

# Vaccine hesitancy and rejection of a vaccine for the novel coronavirus (COVID-19) in the United States

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## 20 Abstract

- 21 The arrival of the COVID-19 vaccine has been accompanied by increased discussion of vaccine
- hesitancy. However, it is unclear if there are shared patterns between general vaccine hesitancy and
- 23 COVID-19 vaccine rejection, or if these are two different concepts. This study characterized rejection
- of a hypothetical COVID-19 vaccine, and compared patterns of association between general vaccine
- 25 hesitancy and COVID-19 vaccine rejection. The survey was conducted online March 20-22, 2020.
- 26 Participants answered questions on vaccine hesitancy and responded if they would accept the vaccine
- 27 given different safety and effectiveness profiles. We assessed differences in COVID-19 rejection and
- 28 general vaccine hesitancy through logistic regressions. Among 713 participants, 33.0% were vaccine
- 29 hesitant, and 18.4% would reject a COVID-19 vaccine. Acceptance varied by effectiveness profile:
- 30 10.2% would reject a 95% effective COVID-19 vaccine, but 32.4% would reject a 50% effective
- 31 vaccine. Those vaccine hesitant were significantly more likely to reject COVID-19 vaccination (odds
- ratio [OR]: 5.56, 95% confidence interval [CI]: 3.39, 9.11). In multivariable logistic regression
- 33 models, there were similar patterns for vaccine hesitancy and COVID-19 vaccine rejection by gender,

- 34 race/ethnicity, family income, and political affiliation. But the direction of association flipped by
- 35 urbanicity (P=0.0146, with rural dwellers less likely to be COVID-19 vaccine rejecters but more
- 36 likely to be vaccine hesitant in general), and age (P=0.0037, with fewer pronounced differences
- 37 across age for COVID-19 vaccine rejection, but a gradient of stronger vaccine hesitancy in general
- 38 among younger ages). During the COVID-19 epidemic's early phase, patterns of vaccine hesitancy
- 39 and COVID-19 vaccine rejection were relatively similar. A significant minority would reject a
- 40 COVID-19 vaccine, especially one with less-than-ideal effectiveness. Preparations for introducing
- 41 the COVID-19 vaccine should anticipate substantial hesitation and target concerns, especially among
- 42 younger adults.

#### 43 1 Introduction

- 44 The pandemic of novel coronavirus disease (COVID-19) (1) has caused huge disruptions to life in
- 45 the United States, which on March 26, 2020, became the country with the most cases globally. By
- 46 late March 2020, researchers understood the disease to be more severe in older age groups (2),
- 47 although reports of cases in children and young adults also circulated widely in the news (3).
- 48
- 49 Widespread uptake of the COVID-19 vaccine could control spread of the disease, but high uptake of
- 50 vaccine is not guaranteed. Studies during the H1N1 pandemic in 2009 found that many individuals
- 51 did not want to get vaccinated at the later points during the epidemic (4,5), which could be due to
- 52 apathy, desensitization, or a belief that there is a lower probability of illness. Individuals also may be
- 53 less accepting of a pandemic vaccine if they perceive it to be less safe or effective (6). Because newly
- 54 developed vaccines have not been on the market long, the general population may perceive these
- 55 vaccines to be less safe and want more information on the safety profile of the vaccine (7,8).
- 56 Additionally, given the proclivity of RNA viruses like SARS-CoV-2 to mutate rapidly, it is not
- 57 entirely clear how effective any potential vaccine will be. While all vaccines go through rigorous 58 clinical trials (9), members of the general public may not understand this process well. For these
- 58 clinical trials (9), members of the general public may not understand this process well. For these 59 reasons, assessing how perceived effectiveness and safety could influence acceptance of a potential
- 60 COVID vaccine over the course of an outbreak is important. Moreover, the currently available
- 61 COVID-19 vaccines all have varying attributes in terms of efficacy and risk of adverse events (10).
- 62

