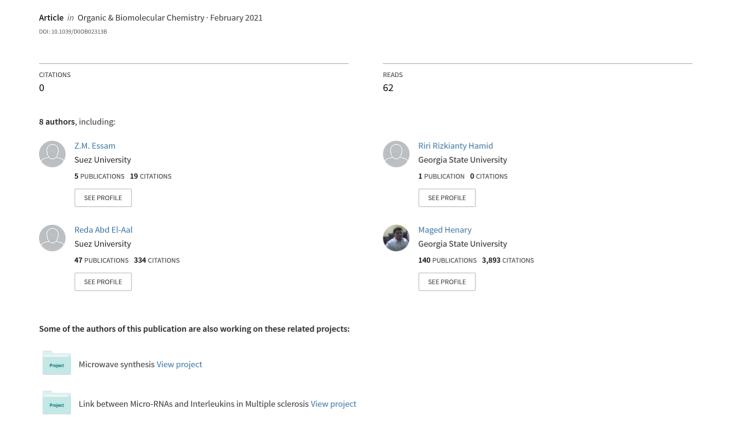
Donor Acceptor Fluorophores: Synthesis, Optical Properties, TD-DFT and Cytotoxicity Studies



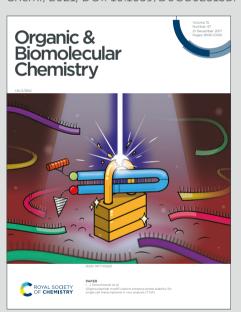


Organic & Biomolecular Chemistry



Accepted Manuscript

This article can be cited before page numbers have been issued, to do this please use: Z. Essam, G. E. Ozmen, D. Setiawan, R. R. Hamid, R. Abd El-aal, R. Aneja, D. Hamelberg and M. Henary, *Org. Biomol. Chem.*, 2021, DOI: 10.1039/D0OB02313B.



This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the <u>Information for Authors</u>.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.



View Article Online DOI: 10.1039/D00B02313B

ARTICLE

Donor Acceptor Fluorophores: Synthesis, Optical Properties, TD-DFT and Cytotoxicity Studies

Received 00th January 20xx, Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

Zahraa M Essam, ^{‡, a, b} Guliz Ersoy Ozmen ^{‡, a}, Dani Setiawan ^a, Riri Rizkianty Hamid ^c, Reda M Abd El-Aal ^b, Ritu Aneja ^c, Donald Hamelberg ^{a, d} and Maged Henary ^{*, a, d}

Donor $-\pi$ – acceptor (D $-\pi$ – A) fluorophores consisting of a donor unit, a π linker, and an acceptor moiety, attracted attention in the last decade. In this study, we report the synthesis, characterizations, optical properties, TD-DFT, and cytotoxicity studies of 17 near infra-red (NIR) D $-\pi$ – A analogs which have not been reported so far to the best of our knowledge. These fluorophores have a chloroacrylic acid as the acceptor unit and various donor units such as indole, benzothiazole, benzo[e]indole, and quinoline. The fluorophores showed strong absorption in the NIR (700-970 nm) region due to their enhanced intramolecular charge transfer (ICT) between chloroacrylic acid and the donor moieties connected with the Vilsmeier – Haack linker. The emission wavelength maxima of the fluorophores were in between 798-870 nm. Compound 20 with 4- quinoline donor moiety showed an emission wavelength above 1000 nm in the NIR II window. The synthesized fluorophores were characterized by 1 H NMR, 13 C NMR, and their optical properties were studied. Time dependent density functional theory (TD-DFT) calculations showed that the charge transfer occurs from the donor groups (indole, benzothiazole, benzo[e]indole, and quinoline) to the acceptor chloroacrylic acid moiety. Fluorophores with [HOMO] to [LUMO+1] transitions were shown to possess charge separation character. The cytotoxicity of selected fluorophores, 4, 7, 10 and 12 were investigated against breast cancer cell lines and they showed better activity than the anti-cancer agent docetaxel.

Introduction

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

Donor- π - acceptor (D - π - A) fluorophores have attracted attention among researchers in the last decade owing to their push-pull systems¹. This class of fluorophores has a donor unit and an acceptor moiety at each end, which are connected by a conjugated π system. This conjugation enables intramolecular charge transfer (ICT) and creates the push-pull system¹, yielding a lower energy molecular orbital (MO)². With a combination of strong electron donor and acceptor units at each end of the fluorophore, the fluorescence properties can be altered from ultraviolet-visible (UV-vis) to near infrared (NIR)1. The NIR window is essential for bioimaging since photons can penetrate deeper into the tissue, and there is low autofluorescence from biomolecules and tissues³. In addition to the bioimaging, NIR D – π – A fluorophores find application in various areas such as dye-sensitized solar cells (DSCs)4, organic light emitting diodes (OLEDs)5, biosensors6, and nonlinear optical imaging⁷.

Most of the D – π – A fluorophores which were reported so far have emission in UV-vis region^{2, 4, 8}. Several studies were

Some examples of donor units are phenyl¹⁰, dimethylamino², diphenylamino², indoline⁴, carbazole¹¹, and julolidine², and examples of common used acceptor moieties are; $trifluoromethyl ^9, \ cyanoacetic \ acid ^4, \ 2-dicyanomethyl idene-3$ cyano- 4, 5, 5- trimethyl-2, 5- dihydrofuran (TCF)1, pentafluorosulfonyl9, thiobarbituric acid8 and malononitrole8. As an example, Kulhanek et al. synthesized dicyanoimidazole acceptor containing fluorophores by Suzuki-Miyaura cross coupling reaction and investigated the effect of donor groups methoxy and dimethylamino on optical properties which showed the largest red shift for N,Ndimethylamino donor group¹². Additionally, the π linker between donor and acceptor units also contributes to the optoelectronic properties of the fluorophore since it changes the spatial arrangement and electronic structure of the molecules. Therefore, the authors compared styryl and biphenyl linkers attached to the dimethylamino donor unit. Biphenyl linker containing fluorophore

performed to synthesize and investigate the optical properties of bathochromic (red) shifted D – π – A fluorophores $^{1,\,9}$. In these studies, the effect of various donor and acceptor groups and the effect of chain length of their π system on absorption and fluorescence were investigated. Factors affecting the properties of D – π – A systems are (1) the strength of donor and acceptor units, (2) the length and the composition of the π linker system, and (3) planarization degree of the fluorophore molecule². These factors may result in decreased energy band gap between donor and acceptor units that contribute to red shift of the emission wavelength maxima². Examples of the various commonly investigated donor and acceptor moieties are presented in Figure 1.

^{a.} Department of Chemistry, Petit Science Center, Georgia State University, 100 Piedmont Avenue SE, Atlanta, Georgia, 30303, United States.

^{b.} Department of Chemistry, Suez University, Suez, Egypt.

