



# Tuning the electrical performance of solution-processed $\text{In}_2\text{O}_3$ TFTs by low-temperature with $\text{HfO}_2$ -PVP hybrid dielectric

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## ABSTRACT

Here in, we investigated the solution-processed and hysteresis-free indium oxide ( $\text{In}_2\text{O}_3$ ) thin-film transistors (TFTs) fabricated with hafnium oxide-poly(vinyl)phenol ( $\text{HfO}_2$ -PVP) hybrid thin film as gate dielectric by a low temperature sol-gel method. The hybrid dielectric thin film exhibits unique dielectric properties of a low leakage current density of  $1.2 \times 10^{-8} \text{ A/cm}^2$ , gate areal capacitance of  $44.4 \text{ nF/cm}^2$  and a dielectric constant ( $k$ ) of 6.5 at 1 kHz. In addition, the hybrid films show a high-quality homogeneous and pin-hole free surface with a low surface roughness ( $R_q$ ) of 0.75-nm and display a low surface energy of  $36.7 \text{ mJ/m}^2$  with hydrophobic behavior. This dielectric material is then used used in  $\text{In}_2\text{O}_3$  TFTs as the gate insulator. Here, the  $\text{In}_2\text{O}_3$  semiconductor as the channel layer is examined at 200 °C and 230 °C temperatures for TFT characteristics. The final TFT device fabricated at 230 °C showed much improved electrical performance with the mobility ( $\mu_{\text{sat}}$ ) of  $2.6 \text{ cm}^2/\text{V.s}$ ,  $I_{\text{on}}/I_{\text{off}}$  ratio of  $10^5$ , subthreshold swing (SS) of 330 mV/dec, threshold voltage ( $V_T$ ) of 0.1 V at a low operating voltages because of a better interface between dielectric and semiconductor with fewer charge carriers traps. This study could be an effective approach for the next generation of all-solution fabrication of TFTs that could play a vital role in optoelectronics applications.

## 1. Introduction

The solution processes advance as an alternative to conventional thin films physical deposition methods, and highly encouraging the open up of new possibilities in the fabrication of large-scale and low-cost electronic devices. Recently, the solution processes have triggered the fabrication of high-performance metal-oxide thin film transistors (TFTs) exclusively for applications in the next generation electronic devices such as high definition flexible displays, organic light-emitting diodes (OLEDs) and many others [1–3]. In this respect, with the increasing interest on high-performance and low-cost TFTs, there is a current intensive searching for high-quality semiconductors and dielectrics materials processed at low temperatures for the next generation of flexible TFTs applications.

Recently, solution-processed metal-oxide TFTs have been achieved with a variety of n-type oxide semiconductor materials instigated by indium gallium zinc oxide (a-IGZO), zinc oxide (ZnO), indium zinc oxide (IZO) and indium oxide ( $\text{In}_2\text{O}_3$ ) as channel layers [4–7]. As a result,

remarkable advantages like easy processability, inherent scalability and high mobility devices have been demonstrated by various solution-based methods such as combustion synthesis and sol-gel [8,9]. While substantial progress has been made through the solution process of these semiconductors as channel layers for TFTs, particular focus has been recognized on  $\text{In}_2\text{O}_3$ , due mainly to its exceptionally low deposition processing temperature (< 250 °C) [10,11]. However, most solution processed  $\text{In}_2\text{O}_3$  TFT devices, which are usually manufactured with thermally grown  $\text{SiO}_2$  as the dielectric gate, perform poor drain currents [12,13]. This is in part due to the  $\text{SiO}_2$  low dielectric constant and makes necessary the increase to higher operating voltages to achieve higher drain currents in the channel layer [8]. Therefore, for the completion of fully solution fabrication of low power consumption metal oxide TFTs, it is also obligatory to develop solution-processed dielectrics with enhanced properties.