62 Vaccine hesitancy, an increasingly recognized global phenomenon (11), could also play a role in

- 64 limiting people's desires for a COVID-19 vaccine (12), or could itself be impacted by the epidemic
- 65 (13). Vaccine hesitancy is defined by the WHO as the "delay in acceptance or refusal of vaccines
- 66 despite availability of vaccine services. Vaccine hesitancy is complex and context specific, varying
- across time, place and vaccines. It is influenced by factors such as complacency, convenience and
- 68 confidence" (14). Over the course of the 2009 H1N1 outbreak, negative attitudes towards vaccination
- 69 in general in France increased dramatically from 9.6% to 38.2% (15). This could be correlated with
- 70 decreases in risk perceptions, but more information is needed on how risk perceptions, vaccine
- 71 hesitancy, and vaccine acceptance interrelate for an emerging outbreak of an infectious disease.
- 72 Given the rapid development of a COVID-19 vaccine, and its deployment among adults, who have
- 73 fewer vaccination recommendations than children, it will be important to document how vaccine
- 74 hesitancy in general differs from the specifics of COVID-19 vaccine rejection.
- 75
- 76 Another question remains about whether acceptance of a vaccine would vary by age of the individual
- or safety/effectiveness profile of the vaccine. Anecdotally, it is thought that younger adults are not
  taking the virus seriously, with frequent news stories about young adults taking spring break trips
- (16), and news in the early phase of the pandemic focused on risks in older adults. The aims of this

- 80 study are to estimate differences in vaccine hesitancy and COVID-19 vaccine acceptance by
- 81 generation, and to characterize if acceptance is affected by how safe or effective the vaccine is.
- 82
- 83 Understanding vaccination attitudes at the beginning of the epidemic is uniquely important because
- 84 research from previous epidemics has shown that acceptance of vaccines and compliance towards
- 85 public health recommendations decline over time (4,15,17). Additionally, understanding to what
- 86 extent US adults would accept a new vaccine for COVID-19 would help the government to design
- 87 risk communication messages regarding the deployment of new vaccines for COVID-19.

#### 88 2 Methods

#### 89 2.1 Study population

90 US adults who were part of the sampling frame of the survey research firm, Dynata, were eligible for

- 91 inclusion into this study. Dynata recruits participants through social media and other advertisements,
- and notifies them of their eligibility to participate in surveys. We built an age-gender nested quota
- 93 system into the model, whereby a set number of individuals were sought across female / male gender
- and six age groups (18-24 years old, 25-34 years old, 35-44 years old, 45-54 years old, 55-64 years
- 95 old, and 65-99 years old), with numbers roughly equivalent to their distribution in the US population.
- 96 This cross sectional survey was implemented March 20-22, 2020.
- 97

We sought a sample size of 800. At this size, with an alpha of 0.05 and a power of 80%, and a

- 99 proportion of 50% (a statistically conservative estimate of what proportion of the population supports
- a given public health action) the margin of error is 4%, which we judged to be sufficiently precise.

#### 101 **2.2 Questionnaire**

- 102 Participants responded to a similar set of questions, but participants who mentioned that they had a
- 103 parent over the age of 60 or a child under the age of 18 were asked additional questions. The
- 104 questionnaire is publicly available: https://doi.org/10.6084/m9.figshare.13303121. The questionnaire
- 105 was pre-tested in 16 individuals ranging in age from early 20s to late 60s.

## 106 **2.2.1 Outcome variables**

- 107 The study had two outcomes: potential COVID-19 vaccine rejection and vaccine hesitancy. We
- asked all participants whether they would accept a hypothetical COVID-19 vaccine. Individuals were
- 109 randomized into four conditions, where the safety and effectiveness attributes of the COVID-19
- 110 vaccine changed. Across the four categories, participants read that the vaccine was either: (1) 95%
- effective with a 5% risk of fever, (2) 50% effective with a 5% risk of fever, (3) 95% effective with a
- 112 20% risk of fever, or (4) 50% effective with a 20% risk of fever.
- 113 Vaccine hesitancy came from a 10-item scale developed by the World Health Organization (WHO)
- 114 Strategic Advisory Group of Experts on Immunization (SAGE) Vaccine Hesitancy Working Group
- 115 (18). Because the original scale's developers' original purpose was to assess parental attitudes
- 116 towards pediatric vaccination, we modified the scale to ask about the individual's own vaccinations,
- 117 not their child's. Participants responded about their agreement on 10 different statements on a 5-point
- 118 Likert scale. In the analysis, we reordered the responses for certain questions (L1-L4, L6-L8) so that
- 119 for all items, an increase represented greater vaccine hesitancy. Overall this scale had good internal
- 120 reliability, the standardized Cronbach alpha was 0.89. The psychometric properties of the original

- 121 pediatric scale have been previously studied (19). We summed this scale (possible range from 10-50),
- and then dichotomized the scale at 25, based on a validated measure (20).

