

Harmonic bases for generalized coinvariant algebras

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Abstract

Let $k \leq n$ be nonnegative integers and let λ be a partition of k . S. Griffin recently introduced a quotient $R_{n,\lambda}$ of the polynomial ring $\mathbb{Q}[x_1, \dots, x_n]$ in n variables which simultaneously generalizes the Delta Conjecture coinvariant rings of Haglund-Rhoades-Shimozono and the cohomology rings of Springer fibers studied by Tanisaki and Garsia-Procesi. We describe the space $V_{n,\lambda}$ of harmonics attached to $R_{n,\lambda}$ and produce a harmonic basis of $R_{n,\lambda}$ indexed by certain ordered set partitions $\mathcal{OP}_{n,\lambda}$. The combinatorics of this basis is governed by a new extension of the *Lehmer code* of a permutation to $\mathcal{OP}_{n,\lambda}$.

Mathematics Subject Classifications: 05E05, 05E10, 05E40

1 Introduction

In his Ph.D. thesis [5], Sean Griffin introduced the following remarkable family of quotients of the polynomial ring $\mathbb{Q}[\mathbf{x}_n] := \mathbb{Q}[x_1, \dots, x_n]$ in n variables. Given a subset $S \subseteq [n] := \{1, 2, \dots, n\}$ and $d \geq 0$, let $e_d(S)$ be the degree d elementary symmetric polynomial in the variable set $\{x_i : i \in S\}$. For example, we have

$$e_2(1457) = x_1x_4 + x_1x_5 + x_1x_7 + x_4x_5 + x_4x_7 + x_5x_7.$$

By convention, we set $e_d(S) = 0$ whenever $|S| < d$.

For $k \geq 0$, in this paper we use the term *partition of k* to mean a weakly decreasing sequence $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ of **nonnegative** integers with $\lambda_1 + \dots + \lambda_s = k$. We write $|\lambda| = k$ or $\lambda \vdash k$ to mean that λ is a partition of k and call s the number of *parts* of $\lambda = (\lambda_1, \dots, \lambda_s)$. We also write $\ell(\lambda)$ for the number of *nonzero* parts of λ . For example, if $\lambda = (4, 2, 2, 0, 0)$ we have $\lambda \vdash 8$, the partition λ has 5 parts, and $\ell(\lambda) = 3$.

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Definition 1. (Griffin [5]) Let $k \leq n$ be nonnegative integers and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s \geq 0)$ be a partition of k with s parts. Write $\lambda' = (\lambda'_1 \geq \dots \geq \lambda'_n \geq 0)$ for the conjugate partition of λ , padded with trailing zeros to have n parts.

Let $I_{n,\lambda} \subseteq \mathbb{Q}[\mathbf{x}_n]$ be the ideal

$$I_{n,\lambda} = \langle x_1^s, \dots, x_n^s \rangle + \langle e_d(S) : S \subseteq [n] \text{ and } d > |S| - \lambda'_n - \lambda'_{n-1} - \dots - \lambda'_{n-|S|+1} \rangle \quad (1)$$

and let $R_{n,\lambda} := \mathbb{Q}[\mathbf{x}_n]/I_{n,\lambda}$ be the associated quotient ring¹.

As an example, suppose $n = 9$ and $\lambda = (3, 2, 2, 0)$ so that $k = 7$ and $s = 4$. The conjugate partition $\lambda' = (\lambda'_1, \dots, \lambda'_9)$ is given by $(3, 3, 1, 0, 0, 0, 0, 0, 0)$. The ideal $I_{9,\lambda} \subseteq \mathbb{Q}[\mathbf{x}_9]$ is generated by x_1^4, \dots, x_9^4 together with the polynomials

$$\begin{array}{llll} e_i(S) & S \subseteq [9] & |S| = 9 & i = 9, 8, 7, 6, 5, 4, 3, \\ e_j(T) & T \subseteq [9] & |T| = 8 & j = 8, 7, 6, 5, \\ e_d(U) & U \subseteq [9] & |U| = 7 & d = 7. \end{array}$$

Griffin's rings $R_{n,\lambda}$ generalize several important classes of quotient rings in algebraic combinatorics.

- When $k = s = n$ and $\lambda = (1^n)$, the ideal $I_{n,\lambda}$ is generated by the n elementary symmetric polynomials $e_1(\mathbf{x}_n), e_2(\mathbf{x}_n), \dots, e_n(\mathbf{x}_n)$ in the full variable set $\{x_1, \dots, x_n\}$ and $R_{n,\lambda}$ is the classical *coinvariant ring*

$$R_n := \mathbb{Q}[\mathbf{x}_n]/\langle e_1(\mathbf{x}_n), e_2(\mathbf{x}_n), \dots, e_n(\mathbf{x}_n) \rangle \quad (2)$$

attached to the symmetric group \mathfrak{S}_n . The ring $R_{n,\lambda}$ presents the cohomology of the complete flag variety of type A_{n-1} ; see [3] for the details.

- When $k = n$ and $\lambda \vdash n$ is arbitrary, the ring $R_{n,\lambda}$ is the *Tanisaki quotient* studied by Tanisaki [14] and Garsia-Procesi [4] which presents the cohomology of the *Springer fiber* \mathcal{B}_λ attached to the partition λ .
- When $\lambda = (1^k, 0^{s-k})$ has all parts ≤ 1 , the rings $R_{n,\lambda}$ were introduced by Haglund, Rhoades, and Shimozono [8] to give a representation-theoretic model for the Haglund-Remmel-Wilson *Delta Conjecture* [7]. Pawłowski-Rhoades proved that these rings present the cohomology of the moduli space of n -tuples of lines (ℓ_1, \dots, ℓ_n) in \mathbb{C}^s such that the composite linear map

$$\ell_1 \oplus \dots \oplus \ell_n \rightarrow \mathbb{C}^s \twoheadrightarrow \mathbb{C}^k \quad (3)$$

given by addition $(v_1, \dots, v_n) \mapsto v_1 + \dots + v_n$ followed by projection onto the first k coordinates is a surjection [10].

¹Our notation differs from that of Griffin [5]. He considers partitions to only have positive parts and includes $s \geq \ell(\lambda)$ as a parameter, so that his objects are denoted $I_{n,\lambda,s}$ and $R_{n,\lambda,s}$. Griffin uses the symbol $I_{n,\lambda}$ to denote the ideal $\langle e_d(S) : S \subseteq [n] \text{ and } d > |S| - \lambda'_n - \lambda'_{n-1} - \dots - \lambda'_{n-|S|+1} \rangle$ and $R_{n,\lambda}$ to denote the corresponding quotient - this is the 'limit' as $s \rightarrow \infty$ of his $I_{n,\lambda,s}$ and $R_{n,\lambda,s}$.

The symmetric group \mathfrak{S}_n acts on $\mathbb{Q}[\mathbf{x}_n]$ by subscript permutation. The ideals $I_{n,\lambda}$ are graded and \mathfrak{S}_n -stable, so $R_{n,\lambda}$ is a graded \mathfrak{S}_n -module. Generalizing results from [4, 8], Griffin calculated [5] the graded \mathfrak{S}_n -isomorphism type of $R_{n,\lambda}$. It is an open problem to find a variety $X_{n,\lambda}$ whose cohomology is presented by $R_{n,\lambda}$, but Griffin proved that $R_{n,\lambda}$ is the coordinate ring of a scheme-theoretic intersection arising from *rank varieties* [5].

In this paper we study the rings $R_{n,\lambda}$ as graded \mathbb{Q} -vector spaces. In the special case $k = s = n$ and $\lambda = (1^n)$, the classical coinvariant ring R_n has a number of interesting bases which are important for different reasons. Perhaps the simplest of these was discovered by E. Artin [1], who used Galois Theory to prove that the family of ‘sub-staircase monomials’

$$\{x_1^{c_1} x_2^{c_2} \cdots x_n^{c_n} : 0 \leq c_i < n - i\} \quad (4)$$

descends to a basis for R_n . Extending earlier results of [4, 8], Griffin discovered the appropriate generalization of ‘sub-staircase’ to obtain a monomial basis of $R_{n,\lambda}$; his result is quoted in Theorem 4 below.

Our main goal in this paper is to describe the *harmonic space* of the quotient ring $R_{n,\lambda}$ and so derive a *harmonic basis* of this quotient ring. In order to motivate harmonic spaces and bases, we recall some technical issues that arise in the study of quotient rings.

Let $I \subseteq \mathbb{Q}[\mathbf{x}_n]$ be any homogeneous ideal with quotient ring $R = \mathbb{Q}[\mathbf{x}_n]/I$. In algebraic combinatorics, one is often interested in calculating algebraic invariants of R such as its dimension or Hilbert series. A frequent impediment to computing these invariants is that, given $f \in \mathbb{Q}[\mathbf{x}_n]$, it can be difficult to decide whether $f + I = 0$ in R . Harmonic spaces can be used to replace quotients with subspaces, circumventing this problem.

For $f = f(x_1, \dots, x_n) \in \mathbb{Q}[\mathbf{x}_n]$, let $\partial f := f(\partial/\partial x_1, \dots, \partial/\partial x_n)$ be the differential operator on $\mathbb{Q}[\mathbf{x}_n]$ obtained by replacing each x_i appearing in f with the partial derivative $\partial/\partial x_i$. The ring $\mathbb{Q}[\mathbf{x}_n]$ acts on itself by

$$f \odot g := (\partial f)(g) \quad \text{for all } f, g \in \mathbb{Q}[\mathbf{x}_n]. \quad (5)$$

That is, the polynomial $f \odot g$ is obtained by first turning f into a differential operator ∂f , and then applying ∂f to g .

