# Modeling Snow Ablation over the Mountains of the Western United States: Patterns and Controlling Factors

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ABSTRACT: When compared with differences in snow accumulation predicted by widely used hydrological models, there is a much greater divergence among otherwise "good" models in their simulation of the snow ablation process. Here, we explore differences in the performance of the Variable Infiltration Capacity model (VIC), Noah land surface model with multiparameterization options (Noah-MP), the Catchment model, and the third-generation Simplified Simple Biosphere model (SiB3) in their ability to reproduce observed snow water equivalent (SWE) during the ablation season at 10 Snowpack Telemetry (SNOTEL) stations over 1992–2012. During the ablation period, net radiation generally has stronger correlations with observed melt rates than does air temperature. Average ablation rates tend to be higher (in both model predictions and observations) at stations with a large accumulation of SWE. The differences in the dates of last snow between models and observations range from several days to approximately a month (on average 5.1 days earlier than in observations). If the surface cover in the models is changed from observed vegetation to bare soil in all of the models, only the melt rate of the VIC model increases. The differences in responses of models to canopy removal are directly related to snowpack energy inputs, which are further affected by different algorithms for surface albedo and energy allocation across the models. We also find that the melt rates become higher in VIC and lower in Noah-MP if the shrub/grass present at the observation sites is switched to trees.

KEYWORDS: Snow; Snowmelt/icemelt; Hydrologic models

## 1. Introduction

Snow is a dominant aspect of the land surface hydrological cycle of the western United States, especially in the headwaters of the major river basins. Snowpacks store precipitation during the cold season and release water via melt during the following warm season, effectively providing a natural reservoir that shifts the timing of peak runoff relative to precipitation by several months. In most western U.S. river basins, snow is the largest (seasonally varying) water storage component (Mote et al. 2005). Li et al. (2017) found that 53% of the runoff over the western United States originates from melting snowpacks, a number that increases to 70% in the mountainous parts of the region. In relatively dry and heavily populated Southern California, more than half the water supply is derived from snowmelt from remote mountainous sources (Waliser et al. 2011). As temperatures have warmed in recent decades, snowpack behavior and corresponding hydrological processes have been severely affected. For instance, Mote et al. (2018) report that over 90% of the snow monitoring stations across the western

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United States with long-term records have shown declines over 1955–2014. As temperatures continue to warm, Rauscher et al. (2008) estimate that snowmelt-driven runoff over the West could occur as much as two months earlier than it has historically.

Despite its importance to surface water hydrology, determining representations of the complicated mechanisms that govern snowpack accumulation and ablation in hydrologic models remain challenging. Given both the scientific challenges and practical implications, Dozier et al. (2016) have argued that estimation of the spatial distribution of SWE over mountainous areas is the most important unsolved issue in snow hydrology. The problem is complicated by the fact that snow depth variability can be caused by a mix of multiple process at various spatial scales (Clark et al. 2011). On the other hand, snow accumulation over the western United States can usually be predicted by the accumulated precipitation occurring during the winter at temperatures below a threshold (typically slightly greater than 0°C on daily average). For instance, Fig. 1a shows that SWE estimated using a very simple rule, which is to approximate the seasonal maximum SWE as the accumulation of all precipitation that occurs during the winter season below a fixed (daily average) temperature, yielding plausible predications of maximum winter snow accumulations at a great number of Snowpack Telemetry (SNOTEL) sites. Figure 1c shows, when the models are initialized with the observed seasonal SWE maxima, the variations in ablation rates are substantial, and can lead to variations in the predicted date of last SWE that exceed one month.

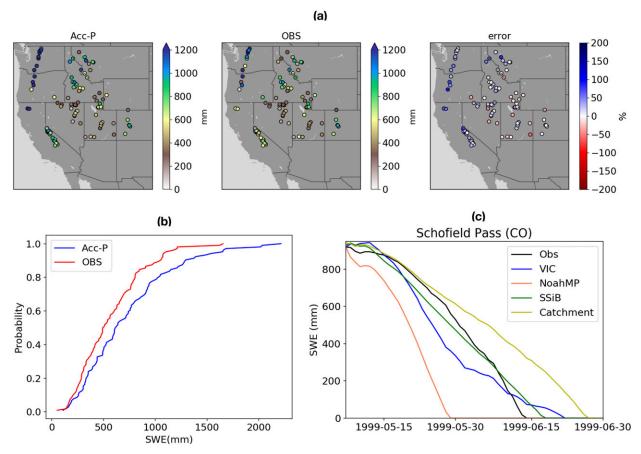


FIG. 1. (a) Climatology of annual maximum SWE estimated by accumulated precipitation below 0°C (Acc-P), observations (OBS), and percent error for 1986–2005 averaged over ~100 SNOTEL stations. (b) Empirical cumulative probability curves for annual maximum SWE from observations (OBS) and accumulated precipitation (ACC-P) over all of the stations in (a). (c) Observed and simulated SWE time series plot for Schofield Pass, Colorado, for spring 1999. The models are all initialized with the observed maximum SWE on 7 May 1999.

Here, we explore, in offline simulations, the ablation season performance of four energy-based snow models that are widely used in macroscale hydrologic models and coupled land-atmosphere models. In particular, we examine their ability to reproduce observed snow ablation rates at selected SNOTEL sites [snow pillows operated by the Natural Resources Conservation Service (NRCS)] across the western United States. We examine differences among the snow models (and between models and observations) during the ablation period by analyzing the factors that control snow ablation. The remainder of the paper is organized as follows: section 2 describes the data and models used in the comparisons. We report results in section 3, with discussion and interpretation in section 4. Our conclusions are presented in section 5.