#### 123 2.2.2 Independent variables

- 124 The primary independent variable was respondent age, which we categorized by generation. Due to a
- 125 limited number of responses among individuals of the "Silent Generation" (individuals ≥75 years
- 126 old) they were collapsed in with Baby Boomers (56-74 years old) for analysis. GenX included
- 127 individuals 40-55 years old, Millennials 24-39 years old, and GenZ 18-23 years old (21).

128 For demographics, we used similar wording to previous questionnaires. Participants responded to the

- same race/ethnicity questions that are on the US Census and the 2019 Behavioral Risk Factor
- 130 Surveillance System (BRFSS) (22). Due to participant sample sizes, we collapsed the race/ethnicity
- 131 categories into non-Hispanic White, non-Hispanic Black, Hispanic, and other. We asked about
- 132 gender identity using guidelines from the American Association of Public Opinion Researchers (23),
- 133 although no one selected an "other" gender in this survey. A question on urbanicity came from the
- 134 National Health Interview Survey (24).
- 135 We also asked about perceived risk of being infected within the next month using a scale from 0% to
- 136 100%. A previous study of H1N1 influenza included a similar question.(5) We considered this
- 137 variable to be continuous in the analysis.

## 138 2.3 Statistical analysis

- 139 We ran multivariable logistic regression models, corresponding to the two different outcomes:
- 140 COVID-19 vaccine rejection and general vaccine hesitancy. We used the same set of demographic
- 141 predictors (participant gender, urbanicity, generation, race/ethnicity, family income, and political
- 142 affiliation) based on *a priori* considerations. For vaccine rejection, we also included general vaccine
- 143 hesitancy, perceived risk of infection, and the safety and effectiveness characteristics as additional
- 144 independent variables in a "full model". To assess the interaction of generation and perceived risk,
- 145 we included a cross-product term between these variables. We calculated the least squares marginal
- 146 means for each outcome by generation to account for confounding by covariates in the multivariable
- regression models. We display parameter estimates and 95% confidence intervals (CI).
- 148 We compared the strength of odds ratios in the vaccine hesitancy and COVID-19 vaccine rejection
- by creating two observations per person, with the outcome of one of these observations being for
- 150 vaccine hesitancy and the other for vaccine rejection. We then specified an interaction term between
- every predictor variable and a dummy variable for whether this was the hesitancy or vaccine rejection
- 152 outcome. The model included Generalized Estimating Equation (GEE) methods with an independent
- 153 correlation matrix to account for two data points per individual. A similar approach was used in a
- 154 previous study.(25) We display the P-value from the interaction terms.
- All data were analyzed in SAS version 9.4 (SAS Institute, Cary, NC), and plots were generated in R
  version 3.6.0 (R Foundation for Statistical Computing, Vienna, Austria).

# 157 2.4 Ethical approval

- 158 This study was deemed exempt by the University of Michigan Health Sciences and Behavioral
- 159 Sciences Institutional Review Board (#HUM00179335). Participants read an information sheet which
- 160 explained the risks and benefits of the study, which they had to agree to prior to starting the

- 161 questionnaire. Participants were not given a direct research incentive but were given reward points
- 162 through Dynata which they could use to exchange for gift cards.

## 163 **3 Results**

- 164 In total,1,068 individuals clicked on the link to start the online survey and responded to at least one
- 165 question: 271 (25.4%) did not respond to any questions beyond the screening questions (age and
- 166 gender) on the start screen, and 50 (4.7%) did not consent, leaving 747 participants (70.0%). We
- 167 excluded 34 individuals (4.6%) who spent less than 3 minutes on the survey, leaving a total sample168 size of 713.
- 169
- 170 Table 1 shows demographic characteristics of the study population, and the proportion who are
- 171 vaccine hesitant or who would reject a COVID-19 vaccine by group. The sample was
- demographically diverse. Study participants were 54.3% female and 32.5% said they lived in a rural
- area. A plurality, about one-third (34.1%), were  $\geq 56$  years old, a majority (74.5%) were non-Hispanic
- 174 White, and most participants reported family income either between \$2,000-\$4,999 (28.5%) or
- 175 \$5,000-\$9,999 (30.5%).

