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## Linear Free Energy Relationships in Hydrogen Evolution Catalysis by a Cobalt Tripeptide in Water

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3 **Linear Free Energy Relationships in Hydrogen Evolution Catalysis**  
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5 **by a Cobalt Tripeptide in Water**  
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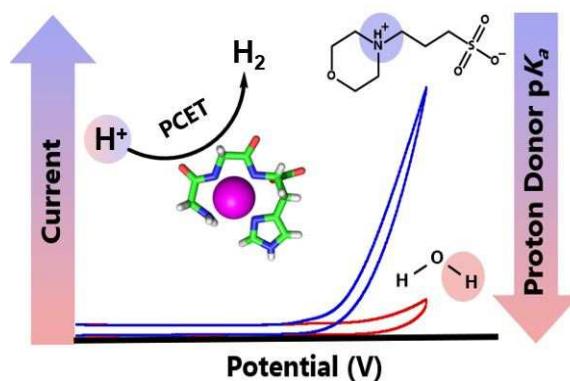
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## Abstract

Water is the desired solvent for catalytic hydrogen production, but the presence of multiple proton donors in buffered water complicates analysis of reaction mechanisms. Here, we determine substrate-dependent rate constants for electrocatalytic hydrogen evolution by a cobalt tripeptide (CoGGH) in the presence of buffers of  $pK_a$  6.9 to 10.4. Catalytic rate constants in the presence of buffer ( $k_{HA}$ ) are two to four orders of magnitude higher than when water is the sole proton source, indicating that buffer acid outcompetes water as a proton donor. The rate of hydrogen evolution catalyzed by CoGGH is found to be dependent on the buffer-acid  $pK_a$  and independent of pH (from pH 8 to 10). A Brønsted-type linear free energy relationship between  $k_{HA}$  and buffer-acid  $pK_a$  is found, supporting a concerted proton-coupled electron transfer with a buffer conjugate acid proton donor as a common rate-determining step for the buffers used.

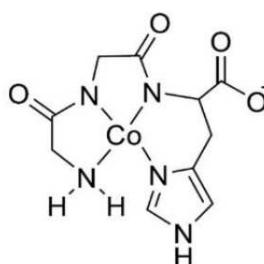
## TOC Graphic



Hydrogen ( $H_2$ ) can be used as both a carbon-free fuel and a chemical reductant, making the reduction of protons a reaction of high current interest.<sup>1-3</sup> Furthermore, insights from mechanistic studies of the catalytic hydrogen evolution reaction (HER) are helpful for building a better understanding of more complex reactions requiring proton transfers.<sup>4-6</sup> Among the many factors that impact HER catalysis, the effects of pH,<sup>7-11</sup>  $pK_a$  of exogenous acids and bases,<sup>12-22</sup> type of solvent,<sup>23-25</sup> protonatable sites on catalysts,<sup>26-31</sup> and the role of proton-coupled electron transfer (PCET)<sup>4-6,32</sup> have been highlighted. Furthermore, there has been a push to develop and study HER in water, rather than aprotic solvents or solvent mixtures.<sup>10,33-38</sup> Developing and understanding aqueous electrocatalysts is an important step toward their use in systems for water splitting, and utilizes a widely available, nontoxic solvent.

Buffers, though often overlooked, play important roles in aqueous electrocatalysis. Beyond maintaining the pH of the solution, buffers provide added acid/base species that may participate in proton transfer steps to or from the catalyst. Buffer species have been implicated in catalysis of  $CO_2$  reduction,<sup>39,40</sup> proton reduction,<sup>13,15,37</sup> water oxidation,<sup>41-44</sup> and oxygen reduction,<sup>22</sup> with both buffer  $pK_a$ <sup>13,15,22,40,41</sup> and structure<sup>15,39</sup> having effects on catalysis. For example, for a cobalt-porphyrin mini-enzyme, we identified that the HER mechanism depends upon the buffer  $pK_a$ .<sup>15</sup> In another example, for a cobalt-porphyrin-peptide HER electrocatalyst, we found a switch in the rate-determining step of the catalytic cycle dependent upon the buffer-acid  $pK_a$ .<sup>13</sup> Despite the progress made, a deeper understanding of the roles played by both buffers and water in the mechanisms of catalytic reactions involving protons is very much needed.

