### Atmospheric scattering of energetic electrons from near-Earth space 1

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## 49 Summary paragraph:

50 In near-Earth space, the magnetosphere, energetic electrons (tens to thousands of kiloelectron 51 volts) orbit around Earth, forming the radiation belts. When scattered by magnetospheric 52 processes, these electrons precipitate to the upper atmosphere, where they deplete ozone, a 53 radiatively active gas, modifying global atmospheric circulation. Relativistic electrons (those 54 above a few hundred kiloelectron volts), can reach the lowest altitudes and have the strongest 55 effects on the upper atmosphere; their loss from the magnetosphere is also important for 56 space weather. Previous models have only considered magnetospheric scattering and 57 precipitation of energetic electrons; atmospheric scattering of such electrons has not been 58 adequately considered, principally due to lack of observations. Here we report the first 59 observations of this process. We find that atmospherically-scattered energetic (relativistic) 60 electrons form a low-intensity, persistent "drizzle", whose integrated energy flux is comparable 61 to (greater than) that of the more intense but ephemeral precipitation by magnetospheric 62 scattering. Thus, atmospheric scattering of energetic electrons is important for global 63 atmospheric circulation, radiation belt flux evolution, and the repopulation of the 64 magnetosphere with lower-energy, secondary electrons.

Main article: Polar ozone exerts strong radiative forcing on global atmospheric circulation by modifying temperature, winds, and waves in the upper atmosphere. Energetic electrons from near-Earth space can reach the high-latitude mesosphere (50-100km), where they produce reactive odd nitrogen and hydrogen (NO<sub>x</sub> and HO<sub>x</sub>), ozone-destroying catalysts. Nitrogen oxides can also descend to the stratosphere (25-50km), where they become the most important contributors to catalytic ozone destruction. Thus, energetic electron precipitation

can affect the global ozone cycle<sup>1,2,3</sup> and global circulation significantly. Despite their 71 72 importance for modeling atmospheric circulation, energetic particles have not been adequately incorporated into global atmospheric models<sup>4,5,6</sup>, resulting in large discrepancies between 73 model predictions and observations of vertical ozone profiles<sup>7</sup>. Magnetospheric energetic 74 electrons (especially relativistic ones) are also important for space weather, as they can damage 75 satellites and harm astronauts, particularly during magnetic storms<sup>8,9</sup>. Their fluxes, a delicate 76 77 balance of large contributions from transport, acceleration, and loss, vary so as to defy 78 predictability by modeling. These electrons can be trapped for hours to weeks in the outer 79 radiation belt, which is near the magnetic equator at geocentric distances of L=3-7 Earth radii. Plasma waves<sup>10</sup> or extreme equatorial field-line curvature<sup>11</sup> can scatter them, reducing their 80 81 velocity angle (pitch angle,  $\alpha$ ) relative to the magnetic field, **B**, to less than the loss cone angle  $(\alpha < \alpha_{LC})$ . This allows them to reach the mesosphere or stratosphere, where they collide, deposit 82 83 their energy and are lost from the magnetosphere. Although magnetospheric scattering has been incorporated into radiation belt diffusion models<sup>12,13</sup>, because of lack of observations, 84 atmospheric scattering has not, resulting in significant model deficiencies<sup>14,15</sup>. Using the first 85 low-altitude (~410km), high-resolution (in both pitch angle and energy) observations of 86 energetic (50-5800keV) electrons by the ELFIN mission<sup>16</sup>, we report on atmospheric scattering 87 and its dependence on activity and location. We interpret upgoing electrons (180°- $\alpha_{1C} < \alpha$ ) at 88 89 some energy, E, as secondary electrons produced by atmospheric scattering of either trapped  $(\alpha \sim 90^{\circ})$  or precipitating  $(\alpha < \alpha_{1c})$  primary electrons of a greater energy. (Note: unless otherwise 90 stated, pitch angles are referenced to the northern hemisphere; for the southern hemisphere, 91 92 use their supplementary). We find that the net energetic (relativistic) electron energy flux 93 precipitation from atmospheric scattering is comparable to (greater than) that from94 magnetospheric scattering.

95 ELFIN, a dual CubeSat, polar-orbiting mission launched in 2018, has collected data from 96 >1000 science zones (magnetically mapping to L=2-15), covering all local times and a wide 97 range of geomagnetic conditions. We use energetic particle detector instrument (EPDE) data obtained by ELFIN-A (EL-A) from magnetic local times within  $\pm 4$  hours of the noon-midnight 98 99 plane between 2019/09/01 2020/11/13 (~700 science zones). The instrument has a single 100 square-aperture field-of-view (FOV=22°). Spinning on a plane containing **B**, once per spin 101 (~3sec) it provides 15 energy channels (50keV-5800keV) of width  $\Delta E/E^{40\%}$  and 16 spin-phase sectors of width SCW=22.5°. Spin-phases are transformed to pitch-angles using the 102 103 international geophysical reference field (IGRF) model. At ELFIN's altitude, the loss cone is 104  $\alpha_{LC} \sim 67^{\circ} \pm 2^{\circ}$ . Precipitating (downgoing) or atmospherically-scattered (upgoing) electrons were 105 measured when the detector's full width (FOV+SCW) was entirely within the loss cone or the anti-loss cone, respectively. Trapped electrons had sector centers  $\alpha = 90^{\circ} \pm 11.25^{\circ}$ . 106

