

Carbon flux and forest dynamics: increased deadwood decomposition in tropical rainforest tree-fall gaps

Running title: Faster deadwood decay in canopy gaps

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Abstract

Tree mortality rates are increasing within tropical rainforests as a result of global environmental change. When trees die, gaps are created in forest canopies and carbon is transferred from the living to deadwood pools. However, little is known about the effect of tree-fall canopy gaps on the activity of decomposer communities and the rate of deadwood decay in forests. This means that the accuracy of regional and global carbon budgets is uncertain, especially given ongoing changes to the structure of rainforest ecosystems. Therefore, to determine the effect of canopy openings on wood decay rates and regional carbon flux, we carried out the first assessment of deadwood mass loss within canopy gaps in old-growth rainforest. We used replicated canopy gaps paired with closed canopy sites in combination with macroinvertebrate accessible and inaccessible woodblocks to experimentally partition the relative contribution of microbes versus termites to decomposition within contrasting understory conditions. We show that over a 12-month period, wood mass loss increased by 63% in canopy gaps compared with closed canopy sites and that this increase was driven by termites. Using LiDAR data to quantify the proportion of canopy openings in the study region, we modelled the effect of observed changes in decomposition within gaps on regional carbon flux. Overall, we estimate that this accelerated decomposition increases regional wood decay rate by up to 18.2%, corresponding to a flux increase of $0.27 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$ that is not currently accounted for in regional carbon budgets. These results provide the first insights into how small-scale disturbances in rainforests can generate hotspots for decomposer activity and carbon fluxes. In doing so, we show that including canopy gap dynamics and their

49 impacts on wood decomposition in forest ecosystems could help improve the
50 predictive accuracy of the carbon cycle in land surface models.

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52 **Key words**

53 Termites; Invertebrates; Carbon cycling; Carbon modelling; Canopy gap; Tree
54 mortality; Disturbance; Global change

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Introduction

Uncertainty in the behaviour of the carbon cycle is one of the biggest limiting factors in accurately predicting Earth's temperature into the 21st century (Bodman, Rayner, & Karoly, 2013). Tropical forests hold over half of global forest carbon stocks (471 ± 93 PgC), 56% of which is stored in biomass, and sequester 1.2 ± 0.4 PgC annually (Pan et al., 2011). Recent work has highlighted how human pressures affect rainforest carbon stocks in living and dead biomass, showing that selective logging and degradation increase the proportion of deadwood stocks relative to living biomass in African and Asian rainforests (Carlson, Koerner, Medjibe, White, & Poulsen, 2017; Pfeifer et al., 2015).

Decomposition is the process by which the carbon in dead plant material is assimilated into soil carbon stores, lost through leaching or released as CO₂ into the atmosphere through respiration (Cornwell et al., 2009; Swift, 1977). Yet, despite the fact that decomposition has far reaching implications for global carbon budgets (Hubau et al., 2020), it remains poorly understood compared with other key ecosystem processes such as primary production (Harmon, Bond-Lamberty, Tang, & Vargas, 2011). Furthermore, what is known about the factors controlling deadwood decay is geographically biased towards temperate regions, with tropical forest decomposition studies representing just 14% of the published literature (Harmon et al., 2020). This bias means we lack a basic understanding of the factors that mediate the rate and fate of carbon turnover through globally important deadwood stocks in tropical rainforests.

The effect of canopy openness represents an important source of uncertainty in our understanding of the factors that affect the decomposition of deadwood in forests (Harmon et al., 2020). This is a major gap in understanding given that tree mortality rates are rising in humid tropical forests (McDowell et al., 2018) as a result of increases in the frequency and severity of hurricanes and drought (Cai et al., 2014); continued selective logging and degradation (Baccini et al., 2017); and increases in biotic agents of tree death (liana load, insect outbreaks and disease; Allen, Breshears & McDowell 2015). Consequently, it is likely that the size and frequency of rainforest canopy gaps are increasing, along with concurrent changes in the volume and spatial distribution of deadwood stocks (Carlson et al., 2017; Pfeifer et al., 2015). However, because our knowledge of the effect of canopy gaps on deadwood decay rates is currently limited to just two studies in temperate and boreal forests (Janisch, Harmon, Chen, Fasth, & Sexton, 2005; Shorohova & Kapitsa, 2014), we lack an empirical evidence base from which to predict the consequences of ongoing changes to the structure of tropical rainforests for decomposition and carbon flux. Data shortages such as these limit the capacity to resolve carbon budget imbalances because information on how land-surface heterogeneity can affect carbon-cycling and land-atmosphere interactions is a key area of uncertainty in Earth system models (Lawrence *et al.* 2019). Therefore, there is a clear need to improve our mechanistic understanding of the drivers of change in rainforest carbon budgets and thus increase the accuracy and predictive power of the land surface models included in Earth system models.

There is mounting evidence that termites along with microbes are the major agents of deadwood decomposition in rainforest ecosystems (da Costa, Hu, Li, & Poulsen, 2019;

Griffiths, Ashton, Evans, Parr, & Eggleton, 2019; Liu et al., 2015). It is possible that treefall canopy gaps could negatively or positively affect the activity of both groups. Habitat disturbance and degradation reduces termite abundance and diversity (Dibog, Eggleton, Norgrove, Bignell, & Hauser, 1999; Eggleton et al., 1995; Ewers et al., 2015; Luke, Fayle, Eggleton, Turner, & Davies, 2014; Tuma et al., 2019) while microbial-mediated nutrient mineralisation rates decline in response to drought (Yavitt, Wright, & Wieder, 2004). Changes to the structure of forests caused by removal of trees during selective logging has been reported to increase microclimate heterogeneity and create hotter and drier conditions in the forest understory (Blonder et al., 2018; Hardwick et al., 2015). Therefore, the changes in understory conditions caused by openings in the canopy when a tree dies could have major negative effects on both termite and microbial mediated decomposition. If this is the case, we expect decay rates to slow in canopy gaps as result of disturbance and unfavourable microclimatic conditions for the decomposer community. However, an alternative possibility is that the high concentration of foraging resource (i.e. dead plant matter) in canopy gaps, that result from tree death, may positively affect decomposition processes by attracting termites and/or stimulating a positive priming effect within the microbial community (e.g. Lyu et al., 2018). Under this scenario, we expect to see an increase in decay rates in canopy gaps in response to elevated resource availability where a tree has fallen.