For $f, g \in \mathbb{Q}[\mathbf{x}_n]$, we define a number $\langle f, g \rangle \in \mathbb{Q}$ by

$$\langle f, g \rangle := \text{constant term of } f \odot g. \quad (6)$$

Given two monomials $x_1^{a_1} \cdots x_n^{a_n}$ and $x_1^{b_1} \cdots x_n^{b_n}$, it follows directly that

$$\langle x_1^{a_1} \cdots x_n^{a_n}, x_1^{b_1} \cdots x_n^{b_n} \rangle = \begin{cases} a_1! \cdots a_n! & \text{if } a_i = b_i \text{ for all } i, \\ 0 & \text{otherwise,} \end{cases} \quad (7)$$

so that $\langle -, - \rangle$ is an inner product on $\mathbb{Q}[\mathbf{x}_n]$ for which the degree grading $\mathbb{Q}[\mathbf{x}_n] = \bigoplus_{d \geq 0} \mathbb{Q}[\mathbf{x}_n]_d$ is an orthogonal decomposition.

For a homogeneous ideal $I \subseteq \mathbb{Q}[\mathbf{x}_n]$, the *harmonic space* V of I is the graded subspace of $\mathbb{Q}[\mathbf{x}_n]$ given by

$$V = I^\perp = \{g \in \mathbb{Q}[\mathbf{x}_n] : \langle f, g \rangle = 0 \text{ for all } f \in I\}. \quad (8)$$

Writing $R = \mathbb{Q}[\mathbf{x}_n]/I$, standard results of linear algebra imply that $\mathbb{Q}[\mathbf{x}_n] = V \oplus I$ so that any vector space basis for V projects onto a basis of R . Any basis of V (and its image basis in R) is called a *harmonic basis*. If the ideal I is \mathfrak{S}_n -invariant, the \mathfrak{S}_n -invariance of the inner product $\langle -, - \rangle$ furnishes an isomorphism of graded \mathfrak{S}_n -modules $R \cong V$. The harmonic space V therefore permits the study of the quotient ring R without the computational issues inherent in cosets.

Definition 2. Let $V_{n,\lambda} \subseteq \mathbb{Q}[\mathbf{x}_n]$ be the harmonic space of $I_{n,\lambda}$.

We have an isomorphism of graded \mathfrak{S}_n -modules $R_{n,\lambda} \cong V_{n,\lambda}$ and any basis for $V_{n,\lambda}$ descends to a basis for $R_{n,\lambda}$. In the classical case $k = s = n$ and $\lambda = (1, \dots, 1)$ so that $R_{n,\lambda} = R_n$, the harmonic space $V_{n,\lambda}$ has the following description.

Recall that the *Vandermonde determinant* $\delta_n \in \mathbb{Q}[\mathbf{x}_n]$ is the polynomial

$$\delta_n := \prod_{1 \leq i < j \leq n} (x_i - x_j). \quad (9)$$

The harmonic space $V_n \subseteq \mathbb{Q}[\mathbf{x}_n]$ corresponding to R_n is generated by δ_n as a $\mathbb{Q}[\mathbf{x}_n]$ -module. More explicitly, the space V_n is the smallest subspace of $\mathbb{Q}[\mathbf{x}_n]$ containing δ_n which is closed under the partial derivatives $\partial/\partial x_1, \dots, \partial/\partial x_n$. A harmonic basis of R_n is given by applying sub-staircase monomials (as differential operators) to δ_n :

$$\{(x_1^{c_1} \cdots x_n^{c_n}) \odot \delta_n : 0 \leq c_i \leq n - i\}. \quad (10)$$

In the Springer fiber case $k = n$ with λ arbitrary, the harmonic space $V_{n,\lambda}$ was described by N. Bergeron and Garsia [2] using ‘partial Vandermonde’ polynomials.

In order to describe our results, we need one more definition. Given $k \leq n$ and a partition $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ of k , let $\mathcal{OP}_{n,\lambda}$ be the collection of length s sequences $\sigma = (B_1 \mid \cdots \mid B_s)$ of subsets of $[n]$ such that

- we have a disjoint union decomposition $[n] = B_1 \sqcup \cdots \sqcup B_s$, and
- the set B_i has at least λ_i elements.

We refer to elements $\sigma \in \mathcal{OP}_{n,\lambda}$ as *ordered set partitions*, even though some of the sets B_i may be empty when the partition λ has trailing zeros. When $k = s = n$ and $\lambda = (1^n)$, we have an identification $\mathcal{OP}_{n,\lambda} = \mathfrak{S}_n$ of ordered set partitions and permutations.

- We generalize work of Rhoades-Wilson [12] to define a coinversion statistic $\text{coinv}(\sigma)$ on $\mathcal{OP}_{n,\lambda}$ and an extension $\text{code}(\sigma)$ of the Lehmer code of a permutation to $\mathcal{OP}_{n,\lambda}$ (Section 3). We show that the map $\sigma \mapsto \text{code}(\sigma)$ bijects $\mathcal{OP}_{n,\lambda}$ with a family of sequences $\mathcal{C}_{n,\lambda}$ determined by n and λ (Theorem 8).
- We show that the Hilbert series of $R_{n,\lambda}$ is the generating function of the statistic coinv on $\mathcal{OP}_{n,\lambda}$ (Corollary 17).

- We describe a generating set for the harmonic space $V_{n,\lambda}$ as a $\mathbb{Q}[\mathbf{x}_n]$ -module (Theorem 15) and give an explicit harmonic basis $\{\delta_\sigma : \sigma \in \mathcal{OP}_{n,\lambda}\}$ of $R_{n,\lambda}$ indexed by ordered set partitions in $\mathcal{OP}_{n,\lambda}$ (Theorem 16).
- We show that the lexicographical leading monomials of the harmonic polynomials in $V_{n,\lambda}$ are precisely those with exponent sequences lying in $\mathcal{C}_{n,\lambda}$ (Theorem 20).

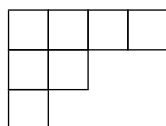
The rest of the paper is organized as follows. In **Section 2** we give background on partitions, tableaux, and ordered set partitions. In **Section 3** we describe our new coinversion statistic on $\mathcal{OP}_{n,\lambda}$ as well as its associated coinversion code. We use an insertion algorithm to describe the possible coinversion codes of elements of $\mathcal{OP}_{n,\lambda}$. In **Section 4** we study the harmonic space $V_{n,\lambda}$ and, in particular, give a harmonic basis for $V_{n,\lambda}$ (or $R_{n,\lambda}$) indexed by $\mathcal{OP}_{n,\lambda}$. We also prove a conjecture of A. T. Wilson (personal communication) by showing that a certain family $R_{n,k,s}$ of graded rings coincides with a special case of Griffin's rings $R_{n,\lambda}$. We close in **Section 5** by proposing a connection between this work and superspace.

2 Background

2.1 Partitions and tableaux

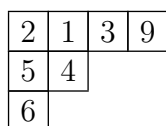
Given $k \geq 0$, a *partition* of n is a weakly decreasing sequence $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ of nonnegative integers satisfying $\lambda_1 + \dots + \lambda_s = k$. In particular, we allow trailing zeros in our partitions. Let $\ell(\lambda)$ denote the number of nonzero parts of a partition λ . We use the notation $\lambda \vdash k$ to indicate that λ is a partition of k .

The *Young diagram* of a partition λ consists of λ_i left-justified boxes in row i . For example, the Young diagram of $(4, 2, 1) \vdash 7$ is shown below.



Observe that trailing zeros have no effect on Young diagrams, so this would also be the Young diagram of the partition $(4, 2, 1, 0, 0)$. The *conjugate* λ' of a partition λ is obtained by reflecting its Young diagram across the main diagonal; we have $\lambda' = (3, 2, 1, 1)$ in this case.

Let λ be a partition. A *tableau* T of shape λ is a filling $T : \lambda \rightarrow \{1, 2, \dots\}$ of the boxes of λ with positive integers. A tableau T is *column strict* if its entries strictly increase going down columns and *injective* if its entries are distinct. We write $\text{Inj}(\lambda, \leq n)$ for the family of injective and column strict tableaux of shape λ whose entries are bounded above by n . An example tableau in $\text{Inj}((4, 2, 1), \leq 9)$ is shown below; observe that the numbers 7 and 8 do not appear in this tableau.



2.2 Ordered set partitions

A (*weak*) *ordered set partition* of $[n]$ is a sequence $\sigma = (B_1 \mid \cdots \mid B_s)$ of (possibly empty) subsets of $[n]$ such that we have a disjoint union decomposition $[n] = B_1 \sqcup \cdots \sqcup B_s$. We say that σ has s *blocks*. As an example,

$$\sigma = (1, 3, 5, 9 \mid 6, 7, 8, 10, 14 \mid 2, 12, 15 \mid 4, 13 \mid \emptyset \mid 11, 16)$$

is an ordered set partition of $[16]$ with 6 blocks.

Let $\lambda = (\lambda_1 \geq \cdots \geq \lambda_s)$ be a partition. As described in the introduction, we write $\mathcal{OP}_{n,\lambda}$ for the family of all ordered set partitions $\sigma = (B_1 \mid \cdots \mid B_s)$ of $[n]$ with s blocks such that B_i has at least λ_i elements, for all $1 \leq i \leq s$. If $\lambda = (3, 3, 2, 2, 0, 0)$, the ordered set partition σ above lies in $\mathcal{OP}_{16,\lambda}$.