Atmospheric scattering of precipitating electrons ("backscatter") should produce low upgoing-to-downgoing ratios<sup>15</sup>. Thus, when magnetospherically scattered precipitation is significant (relative to trapped fluxes), atmospheric backscatter should yield low upgoing-todowngoing flux ratios. Atmospheric scattering of (barely) trapped electrons should result in low (relative to trapped) but balanced upgoing and downgoing fluxes at upper atmospheric altitudes (upgoing-to-downgoing ratios ~100%). Thus, when magnetospherically-scattered precipitation (and, consequently, its atmospheric backscattering) is low, atmospheric scattering

of trapped fluxes can dominate, resulting in upgoing-to-downgoing flux ratios ~100% *at the upper atmosphere*. Under such conditions, the absence of magnetospheric scattering sites near the equator should allow atmospherically-scattered upgoing energetic electrons from the opposite hemisphere to be detected at the local hemisphere as downgoing, resulting in upgoing-to-downgoing ratios ~100% *also at the satellite*.

119 ELFIN-A observations of a northern, nightside (MLT~1) science zone (Figure 1a) during an active time (the D<sub>st</sub> index<sup>17</sup> had a minimum of -49nT fourteen hours earlier<sup>18</sup>) confirms the 120 121 above expectations from atmospheric scattering. Significant downgoing fluxes ( $\alpha < \alpha_{1C}$ ) are 122 evident between L=3.5 and 6.5 (Figure 1b-c). When the downgoing energy flux (precipitation) 123 was a large fraction of the trapped flux (as between 13:13:00 and 13:15:00UT, Figure 1f,h), the upgoing  $(180^{\circ}-\alpha_{1C}<\alpha)$  flux intensified, too (Figure 1d), but remained lower than the 124 precipitation (upgoing-to-downgoing ratio was a few percent, Figure 1i). Conversely, when the 125 126 precipitation was low, only a few percent of the trapped flux (as between 13:11:50 and 127 13:12:20UT, Figure 1f,h), the upgoing flux was also low (Figure 1d), but comparable to the 128 precipitation (up-to-down flux ratio ~100%, Figure 1i).

When intense precipitation from magnetospheric scattering occurs up to some maximum energy,  $E_{pmax}$ , atmospheric scattering above  $E_{pmax}$  is expected continue to be dominated by atmospheric electron scattering at both hemispheres (upgoing-to-downgoing ratio ~100%), impervious to magnetospheric scattering and its atmospheric feedback below  $E_{pmax}$ . Indeed, this can be seen at ~13:12:35UT, when the downgoing-to-perpendicular ratio (Figure 1h) was elevated (~50%) at E< $E_{pmax}$ , it remained ~100% at E> $E_{pmax}$ . Subsequently, as E<sub>pmax</sub> increased progressively from 150keV to 800keV (13:12:45-13:13:15UT, Figure 1h), the energy where the upgoing-to-downgoing ratio transitioned from low (<10%) to high (>60%) values followed E<sub>pmax</sub> (Figure 1i), as expected. Additional examples are shown in Extended Data Figures 1 and 2 (nightside and dayside, respectively). Therefore, atmospheric scattering of trapped fluxes is quantifiable and long-lasting, based on case studies.

Atmospheric scattering of intense, high-energy precipitation is also expected to create copious backscattered electrons at E<< $E_{pmax}$ . Indeed, at 13:13:10–13:14:00UT, when the downgoing-to-perpendicular ratio is high, ~100%, with  $E_{pmax}$ ~1MeV (Figure 1h), the upgoing-todowngoing flux ratios are low (~1-2%) near  $E_{pmax}$  (Figure 1i), but are significant (20-50%) at energies several times lower than  $E_{pmax}$  (50-150keV). Another example is in Extended Data Figure 1 (13:47:15–13:47:30UT). Thus, atmospheric scattering of precipitation can also be a significant source of energetic electrons in the magnetosphere, as previously suggested<sup>14,15</sup>.

Henceforth we refer to atmospheric scattering of trapped fluxes (upward or downward) as "energetic electron drizzle" and to atmospheric scattering of magnetospheric precipitation (upward only) as "energetic electron backscatter". Upgoing secondary electrons can be produced by either (generally both). Likewise, downgoing (or "precipitating") energetic electrons can be from either downward drizzle (even from the opposite hemisphere) or magnetospheric precipitation (originating from magnetospheric scattering even after subsequent backscatter at the opposite hemisphere).

155 To further quantify the importance of atmospheric scattering, we employ broad-energy 156 flux channels LoE (50-430keV) and HiE (430-5800keV) in the upgoing, downgoing and

perpendicular directions ( $f_u$ ,  $f_d$ ,  $f_{\perp}$ , respectively), and statistically significant flux ratios within each channel RLoE, RHiE ( $f_u/f_d$ ,  $f_d/f_{\perp}$ ,  $f_u/f_{\perp}$ , with relative error <50%), as in Figure 1j-m (and Extended Data Figures 1I-L, 2I-L). These form the basis of our statistical analysis, below. (Materials and Methods and Extended Data Figure 3 detail how these were constructed).

