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PAPER: Quantum statistical physics, condensed matter, integrable systems

# Non-Landau quantum phase transitions and nearly-marginal non-Fermi liquid

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Abstract. Non-Fermi liquid and unconventional quantum critical points (QCP) with strong fractionalization are two exceptional phenomena beyond the classic condensed matter doctrines, both of which could occur in strongly interacting quantum many-body systems. This work demonstrates that using a controlled method one can construct a non-Fermi liquid within a considerable energy window based on the unique physics of unconventional QCPs. We will focus on the 'nearly-marginal non-Fermi liquid', defined as a state whose fermion self-energy scales as  $\Sigma_f(i\omega) \sim i \operatorname{sgn}(\omega) |\omega|^{\alpha}$  with  $\alpha$  close to 1 in a considerable energy window. The nearly-marginal non-fermi liquid is obtained by coupling an electron fermi surface to unconventional QCPs that are beyond the Landau's paradigm. This mechanism relies on the observation that the anomalous dimension  $\eta$  of the order parameter of these unconventional QCPs can be close to 1, which is significantly larger than conventional Landau phase transitions, for example the Wilson-Fisher fixed points. The fact that  $\eta \sim 1$  justifies a perturbative renormalization group calculation proposed earlier. Various candidate QCPs that meet this desired condition are proposed.

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**Keywords:** quantum criticality, quantum phase transitions, spin liquids, topological phases of matter

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#### 1. Introduction

In the past few decades, a consensus has been gradually reached that quantum many-body physics with strong quantum entanglement can be much richer than classical physics driven by thermal fluctuations [48, 50]. Classical phase transitions usually happen between a disordered phase with high symmetries, and an ordered phase which spontaneously breaks such symmetries. Typical classical phase transitions can be well described by the Landau's paradigm, but the Landau's paradigm may or may not apply to quantum phase transitions that happen at zero temperature. Generally speaking, the Landau's formalism can only describe the quantum phase transition between a direct-product quantum disordered state and a spontaneous symmetry breaking state; but it can no longer describe the quantum phase transition between two states when at least one of the states cannot be adiabatically connected to a direct product states, i.e. when this state is a topological order [51]; nor can the Landau's paradigm describe generic continuous quantum phase transitions between states with different spontaneous symmetry breakings [11, 41, 42].

Phenomenologically, in contrast with the ordinary Landau's transitions, non-Landau transitions often have a large anomalous dimension of order parameters, due to fractionalization or deconfinement of the order parameter [23, 35, 39, 43]. The ordinary Wilson-Fisher (WF) fixed point in (2+1)d space-time (or three dimensional classical space) has very small anomalous dimensions [3], meaning that the Wilson-Fisher fixed point is not far from the mean field theory. In particular, in the large -N limit, the anomalous dimension of the vector order parameter of the O(N) Wilson-Fisher fixed point is  $\eta \sim 0$ ; while the  $CP^{N-1}$  model, the theory that describes a class of non-Landau quantum phase transition [41, 42], has  $\eta \sim 1$  in the large -N limit [13]. Numerically

it was also confirmed that the quantum phase transition between the  $Z_2$  topological order and the superfluid phase has  $\eta \sim 1.5$  [9, 10], as was predicted theoretically. The large anomalous dimension has been used as a strong signature when searching for unconventional QCPs numerically.

In this work we propose that the unique physics described above about the unconventional QCPs with strong fractionalization can be used to construct another broadly observed phenomenon beyond the classic Landau's theory: the non-Fermi liquid whose fermion self-energy scales  $\Sigma_f(i\omega) \sim i \operatorname{sgn}(\omega) |\omega|^{\alpha}$  with  $\alpha < 1$ . When  $\alpha = 1$ , this non-fermi liquid is referred to as marginal Fermi liquid [46]. Signature of marginal Fermi liquid and nearly-marginal Fermi liquid have been observed rather broadly in various materials [4, 19, 33]. In this work we will focus on the non-Fermi liquid that is 'nearly-marginal', meaning  $\alpha$  is close to 1.

