



SPLUS J210428.01—004934.2: An Ultra Metal-poor Star Identified from Narrowband Photometry*

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Abstract

We report on the discovery of SPLUS J210428.01—004934.2, an ultra metal-poor (UMP) star first identified from the narrowband photometry of the Southern Photometric Local Universe Survey (S-PLUS) Data Release 1, in the SDSS Stripe 82 region. Follow-up medium- and high-resolution spectroscopy (with Gemini South and Magellan-Clay, respectively) confirmed the effectiveness of the search for low-metallicity stars using the S-PLUS narrowband photometry. At $[\text{Fe}/\text{H}] = -4.03$, SPLUS J2104—0049 has the lowest *detected* carbon abundance, $A(\text{C}) = +4.34$, when compared to the 34 previously known UMP stars in the literature, which is an important constraint on its stellar progenitor and also on stellar evolution models at the lowest metallicities. Based on its chemical abundance pattern, we speculate that SPLUS J2104—0049 could be a bona fide second-generation star, formed from a gas cloud polluted by a single metal-free $\sim 30M_{\odot}$ star. This discovery opens the possibility of finding additional UMP stars directly from narrowband photometric surveys, a potentially powerful method to help complete the inventory of such peculiar objects in our Galaxy.

Unified Astronomy Thesaurus concepts: High resolution spectroscopy (2096); Stellar atmospheres (1584); Narrow band photometry (1088); Chemical abundances (224); Metallicity (1031)

Supporting material: data behind figure, interactive figures

1. Introduction

Is there any observational evidence that the first generation of stars born in the universe (Population III) had an initial mass function (IMF) that allowed the formation of low-mass ($M \leq 1.0M_{\odot}$) objects? Cosmological simulations indicate that the Population III IMF can extend to such low masses (Stacy et al. 2016). However, as of today, no metal-free stars have been found. Even the most chemically pristine star ever observed (SMSS J031300.36—670839.3; Keller et al. 2014) has lithium, carbon, oxygen, magnesium, and calcium detected in its atmosphere. Based on current theoretical work, molecular hydrogen cooling allows the formation of minihalos of 10^6M_{\odot} as early as $z \approx 20$ –30, which will fragment and form predominantly massive ($M > 10M_{\odot}$) stars (Bromm 2013). Then, with the first chemical elements heavier than He introduced in the interstellar medium by the evolution of these massive objects, the formation of low-mass objects would be facilitated by additional cooling channels, such as dust and

metal lines (in particular C II and O I; Dopcke et al. 2013). Alternatively, Schlafman et al. (2018) found evidence implying that it is possible to have surviving (present-day) solar-mass stars that were secondaries around massive Population III stars ($10 \leq M/M_{\odot} \leq 100$), and were formed via disk fragmentation.

Ultra metal-poor (UMP; $[\text{Fe}/\text{H}]^9 < -4.0$) stars (Beers & Christlieb 2005), while still members of the second generation, can provide an observational benchmark as to whether such low-mass metal-free stars exist. According to Hartwig et al. (2015), in order to rule out (at a 99% confidence level) the existence of a low-mass metal-free star, $\sim 2 \times 10^7$ halo stars should be observed and have their $[\text{Fe}/\text{H}]$ determined. That translates into roughly several hundred observed UMP stars, although only 34¹⁰ have been found to date (Suda et al. 2008; Abolima & Frebel 2018).

One technique to select suitable UMP candidates for spectroscopic follow-up is through photometric metallicities. The first effort of estimating the metallicity from the Sloan Digital Sky Survey (SDSS; York et al. 2000) $u - g$ and $g - r$ colors was published by Ivezić et al. (2008). The authors were able to determine $[\text{Fe}/\text{H}]$ for over 2 million F/G stars in the Milky Way with uncertainties of 0.2 dex or better for $-2.0 \leq [\text{Fe}/\text{H}] \leq -0.5$. However, due to the intrinsic

* Based on observations gathered with the 6.5 m Magellan Telescopes located at Las Campanas Observatory, Chile. Based on observations obtained at the international Gemini Observatory, a program of NSF's NOIRLab, which is managed by the Association of Universities for Research in Astronomy (AURA) under a cooperative agreement with the National Science Foundation on behalf of the Gemini Observatory partnership: the National Science Foundation (United States), National Research Council (Canada), Agencia Nacional de Investigación y Desarrollo (Chile), Ministerio de Ciencia, Tecnología e Innovación (Argentina), Ministério da Ciência, Tecnologia, Inovações e Comunicações (Brazil), and Korea Astronomy and Space Science Institute (Republic of Korea).