161 Medians of the above ratios at the nightside (Figure 2b,d) exhibit L-shell variations 162 familiar from the nightside time series examined previously (Figure 1; Extended Data Figure 1): at low L-shells, atmospheric drizzle dominates ( $f_d/f_1 < 10\%$ ;  $f_u/f_d \sim 70-100\%$ ); at high L-shells, 163 magnetospheric precipitation dominates ( $f_d/f_{\perp}>40\%$ ;  $f_u/f_d\sim10\%$ ). The transition L-shell 164 decreases with geomagnetic activity (based on the Auroral Electrojet index AE<sup>17,18</sup>). This is 165 consistent with an equatorward motion of the equatorward edge of the auroral oval (where 166 167 intense plasma waves and field-line scattering sites responsible for magnetospheric scattering map), typical during active times<sup>19</sup>. At the dayside (Figure 2a,c), precipitation is dominated by 168 169 drizzle (as in Extended Data Figure 2). The statistical behavior of our dataset is thus expected to 170 be bimodal, with a drizzle-dominated subset at low L-shells and a magnetospheric precipitation-171 dominated subset at high L-shells.

And, indeed, probability density functions (PDFs) in  $(f_d+f_u)/f_{\perp}$ -space (Figure 3a) reveal two peaks: The low-precipitation PDF peak ( $(f_d+f_u)/f_{\perp}\sim 2-10\%$ ) has  $f_u/f_d\sim 100\%$ , corresponds to the low L-shells in Figures 1 and 2 (also Extended Data Figures 1 and 2), and is identified as atmospheric drizzle. The high-precipitation PDF peak ( $(f_d+f_u)/f_{\perp}\sim 100\%$ ) has  $f_u/f_d\sim 7\pm 3\%$ , corresponds to the high L-shells in the above figures, and is identified as enhanced precipitation mostly due to magnetospheric scattering. 178 Precipitation of 50-430keV (LoE) electrons (Figure 3c) is dominated by intense 179 magnetospheric scattering (mostly by plasma waves), which overcomes the more common but 180 lower-intensity drizzle. Precipitation of 430-5800keV (HiE) and 50-5800keV (integral channel) 181 electrons is also dominated by magnetospheric scattering, but exhibits a significant 182 contribution from drizzle. Upgoing fluxes also exhibit a similar bimodal behavior (Figure 3b). At 183 all channels (LoE, HiE and integral), the drizzle peak ( $(f_d+f_u)/f_{\perp}\sim 2-10\%$ ) dominates the upgoing 184 flux. However, the magnetospheric precipitation peak ( $(f_d+f_u)/f_1 \sim 100\%$ ), corresponding mostly 185 to backscatter (though likely some upward drizzle, too), also contributes significantly to the LoE 186 channel. These peaks and their properties remain similar when examined as a function of f 187 (Extended Data Figure 4) and geomagnetic activity and for the subset of the outer radiation belt 188 (3<L<7).

Evaluation of atmospheric scattering's net impact on precipitation starts from Table 1, showing the measured upgoing-to-downgoing flux ratios,  $r=<f_u>/<f_d>$ , separately for the nightside, dayside and combined. We see that r~45% for HiE and r~18% for the integral channel.

193 Next, we recall that the downgoing flux contribution from scattering below the satellite 194 cannot be measured directly; it must be inferred. We note that the upgoing HiE and integral 195 flux (Figure 3b) are dominated by upward drizzle, f<sub>a</sub> (main peak, and likely a good part of the 196 secondary peak), which is up-down symmetric and occurs at both atmospheric feet of a field 197 line. It is therefore a good proxy for the downward drizzle arriving from the opposite 198 hemisphere. The measured downgoing flux for the HiE and integral channels (Figure 3c) is

199 supplied by both magnetospheric precipitation, f<sub>m</sub>, and downward drizzle from the opposite 200 hemisphere,  $f_a$ . Thus, at ELFIN, to zero order, we measure (Table 1):  $r = \langle f_u \rangle / \langle f_d \rangle^2 f_a / (f_a + f_m)$ . The 201 atmospheric scattering contribution to precipitation is  $\sim 2f_a$ , the total precipitation at each 202 hemisphere is ~2f<sub>a</sub>+f<sub>m</sub>, and the relative contribution of atmospheric scattering to precipitation 203 is  $R=2f_a/(2f_a+f_m)$ . If  $x=2f_a/f_m$  (atmospheric relative to magnetospheric scattering), using r=x/(x+2)204 and the measured values of r (Table 1), we find x=161%, R=1/(1+x)~62% for HiE, and x~45%, 205  $R^{31\%}$  for the integral channel. For the outer radiation belt (3<L<7) during all activity levels and 206 during only active times (D<sub>ST</sub><-20nT), we obtain (Extended Data Table 1) similar values, though 207 somewhat reduced due to the increased relative contribution of magnetospheric precipitation 208 in those subsets.

209 Thus, atmospheric scattering contributes more than magnetospheric scattering to the 210 precipitation energy at relativistic energies (>430keV) and as much as 45% of the 211 magnetospheric precipitation at energies >50keV. Since relativistic electrons can reach the 212 upper/middle stratosphere, resulting in very efficient catalytic ozone depletions, and are also a 213 critical contributor to space weather, our results necessitate a factor of ~2 upwards revision of 214 energy flux inputs in atmospheric models and energy flux losses in radiation belt models. 215 Moreover, during intense magnetospheric precipitation, the backscattered energetic electron 216 energy flux at low energies is a significant fraction of both precipitating and trapped flux. Thus, 217 atmospheric scattering can be also important for seeding the radiation belts with electrons and 218 for generating plasma waves; its effects need to be further quantified with observationally-219 driven modeling.

