

Marriage of Peroxides and Nitrogen Heterocycles: Selective Three-Component Assembly, Peroxide-Preserving Rearrangement, and Stereoelectronic Source of Unusual Stability of Bridged Azaozonides

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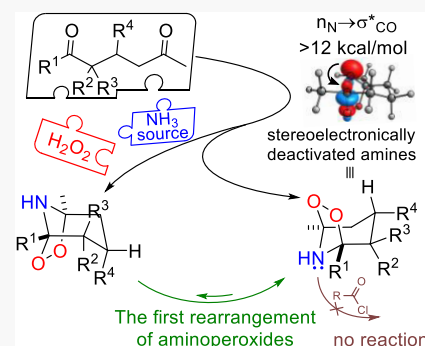


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ABSTRACT: Stable bridged azaozonides can be selectively assembled via a catalyst-free three-component condensation of 1,5-diketones, hydrogen peroxide, and an NH-group source such as aqueous ammonia or ammonium salts. This procedure is scalable and can produce gram quantities of bicyclic stereochemically rich heterocycles. The new azaozonides are thermally stable and can be stored at room temperature for several months without decomposition and for at least 1 year at $-10\text{ }^{\circ}\text{C}$. The chemical stability of azaozonides was explored for their subsequent selective transformations including the first example of an aminoperoxide rearrangement that preserves the peroxide group. The amino group in aminoperoxides has remarkably low nucleophilicity and does not participate in the usual amine alkylation and acylation reactions. These observations and the 15 pK_a units decrease in basicity in comparison with a typical dialkyl amine are attributed to the strong hyperconjugative $n_{\text{N}} \rightarrow \sigma^*_{\text{C}-\text{O}}$ interaction with the two antiperiplanar C–O bonds. Due to the weakness of the complementary $n_{\text{O}} \rightarrow \sigma^*_{\text{C}-\text{N}}$ donation from the peroxide oxygens (a consequence of “inverse α -effect”), this interaction depletes electron density from the NH moiety, protects it from oxidation, and makes it similar in properties to an amide.



INTRODUCTION

Organic peroxides offer a largely uncharted chemical space for the development of new medicinal agents with antimalarial,^{1–9} anthelmintic,^{10–15} anticancer,^{16–26} fungicidal,^{26,27} antitubercular,^{28–30} and antiviral^{31–33} activities. Artemisinin, a natural peroxide recognized by the 2015 Nobel Prize in Medicine to Youyou Tu, and its synthetic analogues such as arterolane are important antimalarial drugs. Furthermore, both artemisinin and the ethanolic extract of *Artemisia annua* L. are highly potent at inhibiting the proliferating ability of SARS-CoV-2 (the COVID-19-causing virus).^{34–36}

The dramatic expansion of medicinal chemistry of organic peroxides was inspired by >100 known peroxide-containing natural products.^{37–39} The variety of natural examples lessened concerns associated with the perceived peroxide instability and led to research efforts aimed at the development of synthetic approaches to varied classes of cyclic peroxides including 1,2-dioxolanes,^{40–50} 1,2,4-trioxolanes (ozonides),^{26,51–58} 1,2-dioxanes,^{59–64} 1,2,4-trioxanes,^{65–69} 1,2,4,5-tetraoxanes,^{70–72} cyclic triperoxides,⁷³ and tricyclic mono- and bisperoxides.^{74–76}

However, notwithstanding the significant advances in the medical chemistry of peroxides, azaperoxides remain mostly unexplored. Only two natural azaperoxides, verruculogen^{77–81} and fumitremorgin A,^{82,83} are known (isolated from *Aspergillus fumigatus* in the 1970s, Figure 1). The challenging nature of

these molecules is illustrated by the fact that their first total synthesis was developed only in 2015 by Baran's group.⁸⁴

The few known “unnatural” amino peroxides reveal promising biological activity. For example, 11-aza-artemisinin, i.e., the product of replacement of the ester moiety of artemisinin with an amide group, is more potent against malaria than artemisinin itself.^{85–87} Furthermore, both 11-aza-artemisinin and 6-aza-artemisinin exhibit anticancer activity.^{88–90}

Hence, the development of stable and easily accessible cyclic peroxides fused to a nitrogen heterocycle remains an important problem. Although the introduction of a nitrogen atoms can dramatically expand the choices of possible peroxide-containing functionalities and strongly affect their interaction with biological targets, the lack of available synthetic approaches and intrinsic instability left azaperoxides in the shadow of artemisinin and other classic peroxides.

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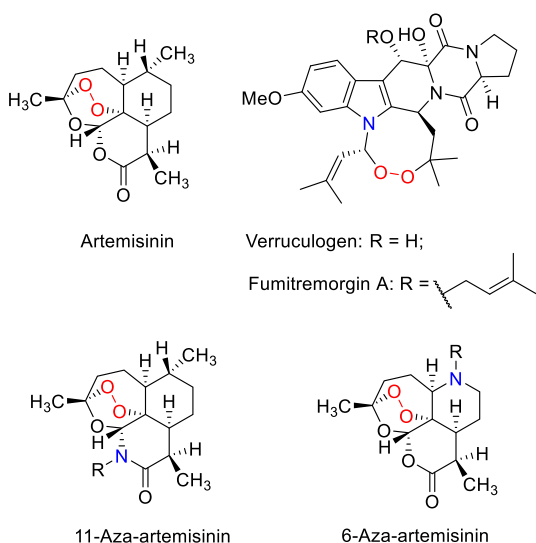


Figure 1. Structures of artemisinin and bioactive azaperoxides.

The main expected reason for the instability of azaperoxides is their self-oxidation due to the presence of both an oxidizing and a reducing part in the molecule. Oxidation of amines with hydrogen peroxide is well known and used for the synthesis of nitroso and nitro derivatives,^{91,92} nitrones/*N*-oxides,^{93–95} and imines.^{96,97}

The instability of aminoperoxides and the difficulty of their isolation and purification impose significant limitations on the development of methods for their synthesis. The first attempts to synthesize azaperoxides from highly reactive acyclic monoketones, aldehydes, and small ring size cyclic ketones were reported by E. G. E. Hawkins^{98–100} in the 1970s. The yields of aminoperoxides did not exceed 40%. In addition, attempts have been made to synthesize azaperoxides by other methods, such as ozonolysis of vinyl ether in the presence of imines,¹⁰¹ ozonolysis of alkenes in the presence of primary amines,¹⁰² and ozonolysis of the *O*-methylated dioximes.^{103–105} In all cases, 1,2,4-dioxazolidines were obtained, at best, in moderate yields, and ozone, a toxic gas that has to be generated on demand, was required. 1,2,4-Dioxazolidines can also be prepared by oxidation of aziridines with singlet oxygen, but in a low yield.^{106–108} One should also note the more recent approaches to the synthesis of 8- and 11-membered cyclic aminoperoxides via opening/recyclization of pentaoxaspiroalkanes with arylamines,¹⁰⁹ condensation of pentane-1,5-dial with *gem*-bis-hydroperoxides and primary amines,¹¹⁰ and interaction of heptaoxaspiroalkanes with diamines.¹¹⁰ However, the need to start with the less accessible cyclic bis- or trisperoxides significantly limits the scope of the products.

Selective synthesis of azaperoxides from diketones remains a challenge. For example, literature reports indicate that synthesis of cyclic aminoperoxide from a diketone (hexane-2,5-dione) was not possible due to steric reasons.⁹⁹ Also, the condensation of diketones with hydrogen peroxide and ammonia can lead to the formation of a complex mixture of products, both peroxide and non-peroxide in nature.