#### 2. Data and methods

## a. Snow observations and ablation estimate

The USDA Natural Resources Conservation Service (NRCS) Snow Survey and Water Supply Forecasting Program

(https://www.wcc.nrcs.usda.gov/) has a network of 808 automated SNOTEL stations in the western states. Starting in the early 1980s, the SNOTEL stations began to report daily snow water equivalent (SWE) using snow pillows (which weigh the accumulated snowpack continuously in time), as well as (most sites) daily precipitation, and daily maximum and minimum temperature. We selected 10 SNOTEL stations distributed over the western United States (Fig. 2) whose data are of high quality (missing values less than 5%). The snow types in the western mountainous regions are either alpine or maritime according to Sturm et al. (1995), and the sites we selected include both types (three are alpine and seven are maritime). These stations form the basis for our analyses and station names and elevations are given in Table 1.

To evaluate snow ablation characteristics, we first need to define the ablation process and melt rates. Previous studies have attempted to employ snow depth and SWE values to determine the ablation period (Dyer and Mote 2007; Trujillo and Molotch 2014). Our main objectives are to explore the behavior and the controlling factors during the snowmelt season and to determine the bias and uncertainty among the



FIG. 2. Selected NRCS SNOTEL stations over the western United States. The names and index numbers correspond to the information given in Table 1.

models in estimating SWE during this period. Therefore, we use the SWE-based definition of Trujillo and Molotch (2014), which is that for each water year (October-September), the ablation period is the time from the date of maximum SWE to the last day of snow existence (SWE > 0). Further, we extract the 20th-80th quantile of the ablation period, which we define as the period from the date when 80% of the maximum accumulated SWE remains to the date when 20% of SWE remains. Based on our exploratory analysis, focusing on this central portion of the melt period seems to provide a representation of the ablation process that minimizes unusual conditions near the beginning and end of the melt period (e.g., occasional accumulation events early in the melt period, and very warm conditions with partial snow cover late in the melt period). Therefore, In the analyses we report below, our results are based on the 20th-80th quantile definition unless stated otherwise. Accordingly, we calculate snow ablation rates for each year as the 80th quantile of SWE minus the 20th quantile of SWE divided by the number of days between the corresponding dates.

# b. Land surface models

We examined simulations of SWE using four land surface models (LSMs): Variable Infiltration Capacity (VIC), Noah Multiparameterization (Noah-MP), Catchment, and the thirdgeneration Simplified Simple Biosphere (SSiB3), all of which have been applied in numerous snow-related studies (e.g., Tan et al. 2011; Shi et al. 2013; Chen et al. 2014; Newman et al. 2014; Xia et al. 2017; Magand et al. 2013; Xue et al. 2018; Oaida et al. 2015; Cortés et al. 2016; Rutter et al. 2009, among many others). The relevant archival references for the snow algorithms in the four models are as follows: VIC (Andreadis et al. 2009), Noah-MP (Niu et al. 2011), Catchment (Stieglitz et al. 2001), and SSiB3 (Sun et al. 1999; Xue et al. 2003). The key features of the snow algorithms in each of the model are summarized in Table 2. We also provide brief descriptions of each model below.

VIC is a physically based, macroscale hydrologic model with an energy-based snow module that explicitly accounts for snow accumulation and ablation in the vegetation canopy (Andreadis et al. 2009). It represents two layers in the vertical (one for thin snowpacks)—a relatively thin surface layer, and a deeper pack layer. The VIC model represents the snow interception effect of the canopy, and fractional snow cover is represented as well. Further, shortwave attenuation through the canopy is also represented using a Beers-law formulation (Andreadis et al. 2009). Snow albedo  $\alpha$  in VIC decays with time from snowfall t according to a scaled exponential relationship based on USACE (1956).

Noah-MP has much different physics than the original Noah LSM (Chen and Dudhia 2001; Ek et al. 2003) to the extent that it essentially is a different model. Regarding the snowpack modeling, the Noah-MP snow model partitions the snowpack into up to three layers according to snow depth and snow cover fraction as determined by snow density, snow depth, and ground roughness length. Noah-MP relates the vegetation cover fraction to prescribed leaf area index (LAI) values (Niu et al. 2011). To calculate the energy terms at the snow surface, Noah-MP utilizes a "semi tile" scheme to calculate the energy balance and solves for the snow temperature over vegetated and bare fractions separately. Shortwave radiation fluxes (ground- and canopy-absorbed) are computed over the entire grid cell assuming the canopy is evenly distributed; the other fluxes (ground heat, latent heat, sensible heat, and longwave

TABLE 1. Site locations and attributes for the selected SNOTEL sites.

Site No.	Station name	Lon	Lat	State	Elev (m)
1	Olallie Meadows	-121.44	47.37	WA	1228
2	Hand Creek	-114.84	48.31	MT	1535
3	Pike Creek	-113.33	48.30	MT	1808
4	Hemlock Butte	-115.63	46.48	ID	1771
5	Banner Summit	-115.23	44.30	ID	2146
6	Blue Mountain Spring	-118.52	44.25	OR	1789
7	Silver Creek	-121.18	42.96	OR	1750
8	Central Sierra Snow Laboratory	-120.37	39.33	CA	2101
9	Leavitt Meadows	-119.55	38.30	CA	2194
10	Schofield Pass	-107.05	39.02	CO	3261

	VIC	Noah-MP	SSiB	Catchment
Snow albedo decay	Yes	Yes	Yes	Yes
Canopy interception	Liquid and snow	Liquid and snow	Liquid and snow	Liquid and snow
Canopy radiation transfer	Two streams	Two streams	Two streams	Tile average
Max snow layers	2 layers	3 layers	3 layers	3 layers
Canopy attenuation of solar radiation	Yes	Yes	Yes	Yes
Canopy attenuation of wind	Yes	Yes	Yes	No

radiation) are calculated for bare soil and vegetated parts of a "tile" (grid cell) separately. The scheme in Noah-MP, which considers gap probabilities for shortwave radiation transfer, is designed to avoid overshading effect of the canopy. The snow albedo is adopted from the Canadian Land Surface Scheme (CLASS) model (Verseghy 1991), which accounts for snow age, grain size, and accumulated debris on the snow surface.