Unlike the excellent research on semiconductor materials for TFT applications, the investigation of dielectric materials by low temperature solution process has not yet been well advanced. The dielectric gate

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layer is a critical component of TFTs, which properties have a strong impact on the field effect mobility and other important electrical parameters of the device. For flexible TFTs, the conventional  $\text{SiO}_2$  dielectric gate material is discarded because its high processing temperature and low dielectric constant (3.9) [14]. To overcome this, research on dielectric materials with high dielectric constants ( $k > 20$ ) increased rapidly in the last years. Among the foremost high- $k$  dielectric materials with the chance to be processed in solution are  $\text{HfO}_2$ ,  $\text{ZrO}_2$  and  $\text{Al}_2\text{O}_3$  [15–17]. Moreover, the incorporation of these high- $k$  dielectrics in oxide TFTs has an excellent compatibility with solution processed oxide semiconductors in device fabrication by complete solution processes. There are simple chemical routes to achieve semiconductor channels forming smooth interfaces, limiting the density of traps and leading to high electrical performance at low operating voltages [18].  $\text{HfO}_2$  is among the leading high- $k$  dielectrics materials, which has been widely studied and applied in many devices because of its properties such as high capacitance and dielectric constant ( $k \sim 15$ –30), large band gap ( $> 6$  eV), low leakage current density, high electrical break down strength, and importantly, good chemical resistance with a lot of substrates [19,20]. Apart from its unique dielectric performance, the major disadvantage of  $\text{HfO}_2$  is the high thermal annealing temperature ( $> 350$  °C) required for densifying the thin films and then achieve the excellent insulating properties. However, despite the excellent dielectric properties of  $\text{HfO}_2$  thin films annealed at high temperatures, their brittle mechanical behaviour, due to the high young modulus, limit them for potential flexible electronic applications [21,22].

Recently, several research groups are focusing on the development of new dielectric materials processed at low temperatures ( $< 200$  °C) as compared to ceramic dielectrics for TFT applications. In this consequence, organic dielectric polymers such as polyvinyl phenol (PVP), polyvinyl alcohol (PVA), polyvinylidene difluoride (PVDF) and its copolymers, and cyanide-based polymers have gained rapid attention due to their relatively high dielectric constants ( $k \geq 5$ ) and excellent insulating properties [23–26]. Additionally, they can be incorporated as gate dielectrics with simple solution processes at low fabrication-cost and high mechanical flexibility. However, most of these dielectric polymers are used for organic thin-film transistors (OTFTs) in combination with p or n-type organic semiconductors [27]. OTFTs emphasize adequate electrical performance in both cases, including low operating voltages [25,27]. Although organic dielectrics grow rapidly but limited for OTFTs fabrication, there are very few reports about organic dielectrics for high performance semiconductor oxides TFTs. This is due to their inferior dielectric performance such as degradation and reliability as compared to inorganic dielectrics in semiconductor oxide TFTs. Moreover, poor dielectric/semiconductor interface properties are also drawbacks of the dielectric polymers for the metal oxide TFTs fabrication [28]. To overcome some of these issues, the dielectric polymers have been modified to enhance their properties. For example, poly (4-vinylphenol) (PVP) crosslinked with poly (melamine-co-formaldehyde) (PMF) make it to c-PVP dielectric polymer exhibits superior insulating characteristics and it is compatible with most of the inorganic semiconductors. Recently, c-PVP gate dielectric layers are applied in the fabrication of many semiconductor oxide based TFTs [29,30]. In a hybrid approach, the organic dielectric layer is commonly covered with a high- $k$  inorganic dielectric layer to improve the interfacial properties with the inorganic semiconductor channels and to avoid the dielectric polymer degradation.

Recently, inorganic-organic hybrid materials are being presented as a new class of gate dielectric layers for the TFTs fabrication. These dielectric materials are feasible alternatives to overcome some of the issues of the organic dielectrics. A mix of a high- $k$  inorganic dielectric and an organic dielectric polymer generally constitutes the hybrid dielectric materials. This approach takes advantage of both types of materials to improve the properties of the hybrid materials as compared to one or the other constituting phase. Therefore, it is possible to achieve dielectric materials with high dielectric constant (inorganic

contribution) keeping some mechanical flexibility (organic contribution) even at low processing temperatures below 200 °C [31–35]. This is because solution processes at low temperature can obtain the hybrid materials. These solution-processed hybrid dielectrics exhibit low leakage current density with excellent morphological properties such as smooth surface for better interface properties with fewer charge carrier traps [36]. Their surface energy is typically low with hydrophobic behavior appropriate for the deposition of the semiconductor channel by solution processes. For these reasons, hybrid dielectric materials can extend the applications of flexible electronics devices, especially those related with TFTs [34]. However, although the idea of combining two materials with complementary properties is straightforward, the synthesis of hybrid materials with synergistic properties requires the proper bonding between the organic and inorganic phases, which is still a challenging task.

