

# On the Mechanism of the Oxygen Reduction Reaction on Au(poly) in Aqueous Alkaline Electrolytes: A Critical Reassessment

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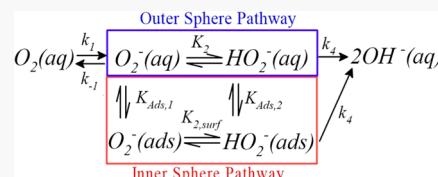
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**ABSTRACT:** A mechanism is herein proposed for the reduction of oxygen on polycrystalline Au in 0.1 M NaOH + 0.9 M NaClO<sub>4</sub> aqueous electrolytes, that assumes, in agreement with theoretical arguments put forward rather recently by Ignaczak et al. [Nano Energy 2016 26 558–564], formation of solution-phase superoxide, O<sub>2</sub><sup>−</sup>, as the initial, rate-determining step, followed by a reversible (Nernstian) one-electron reduction to yield solution-phase peroxide, HO<sub>2</sub><sup>−</sup>(aq), and not by a second-order dismutation of adsorbed O<sub>2</sub><sup>−</sup>, as has been postulated by other authors. Also considered in this model is the direct reduction of HO<sub>2</sub><sup>−</sup>(aq) and O<sub>2</sub>(aq) to generate OH<sup>−</sup>(aq). A detailed mathematical analysis of data collected with a rotating ring-disk electrode in O<sub>2</sub>-saturated and Ar-purged HO<sub>2</sub><sup>−</sup>(aq)-containing solutions made it possible to determine a unique set of kinetic rate constants for the various steps in the proposed mechanism over a wide potential range.



## INTRODUCTION

Despite decades of research, questions still remain unanswered regarding critical aspects of the oxygen reduction reaction (ORR) in aqueous electrolytes on a growing number of electrode materials, including polycrystalline and single crystal metals<sup>1,2</sup> and alloys, and functionalized carbon surfaces.<sup>3</sup> Decades ago, Zurilla et al.<sup>4</sup> examined the ORR on polycrystalline gold, Au(poly), in alkaline solutions, using rotating ring-disk electrode (RRDE) techniques. According to these authors, the experimental evidence collected was consistent with a mechanism involving an initial one-electron transfer to yield adsorbed superoxide ion, O<sub>2</sub><sup>−</sup>(ads), which, subsequently, undergoes heterogeneous dismutation generating solution-phase peroxide, HO<sub>2</sub><sup>−</sup>(aq) and O<sub>2</sub>(aq). The same mechanism was later invoked by Adžić et al.<sup>5</sup> for the ORR on Au(100), a surface that displayed extraordinary activity compared to other low index faces of Au. A few years later,<sup>6</sup> the Adžić group proposed a complex, albeit general scheme for the ORR involving seven different adsorbed species, either produced or consumed by one-electron transfer steps, two heterogeneous dismutation reactions, and a single solution-phase intermediate, namely, HO<sub>2</sub><sup>−</sup>(aq). Although consensus has been reached among most researchers in this area that the first and rate-determining step (RDS) of the ORR in alkaline media on many electrode materials is a one-electron transfer to yield superoxide ion, O<sub>2</sub><sup>−</sup>, whether this species remains in solution or undergoes adsorption on the electrode may still be regarded as a matter of debate. In particular, in a rather recent publication, Ignaczak et al.<sup>7</sup> put forward theoretical arguments that support the view that the initial RDS is actually of the outer-sphere type, generating a solvated O<sub>2</sub><sup>−</sup> species, i.e., O<sub>2</sub>(aq) + e<sup>−</sup>  $\xrightleftharpoons[k_{-1}]{k_1}$  O<sub>2</sub><sup>−</sup>(aq). Moreover, quantum mechanical

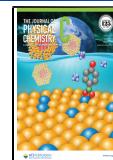
calculations reported by these authors provided strong evidence that the RDS is followed by yet another outer-sphere one-electron transfer to yield solvated peroxide ion, namely, O<sub>2</sub><sup>−</sup>(aq) + e<sup>−</sup> + H<sub>2</sub>O  $\xrightleftharpoons[k_{-2}]{k_2}$  HO<sub>2</sub><sup>−</sup>(aq) + OH<sup>−</sup>(aq), which, assuming six water molecules in the solvation sphere, yielded a barrier height of 0.06 eV. This value is low enough for the process to proceed very fast in both directions, and, thus, consistent with the experimentally observed onset potential observed on metals, such as Au and Ag.

This contribution reexamines the ORR on Au(poly) in 0.1 M NaOH + 0.9 M NaClO<sub>4</sub> aqueous solutions using RRDE techniques. Although our data are consistent with the one-electron reduction of O<sub>2</sub>(aq) as the ORR rate-determining step, we found no evidence for a second-order heterogeneous dismutation of O<sub>2</sub><sup>−</sup>(ads). In fact, O<sub>2</sub><sup>−</sup>(aq) seems to undergo a fast, subsequent one-electron reduction to yield HO<sub>2</sub><sup>−</sup>(aq), as proposed by Ignaczak et al.,<sup>7</sup> which can then be reduced to produce solution-phase hydroxyl ion, OH<sup>−</sup>(aq). Additional experiments carried out in N<sub>2</sub>-purged, HO<sub>2</sub><sup>−</sup>(aq)-containing solutions indicated that HO<sub>2</sub><sup>−</sup>(aq) oxidation proceeds via first-, and not half-order kinetics, as previously reported in the literature.<sup>4,5</sup> Also considered in the ORR mechanism proposed herein is the possibility of a direct 4e<sup>−</sup> reduction of O<sub>2</sub>(aq) to yield solution-phase hydroxyl ions. A quantitative analysis of all

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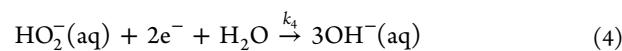
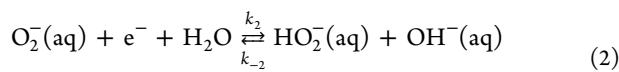
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of the experimental data we collected yielded potential-dependent values for the rate constants of the four redox reactions involved, which were found to be independent of the concentration of  $\text{HO}_2^-$ (aq). Also consistent with the experimental data was a mechanism in which the reduction of  $\text{O}_2^-$  proceeds via an inner-sphere pathway involving adsorbed species in equilibrium with their solution-phase counterparts. However, a critical assessment of the published spectroscopic data was not found to provide solid evidence for the presence of such adsorbed species.

## METHODS

Solutions of 0.1 M NaOH in 0.9 M  $\text{NaClO}_4$  were prepared with  $\text{HClO}_4$  (Sigma-Aldrich, 67–72%, TraceSelect) and NaOH (Honeywell, Semiconductor Grade, 99.99% metal basis) mixed in appropriate quantities, using ultrapure water from a Barnstead NANOpure Diamond water purification system. For the ORR measurements, oxygen (Airgas, 99.999%) was bubbled through the electrolyte for a minimum of 10 min before each experiment, or until the  $\text{O}_2$  reduction currents became time-independent. Additional experiments were performed in nitrogen (Airgas, 99.998%)-purged hydrogen peroxide (Fisher, 30%, Certified ACS) solutions. A Pine ChangeDisk Au/Au RRDE rotated with a Pine Analytical Rotator driven by a Pine MSRX Speed Controller was used as the working electrode. The geometric area of the Au disk was  $0.196 \text{ cm}^2$ . A graphite rod was used as a counter electrode, and a home-made hydrogen bubble reversible hydrogen electrode (RHE)<sup>8</sup> was used as a reference electrode. All cell components were made of glass or Teflon and cleaned by soaking overnight in piranha solution (10:1 concentrated  $\text{H}_2\text{SO}_4$  (Fisher, Certified ACS Plus) to 30% aqueous  $\text{H}_2\text{O}_2$ ) followed by alternatively rinsing and boiling in ultrapure water (4–5 times each). Piranha solution is highly corrosive and oxidizing and, thus, care must be exercised during its preparation and handling. The thiol-modified Au ring electrode was prepared by removing the Au disk from the Au/Au RRDE and replacing it with a Teflon insert. This assembly was then immersed into a 10 mM solution of 3-mercaptopropanol (3M1P, Aldrich, 95%) in ethanol (Decon Laboratories Inc., 200 proof) for a minimum of 12 h. Following rinsing with ultrapure water, the Teflon insert was replaced by the (bare) Au disk. All electrochemical experiments were carried out with a Pine RDE 3 potentiostat.

