# Dynamical Methods for Studying Stability and Noise in Frequency Combs Sources

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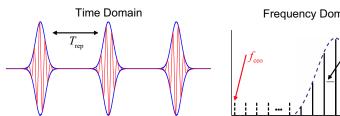
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#### In 1999–2000: Frequency combs were invented

"The excitement surrounding the rapid evolution in these fields since 1999 gives us a hint of what it must have been like after 1927 when the first ideas of quantum mechanics were introduced. . . "

- J. L. Hall and T. W. Hänsch, 2005 Nobel prize winners in Physics



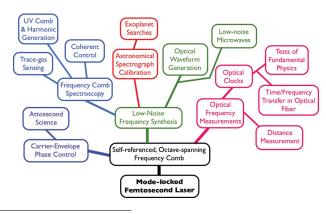
Frequency Domain

The key advance was electronically locking  $f_{ceo}$  and  $f_{reo}$ !

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### Background

- Frequency and time measurement was revolutionized
- Many new applications opened up<sup>1</sup>



<sup>&</sup>lt;sup>1</sup>S. Diddams, J. Opt. Soc. Am. B **27**, 51 (2010).



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#### Motivation

- Advances have all been through "cut-and-try" experimentation
- Theoretical tools for analyzing and designing frequency combs are primitive
  - "Brute force" simulations or rough analytical approximations
    - \* Adequate for post-hoc analysis; inadequate for design
    - ★ yield limited insight into the sources of instability

#### **Key theoretical questions:**

- Where in the adjustable parameter space are combs stable?
- What is the noise performance?
- How can we optimize the comb?
  - ▶ high output power and/or large bandwidth and/or low noise



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Combine: 400 years of dynamical systems theory

+

modern computers and algorithms

(linear algebra + root-finding)

to answer these questions



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# Origins of the Dynamical Approach

#### These dynamical ideas are very old!

The pendulum clock:

```
(Galileo – 1632; Huygens – 1673; Euler – 1736)
```

- Stability of the solar system:
  - ► Two body problem: Newton 1686
  - Three body problem:
    In general, not solvable, but ...
    stable fixed points found by Lagrange 1772 (observed 1906)
- Application to continuous systems . . .
  (described by partial differential equations)



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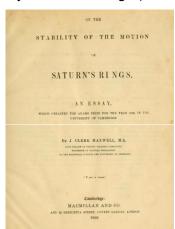
# Origins of the Dynamical Approach

Before Maxwell's Equations (1861) ...

Before the Maxwell-Boltzmann distribution (1865) . . .

Maxwell explained the stability of Saturn's rings (1859)







# **Numerous Applications**

- Control of satellite orbits
- Electronic system design
- Plasma systems (tokamaks, ionosphere,...)
- Mechanical, chemical, and fluid systems
- Biological systems (heart, animal populations)
- Economic systems
- Lasers/other optical resonators
  - but mostly in highly simplified, almost analytical approximations!



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# Frequency Comb Systems

#### Passively modelocked lasers (slow saturable gain):

$$\frac{\partial u}{\partial T} = \left[ -i\phi + t_s \frac{\partial}{\partial t} + \frac{g(|u|)}{2} \left( 1 + \frac{1}{2\omega_g^2} \frac{\partial^2}{\partial t^2} \right) - \frac{I}{2} - i\frac{\beta''}{2} \frac{\partial^2}{\partial t^2} + i\gamma |u|^2 - f_{sa}(|u|) \right] u,$$

$$g(|u|) = g_0 [1 + w_0/(P_{\text{sat}}T_R)]^{-1}$$

cubic-quintic model (fast saturable absorber)<sup>1</sup>

$$f_{\text{sa}}\left(|u|\right) = \delta|u|^2 - \sigma|u|^4$$

SESAM model (slow saturable absorber)<sup>2</sup>

$$f_{\text{sa}}(|u|) = -\frac{\rho}{2}n(t,T), \quad \frac{\partial n(t,T)}{\partial T} = \frac{1-n}{T_A} - \frac{|u(t,T)|}{w_A}n$$

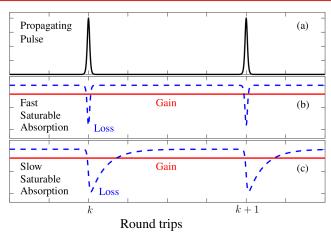
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<sup>&</sup>lt;sup>1</sup>S. Wang et al., J. Opt. Soc. Am. B **31**, 2914 (2014).

