

The Maximum Spectral Radius of Graphs Without Friendship Subgraphs

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Abstract

A graph on $2k + 1$ vertices consisting of k triangles which intersect in exactly one common vertex is called a k -friendship graph and denoted by F_k . This paper determines the graphs of order n that have the maximum (adjacency) spectral radius among all graphs containing no F_k , for n sufficiently large.

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1 Introduction

In this paper, we consider only simple and undirected graphs. Let G be a simple connected graph with vertex set $V(G) = \{v_1, \dots, v_n\}$ and edge set $E(G) = \{e_1, \dots, e_m\}$. Let $d(v_i)$ (or $d_G(v_i)$) be the degree of a vertex v in G . The *adjacency matrix* of G is $A(G) = (a_{ij})_{n \times n}$ with $a_{ij} = 1$ if two vertices v_i and v_j are adjacent in G , and $a_{ij} = 0$ otherwise. The largest eigenvalue of $A(G)$, denoted by $\lambda(G)$ or $\lambda_1(G)$, is called the *spectral radius* of G . The spectral radius of a graph gives some information about how dense the graph is. For example, it is well-known that the average degree of G is at most $\lambda_1(G)$ which is at most the maximum degree of G .

In spectral graph theory, Brualdi and Solheid [5] proposed the following problem: Given a set of graphs, try to find a tight upper bound for the spectral radius in this set and characterize all extremal graphs. This problem is widely studied in the literature for many classes of graphs, such as graphs with a given number of cut vertices [3], diameter [8, 18], radius [18], domination number [29], size [28], Euler genus [9], and clique or independence number [30], and additionally for subgraphs of the hypercube [4].

The following problem regarding the adjacency spectral radius was proposed in [25]: What is the maximum spectral radius of a graph G on n vertices without a subgraph isomorphic to a given graph F ? Fiedler and Nikiforov [13] obtained tight sufficient conditions for graphs to be Hamiltonian or traceable. Additionally, Nikiforov obtained spectral strengthenings of Turán's theorem [24] and the Kővari-Sós-Turán theorem [22] when the forbidden graphs are complete or complete bipartite respectively. This motivates further study for such question, see [12, 13, 20, 23, 25].

The *Turán number* of a graph F is the maximum number of edges that may be in an n -vertex graph without a subgraph isomorphic to F , and is denoted by $\text{ex}(n, F)$. A graph on n vertices with no subgraph F and with $\text{ex}(n, F)$ edges is called an *extremal graph* for F and we denote by $\text{Ex}(n, F)$ the set of all extremal graphs on n vertices for F . Understanding $\text{ex}(n, F)$ and $\text{Ex}(n, F)$ for various graphs F is a cornerstone of extremal graph theory (see [2, 7, 11, 14, 15, 17, 27] for surveys).

A graph on $2k + 1$ vertices consisting of k triangles which intersect in exactly one common vertex is called a k -*friendship graph* (also known as a k -fan) and denoted by F_k .

In [10], the following result is proved.

Theorem 1. [10] *For every $k \geq 1$, and for every $n \geq 50k^2$, if a graph G of order n satisfies $e(G) > \text{ex}(n, F_k)$, then G contains a copy of a k -friendship graph, where*

$$\text{ex}(n, F_k) = \left\lfloor \frac{n^2}{4} \right\rfloor + \begin{cases} k^2 - k & \text{if } k \text{ is odd,} \\ k^2 - \frac{3}{2}k & \text{if } k \text{ is even.} \end{cases}$$

The extremal graphs $G_{n,k}^i$ ($i = 1, 2$) of Theorem 1 are as follows. For odd k (where $n \geq 4k - 1$) $G_{n,k}^1$ is constructed by taking a complete bipartite graph with color classes of size $\lceil \frac{n}{2} \rceil$ and $\lfloor \frac{n}{2} \rfloor$ and embedding two vertex disjoint copies of K_k in one side. For even k (where now $n \geq 4k - 3$) $G_{n,k}^2$ is constructed by taking a complete equi-bipartite graph and embedding a graph with $2k - 1$ vertices, $k^2 - \frac{3}{2}k$ edges with maximum degree $k - 1$ in one side. The graphs $G_{n,k}^1$ is unique up to isomorphism, but $G_{n,k}^2$ is not.

Our goal is to give the spectral counterpart of Theorem 1. As the case $k = 1$ is just Mantel's theorem, whose spectral version is also known (see [21]) so we consider $k \geq 2$. The main result of this paper is the following.

Theorem 2. *Let G be a graph of order n that does not contain a copy of a k -friendship graph, $k \geq 2$. For sufficiently large n , if G has the maximal spectral radius, then*

$$G \in \text{Ex}(n, F_k).$$

We note that one may form an equitable partition of a graph in $\text{Ex}(n, F_k)$ and determine its spectral radius as the root of a degree 3 (if k is odd) or degree 4 (if k is even) polynomial. We at last point out that, during our proof, we use the triangle removal lemma, so it is difficult to present exactly how large we need our n to be.

2 Some Lemmas

Let G be a simple graph with matching number $\beta(G)$ and maximum degree $\Delta(G)$. For given two integers β and Δ , define $f(\beta, \Delta) = \max\{|E(G)| : \beta(G) \leq \beta, \Delta(G) \leq \Delta\}$. Chvátal and Hanson [6] obtained the following result.

Theorem 3 (Chvátal and Hanson [6]). *For every two positive integers $\beta \geq 1$ and $\Delta \geq 1$, we have*

$$f(\beta, \Delta) = \Delta\beta + \left\lfloor \frac{\Delta}{2} \right\rfloor \left\lfloor \frac{\beta}{\lceil \Delta/2 \rceil} \right\rfloor \leq \Delta\beta + \beta.$$

We will frequently use the following special case proved by Abbott, Hanson and Sauer [1]:

$$f(k-1, k-1) = \begin{cases} k^2 - k & \text{if } k \text{ is odd,} \\ k^2 - \frac{3}{2}k & \text{if } k \text{ is even.} \end{cases}$$

The extremal graphs are exactly those we embedded into the Turán graph $T_{n,2}$ to obtain the extremal F_k -free graph $G_{n,k}^i$ ($i = 1, 2$).