# 176 **3.1 COVID-19 vaccine rejection**

177 Overall, 8.4% of individuals would reject a hypothetical COVID-19 vaccine that was 95% effective

- 178 with a 5% risk of fever, whereas 12.2% would for a vaccine that was 95% effective and had a 20%
- 179 risk of fever, 22.2% would for a vaccine 50% effective with a 5% risk of fever, and 29.5% would for
- 180 a vaccine 50% effective with a 20% risk of fever (Figure 1). In the multivariable model for vaccine
- rejection accounting for vaccine attributes, vaccine hesitancy, risk perceptions, and the interaction
- between generation and risk perceptions (Table 2), we found that all these variables were significant.
- A vaccine with a 20% risk of fever had 1.63 times greater odds of being rejected compared to a vaccine with only a 5% risk (95% CI: 1.03, 2.57), and a vaccine 50% effective had 4.08 times greater
- odds of being rejected compared to a vaccine with a 95% effectiveness (95% CI: 2.44, 6.83). These
- differences translate to 95% effective vaccines being rejected by 12.8% of the population (95% CI:
- 187 8.6%, 18.7%), whereas 50% effective vaccines were rejected by 33.0% (95% CI: 25.6%, 41.4%).
- 188 There was a smaller disparity by safety: a vaccine with a 5% risk of fever would be rejected by
- 189 17.5% (95% CI: 12.5%, 23.9%) and this was 25.5% (95% CI: 18.8%, 33.7%) for a vaccine with a
- 190 20% risk of fever.
- 191

192 Vaccine hesitancy and perceived risk were significantly associated with COVID-19 vaccine

- 193 rejection. Those vaccine hesitant were significantly more likely to reject COVID-19 vaccination (OR:
- 194 5.56, 95% CI: 3.39, 9.11). Increases in risk perceptions were associated with decreases in vaccine
- rejection (OR: 0.97, 95% CI: 0.95, 0.98). The association of risk perceptions and vaccine rejection
- 196 varied by generation, with significant attenuation for Baby Boomers versus Millennials. Figure 2
- 197 shows how the slope of the relationship between risk perceptions and vaccine acceptance is sharper
- 198 for later generations: for Baby Boomers there is less of a relationship between risk perception and
- 199 vaccine acceptance, whereas this is highly apparent for GenZ.

# 200 **3.2** Comparison of COVID-19 vaccine rejection and general vaccine hesitancy

201 Table 2 shows results from multivariable models for COVID-19 vaccine rejection and vaccine

- 202 hesitancy using the same set of predictors. There was no significant difference in COVID-19 vaccine
- 203 rejection by generation, however there was a significant generational difference in vaccine hesitancy.
- 204 Baby Boomers (OR: 0.40, 95% CI: 0.25, 0.65) and GenX (OR: 0.54, 95% CI: 0.34, 0.85) had lower

- 205 odds of vaccine hesitancy compared to Millennials. The difference in the strength of association
- between generation and vaccine hesitancy and between generation and vaccine rejection was
- 207 significant (P=0.0037).
- 208
- 209 Race/ethnicity was significantly related to both COVID-19 vaccine rejection and vaccine hesitancy,
- and the strengths of association between race/ethnicity and both outcomes were similar. COVID-19
- 211 vaccine rejection was higher in non-Hispanic Black individuals compared to non-Hispanic White
- individuals (OR: 2.86, 95% CI: 1.40, 5.87). And we found that participants who were non-Hispanic
- Black also had higher levels of hesitancy (OR: 4.07, 95% CI: 1.96, 8.42) than participants nonHispanic White.
- 214 215

216 Higher levels of income were associated with less COVID-19 rejection and lower vaccine hesitancy

- scores. The association between income and COVID-19 rejection and between income and vaccine
- hesitancy was similar. For example, vaccine rejection was lower in those with higher income
- 219 (>\$10,000 vs \$2,000-\$4,999 OR: 0.53, 95% CI: 0.29, 1.00), and for this same comparison the odds of vaccine hesitancy was 0.44 (95% CI: 0.25, 0.77).
- 221

222 Political affiliation was related to vaccine rejection and vaccine hesitancy. Those identifying as

223 Democrats were less likely to reject the COVID-19 vaccine and less likely to be vaccine hesitant 224 compared to Independents.

