

Interplay between superconductivity and non-Fermi liquid at a quantum critical point in a metal. IV. The γ model and its phase diagram at $1 < \gamma < 2$

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In this paper we continue with our analysis of the interplay between the pairing and the non-Fermi liquid behavior in a metal for a set of quantum-critical (QC) systems with an effective dynamical electron-electron interaction $V(\Omega_m) \propto 1/|\Omega_m|^\gamma$, mediated by a critical massless boson (the γ -model). In previous papers we considered the cases $0 < \gamma < 1$ and $\gamma \approx 1$. We argued that the pairing by a gapless boson is fundamentally different from BCS/Eliashberg pairing by a massive boson as for the former there exists not one but an infinite discrete set of topologically distinct solutions for the gap function $\Delta_n(\omega_m)$ at $T = 0$ ($n = 0, 1, 2, \dots$), each with its own condensation energy $E_{c,n}$. Here we extend the analysis to larger $1 < \gamma < 2$. We argue that the discrete set of solutions survives, and the spectrum of $E_{c,n}$ get progressively denser as γ increases towards 2 and eventually becomes continuous at $\gamma \rightarrow 2$. This increases the strength of “longitudinal” gap fluctuations, which tend to reduce the actual superconducting T_c compared to the onset temperature for the pairing and give rise to a pseudogap region of preformed pairs. We also detect two features on the real axis, which develop at $\gamma > 1$ and also become critical at $\gamma \rightarrow 2$. First, the density of states evolves towards a set of discrete δ -functions. Second, an array of dynamical vortices emerges in the upper frequency half plane, near the real axis. We argue that these two features come about because on a real axis, the real part of the dynamical electron-electron interaction, $V'(\Omega) \propto \cos(\pi\gamma/2)/|\Omega|^\gamma$, becomes repulsive for $\gamma > 1$, and the imaginary $V''(\Omega) \propto \sin(\pi\gamma/2)/|\Omega|^\gamma$, gets progressively smaller at $\gamma \rightarrow 2$. We speculate that the features on the real axis are consistent with the development of a continuum spectrum of the condensation energy, for which we used $\Delta_n(\omega_m)$ on the Matsubara axis. We consider the case $\gamma = 2$ separately in the next paper.

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I. INTRODUCTION

In this paper, we continue our analysis of the competition between non-Fermi liquid (NFL) physics and superconductivity (SC) near a quantum-critical point (QCP) of an itinerant correlated electron system, whose low-energy properties are described by an effective, momentum-integrated dynamical four-fermion interaction $V(\Omega_m)$. We consider a set of quantum-critical systems, for which the effective interaction has the form $V(\Omega_m) = \bar{g}^\gamma/|\Omega_m|^\gamma$ (the γ -model). This interaction gives rise to NFL behavior in the normal state, with $\Sigma(\omega_m) \propto \omega_m^{1-\gamma}$, and at the same time mediates an attraction in at least one pairing channel, specific to the underlying microscopic model. The two tendencies compete with each other as a NFL self-energy reduces the pairing strength, while the feedback from the pairing reduces fermionic self-energy. In Ref. [1] we listed quantum-critical systems, whose low-energy physics is described by the γ -model with different γ , and presented a long list of references to earlier publications on this subject. In that and subsequent papers, Refs. [2,3], hereafter referred to as Papers I–III, respectively, our group analyzed the behavior of the γ -model for $0 < \gamma < 1$ (at $T = 0$ in Paper I and at a finite T in Paper II), and at around $\gamma = 1$ (in Paper III). In Paper I, we found that the system does

become unstable towards pairing, i.e., in the ground state the pairing gap $\Delta(\omega_m)$ is nonzero. However, in a qualitative distinction with BCS (Bardeen-Cooper-Schrieffer)/Eliashberg theory of a pairing by a massive boson, we found an infinite discrete set of solutions for the gap function, $\Delta_n(\omega_m)$, specified by an integer $n = 0, 1, 2, \dots$. All solutions have the same spatial gap symmetry, but are topologically distinct in the sense that $\Delta_n(\omega_m)$ changes sign n times as a function of Matsubara frequency (we discuss topological aspects in this paper). A gap function $\Delta_n(\omega_m)$ remains approximately $\Delta_n(0)$ at $\omega_m \leq \Delta_n(0)$, oscillates n times at $\Delta_n(0) < \omega_m < \bar{g}$, and at larger frequencies decays as $1/|\omega_m|^\gamma$. The magnitude of $\Delta_n(0)$ decreases with n and at large n scales as $\Delta_n(0) \propto e^{-An}$, where A is a function of γ . In the limit $n \rightarrow \infty$, Δ_∞ is infinitesimally small and is the solution of the linearized gap equation. In Paper II we found that $\Delta_n(\omega_m)$ emerge at different onset temperatures $T_{p,n}$. The temperature $T_{p,n}$ and the condensation energy at $T = 0$, $E_{c,n}$, are the largest for $n = 0$, yet the existence of an infinite set of minima of the free energy is a qualitatively new feature of the pairing at a QCP. In Paper III we argued that the system behavior is continuous through $\gamma = 1$, despite that the fermionic self-energy and the pairing vertex diverge at $T = 0$ for $\gamma \geq 1$. The divergencies cancel out in the gap equation in a way similar to cancellation

of contributions from nonmagnetic impurities in a BCS superconductor. As the consequence, the gap functions $\Delta_n(\omega_m)$ and the condensation energies $E_{c,n}$ still form a discrete set for $\gamma \geq 1$, in which $E_{c,0}$ is the largest [4].

In this paper we study the γ -model for γ in the range $1 < \gamma < 2$. Our goal is to understand whether the presence of an infinite number of gap functions has physical consequences. The most natural conjecture is that the existence of the set gives rise to a new branch of “longitudinal” gap fluctuations, what increases the strength of phase fluctuations and may create a pseudogap, preformed pair region between the onset temperature for the pairing and the actual superconducting T_c . However, this is by no means guaranteed first of all because each $E_{c,n}$ is proportional to the total number of particles in the system, and potential barriers between minima with different n are infinitely high. Strong fluctuating effects are possible only if the spectrum of condensation energies gets dense and eventually becomes a continuous one.

We argue that this happens when γ is larger than one. We show that the spectrum of $E_{c,n}$ gets denser when γ increases and for $\gamma \leq 2$ splits into two subsets, one for $n < n_{cr}$ and another for $n > n_{cr}$, where $n_{cr} \sim 1/(2 - \gamma)$. Condensation energies for $n < n_{cr}$ get progressively closer to $E_{c,0}$ as γ approaches 2, while for $n > n_{cr}$, $E_{c,n}$ depends on the ratio of two large numbers n and n_{cr} , which is a near-continuous quantity. In the limit $\gamma \rightarrow 2$, n_{cr} tends to infinity, and $E_{c,n}$ for all finite n collapse into a single $E_{c,0}$, while for $n \rightarrow \infty$, the condensation energy becomes a continuous function of n/n_{cr} , whose value is determined by how the double limit $n \rightarrow \infty$ and $n_{cr} \rightarrow \infty$ (i.e., $\gamma \rightarrow 2$) is taken. This creates a continuous gapless spectrum of longitudinal fluctuations.

We present the numerical evidence for the collapse of the condensation energy obtained by analyzing how the functional form of the eigenfunctions $\Delta_n(\omega_m)$ with different finite n evolve with γ . We argue that the frequency range, where $\Delta_n(\omega_m)$ changes sign n times, shrinks, and moves to progressively smaller frequencies at $\gamma \rightarrow 2$, consistent our assertion that in this limit $E_{c,n}$ with finite n tend to $E_{c,0}$.

The transformation of the spectrum of $\Delta_n(\omega_m)$ and $E_{c,n}$ from a discrete to a continuous one is a rather nontrivial phenomenon, and we study it from different corners. We find corroborative evidence by analyzing the gap function on the Matsubara axis. We then analyze how the gap function evolves on the real axis and in the upper half plane of frequency. For a generic complex $z = \omega' + i\omega''$ ($\omega'' > 0$), $\Delta_n(z)$ is a complex function: $\Delta_n(z) = \Delta'_n(z) + i\Delta''_n(z)$, and we can define its phase as $\eta_n(z) = \text{Im} \log \Delta_n(z)$. Our reasoning to focus on the real axis for γ between one and two stems from the fact that the interaction there, $V(\Omega) \propto e^{i\pi\gamma/2}/|\omega|^\gamma$, is complex, and its real part, $V'(\Omega) = \cos(\pi\gamma/2)/|\omega|^\gamma$, becomes repulsive for $\gamma > 1$, while the imaginary part $V''(\Omega) = \sin(\pi\gamma/2)/|\omega|^\gamma$ vanishes at $\gamma \rightarrow 2$. Meanwhile, on the Matsubara axis, $V(\Omega_m) \propto 1/|\Omega_m|^\gamma$ remains attractive.

We show that this gives rise to a dichotomy between the behavior of the gap function on the Matsubara and the real axis at frequencies larger than \bar{g} . Namely, on the Matsubara axis, all $\Delta_n(\omega_m)$ decrease as $1/|\omega_m|^\gamma$ [n sign changes of $\Delta_n(\omega_m)$ occur at $\omega_m < \bar{g}$]. On the real axis, $\Delta_n(\omega)$ display this power-law behavior only at large enough $\omega > \omega_{cr}$, where $\omega_{cr} \sim \bar{g}/(2 - \gamma)^{1/2}$, while at $\bar{g} < \omega < \omega_{cr}$, $\Delta_n(\omega)$ for all n

oscillate, and the phase of the gap winds up by $2\pi m$, where m is an integer. The value of m depends on γ , but not on n , and approaches infinity when $\gamma \rightarrow 2$. We trace these oscillations to the existence of an exponentially small and seemingly irrelevant oscillating term in $\Delta_n(\omega_m)$ on the Matsubara axis. We argue that this term becomes the dominant one in the gap function upon analytical continuation to the real axis. We compute the density of state (DoS) and show that for $\gamma > 1$ it develops a set of maxima and minima, and for $\gamma \rightarrow 2$ it evolves towards a set of discrete δ -functions, i.e., for $\gamma \rightarrow 2$, the energy levels available to paired quasiparticles effectively get quantized.

We next consider the gap function in the upper frequency half plane. We show that the phase winding by $2\pi m$ along the real axis between \bar{g} and ω_{cr} implies the presence of m dynamical vortices in the same range of $|z|$ in the upper frequency half plane. We identify the vortices as the points where $\Delta(z) = 0$ and show that each vortex crosses into the upper frequency half plane from the lower one at some $\gamma_i > 1$. The number of vortices is determined by γ and is the same for all n . At $\gamma \rightarrow 2$, the number of vortices increases, the location of vortex points z_i becomes independent on n , and the array of vortices [points where $\Delta_n(z_i) = 0$] extends to $|z| \rightarrow \infty$. Simultaneously, oscillations of $\Delta_n(\omega)$ on the real axis also extend to an infinite frequency. This implies that at $\gamma \rightarrow 2$, the value of $\Delta_n(|z| \rightarrow \infty)$ depends on the path, i.e., there is an essential singularity at $|z| \rightarrow \infty$.

The presence of an essential singularity is crucial by the following reason. Once the set of vortices at z_i becomes infinite and the points z_i accumulate at $|z| \rightarrow \infty$, one can use it as an input and obtain $\Delta_n(\omega_m)$ by analytically continuing from the set onto the Matsubara axis. If an essential singularity was not there, the analytic continuation would be unique and one would obtain $\Delta_n(z) = 0$ everywhere. A finite $\Delta_n(z)$ emerges only because of essential singularity, whose presence also makes the analytic continuation nonunique. The outcome here is that the gap functions Δ_n , which form a continuum spectrum on the Matsubara axis and give rise to a continuum, massless spectrum of the condensation energies, originate from an essential singularity at $|z| = \infty$ on the complex frequency half plane. At $\gamma < 2$, the number of vortices remains finite, and the spectrum of $\Delta_n(\omega_m)$ remains discrete.

The structure of the paper is the following. In Sec. II we briefly review the model and the gap equation. In Sec. III we analyze the structure of $\Delta_n(\omega_m)$ along the Matsubara axis for $1 < \gamma < 2$. In Sec. IIIA we clarify our assertion that the gap functions $\Delta_n(\omega_m)$ with different n are topologically distinct. We show that each nodal point of $\Delta_n(\omega_m)$ is a dynamical vortex with circulation 2π , such that $\Delta_n(\omega_m)$ is a gap function with n dynamical vortices and is a dynamical analog of a nodal topological superconductor. This holds for any $\gamma > 0$. In Sec. IIIB we present the exact solution of the linearized gap equation, $\Delta_\infty(\omega_m)$ for $\gamma > 1$ and show that it has a small oscillating component, not present for smaller γ . In Sec. IIIC we analyze the sign-preserving solution $\Delta_{n=0}(\omega_m)$. We show that at vanishing ω_m , $\Delta_0(\omega_m)$ tends to a finite $\Delta_0(0) \sim \bar{g}$, and at $\omega_m > \bar{g}$, it decreases as $1/|\omega_m|^\gamma$. In Sec. IIID we analyze $\Delta_n(\omega_m)$ with a finite n and the corresponding condensation energy $E_{c,n}$. We show that at $\omega_m < \bar{g}$, $\Delta_n(\omega_m)$ oscillates n times as function of $\log |\omega_m|$ and then saturates at $\Delta_n(0)$,

which decrease exponentially with n , while at $\omega_m > \bar{g}$, it decreases as $1/|\omega_m|^\gamma$ for all n . We show that as γ increases towards 2, the spectrum of $E_{c,n}$ progressively splits into two subsets, one for $n < n_{cr} \sim 1/(2 - \gamma)$ and the other for $n > n_{cr}$. In Sec. III D 2 we discuss the evolution of $\Delta_n(\omega_m)$ and the corresponding onset temperatures $T_{p,n}$ at $\gamma \rightarrow 2$.

In Sec. IV we analyze the gap equation along real frequency axis. We present the gap equation in Sec. IV A and solve it for $n = \infty$ in Sec. IV B, for $n = 0$ in Sec. IV C, and for a finite n in Sec. IV D. We show a complex $\Delta_n(\omega)$ oscillates n times at $\omega < \bar{g}$. This holds for all γ . Beyond that, for $\gamma > 1$, $\Delta_n(\omega)$ with any n oscillates at $\bar{g} < \omega < \omega_{cr}$, and the phase of the gap function winds up by an integer number of 2π in this region.

In Sec. V we analyze the gap function $\Delta(z)$ in the upper frequency half plane, at $z = \omega' + i\omega''$. We again consider separately the cases $n = \infty$ (Sec. V A), $n = 0$ (Sec. V B), and a finite n (Sec. V C). We show that besides n vortices on the Matsubara axis, which are present for all γ , another set of vortices appears for $\gamma > 1$. These new dynamical vortices are located near the real axis, at $\bar{g} < |z| < \omega_{cr}$, and cause oscillations on the real axis in the same range of ω . Each vortex from this new set moves into the upper half plane of frequency from the lower one at a particular discrete γ_i . The number of these vortices increases as $\gamma \rightarrow 2$, and they line up along a particular path in the upper frequency half plane.

We present our conclusions in Sec. VI. Some technical details of calculations are presented in the Appendix. The case $\gamma = 2$ requires special consideration and we will analyze it in the next paper, where we also discuss in detail the behavior of the superfluid stiffness and the interplay between the pair formation and the true superconductivity.

II. γ -MODEL

We consider itinerant fermions at a QCP, interacting by exchanging fluctuations of a critical order parameter. At a QCP, the propagator of a soft boson becomes massless and mediates singular interaction between fermions. We assume that this interaction is attractive in at least one pairing channel and that a pairing boson can be treated as slow mode compared to a fermion, i.e., at a given momentum q , typical fermionic frequency is much larger than typical bosonic frequency. In this situation, the ratio of typical bosonic and fermionic frequencies is a small parameter, analogous to the Migdal parameter in the electron-phonon case. This small parameter allows one to neglect vertex corrections and explicitly integrate fermionic and bosonic propagators over the momentum components. As a result, the problem reduces to a set of coupled integral equations for frequency dependent fermionic self-energy and the pairing vertex, in which an input is the effective, singular, frequency dependent interaction $V(\Omega_m) = \bar{g}^\gamma / |\Omega_m|^\gamma$. The exponent γ is different for different microscopic systems. This model, nicknamed the γ -model, has been introduced in Paper I, and we refer readers to the list of references in that paper to earlier works on the justification of the model and its relation to various microscopic quantum-critical systems with momentum and frequency-dependent interaction.

The local interaction $V(\Omega_m)$ contributes to the fermionic self-energy $\Sigma(k_F, \omega_m) = \Sigma(\omega_m)$ and the pairing vertex

$\Phi(\omega_m)$. The coupled equations for $\Sigma(\omega_m)$ and $\Phi(\omega_m)$ have the same structure as Eliashberg equations for a dispersion-less phonon, albeit with the exponent γ , instead of 2, and for shortness we will be calling them “Eliashberg equations.”

The superconducting gap function $\Delta(\omega_m)$ is defined as

$$\Delta(\omega_m) = \omega_m \frac{\Phi(\omega_m)}{\tilde{\Sigma}(\omega_m)} = \frac{\Phi(\omega_m)}{1 + \Sigma(\omega_m)/\omega_m}. \quad (1)$$

At a finite T the Eliashberg gap equation is

$$\Delta(\omega_m) = \bar{g}^\gamma \pi T \sum_{m' \neq m} \frac{\Delta(\omega'_m) - \Delta(\omega_m) \frac{\omega'_m}{\omega_m}}{\sqrt{(\omega'_m)^2 + \Delta^2(\omega'_m)}} \frac{1}{|\omega_m - \omega'_m|^\gamma}. \quad (2)$$

The gap function is defined up to an overall $U(1)$ phase factor, which we set to zero. The gap equation for infinitesimally small $\Delta(\omega_m)$

$$\Delta(\omega_m) = \bar{g}^\gamma \pi T \sum_{m'} \frac{\Delta(\omega'_m) - \Delta(\omega_m) \frac{\omega'_m}{\omega_m}}{|\omega'_m| |\omega_m - \omega'_m|^\gamma} \quad (3)$$

determines the onset temperature for the pairing. As in previous papers, we label this temperature T_p . Within Eliashberg theory, it is the same as superconducting T_c , but in the presence of fluctuations T_p is generally larger than T_c .

III. GAP FUNCTION ON THE MATSUBARA AXIS, $T = 0$

In Paper I we showed that at $T = 0$ and $\gamma < 1$, Eq. (2) has an infinite number of topologically distant solutions, $\Delta_n(\omega_m)$, all with the same spatial gap symmetry. A gap function with a given n tends to a finite value at $\omega_m = 0$ and decays as $1/|\omega_m|^\gamma$ at $\omega_m \geq \bar{g}$, but in between it changes sign n times. In Paper III we showed that each of the two terms in the rhs of (2) (separate contributions from the pairing vertex and the fermionic self-energy) diverges for $\gamma \geq 1$ as $\int d\Omega_m / |\Omega_m|^\gamma$. However, the two divergencies cancel out, and $\Delta_n(\omega_m)$ at $T = 0$ evolve smoothly through $\gamma = 1$. We now extend the analysis to γ between 1 and 2. We show that the forms of $\Delta_n(\omega_m)$ remain qualitatively the same as for smaller γ , yet the spectrum of condensation energies become progressively more dense. We also argue that when γ increases towards 2, the spectrum of condensation energy $E_{n,c}$ becomes more and more dense, and in the limit $\gamma \rightarrow 2$ all $E_{n,c}$ with finite $n < n_{cr}$ become almost equal to $E_{0,c}$, while $E_{n > n_{cr},c}$ form a continuous spectrum.

A. Nodal points on the Matsubara axis as dynamical vortices

Before we discuss $\Delta_n(\omega_m)$, we pause for a moment and elaborate on the notion that $\Delta_n(\omega_m)$ are topologically different. We argue that each nodal point is a dynamical vortex, i.e., $\Delta_n(\omega_m)$ is an n -vortex state—a dynamical analog of a nodal topological superconductor.

For definiteness, let us compare the behavior of sign-preserving $\Delta_0(\omega_m)$ and of $\Delta_1(\omega_m)$, and $\Delta_2(\omega_m)$, which change sign once and twice between $\omega_m = 0$ and $\omega_m = \infty$, respectively. We show these functions in the left panel of Fig. 1. Suppose that $\Delta_1(\omega_m)$ changes sign at $\omega_m = \omega_1$. Near this frequency, $\Delta_1(\omega_m) = -c(\omega_m - \omega_1)$, where $c > 0$ for consistency with the figure. Let us analytically continue $\Delta_1(\omega_m)$ to a near vicinity of the Matsubara axis, to $z = \omega' + i\omega''$ (on the Matsubara axis, $z = i\omega_m$). Because $\Delta_1(\omega_m)$ is nonsingular,

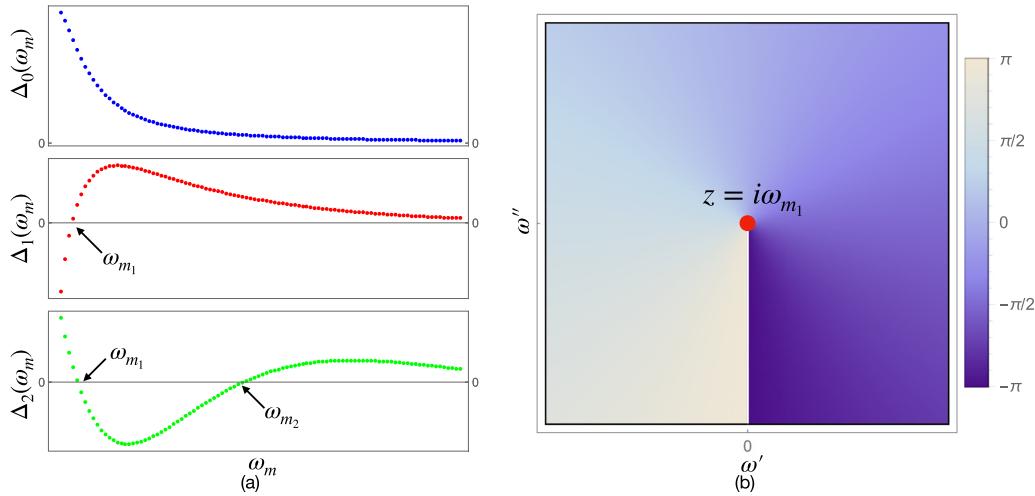


FIG. 1. Left panel: The schematic forms of the first three solutions of the gap equation $\Delta_0(\omega_m)$, $\Delta_1(\omega_m)$ and $\Delta_2(\omega_m)$. A gap function $\Delta_n(\omega_m)$ changes sign n times at $\omega_m > 0$. Right panel: Variation of the phase of $\Delta_1(z)$ ($z = \omega' + i\omega''$) around the nodal point at $\omega_m = \omega_{m1}$. Anticlockwise circulation of the phase around $i\omega_{m1}$ is 2π . The same holds for all other nodal points.

$\Delta_1(z) = \Delta_1(i\omega_m \rightarrow \omega' + i\omega'')$. For any nonzero ω' , $\Delta_1(z)$ is a complex function: $\Delta_1(z) = \Delta'_1(z) + i\Delta''_1(z)$, and we can introduce the phase of $\Delta_1(z)$ as

$$\eta_1(z) = \text{Im}[\log \Delta_1(z)]. \quad (4)$$

We plot the variation of $\eta_1(z)$ around $z = i\omega_1$ in the right panel of Fig. 1. We see that the phase varies by 2π upon anticlockwise circulation around ω_1 . This implies that the nodal point at ω_1 is in fact the center of a dynamical vortex. One can verify that $\Delta_n(\omega_m)$ with a generic n contains n vortices, each with anticlockwise circulation 2π .