We assume that there exists a field  $\mathcal{O}(x,\tau)$  in the unconventional QCP that carries zero momentum, and it couples to the fermi surface in the standard way:  $\int \mathrm{d}^2x \ \mathrm{d}\tau \ g\psi^\dagger T\psi\mathcal{O}$ , where T is a flavor matrix of the fermion. We assume that we first solve (or approximately solve) the bosonic part of the theory, i.e. the strongly interacting QCP without coupling to the fermi surface, and calculate the anomalous dimension  $\eta$  at the QCP:

$$\langle \mathcal{O}(\mathbf{q}, \omega) \mathcal{O}(-\mathbf{q}, -\omega) \rangle \sim \frac{1}{\Omega^{2-\eta}}$$
 (1)

where  $\Omega \sim \sqrt{v^2 q^2 + \omega^2}$ . Then the fermion self-energy, the quantity of central interest to us, is computed perturbatively with the boson–fermion coupling q.

When the anomalous dimension  $\eta$  is close to 1, we can take  $\eta=1-\epsilon$  with small  $\epsilon$ . Reference [26–28] developed a formalism for the boson–fermion coupled theory with an expansion of  $\epsilon$ , though eventually one needs to extrapolate the calculation to  $\epsilon=1$  for the problems studied therein [26–28], and the convergence of the  $\epsilon$ -expansion at  $\epsilon=1$  is unknown, i.e. even if we start with a weak boson–fermion coupling, it would become nonperturbative under renormalization group (RG). But we will demonstrate in the next section that in the cases that we are interested in,  $\epsilon$  is naturally small when  $\eta$  is close to 1, due to the fractionalized nature of many unconventional QCPs. To the leading nontrivial order, our problem can be naturally studied by the previously proposed perturbative formalism with small  $\epsilon$ .

Here we stress that our goal is to construct a scenario in which a non-Fermi liquid state within an energy window can be constructed using a controlled method. Recently many works have taken a similar spirit, and various non-Fermi liquid states especially a state that mimics the strange metal were constructed by deforming the soluble Sachdev-Ye-Kitaev (SYK) and related models [14, 15, 22, 37, 52]. Then within the energy window where the deformation remains perturbative, the system resembles the non-Fermi liquid [5, 29, 30, 44, 56, 58]. Our current work also starts with (approximately) soluble strongly interacting bosonic systems (in the sense that the gauge invariant order parameters in these systems are bosonic), and then we turn on perturbation, which in our case is the boson-fermion coupling. We will demonstrate that a non-Fermi liquid can be constructed based on the unique nature of the strongly interacting bosonic system.

#### 2. Expansion of $\epsilon$

A controlled reliable study of the non-Fermi liquid problem is generally considered as a very challenging problem, one example of the difficulties was discussed in reference [17]. Over the years various approximation methods were proposed. We begin by reviewing the  $\epsilon$ -expansion developed in reference [26–28], and demonstrate how perturbation of  $\epsilon$  is naturally justified for some unconventional QCPs. It is often convenient to study interacting fermions with finite density by expanding at one patch of the Fermi surface. The low-energy theory of the fermions expanded at one patch of the fermi surface is

$$\mathcal{L}_{f} = \psi^{\dagger} \left( \xi \partial_{\tau} - i v_{F} \partial_{x} - \kappa \partial_{y}^{2} \right) \psi, \tag{2}$$

where x is perpendicular to the fermion surface and y is the tangent direction. The initial value of  $\xi$  is  $\xi_0 = 1$ , and it will be renormalized by the fermion self-energy. Our main goal is to evaluate the fermion self-energy to the leading nontrivial order of the boson–fermion coupling. We will show that this is equivalent to the leading nontrivial order of  $\epsilon = 1 - \eta$ . At this order of expansion of  $\epsilon$ , for our purpose it is sufficient to consider a simple 'effective action' of  $\mathcal{O}(x,\tau)$ :

$$S_{\text{eff}} \sim \int d^2 x \, d\tau \, \mathcal{O}(\boldsymbol{x}, \tau) (-\partial_{\tau}^2 - v^2 \nabla^2)^{1 - \frac{\eta}{2}} \mathcal{O}(\boldsymbol{x}, \tau)$$
(3)

which will reproduce the correlation function of  $\mathcal{O}(x,\tau)$ , assuming we have fully solved the interacting bosonic system first.