Department of Biology, Petit Science Center, Georgia State University, 100
 Piedmont Avenue SE, Atlanta, Georgia, 30303, United States

^d Center of Diagnostics and Therapeutics, Petit Science Center, Georgia State University, 100 Piedmont Avenue SE, Atlanta, Georgia, 30303, United States.
†Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/x0xx00000x

[‡] These authors contributed equally to this work.

Donor	Acceptor		
NH STATE OF	{−CF ₃ {−SF ₅		
H H N	COOH CN S		
\$-N \$-N	CN N		

Figure 1. Various donor and acceptor units investigated for the synthesis of D – π – A fluorophores.

fluorescence intensity due to sterically pushing 4,5dicyanoimidazole unit out of plane¹². Instead of using an alkene or alkyne as π linker, heteroatomic species can also be used. As an example, Meek et al. reported the synthesis of isobenzofuran (IBF) and isothionapthene (ITN) containing donor acceptor fluorophores and IBF containing fluorophores showed red shifted absorption compared to ITN in the NIR region¹⁰. Another example of the D $-\pi$ – A fluorophores and its derivatives in NIR region (λ_{em} = 710-800 nm), synthesized by Remond et al. using TCF which possess strong electron accepting cyano units1. In addition to these examples, it is reported that the planarization of the fluorophore enhances the bathochromic shift by lowering the energy band gap. This was reported by Achelle et al. comparing the donor groups diphenylamino and dimethylamino and the results showed that diphenylamino group disrupts the planarization while dimethylamino does not^{2, 13}. There are several other examples of donor acceptor fluorophores reported in recent years 14-19. To address the need of D $-\pi$ – A push-pull fluorophores operating in the NIR region, in this study, we designed 17 novel fluorophore scaffolds, which absorb light in the NIR I region. The general structure of the synthesized fluorophores is shown in Figure 2. We synthesized fluorophores with chloroacrylic acid acceptor connected to π -conjugated linker such as Vilsmeier-Haack linker with cyclohexene and chlorine atom at the meso carbon and various donor moieties such as indole, benzothiazole, benzo[e]indole and quinoline. We investigated the charge transfer between donor and acceptor groups using TD-DFT studies. The selected fluorophores, 4, 7, 10 and 12, were further investigated for cytotoxicity against triple negative breast cancer (TNBC) cell lines HCC1806, HCC70 and BT-20.

Results and Discussion

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

We synthesized 17 D $-\pi$ – A fluorophores containing chloroacrylic acid as the acceptor moiety and varying donor units such as; indole, benzothiazole, benzo[e]indole and quinoline. The acceptor and donor units are connected by the Vilsmeier Haack linker containing cyclohexene with chloride at the meso carbon to enhance rigidity of the molecule²⁰. The chloroacrylic acid, which is both the acceptor and linker unit, was synthesized and then reacted with the different heterocyclic salts **A-E** to yield the 17 D $-\pi$ – A fluorophores. The TD-DFT studies of fluorophores **4-20** were performed with five different

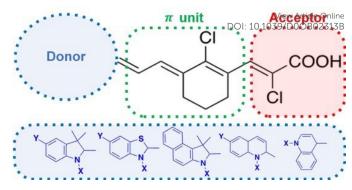


Figure 2. General structure of the synthesized D $-\pi$ - A fluorophores **4-20**.

solvents, to optimize their geometries and to investigate the intramolecular charge transfer (ICT) within the molecules. Solvatochromism of selected fluorophores were studied both experimentally and theoretically using TD-DFT. The Natural Transition Orbitals (NTO) 21 for the two highest occupied molecular orbitals (HOMO) and two lowest unoccupied molecular orbitals (LUMO) for each compound corresponding to the $S_0 \rightarrow S_1$ transitions were also calculated and analysed (Supplementary Information Table S6). Cytotoxicity *in vitro* activities of selected fluorophores, **4, 7, 10** and **12**, were performed using triple negative breast cancer (TNBC) cell lines, HCC 1806, HCC 70, and BT-20 by MTT 3- (4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay. The cytotoxicity results of selected fluorophores were compared to the anti-cancer agent docetaxel.

Synthesis of D – π – A Fluorophores

The linker unit was synthesized via Vilsmeier Haack formylation. First, dichloromethane (DCM), *N, N*-dimethylformamide (DMF) and phosphoryl chloride (POCl₃) were allowed to react, followed by the addition of cyclohexanone (1) to yield carbaldehyde (2) as outlined below in Scheme 1. Then, the unit containing the acceptor and linker (3) was synthesized by reacting carbaldehyde (2) and chloroacetic acid under basic conditions (Scheme 1a). Finally, the linker and

Scheme 1. a. Synthesis of acceptor and π linker unit 3, b. Various heterocyclic salts (A-E) which used as donor units.

Journal Name **ARTICLE**

> View Article Online DOI: 10.1039/D0OB02313B

 $a = NEt_3$ and ethanol, 4: $X = CH_3$; Y = H, 5: $X = C_4H_9$; Y = H, 6: $X = CH_3$; Y = Br, 7: $X = C_2H_5$; Y = Br, 8: $X = C_2H_5$; Y = Cl, 9: $X = C_2H_5$; Y = Cl, 9: Y C_2H_5O ; Y = Br, 10: $X = CH_3$; Y = OMe, 11: $X = C_4H_9$; Y = OMe, 12: $X = C_2H_5$; Y = H, 13: $X = C_6H_{13}$; Y = H, 14: $X = CH_3$; Y = Br, **15:** $X = C_4H_{10}$; Y = H, **16:** $X = CH_3$, **17:** $X = C_6H_{13}$, **18:** $X = CH_3$; Y = COOH, **19:** X = benzyl; Y = H, **20:** X = benzyl, Y = H

Scheme 2. General synthesis of D – π – A fluorophores **4-20**.

acceptor unit (3) was reacted with heterocyclic salts A-E (Scheme 1b) under basic condition in ethanol, to yield fluorophores 4-20 as illustrated in Scheme 2.

The indolium (A), benzo[e]indolium (C), 2 and 4 quinolinium (D, E) heterocyclic salts were synthesized according to the previously reported procedures by our group^{22, 23}. The benzothiazolium (B) heterocyclic salts were synthesized according to the reported procedure²⁴. After purification of the heterocyclic salts A-E, they were allowed to react with the acceptor and linker unit (3) for the required time, which was ranged between 1-15 h, for individual heterocyclic salt to yield the desired fluorophores 4-20 which are presented in Scheme 2 with yields between 50-70%. After the reaction completed, they were purified by column chromatography using methanol and DCM (2:1) or by several recrystallizations using minimum amount of methanol to dissolve the fluorophore and precipitating it with ethyl acetate until it is purified. The reaction between quaternary salt moieties A-E and the π linker and acceptor unit (3) proceeded by the condensation under basic condition²⁵. The 1H NMR peaks at 6.5 ppm and between 7.0-8.5 ppm (Supplementary Information Figure S1-S35) show the bridge between linker and donor unit indicating the fluorophores were synthesized successfully.