**Mechanistic Considerations.** The reaction mechanism considered herein, shown schematically in [eqs 1–4](#), was proposed by Ignaczak et al.<sup>7</sup> based on their theoretical calculations. As indicated, the first, and rate-determining step, [eq 1](#), involves an outer-sphere one-electron transfer to yield solution-phase superoxide ion,  $\text{O}_2^-$ (aq), which is then followed by a fast and reversible one-electron transfer to generate  $\text{HO}_2^-$ (aq), [eq 2](#). Also included in this scheme are two additional highly irreversible reactions, i.e., the four-electron reduction of  $\text{O}_2$ , [eq 3](#), and the two-electron reduction of  $\text{HO}_2^-$ (aq), [eq 4](#), which yield, in both cases,  $\text{OH}^-$ (aq) as the product.



This scheme neglects the possible homogeneous dismutation of  $\text{O}_2^-$ (aq), as its rate, under the conditions selected for the experiments herein described, is too small for this reaction to proceed to any significant extent during the transit time from the disk to the ring.<sup>9</sup> It should be emphasized that both solution-phase intermediates,  $\text{O}_2^-$ (aq), and  $\text{HO}_2^-$ (aq), would be oxidized on a conventional Au ring; however, functionalization of Au with a monolayer of 3M1P imparts the electrode extraordinary specificity for  $\text{O}_2^-$ (aq), oxidation, while remaining impervious to the presence of  $\text{HO}_2^-$ (aq),<sup>9,10</sup> as illustrated in our recent studies of the ORR on glassy carbon electrodes in our laboratories.

If one assumes that the superoxide intermediate is strictly in solution, i.e.,  $\text{O}_2^-$ (aq), reactions in [eqs 1](#) and [2](#) above represent a special case of a more general mechanism analyzed in detail by Bard and Faulkner,<sup>11</sup> namely



where, in our case, there is no step (5), i.e.,  $n' = 0$ , [eq 6](#) is the RDS, and [eq 7](#) is a following kinetically reversible one-electron transfer,  $n'' = 1$ , assumed to be in equilibrium. [Equations 6](#) and [7](#) are, respectively, analogous to the processes in [eqs 1](#) and [2](#) above. The analysis outlined here is applicable to the case where  $\text{O}_2^-$  is only present in solution. Under these conditions, the current associated with this scheme may be shown to be given by<sup>11</sup>

$$i = FAnk_{\text{RDS}}^0 [C_{\text{O}'}(0, t) e^{-\alpha f(E - E_{\text{RDS}}^0)} - C_{\text{R}'}(0, t) e^{(1-\alpha)f(E - E_{\text{RDS}}^0)}] \quad (8)$$

where  $C_{\text{X}}(0, t)$  is the concentration of  $\text{X} = \text{O}'$  and  $\text{R}'$  in a region immediately adjacent to the electrode surface at a time  $t$ , and  $k_{\text{RDS}}^0$ ,  $\alpha$ , and  $E_{\text{RDS}}^0$  are, respectively, the standard kinetic rate constant, transfer coefficient, and formal redox potential of the RDS,  $f = F/RT$ , and  $n = n' + n'' + 1$ . As shown by Bard and Faulkner, [eq 8](#) can be expressed in terms of the concentrations of O and R, namely

$$i = FAnk_{\text{RDS}}^0 [C_{\text{O}}(0, t) e^{-n'f(E - E_{\text{pre}}^0)} e^{-\alpha f(E - E_{\text{RDS}}^0)} - C_{\text{R}}(0, t) e^{n''f(E - E_{\text{post}}^0)} e^{(1-\alpha)f(E - E_{\text{RDS}}^0)}] \quad (9)$$

The symbols  $E_{\text{pre}}^0$  and  $E_{\text{post}}^0$  in this equation represent the formal redox potentials of the reactions that precede, pre, and follow, post, the RDS, respectively. When applied to the mechanism for the ORR herein proposed, i.e., [eqs 1](#) and [2](#) above, i.e.,  $n' = 0$ ,  $n'' = 1$ ,  $n = 2$ ; hence, [eq 8](#) can be written as

$$i = -2FAk_1^0 ([\text{O}_2]_s e^{-\alpha f(E - E_1^0)} - [\text{O}_2^-]_s e^{(1-\alpha)f(E - E_1^0)}) \quad (10)$$

where  $E_{\text{RDS}}^0$  in [eq 9](#) is now defined as  $E_1^0$ , and, in anticipation of the data analysis to follow,  $C_{\text{O}}(0, t)$  and  $C_{\text{R}}(0, t)$  have been replaced by their specific steady-state equivalents at the electrode surface,  $[\text{O}_2]_s$  and  $[\text{O}_2^-]_s$ , respectively. In addition, we have inverted the sign of the currents in [eq 10](#) to conform to the standard notation in physical electrochemistry. As our

**Table 1.** Disk Current and Mass Balance Equations for the Reduction of Dioxygen and Hydrogen Peroxide in Alkaline Solution

$$\begin{aligned}
 i_{\text{disk}, \text{O}_2} &= 2FA\{(k_{-12} - k_4)[\text{HO}_2^-]_{\text{S}, \text{O}_2} - (2k_3 + k_{12})[\text{O}_2]_{\text{S}, \text{O}_2}\} \\
 -\frac{D_{\text{O}_2}([\text{O}_2]_{\text{S}, \text{O}_2} - [\text{O}_2]_{\text{B}})}{\delta_{\text{O}_2}} - (k_3 + k_{12})[\text{O}_2]_{\text{S}, \text{O}_2} + k_{-12}[\text{HO}_2^-]_{\text{S}, \text{O}_2} &= 0 \\
 -\frac{D_{\text{HO}_2}[\text{HO}_2^-]_{\text{S}, \text{O}_2}}{\delta_{\text{HO}_2}} + k_{12}[\text{O}_2]_{\text{S}, \text{O}_2} - (k_4 + k_{-12})[\text{HO}_2^-]_{\text{S}, \text{O}_2} &= 0 \\
 k_{12} &= k_{\text{eff}, 12}^0 e^{-\alpha f(E - E_{12}^0)} \\
 i_{\text{disk}, \text{HO}_2^-} &= 2FA\{(k_{-12} - k_4)[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-} - (2k_3 + k_{12})[\text{O}_2]_{\text{S}, \text{HO}_2^-}\} \\
 -\frac{D_{\text{HO}_2}([\text{HO}_2^-]_{\text{S}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{B}})}{\delta_{\text{HO}_2^-}} + k_{12}[\text{O}_2]_{\text{S}, \text{HO}_2^-} - (k_4 + k_{-12})[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-} \\
 &= 0 \\
 -\frac{D_{\text{O}_2}[\text{O}_2]_{\text{S}, \text{HO}_2^-}}{\delta_{\text{O}_2}} - (k_3 + k_{12})[\text{O}_2]_{\text{S}, \text{HO}_2^-} + k_{-12}[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-} &= 0 \\
 k_{-12} &= k_{\text{eff}, 12}^0 e^{(2-\alpha)f(E - E_{12}^0)}
 \end{aligned}$$