One can straightforwardly verify that n vortices on the Matsubara axis give rise to $2\pi n$ phase variation on the real axis, between $-\infty$ and ∞ . To see this, one should compute $\int_{-\infty}^{\infty} d\omega \partial \eta_1(\omega) / \partial \omega$ by closing the integration contour over the upper half plane. The function $\partial \eta_1(\omega) / \partial \omega$ is analytic in the upper half plane except for the nodal points where it has simple poles. Modifying the contour to circle out each nodal point, one obtains $2\pi n$ phase variation from the vortices. In addition, there is also the $\pi \gamma$ from the integral over the upper half-circle, due to the fact that at the largest frequencies, $\Delta_n(z) \propto 1/(-z^2)^{\gamma/2}$. This form is consistent with $\Delta_n(\omega_m) \propto 1/|\omega_m|^\gamma$, as one can verify by Cauchy relation.

In Fig. 2 we show $\Delta_n(z)$, where $z = \omega' + i\omega''$, for $n = 0, 1$, and 2 , obtained by analytic continuation from $\Delta_n(\omega_m)$ in Fig. 1 using the Padé approximants method [5]. We see that $\eta_n(\omega + i0^+)$ indeed winds by $2\pi n$.

We now analyze the full frequency dependence of $\Delta_n(\omega_m)$ for $1 < \gamma < 2$. We first consider separately the opposite limits $n = \infty$ and $n = 0$ and then discuss a finite n .

B. Frequency dependence of $\Delta_n(\omega_m)$: The case $n = \infty$

The gap function $\Delta_\infty(\omega_m)$ is a potential solution of the linearized gap equation

$$\Delta_\infty(\omega_m) = \frac{\bar{g}^\gamma}{2} \int d\omega'_m \frac{\Delta_\infty(\omega'_m) - \Delta_\infty(\omega_m) \frac{\omega'_m}{\omega_m}}{|\omega'_m|} \frac{1}{|\omega_m - \omega'_m|^\gamma}. \quad (5)$$

We found that the solution exists and obtained the exact form of $\Delta_\infty(\omega_m)$. We emphasize that the solution exists despite that $T = 0$ is not a critical point for superconductivity. We will see below that nonlinear gap equation at $T = 0$ has solution(s) with a finite gap magnitude. The solution exists only at a QCP, when the pairing is mediated by a gapless boson.

We present technical details of the analysis of Eq. (5) in the Appendix and here quote the result. It is convenient to introduce

$$y = \left(\frac{|\omega_m|}{\bar{g}} \right)^\gamma. \quad (6)$$

The gap function $\Delta(\omega_m) = \Delta_\infty(y)$

$$\Delta_\infty(y) = \int_{-\infty}^{\infty} dk b_k e^{-ik \log y} \quad (7)$$

is the Fourier transform of b_k given by

$$b_k = \frac{e^{-i[I_k + k \log(\gamma-1)]}}{\{\cosh[\pi(k-\beta)] \cosh[\pi(k+\beta)]\}^{1/2}}. \quad (8)$$

Here

$$I_k = \frac{1}{2} \int_{-\infty}^{\infty} dk' \log |\epsilon_{k'} - 1| \tanh \pi(k' - k), \quad (9)$$

$$\epsilon_{k'} = \frac{1-\gamma}{2} \frac{\Gamma\left[\frac{\gamma}{2}(1+2ik')\right] \Gamma\left[\frac{\gamma}{2}(1-2ik')\right]}{\Gamma(\gamma)} \left(1 + \frac{\cosh \pi \gamma k'}{\cos \pi \gamma/2}\right), \quad (10)$$

and $\beta > 0$ is the solution of $\epsilon_\beta = 1$. We plot $\epsilon_{k'}$ in Fig. 3(a). The value of β depends on γ and evolves between $\beta \approx 0.79$ for $\gamma = 1$ and $\beta \approx 0.39$ for $\gamma = 2$.

The gap function $\Delta_\infty(y)$ can be straightforwardly obtained numerically. We show the results in Fig. 4 for $\gamma = 1.91$. At small y , i.e., at $\omega_m < \bar{g}$, the gap function oscillates down to the lowest frequencies with the period set by $\log y$. At $y > 1$, i.e., at $\omega > \bar{g}$, oscillations end, and $\Delta_\infty(y)$ gradually decreases with increasing y . By practical reasons, we set the lowest

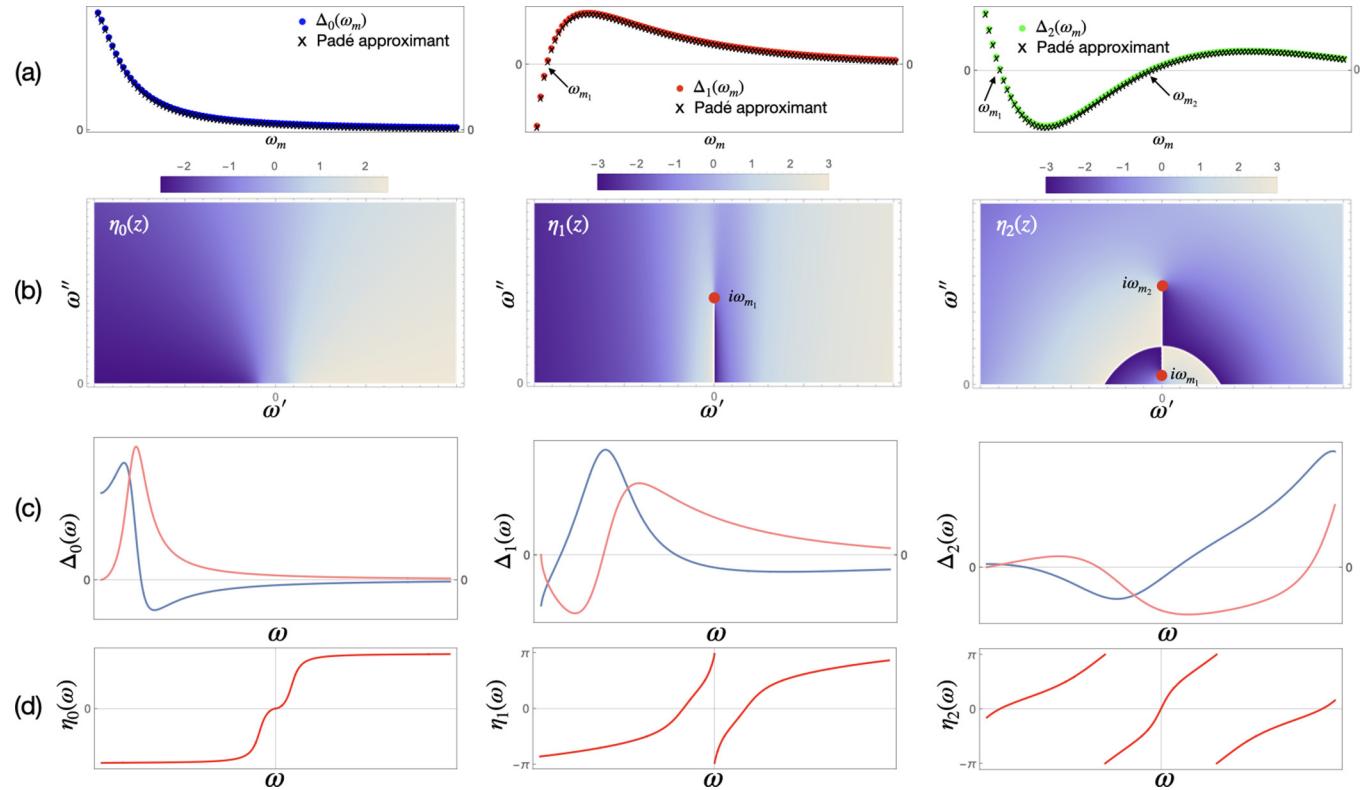


FIG. 2. Analytic continuation of $\Delta_n(\omega_m)$ in Fig. 1 to the upper half plane ($i\omega_m \rightarrow z = \omega' + i\omega''$) using the Padé approximant. (a) The accuracy of fitting $\Delta_n(\omega_m)$ by the Padé approximant. (b) The phase of the gap function in the upper frequency half plane, $\eta_n(z) = \text{Im}[\log \Delta(z)]$. The locations of the vortices are marked by red dots. (c) The gap function $\Delta_n(\omega)$ along the real axis. (d) Variation of $\eta_n(\omega)$ along the real axis. Each vortex gives rise to 2π phase variation. For convenience of presentation, we confined $\eta_n(\omega)$ to $(-\pi, \pi)$. In this convention, $\eta_n(\omega)$ winds by $2\pi n$ due to vortices.

frequency in Fig. 4 at $10^{-18}\bar{g}$. There are 10 zeros of $\Delta_\infty(\omega)$ (10 vortex points) above this frequency.

The limiting forms of $\Delta_\infty(y)$ at small and large y can be obtained analytically. At $y \ll 1$ we find

$$\Delta_\infty(y) \sim y^{1/2} \cos(\beta \log y + \phi), \quad (11)$$

where ϕ is a γ -dependent number. At $y \gg 1$

$$\Delta_\infty(y) \sim \frac{1}{y}. \quad (12)$$

The corrections to (11) hold in powers of y and form two series, local and nonlocal, depending on whether they come

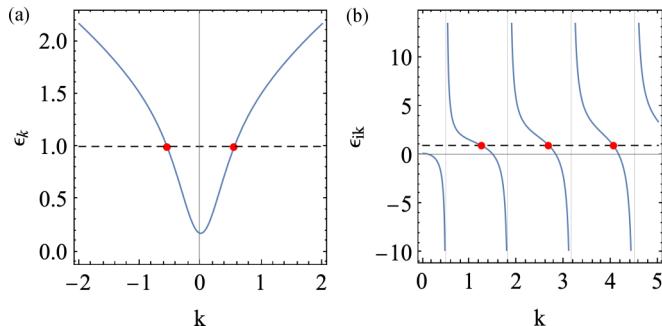


FIG. 3. Functions ϵ_k (a) and ϵ_{ik} (b) for $\gamma = 1.5$. The red dots show the solutions $\epsilon_\beta = 1$ and $\epsilon_{i\beta_m} = 1$ ($m = 0, 1, 2, \dots$).

from fermions with running frequencies of order ω_m or much larger frequencies, of order \bar{g} . The full $\Delta_\infty(y)$ for $y < 1$ is

$$\Delta_\infty(y) = \text{Re} \sum_{n=0}^{\infty} e^{(i\beta \log y + \phi)} C_n y^{n+1/2} + \sum_{n,m=0}^{\infty} D_{n,m} y^{(n+\beta_m+1/2)}, \quad (13)$$

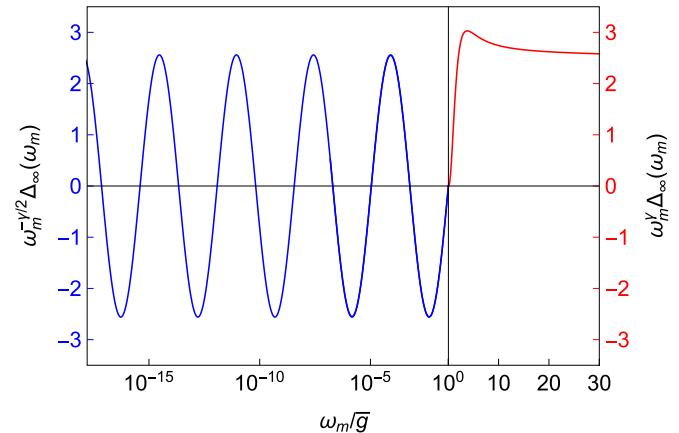


FIG. 4. The exact solution $\Delta_\infty(\omega_m)$ for $\gamma = 1.91$. To better display the distinctive power-law behavior, we use different scales for $\omega > \bar{g}$ and $\omega < \bar{g}$.

where the first term describes the sum of the leading term and the local corrections, and the second term describes the nonlocal corrections. In the latter, $\beta_m > 0$ are the solutions of $\epsilon_{i\beta_m} = 1$ for imaginary argument (the same as in Fig. 3). There is an infinite set of such β_m , specified by integer $m = 0, 1, 2, \dots$ and located at $1/2 + 2m/\gamma < \beta_m < 1/2 + 2(m+1)/\gamma$; see Fig. 3(b).

For $y > 1$, the corrections to (12) hold in powers of $1/y$ and also form two series:

$$\Delta_\infty(y) = \sum_{n=0}^{\infty} C_n^> \left(\frac{1}{y}\right)^{(n+1)} + \sum_{n,m=0}^{\infty} D_{n,m}^> \left(\frac{1}{y}\right)^{[n+1+2(m+1)/\gamma]}. \quad (14)$$

Equation (12) is the $C_0^>$ term in this series.

1. Distinction between $\Delta_\infty(y)$ at $\gamma < 1$ and $\gamma > 1$

The limiting forms of $\Delta_\infty(y)$ at small and large y and the general structure of $\Delta_\infty(\omega_m)$ for $\gamma > 1$ are the same as we obtained earlier for $\gamma < 1$. However, on a more careful look, we found that there is a qualitative distinction between the two cases for $y > 1$. Namely, for $\gamma < 1$, the series in (14) are convergent, while for $\gamma > 1$, series expansion in (14) holds only up to a certain $n_{\max} \sim y^{1/(\gamma-1)}$, while instead of power series with larger n , there appears an oscillating term (see below). The value n_{\max} is large for $y \gg 1$, such that this term has an exponentially small prefactor $e^{-y^{1/(\gamma-1)}}$. Yet, we will see below that once Δ_∞ is analytically continued from the Matsubara to real axis, the exponential smallness is reduced, particularly near $\gamma = 2$, and the oscillating term becomes the dominant contribution to $\Delta_\infty(\omega)$ over a wide frequency range and gives rise to the emergence of a new vortex structure in the upper half plane of frequency.

The difference between $\Delta_\infty(y \gg 1)$ for $\gamma < 1$ and $\gamma > 1$ originates from change of the behavior of $\epsilon_{k'}$ in Eq. (9). At $\gamma < 1$, $\epsilon_{k'}$ vanishes at $k' \rightarrow \infty$, while at $\gamma > 1$, $\epsilon_{k'}$ diverges at large k' as

$$\epsilon_{k'} = (A_\gamma |k'|)^{\gamma-1}(\gamma-1), \\ A_\gamma = \gamma \left\{ \frac{\pi}{2\Gamma(\gamma) \cos[\pi(2-\gamma)/2]} \right\}^{\frac{1}{\gamma-1}}. \quad (15)$$

Substituting this form into (9) and integrating over k' , we find that at large k ,

$$I_k + k \log(\gamma-1) = -(\gamma-1)k \log \frac{A_\gamma k}{e}. \quad (16)$$

The integral comes from $k' \sim k$, what justifies using the form of $\epsilon_{k'}$ at large k' . Substituting (16) into (8) and approximating the denominator in (8) by its value at large k , we obtain

$$b_k \approx e^{-\pi|k|} e^{i(\gamma-1)k \log \frac{A_\gamma k}{e}}. \quad (17)$$

Substituting this b_k into (7), we find the contribution to $\Delta_\infty(y)$ in the form

$$\Delta_\infty(y) \sim \int_{k_{\min}}^{\infty} e^{-\pi k} \cos \left[(\gamma-1)k \log \frac{y^{1/(\gamma-1)} e}{A_\gamma k} \right], \quad (18)$$

where $k_{\min} = O(1)$. The argument of the cos has a maximum at $k = k^* = y^{1/(\gamma-1)}/A_\gamma$. For large y , this k^* is well inside the range of the integration. Expanding to quadratic order near

the maximum and substituting into (18), we find after some algebra (see the Appendix) that the integral in (18) contains the universal contribution, which we label as Δ_∞^u :

$$\Delta_\infty^u(y) \sim y^{\frac{1}{2(\gamma-1)}} e^{-\frac{\pi}{A_\gamma} y^{\frac{1}{(\gamma-1)}}} \cos \left\{ y^{\frac{1}{(\gamma-1)}} \frac{(\gamma-1)}{A_\gamma} \right. \\ \left. \times \left[1 - \frac{\pi^2}{2(\gamma-1)^2} \right] - \frac{\pi}{4} \right\}. \quad (19)$$

In the actual Matsubara frequencies this formula reads

$$\Delta_\infty^u(\omega_m) \propto |\omega_m|^{\frac{\gamma}{2(\gamma-1)}} e^{-\frac{\pi(|\omega_m|/\bar{g})^{\gamma}/(\gamma-1)}{A_\gamma}} \cos \left\{ \left(\frac{|\omega_m|}{\bar{g}} \right)^{\gamma/(\gamma-1)} \right. \\ \left. \times \frac{(\gamma-1)}{A_\gamma} \left[1 - \frac{\pi^2}{2(\gamma-1)^2} \right] - \frac{\pi}{4} \right\}. \quad (20)$$

The oscillating contribution is exponentially small at large y . It vanishes at $\gamma = 1$ and does not exist at smaller γ . We will return to this contribution in Sec. IV B, where we discuss $\Delta_\infty^u(\omega)$ on the real axis.

C. Frequency dependence of $\Delta_n(\omega_m)$: The case $n = 0$

We now consider the solutions of the nonlinear gap equation (2) at $T = 0$. We begin with sign-preserving solution $\Delta_0(\omega)$. To set the stage, we first quickly review the results for $\gamma < 1$. For such γ , the term with $m' = m$ in the rhs of (2) is nondivergent and can be neglected as it renormalizes the prefactor for $\Delta_0(\omega_m)$ in the rhs by at most $O(1)$. Without this term, we have

$$\Delta_0(\omega_m) = \frac{\bar{g}^\gamma}{2} \int_{-\infty}^{\infty} \frac{d\omega'_m \Delta_0(\omega'_m)}{|\omega_m - \omega'_m|^\gamma \sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2}}. \quad (21)$$

At small ω_m , $\Delta_0(\omega_m) \approx \Delta_0(0)$, and we have

$$\Delta_0(0) = \bar{g}^\gamma \int_0^{\infty} d\omega'_m \frac{\Delta_0(\omega'_m)}{|\omega'_m|^\gamma \sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2}}. \quad (22)$$

The integral is determined by $\omega'_m \sim \Delta_0(\omega'_m)$. For such ω'_m , we can approximate $\Delta_0(\omega'_m)$ by $\Delta_0(0)$ up to $O(1)$ corrections. Evaluating the integral in (22), we then obtain $\Delta_0(0) \sim \bar{g}$. In the opposite limit $\omega_m \gg \bar{g}$, we can pull out $1/|\omega|^\gamma$ from the rhs of (21) and obtain

$$\Delta_0(\omega_m) = \left(\frac{\bar{g}}{|\omega_m|} \right)^\gamma \int_0^{\infty} d\omega'_m \frac{\Delta_0(\omega'_m)}{\sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2}}. \quad (23)$$

The integral is determined by $\omega'_m = O(\bar{g})$ and is $O(\bar{g})$, such that at high frequencies, $\Delta_0(\omega_m) \sim \bar{g}(\bar{g}/|\omega_m|)^\gamma$. The low-frequency and high-frequency forms of $\Delta_0(\omega_m)$ then match at $\omega_m \sim \bar{g}$.

Now we do the same analysis for $\gamma > 1$. For $\gamma > 1$, there is an identity

$$\int_{-\infty}^{\infty} d\omega'_m \frac{1 - \frac{\omega'_m}{\omega_m}}{|\omega_m - \omega'_m|^\gamma} = 0. \quad (24)$$

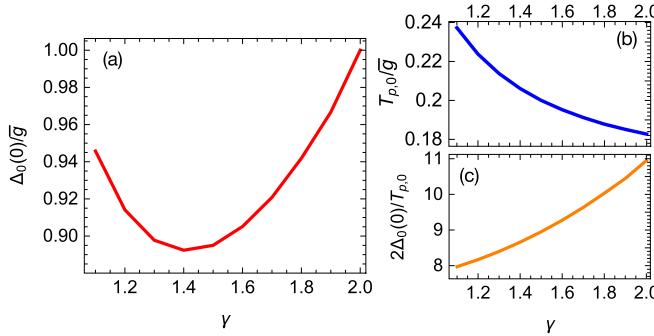


FIG. 5. (a) The solution of Eq. (27) for $\Delta_0(\omega_m = 0)$. (b) The onset temperature of the pairing $T_{p,0}$ vs γ . (c) The ratio $2\Delta_0(0)/T_{p,0}$ vs γ . The ratio is slightly different from the one obtained numerically in Ref. [6] as here we use Eq. (27) for $\Delta_0(\omega_m = 0)$.

We use it to reexpress Eq. (2) as

$$\Delta_0(\omega_m) \left\{ 1 - \frac{\bar{g}\gamma}{2} \int_{-\infty}^{\infty} d\omega'_m \frac{1 - \frac{\omega'_m}{\omega_m}}{|\omega_m - \omega'_m|^{\gamma}} \right. \\ \left. \times \left[\frac{1}{\sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2}} - \frac{1}{\Delta_0(\omega_m)} \right] \right\} \\ = \frac{\bar{g}\gamma}{2} \int_{-\infty}^{\infty} d\omega'_m \frac{\Delta_0(\omega'_m) - \Delta_0(\omega_m)}{|\omega_m - \omega'_m|^{\gamma} \sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2}}. \quad (25)$$

Each integral in (25) is infrared convergent and determined by $\omega'_m \sim \Delta_0(\omega'_m)$.

In the limit $\omega_m \rightarrow 0$, Eq. (25) reduces to

$$\Delta_0(0) \left[1 - \bar{g}^{\gamma} (\gamma - 1) \int_0^{\infty} d\omega'_m \frac{\sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2} - \Delta_0(0)}{\Delta_0(0) \sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2} |\omega'_m|^{\gamma}} \right] \\ = \bar{g}^{\gamma} \int_0^{\infty} \frac{d\omega'_m [\Delta_0(\omega'_m) - \Delta_0(0)]}{\sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2} |\omega'_m|^{\gamma}}. \quad (26)$$

To estimate the magnitude of $\Delta_0(0)$, assume that $\Delta_0(\omega'_m)$ remains approximately equal to $\Delta_0(0)$ for relevant $\omega'_m \leq \Delta_0(\omega'_m)$.

The rhs of (26) then vanishes, and we obtain

$$1 = \bar{g}^{\gamma} (\gamma - 1) \int_0^{\infty} d\omega'_m \frac{\sqrt{\Delta_0^2(0) + (\omega'_m)^2} - \Delta_0(0)}{\Delta_0(0) \sqrt{\Delta_0^2(0) + (\omega'_m)^2} |\omega'_m|^{\gamma}} \\ = \frac{1 - \gamma}{2\sqrt{\pi}} \left[\frac{\bar{g}}{\Delta_0(0)} \right]^{\gamma} \Gamma\left(\frac{1 - \gamma}{2}\right) \Gamma\left(\frac{\gamma}{2}\right). \quad (27)$$

We plot $\Delta_0(0)/\bar{g}$ as a function of γ in Fig. 5(a). We see that $\Delta_0(0)$ is of order \bar{g} and weakly depends on γ . One can further expand $\Delta_0(\omega_m)$ in frequency and find that the expansion is analytic and holds in powers of ω_m^2 . For completeness, we also show the onset temperature $T_{p,0}$ and the ratio $2\Delta_0(0)/T_{p,0}$, both as functions of γ . The results are consistent with earlier calculations [6–9].

