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### Key Points:

- Antarctic elevation causes asymmetry in climatological inversions, lapse rate feedbacks, and warming between the poles
- In model experiments with flattened Antarctica, strengthened inversions lead to stronger lapse rate feedback and Antarctic amplification
- Shortwave cloud feedback promotes seasonality in flat Antarctic warming; lapse rate feedback more strongly promotes seasonality in Arctic

### Supporting Information:

- Supporting Information S1

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## Antarctic Elevation Drives Hemispheric Asymmetry in Polar Lapse Rate Climatology and Feedback

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**Abstract** The lapse rate feedback is the dominant driver of stronger warming in the Arctic than the Antarctic in simulations with increased CO<sub>2</sub>. While Antarctic surface elevation has been implicated in promoting a weaker Antarctic lapse rate feedback, the mechanisms in which elevation impacts the lapse rate feedback are still unclear. Here we suggest that weaker Antarctic warming under CO<sub>2</sub> forcing stems from shallower, less intense climatological inversions due to limited atmospheric heat transport above the ice sheet elevation and elevation-induced katabatic winds. In slab ocean model experiments with flattened Antarctic topography, stronger climatological inversions support a stronger lapse rate feedback and annual mean Antarctic warming comparable to the Arctic under CO<sub>2</sub> doubling. Unlike the Arctic, seasonality in warming over flat Antarctica is mainly driven by a negative shortwave cloud feedback, which exclusively dampens summer warming, with a smaller contribution from the winter-enhanced lapse rate feedback.

**Plain Language Summary** Models project stronger surface warming in the Arctic than the Antarctic under climate change. A climate feedback in which more warming occurs near the surface than at higher altitudes in the atmosphere promotes this stronger Arctic warming. Antarctica's surface elevation is thought to weaken this feedback in comparison to the Arctic, but how this occurs is unclear. Here we show that Antarctic elevation weakens surface warming by changing the base state vertical temperature structure. When Antarctic topography is flattened in model experiments, Antarctica experiences more warming under climate change, resembling Arctic warming. Similarly to the Arctic, flat Antarctica warms most during the winter, but this seasonality is driven by different climate feedbacks in the Arctic versus Antarctic. These results indicate the importance of base state temperatures for warming under climate change and suggest that strong polar amplification is possible without local sea ice loss.

## 1. Introduction

The Arctic has warmed about twice the global average in recent decades in a pattern known as Arctic amplification (Screen & Simmonds, 2010a; Serreze et al., 2009). In contrast, Antarctic amplification is not observed in the same timeframe, and Antarctic warming is dwarfed by Arctic warming in 21st century projections (Marshall et al., 2015; Smith et al., 2019). While Antarctic warming is delayed in part by Southern Ocean upwelling and associated heat uptake (Armour et al., 2016; Marshall et al., 2015), global climate models also project weaker equilibrium warming for the Antarctic than the Arctic (e.g., Danabasoglu & Gent, 2009). In an evaluation of models in the fifth phase of the Coupled Model Intercomparison Project (CMIP5), Goosse et al. (2018) find that the lapse rate feedback is the single greatest factor contributing to this hemispheric asymmetry in polar warming.

In a warming climate, a positive polar lapse rate feedback results from stable temperature inversions that contribute to stronger warming near the surface than aloft, leading to inefficient longwave (LW) emission to space. In the Arctic, the ice-albedo feedback promotes surface warming and thus contributes to a more-positive lapse rate feedback (Feldl et al., 2017; Graverson et al., 2014). Dai et al. (2019) have more recently argued that Arctic sea ice loss enables the lapse rate feedback via increased turbulent heat fluxes and upward LW radiation, enhancing lower tropospheric warming over newly opened ocean. These results align with evidence that sea ice loss promotes seasonality in Arctic warming by enhancing winter heat transfer from the ocean to the atmosphere and strengthening winter LW radiative feedbacks (e.g., Bintanja & van der Linden, 2013; Screen & Simmonds, 2010b). Given the proposed dependence of Arctic lower tropospheric

warming and lapse rate feedback on sea ice loss, a weaker Antarctic lapse rate feedback may be driven by the persistence of the Antarctic ice sheet.

Using fully coupled Community Earth System Model (CESM) experiments, Salzmann (2017) instead finds that Antarctic elevation drives the weaker Antarctic lapse rate feedback. As the sign and magnitude of the lapse rate feedback depends on base state static stability (Cronin & Jansen, 2015; Payne et al., 2015), we expect Antarctic elevation to control the lapse rate feedback through impacts on base state inversions. Confinement of the radiatively active atmospheric column over Antarctica to a shallower layer than in the Arctic may additionally weaken the lapse rate feedback.

