredients.

(d) *Interdisciplinary opportunities.* Modular representation theory is an extremely deep subject with applications within group theory, number theory, and topology. We do not know applications outside those areas and are pleased to see its use in probability. We hope the present project and its successors provide an opportunity for probabilists and analysts to learn some representation theory (and conversely).

The outline of this paper follows: Section 2 gives a literature review. Section 3 presents a modular version of Theorem 1.1 and the first example $SL_2(p)$. Section 4 treats $SL_2(p^2)$, Section 5 features $SL_2(2^n)$, and Section 6 considers $SL_3(p)$. In Section 7 we examine the case of quantum SL_2 at a root of unity. Finally, two appendices (Sections 8 and 9) provide introductory information about Markov chains and modular representations.

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2 Literature review and related results

This section reviews connections between tensor walks and (a) the McKay correspondence, (b) hypergroup random walks, (c) chip firing, and (d) the distribution of character ratios.

2.1 McKay correspondence

We begin with a well-known example.

Example 2.1. For $n \geq 2$ let BD_n denote the *binary dihedral group*

$$BD_n = \langle a, x \mid a^{2n} = 1, x^2 = a^n, x^{-1}ax = a^{-1} \rangle$$

of order $4n$. This group has $n+3$ conjugacy classes, with representatives $1, x^2, x, xa$ and a^j ($1 \leq j \leq n-1$). It has 4 linear characters and $n-1$ irreducible characters of degree 2; the character table appears in Table 2.1. Consider the random walk

Table 2.1: Character table of BD_n

	1	x^2	a^j ($1 \leq j \leq n-1$)	x	xa
λ_1	1	1	1	1	1
λ_2	1	1	1	-1	-1
λ_3 (n even)	1	1	$(-1)^j$	1	-1
λ_4 (n even)	1	1	$(-1)^j$	-1	1
λ_3 (n odd)	1	-1	$(-1)^j$	i	$-i$
λ_4 (n odd)	1	-1	$(-1)^j$	$-i$	i
χ_r ($1 \leq r \leq n-1$)	2	$2(-1)^r$	$2 \cos\left(\frac{\pi j r}{n}\right)$	0	0

(1.1) given by tensoring with the faithful character χ_1 . Routine computations give

$$\begin{aligned} \lambda_1 \chi_1 &= \lambda_2 \chi_1 = \chi_1, \quad \lambda_3 \chi_1 = \lambda_4 \chi_1 = \chi_{n-1}, \\ \chi_r \chi_1 &= \chi_{r-1} + \chi_{r+1} \quad (2 \leq r \leq n-2), \\ \chi_1^2 &= \chi_2 + \lambda_1 + \lambda_2, \\ \chi_{n-1} \chi_1 &= \chi_{n-2} + \lambda_3 + \lambda_4. \end{aligned}$$

Thus, the Markov chain (1.1) can be seen as a simple random walk on the following graph (weighted as in (1.1)), where nodes designated with a prime ' correspond to the characters λ_j , $j = 1, 2, 3, 4$, and the other nodes label the characters χ_r ($1 \leq r \leq n-1$).



Figure 1: McKay graph for the binary dihedral group BD_n

For example, when $n = 4$, the transition matrix is

$$\begin{array}{cccccccc}
& \lambda_1 & \lambda_2 & \chi_1 & \chi_2 & \chi_3 & \lambda_3 & \lambda_4 \\
\lambda_1 & \left(\begin{array}{ccccccc} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ \frac{1}{4} & \frac{1}{4} & 0 & \frac{1}{2} & 0 & 0 & 0 \\ 0 & 0 & \frac{1}{2} & 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{2} & 0 & \frac{1}{4} & \frac{1}{4} \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{array} \right) \\
\lambda_2 & & & & & & & \\
\chi_1 & & & & & & & \\
\chi_2 & & & & & & & \\
\chi_3 & & & & & & & \\
\lambda_3 & & & & & & & \\
\lambda_4 & & & & & & &
\end{array}$$

The fact that the above graph is the affine Dynkin diagram of type D_{n+2} is a particular instance of the celebrated McKay correspondence. The correspondence begins with a faithful character α of a finite group G . Let k be the number of irreducible characters of G , and define a $k \times k$ matrix M (the McKay matrix) indexed by the ordinary irreducible characters χ_i of G by setting

$$M_{ij} = \langle \alpha\chi_i, \chi_j \rangle \quad (\text{the multiplicity of } \chi_j \text{ in } \alpha\chi_i). \quad (2.1)$$

The matrix M can be regarded as the adjacency matrix of a quiver having nodes indexed by the irreducible characters of G and M_{ij} arrows from node i to node j . When there is an arrow between i and j in both directions, it is replaced by a single edge (with no arrows). In particular, when M is symmetric, the result is a graph. John McKay [64] found that the graphs associated to these matrices, when α is the natural two-dimensional character of a finite subgroup of $SU_2(\mathbb{C})$, are exactly the affine Dynkin diagrams of types A, D, E. The Wikipedia page for ‘McKay correspondence’ will lead the reader to the widespread developments from this observation; see in particular [77], [70], [4] and the references therein.

There is a simple connection with the tensor walk (1.1).

Lemma 2.2. *Let α be a faithful character of a finite group G .*

(a) *The Markov chain K of (1.1) and the McKay quiver matrix M of (2.1) are related by*

$$K = \frac{1}{\alpha(1)} D^{-1} M D \quad (2.2)$$

where D is a diagonal matrix having the irreducible character degrees $\chi_i(1)$ as diagonal entries.

(b) *If v is a right eigenvector of M corresponding to the eigenvalue λ , then $D^{-1}v$ is a right eigenvector of K with corresponding eigenvalue $\frac{1}{\alpha(1)}\lambda$.*

(c) If w is a left eigenvector of M corresponding to the eigenvalue λ , then wD is a left eigenvector of K with corresponding eigenvalue $\frac{1}{\alpha(1)}\lambda$.

Parts (b) and (c) show that the eigenvalues and eigenvectors of K and M are simple functions of each other. In particular, Theorem 1.1 is implicit in Steinberg [77]. Of course, our interests are different; we would like to bound the rate of convergence of the Markov chain K to its stationary distribution π .

In the BD_n example, the ‘naive’ walk using K has a parity problem. However, if the ‘lazy’ walk is used instead, where at each step staying in place has probability of $\frac{1}{2}$ and moving according to χ_1 has probability of $\frac{1}{2}$, then that problem is solved. Letting \bar{K} be the transition matrix for the lazy walk, we prove

Theorem 2.3. *For the lazy version of the Markov chain \bar{K} on $\text{Irr}(BD_n)$ starting from the trivial character $\mathbb{1} = \lambda_1$ and multiplying by χ_1 with probability $\frac{1}{2}$ and staying in place with probability $\frac{1}{2}$, there are positive universal constants B, B' such that*

$$B e^{-2\pi^2\ell/n^2} \leq \|\bar{K}^\ell - \pi\|_{\text{TV}} \leq B' e^{-2\pi^2\ell/n^2}.$$

In this theorem, $\|\bar{K}^\ell - \pi\|_{\text{TV}} = \frac{1}{2} \sum_{\chi \in \text{Irr}(BD_n)} |\bar{K}^\ell(\mathbb{1}, \chi) - \pi(\chi)|$ is the total variation distance (see Appendix I, Section 8). The result shows that order n^2 steps are necessary and sufficient to reach stationarity. The proof can be found in Appendix I, Section 8.

2.2 Hypergroup walks

A *hypergroup* is a set \mathcal{X} with an associative product $\chi * \psi$ such that $\chi * \psi$ is a probability distribution on \mathcal{X} (there are a few other axioms, see [14] for example). Given $\alpha \in \mathcal{X}$, a Markov chain can be defined. From $\chi \in \mathcal{X}$, choose ψ from $\alpha * \chi$. As shown below, this notion includes our tensor chains.

Aside from groups, examples of hypergroups include the set of conjugacy classes of a finite group G : if a conjugacy class \mathcal{C} of G is identified with the corresponding sum $\sum_{c \in \mathcal{C}} c$ in the group algebra, then the product of two conjugacy classes is a positive integer combination of conjugacy classes, and the coefficients can be scaled to be a probability. In a similar way, double coset spaces form a hypergroup. The irreducible representations of a finite group also form a hypergroup under tensor product. Indeed, let $\mathcal{X} = \text{Irr}(G)$, and consider the normalized characters $\bar{\chi} = \frac{1}{\chi(1)}\chi$ for $\chi \in \mathcal{X}$. If α is any character, and $\alpha\chi = \sum_{\psi \in \mathcal{X}} a_\psi \psi$ (with a_ψ the multiplicity), then

$$\alpha(1)\chi(1)\bar{\alpha}\bar{\chi} = \sum_{\psi \in \mathcal{X}} a_\psi \psi = \sum_{\psi \in \mathcal{X}} a_\psi \psi(1)\bar{\psi}$$

so

$$\overline{\alpha\chi} = \sum_{\psi \in \mathcal{X}} \frac{a_\psi \psi(1)}{\alpha(1)\chi(1)} \overline{\psi} = \sum_{\psi \in \mathcal{X}} \mathsf{K}(\chi, \psi) \overline{\psi},$$

yielding the Markov chain (1.1).

Of course, there is work to do in computing the decomposition of tensor products and in doing the analysis required for the asymptotics of high convolution powers. The tensor walk on $SU_2(\mathbb{C})$ was pioneering work of Eymard-Roynette [32] with follow-ups by Gallardo and Reis [42] and Gallardo [41], and by Voit [80] who proved iterated log fluctuations for the Eymard-Roynette walk. Impressive recent work on higher rank double coset walks is in the paper [71] by Rösler and Voit. The treatise of Bloom and Hyer [14] contains much further development. Usually, this community works with infinite hypergroups and natural questions revolve around recurrence/transience and asymptotic behavior. There has been some work on walks derived from finite hypergroups (see Ross-Xu [72, 73], Vinh [79]). The present paper shows there is still much to do.

2.3 Chip firing and the critical group of a graph

A marvelous development linking graph theory, classical Riemann surface theory, and topics in number theory arises by considering certain chip-firing games on a graph. Roughly, there is an integer number $f(v)$ of chips at each vertex v of a finite, connected simple graph ($f(v)$ can be negative). ‘Firing vertex v ’ means adding 1 to each neighbor of v and subtracting $\deg(v)$ from $f(v)$. The chip-firing model is a discrete dynamical system classically modeling the distribution of a discrete commodity on a graphical network. Chip-firing dynamics and the long-term behavior of the model have been related to many different subjects such as economic models, energy minimization, neuron firing, travel flow, and so forth. Baker and Norine [3] develop a parallel with the classical theory of compact Riemann surfaces, formulating an appropriate analog of the Riemann-Roch and Abel-Jacobi Theorems for graphs. An excellent textbook introduction to chip firing is the recent [22]. A splendid resource for these developments is the forthcoming book of Levin-Peres [59]. See M. Matchett Wood [82] for connections to number theory.

A central object in this development is the *critical group* of the graph. This is a finite abelian group which can be identified as $\mathbb{Z}^{|V|}/\ker(L)$, with $|V|$ the number of vertices and $\ker(L)$ the kernel of the reduced graph Laplacian (delete a row and matching column from the Laplacian matrix). Baker-Norine identify the critical group as the Jacobian of the graph.

Finding ‘nice graphs’ where the critical group is explicitly describable is a natural activity. In [5], Benkart, Klivans, and Reiner work with what they term the

‘McKay-Cartan’ matrix $C = \alpha(1)I - M$ rather than the Laplacian, where M is the McKay matrix determined by the irreducible characters $\text{Irr}(G)$ of a finite group G , and α is a distinguished character. They exactly identify the associated critical group and show that the reduced matrix \tilde{C} obtained by deleting the row and column corresponding to the trivial character is always avalanche finite (chip firing stops). In the special case that the graph is a (finite) Dynkin diagram, the reduced matrix \tilde{C} is the corresponding Cartan matrix, and the various chip-firing notions have nice interpretations as Lie theory concepts. See also [40] for further information about the critical group in this setting.

An extension of this work by Grinberg, Huang, and Reiner [43] is particularly relevant to the present paper. They consider modular representations of a finite group G , where the characteristic is p and p divides $|G|$, defining an analog of the McKay matrix (and the McKay-Cartan matrix C) using composition factors, just as we do in Section 3. They extend considerations to finite-dimensional Hopf algebras such as restricted enveloping algebras and finite quantum groups. In a natural way, our results in Section 7 on quantum groups at roots of unity answer some questions they pose. Their primary interest is in the associated critical group. The dynamical Markov problems we study go in an entirely different direction. They show that the Brauer characters (both simple and projective) yield eigenvalues and left and right eigenvectors (see Proposition 3.1). Our version of the theory is developed from first principles in Section 3.

Pavel Etingof has suggested modular tensor categories or the \mathbb{Z}_+ -modules of [31, Chap. 3] as a natural further generalization, but we do not explore that direction here.

2.4 Distribution of character ratios

Fulman [37] developed the Markov chain (1.1) on $\text{Irr}(G)$ for yet different purposes, namely, probabilistic combinatorics. One way to understand a set of objects is to pick one at random and study its properties. For $G = S_n$, the symmetric group on n letters, Fulman studied ‘pick $\chi \in \text{Irr}(G)$ from the Plancherel measure’. Kerov had shown that for a fixed conjugacy class representative $c \neq 1$ in S_n , $\chi(c)/\chi(1)$ has an approximate normal distribution – indeed, a multivariate normal distribution when several fixed conjugacy classes are considered. A wonderful exposition of this work is in Ivanov-Olshanski [50]. The authors proved normality by computing moments. However, this does not lead to error estimates.

Fulman used ‘Stein’s method’ (see [20]), which calls for an exchangeable pair (χ, χ') marginally distributed as Plancherel measure. Equivalently, choose χ from Plancherel measure and then χ' from a Markov kernel $K(\chi, \chi')$ with Plancherel measure a stationary distribution. This led to (1.1). The explicit diagonalization

was crucial in deriving the estimates needed for Stein’s method.

Along the way, ‘just for fun’, Fulman gave sharp bounds for two examples of rates of convergence: tensoring the irreducible characters $\text{Irr}(\mathbb{S}_n)$ with the n -dimensional permutation representation and tensoring the irreducible representations of $\text{SL}_n(p)$ with the permutation representation on lines. In each case he found the cut-off phenomenon with explicit constants.

In retrospect, one may try to use any of the Markov chains in this paper along with Stein’s method to prove central limit theorems for Brauer characters. A referee points out that the approach in [37] uses Fourier analysis on groups which may need to be developed. There is work to do, but a clear path is available.

Final remarks. The decomposition of tensor products is a well-known difficult subject, even for ordinary characters of the symmetric group (the Kronecker problem). A very different set of problems about the asymptotics of decomposing tensor products is considered in Benson and Symonds [8]. For the fascinating difficulties of decomposing tensor products of tilting modules (even for $\text{SL}_3(\mathbb{k})$), see Lusztig-Williamson [61, 62].

3 Basic setup and first examples

In this section we prove some basic results for tensor product Markov chains in the modular case, and work out sharp rates of convergence for the groups $\text{SL}_2(p)$ with respect to tensoring with the natural two-dimensional module and also with the Steinberg module. Several analogous chains where the same techniques apply are laid out in Sections 4–6. Some basic background material on Markov chains can be found in Appendix I (Section 8), and on modular representations in Appendix II (Section 9).

3.1 Basic setup

Let G be a finite group, and let \mathbb{k} be an algebraically closed field of characteristic p . Denote by $G_{p'}$ the set of p -regular elements of G , and by \mathcal{C} a set of representatives of the p -regular conjugacy classes in G . Let $\text{IBr}(G)$ be the set of irreducible Brauer characters of G over \mathbb{k} . We shall abuse notation by referring to the irreducible $\mathbb{k}G$ -module with Brauer character χ , also by χ . For $\chi \in \text{IBr}(G)$, and a $\mathbb{k}G$ -module with Brauer character ϱ , let $\langle \chi, \varrho \rangle$ denote the multiplicity of χ as a composition factor of ϱ . Let p_χ be the Brauer character of the projective indecomposable cover of χ . Then if $\chi \in \text{IBr}(G)$ and ϱ is the Brauer character of any finite-dimensional

$\mathbb{k}G$ -module,

$$\langle \chi, \varrho \rangle = \frac{1}{|G|} \sum_{g \in G_{p'}} p_\chi(g) \overline{\varrho(g)} = \frac{1}{|G|} \sum_{g \in G_{p'}} \overline{p_\chi(g)} \varrho(g).$$

The orthogonality relations (see [81, pp. 201, 203] say for $\varrho \in \text{IBr}(G)$, $g \in G_{p'}$, and c a p -regular element that

$$\langle \chi, \varrho \rangle = \begin{cases} 0 & \text{if } \chi \not\cong \varrho, \\ 1 & \text{if } \chi \cong \varrho. \end{cases} \quad (3.1)$$

$$\sum_{\chi \in \text{IBr}(G)} p_\chi(g) \overline{\chi(c)} = \begin{cases} 0 & \text{if } g \notin c^G, \\ |C_G(c)| & \text{if } g \in c^G, \end{cases} \quad (3.2)$$

where c^G is the conjugacy class of c , and $|C_G(c)|$ is the size of the centralizer of c .

Fix a faithful $\mathbb{k}G$ -module with Brauer character α , and define a Markov chain on $\text{IBr}(G)$ by moving from χ to χ' with probability proportional to the product of $\chi'(1)$ with the multiplicity of χ' in $\chi \otimes \alpha$, that is,

$$K(\chi, \chi') = \frac{\langle \chi', \chi \otimes \alpha \rangle \chi'(1)}{\alpha(1) \chi(1)}. \quad (3.3)$$

As usual, denote by K^ℓ the transition matrix of this Markov chain after ℓ steps.

Proposition 3.1. *For the Markov chain in (3.3), the following hold.*

(i) *The stationary distribution is*

$$\pi(\chi) = \frac{p_\chi(1) \chi(1)}{|G|} \quad (\chi \in \text{IBr}(G)).$$

(ii) *The eigenvalues are $\alpha(c)/\alpha(1)$, where c ranges over a set \mathcal{C} of representatives of the p -regular conjugacy classes of G .*

(iii) *The right eigenfunctions are r_c ($c \in \mathcal{C}$), where for $\chi \in \text{IBr}(G)$,*

$$r_c(\chi) = \frac{\chi(c)}{\chi(1)}.$$

(iv) *The left eigenfunctions are ℓ_c ($c \in \mathcal{C}$), where for $\chi \in \text{IBr}(G)$,*

$$\ell_c(\chi) = \frac{\overline{p_\chi(c)} \chi(1)}{|C_G(c)|}.$$

Moreover, $\ell_1(\chi) = \pi(\chi)$, $r_1(\chi) = 1$, and for $c, c' \in \mathcal{C}$,

$$\sum_{\chi \in \text{IBr}(\mathbb{G})} \ell_c(\chi) \overline{r_{c'}(\chi)} = \delta_{c,c'}.$$

(v) For $\ell \geq 1$,

$$\mathsf{K}^\ell(\chi, \chi') = \sum_{c \in \mathcal{C}} \left(\frac{\overline{\alpha(c)}}{\alpha(1)} \right)^\ell \overline{r_c(\chi)} \ell_c(\chi').$$

In particular, for the trivial character $\mathbb{1}$ of \mathbb{G} ,

$$\frac{\mathsf{K}^\ell(\mathbb{1}, \chi')}{\pi(\chi')} - 1 = \sum_{c \neq 1} \left(\frac{\overline{\alpha(c)}}{\alpha(1)} \right)^\ell \frac{\overline{p_{\chi'}(c)}}{p_{\chi'}(1)} |c^G|.$$

Proof. (i) Define π as in the statement. Then summing over $\chi \in \text{IBr}(\mathbb{G})$ gives

$$\begin{aligned} \sum_{\chi} \pi(\chi) \mathsf{K}(\chi, \chi') &= \frac{1}{|\mathbb{G}|} \sum_{\chi} \frac{p_{\chi}(1) \chi(1) \langle \chi', \chi \otimes \alpha \rangle \chi'(1)}{\chi(1) \alpha(1)} \\ &= \frac{\chi'(1)}{|\mathbb{G}| \alpha(1)} \sum_{\chi} p_{\chi}(1) \langle \chi', \chi \otimes \alpha \rangle \\ &= \frac{\chi'(1)}{|\mathbb{G}| \alpha(1)} \langle \chi', \left(\sum_{\chi} p_{\chi}(1) \chi \right) \otimes \alpha \rangle \\ &= \frac{\chi'(1)}{|\mathbb{G}| \alpha(1)} \langle \chi', \mathbb{k}\mathbb{G} \otimes \alpha \rangle \quad \text{as } p_{\chi}(1) = \langle \chi, \mathbb{k}\mathbb{G} \rangle \\ &= \frac{\chi'(1)}{|\mathbb{G}| \alpha(1)} \alpha(1) \langle \chi', \mathbb{k}\mathbb{G} \rangle \quad \text{as } \mathbb{k}\mathbb{G} \otimes \alpha \cong (\mathbb{k}\mathbb{G})^{\oplus \alpha(1)} \\ &= \frac{\chi'(1) p_{\chi'}(1)}{|\mathbb{G}|} = \pi(\chi'). \end{aligned}$$

This proves (i).

(ii) and (iii) Define r_c as in (iii). Summing over $\chi' \in \text{IBr}(\mathbb{G})$ and using the

orthogonality relations (3.1), (3.2), we have

$$\begin{aligned}
\sum_{\chi'} K(\chi, \chi') r_c(\chi') &= \frac{1}{\chi(1)\alpha(1)} \sum_{\chi'} \chi'(c) \langle \chi', \chi \otimes \alpha \rangle \\
&= \frac{1}{\chi(1)\alpha(1)} \sum_{\chi'} \chi'(c) \frac{1}{|\mathbb{G}|} \sum_{g \in \mathbb{G}_{p'}} p_{\chi'}(g) \overline{\chi(g)} \overline{\alpha(g)} \\
&= \frac{1}{\chi(1)\alpha(1)|\mathbb{G}|} \sum_g \overline{\chi(g)} \overline{\alpha(g)} \sum_{\chi'} p_{\chi'}(g) \overline{\chi'(c^{-1})} \\
&= \frac{1}{\chi(1)\alpha(1)|\mathbb{G}|} |\mathbb{C}_{\mathbb{G}}(c)| \sum_{g^{-1} \in c^G} \overline{\chi(g)} \overline{\alpha(g)} \quad \text{by (3.2)} \\
&= \frac{1}{\chi(1)\alpha(1)} \chi(c) \alpha(c) \\
&= \frac{\alpha(c)}{\alpha(1)} r_c(\chi).
\end{aligned}$$

This proves (ii) and (iii).

(iv) Define ℓ_c as in (iv), and sum over $\chi \in \text{IBr}(\mathbb{G})$:

$$\begin{aligned}
\sum_{\chi} \ell_c(\chi) K(\chi, \chi') &= \frac{\chi'(1)}{\alpha(1)|\mathbb{C}_{\mathbb{G}}(c)|} \sum_{\chi} \overline{p_{\chi}(c)} \langle \chi', \chi \otimes \alpha \rangle \\
&= \frac{\chi'(1)}{\alpha(1)|\mathbb{C}_{\mathbb{G}}(c)|} \sum_{\chi} \overline{p_{\chi}(c)} \frac{1}{|\mathbb{G}|} \sum_{g \in \mathbb{G}_{p'}} p_{\chi'}(g) \overline{\chi(g)} \overline{\alpha(g)} \\
&= \frac{\chi'(1)}{\alpha(1)|\mathbb{C}_{\mathbb{G}}(c)||\mathbb{G}|} \sum_g p_{\chi'}(g) \overline{\alpha(g)} \sum_{\chi} \overline{p_{\chi}(c)} \overline{\chi(g^{-1})} \\
&= \frac{\chi'(1)}{\alpha(1)|\mathbb{G}|} \sum_{g^{-1} \in c^G} p_{\chi'}(g) \overline{\alpha(g)} \quad \text{by (3.2)} \\
&= \frac{\alpha(c)}{\alpha(1)|\mathbb{G}|} \overline{p_{\chi'}(c)} \chi'(1) |c^G| = \frac{\alpha(c)}{\alpha(1)} \frac{\overline{p_{\chi'}(c)} \chi'(1)}{|\mathbb{C}_{\mathbb{G}}(c)|} \\
&= \frac{\alpha(c)}{\alpha(1)} \ell_c(\chi').
\end{aligned}$$

The relations $\ell_1(\chi) = \pi(\chi)$ and $r_1(\chi) = 1$ follow from the definitions, and the fact that $\sum_{\chi \in \text{IBr}(\mathbb{G})} \ell_c(\chi) r_{c'}(\chi) = \delta_{c,c'}$ for $c, c' \in \mathcal{C}$ is a direct consequence of (3.2). This proves (iv).

