

# **Spatially varying phytoplankton seasonality on the Northwest Atlantic Shelf: A model-based assessment of patterns, drivers and implications**

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## 14 Key Words:

15 Gulf of Maine; Mid-Atlantic Bight; marine ecosystem modeling; phytoplankton seasonality;  
16 spatial heterogeneity; thermal sensitivity

17

## Abstract

19 Signals of phytoplankton responses to climate-related forcing can be obscured by the heterogeneity  
20 of shelf seascapes, making them difficult to detect from spatiotemporally fragmented observations.  
21 In this study, a physical-biological model was applied to the Northwest Atlantic Shelf (NAS) to  
22 capture the seasonality of phytoplankton in terms of biomass and size composition. The difference  
23 in phytoplankton seasonality between the Mid-Atlantic Bight (MAB) and the Gulf of Maine (GoM)  
24 is a result of the interplay between nutrients and temperature: In the MAB, relatively high  
25 temperature in the cold season and longer duration of oligotrophic environment in the warm season  
26 contribute to an earlier winter bloom and a later fall bloom; In the GoM, low temperature and  
27 strong mixing limit phytoplankton growth from late fall to early spring, resulting in a later spring  
28 bloom and an earlier fall bloom. Although the temperature difference between the GoM and the  
29 MAB is likely to decrease in the future, stratification and surface nutrient regimes in these two  
30 regions will remain different owing to distinct thermohaline structures and deep-water intrusion.  
31 The spatial heterogeneity of phytoplankton dynamics affects regional pelagic and benthic  
32 production through connections with zooplankton and benthic-pelagic coupling.

33

### 34 1. Introduction

35 The Northwest Atlantic Shelf (NAS) from the Gulf of Maine (GoM) to the Mid-Atlantic  
36 Bight (MAB) has long been recognized as a highly productive ecosystem, providing essential  
37 habitat for breeding, spawning, and feeding of abundant marine life (Mills et al., 2013; Goode et  
38 al., 2019). As the foundation of the pelagic food web, phytoplankton supports the marine  
39 ecosystem by converting inorganic carbon and nutrients to organic compounds. On the NAS, the  
40 seasonality of phytoplankton dynamics plays an important role in nutrient cycling and the

41 phenology of higher trophic levels (Staudinger et al., 2019). Therefore, a comprehensive  
42 understanding of phytoplankton dynamics at the seasonal time scale and its spatial heterogeneity  
43 is essential for detecting the impacts of climate-forced ecosystem changes and supporting  
44 ecosystem-based fisheries management.

45 Nitrogen is a predominant limiting nutrient for phytoplankton growth in the NAS  
46 ecosystem, and its seasonal variation in the euphotic layer is modulated by stratification and  
47 mixing (O'Reilly and Busch, 1984; Townsend et al., 2006). New nitrogen over the NAS is  
48 provided by terrestrial discharge, atmospheric deposition, and inflow from the open ocean  
49 (Townsend et al., 2010; Zhang et al., 2013; Friedrichs et al., 2019). In the GoM, the intrusion of  
50 slope water through the Northeast Channel acts as a major source of dissolved inorganic nitrogen  
51 (Ramp et al., 1985; Townsend et al., 2010). After entering the GoM, nutrient-rich deep waters are  
52 brought to the surface via multiple physical processes (e.g., tidal mixing, upwelling, and  
53 convective overturning). Fluvial discharge is another source of new nitrogen in the GoM nearshore  
54 areas with limited offshore expansion (Townsend et al., 2010). Compared with new nitrogen from  
55 external reservoirs, internally recycled nitrogen in the GoM has gained more attention recently,  
56 with both model results and field measurements suggesting its importance in supporting surface  
57 productivity (Townsend, 1998; Switzer et al., 2020). In the MAB, the impact of terrestrial nutrient  
58 fluxes is also largely limited to nearshore areas, although the contribution of nutrient load from  
59 large estuarine systems is higher than that in the GoM (Fennel et al., 2006; Zhang et al., 2019).  
60 Over the shelf break, cross-frontal mixing events between slope and shelf waters provide  
61 additional nitrogen flux into the MAB (Malone et al., 1983; Townsend et al., 2006; Friedrichs et  
62 al., 2019).

63 Phytoplankton dynamics over the NAS are characterized by pronounced seasonality and  
64 spatial heterogeneity. In the GoM, phytoplankton have a major bloom in winter-spring and a  
65 secondary bloom in fall (Thomas et al., 2003). Lower chlorophyll concentration in summer is due  
66 to surface nutrient depletion associated with strong vertical stratification (Tian et al., 2014; Li et  
67 al., 2015). Both observations and model results indicate that surface freshening due to Scotian  
68 Shelf Water (SSW) inflow has likely enhanced vertical stratification and contributed to an earlier  
69 spring bloom with reduced magnitude in the GoM (Ji et al., 2007, 2008b; Song et al., 2010). In  
70 fall, nutrient replenishment from weakened stratification fuels the secondary bloom, and the  
71 interannual variability of its formation is related to vertical mixing and pre-bloom conditions (Hu  
72 et al., 2011). In the MAB, the seasonal variation of phytoplankton is out of phase with that in the  
73 GoM: the winter bloom on the inner shelf of the MAB occurs prior to the spring bloom in the GoM  
74 (Yoder et al., 2001; Xu et al., 2011). The timing and magnitude of phytoplankton biomass  
75 accumulation in the MAB are largely controlled by water stratification (Xu et al., 2011).

76 The size structure of phytoplankton communities can affect the efficiency and fate of  
77 primary production, and its seasonality can be influenced by physical-biogeochemical conditions  
78 on the shelf, including vertical mixing, wind, light, temperature, and nutrient availability (Mouw  
79 and Yoder, 2005, 2010). Overall, the eutrophic environment favors high abundance of large  
80 phytoplankton (e.g., diatom). In the GoM, both field measurements and satellite data indicate that  
81 mixing-induced strong nutrient replenishment in winter results in the dominance of diatoms during  
82 winter-spring, and community cell size decreases from nearshore area to deep basins as the nutrient  
83 availability reduces seaward (Mouw and Yoder, 2005; Townsend et al., 2010; Pan et al., 2011).  
84 During the summer season, small phytoplankton (e.g., nanophytoplankton) are dominant due to  
85 strong vertical stratification and surface nutrient depletion (Li et al., 2006). In the MAB, the

86 seasonality of phytoplankton size structure is controlled by the similar mechanism as that in the  
87 GoM (Pan et al., 2011). Most of the previous studies focused on the GoM and the MAB separately,  
88 and a model-based integrative framework is needed to synthesize the spatiotemporal patterns and  
89 to better understand the driving mechanisms.

90 Over the NAS, another understudied problem is the dynamics of organic detritus and  
91 phytoplankton at the bottom and their coupling with surface productivity. Bottom detritus and  
92 phytoplankton are critical energy sources for benthic organisms, including some important fishery  
93 species (Townsend and Cammen, 1988; Mills et al., 2013). The abundance of detritus and  
94 phytoplankton at the bottom are jointly modulated by vertical sinking from the overlying water  
95 column, lateral advection, and resuspension (Cranford and Gordon, 1992; Dunne et al., 2005).  
96 These physical processes, together with biogeochemical dynamics (e.g., particulate organic matter  
97 decomposition), regulate the spatial distribution of phytoplankton and detritus at the bottom and  
98 the energy flow from the euphotic zone to the benthos. A model-based analysis can shed light on  
99 the general spatiotemporal patterns of pelagic-benthic coupling and identify the key gaps in our  
100 observations.

101 Our understanding of nutrient cycling and physical-biological coupling on the NAS has  
102 greatly improved over the last few decades (e.g., Malone et al., 1983; Fennel et al., 2006; Ji et al.,  
103 2008b, 2008a; Townsend et al., 2014; Zhang et al., 2019). Yet some key questions regarding the  
104 spatial heterogeneity of nutrient-phytoplankton dynamics in this ecosystem remain and can be  
105 better addressed within an integrative modeling framework. Those questions include: 1) what is  
106 the major difference between the GoM and the MAB with regard to the seasonality of  
107 phytoplankton community? 2) what are the key factors controlling the spatial heterogeneity of  
108 phytoplankton dynamics? 3) what are the region-specific responses of phytoplankton dynamics to

109 climate forcing on the NAS? and 4) what are the implications of spatially heterogenous  
110 phytoplankton dynamics for regional pelagic and benthic production. Answering these questions  
111 becomes imperative as the climate warming on the NAS seems to be accelerating (Belkin, 2009;  
112 Burrows et al., 2011). The objective of this study is to establish a modeling framework to  
113 synthesize observational data from various sources, and to identify the major patterns and  
114 responsible drivers of the spatially variable phytoplankton seasonality. The 3-D coupled physical-  
115 biological model used in this study is capable of 1) resolving latitudinal gradients and coastal-  
116 shelf-slope interactions; 2) assessing sub-seasonal to interannual variabilities; 3) resolving pelagic  
117 microbial food web dynamics and size-dependent functional responses to changing environmental  
118 conditions. Our study focuses on the climatological patterns of nutrient and phytoplankton  
119 seasonality based on a multi-year (1978-2014) model simulation described below. Analyses of  
120 interannual variability will be presented in follow-up papers.

121

## 122 **2. Materials and Methods**

123

### 124 2.1 Ocean Hydrodynamic Model

125 The ocean hydrodynamic model used to force the biological model is a 3-dimensional,  
126 unstructured grid, and primitive equation Finite Volume Community Ocean Model (FVCOM;  
127 Chen et al., 2003). Specifically, FVCOM-Gulf of Maine Version 3 (FVCOM-GOM3) in this study  
128 is a circulation model for the US Northeast Coastal Ocean Forecast System (NECOFS), which is  
129 nested within the FVCOM-Global model (Chen et al., 2011). The model domain covers the NAS  
130 from the Scotian Shelf to the MAB, and adjacent slope and basin regions (Fig. 1). The horizontal  
131 grid resolution ranges from 10 km in the deep basins and flat shelves to 0.5 km in coastal regions

132 and topographically complex regions such as the shelf break, channels, and canyons. The vertical  
133 grid is discretized into 45 layers using a hybrid terrain-following coordinate (Chen et al., 2011).  
134 To support the quality of model products, FVCOM-GOM3 also assimilates mooring and ship  
135 measurements of temperature and salinity profiles using the optimal interpolation method and  
136 mooring current profiles using the nudging method (Chen et al., 2009). The physical outputs of  
137 this model have been validated through comparisons with available hydrographic observations.  
138 The model-data comparisons include 1) water elevations at tidal gauges (Chen et al., 2011; Sun et  
139 al., 2013), 2) temperature and salinity in the water column (Li et al., 2015), and 3) surface currents  
140 measured by coastal ocean dynamics application radar (CODAR) from 2000 to 2008 (Sun et al.,  
141 2016). These comparisons demonstrate that the model captures tidal- and shelf break density fronts,  
142 residual gyres, wind-driven upwelling, buoyancy-driven river plumes, the Gulf Stream-shelf  
143 interaction, and volume and mass transports entering the GoM over the Scotian Shelf from the  
144 upstream. Hourly mean outputs of this hydrodynamic model were downloaded from the data server  
145 of the University of Massachusetts Dartmouth (<http://fvcom.smast.umassd.edu>) to drive the  
146 marine food web model simulations in an offline coupling mode. The two successive hourly  
147 physical fields (e.g., current velocities in different directions, short wave radiation, and water  
148 temperature) are linearly interpolated to the time step of the marine food web model (i.e., 120 s).  
149 The 3-D transport equation is recalculated in two steps based on the interpolated physical fields to  
150 ensure the mass conservation of biological tracers in the food web model. In the first step, the  
151 biological variables are calculated using the advection and horizontal diffusion terms along with  
152 the biogeochemical source/sink terms. The advection terms are calculated using a second-order  
153 upwind scheme. In the second step, the vertical diffusion term is discretized and calculated using  
154 an implicit scheme following Chen et al. (2003).