With the mechanisms linking Antarctic elevation to a weaker lapse rate feedback still unclear, we investigate hemispheric asymmetry in the polar lapse rate feedback using slab ocean CESM experiments with present-day and flattened Antarctic topography under preindustrial and doubled CO<sub>2</sub>. We analyze Antarctic elevation impacts on climatological inversions, the lapse rate feedback, and polar amplification, in addition to investigating the seasonality of warming in the flat Antarctic compared to the Arctic. These experiments provide insight into hemispheric polar warming differences, with an ultimate goal of better understanding the mechanisms behind polar amplification.

## 2. Data and Methods

### 2.1. Model Experiments

To investigate hemispheric asymmetry in the lapse rate feedback, we use CESM (Hurrell et al., 2013) Version 1.2.2 with the Community Atmosphere Model Version 4 (CAM4; Neale et al., 2013). CAM4 has a horizontal resolution of  $0.9^\circ \times 1.25^\circ$  and 26 vertical levels, with vertical grid spacing further described in Richter et al. (2014). For all experiments, CAM4 is coupled to a slab ocean forced with a spatially heterogeneous monthly climatology of ocean heat flux convergence derived from a fully coupled preindustrial control simulation (Bitz et al., 2012). Differing from Salzmann (2017) in the use of a slab ocean rather than fully coupled model, these experiments isolate the role of Antarctic elevation in determining the equilibrium climate response to CO<sub>2</sub> forcing and exclude potential effects of ocean heat uptake changes on the lapse rate feedback (e.g., Po-Chedley et al., 2018; Singh et al., 2018). CESM also uses the Community Land Model Version 4 (CLM4; Oleson et al., 2010) and the Los Alamos Sea Ice Model Version 4 (CICE4; Hunke & Lipscomb, 2008).

We perform CESM experiments with present-day topography, referred to as the control Antarctic, or with the elevation of Antarctica flattened to 0 m above sea level, referred to as the flat Antarctic. Branching from preindustrial runs for the control and flat Antarctic, CO<sub>2</sub> is abruptly doubled from 285 to 570 ppm. We run each simulation for 50 years and calculate climatologies over the final 30 years. Net top-of-atmosphere (TOA) energy imbalances of  $-0.09 \text{ W/m}^2$  (control and preindustrial),  $-0.06 \text{ W/m}^2$  (control and doubled CO<sub>2</sub>),  $-0.14 \text{ W/m}^2$  (flat and preindustrial), and  $-0.09 \text{ W/m}^2$  (flat and doubled CO<sub>2</sub>) indicate near equilibrium for all experiments in this period.

### 2.2. Radiative Feedbacks

We calculate the lapse rate, Planck, surface albedo, water vapor, and cloud radiative feedbacks using the radiative kernel method (Shell et al., 2008; Soden et al., 2008). This method calculates radiative feedbacks as the product of (a) the change in radiative flux per unit change in a given climate variable, termed the radiative kernel, and (b) the modeled change in this climate variable normalized by the surface temperature change. While the Planck feedback is defined by propagating the surface temperature change through the entire troposphere, the lapse rate feedback calculates the effect of departures from this vertically uniform temperature change. To calculate cloud feedbacks, the kernel method is used to determine the effect of non-cloud variables (temperature, water vapor, and surface albedo) on the change in cloud radiative forcing ( $\Delta\text{CRF}$ ), and this cloud masking effect is subtracted from the total  $\Delta\text{CRF}$  (Shell et al., 2008; Soden et al., 2008). We calculate the residual term by subtracting the kernel-estimated TOA radiation change from the modeled TOA radiation change, normalizing by the surface temperature change.

Following Goosse et al. (2018), we also calculate feedback contributions to polar warming ( $\Delta\text{TS}$ ) by dividing the energetic contribution of each feedback ( $\lambda_i\Delta\text{TS}$ ), CO<sub>2</sub> forcing ( $F$ ), change in atmospheric heat transport convergence ( $\Delta\text{AHT}$ ), and residual term ( $R\Delta\text{TS}$ ) by the magnitude of the Planck response in either the

control Antarctic (Figure 3b) or control Arctic (Figure 3c) ( $\lambda_{p,c}$ ), where  $\lambda'_p = \lambda_p - \lambda_{p,c}$  is the difference between the local Planck response ( $\lambda_p$ ) and  $\lambda_{p,c}$ :

$$\Delta TS = -F/\lambda_{p,c} - \lambda'_p \Delta TS/\lambda_{p,c} - \sum_i \lambda_i \Delta TS/\lambda_{p,c} - \Delta AHT/\lambda_{p,c} - R \Delta TS/\lambda_{p,c}. \quad (1)$$

For this study, changes in climate variables are obtained from the doubled CO<sub>2</sub> minus preindustrial simulations, and radiative kernels are taken from Shell et al. (2008), calculated with an off-line radiative transfer version of the Community Atmospheric Model, Version 3 (CAM3). Feedbacks are calculated with respect to local rather than global surface temperature change (Armour et al., 2013; Feldl & Roe, 2013), and Arctic and Antarctic regional averages are defined for 70°N to 90°N and 70°S to 90°S, respectively.