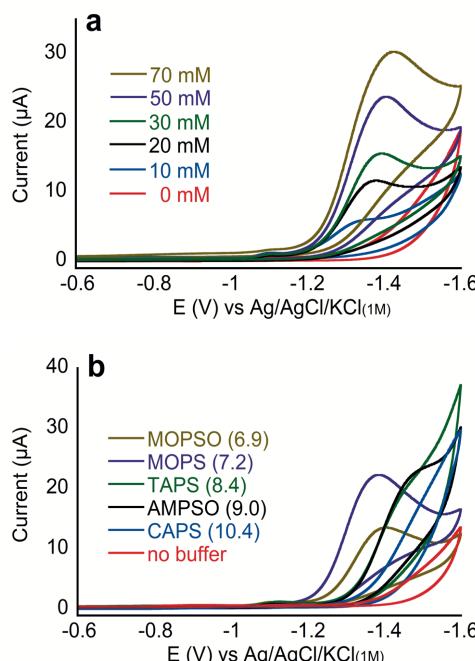
Here, we report a linear free energy relationship for the rate of  $H_2$  evolution from water with buffer-acid  $pK_a$  for HER catalyzed by CoGGH, a cobalt-tripeptide catalyst (Figure 1).<sup>33,35</sup> By studying the scan-rate-independent cyclic voltammetry (CV) responses of the catalyst in both buffered and unbuffered solutions, we deduced rate constants specific to catalysis in which water vs. a buffer conjugate acid is the proton donor (Table S1 lists the buffers used here along with abbreviations, structures, and  $pK_a$  values). This linear free energy relationship is interpreted in the context of the Brønsted law, providing insight into the identity of the proton donor and the concerted nature of PCET within the electrochemical HER mechanism for CoGGH.



**Figure 1.** CoGGH (Cobalt-glycine-glycine-histidine). With cobalt as Co(III), CoGGH is a neutral complex referred to as [1]; the Co(II) form then corresponds to [1]<sup>-</sup>, and so on.

Cyclic voltammetry of CoGGH in aqueous solution (pH 8.0) yields catalytic waves that develop at onset potentials of  $\sim -1.2$  to  $-1.4$  V vs. Ag/AgCl/KCl<sub>(1M)</sub> (all potentials here are reported

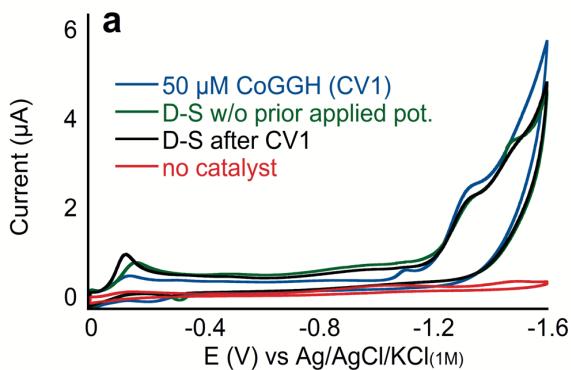
against this reference), previously shown to correspond to the reduction of protons to  $H_2$ .<sup>33</sup> The catalytic current is highly sensitive to both concentration and identity of the buffer present, although a weak response is also detected in the absence of buffer. Controlled potential electrolysis experiments show that faradaic efficiency for the HER does not vary significantly with buffer identity (Figure S1, Table S2). Figure 2a shows voltammograms of CoGGH collected at 0 – 70 mM MOPS buffer concentration and Figure 2b shows CVs in different buffers as well as pH-adjusted water containing only KCl (no buffer). The addition of buffer significantly enhances the catalytic current and shifts the onset potential anodically, suggesting that the buffer acid is outcompeting water as a proton donor. We have previously reported that buffer  $pK_a$  affects the kinetics of HER catalyzed by a cobalt-porphyrin-peptide catalyst in water,<sup>13</sup> as the strength of the acid determines the rate of proton transfer from the proton donor to the catalyst, a result also obtained in studies of organic acid proton donors to HER catalysts in aprotic media.<sup>12,45</sup>