<sup>&</sup>lt;sup>2</sup>S. Wang et al., Opt. Lett. **42**, 2362 (2017).

## Saturable Absorption



The loss is saturated by the incoming pulse and then recovers

- almost instantaneously with fast absorbers
- slowly compared to the pulse duration with slow absorbers



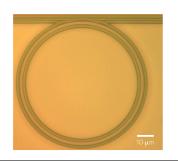
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# Frequency Comb Systems

#### **Microresonators**

The Lugiato-Lefever Equation:3

$$\frac{\partial \psi}{\partial t} - i \frac{\partial^2 \psi}{\partial x^2} - i |\psi|^2 \psi + (1 + i\alpha) \psi - F = 0$$



#### System Parameters:

 $\alpha$ : frequency detuning (-5 to 10)

F: pump amplitude (0 to 4)

L: microresonator length (25 to 200)

<sup>3</sup>Z. Qi et al., Conf. Lasers Elect.-Opt. (2018), paper SF2A.6.



#### References

- Haus modelocking equation:
  - S. Wang et al., J. Opt. Soc. Am. B 31, 2914 (2014)
  - Martinez, Fork, and Gordon, J. Opt. Soc. Am. B 2, 753 (1985)
- Cubic-quintic modelocking equation:
  - Moores, Opt. Comm. 96, 65 (1993)
  - Soto-Crespo et al., J. Opt. Soc. Am. B 13, 1439 (1996)
  - Articles in *Dissipative Solitons*, Akhmediev and Ankiewicz ed. (2005)
- SESAM equation:
  - ▶ Kärtner et al., IEEE J. Sel. Quantum Electron. **2**, 540 (1996)
- Lugiato-Lefever equation:
  - Lugiato and Lefever, Phys. Rev. Lett. 58, 2209 (1987)
  - Haelterman et al., Opt. Comm. 91, 401 (1992)
  - Matsko et al., Opt. Lett. 36, 2845 (2011)
  - Coen et al., Opt. Lett. 38, 1790 (2013)
  - Chembo and Menyuk, Phys. Rev. A 87, 053852 (2013)



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#### Standard, "brute-force" approach

- Solve the evolution equations for many roundtrips
- Use a noisy initial condition
- Convergence ←⇒ existence + stability
- Change parameters; repeat

#### **Advantages:**

- Easy to program
- Intuitive (mimics experiments)

#### Disadvantages:

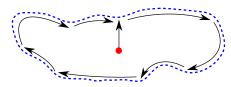
- Computationally slow
- Ambiguous near a stability boundary
- Limited insight into sources of instability

This approach is better for analysis than synthesis!

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#### **Our Approach**

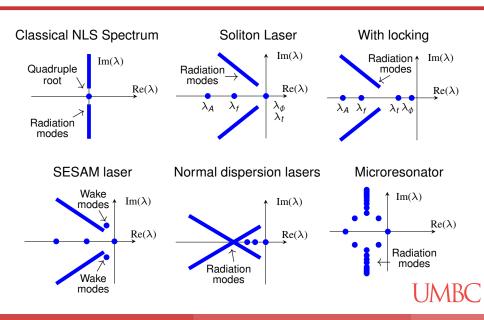
- Solve the evolution equations once to find a stationary solution
  - in a highly stable case
- Determine the stationary solution as parameters vary by solving a root-finding problem
- In parallel, find the eigenvalues of the linearized evolution equation
  - The dynamical spectrum
  - A stable solution has no eigenvalues with positive real parts
- Find parameters where one or more eigenvalues hit the imaginary axis
- Track the stability boundary





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# Atlas of Dynamical Spectra



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#### **Advantages**

- 10<sup>3</sup> 10<sup>5</sup> times faster than brute-force solutions
- Unambiguous determination of stable operating parameter regimes
- Allows rapid mapping and optimization of solution properties
  - bandwidth, power, noise...
- Yields insight into the sources of instability

