

First demonstration of early warning gravitational wave alerts

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ABSTRACT

Gravitational-wave observations became commonplace in Advanced LIGO-Virgo’s recently concluded third observing run. 56 non-retracted candidates were identified and publicly announced in near real time. Gravitational waves from binary neutron star mergers, however, remain of special interest since they can be precursors to high-energy astrophysical phenomena like γ -ray bursts and kilonovae. While late-time electromagnetic emissions provide important information about the astrophysical processes within, the prompt emission along with gravitational waves uniquely reveals the extreme matter and gravity during - and in the seconds following - merger. Rapid communication of source location and properties from the gravitational-wave data is crucial to facilitate multi-messenger follow-up of such sources. This is especially enabled if the partner facilities are forewarned via an *early-warning* (pre-merger) alert. Here we describe the commissioning and performance of such a low-latency infrastructure within LIGO-Virgo. We present results from an end-to-end mock data challenge that detects binary neutron star mergers and alerts partner facilities before merger. We set expectations for these alerts in future observing runs.

Keywords: Compact binary stars(283), Computational methods(1965), Gamma-ray bursts(629), Gravitational wave astronomy(675), Gravitational wave detectors(676), Neutron stars(1108)

1. INTRODUCTION

The field of gravitational-wave astronomy has exploded in the years following the first direct observation of gravitational waves (GWs) from a binary black hole (BBH) merger (Abbott et al. 2016). Since then, LIGO-Virgo have published 49 candidate events, many of which were identified in low-latency¹; these include 2 binary neutron star (BNS) and 2 neutron star–black hole (NSBH) candidates (Abbott et al. 2020a). The detection of GWs from compact binaries, especially from BBHs, has become routine. GWs from BNS and NSBH mergers, however, remain rare. BNS and NSBH mergers are of special interest due to the possibility of counterpart electromagnetic (EM) signals. For BNS mergers in particular, it has long been hypothesized that the central engine (post-merger) can launch short gamma-ray bursts (SGRBs) (Lat-

timer & Schramm 1976; Lee & Ramirez-Ruiz 2007), kilonovae (Li & Paczynski 1998; Metzger et al. 2010), and radio waves and X-rays post merger (Nakar & Piran 2011; Metzger & Berger 2012). In the special case of the presence of a magnetized NS, it can also lead to GRB precursors before the merger (Metzger & Zivancev 2016).

Although the improvement in Advanced LIGO-Virgo’s sensitivity was paralleled by analogous advancements in the field of time-domain astronomy, the first observed BNS merger, GW170817 (Abbott et al. 2017c), remains the only realization of multi-messenger astronomy (MMA) with GWs. The coincident observation of GWs followed by an SGRB, GRB 170817A, and the kilonova AT 2017gfo, (Abbott et al. 2017d) bore evidence to the several-decade-old hypothesis that compact object mergers were progenitors of these exotic transients. The joint observations also contributed greatly to our understanding of fundamental physics (Abbott

¹ Some of the 56 have not yet appeared in a LIGO-Virgo publication.

et al. 2017b, 2019b) and astrophysical processes associated with extreme environments (Abbott et al. 2017a; Nicholl et al. 2017). Despite the plethora of late-time observations made starting ~ 8 hours after coalescence (Abbott et al. 2017d), observations of the prompt spectra were precluded by non-stationarities in the LIGO Livingston interferometer and delays in Virgo data transfer. The alert and sky localization were distributed to partner observatories ~ 40 minutes (LIGO Scientific Collaboration 2017a) and ~ 5 hours (LIGO Scientific Collaboration 2017b), respectively, after the signal arrived at the detectors; by this time, the source had set below the horizon for northern hemisphere telescopes. The circumstances surrounding this delay were unusual, but it is crucial for LIGO-Virgo to distribute alerts as quickly as possible to maximize the chance of additional multi-messenger observations.

The serendipitous discovery of GRB 170717A by Fermi and INTEGRAL show the importance of catching the prompt EM emission to our understanding of merging compact binaries. EM observatories have begun to develop capacity to perform targeted observations in response to preliminary Gamma-ray Coordinates Network (GCN) notices produced by pre-merger detections. For example, the Murchison Wide-Field Array (MWA) radio telescope has a large field of view ideally suited to searching for precursor and prompt radio emission from GW sources and an established observing plan to respond to pre-merger detections (James et al. 2019). *Swift*-BAT has recently also demonstrated the potential to respond autonomously to extremely low-latency triggers in the future, with the introduction of an on-board sub-threshold trigger recovery algorithm (GUANO, Tohuvavohu et al. (2020)). By the beginning of Advanced LIGO-Virgo’s fourth observing run (O4), it is expected that established missions and observatories will be joined by next generation facilities like the

Rubin Observatory (Ivezić et al. 2019). This greatly improves the chances of performing targeted followup observations of prompt, or even precursor (Troja et al. 2010; Tsang et al. 2012), emission from compact binary mergers provided that pre-merger alerts can be issued.