(v) For any function $f : \text{IBr}(\mathbb{G}) \rightarrow \mathbb{C}$, we have $f(\chi') = \sum_{c \in \mathcal{C}} a_c \ell_c(\chi')$ with $a_c = \sum_{\chi'} f(\chi') \overline{r_c(\chi')}$ by (iv). For fixed χ , apply this to $K^{\ell}(\chi, \chi')$ as a function of

χ' , to see that $\mathsf{K}^\ell(\chi, \chi') = \sum_c a_c \ell_c(\chi')$, where

$$a_c = \sum_{\chi'} \mathsf{K}^\ell(\chi, \chi') \overline{r_c(\chi')} = \left(\frac{\overline{\alpha(c)}}{\overline{\alpha(1)}} \right)^\ell \overline{r_c(\chi)}.$$

The first assertion in (v) follows, and the second follows by setting $\chi = \mathbb{1}$ and using (i)–(iii). \square

Remark. The second formula in part (v) will be the workhorse in our examples, in the following form:

$$\begin{aligned} \|\mathsf{K}^\ell(\mathbb{1}, \cdot) - \pi\|_{\text{TV}} &= \frac{1}{2} \sum_{\chi'} |\mathsf{K}^\ell(\mathbb{1}, \chi') - \pi(\chi')| \\ &= \frac{1}{2} \sum_{\chi'} \left| \frac{\mathsf{K}^\ell(\mathbb{1}, \chi')}{\pi(\chi')} - 1 \right| \pi(\chi') \\ &\leq \frac{1}{2} \max_{\chi'} \left| \frac{\mathsf{K}^\ell(\mathbb{1}, \chi')}{\pi(\chi')} - 1 \right|. \end{aligned} \quad (3.4)$$

3.2 $\text{SL}_2(p)$

Let p be an odd prime, and let $G = \text{SL}_2(p)$ of order $p(p^2 - 1)$. The p -modular representation theory of G is expounded in [1]: writing \mathbb{k} for the algebraic closure of \mathbb{F}_p , we have that the irreducible $\mathbb{k}G$ -modules are labelled $V(a)$ ($0 \leq a \leq p - 1$), where $V(0)$ is the trivial module, $V(1)$ is the natural two-dimensional module, and $V(a) = S^a(V(1))$, the a^{th} symmetric power, of dimension $a + 1$. Denote by χ_a the Brauer character of $V(a)$, and by $\rho_a := \rho_{\chi_a}$ the Brauer character of the projective indecomposable cover of $V(a)$. The p -regular classes of G have representatives $\mathbb{1}, -\mathbb{1}, x^r$ ($1 \leq r \leq \frac{p-3}{2}$) and y^s ($1 \leq s \leq \frac{p-1}{2}$), where $\mathbb{1}$ is the 2×2 identity matrix, x and y are fixed elements of G of orders $p - 1$ and $p + 1$, respectively; the corresponding centralizers in G have orders $|G|, |G|, p - 1$ and $p + 1$. The values of the characters χ_a and ρ_a are given in Tables 3.1 and 3.2. In particular, we have $\rho_a(\mathbb{1}) = p$ for $a = 0$ or $p - 1$, and $\rho_a(\mathbb{1}) = 2p$ for other values of a . Hence by Proposition 3.1(i), for any faithful $\mathbb{k}G$ -module α , the stationary distribution for the Markov chain given by (3.3) is

$$\pi(\chi_a) = \begin{cases} \frac{1}{p^2-1} & \text{if } a = 0, \\ \frac{2(a+1)}{p^2-1} & \text{if } 1 \leq a \leq p-2, \\ \frac{p}{p^2-1} & \text{if } a = p-1. \end{cases} \quad (3.5)$$

Table 3.1: Brauer character table of $\mathrm{SL}_2(p)$

	1	-1	$x^r (1 \leq r \leq \frac{p-3}{2})$	$y^s (1 \leq s \leq \frac{p-1}{2})$
χ_0	1	1	1	1
χ_1	2	-2	$2 \cos\left(\frac{2\pi r}{p-1}\right)$	$2 \left(\cos\frac{2\pi s}{p+1}\right)$
$\chi_\ell (\ell \text{ even})$ $\ell \neq 0, p-1$	$\ell+1$	$\ell+1$	$1 + 2 \sum_{j=1}^{\frac{\ell}{2}} \cos\left(\frac{4j\pi r}{p-1}\right)$	$1 + 2 \sum_{j=1}^{\frac{\ell}{2}} \cos\left(\frac{4j\pi s}{p+1}\right)$
$\chi_k (k \text{ odd})$ $k \neq 1$	$k+1$	$-(k+1)$	$2 \sum_{j=0}^{\frac{k-1}{2}} \cos\left(\frac{(4j+2)\pi r}{p-1}\right)$	$2 \sum_{j=0}^{\frac{k-1}{2}} \cos\left(\frac{(4j+2)\pi s}{p+1}\right)$
χ_{p-1}	p	p	1	-1

 Table 3.2: Characters of projective indecomposables for $\mathrm{SL}_2(p)$

	1	-1	$x^r (1 \leq r \leq \frac{p-3}{2})$	$y^s (1 \leq s \leq \frac{p-1}{2})$
\mathbf{p}_0	p	p	1	$1 - 2 \cos\left(\frac{4\pi s}{p+1}\right)$
\mathbf{p}_1	$2p$	$-2p$	$2 \cos\left(\frac{2\pi r}{p-1}\right)$	$-2 \cos\left(\frac{6\pi}{p+1}\right)$
\mathbf{p}_2	$2p$	$2p$	$2 \cos\left(\frac{4\pi r}{p-1}\right)$	$-2 \cos\left(\frac{8\pi s}{p+1}\right)$
$\mathbf{p}_k (3 \leq k \leq p-2)$	$2p$	$(-1)^k 2p$	$2 \cos\left(\frac{2k\pi r}{p-1}\right)$	$-2 \cos\left(\frac{(2k+4)\pi s}{p+1}\right)$
\mathbf{p}_{p-1}	p	p	1	-1

We shall consider two walks: tensoring with the two-dimensional module $V(1)$, and tensoring with the Steinberg module $V(p-1)$. In both cases the walk has a parity problem: starting from 0, the walk is at an even position after an even number of steps, and hence does not converge to stationarity. This can be fixed by considering instead the ‘lazy’ version $\frac{1}{2}K + \frac{1}{2}I$: probabilistically, this means that at each step, with probability $\frac{1}{2}$ we remain in the same place, and with probability $\frac{1}{2}$ we transition according to the matrix K .

3.2.1 Tensoring with $V(1)$

As we shall justify below, the rule for decomposing tensor products is as follows, writing just a for the module $V(a)$ as a shorthand:

$$a \otimes 1 = \begin{cases} 1 & \text{if } a = 0, \\ (a+1)/(a-1) & \text{if } 1 \leq a \leq p-2, \\ (p-2)^2/1 & \text{if } a = p-1. \end{cases} \quad (3.6)$$

Remark 3.2. The notation here and elsewhere in the paper records the composition factors of the tensor product, and their multiplicities; so the $a = p-1$ line indicates that the tensor product $(p-1) \otimes 1$ has composition factors $V(p-2)$ with multiplicity 2, and $V(1)$ with multiplicity 1 (the order in which the factors are listed is not significant).

We now justify (3.6). Consider the algebraic group $SL_2(\mathbb{k})$, and let T be the subgroup consisting of diagonal matrices $t_\lambda = \text{diag}(\lambda, \lambda^{-1})$ for $\lambda \in \mathbb{k}^*$. For $1 \leq a \leq p-1$, the element t_λ acts on $V(a)$ with eigenvalues $\lambda^a, \lambda^{a-2}, \dots, \lambda^{-(a-2)}, \lambda^{-a}$, and we call the exponents

$$a, a-2, \dots, -(a-2), -a$$

the *weights* of $V(a)$. The weights of the tensor product $V(a) \otimes V(1)$ are then

$$a+1, (a-1)^2, \dots, -(a-1)^2, -(a+1),$$

where the superscripts indicate multiplicities (since the eigenvalues of t_λ on the tensor product are the products of the eigenvalues on the factors $V(a)$ and $V(1)$). For $a < p-1$ these weights can only match up with the weights of a module with composition factors $V(a+1), V(a-1)$. However, for $a = p-1$ the weights $\pm(a+1) = \pm p$ are the weights of $V(1)^{(p)}$, the Frobenius twist of $V(1)$ by the p^{th} -power field automorphism. On restriction to $G = SL_2(p)$, this module is just $V(1)$, and hence the composition factors of $V(p-1) \otimes V(1)$ are as indicated in the third line of (3.6).

From (3.6), the Markov chain corresponding to tensoring with $V(1)$ has transition matrix K , where

$$\begin{aligned} K(a, a+1) &= \frac{1}{2} \left(1 + \frac{1}{a+1} \right), & K(a, a-1) &= \frac{1}{2} \left(1 - \frac{1}{a+1} \right) \quad (0 \leq a \leq p-2), \\ K(p-1, p-2) &= 1 - \frac{1}{p}, & K(p-1, 1) &= \frac{1}{p}, \end{aligned} \tag{3.7}$$

and all other entries are 0.

Remark. Note that, except for transitions out of $p-1$, this Markov chain is exactly the truncation of the chain on $\{0, 1, 2, 3, \dots\}$ derived from tensoring with the two-dimensional irreducible module for $SU_2(\mathbb{C})$ (see (1.2)). It thus inherits the nice connections to Bessel processes and Pitman's $2M - X$ theorem described in (b) of Section I above. As shown in Section 7, the obvious analogue on $\{0, 1, \dots, n-1\}$

in the quantum group case has a somewhat different spectrum that creates new phenomena. The ‘big jump’ from $p - 1$ to 1 is strongly reminiscent of the ‘chutes and ladders’ chain studied in ([26], [28]) and the Nash inequality techniques developed there provide another route to analyzing rates of convergence. The next theorem shows that order p^2 steps are necessary and sufficient for convergence.

Theorem 3.3. *Let K be the Markov chain on $\{0, 1, \dots, p - 1\}$ given by (3.7) starting at 0, and let $\bar{K} = \frac{1}{2}K + \frac{1}{2}I$ be the corresponding lazy walk. Then with π as in (3.5), there are universal positive constants A, A' such that*

- (i) $\|\bar{K}^\ell - \pi\|_{\text{TV}} \geq Ae^{-\pi^2\ell/p^2}$ for all $\ell \geq 1$, and
- (ii) $\|\bar{K}^\ell - \pi\|_{\text{TV}} \leq A'e^{-\pi^2\ell/p^2}$ for all $\ell \geq p^2$.

Proof. By Proposition 3.1, the eigenvalues of \bar{K} are 0 and 1 together with

$$\begin{cases} \frac{1}{2} + \frac{1}{2} \cos\left(\frac{2k\pi}{p-1}\right) & (1 \leq k \leq \frac{p-3}{2}), \\ \frac{1}{2} + \frac{1}{2} \cos\left(\frac{2j\pi}{p+1}\right) & (1 \leq j \leq \frac{p-1}{2}). \end{cases}$$

To establish the lower bound in part (i), we use that fact that $\|\bar{K}^\ell - \pi\|_{\text{TV}} = \frac{1}{2}\sup_{\|f\|_\infty \leq 1} |\bar{K}^\ell(f) - \pi(f)|$ (see (8.1) in Appendix I). Choose $f = r_x$, the right eigenfunction corresponding to the class representative $x \in G$ of order $p - 1$. Then $r_x(\chi) = \frac{\chi(x)}{\chi(1)}$ for $\chi \in \text{IBr}(G)$. Clearly $\|r_x\|_\infty = 1$, and from the orthogonality relation (3.2),

$$\pi(r_x) = \sum_{\chi} \pi(\chi) r_x(\chi) = \frac{1}{|G|} \sum_{\chi} p_{\chi}(1) \chi(x) = 0.$$

From Table 3.1, the eigenvalue corresponding to r_x is $\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi}{p-1}\right)$, and so

$$\bar{K}^\ell(r_x) = \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi}{p-1}\right)\right)^\ell r_x(0) = \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi}{p-1}\right)\right)^\ell.$$

It follows that

$$\|\bar{K}^\ell - \pi\|_{\text{TV}} \geq \frac{1}{2} \left(\frac{1}{2} + \frac{1}{2} \cos\frac{2\pi}{p-1}\right)^\ell = \frac{1}{2} \left(1 - \frac{\pi^2}{p^2} + O\left(\frac{1}{p^4}\right)\right)^\ell.$$

This yields the lower bound (i), with $A = \frac{1}{2} + o(1)$.

Now we prove the upper bound (ii). Here we use the bound

$$\|\bar{K}^\ell - \pi\|_{\text{TV}} \leq \frac{1}{2} \max_{\chi} \left| \frac{\bar{K}^\ell(\mathbb{1}, \chi)}{\pi(\chi)} - 1 \right|$$

given by (3.4). Using the shorthand $\bar{K}^\ell(0, a) = \bar{K}^\ell(\chi_0, \chi_a)$, where $\chi_0 = \mathbb{1}$, and Proposition 3.1(v), we show in the $\mathrm{SL}_2(p)$ case that

$$\frac{\bar{K}^\ell(0, a)}{\pi(a)} - 1 = \begin{cases} (p+1) \sum_{r=1}^{\frac{p-3}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi r}{p-1} \right) \right)^\ell \cos \left(\frac{2a\pi r}{p-1} \right) \\ \quad - (p-1) \sum_{s=1}^{\frac{p-1}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi s}{p+1} \right) \right)^\ell \cos \left(\frac{(2a+4)\pi s}{p+1} \right) \quad (1 \leq a \leq p-2), \\ (p+1) \sum_{r=1}^{\frac{p-3}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi r}{p-1} \right) \right)^\ell \\ \quad - (p-1) \sum_{s=1}^{\frac{p-1}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi s}{p+1} \right) \right)^\ell \quad (a = p-1), \\ (p+1) \sum_{r=1}^{\frac{p-3}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi r}{p-1} \right) \right)^\ell \\ \quad + (p-1) \sum_{s=1}^{\frac{p-1}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi s}{p+1} \right) \right)^\ell \left(1 - 2 \cos \left(\frac{4\pi s}{p+1} \right) \right) \quad (a = 0). \end{cases} \quad (3.8)$$

To derive an upper bound, on the right-hand side we pair terms in the two sums for $1 \leq r = s \leq p^{\frac{1}{2}}$. Terms with $r, s \geq p^{\frac{1}{2}}$ are shown to be exponentially small. The argument is most easily seen when $a = 0$. In this case, the terms in the sums in the formula (3.8) are approximated as follows. First assume $r, s \leq p^{\frac{1}{2}}$. Then we claim that

$$\begin{aligned} (a) \quad & \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi r}{p-1} \right) \right)^\ell = e^{-\frac{\pi^2 r^2 \ell}{p^2} + O\left(\frac{r^2 \ell}{p^3}\right)} = e^{-\frac{\pi^2 r^2 \ell}{p^2}} \left(1 + O\left(\frac{1}{p}\right) \right); \\ (b) \quad & \left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi s}{p+1} \right) \right)^\ell = e^{-\frac{\pi^2 s^2 \ell}{p^2} + O\left(\frac{s^2 \ell}{p^3}\right)} = e^{-\frac{\pi^2 s^2 \ell}{p^2}} \left(1 + O\left(\frac{1}{p}\right) \right); \\ (c) \quad & 1 - 2 \cos \left(\frac{4\pi s}{p+1} \right) = -1 + \frac{4\pi^2 s^2}{p^2} + O\left(\frac{s^2}{p^3}\right). \end{aligned}$$

The justification of the claim is as follows. For (a), observe that

$$\begin{aligned} \frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi r}{p-1} \right) &= \frac{1}{2} + \frac{1}{2} \left(1 - \frac{1}{2} \left(\frac{2\pi r}{p-1} \right)^2 + O\left(\frac{r^4}{p^4}\right) \right) = 1 - \frac{\pi^2 r^2}{(p-1)^2} + O\left(\frac{r^4}{p^4}\right) \\ &= 1 - \frac{\pi^2 r^2}{p^2} \left(1 + \frac{2}{p} + O\left(\frac{1}{p^2}\right) + O\left(\frac{r^4}{p^4}\right) \right) \\ &= 1 - \frac{\pi^2 r^2}{p^2} + O\left(\frac{r^2}{p^3}\right) + O\left(\frac{r^4}{p^4}\right) \\ &= 1 - \frac{\pi^2 r^2}{p^2} + O\left(\frac{r^2}{p^3}\right) \quad (\text{as } r^2 \leq p). \end{aligned}$$

Hence,

$$\left(\frac{1}{2} + \frac{1}{2} \cos \left(\frac{2\pi r}{p-1} \right) \right)^\ell = e^{\ell \log \left(1 - \frac{\pi^2 r^2}{p^2} + O\left(\frac{r^2}{p^3}\right) \right)} = e^{-\frac{\pi^2 r^2 \ell}{p^2} + O\left(\frac{r^2 \ell}{p^3}\right)},$$

giving (a).

Part (b) follows in a similar way. Finally, for (c),

$$\begin{aligned}
1 - 2 \cos\left(\frac{4\pi s}{p+1}\right) &= 1 - 2 \left(1 - \frac{2\pi^2 s^2}{(p+1)^2} + O\left(\frac{r^4}{p^4}\right)\right) = -1 + \frac{4\pi^2 s^2}{(p+1)^2} + O\left(\frac{r^4}{p^4}\right) \\
&= -1 + \frac{4\pi^2 s^2}{p^2} \left(1 + O\left(\frac{1}{p}\right)\right) + O\left(\frac{r^4}{p^4}\right) \\
&= -1 + \frac{4\pi^2 s^2}{p^2} + O\left(\frac{s^2}{p^3}\right).
\end{aligned}$$

This completes the proof of claims (a)-(c). Note that all the error terms hold uniformly in ℓ, p, r, s for $r, s \leq p^{\frac{1}{2}}$.

Combining terms, we see that the summands with $r = s < p^{\frac{1}{2}}$ in (3.8) (with $a = 0$) contribute

$$\begin{aligned}
&(p+1)e^{-\frac{\pi^2 r^2 \ell}{p^2}} \left(1 + O\left(\frac{1}{p}\right)\right) + (p-1)e^{-\frac{\pi^2 r^2 \ell}{p^2}} \left(1 + O\left(\frac{1}{p}\right)\right) \left(-1 + O\left(\frac{r^2}{p^2}\right)\right) \\
&= e^{-\frac{\pi^2 r^2 \ell}{p^2}} (2 + O(1)).
\end{aligned}$$

The sum over $1 \leq r < \infty$ of this expression is bounded above by a constant times $e^{-\frac{\pi^2 \ell}{p^2}}$, provided $\ell \geq p^2$.

For $\frac{p-1}{2} \geq b = r, s \geq p^{\frac{1}{2}}$ we have $\left|\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi b}{p+1}\right)\right| \leq 1 - \frac{1}{p}$, so the sums in the right-hand side of (3.8) are bounded above by $p^2 e^{-\frac{\ell}{p}}$, which is negligible for $\ell \geq p^2$.

This completes the argument for $a = 0$ and shows

$$\left| \frac{\bar{K}^\ell(0,0)}{\pi(0)} - 1 \right| \leq A e^{-\frac{\pi^2 \ell}{p^2}}.$$

At the other end, for the Steinberg module $V(p-1)$, a similar but easier analysis of the spectral formula (3.8) with $a = p-1$ gives the same conclusion.

Consider finally $0 < a < p-1$ in (3.8). To get the cancellation for $r^2, s^2 \leq p$, use a Taylor series expansion to write

$$\cos\left(\frac{(2a+4)\pi s}{p+1}\right) = \cos\left(\frac{2a\pi s}{p+1}\right) - \frac{4\pi s}{p+1} \sin\left(\frac{2a\pi s}{p+1}\right) + O\left(\frac{s^2}{p^2}\right).$$

Then

$$(p+1) \cos\left(\frac{2a\pi r}{p-1}\right) - (p-1) \cos\left(\frac{(2a+4)\pi r}{p+1}\right) = O(r)$$

and we obtain

$$\sum_{1 \leq r \leq \sqrt{p}} e^{-\frac{\pi^2 r \ell}{p^2}} r \leq A e^{-\frac{\pi^2 \ell}{p^2}}$$

as before. We omit further details. \square

3.2.2 Tensoring with the Steinberg module $V(p-1)$

The Steinberg module $V(p-1)$ of dimension p is the irreducible for $\mathrm{SL}_2(p)$ of largest dimension, and intuition suggests that the walk induced by tensoring with this should approach the stationary distribution (3.5) much more rapidly than the $V(1)$ walk analyzed in the previous subsection. The argument below shows that for a natural implementation, order of $\log p$ steps are necessary and sufficient. One problem to be addressed is that the Steinberg representation is not faithful, as -1 is in the kernel. There are two simple ways to fix this:

Sum Chain: Let K_s be the Markov chain starting from $V(0)$ and tensoring with $V(1) \oplus V(p-1)$.

Mixed Chain: Let K_m be the Markov chain starting from $V(0)$ and defined by ‘at each step, with probability $\frac{1}{2}$ tensor with $V(p-1)$ and with probability $\frac{1}{2}$ tensor with $V(1)$ ’.

Remark Because the two chains involved in K_s and K_m are simultaneously diagonalizable (all tensor chains have the same eigenvectors by Proposition 3.1), the eigenvalues of K_s, K_m are as in Table 3.3.

Table 3.3: Eigenvalues of K_s and K_m

class	1	-1	x^r ($1 \leq r \leq \frac{p-3}{2}$)	y^s ($1 \leq s \leq \frac{p-1}{2}$)
K_s	1	$\frac{1}{p+2}(p-2)$	$\frac{1}{p+2} \left(1 + 2 \cos \left(\frac{2\pi r}{p-1} \right) \right)$	$\frac{1}{p+2} \left(2 \cos \left(\frac{2\pi s}{p+1} \right) - 1 \right)$
K_m	1	0	$\frac{1}{2} \left(\frac{1}{p} + \cos \left(\frac{2\pi r}{p-1} \right) \right)$	$\frac{1}{2} \left(\cos \left(\frac{2\pi s}{p+1} \right) - \frac{1}{p} \right)$

Sum Chain: The following considerations show that the sum walk K_s is ‘slow’: it takes order p steps to converge. From Table 3.3, the right eigenfunction for the second eigenvalue $1 - \frac{4}{p+2}$ is $r_{-1}(\chi) = \frac{\chi(-1)}{\chi(1)}$. Let X_ℓ be the position of the walk after ℓ steps, and let E_s denote expectation, starting from the trivial representation. Then $E_s(r_{-1}(X_\ell)) = \left(1 - \frac{4}{p+2}\right)^\ell$. In stationarity, $E_s(r_{-1}(X)) = 0$. Then $\|K_s^\ell - \pi\| \geq \frac{1}{2} \left(1 - \frac{4}{p+2}\right)^\ell$ shows that ℓ must be of size greater than p to get to stationarity, using the same lower bounding technique as in the proof of Theorem 3.3. In fact, order p steps are sufficient, in the ℓ_∞ distance (see 8.2), but we will not prove this here. We will not analyze the sum chain any further.

Mixed Chain: We now analyze K_m . Arguing with weights as for tensoring with $V(1)$ in (3.6), we see that tensor products with $V(p-1)$ decompose as follows:

Table 3.4: Decomposition of $V(a) \otimes V(p-1)$ for $SL_2(p)$

a	$a \otimes (p-1)$
0	$p-1$
1	$(p-2)^2/1$
2	$(p-1)/(p-3)^2/2/0$
$a \geq 3$ odd	$(p-2)^2/(p-4)^2/\cdots/(p-a-1)^2/a/(a-2)^2/\cdots/1^2$
$a \geq 4$ even	$(p-1)/(p-3)^2/\cdots/(p-a-1)^2/a/(a-2)^2/\cdots/2^2/0$

Note that when $a \geq \frac{p-1}{2}$, some of the terms $a, a-2, \dots$ can equal terms $p-1, p-2, \dots$, giving rise to some higher multiplicities – for example,

$$(p-2) \otimes (p-1) = (p-2)^3/(p-4)^4/\cdots/1^4, \\ (p-1) \otimes (p-1) = (p-1)^2/(p-3)^4/\cdots/2^4/0^3.$$

These decompositions explain the ‘tensor with $V(p-1)$ ’ walk: starting at $V(0)$, the walk moves to $V(p-1)$ at the first step. It then moves to an even position with essentially the correct stationary distribution (except for $V(0)$). Thus, the tensor with $V(p-1)$ walk is close to stationary after 2 steps, conditioned on being even. Mixing in $V(1)$ allows moving from even to odd. The following theorem makes this precise, showing that order $\log p$ steps are necessary and sufficient, with respect to the ℓ_∞ norm.

Theorem 3.4. *For the mixed walk K_m defined above, starting at $V(0)$, we have for all $p \geq 23$ and $\ell \geq 1$ that*

- (i) $\| K^\ell - \pi \|_\infty \geq e^{-(2 \log 2)(\ell+1)+(4/3) \log p}$, and
- (ii) $\| K^\ell - \pi \|_\infty \leq e^{-\ell/4+2 \log p}$.

In fact, the mixed walks K_m have cutoff at time $\log_2 p^2$, when we let p tend to ∞ .

Proof. Using Proposition 3.1(v) together with Table 3.2, we see that the values of $\frac{K_m^\ell(0,a)}{\pi(a)} - 1$ are as displayed below.