The electron accepting ability of carboxylic acid together with the inductively withdrawing effect of chloride creates a strong electron acceptor unit. The acceptor unit and the electron donating ability of the donor units create a push-pull system and result in absorbance signal in the NIR I region. As shown in Scheme 2, each fluorophore 4-11 have an indole unit where the nitrogen atoms of the heterocycle rings, were functionalized with methyl, ethyl, butyl and ethoxy groups. Fluorophores 12-15 have benzothiazole donor unit that have various length of alkyl chains attached to the heterocycle ring nitrogen atoms. Fluorophores 16 and 17 contain benzo[e]indole heteroatomic donor with N-methyl and N-hexyl groups. The quinoline containing fluorophores 18-20 have benzyl and methyl functionalized nitrogen atoms.

Optical Studies

The experimental and computed absorbance wavelength maxima (λ max) and molar absorptivity constants (ϵ) for fluorophores 4-20 are presented in Table 1. For the computed values of λmax, the BLYP functional method, among others, was chosen, since it gives the closest excitation energy when compared to the experimental results (Supplementary Information TableS6). As presented in Table 1, although there is a difference between the experimental and computed absorbances, they are in a good agreement with the experimental results. As an example, for fluorophores with indole donor unit, the absorbance of fluorophore 4 is 780 nm experimentally. Fluorophore 10 with the methoxy group on the indole donor has absorbance experimentally at 810 nm and this difference is also seen for the calculated values as a change from 586 nm to 614 nm. Fluorophore 20 with 4-quinoline has experimental absorbance at 970 nm and its theoretical absorbance is also red shifted at 638 nm. The molar absorption coefficients (ϵ) of the fluorophores are in the range of 100,000

Journal Name

Table 1. Optical properties of fluorophores 4-20.

ARTICLE

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

Fluorophore	λ _{abs} (nm)		λ _{em} (nm)	Stokes Shift	ε (10 ³ cm ⁻¹ M ⁻¹)		Φ (%)
	Exp	Cal		(cm ⁻¹)	Exp	Cal	
4	780	586	798	289	111	99	10
5	785	587	813	439	112	101	11
6	784	592	804	317	124	100	8
7	790	592	810	313	265	102	12
8	790	591	820	463	200	101	7
9	790	591	811	328	162	100	9
10	810	614	825	224	106	91	8
11	815	624	826	163	107	90	10
12	800	588	812	185	105	107	13
13	800	589	812	185	104	108	19
14	805	592	830	374	107	109	12
15	800	588	833	495	108	108	11
16	815	623	824	134	112	87	16
17	810	623	822	180	107	89	15
18	860	627	870	134	107	122	16
19	860	630	870	134	100	91	17
20	970	638	-	-	100	111	-

a = fluorescence quantum yield, given absorption and emission maxima were measured in ethanol using ICG as a reference (Φ_{ICG} = 0.13²⁵).

- 264,600 M-1cm-1. As shown in Table 1, the experimental molar absorptivity constants also show a similar trend with the calculated ones. As an example, the molar absorptivity constant for fluorophores 14 and 15 with benzothiazole donor units have been experimentally found to be 107,000 and 108,320 M⁻¹cm⁻¹ and the theoretical values are 108,953 and 107,537 M⁻¹cm⁻¹, respectively. The emissions of fluorophores are ranging between 798 nm to 870 nm and fluorophores with 2-quinoline donor moiety showed the largest red shift in emission. Fluorophores 5 and 8 with indole, and 15 with benzothiazole donor unit have the largest Stokes shift values. The highest quantum yields were calculated for fluorophores 13 with benzothiazole and 19 with 2-quinoline donors. The absorption spectra of fluorophores 4-20 are presented in Figure 3. Their absorption wavelength maxima were located between 780-970 nm and fluorophores 18-20 showed significant red shift. The fluorophores showed an emission in NIR with emission wavelength maxima between 798-870 nm. Fluorophore 20 containing 4-quinoline donor has the largest red shift and therefore its fluorescence was out of the range of our equipment which can measure up to 1000 nm. The red-shifted behavior of fluorophore 20 can arise from the planarity of the benzyl ring on the molecule having the strong electron donor benzyl quinoline. Fluorophores 18 and 19 which have 2quinoline units also show stronger bathochromic shift than the other fluorophores with λ_{em} = 870 nm. Fluorophores containing benzothiazole ring 12-15 have λ = 812 nm. The fluorescence quantum yield of fluorophore 13 was found to be the highest quantum yield (Φ = 0.19) in ethanol. While fluorophore 12 has an ethyl chain, fluorophore 13 has a longer alky chain which resulting in higher quantum efficiency. The benzo[e]indole heterocyclic unit substituted fluorophores 16 and 17 have λ_{max} = 822 and 828 nm, respectively. Fluorophore 17 with longer alkyl chain has relatively smaller red shift and quantum efficiency comparable to fluorophore 16. Fluorophores with indole unit 4-11 have emission wavelength maxima between

801-820 nm. Fluorophores 10 and 11 with methoxy substituted indole show higher bathochromic shift than fluorophores 3639 which have halogens substituted indole donor. The electron donating ability of methoxy makes indole a stronger donor while bromine and chlorine atoms inductively decrease the electron density on indole moiety. Fluorophores 4 and 5 with alkyl substituted nitrogen atoms have emission wavelength maxima at 810 and 801 nm, respectively, and showing that longer alkyl chain on nitrogen atom at compound 5 results in smaller red shift. The fluorophores with methoxy substituted indole heterocycles 10 and 11 showed lower molar absorptivity than fluorophores 4 and 5 due to the electron donating nature of the methoxy group introducing electron density back into the system. The large Stokes shifts of fluorophores 4 and 16 indicate that the molecule undergoes a structural change upon excitation.

Solvatochromic Study

The solvatochromic properties of selected fluorophores with different donor units such as; 7 and 8 with indole, 15 with benzothiazole and 17 with benzo[e]indole were studied in five solvents; ethanol, methanol, dimethylsulfoxide (DMSO), acetonitrile and DCM. The fluorophores were not soluble in non polar solvents like hexane, toluene and diethyl ether. The absorbance wavelength maxima of these fluorophores were blue shifted for 5 nm in methanol and acetonitrile, while in DMSO and DCM they showed red shift for 5-15 nm (Supplementary Information Table S2). The Stokes Shift (Δv) and solvent polarizability (Δf) values were used to calculate the slope of Lippert-Mataga equation for these fluorophores²⁶. The slopes of these lines, presented in Figure 4, varying between 618-1706 cm⁻¹, are directly proportional to the change in dipole moment upon excitation²⁷. Due to the relatively small values of slopes, the dipole moment change is not large, however the slopes for fluorophores 15 and 17 were larger than the slopes of fluorophores 7 and 8 which indicates a larger dipole moment change. This similar behavior of small dipole moment change were also reported with cyanine dyes that have similar backbone structure²⁸. In addition to the experimental studies, solvatochromism of the selected fluorophores with addition of 19, were also studied using TD-DFT (Supplementary Information Table S4). Fluorophore **19** showed low intensity emission peaks experimentally, which may occur due to the competing electron delocalization on the benzene ring of quinoline, therefore it's solvatochromism was studied using TD-DFT²⁹. The fluorophores were not soluble in low polarity solvents such as hexane and toluene, and in water the absorbance peaks were broadened. Therefore, solvatochromism of fluorophores in toluene and water were studied using TD-DFT. In toluene fluorophores 7, 8, 15 and 17 showed slightly blue shift and for fluorophore 19 there was 14 nm red shift. In water, the absorbance maxima of all fluorophores were slightly red shifted.