LIGO-Virgo has since streamlined the alert process (see Fig. 3). Advanced LIGO’s and Advanced Virgo’s third observing run (O3) saw the dawn of autonomously distributed Preliminary GCN Notices (LIGO Scientific Collaboration 2019)², which allowed LIGO-Virgo to notify the world of candidate signals within 7.0^{+92}_{-4} minutes³ of observation. To further enable EM-GW observations, we can leverage the long-lived nature of BNSs in the sensitive band of advanced ground-based GW detectors to make pre-merger detections (Cannon et al. 2012; Chu et al. 2016). This was recently demonstrated by Sachdev et al. (2020) and Nitz et al. (2020). The early detection and communication of GWs from BNSs aims to facilitate EM follow-up efforts by further reducing the latency of alerts and improving prospects of capturing the initial spectra.

In this letter we describe the commissioning and performance of the low-latency sub-system within Advanced LIGO-Virgo that is able to provide pre-merger alerts for electromagnetically bright compact binaries. We begin by describing the end-to-end low-latency workflow in Section 2, from the time of data acquisition to the dissemination of public alerts. We then assess the performance of a subset of this infrastructure in a mock data challenge described in Section 3, with special emphasis placed on pre-merger alerts. We demonstrate that Preliminary GCN Notices can be distributed with true negative latencies: partner observatories receive

² <https://gcn.gsfc.nasa.gov/>

³ The 95% reported here is severely impacted by several high latency events that evaded automated procedures.

sky localizations and source information before the binary has completed its merger. We report on the improved latencies at each step of the workflow, and set expectations for pre-merger alerts in O4 and next generation detectors in Section 4.

2. ANALYSIS

The low-latency workflow begins with data acquisition at each interferometer. The digital signal from the output photodiode is initially calibrated by a pipeline that runs on the set of computers that directly control the interferometer. The calibrated data, while produced with near-zero latency, are not yet accurate enough for use by low-latency gravitational-wave searches. The data are broadcast to a set of computers where a GStreamer-based pipeline corrects the strain data to achieve the required level of accuracy (Viets et al. 2018). This pipeline writes the calibrated strain data to a proprietary LIGO frame data format and then transfers them to computing sites. There, the calibrated data are ingested by the complete set of low-latency full bandwidth GW pipelines: cWB (Klimenko & Mitselmakher 2004; Klimenko et al. 2005, 2006, 2011, 2016), GstLAL (Sachdev et al. 2019; Hanna et al. 2020; Messick et al. 2017), MBTAOnline (Adams et al. 2015), PyCBC Live (Nitz et al. 2018; Dal Canton et al. 2020), and SPIIR (Luan et al. 2012; Hooper et al. 2012; Liu et al. 2012; Guo et al. 2018; Chu 2017). For the first time, we also incorporate two matched-filter based pipelines focused on pre-merger detection into our workflow: GstLAL (Sachdev et al. 2020; Cannon et al. 2012) and SPIIR (Chu et al. 2020). All detection pipelines analyze the data for GWs and assign significances to candidate triggers. Candidates that are assigned false alarm rates (FARs) less than one per hour⁴ are uploaded to the GRAvitational-wave Can-

didate Event DataBase (GraceDB)⁵ alongside data required downstream in the alert process.

After candidates are uploaded, the task manager GWCelery⁶ interacts with low-latency searches and GraceDB to orchestrate a number of parallel and interconnected processes which, in the event of a discovery, culminates in the dissemination of GCN Notices. GWCelery provided the semi-automated infrastructure for public alerts in O3, as well as for the mock data challenge reported here. The major subsystems include:

- The listener for LVAAlert, which is a publish-subscribe system used by GraceDB to push machine-readable notifications about its state.
- The Superevent Manager, which clusters and merges related candidates into *superevents*.⁷
- The client functionality to interact with GraceDB.⁸
- The GCN listener that listens for notices from external facilities to spot coincidences with GW candidates.
- The External Trigger Manager, which correlates gravitational-wave events with GRB, neutrino, and supernova events.
- The GCN broker that disseminates GW candidate information for external consumption.
- The Orchestrator, which executes the per-(super)event annotation workflow.