⁹ $[\text{A}/\text{B}] = \log(N_X/N_Y)_* - \log(N_X/N_Y)_{\odot}$, where N is the number density of elements X and Y in the star ($*$) and the Sun (\odot).

¹⁰ High-resolution ($R \geq 20,000$) spectroscopy is required to derive $[\text{Fe}/\text{H}]$ and classify a star as a UMP.

Table 1
Observational Data for SPLUS J210428.01–004934.2

Quantity	Symbol	Value	Units	References
R.A.	α (J2000)	21:04:28.01	hh:mm:ss.ss	Gaia Collaboration et al. (2020)
Decl.	δ (J2000)	−00:49:34.2	dd:mm:ss.s	Gaia Collaboration et al. (2020)
Galactic longitude	ℓ	48.7700	degrees	Gaia Collaboration et al. (2020)
Galactic latitude	b	−29.6429	degrees	Gaia Collaboration et al. (2020)
Gaia EDR3 Name		2689845933385992064		Gaia Collaboration et al. (2020)
Parallax	ϖ	0.1619 ± 0.0245	mas	Gaia Collaboration et al. (2020)
Inverse parallax distance	$1/\varpi$	$4.92^{+0.67}_{-0.53}$	kpc	This study ^a
Proper motion (α)	PMRA	14.976 ± 0.027	mas yr ^{−1}	Gaia Collaboration et al. (2020)
Proper motion (δ)	PMDec	$−8.260 \pm 0.017$	mas yr ^{−1}	Gaia Collaboration et al. (2020)
Mass	M	0.80 ± 0.15	M_{\odot}	Assumed
B magnitude	B	14.978 ± 0.051	mag	Henden & Munari (2014)
V magnitude	V	14.245 ± 0.095	mag	Henden & Munari (2014)
J magnitude	J	12.546 ± 0.023	mag	Skrutskie et al. (2006)
H magnitude	H	12.052 ± 0.024	mag	Skrutskie et al. (2006)
K magnitude	K	11.968 ± 0.028	mag	Skrutskie et al. (2006)
Color excess	$E(B - V)$	0.0557 ± 0.0019	mag	Schlafly & Finkbeiner (2011)
Bolometric correction	BC_V	$−0.54 \pm 0.08$	mag	Casagrande & Vandenberg (2014)
Radial velocity	RV	$−110.3 \pm 0.5$	km s ^{−1}	Magellan (MJD: 59166.0389)
Effective Temperature	T_{eff}	5045^{+210}_{-95}	K	Gaia Collaboration et al. (2020)
		5044 ± 150	K	This study (Gemini)
		4812 ± 55	K	This study (Magellan)
Log of surface gravity	$\log g$	2.66 ± 0.20	(cgs)	This study (Gemini)
		1.95 ± 0.17	(cgs)	This study (Magellan)
Microturbulent velocity	ξ	1.60 ± 0.20	km s ^{−1}	This study (Magellan)
Metallicity	[Fe/H]	$−4.22 \pm 0.20$	dex	This study (Gemini)
		$−4.19 \pm 0.06$	dex	This study LTE (Magellan)
		$−4.03 \pm 0.10$	dex	This study NLTE (Magellan)

Note.

^a Using $\varpi_p = -0.0414$ mas from Lindegren et al. (2020).

Release 1—DR1¹¹) on the Stripe 82, which is a ~ 336 deg² equatorial field that was first imaged several times by SDSS.

SPLUS J2104–0049 is part of a larger sample of metal-poor star candidates selected based on their position on a color–color diagram constructed using metallicity-sensitive magnitudes, such as J0395 and J0515. Details on the target selection, its effectiveness in identifying chemically peculiar stars, and the spectroscopic follow-up are the subject of a forthcoming paper (V. M. Placco et al. 2021, in preparation). Table 1 summarizes information about SPLUS J2104–0049. Figure 1 shows the 12-band S-PLUS images for SPLUS J2104–0049. Also shown in the main panel are the transmission curves measured for the set of 12 filters, as well as the AB magnitudes (in square brackets).