For the flat Antarctica experiment, we apply zonally averaged kernels from the Arctic between 60°N and 90°N to the flat Antarctic between 60°S and 90°S, shifted by 6 months. As the standard kernels do not exist below the Antarctic surface elevation, this allows for feedback calculation with the assumption that climate variable effects on TOA radiation for the flat Antarctic are similar to the Arctic. We note that radiative kernels are affected by climatological characteristics such as cloud patterns and land-ocean distributions, which differ between hemispheres. However, the flipped kernel method is supported by similar climatological winter temperature profiles in the flat Antarctic and control Arctic, with similar inversion depth and intensity (section 3.1) and representative profiles (Figure S1a in the supporting information). We note that summer temperatures are colder in the flat Antarctic than the Arctic (Figure S1b) likely due to the absence of leads over Antarctica. Nevertheless, both summer and winter demonstrate strong agreement between the modeled and kernel-estimated LW TOA radiation change in the flat Antarctic (Figure S2). Annual LW and short-wave (SW) kernel-estimated changes in TOA radiation approximate the modeled TOA radiation change for the flat Antarctic almost as well as the control Antarctic experiments (Figure S3), further supporting this flipped kernel method. An alternative estimation of the SW feedbacks using the approximate partial radiative perturbation (APRP) technique (Taylor et al., 2007) produces control and flat Antarctic feedbacks that are similar to those calculated using the kernel method (Figure S9).

### 2.3. Atmospheric Heat Transport

We calculate AHT across each latitude using the poleward integral of the difference between TOA and surface energy fluxes (and seasonal atmospheric energy storage for seasonal averages) from monthly model output (e.g., Donohoe et al., 2020; Kay et al., 2012). We calculate latent heat transport as the poleward integral of evaporation minus precipitation at a given latitude, multiplied by the latent heat of vaporization, and dry static AHT as the residual between total AHT and latent AHT. As in Kay et al. (2012), we estimate polar AHT convergence by dividing the change in AHT at the edge of polar regions by the surface area of these regions. The change in AHT is then normalized by the Planck feedback for the AHT warming contribution and by the polar surface temperature change for the AHT feedback.

We also determine the vertical structure of AHT due to stationary eddies (SE) and transient eddies (TE) from CESM monthly output at 60°N and 60°S. For the vertical structure of TE transport, we neglect the potential energy term in Donohoe et al. (2020), which is small at high latitudes. Vertical structures of AHT due to SE and TE are calculated as

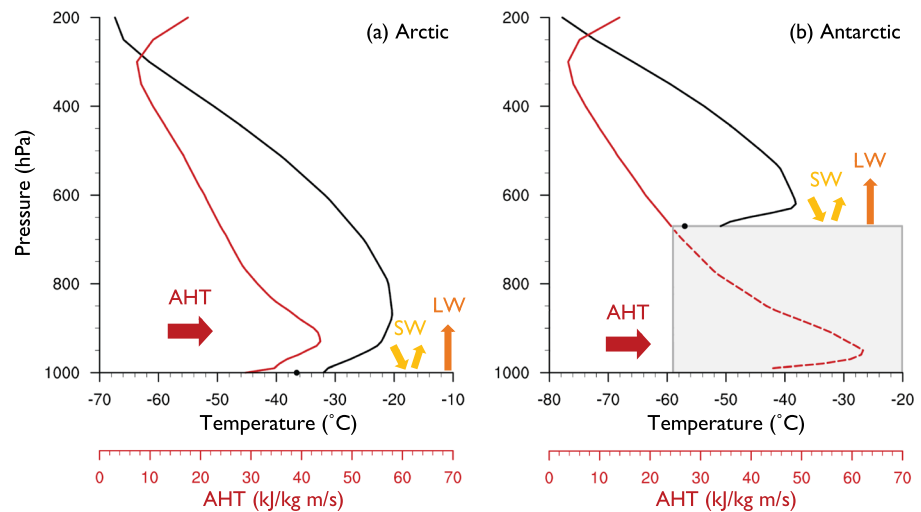
$$SE = \left[ \overline{V^* MSE^*} \right] \quad (2)$$

and

$$TE = \left[ c_p (\overline{VT} - \overline{V} \overline{T}) + L (\overline{VQ} - \overline{V} \overline{Q}) \right], \quad (3)$$

where  $V$  is meridional velocity,  $MSE$  is moist static energy,  $c_p$  is the specific heat of air,  $L$  is the latent heat of vaporization of water,  $T$  is atmospheric temperature, and  $Q$  is specific humidity. Square brackets indicate zonal averages, overbars indicate monthly means, and asterisks denote departures from the zonal mean.