After candidate events are uploaded by detection pipelines, they are localized via

⁴ No trials factor is applied to the candidate upload threshold.

⁵ <https://gracedb.ligo.org/>

⁶ <https://gwcelery.readthedocs.io/>

⁷ <https://emfollow.docs.ligo.org/userguide/analysis/superevents.html>

⁸ <https://gracedb-sdk.readthedocs.io>

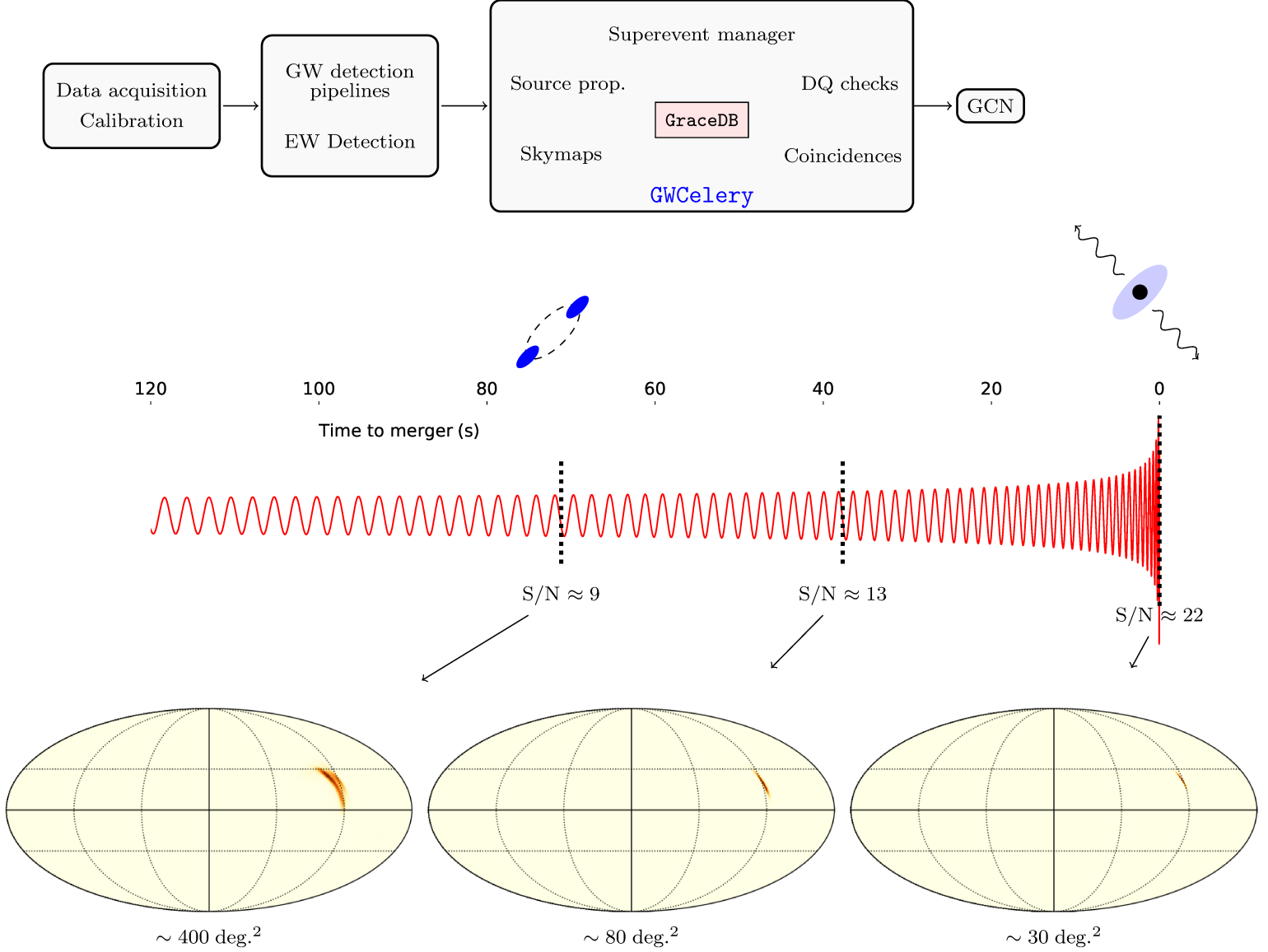


Figure 1. This upper half of the figure illustrates the complete pipeline and interaction of the various (sub)systems, mentioned in Sec. 2, responsible for disseminating early warning alerts. The waveform evolution with time is shown in the bottom half along with the dependence of the sky-localization area on the cutoff time of the early-warning templates and the accumulated S/N during the binary inspiral. The waveforms, time to merger, S/N, and localizations in this figure are qualitative.