Furthermore, even though little is known about the chemistry of aminoperoxides, there are indications that they are capable of useful transformations. For example, it was found that substituted 1,2,4-dioxazolidines are convenient starting substrates for the synthesis of caprolactam and 11-cyanoundecanoic acid as a precursor for polymer Nylon-12.¹¹¹

In this study, we resurrect peroxidative three-component condensations of ketones as a preparative approach to peroxy *N*-heterocycles and describe a convenient approach to the selective assembling of stable bridged azaozonides via a condensation of 1,5-diketones with hydrogen peroxide and an *NH*-group source. We also report that the new azaperoxides are sufficiently stable to participate in a variety of further chemical transformations including the first example of an azaperoxide rearrangement that preserves the peroxide group.

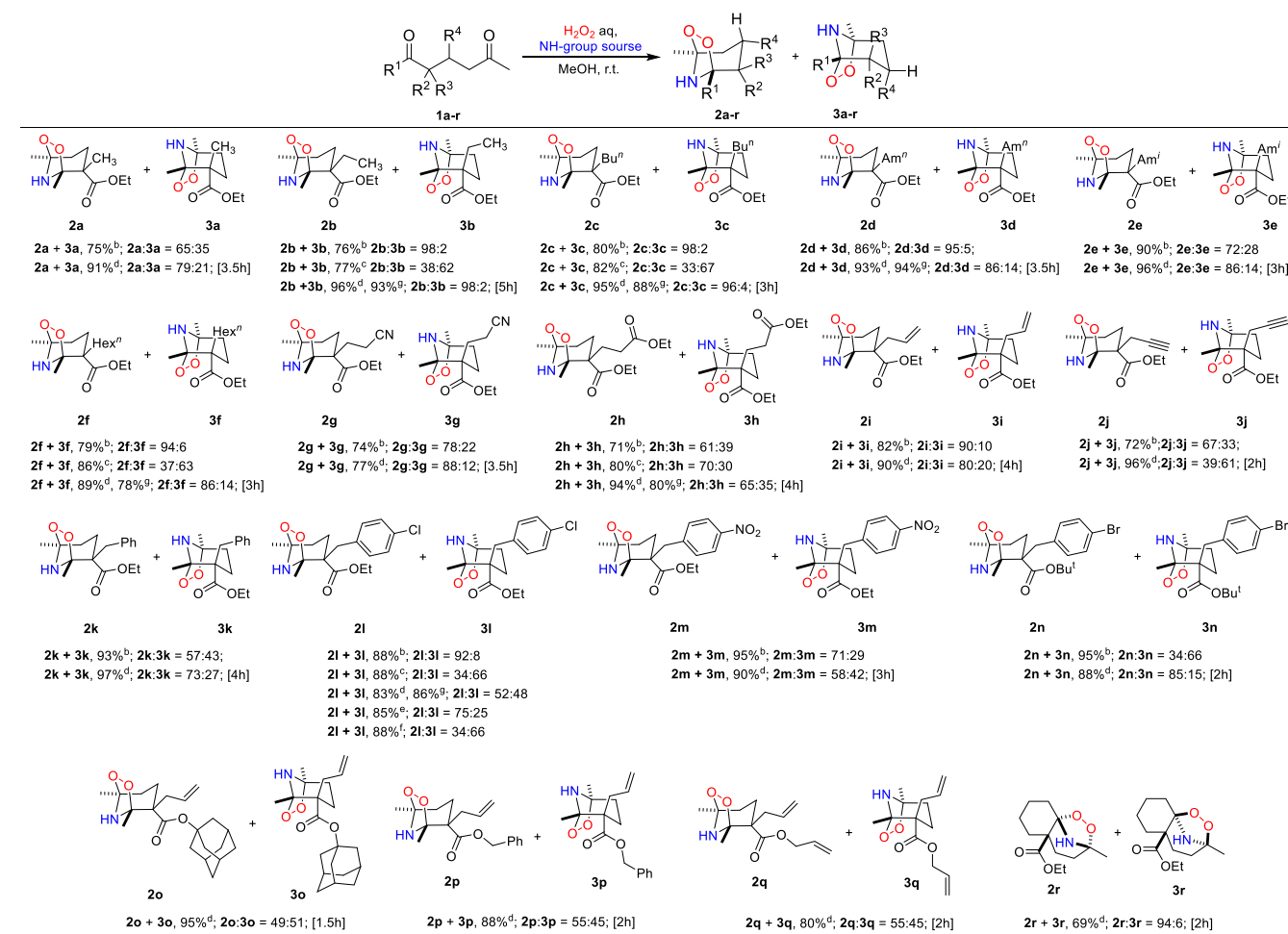
RESULTS AND DISCUSSION

Three-Component Condensation of 1,5-Diketones with Hydrogen Peroxide and *NH*-Group Source with the Formation of Bridged 1,2,4-Dioxazolidines (Bridged Azaozonides). The catalyst-free three-component condensation of 1,5-diketones **1a–u** with hydrogen peroxide and an *NH*-group source can be accomplished with aqueous H_2O_2 and a variety of *NH*-group sources such as aqueous NH_3 , ammonium acetate, ammonium formate, and ammonium carbonate. In all cases bridged 1,2,4-dioxazolidines (bridged azaozonides) **2a–u** and **3a–r** are formed (Scheme 1 and Scheme 2). Unlike two-component condensation of 1,5-diketones with hydrogen peroxide, Lewis acid catalysis is not necessary.

Condensation of ethyl 2-acetyl-2-(4-chlorobenzyl)-5-oxohexanoate (**11**) with a nitrogen source and hydrogen peroxide was used to study the effect of the nature and amount of the *N*-component, hydrogen peroxide, solvent, and reaction time on the yield of stereoisomeric bridged azaozonides **21** and **31** (Table 1; for additional experiments see Table S1 in the Supporting Information). A preliminary procedure for condensation of diketone **11** with H_2O_2 and an *NH*-group source was as follows: an NH_3 source and aqueous H_2O_2 were added to a solution of the diketone **11** (0.300 g; 0.92 mmol) in a solvent at room temperature. After completion, the solvent, an excess of ammonia, and hydrogen peroxide were removed in a vacuum of a membrane pump at 40–50 °C.

Condensation of 1,5-diketone **11** with 34% aqueous H_2O_2 and 22% aqueous NH_3 in MeOH at a molar ratio 1,5-diketone **11**: H_2O_2 : NH_3 = 1:1.5:10 led to the formation of bridged azaozonides in 84% yield with the ratio **21**:**31** = 71:29 after 5 h (run 1, Table 1). The yield of **21** + **31** and molar ratio **21**:**31** were determined from the ^1H NMR data. In DMF and THF, the azaozonides **21** + **31** were obtained in 54% and 60% yields, respectively, in ~40:60 **21**:**31** ratios (runs 2 and 3, Table 1). With acetonitrile (run 4), azaozonides were not observed. Under these conditions, acetonitrile was converted to acetamide faster than the aminoperoxide was formed. The optimal molar ratio for the condensation of 1,5-diketone **11** with H_2O_2 and NH_3 was found to be **11**: H_2O_2 : NH_3 = 1:1.5:5, and the reaction was complete in 1.5 h (run 5; for additional experiments see Table S1 in the Supporting Information (SI)).

After finding the optimal conditions, we used ^1H NMR to monitor the formation of azaozonides **21** and **31** from 1,5-diketone **11**, $\text{H}_2\text{O}_2(\text{aq})$, and $\text{NH}_3(\text{aq})$ in CD_3OD at 25 °C for 36 h (^1H NMR monitoring is presented in the SI). We found that azaozonide **31** is a product of kinetic control, and azaozonide **21** is a product of thermodynamic control. Also, ^1H NMR monitoring demonstrated that 1.5 h after the start of the reaction, the **21**:**31** ratio was 37:63 (see the SI). However, the **21**:**31** ratio changed to 87:13 after the reaction workup that included removal of the solvent, excess of ammonia, and H_2O_2 (run 5 of Table 1). Taking into account these results, we

Scheme 1. Structures and Isolated Yields of the Isomeric Mixtures of Azazonides 2a–r and 3a–r, Synthesized from 1,5-Diketones 1a–r^a

^aIn brackets the time of the reaction. The ratio of 2a–r:3a–r is the average of three experiments. ^bUnder conditions of run 5, Table 1. ^cUnder conditions of run 6, Table 1. ^dUnder conditions of run 7, Table 1. ^eUnder conditions of run 8, Table 1. ^fUnder conditions of run 9, Table 1. ^gScaled to 1.0 g of 1,5-diketone 1 in the conditions of run 7, Table 1.