It will be convenient to visualize elements of $\mathcal{OP}_{n,\lambda}$ in terms of the following *container diagrams*. Given $\lambda = (\lambda_1 \geq \cdots \geq \lambda_s)$, we first draw (from left to right) s columns of top-justified boxes of height λ_i . (These boxes are called the *container*.) For $\sigma = (B_1 \mid \cdots \mid B_s) \in \mathcal{OP}_{n,\lambda}$, we fill the i^{th} column with the entries of B_i , increasing from bottom to top. Our example ordered set partition $\sigma \in \mathcal{OP}_{16,(3,3,2,2,0,0)}$ has the following container diagram, with column numberings corresponding to block indices.

$$\begin{array}{cccccc}
 & & & & 14 & & 16 \\
 & & & & 9 & 10 & 15 & \emptyset & 11 \\
 \boxed{5} & \boxed{8} & \boxed{12} & \boxed{13} & & & & & \\
 \boxed{3} & \boxed{7} & \boxed{2} & \boxed{4} & & & & & \\
 \boxed{1} & \boxed{6} & & & & & & & \\
 1 & 2 & 3 & 4 & 5 & 6 & & &
 \end{array} \tag{11}$$

Empty blocks in ordered set partitions give rise to empty columns in container diagrams. The container diagram above has a single empty column, decorated with the placeholder \emptyset . The condition $\sigma \in \mathcal{OP}_{n,\lambda}$ corresponds to the container of boxes being completely filled with numbers. The numbers appearing outside of the container (9, 10, 11, 14, 15, and 16 in our example) are called *floating*.

3 Coinversion codes for $\mathcal{OP}_{n,\lambda}$

3.1 Coinversions in ordered set partitions

One variant of the *Lehmer code* of a permutation $\pi = \pi_1 \dots \pi_n \in \mathfrak{S}_n$ is given by the sequence (c_1, \dots, c_n) where

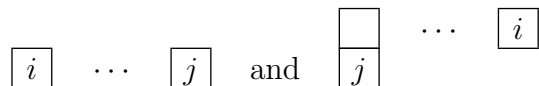
$$c_i = |\{i < j : \pi_i < \pi_j\}|. \tag{12}$$

The sum of this sequence $c_1 + \cdots + c_n$ counts the total number of *coinversions* (i.e. non-inversions) of π . We extend this definition from permutations to ordered set partitions as follows.

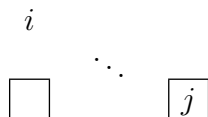
Let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s) \vdash k$ be a partition, let $n \geq k$, and let $\sigma \in \mathcal{OP}_{n,\lambda}$. We think of σ in terms of its container diagram. For $1 \leq i < j \leq n$, we say that (i, j) is a *coinversion* of σ when one of the following three conditions hold:

- i is not floating, j is to the right of i in σ , i and j are in the same row of σ , and $i < j$,
- i is not floating, j is to the left of i in σ , j is one row below i in σ , and $i < j$, or
- i is floating, j is to the right of i in σ , j is at the top of its container, and $i < j$.

The first two conditions may be depicted schematically as



where i and j are in adjacent rows in the diagram on the right. The last condition may be depicted



where j is at the top of the container and there is an arbitrary positive number of rows separating i and j .

Remark 3. The conditions defining coinversions for non-floating indices are the same as those used to define the statistic dinv which arises in the Haglund-Haiman-Loehr monomial expansion of the modified Macdonald polynomials [6].

For $1 \leq i \leq n$ we define a number $c_i \geq 0$ by

$$c_i := \begin{cases} |\{i < j : (i, j) \text{ is a coinversion of } \sigma\}| & \text{if } i \text{ is not floating} \\ |\{i < j : (i, j) \text{ is a coinversion of } \sigma\}| + (p - 1) & \text{if } i \text{ is floating in the } p^{\text{th}} \text{ block of } \sigma. \end{cases} \quad (13)$$

The *coinversion code* of σ is given by

$$\text{code}(\sigma) := (c_1, \dots, c_n) \quad (14)$$

and the *coinversion number* of σ is given by

$$\text{coinv}(\sigma) := c_1 + \dots + c_n. \quad (15)$$

Rhoades and Wilson [12] defined $\text{code}(\sigma)$ in the special case where $\lambda = (1^k)$.

As an example of these concepts, consider the ordered set partition $\sigma \in \mathcal{OP}_{16,(3,3,2,2,0,0)}$ appearing in (11). Let (c_1, \dots, c_{16}) be the sequence $\text{code}(\sigma)$. The entry 2 forms coinversions with 4 and 6, so that $c_2 = 2$. The entry 10 is floating in column 2, and forms coinversions with 12 and 13 so that $c_{10} = 2 + (2 - 1) = 3$. We have

$$\text{code}(\sigma) = (c_1, \dots, c_{16}) = (1, 2, 2, 1, 3, 0, 0, 2, 2, 3, 5, 1, 0, 1, 2, 5).$$

Adding this sequence yields $\text{coinv}(\sigma) = 30$.

3.2 The family of sequences $\mathcal{C}_{n,\lambda}$

The map $\sigma \mapsto \text{code}(\sigma)$ assigning $\sigma \in \mathcal{OP}_{n,\lambda}$ to its coinversion code will turn out to be an injection. In order to describe the image of this map, we recall that a *shuffle* of two sequences (a_1, \dots, a_p) and (b_1, \dots, b_q) is an interleaving (c_1, \dots, c_{p+q}) of these sequences which preserves the relative order of the a 's and the b 's. A shuffle of any finite number of sequences may be defined analogously (or inductively).

Let $k \leq n$ be positive integers, let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s) \vdash k$ be a partition with s nonnegative parts, and write the conjugate of λ as $(\lambda'_1 \geq \dots \geq \lambda'_k)$. We define $\mathcal{C}_{n,\lambda}$ to be the family of length n sequences (c_1, \dots, c_n) of nonnegative integers which are componentwise \leq some shuffle of the $k + 1$ (possibly empty) sequences

$$(\lambda'_1 - 1, \lambda'_1 - 2, \dots, 1, 0), \dots, (\lambda'_k - 1, \lambda'_k - 2, \dots, 1, 0), \text{ and } (s - 1, s - 1, \dots, s - 1),$$

where the final sequence has $n - k$ copies of $s - 1$.

Continuing our running example of $n = 16$ and $\lambda = (3, 3, 2, 2, 0, 0) \vdash 8$, the nonzero parts of λ' are $(4, 4, 2)$ so that $\mathcal{C}_{n,\lambda}$ consists of all length 16 sequences (c_1, \dots, c_{16}) of nonnegative integers which are componentwise \leq some shuffle of the sequences

$$(3, 2, 1, 0), (3, 2, 1, 0), (1, 0), \text{ and } (5, 5, 5, 5, 5, 5).$$

The sequence family $\mathcal{C}_{n,\lambda}$ was introduced by Haglund-Rhoades-Shimozono [8] in the case $\lambda_1 \leq 1$ and by Griffin [5] for general λ . Griffin proved that the monomials in $\mathbb{Q}[\mathbf{x}_n]$ whose exponent sequences lie in $\mathcal{C}_{n,\lambda}$ descend to a basis of the ring $R_{n,\lambda}$.

Theorem 4. (Griffin [5]) *Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s) \vdash k$. The set of monomials*

$$\{x_1^{c_1} \cdots x_n^{c_n} : (c_1, \dots, c_n) \in \mathcal{C}_{n,\lambda}\} \tag{16}$$

descends to a vector space basis of $R_{n,\lambda}$.

We will prove that code is a bijection from $\mathcal{OP}_{n,\lambda}$ to $\mathcal{C}_{n,\lambda}$. As a first step, we show that $\text{code}(\sigma) \in \mathcal{C}_{n,\lambda}$ for any $\sigma \in \mathcal{OP}_{n,\lambda}$.

Lemma 5. *Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s) \vdash k$ be a partition of k . For any $\sigma \in \mathcal{OP}_{n,\lambda}$ we have $\text{code}(\sigma) \in \mathcal{C}_{n,\lambda}$.*

Proof. The i^{th} row from the top of the container of σ contains λ'_i boxes. It follows from the definition of coinversions that the j^{th} smallest entry in this row forms at most $\lambda'_i - j$ coinversions with other entries of σ . Furthermore, if t is any floating entry of σ , then $c_t \leq s - 1$ by construction. The entries in the λ_1 rows of σ , together with the $n - k$ floating entries, define a shuffle (c'_1, \dots, c'_n) of the sequences

$$(\lambda'_1 - 1, \lambda'_1 - 2, \dots, 1, 0), \dots, (\lambda'_k - 1, \lambda'_k - 2, \dots, 1, 0), \text{ and } \overbrace{(s - 1, s - 1, \dots, s - 1)}^{n-k},$$

such that we have the componentwise inequality $\text{code}(\sigma) \leq (c'_1, \dots, c'_n)$.

To see how this works, suppose σ is as in (11):

$$\sigma = \begin{array}{cccc} & & 14 & & 16 \\ & & 9 & 10 & 15 & \emptyset & 11 \\ \boxed{5} & \boxed{8} & \boxed{12} & \boxed{13} & & & \\ \boxed{3} & \boxed{7} & \boxed{2} & \boxed{4} & & & \\ \boxed{1} & \boxed{6} & & & & & \end{array}$$

We use the container diagram of σ to form a shuffle (c'_1, \dots, c'_{16}) of the sequences

$$(3^\bullet, 2^\bullet, 1^\bullet, 0^\bullet), (3^\circ, 2^\circ, 1^\circ, 0^\circ), (1^\square, 0^\square), \text{ and } (5, 5, 5, 5, 5, 5).$$

Here we label our sequences with decorations (\bullet , \circ , \square , and unadorned) so that we can distinguish them when we perform our shuffle. The shuffle (c'_1, \dots, c'_{16}) corresponding to σ is:

$$(c'_1, \dots, c'_{16}) := (1^\square, 3^\circ, 2^\circ, 1^\circ, 3^\bullet, 0^\square, 0^\circ, 2^\bullet, 5, 5, 5, 5, 1^\bullet, 0^\bullet, 5, 5, 5).$$

The positions of the \bullet entries are given by top row of the container of σ (5, 8, 12, and 13). The positions of the \circ entries (2, 4, 3, and 7) are the middle row of the container and the positions of the \square entries (1 and 6) are the bottom row of the container. The unadorned entries (in positions 9, 10, 11, 14, 15, and 16) are the floating numbers. The reader can verify the componentwise inequality $\text{code}(\sigma) \leq (c'_1, \dots, c'_n)$. \square

The shuffle (c'_1, \dots, c'_n) constructed in the proof of Lemma 5 will be important in Section 4, so we give it a name.