# 225 **4** Discussion

This study examines acceptance of a COVID-19 vaccine, and how it is affected by vaccine hesitancy in the early phase of the COVID-19 epidemic. We surveyed a demographically diverse group of U.S. adults between March 20 and 22, 2020. During this interval the estimated number of cases increased from 18,747 to 33,404. Our study found generational differences in vaccine hesitancy, with less hesitancy in older adults. However, this did not translate into reduced acceptance of the COVID-19 vaccine among younger adults.

231 232

233 In our study, a large majority of individuals would accept a COVID-19 vaccine, but a small and 234 significant minority stated they would reject it. As expected, US adults were more accepting of a 235 COVID-19 vaccines if they were safer or more effective. We do not know how safe or effective the 236 COVID-19 vaccine will be, but if it mimics the influenza vaccine (26), it could be similar to our 237 profile of 50% effectiveness and 5% risk of fever, which would be rejected by almost one-fourth of 238 the population. Because we found differences in vaccine rejection by race/ethnicity and income, there 239 could also be spatial differences in vaccine rejection, and therefore pockets of susceptibility within 240 the country.

241

COVID-19 vaccine acceptance may also change over time. Two previous cross-sectional surveys this year found that between late January and late February 2020, acceptance of a COVID-19 vaccine increased from 48% to 65% (27). As the outbreak becomes more real to Americans, their acceptance of a vaccine may increase. This finding, in turn, would relate to the positive relationship we found between risk perceptions and vaccine acceptance, which has been echoed in other research (28). It is worthwhile for future research to observe the changes of vaccine acceptance and how it is related to the spread of disease and actions taken by the government.

249

250 Vaccine hesitancy may also increase over the course of the COVID-19 pandemic. In a study in

France during the 2009 H1N1 influenza outbreak, negative attitudes towards vaccination increased

252 rapidly, with the researchers speculating this was correlated both with concerns about the safety of a

253 newly introduced H1N1 influenza vaccine and with heightened controversy over the perceived

- 254 seriousness of the vaccine (15).
- 255

257

256 If vaccine hesitancy does increase, this could differentially impact younger generations and lead to lower uptake among younger adults. Therefore, how we deliver effective messages to the groups with 258 high vaccine hesitancy to influence their behaviors is critical. A study of adult preferences for 259 vaccines found that provider recommendations were just as important as effectiveness of the vaccine 260 (8). Accordingly, strong promotion from health professionals could counter lower effectiveness of the vaccine.

261 262

263 We found that the relationship between risk perceptions and vaccine acceptancy varies by generation.

264 One of the possible explanations could be that older generations are highly accepting of vaccines,

265 regardless of their risk perceptions, whereas younger generations have higher intent when they 266 perceive their personal risk to be higher. Future research could explain the reasons for this

267 discrepancy, but it could be possibly tied to experience with previous outbreaks/pandemics, more

268 appreciation for vaccines across the life-span, or more experience with vaccine-preventable diseases,

269 such as measles, polio, or pertussis, which are now relatively rare. Regardless, vaccine education

270 among younger generations should also focus on increasing risk perceptions. These promotions will

271 be important for two reasons. One, if perceived risk decreases over time, as it has in previous 272 outbreaks (4,5), younger adults may become even more less likely to be vaccinated. Two, similar to 273 the influenza vaccine (26), the COVID-19 could be even less effective in older adults compared to 274 younger adults. Maintaining high vaccination coverage in younger adults could be key to creating