# 221 Data availability statement

222 ELFIN data are available through <u>http://elfin.igpp.ucla.edu</u>.

# 223 Code availability statement

- 224 ELFIN mission data have been imported, analyzed, and plotted using corresponding
- 225 plug-ins to the open-source SPEDAS analysis platform<sup>20</sup> (<u>http://spedas.org</u>).

227 Supplementary Information is linked to the online version of the paper at
 228 www.nature.com/nature.

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249 Figure 1 | ELFIN storm-time, nightside crossing of the outer radiation belt and auroral zone. 250 (a) EL-A satellite track geographic projections (fixed at 13:45UT) on 28 September 2019. Thick 251 lines represent times of data capture; 5-min intervals are indicated by crosses. Black (red) 252 dotted lines are geographic (corrected geomagnetic) meridians and parallels. Green lines are 253 nominal auroral oval boundaries. (b-c) Pitch-angle spectrograms of differential directional 254 energy flux ("energy flux" in keV/cm<sup>2</sup>s str MeV) in broad-energy electron channels HiE and LoE 255 (430-5800keV and 50-430keV energies, respectively). Bottom solid and upper dashed horizontal 256 lines in each spectrogram mark the loss cone ( $\alpha = \alpha_{LC}$ ) and anti-loss cone ( $\alpha = 180^{\circ} - \alpha_{LC}$ ); middle 257 solid line denotes  $\alpha$ =90°. (**d**-f) Energy-time spectrograms of upgoing (within anti-loss 258 cone:  $\alpha > 180^{\circ} - \alpha_{LC}$ , nearly-perpendicular to **B** (trapped), and downgoing (within loss 259 cone:  $\alpha < \alpha_{LC}$ ) electron energy flux. The energy ranges from 50keV to ~5800keV. (g-i) Energy-260 time spectrograms of upgoing-to-perpendicular (up-to-perp,  $f_u/f_1$ ), downgoing-to-perpendicular 261 (down-to-perp,  $f_d/f_{\perp}$ ), and upgoing-to-downgoing ( $f_u/f_d$ ) electron energy flux. (j-k) Energy flux in 262 channels HiE and LoE (black:  $f_1$ ; blue:  $f_d$ ; red:  $f_u$ ). (I-m) Ratios of energy flux in channels HiE and 263 LoE, respectively (black:  $f_u/f_d$ ; blue:  $f_d/f_1$ ; red:  $f_u/f_1$ ). Annotations denote L-shell (L), dipole 264 magnetic local time (MLT), dipole magnetic latitude (MLA) and Universal Time (UT).

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271	Figure 2   Dependence of energy flux ratios on L-shell, local time, and activity.
272	(a-d) Medians of ratios: f_u/f_ (red), f_d/f_ (blue), f_u/f_d (black) as a function of L-shell. Top and
273	bottom panels: 1430 and 150 energy channels, respectively. Left and right panels: dayside and
274	nightside, respectively. Dashed and solid lines: data correspond to below and above the median
275	AE, respectively. (AE medians for dayside and nightside databases are: 110nT and 160nT,
276	respectively).

279 Figure 3



282 Figure 3 | Distribution of data, fluxes, and flux ratios as a function of loss-cone flux. 283 (a) Probability density functions of all data in the I50 and I430 channels (blue stars and red 284 crosses, respectively) and medians of flux ratios ( $f_u/f_d$ ) for these channels (blue triangles and red 285 diamonds, respectively). (b) Relative contribution to net upward flux within the I50 and I430 286 broad differential broad energy channels (blue triangles and red diamonds, respectively) and 287 within the summed energy channel representing the total energy flux measured by the 288 detector, i.e., at energies 50 keV - 5.8 MeV (black squares). (c) Same as in (c) but for the 289 downward flux. Two-dimensional versions of several of these distributions, also plotted against 290 the perpendicular energy flux, are shown in Extended Data Figure 4.

### Table 1

Average Directional Electron Fluxes $^{\dagger}$ and their Measured Ratios (all data, 2 <l<15)< th=""></l<15)<>								
MLT	Energy [keV]	$r = \langle f_u \rangle / \langle f_d \rangle$	$< f_d > / < f_\perp >$	<f<sub>⊥&gt;</f<sub>	$< f_{\perp} > [Units]$		Inforrad <sup>‡</sup> Patios	
Night	LoE: 50-430	9.4%	39.1%	1.28E+07	ke (D ctic	merrec	i Ratios	
Night	HiE: 430-5800	36.9%	9.8%	1.17E+06	V/c viffe		2fa	
Dav	LoE: 50-430	14.2%	25.1%	6.96E+06	m² s ren	×	/(21	
Day	HiE: 430-5800	80.3%	6.1%	4.11E+05	s str tial erg	2fa/	fa+f	
Night+Day,	LoE: 50-430	10.6%	34.2%	9.88E+06	r Me Dir V Flu	fm	R= m)	
Residence-ti-	HiE: 430-5800	44.7%	8.9%	7.89E+05	eV e- ux)	161%	62%	
me Norm'ed	50-5800 (Integral)	18.3%	20.8%	7.99E+06	keV/cm <sup>2</sup> s str	45%	31%	
<sup>+</sup> Noise subtracted: $f_{n.HiE}$ =3.48 10 <sup>3</sup> , $f_{n.LoE}$ =2.74 10 <sup>3</sup> [keV/cm <sup>2</sup> s str MeV] <sup>+</sup> where R=x(x+1); r=x/(x+2)				2)				