The overarching aim of this investigation was to determine if deadwood decay rates differ in canopy gaps compared with closed canopy sites in tropical rainforest. Additionally, we partitioned the relative contribution of microbes and termites in driving deadwood mass loss in canopy openings and estimated the effect of any changes in

decomposition rates within canopy gaps on regional carbon flux. To achieve this aim, we used macroinvertebrate-accessible and inaccessible woodblocks placed within tree fall canopy gaps and closed canopy sites in an old growth rainforest in Malaysian Borneo. Furthermore, we assessed the termite community composition and soil microclimatic conditions within experimental sites and estimated the volume of deadwood associated with canopy gaps compared with closed canopy sites. This unique experimental design allowed us to test alternative hypotheses that deadwood decomposition in canopy gaps could either: 1) decelerate due to a negative effect of disturbance and a hotter, drier microclimate (e.g. Blonder *et al.* 2018) leading to a reduction in the activity of the decomposer community, or 2) accelerate in response to an influx of dead plant material attracting termite foraging activity and/or stimulating a microbial priming effect (e.g. Lyu *et al.* 2018). To scale up our results and place them in a regional context, we used remote sensing (LiDAR) data to quantify the proportion of canopy openings in the study region and modelled the effect of observed changes in decomposition within gaps on regional carbon flux.

Materials and methods

Study site and gap selection

This study was carried out within an area of lowland, old growth dipterocarp rainforest in the Maliau Basin Conservation Area, Sabah, Malaysia (4° 44' 35" to 55" N and 116° 58' 10" to 30" E; mean annual rainfall 2838 mm ± 93 mm). On the 20th of July 2017, there was a storm at the study site, which generated winds speeds of 8.4 m/s (Fig. S1). These were among the strongest winds normally experienced in inland forests of the region, which placed extreme sheer stress on trees (Jackson *et al.*, 2020).

Consequently, a large number of trees fell within the same 24-hour period in the study location. Ten tree-fall gaps (mean length: 32 m \pm 2.8, mean width: 24.5 m \pm 3; see table S1 for gap characteristics) created during this event were selected for use in this investigation, along with ten adjacent closed canopy sites, located 20 m from the edge of each gap. We took 10 hemispherical photos in each gap and closed canopy sites to quantify canopy openness at each location (see below).

Decomposition assay

In October 2017, we established a wood decomposition assay. Using a termite suppression experiment combined with macroinvertebrate accessible and inaccessible mesh bags, Griffiths *et al.* (2019) demonstrated that non-termite macroinvertebrates did not contribute significantly to the decomposition of a standardised wood substrate, *Pinus radiata* blocks, at this site. Therefore, to assess the rate of decomposition within these paired gap and closed canopy sites and determine the relative contributions of termites versus microbes to the process, we used the same assay of mass loss from untreated *P. radiata* wood within macroinvertebrate accessible and inaccessible bags. Wood blocks (9 x 9 x 5 cm, 161.2 \pm 1.3 g; wood density of 0.40 g cm⁻³ [Zanne *et al.*, 2009]; wood C:N ratio of 462 \pm 48 [Ganjegunte, Condrón, Clinton, Davis, & Mahieu, 2004]) were dried at 60 °C until they reached a constant weight and placed inside “open” (accessible to macroinvertebrates, principally termites, and microbes), or “closed” (accessible to microbes only) bags, which were all made with 300 micron nylon mesh (Plastok™, Merseyside, UK). The open woodblocks had ten 1 cm holes cut into the top and bottom of the bags to avoid confounding effects of using mesh of different sizes in

decomposition assays (Stoklosa et al., 2016). The edges of the closed bags were folded several times and sealed with staples to prevent access by invertebrates. In each gap and closed canopy site, we ran a 50-m transect and randomly placed 5 open and 5 closed wood blocks 5 m apart along the transect ($n = 10$ per site; $n = 200$ woodblocks in total: $10 \times \text{forest sites} \times 2 \text{ canopy treatments [closed canopy or gap]} \times 2 \text{ mesh treatments [open or closed]} \times 5 \text{ replicates}$). Because the gaps were irregular in shape (Appendix table S1), we placed the transects along the longest axis of each gap. In all but one of the gap sites, we were unable to establish a 50 m transect, therefore, we placed an additional line perpendicular to the first, ensuring that each block was always at least 5 m apart from its nearest neighbouring block (Fig. 1).

A hemispherical photograph was taken by placing an iPhone 6 with a fisheye lens attachment directly on top of each wood block. Photographs were analysed using the function *Hemiphot* in R to calculate canopy openness, which was twice as high within the gaps compared with closed canopy sites ($t = 9.67$, $P < 0.001$, mean openness in gap sites = 0.24 ± 0.03 ; mean openness in closed canopy sites = 0.12 ± 0.02 ; Fig. S2). When placing the woodblocks, the top layer of leaf litter was removed, and the blocks were put directly on the humus layer. Wood blocks were left on the forest floor for 12 months (October 2017 to October 2018), after which they were collected and dried at 60 °C until they reached a constant weight. Once dried, wood material was separated from termite soil. The remaining deadwood and termite material (carton and soil) was then re-weighed separately to calculate the proportion of mass loss from each block and the mass of soil brought into the mesh bags by termites. Given that termites are the only invertebrates known to translocate soil into deadwood (Oberst,

Lai, & Evans, 2016), the mass of soil moved into the experimental woodblocks provides additional information on the termite activity compared with non-termite wood-feeding invertebrates.

Soil conditions and termite communities

Every month for the 12-month duration of the study, soil moisture percentage and soil temperature were measured within 5 cm of each wood block using a Delta-T Devices HH2 moisture metre (precise to 0.01 %) and a digital soil thermometer. Measurements were taken in dry conditions, between 8 AM and 10 AM. To assess termite communities located within the gap and closed canopy sites, we carried out termite transects in September 2018 using the Jones and Eggleton transect method (Jones & Eggleton, 2000). This method uses a 100 m x 2 m belt transect which is divided into twenty 5 m x 1 m sections. Each section is sampled for 30 minutes by two trained collectors searching for termites in twelve 12 cm x 12 cm x 10 cm soil pits and examining all dead wood, leaf litter and trees for the presence of termites. When encountered, termite specimens were collected in 70% ethanol and taken to the laboratory for identification. Because our gap sites were not big enough to place a 100 m transect, we carried out the same method but two using smaller transects to equal a 50 m transect combined. Therefore the sampling effort was half that of the Jones & Eggleton (2000) method.

Quantifying regional gap area

To assess the size and frequency of gaps within Maliau Basin Conservation Area, we used LiDAR data collected from an airborne survey, which was carried out by the

Natural Environment Research Council (NERC) Airborne Research Facility (ARF). In November 2014, a Dornier 228-201 was flown at 1,400-2,400 m a.s.l. with a ground-based Leica base station running simultaneously to allow sub-meter accuracy and georeferencing of the data. Light detection and ranging data were collected using a Leica ALS50-II LiDAR sensor, which emits 120 kHz frequency pulses, has a 12° field of view and a footprint of approximately 40 cm. See Swinfield et al. (2019) for details of LiDAR data processing to generate canopy height and digital terrain models at a 0.5 m resolution. Using these data, we analysed canopy height models to identify gaps, defined as areas with a canopy height of less than 5 m. Gaps larger than 1 ha were filtered out to remove LiDAR artefacts, manmade clearances and the river running through Maliau Basin. We used the package *landscapemetrics* in R and the thresholds described above to detect gaps and to calculate the area of each. We then filtered these results to select only gaps that were between 0.025 and 0.16 ha, which is the area range of the gaps forming the basis of this investigation. This allowed us to assess the total area and percentage of the landscape likely to be subject to similar microclimatic conditions to our gap sites at the time of the airborne survey and to quantify the percentage of gaps that are similar in size to those in this study.