Table 3.5: Values of $\frac{K_m^\ell(0,a)}{\pi(a)} - 1$ for $\mathrm{SL}_2(p)$

a	$\frac{K_m^\ell(0,a)}{\pi(a)} - 1$
0	$(p+1) \sum_{r=1}^{\frac{p-3}{2}} \left(\frac{1}{2} \left(\cos \left(\frac{2\pi r}{p-1} \right) + \frac{1}{p} \right) \right)^\ell + (p-1) \sum_{s=1}^{\frac{p-1}{2}} \left(\frac{1}{2} \left(\cos \left(\frac{2\pi s}{p+1} \right) - \frac{1}{p} \right) \right)^\ell$
$1 \leq a \leq p-2$	$(p+1) \sum_{r=1}^{\frac{p-3}{2}} \left(\frac{1}{2} \left(\cos \left(\frac{2\pi r}{p-1} \right) + \frac{1}{p} \right) \right)^\ell \cos \left(\frac{4a\pi}{p-1} \right) - (p-1) \sum_{s=1}^{\frac{p-1}{2}} \left(\frac{1}{2} \left(\cos \left(\frac{2\pi s}{p+1} \right) - \frac{1}{p} \right) \right)^\ell \cos \left(\frac{(2a+4)\pi s}{p+1} \right)$
$p-1$	$(p+1) \sum_{r=1}^{\frac{p-3}{2}} \left(\frac{1}{2} \left(\cos \left(\frac{2\pi r}{p-1} \right) + \frac{1}{p} \right) \right)^\ell - (p-1) \sum_{s=1}^{\frac{p-1}{2}} \left(\frac{1}{2} \left(\cos \left(\frac{2\pi s}{p+1} \right) - \frac{1}{p} \right) \right)^\ell$

For the upper bound, observe that if $p \geq 23$, then

$$\begin{aligned} \left| \frac{K_m^\ell(0,a)}{\pi(a)} - 1 \right| &\leq \frac{p+1}{2^\ell} \sum_{r=1}^{\frac{p-3}{2}} \left(1 + \frac{1}{p} \right)^\ell + \frac{p-1}{2^\ell} \sum_{s=1}^{\frac{p-1}{2}} \left(1 + \frac{1}{p} \right)^\ell \\ &< \frac{p^2}{2^\ell} \left(1 + \frac{1}{p} \right)^\ell < e^{-\ell(\log 2 - 1/p) + 2 \log p} < e^{-\ell/4 + 2 \log p} \end{aligned}$$

This implies the upper bound (ii) in the conclusion. Moreover, if we let $p \rightarrow \infty$ and take $\ell \approx (1 + \epsilon) \log_2(p^2)$ with $0 < \epsilon < 1$ fixed, then ℓ/p is bounded from above, and so

$$\left| \frac{K_m^\ell(0,a)}{\pi(a)} - 1 \right| < \frac{p^2}{2^\ell} \left(1 + \frac{1}{p} \right)^\ell < \frac{e^{\ell/p}}{p^{2\epsilon}} \quad (3.9)$$

tends to zero.

For the lower bound (i), we use the monotonicity property (8.3) and choose $\ell_0 \in \{\ell, \ell + 1\}$ to be even. Observe that if $1 \leq r \leq (p-1)/6$, then $\cos \left(\frac{2\pi r}{p-1} \right) \geq 1/2$. As $\lfloor (p-1)/6 \rfloor \geq (p-5)/6$, it follows that

$$\left| \frac{K_m^{\ell_0}(0,0)}{\pi(0)} - 1 \right| \geq \frac{(p+1)(p-5)}{6} 2^{-2\ell_0} > e^{-(2 \log 2)\ell_0 + (4/3) \log p}$$

when $p \geq 23$. Now the lower bound follows by (8.2).

To establish the cutoff, we again let $p \rightarrow \infty$ and consider *even* integers

$$\ell \approx (1 - \epsilon) \log_2 p^2$$

with $0 < \epsilon < 1$ fixed. Note that when $0 \leq x \leq \sqrt{\log 2}$, then

$$\cos(x) \geq 1 - x^2/2 \geq e^{-x^2}.$$

Hence, there are absolute constants $C_1, C_2 > 0$ such that when $1 \leq r \leq \lceil C_1(p/\sqrt{\log p}) \rceil$ we have

$$\cos\left(\frac{2\pi r}{p-1}\right) + 1/p \geq e^{-4\pi^2 r^2/(p-1)^2} \geq e^{-C_2/(\log p)},$$

and so

$$\left(\cos\left(\frac{2\pi r}{p-1}\right) + 1/p\right)^\ell \geq e^{-C_2 \ell/(\log p)} \geq e^{-2C_2}.$$

It follows that

$$\left| \frac{K_m^\ell(0,0)}{\pi(0)} - 1 \right| > \frac{C_1 e^{-2C_2} p^2}{2^\ell \sqrt{\log p}} \approx \frac{C_1 e^{-2C_2} p^{2\epsilon}}{\sqrt{\log p}}$$

tends to ∞ . Together with (3.9), this proves the cutoff at $\log_2(p^2)$. \square

Remark. The above result uses ℓ_∞ distance. We conjecture that any increasing number of steps is sufficient to send the total variation distance to zero. In principle, this can be attacked directly from the spectral representation of $K_m^\ell(0, a)$, but the details seem difficult.

4 $\mathrm{SL}_2(q)$, $q = p^2$

4.1 Introduction

The nice connections between the tensor walk on $\mathrm{SL}_2(p)$ and probability suggest that closely related walks may give rise to interesting Markov chains. In this section, we work with $\mathrm{SL}_2(q)$ over a field of $q = p^2$ elements. Throughout, \mathbb{k} is an algebraically closed field of characteristic $p > 0$, p odd. We present some background representation theory in Section 4.2. In Section 4.3, we will be tensoring with the usual (natural) two-dimensional representation V . In Section 4.4, the 4-dimensional module $V \otimes V^{(p)}$ will be considered.

We now describe the irreducible modules for $G = \mathrm{SL}_2(p^2)$ over \mathbb{k} . As in Section 3.2, let $V(0)$ denote the trivial module, $V(1)$ the natural 2-dimensional module, and for $1 \leq a \leq p-1$, let $V(a) = S^a(V(1))$, the a^{th} symmetric power of $V(1)$

(of dimension $a + 1$). Denote by $V(a)^{(p)}$ the Frobenius twist of $V(a)$ by the field automorphism of G raising matrix entries to the p^{th} power. Then by the Steinberg tensor product theorem (see for example [63, §16.2]), the irreducible $\mathbb{k}G$ -modules are the p^2 modules $V(a) \otimes V(b)^{(p)}$, where $0 \leq a, b \leq p - 1$ (note that the weights of the diagonal subgroup T on these modules are given in (4.2) below). Denote this module by the pair (a, b) . In particular, the trivial representation corresponds to $(0, 0)$ and the Steinberg representation is indexed by $(p - 1, p - 1)$. The natural two-dimensional representation corresponds to $(1, 0)$. For $p = 5$, the tensor walk using $(1, 0)$ is pictured in Table 4.1. The exact probabilities depend on (a, b) and are given in (4.4) below. Thus, from a position $(0, b)$ on the left-hand wall of the display, the walk must move one to the right. At an interior (a, b) , the walk moves one horizontally to $(a - 1, b)$ or $(a + 1, b)$. At a point $(p - 1, b)$ on the right-hand wall, the walk can move left one horizontally (indeed, it does so with probability $1 - \frac{1}{p}$) or it makes a big jump to $(0, b - 1)$ or to $(0, b + 1)$ if $b \neq p - 1$ and a big jump to $(0, p - 2)$ or to $(1, 0)$ when $b = p - 1$. The walk has a drift to the right, and a drift upward.

Throughout this article, double-headed arrows in displays indicate that the module pointed to occurs twice in the tensor product decomposition.

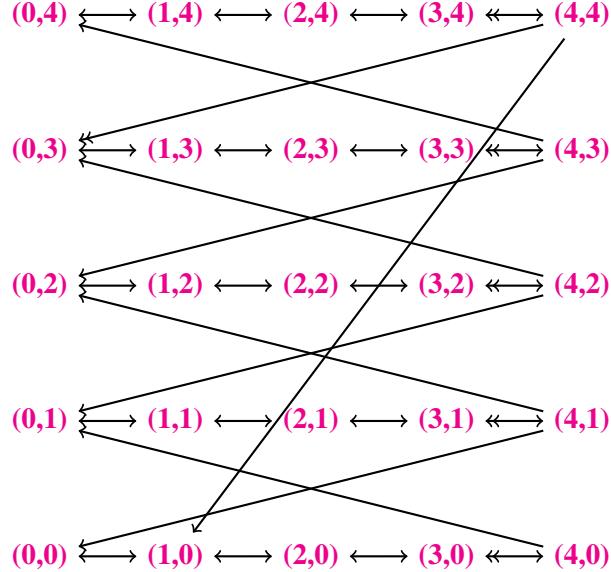


Figure 2: Tensor walk on irreducibles of $\text{SL}_2(p^2)$, $p = 5$

Heuristically, the walk moves back and forth at a fixed horizontal level just like

the $\mathrm{SL}_2(p)$ -walk of Section 3.2.1. As in that section, it takes order p^2 steps to go across. Once it hits the right-hand wall, it usually bounces back, but with small probability (order $\frac{1}{p}$), it jumps up or down by one to $(0, b \pm 1)$ (to $(0, p-2), (1, 0)$ when $b = p-1$). There need to be order p^2 of these horizontal shifts for the horizontal coordinate to equilibrate. All of this suggests that the walk will take order p^4 steps to totally equilibrate. As shown below, analysis yields that p^4 steps are necessary and sufficient; again the cancellation required is surprisingly delicate.

4.2 Background on modular representations of $\mathrm{SL}_2(p^2)$.

Throughout this discussion, p is an odd prime and $\mathrm{G} = \mathrm{SL}_2(p^2)$. The irreducible $\mathbb{k}\mathrm{G}$ -modules are as described above, and the projective indecomposables are given in [76]. The irreducible Brauer characters $\chi_{(a,b)} = \chi_a \chi_{b(p)} \in \mathrm{IBr}(\mathrm{SL}_2(p^2))$ are indexed by pairs (a, b) , $0 \leq a, b \leq p-1$, where ‘ a ’ stands for the usual symmetric power representation of $\mathrm{SL}_2(p^2)$ of dimension $a+1$, and ‘ $b(p)$ ’ stands for the Frobenius twist of the b th symmetric power representation of dimension $b+1$ where the representing matrices on the b th symmetric power have their entries raised to the p th power. Thus $\chi_{(a,b)}$ has degree $(a+1)(b+1)$. The p -regular conjugacy classes of $\mathrm{G} = \mathrm{SL}_2(p^2)$, and the values of the Brauer character $\chi_{(1,0)}$ of the natural module are displayed in Table 4.1, where x and y are fixed elements of orders p^2-1 and p^2+1 , respectively.

Table 4.1: Values of the Brauer character $\chi_{(1,0)}$ for $\mathrm{SL}_2(p^2)$

class rep. c	1	-1	$x^r (1 \leq r < \frac{p^2-1}{2})$	$y^s (1 \leq s < \frac{p^2+1}{2})$
$ \mathrm{C}_\mathrm{G}(c) $	$ \mathrm{G} $	$ \mathrm{G} $	p^2-1	p^2+1
$\chi_{(1,0)}(c)$	2	-2	$2 \cos\left(\frac{2\pi r}{p^2-1}\right)$	$2 \cos\left(\frac{2\pi s}{p^2+1}\right)$

We will also need the character $\rho_{a,b}$ of the projective indecomposable module $\mathrm{P}(a,b)$ indexed by (a,b) , that is the projective cover of $\chi_{a,b}$. Information about the characters is given in Table 4.2, with the size of the conjugacy class given in the second line.

The order of $\mathrm{G} = \mathrm{SL}_2(p^2)$ is $p^2(p^4-1)$, and by Proposition 3.1(i), the stationary distribution π is roughly a product measure linearly increasing in each variable.

Table 4.2: Characters of projective indecomposables for $\mathrm{SL}_2(p^2)$

	1	-1	x^r ($1 \leq r < \frac{p^2-1}{2}$)	y^s ($1 \leq s < \frac{p^2+1}{2}$)
$\mathbf{p}_{(0,0)}$	$3p^2$	$3p^2$	$4\cos\left(\frac{2\pi r}{p+1}\right) - 1$	$1 - \left(4\cos\left(\frac{2(p-1)\pi s}{p^2+1}\right) \times \cos\left(\frac{2(p+1)\pi s}{p^2+1}\right)\right)$
$\mathbf{p}_{a,b}$ ($a, b < p-1$)	$4p^2$	$(-1)^{a+b} 4p^2$	$4\cos\left(\frac{2(p-1-a)\pi r}{p^2-1}\right) \times \cos\left(\frac{2(p(b+1)-1)\pi r}{p^2-1}\right)$	$-4\cos\left(\frac{2(p-1-a)\pi s}{p^2+1}\right) \times \cos\left(\frac{2(p(b+1)+1)\pi s}{p^2+1}\right)$
$\mathbf{p}_{p-1,b}$ ($b < p-1$)	$2p^2$	$(-1)^b 2p^2$	$2\cos\left(\frac{2(p(b+1)-1)\pi r}{p^2-1}\right)$	$-2\cos\left(\frac{2(p(b+1)+1)\pi s}{p^2+1}\right)$
$\mathbf{p}_{a,p-1}$ ($a < p-1$)	$2p^2$	$(-1)^a 2p^2$	$2\cos\left(\frac{2(p-1-a)\pi r}{p^2-1}\right)$	$-2\cos\left(\frac{2(p-1-a)\pi s}{p^2+1}\right)$
$\mathbf{p}_{p-1,p-1}$	p^2	p^2	1	-1

Explicitly, the values of the stationary distribution π are:

(a, b)	$\pi(a, b)$
$(0, 0)$	$\frac{3}{p^4-1}$
$a, b < p-1$	$\frac{4(a+1)(b+1)}{p^4-1}$
$(p-1, b)$, $b < p-1$	$\frac{2p(b+1)}{p^4-1}$
$(a, p-1)$, $a < p-1$	$\frac{2p(a+1)}{p^4-1}$
$(p-1, p-1)$	$\frac{p^2}{p^4-1}$

(4.1)

4.3 Tensoring with $(1, 0)$

In this section we consider the Markov chain given by tensoring with the natural module $(1, 0)$. The transition probabilities are determined as usual: from (a, b) tensor with $(1, 0)$, and pick a composition factor with probability proportional to its multiplicity times its dimension.

The composition factors of the tensor product $(a, b) \otimes (1, 0)$ can be determined using weights, as in Section 3.2.1. Note first that the weights of the diagonal subgroup T on (a, b) are

$$(a - 2i) + p(b - 2j) \quad (0 \leq i \leq a, 0 \leq j \leq b). \quad (4.2)$$

The tensor product $(a, b) \otimes (1, 0)$ takes the form

$$V(a) \otimes V(b)^{(p)} \otimes V(1). \quad (4.3)$$

For $a < p - 1$, we see as in Section 3.2.1 that $V(a) \otimes V(1)$ has composition factors $V(a+1)$ and $V(a-1)$, so the tensor product is $(a-1, b)/(a+1, b)$ (with only the second term if $a = 0$). For $a = p - 1$, a weight calculation gives $V(p-1) \otimes V(1) = V(p-2)^2/V(1)^{(p)}$, so if $b < p - 1$ the tensor product (4.3) has composition factors $(p-2, b)^2/(0, b-1)/(0, b+1)$. If $b = p - 1$, then $V(1)^{(p)} \otimes V(b)^{(p)}$ has composition factors $V(p-2)^{(p)}$ (twice) and $V(1)^{(p^2)}$, and for $G = \mathrm{SL}_2(p^2)$, the latter is just the trivial module $V(0)$. We conclude that in all cases the composition factors of $(a, b) \otimes (1, 0)$ are

$$(a, b) \otimes (1, 0) = \begin{cases} (1, b) & a = 0, \\ (a-1, b)/(a+1, b) & 1 \leq a < p-1, \\ (p-2, b)^2/(0, b-1)/(0, b+1) & a = p-1, b < p-1, \\ (p-2, p-1)^2/(0, p-2)^2/(1, 0) & a = b = p-1. \end{cases} \quad (4.4)$$

Translating into probabilities, for $0 \leq a, b < p - 1$, the walk from (a, b) moves to $(a-1, b)$ or $(a+1, b)$ with probability

$$\begin{array}{|c|c|c|} \hline & (a-1, b) & (a+1, b) \\ \hline K((a, b), \cdot) & \frac{a}{2(a+1)} & \frac{a+2}{2(a+1)} \\ \hline \end{array} \quad (4.5)$$

For these values of a and b , the chain thus moves exactly like the $\mathrm{SL}_2(p)$ -walk. For $(p-1, b)$ with $b < p-1$ on the right-hand wall, the walk moves back left to $(p-2, b)$ with probability $1 - \frac{1}{p}$, to $(0, b-1)$ with probability $\frac{b}{2p(b+1)}$, or to $(0, b+1)$ with probability $\frac{b+2}{2p(b+1)}$. The Steinberg module $(p-1, p-1)$ is the unique irreducible module for $\mathrm{SL}_2(p^2)$ that is also projective. Tensoring with $(1, 0)$ sends $(p-1, p-1)$ to $(p-2, p-1)$ with probability $1 - \frac{1}{p}$, to $(0, p-2)$ with probability $\frac{p-1}{p^2}$, or to $(1, 0)$ with probability $\frac{1}{p^2}$.

The main result of this section shows that order p^4 steps are necessary and sufficient for convergence. As before, the walk has a parity problem: starting at $(0, 0)$, after an even number of steps the walk is always at (a, b) with $a + b$ even. As usual we sidestep this by considering the lazy version.

Theorem 4.1. *Let $G = \mathrm{SL}_2(p^2)$, and let K be the Markov chain on $\mathrm{IBr}(G)$ given by tensoring with $(1, 0)$ with probability $\frac{1}{2}$, and with $(0, 0)$ with probability $\frac{1}{2}$ (starting at $(0, 0)$). Then the stationary distribution π is given by (4.1), and there are universal positive constants A, A' such that*

$$(i) \quad \|K^\ell - \pi\|_{\mathrm{TV}} \geq Ae^{-\frac{\pi^2 \ell}{p^4}} \text{ for all } \ell \geq 1, \text{ and}$$

$$(ii) \quad \|K^\ell - \pi\|_{\mathrm{TV}} \leq A'e^{-\frac{\pi^2 \ell}{p^4}} \text{ for all } \ell \geq p^4.$$

Proof. For the lower bound, we use the fact that $f_r(a, b) := \frac{\chi_{(a,b)}(x^r)}{\chi_{(a,b)}(1)}$ is a right eigenfunction with eigenvalues $\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi r}{p^2-1}\right)$. Clearly $|f_r(a, b)| \leq 1$ for all a, b, r . Using the fact that $\sum_{a,b} f_r(a, b) \pi(a, b) = 0$ for $r \neq 0$, we have (see (8.1) in Appendix I)

$$\begin{aligned} \|\mathsf{K}^\ell - \pi\|_{\text{TV}} &= \frac{1}{2} \sup_f |\mathsf{K}^\ell(f) - \pi(f)| \\ &\geq \frac{1}{2} |\mathsf{K}^\ell(f_r)| \\ &= \frac{1}{2} \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi r}{p^2-1}\right) \right)^\ell. \end{aligned}$$

Taking $r = 1$, we have

$$\begin{aligned} \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi}{p^2-1}\right) \right)^\ell &= \left(1 - \frac{\pi^2}{(p^2-1)^2} + O\left(\frac{1}{p^8}\right) \right)^\ell \\ &= e^{-\frac{\pi^2 \ell}{(p^2-1)^2}} \left(1 + O\left(\frac{\ell}{p^8}\right) \right). \end{aligned}$$

This proves the lower bound.

For the upper bound, we use Proposition 3.1(v) to see that for all (a, b) ,

$$\begin{aligned} \frac{\mathsf{K}^\ell((0,0),(a,b))}{\pi(a,b)} - 1 &= p^2(p^2+1) \sum_{r=1}^{\frac{p^2-1}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi r}{p^2-1}\right) \right)^\ell \frac{\mathsf{p}_{(a,b)}(x^r)}{\mathsf{p}_{(a,b)}(1)} \\ &\quad + p^2(p^2-1) \sum_{s=1}^{\frac{p^2+1}{2}} \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi s}{p^2+1}\right) \right)^\ell \frac{\mathsf{p}_{(a,b)}(y^s)}{\mathsf{p}_{(a,b)}(1)}. \end{aligned} \tag{4.6}$$

The terms in the two sums are now paired with $r = s$ for $1 \leq r, s \leq p$ as in the proof of Theorem 3.3. The cancellation is easiest to see at $(a, b) = (0, 0)$. Then

$$\begin{aligned} \mathsf{p}_{(0,0)}(1) &= 3p^2, \quad \mathsf{p}_{(0,0)}(x^r) = 4 \cos^2\left(\frac{2\pi r}{p+1}\right) - 1, \\ \mathsf{p}_{(0,0)}(y^s) &= 1 - 4 \cos\left(\frac{2(p-1)\pi s}{p^2+1}\right) \cos\left(\frac{2(p+1)\pi s}{p^2+1}\right). \end{aligned}$$

We now use the estimates

$$\begin{aligned} 4 \cos^2\left(\frac{2\pi r}{p+1}\right) - 1 &= 3 - \frac{16\pi^2 r^2}{p^2} + O\left(\frac{r^2}{p^3}\right), \\ 1 - 4 \cos\left(\frac{2(p-1)\pi s}{p^2+1}\right) \cos\left(\frac{2(p+1)\pi s}{p^2+1}\right) &= -3 + \frac{16\pi^2 s^2}{p^2} + O\left(\frac{s^2}{p^3}\right). \end{aligned}$$

It follows that the $r = s$ terms of the right-hand side of (4.6) pair to give

$$\begin{aligned} p^2(p^2+1) \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi s}{p^2-1}\right) \right)^\ell \left(3 - \frac{16\pi^2 s^2}{p^2} + O\left(\frac{s^2}{p^3}\right) \right) \frac{1}{p^2} \\ + p^2(p^2-1) \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi s}{p^2+1}\right) \right)^\ell \left(-3 + \frac{16\pi^2 s^2}{p^2} + O\left(\frac{s^2}{p^3}\right) \right) \frac{1}{p^2} \\ = e^{-\frac{\pi^2 s^2 \ell}{p^2}} \cdot O\left(\frac{s^2}{p}\right). \end{aligned}$$

The sum of this over $1 \leq s \leq p$ is dominated by the lead term $e^{-\frac{\pi^2 \ell}{p^2}}$ up to multiplication by a universal constant. As in the proof of Theorem 3.3, the terms for other r, s are negligible (even without pairing). This completes the upper bound argument for $(a, b) = (0, 0)$. Other (a, b) terms are similar (see the argument for $\mathrm{SL}_2(p)$), and we omit the details. \square

Remark. For large p , the above $\mathrm{SL}_2(p^2)$ walk is essentially a one-dimensional walk which shows Bessel(3) fluctuations. A genuinely two-dimensional process can be constructed by tensoring with the 4-dimensional module $(1, 1) = V(1) \otimes V(1)^{(p)}$. We analyze this next.

4.4 Tensoring with $(1, 1)$

The values of the Brauer character $\chi_{(1,1)}$ are:

1	-1	$x^r (1 \leq r < \frac{p^2-1}{2})$	$y^s (1 \leq s < \frac{p^2+1}{2})$
4	4	$2 \cos\left(\frac{2\pi r}{p-1}\right) + 2 \cos\left(\frac{2\pi r}{p+1}\right)$	$2 \cos\left(\frac{2(p+1)\pi s}{p^2+1}\right) + 2 \cos\left(\frac{2(p-1)\pi s}{p^2+1}\right)$

and the rules for tensoring with $(1, 1)$ are given in Table 4.3 – these are justified in similar fashion to 4.4.

Thus, apart from behavior at the boundaries, the walk moves from (a, b) one step diagonally, with a drift upward and to the right: for $a, b < p - 1$ the transition probabilities are

	$(a-1, b-1)$	$(a-1, b+1)$	$(a+1, b-1)$	$(a+1, b+1)$
$K((a, b), \cdot)$	$\frac{ab}{4(a+1)(b+1)}$	$\frac{a(b+2)}{4(a+1)(b+1)}$	$\frac{(a+2)b}{4(a+1)(b+1)}$	$\frac{(a+2)(b+2)}{4(a+1)(b+1)}$

At the boundaries, the probabilities change: for example, $K((0, 0), (1, 1)) = 1$ and for the Steinberg module $\mathrm{St} = (p-1, p-1)$,

	$(p-2, p-2)$	$(p-3, 0)$	$(p-1, 0)$	$(0, p-3)$	$(0, p-1)$	$(1, 1)$
$K(\mathrm{St}, \cdot)$	$\frac{4(p-1)^2}{4p^2}$	$\frac{p-2}{4p^2}$	$\frac{p}{4p^2}$	$\frac{p-2}{4p^2}$	$\frac{p}{4p^2}$	$\frac{4}{4p^2}$

Heuristically, this is a local walk with a slight drift, and intuition suggests that it should behave roughly like the simple random walk on a $p \times p$ grid (with a uniform stationary distribution) – namely, order p^2 steps should be necessary and sufficient. The next result makes this intuition precise. We need to make one adjustment, as

Table 4.3: Tensoring with $(1, 1)$

	$(a, b) \otimes (1, 1)$
$a, b < p - 1$	$(a - 1, b - 1)/(a - 1, b + 1)/(a + 1, b - 1)/(a + 1, b + 1)$
$a = p - 1,$ $b < p - 2$	$(p - 2, b - 1)^2/(p - 2, b + 1)^2/(0, b)^2/(0, b - 2)/(0, b + 2)$
$a = p - 1,$ $b = p - 2$	$(p - 2, p - 3)^2/(p - 2, p - 1)^2/(0, p - 2)^2/(1, 0)$
$a = b = p - 1$	$(p - 2, p - 2)^4/(p - 3, 0)^2/(p - 1, 0)^2/$ $(0, p - 3)^2/(0, p - 1)^2/(1, 1)$

the representation $(1, 1)$ is not faithful. We patch this here with the ‘mixed chain’ construction of Section 3.2.2. Namely, let K be defined by ‘at each step, with probability $\frac{1}{2}$ tensor with $(1, 1)$ and with probability $\frac{1}{2}$ tensor with $(1, 0)$ ’.