**Figure 2.** CVs of 50  $\mu$ M CoGGH in (a) 0 to 70 mM MOPS (b) 50 mM of the indicated buffer as well as KCl electrolyte only. All data collected at 100 mV/s, 0.1 M KCl pH 8.0 scanning from 0 to -1.6 V in the cathodic (forward) scan and from -1.6 to 0 V in the anodic (reverse) scan. Buffer  $pK_a$  values shown in parenthesis

To determine rate constants for HER catalyzed by CoGGH, it is important to establish whether the catalytic activity arises from a homogeneous molecular species, an adsorbed molecular species,<sup>46,47</sup> or a catalytically active material that forms in situ.<sup>48,49</sup> To address this question, we performed rinse tests of the hanging mercury drop (HMDE) working electrode. Typically, post-CV rinse tests are performed on solid electrodes.<sup>49</sup> Here, we use a different procedure that we call a “dip-and-stir” (D-S) test, described in detail in the Experimental Section. The D-S test shows that catalysis is due to an adsorbed species and that adsorption takes place regardless of the applied potential and also at the open-circuit potential (Figure 3). The solution composition is also found

to have no effect on catalyst adsorption as shown in Figures S2-S8. A pre-catalytic wave is detected in the CV of CoGGH at  $\sim -1.10$  V in the forward scan but is absent in the post-rinse voltammograms (Figure S3b), suggesting that the feature is associated with catalyst adsorption to the electrode. An oxidative peak at  $\sim -0.30$  V is seen in the reverse scan, but only if the pre-catalytic wave is accessed in the forward scan (Figure S9). This oxidative feature significantly decreases over repetitive post-rinse CV scans (Figure S3d), consistent with desorption of the catalyst under those conditions. This adsorption-desorption process explains the differences seen in repetitive CV collected with and without CoGGH in solution as shown in Figure S3c. A concern with catalysts adsorbed to electrodes is the possibility of decomposition yielding metal nanoparticles that act as the active catalyst.<sup>48,50,51</sup> Here, the use of a mercury electrode obviates that concern as mercury amalgamates cobalt;<sup>49,52</sup> indeed, no activity from  $\text{CoCl}_2$  is observed (Figure S10). We conclude that the adsorbed catalytically active species is molecular in nature.



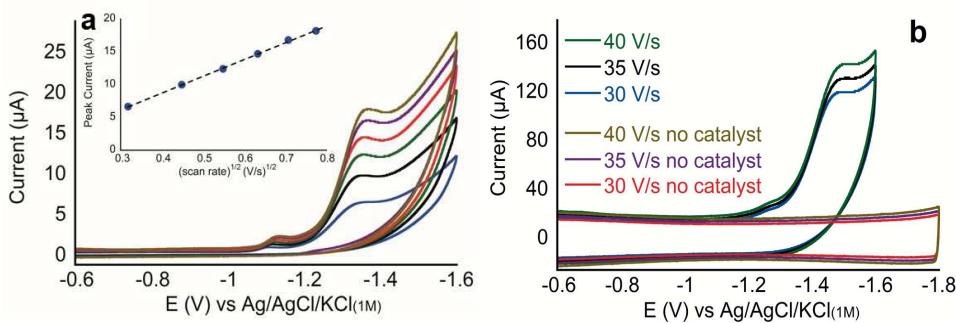
**Figure 3.** Results of D-S tests performed for 50  $\mu\text{M}$  CoGGH, 0.1 M KCl pH 8.0 at 100 mV/s in 10 mM MOPS.