Definition 6. Let $\sigma \in \mathcal{OP}_{n,\lambda}$ for some partition $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ of k . The shuffle (c'_1, \dots, c'_n) of the sequences of the sequences

$$(\lambda'_1 - 1, \lambda'_1 - 2, \dots, 1, 0), \dots, (\lambda'_k - 1, \lambda'_k - 2, \dots, 1, 0), \text{ and } \overbrace{(s-1, s-1, \dots, s-1)}^{n-k}$$

obtained by placing $(\lambda'_i - 1, \lambda'_i - 2, \dots, 1, 0)$ into the positions contained in the i^{th} row from the top of the container of σ , and placing $s-1$ into all floating positions of σ , will be referred to as $\text{maxcode}(\sigma)$.

For example, if $\sigma = (1, 3, 5, 9 \mid 6, 7, 8, 10, 14 \mid 2, 12, 15 \mid 4, 13 \mid \emptyset \mid 11, 16) \in \mathcal{OP}_{16,(3,3,2,2,0,0)}$ is the ordered set partition in the proof of Lemma 5 then

$$\text{maxcode}(\sigma) = (1, 3, 2, 1, 3, 0, 0, 2, 5, 5, 5, 1, 0, 5, 5, 5).$$

The proof of Lemma 5 gives the following result immediately.

Lemma 7. For any $\sigma \in \mathcal{OP}_{n,\lambda}$ we have the componentwise inequality $\text{code}(\sigma) \leq \text{maxcode}(\sigma)$.

By Lemma 5, we have a well-defined map

$$\text{code} : \mathcal{OP}_{n,\lambda} \rightarrow \mathcal{C}_{n,\lambda} \tag{17}$$

which sends $\sigma \in \mathcal{OP}_{n,\lambda}$ to its coinversion code $\text{code}(\sigma) = (c_1, \dots, c_n)$. Our first main result states that this map is a bijection.

Theorem 8. Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s) \vdash k$ be a partition of k . The map $\text{code} : \mathcal{OP}_{n,\lambda} \rightarrow \mathcal{C}_{n,\lambda}$ is a bijection.

Proof. In order to prove that code is a bijection, we construct its inverse $\mathcal{C}_{n,\lambda} \rightarrow \mathcal{OP}_{n,\lambda}$. Given $(c_1, \dots, c_n) \in \mathcal{C}_{n,\lambda}$, we define $\iota(c_1, \dots, c_n) \in \mathcal{OP}_{n,\lambda}$ by the following insertion algorithm.

The element $\iota(c_1, \dots, c_n) \in \mathcal{OP}_{n,\lambda}$ will be constructed by starting with an empty container of shape λ and inserting the numbers $1, 2, \dots, n$ (in that order) to yield an element of $\mathcal{OP}_{n,\lambda}$. To describe what happens at a typical step of this insertion process, consider an ordered set partition $(B_1 \mid \dots \mid B_s)$ with s blocks. We place the blocks B_1, \dots, B_s in the container diagram corresponding to λ , from left to right. For example, if $\lambda = (3, 3, 2, 2, 0, 0)$ and

$$(B_1 \mid \dots \mid B_s) = (4 \mid 2, 3, 6 \mid 1 \mid \emptyset \mid \emptyset \mid 5)$$

our diagram is shown below. In particular, the first, third, and fourth container columns from the left remain unfilled.

				\emptyset	5
	6				
	3	1			
4	2				

1 3 2 0 4 5

We label the blocks of $(B_1 \mid \dots \mid B_s)$ (or equivalently the columns of its container diagram) with the s distinct *coinversion labels* $0, 1, 2, \dots, s-1$ according to the following rules

1. any unfilled container column receives a smaller coinversion label than any filled container column,
2. the coinversion labels of the filled container columns increase from left to right,
3. given two unfilled container columns with different numbers of empty boxes, the column with more empty boxes has a smaller coinversion label,
4. the coinversion labels of unfilled container columns with the same numbers of empty boxes increase from right to left.

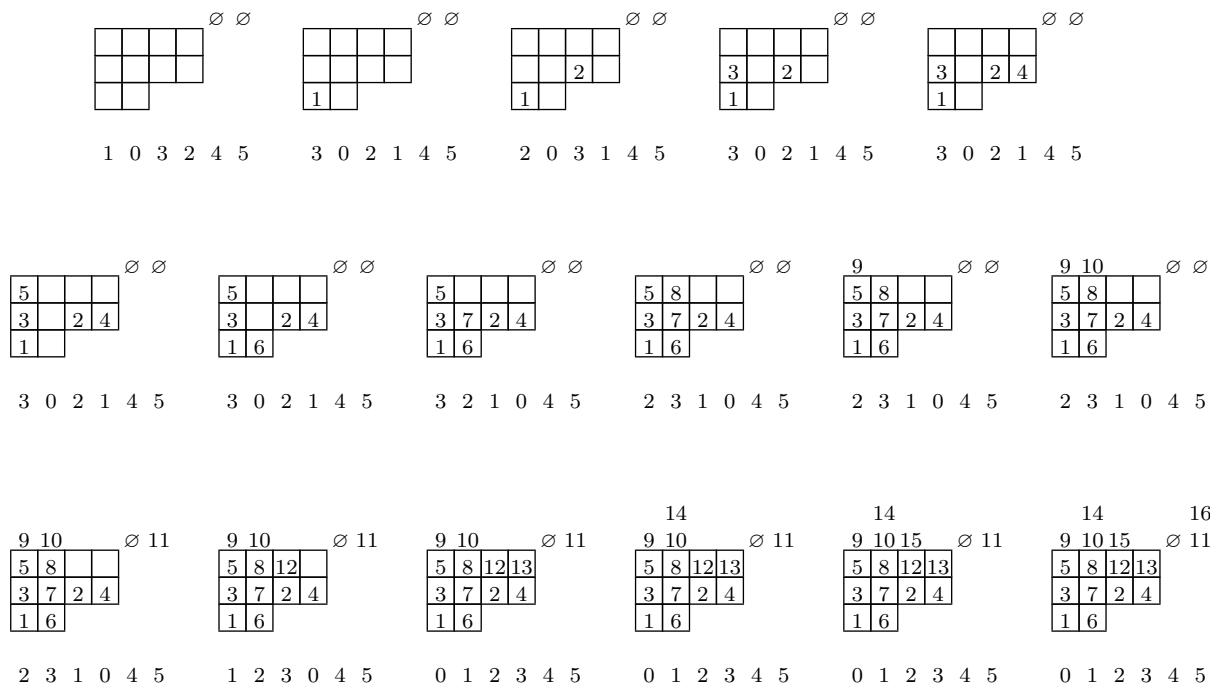
The unique labeling satisfying (1) - (4) may be obtained by first labeling the a columns which contain no empty boxes (including those columns corresponding to empty parts of λ) from right to left with $s-1, s-2, \dots, s-a$, then labeling the b columns which contain a single empty box from left to right with $s-a-1, s-a-2, \dots, s-a-b$, then labeling the c columns which contain two empty boxes from left to right with $s-a-b-1, s-a-b-2, \dots, s-a-b-c$, and so on. (Notice that coinversion labels are assigned 'in the opposite direction' when labeling filled and unfilled columns.) The coinversion labels are displayed below the columns of the container diagram.

The element $\iota(c_1, \dots, c_n) \in \mathcal{OP}_{n,\lambda}$ is defined as follows. Starting with the ‘empty’ container diagram corresponding to $(\emptyset \mid \dots \mid \emptyset)$, for each $i = 1, 2, \dots, n$, we insert i into the unique column with coinversion label c_i (updating the coinversion labels as we go).

The map ι is best understood with an example. If $\lambda = (3, 3, 2, 2, 0, 0)$ as above (so that $s = 6$) and $n = 16$, we have

$$(c_1, \dots, c_{16}) = (1, 2, 2, 1, 3, 0, 0, 2, 2, 3, 5, 1, 0, 1, 2, 5) \in \mathcal{C}_{n,\lambda}.$$

The insertion procedure defining $\iota(c_1, \dots, c_{16})$ proceeds as follows.



We conclude that $\iota(c_1, \dots, c_{16})$ is the element $\sigma \in \mathcal{OP}_{16,\lambda}$ displayed in (11).

In order to verify that the map $\iota : \mathcal{C}_{n,\lambda} \rightarrow \mathcal{OP}_{n,\lambda}$ is well-defined, we must show that the insertion procedure defining ι always fills every box in the container corresponding to λ . To do this, we induct on n .

Recall that $\ell(\lambda)$ is the number of nonzero parts in the partition $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ of k . For $1 \leq i \leq \ell(\lambda)$, let $\lambda^{(i)}$ be the partition obtained by sorting the sequence $(\lambda_1, \dots, \lambda_i - 1, \dots, \lambda_s)$ into weakly decreasing order. The set $\mathcal{C}_{n,\lambda}$ satisfies the following disjoint union decomposition based on the first entry of its sequences.

$$\mathcal{C}_{n,\lambda} = \bigsqcup_{i=1}^{\ell(\lambda)} \{(i-1, c_2, \dots, c_n) : (c_2, \dots, c_n) \in \mathcal{C}_{n-1,\lambda^{(i)}}\} \sqcup \bigsqcup_{j=\ell(\lambda)+1}^s \{(j-1, c_2, \dots, c_n) : (c_2, \dots, c_n) \in \mathcal{C}_{n-1,\lambda}\} \quad (18)$$

Equation (18) is equivalent to a result of Griffin [5, Lem. 3.8].