Catchment incorporates a three-layer snow module to account for snowpack growth and ablation (Stieglitz et al. 2001). Catchment determines the net solar radiation flux using estimates of surface albedo; this albedo is calculated separately for the snow-covered and snow-free fractions of the land element, and vegetation "sticking out" of the snowpack modifies the albedo in the snow-covered fraction. Catchment does not separate downward solar radiation according to vegetated and bare-soil surfaces; that is, it does not use a two-stream scheme as do the other three models. Rather, it first calculates the average surface albedo (with and without snow) and computes the net solar radiation for the entire surface. In Catchment, snowpack albedo is parameterized as a function of snow surface aging (Stieglitz et al. 2001). Catchment's snow-free parameterization is designed to match MODIS climatological mean albedo at the location at any given time. The snow parameterization in Catchment (Stieglitz et al. 2001) uses a 13-mm threshold of SWE to compute the snow-covered fraction; that is, if SWE is greater than or equal to 13 mm, the entire tile is assumed to be snow covered.

SSiB3 uses the snow-atmosphere-soil transfer (SAST) model of Sun et al. (1999). SAST uses up to three layers to represent snow in vegetation-free areas and under canopies. Snow albedo decays with snow age as adjusted by cloud cover and sun elevation angle. The land surface in SSiB3 is divided into canopy and bare soil parts according to the vegetation fraction in the same way as is done by SSiB for snow-free areas. The snow energy fluxes and surface soil temperature are solved simultaneously to guarantee energy conservation at each time step. SSiB3 employs (fixed) monthly varying parameters for vegetation cover fraction and LAI, both of which are also dependent on the predefined vegetation type (Sellers et al. 1996). Table S1 in the online supplemental material gives the LAI values for SSiB3 as well as for VIC. Noah-MP and Catchment utilize LAI climatologies at each individual site, as sourced in the caption of Table S1.

## c. Forcings and experimental setup

We extracted daily meteorological observations (daily precipitation and temperature maxima and minima) at 10 selected

SNOTEL sites. Because trends in daily temperature minimum (Tmin) at SNOTEL sites over the west have been reported to be artificially amplified (Oyler et al. 2015), we performed another experiment to examine the possible effects of these artificial changes. We corrected the Tmin from the SNOTEL records using another temporally consistent data, the Hamlet and Lettenmaier (H&L) data (Hamlet and Lettenmaier 2005), which we extended to 2014 (Mote et al. 2018). We adjusted Tmin records extracted from SNOTEL after the year 1997, when the artificial modification first occurred (Oyler et al. 2015), to guarantee that the average differences in monthly Tmin between SNOTEL and H&L were the same for before and after 1997. We then tested the models with the adjusted forcings. We found that the results show no obvious differences relative to our base experiments (Figs. S1 and S2 in the online supplemental material). Therefore, we used the original temperature records from each of the SNOTEL sites in our analysis. We used wind speed from the Livneh dataset (Livneh et al. 2013) that is interpolated from the lowest layer of the NCEP-NCAR reanalysis (Kalnay et al. 1996). We applied the Mountain Climate (MTCLIM) algorithms (Hungerford et al. 1989) as incorporated in the VIC model (Bohn et al. 2013) at each station to produce hourly precipitation and temperature, downward solar and longwave radiation, pressure and humidity forcings. Our study period is from 1992 to 2012, which was determined by the availability of the SNOTEL meteorological observations and the temporal coverage of the Livneh dataset.

To evaluate the magnitude and nature of differences in ablation rates among the models, we manually adjusted the SWE predictions for all models to match the SNOTEL annual maxima for each water year (i.e., within every year, when the SNOTEL observation reached its annual maximum, we replaced the simulated SWE on that day with the observed value). We also performed sensitivity tests to examine the possibility of carryover effects associated with snowpack cold content and liquid water storage, and we found the differences to be negligible (Fig. S3 in the online supplemental material). For each model, we performed model simulations from each year's observed date of maximum SWE through the (model's) date of last SWE, and we repeated the process for the next water year. This procedure allowed us to reduce the differences among models in the accumulation period.

We determined the vegetation type at each site using site images provided by NRCS (Fig. S4 in the online supplemental material). As shown in the photos, the snow pillows are all in openings. We classified CSS Laboratory (site 8) as grass, and all

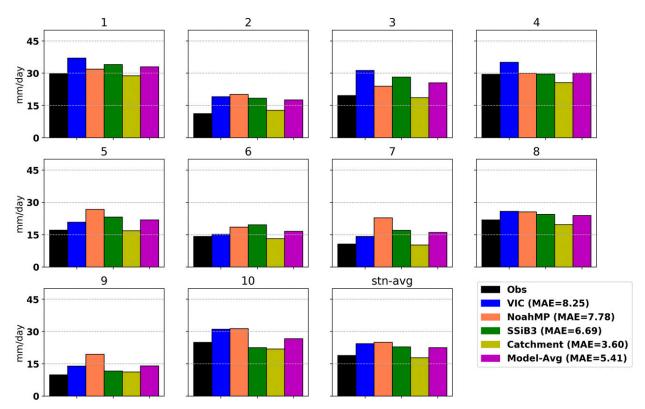


FIG. 3. Snow ablation rates at the 10 SNOTEL sites averaged over 1992s–2012. Index numbers correspond to Table 1; "stn-avg" is the mean over all stations.

other sites as shrub. Two of the 10 sites (Hand Creek and Pike Creek) did not have site images and we chose shrub as their vegetation cover according to Google Earth satellite imagery. We specifically extracted the heat fluxes (net radiation, sensible heat, latent heat, etc.) at the snow surface (below the canopy) as well as above the canopy from each model to evaluate their effects on ablation process.

For shrub and grass vegetation types, the differences between energy fluxes above and below canopy generally are small (in part because snow covers the vegetation through much of the ablation period in the models). Therefore, we performed an experiment in which the vegetation cover at all sites was set to trees and then compared the energy terms above and below canopy with the runs corresponding to the vegetation actually present at each site (see section 4).