When the boson–fermion coupling is zero, i.e., g = 0, the system is at a Gaussian fixed point with the following scaling dimensions of spacetime coordinates and fields

$$[\tau] = -2, \quad [x] = -2, \quad [y] = -1,$$

$$[\psi(\mathbf{x}, \tau)] = \frac{3}{2}, \quad [\mathcal{O}(\mathbf{x}, \tau)] = \frac{3}{2} + \frac{\eta}{2} = 2 - \frac{\epsilon}{2}.$$
(4)

We then turn on the boson–fermion interaction

$$\int d^2x \ d\tau \ g\psi^{\dagger} T\psi \mathcal{O} \tag{5}$$

and consider the perturbative RG at the Gaussian fixed point. We find that the scaling dimension of g is  $[g] = \epsilon/2$ , hence it is weakly relevant if  $\epsilon$  is naturally small, and it may flow to a weakly coupled new fixed point in the infrared which facilitates perturbative calculations with expansion of  $\epsilon$ . Indeed, the beta function of  $g^2$  at the leading order of  $\epsilon$  was derived in reference [26–28]:

$$\frac{\mathrm{d}g^2}{\mathrm{dlog}\ b} = \frac{\epsilon}{2}g^2 - \Upsilon g^4. \tag{6}$$

Thus there is a fixed point at weak coupling  $g_*^2 = \epsilon/(2\Upsilon)$ , where the parameter  $\Upsilon \sim 1/(4\pi^2 v_F v)$ .

Under the rescaling  $x' = xb^{-1}$ , namely after integrating out the short scale degrees of freedom, the fermion acquires a one-loop self-energy

$$\delta\Sigma_{\rm f}(\mathrm{i}\omega, \boldsymbol{p}) \sim g^2 \int \mathrm{d}\nu \, \mathrm{d}\boldsymbol{q} \langle \mathcal{O}_{\boldsymbol{q},\nu}^* \mathcal{O}_{\boldsymbol{q},\nu} \rangle G_{\rm f}(\mathrm{i}\omega + \mathrm{i}\nu, \boldsymbol{q} + \boldsymbol{p})$$

$$\sim g^2 \int \mathrm{d}\nu \, \mathrm{d}q_x \int_{\frac{\Lambda}{\sqrt{b}}}^{\Lambda} \mathrm{d}q_y \frac{1}{\left|v^2 q_x^2 + v^2 q_y^2 + \omega^2\right|^{\frac{1+\epsilon}{2}}} \frac{1}{\mathrm{i}(\omega + \nu) - v_{\rm F}(p_x + q_x) - \kappa(p_y + q_y)^2}.$$
(7)

In the boson correlation function,  $v^2q_x^2$  and  $\omega^2$  are irrelevant compared with  $v^2q_y^2$ , hence we first integrate over  $q_x$ , and the fermion propagator contributes a factor  $\operatorname{sgn}(\omega+\nu)\operatorname{i}/(2v_{\rm F})$ . We then perform the  $\nu$  integral and finally integrate  $q_y$  over the momentum shell  $\Lambda b^{-1/2} < |q_y| < \Lambda$ . The last integral is evaluated at  $\epsilon = 0$ , which is valid at the leading order perturbation of  $\epsilon$ . This procedure leads to

$$\delta \Sigma_{\rm f} (i\omega, \mathbf{p}) = -i\omega g^2 \Upsilon \log b + O(\epsilon^2). \tag{8}$$

Combining the calculations above, at the fixed point  $g_*^2$ , the renormalized  $i\xi(\omega)\omega$  in the fermion Green's function reads

$$i\xi(\omega)\omega \sim -i \operatorname{sgn}(\omega) |\omega|^{1-\epsilon/2}.$$
 (9)

The fermion self-energy, hence the decay rate of the fermion, scales in the same way as equation (9). The calculation above gives a nearly-marginal non-Fermi liquid behavior for small but finite  $\epsilon$ . For small  $\eta$  such as the cases in the Wilson–Fisher fixed points, the calculation of the scaling of fermion self-energy is not reliable with the leading order expansion of  $\epsilon$  described above.