Dead wood surveys

To estimate the volume of deadwood found on the forest floor in areas affected by tree-fall, compared with undisturbed areas, we carried out deadwood surveys in December 2017. To avoid disturbing our decomposition assays, these surveys were carried out in areas within the forest surrounding experimental plots. We established eight 50 m transects, four of which were within 5 m of a tree that had fallen during the

storm in July 2017 and four that were in areas of forest at least 20 m from the nearest tree fall. Along each transect, we recorded the diameter of each piece of deadwood that intersected with the line, and these values were used to calculate the volume of dead wood using the following equation (Van Wagner 1968):

$$V = \frac{\pi^2}{8L} \sum d^2$$

Where V is the volume of deadwood ($\text{cm}^3/50 \text{ m}$), d is the diameter of the deadwood item at the intersection and L is the length of the sample line.

Carbon Modelling

A bootstrapping scheme with a million simulations was implemented to estimate the carbon flux from dead wood and its uncertainty in Maliau Basin. We estimated carbon fluxes for a completely closed canopy scenario versus scenarios with observed changes in decay rate and deadwood volume in canopy openings as well as canopy gap percentages derived from the remote sensing analysis.

Wood density

One species, *Pinus radiata*, was used for estimating wood mass loss in our experiment. Therefore, to account for diversity in wood traits of other species likely to occur at the study site, we used tree survey data from Newbery and Lingenfelder (2004) (collected from a lowland dipterocarp forest site within 100 km of our study site). Our bootstrap analysis used the tree species frequencies from Newbery and Lingenfelder (2004) and selected a wood density for each species from the Global

wood density database (Zanne et al., 2009). Where wood density for a species was not available, we randomly selected a wood density value from members of the same genus within the same region category (South-East Asia (tropical)). A histogram of the wood density distribution for this study is shown in Fig. S3. Given that termite and microbial decay rate is negatively associated with wood density in tropical systems (Liu et al., 2015; Mori et al., 2014), this approach reduces the possibility that our model overestimates overall decay rate as a result of the disparity between the density of our decomposition substrate (*P. radiata*: wood density of 0.40 g cm⁻³) and the estimated median density of wood from trees in the study region (0.54 g cm⁻³). We note that the relationship between wood density and decay rate is less clear in temperate forests (Hu et al., 2018; Kahl et al., 2017). In addition to wood density, other traits, such as wood stoichiometry and size of woody substrate (Hu et al., 2018; Kahl et al., 2017; Oberle et al., 2020), are likely to influence decay rates. However, information is lacking on how these other traits affect termite-mediated decay, or wood decomposition more generally in tropical systems. Therefore, we did not incorporate these factors into our models of regional carbon fluxes.

Scaling decay rates

Liu et al. (2015) is the only study we know of that quantifies how termite-mediated decay rates depend on wood density. Therefore, we first built a model to represent wood decay rates under termite attack based on Liu et al. (2015). We scaled this model to represent wood decay in gaps using the *P. radiata* wood density and associated decay rate from our study. Then, we scaled the model again to represent these rates under the closed canopy. Wood decay rates in forest gaps were based on Liu *et al.*

(2015) who measured wood traits and decay rates driven by microbes and termites for 66 species. We fitted an exponential model to decay rates as a function of wood density using an L1 scheme that minimizes the sum of the absolute value of the residuals (R package: *L1pack*) (Fig. S4). We used this scheme, rather than a least-squares approach, to avoid over-weighting outliers with high decay rates. To obtain a decay rate for each wood density value, we sampled from a normal distribution with the decay rate model prediction as the mean and the 68%-confidence interval of the model fit as the standard deviation (in log space). To avoid biologically unrealistic decay rates, we truncated the model to the middle 96% of the modelled decay rate estimates (Fig. S4). Because the model derived from Liu *et al.* (2015) predicted a much higher mean decay rate for *P. radiata* than found in our study (1.3 year⁻¹ compared with 0.49 year⁻¹), we scaled the model to reflect the *P. radiata* decay rates in the canopy gaps open to termite activity that we measured in the field. To predict decay rates under the closed canopy, we also scaled our gap model predictions to match the decay rates of *P. radiata* open to termite decomposition under closed canopy in our study. We accounted for random error in this scaling process by sampling our decay rate dataset with $N(\mu=0.49, \sigma=0.05)$ for the forest gaps and $N(\mu=0.30, \sigma=0.04)$ for the closed canopy to obtain a distribution of scaling factors. These normal distributions were also truncated to the middle 96% quantile.

Carbon fluxes

To estimate the deadwood carbon pool at our study site, we used surveys from Pfeifer *et al.* (2015) from nearby Old Growth plot (OG2) of the Stability of Altered Forest Ecosystem (SAFE) project, located within Maliau Basin, <5 km kilometres from our

study sites. Pfeifer et al. (2015) estimated there to be 10.2 ± 3.5 Mg C per hectare contained in deadwood at the OG2. For the bootstrapping scheme, we sampled 1×10^6 times from a normal distribution of wood pools with the corresponding mean and standard deviation, truncated to the middle 96% quantile. We then estimated carbon fluxes, F , for the closed canopy baseline scenario using the equation

$$F = k_{canopy}C,$$

where k_{canopy} is the decay rate per year under the closed canopy and C is the closed canopy carbon pool estimate in megagrams of carbon per hectare. Because the percentage of canopy gaps is small, we assumed that the carbon pool estimates from Pfeifer et al. (2015) are representative of the closed canopy. We estimated the carbon flux for our study site, including canopy gaps, using the following equation:

$$F^* = pk_{gaps}\alpha C + (1 - p)k_{canopy}C,$$

where F^* is the flux when gaps are included, p is the proportion of canopy gaps at the study site, k_{gaps} , is the decay rate (yr^{-1}) in the canopy gaps and α is the ratio of the volume of dead wood in the canopy gaps to the volume of dead wood under the closed canopy. Because the sample size was small ($n = 4$, each) for the volume of dead wood in the canopy gaps and under the closed canopy, a normal distribution computed from these data may not be reliable. Therefore, we sampled α directly from the dataset for the bootstrapping scheme. Fluxes are reported as geometric means with geometric

standard deviation intervals to best represent the central tendency of the approximately log-normal bootstrapped distributions we obtained.