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295	Table 1   Differential and integral directional energy fluxes and ratios under all geomagnetic
296	conditions, local times and latitudes in our database (2 <l<15). averages="" of="" rather<="" ratios="" td="" time=""></l<15).>
297	than medians of ratios have been used, to accurately characterize total energy flux ratios.
298	Night+Day ratios were computed directly from the numbers above them, assuming equal
299	satellite residence time at day and night. Bottom row, which represents the integral directional
300	energy flux channel (50-5800keV), was computed directly from the rows above it. $f_{u}$ and $f_{d}$ are
301	upgoing and downgoing fluxes, <> represents average, and $f_{a}$ and $f_{m}$ are the measured
302	contributions to precipitation from atmospheric scattering and magnetospheric scattering. The
303	ratios x and R are the inferred net contributions to precipitation from atmospheric scattering
304	relative to magnetospheric scattering and relative to the total precipitation, respectively.

306	Supplementary Information:
307	Methods
308	Extended Data Figures 1 - 4
309	Extended Data Table 1
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# **Supplementary Materials for:**

## Atmospheric scattering of energetic electrons from near-Earth space

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## Methods

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## 63 M1. Statistical significance of loss cone fluxes and their ratios

64 Although the EPDE's side-penetrating radiation is insignificant thanks to high shielding and coincidence logic<sup>16</sup>, counting statistics must still be utilized to guarantee a robust signal-to-65 66 noise ratio. Poisson statistics govern detector counts; the relative error dQ/Q of any quantity Q proportional to the count rate (such as the energy flux) is  $1/\sqrt{N}$ , where N is the total number of 67 68 counts in the measurement. To determine it, we obtain the net raw number of counts, N, that 69 contributed to each measurement (e.g., Q may be the average energy flux in two or three 70 sectors within the loss cone) and carry this information in the data processing along with the 71 measurement. For derived products, such as integral or average energy flux, we then use error 72 propagation formulas to compute the error for each quantity at every time step. An error 73 tolerance of dQ/Q < 50% for a data point would thus require that at least N=4 counts 74 contributed to that measurement of Q.

75 Electronic noise, which also exists in the measurements, can be recognized as random, 76 low-flux pixels at high energies in the energy spectra in Figures 1d-f (and also in Extended Data 77 Figures 1C-E and 2C-E). Most often each pixel corresponds to one count. This electronic noise is 78 readily eliminated by the aforementioned criterion dQ/Q<50% when applied to derived 79 products, such as flux ratio spectrograms (Figures 1g-i; Extended Data Figures 1F-H and 2F-H), 80 or to the time-series ratios of directional broad-energy channels HiE and LoE. The very low 81 contribution of electronic noise to the measurement can be readily assessed from data 82 collected at the magnetic equator, below the inner belt, when no geophysical signal is present. From such data we determined that electronic noise contributes  $f_{n,HiE}$  = 3.48x10<sup>3</sup> 83

 $keV/cm^2s\cdot str\cdot MeV$  and  $f_{n,LoE}= 2.74 \times 10^3 keV/cm^2s\cdot str\cdot MeV$  to the energy flux in the two energy channels, HiE and LoE, respectively. We subtracted this noise from measurements in our statistics if they had not already been subjected to the counting statistics threshold (e.g., dQ/Q <50% or similar) that automatically rejects electronic noise.

88 To demonstrate that noise does not affect our loss-cone measurements, we show in 89 Extended Data Figure 3A the energy flux spectra as a function of pitch angle, averaged over 11 90 spins during the moderate precipitation interval, 13:12:17-13:12:50 UT in Figure 1. A pitch-91 angle  $\alpha = 0^{\circ}$  corresponds to downgoing electrons, and vertical long-dashed lines denote the loss 92 cone (short-dashed lines denote the anti-loss cone). The dashed colored lines, mirror-images of the downgoing fluxes about the pitch angle,  $\alpha = 0^{\circ}$ , enable direct comparison of upgoing (solid) 93 94 and downgoing (dashed) lines at the same energy (color) in the raw data. The upgoing-to-95 downgoing flux ratio in the loss cone thus can be estimated from Extended Data Figure 3A to be 96 about 30% at low energies (warm colors, higher fluxes) and to approach 100% at high energies 97 (cold colors, lower fluxes). The horizontal dashed line represents a flux corresponding to ~10 98 counts, i.e., a relative error of  $dQ/Q \sim 30\%$  (here Q is the energy flux in each sector, centered at 99 one distinct pitch angle). Below that horizontal dashed line, the data points fluctuate 100 considerably, consistent with statistical noise, but above it, the data points vary smoothly in 101 pitch angle. Our conclusions regarding ratio evolution are drawn from fluxes that are well 102 above the horizontal dashed line, based on dQ/Q criteria, and therefore are statistically 103 significant.