Here we stress that, our main purpose is to compute  $i\xi(\omega)\omega$ , or the fermion self-energy to the leading order of boson-fermion coupling  $g_*^2 \sim \epsilon$ , assuming a weak initial coupling g. At higher order expansion of the boson-fermion coupling, corrections to the boson field self-energy (for example the standard RPA diagram) from the boson-fermion coupling needs to be considered. The RPA diagram is proportional to  $\mathcal{L}_{RPA} \sim |\mathcal{O}_{\omega,q}|^2 g^2 |\omega|/(v_F \kappa q)$ . Several parameters can be tuned, including the weak coupling fixed point value of  $g_*^2$ , to make this term weak enough to allow an energy window where the calculations in this section apply. At the elementary level, we need the terms in equation (3) to dominate the RPA effect  $|\mathcal{O}_{\omega,q}|^2 g^2 |\omega|/(v_F \kappa q)$ . A field  $\mathcal{O}$  at momentum q should correspond to energy scale  $\omega \sim vq$ . For equation (3) at  $\eta = 1$  to dominate the RPA effect, we need  $q > g^2/(v_F \kappa)$ , or  $\omega > g^2 v/(v_F \kappa)$ . If we start with a weak initial bare coupling constant  $g_0$ , and also  $\epsilon \ll 1$  hence the fixed point value of  $g_*$  is also perturbative, there is a sufficiently large energy window for our result. Tuning the parameter  $v/v_{\rm F}$  and  $\kappa$  can further expand the energy window. A full analysis of the term  $\mathcal{L}_{RPA} \sim |\mathcal{O}_{\omega,q}|^2 g^2 |\omega|/(v_F \kappa q)$ in the bosonic sector of the theory in the infrared limit requires more detailed analysis because  $\mathcal{O}_{\omega,q}$  is a composite operator in the field theories discussed in the next section.

#### 3. Candidate unconventional QCPs

#### 3.1. Bosonic-QED-Chern-Simons theory

In the following we will discuss candidate QCPs which suffice the desired condition  $\eta \sim 1$ , or  $\epsilon \ll 1$ . When we study the pure bosonic sector of the theory, we ignore the coupling to the fermions, assuming the boson–fermion coupling is weak, which is self-consistent with the conclusion in the previous review section that the boson–fermion interaction will flow to a weakly coupled fixed point  $g_*^2 \sim \epsilon$ . As we stated in the previous section, we will start with a weak boson–fermion coupling g, and eventually we only compute the fermion self-energy to the leading nontrivial order of the fixed point  $g_*^2 \sim \epsilon$ . In the purely bosonic theory, the scaling of the space-time has the standard Lorentz invariance. To avoid confusion, we use '[]' to represent scaling dimensions under the scaling equation (4) of the one-patch theory in the previous section, and '{}' represent the scaling dimension in the Lorentz invariant purely bosonic theory. At a QCP, multiple operators will become 'critical', namely multiple operators can have power-law correlation. We will demand that the operator with the strongest correlation (smallest scaling dimension) satisfy the desired condition, since this is the operator that provides the strongest scattering with the electrons.

We consider (2+1)d bosonic quantum electrodynamics (QED) with N flavors of bosons coupled to a noncompact U(1) gauge field with a Chern-Simons term:

$$\mathcal{L}_{\text{bQED}} = \sum_{\alpha=1}^{2} \sum_{a=1}^{N/2} |(\partial_{\mu} - ib_{\mu}) z_{\alpha,a}|^{2} + r(z_{\alpha,a}^{\dagger} z_{\alpha,a})$$

$$+ u \left(\sum_{\alpha,a} |z_{\alpha,a}|^{2}\right)^{2} + u' \sum_{\alpha=1}^{2} \left(\sum_{a=1}^{N/2} |z_{\alpha,a}|^{2}\right)^{2} + \frac{ikN}{4\pi} b \wedge db.$$
(10)

The following operators are gauge invariant composite fields, which we assume are all at zero momentum:

$$\mathcal{O}_0 = \sum_{\alpha=1}^2 \sum_{a=1}^{N/2} z_{\alpha,a}^{\dagger} z_{\alpha,a}, \quad \mathcal{O}_{1,3} = \sum_{a=1}^{N/2} z_a^{\dagger} \sigma^{1,3} z_a. \tag{11}$$

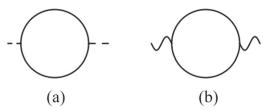
Potential applications of this field theory to strongly correlated systems will be discussed later (figure 1).