We will treat the system under consideration here as a catalytically active monolayer comprised of immobilized molecules of CoGGH, or another molecular species derived from it, and will determine rate constants for HER under this assumption. Equation 1 defines the maximum current for a monolayered electrocatalytic film operating under pure-kinetic conditions, i.e. no substrate consumption is taking place and a steady state is achieved between the active and resting states of the catalyst.<sup>53</sup> The derivation of equation 1 also assumes that both the electron transfer from the electrode to the adsorbed catalyst and the diffusion of the substrate from the solution to the electrode surface are fast relative to the catalytic rate, conditions both fulfilled at relatively high scan rates and buffer concentrations, or when the solvent (i.e. water) is the substrate.<sup>53-55</sup>

$$I_k = 2FAk\Gamma_{\text{cat}}[S] \quad (1)$$

Equation 1 applies to a two-electron process that is first order in both catalyst and substrate.<sup>53,54</sup>  $I_k$  corresponds to the catalytic current,  $F$  is the Faraday constant,  $A$  is the surface area of the working electrode,  $\Gamma_{\text{cat}}$  is the surface concentration of the catalyst, and  $[S]$  is the concentration of the substrate  $S$ .<sup>53,54</sup> At relatively low scan rates, the voltammograms are peaked and the peak current is linear with the square root of the scan rate, indicating that there is significant

substrate consumption and that the CV response is limited by diffusion of substrate to the electrode as seen in Figure 4a for voltammograms collected at 100 to 600 mV/s in MOPS-containing solutions (Figures S11-S15 show these data for all buffers).



**Figure 4.** CVs of 50  $\mu$ M CoGGH in 10 mM MOPS, pH 8.0, 0.1 M KCl. **(a)** Scan rates 100 to 600 mV/s in 100 mV/s increments. The inset shows the linear plot of peak current vs. the square root of the scan rate, consistent with the CV response determined by diffusion of buffer to the electrode. **(b)** Scan-rate independent CVs at 30 to 40 V/s, consistent with pure kinetic conditions governing the CV response.

At higher scan rates, 25 to 50 V/s, the CV response becomes scan-rate independent and the voltammogram peak is lost, consistent with no substrate depletion (pure kinetic conditions). Figure 4b shows scan-rate independent CVs collected in MOPS at pH 8.0; these data are shown in Figures S16-S21 for all the conditions relevant to this study. We note that the scan-rate independent CVs of CoGGH do not exhibit the classic S-shape corresponding to voltammograms under pure kinetic conditions,<sup>53-56</sup> for which equation 1 strictly applies. The reason for the absence of a plateau current is that CoGGH exhibits other redox events at potentials more negative than  $-1.6$  V (Figure S22).

In the absence of a plateau current, the determination of  $I_k$  from the CVs of CoGGH is somewhat arbitrary; we use the current at a potential of  $-1.50$  V because this is a potential within the catalytic wave but anodic enough as to exclude any contribution from more cathodic features (Figure S22). As the  $I_k$  value at  $-1.50$  V is likely lower than the maximum catalytic plateau current, our calculated rate constants are underestimations of the actual catalytic rate constants for the CoGGH film.

Application of equation 1 requires that the catalytic rate is linear with respect to the concentration of substrate S (proton donor, i.e., buffer conjugate acid in this study). This requirement is met under these conditions, as shown in the plots of catalytic current vs buffer-acid concentration ([HA]) of Figures S23-S27. Determination of rate constants using equation 1 also requires an independent determination of the catalyst surface concentration ( $\Gamma_{\text{cat}}$ ). Instead, we will include  $\Gamma_{\text{cat}}$  in the value of the rate constant. This approximation assumes that the catalyst surface concentration remains constant for all of the different conditions explored in our study here. When CoGGH is titrated in, the CV response reaches a maximum current at a CoGGH concentration of 20 to 30  $\mu$ M for each of the buffers used and for unbuffered solutions as well, supporting the hypothesis that the catalyst is adsorbed and that such adsorption is independent of the presence

and nature of buffer. Figures S28-S33 illustrate the voltammograms collected at increasing [CoGGH] for all the buffers included in our study as well as for pH-adjusted solutions containing KCl and no buffer. By keeping [CoGGH] at 50  $\mu$ M, we expect that the surface area of the working electrode is saturated with catalyst, keeping a constant  $\Gamma_{\text{cat}}$ ; we can then define  $k_{\text{cat}} = k\Gamma_{\text{cat}}$ ; so that equation 1 can be rewritten as follows:

$$I_k = 2FAk_{\text{cat}}[S] \quad (2)$$

Equation 2 needs to be expanded to include the multiple proton donors that coexist in aqueous solutions, i.e. hydronium ions, the conjugate acid form of the buffer (referred to as buffer acid HA), and water molecules themselves, leading to equations 3 and 4.