Among high- $k$  inorganic oxide dielectrics,  $\text{HfO}_2$  stands out due to its remarkable dielectric properties. It is currently one of the most widely applied dielectric material in metal-oxide TFTs. Nevertheless, the high processing temperature, necessary to densify the dielectric gate layers and their brittle behaviour limit to extend  $\text{HfO}_2$  as gate dielectric in flexible devices. In our recent work, we successfully combined  $\text{HfO}_2$  as the inorganic phase with PMMA as the organic one in a  $\text{HfO}_2$  -PMMA hybrid dielectric material. The dielectric layers of this hybrid material deposited at low temperature performed very well in  $\text{ZnO}$ -based TFTs [37]. On the other hand, PVP (poly (vinylphenol)) is a dielectric polymer widely employed in organic TFTs (OTFTs) [25]. The main advantage of this organic dielectric over PMMA is its higher dielectric constant of  $k \sim 5$ . Thus, PVP is a dielectric polymer very convenient to combine with high- $k$  inorganic dielectric in hybrid dielectric materials. The PVP-based hybrid materials have been much less studied than the PMMA-based ones. There are some recent works about PVP hybrid dielectrics in the form of bilayers and polymer nanocomposites with application to dielectric gates in inorganic semiconductor devices [38,39]. We have synthesized PVP, PVP- $\text{SiO}_2$  and PVP- $\text{TiO}_2$  dielectric gate layers at low temperature and successfully applied them to the fabrication of TFTs with inorganic  $\text{CdS}$  semiconductor channel layers [29,36].

In this work we propose and develop a novel hybrid dielectric material based on hafnium oxide ( $\text{HfO}_2$ ) combined with cross-linked PVP polymer to acquire  $\text{HfO}_2$ -PVP hybrid thin films with excellent insulating properties for dielectric gate applications to metal oxide TFTs. The  $\text{HfO}_2$ -PVP hybrid dielectric films were deposited by the sol-gel spin-coating process and annealed at 200 °C to cross-link the phases. The smooth and pinhole-free hybrid thin films exhibited outstanding dielectric properties such as low leakage current density, respectable capacitance with good dielectric constant ( $k$ ). In addition, the hybrid thin films surface had hydrophobic behavior, which implies low surface free energy, and is ideal for the deposition in solution of  $\text{In}_2\text{O}_3$  semiconductor channel layer. This way, we report the fully solution processed metal oxide TFTs, fabricated on ITO-coated glass substrates at low annealing temperatures  $< 230$  °C, with the incorporation of  $\text{HfO}_2$ -PVP hybrid thin film as gate dielectric and  $\text{In}_2\text{O}_3$  as active channel. Thus, the fully solution fabricated  $\text{In}_2\text{O}_3$  TFTs had enhanced electrical performance with saturation mobility of  $2.6 \text{ cm}^2/\text{V.s}$ , threshold voltage of 0.1 V, subthreshold swing of 330 mV/dec and current  $I_{\text{on/off}}$  ratio of  $10^5$ .

## 2. Experimental section

### 2.1. Materials synthesis

For the TFTs fabrication, both dielectric and semiconductor layers were deposited by solution process at low temperature. For the synthesis of the inorganic precursor solution, 0.2 M  $\text{HfCl}_4$  was dissolved in 2-methoxyethanol to obtain a transparent solution. After 15 min of vigorous stirring, 0.1 M  $\text{HNO}_3$  and 0.1 M DI water were added continuously into this solution to encourage the sol-gel hydrolysis and condensation reactions. The final inorganic solution was constantly