**Table 2.** Algebraic Expressions for the Four Rate Constants Associated with the Proposed ORR Mechanism

$$\begin{aligned}
 k_3 &= \frac{D_{\text{O}_2}(2FAD_{\text{HO}_2}[\text{HO}_2^-]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{O}_2} - \delta_{\text{HO}_2}([\text{HO}_2^-]_{\text{S}, \text{O}_2}i_{\text{disk}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}i_{\text{disk}, \text{O}_2}))}{2FAD_{\text{HO}_2}[\text{HO}_2^-]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{O}_2}\delta_{\text{O}_2} - 4FAD_{\text{O}_2}[\text{O}_2]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}\delta_{\text{HO}_2^-} + ([\text{HO}_2^-]_{\text{S}, \text{O}_2}i_{\text{disk}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}i_{\text{disk}, \text{O}_2})\delta_{\text{HO}_2^-}\delta_{\text{O}_2}} \\
 k_{12} &= -\frac{4FAD_{\text{HO}_2}D_{\text{O}_2}[\text{HO}_2^-]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{O}_2}}{2FAD_{\text{HO}_2}[\text{HO}_2^-]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{O}_2}\delta_{\text{O}_2} - 4FAD_{\text{O}_2}[\text{O}_2]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}\delta_{\text{HO}_2^-} + ([\text{HO}_2^-]_{\text{S}, \text{O}_2}i_{\text{disk}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}i_{\text{disk}, \text{O}_2})\delta_{\text{HO}_2^-}\delta_{\text{O}_2}} \\
 k_{-12} &= \frac{-D_{\text{O}_2}[\text{O}_2]_{\text{B}}i_{\text{disk}, \text{HO}_2^-}\delta_{\text{HO}_2^-} - 2FAD_{\text{HO}_2}D_{\text{O}_2}[\text{O}_2]_{\text{B}}([\text{HO}_2^-]_{\text{B}} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-})}{2FAD_{\text{HO}_2}[\text{HO}_2^-]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{O}_2}\delta_{\text{O}_2} - 4FAD_{\text{O}_2}[\text{O}_2]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}\delta_{\text{HO}_2^-} + ([\text{HO}_2^-]_{\text{S}, \text{O}_2}i_{\text{disk}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}i_{\text{disk}, \text{O}_2})\delta_{\text{HO}_2^-}\delta_{\text{O}_2}} \\
 k_4 &= -\frac{D_{\text{HO}_2}[\text{HO}_2^-]_{\text{B}}i_{\text{disk}, \text{O}_2}\delta_{\text{O}_2} - D_{\text{O}_2}[\text{O}_2]_{\text{B}}i_{\text{disk}, \text{HO}_2^-}\delta_{\text{HO}_2^-} + D_{\text{HO}_2}\delta_{\text{O}_2}([\text{HO}_2^-]_{\text{S}, \text{O}_2}i_{\text{disk}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}i_{\text{disk}, \text{O}_2}) + 2FAD_{\text{HO}_2}D_{\text{O}_2}[\text{O}_2]_{\text{B}}([\text{HO}_2^-]_{\text{B}} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-})}{2FAD_{\text{HO}_2}[\text{HO}_2^-]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{O}_2}\delta_{\text{O}_2} - 4FAD_{\text{O}_2}[\text{O}_2]_{\text{B}}[\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}\delta_{\text{HO}_2^-} + ([\text{HO}_2^-]_{\text{S}, \text{O}_2}i_{\text{disk}, \text{HO}_2^-} - [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-}i_{\text{disk}, \text{O}_2})\delta_{\text{HO}_2^-}\delta_{\text{O}_2}} \\
 [\text{HO}_2^-]_{\text{S}, \text{O}_2} &= \frac{i_{\text{ring}, \text{O}_2}\delta_{\text{HO}_2^-}}{2FAND_{\text{HO}_2^-}} \quad [\text{HO}_2^-]_{\text{S}, \text{HO}_2^-} = \frac{(i_{\text{ring}, \text{HO}_2^-} - i_{\text{ring}, \text{HO}_2^-}^{E_{\text{ring}, \text{HO}_2^-} = 1.15 \text{ V}})\delta_{\text{HO}_2^-}}{2FAND_{\text{HO}_2^-}}
 \end{aligned}$$

mechanism prescribes, the  $\text{O}_2^-(\text{aq})|\text{HO}_2^-(\text{aq})$  redox couple (see eq 2) is very fast; hence, the potential can be related to their interfacial activities via the Nernst equation, i.e.,  $E = E_2^0 - \frac{1}{f} \ln \left( \frac{a_{\text{HO}_2^-, \text{S}} \times a_{\text{OH}^-, \text{S}}}{a_{\text{O}_2^-, \text{S}}} \right)$ , which, to a very good degree of approximation under the conditions of our experiments, can be expressed as  $E = E_2^0 - \frac{1}{f} \ln \frac{[\text{HO}_2^-]_{\text{S}}}{[\text{O}_2]_{\text{S}}}$ , where  $E_2^0 = E_2^0 - \frac{1}{f} \ln a_{\text{OH}^-, \text{S}} - \frac{1}{f} \ln \frac{\gamma_{\text{HO}_2^-}^{\text{S}}}{\gamma_{\text{O}_2^-}^{\text{S}}}$  is the formal potential of the redox couple.<sup>12</sup> On this basis, eq 10 can be shown to be given by

$$i = -2Fk_1^0([\text{O}_2]_{\text{S}}e^{-\alpha f(E - E_1^0)} - [\text{HO}_2^-]_{\text{S}}e^{f(E - E_2^0)}e^{(1-\alpha)f(E - E_1^0)}) \quad (11)$$

which is analogous to eq 9, keeping in mind that  $n' = 0$ ,  $n'' = 1$ , and  $n = 2$ . It is interesting to note that the exponentials of the second term in parentheses in eq 11 can be written as  $e^{-fE_2^0 - (1-\alpha)fE_1^0}e^{(2-\alpha)fE}$ . Hence, the oxidation rate constant will scale as  $e^{(2-\alpha)fE}$ , while the reduction rate constant will scale as  $e^{-\alpha fE}$ . Clearly, the oxidation rate constant will increase much faster with increasing  $E$  than the reduction rate constant will with decreasing  $E$ . This clearly illustrates that for a multistep process, such as the one being herein considered, the current potential behavior can seldom be expressed as a conventional Butler–Volmer expression, where the transfer coefficients are  $\alpha$  and  $(1 - \alpha)$ , a fact that has been emphasized by Bard and Faulkner.<sup>11</sup>

A particularly appealing form of eq 11 can be obtained by selecting the formal redox potential of the  $\text{O}_2(\text{aq})|\text{HO}_2^-(\text{aq})$  redox couple, i.e.,  $\frac{1}{2}(E_1^0 + E_2^0)$ , to be denoted hereafter as  $E_{12}^0$ ,

as a reference potential common to both the reduction and oxidation branches, namely

$$\begin{aligned}
 i &= -2FAk_{\text{eff}, 12}^0[[\text{O}_2]_{\text{S}}e^{-\alpha f(E - E_{12}^0)} - [\text{HO}_2^-]_{\text{S}}e^{(2-\alpha)f(E - E_{12}^0)}] \\
 &= -2FA\{k_{12}[\text{O}_2]_{\text{S}} - k_{-12}[\text{HO}_2^-]_{\text{S}}\} \quad (12)
 \end{aligned}$$

The term  $k_{\text{eff}, 12}^0 = k_1^0 \exp \left\{ \alpha f \left( \frac{E_1^0 - E_2^0}{2} \right) \right\}$  in this equation represents the unique potential-independent standard rate constant associated with the overall reduction process, which can be used to define potential-dependent rate constants for the reduction of  $\text{O}_2$  to  $\text{HO}_2^-$ ,  $k_{12} = k_{\text{eff}, 12}^0 e^{-\alpha f(E - E_{12}^0)}$ , and the oxidation of  $\text{HO}_2^-$  to  $\text{O}_2$ ,  $k_{-12} = k_{\text{eff}, 12}^0 e^{(2-\alpha)f(E - E_{12}^0)}$  (see right-hand side in eq 12). As will be shown, the analysis of the experimental data will make it possible to determine the values of both  $k_{12}$  and  $k_{-12}$ , and thus  $k_{\text{eff}, 12}^0$  and  $\alpha$ .

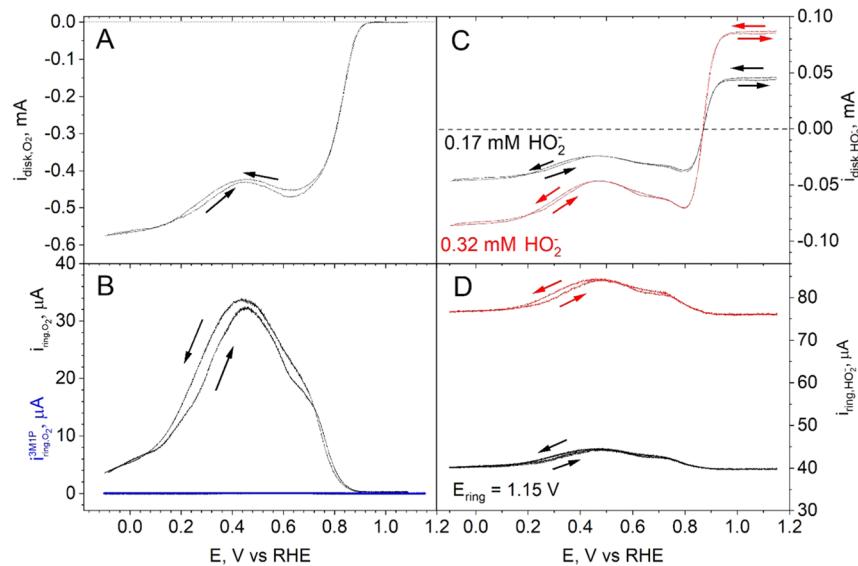
The value of  $E_2^0$  can be estimated based on  $[\text{OH}^-]$  and the standard redox potentials for<sup>13–15</sup>

$$\text{O}_2(\text{g}) + \text{e}^- \rightarrow \text{O}_2^-(\text{aq}); \quad E_A^0 = -0.33 \text{ V vs SHE} \quad (13)$$

$$\begin{aligned}
 \text{O}_2(\text{g}) + 2\text{e}^- + \text{H}_2\text{O} &\rightarrow \text{HO}_2^-(\text{aq}) + \text{OH}^-(\text{aq}); \\
 E_B^0 &= -0.076 \text{ V vs SHE} \quad (14)
 \end{aligned}$$

from which  $\text{O}_2^-(\text{aq}) + \text{e}^- + \text{H}_2\text{O} \rightarrow \text{HO}_2^-(\text{aq}) + \text{OH}^-(\text{aq})$ ;  $E_2^0 = 0.178 \text{ V vs SHE}$ .