Density Functional Theory Calculations of Fluorophores 4-20

The TD-DFT calculations for the lowest $S_0 \rightarrow S_1$ electronic transition energies of molecule **4-20** with pure DFT functional BLYP give overestimation between 0.39-0.56 eV (MAE 0.49 eV, Supplementary Information Table S6). This behavior is expected from TD-DFT calculations of some classes of chromophores, including cyanines and its derivatives³⁰⁻³². While self-interaction error^{33, 34} in pure DFT(approximate GGA functionals) is known to be responsible for

Journal Name ARTICLE

View Article Online DOI: 10.1039/D0OB02313B

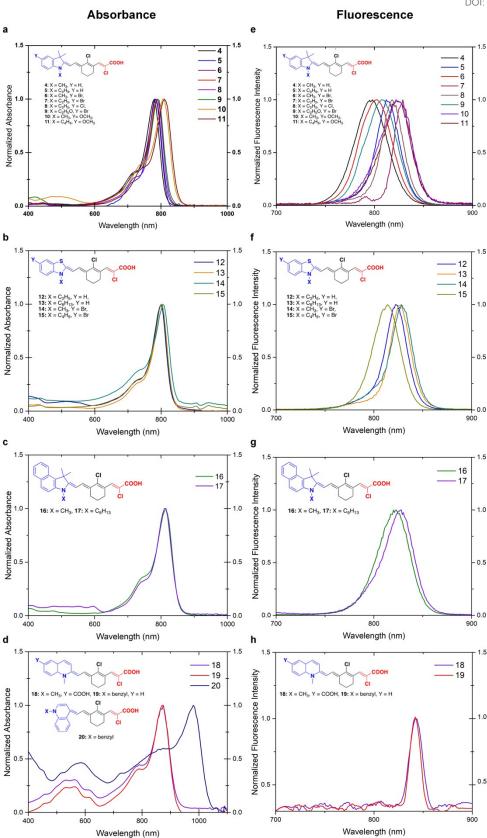


Figure 3. Absorption and fluorescence spectra of fluorophores **4-20**, a) absorption spectra of fluorophores **4-11**, b) absorption spectra of fluorophores **12-15**, c) absorption spectra of fluorophores **16** and **17**, d) absorption spectra of fluorophores **18-20**, e) fluorescence spectra of fluorophores **4-11**, f) emission spectra of fluorophores **12-15**, g) emission spectra of fluorophores **16** and **17**, h) emission spectra of fluorophores **18** and **19**.

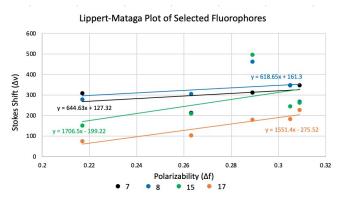


Figure 4. Lippert-Mataga plots of selected fluorophores **7**, **8**, **15** and **17**.

general underestimation of charge transfer state energies³⁵⁻³⁷, the overestimation in cyanines has been attributed particularly to the differential (non-dynamic) correlation effects in the ground and in the excited states^{38, 39}. While the underestimation of charge transfer states can be remedied by the use of larger fraction of Hartree-Fock exchange mixed within the hybrid DFT functionals⁴⁰⁻⁴³, the overestimation due to the non-dynamic correlation effects can only be remedied by multireference wavefunction methods^{44, 45} or ΔSCF-based DFT methods^{31, 32}. Hybrid functionals, B3LYP⁴⁶⁻⁴⁸ and BH&HLYP⁴⁹, which approximately contain 25% and 50% of Hartree-Fock exact exchange respectively, have much worse overestimation than the pure density functionals (Supplementary Information, Figure S47). We also tested long range corrected functionals such as

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

LC-ωHPBE⁵⁰, CAM-B3LYP⁵¹ or ωB97XD⁵² which also give higher overestimation error. However, despite : 1୩ମଣ୍ଡ 39/ବୃଦ୍ଧ ଲୟମଣ୍ଡ ଅ inaccuracies, TD-DFT is able to reproduce the trend in energy perfectly well, albeit qualitatively. It can be seen from the relative comparison of $S_0 \rightarrow S_1$ excitation energies among the fluorophores (Figures 3 and 5). Among the fluorophores with indole donor units, the lowest $S_0 \rightarrow S_1$ excitation energies belongs to fluorophores 11 and 10 respectively, while fluorophores 4-9 have similar, higher excitation energies. For benzothiazoles (12-15) and benzo[e]indoles (16-17), each of the molecules shows similar $S_0 \rightarrow S_1$ excitation energy levels respectively. For quinolines (18-20) the TD-DFT calculations also predict the trend in accurate manner, that the $S_0 \rightarrow$ S_1 excitation energies for **20 < 19 < 18**. The highest experimental absorption peak for all compounds are due to the lowest energy, S₀ \rightarrow S₁ excitation (TD-DFT calculations, Figure 5), each corresponds to [HOMO] to [LUMO] electronic transition. These [HOMO] → [LUMO] transition, all have charge transfer character from donor to chloroacrylic acid acceptor (Supplementary Information Figure S48-S64). Both chlorine atoms in the chloroacrylic and at meso carbon of the Vilsmeier-Haack linker seems to play a role as one electron withdrawing moiety. For indoles, the higher energy $S_0 \rightarrow S_2$ excitations correspond to $[HOMO-1] \rightarrow [LUMO]$, while for benzothiazoles, benzo[e]indoles, and quinolines this state corresponds to [HOMO] \rightarrow [LUMO+1] transitions. The S₀ \rightarrow S₂ excitations are found to be excited states with the second highest oscillator strength (except for benzo[e]indoles and quinoline 18 and 20).