Essential to our proof are the following two lemmas: the triangle removal lemma and a stability result of Füredi.

Lemma 4 (Triangle Removal Lemma [10, 14, 26]). *For each $\varepsilon > 0$, there exists an $N = N(\varepsilon)$ and $\delta > 0$ such that every graph G on n vertices with $n \geq N$ with at most δn^3 triangles can be made triangle-free by removing at most εn^2 edges.*

Lemma 5 (Füredi [16]). *Suppose that $K_3 \not\subseteq G$, $|V(G)| = n$, $s > 0$ and $e(G) = e(T_{n,2}) - s$. Then there exists a bipartite subgraph H , $E(H) \subseteq E(G)$ such that $e(H) \geq e(G) - s$.*

The following lemma is needed in the sequel.

Lemma 6. *For any positive integer n , we have*

$$\frac{n}{2} - \sqrt{\left\lceil \frac{n}{2} \right\rceil \left\lfloor \frac{n}{2} \right\rfloor} < \frac{1}{n}.$$

3 The Proof of Theorem 2

Let $\mathcal{G}_{n,k}$ be the set of all F_k -free graphs of order n . Let $G \in \mathcal{G}_{n,k}$ be a graph on n vertices with maximum spectral radius. The aim of this section is to prove that $e(G) = \text{ex}(n, F_k)$ for n large enough.

First note that G must be connected. Let λ_1 be the spectral radius of G and let \mathbf{x} be a positive eigenvector for it. We may normalize \mathbf{x} so that it has maximum entry equal to 1, and let z be a vertex such that $\mathbf{x}_z = 1$. We prove the theorem iteratively, giving successively better lower bounds on both $e(G)$ and the eigenvector entries of all of the other vertices, until finally we can show that $e(G) = \text{ex}(n, F_k)$.

Let $H \in \text{Ex}(n, F_k)$. Then since G is the graph maximizing the spectral radius over all F_k -free graphs, in view of Theorem 1, we must have

$$\lambda_1(G) \geq \lambda_1(H) \geq \frac{\mathbf{1}^T A(H) \mathbf{1}}{\mathbf{1}^T \mathbf{1}} = 2 \frac{\lceil \frac{n}{2} \rceil \lfloor \frac{n}{2} \rfloor + f(k-1, k-1)}{n} > \frac{n}{2}. \quad (1)$$

The proof of Theorem 2 is outlined as follows.

- We give a lower bound on $e(G)$ as a function of λ_1 and the number t of triangles in G , which on first approximation gives a bound of roughly $\frac{n^2}{4} - O(kn)$.
- Using the triangle removal lemma and Füredi's stability result, we show that G has a very large maximum cut.
- We show that no vertex has many neighbors on its side of the partition, and then we refine this by considering eigenvector entries to show that in fact no vertex has more than a constant number of neighbors on its side of the partition.
- We show that no vertices have degree much smaller than $\frac{n}{2}$, and this allows us to refine our lower bound on both $e(G)$ and on the eigenvector entry of each vertex.
- Once we know that all vertices have eigenvector entry very close to 1, we may show that the partition is balanced. This shows that G can be converted to a graph in $\text{Ex}(n, F_k)$ by adding or removing a constant number of edges, and this allows us to show that $e(G) = \text{ex}(n, F_k)$.

We now proceed with the details. First we prove a lemma which gives a lower bound on $e(G)$ in terms of λ_1 and the number of triangles in G .

Lemma 7. *If G has t triangles, then*

$$e(G) \geq \lambda_1^2 - \frac{3t}{\lambda_1}.$$

Proof. Let λ_1 be the spectral radius of G and let \mathbf{x} be a positive eigenvector scaled such that it has maximum entry equal to 1, and let z be a vertex with maximum eigenvector

entry i.e., $\mathbf{x}_z = 1$. Then $\lambda_1 \mathbf{x}_u = \sum_{v \sim u} \mathbf{x}_v$ and $\lambda_1^2 \mathbf{x}_u = \sum_{v \sim u} \lambda_1 \mathbf{x}_v = \sum_{v \sim u} \sum_{w \sim v} \mathbf{x}_w$. We consider the following triple sum:

$$\sum_{u \in V} \lambda_1^2 \mathbf{x}_u = \sum_{u \in V} \sum_{v \sim u} \sum_{w \sim v} \mathbf{x}_w.$$

The sum counts over all ordered walks on three vertices (with possible repetition), and is weighted by the eigenvector entry of the last vertex. Instead of summing over ordered triples of vertices, we count by considering the first edge in the walk. If a given walk has first edge uv , then \mathbf{x}_w will be counted by this edge exactly once if w is adjacent to exactly one of u or v and exactly twice if $\{u, v, w\}$ forms a triangle. Therefore, the sum is equal to

$$\begin{aligned} \sum_{uv \in E} \left(2 \sum_{\substack{wu \in E \\ wv \in E}} \mathbf{x}_w + \sum_{\substack{wu \in E \\ wv \notin E}} \mathbf{x}_w + \sum_{\substack{wv \in E \\ wu \notin E}} \mathbf{x}_w \right) &= \sum_{uv \in E} \left(\sum_{\substack{wu \in E \\ wv \in E}} \mathbf{x}_w + \sum_{\substack{wu \in E \\ wv \notin E}} \mathbf{x}_w + \sum_{\substack{wv \in E \\ wu \notin E}} \mathbf{x}_w \right) \\ &\leq \sum_{uv \in E} \left(\sum_{\substack{wu \in E \\ wv \in E}} \mathbf{x}_w + \sum_{\substack{wv \notin E}} \mathbf{x}_w + \sum_{\substack{wv \in E \\ wu \in E}} \mathbf{x}_w \right) \\ &\leq \sum_{uv \in E} \left(\sum_{\substack{wu \in E \\ wv \in E}} \mathbf{x}_w + \sum_{w \in V} \mathbf{x}_w \right) \\ &\leq \sum_{uv \in E} \left(\sum_{\substack{wu \in E \\ wv \in E}} 1 + \sum_{w \in V} \mathbf{x}_w \right) \\ &= 3t + e(G) \sum_{w \in V} \mathbf{x}_w. \end{aligned}$$