Statistical analysis

A linear mixed effect model (R package: *LmerTest*) was used to determine if wood block bag type (macroinvertebrate accessible vs. macroinvertebrate inaccessible), canopy type (closed canopy vs forest gap) and the interaction between the two factors affected proportion of mass lost from wood blocks. Mass loss was logit transformed, which allowed us to use standard Gaussian linear methods (Warton & Hui, 2011) and forest site was included as a random factor. To carry out multiple comparisons of means and identify any differences in wood block mass loss between bag types and canopy types, we used the *glht* function (R package: *multcomp*) and Tukey contrasts. An Adonis test (package: *vegan*) was used to assess if the community composition of termites differed between the closed canopy and forest gap sites, and zero-inflated generalised linear mixed effects models (R package: *glmmTBM*) were used to test for differences in the encounter rate of each genus separately in the closed canopy and forest sites. Linear mixed effects models were used to test for differences in minimum, mean and maximum soil temperature and moisture values in closed canopy and gap sites; forest site and sampling date were included as random factors. Linear mixed models were used to assess the differences in canopy openness between the closed canopy and forest gaps, with site included as a random factor.

Finally, to model the relationship between termite-derived soil recovered from the woodblocks and woodblock mass loss, while taking into consideration the high

proportion of zeros in the data (50% of open woodblocks contained no termite-derived soil), we analysed the data in a two-stage approach following Min & Agresti (2002). First, we created a binomial variable for the termite soil mass, where woodblocks containing no soil received a 0 and those with more than zero grams of soil received a 1. We then fit the data to a generalised linear mixed effect model (glmer) with site included as a random factor, to test if the proportion of wood mass lost (logit transformed) had a significant effect on the probability of a woodblock containing termite soil. Next, we removed the zero soil values from the dataset and ran a linear mixed effects model (lmer) on only woodblocks from which we recovered soil, to assess if logit transformed wood mass loss was significantly associated with the mass of soil that was recovered from the woodblocks. Again, site was included as a random factor. This approach overcame the problem of modelling zero-inflated data (only invertebrate accessible bags were included in these models because no soil was recovered from closed bags).

Results

Decomposition

Significantly more mass was lost from open woodblocks (accessible to both microbes and macroinvertebrates) in forest gaps (mean mass loss over 12 months: $49\% \pm 5\%$) compared with open woodblocks in closed canopy sites (mean mass loss: $30\% \pm 4\%$; $z = 3.8$, $P < 0.001$). This is an increase in decomposition by a factor of 1.63 in forest where both microbes and macroinvertebrates have access to the woodblocks (Fig. 2). In both the closed canopy and gaps sites, the presence of macroinvertebrates significantly increased the proportion of mass lost, but the magnitude of this increase

was greater in forest gaps, as indicated by significant interaction between woodblock bag type and canopy type ($LRT = 4.18$, $P = 0.04$): woodblock mass loss increased by a factor of 2 in open (mean mass loss: $30 \pm 4\%$) compared with closed bags (mean mass loss: $15 \pm 2\%$) in closed canopy sites ($z = 3.08$, $P = 0.01$), but increased by a factor of 2.58 within open (mean mass loss: $49 \pm 5\%$) versus closed bags ($19 \pm 2\%$) in forest gaps ($z = 5.9$, $P < 0.001$). We found a significant positive relationship between woodblock mass loss and the likelihood that a wood block contained termite-derived soil and carton within the open bags ($z = 4.19$, $P < 0.001$; Fig S5), and a significant positive relationship between the proportion of mass lost from a woodblock and the mass of dry soil recovered from bags containing soil ($z = 2.94$, $P = 0.005$; Fig. S5); indicating that termites, rather than other macro-invertebrates, were responsible for this mass loss. There was no significant difference in mass lost from closed woodblocks in the closed canopy compared with closed woodblocks in forest gap sites ($z = 0.86$, $P = 0.83$), suggesting that changes in microbial activity were not responsible for the increase decomposition in the gaps (Fig. 2).

Soil microclimate and termite communities

We found small but significant differences in soil temperature and soil moisture within closed canopy and forest gap sites. The soil in gaps tended to be warmer and wetter. Minimum soil temperature was higher by 0.5°C and mean soil temperature was 0.3°C higher in gaps compared with closed canopy sites. There was no significant difference in maximum soil temperature. Minimum, mean and maximum soil moisture were higher in canopy gaps compared with non-gap sites by 2, 1.5 and 3.5 percentage points, respectively (Fig. 3; Table 1). We found no difference in the composition of

termite communities collected in the closed canopy compared with forest gaps sites nor was there any difference in the number of encounters of individual genera in the two canopy types (Fig. S6).

Gap area and carbon modelling

Within the LiDAR surveyed area of 940 ha of lowland tropical rainforest, we detected a total of 20,928 gaps, with the centre of the cumulative distribution of gaps (i.e. the point where half of the gap area is comprised of smaller gaps and the remaining half by larger gaps) at 122 m² (0.01 ha) and covering a cumulative area of 24 ha, or 2.5% of the study site. Of these, 128 gaps were of comparable size to those used in this study (between 0.025 and 0.16 ha). These gaps covered a cumulative area of 6.5 ha, which is 0.7 % of the surveyed area and represents 27% of the total gap area in the study region (Fig. 4). In the forest matrix immediately surrounding our experimental plots, we found 187% more deadwood in areas affected by tree fall compared with undisturbed areas (average volume in areas more than 20 m from tree fall: 95.4 ± 36.6 cm³ per 50 m transect; average volume in areas close to tree fall: 272.9 ± 98.7 cm³ per 50 m transect; Fig. 5).

Our initial model applied the changes in decay rate and wood pools to canopy gaps covering 0.7% of the surveyed area, which is the cumulative area that includes gaps of the same size as those forming the basis of this investigation: 128 gaps in total, measuring between 0.025 and 0.16 ha. Under this assumption of gap area, deadwood carbon fluxes increased above baseline by a geometric mean value of 5.7% with a geometric SD interval of -3.1% to 15.2%, corresponding to a flux increase of 0.09 Mg

C ha⁻¹ yr⁻¹ (Table 2). If we assumed changes in wood pools and decay rates applied to all gaps detected by LiDAR, i.e. 2.5% of the survey area, then the flux increase was 18.2% (geometric SD interval of -15.4% to 47.7%), or 0.27 Mg C ha⁻¹ yr⁻¹. Increases in both wood pool sizes and termite-driven decay rates in gaps contributed to the higher fluxes relative to the baseline scenario with no gaps (Fig. S10). At the scale of the 940 ha region of our LiDAR analysis, gap-driven fluxes increased from 1380 Mg C ha⁻¹ yr⁻¹ to 1460 Mg C yr⁻¹ for the 0.7% gap scenario and to 1640 Mg C ha⁻¹ yr⁻¹ for the 2.5% gap scenario.