155

156 2.2 Marine food web model

157 An intermediate-complexity nutrient-phytoplankton-zooplankton-detritus (NPZD) model  
158 was implemented to simulate lower trophic level food web dynamics on the NAS. The nitrogen-  
159 based model structure is modified from a 9-component global ecosystem model (Stock and Dunne,  
160 2010) by adding a mesozooplankton group (Song et al., 2010, 2011; Fig. S1). The 10 functional  
161 groups in the model include dissolved inorganic nitrogen (DIN), small phytoplankton (SP), large  
162 phytoplankton (LP), small zooplankton (SZ), mesozooplankton (MZ), large zooplankton (LZ),  
163 bacteria (BAC), labile small detritus (SD<sub>L</sub>), semi-labile small detritus (SD<sub>S</sub>), and large detritus  
164 (LD). Model parameters were tuned to better fit the NAS ecosystem. The vertical settling fluxes  
165 of LD, SP, and LP are resolved using a piecewise parabolic method and a weighted essentially  
166 non-oscillatory (WENO) scheme. Due to the importance of resuspension in LD dynamics at the  
167 bottom, one sediment layer for LD is applied to store the total amount of LD settled on the seabed.  
168 The remineralization rate of LD in the sediment layer is specified as zero. The resuspension flux  
169 of LD ( $E_{LD}$ ) is estimated based on current-induced bottom shear stress following Ariathurai and  
170 Arulanandan (1978), and  $E_{LD}$  can directly influence the concentration of LD in the bottom water  
171 layer. Zooplankton grazing terms in the model utilize Holling type II formulation if only one type  
172 of prey is available. When multiple types of prey exist, the switching response of grazing is  
173 included (Gentleman et al., 2003; Stock et al., 2008; Stock and Dunne, 2010). The fractions of MZ  
174 and LZ consumed by higher predators (HP) are based on their relative abundance and HP grazing  
175 rate following the switching response of grazing as well (Stock and Dunne, 2010). To simplify  
176 model processes, the atmospheric deposition of nutrients at the surface and denitrification  
177 processes at the bottom boundary are not considered, although some prior studies have suggested

178 those processes might be important in nitrogen cycling during certain time periods (Fennel et al.,  
179 2008; Friedrichs et al., 2019).

180 The focus of this study is phytoplankton dynamics and size composition, so we only show  
181 the growth rate equations for SP and LP. The role of zooplankton in the NAS ecosystem is beyond  
182 the scope of this paper and will be assessed in our follow-up studies. Readers are referred to Geider  
183 et al. (1997), Stock and Dunne (2010) and Song et al. (2011) for more details regarding the model's  
184 structure and equations. The phytoplankton growth rates of SP ( $\mu_{SP}$ ) and LP ( $\mu_{LP}$ ) are limited by  
185 temperature ( $f(T)$ ), nutrient concentration ( $g(N)$ ), and light availability for photosynthesis ( $h(I)$ ),

186 
$$\mu_{SP(LP)} = \frac{\mu_{SP(LP),max}}{1+\zeta_{SP(LP)}} \cdot f(T) \cdot g(N) \cdot h(I) - meta_{SP(LP)} \cdot f(T) \quad (1)$$

187 
$$f(T) = (Q_{10,SP(LP)})^{\frac{T-20}{10}} \quad (2)$$

188 
$$g(N) = \frac{N}{K_{N,SP(LP)}+N} \quad (3)$$

189 
$$h(I) = 1 - \exp\left(-\frac{\alpha_{SP(LP)} \cdot I \cdot \theta_{SP(LP)}}{\mu_{SP(LP),max} \cdot f(T) \cdot g(N)}\right) \quad (4)$$

190 Here  $\mu_{SP(LP),max}$  and  $meta_{SP(LP)}$  are maximum nutrient-saturated growth rate and basal  
191 metabolism rate of phytoplankton at the reference temperature (20°C), respectively.  $Q_{10,SP(LP)}$  is  
192 phytoplankton temperature dependence coefficient.  $K_{N,SP(LP)}$  represents half saturation coefficient  
193 for nutrient-limited growth.  $I$  is the incoming shortwave radiation flux for photosynthesis at the  
194 center of each grid.  $\alpha_{SP(LP)}$  is the initial slope of the photosynthesis-irradiance (P-I) curve.  
195  $\zeta_{SP(LP)}$  is the fraction of biosynthesis cost. Chlorophyll to carbon ratio,  $\theta_{SP(LP)}$ , is defined following  
196 Geider et al. (1997). All model parameters mentioned above are described in Table S1. It is worth  
197 noting that silicate is another important limiting nutrient for LP (i.e., diatom) in our study region  
198 (e.g., Townsend et al., 2006, 2010). Given roughly equal proportions of nitrate and silicate taken

199 up by diatoms and relatively lower concentrations of silicate than nitrate in the GoM, silicate is  
200 depleted earlier and limits the growth of diatoms in the center of the GoM (Townsend et al., 2006).  
201 In coastal regions, however, the depletion of nitrate during the spring bloom limits the growth of  
202 phytoplankton due to silicate-rich terrestrial discharge (Schoudel, 1996). The model implemented  
203 for this study follows our earlier model configuration without silicate cycle (Stock and Dunne,  
204 2010; Song et al., 2011). This caveat needs to be taken into consideration when interpreting the  
205 model results, especially for the deep central GoM region.

206

### 207 2.3 Observational data sets

208 To assess our model's hindcast skills in reproducing phytoplankton climatology and  
209 seasonality, we compiled both ship-based measurements and satellite data for model-observation  
210 comparison. Historical in-situ chlorophyll measurements of small-sized nanoplankton (2-20  $\mu\text{m}$ )  
211 and large-sized netplankton (20-300  $\mu\text{m}$ ) were retrieved from a total of 182 cruises (August 1976  
212 to January 1988) during Marine Resources Monitoring, Assessment & Prediction (MARMAP)  
213 Program of the National Oceanic and Atmospheric Administration (NOAA). The details of sample  
214 acquisition and laboratory processing procedures were described in O'Reilly and Zetlin (1998).  
215 Given MARMAP's monthly to seasonal sampling frequencies and strong mixing over the top 10  
216 m (Tian et al., 2014; Li et al., 2015), we computed bimonthly climatology (January-February,  
217 March-April, May-June, July-August, September-October, and November-December) of large vs.  
218 small phytoplankton size ratio by averaging observed values every 0.5° grid between 0 and 10 m  
219 depths. In addition, the bimonthly chlorophyll composites of GlobColour merged satellite products  
220 (<http://www.globcolour.info>) from 1998 to 2014 were interpolated to the model grid and compared  
221 with the simulated surface chlorophyll climatology to demonstrate the bimonthly patterns of model

222 results (Maritorena et al., 2010). It is worth noting that this bimonthly comparison between model  
223 results and satellite images was not for the assessment of detailed bloom timing due to the coarse  
224 temporal resolution. An EOF analysis with a higher temporal resolution (8-day composite) was  
225 conducted to evaluate more detailed timing variability patterns across the entire model domain.  
226 Field observations of nitrogen ( $\text{NO}_3 + \text{NO}_2$ ) and chlorophyll were extracted from the Gulf of Maine  
227 Region Nutrient and Hydrographic Database (<http://grampus.umeoce.maine.edu/nutrients>), a  
228 combination of several global and regional datasets (Rebuck and Townsend, 2014).

229

## 230 2.4 Sensitivity Tests

231 The response of phytoplankton growth rate to temperature is crucial in simulating marine  
232 primary productivity, and its parameterization directly impacts the model's capacity in  
233 reproducing ocean ecosystem dynamics under the rapid global climate change. Our model utilize  
234 a  $Q_{10}$  relationship to represent the response of phytoplankton growth rate to temperature variation  
235 (see equation 2). The maximum growth rate of phytoplankton increases (decreases) with the  
236 elevation of  $Q_{10,SP(LP)}$  above (below) the reference temperature (20 °C in this study) and vice versa  
237 (Fig. S2).  $Q_{10}$  was specified as 2.0 in our benchmark run following previous studies (Ji et al.,  
238 2008b; Stock and Dunne, 2010; Song et al., 2011). However, estimations of  $Q_{10}$  based on  
239 measurements suggested that many factors (e.g., species, physiological changes, temperature  
240 interval, genotypic difference) could cause significant deviations from 2.0 (Eppley, 1972; Sherman  
241 et al., 2016). Here we increased/decreased  $Q_{10,SP(LP)}$  by 0.3 and 0.6, respectively, and conducted

242 one-year simulations in 1978 to examine its importance in the seasonality of phytoplankton  
243 dynamics over the NAS.

244

### 245 **3 Results**

246

#### 247 3.1 Nitrogen dynamics in the GoM and the MAB

248 We compared simulated monthly-averaged nitrogen concentration over the top 10 m with  
249 observations in the GoM and the MAB (see Fig. S3 for locations). Our model reasonably  
250 reproduced the temporal variability of surface nitrogen, i.e., high in winter ( $> 5 \text{ mmol/m}^3$ ) and low  
251 in summer ( $< 2 \text{ mmol/m}^3$ ) (Fig. S4). The time series of simulated nitrogen was well correlated  
252 with measurements in the GoM ( $r=0.85$ ; RMSE=1.49) and the MAB ( $r=0.68$ ; RMSE=1.86),  
253 indicating the robust performance of our model in reproducing the seasonality of surface nitrogen  
254 on the NAS (Fig. S4). The simulated deep nitrogen below 100 m was also comparable to the field  
255 observed concentrations without a strong seasonality (Fig. S5).