To compute their scaling dimensions, we introduce two Hubbard–Stratonovich (HS) fields to decouple the quartic potentials:

$$\mathcal{L}'_{\text{bQED}} = \sum_{\alpha=1}^{2} \sum_{a=1}^{N/2} |(\partial_{\mu} - ib_{\mu})z_{\alpha,a}|^{2} + r(z_{\alpha,a}^{\dagger}z_{\alpha,a}) + i\sigma_{+}\mathcal{O}_{0} + i\sigma_{-}\mathcal{O}_{3}$$

$$+ \frac{1}{2u' + 4u}\sigma_{+}^{2} + \frac{1}{2u'}\sigma_{-}^{2} + \frac{ikN}{4\pi}b \wedge db.$$
(12)

We will consider the following two scenarios: (1)  $u' \to 0$ , u > 0, where  $\sigma_{-}$  is fully suppressed and the system has a full  $SU(N) \times U(1)_T$  symmetry, where the  $U(1)_T$  is the



**Figure 1.** The self-energy of field  $\sigma_+$  and gauge field  $b_\mu$  in the large -N limit.

'topological symmetry' that corresponds to the conservation of the gauge flux; and (2) u, u' > 0 when the SU(N) symmetry is broken down to SU(N/2) × SU(N/2) × U(1) × Z<sub>2</sub>, where the  $U(1) \times Z_2$  is the symmetry within the Pauli matrix space in equation (11).

In scenario (1) with a full SU(N) symmetry, at the critical point r = 0, the field  $\sigma_+$  acquires a self-energy in the large -N limit (figure 1)

$$\Sigma_{\sigma_{+}}(p) = N \int \frac{\mathrm{d}^{3}q}{(2\pi)^{3}} \frac{1}{q^{2}(q+p)^{2}} = \frac{N}{8p}.$$
 (13)

Hence the propagator of field  $\sigma_+$  in the large -N limit reads

$$G_{\sigma_{+}}(p) = 1/\Sigma_{\sigma_{+}} = \frac{8p}{N}.$$
 (14)

Similarly, for the gauge field, the self-energy in the large -N limit is

$$\Sigma_{b,\mu\nu}(p) = -N \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \frac{(2q+p)_{\mu}(2q+p)_{\nu}}{q^2(q+p)^2}$$
$$= \frac{N}{16p} (p^2 \delta_{\mu\nu} - p_{\mu} p_{\nu}). \tag{15}$$

When combined with the Chern-Simons term, in the Landau gauge, the gauge field has the following large -N propagator [51]

$$G_{b,\mu\nu}(p) = \frac{1}{Np} \left( F \left( \delta_{\mu\nu} - \frac{p_{\mu}p_{\nu}}{p^2} \right) + H \frac{\epsilon_{\mu\nu\rho}p^{\rho}}{p} \right), \tag{16}$$

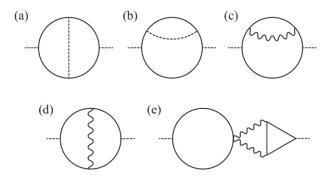
where

$$F = \frac{16\pi^2}{\pi^2 + 64k^2}, \quad H = -\frac{128\pi k}{\pi^2 + 64k^2}.$$
 (17)

After introducing the HS fields, the scaling dimension of the composite operator  $\mathcal{O}_0$  of the original field theory equation (10) is 'transferred' to the scaling dimension of the HS fields  $\sigma_+$ . To the order of O(1/N), the Feynman diagrams in figure 2 contribute to the  $\sigma_+$  self energy, which was computed in reference [51].

But it is evident that in the large -N limit, the scaling dimension of  $\sigma_+$  (and the scaling dimension of operator  $\mathcal{O}_0$  of the original field theory equation (10)) is  $\lim_{N\to\infty} \{\mathcal{O}_0\} = 2$ , hence it does not meet the desired condition. When  $\mathcal{O}_0$  couples to the Fermi surface, the boson–fermion coupling will be irrelevant in the one patch theory discussed in the previous section according to the scaling of space-time equation (4).