- 275 adequate herd immunity that protects older adults.
- 276

277 General vaccine hesitancy itself was strongly related to rejection of the COVID-19 vaccine. There is 278 already concern in some anti-vaccine groups that a COVID-19 vaccine could be compulsory (29). 279 Our study found that vaccine hesitancy was higher in individuals among those with lower monthly 280 incomes. This finding contrasts with previous research which has found that those with higher 281 income tend to have higher vaccine hesitancy, lower vaccine coverage (30,31), and higher incidence 282 of vaccine-preventable disease (32). However, other studies have found no such relationship (33,34). 283 In contrast to many previous studies focusing on parents' hesitancy to pediatric vaccines, our study 284 asked adult participants about their hesitancy to adult vaccination. It is likely that patterns of vaccine 285 hesitancy differ when directed at an adult rather than at their children. For example, a previous study 286 which presented participants with information about influenza vaccines with different attributes 287 found that parents were more risk sensitive when considering vaccinating their child than considering 288 the vaccines for themselves (35). And another study which looked separately at preferences among 289 parents for childhood vaccines and adults for adult vaccines found that effectiveness was more

290 important in the analysis of parents than in the analysis of adults (8).

#### 291 4.1 Strengths and limitations

292 This survey used Internet-based samples to allow rapid data collection during the pandemic and to

293 avoid person-to-person contact. However, Internet samples may have inherent biases. There is 294

sampling bias in that individuals who participate need to have access to the internet, and so 295 individuals of lower socioeconomic status will be less likely to participate. Additionally, individuals

296 may answer rapidly with little thought, which is why we removed individuals from our analytical

297 sample who completed the survey in a short period of time. We also note that constructs in our study,

298 including items related to vaccine hesitancy or interpretations of effectiveness or fever, could differ across participants. Other factors, like education, could impact vaccination behaviors, but were not

300 included in the survey.

# 301 5 Conclusions

302 In this survey of US adults in late March 2020, we found that a large majority of individuals would

- accept a COVID-19 vaccine. However, about one-third would reject the vaccine if it was only 50%
- 304 effective which is a reasonable estimate compared to the seasonal influenza vaccine. In general we
- found similar patterns for vaccine hesitancy and COVID-19 vaccine rejection, indicating that
- thoughts about vaccinations in general and for COVID-19, specifically, are highly correlated.
  Vaccine hesitancy may increase over the course of the outbreak, and if vaccine hesitancy increases
- 308 and perceived risk of infection decreases, younger adults in particular may be less likely to become
- 309 vaccinated. Acknowledging generational differences in risk perceptions could help the government
- tailor messages to promote vaccines. Additionally, stressing the safety of the vaccine will be
- 311 important when rolling out the COVID-19 vaccine.
- 312

# 313 6 Conflict of Interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

# 316 7 Author Contributions

317 SS conceptualized the study and wrote the original draft. ALW obtained funding, conceptualized the

318 study, contributed to visualization, and wrote the first draft. NBM wrote the original draft, and

319 contributed to visualization. LAP and BJZ contributed to methodology, and contributed critically to

- reviewing the manuscript. YL conceptualized the study and contributed critically to reviewing the
- 321 manuscript.

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- 438 The questionnaire, code, and dataset for this study can be found in the figshare:
- 439 https://doi.org/10.6084/m9.figshare.13303121
- 440

# 441 **11 Tables**

# 442 Table 1. Demographics of online survey panel, United States, March 2020.

|                      |                | Count (column %)                  | Vaccine<br>hesitant (row<br>%) | Reject<br>COVID-19<br>vaccine (row |
|----------------------|----------------|-----------------------------------|--------------------------------|------------------------------------|
| Overall              |                | 713 (100%)                        | 230 (33.0%)                    | %)<br>131 (18.4%)                  |
| Participant's gender | Male<br>Female | <u>326 (45.7%)</u><br>387 (54.3%) | 98 (31.0%)<br>132 (34.8%)      | 51 (15.6%)<br>80 (20.7%)           |