Hence

$$e(G) \geq \lambda_1^2 - \frac{3t}{\sum_{w \in V} \mathbf{x}_w}.$$

On the other hand,

$$\lambda_1 = \lambda_1 \mathbf{x}_z = \sum_{w \sim z} \mathbf{x}_w \leq \sum_{w \in V} \mathbf{x}_w.$$

Therefore

$$e(G) \geq \lambda_1^2 - \frac{3t}{\sum_{w \in V} \mathbf{x}_w} \geq \lambda_1^2 - \frac{3t}{\lambda_1}.$$

So the assertion holds. \square

Corollary 8. *If the number of triangles of G is t , then*

$$e(G) \geq \lambda_1^2 - \frac{6t}{n}.$$

Proof. In view of inequality (1), and the function $f(x) = \lambda_1^2 - \frac{3t}{x}$ is strictly increasing with respect to x , the assertion follows. \square

Lemma 9. *Suppose the matching number of a graph H of order n is at most $k-1$. Then $e(H) \leq kn$, i.e., $\text{ex}(n, M_k) \leq kn$, where M_k is a matching of size k .*

Proof. By Theorem 3, $e(H) \leq f(k-1, n-1) \leq (k-1)(n-1+1) < kn$. \square

Lemma 10. *Let ε and δ be fixed positive constants with $\delta < \frac{1}{10(k+1)^2}$, $\varepsilon < \frac{\delta^2}{16}$. There exists an $N(\varepsilon, \delta, k)$ such that G has a partition $V = S \cup T$ which gives a maximum cut, and*

$$e(S, T) \geq \left(\frac{1}{4} - \varepsilon\right) n^2$$

for $n \geq N(\varepsilon, \delta, k)$. Furthermore

$$\left(\frac{1}{2} - \sqrt{\varepsilon}\right) n \leq |S|, |T| \leq \left(\frac{1}{2} + \sqrt{\varepsilon}\right) n.$$

Proof. Since G is F_k -free, the neighborhood of any vertex does not have M_k (a matching of size k) as a subgraph. Thus by Lemma 9, we can obtain the following upper bound for the number of triangles,

$$3t = \sum_{v \in V(G)} e(G[N(v)]) \leq \sum_{v \in V(G)} \text{ex}(d(v) + 1, M_k) \leq \sum_{v \in V(G)} \text{ex}(n, M_k) \leq \sum_{v \in V(G)} kn = kn^2.$$

This gives $t \leq \frac{kn^2}{3}$. So $t \leq \frac{k}{3n}n^3 \leq \delta n^3$ for $n \geq N_2 \geq \frac{k}{3\delta}$. From Corollary 8, we obtain

$$e(G) \geq \frac{n^2}{4} - 2kn. \quad (2)$$

By Lemma 4, there exists an $N_1(\varepsilon, k)$ such that the graph G_1 obtained from G by deleting at most $\frac{1}{10}\varepsilon n^2$ edges is K_3 -free. For $N = \max\{N_1, N_2\}$, the size of the graph G_1 of order $n \geq N$ satisfies $e(G_1) \geq e(G) - \frac{1}{10}\varepsilon n^2$. Note that $e(G_1) \leq e(T_{n,2})$ by Turán's Theorem. Define

$$s \triangleq e(T_{n,2}) - e(G_1) \geq 0.$$

By Lemma 5, G_1 contains a bipartite subgraph G_2 such that $e(G_2) \geq e(G_1) - s$. Hence, for n sufficiently large, we have

$$\begin{aligned} e(G_2) &\geq e(G_1) - s \\ &= 2e(G_1) - e(T_{n,2}) \\ &\geq 2e(G) - e(T_{n,2}) - \frac{1}{5}\varepsilon n^2 \\ &\geq 2\left(\frac{n^2}{4} - 2kn\right) - \frac{n^2}{4} - \frac{1}{5}\varepsilon n^2 \end{aligned}$$

$$\geq \left(\frac{1}{4} - \varepsilon\right) n^2.$$

Therefore, G has a partition $V = S \cup T$ which gives a maximum cut such that

$$e(S, T) \geq e(G_2) \geq \left(\frac{1}{4} - \varepsilon\right) n^2. \quad (3)$$

Furthermore, without loss of generality, we may assume that $|S| \leq |T|$. If $|S| < (\frac{1}{2} - \sqrt{\varepsilon})n$, then $|T| = n - |S| > (\frac{1}{2} + \sqrt{\varepsilon})n$. So

$$e(S, T) \leq |S||T| < \left(\frac{1}{2} - \sqrt{\varepsilon}\right) n \left(\frac{1}{2} + \sqrt{\varepsilon}\right) n = \left(\frac{1}{4} - \varepsilon\right) n^2,$$

which contradicts to Eq. (3). Therefore it follows that

$$\left(\frac{1}{2} - \sqrt{\varepsilon}\right) n \leq |S|, |T| \leq \left(\frac{1}{2} + \sqrt{\varepsilon}\right) n.$$

Hence the assertion holds. \square

Lemma 11. *Let $k \geq 2$. Denote by*

$$L := \left\{ v : d(v) \leq \left(\frac{1}{2} - \frac{1}{4(k+1)}\right) n \right\}.$$

Then

$$|L| \leq 16k^2.$$

Proof. Suppose that $|L| > 16k^2$. Then let $L' \subseteq L$ with $|L'| = 16k^2$. Then it follows that

$$\begin{aligned} e(G - L') &\geq e(G) - \sum_{v \in L'} d(v) \\ &\geq \frac{n^2}{4} - 2kn - 16k^2 \left(\frac{1}{2} - \frac{1}{4(k+1)}\right) n \\ &> \frac{(n - 16k^2)^2}{4} + k^2. \end{aligned}$$

for n a sufficiently large constant depending only on k , where the second inequality is by (2). Hence by Theorem 1, $G - L'$ contains F_k , which implies that G contains F_k . So the assertion holds. \square

We will also need the following lemma which can be proved by induction or double counting.