104 Upgoing-to-perpendicular and upgoing-to-downgoing ratios of flux averages derived 105 from Extended Data Figure 3, Panel A, are plotted in Panel C; for convenience, these are plotted

106 on the left and right halves of the panel, respectively. Only the ratios with dQ/Q<30% are 107 plotted; the absolute error based on the number of counts for each ratio is demarcated above 108 and below each point by a vertical bar. This restriction on counting statistics also eliminates 109 electronic noise, as discussed earlier. The upgoing-to-downgoing ratio of the average fluxes 110 (right half of Panel B) exhibits the behavior already surmised from the raw data in Panel A: 111 within the loss cone, it is low at low energies (warm colors) but it approaches 100% at 112 increasing energies (cold colors). This behavior is also consistent with the plots of instantaneous 113 (one per spin) flux ratios in Figure 1i;, Figure 1l,m; and their equivalent panels in Extended 114 Figures 1 and 2. It shows that statistical or electronic noise has been duly eliminated and does 115 not interfere with our ability to obtain statistically significant fluxes and flux ratios.

### 116 M2. Purity of loss-cone flux

117 For each sector in spin phase, the detector's finite geometric field of view (22°) and finite accumulation time in spin phase  $(22.5^{\circ})$  result in a full width of  $44.5^{\circ}$  and full width at 118 half-max of the contribution to the sector's flux of 33.25°. We rotate the two-dimensional 119 120 angular detector view (originally in polar and azimuthal angles in spacecraft geometric 121 coordinates) into field-aligned (pitch-angle and gyro-phase) coordinates and collapse it into 1D 122 pitch-angle space at every spin. This results in a smaller full width in pitch-angle space (as low 123 as 22°). We ensure that the viewing windows of the sectors we rely upon to produce the net 124 loss-cone flux are all inside the loss cone, up to the vertices of those windows.

To demonstrate the result of this mapping process, we show the fields of view of all sectors during all spins in Extended Data Figure 3, Panel B (11 spins x 16 sectors are overplotted in that panel, but the spin-to-spin variation is imperceptible, as the magnetic field

direction does not change appreciably in the time interval considered). The full width is the thin horizontal line, and the full width half-max is the thick horizontal line. The detector measures particles arriving from the exact edge of the thick line for only 50% of the full sector accumulation time (as opposed to 100% at the center). The contribution to the sector's average flux from pitch angles outside the thick line decreases linearly to 0% at the pitch angles at two edges of the thin line.

134 For a bin's measurements to be counted in the upgoing or downgoing flux, we require 135 that its full width be in the nominal loss cone (or anti-loss cone). Any contribution of the loss 136 cone's finite edge to the total flux is therefore attenuated by the limited time the detector spends in that direction (<1/32 of the sector's flux contribution arises from a  $5.6^{\circ}$  angle next to 137 138 its edge) and by the contribution of other sectors well inside the loss cone. In Extended Data 139 Figure 3, Panels B and C, four sectors contribute to the downgoing flux and four to the upgoing flux, the four closest to  $\alpha = 0^{\circ}$  and  $\alpha = 180^{\circ}$ , respectively. Their upgoing-to-perpendicular and 140 141 upgoing-to-downgoing ratios exhibit a smooth variation with  $\alpha$ . Our conclusions on ratios 142 drawn from those four sectors are consistent with the behavior of the two sectors with edges farthest from the loss cone (> $15^{\circ}$ ). 143



147 Extended Data Figure 1 | ELFIN-A nonstorm-time, nightside crossing of the outer radiation 148 belt and auroral zone. Format of Panels A-L is identical to that of Panels b-m in Figure 1. (A-B) 149 Pitch-angle spectrograms of differential directional (broad) electron energy flux channels HiE 150 and LoE (430-5800keV and 50-430keV, respectively). Upper solid and bottom dashed horizontal 151 lines: loss cone ( $\alpha = \alpha_{LC}$ ) and anti-loss cone ( $\alpha = 180^{\circ} - \alpha_{LC}$ ); middle solid line:  $\alpha = 90^{\circ}$ . (C-E) Energy-152 time spectrograms of upgoing, trapped, and downgoing electron energy flux, respectively. (F-H) 153 Energy-time spectrograms of upgoing-to-perpendicular (up-to-perp,  $f_u/f_1$ ), downgoing-to-154 perpendicular (down-to-perp,  $f_d/f_{\perp}$ ), and upgoing-to-downgoing ( $f_u/f_d$ ) electron energy flux, 155 respectively. Note in the  $f_d/f_1$  spectrogram the clear decrease in the minimum energy of  $f_d/f_1 \sim 1$ with increasing latitude, a characteristic signature of precipitation by field-line scattering<sup>11</sup>. (I-J) 156 157 Energy flux in channels HiE and LoE, respectively (black:  $f_{\perp}$ ; blue:  $f_d$ ; red:  $f_u$ ). (K-L) Ratios of 158 energy flux in channels HiE and LoE, respectively (black:  $f_u/f_d$ ; blue:  $f_d/f_{\perp}$ ; red:  $f_u/f_{\perp}$ ). Annotations 159 denote L-shell (L), dipole magnetic local time (MLT), dipole magnetic latitude (MLA), and 160 Universal Time (UT).