Given $(c_1, c_2, \dots, c_n) \in \mathcal{C}_{n,\lambda}$, the algorithm ι starts by placing 1 in the column with coinversion label c_1 . If $c_1 < \ell(\lambda)$, the entry 1 fills a box in the container of λ , and the columns formed by the remaining container boxes (as well as their coinversion labels) rearrange to give the container corresponding to $\lambda^{(c_1+1)}$. If $c_1 \geq \ell(\lambda)$, the entry 1 is floating, and the container remains unchanged. Equation (18) and induction on n guarantee that the algorithm ι fills the container of λ , so that $\iota : \mathcal{C}_{n,\lambda} \rightarrow \mathcal{OP}_{n,\lambda}$ is well-defined. It is routine to check that the maps `code` and ι are mutually inverse. \square

4 The harmonic space $V_{n,\lambda}$

Throughout this section, we fix $k \leq n$ and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s \geq 0)$ be a partition of k (with trailing zeros allowed). We write λ' for the partition conjugate to λ .

4.1 Injective tableaux and their polynomials

Let $T \in \text{Inj}(\lambda, \leq n)$ be an injective tableau of shape λ with entries $\leq n$. We introduce the monomial $\mathbf{x}(T) = x_1^{a_1} \cdots x_n^{a_n}$ where

$$a_i = \begin{cases} b & \text{if } i \text{ appears in } T \text{ with } b \text{ boxes directly below it,} \\ s - 1 & \text{if } i \text{ does not appear in } T. \end{cases} \quad (19)$$

As an example, if $\lambda = (3, 3, 1, 0, 0)$ (so that $s = 5$) and

$$T = \begin{array}{|c|c|c|} \hline 2 & 1 & 3 \\ \hline 5 & 4 & 9 \\ \hline 6 & & \\ \hline \end{array} \quad (20)$$

we have

$$\mathbf{x}(T) = (x_2^2 x_5^1 x_6^0) \times (x_1^1 x_4^0) \times (x_3^1 x_9^0) \times (x_7^4 x_8^4) = x_1^1 x_2^2 x_3^1 x_4^0 x_5^1 x_6^0 x_7^4 x_8^4 x_9^0.$$

Given a tableau $T \in \text{Inj}(\lambda, \leq n)$, we let $C_T \subseteq \mathfrak{S}_n$ be the parabolic subgroup of permutations $w \in \mathfrak{S}_n$ which stabilize the columns of T and satisfy $w(i) = i$ for any $1 \leq i \leq n$ which does not appear in T . In our case, we have $C_T = \mathfrak{S}_{\{2,5,6\}} \times \mathfrak{S}_{\{1,4\}} \times \mathfrak{S}_{\{3,9\}} \subseteq \mathfrak{S}_9$. We also define the group algebra element $\varepsilon_T \in \mathbb{Q}[\mathfrak{S}_n]$ by

$$\varepsilon_T := \sum_{w \in C_T} \text{sign}(w) \cdot w. \quad (21)$$

Definition 9. Let $T \in \text{Inj}(\lambda, \leq n)$. The polynomial $\delta_T \in \mathbb{Q}[\mathbf{x}_n]$ is

$$\delta_T := \varepsilon_T \cdot \mathbf{x}(T), \quad (22)$$

the image of $\mathbf{x}(T)$ under the group algebra element ε_T .

The notation δ_T is justified as follows. If T has columns C_1, \dots, C_r , then δ_T factors as

$$\delta_T = \delta_{C_1} \cdots \delta_{C_r} \times \prod_{\substack{1 \leq i \leq n \\ i \text{ not appearing in } T}} x_i^{s-1} \quad (23)$$

where δ_{C_j} is the Vandermonde in the set of variables whose indices appear in C_j . In our example we have

$$\begin{aligned} \delta_T &= \delta_{\{2,5,6\}} \times \delta_{\{1,4\}} \times \delta_{\{3,9\}} \times x_7^4 x_8^4 \\ &= (x_2 - x_5)(x_2 - x_6)(x_5 - x_6) \times (x_1 - x_4) \times (x_3 - x_9) \times x_7^4 x_8^4. \end{aligned}$$

The polynomial δ_T and the monomial $\mathbf{x}(T)$ are related as follows.

Observation 10. *The lexicographical leading term of δ_T is $\mathbf{x}(T)$.*

4.2 A generating set for $V_{n,\lambda}$ as a $\mathbb{Q}[\mathbf{x}_n]$ -module

Recall that $\mathbb{Q}[\mathbf{x}_n]$ acts on itself by the rule $f \odot g := (\partial f)(g)$. The harmonic space $V_{n,\lambda}$ is a submodule for this action. The polynomials δ_T , where T varies over $\text{Inj}(\lambda, \leq n)$, will turn out to generate the harmonic space $V_{n,\lambda}$ as a $\mathbb{Q}[\mathbf{x}_n]$ -module. We first establish that the δ_T are contained in $V_{n,\lambda}$.

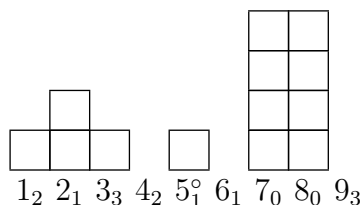
Lemma 11. *Let $T \in \text{Inj}(\lambda, \leq n)$ be a tableau. The polynomial δ_T is contained in the harmonic space $V_{n,\lambda}$.*

Proof. It suffices to check that for each generator f of the ideal $I_{n,\lambda}$ we have $f \odot \delta_T = 0$. If $f = x_i^s$, the identity $f \odot \delta_T = 0$ follows from the fact that no exponents $\geq s$ appear in $\mathbf{x}(T)$ or in δ_T . We may therefore fix $1 \leq j \leq r$ and assume that f is the elementary symmetric polynomial $f = e_d(S)$ for some $S \subseteq [n]$ of size $|S| = n - j + 1$ whose degree d satisfies $d > |S| - \lambda'_j - \lambda'_{j+1} - \cdots - \lambda'_n$. It suffices to show that $e_d(S) \odot \delta_T = 0$. Without loss of generality we assume $d \leq |S|$, so that $e_d(S) \neq 0$.

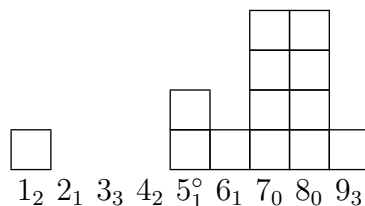
We give a combinatorial model for $e_d(S) \odot \delta_T$ as follows. Let C_1, \dots, C_r be the columns of T , read from left to right.

The (S, T) -staircase consists of n columns of boxes arranged as follows. The i^{th} column is decorated with the symbol i_t where $t = 0$ if i does not appear in T and $i \in C_t$ otherwise. If $i \notin S$, we further decorate i_t with a circle i_t° ; such entries i are called *frozen*. If i does not appear in T , the i^{th} column of the (S, T) -staircase has $s - 1$ boxes. If i is in row r of C_t , the i^{th} column has $|C_t| - r = \lambda'_t - r$ boxes.

Let us give an example of these concepts. Suppose $n = 9, s = 5, \lambda = (3, 3, 1)$, and T is as in (20). Let $j = 2$ and take $S = \{1, 2, 3, 4, 6, 7, 8, 9\}$ so that $[n] - S = \{5\}$. The (S, T) -staircase is as follows.



We apply permutations $w \in C_T$ to sequences (B_1, \dots, B_n) of n stacks of boxes by rearranging the box stacks. A *permuted (S, T) -staircase* σ is obtained from the (S, T) -staircase by applying some permutation $w \in C_T$ which stabilizes the columns of T . If (S, T) is as above and $w = (2, 5, 6)(3, 9) \in C_T$, the associated permuted staircase σ is



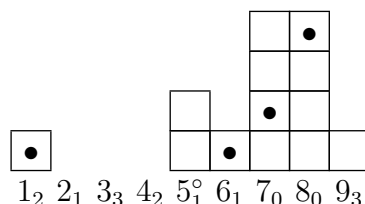
Observe that w leaves the labels unchanged. It should be clear that, for fixed S and T , a permuted (S, T) -staircase determines the permutation $w \in C_T$ uniquely.

The *sign* of a permuted (S, T) -staircase σ is the sign of the permutation $w \in C_T$, i.e. $\text{sign}(\sigma) = \text{sign}(w)$; in our example $\text{sign}((2, 5, 6)(3, 9)) = -1$. The *weight* $\text{wt}(\sigma)$ of σ is the monomial $\text{wt}(\sigma) = x_1^{a_1} \cdots x_n^{a_n}$, where a_i is the number of boxes in column i . In our example $\text{wt}(\sigma) = x_1 x_5^2 x_6 x_7^4 x_8^4 x_9$. The polynomial δ_T has the combinatorial interpretation

$$\delta_T = \sum_{\sigma} \text{sign}(\sigma) \cdot \text{wt}(\sigma), \quad (24)$$

where the sum is over all permuted (S, T) -staircases σ .

A *d -dotted permuted (S, T) -staircase* σ^\bullet is obtained from a permuted (S, T) -staircase σ by marking d boxes with \bullet so that no two marked boxes are in the same column and so that no frozen column i_t° gets a marked box. With $d = 4$ and σ as above, an example choice for σ^\bullet is as follows.