Hence, for pH 13,  $E_2^0 = 0.945 \text{ V vs RHE}$ . Accounting for the contribution of  $[\text{OH}^-]$ , we can estimate  $E_2^0 = 1.004 \text{ V vs RHE}$ , assuming a negligible contribution from activity coefficients. Also of interest is the fact that the standard (not formal) potential for the aqueous oxygen/aqueous superoxide redox couple, listed in the literature, eq 13, is given in terms of the



**Figure 1.** (A) Dynamic polarization curves collected with the Au(poly) disk electrode of a Au(poly)|Au(poly) RRDE at a rotation rate  $\omega = 900$  rpm and a scan rate  $\nu = 20$  mV/s in O<sub>2</sub>-saturated 0.1 M NaOH + 0.9 M NaClO<sub>4</sub>, where the arrows indicate the direction of the scan. (B) Plots of  $i_{\text{ring},\text{O}_2}$  collected for  $E_{\text{ring}} = 1.15$  V while the curve in (A) was being recorded. The blue curve was collected with a 3M1P-functionalized Au ring polarized at  $E_{\text{ring}} = 0.9$  V under otherwise the same conditions. (C) Same as in (A) recorded in N<sub>2</sub>-saturated base electrolyte containing 0.17 mM (black curve) or 0.32 mM (red curve) HO<sub>2</sub><sup>-</sup>(aq). (D) Plots of  $i_{\text{ring},\text{HO}_2^-}$  collected with a bare Au ring polarized at  $E_{\text{ring}} = 1.15$  V while the data in (C) were being recorded. All of the data were collected at room temperature, i.e., 294.15 K.

activity of O<sub>2</sub> in the gas phase, and not as a solution-phase species. Once corrected for the solvation energy of O<sub>2</sub>(gas)<sup>13,14</sup> and converted to our RHE scale, so it is directly applicable to our experimental conditions, the value of the standard potential  $E_1^0$  may be shown to be 0.617 V vs RHE. Finally, the estimate for  $E_{12}^0$  (assuming the activity coefficients for the ions in question to be the mean activity coefficient for 1 M NaOH reported in the *CRC Handbook of Chemistry and Physics*,<sup>15</sup> i.e., 0.678) is 0.820 V vs RHE. This is the potential where the anodic and cathodic rate constants of eq 12,  $k_{12}$  and  $k_{-12}$ , are expected to be equal to one another and to  $k_{\text{eff},12}^0$ .

It should be emphasized that eq 12 only accounts for contributions to the current derived solely from the reactions in eqs 1 and 2, and, as such, should be added to those associated with the four-electron and two-electron irreversible reductions of O<sub>2</sub>(aq) and HO<sub>2</sub><sup>-</sup>(aq) (see eqs 3 and 4), to yield the total measured disk current,  $i_{\text{disk}}$ . Expressions for  $i_{\text{disk}}$  in O<sub>2</sub>-saturated,  $i_{\text{disk},\text{O}_2}$  and N<sub>2</sub>-purged HO<sub>2</sub><sup>-</sup>-containing solutions,  $i_{\text{disk},\text{HO}_2^-}$ , are given in the left and right top entries in Table 1, respectively. Also, directly beneath in this table are the interfacial mass balances of O<sub>2</sub>(aq) (left column) and HO<sub>2</sub><sup>-</sup>(aq) (right column) in the same two solutions, where  $\delta_X = \frac{1.61D_X^{1/3}\nu^{1/6}}{\omega^{1/2}}$ , X = O<sub>2</sub>, HO<sub>2</sub><sup>-</sup>, represents the thickness of the diffusion boundary layer;  $D_X$  is the diffusion coefficient of X;  $\nu$  is the kinematic viscosity of the solution, and  $\omega$  is the rotation rate of the electrode in radian/s. The six equations in Table 1 can be combined to yield explicit expressions for the four potential-dependent rate constants, i.e.,  $k_{12}$ ,  $k_{-12}$ ,  $k_3$ , and  $k_4$ , in terms of  $i_{\text{disk},X}$  and  $[\text{HO}_2^-]_{S,X}$ , X = O<sub>2</sub>, HO<sub>2</sub><sup>-</sup> (see Table 2), and other known parameters. Therefore, these rate constants can be calculated within the potential range, where  $i_{\text{disk},\text{O}_2}$ ,  $[\text{HO}_2^-]_{S,\text{O}_2}$ ,  $i_{\text{disk},\text{HO}_2^-}$ , and  $[\text{HO}_2^-]_{S,\text{HO}_2^-}$  can be measured.

The values of  $[\text{HO}_2^-]_S$  can be determined from  $i_{\text{ring},\text{O}_2}$ , the current flowing through the ring polarized at  $E_{\text{ring}} = 1.15$  V vs

RHE, a potential positive enough for the oxidation of HO<sub>2</sub><sup>-</sup>(aq) to proceed under strict diffusion control, i.e.,  $[\text{HO}_2^-]_{S,\text{O}_2} = \frac{i_{\text{ring},\text{O}_2}\delta_{\text{HO}_2^-}}{2FAND_{\text{HO}_2^-}}$  whereas those of  $[\text{HO}_2^-]_{S,\text{HO}_2^-}$  can be obtained from a shielding experiment, i.e.,  $[\text{HO}_2^-]_{S,\text{HO}_2^-} = \frac{(i_{\text{ring},\text{HO}_2^-} - i_{\text{ring},\text{HO}_2^-}^{E_{\text{ring}}=1.15\text{ V}})\delta_{\text{HO}_2^-}}{2FAND_{\text{HO}_2^-}}$ , where  $i_{\text{ring},\text{HO}_2^-}$  is the ring current recorded at  $E_{\text{ring}} = 1.15$  V.

## RESULTS AND DISCUSSION

Figure 1A shows dynamic polarization curves recorded with the Au disk of a Au(poly)|Au(poly) RRDE while scanning at a rate  $\nu = 20$  mV/s, at a rotation rate  $\omega = 900$  rpm in O<sub>2</sub>-saturated 0.1 M NaOH in 0.9 M NaClO<sub>4</sub> base electrolyte. The currents recorded with the concentric Au ring electrode polarized at  $E_{\text{ring}} = 1.15$  V, i.e.,  $i_{\text{ring},\text{O}_2}$ , while the Au(poly) disk was being scanned, are shown in Figure 1B. The blue curve in this panel was obtained under identical conditions, except that the Au(poly) ring was functionalized with a monolayer of 3M1P, a surface modification that imparts Au(poly) extraordinary specificity toward O<sub>2</sub><sup>-</sup>(aq) oxidation,<sup>9,10</sup> and polarized at  $E_{\text{ring}} = 0.90$  V. It should be mentioned that for  $E_{\text{ring}} > 0.90$  V, the thiol monolayer was found to oxidatively desorb from the electrode surface at increasing rates and, therefore, higher potentials were avoided. The fact that  $i_{\text{ring},\text{O}_2}$  was undetectable does not necessarily mean that O<sub>2</sub><sup>-</sup>(aq) is not involved in the ORR on Au(poly) under these experimental conditions. In fact, it is possible that O<sub>2</sub><sup>-</sup>(aq) undergoes reduction at very high rates, making its concentration too small to be detected. For example, if we assume the reaction in eq 2 to be reversible, i.e., always at equilibrium, the concentration of O<sub>2</sub><sup>-</sup>(aq) at the electrode surface, based on the corresponding Nernst equation and the experimentally measured  $[\text{HO}_2^-]_{S,\text{O}_2}$ , would be below 0.1  $\mu\text{M}$  in the potential range of interest, generating an  $i_{\text{ring}}$  of ca. 6 pA, and thus too small to be

measured with our current instrumentation. Alternatively,  $\text{O}_2^-$  may actually be adsorbed and not in solution. In fact, as discussed in a subsequent section, the Butler–Volmer equations that would govern the reaction kinetics would be indistinguishable, regardless of whether  $\text{O}_2^-$  is in solution or adsorbed, as long as reaction 2 is very fast.