Lemma 12. *Let A_1, \dots, A_p be p finite sets. Then*

$$|A_1 \cap A_2 \cap \dots \cap A_p| \geq \sum_{i=1}^p |A_i| - (p-1) \left| \bigcup_{i=1}^p A_i \right|. \quad (4)$$

For a vertex v , let $d_S(v) = |N(v) \cap S|$ and $d_T(v) = |N(v) \cap T|$, and let

$$W := \{v \in S : d_S(v) \geq \delta n\} \cup \{v \in T : d_T(v) \geq \delta n\}$$

be the set of vertices that have many neighbors which are not in the cut. Let L be as in Lemma 11, that is

$$L = \left\{ v : d(v) \leq \left(\frac{1}{2} - \frac{1}{4(k+1)} \right) n \right\}.$$

Next we show that actually W and L are empty.

Lemma 13. *For the above W , we have*

$$|W| < \frac{2\varepsilon}{\delta} n + \frac{2k^2}{\delta n},$$

and $W \setminus L$ is empty.

Proof. Note that $e(S, T) \geq \left(\frac{1}{4} - \varepsilon\right) n^2$ by Lemma 10, and $e(G) \leq \text{ex}(n, F_k) \leq \frac{n^2}{4} + k^2$ by Theorem 1. Hence

$$e(S) + e(T) = e(G) - e(S, T) \leq \frac{n^2}{4} + k^2 - \left(\frac{1}{4} - \varepsilon \right) n^2 = \varepsilon n^2 + k^2. \quad (5)$$

On the other hand, if we let $W_1 = W \cap S$ and $W_2 = W \cap T$, then we deduce

$$2e(S) = \sum_{u \in S} d_S(u) \geq \sum_{u \in W_1} d_S(u) \geq |W_1| \delta n, \quad 2e(T) = \sum_{u \in T} d_T(u) \geq \sum_{u \in W_2} d_T(u) \geq |W_2| \delta n.$$

So

$$e(S) + e(T) \geq (|W_1| + |W_2|) \frac{\delta n}{2} = \frac{|W| \delta n}{2}. \quad (6)$$

By (5) and (6), we get

$$\frac{|W| \delta n}{2} \leq \varepsilon n^2 + k^2,$$

i.e.,

$$|W| \leq \frac{2(\varepsilon n^2 + k^2)}{\delta n}. \quad (7)$$

Suppose that $W \setminus L \neq \emptyset$. We now prove that this is impossible.

Let $L_1 = L \cap S$ and $L_2 = L \cap T$. Without loss of generality, there exists a vertex $u \in W_1 \setminus L_1$. Since S and T form a maximum cut, $d_T(u) \geq \frac{1}{2}d(u)$. On the other hand, $u \notin L$ because $u \in W_1 \setminus L_1$. Therefore $d(u) \geq \left(\frac{1}{2} - \frac{1}{4(k+1)}\right) n$. So

$$d_T(u) \geq \frac{1}{2}d(u) \geq \left(\frac{1}{4} - \frac{1}{8(k+1)} \right) n.$$

On the other hand, $|L| \leq 16k^2$. Hence, for fixed $\delta < \frac{1}{10(k+1)^2}$, $\varepsilon < \frac{\delta^2}{16}$ and sufficiently large n , we have

$$|S \setminus (W \cup L)| \geq \left(\frac{1}{2} - \sqrt{\varepsilon}\right)n - \delta n - \frac{2k^2}{\delta n} - 16k^2 \geq \left(\frac{1}{2} - \sqrt{\varepsilon} - \delta\right)n - 18k^2 \geq k.$$

Suppose that u is adjacent to k vertices u_1, \dots, u_k in $S \setminus (W \cup L)$. Since $u_i \notin L$, we have $d(u_i) \geq \left(\frac{1}{2} - \frac{1}{4(k+1)}\right)n$. On the other hand, $d_S(u_i) \leq \delta n$ by $u_i \notin W$. So $d_T(u_i) = d(u_i) - d_S(u_i) \geq \left(\frac{1}{2} - \frac{1}{4(k+1)} - \delta\right)n$. By Lemma 12, we have

$$\begin{aligned} & |N_T(u) \cap N_T(u_1) \cap \dots \cap N_T(u_k)| \\ & \geq |N_T(u)| + |N_T(u_1)| + \dots + |N_T(u_k)| - k|N_T(u) \cup N_T(u_1) \cup \dots \cup N_T(u_k)| \\ & \geq d_T(u) + d_T(u_1) + \dots + d_T(u_k) - k|T| \\ & \geq \left(\frac{1}{4} - \frac{1}{8(k+1)}\right)n + \left(\frac{1}{2} - \frac{1}{4(k+1)} - \delta\right)n \cdot k - k\left(\frac{1}{2} + \sqrt{\varepsilon}\right)n \\ & = \left(\frac{1}{8(k+1)} - k\delta - k\sqrt{\varepsilon}\right)n > k \end{aligned}$$

for sufficiently large n , where the last inequality is from $\delta < \frac{1}{10(k+1)^2}$ and $\varepsilon < \frac{\delta^2}{16}$. So there exist k vertices v_1, \dots, v_k in T such that the induced subgraph by two partitions $\{u_1, \dots, u_k\}$ and $\{v_1, \dots, v_k\}$ is complete bipartite. It follows that G contains F_k , this is a contradiction. Therefore u is adjacent to at most $k-1$ vertices in $S \setminus (W \cup L)$. Hence, in view of $\varepsilon < \frac{\delta^2}{16}$, we have

$$\begin{aligned} d_S(u) & \leq |W| + |L| + k - 1 \\ & < \frac{2\varepsilon}{\delta}n + \frac{2k^2}{\delta n} + 16k^2 + k - 1 \\ & < \frac{2\delta}{3}n + \frac{2k^2}{\delta n} + 17k^2 \\ & < \delta n \end{aligned}$$

for sufficiently large n . This is a contradiction to the fact that $u \in W$. Similarly, there is no vertex $u \in W_2 \setminus L_2$. Hence $W \setminus L = \emptyset$. \square

Lemma 14. *L is empty, and both $G[S]$ and $G[T]$ are $K_{1,k}$ and M_k -free.*

Proof. We will prove the result from the following two claims.