Theorem 4.2. *Let K be the Markov chain on $\text{IBr}(\text{SL}_2(p^2))$ defined above, starting at $(0, 0)$ and tensoring with $(1, 1)$. Then there are universal positive constants A, A' such that for all $\ell \geq 1$,*

$$A e^{-\frac{\pi^2 \ell}{p^2}} \leq \| K^\ell - \pi \|_{\text{TV}} \leq A' e^{-\frac{\pi^2 \ell}{p^2}}.$$

Proof. The lower bound follows as in the proof of Theorem 4.1 using the same right eigenfunction as a test function. For the upper bound, use formula (4.6), replacing the eigenvalues there by

$$\begin{aligned} \beta_{x^r} &= \frac{1}{2} + \frac{1}{4} \left(\cos \left(\frac{2\pi r}{p-1} \right) + \cos \left(\frac{2\pi r}{p+1} \right) \right) = 1 - \frac{\pi^2 r^2}{p^2} + O \left(\frac{r^2}{p^3} \right) \\ \beta_{y^s} &= \frac{1}{2} + \frac{1}{4} \left(\cos \left(\frac{2\pi s(p+1)}{p^2+1} \right) + \cos \left(\frac{2\pi s(p-1)}{p^2+1} \right) \right) = 1 - \frac{\pi^2 s^2}{p^2} + O \left(\frac{s^2}{p^3} \right). \end{aligned}$$

Now the same approximations to $p_{(a,b)}(x^r), p_{(a,b)}(y^s)$ work in the same way to give the stated result. We omit further details. \square

Remark 4.3. For the walk just treated (tensoring with $(1, 1)$ for $\text{SL}_2(p^2)$), the generic behavior away from the boundary is given in (4.7) above. Note that this exactly factors into the product of two one-dimensional steps of the walk on $\text{SL}_2(p)$ studied in Section 3.2.1: $K((a, b), (a', b')) = K(a, a')K(b, b')$. In the large p limit, this becomes the walk on $(\mathbb{N} \cup \{0\}) \times (\mathbb{N} \cup \{0\})$ arising from $\text{SU}_2(\mathbb{C}) \times \text{SU}_2(\mathbb{C})$ by tensoring with the 4-dimensional module $\mathbb{C}^2 \otimes \mathbb{C}^2$. Rescaling space by $\frac{1}{\sqrt{n}}$ and time by $\frac{1}{n}$, we have that the Markov chain on $\text{SL}_2(p^2)$ converges to the product of two Bessel processes, as discussed in the Introduction.

5 $\mathrm{SL}_2(2^n)$

5.1 Introduction

Let $G = \mathrm{SL}_2(2^n)$, $q = 2^n$, and \mathbb{k} be an algebraically closed field of characteristic 2. The irreducible $\mathbb{k}G$ -modules are described as follows: let V_1 denote the natural 2-dimensional module, and for $1 \leq i \leq n-1$, let V_i be the Frobenius twist of V_1 by the field automorphism $\alpha \mapsto \alpha^{2^{i-1}}$. Set $N = \{1, \dots, n\}$, and for $I = \{i_1 < i_2 < \dots < i_k\} \subseteq N$ define $V_I = V_{i_1} \otimes V_{i_2} \otimes \dots \otimes V_{i_k}$. By Steinberg's tensor product theorem ([63, §16.2]), the 2^n modules V_I form a complete set of inequivalent irreducible $\mathbb{k}G$ -modules. Their Brauer characters and projective indecomposable covers will be described in Section 5.2.

Consider now the Markov chain arising from tensoring with the module V_1 . Denoting V_I by the corresponding binary n -tuple $\underline{x} = \underline{x}_I$ (with 1's in the positions in I and 0's elsewhere), the walk moves as follows:

- (1) from $\underline{x} = (0, *)$ go to $(1, *)$; (5.1)
- (2) if \underline{x} begins with i 1's, say $\underline{x} = (1^i, 0, *)$, where $1 \leq i \leq n-1$, flip fair coins until the first head occurs at time k : then
 - if $1 \leq k \leq i$, change the first k 1's to 0's
 - if $k > i$, change the first i 1's to 0's, and put 1 in position $i+1$;
- (3) if $\underline{x} = (1, \dots, 1)$, proceed as in (2), but if $k > n$, change all 1's to 0's and put a 1 in position 1.

Pictured in Figure 5.1 is the walk for tensoring with V_1 for $\mathrm{SL}_2(2^3)$. We remind the reader that a double-headed arrow means that the module pointed to occurs with multiplicity 2.

We shall justify this description and analyze this walk in Section 5.3. The walk generated by tensoring with V_j has the same dynamics, but starting at the j^{th} coordinate of \underline{x} and proceeding cyclically. We shall see that all of these walks have the same stationary distribution, namely,

$$\pi(\underline{x}) = \begin{cases} \frac{q}{q^2-1} & \text{if } \underline{x} \neq \underline{0} \\ \frac{1}{q+1} & \text{if } \underline{x} = \underline{0}. \end{cases} \quad (5.2)$$

Note that, perhaps surprisingly, this is essentially the uniform distribution for q large.

Section 5.2 contains the necessary representation theory for G , and in Sections 5.3 and 5.4 we shall analyze the random walks generated by tensoring with V_1 and with a randomly chosen V_j .

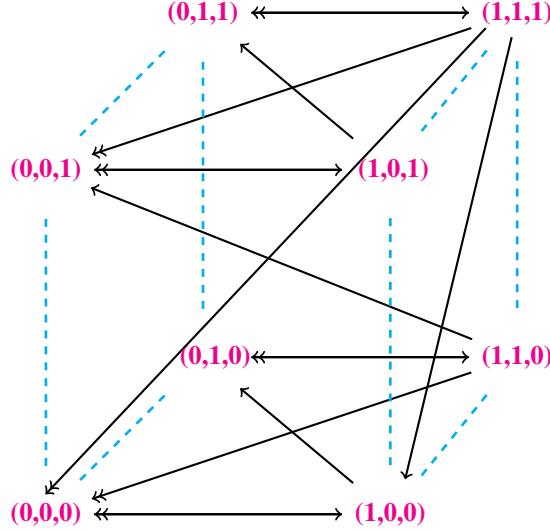


Figure 3: Tensor walk on irreducibles of $\mathrm{SL}_2(2^3)$

5.2 Representation theory for $\mathrm{SL}_2(2^n)$

Fix elements $x, y \in G = \mathrm{SL}_2(q)$ ($q = 2^n$) of orders $q - 1$ and $q + 1$, respectively. The 2-regular classes of G have representatives $\mathbf{1}$ (the 2×2 identity matrix), x^r ($1 \leq r \leq \frac{q}{2} - 1$) and y^s ($1 \leq s \leq \frac{q}{2} + 1$). Define V_i and V_I ($I \subseteq N = \{1, \dots, n\}$) as above, and let χ_i , χ_I be the corresponding Brauer characters. Their values are given in Table 5.1.

Table 5.1: Brauer characters of $\mathrm{SL}_2(q)$, $q = 2^n$

	$\mathbf{1}$	x^r ($1 \leq r \leq \frac{q}{2} - 1$)	y^s ($1 \leq s \leq \frac{q}{2}$)
$ \mathcal{C}_G(c) $	$q(q^2 - 1)$	$q - 1$	$q + 1$
χ_i	2	$2 \cos\left(\frac{2^i \pi r}{q-1}\right)$	$2 \cos\left(\frac{2^i \pi s}{q+1}\right)$
χ_I $I = \{i_1, \dots, i_k\}$	2^k	$2^k \prod_{a=1}^k \cos\left(\frac{2^{i_a} \pi r}{q-1}\right)$	$2^k \prod_{b=1}^k \cos\left(\frac{2^{i_b} \pi s}{q+1}\right)$
χ_N	2^n	1	-1

The projective indecomposable modules are described as follows (see [2]). Let

$I = \{i_1, \dots, i_k\} \subset N$, with $I \neq \emptyset, N$, and let \bar{I} be the complement of I . Then the projective indecomposable cover $P_{\bar{I}}$ of the irreducible module $V_{\bar{I}}$ has character $p_{\bar{I}} = \chi_I \otimes \chi_N$. The other projective indecomposables P_N and P_{\emptyset} are the covers of the Steinberg module V_N and the trivial module V_{\emptyset} , and their characters are

$$p_N = \chi_N, \quad p_{\emptyset} = \chi_N^2 - \chi_N.$$

The values of the Brauer characters of all the projectives are displayed in Table 5.2.

Table 5.2: Projective indecomposable characters of $\mathrm{SL}_2(q)$, $q = 2^n$

	1	x^r ($1 \leq r \leq \frac{q}{2} - 1$)	y^s ($1 \leq s \leq \frac{q}{2}$)
$p_{\bar{I}}$, $I \subset N$ $I = \{i_1, \dots, i_k\}$	$2^k q$	$2^k \prod_{a=1}^k \cos \frac{2^{i_a} \pi r}{q-1}$	$-2^k \prod_{b=1}^k \cos \frac{2^{i_b} \pi s}{q+1}$
p_N	2^n	1	-1
p_{\emptyset}	$q^2 - q$	0	2

From Tables 5.1 and 5.2, we see that the stationary distribution is as claimed in (5.2):

$$\begin{aligned} \pi(I) &= \frac{p_I(\mathbf{1}) \chi_I(\mathbf{1})}{|\mathcal{G}|} = \frac{2^{n-|I|+n+|I|}}{q(q^2-1)} = \frac{q}{q^2-1} \quad \text{for } I \neq \emptyset, \\ \pi(\emptyset) &= \frac{q^2-q}{q(q^2-1)} = \frac{1}{q+1}. \end{aligned}$$

Next we give the rules for decomposing the tensor product of an irreducible module V_I with V_1 . These are proved using simple weight arguments, as in Sections 3.2.1 and 4.3. Suppose $I \neq \emptyset, N$, and let i be maximal such that $\{1, 2, \dots, i\} \subseteq I$ (so $0 \leq i \leq n-1$). Let $\underline{x} = \underline{x}_I$ be the corresponding binary n -tuple, so that $\underline{x} = (1^i, 0, *)$ (starting with i 1's). Then

$$V_I \otimes V_1 = (0, 1^{i-1}, 0, *)^2 / (0^2 1^{i-2}, 0, *)^2 / \cdots / (0^i, 0, *)^2 / (0^i, 1, *).$$

And for $I = \emptyset, N$, the rules are $V_{\emptyset} \otimes V_1 = V_1$ and

$$V_N \otimes V_1 = (0, 1^{n-1})^2 / (0^2 1^{n-2})^2 / \cdots / (0^n)^2 / (1, 0^{n-1}).$$

These rules justify the description of the Markov chain arising from tensoring with V_1 given in (5.1).

5.3 Tensoring with V_1 : the Markov chain

In this section, we show that for the Markov chain arising from tensoring with V_1 order q^2 steps are necessary and sufficient to reach stationarity. As explained above, the chain can be viewed as evolving on the n -dimensional hypercube. Starting at $\underline{x} = 0$, it evolves according to the coin-tossing dynamics described in Section 5.1. Beginning at $\underline{x} = 0$, the chain slowly moves 1's to the right. The following theorem resembles the corresponding result for $\mathrm{SL}_2(p)$ (Theorem 3.3), but the dynamics are very different.

Theorem 5.1. *Let K be the Markov chain on $\mathrm{IBr}(\mathrm{SL}_2(q))$ ($q = 2^n$) by tensoring with the natural module V_1 , starting at the trivial module. Then*

(a) *for any $\ell \geq 1$,*

$$\| K^\ell - \pi \|_{\mathrm{TV}} \geq \frac{1}{2} \left(\cos \left(\frac{2\pi}{q-1} \right) \right)^\ell = \frac{1}{2} \left(1 - \frac{2\pi^2}{q^2} + O \left(\frac{1}{q^4} \right) \right)^\ell$$

(b) *there is a universal constant A such that for any $\ell \geq q^2$,*

$$\| K^\ell - \pi \|_{\mathrm{TV}} \leq A e^{-\frac{\pi^2 \ell}{q^2}}.$$

Proof. From Proposition 3.1, the eigenvalues of K are indexed by the 2-regular class representatives, $\mathbf{1}$, x^r , y^s of Section 5.2. They are

$$\beta_{\mathbf{1}} = 1, \quad \beta_{x^r} = \cos \left(\frac{2\pi r}{q-1} \right) \quad (1 \leq r \leq \frac{q}{2}-1), \quad \beta_{y^s} = \cos \left(\frac{2\pi s}{q+1} \right) \quad (1 \leq s \leq \frac{q}{2}).$$

To determine a lower bound, use as a test function the right eigenfunction corresponding to $\beta_{\mathbf{1}}$, which is defined on $\underline{x} = (x(1), x(2), \dots, x(n))$ by

$$f(\underline{x}) = \prod_{j=1}^n \cos \left(\frac{x(j) 2^{jx(j)} \pi}{q-1} \right).$$

(Here as in Section 5.1, we are identifying a subset I of N with its corresponding binary n -tuple $\underline{x} = (x(1), x(2), \dots, x(n))$ having 1's in the positions of I and 0's everywhere else. Characters will carry n -tuple labels also, and we will write $K(\underline{x}, \underline{y})$ rather than the cumbersome $K(\chi_{\underline{x}}, \chi_{\underline{y}})$.)

Clearly, $\|f\|_\infty \leq 1$. Further, the orthogonality relations (3.1), (3.2) for Brauer characters imply

$$\pi(f) = \sum_{\underline{x}} f(\underline{x}) \pi(\underline{x}) = \sum_{\underline{x}} \frac{\mathsf{p}_{\underline{x}}(\mathbf{1}) \chi_{\underline{x}}(\mathbf{1})}{|\mathsf{G}|} \frac{\chi_{\underline{x}}(\underline{x})}{\chi_{\underline{x}}(\mathbf{1})} = 0,$$

where $p_{\underline{x}}$ is the character of the projective indecomposable module indexed by \underline{x} . Then (8.1) in Appendix I implies

$$||K^\ell - \pi|| = \left| \geq \frac{1}{2} |K^\ell(f) - \pi(f)| = \frac{1}{2} \left(\cos \left(\frac{2\pi}{q-1} \right) \right)^\ell \right|.$$

This proves (a).

To prove the upper bound in (b), use Proposition 3.1(v):

$$\frac{K^\ell(\underline{0}, \underline{y})}{\pi(\underline{y})} - 1 = \sum_{c \neq \mathbf{1}} \beta_c^\ell \frac{p_{\underline{y}}(c)}{p_{\underline{y}}(\mathbf{1})} |c^G|, \quad (5.3)$$

where the sum is over p -regular class representatives $c \neq \mathbf{1}$, and $|c^G|$ is the size of the class of c . We bound the right-hand side of this for each \underline{y} . There are three different basic cases: (i) $\underline{y} = \underline{0}$ (all 0's tuple corresponding to \emptyset), (ii) $\underline{y} = \underline{1}$ (all 1's tuple corresponding to \bar{N}), and (iii) $\underline{y} \neq \underline{0}, \underline{1}$:

$$\begin{aligned} \text{(i)} \quad & \frac{K^\ell(\underline{0}, \underline{0})}{\pi(\underline{0})} - 1 = 2 \sum_{s=1}^{q/2} \cos^\ell \left(\frac{2\pi s}{q+1} \right), \\ \text{(ii)} \quad & \frac{K^\ell(\underline{0}, \underline{1})}{\pi(\underline{1})} - 1 = (q+1) \sum_{r=1}^{q-1} \cos^\ell \left(\frac{2\pi r}{q-1} \right) - (q-1) \sum_{s=1}^{q/2} \cos^\ell \left(\frac{2\pi s}{q+1} \right), \\ \text{(iii)} \quad & \frac{K^\ell(\underline{0}, \underline{y})}{\pi(\underline{y})} - 1 = (q+1) \sum_{r=1}^{q-1} \cos^\ell \left(\frac{2\pi r}{q-1} \right) \prod_{a=1}^k \cos \left(\frac{2^{i_a} \pi r}{q-1} \right) \\ & \quad - (q-1) \sum_{s=1}^{q/2} \cos^\ell \left(\frac{2\pi s}{q+1} \right) \prod_{b=1}^k \cos \left(\frac{2^{i_b} \pi s}{q+1} \right), \end{aligned}$$

where \underline{y} has ones in positions i_1, i_2, \dots, i_k . These formulas follow from (5.3) by using the sizes of the 2-regular classes from Table 5.1 and the expressions for the projective characters in Table 5.2. For example, when $\underline{y} = \underline{0}$, then from Table 5.2, $p_{\underline{0}}(x^r) = 0$ and $p_{\underline{0}}(y^s) = 2$, while $p_{\underline{0}}(1) = q^2 - q$, and the order of the class of y^s is $|c^G| = q(q-1)$. The other cases are similar.

The sum (i) (when $\underline{y} = \underline{0}$) is exactly the sum bounded for a simple random walk on $\mathbb{Z}/(q+1)\mathbb{Z}$; the work in [25, Chap. 3] shows it is exponentially small when $\ell \gg (q+1)^2$. The sum (ii) (corresponding to $\underline{y} = \underline{1}$) is just what was bounded in proving Theorem 3.3. Those bounds do not use the primality of p , and again $\ell \gg q^2$ suffices. For the sum in (iii) (general $\underline{y} \neq \underline{0}$ or $\underline{1}$), note that the products of the terms (for r and s) are essentially the same and are at most 1 in

absolute value. It follows that the same pair-matching cancellation argument used for $y = \underline{1}$ works to give the same bound. Combining these arguments, the result is proved. \square

5.4 Tensoring with a uniformly chosen V_j .

As motivation recall that the classical Ehrenfest urn can be realized as a simple random walk on the hypercube of binary n -tuples. From an n -tuple \underline{x} pick a coordinate at random, and change it to its opposite. Results of [30] show that this walk takes $\frac{1}{4}n \log n + Cn$ to converge, and there is a cut off as C varies. We conjecture similar behavior for the walk derived from tensoring with a uniformly chosen simple V_j , $1 \leq j \leq n$. As in (5.3),

$$\frac{K^\ell(\underline{0}, \underline{y})}{\pi(\underline{y})} - 1 = \sum_{c \neq \underline{1}} \beta_c^\ell \frac{p_{\underline{y}}(c)}{p_{\underline{y}}(\underline{1})} |c^G| \quad (5.4)$$

and the eigenvalues β_c are

$$\begin{aligned} \beta_{\underline{1}} &= 1, & \beta_{x^r} &= \frac{1}{n} \sum_{i=0}^{n-1} \cos \left(\frac{2\pi 2^i r}{q-1} \right) & 1 \leq r \leq \frac{q}{2} - 1, \\ \beta_{y^s} &= \frac{1}{n} \sum_{i=0}^{n-1} \cos \left(\frac{2\pi 2^i s}{q+1} \right) & 1 \leq s \leq \frac{q}{2}. \end{aligned}$$

Consider the eigenvalues closest to 1, which are β_{x^r} with $r = 1$ and β_{y^s} with $s = 1$. It is easy to see that as n goes to ∞ ,

$$\beta_x = 1 - \frac{\gamma}{n} (1 + o(1)) \quad \text{with} \quad \gamma = \sum_{i=1}^{\infty} (1 - \cos \left(\frac{2\pi}{2^i} \right)).$$

Note further that the eigenvalues β_{x^r} have multiplicities: expressing r as a binary number with n digits, any cyclic permutation of these digits gives a value r' for which $\beta_{x^r} = \beta_{x^{r'}}$. Hence, the multiplicity of β_{x^r} is the number of different values r' obtained in this way, and the number of distinct such eigenvalues is equal to the number of orbits of the cyclic group Z_n acting on Z_2^n by permuting coordinates cyclically. The number of orbits can be counted by classical Polya Theory: there are $\sum_{d|n} \phi(d) 2^{n/d}$ of them, where ϕ is the Euler phi function. Similarly, the eigenvalues $\beta(y^s)$ have multiplicities. For example, $\beta(y)$ has multiplicity n .

Turning back to our walk, take $\underline{y} = \underline{0}$ in (5.4). Then, because $p_{\underline{0}}(x^r) = 0$,

$$\frac{K^\ell(\underline{0}, \underline{0})}{\pi(\underline{0})} - 1 = 2 \sum_{s=1}^{q/2} \beta(y^s)^\ell,$$

and the eigenvalue closest to 1 occurs when $s = 1$ and $\beta(y)$ has multiplicity n . The dominant term in this sum is thus $2n(1 - \gamma(1 + o(1))/n)^\ell$. This takes $\ell = n \log n + Cn$ to get to e^{-C} . We have not carried out further details but remark that very similar sums are considered by Hough [45] where he finds a cutoff for the walk on the cyclic group Z_p by adding $\pm 2^i$, for $0 \leq i \leq m = \lfloor \log_2 p \rfloor$, chosen uniformly with probability $\frac{1}{2^m}$.

6 $\mathrm{SL}_3(p)$

6.1 Introduction

This section treats a random walk on the irreducible modules for the group $\mathrm{SL}_3(p)$ over an algebraically closed field \mathbb{k} of characteristic p . The walk is generated by repeatedly tensoring with the 3-dimensional natural module. The irreducible Brauer characters and projective indecomposables are given by Humphreys in [48]; the theory is quite a bit more complicated than that of $\mathrm{SL}_2(p)$.

The irreducible modules are indexed by pairs (a, b) with $0 \leq a, b \leq p - 1$. For example, $(0, 0)$ is the trivial module, $(1, 0)$ is a natural 3-dimensional module, and $(p - 1, p - 1)$ is the Steinberg module of dimension p^3 . The Markov chain is given by tensoring with $(1, 0)$. Here is a rough description of the walk; details will follow. Away from the boundary, for $1 < a, b < p - 1$, the walk is local, and (a, b) transitions only to $(a - 1, b + 1)$, $(a + 1, b)$ or $(a, b - 1)$. The transition probabilities $K((a, b), (a', b'))$ show a drift towards the diagonal $a = b$, and on the diagonal, a drift diagonally upward. Furthermore, there is a kind of discontinuity at the line $a + b = p - 1$: for $a + b \leq p - 2$, the transition probabilities (away from the boundary) are:

(c, d)	$K((a, b), (c, d))$	
$(a - 1, b + 1)$	$\frac{1}{3} \left(1 - \frac{1}{a+1} \right) \left(1 + \frac{1}{b+1} \right)$	
$(a + 1, b)$	$\frac{1}{3} \left(1 + \frac{1}{a+1} \right) \left(1 + \frac{1}{a+b+2} \right)$	
$(a, b - 1)$	$\frac{1}{3} \left(1 - \frac{1}{b+1} \right) \left(1 - \frac{1}{a+b+2} \right)$	

(6.1)

whereas for $a + b \geq p$ they are as follows, writing $f(x, y) = \frac{1}{2}xy(x + y)$:

(c, d)	$K((a, b), (c, d))$	
$(a - 1, b + 1)$	$\frac{1}{3} \left(\frac{f(a, b+2) - f(p-a, p-b-2)}{f(a+1, b+1) - f(p-a-1, p-b-1)} \right)$	
$(a + 1, b)$	$\frac{1}{3} \left(\frac{f(a+2, b+1) - f(p-a-2, p-b-1)}{f(a+1, b+1) - f(p-a-1, p-b-1)} \right)$	
$(a, b - 1)$	$\frac{1}{3} \left(\frac{f(a+1, b) - f(p-a-1, p-b)}{f(a+1, b+1) - f(p-a-1, p-b-1)} \right)$	

(6.2)

The stationary distribution π can be found in Table 6.5. As a local walk with a stationary distribution of polynomial growth, results of Diaconis-Saloffe-Coste [28] show that $(\text{diameter})^2$ steps are necessary and sufficient for convergence to stationarity. The analytic expressions below confirm this (up to logarithmic terms).

Section 6.2 describes the p -regular classes and the irreducible and projective indecomposable Brauer characters, following Humphreys [48], and also the decomposition of tensor products $(a, b) \otimes (1, 0)$. These results are translated into Markov chain language in Section 6.3, where a complete description of the transition kernel and stationary distribution appears, and the convergence analysis is carried out.