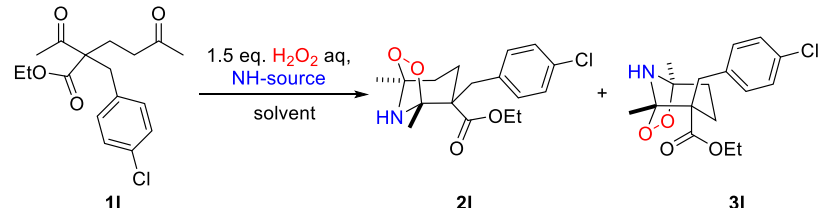
decided to change the procedure for the isolation of azaperoxides from the reaction mixture. The extraction of azazonides directly from the reaction mixture with CHCl_3 allowed us to obtain the target azazonides 2l + 3l in a 94% yield and 33:67 ratio (run 6, Table 1).

However, isolation of the individual azazonides from the reaction mixture was not entirely trivial. The usual SiO_2 column chromatography with PE/EA as an eluent (run 5) provided azazonides 2l + 3l in only 66% yields with the ratio 2l:3l = 95:5. The 30% loss in the isolated yield in comparison with the NMR yield suggested that azazonide 3l may be more sensitive to the acidic nature of SiO_2 and degrades preferentially relative to azazonide 2l during column chromatography (see the Computational Analysis section for additional details). However, using 1 vol % Et_3N in CHCl_3 as eluent allowed us to isolate 2l + 3l in 83–88% yields without significant changes in the ratio 2l:3l in comparison with the ^1H NMR of the reaction mixtures (runs 5–9).

Surprisingly, not only $\text{NH}_3(\text{aq})$, but also the ammonium salts, such as ammonium acetate, ammonium formate, and ammonium carbonate, can be used as an NH-group source in the three-component condensation of 1,5-diketone 1l. To our knowledge, there are no literature examples of the synthesis of

azaperoxides from ammonium salts. These salts are more convenient for dosing and using than $\text{NH}_3(\text{aq})$. By using NH_4OAc and $(\text{NH}_4)_2\text{CO}_3$, azazonides 2l + 3l were obtained in 83% and 88% isolated yields, respectively (runs 7 and 9, Table 1). With $(\text{NH}_4)_2\text{CO}_3$, the full conversion of diketone 1l was achieved in 3 h, rather than 1.5 h for NH_4OAc . In the case of ammonium formate, azazonides 2l + 3l were obtained in 85% isolated yield in a ratio 2l:3l = 75:25 (run 8). With $(\text{NH}_4)_2\text{SO}_4$, the target azazonides were obtained only in trace amounts. The conditions of entry 7 of Table 1 were chosen as optimal when using ammonium salts as the NH source.

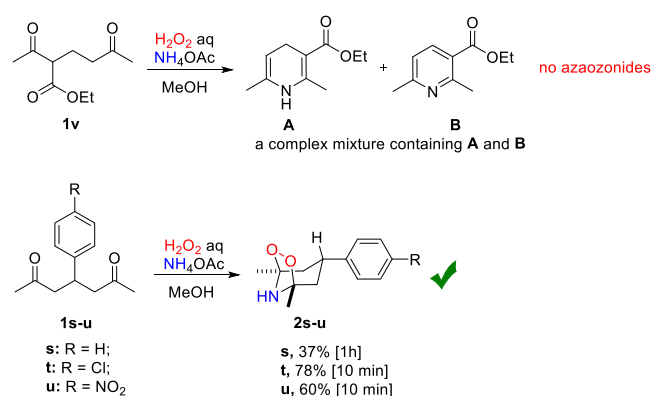
In the next step, we applied the optimized conditions to explore the scope and limitations of the assembly of azazonides by introducing substituents $\text{R}^1\text{--R}^4$ at different positions in the 1,5-dicarbonyl compound 1. Azazonides 2a–r and 3a–r with various substituents were synthesized from 1,5-diketones 1a–r (Scheme 1). The NH_4OAc -promoted reaction was monitored by TLC until complete conversion of the starting 1,5-diketones 1a–r. Under conditions 7 of Table 1, the three-component condensations of 1.0 g of 1,5-diketone 1 formed azaperoxides 2b + 3b, 2c + 3c, 2d + 3d, 2f + 3f, 2h + 3h, and 2l + 3l in isolated yields of 93%, 88%, 94%, 78%, 80%, and 86%, respectively. Although the diastereoisomeric bridged

Table 1. Synthesis of Stereoisomeric Bridged Azaozonides **2l** and **3l** via Condensation of 1,5-Diketone **1l** with H₂O₂ and an NH-Group Source^a


run	NH-group source (equiv vs 1l)	solvent	time, h	NMR yield of 2l + 3l , % (isolated yield)	ratio of 2l : 3l
1	NH ₃ aq (10)	MeOH	5	84	71:29
2	NH ₃ aq (10)	DMF	5	54	39:61
3	NH ₃ aq (10)	THF	5	60	37:63
4	NH ₃ aq (10)	CH ₃ CN	5		
5	NH ₃ aq (5)	MeOH	1.5	94 (66) ^d (88) ^e	87:13 95:5 92:8
6 ^b	NH ₃ aq (5)	MeOH	1.5	94 (88) ^e	33:67 34:66
7 ^c	NH ₄ OAc (5)	MeOH	1.5	95 (83) ^e	52:48 52:48
8 ^c	HCOONH ₄ (5)	MeOH	1.5	94 (85) ^e	75:25 75:25
9 ^c	(NH ₄) ₂ CO ₃ (5)	MeOH	3	95 (88) ^e	36:64 34:66

^a22% NH₃(aq) (0.39–0.77 mL, 4.60–9.20 mmol, 5–10 mol of NH₃/1 mol of **1l**), NH₄OAc (0.355 g; 4.60 mmol), HCOONH₄ (0.290 g; 4.60 mmol) or (NH₄)₂CO₃ (0.442 g; 4.60 mmol), and 34% H₂O₂(aq) (0.12 mL, 1.38 mmol; 1.5 mol of H₂O₂/1 mol of **1l**) were successively added with stirring to a solution of 1,5-diketone **1l** (0.300 g; 0.92 mmol) in MeOH, DMF, THF or CH₃CN (10 mL) at 20–25 °C. In the case of (NH₄)₂CO₃, an additional 1 mL of H₂O was added to the reaction mixture. The reaction mixture was stirred at 20–25 °C for 1.5–5 h. The solvent, excess of ammonia, and H₂O₂ were removed in a vacuum of a membrane pump at 40–50 °C. The yields of **2l** and **3l** were determined from the ¹H NMR spectroscopic data. Molar ratio **2l**:**3l** is a mean from three experiments. ^bThe procedure for the isolation of azaozonides **2l** + **3l** from the reaction mixture: CHCl₃ (30 mL) and water (10 mL) were added to the reaction mixture. The organic phase was separated; the aqueous phase was washed by CHCl₃ (3 × 30 mL). The combined organic phases were dried over MgSO₄ and filtered. The solvent was removed in the vacuum of a membrane pump at 20–25 °C. The yield of **2l** and **3l** was determined from the ¹H NMR spectroscopic data. ^cThe procedure for the isolation of azaozonides **2l** + **3l** from the reaction mixture: CHCl₃ (30 mL) and NaOH (5% aqueous solution, 10 mL) were added to the reaction mixture. For the next steps see footnote b. ^dThe mixture of azaozonides **2l** and **3l** was isolated by chromatography on SiO₂ using PE:EA = 20:1. ^eThe mixture of azaozonides **2l** and **3l** was isolated by chromatography on SiO₂ using 1% Et₃N in CHCl₃.