256 To better demonstrate the seasonality of nitrogen concentration, the annual cycle of  
257 monthly mean temperature versus surface nitrogen concentration is shown in Fig. 2. The  
258 comparison between model and observations suggested that our model well captured the seasonal  
259 variation of nitrogen, which was strongly linked to thermal regime shifts among different seasons.  
260 The annual cycle was similar in the GoM and the MAB: nitrogen reached the highest level from  
261 January to March and the lowest level from May to September. The most dominant nitrogen  
262 difference between the GoM and the MAB was found in winter, during which nitrogen  
263 concentration in the MAB was about 4  $\text{mmol/m}^3$  lower than that in the GoM. In the summer season,

264 the nitrogen concentration difference between the two regions was less than  $\sim 1$  mmol/m<sup>3</sup>, while  
265 the water temperature differed by up to 8°C.

266

267 3.2 Spatiotemporal variability of surface chlorophyll

268 We compared the bimonthly GlobColour-derived chlorophyll composites with simulation  
269 results (chlorophyll from both phytoplankton size classes combined) to assess the model's  
270 performance in reproducing the surface phytoplankton dynamics (Fig. 3). Over the entire study  
271 area, the chlorophyll concentration was higher along the coast due to vertical mixing in shallow  
272 areas and decreased gradually offshore. Our simulated chlorophyll concentration in coastal regions  
273 was overall lower than the satellite results (Fig. 3). The offshore chlorophyll hotspot on Georges  
274 Bank had concentrations exceeding 1 mg/m<sup>3</sup> year-round. Shallow water depth, strong tidal mixing,  
275 and offshore nutrient supply jointly contributed to the formation of this productivity hotspot (Hu  
276 et al., 2008; Ji et al., 2008a). The seasonality of chlorophyll was well reproduced in our simulation:  
277 the spring bloom was found in March and April when the chlorophyll concentration reached more  
278 than 1 mg/m<sup>3</sup> on the shelf in both the GoM and the MAB (Figs. 3b and 3h). The appearance of  
279 spring bloom corresponded with water warming, increased light for photosynthesis, stratification,  
280 and high surface nitrogen concentration ( $> 1$  mmol/m<sup>3</sup>), and the remarkable decrease of nitrogen  
281 in March and April was caused by rapid phytoplankton growth and weakened nutrient  
282 replenishment associated with stratification (Figs. 2 and 4a). From May to August, the decreased  
283 chlorophyll over the NAS resulted from nitrogen limitation and reduced chlorophyll-to-carbon  
284 ratio associated with high light levels, despite higher temperature and favorable light condition for  
285 phytoplankton growth (Figs. 3c, 3d, 3i, 3j and 4b). The secondary bloom took place in September  
286 and October due to nitrogen replenishment associated with enhanced vertical mixing (Figs. 2, 3e,

287 3k and 4a). From November to February, high nitrogen concentration (GoM: 6–9 mmol/m<sup>3</sup>; MAB:  
288 2–4 mmol/m<sup>3</sup>), low water temperature (GoM: 4–8°C; MAB: 5–11°C), and low light levels  
289 indicated the NAS shifted to a light- and temperature-limited ecosystem (Figs. 2, 3a, 3f, 3g, 3l and  
290 4b).

291 We further evaluated the model's performance by conducting Empirical Orthogonal  
292 Function (EOF) decomposition to the 8-day composite of chlorophyll climatology based on both  
293 model results and the GlobColour data (Fig. 5). Prior to the EOF decomposition, the climatology  
294 was normalized by subtracting its temporal mean and dividing the standard deviation of each  
295 model node following Yoder et al. (2002). The first modes of both model (62.9% of the total  
296 variance) and GlobColour (48.8% of the total variance) suggested that chlorophyll over most  
297 regions varied synchronously (Figs. 5a and 5b), although the negative pattern in the eastern GoM  
298 GlobColour data was not seen in our model. The time series of the first mode illustrated the  
299 canonical seasonality of chlorophyll with a primary bloom in winter-spring and a secondary bloom  
300 in fall (Figs. 5a and 5b). In the first mode, the simulated secondary bloom was about one month  
301 earlier than the satellite data. The discrepancy in the timing of secondary bloom could be caused  
302 by the overestimated surface nitrogen concentration in September in the GoM (observation: 1.2  
303 mmol/m<sup>3</sup>; model: 1.8 mmol/m<sup>3</sup>) and the MAB (observation: 0.4 mmol/m<sup>3</sup>; model: 0.7 mmol/m<sup>3</sup>)  
304 (Fig. 2). The second mode accounted for 13.5% and 15.3% of the total variance in model results  
305 and GlobColour data, respectively. The corresponding spatial patterns showed that chlorophyll in  
306 the GoM and the MAB were out of phase: a negative pattern was prevalent over the entire GoM,  
307 while a positive pattern dominated the MAB (Figs. 5c and 5d). The boundary of these two opposite  
308 patterns located over the Nantucket Shoals along the 70 °W meridian. The time series of the second

309 mode demonstrated winter maxima and summer minima in the MAB, while the opposite temporal  
310 variation was found in the GoM (Figs. 5c and 5d).

311 To investigate what was responsible for the spatial heterogeneity of surface chlorophyll on  
312 the NAS, we estimated water temperature and nitrogen climatology at the surface using the 8-day  
313 composite of our model in the GoM and the MAB, respectively. It is noteworthy that the surface  
314 photosynthetic available radiation (PAR) contributes little to the spatial heterogeneity of  
315 chlorophyll between the GoM and the MAB, especially when compared with the differences of  
316 temperature and nutrient in these two regions (Figs. 4b, 6a and 6b). Water temperature and nitrogen  
317 concentration were out of phase by 6 months in both regions: temperature peaked in summer and  
318 minimized in winter, whereas nitrogen concentration was low in summer and high in winter (Figs.  
319 6a and 6b). Based on our modeled water temperature and nitrogen concentration, we derived the  
320 annual cycle of  $f(T)$  and  $g(N)$  for SP and LP in different regions to quantitatively estimate the  
321 relative importance of nutrient and temperature in phytoplankton growth (see equations 2 and 3).  
322 In our model,  $g(N)$  and  $f(T)$  jointly limited the growth of phytoplankton, and Liebig's law of  
323 minimum was not applied in this study. In the GoM, the  $g(N)$  of SP was around 0.9 with very  
324 limited temporal variation. The  $f(T)$  of SP in the GoM, however, shifted from 0.38 in winter to  
325 0.81 in summer, and it was lower than  $g(N)$  year-round, implying the growth of SP in the GoM  
326 was more limited by temperature than by nitrogen (blue line in Fig. 6c). Owing to lower nitrogen  
327 concentration and higher temperature in the MAB (Figs. 6a and 6b), the impact of limited nitrogen  
328 to SP growth surpassed that of temperature ( $g(N) < f(T)$ ) from late spring to the end of summer  
329 (red line in Fig. 6c). Since we employed the same  $Q_{10}$  but higher half-saturation coefficient ( $K_n$ )  
330 for LP, the  $f(T)$  of SP and LP were identical, but the  $g(N)$  of LP was lower than that of SP.  
331 Compared with SP, the growth of LP was more sensitive to the variation of nitrogen, especially in

332 summer when nitrogen concentration was less than 2 mmol/m<sup>3</sup> (Figs. 6b and 6d). LP's  $g(N)$  in  
333 the GoM ranged from 0.56 to 0.91, which was lower than its  $f(T)$  from late spring to late summer  
334 (blue line in Fig. 6d). In the MAB, the duration of  $f(T) > g(N)$  was even longer starting from  
335 mid-spring until early fall (red line in Fig. 6d). In general, the intrinsic growth rate of  
336 phytoplankton in the GoM was more limited by temperature than by nitrogen, while relatively  
337 lower nitrogen concentration and higher water temperature in the MAB contributed to stronger  
338 nutrient limitation on phytoplankton growth. Compared with the second mode of chlorophyll EOF  
339 analysis (Figs. 5c and 5d), the seasonality of temperature and nitrogen and their limiting effects  
340 roughly matched the second mode of EOF analysis in the GoM and the MAB, respectively. In the  
341 GoM, low temperature strongly limited the growth of phytoplankton in the cold season, resulting  
342 in the shift of two blooms in the canonical seasonality of phytoplankton (the first mode of EOF  
343 analysis) towards the warm season with a delayed spring bloom and an advanced fall bloom. In  
344 the MAB, the increased importance of nutrient limitation in the warm season and relatively high  
345 temperature in late fall and winter shifted the bloom timings towards the opposite direction (an  
346 earlier primary bloom in winter and a later secondary bloom in fall).

347

348 3.3 The seasonality of phytoplankton size structure

349 Both model results and the MARMAP dataset illustrated a strong seasonality of  
350 phytoplankton size structure over the NAS (Fig. 7). In January and February, LP was abundant in  
351 coastal regions (LP fraction > 70%), while SP dominated offshore and the center of the GoM (Figs.  
352 7a and 7g). In March and April, LP became dominant on the NAS, reflecting the importance of  
353 diatoms in the winter-spring phytoplankton bloom (Figs. 7b and 7h). Subsequently, the dominant  
354 phytoplankton type shifted from LP to SP rapidly over the entire shelf until the end of August,

355 when LP only dominated sporadically along the coast of the GoM and over Georges Bank (Figs.  
356 7c, 7d, 7i and 7j). From September to December, the percentage of LP increased moderately with  
357 minor changes in spatial distribution pattern (Figs. 7e, 7f, 7k and 7l).

358 We further compared the simulated monthly LP fraction ( $\frac{LP}{LP+SP} \times 100\%$ ) with the  
359 observations in the GoM and the MAB, respectively (Fig. 8). High correlation coefficients (GoM:  
360  $r = 0.83$ ; MAB:  $r = 0.90$ ) suggested our simulated phytoplankton size structure matched that of the  
361 observations, although the LP fraction appeared to be overestimated in the GoM throughout the  
362 year (Fig. 8). The LP fraction in the GoM increased gradually from January to April, and the peak  
363 value (~60%) was followed by a sharp decrease until July (Fig. 8a). After that, the LP fraction  
364 increased slightly and fluctuated around 30% until the end of the year. In the MAB, the temporal  
365 variation of LP fraction was overall similar to that in the GoM (high in the cold season and low in  
366 the warm season), while both the peak and trough of LP fraction appeared about one month in  
367 advance (Fig. 8b).