stirred for 4 h at 60 °C. Then, the polymer PVP solution was prepared from its precursor of 10 mM PVP (M.W~11,000), which was dissolved into propylene glycol monomethyl ether acetate (PGMEA) solvent and subsequently stirred for 30 min to obtain a homogeneous solution. Later, polymelamine-*co*-formaldehyde (PMF) was mixed into this solution as a crosslinking mediator to reduce the phenolic groups (–OH) that are included in PVP. Finally, the crosslinked PVP (PMF-PVP) solution was stirred for 6 h at room temperature. To make the hybrid solution, both individual inorganic and organic solutions were mixed with a different % volume ratios of 80/20, 60/40 and 20/80 and the final hybrid solutions were stirred for 3 h to complete the crosslinking of the hybrid solution. The hybrid thin films were deposited by spin coating process from the hybrid precursor solutions. The scheme with the complete hybrid thin films deposition process is shown in Fig. 1. For the preparation of  $\text{In}_2\text{O}_3$  semiconductor solution, 0.1 M  $\text{In}(\text{NO}_3)_x\text{H}_2\text{O}$  precursor was dissolved in 2-methoxy ethanol followed by 0.1 M acetylacetone and ammonium hydroxide ( $\text{NH}_4\text{OH}$ ) was added to stabilize the solution and the final solution was vigorously stirred for the overnight at room temperature. Both hybrid and semiconductor solutions were filtered through 0.45  $\mu\text{m}$  PTFE syringe filters before the deposition of thin films.

## 2.2. MIM and TFT devices fabrication

Metal-Insulator-Metal (MIM) capacitor device structures were fabricated on cleaned ITO-coated glass substrates to examine the dielectric properties of  $\text{HfO}_2$ -PVP hybrid thin films. For this, 0.5 mL of hybrid precursor sol was dispersed on the top of the ITO-coated substrate and deposited by spin coating process with a spinning speed of 3000 rpm for 30 s under ambient environment. The deposited wet gel thin films were immediately placed on a hot plate at 150 °C to remove the organic residuals from their surface, and then baked at 200 °C for 3 h to complete the crosslinking procedure in a conventional oven. Finally, the achieved 132-nm thick, smooth and homogeneous hybrid thin films were utilized as dielectric for MIM devices, for which gold contacts were deposited on this hybrid surface by shadow mask process with 0.02  $\text{cm}^2$  area. Similarly, for the fabrication of  $\text{In}_2\text{O}_3$  TFTs on ITO-coated glass as bottom gate substrate, the  $\text{In}_2\text{O}_3$  semiconductor thin films were deposited on the top of the hybrid dielectric through spin coating process with a spinning speed of 3000 rpm for 30 s, followed by two-step annealing process. First, the films were pre-baked on a hot plate at 80 °C for 1 min and then exposed under UV-ozone light for 10 min and this step was repeated twice for the required thickness of ~10–15 nm. Second, annealed at two different temperatures of 200 °C and 230 °C for 20 min

on the same hot plate to get homogeneous transparent  $\text{In}_2\text{O}_3$  thin films. Finally, aluminum (Al) top contacts were evaporated to pattern the source and drain contacts by using a conventional shadow mask technique.

## 2.3. Thin film and devices characterization

The structural characterization of the  $\text{HfO}_2$ -PVP hybrid thin-films was first scrutinized by the thermogravimetric (TGA) (TA SDT 421Q600) technique to inspect their thermal decomposition. For this, the produced wet gel hybrid precursor solutions were initially dried at 70 °C to remove all organic solvents. Finally, the obtained dehydrated hybrid powders were annealed at 200 °C for 3 h. The hybrid thin films were characterized by FTIR (PerkinElmer spectrometer, spectrum GX) analysis to identify the chemical functional groups forming the hybrid network. Similarly, the XPS (Intercovamex 110) technique was employed to corroborate the chemical states of the elements in the hybrid films. The hybrid dielectric properties were determined from capacitance vs voltage ( $C$ - $V$ ) and leakage current vs voltage ( $I$ - $V$ ) measurements in ambient conditions by using LCR meter (HP4284A) and Keithley semiconductor analyzer (Keithley 4200), respectively. The hybrid dielectric layer roughness was measured by AFM, and the morphology and cross-section of dielectric layer were characterized by FESEM. On the other hand, the spin deposited  $\text{In}_2\text{O}_3$  semiconductor channel layer roughness was measured by AFM. The  $\text{In}_2\text{O}_3$ -based TFT device electrical parameters were analyzed from current versus voltage measurements by using a Keithley semiconductor analyzer (Keithley 4200) in dark conditions.