The *sign* of σ^\bullet is the same as the sign of the unmarked (S, T) -staircase σ , i.e. $\text{sign}(\sigma^\bullet) = \text{sign}(\sigma)$. The *weight* $\text{wt}(\sigma^\bullet)$ is the monomial $x_1^{a_1} \cdots x_n^{a_n}$ where a_i is the number of unmarked boxes in column i ; in the above example $\text{wt}(\sigma^\bullet) = x_5^2 x_7^3 x_8^3 x_9$.

The polynomial $e_d(S) \odot \delta_T$ has a combinatorial interpretation in terms of dotted permuted staircases. More precisely we have

$$e_d(S) \odot \delta_T = \sum_{\sigma^\bullet} \text{sign}(\sigma^\bullet) \cdot \text{wt}(\sigma^\bullet), \quad (25)$$

where the sum is over all d -dotted permuted (S, T) -staircases σ^\bullet . Our goal is to show that Equation (25) equals zero.

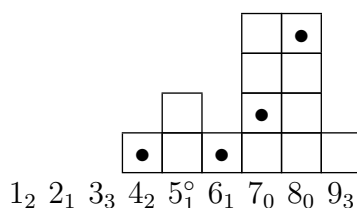
We use a sign-reversing involution to prove that the right-hand side of Equation (25) vanishes. The following key observation may be verified from our assumptions on $|S|$ and d .

Observation: For any d -dotted permuted (S, T) -staircase σ^\bullet , there is some value $1 \leq t \leq r$ such that for the corresponding column C_t of T :

1. no column of σ^\bullet indexed by an entry in C_t is frozen, and
2. at least one column of σ^\bullet indexed by an entry of C_t contains a \bullet .

In our running example, we may take $t = 2$, so that the corresponding column C_2 of T has entries 1 and 4. Neither column 1 nor column 4 of σ^\bullet is frozen and column 1 contains a \bullet .

If σ^\bullet is a d -dotted permuted (S, T) -staircase, let $t \geq 1$ be minimal such that t is as in the above observation. Two of the columns in σ^\bullet indexed by entries in C_t must contain the same number of unmarked boxes. Let $\iota(\sigma^\bullet)$ be obtained from σ^\bullet by interchanging the two such columns of minimal height. In our running example, we have $t = 2$ and $\iota(\sigma^\bullet)$ is given by interchanging columns 1 and 4:



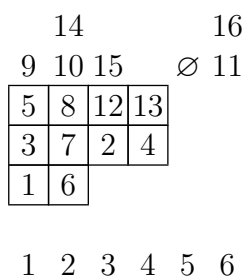
For any d -dotted permuted (S, T) -staircase σ^\bullet we have

$$\iota(\iota(\sigma^\bullet)) = \sigma^\bullet, \quad \text{sign}(\iota(\sigma^\bullet)) = -\text{sign}(\sigma^\bullet), \quad \text{and} \quad \text{wt}(\iota(\sigma^\bullet)) = \text{wt}(\sigma^\bullet). \quad (26)$$

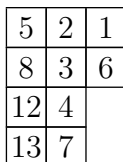
That is, the map $\sigma^\bullet \mapsto \iota(\sigma^\bullet)$ is a weight-preserving and sign-reversing involution which verifies that the right-hand side of Equation (25) vanishes. \square

Definition 12. Let $k \leq n$, let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ be a partition of k , and let $\sigma \in \mathcal{OP}_{n,\lambda}$. Define $T(\sigma) \in \text{Inj}(\lambda, \leq n)$ to be the tableau whose i^{th} column consists of the entries in row i from the top of the container of σ .

For example, if σ is the ordered set partition (11) displayed again here



then $T(\sigma)$ is shown below.



In particular, floating entries in σ do not appear in $T(\sigma)$. The most important property of $T(\sigma)$ is shown below; it follows from Observation 10 and the definition of maxcode .

Observation 13. *The lexicographical leading term of $\delta_{T(\sigma)}$ is the monomial $x_1^{a_1} \cdots x_n^{a_n}$ where $(a_1, \dots, a_n) = \text{maxcode}(\sigma)$.*

Observation 13 allows us to associate a harmonic polynomial to any ordered set partition $\sigma \in \mathcal{OP}_{n,\lambda}$.

Definition 14. Let $k \leq n$, let $\lambda = (\lambda_1 \geq \cdots \geq \lambda_s)$ be a partition of k , and let $\sigma \in \mathcal{OP}_{n,\lambda}$ satisfy $\text{code}(\sigma) = (c_1, \dots, c_n)$ and $\text{maxcode}(\sigma) = (a_1, \dots, a_n)$. Define a polynomial $\delta_\sigma \in \mathbb{Q}[\mathbf{x}_n]$ by

$$\delta_\sigma := (x_1^{a_1 - c_1} \cdots x_n^{a_n - c_n}) \odot \delta_{T(\sigma)}. \quad (27)$$

We are ready to describe our generating set for the harmonic space $V_{n,\lambda}$ as a $\mathbb{Q}[\mathbf{x}_n]$ -module.

Theorem 15. *Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \cdots \geq \lambda_s)$ be a partition of k . The harmonic space $V_{n,\lambda}$ is the smallest subspace of $\mathbb{Q}[\mathbf{x}_n]$ which*

- *contains the polynomial δ_T for every tableau $T \in \text{Inj}(\lambda, \leq n)$, and*
- *is closed under the partial derivative operators $\partial/\partial x_1, \dots, \partial/\partial x_n$.*

Equivalently, the set $\{\delta_T : T \in \text{Inj}(\lambda, \leq n)\}$ generates $V_{n,\lambda}$ as a $\mathbb{Q}[\mathbf{x}_n]$ -module.

Proof. Let $W_{n,\lambda}$ be the subspace defined by the two bullet points of the theorem. By Lemma 11 we have the containment of vector spaces

$$W_{n,\lambda} \subseteq V_{n,\lambda}. \quad (28)$$

We also have

$$\dim V_{n,\lambda} = |\mathcal{C}_{n,\lambda}| = |\mathcal{OP}_{n,\lambda}|, \quad (29)$$

where the first equality follows from Theorem 4 and the second follows from Theorem 8. It therefore suffices to exhibit $|\mathcal{OP}_{n,\lambda}|$ linearly independent elements of $W_{n,\lambda}$.

Indeed, Observation 13 and Definition 14 imply that the lexicographical leading term of δ_σ has exponent sequence given by $\text{code}(\sigma)$. Theorem 8 guarantees that the set $\{\delta_\sigma : \sigma \in \mathcal{OP}_{n,\lambda}\}$ is linearly independent and Lemma 11 assures that the polynomials in this set lie in $W_{n,\lambda}$. \square

The proof of Theorem 15 also yields a harmonic basis of $R_{n,\lambda}$.

Theorem 16. *Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \cdots \geq \lambda_s)$ be a partition of k . The set*

$$\{\delta_\sigma : \sigma \in \mathcal{OP}_{n,\lambda}\} \quad (30)$$

is a harmonic basis of $R_{n,\lambda}$.

Recall that the *Hilbert series* of a graded \mathbb{Q} -algebra $R = \bigoplus_{d \geq 0} R_d$ with each graded piece finite-dimensional is the formal power series

$$\text{Hilb}(R; q) := \sum_{d \geq 0} (\dim R_d) \cdot q^d. \quad (31)$$

We have a combinatorial expression for the Hilbert series of $R_{n,\lambda}$.

Corollary 17. *Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ be a partition of k . We have*

$$\text{Hilb}(R_{n,\lambda}; q) = \sum_{\sigma \in \mathcal{OP}_{n,\lambda}} q^{\text{coinv}(\sigma)}. \quad (32)$$

Proof. The degree of the polynomial δ_σ is $\text{coinv}(\sigma)$. Now apply Theorem 16. Alternatively, combine Theorems 4 and 8. \square

Remark 18. Griffin found [5, Thm. 5.12] a variant of the coinv statistic which encodes the graded \mathfrak{S}_n -isomorphism type of $R_{n,\lambda}$. This may be viewed as a moral extension of Corollary 17. Recall that the *graded Frobenius image* $\text{grFrob}(V; q)$ of a graded \mathfrak{S}_n -module $V = \bigoplus_{d \geq 0} V_d$ is the symmetric function

$$\text{grFrob}(V; q) = \sum_{d \geq 0} q^d \sum_{\rho \vdash n} (\text{multiplicity of the } \mathfrak{S}_n\text{-irreducible } S^\rho \text{ in } V_d) \cdot s_\rho(\mathbf{x}) \quad (33)$$

where $s_\rho(\mathbf{x})$ is the Schur function corresponding to the partition $\rho \vdash n$.

Given n and $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ with $\lambda_s \geq 0$, Griffin considers the family $\text{ECI}_{n,\lambda}$ of *extended column-increasing fillings*. These are sequences $\mu = (M_1 \mid \dots \mid M_s)$ of s multisets of positive integers in which $|M_i| \geq \lambda_i$ for all i such that $|M_1| + \dots + |M_s| = n$. Elements of $\text{ECI}_{n,\lambda}$ may be viewed as fillings of the container diagram of λ which weakly increase going up columns and fill every box in the container; as an example, the element

$$\mu = (1, 1, 2, 4 \mid 2, 4, 4, 5, 6 \mid 1, 2, 4 \mid 3, 3 \mid \emptyset \mid 1, 1) \in \text{ECI}_{16, (3, 3, 2, 2, 0, 0), 6}$$

is shown below.