azaozonides **2a–r** and **3a–r** could not be separated using conditions that worked for structurally similar diastereoisomeric bridged ozonides,^{21,26,56} we were able to separate azaozonides **2m** + **3m** by ordinary column chromatography. The structure and stereochemistry of peroxides **2m** and **3m** were unambiguously established by X-ray crystallography. Both azaozonides are crystalline compounds that melt without decomposition at 136–138 °C and 120–122 °C, respectively. An interesting result was obtained with the aminoperoxidation of diketones **1s–v** that have a single substituent at the tether connecting the two ketone groups (Scheme 2). Under the conditions of entry 7, Table 1, the reaction was carried out until complete conversion of the starting 1,5-diketones **1s–v**. In the case of diketone **1v**, we obtained a complex mixture of products. The presence of the ester group in the α position of the diketone **1v** promotes its enolization, which probably contributes to the formation of the dihydropyridine ring rather than an aminoperoxide. According to NMR data, dihydropyridine **A** and pyridine **B** were in the mixture, while the target azaperoxide **2u** was absent. However, azaozonides **2s–u** were obtained in 37–78% isolated yields from diketones **1s–u** (Scheme 2). The structure of **2t** was unambiguously established by X-ray crystallographic analysis.

Scheme 2. Attempted Peroxidative Condensations of 1,5-Diketones **1s–v** in the Presence of NH₄OAc

Stereochemical analysis of azaozonides **2a–r** and **3a–r** was performed based on the ¹⁵N and key ¹H chemical shifts in combination with NOE data. For 2-CO₂R-substituted azaozonides **2a–r** and **3a–r**, both diastereomers have characteristic ¹⁵N chemical shifts (Figure 2, A) that makes them easy to distinguish by conventional ¹H–¹⁵N HSQC experiments. Also, the stereoisomer **3** has a characteristic signal of one proton of the CH₂ group in the ¹H NMR spectra; its

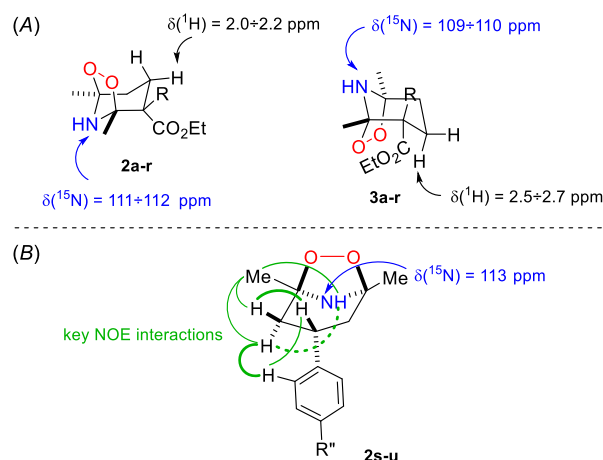


Figure 2. Basic ^1H , ^{15}N , and NOE NMR data for the stereochemical analysis of main types of the synthesized azazonides **2a–u** and **3a–r**.

proton falls into the anisotropy cone of the $\text{C}=\text{O}$ group and has a chemical shift (2.5–2.7 ppm) (Figure 2, A). The configuration of a single diastereomer of the 2-aryl-substituted azazonides **2s–u** was established using ^1H – ^1H NOESY spectra (Figure 2, B). The correctness of the performed assignments based on ^1H and ^{15}N chemical shifts was verified by a number of NOE data. NMR analysis of azazonides was also facilitated by 2D correlation spectroscopic techniques (COSY, NOESY, editing-HSQC, and HMBC) that were previously calibrated by the NMR analysis of reference ozonides.

Interestingly, the ^{15}N NMR chemical shifts for the aminoperoxides are quite different from the chemical shifts for amines (49.6 and 39.1 ppm for diethylamine and piperidine, respectively; for the ^{15}N NMR spectra for amines see the SI) and are much closer to amides (106.4–108.4 and 102.2–104.2 ppm for *N*-methylformamide and *N*-methylacetamide, respectively¹¹²).

The NMR assignments were further confirmed by X-ray single-crystal analysis (Figure 3). A more detailed description

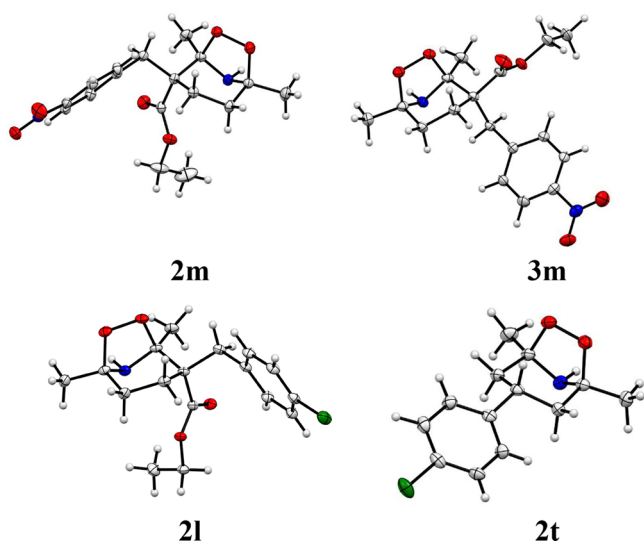
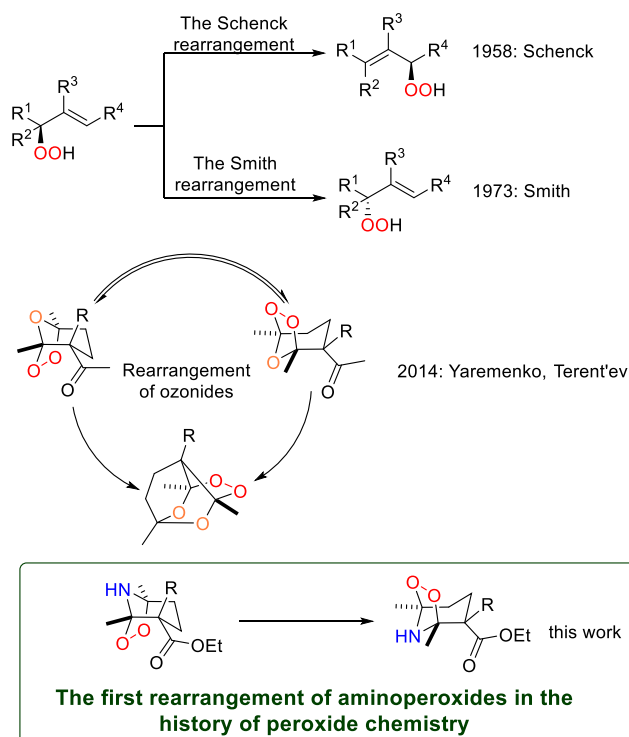


Figure 3. Molecular structures of **2l**, **2m**, **3m**, and **2t**. Atoms are presented as atomic displacement parameters (ADP) ellipsoids (50% probability).

of X-ray data for **2m**, **3m**, and **2t** is provided in the SI. An interesting feature of the amino group is that it is strongly pyramidalized in the direction that positions the lone pair of nitrogen antiperiplanar to the two $\text{C}–\text{O}$ bonds of the peroxide ring. In the N -containing six-membered ring, the two $\text{C}–\text{O}$ bonds to the peroxide moiety are axial, as expected from the generalized anomeric effect.^{113–116}