2.2. Medium-resolution Spectroscopy

The first spectroscopic follow-up of SPLUS J2104–0049 was conducted with the Gemini South Telescope on 2019 May 17, as part of the poor weather program GS-2019A-Q-408. The GMOS-S instrument was used with the B6001 mm^{−1} grating (G5323) and a 1''0 slit with 2×2 binning, resulting in a wavelength coverage in the range [3600:5800] Å at resolving power $R \sim 2000$. The 1200 s exposure resulted in a signal-to-noise ratio (S/N) of $S/N \sim 100$ per pixel at the Ca II K line (3933.3 Å). Calibration frames included arc-lamp exposures, bias frames, and quartz flats. All tasks related to spectral

reduction, extraction, and wavelength calibration were performed using the Gemini IRAF¹² standard routines.

The central panel of Figure 1 shows the Gemini/GMOS data, scaled in flux by convolving the normalized spectrum with a blackbody curve at $T_{\text{eff}} = 4800$ K. Prominent absorption features are identified.

2.3. High-resolution Spectroscopy

The final confirmation step for SPLUS J2104–0049 was the high-resolution spectroscopy, obtained on 2020 November 13 using the MIKE spectrograph mounted on the 6.5 m Magellan-Clay Telescope at Las Campanas Observatory. The observing setup included a 0''7 slit with 2×2 on-chip binning, yielding a resolving power of $R \sim 37,000$ ($\lambda < 5000$ Å) and $R \sim 30,000$ ($\lambda > 5000$ Å). The S/N is ~ 40 per pixel at 3900 Å and ~ 120 at 5200 Å after 3200 s of exposure time. The MIKE spectrum covers most of the optical wavelength regime (~ 3300 – 9000 Å), making it suitable for chemical abundance determinations. The blue and red MIKE spectra were reduced using the routines described in Kelson (2003).¹³

3. Stellar Atmospheric Parameters

Stellar atmospheric parameters (T_{eff} , $\log g$, and [Fe/H]) were calculated from the Gemini/GMOS spectrum using the n-SPPP (Beers et al. 2014), which is adapted from the SEGUE Stellar

¹¹ The photometry and images are publicly available at the NSF's NOIRLab Astro Data Lab: <https://datalab.noirlab.edu/splus/>.

¹² <https://www.gemini.edu/observing/phase-iii/understanding-and-processing-data/Data-Processing-Software>

¹³ <http://code.obs.carnegiescience.edu/python>

Parameter Pipeline (SSPP; Lee et al. 2008). These parameters were used to select SPLUS J2104–0049 as a candidate for high-resolution spectroscopic follow-up. Table 1 lists T_{eff} , $\log g$, and $[\text{Fe}/\text{H}]$ derived from the Gemini spectrum.

The stellar parameters for the high-resolution data were determined from a combination of photometry, the Gaia parallax (Gaia Collaboration et al. 2020), and the MIKE spectrum. The effective temperature for SPLUS J2104–0049 was calculated from the metallicity-dependent color– T_{eff} relations by Casagrande et al. (2010), adopting $[\text{Fe}/\text{H}] = -4.0 \pm 0.2$. We used the same procedure outlined in Roederer et al. (2018), drawing 10^5 samples for magnitudes, reddening, and metallicity. The final $T_{\text{eff}} = 4812 \pm 55$ K is the weighted mean of the median temperatures for each input color ($B - V$, $V - J$, $V - H$, $V - K$, and $J - K$). The surface gravity was calculated using Equation (1) in Roederer et al. (2018), drawing 10^5 samples from the input parameters listed in Table 1. The final $\log g = 1.95 \pm 0.17$ is taken as the median of those calculations with the uncertainty given by their standard deviation.