#### **Disadvantages**

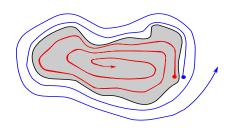
- More difficult to program
- The concepts are unfamiliar to many optical experimentalists



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#### Two important caveats

- Accessibility vs. stability
  - Dynamical methods do not tell you how to access stable solutions
    - ★ Example: single solitons are hard to access in microresonators





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#### Two important caveats

- Accessibility vs. stability
  - Dynamical methods do not tell you how to access stable solutions
    - ★ Example: single solitons are hard to access in microresonators
- Unstable system evolution
  - Dynamical methods do not tell you how an unstable solution evolves
    - ★ Chaos, another stable solution, breathers are all possible

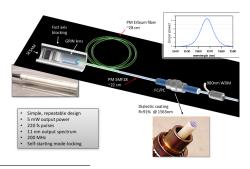
Dynamical and evolutionary methods are complementary!



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#### The SESAM Modelocked Fiber Laser

- The system is built using
  - ▶ telecom grade polarization-maintaining (PM) components
  - highly-doped erbium-doped fiber
  - highly non-linear PM fibers
  - a semiconductor saturable absorber mirror (SESAM)
- Output: highly stable 200 MHz combs with P<sub>av</sub> = 5 mW



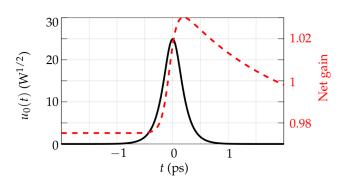
<sup>&</sup>lt;sup>1</sup>Sinclair et al., Opt. Express **22**, 6996 (2014).



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# Balance of Energy

- The SESAM and the linear gain open a gain window that allows the pulse to grow
- A soliton wake instability will occur when  $g_0$  becomes sufficiently large or  $\beta''$  becomes sufficiently small

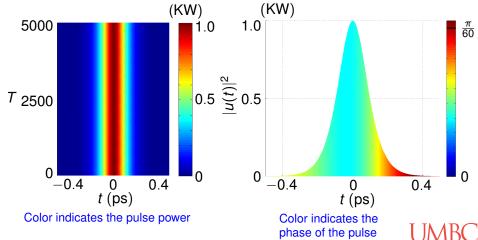


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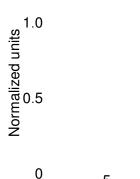
# Stable Operation

The stable pulse is close in shape to a sech pulse (soliton solution of the nonlinear Schrödinger equation)



# Soliton Wake Instability

Quasi-periodicity is observed in the evolution



0.0 0.1  $u(t,s)|_{2}$ 

0 t (ps)

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Quasi-perio Letter is observed in the evolution

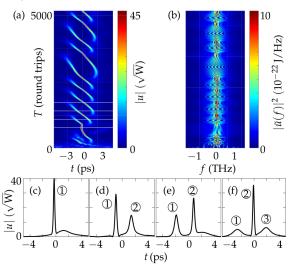


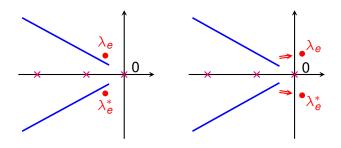


Fig. 4. The saturated g  $\lambda_{w\pm} = -79.09 \times 10^{-3}$  dicate how the elegan va

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# **Dynamical Spectrum**

- As  $g_0$  increases:
  - ▶ a pair of eigenvalues  $\lambda_e$ ,  $\lambda_e^*$  emerge from the radiation modes (edge bifurcation)
- Re[ $\lambda_e$ ], Re[ $\lambda_e^*$ ] become positive, leading to instability (Hopf bifurcation)<sup>1</sup>

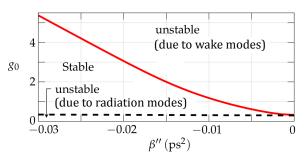




<sup>&</sup>lt;sup>1</sup>S. Wang et al., Opt. Lett. **42**, 2362 (2017).