For comparison with CESM, we include vertical profiles of AHT and temperature from the ERA-Interim (Dee et al., 2011) and National Centers for Environmental Prediction-National Center for Atmospheric



**Figure 1.** Radiative-advective equilibrium schematic using the CESM preindustrial control topography temperature and AHT. Vertical profiles of winter poleward AHT due to transient and stationary eddies at (a) 60°N and (b) 60°S (red; dashed red indicates AHT below the surface pressure of the Antarctic ice sheet at 90°S, illustrated by gray shaded region; kJ/kg m/s) and winter temperature at (a) 90°N and (b) 90°S (black; dot for surface temperature; °C).

Research (NCEP-NCAR) (Kalnay et al., 1996) reanalyses, using 6-hourly fields for 1979–2018 to calculate SE and TE following Donohoe et al. (2020).

#### 2.4. Inversion Depth and Intensity

Following Zhang et al. (2011), we define surface-based inversion depth as the geopotential thickness between the surface pressure and the first pressure level above which temperature decreases with height, and we define inversion intensity as the difference in temperature between these two levels.

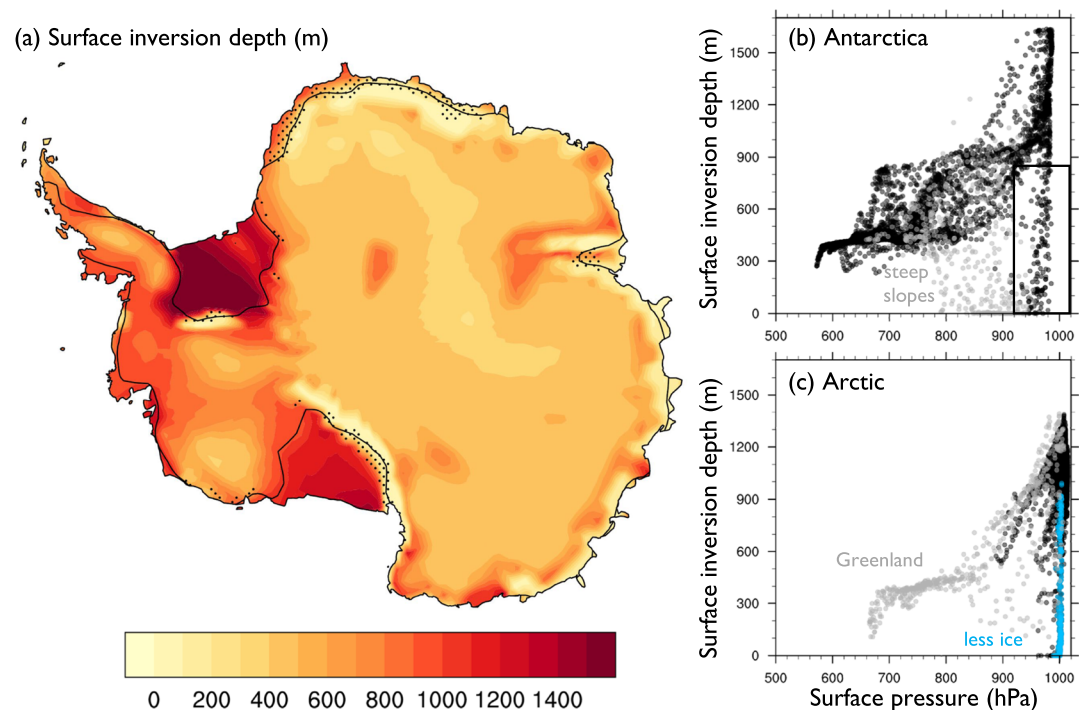
### 3. Results

#### 3.1. Climatological Polar Inversion Asymmetry

Antarctic surface elevation drives modeled differences in base state surface inversion depth and intensity between the poles. Using the CESM preindustrial control simulation during Arctic winter, Figure 1a shows a schematic of radiative-advective equilibrium, which controls base state inversions in polar regions (Cronin & Jansen, 2015; Payne et al., 2015). In this framework, LW cooling and weak surface solar absorption promote cold near-surface temperatures, while poleward AHT (shown at 60°N) maintains warmer temperatures aloft. As is also seen in the NCEP-NCAR and ERA-Interim reanalyses (Figure S4), AHT supporting these inversions maximizes in the lower troposphere (around 900 hPa), near the tropospheric temperature maximum. We propose that surface elevations above this level of maximum AHT promote shallower, radiatively driven winter inversions in the Antarctic (Figure 1b).