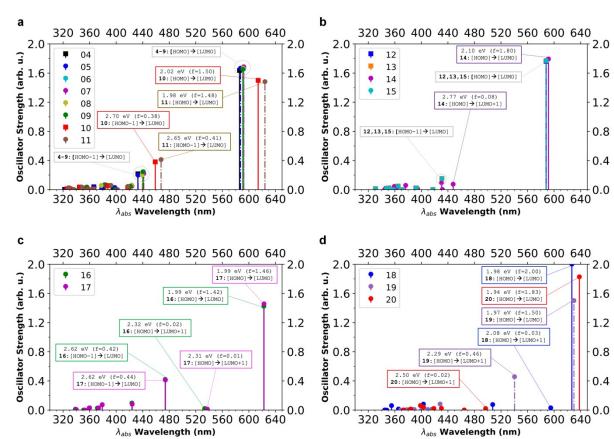


Figure 5. Computational TD-DFT results for a) indoles, b) benzothiazoles, c) benzo[e]indoles, d) quinolines. Calculated spectra are shown in terms of oscillator strength. For each compound, the highest absorption peaks correspond to a HOMO to LUMO transition.

Journal Name ARTICLE

View Article Online DOI: 10.1039/D00B02313B

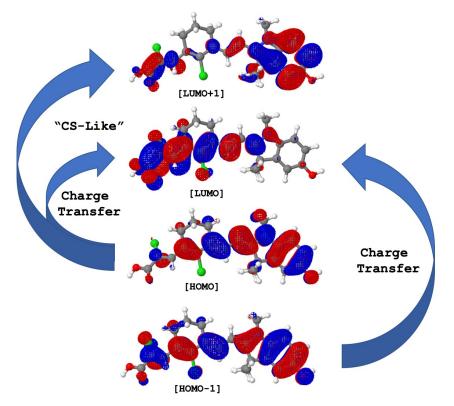


Figure 6. Charge transfer excitations correspond to either [HOMO] \rightarrow [LUMO] or [HOMO-1] \rightarrow [LUMO] electronic transitions shown for fluorophore **10**. The [HOMO] \rightarrow [LUMO+1] give a charge-separated-like ("CS-like") character. The natural orbitals were calculated at HF/6-311G level of theory.

In benzo[e]indoles [HOMO-1] \rightarrow [LUMO] corresponds to the higher energy $S_0 \rightarrow S_3$ state, with the $S_0 \rightarrow S_2$ excitation states become a hidden state (Figure 5c). As with the [HOMO] → [LUMO] transition, the [HOMO-1] → [LUMO] also corresponds to charge transfer transition from donor to acceptor region (Figure 5 and 6). Interestingly, for [HOMO] → [LUMO+1] transition, it represents some kind of "charge separation" character in which electrons could undergo localization onto both donor and acceptor ends of the molecule, leaving a lesser electron density in the linker region resulting in a more polarized molecule (Figure 6). In fluorophores with quinoline donor however, while the $S_0 \rightarrow S_2$ state ([HOMO] →[LUMO+1]) in 18 and 19 has considerable oscillator strength, fluorophore 20 has instead a very low oscillator strength (f = 0.02, Figure 5d) that this state can be considered as a hidden excited state. This could be attributed to the fact that the phenyl group in fluorophore 20 is directly in opposite of the D- π -A molecular plane, thus may disturbs the electronic structure of the quinoline moiety while for fluorophore 19 the phenyl is sideways. For fluorophore 18, the disturbance could be due to the presence of electron withdrawing carboxylic acid group at the donor quinoline moiety.

Photostability

Photodegradation is an important property of dyes for their practical applications. The fluorophores should be stable upon excitation for a certain time. Fluorophores **4**, **7**, **11** and **13** were selected to study the photostability of dyes. The studies were performed according to our

published method²⁶. The fluorophores were dissolved in methanol by sonicating and then diluted to give absorbance values 0.6. The solutions were divided to two parts, one kept in dark (Supplementary Information Figure S46) and the other kept in front of 15 W UV lamp (Figure 7). On the other hand, fluorophore 13 showed 100% photofading after 3 days. Fluorophores 4, 7 and 11 showed higher stability than ICG which is an FDA approved probe for bioimaging.

Fluorophores **4, 7, 10** and **12** were selected based on their hydrophilicity and molar absorptivity constants to further investigate their cytotoxicity (IC_{50}) against breast cancer. Three different triple negative breast cancer (TNBC) cell lines HCC 1806, HCC 70 and BT-20 were used for the cytotoxicity studies. The IC_{50} of the fluorophores are compared with the IC_{50} of the common anti-cancer drug docetaxel.

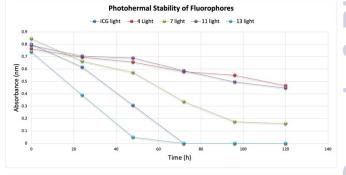


Figure 7. Photostability test of fluorophores 4, 7, 11 and 13.

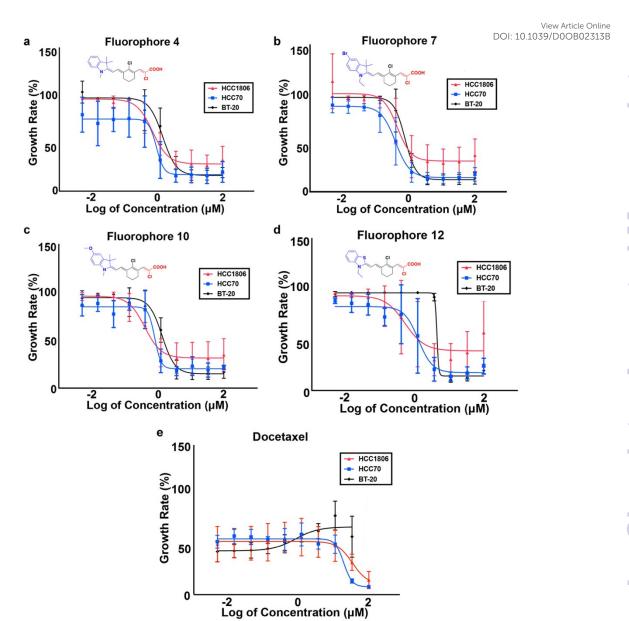


Figure 8. TNBC cells were treated with increasing concentration of fluorophore analogs and docetaxel, to measure the percentage of cell proliferation using MTT assay.

Evaluation of Anti-Proliferative Activity of TNBC Cell Lines

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

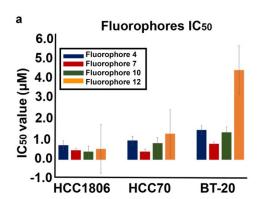
Evaluation of the cytotoxic activity of 4 out of 17 novel D – π – A fluorophore analogs of **4, 7, 10, 12** compared to docetaxel, a common chemotherapy drug used for TNBC was done using the MTT assay. Fluorophore analogs **4, 7, 10, 12**, and docetaxel showed IC₅₀ values of 0.717, 0.459, 0.402, 0.528 and 50.9 μ M, respectively in HCC1806. Similarly, fluorophore analogs **4, 7, 10, 12**, and docetaxel showed IC₅₀ values of 0.940, 0.404, 0.807, 1.29, 35.6 μ M in HCC70 and 1.47, 0.780, 1.35, 4.42, 2.41 μ M in BT-20 correspondingly as Table 2. IC₅₀ values of TNBC cell lines against fluorophores **4, 7, 10, 12** and docetaxel shown in Table 2. Figure 8 displays the most potent fluorophore analogs which exhibited IC₅₀ below 5 μ M in TNBCs compared to docetaxel (Figure 8e). The IC₅₀ values in bar graph format with calculated standard deviation between batches of the four fluorophore analogs and docetaxel are displayed in Figure 9 (a

and b). As evident from the in-vitro cell proliferation data, fluorophore analogs **4**, **7** and **10** with indole unit with nitrogen atoms are more active compared to fluorophore **12** with benzothiazole unit suggesting attenuated biological activity with structural modification of benzothiazole.