BAYESTAR (Singer & Price 2016), given a probability of having an electromagnetic counterpart (Chatterjee et al. 2020), and assigned a source-category based astrophysical probability under the assumption that astrophysical and terrestrial triggers occur as independent Poisson processes (Kapadia et al. 2020). Events are checked for temporal and, when possible, spatial coincidences with gamma-ray bursts or neu-

trino bursts using the RAVEN pipeline (Urban 2016). A joint significance is calculated to decide whether the joint candidate should be published.

BAYESTAR was optimized in order to support early warning localizations which led to a median run time of 0.5s per event for early warning triggers and 1.1s per event for full bandwidth triggers. The latter is a $4.2\times$

speedup compared to usual O3 performance. The significant changes included rearrangement of loops to improve memory access patterns and make better use of x86_64 vector instructions, changes to the input data handling to distinguish properly between the merger time and the cutoff time of early warning templates, and the redesign of the reconstruction filter that is used to sample the SNR time series for likelihood evaluation to use a lower sample rate.⁹

To mitigate the effect of noise transients, basic data quality checks are also performed for every candidate uploaded to GraceDB. In particular, specific state vectors are checked to ensure that candidate events occur during times when the relevant detectors are in observing mode and to verify that there are no coincident hardware injections.

A qualitative overview of entire pipeline and the various (sub)systems mentioned above is illustrated in Fig. 1. A heuristic waveform evolution and the effect of different early-warning template cutoff times on the accumulated S/N and the sky-localization is also shown.

3. RESULTS

To demonstrate the robustness of the alert infrastructure, we describe the results of a mock data challenge carried out between 11 June 2020 1700 UTC and 19 June 2020 1700 UTC. Data previously collected during O3 were replayed as a mock low-latency analysis. We note that since the challenge relied on previously collected data, it was impossible to test the full low-latency workflow; notably, data transfer and calibration latencies are not included (~ 5 seconds). The test therefore begins with the detection pipelines, but otherwise follows a workflow identical to Advanced LIGO-Virgo observing runs.

The FAR threshold set for issuing early warning test notices was chosen to be 1 per day. Full

bandwidth triggers used the same FAR threshold set throughout O3 for public alerts (1 per 2 months)¹⁰. At fixed FAR, the astrophysical probability (Kapadia et al. 2020) associated with pre-merger analyses is lower than for full bandwidth analyses. Due to this fact, combined with our chosen higher FAR threshold for early-warning alerts, we issued retraction circulars for early warning candidates that were not also identified by the full bandwidth analyses. There were no retraction criteria set for full bandwidth triggers.

During the mock data challenge, eight candidates were published via the test GCN. 3 candidates were identified by only the full bandwidth analyses and were distributed via notice and circular (LIGO Scientific Collaboration 2020a,b,c). The remaining 5 public candidates were identified only by the early warning pipelines and were distributed via GCN notices to subscribers of test alerts. None of these 5 candidates were observed in the full bandwidth analyses; they were therefore subsequently retracted (LIGO Scientific Collaboration 2020d,e,f,g,h). Out of the 5 retracted triggers, 4 came from the GstLAL early warning pipeline, while 1 was issued by the SPIIR early warning pipeline. An authentication issue prevented the SPIIR pipeline from issuing additional events past the FAR threshold. A summary of the 5 early warning alerts is given in Table 1.

Although only 5 pre-merger candidates passed the early warning public alert threshold, GstLAL and SPIIR uploaded 82 and 141 early warning candidate events, respectively, to GraceDB. We use the metadata associated with these uploads to produce Fig. 2. From the events crossing threshold we see that the maximum delivery time from event upload is 15s, in-

⁹ The early warning templates are Nyquist critically sampled which could lead to ringing artifacts.

¹⁰ A trials factor is applied on top of this threshold to account for the two early warning and four full bandwidth matched filter pipelines