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167 Extended Data Figure 2 | ELFIN-A dayside crossing of the outer radiation belt and auroral 168 zone during the late recovery phase of a small storm. Format of Panels A-L is identical to that 169 of Panels b-m in Figure 1. (A-B) Pitch-angle spectrograms of differential directional (broad) 170 electron energy flux channels HiE and LoE (430-5800keV and 50-430keV, respectively). Upper 171 solid and bottom dashed horizontal lines: loss cone ( $\alpha = \alpha_{LC}$ ) and anti-loss cone ( $\alpha = 180^{\circ} - \alpha_{LC}$ ); 172 middle solid line:  $\alpha$ =90°. (C-E) Energy-time spectrograms of upgoing, trapped, and downgoing 173 electron energy flux, respectively. (F-H) Energy-time spectrograms of upgoing-to-perpendicular 174 (up-to-perp,  $f_u/f_1$ ), downgoing-to-perpendicular (down-to-perp,  $f_d/f_1$ ), and upgoing-to-175 downgoing  $(f_u/f_d)$  electron energy flux, respectively. (I-J) Energy flux in channels HiE and LoE, 176 respectively (black:  $f_{\perp}$ ; blue:  $f_d$ ; red:  $f_u$ ). (K-L) Ratios of energy flux in channels HiE and LoE, 177 respectively (black:  $f_u/f_d$ ; blue:  $f_d/f_1$ ; red:  $f_u/f_1$ ). Annotations denote L-shell (L), dipole magnetic 178 local time (MLT), dipole magnetic latitude (MLA), and Universal Time (UT).

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183 Extended Data Figure 3 | Veracity of loss-cone fluxes and their ratios. (A) Pitch-angle spectra 184 of average fluxes from 11 spins (time interval indicated atop) for EPDE's logarithmically-185 equidistant energy channels from low (warmer colors) to high (colder colors) for ELFIN-A. Vertical lines denote pitch angles  $\alpha = 90^{\circ}$  (middle, solid), the loss cone ( $\alpha = \alpha_{LC}$ , left, long-dashed), 186 187 and the anti-loss cone ( $\alpha$ =180°- $\alpha_{LC}$ , right, short-dashed). Dotted colored lines denote 188 downgoing fluxes mirrored about pitch-angle  $\alpha = 0^{\circ}$  (i.e., plotted versus the supplementary of 189 their pitch angles) for easy comparison with upgoing fluxes at the same energy (solid colored 190 lines). The limits used to select field-aligned and perpendicular pitch-angle sector centers are 191 four short dashed lines hanging down from the top of the panel. Two are 22.5° closer to the 192 field-line direction than the loss and the anti-loss cone, respectively; two are 11.25° closer to 193 perpendicular than the loss and the anti-loss cone, respectively. (B) Sector pitch angle,  $\alpha$ 194 (center, diamond), and width (acceptance angle, horizontal bar) as function of the sector 195 center's spin-phase absolute distance from the (ascending) direction perpendicular to the 196 magnetic field,  $|\phi - \phi_{\perp}|$ . The arrow in the centered circle denotes the direction of the detector's 197 rotation in time during the spin. The thin horizontal bar centered at the diamond denotes the 198 sector's pitch-angle full-width full max; the thick bar denotes its full-width half max. (C) Pitch-199 angle spectra of ratios of average fluxes for each energy channel (color) as determined from 200 Panel A. The down-to-perpendicular ratio is on the left ( $0^{\circ} < \alpha < 90^{\circ}$ ); the upgoing-to-downgoing 201 ratio is on the right (90°< $\alpha$ <180°). Vertical dashed lines are same as in Panel A. Vertical bars at 202 each point demarcate  $\pm dr$ , the absolute error value for each ratio r. Only points with dr/r<30%203 are shown.



205 Extended Data Figure 4 (Rotate clockwise by 90°)

207 Extended Data Figure 4 (Rotate clockwise by 90°) | Statistical distribution of points, flux, and 208 **flux ratios.** All panels show distributions in two-dimensional (2D) space  $(f_{\perp}, (f_d+f_u)/f_{\perp})$ , where  $f_{\perp}$ is the differential directional energy flux (in keV/cm<sup>2</sup> s str MeV) measured near  $\alpha$ =90° (trapped 209 210 flux, perpendicular,  $\perp$ , to the **B** field) and (f<sub>d</sub>+f<sub>u</sub>) is the upward-plus-downward flux (in the loss 211 cone and anti-loss cone). Top and bottom rows are for the HiE and LoE channels (430-5800keV 212 and 50-430keV), respectively. Vertical dashed lines are the electronic noise flux values, fn HiE and  $f_{n\_HiE}$ , for the HiE and LoE channels, respectively; diagonal dashed lines are the electronic 213 214 noise divided by  $f_{\perp}$ . Measurements to the left of these lines are consistent with electronic noise. 215 (A, F) Distribution of data in the database used (number of samples, #, indicated as an insert). 216 (B, G) Distribution of data with statistically significant upgoing or downgoing fluxes (df/f<50%), 217 which additionally eliminates samples corresponding to electronic noise. Note that most low f 218 points have been eliminated from flux ratios in these and remaining 2D panels in the figure; 219 averages computed from these statistically significant samples are intended to be 220 representations of the total measured flux for the purpose of computing flux ratios, not the 221 absolute flux. (Absolute flux depends on absolute detector efficiency, which has not yet been 222 fully evaluated, but is not critical for this study). Panels (B, G) are the 2D versions of the PDFs 223 for HiE and LoE in Figure 3a. (C, H) Distribution of medians of ratios  $f_d/f_u$  for statistically 224 significant fluxes. As  $(f_d+f_u)/f_{\perp}$  decreases, most medians increase from a few % to ~100% for 225 most f<sub>1</sub> values, particularly in cells with large numbers of points in Panels B and G. Panels (C, H) 226 are the 2D versions of the median  $f_u/f_d$  lines in Figure 3a. (D, I) Distribution of the relative 227 contribution to the total downgoing flux, f<sub>d</sub>, by each cell in this 2D space. Two clusters of points with very weak dependence on  $f_{\perp}$  are evident: one near  $(f_d+f_u)/f_{\perp}\sim 0.04$ , which we attributed to 228