Table 2. IC_{50} values of TNBC cell lines against fluorophores **4, 7, 10**, **12** and docetaxel

		IC ₅₀ of			
Cell Lines	4	7	10	12	Docetaxel (μM)
HCC 1806	0.717	0.459	0.402	0.528	50.9
HCC 70	0.940	0.404	0.807	1.290	35.6
BT-20	1.470	0.780	1.350	4.420	2.41

Journal Name ARTICLE



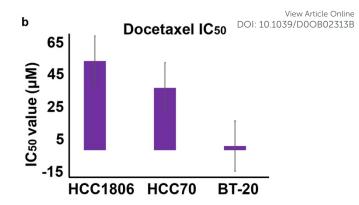


Figure 9. Inhibitory concentration at 50% (IC50) values of breast cancer cell lines against fluorophores **4**, **7**, **10**, **12** (A) in comparison to docetaxel (B).

Along the same lines, bromine at position 5 of indole unit with an ethyl group on the nitrogen atoms significantly improves the activity as evident upon comparing fluorophore 4 and 7. Other molecular modifications, including methoxy group on fluorophore 10 slightly improved the biological response but not to the same extent as fluorophore 7, which also showed the highest molar absorptivity

constant in optical studies (Table 1). The more hydrophilic fluorophores with larger stoke shift show better anticancer profiles as seen from the IC_{50} values. The relative efficiency of the fluorophore analogs cannot be correlated entirely to the Stokes Shift, but the most active fluorophore has a large Stokes Shift of 20 nm with smaller stoke shift displaying lower potency. However, to fully understand the anticancer activity of the novel fluorophores, further in-vitro studies comparing the treatment of TNBC cell lines and normal mammary epithelial cells are warranted for the various classes of D – π – A fluorophores 4-20.

Conclusions

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

In this work we synthesized 17 D $-\pi$ – A fluorophores with chloroacrylic acid acceptor and five various types of donor moieties with Vilsmeier- Haack linker. The linker and acceptor were synthesized first and then reacted with heterocyclic salts to yield the desired fluorophores 4-20. The effect of various type of donors on the fluorophores have been investigated by optical properties and TD-DFT studies to determine the charge transfer between donor and acceptor and their geometries. The synthesized fluorophores have absorption and emission in NIR above 700 nm. Fluorophore 20 containing a quinoline unit showed the highest bathochromic (red) shift. The attached benzyl ring of fluorophore 20 makes the structure more planar and conjugated, promoting ICT. Fluorophores 18 and 19 were also red shifted due to their highly rigid and conjugated systems. For fluorophores 4-11 with indole donor unit, the red shifted behaviour observed with the 5-methoxy substitution rather than halogens due to the electron donating ability of methoxy group. Benzothiazole donor unit containing fluorophores 12-15 showed similar emission wavelength with the indole unit but higher quantum yields were found. Fluorophores 16 and 17 that has benzo[e]indole donor possess

high quantum efficiencies and compound 16 significantly showed larger Stokes shift which is due to the larger conformation change of the molecule. Addition of longer chain to this donor unit as seen in compound 17 and resulted with less red shifted emission. The solvatochromic studies of selected four fluorophores 7, 8, 15 and 17 showed 5 nm blue shift in methanol and acetonitrile, and 5-15 nm red shift in DMSO and DCM absorption wavelength peaks. The Lippert-Mataga plot of selected fluorophores showed presence of ICT and relatively small Stokes shifts. TD-DFT calculation showed that lowest energy excitations for fluorophores 4-20 is due to $S_0 \rightarrow S_1$ electronic state excitation which dominantly corresponds to [HOMO] → [LUMO] transition (Figure 5). From natural transition orbital analysis, the electronic transition from [HOMO] \rightarrow [LUMO] itself, is characterized as a charge transfer excitation (Figure 6). Higher excitations (i.e. the second lowest energy excitations) may correspond to either [HOMO-1] \rightarrow [LUMO] transition (another charge transfer type excitation) found for fluorophores containing indole 4-11 and benzothiazoles 13 and **15** or [HOMO] → [LUMO+1] transition, which results in charge separation like characteristic for fluorophores with donor groups benzothiazole 14, benzo[e]indole 16 and 17, and quinolines 18-20. The cytotoxicity results of fluorophores 4, 7, 10 and 12 suggest that fluorophore analogs have a better activity against TNBC cell lines when compared to docetaxel under the same conditions. Specifically, fluorophore 7 with bromine substituent showed strong cytotoxicity against all cell lines. For future studies, a full cytotoxicity studies of fluorophores 4-20 will be investigated for healthy and TNBC cell lines.

Author Contributions

M.H., G.O. and Z.E.; methods development. M.H., D.H., R.A, G.O, D.S., Z.E., R.H.; data acquisition, M.H., G.O., D.S., R.H. and Z.E.; writing-original draft preparation, M.H., D.H., R.A., R.A.E., G.O., D.S., Z.E. and R.H.; writing-review and editing, M.H., D.H. and R.A.; supervision.

Conflicts of interest

Authors declare no conflicts of interest.

Acknowledgements

M.H. would like to thank the Egyptian Cultural and Educational Bureau for the Postdoctoral Fellow ZE, the Georgia State University Brains and Behavior Seed Grant, the Atlanta Clinical and Translational Science Institute Healthcare Innovation Seed Grant, and the Georgia Research Alliance Ventures Phase 1 Grant for the support. The computational work in D.H. research group was supported in part by National Science Foundation MCB-2018144.