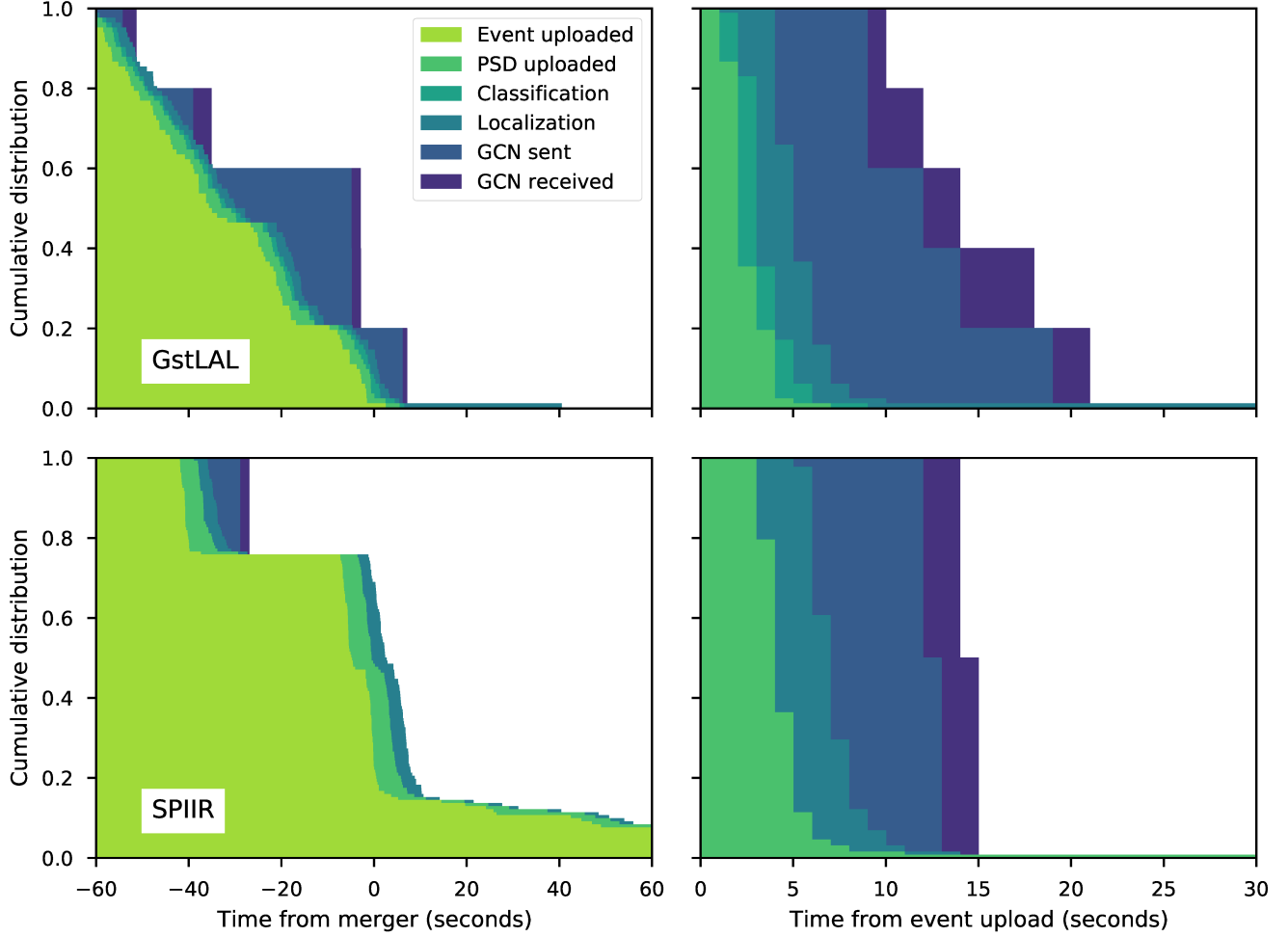


Figure 2. Latencies associated with early warning uploads from the GstLAL (top) and SPIIR (bottom) pipelines. Design differences between the pipelines lead to distinct distributions for the time before merger at which a candidate is identified. The left panels indicate that $\sim 85\%$ and $\sim 35\%$ of the uploaded GstLAL and SPIIR candidates, respectively, are localized prior to merger. The right panels demonstrate that despite differences in latencies associated with event identification, the scatter of the remaining processes is remarkably similar.

dependent of pipeline. This enables $\sim 85\%$ and $\sim 35\%$ of the GstLAL and SPIIR candidates, respectively, to be localized before merger.

4. LOOKING AHEAD

Early warning alerts using real data have not yet been released by the LIGO-Virgo collaboration. Despite the steady improvement of the alert infrastructure (Figure 3), there remain several areas for improvement in the processing of data and production of alerts if the collaboration decides to pursue pre-merger triggers.