#### 3. Results

# a. Ablation rates

Figure 3 shows the average ablation rates (calculated as described in section 2a) at each of the SNOTEL sites for the entire study period. Overall, the Catchment model produced the best estimates as compared with observations in terms of mean absolute error (MAE). VIC, Noah-MP, and SSiB generally have melt rates that were biased high with one exception (site 10 for SSiB). The overall bias across all models is slightly positive (the observations have lower ablation rates than the simulations) while only Catchment has generally negative

biases. The multimodel ensemble-average yielded melt rates with MAEs that were higher than those of the best model (Catchment). The station-averaged errors (model minus observed averaged over years) in the estimated last day of the ablation period were -3.6 (VIC), -6.1 (Noah-MP), -5.0 (SSiB), 0.3 (Catchment), and -5.1 (model average) days, respectively. However, these station averages obscure substantial variability, as VIC differences ranged from -10.9 to 2.6 days across the 10 stations, Noah-MP ranged from -12.6 to -0.1, SSiB ranged from -12.7 to 3.2, Catchment ranged from -3.7 to 4.3, and the model average ranged from -10.9 to -0.8 days.

Table 3 summarizes the climatologies of the 10 SNOTEL sites in terms of average temperature and maximum annual SWE. Considering the ablation rates in Fig. 3 and the maximum SWE values in the table, the stations that have the highest SWE accumulations also tend to experience faster melt rates. Figure 4 reports the correlation coefficients between average annual maximum SWE and average ablation rates for the observations and modeled results across all 10 stations. Linear regression relationships are also plotted in the figure. The results from observations are highly correlated (coefficient r =0.97) as are the Catchment results. The r values of other models range from 0.85 to 0.97. One possible reason to explain the correlations is that the low SWE stations melt their snow before the period of highest available energy (late spring and early summer). As the downward solar radiation increases seasonally, only those stations with higher SWE remain snow

TABLE 3. Climatology of average April–July daily temperature $T$ , annual maximum SWE, and average temperature during ablation				
as defined in section 2a at selected stations over 1992–2012.				

No.	Station name	Avg Apr–Jul $T$ (°C)	Avg SWE (mm)	Avg T during melt period (°C)
1	Olallie Meadows	7.3	1492	7.4
2	Hand Creek	8.7	299	4.1
3	Pike Creek	8.0	628	6.6
4	Hemlock Butte	8.8	1224	8.5
5	Banner Summit	7.3	673	5.4
6	Blue Mountain Spring	8.9	434	4.0
7	Silver Creek	9.8	322	3.1
8	Central Sierra Snow Laboratory	8.8	1066	4.7
9	Leavitt Meadows	10.0	345	2.5
10	Schofield Pass	5.6	998	5.3

covered. The snowpack at these high SWE stations receives more downward shortwave radiation later in the year, and thus tends to have higher ablation rates. We do note, however, that the cloud cover might cause exceptions to this general trend.

## b. Dependence on temperature and net radiation

Figure 5 shows the results of linear regressions of the computed ablation rates on the average temperature during the melt season along with the correlation coefficients for observed and simulated results. Overall, the correlations between ablation and temperature are high, with values from observations ranging from 0.51 to 0.92 with an average of 0.73. The model results also show more or less linear dependences, with only 6% of the r values across all stations and models less than 0.6. Although there are some deviations for individual models, the model-averaged results in general capture the observed relationships between temperature and ablation rates at each of the SNOTEL sites.

Figure 6 is similar to Fig. 5, except that temperature was replaced with net radiation at the snow surface. There is no observation-based net radiation, instead we used the average net radiation from the four LSMs as a surrogate for observations. The correlation coefficients in Fig. 6 generally are higher than in Fig. 5. In particular, the station average for both observation-based (0.93 in the last subplot of Fig. 6) and model-averaged (0.97 in the last subplot of Fig. 6) r values are substantially higher than those in Fig. 5 (0.73 for observed analysis and 0.69 for model average). Statistically, 61% of the r values in Fig. 5 are greater than 0.8, and this percentage increases to 94% in the Fig. 6 net radiation correlation results. This result should not be surprising as net radiation is the dominant source of melt energy, and temperature appears only in the net longwave radiation component of net radiation (which generally is much smaller than net shortwave during the melt season).

We also performed a similar test of the relationship between wind speed and ablation rate. We found that correlations were weak in most cases. Only three SNOTEL sites have statistically significant (p < 0.05) correlations between wind speed and ablation rate (Fig. S5 in the online supplemental material). At those three sites, there is a (weak) inverse relationship between net radiation and wind speed, which likely leads to the apparent relationship with wind speed. We do note that the source of our wind speed data is the surface level wind in the

NCEP-NCAR reanalysis (Kalnay et al. 1996), which is a coarse-scale product (2.5° latitude by longitude) that is unable to capture local-scale variations in wind speed. However, a larger factor likely is that wind speed is a determinant of turbulent fluxes (latent and sensible heat) that generally are of opposite sign during the ablation period, and therefore tend to be small in magnitude relative to net radiation. During rain-on-snow events (which do occur occasionally during the ablation period), latent heat flux can be an important contributor to melt (Moore and Owens 1984; Guan et al. 2016). However, such events occur infrequently enough, and are of small enough magnitude during the melt period, that they appear not to have a major effect on ablation.

#### c. Energy components

To better understand the factors that control snowmelt, we need to identify the sources of melt energy. The surface energy budget equation (which is represented directly in all four of the LSMs), can be expressed as

$$Q_M = \text{Rn} + \text{SH} + \text{LH} + \text{GH} + Q_A$$

where  $Q_M$  is the energy absorbed by the snowpack (melt energy), Rn is the net radiation, SH is the sensible heat flux, LH is

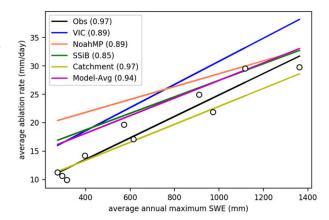


FIG. 4. Linear regressions between annual maximum SWE (climatological mean) and average melt rates over the 10 sites. The legend provides the correlation coefficients. The circles are the mean observed melt rate vs mean observed SWE.