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**Figure 2.** In scenario (1), diagrams (a)–(e) contribute to the anomalous dimension of  $\mathcal{O}_0$  in equation (10) or equivalently  $\sigma_+$  in equation (12); while only diagrams (a)–(d) contribute to the anomalous dimension of  $\mathcal{O}_{1,3}$ . The solid line represents the propagator of  $z_{\alpha,a}$ , the dashed and wavy lines represent the large -N propagators of  $\sigma_+$  and  $b_\mu$  respectively.

The scaling dimension of  $\sigma_{1,3}$  equal to each other with a full SU(N) symmetry, and unlike  $\mathcal{O}_0$ , they have scaling dimension 1 in the large -N limit. The 1/N corrections to their anomalous dimensions come from diagram (a)–(d) in figure 2, or equivalently through the standard momentum shell RG:

$$\{\mathcal{O}_{1,3}\} = 1 + \frac{16}{3\pi^2 N} - \frac{4}{3\pi^2 N} F. \tag{18}$$

Reference [13] and references therein have computed scaling dimensions of gauge invariant operators for theories with matter fields coupled with a U(1) gauge field, without a Chern–Simons term. Our result is consistent with these previous references, since  $\lim_{k\to 0} \{\mathcal{O}_{1,3}\} = 1 - 16/(\pi^2 N)$ , which is the result of the  $\mathbb{CP}^{N-1}$  model with a noncompact gauge field. Also, in the limit of  $k\to +\infty$ , our result is consistent with reference [13] when the fermion component is taken to be infinity, since both limits suppress the gauge field fluctuation completely. In general operators  $\mathcal{O}_{1,3}$  have stronger correlations than  $\mathcal{O}_0$ , hence they will make stronger contributions to scattering when coupled with the fermi surface. As an example, the anomalous dimension of  $\mathcal{O}_{1,3}$  with k=1/2 reads

$$\eta_{1,3} \sim 1 - \frac{0.57}{N},$$
(19)

which is reasonably close to 1 even for the most physically relevant case with N=2.

In scenario (2) we should keep both  $\sigma_+$  and  $\sigma_-$  in the calculation, and both  $\sigma_\pm$  (operator  $\mathcal{O}_0$  and  $\mathcal{O}_3$  in theory equation (10)) have scaling dimension 2 in the large -N limit [1]. Now  $\mathcal{O}_1$  has the strongest correlation, and at the order of O(1/N), its scaling dimension reads:

$$\{\mathcal{O}_1\} = 1 + \frac{8}{3\pi^2 N} - \frac{4}{3\pi^2 N} F. \tag{20}$$

When k = 1, its anomalous dimension reads

$$\eta_1 \sim 1 - \frac{0.037}{N},$$
(21)

which is always very close to 1. Using the formalism reviewed in the previous section, by coupling to  $\mathcal{O}_1$ , the fermion self-energy would scale as  $\Sigma_f(i\omega, \boldsymbol{p}) \sim -i \operatorname{sgn}(\omega) |\omega|^{0.99}$  for N=2.

The field theory equation (10) describes a quantum phase transition from a topological order with Abelian anyons to an ordered phase that spontaneously breaks the global flavor symmetry. The flavor symmetry can be either a full SU(N) symmetry (scenario 1) or  $SU(N/2) \times SU(N/2) \times U(1) \times Z_2$  (scenario 2). So far we have assumed that the gauge invariant  $\mathcal{O}_{1,3}$  have zero momentum, hence they cannot be the ordinary antiferromagnetic Néel order parameter. They must be translational invariant order parameters with nontrivial representation under the internal symmetry group, for example they could be the quantum spin Hall order parameter for N=2.

The topological order described by the Chern–Simons theory with N=2, k=1 is the most studied state in condensed matter theory. This topological order is the  $U(1)_2$  or equivalently the  $SU(2)_1$  topological order with semionic anyons. It is the most natural topological order that can be constructed from the slave particle formalism [49]. And recently it was conjectured that this topological order is also related to the parent state of the cuprates high temperature superconductor [38] motivated by the giant thermal Hall signal observed [7].