As previously mentioned, low-latency data calibration is currently a two step process; the near-zero-latency pipeline is corrected by a secondary GStreamer-based pipeline. Work is underway to reduce this to a single calibration step to reduce latency by $\mathcal{O}(\text{seconds})$. The calibrated data are transferred from the detector sites to the computing clusters in ~ 4 seconds, and afterward at the cluster level using Kafka,¹¹ with

¹¹ <https://kafka.apache.org/>

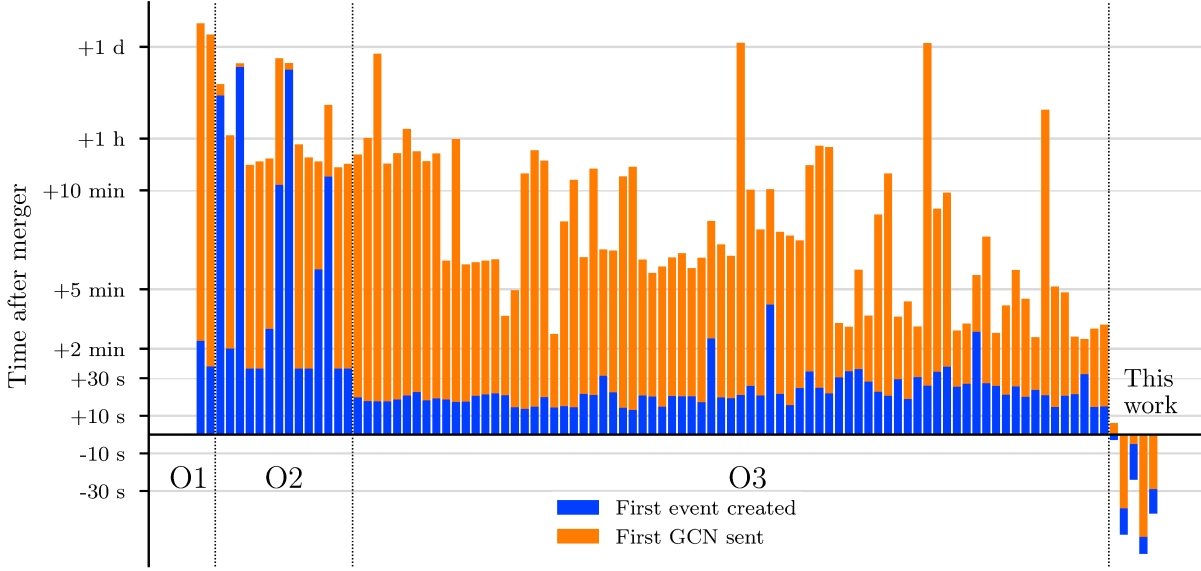


Figure 3. A history of end-to-end latencies across public alerts in the first three observing runs and the mock data challenge presented here (Abbott et al. 2019a).

an additional ~ 0.1 seconds. Another one second of latency¹² is attributed to the choice to distribute data via frame files. A number of improvements are under development to reduce this latency budget.

Reductions to the noise budget at frequencies $\lesssim 30$ Hz will improve the possibility of detection pipelines identifying signals long before merger. We estimate that if the noise floor below 30 Hz remains unchanged from O3, the recovered S/N one minute and 30 seconds before merger will be $\sim 50\%$ and $\sim 20\%$ less, respectively, than if the detectors reach the previously projected O4 sensitivity. The effect is less severe for early warning times just before merger, but low frequency noise is a major barrier to advance alerts.

Figures 2 and 3 demonstrate that the GW alert system is capable of providing GW alerts before merger, but they do not consider the prospects for detection from an astrophysical

source population. We generate a population of simulated BNS signals, henceforth referred to as *injections*, using the **TaylorF2** (Sathyaprakash & Dhurandhar 1991; Blanchet et al. 1995, 2005; Buonanno et al. 2009) waveform model. Both source-frame component masses are drawn from a Gaussian distribution between $1.0 M_{\odot} < m_1, m_2 < 2.0 M_{\odot}$ with mean mass of $1.33 M_{\odot}$ and standard deviation of $0.09 M_{\odot}$, modeled after observations of galactic BNSs (Özel & Freire 2016)¹³. The neutron stars in the population are non-spinning, motivated by the low spins of BNSs expected to merge within a Hubble time (Burgay et al. 2003; Zhu et al. 2018). The signals are distributed uniformly in co-moving volume up to a redshift of $z = 0.2$. We consider a network of four GW detectors: LIGO-Hanford, LIGO-Livingston, Virgo, and

¹³ Note that if GW190425 is a BNS, then galactic measurements are not representative of neutron star masses.

¹² Four seconds for Virgo data.