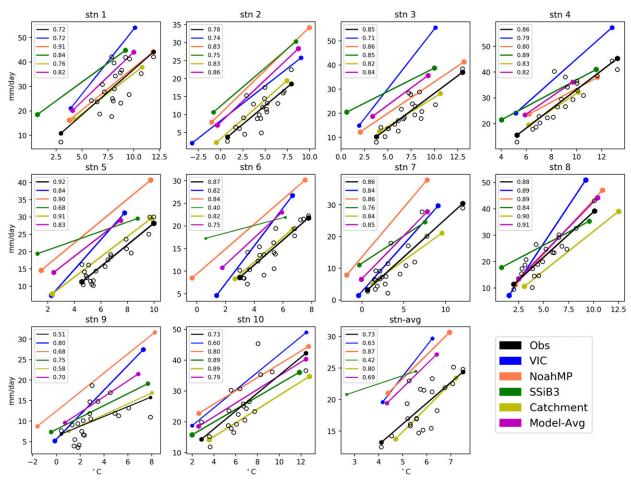


FIG. 5. Linear regressions of melt rate (mm day $^{-1}$ ) vs average temperature ( $^{\circ}$ C) during the melt period across all stations for both observations and simulations (correlation coefficients are given in the legend). The black circles are the observed ablation rates. Larger plot symbols indicate higher r values.

the latent heat flux, GH is the ground heat flux, and  $Q_A$  is the energy advected to the snowpack by precipitation (the directions of these energy terms in the equation are all downward). The GH and  $Q_A$  are usually small during the melt season, and we neglect them. We focus here on Rn, SH, LH, and their sum  $Q_M$  (Rn + SH + LH), which accounts for most of the melt energy. All of the energy terms in this section are the fluxes at the snow surface unless stated otherwise.

We show simulated net radiation, sensible heat, and latent heat fluxes for each model and station in Fig. 7. Net shortwave, net longwave and net downward radiation are shown in Fig. 8. In Fig. 7, the white circles indicate  $Q_M$ , the melt energy. The four models all have positive sensible heat fluxes, which means that energy is transferred from the air to the surface. Of the four models, Noah-MP produces the most net radiation. However, its ablation rate is not the highest, as it also has large negative latent heat fluxes. Generally, VIC and SSiB have the largest melt energy  $Q_M$  at those selected sites, but only VIC produces higher ablation rates. SSiB allocates more energy in the snowpack to ground heat flux, which reduces the energy available for ablation. The estimated net longwave radiation

among all models is generally similar. Therefore, the net radiation differences are largely attributable to net shortwave radiation differences, which in turn are primarily attributable to differences in ground surface albedo and vegetation shading effect among the models. We discuss this further in the following section.

## 4. Discussion

## a. Vegetation cover effects

During the ablation process, the vegetation canopy, if present, can play an important role in energy transfer to the snowpack. Usually (although not always) SNOTEL sites are located in clearings surrounded with short vegetation that is covered by snow for most of the ablation season. Each model's vegetation cover mechanism is distinct as is its representation of the interaction between canopy and land surface and snow on and under vegetation (described in section 2b). Furthermore, the models have different representations of how much snow can be intercepted by the vegetation canopy and the energetics of snow on and below

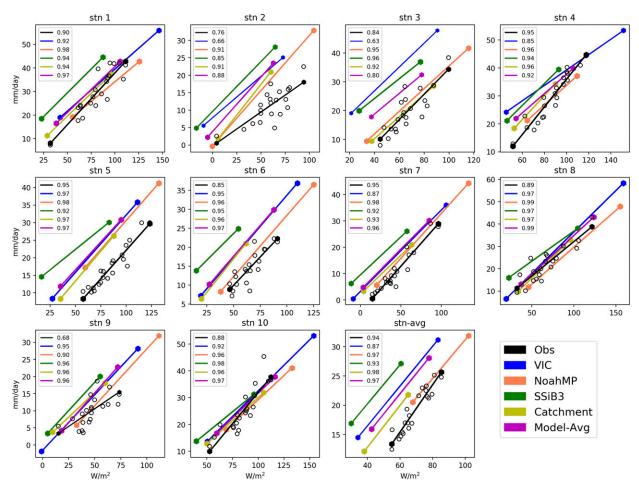


FIG. 6. As in Fig. 5, but with temperature replaced by net radiation (W m<sup>-2</sup>). For the "Obs" curves we used model-averaged net radiation as a surrogate for observations.

the canopy. Their representations of the effects of the canopy on absorption and reradiation of solar radiation, as well as the effects of the canopy on wind, and hence undercanopy turbulent fluxes also vary. Arguably the first consideration (snow interception) is less important during the ablation season than is the second (vegetation effects on undercanopy net radiation and turbulent fluxes).

To evaluate the canopy effects and corresponding model behaviors, we performed a parallel set of simulations, the setup of which was the same as the baseline described above but with the canopy cover removed. Figure 9 shows the ablation rates that resulted from the no-vegetation experiment (note that the melt rates calculated from the observations are identical to the results shown in Fig. 3 as they require no assumptions about vegetation). From Fig. 9, we see that, without the canopy cover, the ablation rate in VIC increases. Melt rates for Noah-MP, Catchment, and SSiB are reduced relative to their baseline runs when the vegetation is removed. Removal of vegetation results in degradation of VIC performance relative to observations (MAE increases to 10.29 mm day<sup>-1</sup> from 8.25 mm day<sup>-1</sup> in the baseline experiment). Noah-MP and SSiB have smaller

MAEs in the no-canopy condition relative to the baseline. The MAE of Catchment increases slightly in the no-vegetation simulation. We do note that at some of the sites (Olallie Meadows, Banner Summer, Blue Mountain Spring, and Silver Creek in particular; Fig. S4 in the online supplemental material) the photographs of the SNOTEL sites show the presence of some vegetation in the vicinity of the snow pillow; that is, the no-vegetation assumption may not be entirely appropriate. In those cases, the no-vegetation assumption is best interpreted as an end point for comparison with the vegetated base runs.