Claim 1: There exist independent sets $I_S \subseteq S$ and $I_T \subseteq T$ such that

$$|I_S| \geq |S| - 18k^2, \quad \text{and} \quad |I_T| \geq |T| - 18k^2.$$

Indeed, let $u_1, \dots, u_{2k} \in S \setminus L$. Then $u_i \notin L$ which implies

$$d(u_i) \geq \left(\frac{1}{2} - \frac{1}{4(k+1)}\right)n.$$

By Lemma 13, $d_S(u_i) \leq \delta n$. Hence

$$d_T(u_i) = d(u_i) - d_S(u_i) \geq \left(\frac{1}{2} - \frac{1}{4(k+1)} - \delta \right) n.$$

Furthermore, by Lemma 12, we have

$$\begin{aligned} \left| \bigcap_{i=1}^{2k} N_T(u_i) \right| &\geq \sum_{i=1}^{2k} |N_T(u_i)| - (2k-1) \left| \bigcup_{i=1}^{2k} N_T(u_i) \right| \\ &\geq \left(\frac{1}{2} - \frac{1}{4(k+1)} - \delta \right) n \cdot 2k - (2k-1) \left(\frac{1}{2} + \sqrt{\varepsilon} \right) n \\ &= \left(\frac{1}{2(k+1)} - 2k\delta - (2k-1)\sqrt{\varepsilon} \right) n \\ &> k \end{aligned}$$

for sufficiently large n . Hence there exist k vertices v_1, \dots, v_k such that the induced subgraph by two partitions $\{u_1, \dots, u_{2k}\}$ and $\{v_1, \dots, v_k\}$ is a complete bipartite graph. So $G[S \setminus L]$ is both $K_{1,k}$ and M_k -free, otherwise G contains F_k , i.e., uu_1v_1, \dots, uu_kv_k for $d(u) \geq k$, or $v_1u_1u_2, \dots, v_1u_{2k-1}u_{2k}$ for $\{u_1u_2, \dots, u_{2k-1}u_{2k}\}$ being a matching of size k . Hence the maximum degree and the maximum matching number of $G[S \setminus L]$ are at most $k-1$, respectively. By Theorem 3,

$$e(G[S \setminus L]) \leq f(k-1, k-1).$$

The same argument gives

$$e(G[T \setminus L]) \leq f(k-1, k-1).$$

Since $G[S \setminus L]$ has at most $f(k-1, k-1)$ edges, then the subgraph obtained from $G[S \setminus L]$ by deleting one vertex of each edge in $G[S \setminus L]$ contains no edges, which is an independent set of $G[S \setminus L]$. So there exists an independent set $I_S \subseteq S$ such that

$$|I_S| \geq |S \setminus L| - f(k-1, k-1) \geq |S| - k \left(k - \frac{3}{2} \right) - 16k^2 \geq |S| - 18k^2.$$

The same argument gives that there is an independent set $I_T \subseteq T$ with

$$|I_T| \geq |T| - 18k^2.$$

So Claim 1 holds.

Recall that z is a vertex with maximum eigenvector entry. Since $\mathbf{x}_z = 1$, and

$$d(z) \geq \sum_{w \sim z} \mathbf{x}_w = \lambda_1 \mathbf{x}_z = \lambda_1 \geq \frac{n}{2}.$$

Hence $z \notin L$.

Without loss of generality, we may assume that $z \in S$. Since the maximum degree in the induced subgraph $G[S \setminus L]$ is at most $k - 1$ (containing no $K_{1,k}$), from Lemma 11, we have $|L| \leq 16k^2$ and

$$d_S(z) = d_{S \cap L}(z) + d_{S \setminus L}(z) \leq k - 1 + 16k^2 \leq 17k^2.$$

Therefore, by Claim 1, we have

$$\begin{aligned} \lambda_1 &= \lambda_1 \mathbf{x}_z = \sum_{v \sim z} \mathbf{x}_v \\ &= \sum_{v \sim z, v \in S} \mathbf{x}_v + \sum_{v \sim z, v \in T} \mathbf{x}_v \\ &= \sum_{v \sim z, v \in S} \mathbf{x}_v + \sum_{v \sim z, v \in I_T} \mathbf{x}_v + \sum_{v \sim z, v \in T \setminus I_T} \mathbf{x}_v \\ &\leq d_S(z) + \sum_{v \in I_T} \mathbf{x}_v + \sum_{v \in T \setminus I_T} 1 \\ &\leq 17k^2 + \sum_{v \in I_T} \mathbf{x}_v + |T| - |I_T| \\ &\leq \sum_{v \in I_T} \mathbf{x}_v + 17k^2 + 18k^2 \\ &\leq \sum_{v \in I_T} \mathbf{x}_v + 35k^2. \end{aligned}$$

So

$$\sum_{v \in I_T} \mathbf{x}_v \geq \lambda_1 - 35k^2. \quad (8)$$

Claim 2: $L = \emptyset$.