## 3. Results and discussion

### 3.1. Chemical structural characterization of hybrid films

Fig. 1 depicts the synthesis route for the deposition of inorganic-organic  $\text{HfO}_2$ -PVP thin films well crosslinked with PMF molecules. The spin coated hybrid thin films were prepared from its precursor solutions to make robust hybrid thin films enlaced with strong covalent bonds. Before utilizing these films as dielectric layers, they were characterized by Fourier transform infrared spectroscopy (FTIR) spectroscopy and X-ray photoelectron spectroscopy (XPS) to identify the presence of hydroxyl groups, as well as the chemical bonds and their properties to optimize the crosslinking process of the hybrid thin films.

Fig. 2 displays the FTIR spectrum of the hybrid thin film. The low

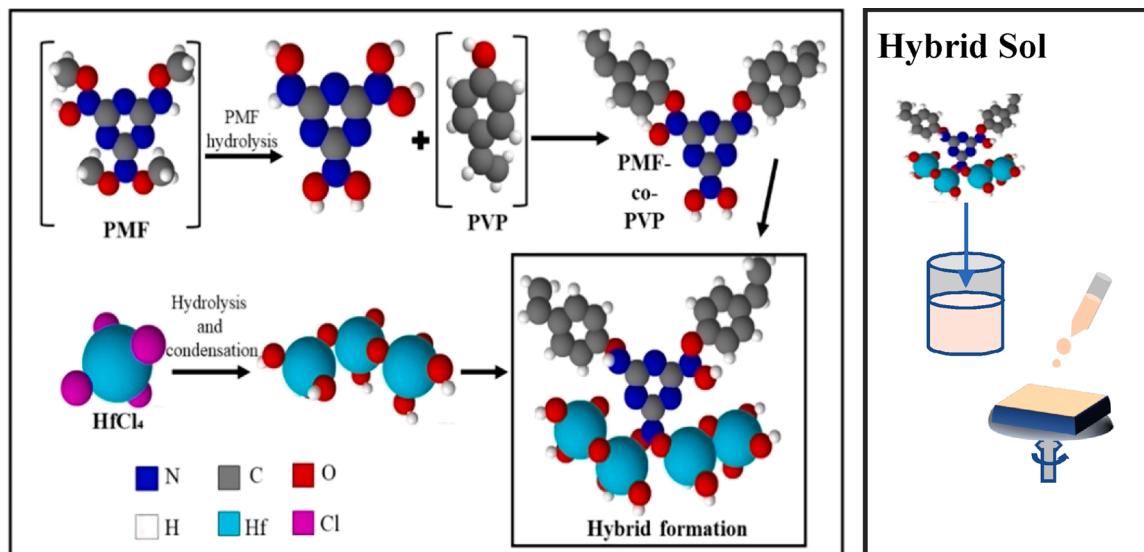


Fig. 1. Schematic of the deposition route of  $\text{HfO}_2$ -PVP hybrid dielectric thin films.

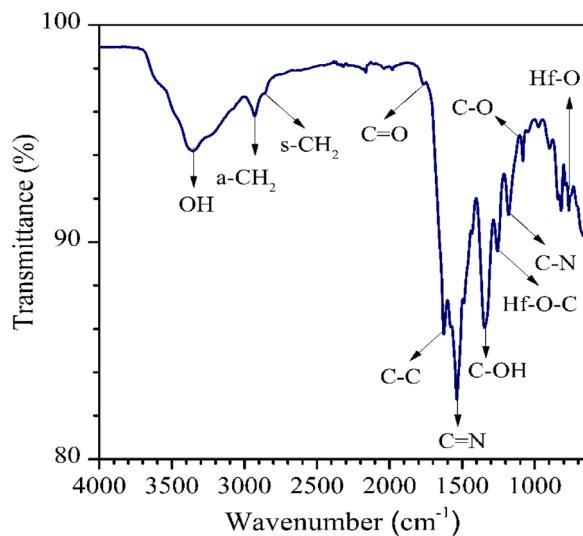


Fig. 2. FTIR spectrum of the hybrid  $\text{HfO}_2$ -PVP dielectric thin film.