To explain the cause and effect of different model behaviors, we need to analyze the energy components in the novegetation simulations and relate them to the models' own algorithms. Figure 10 shows the energy terms at snow surface and Fig. 11 presents the breakdown of net radiation (net shortwave and net longwave) for all models from the novegetation simulations. The behavior of models' ablation rates matches the responses of  $Q_M$  with no canopy in Fig. 10: VIC shows increased  $Q_M$  whereas in the other three models  $Q_M$  decreases. The last panel (stn-avg) of Fig. 10 shows the overall average responses of Rn, LH, and SH. Rn during the

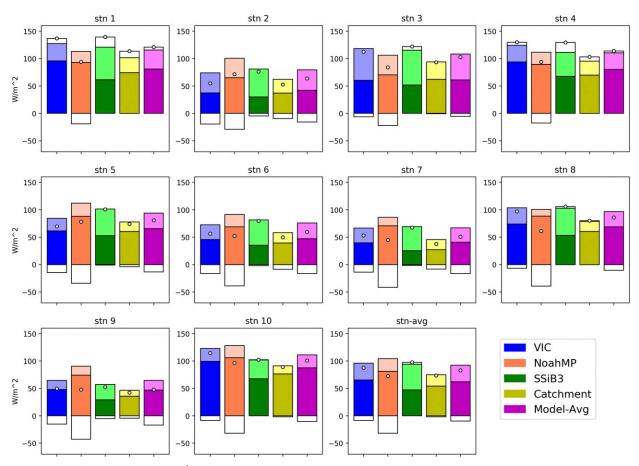


FIG. 7. Energy components (W m<sup>-2</sup>) at the snow surface for each of the 10 SNOTEL stations. The deep-colored bars indicate net radiation (Rn), the white bars are the latent heat (LH), and the shaded bars are the sensible heat (SH). The white dots indicate the energy difference term  $Q_M$  (Rn + LH + SH).

ablation period decreases slightly in VIC and Noah-MP without canopy while it increases in SSiB3 and Catchment (Fig. 11). LH does not reflect obvious effects of removing the canopy cover. SH decreases in SSiB3 and Catchment while it increases in VIC. SH in Noah-MP is similar in the novegetation and baseline simulations.

Because Rn is the dominant factor that controls the ablation process, we further investigated the Rn responses of the models when the canopy cover was removed. As noted above, Rn decreases in VIC and Noah-MP but increases in SSiB3 and Catchment. Rn differences are mostly associated with net shortwave (net-SW) differences as the changes in net longwave are small (Fig. 11). Net-SW is strongly influenced by ground albedo, which is essentially the snow surface albedo during the 20th-80thquantile ablation period. Snow albedo and incoming shortwave fluxes are not much affected without shrub/grass in VIC and Catchment. Therefore, the surface net SW of these two models is almost identical in that case. However, removing the canopy changes roughness height in those two models thereby affecting the allocation of energy to SH, and that causes changes in the ablation periods (earlier melting for VIC and later for Catchment). The snowpack can absorb more energy when the snow season is longer as incoming solar radiation increases through the ablation season. Therefore, Rn in VIC and Catchment show similar responses under no-vegetation scenarios. In Noah-MP, the shrub/grass would absorb extra shortwave energy as incoming solar to heat the snow surface (Niu and Yang 2007). Because the shading effect in Noah-MP is designed to avoid overestimation (Niu et al. 2011), the solar radiation absorbed by the ground in Noah-MP does not increase substantially when the canopy is removed. One effect of the Noah-MP parameterization is that removing the vegetation cover results in a decrease in shortwave flux absorbed by the snow surface, which leads to less net SW in Noah-MP. SSiB has the greatest increase in net-SW when the canopy is removed, which is traceable to its relatively large shading effect even for short vegetation (shrubs and grass). For VIC, Noah-MP and Catchment, the shading effect associated with shrub and grassland are less obvious. The controlling factor in the differences in Rn of these three models is therefore the ground surface albedo algorithm in short vegetation scenario. We conducted another experiment to further explore vegetation shading effects as reported in the following subsection.

# b. Energy above and below trees

We performed another vegetation scenario to further elucidate the differences between energy fluxes above and below

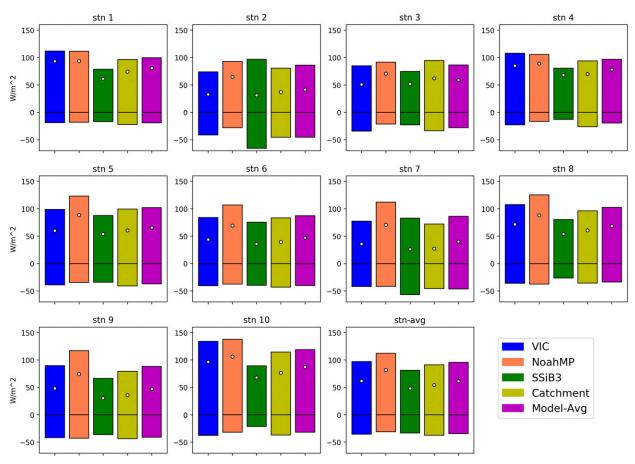


FIG. 8. Snow surface downward net shortwave (positive) and net longwave (negative) radiation (W m<sup>-2</sup>) over all of the SNOTEL sites. White circles indicate the net radiation (i.e., net shortwave minus net longwave) term.