The equivalent widths were obtained by fitting Gaussian profiles to the observed absorption features. With T_{eff} and $\log g$ determined above, the Fe I abundances were determined spectroscopically, using the latest version of the MOOG¹⁴ code (Snedden 1973), employing one-dimensional plane-parallel model atmospheres with no overshooting (Castelli & Kurucz 2004), assuming local thermodynamic equilibrium (LTE). No reliable Fe II features were found in the SPLUS J2104–0049 MIKE spectrum. The microturbulent velocity was determined by minimizing the trend between the abundances of individual Fe I absorption features and their reduced equivalent width. The mean LTE abundance from 51 Fe I lines is $[\text{Fe}/\text{H}] = -4.19 \pm 0.06$. For 19 of those absorption features, we were able to determine non-LTE (NLTE) abundances using version 1.0 of the INSPECT¹⁵ database (Bergemann et al. 2012; Lind et al. 2012). The average difference between the LTE and NLTE abundances is $\Delta\text{NLTE} = +0.16 \pm 0.03$ and the adopted SPLUS J2104–0049 metallicity for the remainder of this work is $[\text{Fe}/\text{H}] = -4.03 \pm 0.10$. Table 1 lists the final atmospheric parameters for SPLUS J2104–0049, which will be used for the abundance analysis.

4. Chemical Abundances

Elemental-abundance ratios, $[\text{X}/\text{Fe}]$, were calculated adopting the solar photospheric abundances from Asplund et al. (2009). The average measurements for 18 elements, derived from the Magellan/MIKE spectrum, are listed in Table 2. The σ values are the standard error of the mean. For σ values below 0.10 dex we set a standard fixed uncertainty of 0.10 dex. For elements with only one detected absorption feature, the uncertainty is determined from the spectral synthesis (see Figure 2). The last column shows which elements had their abundances calculated via equivalent-width analysis (eqw) or spectral synthesis (syn). The atomic and molecular line lists were generated by the linemake¹⁶ code (Placco et al. 2021). Individual references are given in their README file. We have determined NLTE abundance corrections for three elements

Table 2
Abundances for Individual Species

Ion	$\log \epsilon_{\odot}$ (X)	$\log \epsilon$ (X)	$[\text{X}/\text{H}]$	$[\text{X}/\text{Fe}]$	σ	N	
Li I	1.05	0.41	0.15	1	syn
C	8.43	4.34	−4.09	−0.06	0.15	3	syn
Na I	6.24	1.98	−4.26	−0.23	0.10	2	eqw
Mg I	7.60	3.94	−3.66	+0.37	0.10	4	eqw
Al I ^a	6.45	2.37	−4.08	−0.05	0.15	2	syn
Si I	7.51	4.07	−3.44	+0.59	0.15	1	syn
Ca I	6.34	2.63	−3.71	+0.32	0.10	2	syn
Sc II	3.15	−0.65	−3.80	+0.23	0.10	5	eqw
Ti II	4.95	1.22	−3.73	+0.30	0.10	9	eqw
V II	3.93	0.39	−3.54	+0.49	0.20	1	syn
Cr I ^b	5.64	1.35	−4.29	−0.26	0.10	2	eqw
Mn I ^c	5.43	0.75	−4.68	−0.65	0.10	2	syn
Fe I ^d	7.50	3.47	−4.03	0.00	0.10	19	eqw
Co I	4.99	1.15	−3.84	+0.19	0.10	3	eqw
Ni I	6.22	2.22	−4.00	+0.03	0.10	3	eqw
Zn I	4.56	1.17	−3.39	+0.64	0.20	1	syn
Sr II	2.87	−2.07	−4.94	−0.91	0.15	2	syn
Ba II	2.18	−3.06	−5.24	−1.21	0.20	2	syn

Notes.

^a $\Delta\text{NLTE} = +0.60$ (Nordlander & Lind 2017).

^b $\Delta\text{NLTE} = +0.35$ (based on the empirical corrections of Roederer et al. 2014b).

^c $\Delta\text{NLTE} = +0.60$ (Bergemann & Gehren 2008).

^d $\Delta\text{NLTE} = +0.16$ (Bergemann et al. 2012; Lind et al. 2012).

besides Fe I: Al I, Cr I, and Mn I. The values and references are given in Table 2.