Discussion

We found that deadwood decomposition in a lowland tropical rainforest increased by approximately two thirds in tree-fall canopy gaps, compared with closed-canopy forest, and that this accelerated decomposition was driven by termites. These results add to a growing body of evidence showing that termites are major drivers of deadwood decomposition in tropical rainforests (Griffiths et al., 2019; Law et al., 2019) and that their importance for the maintenance of ecological processes can increase in response to environmental perturbations (Ashton et al., 2019). The functioning of canopy gaps as hotspots for carbon cycling has important implications for land-surface model development given that tree mortality is increasing in rainforests (Brienen et al., 2015; Hubau et al., 2020; McDowell et al., 2018), which will increase the number of gaps, and cumulative area of forest affected by canopy openings.

Drivers of increased decomposition

We hypothesized that changes in deadwood stocks and microclimate in gaps might alter wood decomposition fluxes. Deadwood stocks were three times higher in canopy gaps than in closed canopy sites. Microbial decomposition did not differ between contrasting canopy conditions while termite-mediated decay increased by almost two thirds in tree-fall gaps. The small but significant differences we detected in the soil microclimate of our gap and closed canopy sites had no effect on microbial decay but may have led to an increase in termite-mediated decay. Combined, these results point to an influx of deadwood foraging material for termites as a likely driver of the increased decomposition in gaps we detected. However, because this hypothesis needs further testing, this work serves as a platform from which the mechanisms behind the patterns we report can be rigorously tested and a starting point for incorporation of these patterns into global carbon models.

We found no support for our hypothesis that shifts in microclimate and/or disturbance caused by tree mortality are detrimental to the decomposer community. Neither termite nor microbial-mediated wood mass loss declined beneath canopy gaps. Soil conditions in our focal canopy gaps were not as we predicted: although slightly warmer, they were wetter, rather than drier than in the paired closed canopy sites. This result could, in part, explain the lack of disturbance/microclimate effect detected on the decomposer community because we have no *a priori* reason to believe that these small increases in soil moisture would negatively affect microbial or termite activity.

Our finding of increased termite-mediated decay in canopy gaps supports our alternative hypothesis that an increase in termite food sources (deadwood) in tree fall gaps attracts more termites to these areas, which leads to increased decomposition. We found almost three times more deadwood on the forest floor in areas close to tree fall (Fig. 5), and we propose that this influx of wood is likely to have led to an increase in termite foraging in the gap sites. This finding has important implications for the way in which decomposition models are parameterised in rainforest systems because our results suggest that carbon flux rates from deadwood are not only a function of the proportion of wood necromass in the system (Rice et al., 2004) but may also be mediated by the spatial clustering of the deadwood resource. Given that microbial decay rates did not change in the canopy gaps, we found no evidence to suggest the clustering/influx of dead plant resources had a comparable positive effect on the microbial decomposer community.

We are confident that termites were responsible for the invertebrate driven increase in decomposition because a previous study, which used macroinvertebrate accessible and inaccessible woodblock bags, in combination with a large-scale suppression of termite communities, demonstrated that non-termite macroinvertebrates do not contribute significantly to wood decay at this site Griffiths et al., (2019). Our present study exactly mimics the experimental design used to manipulate the macroinvertebrate community access to wood blocks in the previous work. Therefore, we conclude that termites were responsible for the elevated mass loss from wood within the macroinvertebrate accessible bags. Moreover, we found a significant positive relationship between the probability that a wood block contained termite-

derived soil and proportion wood mass loss, as well as a positive relationship between the mass of soil brought into our open woodblock bags and wood block mass loss (no soil was recovered from closed woodblocks; Fig. S5). This relationship provides further evidence that termites are the main drivers of the observed wood mass loss from the macroinvertebrate accessible bags because termites are the only decomposer organism known to move clay and soil around in this way (Oberst et al., 2016). Because our sampling to assess the composition and biomass of termites within the gap and closed canopy sites was carried out 15-months after the storm that created the focal gaps and influx of deadwood material, it seems likely that we missed the increase in termite activity within the gap sites that we hypothesise led to the elevated decay rate within our gaps. Further work is needed to conclusively disentangle the possible drivers of the increased termite activity and wood decay rates in canopy gaps (microclimate versus increased food supply). Our findings highlight the need to explicitly test the influence of microclimate versus deadwood volume on decay rates in field experiments. This would allow us to gain a deeper understanding of the factors mediating decomposition and carbon balance in rainforest ecosystems.

Implications for rainforest carbon flux and sources of uncertainties

We show that termite-mediated deadwood decay responds positively to small-scale disturbances within old-growth rainforest. This suggests that accelerated termite decomposition could be a key driver of observed elevated carbon fluxes caused by increased tree mortality and degradation within standing tropical forests (Baccini et al., 2017; Hubau et al., 2020). As such, these results add to our understanding of the biotic mechanisms underpinning ongoing changes to rainforest carbon budgets. However,

the resilience of termite-mediated ecosystem processes to differing disturbance thresholds is largely unknown (but see Tuma *et al.* 2019). Recent work has shown that termites maintain leaf litter decomposition, nutrient heterogeneity and soil moisture retention in old growth forest during periods of drought (Ashton *et al.*, 2019), indicating that they can provide ecosystem resilience to climate change. Understanding the extent to which the resilience provided by termites is maintained in degraded habitats is key to the on-going improvement of land-surface models as well the development of land-management practices aimed at increasing the resilience of tropical landscapes under ongoing environmental change

Given the vast amounts of carbon contained within tropical forests (Lewis, Edwards, & Galbraith, 2015; Pan *et al.*, 2011), even a relatively small change in C flux due to termite-mediated decomposition in canopy gaps may scale up to large differences over tropical biomes. For example, our estimated flux increase of 0.27 Mg C ha⁻¹ yr⁻¹ represents 2% of total net primary productivity (13.5 Mg C ha⁻¹ yr⁻¹) measured in lowland rainforests of Malaysian Borneo (Riutta *et al.*, 2018). This timely finding is of particular relevance given that the Community Land Model version 6 (CLM6) is currently under development, which will include additional parameterisation of ecosystem processes that influence the cycling of C through terrestrial ecosystems and build upon progress made in CLM5 (Lawrence *et al.*, 2019). However, although our analysis indicated the potential for substantial increases in carbon flux due to changes in termite activity in canopy gaps, the variance around the estimated magnitude of this change in flux remains high due to a number of potential sources of uncertainty in our model.

558

559 Lack of data on how climate mediates the relationship between termite-driven decay
560 and wood density represents an area of uncertainty in our model estimates and
561 contributes to the large confidence intervals associated with our C-flux estimates. Our
562 estimate of termite-mediated decay associated with the varying wood densities is
563 reliant on an empirical model we fitted to a single dataset of decay rates from a distant
564 study site in Yunnan Province, China (Liu et al., 2015). While both are Asian tropical
565 rainforests, the climate differs between the two regions: mean annual rainfall of 1463
566 mm versus 2838 mm and average monthly temperatures of 21.7°C versus 24.9°C in
567 Yunan (Li et al., 2012) and Maliau (Law et al., 2019), respectively. These climatic
568 differences could be important because while some studies suggest that wood traits
569 are key drivers of deadwood decay (Hu et al., 2018; Zanne et al., 2015), others have
570 found stronger relationships with climate (Chambers, Higuchi, Schimel, Ferreira, &
571 Melack, 2000; Pietsch et al., 2019). Consequently, it is possible that the effect of wood
572 density on rate of termite mediated decay could differ between the two regions.