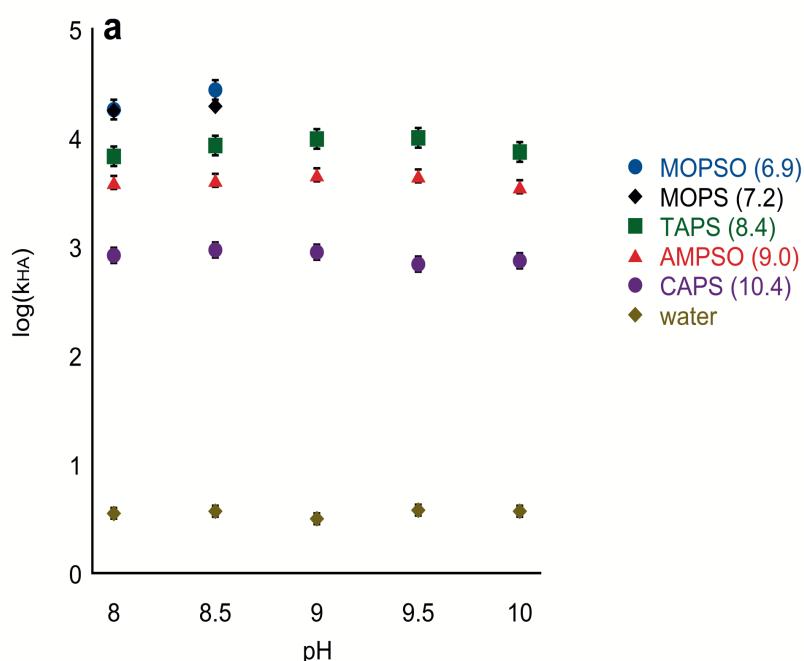
$$I_k = 2FA \sum_i k_{\text{cat}_i} [S_i] \quad (3)$$

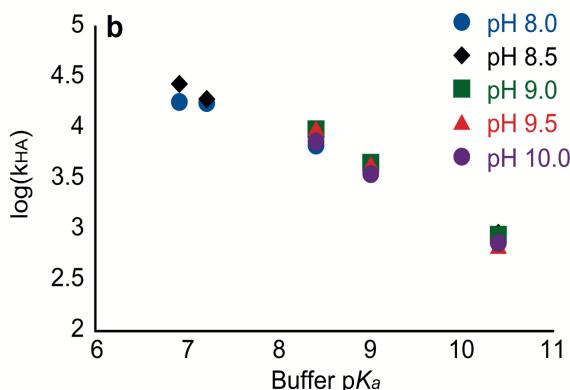
$$I_k = 2FA(k_{\text{cat}_{\text{HA}}}[\text{HA}] + k_{\text{cat}_{\text{H}_2\text{O}}} + k_{\text{cat}_{\text{H}_3\text{O}^+}}[\text{H}_3\text{O}^+]) \quad (4)$$

In equation 4, the last term is  $\sim 0$  within the experimental pH range of 8 to 10. We will also define, for simplicity,  $k_{\text{H}_2\text{O}} = k_{\text{cat}_{\text{H}_2\text{O}}}$ , and  $k_{\text{HA}} = k_{\text{cat}_{\text{HA}}}$ .

$$I_k = 2FA(k_{\text{HA}}[\text{HA}] + k_{\text{H}_2\text{O}}) \quad (5)$$

Measuring the catalytic current in the absence of buffer ( $[\text{HA}] = 0$ ) allows us to determine  $k_{\text{H}_2\text{O}}$  from equation 5. Once the value of  $k_{\text{H}_2\text{O}}$  is known,  $k_{\text{HA}}$  can be determined for data collected in the presence of buffer. Table S3 shows the values determined for both  $k_{\text{HA}}$  and  $k_{\text{H}_2\text{O}}$  for all the conditions explored in our study. Figure 5 (data listed in Table S4) shows that, for any given buffer acid, the value of  $k_{\text{HA}}$  is independent of pH and that  $k_{\text{HA}}$  decreases with increasing buffer-acid  $pK_a$ .