Rearrangement of Bridged 1,2,4-Dioxazolidines (Bridged Azazonides). Due to the high energy of peroxides, this functionality is prone to rearrangements. Because the $\text{O}–\text{O}$ bond is generally the “weakest link” in the molecule, most of peroxide rearrangements proceed via $\text{O}–\text{O}$ bond scission, as illustrated by Baeyer–Villiger, Criegee, Udris–Sergeev, Hock, Kornblum–DeLaMare, Wieland, and Story reactions.¹²² Rearrangement of peroxides with preservation of the peroxide group is very rare. In fact, in the 100+ years of peroxide chemistry, only three such rearrangements are known, namely, the Schenck rearrangement,^{117,118} the Smith rearrangement,¹¹⁹ and an interconversion of stereo-isomeric ozonides and tricyclic monoperoxides (Scheme 3)

Scheme 3. Currently Known Rearrangements of Peroxides That Preserve the Peroxide Group

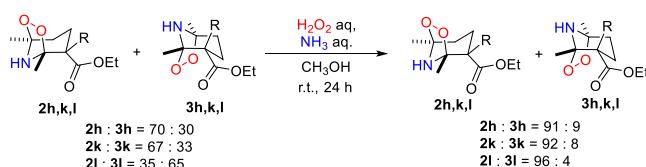


recently discovered by us.¹²⁰ Such “peroxide-conserving” rearrangements are exceptionally interesting from the fundamental point of view because they reveal kinetically favored reaction pathways far away from the thermodynamic minima. Generally, such pathways navigate the “high-altitude” regions of the potential energy surface and avoid falling down to the lowest energy “thermodynamic bottom”. Such precise navigation is generally made possible by using stereoelectronically assisted activation that can selectively break the usually stronger (e.g., $\text{C}–\text{O}$) bonds in the presence of fragile $\text{O}–\text{O}$ groups.

Our work describes the first peroxide-preserving rearrangement of aminoperoxides in the history of peroxide chemistry.

^1H NMR monitoring of the condensation of **1l** with H_2O_2 and aqueous NH_3 in CD_3OD demonstrated that the kinetically preferable azaozonide **3l** is thermodynamically less stable than its isomer **2l** (^1H NMR monitoring is presented in the SI). To confirm that azaozonides **3** can be rearranged into **2**, a 22% aqueous solution of NH_3 and 34% aqueous solution of H_2O_2 were added (in the same amounts as in run 6 of Table 1) to a solution of the mixtures of azaozonides **2h** + **3h**, **2k** + **3k**, or **2l** + **3l** in MeOH. The reaction mixtures were kept without stirring for 24 h at 20–25 °C. After that, the ratio of azaozonides **2h**:**3h** changed from 70:30 to 91:9, for **2k**:**3k** from 67:33 to 92:8, and for **2l**:**3l** from 35:65 to 96:4 (Scheme 4).

Scheme 4. Rearrangement of Azaozonides 3 into Azaozonides 2



In the case of azaozonides **2l** + **3l**, colorless crystals of **2l** were formed. The X-ray structure of **2l** is shown in Figure 3. Also, the rearrangement of **3** into **2** can be realized by removing excess ammonia, hydrogen peroxide, and solvent on a rotary evaporator under vacuum of a membrane pump at 40–50 °C (under conditions of high concentrations of $\text{NH}_3(\text{aq})$ and $\text{H}_2\text{O}_2(\text{aq})$). See the Computational Analysis section below for a probable mechanism of this rearrangement.

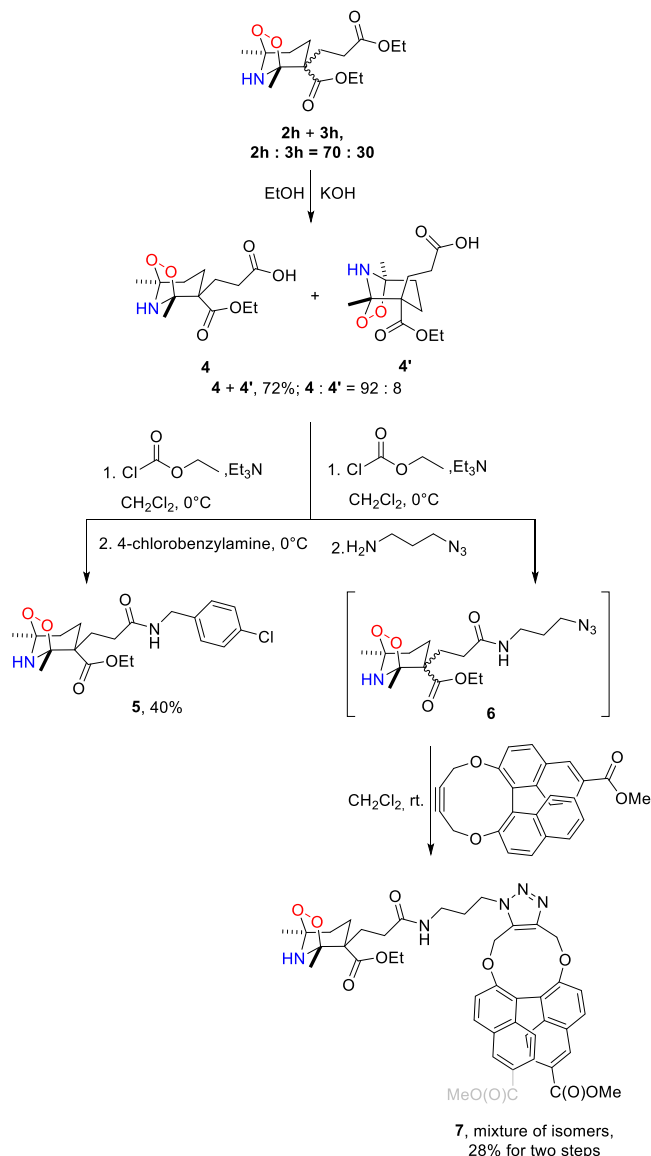
Stability of Bridged 1,2,4-Dioxazolidines (Bridged Azaozonides). All synthesized azaozonides **2a–u** and **3a–r** are stable compounds and can be stored at room temperature for several months without decomposition.

A pair of azaozonides **2l** and **3l** was used to study the stability of azaozonides with respect to 98% H_2SO_4 , KOH, $\text{NH}_3(\text{aq})$, and NH_4OAc by NMR monitoring in CD_3OD with 1,4-dinitrobenzene as an internal standard (NMR spectra are presented in the SI). Azaozonides **2l** and **3l** were unstable toward H_2SO_4 (5 μL of 98% H_2SO_4 in 0.7 mL of CD_3OD per 50.0 mg of **2l** + **3l**). In 20 min after the addition of acid to the mixture of **2l** + **3l**, the decomposition of azaozonides **2l** and **3l** was observed (the content of azaozonides decreased by 42% and 89%, respectively), and after 24 h, the ^1H NMR signals characteristic of azaozonides disappeared. As a result, a complex mixture of products was formed where the initial diketone **1l** was also not detected. In the case of KOH, a decrease in the amount of azaozonides **2l** and **3l** was observed in 5 min after the KOH addition (by 12% and 17%, respectively). After 24 h, a further decrease in the amount of azaozonide **2l** was not observed while the amount of azaozonide **3l** decreased by 67% from the initial. Furthermore, azaozonide **2l** was resistant to $\text{NH}_3(\text{aq})$ and NH_4OAc . In contrast, azaozonide **3l** was found to be sensitive $\text{NH}_3(\text{aq})$ but less than that to NH_4OAc . In the case of $\text{NH}_3(\text{aq})$, the amount of azaozonide **3l** decreased by 35%, and in the case of NH_4OAc , by 70% from the initial after 24 h. Thus, azaozonide **2l** is resistant to KOH, $\text{NH}_3(\text{aq})$, and NH_4OAc and not resistant to H_2SO_4 . Azaozonide **3l** is moderately resistant to $\text{NH}_3(\text{aq})$ but not resistant to H_2SO_4 , KOH, and NH_4OAc .