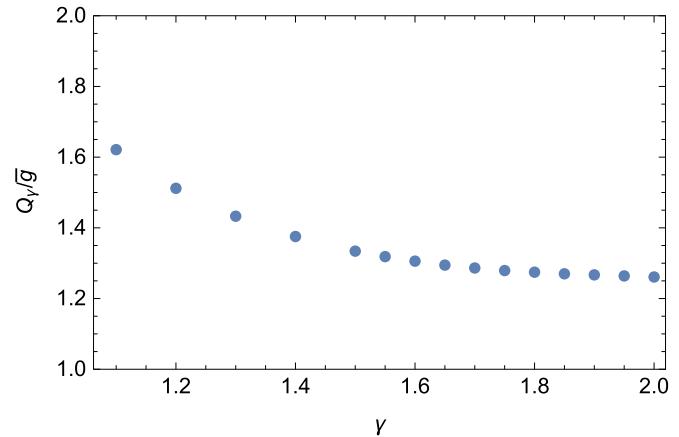


FIG. 6. Q_{γ} , defined in Eq. (29), as a function of γ . To obtain this function, we solved numerically the nonlinear gap equation for sign-preserving $\Delta_0(\omega_m)$ at a small temperature.

In the opposite limit of large ω_m , the prefactor for $\Delta_0(\omega)$ in the lhs of (25) is approximately 1, and $1/|\omega_m|^{\gamma}$ can be pulled out from the integral in the rhs. This yields

$$\Delta_0(\omega_m) \approx Q_{\gamma} \left(\frac{\bar{g}}{|\omega_m|} \right)^{\gamma}, \quad (28)$$

where

$$Q_{\gamma} = \int_0^{\infty} \frac{d\omega'_m \Delta_0(\omega'_m)}{\sqrt{\Delta_0^2(\omega'_m) + (\omega'_m)^2}}. \quad (29)$$

The integral is again determined by frequencies at which $\Delta_0(\omega'_m) \sim \omega'_m$ and is of order $\Delta_0(0)$. Then $\Delta_0(\omega_m) \sim \Delta_0(0)(\bar{g}/|\omega_m|)^{\gamma}$. In Fig. 6 we show Q_{γ} , obtained by solving numerically the nonlinear gap equation for various γ . We see that Q_{γ} is indeed of order $Q_{\gamma} \sim \Delta_0(0) \sim \bar{g}$.

The high-frequency behavior of $\Delta_0(\omega_m)$ in (28) is the same as of $\Delta_{\infty}(\omega_m)$. This is expected because at such frequencies $\Delta_0(\omega_m) \ll \omega_m$. This implies that $\Delta_0(\omega_m)$ has the same exponentially small oscillating term as in Eq. (19). In Sec. IV B we will see how this term evolves upon analytical continuation to the real axis.

D. Frequency dependence of $\Delta_n(\omega_m)$: Finite n

1. A generic γ from $1 < \gamma < 2$

To analyze the behavior of $\Delta_n(\omega_m)$ at a finite n , one has to solve the nonlinear gap equation at $T = 0$ for a class of functions which change sign n times. At $\omega_m > \bar{g}$, $\Delta_n(\omega_m)$ decreases as $1/|\omega_m|^{\gamma}$ and has an exponentially small oscillating piece, the same as in (19). At $\omega_m < \bar{g}$, $\Delta_n(\omega_m)$ oscillates n times and saturates at the smallest ω_m at $\Delta_n(0)$, which decreases with increasing n . To estimate the magnitude of $\Delta_n(0)$ and, more generally, understand why there is a discrete set of solutions, we use the solution of the linearized gap equation, Eq. (11), as an input, and treat the nonlinear terms by expanding in powers of $\Delta_n(\omega_m)/\omega_m$. The gap function, obtained in this way, is represented by the series

$$\Delta_n(y) = \bar{g}y^{1/2} \sum_{m=0}^{\infty} C_n^{2m+1} f_m(y), \quad y = \left(\frac{|\omega_m|}{\bar{g}} \right)^{\gamma}, \quad (30)$$

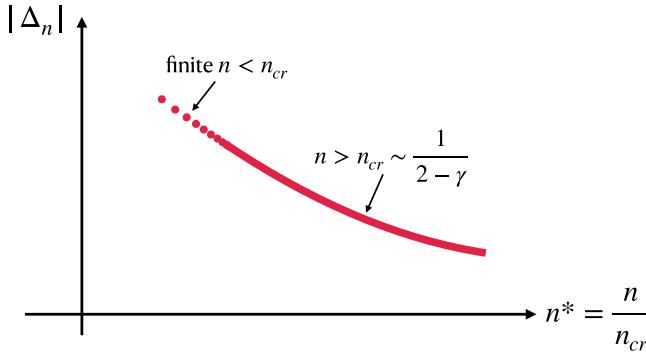


FIG. 7. A schematic dependence of Δ_n on n at $\gamma \rightarrow 2$, at some fixed ω_m . All Δ_n with finite n tend to the same value, while the magnitude of $\Delta_{n \rightarrow \infty}$ depends on the ratio $n^* = n/n_{cr}$, where $n_{cr} \sim 1/(2-\gamma)$.

where $f_0(y) = \cos(\beta \log y + \phi)$, $f_{m \geq 1}(y)$ are obtained in the order-by-order expansion in $\Delta_n(\omega_m)/\omega_m$, and C_n is yet unknown factor. Evaluating the few first $f_m(y)$, we obtain that they are also oscillating functions of $\log y$ with y -dependent prefactors of order $(1/y^{2m})^{1/\gamma-1/2}$. The perturbative expansion holds as long as $C_n < y^{(1/\gamma-1/2)}$. In this range, one can approximate the rhs of (30) by the $m = 0$ term for order-by-magnitude estimates. The function $f_0(y)$ changes sign n times between $y = O(1)$ and $y_{\min} \sim e^{-n\pi/\beta}$. It is natural to associate y_{\min} with the lower boundary of the perturbative expansion. Doing this, we obtain a discrete set of C_n :

$$C_n \sim e^{-\frac{n\pi}{\beta}(1/\gamma-1/2)}. \quad (31)$$

For large n , $\Delta_n(y) \approx \bar{g}y^{1/2}C_n \cos(\beta \log y + \phi)$. For $n = O(1)$, $C_n = O(1)$, and one needs to keep the full series in (30). This reasoning also yields $\Delta_n(0) \sim \bar{g}y_{\min}^{1/2}C_n \sim \bar{g}(y_{\min})^{1/\gamma} \sim \bar{g}e^{-n\pi/(\beta\gamma)}$.

2. $\Delta_n(\omega_m)$ for γ close to 2

Equation (31) shows that for a generic γ , $C_n = O(1)$ for $n = O(1)$, and decays exponentially at larger n . However, for γ close to 2, the dependence on n in (31) is actually via the product $n^* = n(2-\gamma) \sim n/n_{cr}$. In the limit $\gamma \rightarrow 2$, $n^* \rightarrow 0$ for any finite n . The corresponding C_n then become independent on n and coincide with C_0 up to corrections, which become relevant only at the smallest y . At the same time, at $n \rightarrow \infty$, n^* becomes a continuous variable, whose value depends on how the double limit $n \rightarrow \infty$ and $\gamma \rightarrow 2$ is taken. Then $C_{n \rightarrow \infty}$ and $\Delta_{n \rightarrow \infty}$ become continuous functions of n^* [10]. We illustrate this in schematically in Fig. 7. We show the numerical evidence for this behavior in Figs. 8 and 9. We use the fact that each $\Delta_n(\omega_m)$ terminates at its own $T_{p,n}$ and analyze the functional forms of the eigenvalues $\Delta_n(\omega_m)$ at $T_{p,n}$, assuming that these forms do not change significantly between $T_{p,n}$ and $T = 0$. In Fig. 8 we plot the largest frequency at which $\Delta_2(\omega_m)$ changes sign. We see that these frequencies get progressively smaller as γ approaches 2, while at larger ω_m the functional forms of $\Delta_1(\omega_m)$ and $\Delta_2(\omega_m)$ are the same as of $\Delta_0(\omega_m)$. We expect the same to hold for other finite n . On a more careful look, we find that the shift of oscillations to smaller frequencies is related to the reduction

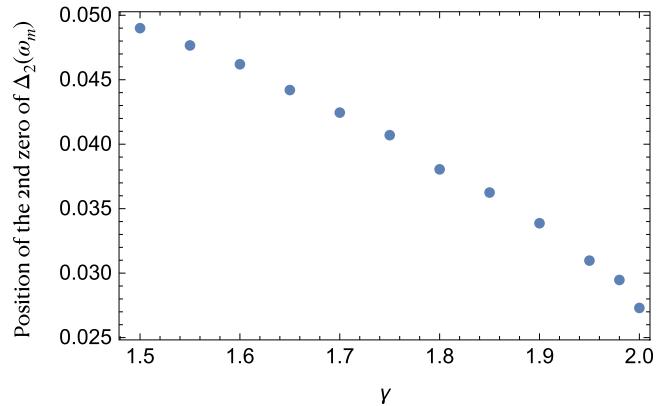


FIG. 8. The positions of the second zero of $\Delta_2(\omega_m)$, as a function of γ . This frequency decreases as $\gamma \rightarrow 2$, implying that sign change at the highest ω_m occurs at progressively smaller frequency. The gap function $\Delta_2(\omega_m)$ has been obtained by solving the linearized gap equation at the corresponding $T_{p,2}$. We conjecture that the functional form of $\Delta_2(\omega_m)$ does not change substantially between $T_{p,2}$ and $T = 0$.

of the corresponding $T_{p,n}$. In Fig. 9 we show $T_{p,n}$ for $n = 1, 2$ as a function of γ . We see that $T_{p,n}$ decreases as γ increases toward 2.

Now, each gap function $\Delta_n(\omega_m)$ generates a certain condensation energy $E_{c,n}$. For a generic $\gamma < 2$, the spectrum of $E_{c,n}$ is discrete, and $E_{c,0}$ is the largest by magnitude. Then, at low $T \ll T_{p,0}$, only the $n = 0$ solution matters, while the existence of other $E_{c,n}$ affects the system behavior only at $T \leq T_{p,0}$. As γ increases towards two, the spectrum of $E_{c,n}$ becomes denser, and $(E_{c,0} - E_{c,n})/E_{c,0}$ progressively gets smaller for any finite n . At $\gamma \rightarrow 2$, the spectrum of $E_{c,n}$ can be viewed as almost continuous spectrum with a small gap, i.e., there emerges a branch of low-energy “longitudinal” gap fluctuations. These fluctuations affect the system behavior beginning at a progressively smaller T , as γ approaches two. At $\gamma = 2 - 0$, the spectrum of condensation energies becomes a gapless continuous function $E_c(n^*)$ with $E_c(0) = E_{c,0}$ and $E_c(\infty) = E_{c,\infty}$. In the next Paper V, where we specifically analyze the case $\gamma = 2$, we show there that gapless longitudinal fluctuations give rise to singular downward renormalization of the stiffness.

IV. GAP FUNCTION ON THE REAL AXIS

The analysis on the Matsubara axis is sufficient for the computation of the condensation energy at $T = 0$ and thermodynamic properties at $T > 0$. For the analysis of transport and spectroscopic properties, one needs to know the gap function on the real frequency axis. In this section we obtain $\Delta_n(\omega)$ for real ω . The gap function on the real axis is complex: $\Delta_n(\omega) = \Delta'_n(\omega) + i\Delta''_n(\omega)$. It is convenient to introduce its ω -dependent phase as $\Delta_n(\omega) = |\Delta_n(\omega)|e^{i\eta(\omega)}$. As usual, $\eta(\omega)$ is defined up to a constant.

A. Eliashberg gap equation on the real axis

The Eliashberg gap equation in real frequencies cannot be obtained by simply replacing ω_m by $-i\omega$ in (2) as $\Delta_n(\omega_m \rightarrow$

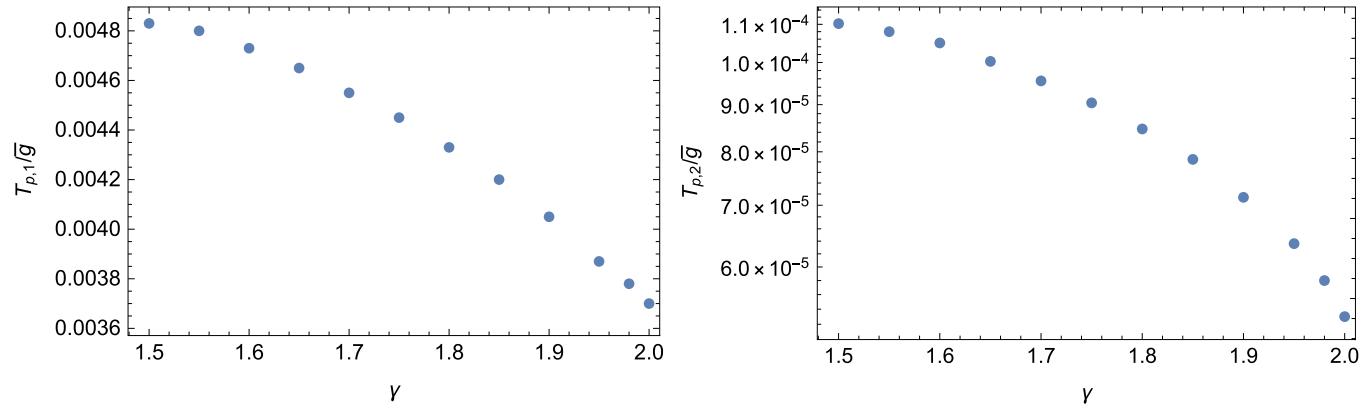


FIG. 9. The onset temperature $T_{p,1}$ (left) and $T_{p,2}$ (right) vs γ . Other $T_{p,n}$ with $n > 2$ are smaller than $T_{p,2}$.

$-i\omega$) would have branch cuts in the upper frequency half plane, while the actual $\Delta(z)$ must be an analytic function.

Below we follow the approach, suggested in Refs [7,11–13] for the electron-phonon problem, i.e., convert to the real axis using the spectral representation $f(i\omega_m) = (1/\pi) \int dx f''(x)/(x - i\omega_m)$ and, where possible, use $\Delta(\omega_m)$, which we treat as the known function. For our γ -model this procedure yields

$$\Delta(\omega)B(\omega) = A(\omega) + C(\omega), \quad (32)$$

where, as before, $D(\omega) = \Delta(\omega)/\omega$, and

$$\begin{aligned} A(\omega) &= \pi T \sum_{\omega_m > 0} \frac{D(\omega_m)}{\sqrt{1 + D^2(\omega_m)}} \\ &\times [V(\omega_m + i\omega) + V(\omega_m - i\omega)], \\ B(\omega) &= 1 + \frac{i\pi}{\omega} T \sum_{\omega_m > 0} \frac{1}{\sqrt{1 + D^2(\omega_m)}} \\ &\times [V(\omega_m + i\omega) - V(\omega_m - i\omega)], \\ C(\omega) &= \frac{i}{2} \int_{-\infty}^{\infty} d\Omega V''(\Omega) \frac{D(\omega - \Omega) - D(\omega)}{\sqrt{1 - D^2(\omega - \Omega)}} \\ &\times \left(\tanh \frac{\omega - \Omega}{2T} + \coth \frac{\Omega}{2T} \right). \end{aligned} \quad (33)$$

The functions $A(\omega)$ and $B(\omega)$ are obtained by just rotating the gap equation from ω_m to $-i\omega$, and the function $C(\omega)$ contains the extra term that cancels out a parasitic contribution from the branch cuts.

The interaction $V(\Omega)$ on the real axis is $V(\omega) = V'(\Omega) + iV''(\Omega)$, where

$$\begin{aligned} V'(\Omega) &= \left(\frac{\bar{g}}{|\Omega|} \right)^\gamma \cos \frac{\pi\gamma}{2}, \\ V''(\Omega) &= \left(\frac{\bar{g}}{|\Omega|} \right)^\gamma \sin \frac{\pi\gamma}{2} \operatorname{sgn}\omega. \end{aligned} \quad (34)$$

Observe that on the Matsubara axis, $V(\Omega_m) = (\bar{g}/|\Omega_m|)^\gamma$ is positive (attractive in our notations), and on a real axis $V'(\omega)$ is negative (repulsive) for $\gamma > 1$.

Below we focus on the case of zero temperature. At $T = 0$, the expressions for A , B , and C reduce to

$$\begin{aligned} A(\omega) &= \bar{g}^\gamma \frac{2}{\pi} \sin \frac{\pi\gamma}{2} \int_0^\infty d\omega_m \frac{D(\omega_m)}{\sqrt{1 + D^2(\omega_m)}} \\ &\times \int_0^\infty \frac{d\Omega}{\Omega^{\gamma-1}} \frac{\Omega^2 - \omega^2 + \omega_m^2}{[(\Omega - \omega)^2 + \omega_m^2][(\Omega + \omega)^2 + \omega_m^2]}, \end{aligned} \quad (35)$$

$$\begin{aligned} B(\omega) &= \left\{ 1 + \bar{g}^\gamma \frac{4}{\pi} \sin \frac{\pi\gamma}{2} \int_0^\infty \frac{d\omega_m}{\sqrt{1 + D^2(\omega_m)}} \right. \\ &\left. \times \int_0^\infty \frac{d\Omega}{\Omega^{\gamma-1}} \frac{\omega_m}{[(\Omega - \omega)^2 + \omega_m^2][(\Omega + \omega)^2 + \omega_m^2]} \right\}, \end{aligned} \quad (36)$$

$$C(\omega) = i\bar{g}^\gamma \sin \frac{\pi\gamma}{2} \operatorname{sgn}\omega \int_0^{|\omega|} \frac{d\Omega}{\Omega^\gamma} \frac{D(|\omega| - \Omega) - D(|\omega|)}{\sqrt{1 - D^2(|\omega| - \Omega)}}. \quad (37)$$

At large ω ,

$$B(\omega) \approx 1 + \left(\frac{\bar{g}}{|\omega|} \right)^\gamma I_\gamma, \quad (38)$$

where

$$I_\gamma = \frac{i}{2} \int_0^\infty \frac{(x - i)^\gamma - (x + i)^\gamma}{(x^2 + 1)^\gamma} = -\frac{\cos \frac{\pi\gamma}{2}}{\gamma - 1}. \quad (39)$$

In the two limits, $I_1 = \pi/2$, $I_2 = 1$.

B. Frequency dependence of $\Delta_n(\omega)$: The case $n = \infty$

We verified that the exact $\Delta_\infty(\omega)$ can be obtained by converting Eq. (7) from the Matsubara to real axis by a rotation, i.e., by replacing ω_m by $-i\omega + \delta$. Under such rotation, $e^{-ik\log y} = e^{-ik\gamma \log |\omega_m|/\bar{g}}$ transforms into $e^{-ik\gamma \log |\omega|/\bar{g}} e^{-(k\pi\gamma/2)\operatorname{sgn}\omega}$. At small $\omega < \bar{g}$, $\Delta'_\infty(\omega)$ and $\Delta''_\infty(\omega)$ oscillate as functions of $\log |\omega|$ down to the lowest

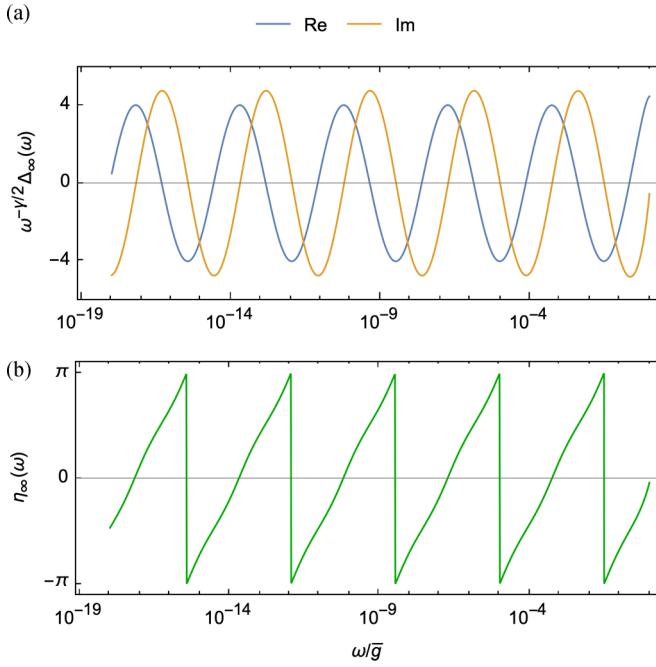


FIG. 10. The complex gap function $\Delta_\infty(\omega)$ along the real frequency axis and its phase $\eta_\infty(\omega)$, constrained to $(-\pi, \pi)$, for $\gamma = 1.91$. $\Delta_\infty(\omega)$ oscillates an infinite number of times between $\omega = 0$ and $\omega/\bar{g} = O(1)$. For better understanding of the total phase variation $\delta\eta$, we count oscillations starting from a small but finite $\omega_{\min} \sim 10^{-17}\bar{g}$. For this choice there are 10 oscillations at $\omega < \bar{g}$ (a). Accordingly, $\eta_\infty(\omega)$ changes by 2π five times (b) such that the total phase variation is 10π .

frequencies. In explicit form, we have in this regime

$$\Delta_\infty(\omega) = C_\infty \left(\frac{|\omega|}{\bar{g}} \right)^{\gamma/2} e^{-\frac{i\pi\gamma}{4} \text{sgn}\omega} \times \cos \left[\beta\gamma \left(\log \frac{|\omega|}{\bar{g}} - i\frac{\pi}{2} \text{sgn}\omega \right) + \phi \right], \quad (40)$$

where C_∞ is infinitesimally small. Separating real and imaginary parts, we obtain

$$\begin{aligned} \Delta'_\infty(\omega) &= C_\infty \left(\cos \frac{\pi\gamma}{4} \cos D_1 \cosh D_2 \right. \\ &\quad \left. + \sin \frac{\pi\gamma}{4} \sin D_1 \sinh D_2 \text{sgn}\omega \right), \\ \Delta''_\infty(\omega) &= C_\infty \left(\cos \frac{\pi\gamma}{4} \sin D_1 \sinh D_2 \right. \\ &\quad \left. - \sin \frac{\pi\gamma}{4} \cos D_1 \cosh D_2 \text{sgn}\omega \right), \end{aligned} \quad (41)$$

where

$$D_1 = \beta \log \left(\frac{|\omega|}{\bar{g}} \right)^\gamma + \phi, \quad D_2 = \frac{\pi\beta\gamma}{2} \text{sgn}\omega. \quad (42)$$

We show $\Delta'_\infty(\omega)$, $\Delta''_\infty(\omega)$, and the phase $\eta_\infty(\omega)$ for $\omega < \bar{g}$ in Fig. 10 for $\gamma = 1.91$. We set the lowest frequency at $10^{-17}\bar{g}$. In this case the total phase variation up to $O(\bar{g})$ is 10π . This is consistent with 10 vortices on the Matsubara axis (Fig. 20

below) as each vortex gives rise to a phase change of π for positive ω on the real axis and another phase change of π for negative ω .