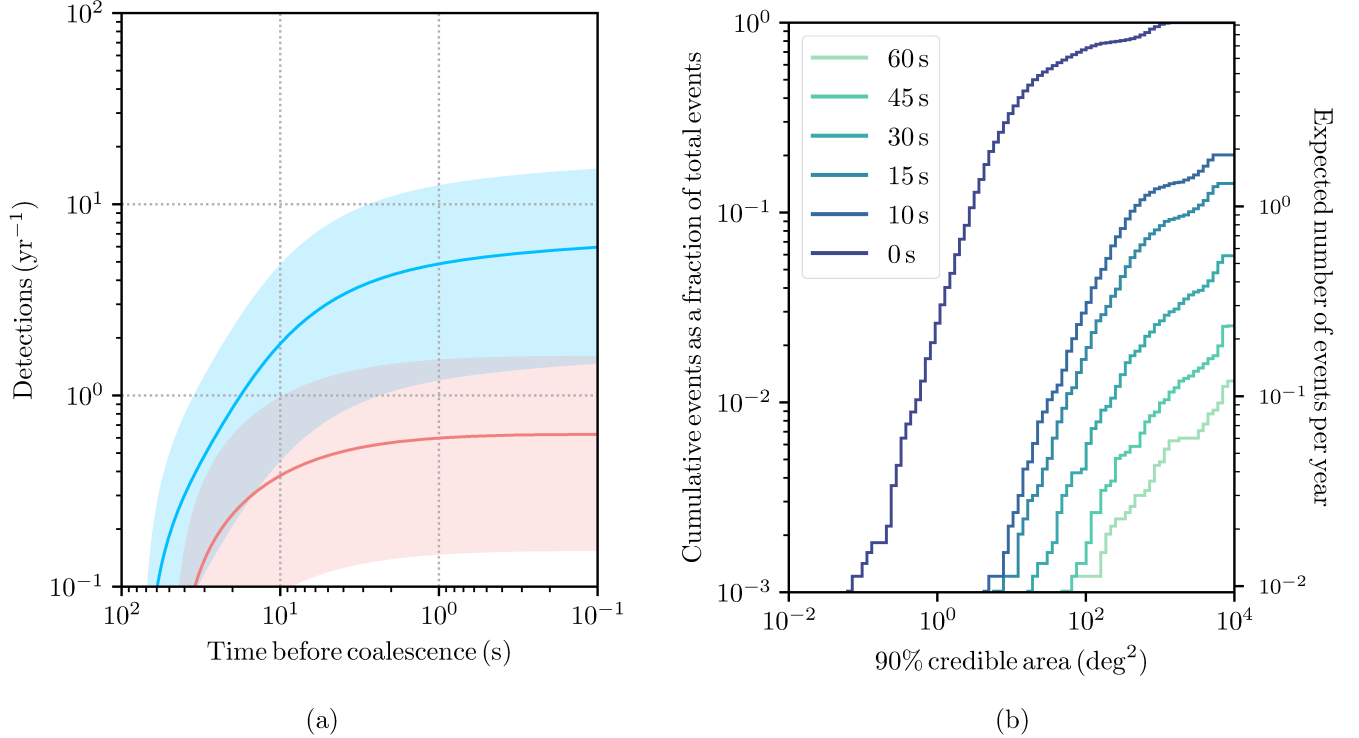


Figure 4. (4a) Projected O4 early warning detection rate assuming 0 second (blue) and 25 second (red) end-to-end latencies from the GW alert system. The worst case scenario assumes 5 seconds for calibration and data transfer, 5 seconds for pipeline analysis, and 15 seconds for event upload and GCN creation. The rate of expected detections was estimated from a simulated data set assuming a 100% detector duty cycle for the 4-detector HLVK network. The uncertainty bands reflect the (5%, 95%) confidence region for the BNS rate. Signals with network S/Ns greater than 12 are considered recovered. (4b) The expected localization distribution for BNS detections at six approximate early warning times. No latencies are included in this figure. The inclusion of an end-to-end latency does not shift the histogram itself; the labeled times before merger would all systematically shift instead. Both plots use the BNS rates estimated in Abbott et al. (2020b).

KAGRA at their projected O4 sensitivities.¹⁴ We simulate the results of an early warning matched-filtering pipeline by considering 6 different discrete frequency cut-offs: 29 Hz, 32 Hz, 38 Hz, 49 Hz, 56 Hz, and 1024 Hz to analyze signal recovery at (approximately) 58 s, 44 s, 28 s, 14 s, 10 s, and 0 s before merger, motivated by Sachdev et al. (2020). We calculate the network S/N of each injection at each frequency cut-off and consider the events that pass an S/N cut-off of 12.0 as ‘detected’. We then calculate the sky posteriors for each of the de-

tected signals by using BAYESTAR (Singer & Price 2016). We use the most recent BNS local merger rate from Abbott et al. (2020b) of $320^{+410}_{-240} \text{ Gpc}^{-3} \text{ yr}^{-1}$ to estimate the number of events detected per year in the detector network. In Figure 4a we see that our optimistic scenario predicts 5^{+7}_{-4} GCN will be received 1 second before merger per year, while our pessimistic scenario predicts $\mathcal{O}(1)$ GCN will be received 1 second before merger per year considering the higher end of the BNS rate. Figure 4b predicts that ~ 9 events will be detected per year, out of which $\sim 20\%$ ($\sim 1.3\%$) will be detected 10 s (60 s) before merger. Further, $\sim 3\%$