The unusual stability of bridged azaperoxides under basic conditions opens the door for their modifications. A

conceptually important feature of these reactions is that they represent the first transformations of azaperoxides that preserve the azaperoxide cycle (Scheme 5).

Scheme 5. Transformations of Azaperoxides 2h+3h with Preservation of the Peroxide Cycle



In particular, acid **4** formed from azaperoxides **2h**+**3h** can be used to prepare amides **5** and **6**. Note that the amine group in **2/3** does not react with the chloroformate. This is an unusual behavior for an unprotected secondary amine. Although isolation of azido-azaperoxide **6** is impractical, it can be used “as prepared” in a noncatalyzed click reaction with the formation of triazole-azaozonide **7** in 28% yield in two steps. The electronically activated¹²¹ cycloalkyne partner for this reaction was synthesized according to the procedure of Harris et al.¹²² in 55% yield.

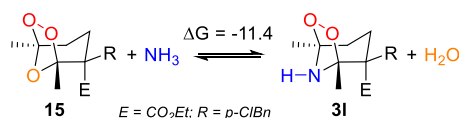
COMPUTATIONAL ANALYSIS

All quantum chemical calculations were performed with the Gaussian16¹²³ program package at the PBE0¹²⁴-D3BJ¹²⁵/6-311++G(d,p)^{126–129}/SMD¹³⁰ (MeOH) level of theory. The

PBE0 functional is known to provide accurate results for organic reactions¹³¹ and has been recently shown to be well grounded in theory.^{132–134} All computational results are based on quasi-harmonically corrected free energies computed with GoodVibes.¹²⁶ Monte Carlo conformational search was performed for every computed intermediate and transition state; in the latter case the forming and breaking bonds were constrained at their lengths in a trial TS.¹³⁵ Stereoelectronic interactions were analyzed using natural bond orbital (NBO) analysis.¹³⁶ All energies are reported in kcal/mol.

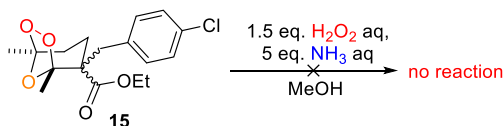
Reaction Mechanism. To understand the mechanism of aminoperoxide formation from 1,5-diketones, we have modeled a variety of possible reaction pathways satisfying the experimental observations. For example, we have considered a route including the bicyclic ozonide (a close analogue of azaozonide obtained from the same ketones under acidic conditions and in the absence of NH_3 ^{56,57}) as an intermediate. The negative Gibbs free energy for the transformation of ozonide to aminoperoxide demonstrates that this process is favorable thermodynamically (Scheme 6). Hence, the ozonide is a plausible intermediate in the assembly of the corresponding bicyclic aminoperoxide.

Scheme 6. Computed Free Energy of Transformation 15 $\rightarrow$ 31 in Reaction Media



We have tested this scenario experimentally by introducing ozonide **15** into the reaction conditions of entry 11, Table 1 (Scheme 7). Formation of aminoperoxides **2l** and **3l** was not

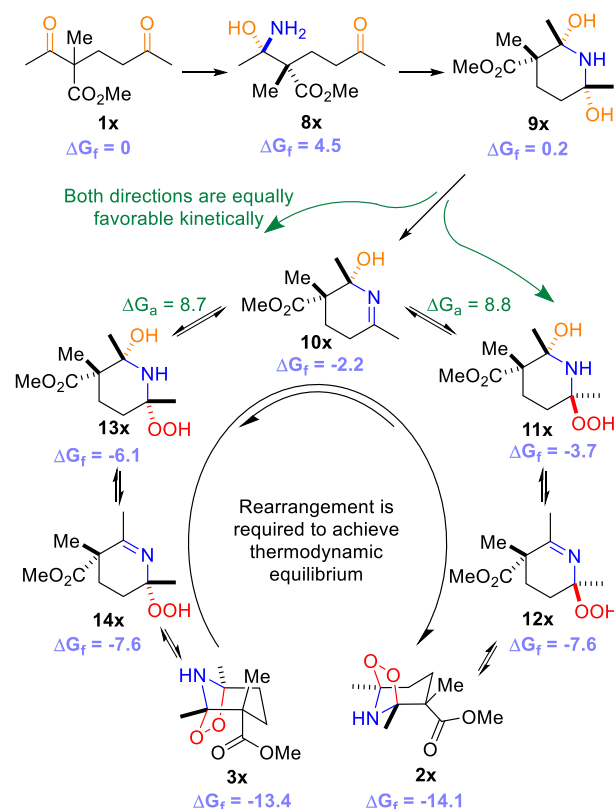
Scheme 7. Attempt to Synthesize Aminoperoxides from Ozonides



observed, and the ozonide remained unreacted. Thus, the kinetic barrier for the transformation **15** $\rightarrow$ **3** is too high to allow ozonides to be intermediates in the aminoperoxide formation under these experimental conditions.

Modeling of the remaining reaction pathways for the formation of aminoperoxides (see SI) was performed using compound **1x**, a simplified version of **1a** with the CO_2Et group replaced with CO_2Me to decrease conformational flexibility. Analysis of the thermodynamic landscape involved interaction of the two nucleophiles (NH_3 and H_2O_2) with the two electrophilic centers of the diketone. The full network of possibilities (six distinct paths with 18 intermediates) is shown in the SI. The computationally favored path led us to the aminoperoxidation mechanism shown in Scheme 8. The reaction begins with nucleophilic addition of ammonia to a β -carbonyl group of the starting 1,5-diketone **1x**. Then it follows an intramolecular nucleophilic addition of the NH_2 group to the carbonyl group in intermediate **8x**, producing cyclic intermediate **9x**. This molecule undergoes a series of elimination/addition steps: first, a water molecule is eliminated

Scheme 8. Proposed Mechanism for the Assembly and Rearrangement of Aminoperoxides **2 and **3**^a**



^aAll energies are reported in kcal/mol.

from **9x** upon OH-group protonation, and then the resulting imine **10x** proceeds to nucleophilic addition of the H_2O_2 molecule from one of two sides. In order to close the second cycle, the formed intermediate (**11x** or **13x**) has to eliminate the remaining OH group, leading to an imine (**12x** or **14x**) that finally undergoes intramolecular nucleophilic attack of the imine carbon atom by the OOH group, resulting in the aminoperoxide **2** or **3**.

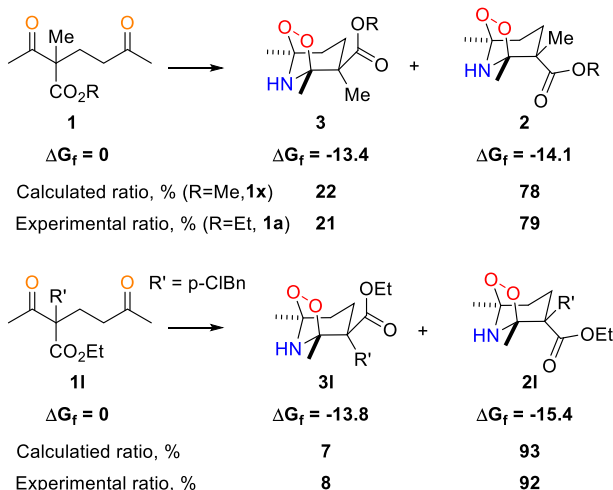
The computational analysis also offers a plausible mechanism for the observed rearrangement of aminoperoxides (Scheme 8). Alternative rearrangement mechanisms (see SI), which do not require H_2O_2 elimination, were found to be 3–5 kcal/mol higher in energy.