the canopy during the ablation season. As noted above, the vegetation at SNOTEL sites (as contrasted in most cases with the surrounding area) is either grass or shrubs, both of which have only modest effects on snow ablation. A much larger contrast would be expected between forested and no-vegetation conditions. Therefore, we created a scenario where we prescribe needleleaf trees as the canopy type for all SNOTEL sites to guarantee that a shading effect occurs during the ablation season (VIC, for instance, does not employ a shading mechanism for shrubs and grass). However, the offline version of Catchment does not include wind attenuation for trees and performs better when forced with modified (attenuated) near-surface wind speed. Because we are using wind speed from the NCEP-NCAR reanalysis product, the wind forcing arguably is a plausible approximation of near-surface wind for short-canopies (grass and shrub) scenarios but not for under forest. In exploratory simulations we found this leads to unrealistically high melt rates in Catchment. For this reason, in the simulations we report below, we only tested VIC, Noah-MP and SSiB3. The related parameterization of needleleaf trees (height; LAI) are retained as the default in each model.

Figure 12 shows the average ablation rates across all stations and the Rn, LH, and SH from VIC, Noah-MP, and SSiB3

extracted from the tree simulation. For all the three models, the magnitudes of changes in melt rate between canopycovered and no-vegetation simulations will increase if we switch shrub/grass to trees in the simulation. Relative to ablation rates from bare-soil experiments (VIC 26.3, Noah-MP 24.0, SSiB 18.5 as in Fig. 9), switching shrub/grass to trees leads to slower ablation in VIC (tree: 21.4; shrub/grass: 24.3) and faster in Noah-MP (tree: 26.4; shrub/grass: 25.0). The changes in SSiB snow ablation are modest (tree: 22.8; shrub/grass: 22.9). The middle panel shows the energy terms at the snow surface below the canopy and the right panel shows the fluxes at the top of the canopy. The Rn below the canopy in all three models are smaller relative to the Rn of the entire canopy-cover surface at the top of the trees, which results from the attenuation of shortwave transmission through the forest for the models. Also, the canopy in Noah-MP can absorb additional shortwave (SW) energy for the surface (i.e., total absorbed SW radiation equals the sum of SW absorbed by canopy and SW absorbed by the ground), which results in higher Rn for the entire canopycovered surface than Rn at the ground. The sensible heat varies differently among the models. VIC produces upward sensible heat flux at the top of the canopy, which implies that the surface is warming the atmosphere. Having upward sensible heat flux

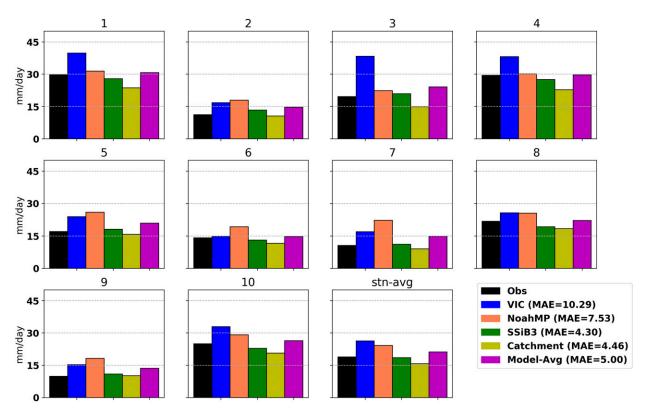


FIG. 9. As in Fig. 3, but results are extracted from the no-vegetation experiment.

over the forest is not unrealistic, as shown by ground observations reported in Fig. 9 in Chen et al. (2014). In SSiB3, the sensible heat exceeds net radiation, which implies that the air below the trees transfers considerable heat to the snow. The forest effect on ablation below the trees can shift depending on the relative importance of the shading and wind attenuation effects (Lundquist et al. 2013). The differences among the models (which are caused by the combination of different solar radiation attenuation effects, absorbed net shortwave associated with different surface albedos, and various algorithms of energy allocated to SH) point to the need for high-quality, broad-coverage radiative and flux data above and below forest canopies.

#### c. Interpretation

Some patterns of the ablation process as revealed by our multimodel experiments are in good agreement with previous studies. In Fig. 4 we show that sites with higher SWE accumulation generally have higher ablation rates, because those stations experience higher daily incoming solar radiation at the time of peak SWE, which generally is later in the year than for stations with lower peak SWE. Musselman et al. (2017) argue that in a warmer climate, snow ablation rates in the western United States will decrease for this reason (peak SWE will occur at a time of generally lower incoming solar radiation), which is consistent with our results. We also demonstrate that the net radiation at the snow surface has a stronger effect on ablation than temperature (Figs. 5 and 6). This result is consistent with Painter et al. (2018) who show (in the context of

the role of dust on snowmelt rates) that radiative forcings are a much more important determinant of snowmelt rates that control the rising limb of the hydrograph in the Upper Colorado's spring runoff than is temperature. One could in fact argue that the only reason that the temperature correlations in Fig. 5 are as high as they are is that high temperatures tend to be correlated with clear sky conditions during the melt period, which in turn are associated with high downward solar radiation.

By comparing the performance of the land surface models in all the scenarios, considerable differences and variations are apparent in the models' responses. Given that estimating the spatial distribution of SWE in mountain areas remains an important unsolved question in snow hydrology (Dozier et al. 2016), it is not surprising that there are large uncertainties among different models. Our results show, not surprisingly, that the presence or absence of forest leads to relatively large differences among models because of differences among models in the way they treat the effects of forest cover on surface energy components. Differences in short-canopy cover (shrub/grassland) lead to relatively modest differences among the models in their simulation of surface processes. Land surface models utilize simplified equations to represent complicated snow process, and the simplifications vary among models. For example, longwave radiation and reflection can play important roles in canopy-dense areas. However, this is usually not well represented in macroscale land surface models. In this respect, the paucity of high-quality energy flux