We now move to $\omega > \bar{g}$ and use Eq. (32). At the largest ω , we have $B(\omega) \approx 1$ and

$$\begin{aligned} A(\omega) &= \left(\frac{\bar{g}}{|\omega|} \right)^\gamma Q_{\gamma,\infty} \cos \frac{\pi\gamma}{2}, \\ C(\omega) &\approx i \left(\frac{\bar{g}}{|\omega|} \right)^\gamma \sin \frac{\pi\gamma}{2} \text{sgn}\omega \bar{Q}_{\gamma,\infty}, \end{aligned} \quad (43)$$

where

$$Q_{\gamma,\infty} = \int_0^\infty d\omega_m \frac{\Delta_\infty(\omega_m)}{\omega_m}, \quad \bar{Q}_{\gamma,\infty} = \int_0^\infty d\omega \frac{\Delta_\infty(\omega)}{\omega}. \quad (44)$$

The two integrals in (44) are actually identical. To prove this, we recall that $D_\infty(\omega) = \Delta_\infty(\omega)/\omega$ satisfies KK relation $D''(\omega) = -(2/\pi)P \int_0^\infty dx D'(x)x/(x^2 - \omega^2)$, where P stands for principle part, and that $D_\infty(\omega_m) = (2/\pi) \int_0^\infty dx D'(x)x/(x^2 + \omega_m^2)$. Using these relations, we obtain $\int_0^\infty d\omega_m D_\infty(\omega_m) = \int_0^\infty d\omega D'(\omega)$. Using further that $\int_0^\infty d\omega D''(\omega) = (2/\pi) \int_0^\infty dx x D'(x) P \int_0^\infty d\omega/(x^2 - \omega^2) = 0$ we find $\bar{Q}_{\gamma,\infty} = \int_0^\infty d\omega D_\infty(\omega) = \int_0^\infty d\omega D'(\omega) = \int_0^\infty d\omega_m D_\infty(\omega_m) = Q_\gamma$. Hence, at the largest ω ,

$$A(\omega) + C(\omega) = e^{i(\pi\gamma/2)\text{sgn}\omega} \left(\frac{\bar{g}}{|\omega|} \right)^\gamma. \quad (45)$$

Substituting into (32) and using (38), we obtain

$$\Delta_\infty(\omega) \propto \left(\frac{\bar{g}}{|\omega|} \right)^\gamma e^{i(\pi\gamma/2)\text{sgn}\omega} Q_{\infty,\gamma}. \quad (46)$$

This result could also be obtained by a direct rotation of $\Delta_\infty(\omega_m) \propto 1/|\omega_m|^\gamma$ from the Matsubara to real axis.

For $\gamma \geq 1$ there is a single crossover between the two limiting forms of $\Delta_\infty(\omega)$, Eqs. (6) and (46). However, for $\gamma \leq 2$, the new intermediate regime emerges at $\omega > \bar{g}$, as we now demonstrate. In this regime, $\Delta'_\infty(\omega)$ and $\Delta''_\infty(\omega)$ again oscillate, but with the period set by a power of ω rather than by $\log |\omega|$.

To show this, we recall that in Sec. III B we found an exponentially small oscillating component of $\Delta_\infty(\omega_m)$ on the Matsubara axis at $\omega_m > \bar{g}$. We now convert this component onto the real axis. Replacing ω_m by $-i\omega + \delta$, we obtain for $\omega > 0$:

$$\Delta_\infty(\omega) = \int_{k_{\min}}^\infty dk (e^{-\pi k(1+\gamma/2)} e^{-ikS_k(\omega)} + e^{-\pi k(1-\gamma/2)} e^{ikS_k(\omega)}), \quad (47)$$

where $k_{\min} = O(1)$ and $S_k(\omega) = \log [(|\omega|/\bar{g})^\gamma (e/A_\gamma k)^{\gamma-1}]$. Comparing this result with Eq. (17) we see that the exponentially small factor $e^{-\pi|k|}$ splits into $e^{-\pi k(1+\gamma/2)}$ and $e^{-\pi k(1-\gamma/2)}$. The first term is smaller and the second one is larger than the original term. Keeping only the larger term and evaluating the integral over k in the same way as in Sec. III B, we obtain

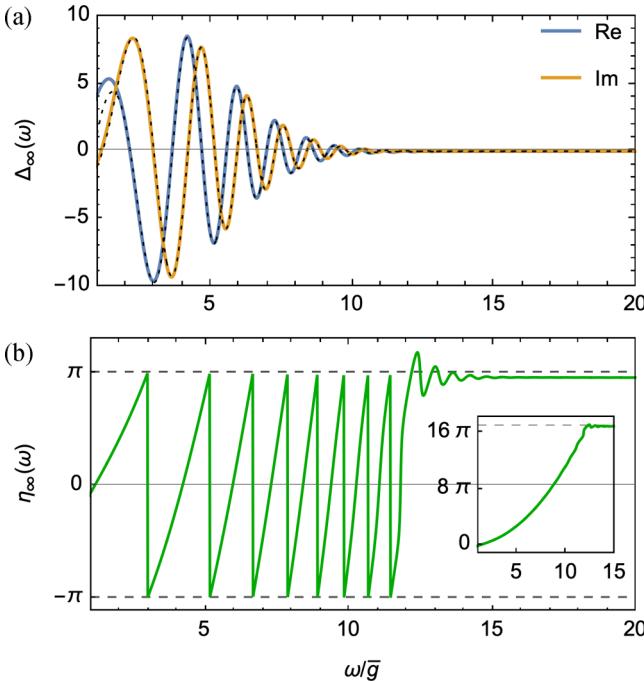


FIG. 11. (a) Comparison between the exact $\Delta_\infty(\omega)$ along the real frequency axis (blue and orange thick lines) and the universal contribution to $\Delta_\infty(\omega)$ from Eq. (51) (black dotted lines) for $\gamma = 1.91$. The agreement is nearly perfect. (b) Variation of the phase of the gap function, $\eta_\infty(\omega)$, between $\omega \sim \bar{g}$ and $\omega = \infty$. For convenience of presentation we confined $\eta_\infty(\omega)$ to $(-\pi, \pi)$, up to small variations. In the inset we plot the continuous $\eta_\infty(\omega)$. We see that the total phase variation between $\omega \sim \bar{g}$ and $\omega = \infty$ is $16\pi + \pi\gamma/2$.

the oscillating term on the real axis:

$$\Delta_\infty(\omega) \propto |\omega|^{\frac{1}{2} \frac{\gamma}{\gamma-1}} \exp \left\{ -\frac{2-\gamma}{A_\gamma} \left(\frac{|\omega|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} \times \left[\frac{\pi}{2} - i \frac{\gamma-1}{2-\gamma} \left(1 - \frac{\pi^2}{2(\gamma-1)^2} \right) \right] \right\}. \quad (48)$$

For $\gamma \leq 2$, the exponential part of the prefactor is small in $2-\gamma$, and the power-law part increases with ω . As the result, this oscillating contribution exceeds the one from Eq. (46) for frequencies between $O(\bar{g})$ and ω_{cr} , where

$$\omega_{cr} \sim \bar{g} \left[\frac{|\log(2-\gamma)|}{2-\gamma} \right]^{1/2} \gg \bar{g}. \quad (49)$$

As a result, at $\bar{g} < \omega < \omega_{cr}$, $\Delta'(\omega)$ and $\Delta''(\omega)$ oscillate as functions of $(|\omega|/\bar{g})^{\gamma/(\gamma-1)}$ with weakly, yet exponentially decaying prefactor. To the leading order in $2-\gamma$, Eq. (48) gives

$$\Delta_\infty(\omega) \propto |\omega| e^{-(1-\gamma/2)(|\omega|/\bar{g})^2} e^{i(|\omega|/\bar{g})^2/\pi}. \quad (50)$$

We used $A_2 = \pi$. For completeness, we computed the sub-leading term under $e^{i\omega\bar{g}}$. It changes Eq. (50) into

$$\Delta_\infty(\omega) \propto |\omega| e^{-(1-\gamma/2)(|\omega|/\bar{g})^2} e^{i\{(|\omega|/\bar{g})^2 + \log[(|\omega|/\bar{g})^2]\}/\pi}. \quad (51)$$

In Fig. 11(a) we compare the exact $\Delta_\infty(\omega)$ with Eq. (51) for $\gamma = 1.91$. We see that the agreement is quite good, and the range of ω^2 oscillations is quite wide for this γ .

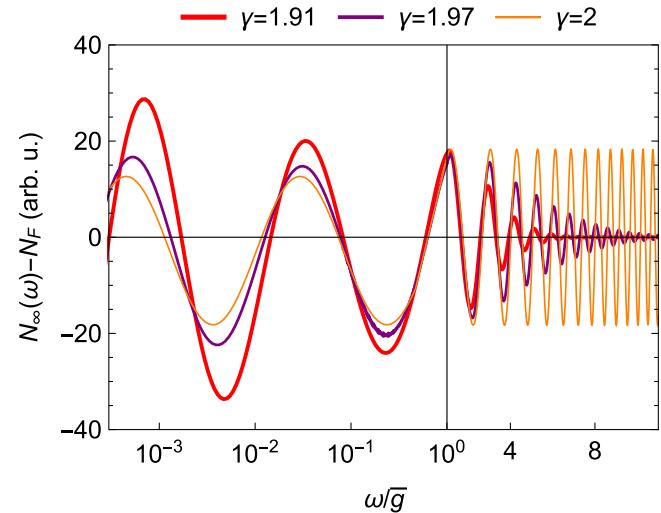


FIG. 12. The density of states $N_\infty(\omega)$, counted from the normal state value N_F . To better display oscillations we used different scales at $\omega > \bar{g}$ and $\omega < \bar{g}$.

In Fig. 11(b) we show the variation of the phase $\eta(\omega)$ between $\omega \sim \bar{g}$ and $\omega = \infty$. We see that the phase changes by $2\pi m$, where m is an integer, and the total phase variation is $2\pi m + \pi\gamma/2$. The last piece just follows from (46), and the first one is due to oscillations given by (50). The integer m increases one by one as γ increases towards 2. In Sec. V below we associate $2\pi m$ phase variation with the emergence of m dynamical vortices in the upper half plane of frequency.

In Fig. 12 we plot the DoS $N_\infty(\omega)$ for several γ . The DoS is defined as $N(\omega) = (-1/\pi) \text{Im} G_l(\omega)$, where

$$G_l(\omega) = -i\pi \sqrt{\frac{\omega^2}{\omega^2 - \Delta^2(\omega)}} \quad (52)$$

is the retarded Green's function, integrated over the dispersion [14]. Because $\Delta_\infty(\omega)$ is vanishingly small,

$$N_\infty(\omega) = N_F \left[1 - \text{Re} \frac{\Delta_\infty^2(\omega)}{\omega^2} \right], \quad (53)$$

where N_F is the DoS in the normal state. We see that $N_\infty(\omega)$ oscillates around N_F up to $\omega \sim \omega_{cr}$. At $\omega < \bar{g}$, the period of oscillations is set by $\log \omega$, and at $\bar{g} < \omega < \omega_{max}$, the period is set by $\omega^{\gamma/(\gamma-1)}$.

C. Frequency dependence of $\Delta_n(\omega)$: The case $n = 0$

We now consider the opposite limit $n = 0$. We remind that on the Matsubara axis $\Delta_0(\omega_m)$ is sign-preserving. We show that on the real axis both real and imaginary parts of Δ_0 again oscillate in a finite frequency range at $\omega > \bar{g}$, and the phase of complex $\Delta_0(\omega)$ is winding by $2\pi m$ in this range.

At high frequencies $\omega \gg \bar{g}$, the form of $\Delta_0(\omega)$ can be readily obtained from (37) in the same way as it was done

in the previous section. For the case $n = 0$ we have

$$\begin{aligned} A(\omega) &\simeq \left(\frac{\bar{g}}{|\omega|}\right)^\gamma Q_\gamma \cos \frac{\pi\gamma}{2}, \\ C(\omega) &\approx i \left(\frac{\bar{g}}{|\omega|}\right)^\gamma Q_\gamma \sin \frac{\pi\gamma}{2} \operatorname{sgn}\omega, \end{aligned} \quad (54)$$

where Q_γ is given by (29). Substituting these forms along with $B(\omega) \approx 1$ into (32), we obtain at $\omega \gg \bar{g}$,

$$\Delta_0(\omega) \approx \left(\frac{\bar{g}}{|\omega|}\right)^\gamma e^{(i\pi\gamma/2)\operatorname{sgn}\omega} Q_\gamma. \quad (55)$$

This is consistent with $\Delta_0(\omega_m) \propto (\bar{g}/|\omega_m|)^\gamma$ on the Matsubara axis.

At small ω , $\Delta_0(\omega) = [A(\omega) + C(\omega)]/B(\omega) \approx \Delta_0(0)$ where $\Delta_0(0)$ is the same as we found in the $\omega_m \rightarrow 0$ limit on the Matsubara axis. We note, however, that the relative strength of $A(\omega)$, $B(\omega)$, and $C(\omega)$ changes between $\gamma < 1$ and $\gamma > 1$. For $\gamma < 1$, $A(\omega)$ and $B(\omega)$ tend to finite values at $\omega \rightarrow 0$, while $C(\omega) \propto \omega^{1-\gamma}$ vanishes. Then $\Delta_0(0) = A(0)/B(0)$. For $\gamma > 1$, $B(\omega)$ and $C(\omega)$ scale as $\omega^{1-\gamma} \sin(\pi\gamma/2)/(2-\gamma)$ at $\omega \rightarrow 0$, while $A(\omega) \propto \omega^{1-\gamma} \sin(\pi\gamma/2)/(1-\gamma)$ is smaller, at least for $\gamma \leq 2$, and, moreover, its sign changes to negative at $\gamma > 1$. The gap still tends to a finite positive value at $\omega = 0$ because once $A(\omega) \ll C(\omega)$, $\Delta_0(0) = \lim_{\omega \rightarrow 0} C(\omega)/B(\omega) = \text{const}$. However, we see that $\Delta_0(0)$ now scales with $C(\omega)$, which, we recall, is present in the gap equation on the real axis because of the need to cancel out parasitic contributions from the branch cut. This hints that for $\gamma > 1$ the behavior of $\Delta_0(\omega)$ on the real axis may be quite different from that of $\Delta_0(\omega_m)$.

We now show that this is indeed the case. We focus on frequencies $\omega > \bar{g}$, where we detected the new behavior at $n = \infty$. Equation (54) for $A(\omega)$ is valid for all $\omega > \bar{g}$ as typical ω_m in (35) are of order \bar{g} . Similarly, $B(\omega) \approx 1$ for all $\omega > \bar{g}$. For $C(\omega)$, we have to be more careful and include not only the contribution in (37) from $\omega - \Omega = O(\bar{g})$, which yields (38), but also contributions from Ω immediately below ω (i.e., $\omega - \Omega \ll \bar{g}$). These contributions are expressed in terms of derivatives of $D_0(\omega) = \Delta_0(\omega)/\omega$. The leading term here is the one with the first derivative: $D_0(\omega - \Omega) - D_0(\omega) \approx -\Omega \dot{D}_0(\omega)$. For $\gamma \leq 2$, the integral $\int_0^\omega d\Omega/\Omega^{\gamma-1} = \omega^{2-\gamma}/(2-\gamma)$ is determined by small Ω , and the prefactor $1/(2-\gamma)$ compensates the smallness of the overall factor $\sin(\pi\gamma/2) \approx (\pi/2)(2-\gamma)$ in (37). This term then gives

$$-i\frac{\pi}{2}\omega^{2-\gamma} \frac{\dot{D}_0(\omega)}{\sqrt{1 - D_0^2(\omega)}}. \quad (56)$$

We will also need terms of order $2 - \gamma$. For this, we keep the subleading terms with $(\dot{D}_0)^2$ and \ddot{D}_0 . Evaluating the prefactors, we obtain differential gap equation in the form ($\omega > 0$)

$$\begin{aligned} &-i\frac{\pi}{2}\bar{g}^\gamma \frac{\omega^{2-\gamma}}{\sqrt{1 - D_0^2(\omega)}} \left[\dot{D}_0(\omega) - (2 - \gamma)\omega \right. \\ &\quad \times \left. \left\{ \frac{1}{2}\ddot{D}_0(\omega) + \frac{D_0(\omega)[\dot{D}_0(\omega)]^2}{1 - D_0^2(\omega)} \right\} \right] \\ &= D_0(\omega)\omega - Q_\gamma \left(\frac{\bar{g}}{\omega}\right)^\gamma e^{i\pi\gamma/2}. \end{aligned} \quad (57)$$

We follow Refs. [11,12] and introduce $D_0(\omega) = 1/\sin(\phi(\omega))$. Both $D_0(\omega)$ and $\phi(\omega)$ are complex functions of ω . Substituting into (57) we obtain

$$\begin{aligned} \dot{\phi} - \frac{(2 - \gamma)}{2}\omega[\ddot{\phi} + (\dot{\phi})^2 \tan \phi] \\ = \frac{2}{\pi\bar{g}^\gamma} \left(\omega^{\gamma-1} - Q_\gamma \frac{\bar{g}^\gamma}{\omega^2} e^{i\pi\gamma/2} \sin \phi \right). \end{aligned} \quad (58)$$

This equation is similar to the one for $\gamma = 2$ and a finite T , analyzed by Combescot in Ref. [12].

At the highest frequencies, the gap function must obey Eq. (46). This gap function is reproduced if we choose

$$\phi'(\omega) = 2\pi m + \frac{\pi}{2}(\gamma + 1), \quad (59)$$

$$\phi''(\omega) = \log \frac{2\bar{g}}{Q_\gamma} + (\gamma + 1) \log \frac{\omega}{\bar{g}}, \quad (60)$$

where m is integer. We see that at large enough ω , $\phi''(\omega) \gg \phi'(\omega)$. On the other hand, at $\omega \geq \bar{g}$, $\phi'(\omega) \approx (2/\pi\gamma)(\omega/\bar{g})^\gamma$, and ϕ'' is small, of order $2 - \gamma$. We use this to set the boundary condition at $\omega = \bar{g}$ as

$$\phi' = \frac{2}{\pi\gamma}, \quad \phi'' = a(2 - \gamma), \quad (61)$$

where $a = O(1)$. We will argue that the solution of (58) is largely independent of a , as long as $a(2 - \gamma) \ll 1$. To simplify the calculation, below we neglect $\ddot{\phi}$ term in Eq. (58) and use the boundary condition (61) as the initial condition for the first-order differential equation. We show that the solution of (58) without $\ddot{\phi}$ by itself satisfies the boundary condition (59) and (60). We discuss the role of $\ddot{\phi}$ and the validity of dropping it at the end of this section.

A simple analysis of the Eq. (58) without the $\ddot{\phi}$ term shows that $\phi''(\omega)$ rapidly increases shortly before $\phi'(\omega)$ reaches $\pi/2$. To see this, we neglect momentarily the Q_γ term in (58), which gives rise to a small initial ϕ'' and solve the remaining equation as a quadratic equation on ϕ . We obtain

$$\dot{\phi} = \frac{1 - \sqrt{1 - \frac{4}{\pi}(2 - \gamma)\left(\frac{\omega}{\bar{g}}\right)^\gamma \tan \phi(\omega)}}{(2 - \gamma)\omega \tan \phi(\omega)}. \quad (62)$$

(the sign is chosen to satisfy the initial condition). An elementary analysis shows that $\phi''(\omega)$ emerges at $\omega = \omega_a$, where

$$\left(\frac{\omega_a}{\bar{g}}\right)^\gamma \tan[\phi'(\omega_a)] = \frac{\pi}{4} \frac{1}{2 - \gamma}. \quad (63)$$

This ω_a is smaller than the one at which $\phi'(\omega)$ reaches $\pi/2$. This is essential as in the absence of ϕ'' , the behavior near $\phi'(\omega) = \pi/2$ would be singular. Once ϕ'' is nonzero, the singularity is cut. Keeping the Q_γ term, we find that ϕ'' is nonzero at all frequencies, where Eq. (58) is valid, but still rapidly increases around ω_a , specified by (63).

At larger ω , $\phi''(\omega)$ increases, and eventually $e^{\phi''}$ becomes larger than one. At such frequencies, $\tan \phi \approx i$, and (58) simplifies to

$$\dot{\phi}'(\omega) \approx \frac{2}{\pi} \frac{\omega^{\gamma-1}}{\bar{g}^\gamma}, \quad \dot{\phi}''(\omega) \approx \frac{2(2 - \gamma)}{\pi^2} \frac{\omega^{2\gamma-1}}{\bar{g}^{2\gamma}}. \quad (64)$$

Solving, we find $\phi'(\omega) \approx (2/\pi\gamma)(\omega/\bar{g})^\gamma$, $\phi''(\omega) \approx (2 - \gamma)/(\pi^2\gamma)(\omega/\bar{g})^{2\gamma}$. Note that these forms are universal and do

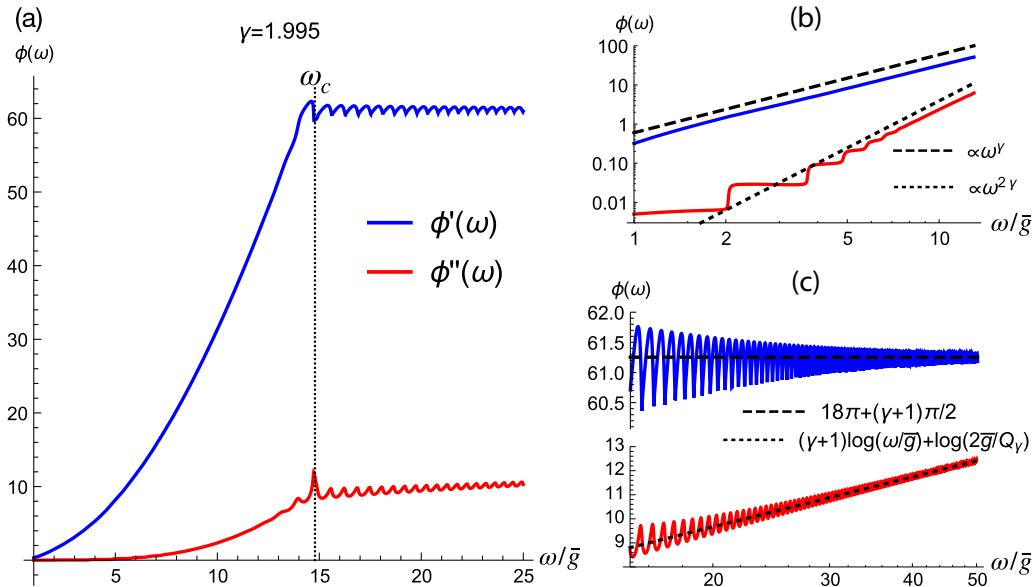


FIG. 13. (a) The numerical solution of Eq. (58) for $\gamma = 1.995$. Frequency is in units of \bar{g} . The crossover at $\omega_{cr} \approx 15\bar{g}$ is clearly visible. (b), (c) Zoom into regions $\omega < \omega_{cr}$ and $\omega > \omega_{cr}$. At $\omega < \omega_{cr}$, ϕ' grows as ω^γ , while ϕ'' first increases steplike, and then behaves as $\omega^{2\gamma}$. At $\omega > \omega_{cr}$, ϕ' saturates at $2\pi m + (\gamma + 1)\pi/2$, where $m = 9$ for $\gamma = 1.995$, while ϕ'' increases as $(\gamma + 1)\log\omega/\bar{g}$.

not depend on the boundary condition [i.e., on the factor a in Eq. (61)]. For $\gamma \approx 2$, $\phi'(\omega) \approx (\omega/\bar{g})^2/\pi$. To compare with $n = \infty$ case, we computed the subleading term. It comes from the second term in $B(\omega)$ in (38) and changes $\phi'(\omega)$ to

$$\phi'(\omega) = \frac{1}{\pi} \left[\left(\frac{\omega}{\bar{g}} \right)^2 + \log \left(\frac{\omega}{\bar{g}} \right)^2 \right]. \quad (65)$$

Observe that the rhs of (65) is the same function as we found for $n = \infty$, Eq. (51). Eq. (64) holds up to ω at which $e^{\phi''} \sim (\omega/\bar{g})^3$. At larger frequencies, the second term in the rhs of (58) cannot be neglected, and the functional form of $\phi(\omega)$ changes. In Fig. 13(a) we show the result of numerical solution of (58). We see that there is a single crossover, at $\omega = \omega_{cr} \sim \bar{g}[|\log(2 - \gamma)|/(2 - \gamma)]^{1/(2\gamma)}$, from $\phi(\omega)$ given by (64) to $\phi(\omega)$ given by (59) and (60). In Figs. 13(b) and 13(c) we show separately the behavior of $\phi'(\omega)$ and $\phi''(\omega)$ at $\omega < \omega_{cr}$ and $\omega > \omega_{cr}$. The fits to Eq. (64), (59), and (60), respectively, are almost perfect. Deviations from the high-frequency forms decrease as $1/\omega^\gamma$ and oscillate as trigonometric functions of $(2/\pi\gamma)(\omega/\bar{g})^\gamma$. In Figs. 14(a) and 14(b) we show that the value of ϕ' for $\omega > \omega_{cr}$ is independent on the parameter a in the boundary condition (61), as long as $a = O(1)$. At the same time, the value of an integer m in (59) changes if we change γ , as is shown in Fig. 14(c). Specifically, m jumps to the nearest integer at a discrete set of $\gamma_i \leq 2$ (the smaller is $2 - \gamma$, the larger is m). We demonstrate this in Fig. 14(d).