368

369 3.4 EOF results of bottom LD and chlorophyll

370 To examine the links between pelagic production and exported organic materials reaching  
371 the seafloor, we applied EOF analysis to 8-day composites of chlorophyll and LD climatology in  
372 the bottom layer to reveal their spatiotemporal patterns (Fig. 9). For bottom chlorophyll, the spatial  
373 patterns of the first two modes were very similar to those of surface chlorophyll: positive pattern  
374 dominated the entire shelf in the first mode except for the deep central GoM, and opposite patterns  
375 between the GoM and the MAB were found in the second mode (Figs. 9a and 9c). For bottom  
376 chlorophyll, the first EOF mode did not have a fall peak. The first two modes of bottom LD,  
377 however, had very different spatiotemporal patterns compared with the corresponding modes of

378 chlorophyll (Figs. 9b and 9d). The GoM and the MAB had opposite patterns in the first mode of  
379 bottom LD, and the corresponding time series had a sinusoidal annual cycle (Fig. 9b). The spatial  
380 heterogeneity in the second mode of bottom LD was even greater over the NAS (Fig. 9d).

381

382 3.5 Thermal sensitivity of phytoplankton dynamics

383 To investigate the sensitivity of phytoplankton dynamics to temperature and to explore how  
384  $Q_{10}$  parameterizations can affect modeled phytoplankton's seasonality, we compared the time  
385 series of chlorophyll concentration in the top 10 m between the benchmark run and sensitivity test  
386 runs (Fig. 10). In the GoM, the major discrepancies among different cases were detected during  
387 both the spring and fall blooms, while minor difference in chlorophyll concentrations occurred  
388 during the rest of the year (Fig. 10a). In the MAB, only the spring bloom was sensitive to changes  
389 in  $Q_{10}$  (Fig. 10b). To quantitatively estimate the impacts of  $Q_{10}$  on phytoplankton dynamics, we  
390 derived the timing and magnitude of blooms in the GoM and the MAB following Ji et al. (2007).  
391 As  $Q_{10}$  increased from 1.4 to 2.6, the peak of the spring bloom in the GoM was delayed from mid-  
392 March to mid-May with a remarkable magnitude decrease from 6.5 mg/m<sup>3</sup> to 1.8 mg/m<sup>3</sup> (Figs. 10c  
393 and 10d). The spring bloom magnitude declined with higher  $Q_{10}$  in the GoM because  
394 climatological mean temperature in the GoM was always lower than the reference temperature  
395 (20°C; Fig. 6a), below which higher  $Q_{10}$  value corresponded to lower phytoplankton growth rate  
396 in the  $Q_{10}$  model (Fig. S2). In the MAB, the timing of the spring bloom was insensitive to the  
397 variation of  $Q_{10}$ , while its magnitude decreased markedly from 5.6 to 1.8 mg/m<sup>3</sup> as  $Q_{10}$  increased  
398 (Figs. 10c and 10d). The timing of the fall bloom in the two areas advanced about 15 days with  
399 slightly reduced magnitude as  $Q_{10}$  increased from 1.4 to 2.6 (Figs. 10c and 10d). Overall, a lower  
400  $Q_{10}$  value contributed to an earlier spring bloom and a later fall bloom with enhanced magnitude,

401 and phytoplankton dynamics in the GoM was more sensitive to  $Q_{10}$  variations than that in the  
402 MAB.

403

404 **4 Discussion**

405

406 **4.1 Spatial heterogeneity of thermohaline structure and phytoplankton dynamics on the NAS**

407 In our study region, the GoM and the MAB have different thermohaline structures (Li et  
408 al., 2015). In the MAB, water temperature is higher than that in the GoM due to the combination  
409 of strong surface heating, exchange with warm slope water, and the absence of direct cold water  
410 inflow from subpolar regions (Loder, 1998); The nearshore salinity in both regions is significantly  
411 influenced by terrestrial freshwater discharge, while low-salinity water inflow from higher latitude  
412 further enhances freshening in the GoM during winter-spring season (Mountain and Manning,  
413 1994). The difference of thermohaline structures between the GoM and the MAB results in a  
414 distinct annual cycle of stratification in these two regions: earlier and stronger stratification in the  
415 MAB is thermally-dominated through a large portion of the year, whereas haline control  
416 strengthens in the GoM (Li et al., 2015). Many studies have confirmed that surface nutrient  
417 replenishment is strongly correlated with mixing (e.g., Townsend, 1998; Townsend et al., 2010).  
418 As stated in Section 3.2, different surface nutrient regimes and water temperature between the  
419 GoM and the MAB are responsible for the spatial heterogeneity of phytoplankton dynamics  
420 between the two regions: in the GoM, relatively high nutrient concentration due to strong mixing  
421 and low water temperature result in weaker limitation of nutrient but stronger limitation of  
422 temperature for phytoplankton growth. On the other hand, stratification-induced lower nutrient  
423 supply and warmer water in the surface mixed layer of the MAB contribute to more significant

424 nutrient limitation. Chlorophyll concentration in the GoM reaches a maximum in spring, while the  
425 primary bloom on the MAB shelf occurs earlier in winter. The spatial heterogeneity of  
426 phytoplankton dynamics might also exist between the eastern GoM and western GoM (Fig. 5b).  
427 Compared with surface chlorophyll observations, the spring bloom cannot be detected in the  
428 GlobColour satellite data in the eastern GoM (Figs. S6 and S7), and this bias contributes to the  
429 opposite pattern in the first EOF mode of the satellite data (Fig. 5b). The quality of GlobColour  
430 data in the eastern GoM might be compromised by colored dissolved organic matter and  
431 resuspended sediment (Butman et al., 2014; Balch et al., 2016). The spring bloom in the eastern  
432 GoM is reproduced in our model results, whereas the simulated spring bloom happens earlier with  
433 relatively low magnitude compared to field measurements (Figs. S6 and S7). Therefore, the  
434 difference in the eastern GoM between model and satellite in the first mode of EOF analysis can  
435 be ascribed to the errors in both simulation results and satellite products.

436 In the cross-shelf direction, both model and satellite images demonstrate that chlorophyll  
437 concentration in the GoM decreases from shallow coastal regions to deep basins, although the  
438 cross-shore gradient of model results is relatively low because of the underestimation of simulated  
439 chlorophyll nearshore (Fig. 3). The discrepancy can be a result of overestimated chlorophyll  
440 concentration in satellite data due to high colored dissolved organic matter and sediment  
441 concentrations nearshore, or underestimated chlorophyll concentration in simulation results due to  
442 the improperly resolved phytoplankton-grazer linkages and the absence of large, chain-forming  
443 coastal large phytoplankton in our model (Hyde et al., 2007; Van Oostende et al., 2018). Over the  
444 MAB, however, the cross-shelf gradient of chlorophyll is more complicated due to strong  
445 interactions between shelf and slope waters (Malone et al., 1983). The canonical viewpoint  
446 suggests a dramatic decrease of chlorophyll concentration from the MAB shelf to slope (Malone

447 et al., 1983; Yoder et al., 2001), whereas high chlorophyll concentration on the shelf break has  
448 been recorded by both satellite data and field measurements (Ryan et al., 1999; Mouw and Yoder,  
449 2005). Unlike the winter bloom over the MAB shelf, the chlorophyll bloom over the shelf break  
450 regions occurs in spring with lower magnitudes (Xu et al., 2011). The cross frontal water exchange,  
451 due to many factors (e.g., frontal instability, wind, warm-core rings, and shelf break upwelling),  
452 contributes to nutrient delivery to the euphotic zone and stimulates chlorophyll enhancement in  
453 the shelf break region (Ryan et al., 1999). This offshore bloom can be found in our simulation  
454 results as well (Figs. 3i, 3j and 3k), yet such a feature does not exist in satellite climatology (Figs.  
455 3c, 3d and 3e). The discrepancy between model and satellite data can be attributed to the model  
456 underestimation of zooplankton grazing pressure (Zhang et al., 2013), but more studies are needed  
457 to explore the underlying mechanisms.

458 The spatial heterogeneity of phytoplankton size structure between the GoM and the MAB  
459 is strongly related to diatom blooms: the diatom blooms in the GoM and the MAB occur in winter-  
460 spring, and the bloom asynchrony results in phytoplankton size structure difference between the  
461 two regions (Fig. 8). Unlike the winter diatom bloom on the MAB shelf, the bloom over the MAB  
462 shelf break occurs in spring and is dominated by small phytoplankton (Ryan et al., 1999).  
463 Consequently, the seasonality of phytoplankton size structure over the MAB shelf break is  
464 different from the rest of the NAS.

465 The increase of sea surface temperature in the GoM is reported to be faster than most of  
466 the global ocean (Pershing et al., 2015), and future projections suggest this rapid warming will  
467 continue (Loder et al., 2015). As suggested by this study, water temperature and nutrient level at  
468 the surface are responsible for the spatial heterogeneity of phytoplankton dynamics between the  
469 GoM and the MAB. It then begs the question of will phytoplankton dynamics in the GoM become

470 more similar to that in the MAB as the GoM warms in the upcoming decades. If the temperature  
471 effect on growth rate is the dominant factor regulating the abundance of phytoplankton, the  
472 increasing thermal regime similarities between the GoM and the MAB can potentially reduce the  
473 spatial heterogeneity of phytoplankton seasonality (Shearman and Lentz, 2010). However, this  
474 direct temperature effect can be confounded by the surface nutrient dynamics regulated by  
475 stratification, which is more thermally regulated in the MAB compared to the haline-controlled  
476 GoM during the winter-spring bloom season (Li et al., 2015). Both satellite and model results  
477 indicate the importance of freshening in winter-spring bloom timing and magnitude (Ji et al., 2007,  
478 2008b). Besides, the increasing similarity in the surface thermal regimes between the GoM and  
479 the MAB might not result in similar vertical stratification patterns. Warming over the NAS can be  
480 ascribed to both surface heating associated with atmospheric warming and lateral advection at  
481 depth, which have different impacts on the intensity of stratification (Shearman and Lentz, 2010;  
482 Chen et al., 2014). Consequently, vertical stratification and related surface nutrient-phytoplankton  
483 dynamics in the GoM and the MAB can still be distinctive even as their surface thermal regimes  
484 become similar under rapid warming.