By way of contradiction, assume that there is a vertex $v \in L$, i.e., $d(v) \leq (\frac{1}{2} - \frac{1}{4(k+1)})n$. Consider the graph G^+ with vertex set $V(G)$ and edge set $E(G^+) = E(G \setminus \{v\}) \cup \{vw : w \in I_T\}$. Note that adding a vertex incident with vertices in I_T does not create any triangles, and so G^+ is F_k -free. By (8), we have that

$$\begin{aligned} \lambda_1(G^+) - \lambda_1(G) &\geq \frac{\mathbf{x}^T (A(G^+) - A(G)) \mathbf{x}}{\mathbf{x}^T \mathbf{x}} = \frac{2\mathbf{x}_v}{\mathbf{x}^T \mathbf{x}} \left(\sum_{w \in I_T} \mathbf{x}_w - \sum_{uv \in E(G)} \mathbf{x}_u \right) \\ &\geq \frac{2\mathbf{x}_v}{\mathbf{x}^T \mathbf{x}} (\lambda_1 - 35k^2 - d_G(v)) \\ &\geq \frac{2\mathbf{x}_v}{\mathbf{x}^T \mathbf{x}} \left(\lambda_1 - 35k^2 - \left(\frac{1}{2} - \frac{1}{4(k+1)} \right) n \right) \\ &\geq \frac{2\mathbf{x}_v}{\mathbf{x}^T \mathbf{x}} \left(\frac{n}{2} - 35k^2 - \left(\frac{1}{2} - \frac{1}{4(k+1)} \right) n \right) \\ &= \frac{2\mathbf{x}_v}{\mathbf{x}^T \mathbf{x}} \left(\frac{n}{4(k+1)} - 35k^2 \right) > 0, \end{aligned}$$

where the last step uses n large enough and that if $v \in L$, then $d_G(v) \leq \left(\frac{1}{2} - \frac{1}{4k+4}\right)n$. This contradicts G has the largest spectral radius over all F_k -free graphs and so L must be empty. \square

Next we may refine the structure of G .

Lemma 15. *For n and k as before, we have*

$$\frac{n}{2} - 4k \leq |S|, |T| \leq \frac{n}{2} + 4k, \quad (9)$$

$$e(G) \geq \frac{n^2}{4} - 12k^2, \quad (10)$$

and

$$\frac{n}{2} - 14k^2 \leq \delta(G) \leq \lambda_1 \leq \Delta(G) \leq \frac{n}{2} + 5k. \quad (11)$$

Proof. From Lemma 14, both $G[S]$ and $G[T]$ are $K_{1,k}$ and M_k -free, so we have $e(S) + e(T) \leq 2f(k-1, k-1) < 2k^2$. This means that the number of triangles in G is bounded above by $2k^2n$ since any triangle contains an edge of $E(S) \cup E(T)$. By Corollary 8, we have

$$e(G) \geq \lambda_1^2 - \frac{6t}{n} \geq \frac{n^2}{4} - \frac{12k^2n}{n} = \frac{n^2}{4} - 12k^2.$$

Suppose that $|S| \leq \frac{n}{2} - 4k$, then $|T| = n - |S| \geq \frac{n}{2} + 4k$. Hence

$$e(G) = e(S) + e(T) + e(S, T) \leq 2k^2 + |S||T| \leq 2k^2 + \left(\frac{n}{2} - 4k\right) \left(\frac{n}{2} + 4k\right) = \frac{n^2}{4} - 14k^2,$$

which contradicts to $e(G) \geq \frac{n^2}{4} - 12k^2$.

So we have

$$\frac{n}{2} - 4k \leq |S|, |T| \leq \frac{n}{2} + 4k.$$

Moreover, by Lemma 14, the maximum degree of $G[S]$ is at most $k-1$. This implies that

$$\Delta(G) \leq \frac{n}{2} + 4k + k - 1 \leq \frac{n}{2} + 5k.$$

So

$$\lambda_1 \leq \Delta(G) \leq \frac{n}{2} + 5k.$$

Furthermore, we claim that the minimum degree of G is at least $\frac{n}{2} - 14k^2$. Otherwise, removing a vertex v of minimum degree $d(v)$, we have

$$\begin{aligned} e(G-v) &= e(G) - d(v) \\ &\geq \frac{n^2}{4} - 12k^2 - \left(\frac{n}{2} - 14k^2\right) \\ &= \frac{n^2}{4} - \frac{n}{2} + 2k^2 \end{aligned}$$

$$\begin{aligned}
&= \frac{(n-1)^2}{4} + k^2 + k^2 - \frac{1}{4} \\
&> \frac{(n-1)^2}{4} + k^2,
\end{aligned}$$

which implies $G - v$ contains F_k by Theorem 1. \square

Lemma 16. *For all $u \in V(G)$, we have that $\mathbf{x}_u \geq 1 - \frac{120k^2}{n}$.*

Proof. Without loss of generality, we may assume that $z \in S$. We consider the following two cases.

Case 1: $u \in S$. Then $d_S(u) \leq k^2$ as $e(G[S]) \leq k^2$. Hence we obtain

$$\begin{aligned}
|N_T(u)| &= d_T(u) = d(u) - d_S(u) \geq \delta(G) - d_S(u) \geq \frac{n}{2} - 14k^2 - k^2 \\
&\geq \frac{n}{2} - 15k^2. \\
|N_T(u) \cap N_T(z)| &= |N_T(u)| + |N_T(z)| - |N_T(u) \cup N_T(z)| \geq 2\delta_T(G) - |T| \\
&\geq 2\left(\frac{n}{2} - 15k^2\right) - \left(\frac{n}{2} + 4k\right) \geq \frac{n}{2} - 34k^2. \\
\lambda_1 \mathbf{x}_u - \lambda_1 \mathbf{x}_z &= \sum_{v \sim u, v \in T, v \sim z} \mathbf{x}_v + \sum_{v \sim u, v \in T, v \not\sim z} \mathbf{x}_v + \sum_{v \sim u, v \in S} \mathbf{x}_v \\
&\quad - \sum_{v \sim z, v \in T, v \sim u} \mathbf{x}_v - \sum_{v \sim z, v \in T, v \not\sim u} \mathbf{x}_v - \sum_{v \sim z, v \in S} \mathbf{x}_v \\
&\geq - \sum_{v \sim z, v \in T, v \not\sim u} \mathbf{x}_v - \sum_{v \sim z, v \in S} \mathbf{x}_v \\
&\geq - \sum_{v \sim z, v \in T, v \not\sim u} 1 - \sum_{v \sim z, v \in S} 1 \\
&\geq -(d_T(z) - |N_T(u) \cap N_T(z)|) - d_S(z) \\
&\geq -\left(\left(\frac{n}{2} + 5k\right) - \left(\frac{n}{2} - 34k^2\right)\right) - k^2 \\
&\geq -40k^2.
\end{aligned}$$