In Fig. 15(a) we show real and imaginary parts of the gap function

$$\Delta_0(\omega) = \frac{\omega}{\sin\phi(\omega)} = 2\omega \frac{\sin\phi' \cosh\phi'' - i\cos\phi' \sinh\phi''}{\cosh 2\phi'' - \cos 2\phi'}. \quad (66)$$

We see that both $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ oscillate between $\omega = O(\bar{g})$ and ω_{cr} and display sign-preserving $1/\omega^\gamma$ behavior at $\omega > \omega_{cr}$. At $\omega \geq \bar{g}$, $\Delta'(\omega)$ varies roughly as

$\omega/\sin\{[2/(\pi\gamma)](\omega/\bar{g})^\gamma\}$ and $\Delta''(\omega)$ has almost δ -functional spikes in where $\sin\{[2/(\pi\gamma)](\omega/\bar{g})^\gamma\}$ is small. At larger $\omega \leq \omega_{cr}$, both Δ' and Δ'' oscillate with progressively decreasing magnitude.

In Fig. 15(b) we show the variation of the phase of the gap function $\eta(\omega)$. The total variation of η between $\omega = 0$ and $\omega = \infty$ is $2\pi m + \pi\gamma/2$. We emphasize that this is the result for $\Delta_0(\omega)$, which is sign-preserving on the Matsubara axis. We clearly see that there is a huge difference between the forms of the gap function on the real and the Matsubara axis in between \bar{g} and ω_{cr} .

We now use more precise analysis to determine ω_{cr} . In Eq. (58) we included only the first two terms in the expansion of $C(\omega)$ in powers of ϕ . Meanwhile, the expansion in derivatives holds in powers of $\phi\omega/\bar{g}$ without additional $(2 - \gamma)$ in the prefactor. This implies that higher-order terms are not negligible at $\omega > \bar{g}$. We now use the fact that before $\phi(\omega)$ crosses over to (54) and (38), it shows the universal behavior in the range where $1 < e^{\phi''} < (\omega/\bar{g})^3$. In this regime, (1) $\dot{\phi} \approx \phi' \approx (2\pi)\omega^{\gamma-1}/\bar{g}^\gamma \gg \bar{g}$, while higher-order derivatives are smaller, and (2) $D(\omega)$ is small, such that $\sqrt{1 - D^2} \approx 1$. In this situation, one can sum up the full Taylor series for $(2 - \gamma)$ term in $C(\omega)$. We use that $\tan\phi \approx i$ and the n th derivative $D^n(\omega) \approx i^n(\dot{\phi})^n D$. Integrating each term in Taylor series over Ω , we obtain the modified lhs of (58) in the form

$$\phi - i(2 - \gamma)\phi F\left(\frac{\omega}{\bar{g}}\dot{\phi}\right), \quad (67)$$

where

$$F(x) = \int_0^x \frac{1 - \cos y}{y^2} = \text{SI}(x) - \frac{1 - \cos x}{x} \quad (68)$$

and $\text{SI}(x)$ is SinIntegral. Equation (58) is reproduced if we approximate $1 - \cos y$ by $y^2/2$. Then $F(x) \approx x/2$. If we use the full expression, we find that at large x , which we are interested in, $F(x) \approx \pi/2$. The equation for ϕ then reduces

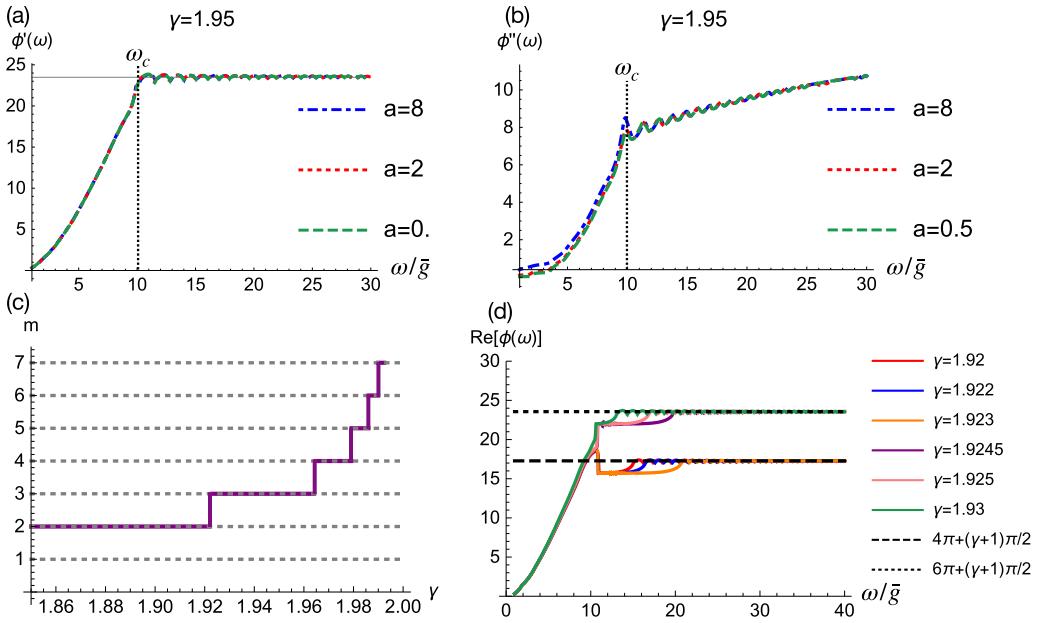


FIG. 14. (a), (b) The functions $\phi'(\omega)$ and $\phi''(\omega)$ for different boundary conditions, set by a parameter a in Eq. (61). The figure shows that the value $2\pi m + (\gamma + 1)\pi/2$, at which $\phi'(\omega)$ saturates is independent on a . The behavior of $\phi'(\omega)$ in the universal regime, where both ϕ' and ϕ'' are continuous functions of ω , also does not depend on a . (c) Variation of the integer m with γ . There is a discrete set of γ_i , at which m changes by 1. The set becomes progressively more dense at $\gamma \rightarrow 2$. (d) The behavior of $\phi'(\omega)$ near one of these $\gamma_i \approx 1.924$. The value of ϕ' at large ω jumps by 2π as γ passes through γ_i and a new vortex moves into the upper half plane of frequency.

to

$$\dot{\phi} = \frac{2}{\pi \bar{g}^\gamma} \frac{\omega^{\gamma-1} - \frac{Q_\gamma \bar{g}^\gamma}{\omega^2} e^{i\pi\gamma/2} \sin \phi}{1 - i(2-\gamma)\pi/2}. \quad (69)$$

We show the solution in Fig. 16 and present the plots of the gap function $\Delta_0(\omega)$, the phase $\eta_0(\omega)$, and the variation of m with γ in Fig. 17.

The behavior of $\phi(\omega)$ is qualitatively similar to the one in Fig. 13, i.e., there is a single crossover frequency, and the total variation of $\eta(\omega)$ between $\omega = 0$ and $\omega = \infty$ is $2\pi m + \pi\gamma/2$. However, the crossover scale ω_{cr} has different dependence on $2 - \gamma$ compared to Eq. (58), and also the dependence of m on γ is different from that in Fig. 14(b) [and there are no wiggles in $\phi(\omega)$ at $\omega > \omega_{cr}$]. To obtain the modified crossover frequency, ω_{cr} , we note that in the universal regime $1 < e^{\phi''} < (\omega/\bar{g})^3$, $\dot{\phi}'(\omega)$ is still given by (64), while

$$\dot{\phi}''(\omega) \approx (2 - \gamma) \frac{\omega^{\gamma-1}}{\bar{g}^\gamma}. \quad (70)$$

Accordingly, $\phi'(\omega) \approx (2\pi\gamma)(\omega/\bar{g})^\gamma$, $\phi''(\omega) = (2 - \gamma)/\gamma(\omega/\bar{g})^\gamma$. The crossover frequency is then determined by $e^{\phi''} \sim (\omega/\bar{g})^3$. Solving for ω , we obtain, for $\gamma \leq 2$,

$$\omega_{cr} \sim \bar{g} \left[\frac{|\log(2 - \gamma)|}{2 - \gamma} \right]^{1/2}. \quad (71)$$

This is the same scale as ω_{cr} that we obtained for $n = \infty$, Eq. (49).

We see therefore that for $n = \infty$ and $n = 0$ the new behavior of the gap function emerges in the same frequency range $\bar{g} < \omega < \omega_{cr}$. Moreover, the period of oscillations in

this range is the same function of frequency, Eqs. (51) and (65).

In Fig. 18 we plot the DoS

$$N_0(\omega) = N_F \text{Re} \sqrt{\frac{\omega^2}{\omega^2 - \Delta_\infty^2(\omega)}} \quad (72)$$

for several γ . We see that $N_0(\omega)$ vanishes below a certain threshold frequency, and at $\bar{g} < \omega < \omega_{\max}$ has a set of maxima and minima. The peaks in $N_0(\omega)$ get progressively sharper as γ approaches 2, while in between the peaks $N_0(\omega)$ gets smaller. The positions of the peaks almost coincide with the maxima of $N_\infty(\omega)$.

Before we move to finite n , we pause to discuss the validity of neglecting the $\dot{\phi}$ term in Eq. (58). This is justified if $|\dot{\phi}|$ is smaller than $(\dot{\phi})^2 |\tan \phi|$ for all frequencies. Of particular relevance here are frequencies near ω_a , specified by Eq. (63). If we set $a = 0$ in the initial condition (61) and use Eq. (62), we find that ϕ'' initially increases as $(\omega - \omega_0)^{3/2}$. In this situation, $\ddot{\phi}$ diverges at $\omega = \omega_0 + 0$, and the behavior of $\phi(\omega)$, which we found earlier in this section, is valid outside of the vicinity of ω_0 , while near ω_0 , $\ddot{\phi}$ and higher derivatives cannot be neglected. Specifically, evaluating $\ddot{\phi}$, $\dot{\phi}$, and $\tan \phi$ near ω_0 , we find that for $\gamma \leq 2$, the condition $|\dot{\phi}| < (\dot{\phi})^2 |\tan \phi|$ is satisfied when

$$\phi'' > \pi(2 - \gamma). \quad (73)$$

For $a = 0^+$, this holds outside a finite range near ω_0 . However, if $a = O(1)$, $|\dot{\phi}|$ never becomes much larger than $(\dot{\phi})^2 |\tan \phi|$,

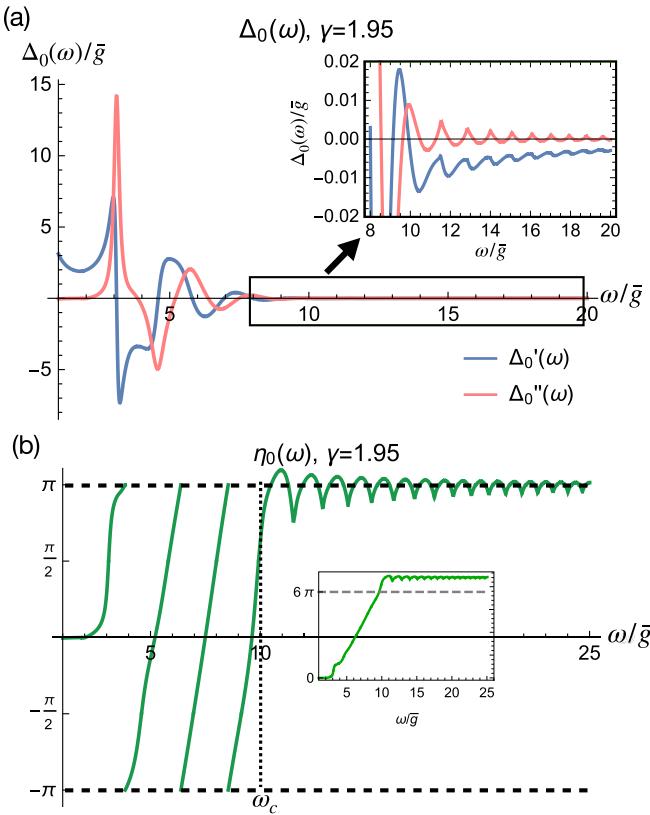


FIG. 15. Upper panel: frequency dependence of the gap function $\Delta_0(\omega) = \Delta'_0(\omega) + i\Delta''_0(\omega)$, from Eq. (66). We set $\gamma = 1.95$. For $\bar{g} < \omega < \omega_{cr}$, both $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ display oscillations with a decreasing magnitude. For $\omega \geq \omega_{cr}$, $\Delta'_0(\omega)$ becomes negative and does not oscillate. Lower panel: Variation of the phase of the gap $\eta_0(\omega)$ as a function of ω . As before, we confined phase variation to $(-\pi, \pi)$, up to small variations. The phase changes by 2π three times between $\omega = O(\bar{g})$ and ω_{cr} . There are no more 2π phase variations, despite that the phase shows wiggling at large frequencies. In the inset we plot the continuous $\eta_0(\omega)$. We see that the total phase variation between $\omega_m = \bar{g}$ and $\omega_m = \infty$ is $6\pi + \pi\gamma/2$.

hence neglecting $|\ddot{\phi}|$ does not change the results qualitatively even near ω_0 .

D. Frequency dependence of $\Delta_n(\omega)$: Finite n

The behavior of $\Delta_n(\omega)$ at $\omega < \bar{g}$ on the real axis parallels the one on the Matsubara axis. Namely, the phase varies by $n\pi$ between $\omega = 0$ and \bar{g} due to n vortices on the Matsubara axis. At $\gamma \rightarrow 2$, oscillations shift to smaller ω . At $\omega > \bar{g}$, it is natural to expect oscillations with the period set by (51) at $\bar{g} < \omega < \omega_{cr}$ and $e^{i\pi\gamma/2}/\omega^\gamma$ behavior at $\omega > \omega_{cr}$. The total phase variation between $\omega = 0$ and $\omega = \infty$ is

$$n\pi + 2\pi m + \frac{\pi\gamma}{2}. \quad (74)$$

The DoS at a finite n vanishes below a certain threshold frequency. Above the threshold, it oscillates around N_F with a period set by $\log \omega$, and at larger $\bar{g} < \omega < \omega_{cr}$ has a set of peaks and dips at about the same frequencies as $N_\infty(\omega)$ and $N_0(\omega)$.

V. VORTICES NEAR THE REAL AXIS

We now use the Cauchy relation

$$\Delta(z) = \frac{2}{\pi} \int_0^\infty dx \frac{\Delta''(x)x}{x^2 - z^2} \quad (75)$$

and extend the gap functions $\Delta_n(\omega)$ into the upper frequency half plane, to $z = \omega' + i\omega''$ ($\omega'' > 0$). Earlier, we demonstrated that $\Delta_n(z)$ has n vortices on the Matsubara axis. Here, we analyze the behavior of $\Delta_n(z)$ between the Matsubara and the real axis. We show that as γ increases from one to two, new vortices appear one by one in the upper frequency half plane, near the real axis. These vortices are located at $|z| > \bar{g}$, and their number, m , is determined by γ and is the same for all n . When $\gamma \rightarrow 2$, m tends to infinity. The emergence of vortices obviously correlates with the oscillations of $\Delta_n(\omega)$ on the real axis, and as such is another consequence of the change of sign of the real part of the interaction on the real axis, $V'(\Omega)$, which becomes repulsive at $\gamma = 1$. The increase of the number of vortices as γ approaches 2 in turn correlates with the decrease of $V''(\Omega)$.

That such vortices must be present can be understood by comparing the behavior of $\Delta_n(z)$ for $|z| > \bar{g}$ near the Matsubara axis and the real axis. Along the Matsubara axis, $\Delta_n(\omega_m)$ is real and does not change sign at $|\omega_m| > \bar{g}$. By continuity, $\Delta'(z)$ near the Matsubara axis should remain sign-preserving, hence $\eta(z)$ does not wind. On the other hand, on the real axis, the phase winds by $2\pi m$, as we found in the previous section. This number is topologically protected against perturbations and can disappear only upon rotation from real to imaginary z if there are vortices at some complex z . Indeed, let us compute

$$\delta\eta_\Gamma = \text{Im} \int_\Gamma dz [\partial \log \Delta(z)/\partial z] \quad (76)$$

along the path Γ , which starts at the large negative real $z = -R$, goes along the real axis up to $+R$, and then closes along the large arc in the upper half plane (see Fig. 19). The arc is chosen such that along it $\Delta_n(z) \propto e^{i(\pi/2-\psi)}/|z|^\gamma$. The total phase variation along the arc is $-\pi\gamma$, and the phase variation along the real axis is $2\pi(n+2m) + \pi\gamma$. The contour integral then gives

$$\delta\eta_\Gamma = 2\pi(n+2m). \quad (77)$$

This $\delta\eta_\Gamma$ should be equal to the contribution from inside the contour. Because $\partial \log \Delta(z)/\partial z$ has a simple pole at each point where $\Delta(z)$ vanishes, there must be $n+2m$ vortices inside the contour (we recall that $\Delta(z)$ by itself has no poles in the upper half plane). There are n vortices on the Matsubara axis, the other $2m$ should be located in between the Matsubara and the real axis. By symmetry, there must then be m vortices in the first quadrant, and another m is in the second one.

To determine the location of the vortices, it is instructive to again consider separately the cases $n = \infty$, $n = 0$, and a finite n .

A. $n = \infty$

We first quickly verify that there are no vortices away from Matsubara axis for $|z| < \bar{g}$. We express $\Delta(z)$ in terms of $|z|$ and ψ , defined via $z = |z|e^\psi$. Replacing ω_m by $-iz$ in Eq. (7)

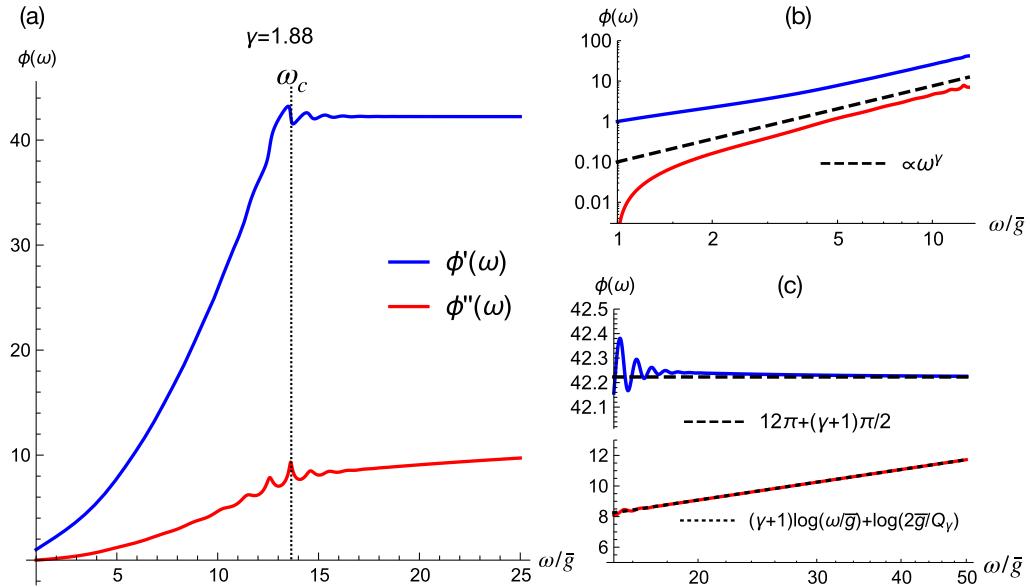


FIG. 16. (a) The solution of Eq. (69) for $\gamma = 1.88$. (b), (c) Zooms into the regions below and above the crossover frequency. The behavior of $\phi'(\omega)$ and $\phi''(\omega)$ is qualitatively similar to that in Fig. 14 but differs in detail. In particular, both $\phi'(\omega)$ and $\phi''(\omega)$ approach asymptotic forms $12\pi + (\gamma + 1)\pi/2$ and $(\gamma + 1)\log\omega$, respectively, without oscillations.

we obtain the expressions similar to (41) and (42):

$$\begin{aligned}\Delta'_\infty(z) &\propto \cos\left[\frac{\gamma}{2}\left(\frac{\pi}{2} - \psi\right)\right] \cos D_1 \cosh D_2 \\ &\quad + \sin\left[\frac{\gamma}{2}\left(\frac{\pi}{2} - \psi\right)\right] \sin D_1 \sinh D_2, \\ \Delta''_\infty(z) &\propto \cos\left[\frac{\gamma}{2}\left(\frac{\pi}{2} - \psi\right)\right] \sin D_1 \sinh D_2 \\ &\quad - \sin\left[\frac{\gamma}{2}\left(\frac{\pi}{2} - \psi\right)\right] \cos D_1 \cosh D_2,\end{aligned}\quad (78)$$

but D_1 and D_2 are now given by

$$D_1 = \beta \log(|z|/\bar{g})^\gamma + \phi, \quad (79)$$

$$D_2 = \beta\left[\left(\pi - 2\psi\right)\frac{\gamma}{2}\right]. \quad (80)$$

The vortices are the points where $\Delta'_\infty(z) = \Delta''_\infty(z) = 0$. For $\Delta_\infty(z)$ given by (78) this is satisfied if $D_1 = \pi/2 + m\pi$ and

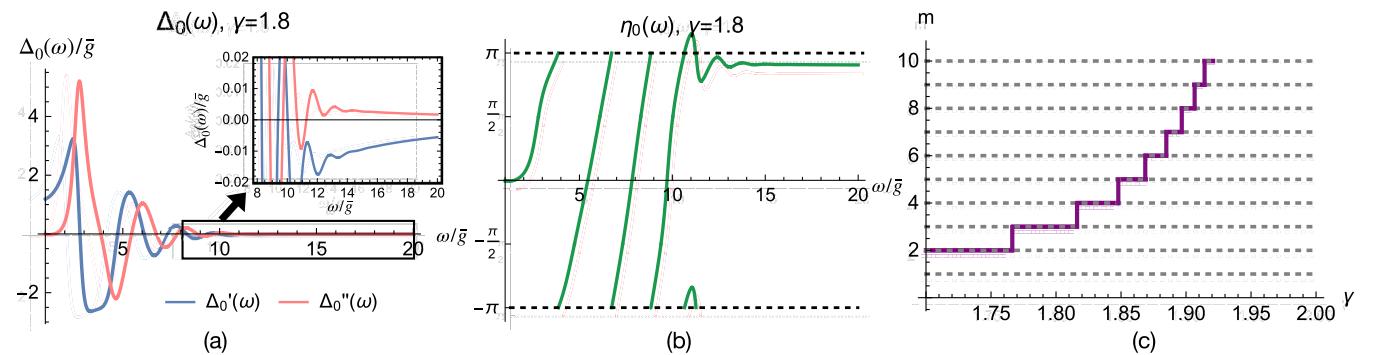


FIG. 17. (a), (b) The same as in Fig. 15, but for ϕ' and ϕ'' from Eq.(69). At large frequencies, $\Delta(\omega) \propto e^{i\pi\gamma/2}/\omega^\gamma$. The total variation of the phase of Δ is $\delta\eta = 2\pi m + \pi\gamma/2$. (c) Variation of m with γ . As before, there is a discrete set of γ_i , where m jumps by 1. The set becomes progressively more dense at $\gamma \rightarrow 2$.