6.2 p -modular representations of $\text{SL}_3(p)$

For ease of presentation, we shall assume throughout that p is a prime congruent to 2 modulo 3 (so that $\text{SL}_3(p) = \text{PSL}_3(p)$). For $p \equiv 1 \pmod{3}$, the theory is very similar, with minor notational adjustments. The material here largely follows from the information given in [48, Section 1].

(a) p -regular classes

Let $\mathbf{G} = \text{SL}_3(p)$, of order $p^3(p^3 - 1)(p^2 - 1)$, and assume $x, y \in \mathbf{G}$ are fixed elements of orders $p^2 + p + 1, p^2 - 1$, respectively. Let $\mathbf{1}$ be the 3×3 identity matrix. Assume J and K are sets of representatives of the nontrivial orbits of the p^{th} -power map on the cyclic groups $\langle x \rangle$ and $\langle y \rangle$, respectively. Also, for $\zeta, \eta \in \mathbb{F}_p^*$, let $z_{\zeta, \eta}$ be the diagonal matrix $\text{diag}(\zeta, \eta, \zeta^{-1}\eta^{-1}) \in \mathbf{G}$. Then the representatives and centralizer orders of the p -regular classes of \mathbf{G} are as follows:

representatives	no. of classes	centralizer order
$\mathbf{1}$	1	$ \mathbf{G} $
$x^r \in J$	$\frac{p^2+p}{3}$	$p^2 + p + 1$
$y^s \in K$	$\frac{p^2-p}{2}$	$p^2 - 1$
$z_{\zeta, \eta} (\zeta \in \mathbb{F}_p^*, \zeta \neq 1)$	$p - 2$	$p(p^2 - 1)(p - 1)$
$z_{\zeta, \eta} (\zeta, \eta, \zeta^{-1}\eta^{-1} \text{ distinct})$	$\frac{(p-2)(p-3)}{6}$	$(p - 1)^2$

(b) Irreducible modules and dimensions

As mentioned above, the irreducible $\mathbb{k}\mathbf{G}$ -modules are indexed by pairs (a, b) for $0 \leq a, b \leq p - 1$. Denote by $V(a, b)$ or just (a, b) the corresponding irreducible module. The dimension of $V(a, b)$ is given in Table 6.1, expressed in terms of the function $f(x, y) = \frac{1}{2}xy(x + y)$.

Table 6.1: Dimensions of irreducible $\mathrm{SL}_3(p)$ -modules with $f(x, y) = \frac{1}{2}xy(x + y)$

(a, b)	$\dim(\mathbf{V}(a, b))$
$(a, 0), (0, a)$	$f(a + 1, 1)$
$(p - 1, a), (a, p - 1)$	$f(a + 1, p)$
$(a, b), a + b \leq p - 2$	$f(a + 1, b + 1)$
$(a, b), a + b \geq p - 1, 1 \leq a, b \leq p - 2$	$f(a + 1, b + 1) - f(p - a - 1, p - b - 1)$

The Steinberg module $\mathrm{St} = (p - 1, p - 1)$ has Brauer character

	1	x^r	y^s	$z_{\zeta, \zeta}$	$z_{\zeta, \eta}$
St	p^3	1	-1	p	1

(6.3)

(c) Projective indecomposables

Denote by $\mathbf{p}_{(a,b)}$ the Brauer character of the projective indecomposable cover of the irreducible (a, b) . To describe these, we need to introduce some notation. For any r, j, ℓ, m define

$$\begin{aligned}
 \mathbf{t}_r &= q_1^r + q_1^{pr} + q_1^{p^2r} & \text{where } q_1 = e^{2\pi i/(p^2+p+1)}, \\
 \mathbf{u}_j &= q_2^j + q_2^{pj} & \text{where } q_2 = e^{2\pi i/(p^2-1)}, \\
 \mathbf{u}'_j &= q_2^j + q_2^{pj} + q_2^{-j(p+1)} & \text{where } q_2 = e^{2\pi i/(p^2-1)}, \\
 \mathbf{v}_{\ell,m} &= q_3^{\ell} + q_3^m + q_3^{-\ell-m} & \text{where } q_3 = e^{2\pi i/(p-1)}.
 \end{aligned} \tag{6.4}$$

Now for $0 \leq a, b \leq p - 1$, define the function $\mathbf{s}(a, b)$ on the p -regular classes of G as in Table 6.2. Then the projective indecomposable characters $\mathbf{p}_{(a,b)}$ are as in Table 6.3.

Table 6.3 displays the projective characters. There, St stands for the character of the (irreducible and projective) Steinberg module $(p - 1, p - 1)$ (see (6.3)) and $\mathbf{s}(a, b)$ is the function in Table 6.2.

(d) 3-dimensional Brauer character

The Brauer character of the irreducible 3-dimensional representation $\alpha = \chi_{(1,0)}$ is:

	1	x^r	y^s	z_{ζ^k, ζ^k}	z_{ζ^ℓ, ζ^m}
α	3	\mathbf{t}_r	\mathbf{u}'_s	$\mathbf{v}_{k,k}$	$\mathbf{v}_{\ell,m}$

(6.5)

where ζ is a fixed element of \mathbb{F}_p^* , $\zeta \neq 1$.

Table 6.2: The function $s(a, b)$

	1	x^r	y^s	z_{ζ^k, ζ^k}	$z_{\zeta^\ell, \zeta^m} (\ell \neq m)$
(0,0)	1	1	1	1	1
$s(a, 0)$ $a \neq 0$	3	t_{ar}	u'_{as}	$v_{ak, ak}$	$v_{a\ell, am}$
$s(0, b)$ $b \neq 0$	3	t_{-br}	u'_{-bs}	$v_{-bk, -bk}$	$v_{-b\ell, -bm}$
$s(a, b)$ $ab \neq 0$	6	$t_{r(a-bp)} + t_{r(ap-b)}$	$u_{s(a+b+bp)} + u_{s(a-bp)} + u_{s(-a(1+p)-b)}$	$2v_{k(a+2b), k(a-b)}$	$v_{\ell(a+b)+mb, -\ell b+ma} + v_{\ell b+m(a+b), -\ell a-mb}$

(e) Tensor products with $(1, 0)$

The basic rule for tensoring an irreducible $\mathrm{SL}_3(p)$ -module (a, b) with $(1, 0)$ is

$$(a, b) \otimes (1, 0) = (a - 1, b + 1)/(a + 1, b)/(a, b - 1),$$

but there are many tweaks to this rule at the boundaries (i.e. when a or b is 0, 1 or $p - 1$), and also when $a + b = p - 2$. The complete information is given in Table 6.4.

We shall need the following estimates.

Lemma 6.1. *Let $n \geq 7$ be an integer, and let $L := \{2\pi j/n \mid j \in \mathbb{Z}\}$.*

- (i) *If $0 \leq x \leq \pi/3$ then $\sin(x) \geq x/2$ and $\cos(x) \leq 1 - x^2/4$.*
- (ii) *Suppose $x \in L \setminus 2\pi\mathbb{Z}$. Then $\cos(x) \leq 1 - \pi^2/n^2$. Furthermore,*

$$|2 + \cos(x)| \leq 3 - \pi^2/n^2, \quad |1 + 2\cos(x)| \leq 3 - 2\pi^2/n^2.$$

- (iii) *Suppose that $x, y, z \in L$ with $x + y + z \in 2\pi\mathbb{Z}$ but at least one of x, y, z is not in $2\pi\mathbb{Z}$. Then $|\cos(x) + \cos(y) + \cos(z)| \leq 3 - 2\pi^2/n^2$.*

Proof. (i) Note that if $f(x) := \sin(x) - x/2$ then $f'(x) = \cos(x) - 1/2 \geq 0$ on $[0, \pi/3]$, whence $f(x) \geq f(0) = 0$ on the same interval.

Next, for $g(x) := (1 - x^2/4) - \cos(x)$ we have $g'(x) = f(x)$, whence $g(x) \geq g(0) = 0$ for $0 \leq x \leq \pi/3$.

(ii) Replacing x by $2\pi k \pm x$ for a suitable $k \in \mathbb{Z}$, we may assume that $2\pi/n \leq x \leq \pi$. If moreover $x \geq \pi/3$, then $\cos(x) \leq 1/2 < 1 - \pi^2/n^2$ as $n \geq 5$. On

Table 6.3: Projective indecomposable Brauer characters $\mathbf{p}_{(a,b)}$ for $\mathbf{SL}_3(p)$

(a, b)	$\mathbf{p}_{(a,b)}$	dimension
$(p-1, p-1)$	St	p^3
$(p-1, 0)$	$(\mathbf{s}(p-1, 0) - \mathbf{s}(0, 0)) \text{St}$	$2p^3$
$(p-2, 0)$	$(\mathbf{s}(p-1, 1) - \mathbf{s}(0, 1)) \text{St}$	$3p^3$
$(0, 0)$	$(\mathbf{s}(p-1, p-1) + \mathbf{s}(1, 1) + \mathbf{s}(0, 0) - \mathbf{s}(p-1, 0) - \mathbf{s}(0, p-1)) \text{St}$	$7p^3$
$(a, 0)$ $0 < a < p-2$	$(\mathbf{s}(p-1, p-a-1) + \mathbf{s}(a+1, 1) - \mathbf{s}(0, p-a-1)) \text{St}$	$9p^3$
(a, b) , $ab \neq 0$ $a+b \geq p-2$	$\mathbf{s}(p-b-1, p-a-1) \text{St}$	$6p^3$
(a, b) , $ab \neq 0$ $a+b < p-2$	$(\mathbf{s}(p-b-1, p-a-1) + \mathbf{s}(a+1, b+1)) \text{St}$	$12p^3$

the other hand, if $2\pi/n \leq x \leq \pi/3$, then by (i) we have $\cos(x) \leq 1 - x^2/4 \leq 1 - \pi^2/n^2$, proving the first claim. Now

$$1 \leq 2 + \cos(x) \leq 3 - \pi^2/n^2, \quad -1 \leq 1 + 2 \cos(x) \leq 3 - 2\pi^2/n^2$$

establishing the second claim.

(iii) Subtracting multiples of 2π from x, y, z we may assume that $0 \leq x, y, z < 2\pi$ and $x + y + z \in \{2\pi, 4\pi\}$. If moreover some of them equal to 0, say $x = 0$, then $0 < y < 2\pi$ and

$$|\cos(x) + \cos(y) + \cos(z)| = |1 + 2 \cos(y)| \leq 3 - 2\pi^2/n^2$$

by (ii). So we may assume $0 < x \leq y \leq z < 2\pi$. This implies by (ii) that

$$\cos(x) + \cos(y) + \cos(z) \leq 3 - 3\pi^2/n^2.$$

If moreover $x \leq 2\pi/3$, then $\cos(x) \geq -1/2$ and so

$$\cos(x) + \cos(y) + \cos(z) \geq -5/2 > -(3 - 2\pi^2/n^2) \quad (6.6)$$

as $n \geq 7$, and we are done. Consider the remaining case $x > 2\pi/3$; in particular, $x + y + z = 4\pi$. It follows that $4\pi/3 \leq y < 2\pi$, $\cos(z) \geq -1/2$, whence (6.6) holds and we are done again. \square

Table 6.4: Tensor products with $(1, 0)$

(a, b)	$(a, b) \otimes (1, 0)$
$ab \neq 0, a + b \leq p - 3$ or $a + b \geq p - 1, 2 \leq a, b \leq p - 2$	$(a - 1, b + 1)/(a + 1, b)/(a, b - 1)$
$ab \neq 0, a + b = p - 2$	$(a - 1, b + 1)/(a + 1, b)/(a, b - 1)^2$
$(a, 0), a \leq p - 2$	$(a - 1, 1)/(a + 1, 0)$
$(p - 1, 0)$	$(p - 2, 1)^2/(p - 3, 0)/(1, 0)$
$(0, b), b \leq p - 3$	$(1, b)/(0, b - 1)$
$(0, p - 2)$	$(1, p - 2)/(0, p - 3)^2$
$(0, p - 1)$	$(1, p - 1)/(0, p - 2)$
$(1, p - 1)$	$(1, p - 2)^2/(2, p - 1)/(0, p - 3)/(0, 1)$
$(1, p - 2)$	$(2, p - 2)/(0, p - 1)$
$(p - 1, 1)$	$(p - 2, 2)^2/(p - 1, 0)/(p - 4, 0)/(1, 1)/(0, 0)$
$(p - 2, 1)$	$(p - 3, 2)/(p - 1, 1)$
$(p - 1, b), 2 \leq b \leq p - 3$	$(p - 2, b + 1)^2/(p - 1, b - 1)/(p - 3 - b, 0)/$ $(1, b)/(0, b - 1)$
$(a, p - 1), 2 \leq a \leq p - 2$	$(a, p - 2)^2/(a + 1, p - 1)/(a - 1, 1)/$ $(a - 2, 0)/(0, p - a - 2)$
$(p - 1, p - 2)$	$(p - 2, p - 1)^2/(0, p - 3)^2/(p - 1, p - 3)/(1, p - 2)$
$(p - 1, p - 1)$	$(p - 1, p - 2)^3/(p - 2, 1)^2/(1, p - 1)/$ $(p - 3, 0)^4/(0, p - 2)$

6.3 The Markov chain

Consider now the Markov chain on $\text{IBr}(\text{SL}_3(p))$ given by tensoring with $(1, 0)$. The transition matrix has entries

$$\mathsf{K}((a, b), (a', b')) = \frac{\langle (a', b'), (a, b) \otimes (1, 0) \rangle \dim(a', b')}{3 \dim(a, b)},$$

and from the information in Tables 6.1 and 6.4, we see that away from the boundaries (i.e for $a, b \neq 0, 1, p - 1$), the transition probabilities are as in (6.1), (6.2). The probabilities at the boundaries of course also follow but are less clean to write down.

The stationary distribution π is given by Proposition 3.1(i), hence follows from Tables 6.1 and 6.3. We have written this down in Table 6.5. Notice that on the

diagonal

$$\pi(a, a) \cdot (p^3 - 1)(p^2 - 1) = \begin{cases} 7 & \text{if } a = 0, \\ 12(a+1)^3 & \text{if } 1 \leq a \leq \frac{p-3}{2}, \\ 6((a+1)^3 - (p-a-1)^3) & \text{if } \frac{p-1}{2} \leq a < p-1, \\ p^3 & \text{if } a = p-1. \end{cases}$$

In particular, $\pi(a, a)$ increases cubically on $[0, \frac{p-3}{2}]$ and on $[\frac{p-1}{2}, p-1]$, and drops quadratically from $(p-3)/2$ to $(p-1)/2$.

Table 6.5: Stationary distribution for $\mathrm{SL}_3(p)$ with $f(x, y) = \frac{1}{2}xy(x+y)$

(a, b)	$\pi(a, b) \cdot (p^3 - 1)(p^2 - 1)$
$(0, 0)$	7
$(p-1, 0), (0, p-1)$	$2f(p, 1)$
$(p-2, 0), (0, p-2)$	$3f(p-1, 1)$
$(a, 0), (0, a) (0 < a < p-2)$	$9f(a+1, 1)$
$ab \neq 0, a+b < p-2$	$12f(a+1, b+1)$
$ab \neq 0, a+b = p-2$	$6f(a+1, b+1)$
$a, b \neq 0 \text{ or } p-1 \text{ and } a+b \geq p-1$	$6(f(a+1, b+1) - f(p-a-1, p-b-1))$
$(a, p-1), (p-1, a) (a \neq 0, p-1)$	$6f(a+1, p)$
$(p-1, p-1)$	p^3

From Proposition 3.1(ii) and (6.5), we see in the notation of (6.4) that the eigenvalues are

$$\begin{aligned} \beta_1 &= 1, \\ \beta_{x^r} &= \frac{1}{3}\mathbf{t}_r, \\ \beta_{y^s} &= \frac{1}{3}\mathbf{u}'_s, \\ \beta_{z_{\zeta^k, \zeta^k}} &= \frac{1}{3}\mathbf{v}_{k,k}, \\ \beta_{z_{\zeta^\ell, \zeta^m}} &= \frac{1}{3}\mathbf{v}_{\ell,m}. \end{aligned} \tag{6.7}$$

Now Proposition 3.1(v) gives

$$\frac{\mathsf{K}^\ell((0, 0), (a, b))}{\pi(a, b)} - 1 = \sum_{c \neq 1} \beta_c^\ell \frac{\mathsf{p}_{(a, b)}(c)}{\mathsf{p}_{(a, b)}(\mathbf{1})} |c^G|, \tag{6.8}$$

where the sum is over representatives c of the nontrivial p -regular classes.

We shall show below (for $p \geq 11$) that

$$\beta_c \leq 1 - \frac{3}{p^2} \tag{6.9}$$

for all representatives $c \neq 1$. Given this, (6.8) implies

$$\| \mathsf{K}^\ell((0, 0), \cdot) - \pi(\cdot) \|_{\text{TV}} \leq p^8 \left(1 - \frac{3}{p^2}\right)^\ell.$$

This is small for ℓ of order $p^2 \log p$. More delicate analysis allows the removal of the $\log p$ term, but we will not pursue this further.

It remains to establish the bound (6.9). First, if $c = z_{\zeta^k, \zeta^k}$ with $1 \leq k \leq p-2$, then we can apply Lemma 6.1(ii) to $\beta_c = \frac{1}{3}v_{k,k}$. In all other cases, $\beta_c = (\cos(x) + \cos(y) + \cos(z))/3$ with $x, y, z \in (2\pi/n)\mathbb{Z}$, $x + y + z \in 2\pi\mathbb{Z}$, and at least one of x, y, z not in $2\pi\mathbb{Z}$, where $n \in \{p-1, p^2-1, p^2+p+1\}$. Now the bound follows by applying Lemma 6.1(iii).

Summary. In this section we have analyzed the Markov chain on $\text{IBr}(\text{SL}_3(p))$ given by tensoring with the natural 3-dimensional module $(1, 0)$. We have computed the transition probabilities (6.1), (6.2), the stationary distribution (Table 6.5), and shown that order $p^2 \log p$ steps suffice for stationarity.

7 Quantum groups at roots of unity

7.1 Introduction

The tensor walks considered above can be studied in any context where ‘tensoring’ makes sense: tensor categories, Hopf algebras, or the \mathbb{Z}_+ modules of [31]. Questions abound: Will the explicit spectral theory of Theorems 2.3 [3.3], 4.1, 4.2, and 5.1 still hold? Can the rules for tensor products be found? Are there examples that anyone (other than the authors) will care about? This section makes a start on these problems by studying the tensor walk on the (restricted) quantum group $u_\xi(\mathfrak{sl}_2)$ at a root of unity ξ (described below). It turns out that there is a reasonable spectral theory, though not as nice as the previous ones. The walks are not diagonalizable and generalized spectral theory (Jordan blocks) must be used. This answers a question of Grinberg, Huang, and Reiner [43, Question 3.12]. Some tensor product decompositions are available using years of work by the representation theory community, and the walks that emerge are of independent interest. Let us begin with this last point.

Consider the Markov chain on the irreducible modules of $\text{SL}_2(p)$ studied in Section 3.2. This chain arises in Pitman’s study of Gamblers’ Ruin and leads to his $2M - X$ theorem and a host of generalizations of current interest in both probability and Lie theory. The nice spectral theory of Section 3 depends on p being a prime. On the other hand, the chain makes perfect sense with p replaced by n . A special case of the Markov chains studied in this section handles these examples.

Example 7.1. Fix n odd, $n \geq 3$ and define a Markov chain on $\{0, 1, \dots, n-1\}$ by $K(0, 1) = 1$ and

$$\begin{aligned} K(a, a-1) &= \frac{1}{2} \left(1 - \frac{1}{a+1} \right) \quad 1 \leq a \leq n-2, \\ K(a, a+1) &= \frac{1}{2} \left(1 + \frac{1}{a+1} \right) \quad 0 \leq a \leq n-2, \\ K(n-1, n-2) &= 1 - \frac{1}{n}, \quad K(n-1, 0) = \frac{1}{n}. \end{aligned} \quad (7.1)$$

Thus, when $n = 9$, the transition matrix is

$$K = \begin{pmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & \frac{1}{4} & 0 & \frac{3}{4} & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & \frac{2}{6} & 0 & \frac{4}{6} & 0 & 0 & 0 & 0 \\ 3 & 0 & 0 & \frac{3}{8} & 0 & \frac{5}{8} & 0 & 0 & 0 \\ 4 & 0 & 0 & 0 & \frac{4}{10} & 0 & \frac{6}{10} & 0 & 0 \\ 5 & 0 & 0 & 0 & 0 & \frac{5}{12} & 0 & \frac{7}{12} & 0 \\ 6 & 0 & 0 & 0 & 0 & 0 & \frac{6}{14} & 0 & \frac{8}{14} \\ 7 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{7}{16} & 0 \\ 8 & \frac{2}{18} & 0 & 0 & 0 & 0 & 0 & 0 & \frac{16}{18} \end{pmatrix}$$

The entries have been left as un-reduced fractions to make the pattern readily apparent. The first and last rows are different, but for the other rows, the sub-diagonal entries have numerators $1, 2, \dots, n-2$ and denominators $4, 6, \dots, 2(n-1)$. This is a non-reversible chain. The theory developed below shows that

- the stationary distribution is

$$\pi(j) = \frac{2(j+1)}{n^2}, \quad 0 \leq j \leq n-2, \quad \pi(n-1) = \frac{1}{n}; \quad (7.2)$$

- the eigenvalues for the transition matrix K are 1 and

$$\lambda_j = \cos \left(\frac{2\pi j}{n} \right), \quad 1 \leq j \leq (n-1)/2; \quad (7.3)$$

- a right eigenvector corresponding to the eigenvalue λ_j is

$$R_j = \left[\sin \left(\frac{2\pi j}{n} \right), \frac{1}{2} \sin \left(\frac{4\pi j}{n} \right), \dots, \frac{1}{n-1} \sin \left(\frac{2(n-1)\pi j}{n} \right), 0 \right]^T, \quad (7.4)$$

where T denotes the transpose;

- a left eigenvector corresponding to the eigenvalue λ_j is

$$L_j = \left[\cos\left(\frac{2\pi j}{n}\right), 2\cos\left(\frac{4\pi j}{n}\right), \dots, (n-1)\cos\left(\frac{2(n-1)\pi j}{n}\right), \frac{n}{2} \right]; \quad (7.5)$$

Note that the above accounts for only half of the spectrum. Each of the eigenvalues λ_j , $1 \leq j \leq \frac{1}{2}(n-1)$, is associated with a 2×2 Jordan block of the form $\begin{pmatrix} \lambda_j & 1 \\ 0 & \lambda_j \end{pmatrix}$, giving rise to a set of generalized eigenvectors R'_j, L'_j with

$$K^\ell R'_j = \lambda_j^\ell R'_j + \ell \lambda_j^{\ell-1} R_j \quad L'_j K^\ell = \lambda_j^\ell L'_j + \ell \lambda_j^{\ell-1} L_j \quad (7.6)$$

for all $\ell \geq 1$. The vectors R'_j and L'_j can be determined explicitly from the expressions for the generalized eigenvectors X'_j and Y'_j for M given in Proposition 7.7. Using these ingredients a reasonably sharp analysis of mixing times follows.

Our aim will be to show for the quantum group $u_\xi(\mathfrak{sl}_2)$ at a primitive n th root of unity ξ for n odd that the following result holds.

Theorem 7.2. *For n odd, $n \geq 3$, tensoring with the two-dimensional irreducible representation of $u_\xi(\mathfrak{sl}_2)$ yields the Markov chain K of (7.1) with the stationary distribution π in (7.2). Moreover, there exist explicit continuous functions f_1, f_2 from $[0, \infty)$ to $[0, \infty)$ with $f_1(\ell/n^2) \leq \|K^\ell - \pi\|_{TV}$ for all ℓ , and $\|K^\ell - \pi\|_{TV} \leq f_2(\ell/n^2)$ for all $\ell \geq n^2$. Here $f_1(x)$ is monotone increasing and strictly positive at $x = 0$, and $f_2(x)$ is positive, strictly decreasing, and tends to 0 as x tends to infinity.*

Section 7.2 introduces $u_\xi(\mathfrak{sl}_2)$ and gives a description of its irreducible, Weyl, and Verma modules. Section 7.3 describes tensor products with the natural 2-dimensional irreducible $u_\xi(\mathfrak{sl}_2)$ -module V_1 , and Section 7.4 focuses on projective indecomposable modules and the result of tensoring V_1 with the Steinberg module. Analytic facts about the generalized eigenvectors of the related Markov chains, along with a derivation of (7.1)-(7.5), are in Section 7.5. Theorem 7.2 is proved in Section 7.6. Some further developments (e.g. results on tensoring with the Steinberg module) form the content of Section 7.7. We will use [18] as our main reference in this section, but other incarnations of quantum SL_2 exist (see, for example, Sec VI.5 of [54] and the many references in Sec. VI.7 of that volume or Sections 6.4 and 11.1 of the book [19] by Chari and Pressley, which contains a wealth of material on quantum groups and a host of related topics.) The graduate text [52] by Jantzen is a wonderful introduction to basic material on quantum groups, but does not treat the roots of unity case.