485

#### 486 4.2 Impact of warming on phytoplankton dynamics on the NAS

487 In the context of global climate change, surface water temperature in the NAS ecosystem  
488 has been increased markedly over the last several decades, with a warming rate of  $\sim 0.26$   $^{\circ}\text{C}/\text{yr}$   
489 starting from the early 21<sup>st</sup> century (Belkin, 2009; Shearman and Lentz, 2010; Burrows et al., 2011;  
490 Mills et al., 2013). However, a comprehensive understanding of phytoplankton response to rapid  
491 warming remains challenging due to the complex physical-biogeochemical interactions and tight  
492 coupling between different trophic levels. As ambient water temperature increases, the growth of

493 phytoplankton becomes faster due to the thermal adaptation (Eppley, 1972; Staehr and Birkeland,  
494 2006), and the growth rate becomes more sensitive to temperature variations (Fig. S2). By contrast,  
495 surface heating-induced stratification reduces surface phytoplankton growth by constraining  
496 nutrient replenishment (Figs. 2 and 3; Thomas et al., 2003; Song et al., 2011). Previous studies  
497 have suggested low nutrient availability has a strong effect on phytoplankton growth (e.g., Staehr  
498 and Birkeland, 2006). In addition, temperature modulates nutrient dynamics via not only  
499 stratification, but also biogeochemical processes. Laurent et al. (2016) applied temperature-  
500 dependent remineralization rate of particulate organic matter in the diagenetic model and found  
501 that the nitrogen dynamics were very sensitive to water temperature. The rates of many other  
502 nitrogen pathways (e.g., nitrification, nitrogen fixation) are also strongly correlated with water  
503 temperature (Damashek and Francis, 2018), implying the importance of temperature in nitrogen  
504 cycling and its potential impacts on phytoplankton growth.

505 Climate-related warming also regulates the growth of phytoplankton ( $\mu_{SP(LP)}$  in equation  
506 1) via changing phytoplankton temperature dependence coefficient  $Q_{10}$ , which represents the  
507 thermal responses of the community and varies greatly with the shift of phytoplankton community  
508 composition (Staehr and Birkeland, 2006). Physical conditions such as turbulence and temperature,  
509 as well as the nutrient regimes, are the primary factors affecting phytoplankton composition: small  
510 phytoplankton, such as dinoflagellates, become dominant when the water column is stable and  
511 oligotrophic, while large phytoplankton species (e.g., diatoms) are more common in less stratified  
512 and nutrient-rich environments (Margalef, 1978; Pershing and Stamieszkin, 2020). As rapid  
513 warming continues over the NAS, longer and stronger thermal stratification will favor the  
514 dominance of small phytoplankton (Thomas et al., 2017). Due to the wide range of  $Q_{10}$  between  
515 phytoplankton species, it is reasonable to speculate that the shift of phytoplankton community

516 composition might affect the value of community  $Q_{10}$ . Moreover, the thermal adaptation of  
517 phytoplankton alters their physiological features and consequently the  $Q_{10}$  values, and such  
518 response is usually species-specific (Staehr and Birkeland, 2006). Sherman et al. (2016) estimated  
519 the  $Q_{10}$  value based on a global database of field measurements and found the optimum apparent  
520  $Q_{10}$  was around 1.5, which was lower than the value (2.0) in our study. Our  $Q_{10}$  sensitivity tests  
521 with low  $Q_{10}$  values (tests 1 and 2), however, overestimated chlorophyll concentration  
522 dramatically during blooms (Fig. 10), implying the  $Q_{10}$  in the NAS might greatly deviate from the  
523 global mean value. Given the importance of  $Q_{10}$  parameterization in simulating phytoplankton  
524 dynamics (Fig. 10), the variation of community  $Q_{10}$  may modulate phytoplankton dynamics in the  
525 entire NAS ecosystem.

526 From a top-down control perspective, climate-related warming manipulates phytoplankton  
527 abundance via changing zooplankton dynamics. Our EOF results of surface mesozooplankton are  
528 similar to that of chlorophyll, implying a tight coupling between zooplankton and phytoplankton  
529 (Figs. 5 and S8). The ramifications of climate-induced warming for phytoplankton dynamics on  
530 the NAS propagate through the food web in both bottom-up and top-down directions. Due to the  
531 simplified structures of most 3-D biogeochemical models, they can only partially resolve the  
532 influence of temperature on marine ecosystems. Future models need to better resolve thermal  
533 responses of important physical-biogeochemical processes in order to improve the model  
534 projections of future climate scenarios.

535

536 4.3 Benthic-pelagic coupling on the NAS

537 The NAS supports some of the commercially important benthic species (e.g., groundfish,  
538 sea scallop, and lobster; Pershing and Stamieszkin, 2020), and their high production is mainly

539 fueled by the sedimentation of surface organic matter (Griffiths et al., 2017). Thus, understanding  
540 benthic-pelagic coupling in our study area can help us gain more insight in developing adaptive  
541 fishery management strategies under a rapid changing climate. As stated in section 3.4, the  
542 seasonality of bottom chlorophyll modulated by the settling of surface-subsurface phytoplankton,  
543 deep production and chlorophyll to carbon ratio is overall analogous to that in the surface layer  
544 over the entire study area, while the seasonality of bottom chlorophyll in the deep basins of the  
545 GoM is very weak. The decoupling between the surface and the bottom chlorophyll concentrations  
546 in the deep basins is probably bathymetry–driven: the sinking of phytoplankton from the surface  
547 to the bottom takes longer time over the deep basins, allowing a significant loss of phytoplankton  
548 due to zooplankton grazing, respiration, aggregation, exudation, and viral lysis, thus weakening  
549 the seasonality of bottom chlorophyll and benthic-pelagic coupling. Additionally, there is no  
550 detectable bottom chlorophyll increase in fall, possibly due to the slow settling of small  
551 phytoplankton that dominate the fall bloom at the surface (Figs. 7 and 8). The enhancement of  
552 vertical mixing in fall might further contribute to the decrease of phytoplankton settling flux on  
553 the NAS (Arin et al., 2002; Ross, 2006).

554 For LD at the bottom, its opposite spatial pattern in the first mode could be explained by  
555 vertical settling and production in the euphotic layer: in the GoM, relatively low productivity  
556 throughout the water column (Fig. S9) and strong vertical mixing in the cold season result in the  
557 reduced LD settling flux to the bottom and the increase of LD upward flux from the bottom to the  
558 overlying water (Arin et al., 2002; Ross, 2006). In the warm season, stratification limits the LD  
559 mixing from the bottom to the surface, and the enhanced surface production can increase the  
560 settling flux of LD from the euphotic layer to the bottom (Fig. S9). On the inner shelf of the MAB,  
561 both strong stratification in the warm season and shallow water depth shorten the duration of LD

562 settling from the euphotic layer to the bottom and contribute to the coupling between surface  
563 production and bottom LD concentration: higher surface production and bottom LD concentration  
564 are found in winter and spring, while lower values appear in summer (Figs. 5 and 9). The opposite  
565 pattern on the outer shelf of the MAB might result from the interactions with slope water (Fig. 9b;  
566 Townsend et al., 2006; Xu et al., 2011). The spatial heterogeneity in the second mode is likely  
567 related to LD resuspension, which is determined by local current fields in our model. On the NAS,  
568 LD resuspension due to tidal currents has strong spatiotemporal variations. In the GoM, the  
569 intensity of current-induced resuspension decreases gradually from coastal regions to deep basins  
570 (Butman et al., 2014). In the MAB, both observations and model results suggest energetic winter  
571 storms and hurricanes are the major driving forces of strong resuspension, and the contribution of  
572 tidal currents becomes very limited (Miles et al., 2015). Since LD is an important food source for  
573 benthic organisms, the prominent difference between surface productivity and bottom LD suggests  
574 that the pelagic and benthic layers are at least partially uncoupled. It is worth noting that our model,  
575 like many 3D biogeochemical models, cannot comprehensively resolve LD resuspension and other  
576 benthic-pelagic coupling processes, whose importance in organic matter distribution and nutrient  
577 cycling has been emphasized in recent numerical studies (e.g., Laurent et al., 2016; Moriarty et al.,  
578 2018). Future modeling efforts should include the dynamics of benthic-pelagic coupling for the  
579 NAS ecosystem.

580

#### 581 4.4 Model limitations and future work

582 Although our model results provide valuable insights into the seasonality of phytoplankton  
583 dynamics in the NAS and driving mechanisms for its spatial heterogeneity, this model has some  
584 limitations and warrants further improvements. Firstly, our model considers nitrogen as the only

585 limiting nutrient, even though silicate could be another important nutrient (Townsend et al., 2006).  
586 Given the distinct silicate sources between coastal and offshore regions, silicate dynamics might  
587 potentially regulate phytoplankton community heterogeneity, especially during spring blooms  
588 when diatoms are dominant over the entire shelf. Phosphate is not usually treated as the limiting  
589 nutrient in the NAS, while recent field measurements suggest its importance in summer (Townsend  
590 et al., 2014). Future modeling development needs to carefully assess the role of other potentially  
591 limiting nutrients.

592 Global eco-evolutionary model results suggest the thermal adaptation can mitigate the loss  
593 of phytoplankton diversity owing to its rapid reproduction (Thomas et al., 2012). However,  
594 designing a parameterization scheme to well represent phytoplankton adaptation to rapid warming  
595 is still challenging due to the lack of thermal adaptation information in a variety of taxa.  
596 Considering the distinct responses to warming between different phytoplankton species (Staehr  
597 and Birkeland, 2006), characterizing thermal adaptation of the dominant species in the NAS  
598 system becomes essential in climate projection of future ecosystem responses.

599 Many field measurements and laboratory cultures indicate phytoplankton sinking velocity  
600 varies dramatically, and it is influenced by many factors such as nutrient availability,  
601 morphological features of cells, and physical environments (Bienfang et al., 1983). Besides, some  
602 species of large diatoms undergo bursts of rapid sinking (sinking velocity increases from almost  
603 zero to 0.2 mm/s in several seconds), and such behavior benefits the growth of diatom by  
604 enhancing nutrient flux to cell surface (Gemmell et al., 2016). All these findings suggest the  
605 constant sinking velocity scheme employed in most biological models might not be able to  
606 adequately resolve phytoplankton vertical settling flux, and such an oversimplification can  
607 introduce substantial uncertainties in simulating primary production, carbon sequestration, and

608 benthic-pelagic coupling (Griffiths et al., 2017). In future studies, a dynamic phytoplankton  
609 sinking velocity scheme should be developed and applied to biological models to better represent  
610 phytoplankton settling process.