atmospheric scattering of trapped particles, and another near  $(f_d+f_u)/f_{\perp}~1$ , which we attributed to magnetospheric scattering. These are the 2D versions of the line plots for HiE and LoE in Figure 3c. (E, J) Same as in Panels (D, I) except for the upgoing flux,  $f_u$ . The same two main populations are evident here, as well. These are the 2D versions of the line plots for HiE and LoE in Figure 3b.

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### 237 Extended Data Table 1

Average Directional Electron Fluxes $^{\dagger}$ and their Ratios							
		All c	lata in 3 <l<7< td=""><td>7</td><td></td><td></td><td></td></l<7<>	7			
MLT	Energy [keV]	r= <f<sub>u&gt;/<f<sub>d&gt;</f<sub></f<sub>	$< f_d > / < f_\perp >$	<f<sub>⊥&gt;</f<sub>	[Units]	] Inferred <sup>‡</sup> Ratios	
Night	LoE: 50-430	9.3%	35.1%	1.65E+07	ctic (D		
Night	HiE: 430-5800	27.8%	8.3%	1.50E+06	V/c iffe		2fa
Day	LoE: 50-430	21.4%	16.3%	6.13E+06	m² ren l En	×=	/(21
Day	HiE: 430-5800	81.2%	4.9%	5.55E+05	s str tial	2fa/	fa+f
Night+Day,	LoE: 50-430	11.1%	30.0%	1.13E+07	y Flu	fm	R= 'm)
Residence-ti-	HiE: 430-5800	37.5%	7.4%	1.03E+06	eV e- ux)	120%	55%
me Norm'ed	50-5800 (Integral)	17.4%	17.3%	9.83E+06	keV/cm <sup>2</sup> s str	42%	30%
D <sub>st</sub> < -20nT in 3 <l<7< td=""></l<7<>							
MLT	Energy [keV]	r= <f<sub>u&gt;/<f<sub>d&gt;</f<sub></f<sub>	$< f_d > / < f_\perp >$	<f<sub>⊥&gt;</f<sub>	[Units]	Information	I <sup>‡</sup> Datios
Night	LoE: 50-430	8.5%	35.7%	3.27E+07	ke (D	meneo	i natios
Night	HiE: 430-5800	22.7%	7.8%	2.37E+06	V/c viffe		2fa
Dav	LoE: 50-430	23.2%	12.5%	1.16E+07	m² ren l En	X=	/(2:
Day	HiE: 430-5800	90.4%	3.9%	5.96E+05	s str tial	2fa/	fa+f
Night+Day,	LoE: 50-430	10.2%	29.6%	2.21E+07	y Flu	ſm	R= m)
Residence-ti-	HiE: 430-5800	30.3%	7.0%	1.48E+06	eV e-	87%	46%
me Norm'ed	50-5800 (Integral)	13.8%	18.6%	1.64E+07	keV/cm <sup>2</sup> s str	32%	24%

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<sup>†</sup>Noise subtracted:  $f_{n,HiE}$ =3.48 10<sup>3</sup>,  $f_{n,LoE}$ =2.74 10<sup>3</sup> [keV/cm<sup>2</sup> s str MeV] <sup>†</sup>where R=x(x+1); r=x/(x+2)

239	Extended Data Table 1   Differential and integral directional energy fluxes and ratios for the
240	outer radiation belt (3 <l<7) (<math="" (top)="" active="" all="" and="" conditions="" for="" geomagnetic="" under="">D_{ST}&lt;-20nT)</l<7)>
241	times (bottom). Ratios of time averages rather than medians of ratios have been used to
242	accurately characterize total energy flux ratios. The combination of Night and Day ratios was
243	determined directly from the numbers above them, assuming equal satellite residence time in
244	the dayside and the nightside. The bottom row, which represents the integral directional
245	energy flux channel (50-5800keV), was computed directly from the rows above it. $f_{u}$ and $f_{d}$ are
246	upgoing and downgoing fluxes, <> represent averages, and $f_a$ and $f_m$ are the measured
247	contributions to precipitation from atmospheric scattering and magnetospheric scattering. The

- 248 ratios x and R are the inferred net contributions to precipitation from atmospheric scattering
- relative to magnetospheric scattering and relative to the total precipitation, respectively.