7.2 Quantum \mathfrak{sl}_2 and its Weyl and Verma modules

Let $\xi = e^{2\pi i/n} \in \mathbb{C}$, where n is odd and $n \geq 3$. The quantum group $u_\xi(\mathfrak{sl}_2)$ is an n^3 -dimensional Hopf algebra over \mathbb{C} with generators e, f, k satisfying the relations

$$\begin{aligned} e^n &= 0, \quad f^n = 0, \quad k^n = 1 \\ kek^{-1} &= \xi^2 e, \quad kfk^{-1} = \xi^{-2} f, \quad [e, f] = ef - fe = \frac{k - k^{-1}}{\xi - \xi^{-1}}. \end{aligned}$$

The coproduct Δ , counit ε , and antipode S of $u_\xi(\mathfrak{sl}_2)$ are defined by their action on the generators:

$$\begin{aligned} \Delta(e) &= e \otimes k + 1 \otimes e, \quad \Delta(f) = f \otimes 1 + k^{-1} \otimes f, \quad \Delta(k) = k \otimes k, \\ \varepsilon(e) &= 0 = \varepsilon(f), \quad \varepsilon(k) = 1, \quad S(e) = -ek^{-1}, \quad S(f) = -fk, \quad S(k) = k^{-1}. \end{aligned}$$

The coproduct is particularly relevant here, as it affords the action of $u_\xi(\mathfrak{sl}_2)$ on tensor products.

Chari and Premet have determined the indecomposable modules for $u_\xi(\mathfrak{sl}_2)$ in [18], where this algebra is denoted U_ϵ^{red} . We adopt results from their paper using somewhat different notation and add material needed here on tensor products.

For r a nonnegative integer, the *Weyl module* V_r has a basis $\{v_0, v_1, \dots, v_r\}$ and $u_\xi(\mathfrak{sl}_2)$ -action is given by

$$kv_j = \xi^{r-2j} v_j, \quad ev_j = [r-j+1]v_{j-1}, \quad fv_j = [j+1]v_{j+1}, \quad (7.7)$$

where $v_s = 0$ if $s \notin \{0, 1, \dots, r\}$ and $[m] = \frac{\xi^m - \xi^{-m}}{\xi - \xi^{-1}}$. In what follows, $[0]! = 1$ and $[m]! = [m][m-1] \cdots [2][1]$ for $m \geq 1$. The modules V_r for $0 \leq r \leq n-1$ are irreducible and constitute a complete set of irreducible $u_\xi(\mathfrak{sl}_2)$ -modules up to isomorphism.

For $0 \leq r \leq n-1$, the *Verma module* M_r is the quotient of $u_\xi(\mathfrak{sl}_2)$ by the left ideal generated by e and $k - \xi^r$. It has dimension n and is indecomposable. Any module generated by a vector v_0 with $ev_0 = 0$ and $kv_0 = \xi^r v_0$ is isomorphic to a quotient of M_r . When $0 \leq r < n-1$, V_r is the unique irreducible quotient of M_r , and there is a non-split exact sequence

$$(0) \rightarrow V_{n-r-2} \rightarrow M_r \rightarrow V_r \rightarrow (0). \quad (7.8)$$

When $r = n-1$, $M_{n-1} \cong V_{n-1}$, the Steinberg module, which has dimension n .

We consider the two-dimensional $u_\xi(\mathfrak{sl}_2)$ -module V_1 , and to distinguish it from the others, we use u_0, u_1 for its basis. Then relative to that basis, the generators e, f, k are represented by the following matrices

$$e \rightarrow \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad f \rightarrow \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad k \rightarrow \begin{pmatrix} \xi & 0 \\ 0 & \xi^{-1} \end{pmatrix}.$$

7.3 Tensoring with V_1

The following result describes the result of tensoring an irreducible $u_\xi(\mathfrak{sl}_2)$ -module V_r for $r \neq n-1$ with V_1 . In the next section, we describe the projective indecomposable $u_\xi(\mathfrak{sl}_2)$ -modules and treat the case $r = n-1$.

Proposition 7.3. *Assume $V_1 = \text{span}_{\mathbb{C}}\{u_0, u_1\}$ and $V_r = \text{span}_{\mathbb{C}}\{v_0, v_1, \dots, v_r\}$ for $0 \leq r < n-1$.*

- (i) *The $u_\xi(\mathfrak{sl}_2)$ -submodule of $V_1 \otimes V_r$ generated by $u_0 \otimes v_0$ is isomorphic to V_{r+1} .*
- (ii) *$V_0 \otimes V_1 \cong V_1$, and $V_1 \otimes V_r \cong V_{r+1} \otimes V_{r-1}$ when $1 \leq r < n-1$.*

Proof. (i) Let $w_0 = u_0 \otimes v_0$, and for $j \geq 1$ set

$$w_j := \xi^{-j} u_0 \otimes v_j + u_1 \otimes v_{j-1}$$

Note that $w_j = 0$ when $j > r+1$. Then it can be argued by induction on j that the following hold:

$$\begin{aligned} ew_0 &= 0, & ew_j &= [r+1-j+1]w_{j-1} = [r+2-j]w_{j-1} \quad (j \geq 1) \\ kw_j &= \xi^{r+1-2j}w_j \\ fw_j &= [j+1]w_{j+1} \quad (\text{in particular, } w_j = \frac{f^j(u_0 \otimes v_0)}{[j]!} \text{ for } 0 \leq j < n-1). \end{aligned} \tag{7.9}$$

Thus, $W := \text{span}_{\mathbb{C}}\{w_0, w_1, \dots, w_{r+1}\}$ is a submodule of $V_1 \otimes V_r$ isomorphic to V_{r+1} .

(ii) When $r < n-1$, $W \cong V_{r+1}$ is irreducible. In this case, set

$$y_0 := \xi^r u_0 \otimes v_1 - [r]u_1 \otimes v_0,$$

and let Y be the $u_\xi(\mathfrak{sl}_2)$ -submodule of $V_1 \otimes V_r$ generated by y_0 . It is easy to check that $ky_0 = \xi^{r-1}y_0$ and $ey_0 = 0$. As Y is a homomorphic image of the Verma module M_{r-1} , Y is isomorphic to either V_{r-1} or M_{r-1} . In either event, the only possible candidates for vectors in Y sent to 0 by e have eigenvalue ξ^{r-1} or ξ^{n-r-1} relative to k . Neither of those values can equal ξ^{r+1} , since ξ is an odd root of 1 and $r \neq n-1$. Thus, Y cannot contain w_0 , and since W is irreducible, $W \cap Y = (0)$. Then $\dim(W) + \dim(Y) = r+2 + \dim(Y) \leq 2(r+1)$, forces $Y \cong V_{r-1}$ and $V_1 \otimes V_r \cong V_{r+1} \oplus V_{r-1}$. \square

7.4 Projective indecomposable modules for $u_\xi(\mathfrak{sl}_2)$ and $V_1 \otimes V_{n-1}$.

Chari and Premet [18] have described the indecomposable projective covers P_r of the irreducible $u_\xi(\mathfrak{sl}_2)$ -modules V_r . The Steinberg module V_{n-1} being both irreducible and projective is its own cover, $P_{n-1} = V_{n-1}$. For $0 \leq r < n-1$, the following results are shown to hold for P_r in [18, Prop., Sec. 3.8]:

- (i) $[P_r : M_j] = \begin{cases} 1 & \text{if } j = r \text{ or } n-2-r \\ 0 & \text{otherwise} \end{cases}$.
- (ii) $\dim(P_r) = 2n$.
- (iii) The socle of P_r (the sum of all its irreducible submodules) is isomorphic to V_r .
- (iv) There is a non-split short exact sequence

$$(0) \rightarrow M_{n-r-2} \rightarrow P_r \rightarrow M_r \rightarrow (0). \quad (7.10)$$

Using these facts we prove

Proposition 7.4. *For $u_\xi(\mathfrak{sl}_2)$ with ξ a primitive n th root of unity, n odd, $n \geq 3$, $V_1 \otimes V_{n-1}$ is isomorphic to P_{n-2} . Thus,*

$$[V_1 \otimes V_{n-1} : V_{n-2}] = 2 = [V_1 \otimes V_{n-1} : V_0].$$

Proof. We know from the above calculations that $V_1 \otimes V_{n-1}$ contains a submodule W which is isomorphic to V_n and has a basis w_0, w_1, \dots, w_n with $w_0 = u_0 \otimes v_0$ and

$$w_j := \xi^{-j} u_0 \otimes v_j + u_1 \otimes v_{j-1} \text{ for } 1 \leq j \leq n.$$

It is a consequence of (7.9) that

$$\begin{aligned} ew_1 &= [n-1+2-1]w_0 = 0, & fw_0 &= w_1, \\ fw_{n-1} &= [n]w_n = 0, & ew_n &= [n-1+2-n]w_{n-1} = w_{n-1}. \end{aligned}$$

It is helpful to visualize the submodule W as follows, where the images under e and f are up to scalar multiples:

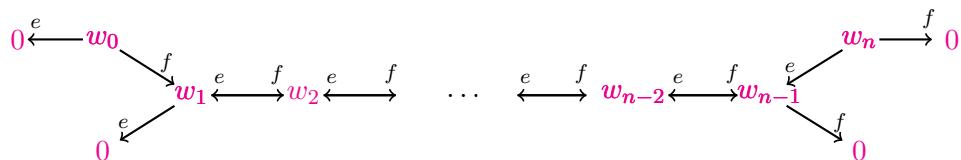


Figure 4: The submodule W of $V_1 \otimes V_{n-1}$

Now since $ew_1 = 0$ and $kw_1 = \xi^{n-2}w_1$, there is a $\mathfrak{u}_\xi(\mathfrak{sl}_2)$ -module homomorphism $V_{n-2} \rightarrow W' := \text{span}_{\mathbb{C}}\{w_1, \dots, w_{n-1}\}$ mapping the basis $\tilde{v}_0, \tilde{v}_1, \dots, \tilde{v}_{n-2}$ of V_{n-2} according to the rule $\tilde{v}_0 \mapsto w_1, \tilde{v}_j = \frac{f^j \tilde{v}_0}{[j]!} \mapsto \frac{f^j w_1}{[j]!} \in W'$. As V_{n-2} is irreducible, this is an isomorphism. From the above considerations, we see that W/W' is isomorphic to a direct sum of two copies of the one-dimensional $\mathfrak{u}_\xi(\mathfrak{sl}_2)$ -module V_0 . (In fact, $\text{span}_{\mathbb{C}}\{w_1, \dots, w_{n-1}, w_n\} \cong M_0$.)

Because V_{n-1} is projective, the tensor product $V_1 \otimes V_{n-1}$ decomposes into a direct sum of projective indecomposable summands P_r . But $V_1 \otimes V_{n-1}$ contains a copy of the irreducible module V_{n-2} , so one of those summands must be P_{n-2} (the unique projective indecomposable module with an irreducible submodule V_{n-2}). Since $\dim(P_{n-2}) = 2n = \dim(V_1 \otimes V_{n-1})$, it must be that $V_1 \otimes V_{n-1} \cong P_{n-2}$. The assertion $[V_1 \otimes V_{n-1} : V_{n-2}] = 2 = [V_1 \otimes V_{n-1} : V_0]$ follows directly from the short exact sequence $(0) \rightarrow M_0 \rightarrow P_{n-2} \rightarrow M_{n-2} \rightarrow (0)$ (as in (7.10) with $r = n - 2$) and the fact that $[M_j : V_0] = 1 = [M_j : V_{n-2}]$ for $j = 0, n - 2$. \square

In Figure 5, we display the tensor chain graph resulting from Propositions 7.3 and 7.4.

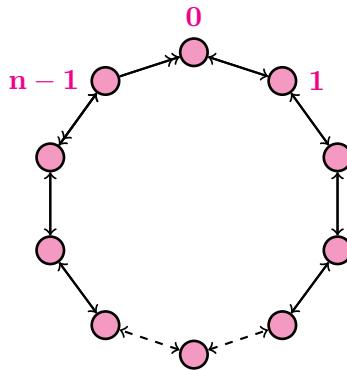


Figure 5: Tensor walk on irreducibles of $u_\xi(\mathfrak{sl}_2)$

Remarks 7.5. (i) Proposition 7.4 shows that $V_1 \otimes V_{n-1} \cong P_{n-2}$. Had we been interested only in proving that $[V_1 \otimes V_{n-1} : V_0] = 2 = [V_1 \otimes V_{n-1} : V_{n-2}]$, we could have avoided using projective covers by arguing that the vector $x_0 = u_0 \otimes v_1 \notin W$ is such that $kx_0 = \xi^{n-2}x_0$ and $ex_0 = -w_0$. Thus, $(V_1 \otimes V_{n-1})/W$ is a homomorphic image of M_{n-2} , but since $(V_1 \otimes V_{n-1})/W$ has dimension $n-1$, $(V_1 \otimes V_{n-1})/W \cong V_{n-2}$. From that fact and the structure of W , we can deduce that $[V_1 \otimes V_{n-1} : V_0] = 2 = [V_1 \otimes V_{n-1} : V_{n-2}]$. The projective covers will

reappear in Section 7.7 when we consider tensoring with the Steinberg module V_{n-1} .

(ii) The probabilistic description of the Markov chain in (7.1) will follow from these two propositions. It is interesting to note that even when $n = p$ a prime, the tensor chain for $u_\xi(\mathfrak{sl}_2)$ is slightly different and the spectral analysis more complicated (as will be apparent in the next section) from that of $SL_2(p)$. In the group case (see Table 3.2.2), when tensoring the natural two-dimensional module $V(1)$ with the Steinberg module $V(p-1)$, the module $V(1)$ occurs with multiplicity 1 and $V(p-2)$ with multiplicity 2. But in the quantum case, $V_1 \otimes V_{p-1}$ has composition factors V_0, V_{p-2} , each with multiplicity 2 by Proposition 7.4.

(iii) The quantum considerations above most closely resemble tensor chains for the Lie algebra \mathfrak{sl}_2 over an algebraically closed field \mathbb{k} of characteristic $p \geq 3$. The restricted irreducible \mathfrak{sl}_2 -representations are V_0, V_1, \dots, V_{p-1} where $\dim(V_j) = j+1$. The tensor products of them with V_1 exactly follow the results in Proposition 7.3 and 7.4 with $n = p$. (For further details, consult ([68], [7], [74], and [69]).

7.5 Generalized spectral analysis

Consider the matrix K in (7.1). As a stochastic matrix, K has $[1, 1, \dots, 1]^T$ as a right eigenvector with eigenvalue 1. It is easy to verify by induction on n that $\pi := [\pi(0), \pi(1), \dots, \pi(n-1)]$, where $\pi(j)$ is as in (7.2) is a left eigenvector with eigenvalue 1. In this section, we determine the other eigenvectors of K . A small example will serve as motivation for the calculations to follow.

Example 7.6. For $n = 3$,

- the transition matrix is

$$K = \begin{pmatrix} 0 & 1 & 0 \\ \frac{1}{4} & 0 & \frac{3}{4} \\ \frac{1}{3} & \frac{2}{3} & 0 \end{pmatrix},$$

and the stationary distribution is $\pi(j) = \frac{2(j+1)}{n^2}$ ($j = 0, 1$), $\pi(2) = \frac{1}{3}$ so that

$$\pi = \left[\frac{2}{9}, \frac{4}{9}, \frac{1}{3} \right];$$

- the eigenvalues are $\lambda_j = \cos(\frac{2\pi j}{3})$, $0 \leq j \leq 1$, with λ_1 occurring in a block of size 2, so

$$(\lambda_0, \lambda_1) = (1, -\frac{1}{2});$$

- the right eigenvectors R_0, R_1 in (7.4) are

$$R_0 = [1, 1, 1]^T, \quad R_1 = \left[\sin\left(\frac{2\pi}{3}\right), \frac{1}{2} \sin\left(\frac{4\pi}{3}\right), 0 \right]^T = \left[\frac{\sqrt{3}}{2}, -\frac{\sqrt{3}}{4}, 0 \right]^T;$$

- the generalized right eigenvector R'_1 for the eigenvalue $-1/2$ is

$$R'_1 = \left[0, \frac{\sqrt{3}}{2}, -\frac{2}{\sqrt{3}} \right]^T;$$

- the left eigenvectors L_0, L_1 in (7.5) are

$$L_0 = \pi, \quad L_1 = \left[\cos\left(\frac{2\pi}{3}\right), 2 \cos\left(\frac{4\pi}{3}\right), \frac{3}{2} \right] = \left[-\frac{1}{2}, -1, \frac{3}{2} \right];$$

- the generalized left eigenvector L'_1 for the eigenvalue $-1/2$ is

$$L'_1 = [-2, 2, 0].$$

Note that $L_1 R_1 = 0$, $L_1 R'_1 = L'_1 R_1 \left(= -\frac{3\sqrt{3}}{2} \right)$ in accordance with Lemma 7.9 below.

Now in the general case, we know that K has $[1, 1, \dots, 1]^T$ as a right eigenvector and $\pi = [\pi(0), \pi(1), \dots, \pi(n-1)]$ as a left eigenvector corresponding to the eigenvalue 1. Next, we determine the other eigenvalues and eigenvectors of K . To accomplish this, conjugate the matrix K with the diagonal matrix D having $1, 2, \dots, n$ down the diagonal (the dimensions of the irreducible $u_\xi(\mathfrak{sl}_2)$ -representations), and multiply by 2 (the dimension of V_1) to get

$$2DKD^{-1} = M = \begin{pmatrix} 0 & 1 & 0 & 0 & \dots & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & \dots & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & \dots & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & \dots & 0 & 0 & 1 & 0 & 1 \\ 2 & 0 & \dots & 0 & 0 & 0 & 2 & 0 \end{pmatrix}, \quad (7.11)$$

a matrix that, except for the bottom row, has ones on its sub and super diagonals and zeros elsewhere. The bottom row has a 2 as its $(n, 1)$ and $(n, n-1)$ entries and zeros everywhere else. In fact, M is precisely the McKay matrix of the Markov chain determined by tensoring with V_1 in the $u_\xi(\mathfrak{sl}_2)$ case as in Propositions 7.3 and 7.4. A cofactor (Laplace) expansion shows that this last matrix has the same characteristic polynomial as the circulant matrix with first row $[0, 1, 0, \dots, 0, 1]$,

that is

$$\begin{pmatrix} 0 & 1 & 0 & 0 & \dots & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & \dots & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & \dots & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & \dots & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & \dots & 0 & 0 & 0 & 1 & 0 \end{pmatrix}. \quad (7.12)$$

As is well known [23], this circulant matrix has eigenvalues $2 \cos(\frac{2\pi j}{n})$, $0 \leq j \leq n-1$. Dividing by 2 gives (7.3).

Determining the eigenvectors in (7.4)- (7.5) are straightforward exercises, but here are a few details. Rather than working with K , we first identify (generalized) eigenvectors for M (see Corollary 7.8). Since $M = 2DKD^{-1}$, a right eigenvector v (resp. left eigenvector w) of M with eigenvalue λ yields a right eigenvector $D^{-1}v$ (resp. left eigenvector wD) for K with eigenvalue $\frac{1}{2}\lambda$, just as in Lemma 2.2. Similarly, if v', w' are generalized eigenvectors for M with $Mv' = \lambda v' + v$ and $w'M = \lambda w' + w$, then $KD^{-1}v' = \frac{1}{2}\lambda D^{-1}v' + \frac{1}{2}D^{-1}v$ and $w'DK = \frac{1}{2}\lambda w'D + \frac{1}{2}wD$.

Proposition 7.7. *For the matrix M defined in (7.11), corresponding to its eigenvalue $2 \cos(\frac{2\pi j}{n}) = \xi^j + \xi^{-j}$, $j = 1, 2, \dots, m = \frac{1}{2}(n-1)$, we have the following:*

(a) *Let $X_j = [X_j(0), X_j(1), \dots, X_j(n-1)]^T$, where $X_j(a) = \xi^{(a+1)j} - \xi^{-(a+1)j}$ for $0 \leq a \leq n-1$. Then*

$$X_j = [\xi^j - \xi^{-j}, \xi^{2j} - \xi^{-2j}, \dots, \xi^{(n-1)j} - \xi^{-(n-1)j}, 0]^T, \quad (7.13)$$

and X_j is a right eigenvector for M .

(b) *Let $Y_j = [Y_j(0), Y_j(1), \dots, Y_j(n-1)]^T$, where $Y_j(a) = \xi^{(a+1)j} + \xi^{-(a+1)j}$ for $0 \leq a \leq n-2$ and $Y_j(n-1) = 1$. Then*

$$Y_j = [\xi^j + \xi^{-j}, \xi^{2j} + \xi^{-2j}, \dots, \xi^{(n-1)j} + \xi^{-(n-1)j}, 1], \quad (7.14)$$

and Y_j is a left eigenvector for M .

(c) *Set $\eta_a = \xi^{ja} - \xi^{-ja}$ for $0 \leq a \leq n-1$, so that $\eta_0 = 0$, and $\eta_{n-a} = -\eta_a$ for $a = 1, \dots, m$. The vector $X'_j = [X'_j(0), X'_j(1), \dots, X'_j(n-1)]^T$ with*

$$X'_j(a) = a\eta_a + (a-2)\eta_{a-2} + \dots + (a-2\lfloor \frac{a}{2} \rfloor) \eta_{a-2\lfloor \frac{a}{2} \rfloor}. \quad (7.15)$$

for $0 \leq a \leq n-1$ satisfies

$$MX'_j = 2 \cos(\frac{2\pi j}{n}) X'_j + X_j = (\xi^j + \xi^{-j}) X'_j + X_j. \quad (7.16)$$

(d) Let $\gamma_0 = 1$, and for $1 \leq a \leq n-1$, set $\gamma_a = \xi^{ja} + \xi^{-ja}$. Let $\delta_0 = 1$, and for $1 \leq b \leq m$, set

$$\delta_b = \gamma_{b-1} + \gamma_{b-3} + \cdots + \gamma_{b-1-2\lfloor \frac{b-1}{2} \rfloor}. \quad (7.17)$$

If $Y'_j = [Y'_j(0), Y'_j(1), \dots, Y'_j(n-1)]$, where

$$Y'_j(a) = \begin{cases} (a+1-n)\delta_{a+1} & \text{if } 0 \leq a \leq m-1, \\ (n-1-a)\delta_{n-1-a} & \text{if } m \leq a \leq n-1, \end{cases}$$

then

$$Y'_j = [(1-n)\delta_1, (2-n)\delta_2, \dots, (m-n)\delta_m \mid m\delta_m, (m-1)\delta_{m-1}, \dots, \delta_1, 0] \quad (7.18)$$

$$\text{and } Y'_j M = 2 \cos\left(\frac{2\pi j}{n}\right) Y'_j + Y_j.$$

Proof. (a) Recall that the eigenvalues of M are $2 \cos\left(\frac{2\pi j}{n}\right) = \xi^j + \xi^{-j}$, so there are only $\frac{1}{2}(n+1)$ distinct eigenvalues (including the eigenvalue 1). For showing that X_j is a right eigenvector of M for $j = 1, \dots, m = \frac{1}{2}(n-1)$, note that $\xi^{2j} - \xi^{-2j} = (\xi^j + \xi^{-j})(\xi^j - \xi^{-j})$. This confirms that multiplying row 0 of M by the vector X_j in (7.13) correctly gives $(\xi^j + \xi^{-j})X_j(0)$. For rows $a = 1, 2, \dots, n-2$, use

$$\xi^{(a-1)j} - \xi^{-(a-1)j} + \xi^{(a+1)j} - \xi^{-(a+1)j} = (\xi^j + \xi^{-j})(\xi^{aj} - \xi^{-aj}).$$

Lastly, for row $n-1$ we have

$$2\xi^j - 2\xi^{-j} + 2\xi^{(n-1)j} - 2\xi^{-(n-1)j} = 2\xi^j - 2\xi^{-j} + 2\xi^{-j} - 2\xi^j = 0 = (\xi^j + \xi^{-j}) \cdot 0.$$

(b) The argument for the left eigenvectors is completely analogous. Multiply the vector Y_j in (7.14) on the right by column 0 of M . The result is $\xi^{2j} + \xi^{-2j} + 2 = (\xi^j + \xi^{-j})(\xi^j + \xi^{-j})$, which is $(\xi^j + \xi^{-j})Y_j(0)$. For $a = 1, 2, \dots, n-2$, entry a of $(\xi^j + \xi^{-j})Y_j$ is $\xi^{aj} + \xi^{-aj} + \xi^{(a+2)j} + \xi^{-(a+2)j} = (\xi^j + \xi^{-j})(\xi^{(a+1)j} + \xi^{-(a+1)j}) = (\xi^j + \xi^{-j})Y_j(a)$. Finally, entry $n-1$ of $(\xi^j + \xi^{-j})Y_j$ is $\xi^{(n-1)j} + \xi^{-(n-1)j} = (\xi^j + \xi^{-j}) \cdot 1 = (\xi^j + \xi^{-j})Y_j(n-1)$.