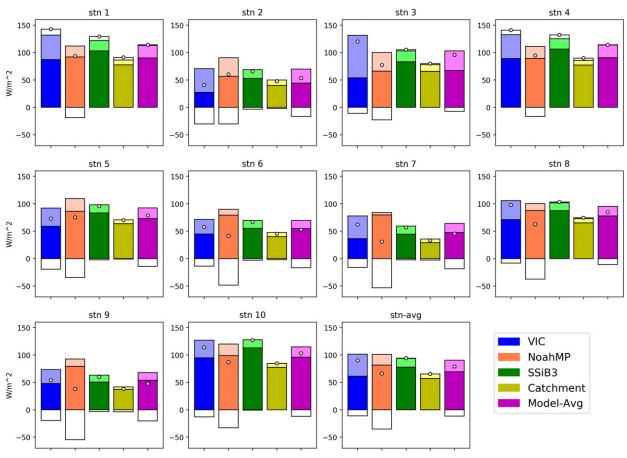


FIG. 10. As in Fig. 7, but results are extracted from the no-vegetation experiment.

observations (below/above the canopy, among different types of land cover) is a strong constraint on model improvements. The differences among models we report here argue for better use of existing field data by incorporating observations that have been collected by different parties. Such use of "crowd sourced" field observations to evaluate model predictions arguable would be more cost effective than comprehensive field campaigns.

#### 5. Summary and conclusions

We employed four widely used energy-based LSMs' snow models in offline simulations to explore differences in melt-season ablation rates at 10 SNOTEL stations across the western United States. We extracted precipitation and temperature data from in situ observations at each of the SNOTEL sites. We manually adjusted the maximum annual SWE value each year to match the in situ observations for the purpose of focusing on differences in model performance during the ablation periods. We assessed the linear dependence of the ablation rate on two major atmospheric factors: temperature and radiation. We also performed a no-vegetation scenario and an artificial-forest scenario to study the effects of vegetation on ablation rates at each of the SNOTEL sites. From these experiments, we conclude the following:

- 1) On average, the four LSMs produce ablation rates that match observations at the SNOTEL sites in the baseline experiments plausibly well. The average MAE for all models is  $5.4 \,\mathrm{mm}$  day<sup>-1</sup> (28% of the observed average ablation rate across the 10 stations), ranging from 3.6 (Catchment) to 8.3 mm day<sup>-1</sup> (VIC). Catchment is the only model that has negative bias (lower ablation rate than observations) in the baseline experiments. The multimodel average of the estimated last day of the ablation period has a bias of about a week (last day of snow on average 5.1 days earlier than in observations). In experiments where we removed the canopy cover, the MAE averaged over models becomes 26% of the observed station-average ablation rate. The MAE of each individual model in the no-vegetation simulations is close to the baseline results: SSiB and Noah-MP have some improvement while VIC and Catchment produce slightly higher values.
- 2) The modeled ablation rates are highly correlated with accumulated maximum SWE in part because high SWE stations have their ablation periods at a time of year (generally later in spring than low SWE sites) when downward solar radiation, and hence net radiation, is higher. Net radiation is highly correlated with ablation rates (more so

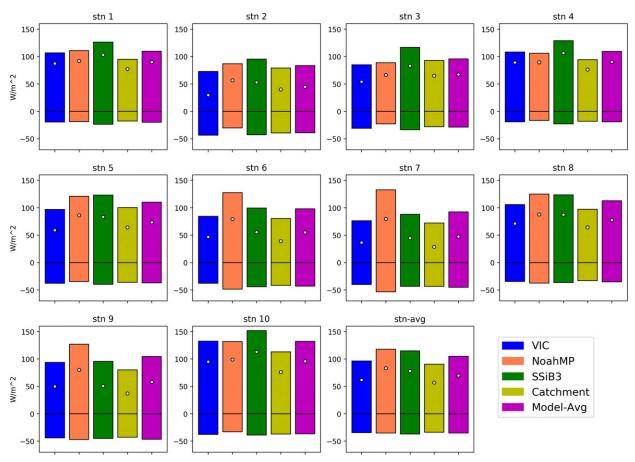


FIG. 11. As in Fig. 8, but the results are extracted from the no-vegetation experiment.

than is temperature), which is consistent with other published studies. Wind speed is not a strong predictor of ablation rates during the melting process.

- 3) The effects of vegetation canopy cover vary substantially across the models. The presence of a vegetation canopy increases the average ablation rates in VIC but decreases ablation in Noah-MP, SSiB, and Catchment. Under the short-canopy scenario, the differences among models are mainly attributable to differences in net radiation (Rn)
- estimates and energy fluxes (SH/LH) allocation; Rn is primarily affected by net shortwave radiation, which mainly results from differences in ground surface albedo in VIC, Noah-MP, and Catchment. SSiB alone has large shading of incoming solar energy even for a short-canopies scenario, which distinguishes it from the other models.
- 4) If the vegetation type is switched from shrub/grass to trees, the ablation rate would become slower in VIC and faster in Noah-MP. By comparing the energy flux terms below and

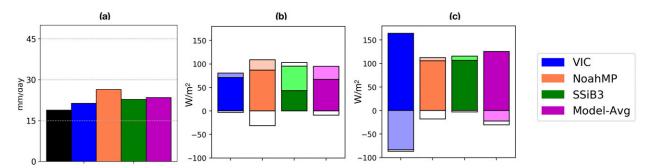


FIG. 12. (a) Station-average ablation rate extracted from the tree-scenario simulation. The black bar is calculated from observation, as in Fig. 3. (b) Energy flux terms for the tree experiment (as in Fig. 7) for VIC, Noah-MP, and SSiB3 for the snow surface. (c) As in (b), but for fluxes at the top of the canopy. Results for Catchment are not shown for the reasons given in section 4b.

above trees, we also find that the representation of energy allocation can be of great difference among the models. The differences in model parameterizations point to the need for observations of radiative data below and above the canopy. Given the magnitudes of the difference among models, differences in the effects of vegetation on snow ablation should be a topic for further development in the modeling community.

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