	6		1		
	4	5	4	\emptyset	1
2	4	2	3		
1	4	1	3		
1	2				

Given $\mu \in \text{ECI}_{n,\lambda}$, we attach the monomial \mathbf{x}^μ in the variable set $\mathbf{x} = (x_1, x_2, \dots)$ where the exponent of x_i is the multiplicity of i in μ . For μ as above, we have $\mathbf{x}^\mu = x_1^5 x_2^3 x_3^2 x_4^4 x_5 x_6$. Griffin defines a statistic inv on $\text{ECI}_{n,\lambda}$ which is similar to (but not quite the same as) our statistic coinv – see [5, Rmk. 5.3] – and proves that the graded Frobenius image of $R_{n,\lambda}$ is given combinatorially by

$$\text{grFrob}(R_{n,\lambda}; q) = \sum_{\mu \in \text{ECI}_{n,\lambda}} q^{\text{inv}(\mu)} \mathbf{x}^\mu. \quad (34)$$

4.3 A conjecture of Wilson

For positive integers n, k , and s , the following ideal $I_{n,k,s} \subseteq \mathbb{Q}[\mathbf{x}_n]$ was introduced in [8]:

$$I_{n,k,s} := \langle e_n(\mathbf{x}_n), e_{n-1}(\mathbf{x}_n), \dots, e_{n-k+1}(\mathbf{x}_n), x_1^s, x_2^s, \dots, x_n^s \rangle. \quad (35)$$

Let $R_{n,k,s} := \mathbb{Q}[\mathbf{x}_n]/I_{n,k,s}$ be the corresponding quotient ring.

When $k \leq s$, we have $R_{n,k,s} = R_{n,\lambda}$ where $\lambda = (1^k, 0^{s-k})$ and the structure of $R_{n,k,s}$ as a graded \mathfrak{S}_n -module was determined by Haglund-Rhoades-Shimozono [8]. Indeed, the ring $R_{n,k,s}$ was one of the motivating examples for defining $R_{n,\lambda}$ for general λ .

When $k > s$, the structure of $R_{n,k,s}$ was not studied in [8] because the Gröbner theory of the ideal $I_{n,k,s}$ was more complicated in this case. Although it is not immediately obvious, we will establish that $R_{n,k,s}$ is also an instance of the $R_{n,\lambda}$ rings when $k > s$.

For positive integers k and s , write $k = qs + r$ for integers $q, r \geq 0$ with $r < s$. We define $\lambda(k, s) := ((q+1)^r, q^{s-r})$ to be the partition of k given by r copies of $q+1$ followed by $s-r$ copies of q .

Proposition 19. *For any positive integers n, k, s we have $I_{n,k,s} = I_{n,\lambda}$ and $R_{n,k,s} = R_{n,\lambda}$ where $\lambda = \lambda(k, s)$.*

Proposition 19 implies that $\dim R_{n,k,s} = |\mathcal{OP}_{n,\lambda(k,s)}|$. This combinatorial expression for $\dim R_{n,k,s}$ was conjectured by Andy Wilson (personal communication).

Proof. Let $\lambda = \lambda(k, s)$. Every generator of $I_{n,k,s}$ is also a generator of $I_{n,\lambda}$, so we have the containment of ideals

$$I_{n,k,s} \subseteq I_{n,\lambda}. \quad (36)$$

It therefore suffices to show that every generator of $I_{n,\lambda}$ lies in $I_{n,k,s}$. The generators x_i^s of $I_{n,\lambda}$ are also generators of $I_{n,k,s}$, so it suffices to check that the elementary symmetric polynomials $e_d(S)$ in partial variable sets $S \subseteq [n]$ which appear as generators of $I_{n,\lambda}$ lie in $I_{n,k,s}$.

Let $S \subseteq [n]$ and $1 \leq d \leq n$ be such that $e_d(S)$ is a generator of $I_{n,\lambda}$. We prove that $e_d(S) \in I_{n,k,s}$ by descending induction on the size $|S|$ of S . If $|S| = n$, we have $e_d(S) = e_d(\mathbf{x}_n)$ and $d > n - k$, so that $e_d(S)$ is a generator of $I_{n,k,s}$.

If $|S| < n$, choose an arbitrary index $i \in [n] - S$ and let $T = S \cup \{i\}$. The polynomial $e_d(S)$ may be expressed as

$$\begin{aligned} e_d(S) &= e_d(T) - x_i e_{d-1}(S) = e_d(T) - x_i e_{d-1}(T) + x_i^2 e_{d-2}(S) \\ &= \dots = \left[\sum_{j=0}^{s-1} (-1)^j x_i^j e_{d-j}(T) \right] + (-1)^s x_i^s e_{d-s}(S). \end{aligned} \quad (37)$$

We claim that each term in the sum on the right-hand side of Equation (37) lies in $I_{n,k,s}$, so that $e_d(S) \in I_{n,k,s}$. Indeed, since $e_d(S)$ is a generator of $I_{n,\lambda}$, each column aside from the shortest column of λ has s boxes, and T has one more element than S , each of the s polynomials $e_d(T), e_{d-1}(T), \dots, e_{d-s+1}(T)$ are generators of $I_{n,\lambda}$, and so lie in $I_{n,k,s}$ by induction. In particular, the sum in the square brackets lies in $I_{n,k,s}$. Furthermore, the monomial x_i^s is a generator of $I_{n,k,s}$, so that $(-1)^s x_i^s e_{d-s}(S) \in I_{n,k,s}$. We conclude that $e_d(S) \in I_{n,k,s}$, finishing the proof. \square

4.4 The lexicographical leading terms of harmonic polynomials

Let $<$ be the *lexicographical order* on monomials in $\mathbb{Q}[\mathbf{x}_n]$. That is, we have $x_1^{a_1} \cdots x_n^{a_n} < x_1^{b_1} \cdots x_n^{b_n}$ if and only if there is some $1 \leq i \leq n$ such that $a_1 = b_1, \dots, a_{i-1} = b_{i-1}$, and $a_i < b_i$. It is well known that the lexicographical order $<$ is a *monomial order*, meaning that

- we have $1 \leq m$ for any monomial m in x_1, \dots, x_n and
- given three monomials m, m', m'' with $m \leq m'$, we have $m \cdot m'' \leq m' \cdot m''$.

If $f \in \mathbb{Q}[\mathbf{x}_n]$ is a nonzero polynomial, let $\text{in}_{<}(f)$ denote the largest monomial in lexicographical order appearing in f .

The coinversion codes $\mathcal{C}_{n,\lambda}$ of ordered set partitions in $\mathcal{OP}_{n,\lambda}$ are precisely the exponent sequences of the lexicographical leading monomials of nonzero polynomials in $V_{n,\lambda}$. This gives another connection between harmonic polynomials and ordered set partitions.

Theorem 20. *Let $k \leq n$ be positive integers and let $\lambda = (\lambda_1 \geq \dots \geq \lambda_s)$ be a partition of k into s nonnegative parts. If $f \in V_{n,\lambda}$ is any nonzero harmonic polynomial with $\text{in}_{<} f = x_1^{c_1} x_2^{c_2} \cdots x_n^{c_n}$ then $(c_1, c_2, \dots, c_n) \in \mathcal{C}_{n,\lambda}$.*

Proof. The proof is by induction on n . If $n = 1$, then $\lambda = (0^s)$ or $\lambda = (1, 0^{s-1})$. If $\lambda = (0^s)$ then $I_{1,(0^s)} = \langle x_1^s \rangle \subseteq \mathbb{Q}[x_1]$ so that $V_{1,(0^s)} = \text{span}_{\mathbb{Q}}\{1, x_1, x_1^2, \dots, x_1^{s-1}\}$. Since $\mathcal{C}_{1,(0^s)} = \{(0), (1), (2), \dots, (s-1)\}$, the result is true in this case. If $\lambda = (1, 0^{s-1})$ then $I_{1,(1,0^{s-1})} = \langle x_1 \rangle \subseteq \mathbb{Q}[x_1]$ so that $V_{1,(1,0^{s-1})} = \mathbb{Q}$. Since $\mathcal{C}_{1,(1,0^{s-1})} = \{(0)\}$, this completes the proof when $n = 1$.

When n is arbitrary and $\lambda = (0^s)$ is a partition of $k = 0$, we may compute directly that

$$V_{n,(0^s)} = \text{span}_{\mathbb{Q}}\{x_1^{a_1} \cdots x_n^{a_n} : a_1, \dots, a_n < s\},$$

from which the theorem follows in this case. We therefore assume going forward that $n, k > 0$.

Our main tool will be Griffin's disjoint union decomposition (18) of $\mathcal{C}_{n,\lambda}$ according to the first terms of its sequences, recapitulated here for convenience. Recall that $\ell(\lambda)$ is the number of nonzero parts of λ .

$$\mathcal{C}_{n,\lambda} = \bigsqcup_{i=1}^{\ell(\lambda)} \{(i-1, c_2, \dots, c_n) : (c_2, \dots, c_n) \in \mathcal{C}_{n-1,\lambda^{(i)}}\} \sqcup \bigsqcup_{j=\ell(\lambda)+1}^s \{(j-1, c_2, \dots, c_n) : (c_2, \dots, c_n) \in \mathcal{C}_{n-1,\lambda}\} \quad (38)$$

Let $f \in V_{n,\lambda}$ be a nonzero polynomial. Since f is harmonic and $x_1^s \in V_{n,\lambda}$, we have

$$x_1^s \odot f = \partial^s f / \partial x_1^s = 0. \quad (39)$$

In particular, if $\text{in}_<(f) = x_1^{c_1} x_2^{c_2} \cdots x_n^{c_n}$ then $c_1 < s$ and, by the definition of $<$, no monomial appearing in f has an exponent of x_1 greater than c_1 . In particular, the polynomial $x_1^{c_1} \odot f$ does not involve the variable x_1 . Since the lexicographical order is a monomial order, we have

$$\text{in}_<(f) = x_1^{c_1} \cdot \text{in}_<(x_1^{c_1} \odot f). \quad (40)$$

Let $W_{n-1, \lambda^{(c_1+1)}} \subseteq \mathbb{Q}[x_2, x_3, \dots, x_n]$ be the image of the subspace $V_{n-1, \lambda^{(c_1+1)}} \subseteq \mathbb{Q}[x_1, x_2, \dots, x_{n-1}]$ under the algebra map $x_i \mapsto x_{i+1}$. Similarly, let $W_{n-1, \lambda}$ be the image of $V_{n-1, \lambda}$ under $x_i \mapsto x_{i+1}$. Thanks to (38), (40), and induction, it suffices to prove the following claim.