611

## 612 **5 Conclusions**

613

614 A 3-D physical-biogeochemical model was applied in the NAS ecosystem to investigate  
615 the seasonality of phytoplankton dynamics and the underlying mechanisms modulating its spatial  
616 heterogeneity. The spatial heterogeneity on the NAS resulted from the joint influences of nutrient  
617 availability and temperature-related physiology: a strong impact of nutrient limitation in the MAB  
618 lead to an earlier winter bloom and a later fall bloom, while a delayed spring bloom and an  
619 advanced fall bloom in the GoM could be attributed to the dominance of temperature limiting  
620 effect. Chlorophyll concentrations at the surface and bottom were coupled, whereas stratification  
621 and mixing, interaction with slope water, and resuspension attributed to the decoupling between  
622 bottom detritus and surface productivity. Given the importance of phytoplankton in the marine  
623 food web, its spatial heterogeneity over the NAS could impact trophic connections between  
624 phytoplankton and zooplankton. The differences in thermohaline structure and warming  
625 mechanisms between the GoM and the MAB contributed to the distinct responses of phytoplankton  
626 abundance to climate-related warming in the two regions. Most biogeochemical models could only  
627 partially reproduce the impact of warming on the marine ecosystem due to their simplified  
628 structures. To better simulate the impact of rapid warming on phytoplankton dynamics on the NAS,

629 parameterizations of numerous temperature-related processes (e.g., stratification, nutrient cycling,  
630 and zooplankton grazing) should be improved in the future.

631

## 632 **Data Availability**

633 The original marine food web model data underlying this article will be shared on reasonable  
634 request to the corresponding author. The processed bimonthly MARMAP phytoplankton size  
635 structure data with  $0.5^{\circ}$  resolution in our study region is available at  
636 [http://ulysse2.whoi.edu:8080/thredds/catalog/data/zzang/MARMAP\\_bimonth/catalog.html](http://ulysse2.whoi.edu:8080/thredds/catalog/data/zzang/MARMAP_bimonth/catalog.html).

637

## 638 **Acknowledgements**

639 This study was supported by NOAA Coastal and Ocean Climate Application (COCA) Program  
640 (NA17OAR4310273) and NSF Northeast US Shelf - Long-Term Ecological Research (NES-  
641 LTER) Program (OCE-1655686). Computational support was provided by HPC system (cluster  
642 Poseidon) at WHOI. The model results and processed observation data are stored in WHOI HPC  
643 system. Kimberly Hyde and Chris Melrose provided the original MARMAP size-fractionated  
644 phytoplankton data. This study greatly benefited from discussions with the NES-LTER team  
645 members and Yun Li at University of Delaware. Constructive comments and suggestions from two  
646 anonymous reviewers and editor are much appreciated.

647

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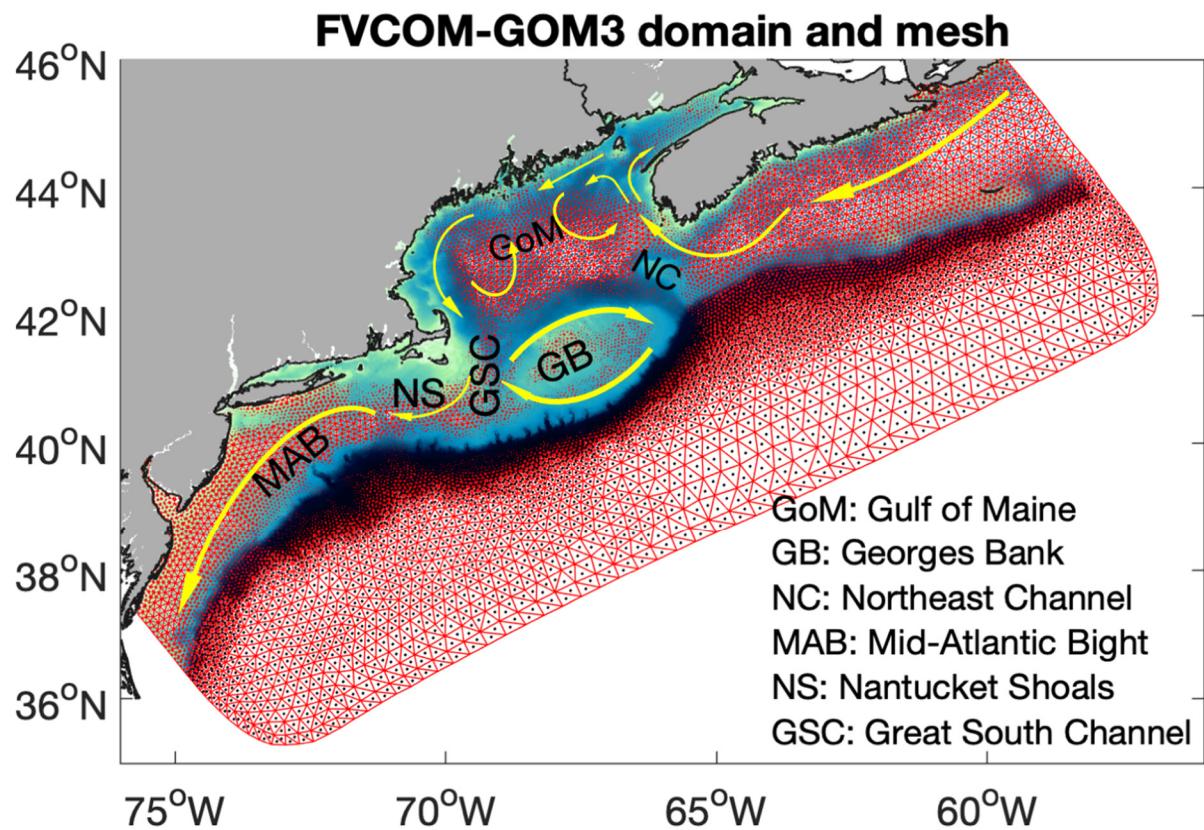


Fig. 1. FVCOM model domain and unstructured triangular mesh (red lines) for the Northwest Atlantic Shelf. The color of cell centers (dots) represents water depth. Yellow arrows represent surface circulation patterns over the shelf.

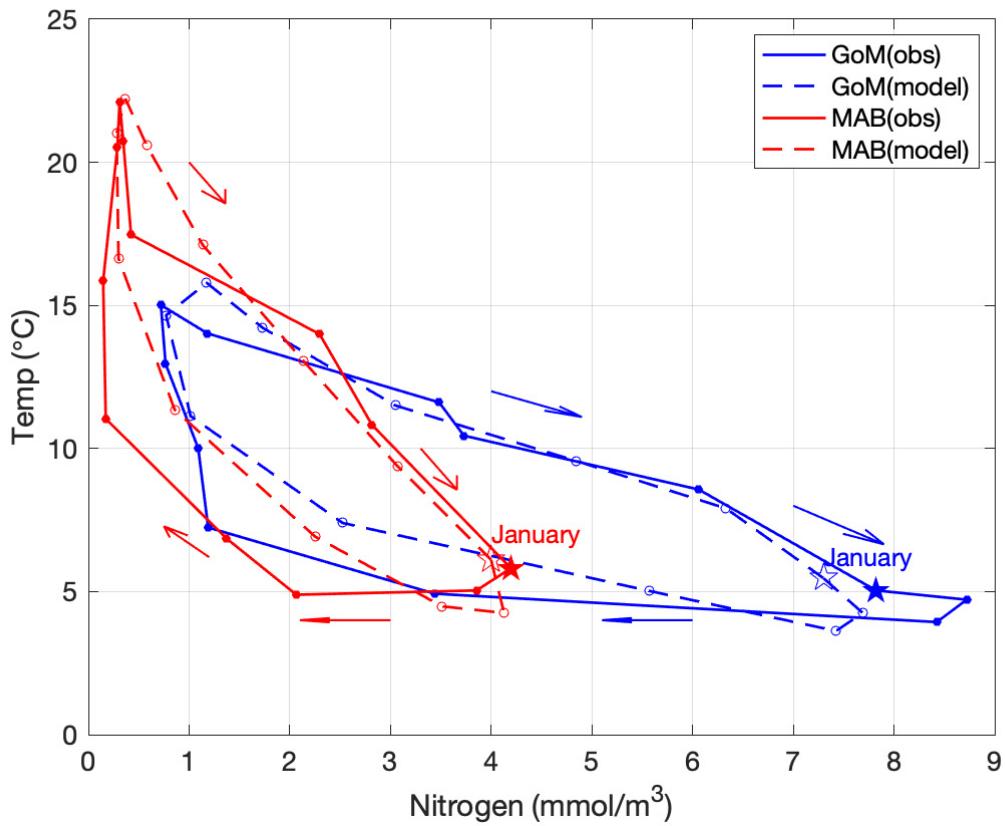


Fig. 2. Annual cycle of temperature versus nitrogen concentration over the top 10 m in the GoM (blue) and the MAB (red). The solid lines are based on monthly observations and the dashed lines are based on model results. The stars represent January and circles represent other months. The direction of annual cycle is clockwise.

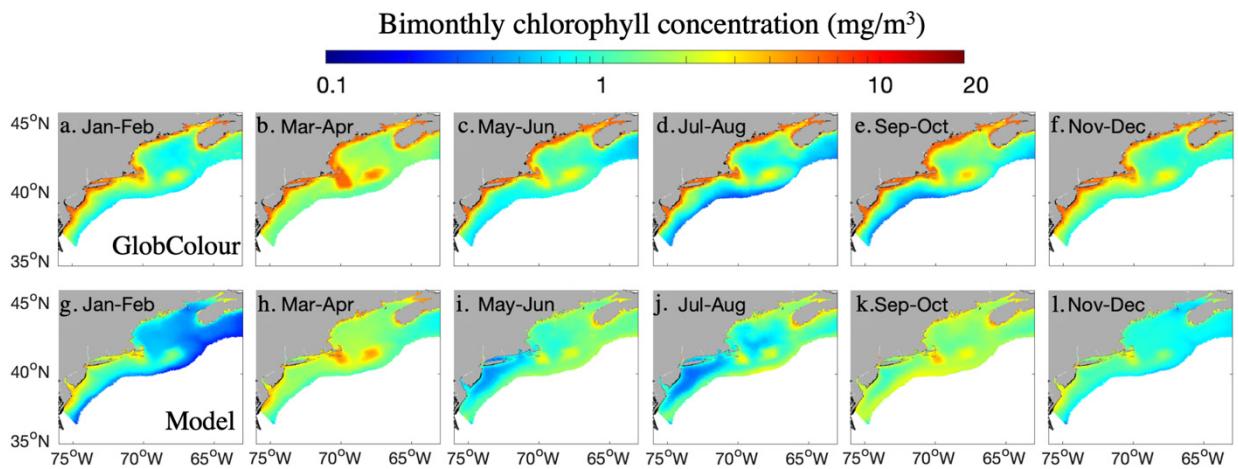


Fig. 3. Bimonthly chlorophyll concentration comparison between GlobColour (upper panels) and model results over the top 10 m (lower panels). The cutoff water depth is 1000 m.