Plots of  $i_{\text{disk},\text{HO}_2^-}$  and  $i_{\text{ring},\text{HO}_2^-}$  vs  $E$  collected in  $\text{N}_2$ -purged base electrolyte containing either 0.17 mM (black) or 0.32 mM (red)  $\text{HO}_2^-$ (aq) are shown in Figure 1C,D. The overall shape of the curves in Figure 1A,C are very similar to those reported by Srejic et al.<sup>17</sup> at the same pH, including the hump maxima at about 0.3 V more negative to the onset of  $\text{O}_2$  reduction in (panel A), and that at ca. 0.2 V negative to the onset of  $\text{HO}_2^-$ (aq) reduction (panel C). Additional small differences in the curves may be ascribed to details in surface preparation, as demonstrated by Srejic et al.<sup>17</sup> and Paleteiro.<sup>18</sup> Note that the values of the limiting currents for the oxidation ( $E = 1.15$  V vs RHE) and reduction ( $E = -0.1$  V vs RHE) of  $\text{HO}_2^-$ (aq) are not only virtually identical for a given concentration, but the ratios for the two concentrations are in excellent agreement with the relative values of  $[\text{HO}_2^-]$  in these two experiments.

As explained above, the values of  $i_{\text{disk},\text{O}_2}$ ,  $i_{\text{ring},\text{O}_2}$ ,  $i_{\text{disk},\text{HO}_2^-}$ , and  $i_{\text{ring},\text{HO}_2^-}$  in Figure 1 were then used with the expressions in Table 2 and the parameters listed in Table 3 to generate plots

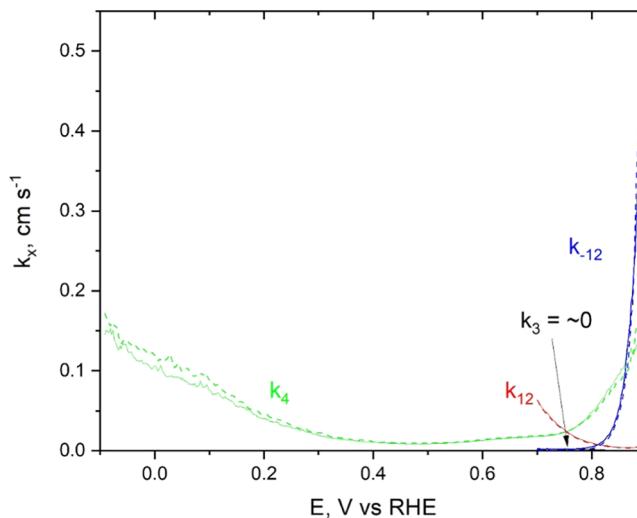
**Table 3. List of Parameters and Their Values**

symbol	value	meaning
$[\text{OH}^-]$	0.1 M	concentration of $\text{OH}^-$
$N$	0.21	collection efficiency of the RRDE assembly
$D_{\text{O}_2}$	$1.8 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$	diffusion coefficient of $\text{O}_2$ (aq) <sup>35</sup>
$D_{\text{HO}_2^-}$	$1.3 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$	diffusion coefficient of $\text{HO}_2^-$ (aq) <sup>36</sup>
$\omega$	900 rpm (94 rad $\text{s}^{-1}$ )	rotation rate of the RRDE
$\nu$	$0.01 \text{ cm}^2 \text{ s}^{-1}$	kinematic viscosity of water
$T$	294.15 K	absolute temperature
$[\text{O}_2]_{\text{B}}$	0.885 mM <sup>1</sup>	bulk concentration of $\text{O}_2$ in $\text{O}_2^-$ -containing solutions
$[\text{HO}_2^-]_{\text{B}}$	0.170 or 0.315 mM	bulk concentration of $\text{HO}_2^-$ in $\text{HO}_2^-$ -containing solutions
$A$	0.196 $\text{cm}^2$	cross-sectional area of the disk electrode

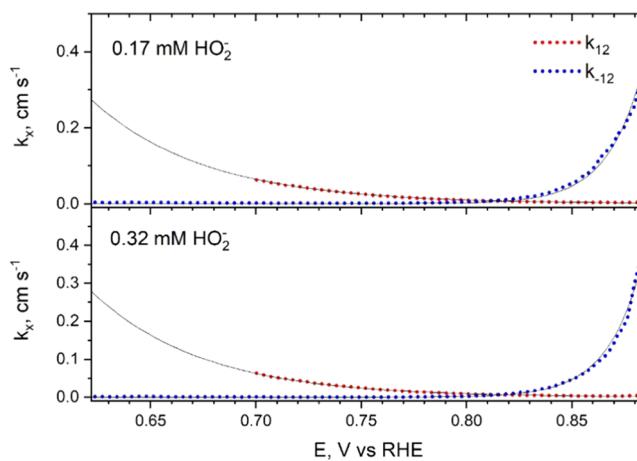
<sup>1</sup>See Appendix B for a detailed determination of  $[\text{O}_2]_{\text{B}}$  from the experimental data.

of the four rate constants as a function of potential. These are displayed in Figure 2 for data collected in  $\text{O}_2$ -purged solution and  $\text{N}_2$ -purged 0.17 mM  $\text{HO}_2^-$ (aq) (see solid lines) or 0.34 mM  $\text{HO}_2^-$ (aq) (see dotted lines) solutions. As indicated, both sets yielded virtually identical results, affording strong evidence that the rate constants are independent of the concentration of  $\text{HO}_2^-$ (aq) in solution, and, thus, consistent with the mechanism for the ORR herein presented.

As predicted by the Butler–Volmer model,  $k_{-12}$ , the rate constant for  $\text{HO}_2^-$ (aq) oxidation (see Table 1), shows a much steeper increase with  $E - E_{12}^{0'}$  compared to  $k_{12}$ , the corresponding rate constant for the 2 e<sup>-</sup> reduction of  $\text{O}_2$ (aq). The potential at which  $k_{12}$  and  $k_{-12}$  cross,  $E = 0.818$  V, shown more clearly in Figure 3, matches very closely the estimate for the formal potential,  $E_{12}^{0'} = 0.820$  V, for each data set, lending strong support to the mechanism herein proposed. As should be evident from the expressions in Table 1,  $k_{12}$  and



**Figure 2.** Plots of  $k_{12}$  (red),  $k_{-12}$  (blue),  $k_3$  (black), and  $k_4$  (green) as a function of potential determined from the expressions in Table 2. The rate constants were calculated using  $i_{\text{disk}}$  and  $i_{\text{ring}}$  collected in  $\text{O}_2$ -saturated solutions, and Ar-purged 0.17 mM  $\text{HO}_2^-$ (aq) (solid line) or 0.32 mM  $\text{HO}_2^-$ (aq) (dashed) solutions (see text for details). Note that rate constants are only shown at potentials where the  $\text{O}_2$ (aq) and  $\text{HO}_2^-$ (aq) surface concentrations at the disk are greater than 2% of their corresponding bulk concentrations, and  $i_{\text{disk},\text{O}_2}$  is more negative than  $-5 \mu\text{A}$ .



**Figure 3.** Rate constant data from Figure 2 in an expanded potential region around the crossing point of  $k_{12}$  (red) and  $k_{-12}$  (blue). The black solid lines represent the best fits assuming the rate constants to be of the form shown in the last row, Table 1.