intensity absorption band observed at  $3375\text{ cm}^{-1}$  is associated to the  $-\text{OH}$  stretching vibration modes, this might be expected due to the presence  $\text{Hf}-\text{OH}$  groups from the incomplete condensation of the inorganic phase during the sol-gel synthesis and the c-PVP ring bonding with inorganic hafnium oxide whereas crosslinking process takes place in the hybrid network [25,27]. The latter might be due to insufficient crosslinking between the hybrid phases resulting in unreacted hydroxyl groups. The band at  $2927\text{ cm}^{-1}$  and  $2863\text{ cm}^{-1}$  are correlated to the  $-\text{CH}_2$  stretching vibrations in the c-PVP polymer [34]. The low intensity

shoulder observed at  $1759\text{ cm}^{-1}$  is assigned to carbonyl  $\text{C}=\text{O}$  stretching vibration modes [25] and the peaks at  $1624\text{ cm}^{-1}$  and  $1083\text{ cm}^{-1}$  are assigned to the stretching vibrations of  $\text{C}-\text{C}$  and  $\text{C}-\text{O}$  groups. Additionally, the sharp narrow peak at  $1344\text{ cm}^{-1}$  is associated to  $\text{C}-\text{OH}$  bending vibrations and all of these carbon peaks reveal the presence of phenolic PVP polymer in the hybrid thin film [36]. On the other hand, the sharp narrow peak at  $1534\text{ cm}^{-1}$  and the small peak at  $1173\text{ cm}^{-1}$  are related to stretching vibrations of  $\text{C}=\text{N}$  and  $\text{C}-\text{N}$  groups, which are characteristic groups of the crosslinking PMF molecule [36,40]. The peak at  $1254\text{ cm}^{-1}$ , which comes from vibration modes of  $\text{Hf}-\text{O}-\text{C}$  is revealing that strong covalent chemical bond formed between the inorganic  $\text{HfO}_2$  and the PVP polymer [41]. This confirms the successful homogeneous hybrid thin film formation, with organic and inorganic phases strongly bonded. Finally, the small peak at  $759\text{ cm}^{-1}$  was attributed to the inorganic  $\text{HfO}_2$  phase in the hybrid material [41]. Therefore, from the FTIR results it is clearly suggested a great evidence for the successful formation of  $\text{HfO}_2$ -PVP hybrid thin film via strong covalent bonds.

The XPS technique was employed to investigate more deeply the chemical binding states between the elements in the  $\text{HfO}_2$ -PVP hybrid thin films. The core level XPS spectra for  $\text{Hf}\ 4\text{f}$ ,  $\text{O}\ 1\text{s}$ ,  $\text{C}\ 1\text{s}$  and  $\text{N}\ 1\text{s}$ , are shown in Fig. 3 a), b), c) and d), respectively. The  $\text{Hf}\ 4\text{f}$  spectrum in Fig. 3 (a) can be deconvoluted into two core splitting peaks at binding energies of  $18.5\text{ eV}$  and  $20.1\text{ eV}$ , which can be assigned to  $\text{Hf}\ 4\text{f}_{7/2}$  and  $\text{Hf}\ 4\text{f}_{5/2}$  spin states evidencing the formation of the  $\text{HfO}_2$  bonds of the inorganic phase in the hybrid thin film [37,42]. In Fig. 3 (b), the  $\text{O}\ 1\text{s}$  core level spectrum has been deconvoluted into three major peaks located at  $529.9\text{ eV}$ ,  $531\text{ eV}$  and  $532.4\text{ eV}$ , respectively. The low intensity peak at  $529.9\text{ eV}$  is related to oxygen species in the  $\text{HfO}_2$  bonds [42], and the high intensity predominant peak at  $531\text{ eV}$  is allotted to