This preindustrial temperature profile at 90°S demonstrates CESM's ability to resolve shallow surface inversions (here 50 hPa deep) matching radiosonde observations over the Antarctic Plateau, although the model underestimates the intensity of observed mean wintertime inversions, which exceed 20 K at South Pole Station (Hudson & Brandt, 2005). Weaker winter inversions over coastal slopes in CESM (Figures 2a and S5d) align well with coastal Antarctic radiosonde observations, which indicate typical depths shallower than 300 m and intensities less than 5 K (Zhang et al., 2011).

Considering CESM preindustrial winter inversion depth over the entire control Antarctic ice sheet, the deepest inversions are found where surface elevations are below the level of maximum poleward AHT (black contour, Figure 2a). Shallower winter inversions exist over the Antarctic Plateau, and inversion depth generally increases with increasing surface pressure over Antarctica (Figure 2b). Points with shallow inversions for a given surface pressure are located on (gray points, Figure 2b) or at the bottom of (boxed points, Figure 2b; stippling, Figure 2a) steep slopes (Figure S5a), where inversions may be disturbed by mixing due to katabatic winds (Vihma et al., 2011).



**Figure 2.** Winter surface-based inversion depth (m) for (a) Antarctica, (b) Antarctica from 70°S to 90°S, and (c) the Arctic from 70°N to 90°N from the CESM preindustrial control topography experiment. Black contour in (a) shows where the winter surface pressure equals 950 hPa: the level of the maximum AHT due to transient and stationary eddies during winter at 60°S. Gray points in (b) indicate surface slopes greater than 0.007. Boxed points in (b), with surface pressure greater than 920 hPa and inversion depth less than 850 m, correspond to stippled regions in (a). In (c), blue points indicate winter sea ice fraction less than 0.97, and gray points are located over Greenland.

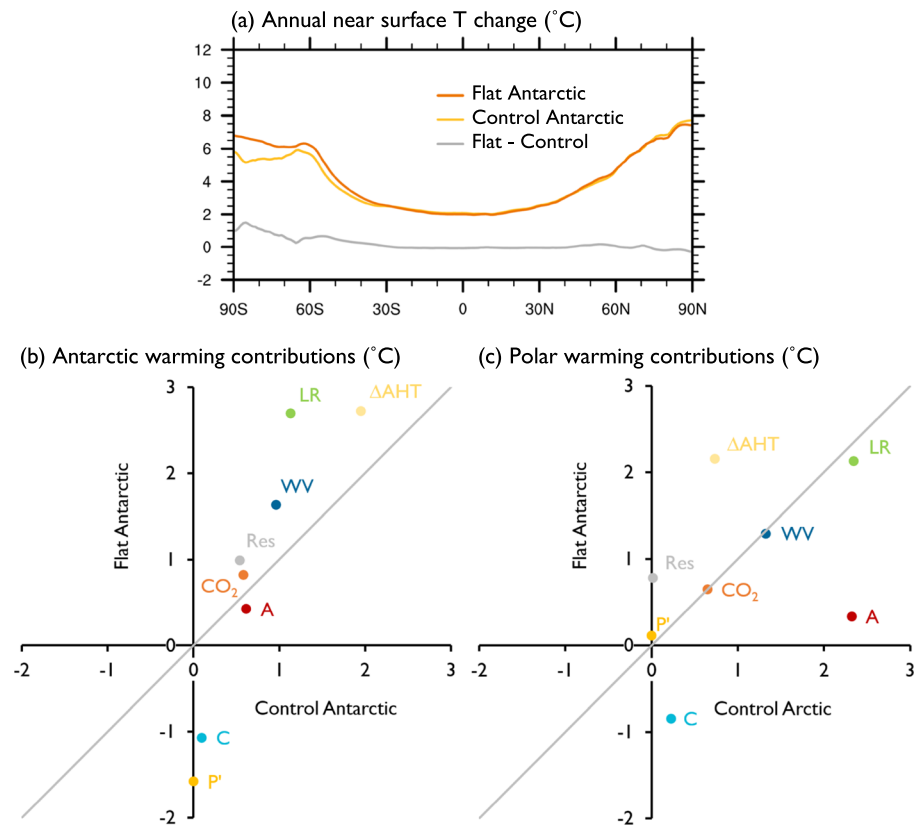
In contrast to Antarctica, most points in the Arctic are at higher surface pressures, allowing AHT to support deep inversions (Figures 2c and S5c). Inversions are shallower over the Greenland ice sheet, particularly over steep slopes, and in regions with low sea ice fraction. While intense, shallow inversions (Figure S5d) exist at high Antarctic elevations, many areas show weaker inversion intensity than the Arctic (Figure S5f), especially over steep Antarctic slopes. These inversions in CESM are consistent with radiosonde observations of generally shallower, weaker inversions in the Antarctic compared to the Arctic (Zhang et al., 2011).