¹⁴ <https://dcc.ligo.org/LIGO-T2000012/public>

of the detectable events (~ 1 BNS every 3–4 years) will be detected 10 seconds prior to merger and have a localization less than 100 deg^2 at O4 sensitivities. This highlights the need for continued latency improvements in advance of O4 to maximize the potential of capturing prompt emission.

In the design sensitivity era with three detectors, Sachdev et al. (2020) have shown that about half of the total detectable BNSs will be found 10 s before merger, and about 2% will be identified before merger and localized to within 100 deg^2 . Sachdev et al. (2020) used the GstLAL pipeline in an early warning configuration to assign FARs to simulated BNS signals to estimate these rates.¹⁵ We extend this to include KAGRA in the detector network, but we estimate rates based on a fiducial S/N cut-off of 12. We find that our zero-latency scenario improves to ~ 2 BNS observable one minute before coalescence. Assuming 25 seconds of pipeline latency, ~ 1 BNS will be localized and disseminated one minute before merger every 2 years. The localization prospects similarly improve. At design sensitivity, ~ 3 BNS every year will be detected 10 seconds prior to merger and have localizations $\lesssim 100 \text{ deg}^2$, ~ 2 signals per year will be detected 15 seconds prior to merger with similar localization. The detection rates estimated by Nitz et al. (2020) are comparable to ours, considering their use of a larger BNS rate density (~ 3 times ours) and a less strict criterion for the detectability of a signal (network S/N > 10).

The next generation of ground based interferometers will offer unparalleled early warning capabilities. Using a similar S/N detection threshold (but further mandating that at least two interferometers measure S/Ns above 5.5), Chan

et al. (2018) found that the Einstein Telescope can alert observers up to 20 hours in advance for 58% of detectable BNS at 200 Mpc and 100% at 40 Mpc. The majority of these signals will be well localized. A similar study by Akcay (2019) with a S/N detection threshold of 15 found that the Einstein Telescope will provide early notice for $\mathcal{O}(10^2)$ BNS mergers next decade.

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Facilities: LIGO, EGO:Virgo

¹⁵ Note that the estimated BNS rate at the time of Sachdev et al. (2020) was approximately three times larger than the updated rate presented in Abbott et al. (2020b)

Software: astropy (Astropy Collaboration et al. 2013), numpy (Harris et al. 2020), matplotlib (Hunter 2007), iPython (Perez & Granger 2007), pandas (Wes McKinney 2010), gwpy (Macleod et al. 2020), celery (Solem & contributors 2020)

APPENDIX

Table 1. A summary of the 5 early warning alert information and latencies from the mock data challenge described in Sec. 3. Among the 5, MS200619bf was reported by the SPIIR pipeline, while the others were reported from GstLAL. The latencies are broken down in steps of the event being uploaded into GraceDB, the superevent being created, the skymap being available for the preferred event, and the notice being acknowledged by GCN.

Superevent	Date (UTC)	FAR	Latency				GCNs
			Event	Superevent	Skymap	Notice	
MS200615h	2020-06-15 00:35:40	2.02e-06	-2.9	-1.9	0.1	7.1	https://gcn.gsfc.nasa.gov/gcn3/27951.gcn3
MS200618aq	2020-06-18 05:47:05	1.78e-07	-53.1	-52.1	-50.1	-35.1	https://gcn.gsfc.nasa.gov/gcn3/27990.gcn3
MS200618bq	2020-06-18 11:00:59	3.50e-06	-16.9	-21.9	-11.9	-2.9	https://gcn.gsfc.nasa.gov/gcn3/27987.gcn3
MS200618bx	2020-06-18 12:17:08	3.76e-06	-63.3	-62.3	-59.3	-51.3	https://gcn.gsfc.nasa.gov/gcn3/27988.gcn3
MS200619bf	2020-06-19 10:24:43	1.91e-06	-41.0	-40.0	-35.0	-27.0	https://gcn.gsfc.nasa.gov/gcn3/27989.gcn3

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