$D_2 = 0$. The second condition is satisfied only if $\psi = \pi/2$, i.e., on the Matsubara axis. The first condition coincides with $\Delta_\infty(\omega_m) = 0$. In Fig. 20 we show that the phase $\eta_\infty(z)$ of $\Delta_\infty(z) = |\Delta_\infty(z)|e^{i\eta_\infty(z)}$ evolves in a “rectangular” way upon rotation from the Matsubara to the real axis. The white curves in this figure are determined by $\Delta''(z) = 0$ and $\Delta'(z) < 0$. The phase $\eta(z)$ changes by 2π upon crossing each of these curves. We see that the phase winds five times by 2π at $\text{Re}z > 0$, consistent with the presence of 10 vortices on the Matsubara axis.

We now consider the range $|z| > \bar{g}$. We recall that on the real axis, $\Delta_\infty(\omega)$ oscillates as a function of $(|\omega|/\bar{g})^{\gamma/(\gamma-1)}$ between \bar{g} and a larger ω_{cr} given by Eq. (49). We detected the oscillating term on the Matsubara axis ($\psi = \pi/2$), where it is exponentially small, and converted it to the real axis ($\psi = 0$), where it becomes the largest piece in $\Delta_\infty(\omega)$ at $\bar{g} < \omega < \omega_{cr}$. We now analyze this term at arbitrary ψ . Replacing ω_m by $|z|e^{-i(\pi/2-\psi)}$ in (18) and using (6), we obtain the oscillating

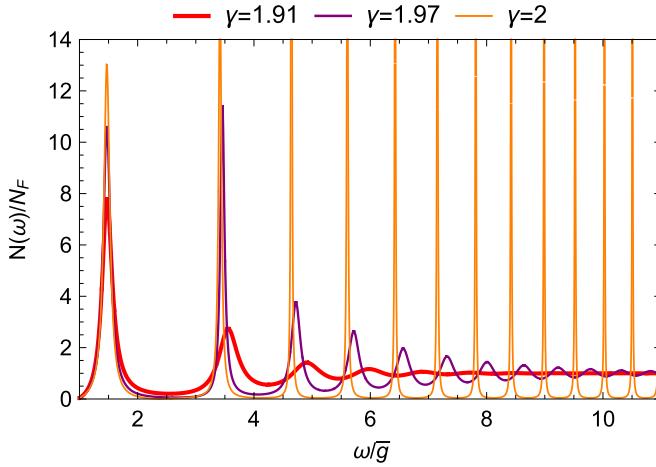


FIG. 18. The DoS $N(\omega)$ for the $n = 0$ solution, for several γ . Peaks in $N(\omega)$ sharpen as $\gamma \rightarrow 2$, while the weight between the peaks is reduced.

component of $\Delta_\infty(z)$ in the form

$$\Delta_\infty(z) = \int_{k_{\min}}^{\infty} dk (e^{-(\pi+\theta)k} e^{-ikS_k(|z|)} + e^{-(\pi-\theta)k} e^{ikS_k(|z|)}). \quad (81)$$

Here $\theta = (\pi/2 - \psi)\gamma$ and $S_k(|z|) = \log[(|z|/\bar{g})^\gamma (e/A_\gamma k)^{\gamma-1}]$, where A_γ is defined in (15). For $\gamma \rightarrow 2$, $\theta \rightarrow \pi - 2\psi$, and evaluating the integral over k in the same way as in Sec. III B, we obtain

$$\Delta_\infty(z) \propto |z|^{\frac{1}{2} \frac{\gamma}{\gamma-1}} \exp \left\{ i \frac{\gamma-1}{A_\gamma} \left(\frac{|z|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} \left[1 - \frac{1}{2} \left(\frac{\pi - \theta}{\gamma - 1} \right)^2 + i \frac{\pi - \theta}{\gamma - 1} \right] \right\} + (\theta \rightarrow -\theta). \quad (82)$$

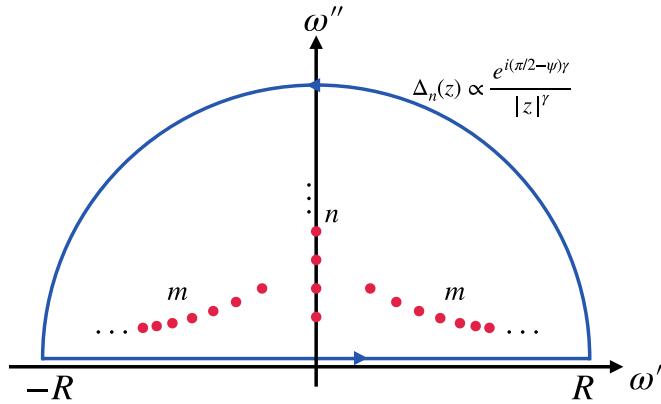


FIG. 19. The contour Γ used in Eq. (76). The contour consists of a real axis and a semicircle (arc) at $z = |z|e^{i\psi}$, $|z| \rightarrow \infty$ and $0 < \psi < \pi$. Along the arc, $\Delta_n(z) \propto e^{i(\pi/2-\psi)\gamma}/|z|^\gamma$, so the corresponding contribution to $\delta\eta_L$ is $-\pi\gamma$. Along the real axis, $\delta\eta_L = 2\pi(n+2m) + \pi\gamma$. The total $\delta\eta_\Gamma$ along Γ is then $2\pi(n+2m)$. The same $\delta\eta_\Gamma$ must be obtained by counting contributions from the poles inside Γ . Each vortex is a pole with residue 2π , hence there should be $n+2m$ vortices. This is consistent with our analysis of $\Delta(z)$: there are n vortices on the Matsubara axis, and $2m$ vortices in the upper half plane near the real axis.

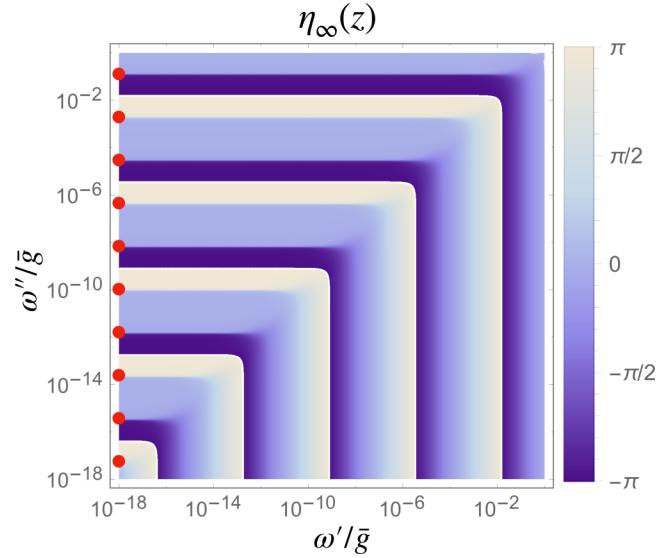


FIG. 20. The phase $\eta_\infty(z)$ in the complex plane at $|z| < O(\bar{g})$, for $\gamma = 1.96$. We set the lower boundary for $|z|$ at $\omega_0 \sim 10^{-18}\bar{g}$, as in Fig. 10. Along the white lines, $\Delta''(z) = 0$ and $\Delta'(z) < 0$. The phase of the gap then changes by 2π upon crossing each of these lines. Observe that each white line starts in between a pair of vortices on the Matsubara axis, and ends on the real axis. As a result, if there are k vortices on the Matsubara axis, the phase variation $\delta\eta$ on the positive real axis is exactly $k\pi$. In our case, $k = 10$.

We plot $\Delta_\infty(z)$ from Eq. (82) in Fig. 21 for several γ near $\gamma = 2$. We see that there is an array of vortices near the real axis, at $|z| > \bar{g}$. We now recall that Eq. (82) is valid in a range $\bar{g} < |z| < \omega_{cr}(\theta)$. At larger $|z|$, the oscillating term becomes smaller than the regular piece $e^{i\theta}/|z|^\gamma$ and there are no vortices. The boundary frequency for vortices is $\omega_{cr}(\theta) \sim \bar{g}[\log(\pi - \theta)/(\pi - \theta)]^{1/2}$ for $\gamma \leq 2$. Because $\theta \approx \pi - 2\psi$ in this limit, the range of $|z|$, where Eq. (82) is valid, remains wide for small ψ . The vortices move closer to the real axis at larger $|z|$ and for $|z| \geq \omega_{cr}(\theta)$ escape into the lower half plane of frequency. We see from Fig. 21 that the number of vortices increases rapidly as $\gamma \rightarrow 2$.

B. Case $n = 0$

We now show that the same vortex structure appears for $\Delta_0(z)$, which, we recall, is sign-preserving along the Matsubara axis.

We obtain $\Delta_0(z)$ by Cauchy relation, Eq. (75), using as an input $\Delta''(\omega)$ from Eq. (66). In Fig. 22 we show the amplitude of the gap $|\Delta_0(z)|$ in the first quadrant of complex $z = \omega' + i\omega''$ for $|z| > \bar{g}$ for $\gamma = 1.75$. We see that there are two vortices at complex z . We verified that this is consistent with $m = 2$ in Eq. (59) for this γ . In Fig. 23 we show that the number of vortices increases when γ increases towards 2.

In Fig. 24 we show how vortices emerge in the upper frequency half plane one by one at a set of γ_i . A given vortex is located in the lower frequency half plane for $\gamma < \gamma_i$ and moves into the upper half plane at $\gamma > \gamma_i$. Right at $\gamma = \gamma_i$ it appears on the real axis. At this γ , both $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ vanish at some frequency, hence $|\Delta_0(\omega)| = 0$. We see from

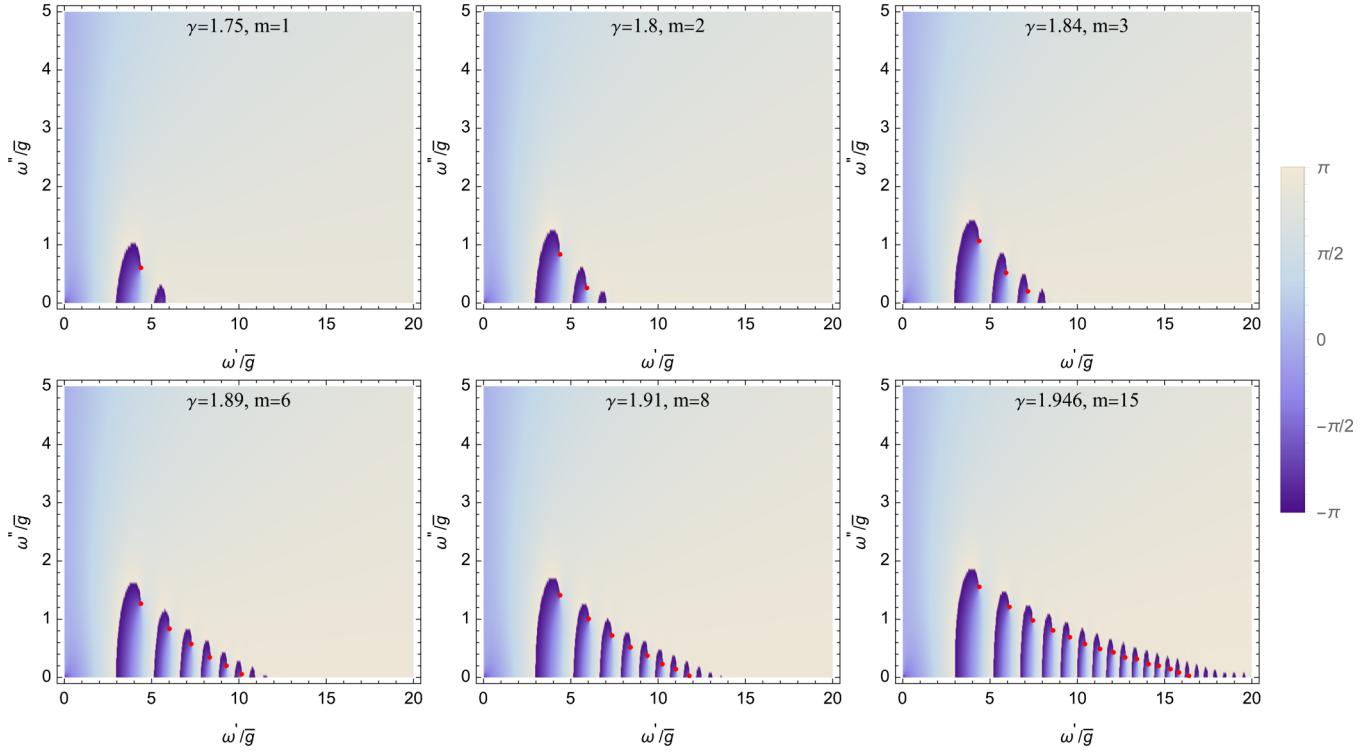


FIG. 21. The case $n = \infty$. We plot the phase of the gap function $\eta_\infty(z)$ in the upper half plane ($z = \omega' + i\omega''$) for different γ near $\gamma = 2$. The locations of the vortices are marked by red dots. At the vortex core, $\Delta_\infty(z) = 0$ and η_∞ is undefined. This number of vortices m rapidly increases as $\gamma \rightarrow 2$.

Fig. 24 that this indeed happens for γ_i specified in this figure. Once γ becomes larger than γ_i , m increases by one, and $\delta\eta_0$ increases by 2π . We extended ω to $\omega \pm i\delta$ and verified that the vortex indeed moves from the lower to the upper frequency half plane as γ increases through γ_i .

The emergence of the line of dynamical vortices (dynamical in the sense that they are at complex z) can also be analyzed within a semiphenomenological analytical model, in which we combine oscillating behavior of $\Delta_0(\omega)$ at $\bar{g} < \omega < \omega_{cr}$ and nonoscillating $1/\omega^\gamma$ form at $\omega > \omega_{cr}$ by approximat-

ing $\Delta_0(\omega)$ as the sum of the two terms:

$$\Delta_0(\omega) = 2\omega \frac{\sin \phi' \cosh \phi'' - i \cos \phi' \sinh \phi''}{\cosh 2\phi'' - \cos 2\phi'} + C\bar{g} \left(\frac{\bar{g}}{\omega}\right)^\gamma e^{i\pi\gamma/2}, \quad (83)$$

where ϕ' and ϕ'' are some functions of ω . We treat C as a phenomenological parameter, which controls the width of the range where $\Delta_0(\omega)$ oscillates. We assume that C scales as some power of $2 - \gamma$ and vanishes at $\gamma = 2$. The smaller C

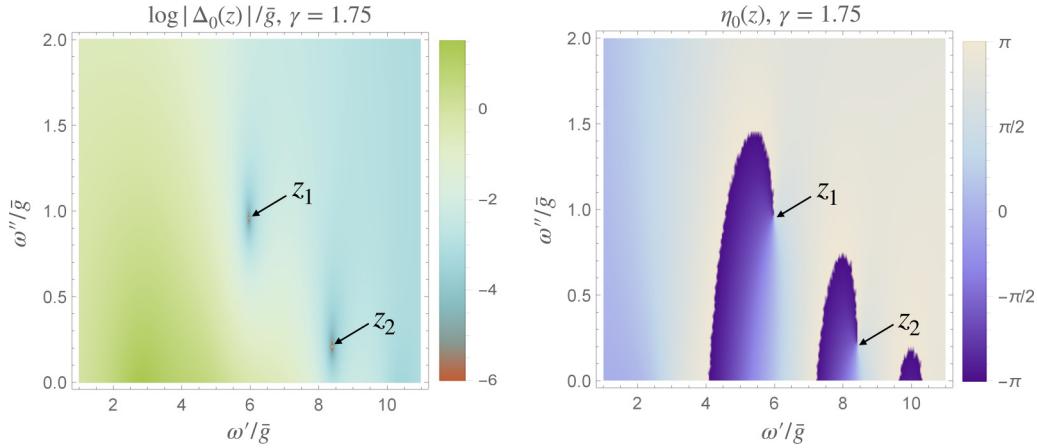


FIG. 22. The case $n = 0$. Left panel: Plot of $\log |\Delta_0(z)|$ in the upper half plane, for $\gamma = 1.75$. The gap $\Delta_0(z)$ for a generic z in the upper half plane is obtained by analytic continuation from $\Delta''(\omega)$ on the real axis, Eq. (69). The two bright points z_1 and z_2 are the locations of the vortices (points where $|\Delta_0(z)| = 0$). Right panel: Plot of $\eta_0(z)$ in the same region.

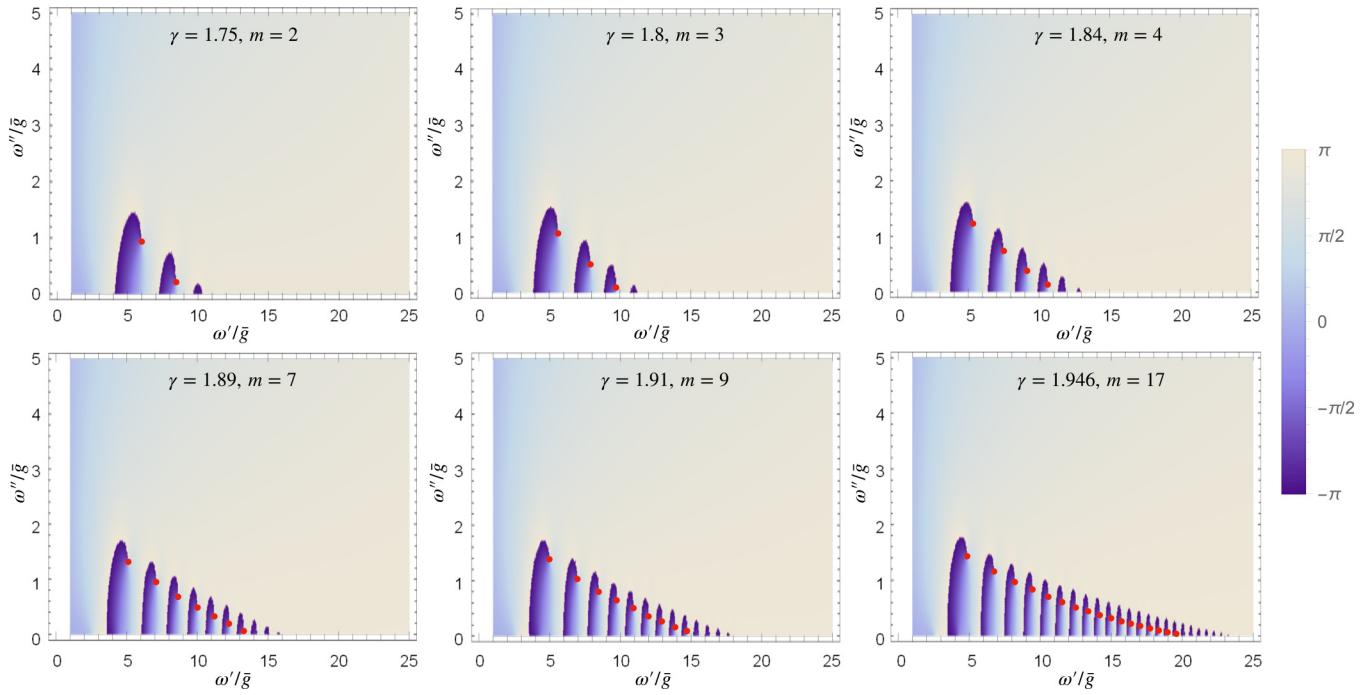


FIG. 23. The case $n = 0$. The phase of the gap function $\eta_0(z)$ in the upper half plane ($z = \omega' + i\omega''$) for different γ near $\gamma = 2$. The locations of the vortices are marked by red dots. At the vortex core $\Delta_0(z) = 0$ and η_0 is undefined. The number of vortices is set by m in (59). This number rapidly increases as $\gamma \rightarrow 2$.

is, the larger is the width of this range where $\Delta_0(\omega)$ oscillates. The precise forms of $\phi'(\omega)$ and $\phi''(\omega)$ are not essential for this consideration, except that $\phi'(\omega)$ must be odd in ω and $\phi''(\omega)$ must be even, and KK relations for $\Delta_0(\omega)$ must be satisfied with enough accuracy within the range where $\Delta_0(\omega)$ oscillates. We use $\phi'(\omega) = \omega$ and $\phi''(\omega) = (2 - \gamma)|\omega|^{1.5}$ to generate the plots in Fig. 25. At large C , $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ gradually decrease without changing sign (the top panel). This models the behavior of the gap function for $\gamma \sim 1$. As C decreases, $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ evolve and start undergoing sign changes. At first, this does not give rise to phase winding (second panel from the top). Then, at some critical $C = C_1 \approx 110$, there appears a point infinitesimally close to the real axis, where $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ change sign at the same frequency. This

models the behavior of the gap function for $\gamma \sim 1$. As C decreases, $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ evolve and start undergoing sign changes. At first, this does not give rise to phase winding (second panel from the top). Then, at some critical $C = C_1 \approx 110$, there appears a point infinitesimally close to the real axis, where $\Delta'_0(\omega)$ and $\Delta''_0(\omega)$ change sign at the same frequency. This

Once C becomes smaller than this value, a vortex appears in the upper frequency half plane, and the phase $\eta_0(\omega)$ rapidly changes by 2π in a narrow frequency range. At a smaller $C = C_2 \approx 25$, another vortex emerges on the real axis and moves to the upper frequency half plane at smaller C , causing another rapid change of $\eta_0(\omega)$ by 2π (the third panel). The third vortex emerges at $C = C_3 \approx 1.5$ and so on (the lower panels).

Comparing the results for $n = 0$ and $n = \infty$ we see that in both cases a line of vortices emerges at complex z in the first quadrant, and equal number of vortices forms in the second quadrant. The vortices develop for $\gamma > 1$. The number of vortices increases with γ and tends to infinity at $\gamma \rightarrow 2$. In this limit, the line of vortices extends to $z = \infty$. Comparing Figs. 21 and 23, we see that the phase winding along the real axis and the number of vortices in the upper frequency half plane for any given γ are very likely the same for $n = 0$ and $n = \infty$. A small difference in the values of γ_i , where the new vortices appear in the two cases, is most probably related to the fact that the $n = 0$ solution is an approximate one.