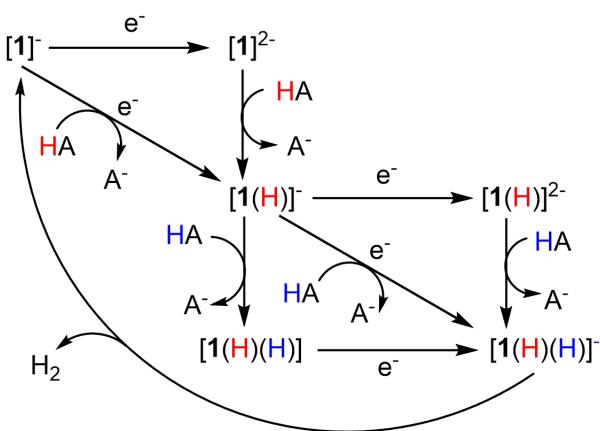
**Figure 5. (a)** Plot of  $\log(k_{HA})$  vs pH. Each color corresponds to one buffer. Error bars show the range of the values for each buffer at all pHs. **(b)** Plot of  $\log(k_{HA})$  vs buffer-acid  $pK_a$ , each color corresponds to a different pH. Error bars are omitted from Figure 5b for clarity.

The linear correlation between  $k_{HA}$  and buffer-acid  $pK_a$  supports the hypothesis that the acid strength of the proton donor determines the rate of the rate-determining proton-transfer step (RDS) of the catalytic cycle.<sup>14,22</sup> This relationship follows the empirical Brønsted law of general-acid catalysis, further supporting that the buffer-acid species is the proton donor in the RDS of the catalytic cycle. Equation 6 shows the Brønsted law, where  $\alpha$  is the slope and  $C$  the intercept, the latter with no physical meaning.<sup>22,57</sup>

$$\log(k_{HA}) = -\alpha(pK_a) + C \quad (6)$$

The value of  $\alpha$  ranges from 0 to 1 and denotes the extent of rate acceleration attainable for a given increase in driving force by decreasing the proton-donor  $pK_a$ .<sup>14,22</sup> Importantly, the coefficient  $\alpha$  reveals the extent of protonation of the catalyst in the transition state of the rate-determining step of the catalytic cycle. For values of  $\alpha$  between 0.2 and 0.8, a concerted proton-coupled electron transfer (PCET) is possible.<sup>58,59</sup> The average value of  $\alpha$  that we find for the Brønsted plots in Figure 5 is  $0.48 \pm 0.09$  (Table S5 shows the data and results of fitting), consistent with a concerted PCET as the rate-determining step of the catalytic cycle, even though a stepwise pathway cannot be ruled out.

We can propose a general mechanism for the electrochemical HER catalyzed by CoGGH as shown in Scheme 1. Even though we cannot determine which step is the RDS, we can say that it is a concerted PCET with the buffer acid acting as proton donor. The values of  $k_{HA}$  span over two orders of magnitude from MOPSO ( $pK_a$  6.9) to CAPS ( $pK_a$  10.4), further supporting the hypothesis that the acid strength of the proton donor impacts the rate of proton transfer to the catalyst in the RDS. Also, the values of  $k_{HA}$  are at 100 to 10,000 times higher than  $k_{H2O}$  in unbuffered solutions, consistent with buffer acid outcompeting water as proton donor in a general-acid catalysis mechanism.