| Participant's residence   | Rural             | 227 (32.5%)   | 88 (40.2%)   | 37 (16.3%)                                     |
|---------------------------|-------------------|---------------|--------------|--|
| i articipant s residence  | Urban             | 471 (67.5%)   | 139 (29.9%)  | 93 (19.7%)                                     |
| Participant's generation  | Baby boomer and   | 1/1 (0/.5/0)  | 157 (27.570) | <i>y y</i> (1 <i>y</i> . <i>t</i> / <i>t</i> ) |
| i articipant s generation | silent generation | 242 (34.1%)   | 48 (20.5%)   | 40 (16.5%)                                     |
|                           | GenX              | 242 (34.170)  | 60 (27.6%)   | 41 (18.5%)                                     |
|                           | Millennial        | 176 (24.8%)   | 80 (46.2%)   | 32 (18.2%)                                     |
|                           | GenZ              |               |              |  |
|                           |                   | 70 (9.9%)     | 41 (59.4%)   | 17 (24.3%)                                     |
| Participant's             | Non-Hispanic      | 521 (54 50()) | 146 (20.00/) | 06 (16 20()                                    |
| race/ethnicity            | White             | 531 (74.5%)   | 146 (28.0%)  | 86 (16.2%)                                     |
|                           | Non-Hispanic      |               |              |  |
|                           | Black             | 50 (7.0%)     | 33 (70.2%)   | 17 (34.0%)                                     |
|                           | Hispanic          | 53 (7.4%)     | 24 (47.1%)   | 12 (22.6%)                                     |
|                           | Other             | 79 (11.1%)    | 27 (36.0%)   | 16 (20.3%)                                     |
| Monthly family            | <\$2,000          | 140 (20.2%)   | 70 (51.1%)   | 39 (27.9%)                                     |
| income                    | \$2,000-\$4,999   | 198 (28.5%)   | 70 (36.3%)   | 43 (21.7%)                                     |
|                           | \$5,000-\$9,999   | 212 (30.5%)   | 60 (28.7%)   | 30 (14.2%)                                     |
|                           | ≥\$10,000         | 144 (20.7%)   | 27 (19.1%)   | 18 (12.5%)                                     |
| Political affiliation     | Republican        | 216 (31.8%)   | 71 (33.3%)   | 37 (17.1%)                                     |
|                           | Democrat          | 262 (38.5%)   | 76 (29.7%)   | 41 (15.6%)                                     |
|                           | Independent       | 202 (29.7%)   | 74 (37.6%)   | 51 (25.2%)                                     |
| Perceived risk of         | median (IQR)      | 32% (11%-     |              |  |
| infection within next     |                   | 51%)          |              |  |
| month                     |                   |               |              |  |

444 Table 2. Impact of demographic factors on general vaccine hesitancy and COVID-19 vaccine

445 rejection, online survey panel, US, March 2020.