Another interesting scenario is when N=2, k=0 and u>0. In this case equation (10) is the same field theory as the easy-plane deconfined QCP between the inplane antiferromagnetic Néel order and the valence bond solid state on the square lattice. Recent numerical studies have shown that this quantum phase transition may be continuous, and the scaling dimension of both  $\mathcal{O}_0$  and  $\mathcal{O}_3$  are fairly close to 1 based on numerical results [12, 35]. It has been proposed that this field theory is self-dual [25], and it is dual to the transition between the bosonic symmetry protected topological (SPT) phase and the trivial phase [34, 47], which is directly describe by a noncompact QED with N=2 flavors of Dirac fermion matter fields [8, 21]. The tuning parameter for this topological transition is instead coupled to  $\mathcal{O}_3$ . Hence this SPT-trivial transition is also a candidate quantum phase transition which meets the desired criterion proposed in our paper that leads to a nearly-marginal fermi liquid. But in these cases there are other fields (for example the inplane Néel order parameter) with smaller scaling dimensions, and we need to assume that these operators carry finite lattice momentum hence couple to the Fermi surface differently.

#### 3.2. Gross-Neveu-Yukawa QCP

Another candidate QCP that likely suffices the desired condition  $\eta \sim 1$  is the Gross–Neveu–Yukawa QCP with N-flavors of Dirac fermion:

$$\mathcal{L}_{GNY} = \sum_{a=1}^{N} \bar{\chi}_a \gamma_\mu \partial_\mu \chi_a + g \phi \bar{\chi}_a \chi_a + (\partial \phi)^2 + r \phi^2 + u \phi^4.$$
 (22)

At the critical point r = 0, both u and g flows to a fixed point. In our context, the QCP describes a bosonic or spin system, hence  $\chi$  is viewed as a fermionic slave particle of spin, i.e. the spinon, and we assume that  $\chi$  is coupled to a  $Z_2$  gauge field, namely the system is a  $Z_2$  spin liquid with fermionic spinons. But the dynamical  $Z_2$  gauge field

does not lead to extra singular corrections to low energy correlation functions of gauge invariant operators, hence the universality class of equation (22) is still identical to the Gross-Neveu-Yukawa (GNY) theory, as long as we only focus on gauge invariant operators.

The GNY QCP can still be solved in the large -N limit, and the cases with finite N can approached through a 1/N expansion. At the GNY QCP coupled with a  $Z_2$  gauge field, the gauge invariant operator with the lowest scaling dimension is  $\phi$ , and its scaling dimension can be found in reference [2] and references therein:

$$\{\phi\} \sim 1 - \frac{16}{3\pi^2 N}.$$
 (23)

Other gauge invariant operators such as  $\bar{\chi}T\chi$  with an SU(N) matrix T have much larger scaling dimension at the GNY QCP, for example  $\{\bar{\chi}T\chi\}=2$  in the large -N limit. If we replace the  $Z_2$  gauge field by a U(1) gauge field, the U(1) gauge fluctuation will enhance the correlation of  $\phi$ , hence increases  $\epsilon=1-\eta$  compared with the situation with only a  $Z_2$  gauge field. Hence a GNY QCP with a U(1) gauge field is less desirable according to our criterion.

The GNY QCP coupled with a  $Z_2$  gauge field can be realized in various lattice model Hamiltonians for quantum antiferromagnet. For example, for SU(M) spin systems on the triangular lattice with a self-conjugate representation on each site, using the fermionic spinon formalism, when there is a  $\pi$ -flux through half of the triangles, there are N=2M components of Dirac fermions at low energy [20]. SU(M) quantum magnet may be realized in transition metal oxides with orbital degeneracies [18, 32, 45], and also cold atom systems with large hyperfine spins [6, 53–55]. Recently it was also proposed that an approximate SU(4) quantum antiferromagnet can be realized in some of the recently discovered Moiré systems [40, 57, 59], and an SU(4) quantum antiferromagnet on the triangular lattice may realize the  $Z_2$ -gauged GNY QCP with N=8 (with lower spatial symmetry compared with SU(2) systems as was pointed out in reference [60]). On the other hand, an SU(M) spin systems on the honeycomb lattice can potentially realize the GNY QCP with N=2M (with zero flux through the hexagon) or N=4M (with  $\pi$ -flux through the hexagon).