573

574 Wood density is not the only trait known to influence decay rates. Results from studies
575 focussed on microbial wood decomposition in temperate regions show that a range of
576 other traits can also significantly effect wood decay, either positively (e.g.
577 phosphorous, nitrogen) or negatively (e.g. bark ratio, lignin concentration [Kahl et al.,
578 2017; Oberle et al., 2019]). Furthermore, a recent meta-analysis (Hu et al., 2018),
579 highlighted the importance of wood size (diameter) and nitrogen concentration in
580 controlling wood decay globally. We acknowledge that termite-mediated decay rates
581 could also be influenced by these wood traits and our models may be improved if more

data were available on the effect of wood stoichiometry on termite attack rate in our system. However, data on wood chemical traits within our study region are currently unavailable, but Martin, Erickson, Kress, & Thomas (2014) provide an overview of wood nitrogen concentration and correlations between nitrogen and other wood traits for 59 Panamanian tree species. This work reveals a mean wood C:N ratio for these neo-tropical tree species of 278 ± 32 , with values ranging from 84.7 to 1360.8, and a positive relationship between wood density and wood nitrogen concentration. Our wood decomposition substrate (*Pinus radiata*) falls within this range with a C:N of 462 (Ganjegunte et al., 2004).

We are aware of no study that has interrogated the influence of wood chemical traits on termite mediated decomposition; therefore, we are unable to speculate as to how these factors could influence our flux estimate. However, Ulyshen, Müller, & Seibold (2016) show that termite-mediated wood mass loss increased significantly where bark was present, which is in contrast to the findings presented by Kahl et al., (2017) who show that higher bark ratio negatively affected microbial decay rates. It is important to note that our use of wood blocks of a uniform (small) size and lacking in bark could have resulted in elevated mass loss compared to larger woody substrates with intact bark. However, our wood substrate was chosen to allow for standardization and to facilitate comparison across our experimental sites and treatments. Therefore, we highlight the need for additional work to partition the contributions of microbes versus termites in the decomposition of deadwood with a range of traits and in a range of ecosystems to facilitate the development of more precise models of wood decomposition and carbon cycling.

606

607 Possible inaccuracies in our estimates of deadwood on the forest floor are another
608 potential source of error in our model estimates. We reported that the volume of
609 deadwood was 187% higher in areas affected by treefall compared with those
610 unaffected, using field transects 5-months after the storm that created the canopy
611 gaps. However, it is possible that termite-mediated wood removal in that 5-month
612 period, in response to the influx of foraging material, removed deadwood
613 disproportionately from the tree-fall sites. This would result in an underestimation of
614 the difference in wood volume in contrasting canopy environments, with potentially
615 more deadwood in recently created gaps than we reported. Further, we used data
616 from Pfeifer *et al.* (2015) to describe the deadwood carbon pool under closed canopy
617 conditions. However, Pfeifer *et al.* (2015) reported different deadwood carbon
618 estimates from two sites, both within 3.2 km of our study site (“OG1”: 27.05 Mg C per
619 ha, and “OG2”: 10.24 Mg per ha). We used values from the site closest to our
620 experimental plots (< 1km), OG 2, which was the lowest carbon pool value and thus
621 avoids inflated estimates of the effect of termites on regional C flux. However, the
622 higher deadwood carbon pool reported from Old Growth 1 combined with the
623 possibility that we underestimated the proportional difference in deadwood volume in
624 gaps versus closed canopy sites suggests that our modelling effort is a conservative
625 estimate of the true effect of termite mediated C flux in canopy gaps.

626

627 Finally, difficulties in describing temporally and spatially representative forest canopy
628 gap fractions may have contributed model inaccuracies. Using data from the aerial
629 survey carried out in November 2014, we found the cumulative area of canopy gaps

in the study region to be between 0.7 and 2.5%. This range is within the lower bounds of canopy gap fractions described by Hunter *et al.* (2015) in the Amazon rainforest (2-5%) and smaller than that reported by Yavitt *et al.* (1995) within a Panamanian forest (4%). Small canopy openings in rainforest ecosystems caused by isolated tree fall events rapidly become colonised by lateral canopy growth, meaning that their detectability using remote sensing quickly decreases with time since gap creation (Asner, Keller, & Silva, 2004). The aerial survey used in this investigation was not, as far as we are aware, carried out soon after an intense storm similar to the storm that created the focal gaps in this study. Therefore, our gap fraction estimate is likely to be smaller than if it been carried out immediately following the storm that formed the basis of this investigation. However, despite these uncertainties, our analysis demonstrates that canopy gaps in rainforest ecosystems function as hotspots of deadwood decay, which has far reaching implications for regional and global budgeting.

Conclusion

To our knowledge, this is the first study to show that rainforest treefall canopy gaps represent hotspots for deadwood decay and carbon cycling. We provide insights into the relative importance of invertebrates compared with microbes in driving the decomposition of deadwood, adding to a growing body of literature showing that termites and their mutualistic microbes are equally, if not more important than free-living microorganisms for deadwood decay in rainforests (Griffiths *et al.*, 2019; Law *et al.*, 2019). These results demonstrate that to improve the accuracy of carbon modelling, a variable rate of decomposition should be included in model parameters to account for accelerated termite-mediated decay within tree fall canopy gaps.

However, we urgently require information on the effect of a variety of wood traits on termite-mediated decay rates, as well as research efforts to quantify whether these patterns of accelerated decomposition hold true in selectively logged forest or oil palm plantations. Only through addressing these knowledge gaps will we be able to reduce model uncertainties and accurately predict how ongoing changes to tropical landscapes will affect global carbon cycling, climate and the functioning and maintenance of vitally important tropical rainforest ecosystems.

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Data Sharing and Accessibility

The data that support the findings of this study are openly available in Dryad data repository at [http://doi.org/\[doi\]](http://doi.org/[doi]), reference number [reference number].

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Tables

Table 1. Mean soil temperature and moisture in closed canopy and forest gap sites and outputs from linear mixed effects models to assess the effect of gaps on soil conditions (asterisks indicate significant differences between closed canopy and gap sites).