## Stable Region

- Continuous modes become unstable when the gain is too low
- The wake mode instability occurs when
  - the unsaturated gain becomes large
  - the group delay dispersion becomes small



Once the stable region is known, optimization is possible!



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#### **Cnoidal Waves in Microresonators**

- Solitons are a special case of a broader class of periodic waveforms: cnoidal waves
- Cnoidal waves include:
  - Single solitons
  - Soliton crystals
  - Turing rolls
- Cnoidal wave attributes:

On the one hand, they ...

- have clean spectra with evenly spaced comb lines (like single solitons)
- ▶ have analytical solutions that exist in the no-loss limit (like single solitons)¹
- can have a broad bandwidth (like solitons)



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<sup>&</sup>lt;sup>1</sup>Z. Qi et al., J. Opt. Soc. Am. B **34**, 785 (2017).

#### **Cnoidal Waves in Microresonators**

- Solitons are a special case of a broader class of periodic waveforms: cnoidal waves
- Cnoidal waves include:
  - Single solitons
  - Soliton crystals
  - Turing rolls
- Cnoidal wave attributes:

On the other hand, they ...

- can be easily and deterministically accessed (unlike single solitons or soliton crystals)
- use the pump more efficiently and produce higher power comb lines

BUT

with lines spaced farther apart

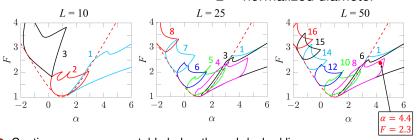


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# Stable Regions

Stable regions for different periodicities

F = normalized pump power  $\alpha =$  normalized detuning L = normalized diameter

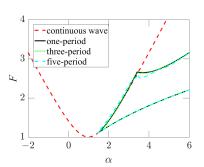


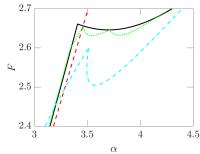
- Continuous waves are stable below the red-dashed line
- Below  $\alpha = 41/30 \simeq 1.37$ , cnoidal waves can be easily accessed by raising the pump power
- Cnoidal waves are stable in a U-shaped region in  $\alpha$ -F space
- Moving along this region, different values of N<sub>per</sub> can be deterministically accessed



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#### Why are single solitons hard to access





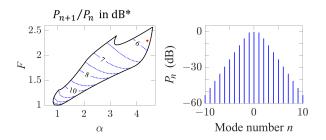
- Substantial overlap with other cnoidal waves
- Almost complete overlap with continuous waves

By contrast the periodicity-8 cnoidal wave can be deterministically accessed!



# Periodicity-8 Cnoidal Waves (L = 50)

#### Controllability and bandwidth



- With FSR = 125 GHz; 30 dB down bandwidth = 24 THz
- Uses the pump more efficiently than a single soliton

#### Large bandwidth can be obtained!

\* large *n* limit  $(n \gtrsim 4)$ 



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#### Conclusions

- Powerful dynamical methods can be combined with modern computer algorithms to:
  - rapidly determine where in the parameter space stable solutions lie
  - yield important insights into the sources of instability
  - rapidly determine the noise performance
  - optimize the system performance



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#### Conclusions

- We have applied these methods to:
  - Laser models with slow saturable gain
    - ★ Identified parameters ranges where two stable solutions exist
    - ★ Compare the cubic-quintic model to other models
  - SESAM laser
    - ★ Characterized the wake mode instability
    - Determined where stable solutions exist
    - Explained the appearance of sidebands
    - ★ Characterized the noise performance
    - ★ Optimized the system parameters
  - Microresonators
    - ★ Determined where cnoidal waves are stable
    - ★ Explained why single solitons are hard to access
    - ★ Found broadband, easily accessible cnoidal wave solutions
    - ★ Determined the impact of thermal effect
    - ★ Determined the impact of avoided crossings



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### Summary

# These methods are an important complement to widely used evolutionary methods and should be commonly used!

Our software is available at:

```
http://www.umbc.edu/photonics/software.html
```

See: "Dynamical method to evaluate noise..."

"Boundary tracking algorithms"

"Stability boundary tracking for cnoidal wave solutions"



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