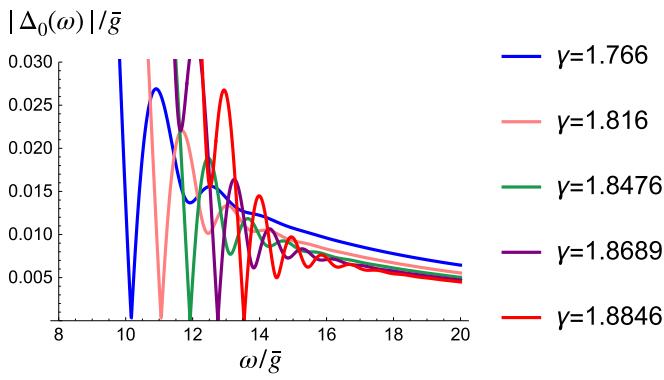


FIG. 24. The gap amplitude $|\Delta_0(\omega)|$ as a function of ω for a set of γ_i . For each of these γ_i , the gap amplitude vanishes at a particular ω , indicating that a vortex crosses the real axis on its way from the lower to the upper frequency half plane.

C. Finite n

Given the equivalence between the number of vortices at complex z for $n = 0$ and $n = \infty$ and near-equivalence of their position, we expect that the geometry of the vortices near the real axis is determined solely by γ and is the same for all values of n .

The emergence of the array of vortices is another indication that the pairing at $\gamma > 1$, where $V'(\omega)$ is repulsive, is quite special. The argument for this goes as follows. Take the set of m points, where $\Delta_n(z) = 0$, and make it infinite by adding points z_i at larger $z > \omega_{cr}$, for which $\Delta_n(z_i) \propto C_n/|z_i|^\gamma$. As

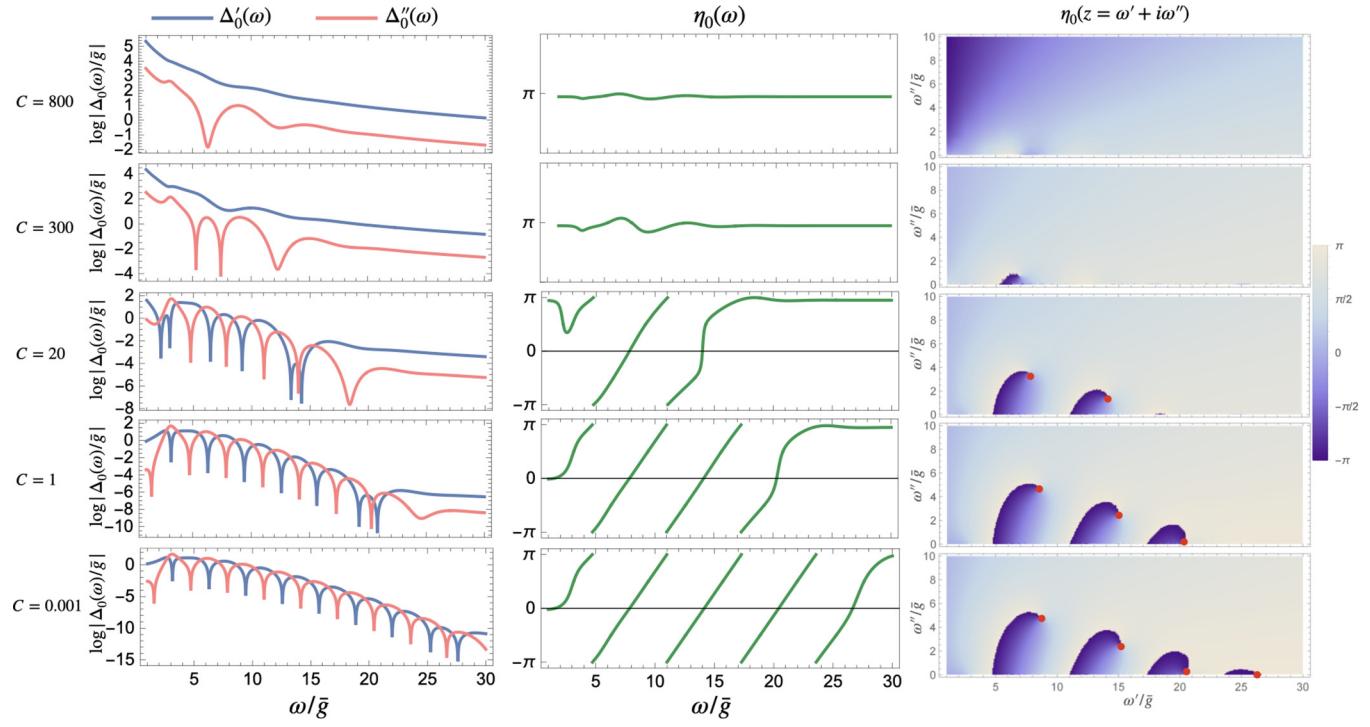


FIG. 25. Left panel: The plots of $\log |\Delta'_0(\omega)/\bar{g}|$ and $\log |\Delta''_0(\omega)/\bar{g}|$, from Eq. (83). The form of $\Delta_0(\omega)$ is controlled by the parameter C . At small C (which corresponds to larger γ), the gap function oscillates, and the range of oscillations increases with decreasing C . Middle panel: The phase $\eta_0(\omega)$, constrained to $(-\pi, \pi)$, for different C . The winding number increases as C decreases. Right panel: The phase $\eta_0(z)$ in the upper half plane of frequency. At large C , there are no vortices in the upper half plane. As C decreases, vortices appear one by one.

long as $\Delta_n(z)$ vanishes at $|z| = \infty$ everywhere in the upper half plane, one can continue analytically from such set and obtain a unique $\Delta_n(z)$ for all z . We see that $\Delta_n(z)$ is nonzero only because we extended the set of vortex points by adding additional z_i with $|z| > \omega_{cr}$. The implication is that $\Delta_n(z)$ for all z is actually determined by the set of complex frequencies, above the crossover scale ω_{cr} . At $\gamma \rightarrow 2$, ω_{cr} tends to infinity. In this limit, the set of the gap functions on the Matsubara axis is determined solely by an essential singularity at $z = \infty$. This obviously points out that the pairing at $\gamma > 1$ is highly unconventional and becomes more so as $\gamma \rightarrow 2$.

VI. CONCLUSIONS

In this paper we continued with our analysis of the interplay between the pairing and the non-Fermi liquid behavior in a metal for a set of quantum-critical (QC) systems with an effective dynamical electron-electron interaction $V(\Omega_m) \propto 1/|\Omega_m|^\gamma$, mediated by a critical massless boson (the γ -model). In previous papers we considered the cases $0 < \gamma < 1$ and $\gamma \approx 1$. We argued that the pairing by a gapless boson is fundamentally different from BCS/Eliashberg pairing by a massive boson as for QC pairing there exists an infinite discrete set of topologically distinct solutions for the gap function $\Delta_n(\omega_m)$ at $T = 0$ ($n = 0, 1, 2, \dots$), each with its own condensation energy $E_{c,n}$. Here we extended the analysis to larger $1 < \gamma < 2$. We argued that the discrete spectrum of $E_{c,n}$ get progressively denser as γ increases towards 2. This increases the strength of “longitudinal” gap fluctuations, which tend to reduce the actual superconducting T_c compared to the onset temperature

for the pairing. We also reported two new features on the real axis, which again become critical at $\gamma \rightarrow 2$. First, the density of states evolves towards a set of discrete δ -functions. Second, on a real axis, $\Delta_n(\omega)$ with all n , including $n = 0$, oscillate between \bar{g} and $\omega_{cr} > \bar{g}$, and the phase of the gap $\eta_n(\omega)$ varies in this range by an integer number of π . We associated this variation with the presence of dynamical vortices in the upper half plane of frequency, at a complex $z = \omega' + i\omega''$. The vortices appear one by one at a discrete set of $\gamma_i > 1$, and the number of vortices tends to infinity at $\gamma \rightarrow 2$. We related the emergence of oscillations on the real axis and vortices at complex z with the fact that for real ω , the interaction $V'(\Omega) \propto \cos(\pi\gamma/2) = |\Omega|^\gamma$ becomes repulsive for $\gamma > 1$, and the imaginary part $V'(\Omega) \propto \sin(\pi\gamma/2)/|\Omega|^\gamma$ gets progressively smaller as $\gamma \rightarrow 2$. We speculated that there is the emergence of an infinite number of vortices and a continuum spectrum of $E_{c,n}$ at $\gamma \rightarrow 2$ are related phenomena.

The case $\gamma = 2$ requires separate consideration and will be discussed in the next paper in the series. There we also discuss in detail longitudinal gap fluctuations.

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APPENDIX: THE EXACT FORM OF $\Delta_\infty(\omega_m)$

1. The linearized gap equation

We choose the unit for frequency to be \bar{g} and rewrite the linearized Eliashberg equation at zero temperature in dimensionless variable $\bar{\omega} = \omega/\bar{g}$ as

$$\Delta(\bar{\omega}) = \frac{1}{2} \int d\bar{\omega}' \frac{\Delta(\bar{\omega}') - \Delta(\bar{\omega}) \frac{\bar{\omega}'}{\bar{\omega}}}{|\bar{\omega}'|} \frac{1}{|\bar{\omega} - \bar{\omega}'|^\gamma}. \quad (\text{A1})$$

To obtain $\Delta(\bar{\omega})$, we will explore the same strategy as in Paper I, where we found the exact solution for $\gamma < 1$. For this, we introduce $S(\bar{\omega}) = \text{sgn}(\bar{\omega})(|\bar{\omega}| - \frac{1}{\gamma-1}|\bar{\omega}|^{1-\gamma} + i0^+)$ and

$$\tilde{\Phi}(\bar{\omega}) = S(\bar{\omega}) \frac{\Delta(\bar{\omega})}{\bar{\omega}}. \quad (\text{A2})$$

The choice of the sign of $i0^+$ term in $S(\bar{\omega})$ is arbitrary. The result for $\Delta(\bar{\omega})$ is the same for either sign.

The gap equation in terms of $\tilde{\Phi}(\bar{\omega})$ takes the form

$$\begin{aligned} \tilde{\Phi}(\bar{\omega}) &= \frac{1}{2} \int d\bar{\omega}' \left[\frac{\tilde{\Phi}(\bar{\omega}')}{S(\bar{\omega}')} - \frac{\tilde{\Phi}(\bar{\omega})}{S(\bar{\omega})} \right] \frac{\text{sgn}(\bar{\omega}')}{|\bar{\omega} - \bar{\omega}'|^\gamma} \\ &\quad - \frac{\bar{\omega} - S(\bar{\omega})}{S(\bar{\omega})} \tilde{\Phi}(\bar{\omega}). \end{aligned} \quad (\text{A3})$$

Like for $\gamma < 1$, we introduce complete and orthogonal basis, specified by

$$\Phi_\beta(\Omega) = \frac{|\Omega|^{-2i\beta+\delta_\Omega}}{|\Omega|^{\gamma/2}}, \quad (\text{A4})$$

where $\beta \in [-\infty, \infty]$ and $\delta_\Omega = \delta \text{sgn}(1 - |\Omega|)$. The functions $\Phi_\beta(\Omega)$ satisfy the orthogonality and completeness relations

$$\int_{-\infty}^{\infty} \Phi_\beta^*(\omega) \Phi_\beta(\Omega) \frac{d\beta}{2\pi} = \frac{1}{2} |\Omega|^{1-\gamma} \delta(|\Omega| - |\omega|), \quad (\text{A5})$$

$$\int_{-\infty}^{\infty} \Phi_\beta^*(\omega) \Phi_{\beta'}(\omega) \frac{d\omega}{|\omega|^{1-\gamma}} = 2\pi \delta(\beta - \beta'). \quad (\text{A6})$$

The function $\tilde{\Phi}(\bar{\omega})$ is expressed via $\Phi_\beta(\Omega)$ as

$$\tilde{\Phi}(\bar{\omega}) = \int_{-\infty}^{\infty} \frac{d\beta}{2\pi} a_\beta \Phi_\beta(\bar{\omega}), \quad (\text{A7})$$

where

$$a_\beta = \int \frac{d\bar{\omega}}{|\bar{\omega}|^{1-\gamma}} \Phi_\beta^*(\bar{\omega}) \tilde{\Phi}(\bar{\omega}). \quad (\text{A8})$$

Multiplying both sides of Eq. (A3) by $\Phi_\beta^*(\bar{\omega})$ and integrating over $d\bar{\omega}/|\bar{\omega}|^{1-\gamma}$, we obtain the integral equation on a_β in the form

$$a_\beta = \frac{1}{2} \int_{-\infty}^{\infty} \frac{d\beta'}{2\pi} A_{\beta,\beta'} a_{\beta'} - \int_{-\infty}^{\infty} \frac{d\beta'}{2\pi} B_{\beta,\beta'} a_{\beta'}, \quad (\text{A9})$$

where

$$\begin{aligned} A_{\beta\beta'} &= \int \frac{d\bar{\omega}}{|\bar{\omega}|^{1-\gamma}} \int d\bar{\omega}' \left[\frac{\Phi_{\beta'}(\bar{\omega}')}{S(\bar{\omega}')} - \frac{\Phi_{\beta'}(\bar{\omega})}{S(\bar{\omega})} \right] \\ &\quad \times \frac{\text{sgn}(\bar{\omega}')}{|\bar{\omega} - \bar{\omega}'|^\gamma} \Phi_\beta^*(\bar{\omega}), \end{aligned} \quad (\text{A10})$$

$$B_{\beta,\beta'} = \int \frac{d\bar{\omega}}{|\bar{\omega}|^{1-\gamma}} \frac{\bar{\omega} - S(\bar{\omega})}{S(\bar{\omega})} \Phi_{\beta'}(\bar{\omega}) \Phi_\beta^*(\bar{\omega}). \quad (\text{A11})$$

One can verify that $A_{\beta\beta'} = (\gamma - 1)B_{\beta\beta'}F_\beta$, where

$$\begin{aligned} F_\beta &= \int_{-\infty}^{\infty} dx \frac{1}{|x - 1|^\gamma} [|x|^{2i\beta+\gamma/2-1} - \text{sgn}(x)] \\ &= \frac{2}{\gamma - 1} (1 - \epsilon_\beta) \end{aligned} \quad (\text{A12})$$

and

$$\epsilon_\beta = \frac{1 - \gamma}{2} \frac{\Gamma(\gamma/2 - 2i\beta)\Gamma(\gamma/2 + 2i\beta)}{\Gamma(\gamma)} \left[1 + \frac{\cosh(2\pi\beta)}{\cos(\pi\gamma/2)} \right]. \quad (\text{A13})$$

Evaluating the integral for $B_{\beta\beta'}$, we obtain

$$B_{\beta\beta'} = \frac{2}{\gamma - 1} \int_0^\infty \frac{d\bar{\omega}}{\bar{\omega}} \frac{\bar{\omega}^{2i(\beta-\beta')+\delta_\omega}}{(\gamma - 1)\bar{\omega}^\gamma - i0^+} \quad (\text{A14})$$

$$= \frac{2i\pi}{\gamma} \frac{e^{-2\pi(\beta-\beta')/\gamma - 2i(\beta-\beta')/\gamma \log(\gamma-1)}}{\sinh[2\pi(\beta - \beta')/\gamma - i0^+]} \quad (\text{A15})$$

The convergence factor δ_ω in the first line in (A14) is relevant only at small $\bar{\omega}$, where it is positive. Substituting $A_{\beta\beta'}$ and $B_{\beta\beta'}$ into the integral equation on a_β , we obtain

$$a_\beta = -\frac{2i\pi\epsilon_\beta}{\gamma} \int \frac{d\beta'}{2\pi} \frac{e^{-2\pi(\beta-\beta')/\gamma - 2i(\beta-\beta')/\gamma \log(\gamma-1)}}{\sinh[2\pi(\beta - \beta')/\gamma - i0^+]} a_{\beta'}. \quad (\text{A16})$$

It is convenient to change the variables to $\beta = \gamma k/2$, $a_\beta = e^{-\pi k - ik \log(\gamma-1)} \epsilon_{\gamma k/2} \tilde{b}_k$. The gap equation then takes the form

$$\tilde{b}_k = \frac{i}{2} \int \frac{\epsilon_{k'\gamma/2}}{\sinh[\pi(k' - k) + i0^+]} \tilde{b}_{k'} dk'. \quad (\text{A17})$$

Once we know \tilde{b}_k , one can compute $\tilde{\Phi}(\bar{\omega})$ and the gap function $\Delta(\omega)$ using Eqs. (A7) and (A2).

2. Solution of the gap equation

Let's define the function B_z of a complex argument z as

$$B_z = \frac{i}{2} \int \frac{\epsilon_{k'\gamma/2}}{\sinh[\pi(k' - z)]} b_{k'} dk'. \quad (\text{A18})$$

For real k , $\tilde{b}_k = B_{k-i0^+}$. The function B_z satisfies the periodicity condition

$$B_{z+in} = (-1)^n B_z, \quad (\text{A19})$$

where n is integer and has branch cuts at $z = x + in$, where x is real. In particular, for $n = 0$,

$$B_{k+i0^+} = (1 - \epsilon_{k\gamma/2})B_{k-i0^+}. \quad (\text{A20})$$

We now take the logarithm of both sides of (A20). For $\gamma > 1$, the function $\epsilon_{k\gamma/2}$ increases monotonically with $|k|$, and $\epsilon_{k\gamma/2} = 1$ at $k = k_\gamma = \pm\beta$. Using this, we obtain

$$\begin{aligned} & \log B_{k+in+i0^+} - \log B_{k+in-i0^+} \\ &= \log |1 - \epsilon_{k\gamma/2}| + i\pi\chi_n\Theta(k - \beta) \\ & \quad + i\pi\xi_n\Theta(-k - \beta). \end{aligned} \quad (\text{A21})$$

Here $\chi_n, \xi_n = \pm 1$ are two parameters, which reflect the ambiguity of evaluating $\log(1 - \epsilon_{k\gamma/2})$ for $|k| > \beta$ due to a discontinuity of a logarithm across its branch cut. Because of the periodicity condition (A19), the lhs of (A21) is independent to n , hence $\chi_n \equiv \chi$ and $\xi_n \equiv \xi$ are just two numbers, each is either +1 or -1. Using the Sokhotski-Plemelj theorem, we can then reexpress (A21) as

$$\begin{aligned} \log B_k &= \frac{1}{2i} \int_{-\infty}^{\infty} \log |1 - \epsilon_{k\gamma/2}| \coth [\pi(k' - k)] dk' \\ & \quad + \frac{\pi\chi}{2} \int_{\beta}^{\infty} \coth [\pi(k' - k)] dk' \\ & \quad + \frac{\pi\xi}{2} \int_{-\infty}^{-\beta} \coth [\pi(k' - k)] dk' + G_k, \end{aligned} \quad (\text{A22})$$

where G_k is an analytic function, which satisfies the periodicity relation

$$G_{k+in} = G_k + (2l + 1)in\pi, \quad l, n \in \mathbb{Z}. \quad (\text{A23})$$

The last condition uniquely specifies $G_k = c + (2l + 1)\pi k$, where c is a constant. The latter is irrelevant as one can easily verify that it contributes only to an irrelevant overall factor in $\Delta_\infty(\bar{\omega})$. Using (A23) and absorbing the divergent piece into c , we obtain from (A22):

$$\begin{aligned} \tilde{b}_k &= B_{k-i0^+} \\ &= \exp \left\{ \frac{1}{2i} \int_{-\infty}^{\infty} \log |1 - \epsilon_{k\gamma/2}| \coth [\pi(k' - k + i0^+)] dk' \right. \\ & \quad - \frac{\chi}{2} \log \frac{\sinh[\pi(k_\gamma - k + i0^+)]}{\sinh(\pi k_\gamma)} \\ & \quad + \frac{\xi}{2} \log \frac{\sinh[\pi(k_\gamma + k - i0^+)]}{\sinh(\pi k_\gamma)} \\ & \quad \left. + \left(2l + 1 - \frac{\chi + \xi}{2}\right)\pi k \right\}. \end{aligned} \quad (\text{A24})$$

This \tilde{b}_k depends on three parameters: $\chi, \xi = \pm 1$ and $l \in \mathbb{Z}$. Below we select χ, ξ , and l based on the two conditions: (1) the integrand in the rhs of the gap equation (A17) must be convergent; (2) b_k must give rise to a gap function $\Delta(\bar{\omega})$, which vanishes at $\bar{\omega} \rightarrow \infty$. The first condition puts the following constraints:

- If $\chi = \xi = 1$, then $l = 0$.
- If $\chi = \xi = -1$, then $l = -1$.
- If $\chi = -\xi = 1$, then $l = 0, -1$.
- If $\chi = -\xi = -1$, there is no solution for l .

We verified that the second condition is satisfied only if $\chi = -\xi = 1$ and $l = 0, -1$. The dependence on l in (A23), and the difference between the two choices for l , is an irrelevant overall factor for $\Delta(\bar{\omega})$. We then concludes that the two conditions uniquely specify \tilde{b}_k .

Substituting these χ, ξ , and $2l + 1$ into (A23) and reexpressing the result back in terms of $b_k = e^{-\pi k - ik \log(\gamma-1)} \tilde{b}_k$, we obtain

$$\begin{aligned} b_k &= \exp[-ik \log(\gamma-1)] \exp \left\{ \frac{1}{2i} \int_{-\infty}^{\infty} \log |1 - \epsilon_{k\gamma/2}| \right. \\ & \quad \times \coth[\pi(k' - k + i0^+)] dk' \\ & \quad - \frac{1}{2} \log \frac{\sinh[\pi(k_\gamma - k + i0^+)]}{\sinh[\pi k_\gamma]} \\ & \quad \left. - \frac{1}{2} \log \frac{\sinh[\pi(k_\gamma + k - i0^+)]}{\sinh[\pi k_\gamma]} \right\}. \end{aligned} \quad (\text{A25})$$

3. Computation of $\Delta_\infty(\omega)$

We now substitute this b_k into Eq. (A7) and compute $\tilde{\Phi}(\omega)$ and the gap function $\Delta(\omega)$ using Eq. (A2). It is convenient to introduce $y \equiv |\bar{\omega}|^\gamma$. In terms of y , we obtain

$$\Delta_\infty(y) = y^{1/2} \int_{-\infty}^{\infty} dk b_k e^{-ik \log y}. \quad (\text{A26})$$

The $y^{1/2}$ in the prefactor can be eliminated by shifting the integration contour away from the real axis, to $k - i/2$. This is a safe procedure because b_k is analytic within the interval $-1 < \text{Im}(k) < 0$. Shifting the integration, we obtain the final expression

$$\Delta_\infty(y) = \int_{-\infty}^{\infty} dk b_k e^{-ik \log y}, \quad (\text{A27})$$

where

$$b_k = \frac{e^{-il_k - ik \log(\gamma-1)}}{\sqrt{\cosh[\pi(k - \beta)] \cosh[\pi(k + \beta)]}} \quad (\text{A28})$$

and

$$I_k = \frac{1}{2} \int_{-\infty}^{\infty} dk' \log |\epsilon_{k'} - 1| \tanh \pi(k' - k). \quad (\text{A29})$$

4. Series expansion for $\Delta_\infty(y)$

The function I_k can be expressed as an infinite product of the Γ -functions (see Paper I for details). For b_k , this yields, up to an overall factor,

$$\begin{aligned} b_k &= \frac{\Gamma(1 - ik)}{\Gamma(1 + ik)} \Gamma\left[\frac{1}{2} + i(k + \beta)\right] \Gamma\left[\frac{1}{2} + i(k - \beta)\right] \\ & \quad \times \prod_{m=1}^{\infty} \frac{\Gamma\left[\frac{1}{2} + i(k - i\beta_m)\right] \Gamma\left(1 + \frac{2m}{\gamma} - ik\right)}{\Gamma\left[\frac{1}{2} - i(k + i\beta_m)\right] \Gamma\left(1 + \frac{2m}{\gamma} + ik\right)}. \end{aligned} \quad (\text{A30})$$

Here $\beta_m > 0$ are the solutions of $\epsilon_{i\beta_m} = 1$ for imaginary argument. There is an infinite set of such β_m , specified by integer $m = 0, 1, 2, \dots$ and located at $1/2 + 2m/\gamma < \beta_m < 1/2 + 2(m+1)/\gamma$ [see Fig. 3(b)].