The operator  $\phi$  is odd under time-reversal and spatial reflection, hence physically  $\phi$  corresponds to the spin chirality order. Hence the  $Z_2$ -gauged GNY QCP is a quantum phase transition between a massless spin liquid and a chiral spin liquid.

#### 4. Conclusion

In this work we proposed a mechanism based on which a nearly marginal non-fermi liquid can be constructed with a controlled method in an energy window. This mechanism demonstrates that two exceptional phenomena beyond the standard Landau's paradigm, i.e. the non-Landau quantum phase transitions and the non-fermi liquid may be connected: a non-Landau quantum phase transition can have a large anomalous dimension  $\eta \sim 1$ , which physically justifies and facilitates a perturbative calculation of the boson–fermion coupling fixed point. Several candidate QCPs that suffice this

condition were proposed, including topological transitions from Abelian topological orders to an ordered phase, and a Gross-Neveu-Yukawa transition of  $\mathbb{Z}_2$  spin liquids.

Non-Fermi liquid is often observed only at a finite temperature/energy window in experiments. At the infrared limit, the non-Fermi liquid is usually preempted by other instabilities, for example a dome of superconductor [16, 24, 36]. In reference [24] the instability of non-Fermi liquid towards the superconductor dome was systematically studied in the framework of the  $\epsilon$ -expansion. According to reference [24], when  $\mathcal{O}$  is an order parameter at zero momentum, at  $\epsilon = 0$  the superconductor instability will occur at an exponentially suppressed temperature/energy scale  $\Delta_{\rm sc} \sim \Lambda_{\omega} \exp(-A/|g_0|)$ , where  $g_0$  is the bare boson–fermion coupling constant. In our case the estimate of the superconductor instability is complicated by the fact that  $\mathcal{O}$  is a composite field, but the qualitative exponentially-suppressed form of  $\Delta_{\rm sc}$  is not expected to change because q is still at most a marginally relevant coupling. When  $\epsilon = 0$ , the imaginary part of the fermi self-energy (the inverse of quasi-particle life-time) scales linearly with  $\omega$ . Because the bare electron dispersion has no imaginary part at all, the imaginary part of the selfenergy should be much easier to observe compared with the real part, assuming other scattering mechanisms of the fermions are weak enough. This linear scaling behavior of the imaginary part of self-energy is observable for fermionic excitations at energy scale  $\omega > \Delta_{\rm sc}$ . Hence above the superconductor energy scale  $\Delta_{\rm sc}$ , the non-Fermi liquid behavior is observable. This result should still hold for small enough  $\epsilon$ .<sup>5</sup>

We would like to compare our construction of non-Fermi liquid states and the constructions based on the SYK related models. In the constructions based on SYK-like models, the existence of a strange-metal like phase was based on the fact that in the soluble limit, i.e. in the SYK model the scaling dimension of fermion is 1/4 (scaling with time only). But since the definition of the electric current operator in these constructions is proportional to the perturbation away from the SYK model, the current-current correlation function and the electrical conductivity is small in the energy window where the construction applies. Recently an improved construction was proposed which can produce the Planckian metal observed in cuprates materials [31]. In our construction, since the boson-fermion coupling will flow to a weakly coupled fixed point, the scattering rate of the fermion due to the boson-fermion coupling is expected to be low. We will further study if a Planckian metal like state can be constructed by developing our current approach. In this future exploration, a mechanism of momentum relaxation, for instance the disorder, or Umklapp process, needs to be introduced.

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<sup>&</sup>lt;sup>5</sup> In reference [24], the non-Fermi liquid energy scale  $E_{nfl}$  is defined as the energy scale where the Fermi velocity  $v_F$  is renormalized strongly from its bare value, hence  $E_{nfl}$  was defined based on the real part of the fermion self-energy. In other words the  $E_{nfl}$  was defined as the scale where the real part of self-energy dominates the bare energy in the Green's function. But since the bare dispersion of fermion is difficult to observe, and the bare fermion energy has no imaginary part at all, we prefer to use the imaginary part of fermion self-energy as a characteristic definition of non-Fermi liquid state.

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