$k_{-12}$  should both equal  $k_{\text{eff},12}^0$  when  $E = E_{12}^{0'}$ . The solid black lines in Figure 3 are the best fits to the experimental data, assuming the rate constants are of the form specified in the last row in Table 1, which yielded values of  $k_{\text{eff},12}^0$  and  $\alpha$  extracted from the two independent set of experimental results within a few percent of one another (see Table 4), as would be required for the current to be accurately described by eq 12. Moreover, the fact that  $k_3$  is virtually negligible over the potential range examined (see Figure 2) is in agreement with the reports of Wroblowa et al.,<sup>19</sup> who based their conclusions on plots of  $i_{\text{disk}}/i_{\text{ring}}$  vs  $\omega^{1/2}$  slopes vs their intercepts (or J–S plots), which yielded for Au in 2 M KOH, a zero intercept, consistent with  $k_3 = 0$ . Zurilla et al.<sup>4</sup> found that  $k_4$ , the rate constant for  $\text{HO}_2^-$ (aq) reduction over the range 0.75 V (just negative to

**Table 4. Best-Fit Values for  $k_{12}$  and  $k_{-12}$  Assuming the Rate Constants to Be of the Form Specified in the Last Row, Table 1, and  $E_{12}' = 0.818$  V vs RHE**

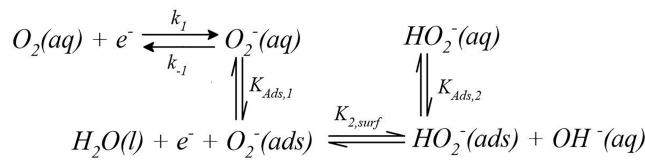
rate constant	$[O_2]_B$ (mM)	$[HO_2^-]_B$ (mM)	$k_{eff,12}^0$ (cm s <sup>-1</sup> )	$\alpha$
$k_{12}$	0.885	0.17	0.00721	0.474
	0.885	0.32	0.00681	0.505
$k_{-12}$	0.885	0.17	0.00715	0.484
	0.885	0.32	0.00659	0.491
average			$0.00694 \pm 0.00029$	$0.49 \pm 0.01$

the onset of the reaction) down to 0.2 V vs H/β-Pd, was relatively small, i.e., ca. 0.01 cm/s, and displayed hardly any potential dependence. This observation led these authors to conclude that the rate-controlling step, and any step preceding it, did not involve electron transfer. However, as clearly indicated by our results in Figure 2 (see green curve), the rate constant does increase rather markedly as the potential is extended down to negative values. Interestingly, the rate constant also increases for  $E > 0.7$  V vs RHE, an effect also observed for Au(100) which has been ascribed either to enhanced  $HO_2^-$ (aq) adsorption with increasing potential,<sup>20</sup> ease of formation of catalytically active OH(ads),<sup>5</sup> or surface reconstruction.<sup>21</sup>

Past work by Zurilla et al.<sup>4</sup> and Adžić et al.<sup>5</sup> reported a reaction order of 1/2 for  $HO_2^-$ (aq) during its oxidation at polycrystalline Au and Au(100), respectively. Our own work has revealed a reaction order of 1. To clarify this inconsistency, we attempted to recalculate the reaction orders published by Zurilla et al.<sup>4</sup> and Adžić et al.<sup>5</sup> using their own data. Details regarding our analysis of their data are provided in Appendix A. As shown therein, the reaction orders obtained using digitization methods to extract relevant values from their dynamic polarization curves were found, with only one exception, to deviate significantly from 0.5. We have not been able to determine the source of this discrepancy.

**On the Possible Involvements of Adsorbed Species in the ORR in Aqueous Electrolytes.** The reaction mechanism considered in the previous section regarded  $O_2^-$  generated in the first and rate-determining step, eq 1, as a strictly solution-phase species. However, the experimentally observed kinetics are consistent with any reaction scheme that includes a fast, reversible  $O_2^-$ (aq)/ $HO_2^-$ (aq) interconversion. In other words, the single reaction in eq 2, assumed to be outer sphere, can instead be reexpressed as a series of fast, reversible reactions which provide a pathway from  $O_2^-$ (aq) to  $HO_2^-$ (aq), leading to a Butler–Volmer equation of a form indistinguishable from that shown in the previous section, i.e., eq 12. An example of one such mechanism is shown in Scheme 1, which features three fully reversible reactions following the rate-determining step, namely, adsorption of  $O_2^-$ ,  $O_2^-$ (ads)/ $HO_2^-$ (ads) interconversion, which itself may be composed of

**Scheme 1. Alternate Mechanism for 2 e<sup>-</sup> ORR on Au(poly) Surface Involving Adsorbed Intermediates**



several elementary steps, and desorption of  $HO_2^-$ (ads). The adsorption equilibria are thought to play a role, since  $O_2^-$ (aq) and  $HO_2^-$ (aq) are expected to adsorb at potentials positive of the PZC as numerous anions do.

A number of authors have attempted to unveil the nature of the intermediates in the ORR on Au electrodes in aqueous electrolytes using vibrational spectroscopies. A brief summary of the information so far reported, mostly in alkaline solutions, is provided in the next section.

**In Situ Vibrational Spectroscopy for the ORR on Au(poly) in Alkaline Solutions.** As early as 2005, Shao and Adžić<sup>22</sup> reported in situ potential difference (PD) attenuated total reflection (ATR)-surface-enhanced infrared absorption spectroscopy (SEIRAS) data collected from a Au thin-film electrode in  $O_2$ -saturated acidic and alkaline solutions as a function of the applied potential,  $E$ . Spectra recorded by these authors in 0.1 M  $NaClO_4$  (pH 9,  $E_{ref} = 0.4$  V vs Ag/AgCl) revealed the presence of a band at  $\tilde{\nu} = 1268$  cm<sup>-1</sup> in the potential range where the ORR was observed, i.e.,  $0.1 \leq E \leq -0.6$  V vs Ag/AgCl or  $0.322 \leq E \leq -0.378$  V vs SHE. The integrated intensity,  $A_{int}$ , of this feature was found to increase as  $E$  shifted in the negative direction reaching a maximum at  $E = 0.022$  V vs SHE and then decreasing gradually at more negative values. Interestingly, this band disappeared upon replacing water by  $D_2O$ , leading these authors to assign it to the antisymmetric bending mode of OOH of adsorbed  $HO_2^-$ ,  $HO_2^-$ (ads). Curiously, and in stark contrast to the earlier work of Brooker et al.,<sup>23</sup> Shao and Adžić did not observe any peaks attributable to  $O_2^-$ . A few years later, Kim and Gewirth,<sup>24</sup> detected a peak at 1150 cm<sup>-1</sup> in the in situ surface-enhanced Raman scattering, SERS, spectra ( $\lambda_{exc} = 668$  nm) collected with a roughened Au electrode in  $O_2$ -saturated 0.1 M NaOH over the same potential range, the  $A_{int}$  of which increased monotonically as  $E$  was shifted negatively. In direct analogy to the earlier work of Hunter-Saphir and Creighton<sup>25</sup> with solid  $KO_2$  and  $CsO_2$  salts, they ascribed this feature to the O–O stretching mode of  $HO_2^-$ (ads). Note that the corresponding mode for (neutral) dioxygen<sup>26</sup> occurs at 1556 cm<sup>-1</sup>, which is significantly higher. Also found by Kim and Gewirth was a peak at 820 cm<sup>-1</sup>, which they attributed to a Au–OH bending mode. Finally, no evidence was obtained for the presence of  $HO_2^-$ (ads), as no features could be discerned in their in situ SERS spectra of 10 mM  $H_2O_2$  solutions in 0.1 M NaOH over the range 750–900 cm<sup>-1</sup>. However, these authors did observe a peak at 1135 cm<sup>-1</sup>, which, although a bit lower than that found in  $O_2$ -purged solutions, is still within the region associated with  $O_2^-$ .

More recently, Yu et al.<sup>27</sup> reported in situ SERS spectra ( $\lambda_{exc} = 785$  nm) collected during the ORR on Au(poly) in acidic (0.1 M  $HClO_4$ ), neutral (0.1 M  $NaClO_4$ ), and basic (0.1 M KOH) aqueous solutions. In all cases, the spectra displayed two rather broad bands centered at  $\tilde{\nu} = 1130$  cm<sup>-1</sup> (peak 1) and 855 cm<sup>-1</sup> (peak 2) ascribed, respectively, to the O–O stretching mode of  $O_2^-$  and the O–O stretching mode of  $H_2O_2$ . In agreement with the findings of Kim and Gewirth, a clear increase in  $A_{int}$  for peak 1 was observed as  $E$  was scanned from the onset of the ORR toward negative values in basic solutions. However, and, in contrast to the earlier data,  $A_{int}$ (peak 1) reached a plateau for  $-0.02 \leq E \leq -0.22$  V vs SHE. Peak 2, on the other hand, was very close to that reported earlier by Li and Gewirth<sup>28</sup> ( $\lambda_{exc} = 668$  nm,  $\tilde{\nu} = 840$  cm<sup>-1</sup>) on Au(poly) in 0.1 M  $HClO_4$  containing 10 mM  $H_2O_2$ . However, the latter authors failed to observe any bands in the

region  $\tilde{\nu} = 750$  and  $900\text{ cm}^{-1}$  in  $0.1\text{ M NaOH}$  containing  $10\text{ mM H}_2\text{O}_2$ . On this basis, and as pointed out by Kim and Gewirth, the  $820\text{--}840\text{ cm}^{-1}$  band cannot be attributed to  $\text{HO}_2^-(\text{ads})$ .