Notes and references

Published on 02 February 2021. Downloaded on 2/2/2021 9:04:45 PM

- 1. Rémond, M.; Zheng, Z.; Jeanneau, E.; Andraud, C.; Bretonnière, Y.; Redon, S., 4,5,5-Trimethyl-2,5-dihydrofuran-Based Electron-Withdrawing Groups for NIR-Emitting Push—Pull Dipolar Fluorophores. *The Journal of Organic Chemistry* **2019**, *84* (16), 9965-9974.
- 2. Bureš, F., Fundamental aspects of property tuning in push–pull molecules. *RSC Advances* **2014**, *4* (102), 58826-58851.
- 3. Owens, E. A.; Henary, M.; El Fakhri, G.; Choi, H. S., Tissue-Specific Near-Infrared Fluorescence Imaging. *Accounts of chemical research* **2016**, *49* (9), 1731-1740.
- 4. Yang, J.; Ganesan, P.; Teuscher, J.; Moehl, T.; Kim, Y. J.; Yi, C.; Comte, P.; Pei, K.; Holcombe, T. W.; Nazeeruddin, M. K.; Hua, J.; Zakeeruddin, S. M.; Tian, H.; Grätzel, M., Influence of the Donor Size in D $-\pi$ -A Organic Dyes for Dye-Sensitized Solar Cells. *Journal of the American Chemical Society* **2014**, *136* (15), 5722-5730.
- 5. Zhao, B.; Xie, G.; Wang, H.; Han, C.; Xu, H., Simply Structured Near-Infrared Emitters with a Multicyano Linear Acceptor for Solution-Processed Organic Light-Emitting Diodes. *Chemistry A European Journal* **2019**, *25* (4), 1010-1017.
- 6. Zhang, Q.; Zhang, J.; Zuo, H.; Wang, C.; Shen, Y., A novel colorimetric and fluorescent sensor for cyanide anions detection based on triphenylamine and benzothiadiazole. *Tetrahedron* **2016**, *72* (9), 1244-1248.
- 7. Cvejn, D.; Achelle, S.; Pytela, O.; Malval, J.-P.; Spangenberg, A.; Cabon, N.; Bureš, F.; Robin-le Guen, F., Tripodal molecules with triphenylamine core, diazine peripheral groups and extended π -conjugated linkers. *Dyes and Pigments* **2016**, *124*, 101-109.
- 8. Manuela, M.; Raposo, M.; Herbivo, C.; Hugues, V.; Clermont, G.; Castro, M. C. R.; Comel, A.; Blanchard-Desce, M., Synthesis, Fluorescence, and Two-Photon Absorption Properties of Push—Pull 5-Arylthieno[3,2-b]thiophene Derivatives. *European Journal of Organic Chemistry* **2016**, *2016* (31), 5263-5273.
- 9. Gautam, P.; Yu, C. P.; Zhang, G.; Hillier, V. E.; Chan, J. M. W., Pulling with the Pentafluorosulfanyl Acceptor in Push–Pull Dyes. *The Journal of Organic Chemistry* **2017**, *82* (20), 11008-11020.
- 10. Meek, S. T.; Nesterov, E. E.; Swager, T. M., Near-Infrared Fluorophores Containing Benzo[c]heterocycle Subunits. *Organic Letters* **2008**, *10* (14), 2991-2993.
- 11. Guo, L.; Wong, M. S., Multiphoton Excited Fluorescent Materials for Frequency Upconversion Emission and Fluorescent Probes. *Advanced Materials* **2014**, *26* (31), 5400-5428.
- 12. Kulhánek, J.; Bureš, F.; Pytela, O.; Mikysek, T.; Ludvík, J.; Růžička, A., Push-pull molecules with a systematically extended π -

- conjugated system featuring 4,5-dicyanoimidazole, and Pigments **2010**, *85* (1), 57-65.
- 13. Achelle, S.; Barsella, A.; Caro, B.; Robin-le Guen, F., Donor-linker–acceptor (D– π –A) diazine chromophores with extended π -conjugated cores: synthesis, photophysical and second order nonlinear optical properties. *RSC Advances* **2015**, *5* (49), 39218-39227.
- 14. Zhou, H.; Huang, Q.; Liu, X.; Xu, D.; Zhang, W.; Fu, S.; Feng, X.; Zhang, Z., Phenothiazine and diphenylsulfone-based donor–acceptor π -systems exhibiting remarkable mechanofluorochromism. *Dyes and Pigments* **2021**, *184*, 108868.
- 15. Verbitskiy, E. V.; Achelle, S.; Bureš, F.; le Poul, P.; Barsella, A.; Kvashnin, Y. A.; Rusinov, G. L.; Guen, F. R.-l.; Chupakhin, O. N.; Charushin, V. N., Synthesis, photophysical and nonlinear optical properties of [1,2,5]oxadiazolo[3,4-b]pyrazine-based linear push-pull systems. *Journal of Photochemistry and Photobiology A: Chemistry* **2021**, *404*, 112900.
- 16. Noirbent, G.; Pigot, C.; Bui, T.-T.; Péralta, S.; Nechab, M.; Gigmes, D.; Dumur, F., Synthesis, optical and electrochemical properties of a series of push-pull dyes based on the 2-(3-cyano-4,5,5-trimethylfuran-2(5H)-ylidene)malononitrile (TCF) acceptor. *Dyes and Pigments* **2021**, *184*, 108807.
- 17. Zheng, Z.; Liu, H.; Zhai, S.; Zhang, H.; Shan, G.; Kwok, R. T. K.; Ma, C.; Sung, H. H. Y.; Williams, I. D.; Lam, J. W. Y.; Wong, K. S.; Hu, X.; Tang, B. Z., Highly efficient singlet oxygen generation, two-photon photodynamic therapy and melanoma ablation by rationally designed mitochondria-specific near-infrared AlEgens. *Chemical Science* **2020**, *11* (9), 2494-2503.
- 18. Akchurin, I. O.; Yakhutina, A. I.; Bochkov, A. Y.; Solovjova, N. P.; Traven, V. F., Synthesis of novel push-pull fluorescent dyes 7-(diethylamino)furo[3,2-c]coumarin and 7-(diethylamino)thieno[3,2-c]coumarin derivatives. *Heterocyclic Communications* **2018**, *24* (2), 85-91.
- 19. Li, L.; Xu, Y.; Chen, Y.; Zheng, J.; Zhang, J.; Li, R.; Wan, H.; Yin, J.; Yuan, Z.; Chen, H., A family of push-pull bio-probes for tracking lipid droplets in living cells with the detection of heterogeneity and polarity. *Analytica Chimica Acta* **2020**, *1096*, 166-173.
- 20. Mojzych, M.; Henary, M., Synthesis of Cyanine Dyes. In *Heterocyclic Polymethine Dyes: Synthesis, Properties and Applications,* Strekowski, L., Ed. Springer Berlin Heidelberg: Berlin, Heidelberg, 2008; pp 1-9.
- 21. Martin, R. L., Natural transition orbitals. *The Journal of Chemical Physics* **2003**, *118* (11), 4775-4777.
- 22. Owens, E. A.; Hyun, H.; Tawney, J. G.; Choi, H. S.; Henary, M., Correlating Molecular Character of NIR Imaging Agents with Tissue-Specific Uptake. *Journal of Medicinal Chemistry* **2015**, *58* (10), 4348-4356
- 23. Basnet, K.; Fatemipouya, T.; St. Lorenz, A.; Nguyen, M.; Taratula, O.; Henary, M.; Grant, K. B., Single photon DNA photocleavage at 830 nm by quinoline dicarbocyanine dyes. *Chemical Communications* **2019**, *55* (84), 12667-12670.
- 24. Kuramoto, N.; Natsukawa, K.; Asao, K., Synthesis and characterization of deep-coloured squarylium dyes for laser optical recording media. *Dyes and Pigments* **1989**, *11* (1), 21-35.
- 25. Levitz, A.; Marmarchi, F.; Henary, M., Introduction of various substitutions to the methine bridge of heptamethine cyanine dyes Via substituted dianil linkers. *Photochemical & Photobiological Sciences* **2018**, *17* (10), 1409-1416.
- 26. Lippert, E., Dipolmoment und Elektronenstruktur von angeregten Molekülen. *Zeitschrift für Naturforschung A* **1955**, *10* (7), 541-545.