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3 **Scheme 1.** Proposed Mechanisms for HER catalyzed by CoGGH.<sup>a</sup>  
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20 Horizontal and vertical lines correspond to electron- or proton-transfer steps, respectively. [1] indicates  
 21 Co(III)GGH. Diagonal lines correspond to concerted PCET events. Results support there being a concerted  
 22 PCET involving a buffer acid when present as the RDS; or water acting as proton donor in the absence of  
 23 buffer. H<sub>2</sub> release may or may not occur as an elementary step. Evolution of H<sub>2</sub> from [1(H)(H)] is omitted  
 24 for simplicity.  
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26 Water is the ideal solvent for hydrogen-evolving catalysts and devices but also is a complex  
 27 medium harboring multiple proton donors and acid-base equilibria. In that context, this  
 28 measurement of the rate of HER catalysis by CoGGH utilizing buffer acid or water as proton  
 29 sources is of significant value. These results also quantify the rate acceleration obtained by  
 30 including a buffer acid for HER catalysis and the dependence of this enhancement on pK<sub>a</sub>,  
 31 highlighting crucial roles that buffers play in proton-transfer reactions in water. These results offer  
 32 a path to enhancing catalyst performance while highlighting the variation of buffers as a tool for  
 33 mechanistic study of proton-requiring reactions in water.  
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## 36 EXPERIMENTAL METHODS

37 **Synthesis and Characterization of CoGGH.** CoGGH was synthesized, purified, and  
 38 characterized as described elsewhere.<sup>33</sup>

39 **Cyclic Voltammetry.** CV experiments were conducted using a three-electrode setup with a  
 40 Ag/AgCl/KCl<sub>(1M)</sub> reference electrode (CH instruments), a Pt wire counter electrode (surface area  
 41 ~0.32 cm<sup>2</sup>), and a mercury drop electrode (BASi CGME MF-9058 used in static mode) as the  
 42 working electrode (surface area 2.45 × 10<sup>-2</sup> cm<sup>2</sup>). A CH Instruments 620 D potentiostat was used  
 43 for all electrochemical experiments. The CV working solution was purged with N<sub>2</sub> for ~15 min  
 44 before each experiment, and the cell was kept under a N<sub>2</sub> atmosphere during experiments. All  
 45 voltammograms were collected scanning from 0 V to negative potentials and then back to 0 V.  
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48 **Controlled Potential Electrolysis.** Controlled potential electrolysis experiments were performed  
 49 in a two-compartment cell with a three-electrode system: a Ag/AgCl/KCl<sub>(1M)</sub> reference electrode,  
 50 a glassy carbon rod counter electrode (surface area ~1.9 cm<sup>2</sup>), and a mercury pool working  
 51 electrode with a surface area of approximately 1.0 cm<sup>2</sup> connected to the circuit by an insulated  
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3 platinum wire. The volume of the solution in each compartment was 5 mL, purged with an 80:20%  
4 N<sub>2</sub>/CH<sub>4</sub> mixture (from Airgas) before each experiment with the CH<sub>4</sub> serving as an internal  
5 standard. The amount of generated H<sub>2</sub> was determined by GC using a calibration curve obtained  
6 by injecting known volumes of H<sub>2</sub> at 1 atm. The GC instrument is a Shimadzu GC-2014 with a  
7 Thermal Conductivity Detector and a Restek RT-Msieve 5 Å column.  
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10 **The Dip-and-Stir (D-S) Test.** This test is a version of the typical rinse test, adapted to a mercury  
11 drop electrode. After collecting a CV or just exposing the Hg drop to the catalyst-containing  
12 solution, the electrochemical cell is removed, and the counter and reference electrodes are carefully  
13 wiped while the Hg drop remains at the tip of the capillary. The electrodes are then dipped into a  
14 new electrochemical cell containing fresh solution with no catalyst. The solution is stirred for three  
15 minutes, using a magnetic stir bar, to remove any catalyst-containing droplets from the electrodes.  
16 A CV is then collected, and any above-background activity detected is due to catalyst adsorbed to  
17 the Hg drop. The validity of the method was tested by performing this procedure with methyl  
18 viologen in solution, in which case no residual activity was found after the D-S test (Figure S8).  
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## 23 ASSOCIATED CONTENT

## 24

### 25 Supporting Information

26 The Supporting Information is available free of charge at <https://pubs.acs.org...>

27 Tables S1 – S5, Figures S1 – S33, information on buffers and titration data, additional  
28 electrochemical data, tables of rate constants, results from data fitting.  
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### 52 Notes

53 The authors declare no competing financial interest.  
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