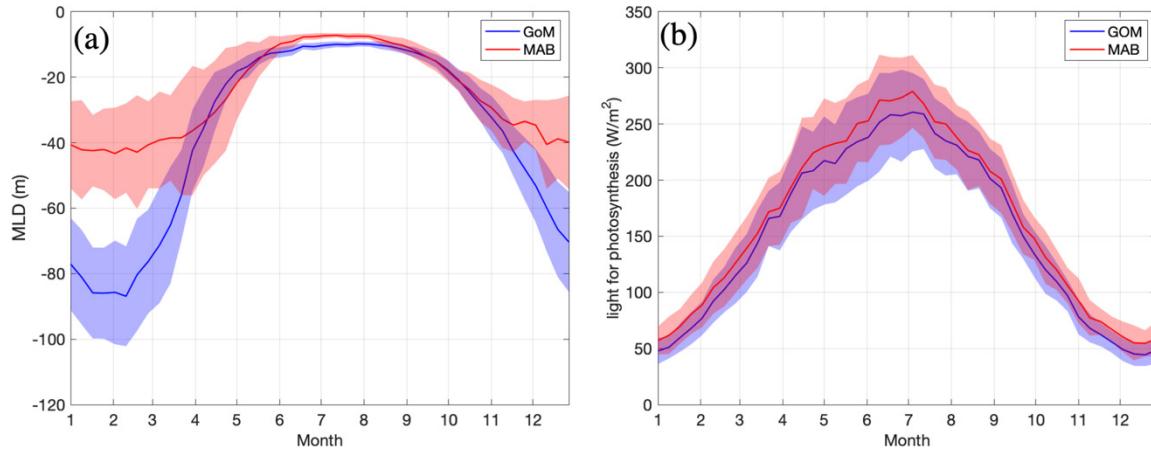


Fig. 4. spatial mean of mixed layer depth (a) and light for photosynthesis (b) climatology (8-day composite) in the GoM and the MAB. Shaded areas represent one standard deviation.

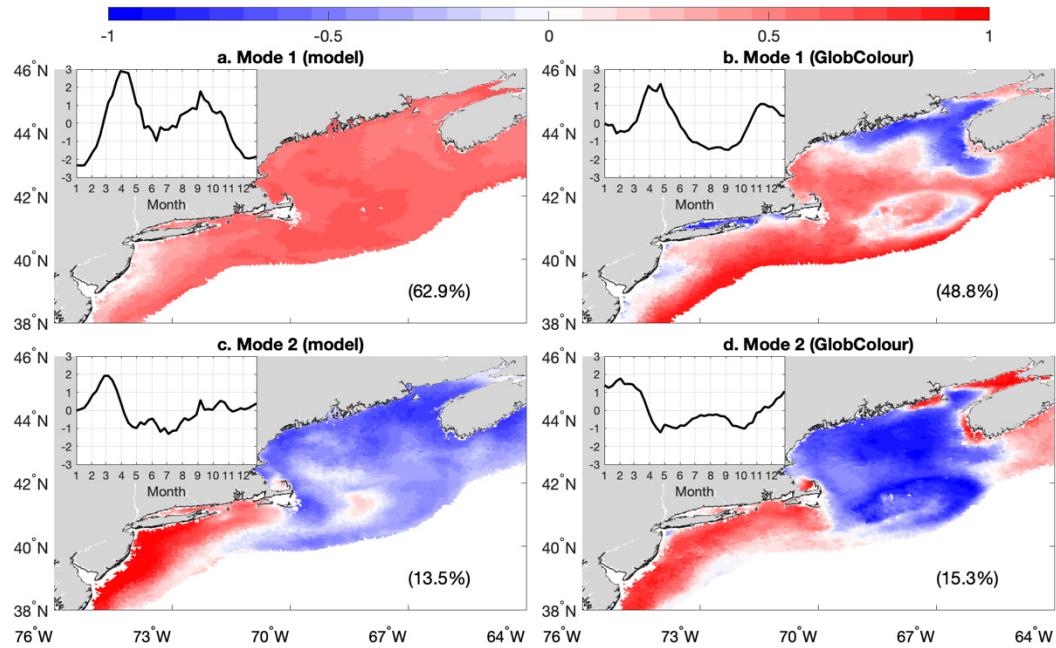


Fig. 5. Spatial patterns of EOF analysis based on the normalized modeled (left column) and GlobColour (right column) surface chlorophyll concentration with the corresponding time series (upper left of each panel) and the percentage of variability explained by each mode (lower right corner of each panel). The upper two panels represent the first mode and the lower two panels represent the second mode, respectively.

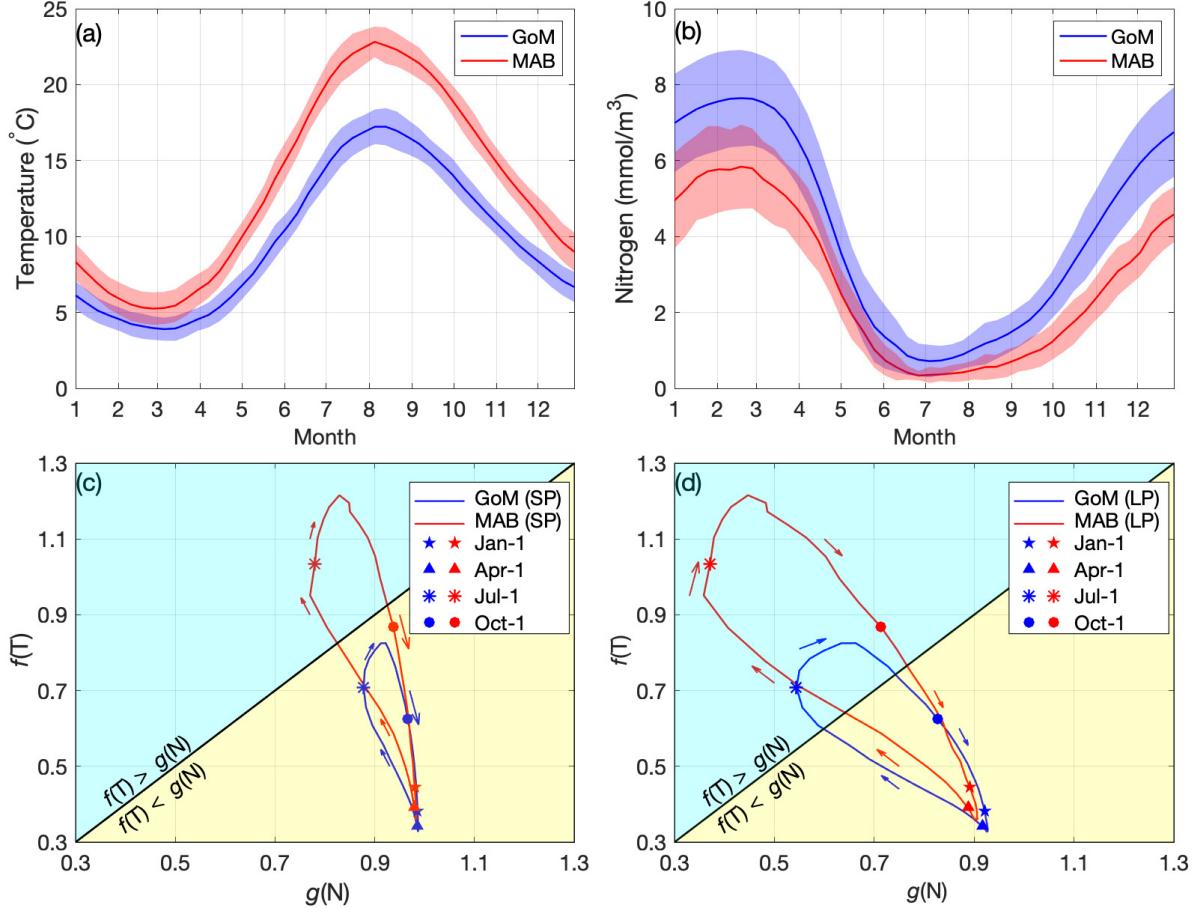


Fig. 6. The upper two panels show spatial mean of water temperature (a) and nutrient (b) climatology (8-day composite) over the top 10 m in the GoM and the MAB. Shaded areas depict one standard deviation. The lower two panels represent annual cycle of nutrient limit term  $g(N)$  versus temperature limit term  $f(T)$  for small phytoplankton (SP; panel c) and large phytoplankton (LP; panel d). In cyan area, nutrient limitation outweighs temperature limitation ( $f(T) > g(N)$ ). In yellow area, nutrient limitation is weaker than temperature limitation ( $f(T) < g(N)$ ). The direction of annual cycle is clockwise.

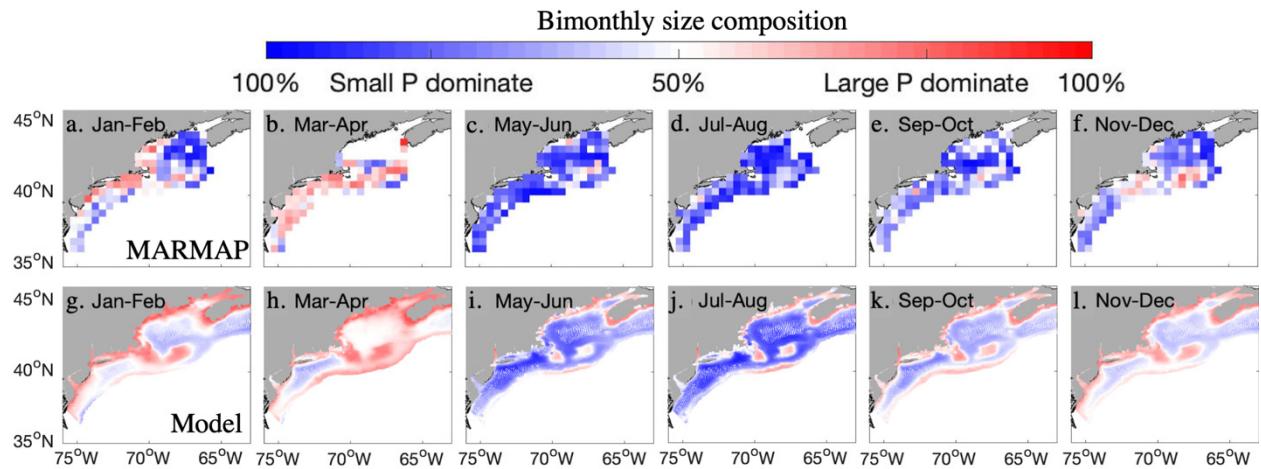


Fig. 7. Bimonthly phytoplankton size structure comparison between MARMAP dataset (upper panels) and model results over the top 10 m (lower panels). The cutoff water depth is 1000 m.