(c) The vector $X'_j = [X'_j(0), X'_j(1), \dots, X'_j(n-1)]^T$ in this part has components given in terms of the values $\eta_a = \xi^{ja} - \xi^{-ja}$ for $0 \leq a \leq n-1$ in (7.15). For example, when $n = 7$ and $1 \leq j \leq 3$,

$$X'_j = [0, \eta_1, 2\eta_2, 3\eta_3 + \eta_1, 4\eta_4 + 2\eta_2, 5\eta_5 + 3\eta_3 + \eta_1, 6\eta_6 + 4\eta_4 + 2\eta_2]^T.$$

To verify that $M X'_j = 2 \cos\left(\frac{2\pi j}{n}\right) X'_j + X_j$, use the fact that $\eta_{n-a} = -\eta_a$ and

$$2 \cos\left(\frac{2\pi j}{n}\right) \eta_a = (\xi^j + \xi^{-j}) \eta_a = \eta_{a-1} + \eta_{a+1} \quad \text{for all } 1 \leq a \leq n-1. \quad (7.19)$$

In this notation, $X_j = [\eta_1, \eta_2, \dots, \eta_{n-1}, 0]^T$ and $X_{n-j} = -X_j$. Checking that (c) holds just amounts to computing both sides and using (7.19). Thus, $\text{span}_{\mathbb{C}}\{X'_j, X_j\}$ for $j = 1, \dots, m$ forms a two-dimensional generalized eigenspace corresponding to a 2×2 Jordan block with $\xi^j + \xi^{-j} = 2 \cos(\frac{2\pi j}{n})$ on the diagonal.

(d) Set $\gamma_a = \xi^{ja} + \xi^{-ja}$ for $a = 1, 2, \dots, n-1$. Then $\gamma_1 = 2 \cos(\frac{2\pi j}{n})$ and

$$\gamma_1^2 = \gamma_2 + 2, \quad \gamma_1 \gamma_a = \gamma_{a+1} + \gamma_{a-1} \text{ for } a \geq 2. \quad (7.20)$$

From (7.14), a left eigenvector of M corresponding to the eigenvalue $2 \cos(\frac{2\pi j}{n})$ is $Y_j = [\gamma_1, \gamma_2, \dots, \gamma_m, \gamma_m, \gamma_{m-1}, \dots, \gamma_1, 1]$. We want to demonstrate that the vector Y'_j in (7.18) satisfies $Y'_j M = 2 \cos(\frac{2\pi j}{n}) Y'_j + Y_j$. An example to keep in mind is the following one for $n = 9$ (a vertical line is included only to make the pattern more evident):

$$Y'_j = [-8, -7\gamma_1, -6(\gamma_2 + 1), -5(\gamma_3 + \gamma_1) \mid 4(\gamma_3 + \gamma_1), 3(\gamma_2 + 1), 2\gamma_1, 1, 0].$$

More generally, assume $\gamma_0 = 1$, and for $b = 1, 2, \dots, m$, let $\delta_b = \gamma_{b-1} + \gamma_{b-3} + \dots + \gamma_{b-1-2\lfloor\frac{b-1}{2}\rfloor}$, as in (7.17). Thus, $\delta_1 = \gamma_0 = 1$, $\delta_2 = \gamma_1$, $\delta_3 = \gamma_2 + \gamma_0 = \gamma_2 + 1$, $\delta_4 = \gamma_3 + \gamma_1$, $\delta_5 = \gamma_4 + \gamma_2 + 1$, etc. Recall from (7.18) that

$$Y'_j = [(1-n)\delta_1, (2-n)\delta_2, \dots, (m-n)\delta_m \mid m\delta_m, (m-1)\delta_{m-1}, \dots, \delta_1, 0]$$

Verifying that $Y'_j M = \gamma_1 Y'_j + Y_j$ uses (7.20) and the fact that

$$1 + \gamma_1 + \gamma_2 + \dots + \gamma_m = 0. \quad \square$$

Assume now that D is the $n \times n$ diagonal matrix $D = \text{diag}\{1, 2, \dots, n\}$ having the dimensions of the simple $\mathfrak{u}_\xi(\mathfrak{sl}_2)$ -modules down its diagonal. We know that 1 is an eigenvalue of the matrix K with right eigenvector $[1, 1, \dots, 1]^T$ and corresponding left eigenvector the stationary distribution vector $\pi = [\pi(0), \dots, \pi(n-1)]$. As a consequence of Proposition 7.7 and the relation $K = \frac{1}{2}D^{-1}MD$, we have the following result.

Corollary 7.8. Suppose $\theta_j = \frac{2\pi j}{n}$ for $j = 1, \dots, m = \frac{1}{2}(n-1)$ and $i = \sqrt{-1}$. Set

$$R_j = \frac{1}{2i}D^{-1}X_j, \quad L_j = \frac{1}{2}Y_jD \quad R'_j = \frac{1}{2i}D^{-1}X'_j, \quad L'_j = \frac{1}{2}Y'_jD,$$

where X_j , Y_j , X'_j , and Y'_j , are as in Proposition 7.7. Then corresponding to the eigenvalue $\cos(\frac{2\pi j}{n})$,

(a) $R_j = [\sin(\theta_j), \frac{1}{2}\sin(2\theta_j), \dots, \frac{1}{n-1}\sin((n-1)\theta_j), 0]^T$ is a right eigenvector for K ;

(b) $L_j = [\cos(\theta_j), 2\cos(2\theta_j), \dots, (n-1)\cos((n-1)\theta_j), \frac{n}{2}]$ is a left eigenvector for \mathbb{K} ;

(c) if $R'_j = [R'_j(0), R'_j(1), \dots, R'_j(n-1)]^T$, where $R'_j(a) = \frac{1}{2(a+1)^i} X'_j(a) = -\frac{i}{2(a+1)} X'_j(a)$ and $X'_j(a)$ is the a th coordinate of X'_j given in (7.15), then

$$\mathbb{K}R'_j = \cos\left(\frac{2\pi j}{n}\right)R'_j + R_j$$

(d) if $L'_j = [L'_j(0), L'_j(1), \dots, L'_j(n-1)]^T$, where $L'_j(a) = \frac{a+1}{2} Y'_j(a)$ and $Y'_j(a)$ is the a th coordinate of Y'_j given in (7.18), then $L'_j \mathbb{K} = \cos\left(\frac{2\pi j}{n}\right)L'_j + L_j$.

For the results in the next section, we will need to know various products such as $L_j R'_j$ and $L'_j R_j$. These two expressions are equal, as the following simple lemma explains. Compare (8.5).

Lemma 7.9. *Let A be an $n \times n$ matrix over some field \mathbb{K} . Assume L (resp. R) is a left (resp. right) eigenvector of A corresponding to an eigenvalue λ . Let L' (resp. R') be a $1 \times n$ (resp. $n \times 1$) matrix over \mathbb{K} such that*

$$L' A = \lambda L' + L \quad \text{and} \quad A R' = \lambda R' + R$$

so that L' and R' are generalized eigenvectors corresponding to λ . Then

$$L R' = L' R.$$

Proof. This is apparent from computing $L' A R'$ two different ways:

$$\begin{aligned} L' A R' &= (L' A) R' = (\lambda L' + L) R' = \lambda L' R' + L R' \\ &= L' (A R') = L' (\lambda R' + R) = \lambda L' R' + L' R. \end{aligned} \quad \square$$

To undertake a detailed analysis of convergence, the inner products $d_j = L_j R'_j = L'_j R_j$ and $d'_j = L'_j R'_j$, $1 \leq j \leq (n-1)/2$ are needed. We were surprised to see that d_j came out so neatly.

Lemma 7.10. *For L'_j and R_j as in Corollary 7.8*

$$d_j = \sum_{k=0}^{n-1} L'_j(k) R_j(k) = \frac{n}{32} \left(\frac{4}{\sin(\theta_j)} - \frac{n+1}{\sin^3(\theta_j)} \right), \quad \text{where } \theta_j = \frac{2\pi j}{n}.$$

Proof. Recall that $L'_j = \frac{1}{2} Y'_j \mathbb{D}$ and $R_j = \frac{1}{2i} \mathbb{D}^{-1} X_j$, where $i = \sqrt{-1}$, \mathbb{D} is the diagonal $n \times n$ matrix with $1, 2, \dots, n$ down its main diagonal, and Y'_j and X_j are as in Proposition 7.7. Therefore

$$d_j = L'_j R_j = \left(\frac{1}{2} Y'_j \mathbb{D} \right) \left(\frac{1}{2i} \mathbb{D}^{-1} X_j \right) = \frac{1}{4i} Y'_j X_j,$$

so it suffices to compute $Y'_j X_j = \sum_{k=0}^{n-1} Y'_j(k) X_j(k)$.

With $m = \frac{1}{2}(n-1)$ and $\xi = e^{\frac{2\pi i}{n}}$, we have from (7.18) and Corollary 7.8 that

$$Y'_j = [(1-n)\delta_1, (2-n)\delta_2, \dots, (m-n)\delta_m \mid m\delta_m, (m-1)\delta_{m-1}, \dots, \delta_1, 0]$$

with $\delta_b = \gamma_{b-1} + \gamma_{b-3} + \dots + \gamma_{b-1-2\lfloor\frac{b-1}{2}\rfloor}$ and $\gamma_a = \xi^{ja} + \xi^{-ja} = 2 \cos(\frac{2\pi ja}{n})$;

$$X_j = [\eta_1, \eta_2, \dots, \eta_m, -\eta_m, \dots, -\eta_1, 0]^T,$$

$$\text{with } \eta_b = \xi^{bj} - \xi^{-bj} = e^{\frac{2\pi i jb}{n}} - e^{-\frac{2\pi i jb}{n}} = -\eta_{n-b}.$$

Then $\eta_0 = \eta_n = 0$, $\gamma_a \eta_b = \eta_{a+b} + \eta_{b-a}$ for $1 \leq b \leq m$, and

$$\begin{aligned} Y'_j X_j &= -n \sum_{b=1}^m \delta_b \eta_b = -n \sum_{b=1}^m \left(\gamma_{b-1} + \gamma_{b-3} + \dots + \gamma_{b-1-2\lfloor\frac{b-1}{2}\rfloor} \right) \eta_b \\ &= -n (m\eta_1 + (m-1)\eta_3 + \dots + 2\eta_{2m-3} + \eta_{2m-1}) \\ &= -2ni \left(m \sin(\theta_j) + (m-1) \sin(3\theta_j) + \dots \right. \\ &\quad \left. + 2 \sin((2m-3)\theta_j) + \sin((2m-1)\theta_j) \right). \end{aligned}$$

The argument continues by summing the (almost) geometric series using

$$\sum_{a=1}^m (m+1-a) \xi^{2a-1} = \frac{\xi}{(\xi^2-1)^2} \left((\xi^{2(m+1)} - 1) - (m+1)(\xi^2 - 1) \right).$$

As a result,

$$\begin{aligned} Y'_j X_j &= -n \left\{ \frac{\xi}{(\xi^2-1)^2} \left((\xi - 1) - (m+1)(\xi^2 - 1) \right) \right. \\ &\quad \left. - \frac{\xi^{-1}}{(\xi^{-2}-1)^2} \left((\xi^{-1} - 1) - (m+1)(\xi^{-2} - 1) \right) \right\} \\ &= \frac{-n}{(\xi^2-1)^2 (\xi^{-2}-1)} \left\{ \xi(\xi^{-2}-1) \left((\xi - 1) - (m+1)(\xi^2 - 1) \right) \right. \\ &\quad \left. - \xi^{-1}(\xi^2-1) \left((\xi^{-1} - 1) - (m+1)(\xi^{-2} - 1) \right) \right\} \\ &= \frac{-n}{4(1-\cos(2\theta_j))^2} \left\{ 2i \left(\sin(3\theta_j) - 3\sin(\theta_j) \right) + 4i(m+1)\sin(\theta_j) \right\} \\ &= \frac{-ni}{2(1-\cos(2\theta_j))^2} \left\{ \sin(3\theta_j) + (2m-1)\sin(\theta_j) \right\}. \end{aligned}$$

Now use $\cos(2\theta_j) = 1 - 2\sin^2(\theta_j)$ and $\sin(3\theta_j) = 3\sin(\theta_j) - 4\sin^3(\theta_j)$, to get

$$Y'_j X_j = \frac{ni}{8} \left\{ \frac{4}{\sin(\theta_j)} - \frac{n+1}{\sin^3(\theta_j)} \right\} \text{ and } d_j = L'_j R_j = \frac{n}{32} \left\{ \frac{4}{\sin(\theta_j)} - \frac{n+1}{\sin^3(\theta_j)} \right\}$$

□

Remark 7.11. We have not been as successful at understanding d'_j . This is less crucial, as d'_j appears in the numerator of various terms, so upper bounds suffice. We content ourselves with the following.

Proposition 7.12. For L'_j and R'_j defined in Corollary 7.8, the inner product $d'_j = L'_j R'_j$ satisfies $|d'_j| \leq An^5$ for a universal positive constant A independent of j .

Proof. Since $d'_j = \frac{1}{4i} Y'_j X'_j$, we can work instead with the vectors

$$Y'_j = [(1-n)\delta_1, (2-n)\delta_2, \dots, (m-n)\delta_m, m\delta_m, (m-1)\delta_{m-1}, \dots, \delta_1, 0]$$

$$X'_j = [0, \eta_1, 2\eta_2, 3\eta_3 + \eta_1, 4\eta_4 + 2\eta_2, \dots, (n-1)\eta_{n-1} + (n-3)\eta_{n-3} + \dots + 2\eta_2].$$

Since $|\delta_a| \leq 2a$ and $|\eta_b| \leq 1$, the inner product d'_j is bounded above by

$$4 \left(\sum_{a=1}^m (n-a)a \cdot a^2 + \sum_{b=1}^m b^2(n-b)^2 \right) \leq A'n^5. \quad \square$$

7.6 Proof of Theorem 7.2

We need to prove that

$$f_1(\ell/n^2) \leq \| \mathsf{K}^\ell - \pi \|_{\text{TV}} \leq f_2(\ell/n^2). \quad (7.21)$$

For the lower bound, a first step analysis for the Markov chain $\mathsf{K}(i, j)$, started at 0, shows that it has high probability of not hitting $(n-1)/2$ after $\ell = Cn^2$ steps for C small. On the other hand,

$$\pi \left(\left\{ \frac{n-1}{2}, \dots, n-1 \right\} \right) \sim \frac{1}{4}.$$

This shows

$$\| \mathsf{K}^\ell - \pi \|_{\text{TV}} \geq f_1(\ell/n^2)$$

for $f_1(x)$ strictly positive as x tends to 0. See [53] for background on first step analysis.

Note: Curiously, the ‘usual lower bound argument’ applied in all of our previous theorems breaks down in the SL_2 quantum case. Here the largest eigenvalue $\neq 1$ for K is $\cos(\frac{2\pi}{n})$ and $\frac{1}{2}R_1(x) = f(x)$ is an eigenfunction with $\|f\|_\infty \leq 1$. Thus,

$$|K_0^\ell(f) - \pi(f)| \geq \cos\left(\frac{2\pi}{n}\right) f(0).$$

Alas, $f(0) = \sin(\frac{2\pi}{n}) \sim \frac{2\pi}{n}$, so this bound is useless.

From Appendix I (Section 8), for any y we have from equation (8.7),

$$\frac{K^\ell(x, y)}{\pi(y)} - 1 = \frac{1}{\pi(y)} (a_1 L_1(y) + a'_1 L'_1(y) + \cdots + a_m L_m(y) + a'_m L'_m(y)), \quad (7.22)$$

with $\pi(y)$, L_j , L'_j given in (7.2), Corollary 7.8 (b),(d), respectively, and with a'_j , a_j given in (8.10) by the expressions

$$\begin{aligned} a'_j &= \frac{\lambda_j^\ell R_j(0)}{d_j} = \frac{\lambda_j^\ell \sin(\theta_j)}{d_j}, \\ a_j &= \frac{\lambda_j^\ell R_j(0)}{d_j} \left(\frac{\ell}{\lambda_j} - \frac{d'_j}{d_j} \right) = \frac{\lambda_j^\ell \sin(\theta_j)}{d_j} \left(\frac{\ell}{\lambda_j} - \frac{d'_j}{d_j} \right), \end{aligned}$$

where $\theta_j = \frac{2\pi j}{n}$ and $\lambda_j = \cos(\theta_j)$.

Now from Lemma 7.10,

$$\frac{2i \sin(\theta_j)}{d_j} = \frac{16 \sin^4(\theta_j)}{n^2} \left(1 + O\left(\frac{1}{n}\right) \right),$$

with the error uniform in j . Therefore,

$$\begin{aligned} a'_j &= \cos^\ell(\theta_j) \frac{16 \sin^4(\theta_j)}{n^2} \left(1 + O\left(\frac{1}{n}\right) \right) \\ a_j &= \cos^\ell(\theta_j) \frac{16 \sin^4(\theta_j)}{n^2} \left(\frac{\ell}{\cos(\theta_j)} + O(n^3 \sin^3(\theta_j)) \right) \left(1 + O\left(\frac{1}{n}\right) \right) \end{aligned}$$

Consider first the case that $y = 0$. Then $L_j(0) = \cos(\theta_j)$, $L'_j(0) = n - 1$, and $\pi(0) = \frac{2}{n^2}$. The terms $\frac{1}{\pi(0)} a'_j L'_j(0)$ can be bounded using the inequalities

$$\begin{aligned} \cos(z) &\leq e^{-\frac{z^2}{2}} \quad (0 \leq z \leq \frac{\pi}{2}), \quad |\sin(z)| \leq |z|, \\ \frac{n^2}{2} n \sum_{j=1}^{\lfloor m/2 \rfloor} \frac{e^{-\theta_j^2 \frac{\ell}{2}}}{n^2} 16 \theta_j^4 &= 8 \frac{(2\pi)^4}{n^6} n^3 \sum_{j=1}^{\lfloor m/2 \rfloor} j^4 e^{-\theta_j^2 \frac{\ell}{2}}. \end{aligned}$$

Writing $C = \ell n^2$ and $f(C) = \sum_{j=1}^{\infty} j^4 e^{-C(2\pi j)^2}$, observe that $f(C)$ tends to 0 as C increases, and the sum of the paired terms up to $\lfloor m/2 \rfloor$ is at most $\frac{8(2\pi)^4 f(C)}{n^3}$. The terms from $\lfloor m/2 \rfloor + 1$ to m are dealt with below.

The unprimed terms can be similarly bounded by

$$\frac{n^2}{2} \sum_{j=1}^{\lfloor (m-1)/2 \rfloor} e^{-\theta_j^2 \frac{\ell}{2}} \left(\frac{16 (2\pi j)^4}{n^6} \right) (\ell + O(j^3)).$$

Again when $\ell = Cn^2$, this is at most a constant times $\frac{f_1(C)}{n^2}$, with

$$f_1(C) = \sum_{j=1}^{\infty} j^7 e^{-C(2\pi j)^2/2}.$$

For the sum from $\lfloor m/2 \rfloor$ to m use $\cos(\pi + z) = -\cos(z)$ and $|\sin(\pi + z)| = |\sin(z)|$ to write $\cos\left(\frac{2\pi(m-j)}{n}\right) = -\cos\left(\frac{2\pi}{n}(j - \frac{1}{2})\right)$, and $\sin\left(\frac{2\pi(m-j)}{n}\right) = \sin\left(\frac{2\pi}{n}(j - \frac{1}{2})\right)$. With trivial modification, the same bounds now hold for the upper tail sum. Combining bounds gives $\frac{K^\ell(0,0)}{\pi(0)} - 1 \leq f(C)$ when $\ell = Cn^2$ for an explicit $f(C)$ going to 0 from above as C increases to infinity.

Consider next the case that $y = n - 1$. Then $\pi(n - 1) = \frac{1}{n}$, $L'_j(n - 1) = 0$ (Hooray!) $L_j(n - 1) = 1$ for $j = 1, \dots, m$. Essentially the same arguments show that order n^2 steps suffice. The argument for intermediate y is similar and further details are omitted. \square

7.7 Tensoring with V_{n-1}

This section examines the tensor walk obtained by tensoring irreducible modules for $u_\xi(\mathfrak{sl}_2)$ with the Steinberg module V_{n-1} . The short exact sequences (7.8) and (7.10) imply that the projective indecomposable module P_r , $0 \leq r \leq n - 2$, has the following structure $P_r/M_{n-2-r} \cong M_r$, where $M_j/V_{n-2-j} \cong V_j$ for $j = r, n - 2 - r$. Thus, $[P_r : V_j] = 0$ unless $j = r$ or $j = p - 2 - r$, in which case $[P_r : V_j] = 2$.

In [7], tensor products of irreducible modules and their projective covers are considered for the Lie algebra \mathfrak{sl}_2 over a field of characteristic $p \geq 3$. Identical arguments can be applied in the quantum case; we omit the details. The rules for tensoring with the Steinberg module V_{n-1} for $u_\xi(\mathfrak{sl}_2)$ are displayed below, and the

ones for \mathfrak{sl}_2 can be read from these by specializing n to p .

$$\begin{aligned} V_0 \otimes V_{n-1} &\cong V_{n-1} \\ V_r \otimes V_{n-1} &\cong P_{n-1-r} \oplus P_{n+1-r} \oplus \cdots \oplus \begin{cases} P_{n-3} \oplus V_{n-1} & \text{if } r \text{ is even,} \\ P_{n-2} & \text{if } r \text{ is odd.} \end{cases} \end{aligned} \quad (7.23)$$

The expression for $V_r \otimes V_{n-1}$ holds when $1 \leq r \leq n-1$, and the subscripts on the terms in that line go up by 2. The right-hand side of (7.23) when $r=1$ says that $V_1 \otimes V_{n-1} \cong P_{n-2}$ (compare Proposition 7.4).

The McKay matrix M for the tensor chain is displayed below for $n=3, 5, 7$.

$$\begin{array}{ccc} \begin{pmatrix} 0 & 0 & 1 \\ 2 & 2 & 0 \\ 2 & 2 & 1 \end{pmatrix} & \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 2 & 0 & 0 & 2 & 0 \\ 0 & 2 & 2 & 0 & 1 \\ 2 & 2 & 2 & 2 & 0 \\ 2 & 2 & 2 & 2 & 1 \end{pmatrix} & \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 2 & 0 & 0 & 0 & 0 & 2 & 0 \\ 0 & 2 & 0 & 0 & 2 & 0 & 1 \\ 2 & 0 & 2 & 2 & 0 & 2 & 0 \\ 0 & 2 & 2 & 2 & 2 & 0 & 1 \\ 2 & 2 & 2 & 2 & 2 & 2 & 0 \\ 2 & 2 & 2 & 2 & 2 & 2 & 1 \end{pmatrix} \end{array}$$

The following results hold for all odd $n \geq 3$:

- The vector $r_0 := [1, 2, 3, \dots, n-1, n]^T$ of dimensions of the irreducible modules is a right eigenvector corresponding to the eigenvalue n .
- The vector $\ell_0 := [2, 2, 2, \dots, 2, 1]$ of dimensions of the projective covers (times $\frac{1}{n}$) is a left eigenvector corresponding to the eigenvalue n .
- The $\frac{n-1}{2}$ vectors displayed in (7.24) are right eigenvectors of M corresponding to the eigenvalue 0:

$$\begin{aligned} r_1 &= [1, 0, 0, \dots, 0, 0, -1, 0]^T \\ r_2 &= [0, 1, 0, \dots, 0, -1, 0, 0]^T \\ &\vdots \quad \vdots \\ r_{j+1} &= [0, \dots, 0, \underbrace{1}_j, 0, \dots, 0, \underbrace{-1}_{n-2-j}, 0, \dots, 0]^T, \\ &\vdots \quad \vdots \\ r_{\frac{n-1}{2}} &= [0, 0, \dots, \underbrace{1, -1}_{\frac{n-3}{2}, \frac{n-1}{2} \text{ slots}}, 0, \dots, 0]^T. \end{aligned} \quad (7.24)$$

(Recall that the rows and columns of M are numbered $0, 1, \dots, n-1$ corresponding to the labels of the irreducible modules.) That the vectors in (7.24) are right eigenvectors for the eigenvalue 0 can be seen from a direct computation, and it also follows from the structure of the projective covers and (7.23). Indeed, if P_j is a summand of $V_i \otimes V_{n-1}$ for $j = 0, 1, \dots, \frac{n-3}{2}$, then since $[P_j : V_j] = 2 = [P_j : V_{n-2-j}]$, there is a 2 as the (i, j) and $(i, n-2-j)$ entries of row i . Therefore, $Mr_{j+1} = 0$.

- When $n = 3$ and $r_1' = [-1, -1, 4]^T$, then $Mr_1' = 4r_1$. Therefore, $r_1, \frac{1}{4}r_1'$ give a 2×2 Jordan block $J = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ corresponding to the eigenvalue 0, and M is conjugate to the matrix

$$\begin{pmatrix} 3 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}.$$

- When $n > 3$, define

$$\begin{aligned} r_1' &= [0, 0, 0, \dots, 0, -1, 0, 2]^T \\ r_2' &= [0, 0, \dots, 0, -1, 0, 1, 0]^T \\ &\vdots & \vdots \\ r_{j+1}' &= [0, \dots, 0, \underbrace{-1}_{n-j-2}, 0, \underbrace{1}_{n-j}, 0, \dots, 0]^T \quad \text{for } j = 2, \dots, \frac{n-3}{2} \\ &\vdots & \vdots \\ r_{\frac{n-1}{2}}' &= [0, 0, \dots, \underbrace{-1}_{\frac{n-3}{2}}, 0, \underbrace{1}_{\frac{n+1}{2}}, 0, \dots, 0]^T. \end{aligned} \tag{7.25}$$

The vectors $r_j, \frac{1}{2}r_j'$ correspond to the 2×2 Jordan block J above. Using the basis $r_0, r_1, \frac{1}{2}r_1', \dots, r_{\frac{n-1}{2}}, \frac{1}{2}r_{\frac{n-1}{2}}'$, we see that M is conjugate to the matrix

$$\begin{pmatrix} n & 0 & \dots & 0 \\ 0 & J & 0 & \dots & 0 \\ 0 & 0 & J & 0 & 0 \\ 0 & 0 & \ddots & \ddots & 0 \\ 0 & 0 & \dots & & J \end{pmatrix}.$$

- The characteristic polynomial of M is $x^n - nx^{n-1} = x^{n-1}(x - n)$.