Overall, SPLUS J2104–0049 has the chemical abundance pattern of a “typical” UMP star (apart from carbon—see discussion in Section 5). The lithium abundance is consistent with its evolutionary stage and the light-element abundance ratios $[\text{X}/\text{Fe}]$ (from Na to Zn) are in agreement with general trends found in the literature at this metallicity regime (Abohalima & Frebel 2018). The same applies to the low abundance ratios found for the heavy elements Sr and Ba. The upper panel of Figure 2 shows the spectral synthesis of the CH G-band at $\lambda 4304$ Å for SPLUS J2104–0049. The lower panels show the same procedure for the Li I $\lambda 6707$ Å and Sr II $\lambda 4077$ Å absorption features. Even though SPLUS J2104–0049 is on the red giant branch, there is no carbon depletion due to CN processing, which is a result of the combination of its low metallicity and low carbon abundance (see Figure 9 in Placco et al. 2014).

5. Possible Origins for SPLUS J2104–0049

The current working hypothesis in stellar archeology is that UMP stars are bona fide second-generation objects chemically enriched by a single Population III supernova (“mono-enriched”); thus their chemical abundance pattern is a direct result of the composition of the parent gas cloud. Below we present possible formation pathways and stellar progenitors that could account for the existence of SPLUS J2104–0049 and its low carbon content.

SPLUS J2104–0049 is the 35th UMP star identified to date (Suda et al. 2008; Abohalima & Frebel 2018).¹⁷ Among these, only three are not classified as carbon-enhanced metal-poor (CEMP; $[\text{C}/\text{Fe}] \geq +0.7$, Aoki et al. 2007): CD−38°245 (Spite

¹⁴ <https://github.com/alexji/moog17scat>

¹⁵ <http://www.inspect-stars.com/>

¹⁶ <https://github.com/vmplacco/linemake>

¹⁷ The SAGA database was last updated on 2020 November 9.