Microclimate metric	Mean value				t-value	P
	Closed canopy		Forest gap			
Min. soil temp. (°C)	22.77	± 0.22	23.25	± 0.17	2.56	0.01*
Mean soil temp. (°C)	24.09	± 0.07	24.40	± 0.07	3.22	0.001**
Max soil temp. (°C)	25.13	± 0.10	25.38	± 0.09	1.38	0.17
Min. soil moisture (%)	12.90	± 0.39	14.06	± 0.24	2.28	0.02*
Mean soil moisture (%)	19.50	± 0.44	20.95	± 0.48	2.65	0.01*
Max soil moisture (%)	26.77	± 0.58	30.26	± 0.76	3.37	0.001**

Table 2. Estimates of geometric mean carbon fluxes and standard deviation intervals (square brackets) based on 1×10^6 simulations for the following scenarios: a closed canopy baseline; a scenario with 0.7% forest gap, which, based on the LiDAR data, is the cumulative percentage of forest area that is a gap of the same size as our focal experimental gaps (between 0.025 and 0.16 ha); and a scenario with 2.5% forest gap, which is the total (maximum) proportion of forest that was classified as a gap in the LiDAR survey.

	Baseline	0.7% Forest gaps	2.5% Forest gaps
Carbon flux (Mg C ha ⁻¹ yr ⁻¹)	1.47 [0.57, 3.83]	1.56 [0.61, 3.96]	1.74 [0.70, 4.32]
Ratio to baseline	1.000	1.057 [0.969, 1.152]	1.182 [0.846, 1.477]
Carbon flux for LiDAR region (Mg C yr ⁻¹)	1380 [530, 3600]	1460 [570, 3720]	1640 [660, 4060]

Figure legends

Figure 1. Schematic diagram of the study experimental design. In October 2017, we selected 10 canopy gaps (mean width 24.5 m, mean length 32 m), created by tree-fall during a storm even in July 217, and 10 paired closed canopy sites (located 20 m from the edge of each gap). Within each gap and closed canopy site, we randomly placed 5 x invertebrate accessible woodblocks (represented by the grey boxes) and 5 x invertebrate inaccessible woodblock (yellow boxes). Each woodblock was separated by at least 5 m and was left on the forest floor for 12-months.

Figure 2. Median plus interquartile range for mass loss from macroinvertebrate accessible (grey boxes) and macroinvertebrate inaccessible (yellow boxes) wood blocks within closed canopy and tree-fall gaps. Points are the raw data are displayed over the boxes.

Figure 3. Frequency distributions of minimum, mean and maximum soil temperature (panels a, c, e) and soil moisture (panels b, d, f) within closed canopy (grey ribbons) and forest gaps (yellow ribbons). Vertical dashed lines indicate significant differences between mean microclimate attributes in the different canopy types (closed canopy: grey lines, forest gaps: yellow lines).

Figure 4. Cumulative distribution of canopy gap area. Gaps of the same area as those forming the basis of this investigation (128 gaps, between 0.025 and 0.16 ha) fall within the yellow rectangle. The total area represented by the yellow rectangle is 6.5 ha, which is 0.7 % of the surveyed area and represents 27% of the total gap area in the study region. The vertical dashed line at 122 m² (0.01 ha) represents the centre of the cumulative distribution function, where half of the gap area is comprised of smaller gaps and the remaining half by larger gaps.

Figure 5. Median (horizontal lines) plus 95% confidence intervals (whiskers) of the volume of deadwood on the forest floor beneath closed canopy (grey box) and sites within 5 m of a canopy gap.

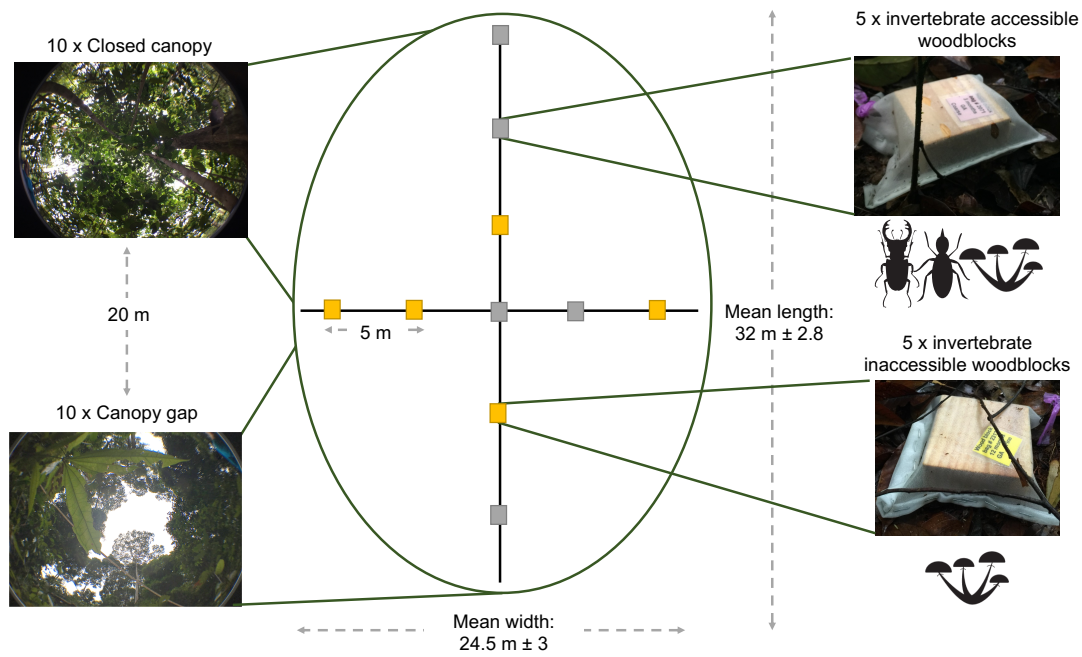


Figure 1.

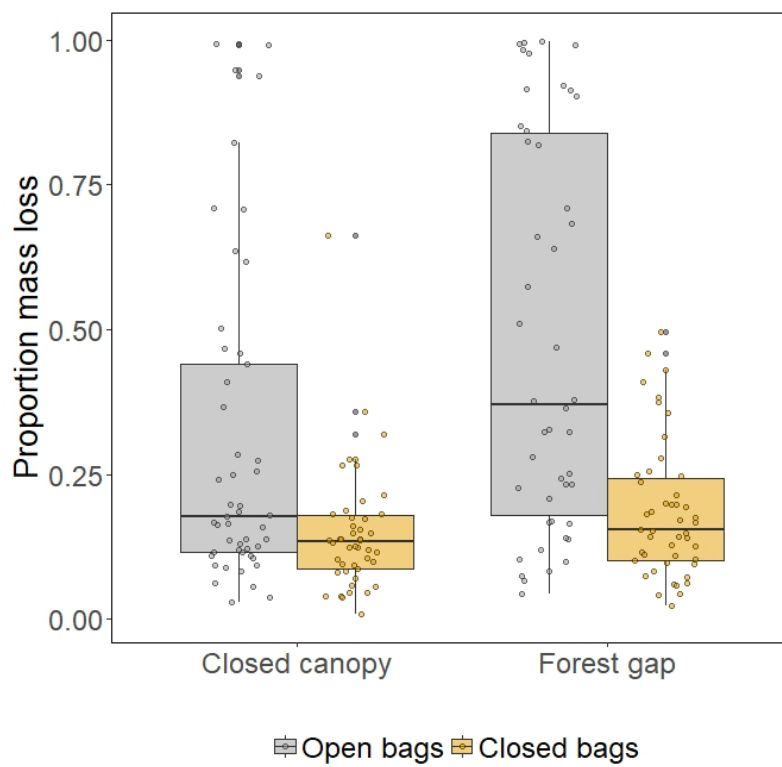


Figure 2.