The integral in (A27) can be evaluated by closing the integration contour along an infinite arc in the complex plane

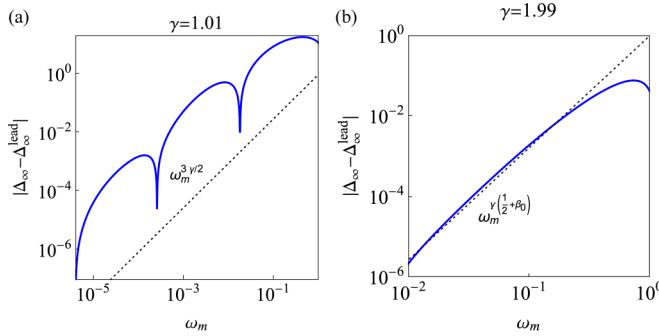


FIG. 26. The expansion of the exact $\Delta_\infty(\omega_m)$ in powers of ω_m . The leading term at small ω_m is $\Delta_\infty^{\text{lead}} |\omega_m|^{\gamma/2} = \cos(\beta\gamma \log |\omega_m|/\bar{g} + \phi)$. The subleading terms form series of local and nonlocal terms. Local series hold in powers of $|\omega_m|^{n\gamma}$ ($n = 1, 2, \dots$), and all terms in these series oscillate, like the leading term. The nonlocal terms do not oscillate and form series in $|\omega_m|^{(n'+\beta_m)\gamma}$, where $n' = (0, 1, 2, \dots)$ and β_m ($m = 0, 1, 2, \dots$) are some γ -dependent numbers. We show $|\Delta_\infty - \Delta_\infty^{\text{lead}}|$ for $\gamma = 1.01$ and $\gamma = 1.99$. An analytical analysis shows that in the first case, $\beta_0 > 1$, such that the leading correction comes from the local series and oscillates. For $\gamma = 1.99$, $\beta_0 \simeq 0.9 < 1$. In this case the leading correction comes from nonlocal series and does not oscillate. The numerical evaluation of $|\Delta_\infty - \Delta_\infty^{\text{lead}}|$ shown here confirms these results.

of frequency. For $y < 1$, i.e., $\omega_m < \bar{g}$, the arc must be in the upper half plane, and for $y > 1$, i.e., $\omega_m > \bar{g}$, in the lower half plane. Viewed as a function of complex k , b_k has poles from individual Γ -functions in the upper frequency half plane at $k = \pm\beta + i(n + 1/2)$, where $n = 0, 1, 2, \dots$ and at $k = i\beta_m + i(n + 1/2)$, and in the lower half plane, at $k = -i(n + 1)$ and $k = -i(1 + 2m/\gamma + n)$, where $n = 0, 1, 2, \dots$ and $m = 1, 2, \dots$

a. The case $y < 1$

For $y < 1$, relevant poles are at $k = \pm\beta + i(n + 1/2)$ and at $k = i\beta_m + i(n + 1/2)$. This yields series expansion for $\Delta_\infty(y)$ in the form

$$\Delta_\infty(y) = \text{Re} \sum_{n=0}^{\infty} e^{i(\beta \log y + \phi)} C_n^< y^{n+1/2} + \sum_{n,m=0}^{\infty} D_{n,m}^< y^{n+\beta_m+1/2}. \quad (\text{A31})$$

We cited this result in Eq. (13). Here ϕ is some particular, γ -dependent number, and $C_n^<$ and $D_{n,m}^<$ are γ -dependent coefficients. The leading term in (A31) at small y is

$$\Delta_\infty(y) = y^{1/2} C_n^< \cos(\beta \log y + \phi). \quad (\text{A32})$$

The first subleading term scales as $y^{3/2}$ for $\gamma \leq 1.81$, where $\beta_0 > 1$, and as $y^{1/2+\beta_0}$ for γ closer to 2, where $\beta_0 < 1$. We verified this explicitly by subtracting the leading term, given by (A32), from the exact solution and identifying the leading term in the leftover (see Fig. 26).

In the direct perturbation expansion in y , the series in y^n (the first term in (A31)) come from fermions with internal $y' \sim y$ and form the “local” series. The second term in (A31) is a

sum of contributions from fermions with $y' = O(1)$, which for $y \ll 1$ can we regarded as “nonlocal”. We can then express $\Delta_\infty(y) = \Delta_{\infty,L}(y) + \Delta_{\infty,NL}(y)$, where

$$\Delta_{\infty,L}(y) = \text{Re} \sum_{n=0}^{\infty} e^{i(\beta \log y + \phi)} C_n^< y^{n+1/2}, \quad (\text{A33})$$

$$\Delta_{\infty,NL}(y) = \sum_{n,m=0}^{\infty} D_{n,m}^< y^{n+\beta_m+1/2}. \quad (\text{A34})$$

The coefficients $C_n^<$ in (A33) can be obtained analytically, as we already found in Papers I and III for $\gamma < 1$. The computations for $\gamma > 1$ are similar, and we present only the result. We obtained

$$\begin{aligned} C_n^< &= C_0^< \prod_{m=1}^n \frac{1}{\bar{I}_m}, & (\text{A35}) \\ \bar{I}_m &= \frac{1}{2} \left\{ \frac{\Gamma[(m + 1/2)\gamma + i\beta\gamma]\Gamma[(1/2 - m)\gamma - i\beta\gamma]}{\Gamma(\gamma)} \right. \\ &\quad \left. - \frac{\Gamma[\gamma(1/2 + i\beta)]\Gamma[\gamma(1/2 - i\beta)]}{\Gamma(\gamma)} \right\} \\ &\quad + \frac{\Gamma(1 - \gamma)}{2} \left\{ \frac{\Gamma[(m + 1/2)\gamma + i\beta\gamma]}{\Gamma[1 - (1/2 - m)\gamma + i\beta\gamma]} \right. \\ &\quad \left. + \frac{\Gamma[(1/2 - m)\gamma - i\beta\gamma]}{\Gamma[1 - (m + 1/2)\gamma - i\beta\gamma]} \right\} \\ &\quad - \frac{\Gamma(1 - \gamma)}{2} \left\{ \frac{\Gamma[\gamma(1/2 + i\beta)]}{\Gamma[1 - \gamma(1/2 - i\beta)]} \right. \\ &\quad \left. + \frac{\Gamma[\gamma(1/2 - i\beta)]}{\Gamma[1 - \gamma(1/2 + i\beta)]} \right\}. & (\text{A36}) \end{aligned}$$

Using the expansion for Γ -functions, one can verify that at large m , $\bar{I}_m \sim m^{\gamma-1}$, i.e., $C_n^< \sim 1/n^{n(\gamma-1)}$. Interestingly, the sum in (A33) then converges for any y and can be obtained numerically by summing up the proper number of terms in (A33). We show the results for $\Delta_{\infty,L}(y)$ for different γ in Fig. 27, extending also into the range $y > 1$. At $\gamma \leq 2$, one can expand the Γ functions in (A36) in $2 - \gamma$. For \bar{I}_m we then obtain, to first order in $2 - \gamma$,

$$\bar{I}_m = \frac{im}{\beta} [1 + iQ(2 - \gamma)(m + 1)], \quad (\text{A37})$$

where $Q \approx 0.7$. This holds for $Q(2 - \gamma)m < 1$. For larger m , $Q(2 - \gamma)m$ becomes $\sin(Q(2 - \gamma)m)$. Substituting \bar{I}_m from (A37) into (A35), evaluating the product to first order in $(2 - \gamma)$, and substituting the result into (A33), we obtain, to the same accuracy,

$$\begin{aligned} \Delta_{\infty,L}(y) &\propto \sqrt{y} e^{-2Q(2-\gamma)\beta y} \cos[\beta(\log y - y) \\ &\quad - Q(2 - \gamma)(y\beta)^2/2 + \phi]. \end{aligned} \quad (\text{A38})$$

The expression becomes particularly simple for $\gamma = 2$, where

$$\Delta_{\infty,L}(y) \propto \sqrt{y} \cos[\beta(\log y - y) + \phi]. \quad (\text{A39})$$

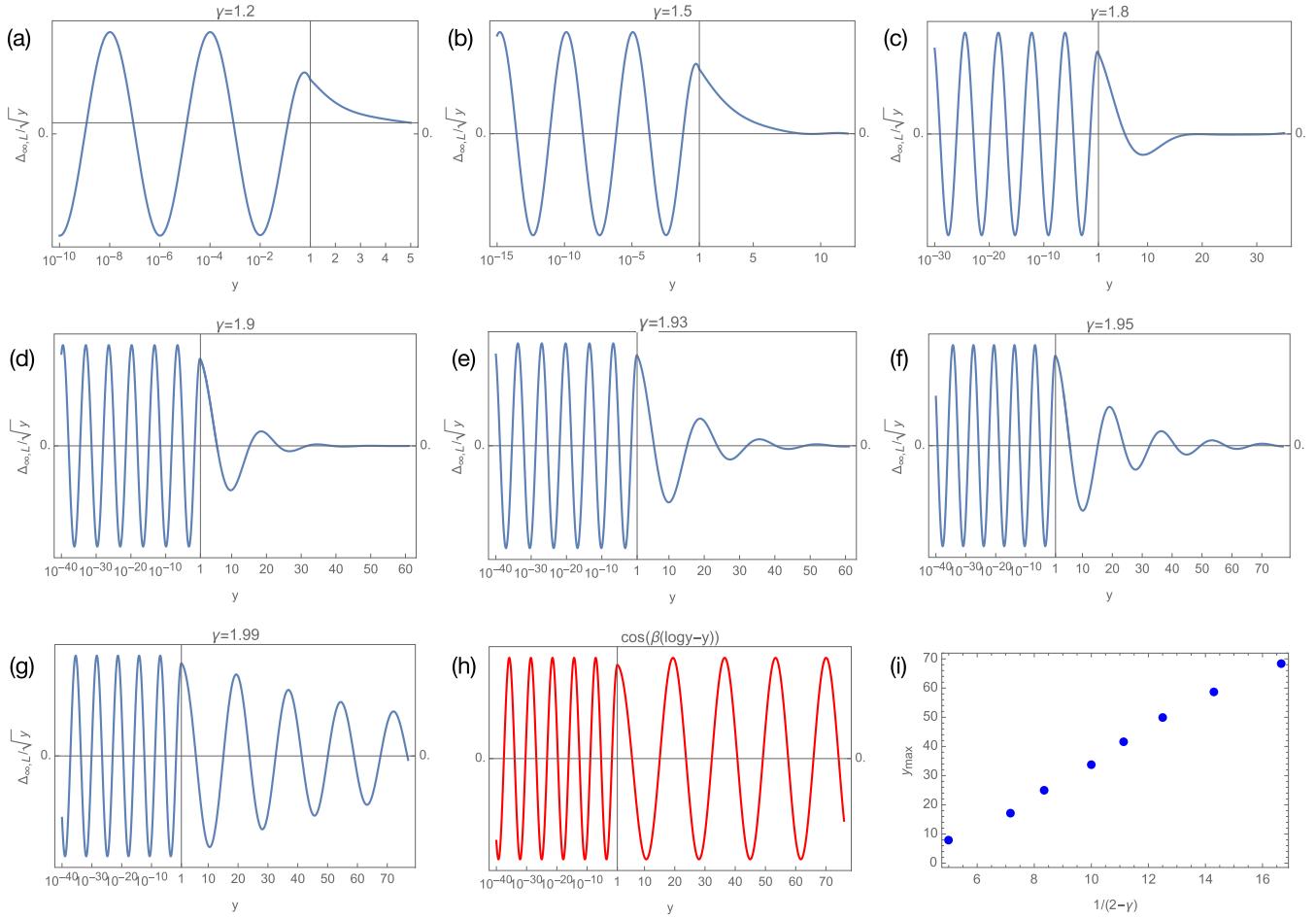


FIG. 27. (a)–(g) The gap function $\Delta_{\infty,L}(y)$ as a function of $y = (|\omega_m|/\bar{g})^\gamma$ for various γ . At $y < 1$, $\Delta_{\infty,L}(y)$ oscillates for all γ with the period set by $\log y$. As γ increases towards 2, the new oscillating regime emerges if one extends $\Delta_{\infty,L}(y)$ to $y > 1$. In this new regime the period of oscillations is set by y . (h) The analytical form of $\Delta_{\infty,L}(y)$ at $\gamma \rightarrow 2$. (i) The approximately linear dependence of the upper boundary for new oscillations, y^* , on $2 - \gamma$.

b. The case $y > 1$

For $y > 1$, relevant poles are in the lower half plane, at $k = -i(n+1)$ and $k = -i(n+1+2m/\gamma)$. This yields the expansion for $\Delta_\infty(y)$ in powers of $1/y$ in the form

$$\Delta_\infty(y) = \sum_{n=0}^{\infty} C_n^> \left(\frac{1}{y}\right)^{n+1} + \sum_{n,m=0}^{\infty} D_{n,m}^> \left(\frac{1}{y}\right)^{n+1+2(m+1)/\gamma}. \quad (\text{A40})$$

We cited this result in Eq. (14). The leading term in the series is $1/y$, i.e., at $\omega_m \gg \bar{g}$, $\Delta_\infty(\omega) \propto 1/|\omega|^\gamma$. The exact $\Delta_\infty(\omega)$ clearly shows this behavior (see Fig. 4)

Equation (A40) is formally the same as the series expansion result for $\gamma < 1$; however, the coefficients $C_n^>$ and $D_{n,m}^>$ depend on γ . We now argue that this dependence is qualitatively different for $\gamma < 1$ and $\gamma > 1$. Namely, we argue below that for $\gamma > 1$, there is a universal piece in $\Delta_\infty(y)$ at large y , which does not fit into the power-law series in (A40). We obtain this piece by analyzing the form of b_k in Eq. (A27) and evaluating the integral over k directly, without closing the integration contour in the complex plane of k . While we did not compute $C_n^>$ and $D_{n,m}^>$ explicitly, the presence of a piece that does not fit into power-law series implies that the

series expansion in (A40) does not converge at $\gamma > 1$ (e.g., the coefficients $C_n^>$ grow fast enough with n and eventually overcome the smallness of $1/y^n$) and would yield incorrect results, starting from some critical n , which depends on y . In Paper V, where we specifically focus on $\gamma = 2$, we show explicitly that this is the case and determine a critical n .

Below we focus on the universal, non-power-law term in $\Delta_\infty(y)$. We show that this term is present at $\gamma > 1$, because the complex phase of the integrand in Eq. (A27) has an extreme at $k = k_* \sim y^{1/(\gamma-1)}$. The universal contribution then comes from the expansion of the integrand around $k = k^*$. There is no such extreme for $\gamma < 1$.

To identify the universal term, we consider large y and analyze the contribution to the integral in (A27) from k above some $k_{\min} = O(1)$. We label the corresponding term as $\Delta_\infty^u(y)$. In explicit form,

$$\Delta_{\infty;u}(y) = \int_{k_{\min}}^{\infty} dk \frac{\cos [I_k + k \log y(\gamma - 1)]}{\{\cosh[\pi(k - \beta)] \cosh[\pi(k + \beta)]\}^{1/2}}. \quad (\text{A41})$$

For large k , the integral, which determines I_k in Eq. (A29), is determined by $k' \sim k$. This integral contains $\epsilon_{\gamma'k'/2}$. For

$\gamma > 1$, $\epsilon_{\gamma k'/2}$ is an increasing function of k' and its leading term at large k' is $\epsilon_{\gamma k'/2} \simeq (\gamma - 1)(A_\gamma k')^{\gamma-1}$, where $A_\gamma = \gamma [\frac{\pi}{2\Gamma(\gamma)\cos(\pi(2-\gamma)/2)}]^{1/(\gamma-1)}$. Substituting this form into Eq. (A29), we obtain

$$I(k) + \log [y(\gamma - 1)] \simeq (\gamma - 1)k \ln \frac{ye}{A_\gamma k}. \quad (\text{A42})$$

Substituting this form into (A41), we find that the argument of the integrand has a maximum at

$$k_* = y^{\frac{1}{\gamma-1}} A_\gamma^{-1}. \quad (\text{A43})$$

Expanding $I(k) + k \log[y(\gamma - 1)]$ to quadratic order in $u = k/k_* - 1$, as

$$I(k) + k \log[y(\gamma - 1)] \approx (\gamma - 1)k_* \left(1 - \frac{1}{2}u^2\right), \quad (\text{A44})$$

substituting into (A41), and approximating the denominator in (A41) by its large k value, we obtain

$$\Delta_\infty^u(y) \sim \sqrt{k_*} e^{-\pi k^*} \text{Re} \left[e^{-i(\gamma-1)k_*[1 - \frac{\pi^2}{(\gamma-1)^2}]} \right. \\ \times \left. \int_{-[k^*(\gamma-1)/2]^{1/2}[1-i\pi/(\gamma-1)]}^{\infty} d\tilde{u} e^{i\tilde{u}^2} \right], \quad (\text{A45})$$

where $\tilde{u} = u[(\gamma - 1)k^*/2]^{1/2}$. The universal part of this expression is obtained by taking the lower limit to $-\infty$, in which case $\int_{-\infty}^{\infty} e^{i\tilde{u}^2} = \sqrt{\pi} e^{i\pi/4}$. Substituting into (A45) and expressing k^* in terms of $|\omega_m|/\bar{g}$, we obtain for the universal term

$$\Delta_\infty^u(\omega_m) \sim \left(\frac{|\omega_m|}{\bar{g}} \right)^{\frac{\gamma}{2(\gamma-1)}} \exp \left[-\frac{\pi}{A_\gamma} \left(\frac{|\omega_m|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} \right] \\ \times \cos \left\{ \frac{\gamma-1}{A_\gamma} \left[1 - \frac{\pi^2}{(\gamma-1)^2} \right] \left(\frac{|\omega_m|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} - \frac{\pi}{4} \right\}. \quad (\text{A46})$$

Working along the same lines, but extending the analysis to include the subleading terms at large k , we obtain $\Delta_\infty^u(\omega_m)$ with a more accurate argument of the cos:

$$\Delta_\infty^u(\omega_m) \sim \left(\frac{|\omega_m|}{\bar{g}} \right)^{\frac{\gamma}{2(\gamma-1)}} \exp \left[-\frac{\pi}{A_\gamma} \left(\frac{|\omega_m|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} \right] \\ \times \cos \Psi_m(\omega_m/\bar{g}), \quad (\text{A47})$$

where

$$\Psi_m(x) = \frac{\gamma-1}{A_\gamma} \left[1 - \frac{1}{2} \left(\frac{\pi}{\gamma-1} \right)^2 \right] x^{\frac{\gamma}{\gamma-1}} + \frac{\pi^2}{2(\gamma-1)A_\gamma} x^{\frac{\gamma(2-\gamma)}{\gamma-1}} \\ + \frac{x^{\frac{\gamma(2-\gamma)}{\gamma-1}} - 1}{2-\gamma} A_\gamma^{1-\gamma} - \frac{\pi}{4}. \quad (\text{A48})$$

At $\gamma \rightarrow 2$, $\Psi(x)$ acquires a simple form $\Psi(x) = [x^2(1 - \pi^2/2) + \log x^2]/\pi + \pi/4$.

5. Analytical continuation

The gap function given by (A27) can be analytically continued away from the Matsubara axis by a simple rotation of the argument: $i\omega_m \rightarrow z = |z|e^{i\psi}$. Under this transformation, $\log y$ in (A27) transforms into $\log y_z - i\theta$, where $y_z = (|z|/\bar{g})^\gamma$ and $\theta = (\pi/2 - \psi)\gamma$. The gap function transforms to

$$\Delta_\infty(z) = \int_{-\infty}^{\infty} dk \frac{e^{-\theta k} e^{-iL_k - ik \log y_z}}{\sqrt{\cosh[\pi(k - \beta)] \cosh[\pi(k + \beta)]}}. \quad (\text{A49})$$

Applying this transformation, we extend (A48) to

$$\Delta_\infty^u(z) \sim \left(\frac{|\omega_m|}{\bar{g}} \right)^{\frac{\gamma}{2(\gamma-1)}} [Q(\theta, |z|) + Q(-\theta, |z|)], \quad (\text{A50})$$

where

$$Q(\theta, |z|) = \exp \left[-\frac{\pi - \theta}{A_\gamma} \left(\frac{|z|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} \right] e^{i\Psi(|z|/\bar{g}, \theta)} \quad (\text{A51})$$

and

$$\Psi(x, \theta) = \frac{\gamma-1}{A_\gamma} \left[1 - \frac{1}{2} \left(\frac{\pi - \theta}{\gamma-1} \right)^2 \right] x^{\frac{\gamma}{\gamma-1}} \\ + \frac{(\pi - \theta)^2}{2(\gamma-1)A_\gamma} x^{\frac{\gamma(2-\gamma)}{\gamma-1}} + \frac{x^{\frac{\gamma(2-\gamma)}{\gamma-1}} - 1}{2-\gamma} A_\gamma^{1-\gamma}. \quad (\text{A52})$$

The largest value of θ is on the real axis, where $\psi = 0$ and $\theta = \pi\gamma/2$. Here $Q(\theta, |z|) \gg Q(-\theta, |z|)$. Keeping only $Q(\theta, |z|)$, we obtain on the real axis,

$$\Delta_\infty^u(\omega) \sim \left(\frac{|\omega|}{\bar{g}} \right)^{\frac{\gamma}{2(\gamma-1)}} \exp \left[-\frac{\pi(2-\gamma)}{2A_\gamma} \left(\frac{|\omega|}{\bar{g}} \right)^{\frac{\gamma}{\gamma-1}} \right] e^{i\Psi_r(|\omega|/\bar{g})}, \quad (\text{A53})$$

where

$$\Psi_r(x) = \frac{\gamma-1}{A_\gamma} \left[1 - \frac{\pi^2(2-\gamma)^2}{8(\gamma-1)^2} \right] x^{\frac{\gamma}{\gamma-1}} \\ + \frac{\pi^2(2-\gamma)^2}{8(\gamma-1)A_\gamma} x^{\frac{\gamma(2-\gamma)}{\gamma-1}} + \frac{x^{\frac{\gamma(2-\gamma)}{\gamma-1}} - 1}{2-\gamma} A_\gamma^{1-\gamma}. \quad (\text{A54})$$

Comparing this oscillating $\Delta_\infty^u(\omega)$ with the regular term on the real axis $e^{i\pi\gamma/2}(\bar{g}/|\omega|)^\gamma$, we see that for $\gamma \leq 2$, the oscillating term is larger in a range $\bar{g} < |\omega| < \omega_{cr}$, where $\omega_{cr} \sim \bar{g}/(2-\gamma)^{1/2}$ has been defined in (49).

At $\gamma \rightarrow 2$, ω_{cr} diverges and $\Delta_\infty^u(\omega)$ from (A53) remains the dominant term in $\Delta_\infty(\omega)$ at all $\omega > \bar{g}$. In this limit, $\Delta_\infty^u(\omega) = \Delta_\infty(\omega)$ simplifies to

$$\Delta_\infty(\omega) \sim \frac{|\omega|}{\bar{g}} \exp \left\{ \frac{i}{\pi} \left[\left(\frac{\omega}{\bar{g}} \right)^2 + \log \left(\frac{\omega}{\bar{g}} \right)^2 \right] \right\}. \quad (\text{A55})$$

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