Later, Ohta et al.<sup>29</sup> reported the presence of two distinct features in the *in situ* (PD) SEIRAS ( $E_{\text{ref}} = 1.13\text{ V vs SHE}$ ) of Au(poly) films supported on a semicylindrical Si prism in  $\text{O}_2$ -saturated  $0.5\text{ M HClO}_4$  at  $1540\text{ cm}^{-1}$  (downward, broad) and  $1220\text{ cm}^{-1}$  (upward, sharp), which they attributed, respectively, to very weakly bound  $\text{O}_2$  and to adsorbed superoxo bound to the Au surface in an end-on configuration. The first of these features displayed a relatively large  $A_{\text{int}}$  at potentials,  $E$ , positive to the onset of  $\text{O}_2$  reduction,  $E_{\text{onset}}$  which decreased as  $E$  was scanned in the negative direction. In contrast,  $A_{\text{int}}$  for the second feature, was negligible for  $E > E_{\text{onset}}$  and increased monotonically as  $E$  was shifted negatively. The quantitative analysis of the spectral features presented by these authors, however, may be regarded as somewhat uncertain, due primarily to the presence of additional bands in the (PD) SEIRAS spectra, which included positive- and negative-pointing bands ascribed, respectively, to one of the modes of water close to  $1540\text{ cm}^{-1}$  and to perchlorate close to  $1220\text{ cm}^{-1}$ , which in combination yield bipolar features. Furthermore, a detailed examination of (PD) SEIRAS spectra recorded in Ar-purged solution also revealed the presence of a weak, but definitely clear feature at  $1540\text{ cm}^{-1}$ . More recently, Jusys and Behm<sup>30</sup> reported (PD) ATR-Fourier transform infrared (FTIR) spectra under forced convection in both acidic and basic electrolytes and detected what appeared to be a very weak band at  $1220\text{ cm}^{-1}$  in  $1.0\text{ M HClO}_4$  ( $E_{\text{ref}} = 0.6\text{ V vs SHE}$  in  $\text{O}_2$ -free solutions). Unfortunately, this band could not be clearly identified in otherwise identical measurements in  $1\text{ M NaOH}$  due to the presence of a very large feature found close to  $1200\text{ cm}^{-1}$  assigned in earlier work to a Si-O stretching mode.<sup>31</sup>

The experimentally observed potential dependence of  $A_{\text{int}}$  for the  $\tilde{\nu} = 1130\text{--}1150\text{ cm}^{-1}$  band, assigned to  $\text{O}_2^-(\text{ads})$ , seems at odds with information obtained from other spectroscopic and electrochemical measurements. In particular, *in situ* SERS spectra collected for roughened Au electrodes in deaerated  $1\text{ M KOH}$  solutions by Desilvestro and Weaver<sup>32</sup> ( $\lambda_{\text{exc}} = 647.1\text{ nm}$ ) showed that the peak at ca.  $400\text{ cm}^{-1}$ , attributed by these authors to  $\text{OH}^-(\text{ads})$ , shrinks as  $E$  decreases and virtually disappears for  $E < 0.044\text{ V vs SHE}$ . On this basis, it seems highly unlikely that a partially anionic species such as  $\text{O}_2^-(\text{ads})$  would remain adsorbed on the Au surface and even increase in coverage at  $0.4\text{ V}$  more negative than full  $\text{OH}^-$  desorption, as shown by Kim and Gewirth,<sup>24</sup> or reach a plateau for  $E < -0.02\text{ V vs SHE}$ , as found by Yu et al.<sup>27</sup> This argument is further reinforced by the fact that the surface concentration of  $\text{O}_2^-$  during the ORR cannot exceed the saturation concentration of  $\text{O}_2$  by much, i.e., ca.  $1.2\text{ mM}$ , which is nearly 3 orders of magnitude smaller than the hydroxyl concentration in  $1\text{ M KOH}$ . Moreover, the presence of  $\text{O}_2^-(\text{ads})$  at potentials where the current reaches diffusion-limited values for the  $2\text{ e}^-$  ORR,  $i_{\text{Levich},2\text{e}^-}$ , as reported by Kim and Gewirth,<sup>24</sup> and Yu et al.<sup>27</sup> is very difficult to rationalize, and, as such, its possible involvement as an intermediate in the ORR would seem very unlikely. It should be stressed, however, that although the existence of this spectral feature is not in question, the current spectroscopy literature for the ORR on Au provides what we interpret as being false-positive results for  $\text{O}_2^-(\text{ads})$  and  $\text{HO}_2^-(\text{ads})$  intermediates. This does not mean

that these species are not present, only that the spectroscopy experiments fail to afford compelling evidence for their involvement; hence, mechanisms with no adsorption and with adsorption are both viable.

## CONCLUDING REMARKS

Rotating ring-disk techniques have been employed to examine the kinetics of the oxygen reduction reaction, ORR, on Au(poly) in  $0.1\text{ M NaOH} + 0.9\text{ M NaClO}_4$  aqueous electrolytes containing oxygen in one case and peroxide in the other. The results obtained were found to be consistent with a mechanism proposed by Ignaczak et al.,<sup>7</sup> which assumes an initial outer-sphere one-electron transfer, as the rate-determining step to yield solution-phase superoxide,  $\text{O}_2^-(\text{aq})$ , which then undergoes a subsequent, fast, outer-sphere one-electron transfer, generating solution-phase peroxide,  $\text{HO}_2^-(\text{aq})$ . The results obtained were also found to be consistent with a mechanism in which  $\text{O}_2^-(\text{aq})$  and  $\text{HO}_2^-(\text{aq})$  were assumed to be in fast equilibrium with their corresponding adsorbed counterparts; however, a critical assessment of *in situ* vibrational spectroscopy data published in the literature raised questions regarding the assignment of the spectral features observed to  $\text{O}_2^-(\text{ads})$  and  $\text{HO}_2^-(\text{ads})$ .

## APPENDIX A

Zurilla et al.<sup>4</sup> assumed that the rate of oxidation of peroxide was of  $m$ th order in the concentration of solution-phase peroxide,  $[\text{HO}_2^-]_o$ , i.e.,

$$i = k[\text{HO}_2^-]_o^m \quad (\text{A1})$$

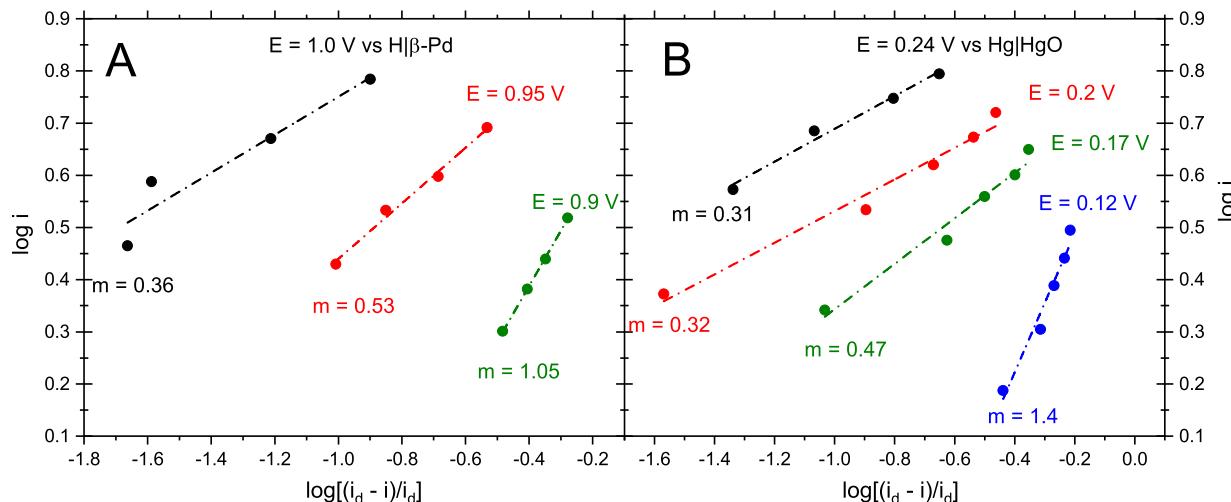
where  $k$  is the kinetic rate constant and the subscript o (in their notation) refers to the region immediate adjacent to the electrode surface. As pointed by these authors, eq A1 would be valid for data collected at sufficiently positive potentials so that the reverse reaction,  $\text{O}_2^-$  reduction to  $\text{HO}_2^-$ , could be neglected. According to fundamentals of the rotating disk electrode, for a fixed  $\omega$ ,  $[\text{HO}_2^-]_o$ , can be expressed in terms of the diffusion-limited current,  $i_d$ , and the concentration of peroxide in the bulk,  $[\text{HO}_2^-]_B$ , namely

$$[\text{HO}_2^-]_o = [\text{HO}_2^-]_B \frac{(i_d - i)}{i_d} \quad (\text{A2})$$