- The vectors ℓ_j for $j = 1, 2, \dots, \frac{n-1}{2}$ displayed in (7.26) are left eigenvectors for M corresponding to the eigenvalue 0, where

$$\begin{aligned}
\ell_1 &= [1, 0, 0, \dots, 0, 0, 1, -1] \\
\ell_2 &= [0, 1, 0, \dots, 0, 1, 0, -1] \\
&\vdots \qquad \vdots \\
\ell_j &= [0, \dots, 0, \underbrace{1}_{j-1}, 0, \dots, 0, \underbrace{1}_{n-1-j}, 0, \dots, 0, -1], \quad (7.26) \\
&\vdots \qquad \vdots \\
\ell_{\frac{n-1}{2}} &= [0, 0, \dots, \underbrace{1, 1}_{\frac{n-3}{2}, \frac{n-1}{2}}, 0, \dots, -1].
\end{aligned}$$

- Let

$$\begin{aligned}
\ell'_1 &= [-2, 1, 0, \dots, 0, 0] \\
\ell'_2 &= [-3, 0, 1, 0, \dots, 0, 0, 0] \\
\ell'_3 &= [-2, -1, 0, 1, 0, \dots, 0, 0, 0] \\
&\vdots \qquad \vdots \\
\ell'_j &= [-2, 0, \dots, 0, \underbrace{-1}_{j-2}, 0, \underbrace{1}_j, 0, \dots, 0] \quad \text{for } j = 3, \dots, \frac{n-3}{2} \quad (7.27) \\
&\vdots \qquad \vdots \\
\ell'_{\frac{n-1}{2}} &= [0, 0, \dots, \underbrace{-1}_{\frac{n-5}{2}}, 0, \underbrace{1}_{\frac{n-1}{2}}, 0, \dots, -1].
\end{aligned}$$

(The underbrace in these definitions indicates the slot position.) Then $\left(\frac{1}{2}\ell'_j\right)M = \ell_j$ for $j = 1, 2, \dots, \frac{n-1}{2}$.

We have not carried out the convergence analysis for the Markov chain coming from tensoring with the Steinberg module for $u_\xi(\mathfrak{sl}_2)$ but guess that a bounded number of steps will be necessary and sufficient for total variation convergence.

8 Appendix I. Background on Markov chains

Markov chains are a classical topic of elementary probability theory and are treated in many introductory accounts. We recommend [33], [55], [53], [59] for introductions.

Let \mathcal{X} be a finite set. A matrix with $K(x, y) \geq 0$ for all $x, y \in \mathcal{X}$, and $\sum_{y \in \mathcal{X}} K(x, y) = 1$ for all $x \in \mathcal{X}$ gives a Markov chain on \mathcal{X} : From x , the probability of moving to y in one step is $K(x, y)$. Then inductively, $K^\ell(x, y) = \sum_z K(x, z)K^{\ell-1}(z, y)$ is the probability of moving from x to y in ℓ steps. Say K has *stationary distribution* π if $\pi(y) \geq 0$, $\sum_{y \in \mathcal{X}} \pi(y) = 1$, and $\sum_{x \in \mathcal{X}} \pi(x)K(x, y) = \pi(y)$ for all $y \in \mathcal{X}$. Thus, π is a left eigenvector with eigenvalue 1 and having coordinates $\pi(y)$, $y \in \mathcal{X}$. Under mild conditions, the Perron-Frobenius Theorem says that Markov chains are *ergodic*, that is to say they have unique stationary distributions and $K^\ell(x, y) \xrightarrow{\ell \rightarrow \infty} \pi(y)$ for all starting states x .

The rate of convergence is measured in various metrics. Suppose $K_x^\ell = K^\ell(x, \cdot)$. Then

$$\begin{aligned} \|K_x^\ell - \pi\|_{\text{TV}} &= \max_{y \subseteq \mathcal{X}} |K^\ell(x, y) - \pi(y)| = \frac{1}{2} \sum_{y \in \mathcal{X}} |K^\ell(x, y) - \pi(y)| \\ &= \frac{1}{2} \sup_{\|f\|_\infty \leq 1} |K^\ell(f)(x) - \pi(f)| \text{ with } \|f\|_\infty = \max_{y \in \mathcal{X}} f(y), \end{aligned} \quad (8.1)$$

where $K^\ell(f)(x) = \sum_{y \in \mathcal{X}} K^\ell(x, y)f(y)$, $\pi(f) = \sum_{y \in \mathcal{X}} \pi(y)f(y)$ for a test function f , and

$$\|K_x^\ell - \pi\|_\infty = \max_{y \in \mathcal{X}} \left| \frac{K^\ell(x, y)}{\pi(y)} - 1 \right|. \quad (8.2)$$

Clearly, $\|K_x^\ell - \pi\|_{\text{TV}} = \frac{1}{2} \sum_{y \in \mathcal{X}} \left| \frac{K^\ell(x, y)}{\pi(y)} - 1 \right| \pi(y) \leq \frac{1}{2} \|K_x^\ell - \pi\|_\infty$. Throughout, this is the route taken to determine upper bounds, while (8.1) gives $\|K_x^\ell - \pi\|_{\text{TV}} \geq \frac{1}{2} |K^\ell(f)(x) - \pi(f)|$ for any test function f with $\|f\|_\infty \leq 1$ (usually f is taken as the eigenfunction for the second largest eigenvalue).

The ℓ_∞ distance satisfies a useful monotonicity property, namely,

$$\|K^\ell - \pi\|_\infty \text{ is monotone non-increasing.} \quad (8.3)$$

Indeed, fix $x \in \mathcal{X}$ and consider the Markov chain $K(x, y)$ with stationary distribution $\pi(y)$, so $K^\ell(x, y) = \sum_{z \in \mathcal{X}} K^{\ell-1}(x, z)K(z, y)$. As $\pi(y) = \sum_{z \in \mathcal{X}} \pi(z)K(z, y)$, we have by (8.2) for any $y \in \mathcal{X}$ that

$$\begin{aligned}
|K^\ell(x, y) - \pi(y)| &= \left| \sum_{z \in \mathcal{X}} \left(K^{\ell-1}(x, z) - \pi(z) \right) K(z, y) \right| \\
&\leq \sum_{z \in \mathcal{X}} \left| K^{\ell-1}(x, z) - \pi(z) \right| K(z, y) \\
&\leq \| K^{\ell-1} - \pi \|_\infty \cdot \sum_{z \in \mathcal{X}} \pi(z) K(z, y) \\
&= \| K^{\ell-1} - \pi \|_\infty \cdot \pi(y).
\end{aligned}$$

Now (8.3) follows by taking the supremum over $y \in \mathcal{X}$ and applying (8.2) again.

Suppose now that K is the Markov chain on the irreducible characters $\text{Irr}(G)$ of a finite group G using the character α . The matrix K has eigenvalues $\beta_c = \alpha(c)/\alpha(1)$, where c is a representative for a conjugacy class of G , and there is an orthonormal basis of (right) eigenfunctions $f_c \in L^2(\pi)$ (see [34, Prop. 2.3]) defined by

$$f_c(\chi) = \frac{|c^G|^{\frac{1}{2}} \chi(c)}{\chi(1)},$$

where $|c^G|$ is the size of the class of c . Using these ingredients, we have as in [39, Lemma 2.2],

$$\begin{aligned}
K^\ell(\chi, \varrho) &= \sum_c \beta_c^\ell f_c(\chi) f_c(\varrho) \pi(\varrho) \\
&= \sum_c \left(\frac{\alpha(c)}{\alpha(1)} \right)^\ell |c^G| \frac{\chi(c)}{\chi(1)} \frac{\varrho(c)}{\varrho(1)} \frac{\varrho(1)^2}{|G|} \\
&= \frac{\varrho(1)}{\alpha(1)^\ell \chi(1) |G|} \sum_c \alpha(c)^\ell |c^G| \chi(c) \varrho(c)
\end{aligned} \tag{8.4}$$

In particular, $K^\ell(\mathbb{1}, \varrho) = \frac{\varrho(1)}{\alpha(1)^\ell |G|} \sum_c \alpha(c)^\ell |c^G| \varrho(c)$, for the trivial character $\mathbb{1}$ of G .

An alternate general formula can be found, for example, in [37, Lemma 3.2]:

$$K^\ell(\mathbb{1}, \varrho) = \frac{\varrho(1)}{\alpha(1)^\ell} \langle \alpha^\ell, \varrho \rangle,$$

where $\langle \alpha^\ell, \varrho \rangle$ is the multiplicity of ϱ in α^ℓ .

The binary dihedral case - proof of Theorem 2.3

To illustrate these formulas, here is a proof of Theorem 2.3. Recall that K is the Markov chain on the binary dihedral graph in Figure 2.1 starting at 0 and tensoring with χ_1 , and $\bar{K} = \frac{1}{2}K + \frac{1}{2}I$ is the corresponding lazy walk. For the

lower bound, we use (8.1) to see that $\|\bar{K}^\ell - \pi\|_{\text{TV}} \geq \frac{1}{2} |\bar{K}^\ell(f)(1) - \pi(f)|$ with $f(\chi) = \chi(c)/\chi(1)$ for some conjugacy class representative $c \neq 1$ in BD_n . Clearly, $\|f\|_\infty \leq 1$, and from Theorem 1.1 or (8.4) above, we have f is the right eigenfunction for the lazy Markov chain \bar{K} with eigenvalue $\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi}{n}\right)$. Since f is orthogonal to the constant functions, $\pi(f) = 0$, so the lower bound becomes $\|\bar{K}^\ell - \pi\|_{\text{TV}} \geq \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi}{n}\right)\right)^\ell$. Since $\cos\left(\frac{2\pi}{n}\right) \geq 1 - \frac{2\pi^2}{n^2} + o\left(\frac{1}{n^4}\right)$, $\|\bar{K}^\ell - \pi\|_{\text{TV}} \geq \left(1 - \frac{2\pi^2}{n^2} + o\left(\frac{1}{n^4}\right)\right)^\ell$ and the result, $\|\bar{K}^\ell - \pi\|_{\text{TV}} \geq Be^{-2\pi^2\ell/n^2}$ for some positive constant B holds all $\ell \geq 1$.

For the upper bound, (8.4) and the character values from Table 2.1 give explicit formulas for the transition probabilities. For example, for $1 \leq r \leq n-1$,

$$\frac{\bar{K}^\ell(\mathbb{1}, \chi_r)}{\pi(\chi_r)} - 1 = 4 \sum_{j=1}^{r-1} \left(\frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi j}{n}\right) \right)^\ell \cos\left(\frac{2\pi j}{n}\right).$$

Now standard bounds for the simple random walk show that the right side is at most $B'e^{-2\pi^2\ell/n^2}$ for some positive constant B' , for details see [25, Chap. 3]. The same argument works for the one-dimensional characters $\lambda_{1'}, \lambda_{2'}, \lambda_{3'}, \lambda_{4'}$, yielding $\|\bar{K}^\ell - \pi\|_\infty \leq B'e^{-2\pi^2\ell/n^2}$ and proving the upper bound in Theorem 2.3. \square

Generalized spectral analysis using Jordan blocks

The present paper uses the Jordan block decomposition of the matrix K in the quantum SL_2 case to give a generalized spectral analysis. We have not seen this classical tool of matrix theory used in quite the same way and pause here to include some details.

For K as above, the Jordan decomposition provides an invertible matrix A such that $A^{-1}KA = J$, with J a block diagonal matrix with blocks

$$B = B(\lambda) = \begin{pmatrix} \lambda & 1 & 0 & \dots & 0 & 0 \\ 0 & \lambda & 1 & \dots & 0 & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots & \vdots \\ 0 & \dots & \ddots & 1 & 0 \\ 0 & 0 & \dots & \lambda & 1 \\ 0 & 0 & \dots & 0 & 0 & \lambda \end{pmatrix}$$

of various sizes. If B is $h \times h$, then

$$B^\ell = \begin{pmatrix} \lambda^\ell & \ell\lambda^{\ell-1} & \binom{\ell}{2}\lambda^{\ell-2} & \dots & \dots & \binom{\ell}{h-1}\lambda^{\ell-h+1} \\ 0 & \lambda^\ell & \ell\lambda^{\ell-1} & \dots & & \binom{\ell}{h-2}\lambda^{\ell-h+2} \\ \vdots & \ddots & \ddots & \ddots & \vdots & \vdots \\ 0 & & \dots & \ddots & & 0 \\ 0 & 0 & \dots & & \lambda^\ell & \ell\lambda^{\ell-1} \\ 0 & 0 & \dots & 0 & 0 & \lambda^\ell \end{pmatrix}$$

Since $KA = AJ$, we may think of A as a matrix of generalized right eigenvectors for K . Each block of J contributes one actual eigenvector. Since $A^{-1}K = JA^{-1}$, then A^{-1} may be regarded as a matrix of generalized left eigenvectors. Denote the rows of A^{-1} by $b_0, b_1, \dots, b_{|\mathcal{X}|-1}$ and the columns of A by $c_0, c_1, \dots, c_{|\mathcal{X}|-1}$. Then from $A^{-1}A = I$, it follows that $\sum_{x \in \mathcal{X}} b_i(x)c_j(x) = \delta_{i,j}$. Throughout, we take $b_0(x) = \pi(x)$ and $c_0(x) = 1$ for all $x \in \mathcal{X}$. For an ergodic Markov chain, (the only kind considered in this paper), the Jordan block corresponding to the eigenvalue 1 is a 1×1 matrix with entry $|\mathcal{X}|$.

In the next result, we consider a special type of Jordan decomposition, where one block has size one, and the rest have size two. Of course, the motivation for this special decomposition comes from the quantum case in Section 7.

Proposition 8.1. *Suppose $A^{-1}KA = J$, where*

$$J = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & B(\lambda_1) & 0 & \dots & 0 \\ 0 & 0 & B(\lambda_2) & 0 & 0 \\ \vdots & \vdots & & & \vdots \\ 0 & 0 & & \ddots & \ddots & 0 \\ 0 & 0 & \dots & 0 & B(\lambda_m) \end{pmatrix},$$

and for each $j = 1, \dots, m$,

$$B(\lambda_j) = \begin{pmatrix} \lambda_j & 1 \\ 0 & \lambda_j \end{pmatrix}.$$

Let \tilde{R}_0 be column 0 of A , and for $j = 1, \dots, m$, let $\tilde{R}_j, \tilde{R}'_j$ be columns $2j-1$ and $2j$ respectively of A . Let \tilde{L}_0 be row 0 of A^{-1} , and for $i = 1, \dots, m$, let $\tilde{L}_i, \tilde{L}'_i$ be rows $2i$ and $2i-1$ respectively of A^{-1} . Then the following relations hold for all

$1 \leq i, j \leq m$:

$$\begin{aligned}
K\tilde{R}_0 &= \tilde{R}_0, & K\tilde{R}_j &= \lambda_j \tilde{R}_j, & K\tilde{R}'_j &= \lambda_j \tilde{R}'_j + \tilde{R}_j, \\
\tilde{L}_0 K &= \tilde{L}_0, & \tilde{L}_j K &= \lambda_j \tilde{L}_j, & \tilde{L}'_j K &= \lambda_j \tilde{L}'_j + \tilde{L}_j, \\
\tilde{L}_0 \tilde{R}_0 &= 1, & \tilde{L}_0 \tilde{R}_j &= 0 = \tilde{L}_0 \tilde{R}'_j, & \tilde{L}_i \tilde{R}_0 &= 0 = \tilde{L}'_i \tilde{R}_0, \quad (8.5) \\
\tilde{L}_i \tilde{R}_j &= 0 = \tilde{L}'_i \tilde{R}'_j, \\
\tilde{L}_i \tilde{R}'_j &= \tilde{L}'_i \tilde{R}_j = \delta_{i,j}.
\end{aligned}$$

Proof. For $j \geq 1$, the right-hand side of the expression $KA = AJ$ has column $2j - 1$ of A multiplied by λ_j . Column $2j$ is multiplied by λ_j and column $2j - 1$ is added to it because of the diagonal block $B(\lambda_j)$ of J . Thus, the columns of A are (generalized) right eigenvectors $\tilde{R}_0, \tilde{R}_1, \tilde{R}'_1, \dots, \tilde{R}_m, \tilde{R}'_m$ for K as described in the first line of (8.5). Similarly, on the right-hand side of the expression $A^{-1}K = JA^{-1}$, row $2i$ of A^{-1} is multiplied by λ_i , and row $2i - 1$ is λ_i times row $2i - 1$ plus row $2i$ for all $i \geq 1$. Therefore, the rows of A^{-1} are (generalized) left eigenvectors $\tilde{L}_0, \tilde{L}'_1, \dots, \tilde{L}_1, \tilde{L}'_m, \tilde{L}_m$ of K (in that order) to give the second line. The other relations in (8.5) follow from $A^{-1}A = I$. \square

Summary of application of these results to the quantum case

In Section 7, we explicitly constructed left and right (generalized) eigenvectors $L_0 = \pi$ (the stationary distribution), $L_1, L'_1, \dots, L_m, L'_m, R_0, R_1, R'_1, \dots, R_m, R'_m$ for the tensor chain resulting from tensoring with the two-dimensional natural module V_1 for $u_\xi(\mathfrak{sl}_2)$, ξ a primitive n th root of unity, $n \geq 3$ odd. Since the eigenvalues are distinct, the eigenvectors $L_0, L_1, \dots, L_m, R_0, R_1, \dots, R_m$, must be nonzero scalar multiples of the ones coming from Proposition 8.1. Suppose for $1 \leq i \leq m$, $R_i = \gamma_i \tilde{R}_i$, and $R'_i = \delta_i \tilde{R}'_i + \varepsilon_i \tilde{R}_i$, where γ_i and δ_i are nonzero. Then the relation $KR'_i = \lambda_i R'_i + R_i$, which holds by construction of these vectors in Section 7, can be used to show $\delta_i = \gamma_i$, so $R'_i = \gamma_i \tilde{R}'_i + \varepsilon_i \tilde{R}_i$. Similar results apply for the left eigenvectors. It follows from the relations in (8.5) that there exist nonzero scalars d_i and d'_i for $1 \leq i \leq m$ such that

$$L_i R'_i = L'_i R_i = d_i \quad \text{and} \quad L'_i R'_i = d'_i. \quad (8.6)$$

Now fix a starting state x and consider $K^\ell(x, y)$ as a function of y . Since $\{L_i, L'_i \mid 1 \leq i \leq m\} \cup \{\pi\}$ is a basis of \mathbb{R}^n , there are scalars $a_0, a_i, a'_i, 1 \leq i \leq m$ such that

$$K^\ell(x, y) = a_0 \pi(y) + a_1 L_1(y) + a'_1 L'_1(y) + \dots + a_m L_m(y) + a'_m L'_m(y). \quad (8.7)$$

Multiply both sides of (8.7) by R_0 and sum over y to show that $a_0 = 1$. Now multiplying both sides of (8.7) by $R_j(y)$ and summing gives

$$\sum_y K^\ell(x, y) R_j(y) = \lambda_j^\ell R_j(x) = a'_j d_j, \quad \text{that is, } a'_j = \frac{\lambda_j^\ell R_j(x)}{d_j}. \quad (8.8)$$

Similarly, multiplying both sides of (8.7) by $R'_j(x)$ and summing shows that

$$\lambda_j^\ell R'_j(x) + \ell \lambda_j^{\ell-1} R_j(x) = a'_j d'_j + a_j d_j.$$

Consequently,

$$a_j = \frac{\lambda_j^\ell}{d_j} \left(R'_j(x) + \frac{\ell R_j(x)}{\lambda_j} - R_j(x) \frac{d'_j}{d_j} \right). \quad (8.9)$$

In the setting of Section 7, with the Markov chain arising from tensoring with V_1 for $u_\xi(\mathfrak{sl}_2)$, we have $x = 0$, and from Corollary 7.8, $R'_j(0) = 0$, $R_j(0) = 2i \sin\left(\frac{2\pi j}{n}\right)$, and $\lambda_j = \cos\left(\frac{2\pi j}{n}\right)$. Thus, (8.7) holds with $a_0 = 1$,

$$a'_j = \frac{\lambda_j^\ell R_j(0)}{d_j} \quad \text{and} \quad a_j = \frac{\lambda_j^\ell R_j(0)}{d_j} \left(\frac{\ell}{\lambda_j} - \frac{d'_j}{d_j} \right). \quad (8.10)$$

Expressions and bounds for d_j, d'_j are determined in Lemma 7.10 and Proposition 7.12 in Section 7.3.

9 Appendix II. Background on modular representation theory

Introductions to the ordinary (complex) representation theory of finite groups can be found in ([49], [51], [75]). A *modular* representation of a finite group G is a representation (group homomorphism) $\varrho : G \rightarrow \mathrm{GL}_n(\mathbb{k})$, where \mathbb{k} is a field of prime characteristic p dividing $|G|$. For simplicity, we shall assume that \mathbb{k} is algebraically closed. Some treatments of modular representation theory can be found in ([1], [65], [81]), and we summarize here some basic results and examples. The modular theory is very different from the ordinary theory: for example, if G is the cyclic group $Z_p = \langle x \rangle$ of order p , the two-dimensional representation $\varrho : G \rightarrow \mathrm{GL}_2(\mathbb{k})$ sending

$$x \rightarrow \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

has a one-dimensional invariant subspace (a G -submodule) that has no invariant complement, but over \mathbb{C} it decomposes into the direct sum of two one-dimensional

submodules. A representation is *irreducible* if it has no nontrivial submodules, and is *indecomposable* if it has no nontrivial direct sum decomposition into invariant subspaces. A second difference with the theory over \mathbb{C} : for most groups (even for $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$) the indecomposable modular representations are unknown and seemingly unclassifiable.

A representation $\varrho : G \rightarrow \mathrm{GL}_n(\mathbb{k})$ is *projective* if the associated module for the group algebra $\mathbb{k}G$ is projective (i.e. a direct summand of a free $\mathbb{k}G$ -module \mathbb{k}^m for some m). There is a bijective correspondence between the projective indecomposable and the irreducible $\mathbb{k}G$ -modules: in this, the projective indecomposable module P corresponds to the irreducible module $V_P = P/\mathrm{rad}(P)$ (see [I, p.31]), where $\mathrm{rad}(P)$ denotes the radical of P (the intersection of all the maximal submodules); we call P the *projective cover* of V_P . For the group $G = \mathrm{SL}_2(p)$, with \mathbb{k} of characteristic p , the irreducible $\mathbb{k}G$ -modules and their projective covers were discussed in Section 3.2; likewise for $\mathrm{SL}_2(p^2)$, $\mathrm{SL}_2(2^n)$ and $\mathrm{SL}_3(p)$ in Sections 4.2, 5.2 and 6.2, respectively. A conjugacy class \mathcal{C} of G is said to be *p-regular* if its elements are of order coprime to p . There is a (non-explicit) bijective correspondence between the p -regular classes of G and the irreducible $\mathbb{k}G$ -modules (see [I, Thm. 2, p.14]). Each $\mathbb{k}G$ -module V has a *Brauer character*, a complex function defined on the p -regular classes as follows. Let R denote the ring of algebraic integers in \mathbb{C} , and let M be a maximal ideal of R containing pR . Then $\mathbb{k} = R/M$ is an algebraically closed field of characteristic p . Let $* : R \rightarrow \mathbb{k}$ be the canonical map, and let

$$U = \{\xi \in \mathbb{C} \mid \xi^m = 1 \text{ for some } m \text{ coprime to } p\},$$

the set of p' -roots of unity in \mathbb{C} . It turns out (see [65, p.17]) that the restriction of $*$ to U defines an isomorphism $U \rightarrow \mathbb{k}^*$ of multiplicative groups. Now if $g \in G$ is a p -regular element, the eigenvalues of g on V lie in \mathbb{k}^* , and hence are of the form ξ_1^*, \dots, ξ_n^* for uniquely determined elements $\xi_i \in U$. Define the Brauer character χ of V by

$$\chi(g) = \xi_1 + \dots + \xi_n.$$

The Brauer characters of the irreducible $\mathbb{k}G$ -modules and their projective covers satisfy two orthogonality relations (see (3.1) and (3.2)), which are used in the proof of Proposition 3.1.

The above facts cover all the general theory of modular representations that we need. As for examples, many have been given in the text – the p -modular irreducible modules and their projective covers are described for the groups $\mathrm{SL}_2(p)$, $\mathrm{SL}_2(p^2)$, $\mathrm{SL}_2(2^n)$ and $\mathrm{SL}_3(p)$ in Sections 3-6.

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