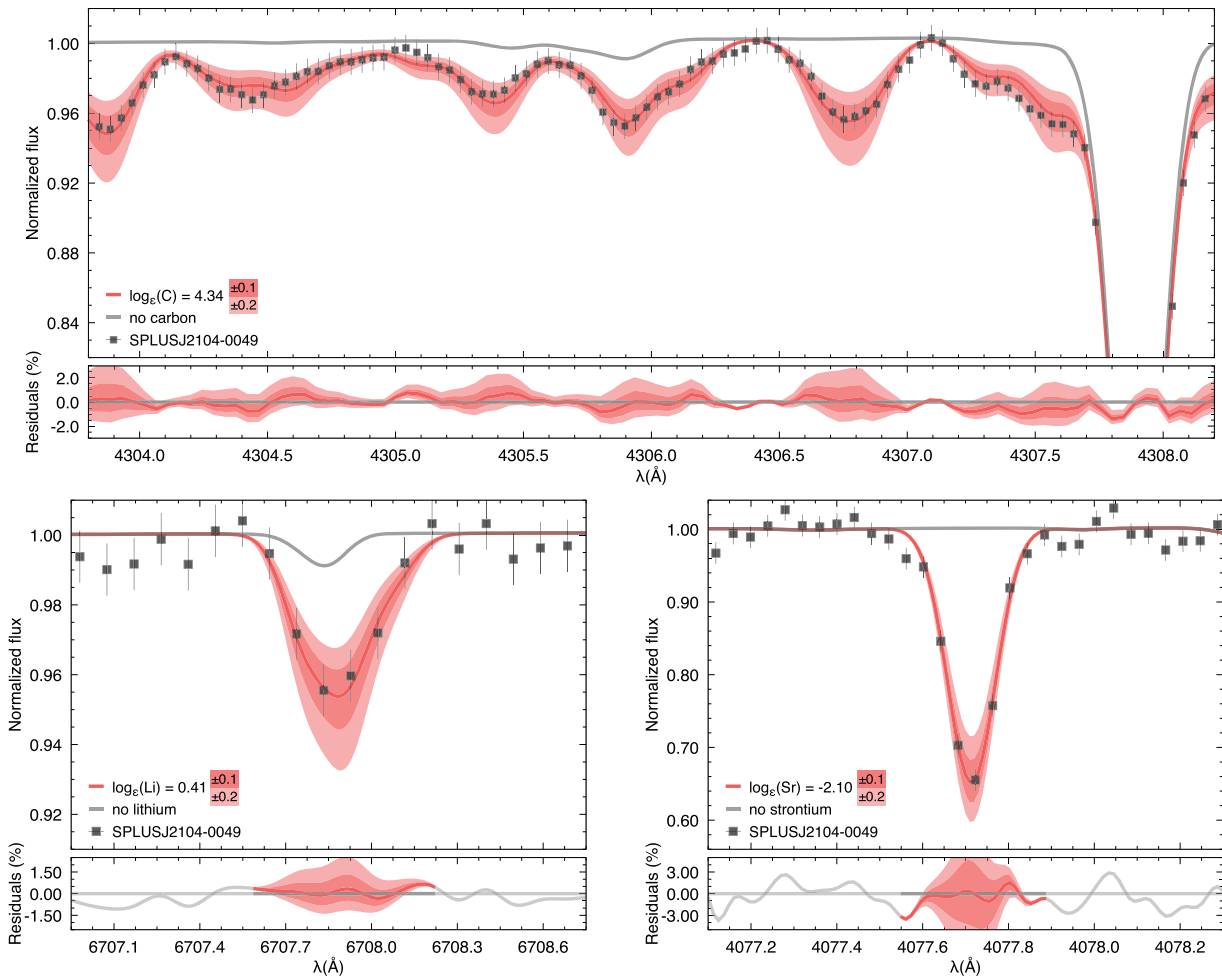


Figure 2. Spectral syntheses for the determination of carbon (upper panel), lithium (lower left panel), and strontium (lower right panel) abundances. The top panel of each plot shows the best-fit syntheses (red lines) and uncertainties (± 0.1 and ± 0.2 dex—shaded regions) compared to the observed spectra (points). Also shown are syntheses after removing the contributions from specific elements (gray lines). The bottom panels show the percent residuals between the observed spectra and the syntheses.

et al. 2005, $[C/Fe] < -0.33$), CS 22963–004 (Lai et al. 2008, $[C/Fe] = +0.40$), and now SPLUS J2104–0049 ($[C/Fe] = -0.06$), with the lowest $A(C)$ ¹⁸ value ever detected in the $[Fe/H] < -4.0$ regime. The upper panel of Figure 3 shows the $A(C)_{\text{cor}}$ ¹⁹ versus $[Fe/H]$ distribution for stars in the literature with $[Fe/H] < -2.5$ (blue filled squares) compared to SPLUS J2104–0049 (red filled circle). Also shown are stripe-density profiles and the line defining the CEMP criteria. Based on these data (and with the addition of SPLUS J2104–0049), the CEMP fraction among UMP stars is $91^{+6}_{-14}\%$ ²⁰ (32/35—including $A(C)$ upper limits) and $92^{+6}_{-17}\%$ (23/25—excluding upper limits). These are larger, nonetheless consistent, with the 81% fraction calculated by Placco et al. (2014).

The low carbon abundance in SPLUS J2104–0049 helps constrain the main cooling channel that allowed its parent gas cloud to fragment. According to Chiaki et al. (2017), there is insufficient cooling from carbon dust grains for $A(C) \lesssim 5.8$, so the most efficient way to induce cloud fragmentation would be by silicate dust cooling. In fact, SPLUS J2104–0049 resides in

the “silicate dominant” regime in the $A(C)$ – $[Fe/H]$ diagram (see Figure 2 of Chiaki et al. 2017). An additional diagnostic to assess whether a star is “mono-enriched” is through its $[Mg/C]$ abundance ratio (Hartwig et al. 2018). The low metallicity of SPLUS J2104–0049, coupled with its $[Mg/C] = +0.43$, places it well within the realm of the simulated mono-enriched second-generation stars by Hartwig et al. (2018).