In comparison to the control Antarctic, inversions in the flat Antarctic preindustrial experiment are intensified and deepened, particularly over steep slopes (Figures S5b, S5e, S6a, and S6b). An average inversion depth of 583 m and intensity of 7.5 K over the control Antarctic increases to 846 m and 11.9 K over the flat Antarctic, more comparable with the average inversion depth of 854 m and intensity of 9.0 K in the Arctic. We next investigate the extent to which these stronger, deeper climatological inversions in the flat Antarctic simulation, which more closely resemble Arctic inversions, may promote an Arctic-like lapse rate feedback and stronger Antarctic amplification.

### 3.2. Polar Amplification and Lapse Rate Feedback Asymmetry

In contrast to control Antarctic warming (5.4 K) under doubled CO<sub>2</sub>, flat Antarctic warming (6.3 K) is more comparable to control Arctic warming (6.7 K; Figure 3a) in the annual mean. Applying Equation 1, we find that the largest contributor to stronger amplification in the flat Antarctic is the lapse rate feedback (Figure 3b). A more-positive water vapor feedback and  $\Delta$ AHT also support enhanced flat Antarctic warming, while more-negative Planck and cloud feedbacks and a less-positive albedo feedback oppose flat Antarctic warming. The LW residual term is similar for the control and flat Antarctic, although the SW residual term is slightly larger in the flat Antarctic (Figure S7a). Flat Antarctica experiences lapse rate, water vapor, and Planck warming contributions nearly equivalent to the Arctic, while a larger  $\Delta$ AHT and residual term in the flat Antarctic partly balance more-positive albedo and cloud feedbacks in the Arctic (Figure 3c).



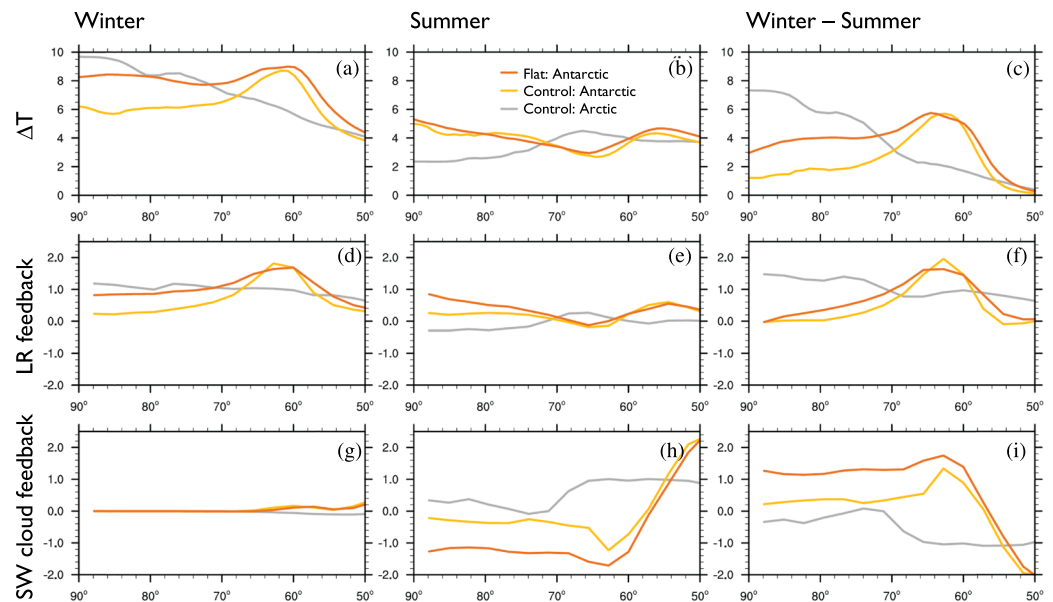


**Figure 3.** (a) Zonal and annual mean near-surface temperature change (°C) under CO<sub>2</sub> doubling in the control (yellow) and flat (orange) Antarctic experiments and their difference (gray). (b and c) Contributions of each feedback and atmospheric forcing to warming (°C) for the flat Antarctic compared to (b) the control Antarctic and (c) the control Arctic for: the lapse rate (LR), surface albedo (A), water vapor (WV), and cloud (C) feedbacks; the variation in the Planck response from its value in (b) the control Antarctic and (c) the control Arctic (P'); CO<sub>2</sub> forcing (CO<sub>2</sub>); change in AHT convergence (ΔAHT); and residual term (Res).