Transitions **10x** $\rightarrow$ **13x** and **10x** $\rightarrow$ **11x** have nearly identical barriers (8.7 and 8.8 kcal/mol). If the subsequent exergonic unimolecular steps in the parallel paths **10x** $\rightarrow$ **2x** and **10x** $\rightarrow$ **3x** are faster, one can expect that aminoperoxides **2x** and **3x** are formed in a 47:53 ratio (according to the Curtin–Hammett^{137,138} equation at 25 °C), resulting in a system significantly shifted from the equilibrium 78:22 ratio (energy difference of 0.7 kcal/mol). This discrepancy between the kinetic and equilibrium ratios gives rise to the observed rearrangement. Since kinetic aminoperoxide **3x** is 11.2 kcal/mol more stable than **10x**, the energy barrier of rearrangement **3x** $\rightarrow$ **2x** is 11.2 kcal/mol higher than the barrier of **10x** $\rightarrow$ **2x** (i.e., 8.8 + 11.2 = 20.0 kcal/mol). This makes equilibration of the **2x**–**3x** mixture much slower than formation of aminoperoxides from the starting 1,5-diketone **1x**, in complete agreement with the experimental results: conversion of the

starting diketone into the initial mixture of **2l** and **3l** takes 1.5 h, while equilibrium is reached only after 36 h (see the SI).

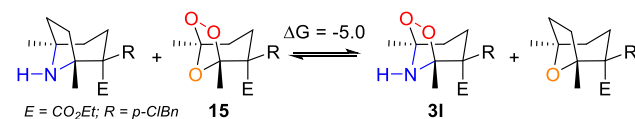
It is also interesting to compare experimental equilibrium ratios **2a**:**3a** and **2l**:**3l** with the computed ones, **2x**:**3x** and **2l**:**3l**, under the assumption that the structural difference between **2a** and **2x** in a single CH₂ group does not influence selectivity significantly. The comparison in Scheme 9 shows surprisingly good correspondence between experimental and computational data.

Scheme 9. Experimental and Computational Equilibrium Ratios for Compounds 2 and 3



Stability and Electronic Structure of Bicyclic Azaozonides. Intrigued by the high stability and unusual features of these aminoperoxides, we have further explored their electronic structure. An isodesmic reaction constructed to highlight the difference between NH and O as neighbors for the peroxide group (Scheme 10) shows that NH is preferred

Scheme 10. Isodesmic Reaction Identifies the Amine Group as the Preferred Neighbor for the Peroxide Group



by 5 kcal/mol. The likely origin of this stability is the stronger anomeric interactions between the nitrogen lone pair and the C–O antibonding orbital.

Indeed, NBO analysis of a model aminoperoxide (Figure 4) shows that *each* of the above-mentioned $n_N \rightarrow \sigma^*_{C-O}$ interactions amounts to 12.2 kcal/mol, while its $n_O \rightarrow \sigma^*_{C-O}$ analogue in ozonides is only 9.1 kcal/mol.⁵⁷ In addition, moderate $n_O \rightarrow \sigma^*_{C-N}$ interaction amounts to 2.9 kcal/mol, a little less than the corresponding $n_O \rightarrow \sigma^*_{C-O}$ interaction in ozonides (3.4 kcal/mol⁵⁷). Together, the anomeric interactions in an aminoperoxide add up to 37.6 kcal/mol vs 25 kcal/mol in ozonides.⁵⁷ Note that this difference in anomeric stabilization (12.6 kcal/mol) closely matches the overall energy difference between these compounds (11.4 kcal/mol, Scheme 6), highlighting the important role of hyperconjugative interactions in the stability of aminoperoxides.

However, it is also clear that the bicyclic structure of our aminoperoxides constrains their geometry in a way that

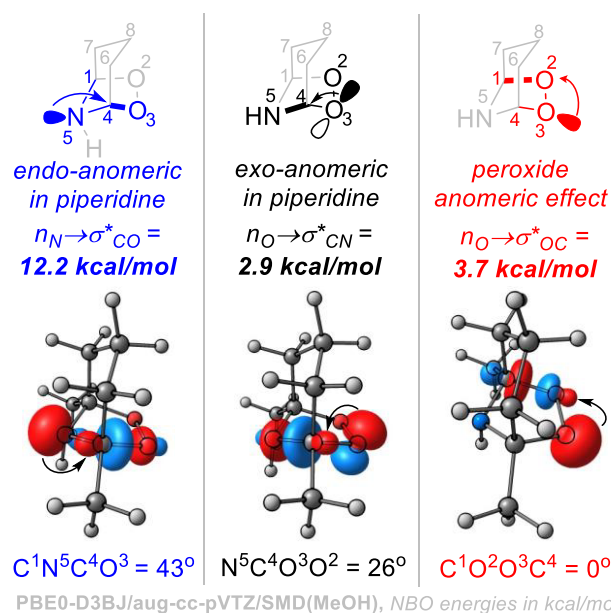


Figure 4. NBO analysis of aminoperoxides. Note that for this figure geometry optimizations and NBO calculations were performed using a larger, aug-cc-pVTZ¹³⁹ basis (see Figure S5 for more information).

prevents them from taking the full advantage of the nitrogen lone pair donor ability. As a reference point, it is instructive to compare the bicyclic azaozonides with hexamethylene triperoxide diamine,¹⁴⁰ one of the few known compounds containing geminal amine and peroxide groups. The latter compound has a remarkable molecular geometry (Figure 5)

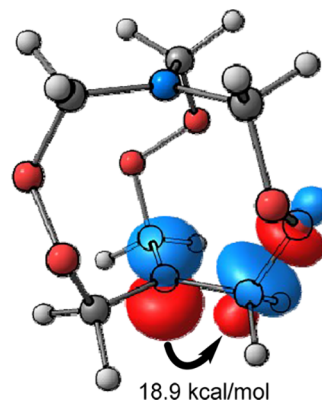


Figure 5. NBO analysis of hexamethylene triperoxide diamine optimized at the PBE0-D3BJ/6-311++G(d,p)/SMD(MeOH) level of theory.

where the amino groups are nearly planar, in contrast to the pyramidalized amino groups typical for amines.¹⁴¹ Generally, the cost of planarization in amines is paid by the greater donor ability of nitrogen's pure-p lone pairs in delocalizing interactions.^{142–144} In hexamethylene triperoxide diamine, the lone pair participates in three much stronger $n_N \rightarrow \sigma^*_{C-O}$ interactions (18.9 kcal/mol each, according to the NBO analysis). In our aminoperoxides, however, NBO analysis of the model compound found that planarization slightly weakens (by <1 kcal/mol) both the $n_N \rightarrow \sigma^*_{C-O}$ and the $n_O \rightarrow \sigma^*_{C-N}$ interactions (see Figure S5 for details) by misaligning the interacting orbitals. In the absence of greater delocalizing stabilization, the cost of planarization (9.9 kcal/mol) remains

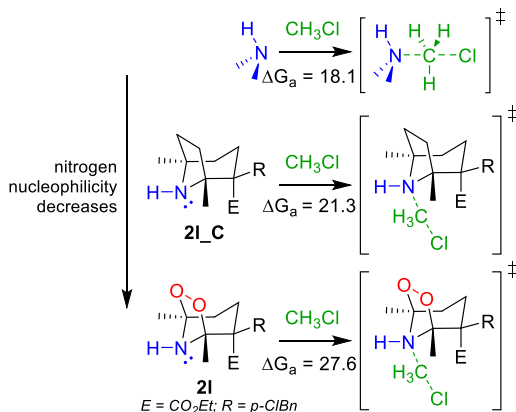
about the same as in trimethylamine.¹⁴⁵ From a practical perspective, this analysis suggests that it should be possible to design even more anomerically deactivated amines by the future structural modifications.