Therefore, for any $u \in S$, we have

$$\mathbf{x}_u \geq 1 - \frac{40k^2}{\lambda_1} > 1 - \frac{40k^2}{\frac{n}{2}} = 1 - \frac{80k^2}{n}. \quad (12)$$

Case 2: $u \in T$. By (12),

$$\lambda_1 \mathbf{x}_u = \sum_{v \sim u} \mathbf{x}_v \geq \sum_{v \sim u, v \in S} \mathbf{x}_v \geq \left(1 - \frac{80k^2}{n}\right) d_S(u).$$

Since

$$\frac{n}{2} - 14k^2 \leq \delta(G) \leq d(u) = d_S(u) + d_T(u),$$

and $d_T(u) \leq k$ as the maximum degree in $G[T]$ is at most $k - 1$, we have $d_S(u) \geq \frac{n}{2} - 14k^2 - k \geq \frac{n}{2} - 15k^2$. Hence

$$\begin{aligned} \mathbf{x}_u &\geq \frac{(1 - \frac{80k^2}{n})d_S(u)}{\lambda_1} \geq \frac{(1 - \frac{80k^2}{n})(\frac{n}{2} - 15k^2)}{\frac{n}{2} + 5k} \\ &= \frac{\frac{n}{2} - 55k^2 + \frac{1200k^4}{n}}{\frac{n}{2} + 5k} \\ &= 1 - \frac{55k^2 + 5k - \frac{1200k^4}{n}}{\frac{n}{2} + 5k} \\ &> 1 - \frac{120k^2}{n}. \end{aligned}$$

From the above two cases, the result follows. \square

Using this refined bound on the eigenvector entries, we may show that the partition $V = S \cup T$ is balanced.

Lemma 17. *The sets S and T have sizes as close as possible. That is*

$$| |S| - |T| | \leq 1.$$

Proof. Without loss of generality, we may assume that $|T| \geq |S|$. Denote

$$\begin{aligned} S' &:= \{v \in S : N(v) \subseteq T\}, \\ T' &:= \{v \in T : N(v) \subseteq S\}. \end{aligned}$$

Since $e(G[S]) \leq k^2$, there exist at most $2k^2$ vertices in S having a neighbor in S . Hence

$$|S'| \geq |S| - 2k^2.$$

Similarly,

$$|T'| \geq |T| - 2k^2.$$

Let $C \subseteq T'$ be a set having $|T| - |S|$ vertices, which exists since, from (9), $|T| - |S| \leq 8k$ and $|T'| \geq |T| - 2k^2 \geq \frac{n}{2} - 4k - 2k^2 > 8k$. Then $G \setminus C$ is a graph on $2|S|$ vertices such that

$$e(G) - e(C, S) = e(G \setminus C) \leq \text{ex}(2|S|, F_k) \leq \frac{(2|S|)^2}{4} + f(k-1, k-1).$$

Hence

$$e(G) \leq |S|^2 + |C||S| + f(k-1, k-1) = |S||T| + f(k-1, k-1).$$

Let $B = K_{|S|, |T|}$ be the complete bipartite graph with partite sets S and T , and let $G_1 = G[S] \cup G[T]$ and G_2 be the graph with edges $E(B) \setminus E(G)$. Note that $e(G) = e(B) + e(G_1) - e(G_2)$ and so $e(G_1) - e(G_2) = e(G) - e(B) \leq f(k-1, k-1)$. By Lemma 16 we have,

$$\mathbf{x}^T \mathbf{x} \geq n \left(1 - \frac{120k^2}{n}\right)^2 > n \left(1 - \frac{240k^2}{n}\right) = n - 240k^2,$$

and that $\lambda_1(B) = \sqrt{|S||T|}$. By Lemma 15, also note that $e(G_1) \leq 2k^2$, we obtain

$$e(S, T) = e(G) - e(G_1) \geq \frac{n^2}{4} - 12k^2 - 2k^2 = \frac{n^2}{4} - 14k^2,$$

which implies that

$$e(G_2) = e(B) - e(S, T) \leq |S||T| - \left(\frac{n^2}{4} - 14k^2 \right) \leq 14k^2.$$

So, bearing in mind the inequality (1), we have

$$\begin{aligned} \frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil + \frac{2f(k-1, k-1)}{n} &\leq \lambda_1 = \frac{\mathbf{x}^T (A(B) + A(G_1) - A(G_2)) \mathbf{x}}{\mathbf{x}^T \mathbf{x}} \\ &= \frac{\mathbf{x}^T A(B) \mathbf{x}}{\mathbf{x}^T \mathbf{x}} + \frac{\mathbf{x}^T A(G_1) \mathbf{x}}{\mathbf{x}^T \mathbf{x}} - \frac{\mathbf{x}^T A(G_2) \mathbf{x}}{\mathbf{x}^T \mathbf{x}}. \end{aligned}$$

Note that by Lemma 16,

$$\mathbf{x}^T A(G_2) \mathbf{x} = 2 \sum_{uv \in E(G_2)} \mathbf{x}_u \mathbf{x}_v \geq 2e(G_2) \left(1 - \frac{120k^2}{n}\right)^2 \geq 2e(G_2) \left(1 - \frac{240k^2}{n}\right),$$