Figures S7b–S7g show the response to CO<sub>2</sub> doubling for flat minus control Antarctic topography experiments for various climate variables relevant for feedbacks (left) and the difference for the radiative kernels (right), where  $T$  is atmospheric temperature,  $TS$  is surface temperature,  $q$  is specific humidity, and  $\alpha$  is albedo. The strengthened lapse rate feedback in the flat Antarctic is supported both by more surface-trapped warming in response to CO<sub>2</sub> doubling (more-negative  $\Delta(T-TS)$ ) and by a more-negative  $T$  kernel due to deepening and moistening the atmospheric column (Figures S7b and S7c). While it is difficult to quantify exactly how much of the increased lapse rate feedback in the flat versus control Antarctic stems from the deeper troposphere alone, we find that only 26% of this increase can be obtained from simply extending the control Antarctic  $\Delta(T-TS)$  from the lowest elevations above the ice sheet to all pressure levels below the ice sheet and multiplying by the flat Antarctic temperature kernel. This suggests that the increased lapse rate feedback over flat Antarctica is strongly driven by enhanced surface-trapped warming, rather than simply a deeper atmospheric column.

Surface-trapped warming enhancement for the flat Antarctic appears largest over the Transantarctic Mountains and coastal slopes, where steep slopes promote shallow, weak inversions in the control topography experiment (Figure S7b). Particularly for these regions, increased preindustrial inversion depth and intensity in the flat experiment support stronger low-level warming (Figure S6) and an enhanced lapse rate feedback.

Both the stronger water vapor feedback and weaker surface albedo feedback in the flat Antarctic are supported by deepening and moistening the atmospheric column. This amplifies the greenhouse effect of water vapor by increasing the column-integrated specific humidity and strengthening the water vapor kernel



**Figure 4.** Zonal mean (a–c) near surface temperature change ( $^{\circ}\text{C}$ ), (d–f) lapse rate feedback ( $\text{W}/\text{m}^2/\text{K}$ ), and (g–i) shortwave (SW) cloud feedback ( $\text{W}/\text{m}^2/\text{K}$ ) under  $\text{CO}_2$  doubling in the control Arctic (gray) and control (yellow) and flat (orange) Antarctic for (a, d, and g) winter, (b, e, and h) summer, and (c, f, and i) winter minus summer.

(Figures S7d and S7e). The deeper atmospheric column over flat Antarctica also dampens surface albedo impacts on TOA radiation, and this weaker (less-negative) albedo kernel (Figure S7g) drives a weakened albedo feedback in the flat Antarctic. Since feedbacks are normalized by local surface temperature change, the more-negative flat Antarctic Planck feedback shown in Figure S7a can be attributed to a stronger atmospheric temperature kernel due to a warmer, deeper emitting column.

The more-negative cloud feedback in the flat versus control Antarctic is explained by more-negative SW cloud forcing (Figures S8a and S11aa). The flat Antarctic experiences a larger increase in cloud cover and cloud water path due to increased low-level liquid-bearing clouds under  $\text{CO}_2$  doubling (Figures S8d and S8e), supporting a stronger negative SW cloud feedback. Preindustrial cloud water path (not shown) is also larger for the flat Antarctic, likely due to an extended lower atmosphere, enhanced water vapor transport, and increased stability. Areas of stronger sea ice loss (Figure S8c) for the flat Antarctic also correspond to increased cloud water path and SW forcing.

Increased preindustrial AHT toward the Antarctic balances stronger cooling to space over flat Antarctica (Figure S10a), consistent with Singh et al. (2016) and Salzmann (2017). Under doubled  $\text{CO}_2$ , AHT to the flat Antarctic also increases more, largely due to enhanced southward latent AHT (Figure S10b).  $\Delta\text{AHT}$  and feedback calculations for these slab ocean experiments using the kernel method produce similar results to Salzmann (2017), who employ partial radiative perturbation (PRP) feedback computations for the transient response to  $\text{CO}_2$  doubling in fully coupled runs: flat Antarctica experiences stronger lapse rate and water vapor feedbacks and increased poleward  $\Delta\text{AHT}$ , opposed by more-negative Planck and cloud feedbacks and a less-positive albedo feedback.