More useful information from the NBO interaction energies is that the $n_N \rightarrow \sigma^*_{C-O}$ interactions are considerably stronger than the $n_O \rightarrow \sigma^*_{C-N}$ interactions (12.2 vs 2.9×2 kcal/mol). This situation indicates a relatively unbalanced pattern of delocalization where the nitrogen atom serves as a net donor toward the two peroxide oxygens. The imbalance is considerably more pronounced than in the case of the analogously coupled $n_N \rightarrow \sigma^*_{C-O}/n_O \rightarrow \sigma^*_{C-N}$ interactions in a hemiaminal (i.e., NR_2CH_2OR). It partially stems from the decreased donor ability of peroxide lone pairs ("the inverse α -effect"¹¹⁶) that we have identified recently as an important factor in peroxide chemistry.^{146–150}

In order to further understand the interplay of structure and reactivity for the aminoperoxides **2I** and **3I**, the chemical properties of their NH groups were studied computationally in different roles, i.e., as bases, acids, and nucleophiles.

The nucleophilicity of **2I** was evaluated by comparing the activation barriers of its S_N2 reaction with methyl chloride with those for **2I_C** (its analogue with the peroxide fragment replaced with a CH_2-CH_2 group) and dimethylamine (see Scheme 11). The neighboring peroxide fragment increases the

Scheme 11. Activation Energies of S_N2 Reaction with Methyl Chloride Reveal Decreased Nucleophilicity of the Nitrogen Atom in Aminoperoxides



activation energy by 6–7 kcal/mol (**2I** vs **2I_C**), while embedding the NH group in the strained bicyclic system increases that by an additional 2–4 kcal/mol (**2I_C** vs dimethylamine). The latter observation can be traced to the increase of the nitrogen lone pair s-character caused by its strain-induced rehybridization in the polycyclic framework.¹⁴¹ Together, these computational results agree with the experimentally observed inactivity of aminoperoxides **2–3I** toward alkylation with alkyl halides and acylation with acetyl chloride or acetic anhydride.

Trends in basicity (see Scheme 12) correlate with activation energies of S_N2 reactions and reveal another manifestation of the strong $n_N \rightarrow \sigma^*_{C-O}$ interaction in aminoperoxides. Protonation energies of aminoperoxides **2I** and **3I** are ~ 18.5 kcal/mol higher than those for corresponding amines **2I_C** and **3I_C**. Thus, the peroxide group makes aminoperoxides less basic by ~ 15 pK_b units! This extremely large effect comes from

Scheme 12. Computed Energies of Protonation by NH_4^+ and Deprotonation by NH_3 in Reaction Media

	2I_C	3I_C	2I	3I
Protonation ΔG , kcal/mol	2.6	3.1	21.1	21.5
Deprotonation ΔG , kcal/mol	46.1	43.7	31.0	29.8

$E = CO_2Et$; $R = p\text{-}ClBn$

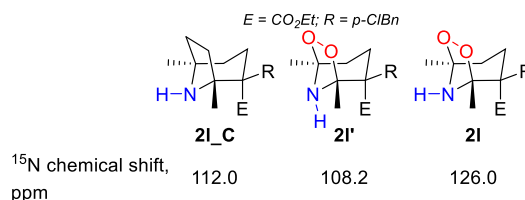
NH-group basicity decreases
NH-group acidity increases

the penalty associated with the loss of $n_N \rightarrow \sigma^*_{C-O}$ interaction upon protonation.

Furthermore, the computed deprotonation energies show opposite trends in acidity, which illustrates the ability of the vicinal peroxide group to stabilize the negative charge on the nitrogen. Thus, the presence of vicinal peroxide deactivates the NH group's lone pair but simultaneously increases the N–H acidity.

The strong stereoelectronic interactions manifest themselves not only in reactivity but also in the NMR spectra. In the case of azaozonides, $n_N \rightarrow \sigma^*_{C-O}$ delocalization deshields the nitrogen atom, and, therefore, its ^{15}N NMR peak is expected to be shifted downfield. In order to estimate this deshielding, ^{15}N chemical shifts of **2I**, **2I_C**, and **2I'** (conformation of **2I'** with the N–H bond parallel to C–O) were computed using the GIAO method (see Scheme 13). According to calculations,

Scheme 13. ^{15}N Chemical Shifts Computed Using the GIAO Method at the PBE0-D3BJ/6-311++G(d,p)/SMD($CHCl_3$) Level of Theory^a



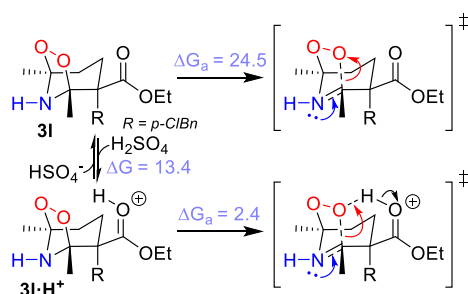
^aCalculated chemical shift of NH_3 was used as the standard.

the nitrogen signal of **2I** is shifted downfield by 14–18 ppm away from the peaks of **2I_C** and **2I'**, where the $n_N \rightarrow \sigma^*_{C-O}$ hyperconjugation is "turned off". So, this interaction is indeed responsible for the deshielding of the nitrogen atom, and the unusual ^{15}N NMR chemical shifts in azaozonides can be taken as spectroscopic signatures of such stereoelectronic effects.

Another interesting feature of azaozonide reactivity is the difference between rates of **2I** and **3I** degradation in acidic media. Lower stability of **3I** against H_2SO_4 and SiO_2 was observed experimentally and was already mentioned in the synthetic section. Our calculations show that this difference comes from the closeness of peroxide oxygen to the ester side-group in **3I**. Computational analysis of protonated azaozonide **3I**· H^+ and the corresponding TS reveals that the protonated ester group of **3I** acts as a proton donor for the peroxide group in the TS for C–O scission, thus stabilizing it and promoting the ring opening (Scheme 14).

The unusual behavior of the NH group in aminoperoxides can be useful for the medicinal chemistry of peroxides, since its

Scheme 14. Ring-Opening Activation Energies of Neutral and Protonated Forms of **3l** in kcal/mol



NH group does not interact with alkylating agents but can act as a strong H-bond donor when interacting with biosystems.

CONCLUSION

Stable bridged azaozonides are assembled via a catalyst-free three-component condensation of 1,5-diketones with hydrogen peroxide and an NH-group source such as aqueous ammonia, ammonium acetate, formate, or carbonate. This approach opens synthetic access to bridged azaozonides with various functional groups such as alkene, alkyne, ester, and cyano. The synthesis is readily scalable and opens practical access to gram quantities of the target azaozonides. The azaozonides can be stored at room temperature for several months without decomposition. They were isolated by ordinary column chromatography and fully characterized by NMR spectroscopy, mass spectrometry, X-ray, and elemental analysis. The first rearrangement in the class of aminoperoxides with preservation of the peroxide group was discovered. The stability of azaozonides was examined under acidic and basic conditions. The approach to azaozonides disclosed in this work makes this field of peroxide chemistry readily available for further exploration.

Computational analysis reveals that $n_N \rightarrow \sigma^*_{C-O}$ hyperconjugation is the key factor making NH a more favorable neighbor for the peroxide group than the oxygen atom, making azaozonides thermodynamically preferable over ozonides. The significant imbalance in the magnitude of $n_N \rightarrow \sigma^*_{C-O}$ and $n_O \rightarrow \sigma^*_{C-N}$ interactions between the amino and the peroxide groups is responsible for the unusually low basicity and nucleophilicity of azaozonides. This imbalance is amplified by the inverse α -effect, an important factor in peroxide reactivity. A combination of control experimental and computational analysis identified plausible mechanisms of the assembly and rearrangement of azaozonides. Interestingly, the two processes include the same intermediates: the rearrangement is driven by a difference in the kinetic and thermodynamic ratios of the azaozonide diastereomers.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.1c02249>.

Experimental details, characterization data, and quantum chemical calculations (PDF)

Computational data (XYZ)

Accession Codes

CCDC 2052452–2052454 and 2059164 contain the supplementary crystallographic data for this paper. These data can be

obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Notes

The authors declare no competing financial interest.

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