therefore

$$\begin{aligned} &\frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil + \frac{2f(k-1, k-1)}{n} \\ &\leq \lambda_1(B) + \frac{2e(G_1)}{\mathbf{x}^T \mathbf{x}} - \frac{2e(G_2) \left(1 - \frac{240k^2}{n}\right)}{\mathbf{x}^T \mathbf{x}} \\ &\leq \lambda_1(B) + \frac{2(e(G_1) - e(G_2))}{\mathbf{x}^T \mathbf{x}} + \frac{2e(G_2) \frac{240k^2}{n}}{\mathbf{x}^T \mathbf{x}} \\ &\leq \sqrt{|S||T|} + \frac{2f(k-1, k-1)}{\mathbf{x}^T \mathbf{x}} + \frac{2 \cdot 14k^2 \frac{240k^2}{n}}{\mathbf{x}^T \mathbf{x}} \\ &\leq \sqrt{|S||T|} + \frac{2f(k-1, k-1)}{n} + 2f(k-1, k-1) \left(\frac{1}{\mathbf{x}^T \mathbf{x}} - \frac{1}{n} \right) + \frac{2 \cdot 14k^2 \frac{240k^2}{n}}{n(1 - \frac{240k^2}{n})} \\ &\leq \sqrt{|S||T|} + \frac{2f(k-1, k-1)}{n} + 2k^2 \left(\frac{1}{n(1 - \frac{240k^2}{n})} - \frac{1}{n} \right) + \frac{2 \cdot 14k^2 \frac{240k^2}{n}}{n(1 - \frac{240k^2}{n})} \\ &= \sqrt{|S||T|} + \frac{2f(k-1, k-1)}{n} + \frac{480k^4}{n(n-240k^2)} + \frac{6720k^4}{n(n-240k^2)} \\ &= \sqrt{|S||T|} + \frac{2f(k-1, k-1)}{n} + \frac{7200k^4}{n(n-240k^2)}. \end{aligned}$$

Then

$$\frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil - \sqrt{|S||T|} \leq \frac{7200k^4}{n(n-240k^2)}. \quad (13)$$

Assume to the contrary, so $|T| \geq |S| + 2$. We consider two cases.

Case 1: n is even. Since $|S| + |T| = n$, we have

$$\begin{aligned} \frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil - \sqrt{|S||T|} &\geq \frac{n}{2} - \sqrt{\left(\frac{n}{2} - 1\right) \left(\frac{n}{2} + 1\right)} \\ &= \frac{n}{2} - \sqrt{\frac{n^2}{4} - 1} = \frac{1}{\frac{n}{2} + \sqrt{\frac{n^2}{4} - 1}} > \frac{1}{n}. \end{aligned}$$

So by (13), we have

$$\frac{1}{n} < \frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil - \sqrt{|S||T|} \leq \frac{7200k^4}{n(n - 240k^2)} \leq \frac{8000k^4}{n^2}.$$

This is a contradiction for sufficiently large n .

Case 2: n is odd. Since $|S| + |T| = n$, we have

$$\begin{aligned} \frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil - \sqrt{|S||T|} &\geq \frac{n^2 - 1}{2n} - \sqrt{\left(\frac{n-3}{2}\right) \left(\frac{n+3}{2}\right)} \\ &= \frac{n - \frac{1}{n}}{2} - \frac{\sqrt{n^2 - 9}}{2} = \frac{(n - \frac{1}{n})^2 - (n^2 - 9)}{2(n - \frac{1}{n} + \sqrt{n^2 - 9})} \\ &= \frac{7 + \frac{1}{n^2}}{2(n - \frac{1}{n} + \sqrt{n^2 - 9})} \geq \frac{1}{n}. \end{aligned}$$

So by (13), we have

$$\frac{1}{n} < \frac{2}{n} \left\lfloor \frac{n}{2} \right\rfloor \left\lceil \frac{n}{2} \right\rceil - \sqrt{|S||T|} \leq \frac{7200k^4}{n(n - 240k^2)} \leq \frac{8000k^4}{n^2}.$$

This is a contradiction for sufficiently large n . Therefore for n large enough we must have that $||S| - |T|| \leq 1$. \square

Finally, we show that $e(G) = \text{ex}(n, F_k)$.

Proof of Theorem 2. By way of contradiction, we assume that $e(G) \leq \text{ex}(n, F_k) - 1$. Let H be an F_k -free graph with $\text{ex}(n, F_k)$ edges on the same vertex set as G , where S and T induce a complete bipartite graph in H (this is possible because every graph in $\text{Ex}(n, F_k)$ has a maximum cut of size $\lfloor n^2/4 \rfloor$). Let E_+ and E_- be sets of edges such that $E(G) \cup E_+ \setminus E_- = E(H)$, and choose E_+ and E_- to be as small as possible, i.e., $E_+ = E(H) \setminus E(G)$ and $E_- = E(G) \setminus E(H)$. Since $|E(G) \cap E(H)| + |E_-| = e(G) < e(H) = |E(G) \cap E(H)| + |E_+|$ which implies that $|E_+| \geq |E_-| + 1$. Furthermore, we have that $|E_-| \leq e(G[S]) + e(G[T]) < 2k^2$. Finally, by (10) we have that $|E_+| < 15k^2$. Now, by the Rayleigh quotient [19] and Lemma 16, we have that

$$\lambda_1(H) \geq \frac{\mathbf{x}^T A(H) \mathbf{x}}{\mathbf{x}^T \mathbf{x}} = \lambda_1(G) + \frac{2}{\mathbf{x}^T \mathbf{x}} \sum_{ij \in E_+} \mathbf{x}_i \mathbf{x}_j - \frac{2}{\mathbf{x}^T \mathbf{x}} \sum_{ij \in E_-} \mathbf{x}_i \mathbf{x}_j$$

$$\begin{aligned}
&\geq \lambda_1(G) + \frac{2}{\mathbf{x}^T \mathbf{x}} \left(|E_+| \left(1 - \frac{120k^2}{n} \right)^2 - |E_-| \right) \\
&\geq \lambda_1(G) + \frac{2}{\mathbf{x}^T \mathbf{x}} \left(|E_+| - |E_-| - \frac{240k^2}{n} |E_+| + \frac{(120k^2)^2}{n^2} |E_+| \right) \\
&\geq \lambda_1(G) + \frac{2}{\mathbf{x}^T \mathbf{x}} \left(1 - \frac{240k^2}{n} |E_+| + \frac{(120k^2)^2}{n^2} |E_+| \right) \\
&> \lambda_1(G),
\end{aligned}$$

for sufficiently large n , where the last second inequality by $|E_-| < 2k^2$ and $|E_+| \geq |E_-| + 1$ and the last inequality by $|E_+| < 15k^2$. Therefore we have that for n large enough, $\lambda_1(H) > \lambda_1(G)$, a contradiction. Hence $e(G) = e(H)$.

From the above discussion, we complete the proof of Theorem 2. \square

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