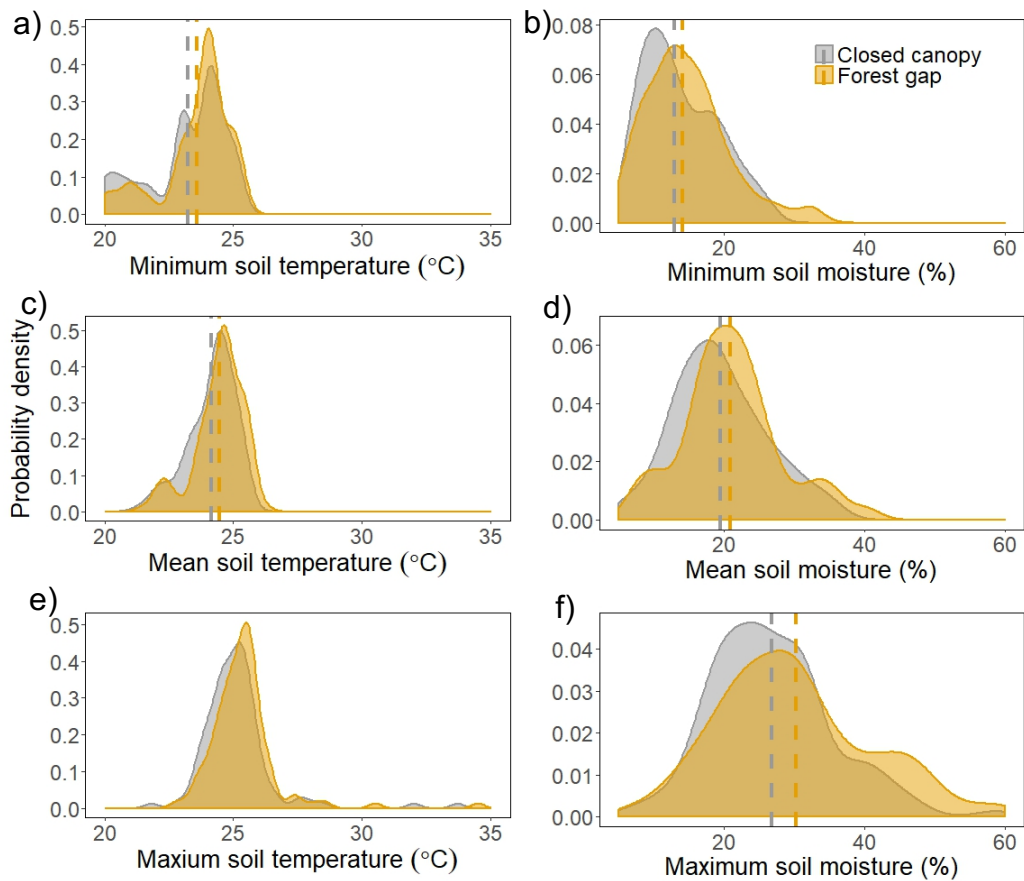


Figure. 3

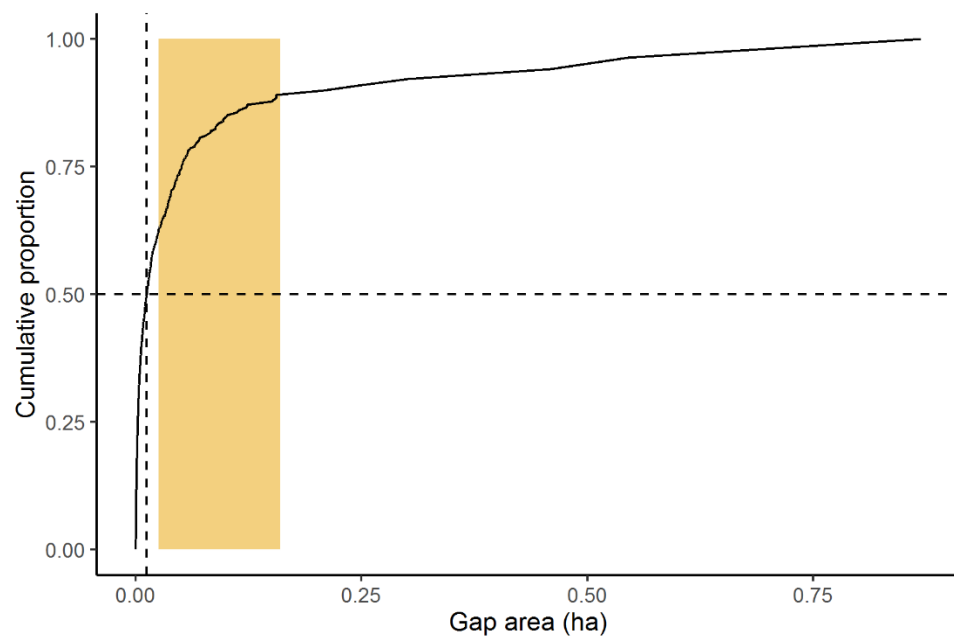
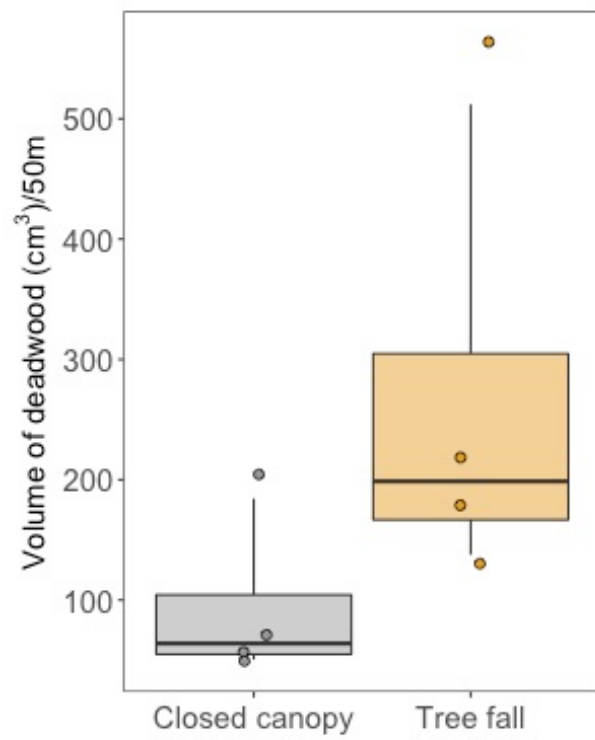


Figure 4.



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965 **Figure 5.**