### 3.3. Radiative Feedback Contributions to Seasonality in Arctic and Antarctic Amplification

Enhanced warming in the flat Antarctic compared with the control topography experiment occurs predominantly during the winter season (Figures 4a–4c), with similar summer warming for flat and control experiments. This produces a greater difference between winter and summer warming (4.0 K) in the flat Antarctic compared to the elevated Antarctic (2.1 K), more comparable with control Arctic warming seasonality (5.3 K). To investigate what causes enhanced seasonality in warming in the flat Antarctic experiment, we compare winter and summer climate feedbacks. Feedbacks shown are normalized by the annual mean local warming, although we find similar results for normalizing by seasonal warming. Figures 4d–4i highlight seasonal lapse rate and SW cloud feedbacks, with seasonality for all feedbacks shown in Figure S11.

In the Arctic, the lapse rate feedback strongly promotes greater warming in winter than summer (Figure 4f), consistent with Pithan and Mauritsen (2014). This enhanced winter lapse rate feedback is driven by stronger base state inversions in winter compared to summer, when sea ice melting keeps surface temperatures near the freezing point. While strong lapse rate feedback seasonality occurs over Southern Ocean sea ice, seasonality in this feedback is weaker over Antarctica for both the control and flat experiments. Flat Antarctica experiences stronger seasonality in warming than control Antarctica due in part to strengthened winter inversions, but the primary feedback enhancing flat Antarctic warming seasonality is the SW cloud feedback.

Due to polar night during winter, enhanced SW cloud cooling in the flat Antarctic occurs exclusively during summer (Figure 4h). While the lapse rate and water vapor feedbacks promote greater warming for the flat Antarctic throughout the year, greater warming seasonality over flat Antarctica results largely from the SW cloud feedback damping only summertime warming. About two thirds of the difference in SW cloud feedback seasonality between control and flat Antarctica arise from cloud radiative forcing, with the other third coming from differences in the cloud masking term. A weaker summer surface albedo feedback over flat Antarctica also contributes slightly to stronger winter versus summer warming, with stronger albedo feedback seasonality changes over sea ice (Figure S11d).

#### 4. Conclusions

With a goal of understanding the mechanisms driving lapse rate feedback differences between the Arctic and Antarctic, we compare CESM slab ocean experiments with control and flattened Antarctic topography under preindustrial and doubled CO<sub>2</sub> forcing. We find climatological differences in CESM preindustrial Arctic and Antarctic inversions, supported by radiosonde observations. Limited poleward atmospheric heat transport above ice sheet elevations, in addition to mixing due to katabatic winds on steep slopes, drives shallower, weaker inversions for the Antarctic than the Arctic. Combined with weaker emission from the relatively shallow and dry Antarctic atmospheric column, these weaker Antarctic inversions prohibit the strong positive lapse rate feedback seen in the Arctic. In contrast, over the flat Antarctic, stronger climatological inversions support a lapse rate feedback that is comparable to that in the Arctic, with nearly equivalent degrees of polar amplification in each hemisphere in these slab ocean experiments. While the seasonality of warming in the flat Antarctic is also more comparable to the Arctic, this seasonality is supported by different climate feedbacks at each pole: lapse rate feedback seasonality contributes strongly to enhanced winter warming in the Arctic, while a negative SW cloud feedback that only applies to nonwinter months contributes more to flat Antarctic seasonality.

As noted by Salzmann (2017), enhanced Antarctic amplification in flat Antarctica experiments suggests that reduced Antarctic surface elevation due to mass loss would accelerate Antarctic amplification under climate change. In the context of previous studies considering the relative roles of sea ice and the lapse rate feedback for polar amplification (e.g., Dai et al., 2019; Graversen et al., 2014), investigating Antarctic amplification can also provide insight into mechanisms supporting Arctic amplification. Flat Antarctic experiments here and in Salzmann (2017) demonstrate that strong, Arctic-like polar amplification is possible without local sea ice loss, although nonlocal sea ice loss in the Southern Ocean likely contributes to Antarctic amplification through changes in AHT. Our experiments additionally indicate that the strongest seasonality in the lapse rate feedback occurs in regions with sea ice: even over the flattened Antarctic continent, lapse rate feedback seasonality pales in comparison to lapse rate feedback seasonality over the Southern Ocean and Arctic. In line with Cronin and Jansen (2015) and Payne et al. (2015), enhanced flat Antarctic warming supports the dependence of the lapse rate feedback on base state inversions, which themselves depend on sea ice concentration and surface albedo in the Arctic. Further work to disentangle the lapse rate feedback from sea ice effects may clarify how they will change separately and in tandem under climate change.

#### Data Availability Statement

CESM model output relevant for study figures is available at <https://doi.org/10.5281/zenodo.3735441> (Hahn et al., 2020). ERA-Interim data were provided by the ECMWF Data Archive (at <https://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=pl/>), and NCEP data were provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado (at <https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.pressure.html>).



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