Although not explicitly stated by these authors, eq A2 assumes a negligible contribution to the current due to  $\text{HO}_2^-(\text{aq})$  reduction, an assertion that must be independently verified. Replacement of eq A2 into eq A1, followed by simple algebraic manipulations, may be shown to yield

$$\log i = \log k + m[\text{HO}_2^-]_B + m \log[(i_d - i)/i_d] \quad (\text{A3})$$

On this basis, a plot of  $\log i$  vs  $\log[(i_d - i)/i_d]$  should yield a straight line for which the slope would be  $m$ , the reaction order. Such behavior was indeed found by Zurilla et al.<sup>4</sup> for Au(poly) in  $0.1\text{ M NaOH}$ , based on polarization curves recorded in  $13.4\text{ mM H}_2\text{O}_2$  in  $0.1\text{ M NaOH}$  at various rotation rates (see Figure 6 in ref 4), which reportedly yielded values of  $m$  of 0.55 for  $E = 1.0$  and  $0.95\text{ V}$ , and  $m = 1$  for  $E = 0.90\text{ V}$  vs  $\text{H}/\beta\text{-Pd}$  (see Figure 7 in ref 4). We have digitized their dynamic polarization curves data and found the aforementioned plots to be linear; however, except for the data reported for  $E = 0.95\text{ V}$ , for which the slope was indeed ca. 0.5, and, thus, in agreement with their claim, the data for  $E = 1.0\text{ V}$  yielded a best-fit value for  $m$  of 0.36 (see panel A, Figure A1). This same overall tactic



**Figure A1.** Plots of  $\log i$  vs  $\log[(i_d - i)/i_d]$  based on dynamic polarization data reported by Zurilla et al.<sup>4</sup> for Au(poly) in 13.4 mM  $\text{H}_2\text{O}_2$  in 0.1 M NaOH (A), and that reported by Adžić et al.<sup>5</sup> for Au(100) in 8.5 mM  $\text{H}_2\text{O}_2$  in 0.1 M NaOH (B) for various values of  $E$  as specified. The corresponding linear fits are shown in dot-dash lines.

was employed by Adžić et al.<sup>5</sup> for the analysis of similar data collected for Au(100) in 8.5 mM  $\text{H}_2\text{O}_2$  in 0.1 M NaOH (panel B). Surprisingly, the values we obtained based on their data (see Figure 7 in ref 5) yielded slopes of ca. 0.32 and 0.31 for  $E = 0.20$  and  $E = 0.24$  V vs  $\text{Hg}|\text{HgO}$ ,  $\text{OH}^-$ , respectively (see panel B, Figure A1), and not 0.5 as reported by these authors (see Figure 10 in ref 5). From an overall perspective, this method can become unreliable for currents too close to the limiting current, as any small errors in  $i_d - i$  can cause corresponding large errors in  $\log[(i_d - i)/i_d]$ ; in other words, the currents are essentially mass transport-controlled in this regime and, as such, afford no kinetic information.

## APPENDIX B

The bulk concentration of oxygen,  $[\text{O}_2]_{\text{B}}$ , can be calculated from the ring and disk currents,  $i_{\text{ring}}$  and  $i_{\text{disk}}$ , respectively, at  $E_{\text{disk}} = -0.2$  V, in an  $\text{O}_2$ -containing solution (see Table 1), assuming that all incoming  $\text{O}_2$  is reduced fully to  $\text{OH}^-$ , or partway to  $\text{HO}_2^-$  (aq). Specifically, at potentials far negative to the onset of  $\text{HO}_2^-$  (aq) oxidation,  $k_{-12}$  can be neglected; hence, from the mass balance equation (Table 1), the expression for the concentration of  $\text{O}_2$  in solution in the immediate neighborhood of the electrode,  $[\text{O}_2]_{\text{S},\text{O}_2}$ , may be shown to be given by

$$[\text{O}_2]_{\text{S},\text{O}_2} = \frac{[\text{O}_2]_{\text{B}} D_{\text{O}_2} / \delta_{\text{O}_2}}{k_{12} + k_3 + D_{\text{O}_2} / \delta_{\text{O}_2}} \quad (\text{B1})$$

which can then be substituted into the expression for  $i_{\text{disk},\text{O}_2}$  and mass balance of  $\text{HO}_2^-$  (aq) found in Table 1

$$i_{\text{disk},\text{O}_2, E = -0.2 \text{ V}} = 2\text{F}A \left\{ -k_4 [\text{HO}_2^-]_{\text{S},\text{O}_2} - \frac{(2k_3 + k_{12}) [\text{O}_2]_{\text{B}} D_{\text{O}_2} / \delta_{\text{O}_2}}{k_{12} + k_3 + D_{\text{O}_2} / \delta_{\text{O}_2}} \right\} \quad (\text{B2})$$

$$0 = -\frac{D_{\text{HO}_2^-} [\text{HO}_2^-]_{\text{S},\text{O}_2}}{\delta_{\text{HO}_2^-}} + \frac{k_{12} [\text{O}_2]_{\text{B}} D_{\text{O}_2} / \delta_{\text{O}_2}}{k_{12} + k_3 + D_{\text{O}_2} / \delta_{\text{O}_2}} - k_4 [\text{HO}_2^-]_{\text{S},\text{O}_2} \quad (\text{B3})$$

Furthermore, for extremely negative potentials, we can assume that  $k_{12} + k_3 \gg \frac{D_{\text{O}_2}}{\delta_{\text{O}_2}}$ , essentially imposing the condition that

$\text{O}_2$  reduction takes place at near mass transport-limited rates. On this basis, eqs B2 and B3 simplify to

$$i_{\text{disk},\text{O}_2, E = -0.2 \text{ V}} = 2\text{F}A \left\{ -k_4 [\text{HO}_2^-]_{\text{S},\text{O}_2} - \frac{(2k_3 + k_{12}) D_{\text{O}_2} [\text{O}_2]_{\text{B}}}{(k_{12} + k_3) \delta_{\text{O}_2}} \right\} \quad (\text{B4})$$

$$0 = -\frac{D_{\text{HO}_2^-} [\text{HO}_2^-]_{\text{S},\text{O}_2}}{\delta_{\text{HO}_2^-}} + \frac{k_{12} D_{\text{O}_2}}{\delta_{\text{O}_2} (k_{12} + k_3)} [\text{O}_2]_{\text{B}} - k_4 [\text{HO}_2^-]_{\text{S},\text{O}_2} \quad (\text{B5})$$

An explicit expression for  $k_4$  can be easily obtained by rearranging eq B5

$$k_4 = -\frac{D_{\text{HO}_2^-}}{\delta_{\text{HO}_2^-}} + \frac{k_{12} D_{\text{O}_2}}{[\text{HO}_2^-]_{\text{S},\text{O}_2} \delta_{\text{O}_2} (k_{12} + k_3)} [\text{O}_2]_{\text{B}} \quad (\text{B6})$$

which can then be substituted in eq B4 to yield

$$i_{\text{disk},\text{O}_2, E = -0.2 \text{ V}} = -4\text{F}A \left( \frac{D_{\text{O}_2}}{\delta_{\text{O}_2}} \right) [\text{O}_2]_{\text{B}} + 2\text{F}A \left( \frac{D_{\text{HO}_2^-}}{\delta_{\text{HO}_2^-}} \right) [\text{HO}_2^-]_{\text{S},\text{O}_2} \quad (\text{B7})$$

wherein  $[\text{HO}_2^-]_{\text{S},\text{O}_2} = \frac{i_{\text{ring},\text{O}_2} \delta_{\text{HO}_2^-}}{2\text{F}A D_{\text{HO}_2^-}}$ , from which  $[\text{O}_2]_{\text{B}}$  can be calculated using the parameter values listed in Table 3 and  $i_{\text{disk},\text{O}_2}$  and  $i_{\text{ring},\text{O}_2}$  for  $E = -0.2$  V, found in Figure 1A,C, respectively, which yields  $[\text{O}_2]_{\text{B}} = 0.885 \text{ mM}$ .  $[\text{O}_2]_{\text{B}}$  is typically closer to 1.2 mM for 0.1 M NaOH or KOH,<sup>33</sup> but Mei et al. have also reported a smaller  $[\text{O}_2]_{\text{B}}$  in mixed NaOH/NaClO<sub>4</sub> solutions.<sup>34</sup>

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## Notes

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