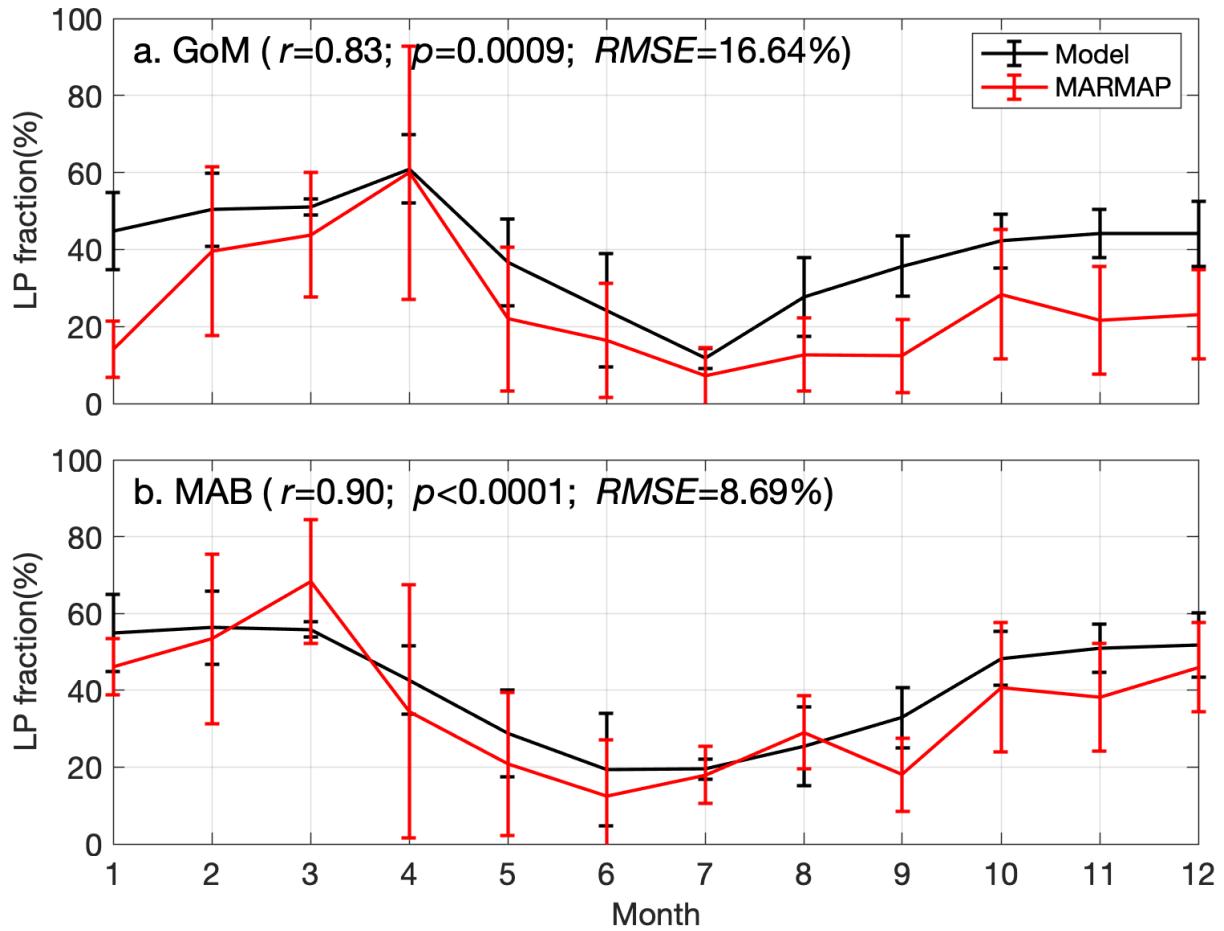


Fig. 8. Modeled (black) and MARMAP (red) monthly time series of large phytoplankton (LP) fraction in the GoM (a) and MAB (b). Error bars indicate one standard deviation.

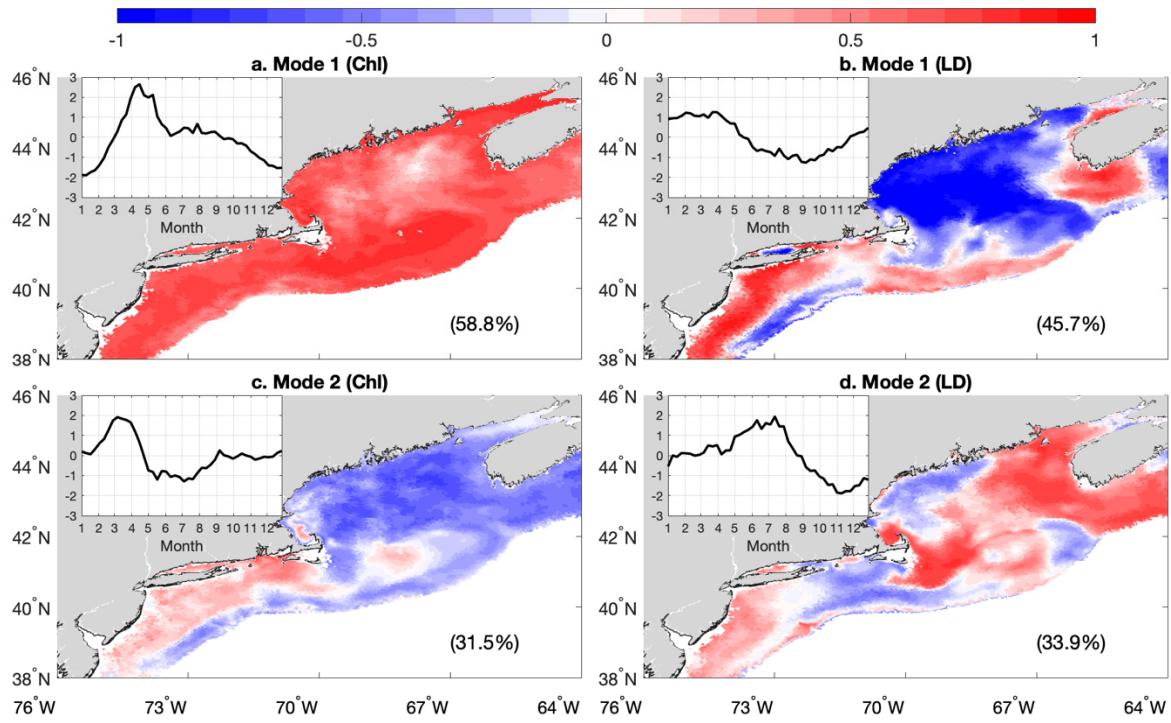


Fig. 9. Spatial patterns of EOF analysis based on the modeled chlorophyll concentration (left column) and large detritus concentration (right column) at the bottom with the corresponding time series (upper left of each panel) and the percentage of variability explained by each mode (lower right corner of each panel). The upper two panels represent the first mode and the lower two panels represent the second mode.

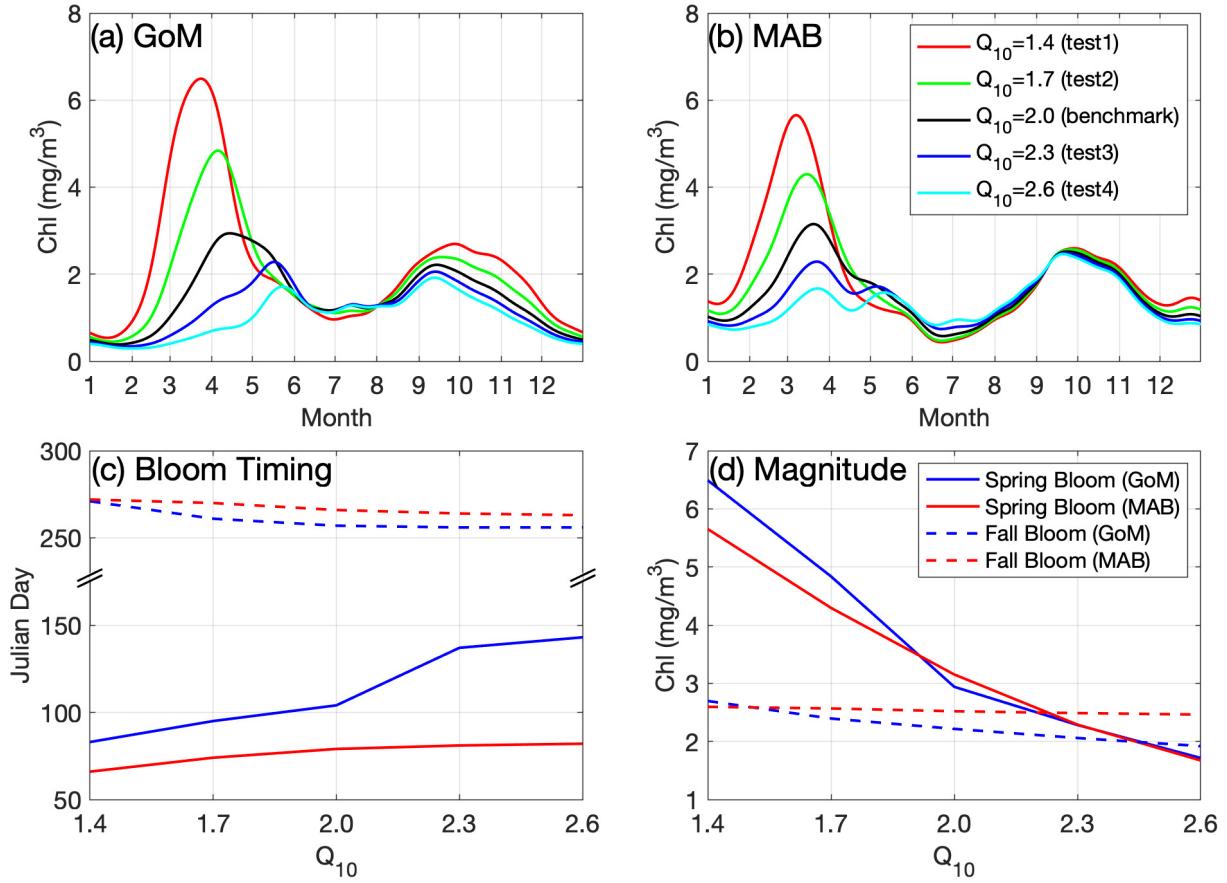


Fig. 10. Comparison of spatial averaged chlorophyll concentration over the top 10 m between the benchmark run (black line) and sensitivity tests with different  $Q_{10}$  in the GoM (a) and the MAB (b). A Gaussian smoothing was applied to model results following Ji et al. (2007) for detecting bloom timing (c) and magnitude (d).