From the hypothesis that SPLUS J2104–0049 is a second-generation star,²¹ it is possible to further investigate the characteristics of its massive stellar parent. For this, we have used the set of theoretical nucleosynthesis yields (znuc2012.S4) from Heger & Woosley (2010),²² which model the explosion of 16,800 metal-free stars with masses from 10 to $100 M_{\odot}$ and explosion energies from 0.3×10^{51} erg to 10×10^{51} erg. To compare the chemical abundance pattern of SPLUS J2104–0049 with the theoretical values, we followed the same procedure first described in Roederer et al. (2016), generating 10^4 sets of abundances by resampling the $\log \epsilon(X)$ and σ values from Table 2, assuming Gaussian distributions.

¹⁸ $A(C) = \log(N_C/N_H) + 12$.

¹⁹ The observed $A(C)$ values have been corrected following the prescriptions found in Placco et al. (2014).

²⁰ Uncertainties in the fractions are the Wilson score confidence intervals.

²¹ If the assumption that SPLUS J2104–0049 is a second-generation star is valid, then the presence of the heavy elements Sr and Ba in its atmosphere indicate that at least one neutron-capture event must be accounted for in some of the first stars (Roederer et al. 2014a; Banerjee et al. 2018).

²² <http://starfit.org>

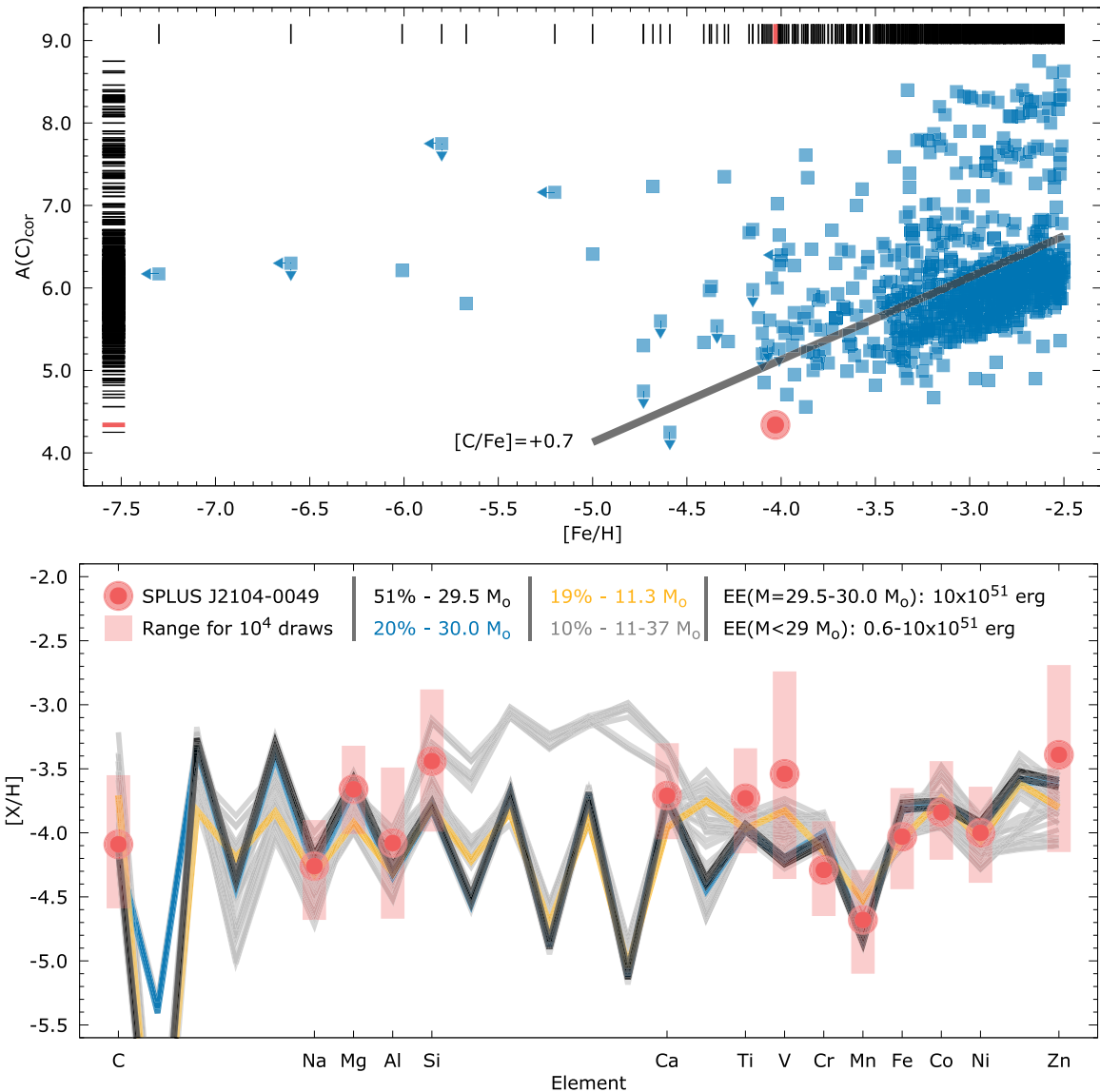


Figure 3. Upper panel: carbon abundances— $A(C)$ —as a function of the metallicity— $[Fe/H]$ —for SPLUS J2104–0049 (filled circle) and the literature compilations JINAbase (Abolima & Frebel 2018) and SAGA (Suda et al. 2008) (filled squares). The solid line represents the current criteria for CEMP stars ($[C/Fe] = +0.7$). Upper limits are only shown for $[Fe/H] \leq -4.0$. Stripe-density profiles are also shown, with the values for SPLUS J2104–0049 highlighted. The upper panel is also available as a Bokeh-generated interactive figure. Hovering the mouse over any point will reveal the underlying data including the name, atmospheric parameters, carbon abundances, upper limit flags, and source. Other functionalities are available via the buttons at the top of the interactive figure. Hovering the mouse over each will reveal its functionality, i.e., panning, zooming, reset. The underlying data set is also available in machine-readable format via the figure data file link. A bigger version that will be updated as more data become available is available at <http://vmplacco.github.io/files/acfeh.html>. Lower panel: best model fits for SPLUS J2104–0049. The solid lines show the theoretical predictions from the Heger & Woosley (2010) `znuc2012.s4` models, color coded by mass and occurrence fraction within the 10^4 simulations. The explosion energies (EEs) are also listed. The solid circles are the measured abundances for SPLUS J2104–0049, and the shaded areas mark the range of simulated abundances.