Claim: *If $c_1 < \ell(\lambda)$ then $x_1^{c_1} \odot f \in W_{n-1, \lambda^{(c_1+1)}}$. If $\ell(\lambda) \leq c_1 < s$ then $x_1^{c_1} \odot f \in W_{n-1, \lambda}$.*

Let $J_{n-1, \lambda^{(c_1)}}$, $J_{n-1, \lambda} \subseteq \mathbb{Q}[x_2, x_3, \dots, x_n]$ be the images of $I_{n-1, \lambda^{(c_1)}}$, $I_{n, \lambda} \subseteq \mathbb{Q}[x_1, x_2, \dots, x_{n-1}]$ under the algebra map $x_i \mapsto x_{i+1}$. We verify that $x_1^{c_1} \odot f$ is annihilated by the generators of the relevant J -ideal. For the generators of the form x_i^s this is clear, so we need only verify this statement for generators of the form $e_d(S)$.

The proof of our Claim hinges on the following observation.

Observation: *Let $S \subseteq \{2, 3, \dots, n\}$ and write $S' := S \cup \{1\}$. Let $d \geq 1$ be such that $e_d(S)$ appears as a generator of $J_{n-1, \lambda^{(c_1+1)}}$ (in the case $c_1 < \ell(\lambda)$) or $J_{n-1, \lambda}$ (in the case $\ell(\lambda) \leq c_1 < s$). Then $e_{d+1}(S')$ appears as a generator of $I_{n, \lambda}$ so that $e_{d+1}(S') \odot f = 0$.*

Let $d \geq 1$ and $S \subseteq \{2, 3, \dots, n\}$ be such that $e_d(S)$ is a nonzero generator of the J -ideal described in the Observation. Our analysis breaks up into cases depending on the value of c_1 .

Case 1: *We have $c_1 > 0$.*

In this case we compute

$$\begin{aligned} e_d(S) \odot (x_1^{c_1} \odot f) &= (x_1^{c_1} e_d(S)) \odot f = (x_1^{c_1-1} e_{d+1}(S') - x_1^{c_1-1} e_{d+1}(S)) \odot f \\ &= x_1^{c_1-1} e_{d+1}(S') \odot f - x_1^{c_1-1} e_{d+1}(S) \odot f. \end{aligned} \quad (41)$$

By our Observation, we have $e_{d+1}(S') \odot f = 0$, so the first term on the right-hand side of Equation (41) vanishes and we have

$$e_d(S) \odot (x_1^{c_1} \odot f) = -x_1^{c_1-1} e_{d+1}(S) \odot f. \quad (42)$$

We may now show $e_d(S) \odot (x_1^{c_1} \odot f) = 0$ by descending induction on d . In the base case $d = |S|$, we have $e_{d+1}(S) = 0$ so this follows from Equation (42). When $d < |S|$, the polynomial $e_{d+1}(S)$ is a nonzero generator of the J -ideal described in the Observation, so that $e_{d+1}(S) \odot f = 0$ by induction, so Equation (42) shows $e_d(S) \odot (x_1^{c_1} \odot f) = 0$.

Case 2: *We have $c_1 = 0$.*

Here is we make use of our assumption that λ is a partition of a *positive* integer k so that $\lambda_{c_1+1} = \lambda_1 > 0$. We compute

$$e_d(S) \odot (x_1^{c_1} \odot f) = e_d(S) \odot f = e_d(S') \odot f. \quad (43)$$

The second equality is true because f does not involve the variable x_1 so that every monomial appearing in $e_d(S')$ involving x_1 annihilates f . Since $\lambda^{(c_1+1)} = \lambda^{(1)}$ is the non-decreasing rearrangement of $(\lambda_1 - 1, \lambda_2, \dots, \lambda_s)$ and $e_d(S)$ is a nonzero generator of $J_{n-1, \lambda^{(1)}}$, we see that $e_d(S')$ is a nonzero generator of $I_{n, \lambda}$ so that the right-hand side of Equation (43) vanishes. \square

Theorem 20 directly gives the upper bound

$$\dim V_{n,\lambda} \leq |\mathcal{C}_{n,\lambda}| \tag{44}$$

on the dimension of the harmonic space $V_{n,\lambda}$. Indeed, if $N > |\mathcal{C}_{n,\lambda}|$ and we are given N harmonic polynomials $f_1, f_2, \dots, f_N \in V_{n,\lambda}$, there exist $c_1, c_2, \dots, c_N \in \mathbb{Q}$ not all zero so that

for any monomial m whose exponent sequence appears in $\mathcal{C}_{n,\lambda}$, the coefficient of m in $f := c_1 f_1 + c_2 f_2 + \dots + c_N f_N$ is zero.

But $f \in V_{n,\lambda}$ is harmonic, so Theorem 20 forces $f = 0$, implying that f_1, f_2, \dots, f_N are linearly dependent. Of course, Equation (44) also follows from Griffin's [5] result $\dim R_{n,\lambda} = |\mathcal{C}_{n,\lambda}|$ and the vector space isomorphism between the quotient ring and harmonic space of a given ideal.

5 Conclusion

Let $k \leq n$ be positive integers and let $\lambda \vdash k$. In this paper we studied the harmonic space $V_{n,\lambda}$ associated to the ring $R_{n,\lambda}$ using polynomials δ_T and δ_σ closely related to the Vandermonde determinant $\delta_n \in \mathbb{Q}[\mathbf{x}_n]$. In the case $\lambda_1 \leq 1$, Rhoades and Wilson [13] gave an alternate harmonic-like model for $R_{n,\lambda}$ involving anticommuting variables as follows.

Superspace of rank n is the \mathbb{Q} -algebra Ω_n given by a tensor product

$$\Omega_n := \mathbb{Q}[x_1, \dots, x_n] \otimes \wedge\{\theta_1, \dots, \theta_n\} \tag{45}$$

of a rank n polynomial ring (with generators x_1, \dots, x_n) with a rank n exterior algebra (with generators $\theta_1, \dots, \theta_n$). The terminology here comes from physics where the x_i model the positions of bosons and the θ_i model the positions of fermions.

The symmetric group \mathfrak{S}_n acts on Ω_n by permuting the x_i and θ_i simultaneously. The differentiation action $f \odot g$ of $\mathbb{Q}[\mathbf{x}_n]$ on itself extends to an action $\mathbb{Q}[\mathbf{x}_n] \otimes \Omega_n \rightarrow \Omega_n$ of $\mathbb{Q}[\mathbf{x}_n]$ on superspace by acting on the first tensor factor.

Let $\varepsilon_n := \sum_{w \in \mathfrak{S}_n} \text{sign}(w) \cdot w$ be the antisymmetrizing element of $\mathbb{Q}[\mathfrak{S}_n]$. For any $r \leq n$ and any length r sequence $\mathbf{a} = (a_1, \dots, a_r)$ of nonnegative integers, the \mathbf{a} -superspace Vandermonde was defined in [13] to be the following element of Ω_n :

$$\delta_n(\mathbf{a}) := \varepsilon_n \cdot (x_1^{a_1} x_2^{a_2} \dots x_r^{a_r} x_{r+1}^{n-r-1} \dots x_{n-1}^1 x_n^0 \times \theta_1 \theta_2 \dots \theta_r). \tag{46}$$

This reduces to the usual Vandermonde when $\mathbf{a} = \emptyset$ is the empty sequence. Rhoades and Wilson [13] defined $V_n(\mathbf{a})$ to be the smallest subspace of Ω_n containing $\delta_n(\mathbf{a})$ which is closed under the differentiation action of $\mathbb{Q}[\mathbf{x}_n]$. The vector space $V_n(\mathbf{a})$ is a graded \mathfrak{S}_n -module.

Theorem 21. (*Rhoades-Wilson [13]*) *Let $k \leq n$ be positive integers and let $\lambda = (1^k, 0^{s-k})$ be the partition with k copies of 1 and $s-k$ copies of 0, for some $s \geq k$. Let $\mathbf{a} = ((s-1)^{n-k})$ be the constant sequence with $n-k$ copies of $s-1$.*

The graded \mathfrak{S}_n -module $V_n(\mathbf{a})$ is isomorphic to $R_{n,\lambda}$ after grading reversal and twisting by the sign representation.

Question 22. By considering more general parameters \mathbf{a} , can the superspace Vandermondes $\delta_n(\mathbf{a})$ be used to give models for the quotient rings $R_{n,\lambda}$ for more general partitions λ as subspaces of Ω_n ?

In the situation of Theorem 21 when $k = s$, Rhoades and Wilson defined [13] an extension $\mathbb{V}_n(\mathbf{a})$ of the module $V_n(\mathbf{a})$ by introducing n new commuting variables y_1, \dots, y_n and closing under polarization operators. The space $\mathbb{V}_n(\mathbf{a})$ is a doubly graded \mathfrak{S}_n -module, and it was conjectured [13] that its bigraded character is given by the symmetric function $\Delta'_{e_{k-1}} e_n$ appearing in the Delta Conjecture [7] of Haglund, Remmel, and Wilson. A solution to Question 22 could lead to representation-theoretic models for more general delta operators Δ'_{s_λ} corresponding to Schur functions s_λ (see [9] for more details on these operators).

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