Journal Name ARTICLE

- 27. Seintis, K.; Agathangelou, D.; Cvejn, D.; Almonasy, N.; Bureš, F.; Giannetas, V.; Fakis, M., Femtosecond to nanosecond studies of octupolar molecules and their quadrupolar and dipolar analogues. *Physical Chemistry Chemical Physics* **2017**, *19* (25), 16485-16497.
- Physical Chemistry Chemical Physics **2017**, *19* (25), 16485-16497.

 28. Berezin, M. Y.; Lee, H.; Akers, W.; Achilefu, S., Near Infrared Dyes as Lifetime Solvatochromic Probes for Micropolarity Measurements of Biological Systems. *Biophysical Journal* **2007**, *93* (8), 2892-2899.
- 29. Zalukaev, L. P.; Vorob'eva, R. P., An intramolecular charge-transfer complex in hydrogenated quinolines. *Chemistry of Heterocyclic Compounds* **1970**, *4* (2), 218-220.
- 30. Le Guennic, B.; Jacquemin, D., Taking up the cyanine challenge with quantum tools. *Accounts of chemical research* **2015**, *48* (3), 530-537.
- 31. Filatov, M.; Huix-Rotllant, M., Assessment of density functional theory based Δ SCF (self-consistent field) and linear response methods for longest wavelength excited states of extended π -conjugated molecular systems. *The Journal of Chemical Physics* **2014**, *141* (2), 024112.
- 32. Kowalczyk, T.; Yost, S. R.; Voorhis, T. V., Assessment of the ΔSCF density functional theory approach for electronic excitations in organic dyes. *The Journal of chemical physics* **2011**, *134* (5), 054128. 33. Zhang, Y.; Yang, W., A challenge for density functionals: Self-interaction error increases for systems with a noninteger number of electrons. *The Journal of chemical physics* **1998**, *109* (7), 2604-2608. 34. Bao, J. L.; Gagliardi, L.; Truhlar, D. G., Self-interaction error in density functional theory: An appraisal. *The journal of physical chemistry letters* **2018**, *9* (9), 2353-2358.
- 35. Dreuw, A.; Weisman, J. L.; Head-Gordon, M., Long-range charge-transfer excited states in time-dependent density functional theory require non-local exchange. *The Journal of chemical physics* **2003**, *119* (6), 2943-2946.
- 36. Dreuw, A.; Head-Gordon, M., Single-reference ab initio methods for the calculation of excited states of large molecules. *Chemical reviews* **2005**, *105* (11), 4009-4037.
- 37. Kümmel, S., Charge-Transfer Excitations: A Challenge for Time-Dependent Density Functional Theory That Has Been Met. *Advanced Energy Materials* **2017**, *7* (16), 1700440.
- 38. Grimme, S.; Neese, F., Double-hybrid density functional theory for excited electronic states of molecules. *The Journal of chemical physics* **2007**, *127* (15), 154116.
- 39. Moore, B.; Autschbach, J., Longest-wavelength electronic excitations of linear cyanines: the role of electron delocalization and of approximations in time-dependent density functional theory. *Journal of chemical theory and computation* **2013**, *9* (11), 4991-5003. 40. Cremer, D., Density functional theory: coverage of dynamic and non-dynamic electron correlation effects. *Molecular Physics* **2001**, *99* (23), 1899-1940.
- 41. Setiawan, D.; Kazaryan, A.; Martoprawiro, M. A.; Filatov, M., A first principles study of fluorescence quenching in rhodamine B dimers: how can quenching occur in dimeric species? *Physical Chemistry Chemical Physics* **2010**, *12* (37), 11238-11244.
- 42. Bernard, Y. A.; Shao, Y.; Krylov, A. I., General formulation of spinflip time-dependent density functional theory using non-collinear kernels: Theory, implementation, and benchmarks. *The Journal of chemical physics* **2012**, *136* (20), 204103.
- 43. Huix-Rotllant, M.; Filatov, M.; Gozem, S.; Schapiro, I.; Olivucci, M.; Ferré, N., Assessment of density functional theory for describing the correlation effects on the ground and excited state potential energy surfaces of a retinal chromophore model. *Journal of chemical theory and computation* **2013**, *9* (9), 3917-3932.
- 44. Goerigk, L.; Grimme, S., Assessment of TD-DFT methods and of various spin scaled CIS (D) and CC2 versions for the treatment of low-

- lying valence excitations of large organic dyes. *The Journal* of *Chemical Physics* **2010**, *132* (18), 184103. DOI: 10.1039/D0OB02313B 45. Loos, P.-F.; Scemama, A.; Jacquemin, D., The Quest For Highly Accurate Excitation Energies: A Computational Perspective. *The Journal of Physical Chemistry Letters* **2020**, *11* (6), 2374-2383.
- 46. Lee, C.; Yang, W.; Parr, R. G., Development of the Colle-Salvetti correlation-energy formula into a functional of the electron density. *Physical Review B* **1988**, *37* (2), 785-789.
- 47. Becke, A. D., Density-functional thermochemistry. I. The effect of the exchange-only gradient correction. *The Journal of chemical physics* **1992**, *96* (3), 2155-2160.
- 48. Stephens, P. J.; Devlin, F. J.; Chabalowski, C. F.; Frisch, M. J., Ab initio calculation of vibrational absorption and circular dichroism spectra using density functional force fields. *The Journal of physical chemistry* **1994**, *98* (45), 11623-11627.
- 49. Becke, A. D., A new mixing of Hartree–Fock and local density-functional theories. *The Journal of chemical physics* **1993**, *98* (2), 1372-1377.
- 50. Henderson, T. M.; Izmaylov, A. F.; Scalmani, G.; Scuseria, G. E., Can short-range hybrids describe long-range-dependent properties? *The Journal of chemical physics* **2009**, *131* (4), 044108.
- 51. Yanai, T.; Tew, D. P.; Handy, N. C., A new hybrid exchange—correlation functional using the Coulomb-attenuating method (CAM-B3LYP). *Chemical physics letters* **2004**, *393* (1-3), 51-57.
- 52. Chai, J.-D.; Head-Gordon, M., Systematic optimization of long-range corrected hybrid density functionals. *The Journal of Chemical Physics* **2008**, *128* (8), 084106.