The results of this exercise, shown in the lower panel of Figure 3 strongly imply ($\sim 71\%$ of the simulations) a suitable stellar progenitor for SPLUS J2104–0049 in the $29.5\text{--}30.0 M_{\odot}$ range with an explosion energy of 10×10^{51} erg. In particular, the $29.5 M_{\odot}$ model (black solid line) is able to reproduce the low $[C/H]$ of SPLUS J2104–0049 while still providing reasonably good fits for the other elements. Even though the $11.3 M_{\odot}$ model provides the best fit in 19% of the simulations, its carbon abundance is consistently higher than the SPLUS J2104–0049 detection. The range of masses found for the progenitors of carbon-enhanced UMP stars in Placco et al. (2016), $29.5\text{--}30.0 M_{\odot}$, is similar to the ones found here for a much lower carbon abundance. However, the explosion energies found for the Placco et al. (2016) sample are lower

by a factor of $\sim 15\text{--}30$, suggesting that this may be one of the drivers for the distinct chemical signatures found in UMP stars. It is also worth noting that the best-fit models tend to produce lower amounts of silicon when compared to SPLUS J2104–0049, in contrast to the lower-energy models that better reproduce the observed Si abundance. This reinforces the need for observing additional UMP stars, in particular with low carbon abundances.

6. Conclusions and Future Work

We have presented the first spectroscopic follow-up study of the UMP star SPLUS J2104–0049. This star was first identified from its narrowband S-PLUS photometry. High-








resolution spectroscopy revealed a unique chemical abundance pattern, with the lowest carbon abundance ever measured for a UMP star. Comparison with theoretical models suggest that SPLUS J2104–0049 is a second-generation star formed in a gas cloud polluted by the byproducts of the evolution of a progenitor in the $\sim 30 M_{\odot}$ range with an explosion energy of 10×10^{51} erg. Additional UMP stars identified from S-PLUS photometry will greatly improve our understanding of Population III stars and enable the possibility of finding a metal-free low-mass star still living in our Galaxy today.

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