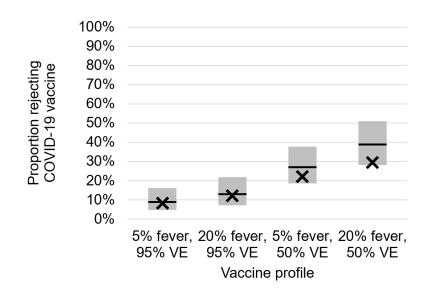
|   | COVID-19<br>vaccine rejection | COVID-19 vaccine rejection | Vaccine hesitant<br>OR (95% CI) | P-value <sup>a</sup> |
|---|-------------------------------|----------------------------|---------------------------------|----------------------|
|   | (full model)                  | (abbreviated model)        | OK (93% CI)                     |                      |
|   | OR (95% CI)                   | OR (95% CI)                |                                 |                      |
| Participant's gender                                |                               |                            |                                 | 0.3494               |
| Male  | ref                           | ref                        | ref                             |                      |
| Female  | 1.34 (0.82, 2.18)             | 1.36 (0.90, 2.06)          | 1.09 (0.76, 1.56)               |                      |
| Participant's residence                             |                               |                            |                                 | 0.0146               |
| Rural   | 0.61 (0.36, 1.03)             | 0.74 (0.48, 1.16)          | 1.36 (0.93, 1.97)               |                      |
| Urban   | ref                           | ref                        | ref                             |                      |
| Participant's generation                            |                               |                            |                                 | 0.0037               |
| Baby Boomer (≥56 years)                             | 0.54 (0.19, 1.50)             | 1.11 (0.63, 1.94)          | 0.40 (0.25, 0.65)               |                      |
| GenX (40-55 years)                                  | 0.81 (0.31, 2.10)             | 1.16 (0.67, 1.99)          | 0.54 (0.34, 0.85)               |                      |
| Millennial (24-39 years)                            | ref                           | ref                        | ref                             |                      |
| GenZ (18-23 years)                                  | 1.20 (0.35, 4.16)             | 1.19 (0.58, 2.45)          | 1.34 (0.71, 2.51)               |                      |
| Participant's race/ethnicity                        |                               |                            |                                 | 0.7793               |
| Non-Hispanic White                                  | ref                           | ref                        | ref                             |                      |
| Non-Hispanic Black                                  | 1.87 (0.80, 4.39)             | 2.86 (1.40, 5.87)          | 4.07 (1.96, 8.42)               |                      |
| Hispanic  | 1.29 (0.54, 3.07)             | 1.44 (0.69, 3.03)          | 1.56 (0.81, 2.99)               |                      |
| Other   | 2.76 (1.25, 6.10)             | 1.76 (0.89, 3.49)          | 1.35 (0.72, 2.53)               |                      |
| Monthly family income                               |                               |                            |                                 | 0.5541               |
| <\$2,000  | 0.91 (0.49, 1.69)             | 1.25 (0.74, 2.11)          | 1.62 (1.00, 2.63)               |                      |
| \$2,000-\$4,999                                     | ref                           | ref                        | ref                             |                      |
| \$5,000-\$9,999                                     | 0.59 (0.32, 1.08)             | 0.60 (0.35, 1.03)          | 0.76 (0.48, 1.20)               |                      |
| ≥\$10,000   | 0.68 (0.33, 1.39)             | 0.53 (0.29, 1.00)          | 0.44 (0.25, 0.77)               |                      |
| Political affiliation                               |                               |                            |                                 | 0.4363               |
| Republican  | 0.78 (0.43, 1.41)             | 0.77 (0.47, 1.27)          | 1.10 (0.70, 1.71)               |                      |
| Democrat  | 0.71 (0.41, 1.26)             | 0.48 (0.29, 0.78)          | 0.58 (0.37, 0.90)               |                      |
| Independent   | ref                           | ref                        | ref                             |                      |
| Vaccine hesitant                                    |                               |                            |                                 |                      |
| No  | ref                           |                            |                                 |                      |
| Yes   | 5.56 (3.39, 9.11)             |                            |                                 |                      |
| Increase in 1 percentage point<br>in perceived risk | 0.97 (0.95, 0.98)             |                            |                                 |                      |
| Vaccine safety                                      |                               |                            |                                 |                      |
| 5% fever risk                                       | ref                           |                            |                                 |                      |
| 20% fever risk                                      | 1.63 (1.03, 2.57)             |                            |                                 |                      |
| Vaccine effectiveness                               |                               |                            |                                 |                      |
| 95% effective                                       | ref                           |                            |                                 |                      |
| 50% effective                                       | 4.08 (2.44, 6.83)             |                            |                                 |                      |
| Generation * perceived risk interaction             | ( ))                          |                            |                                 |                      |
| Risk * Baby Boomer                                  | 1.03 (1.01, 1.06)             |                            |                                 |                      |
| Risk * GenX   | 1.02 (1.00, 1.05)             |                            |                                 |                      |
|   | 0.99 (0.96, 1.03)             |                            | I                               |                      |

<sup>446 &</sup>lt;sup>a</sup> Difference in estimates from COVID-19 vaccine rejection model and vaccine hesitancy model.

- 448
- 449

## 450 **12 Figure legends**

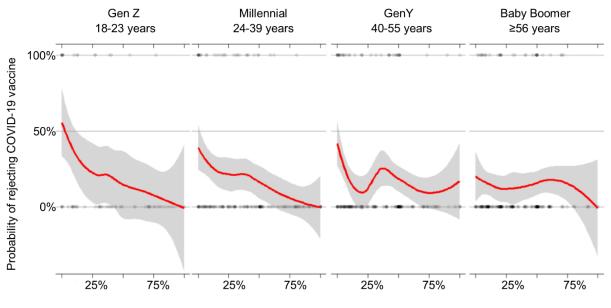
<sup>447</sup> 



453 Figure 1. Modeled (bars) and observed values (X) for vaccine rejection by vaccine effectiveness (VE)

- 454 and risk of fever. Modeled estimates and 95% confidence intervals from least square means marginal
- 455 proportions, accounting for age, urbanicity, race/ethnicity, income, and political affiliation.

## **Running Title**



456

Perceived risk of infection within next month

457 Figure 2. Relation between risk perceptions and COVID-19 vaccine acceptance, by generation, US,458 March 2020.

459