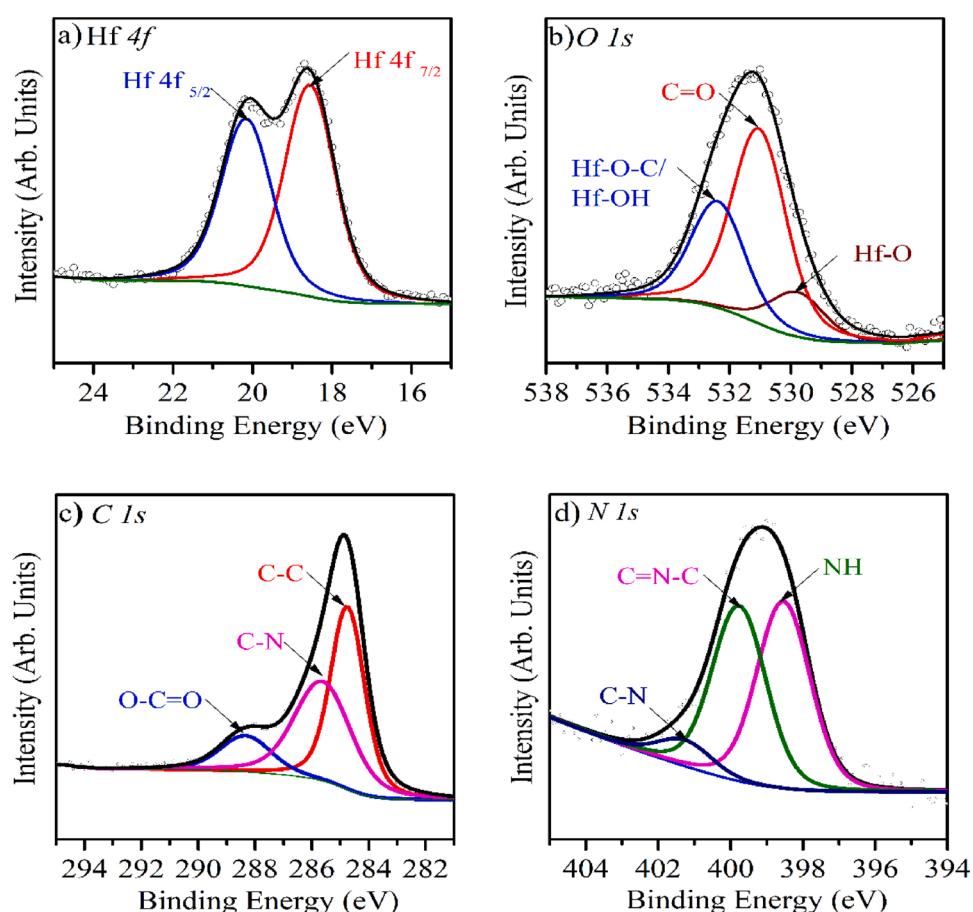


Fig. 3. XPS deconvolution spectra of solution processed  $\text{HfO}_2$ -PVP hybrid thin films (a)  $\text{Hf}\ 4\text{f}$  (b)  $\text{O}\ 1\text{s}$  (c)  $\text{C}\ 1\text{s}$  (d)  $\text{N}\ 1\text{s}$ .

C=O bond consistent to c-PVP polymer [33]. On the other hand, the intense peak at 532.4 eV was assigned to oxygen in Hf-O-C bonds, which is signaling the formation of a strong covalent chemical bond via thermal crosslinking process to join both inorganic and organic molecules in the hybrid network [37,41], or likely to be unreacted phenolic hydroxyl groups ( $-\text{OH}$ ) bonded with inorganic hafnium molecules in the form of Hf-OH bonds [33,37]. The C1s spectrum in Fig. 3 (c) shows the deconvolution into three peaks at 284.7 eV, 285.6 eV and 288.3 eV, respectively. These peaks were assigned to carbon species in C—C, C=N and O=C=O bonds, respectively, which are in the PVP polymer molecules and confirm the interaction of PVP with PMF cross-linker in the hybrid film [40,43]. Fig. 3 (d) shows the N 1s spectrum, which was deconvoluted into three peaks at the binding energies of 398.5 eV, 399.7 eV and 401.1 eV, respectively. The peaks are assigned to N—H, C=N and C—N, which are nitrogen groups in the PMF cross-linker molecules [36,40]. Based on these XPS results it can be concluded that the inorganic and organic phases develop strong covalent bonds connecting them in the hybrid network. This agrees with the FTIR analysis. Therefore, the hybrid structural characteristics are expected to improve the surface and dielectric properties of the hybrid layers for their utilization in the fabrication of TFTs.

### 3.2. Thermal analysis of the hybrid films

In order to explore the thermal stability of the  $\text{HfO}_2$ -PVP hybrid material, TGA analysis was performed in air atmosphere to get the information of the organic phase decomposition. The TGA curve of the hybrid material is shown in Fig. 4, where three different weight losses taking place in different temperature ranges are clearly observed. The first small weight loss of around 4% occurred at lower temperature, in the 25–190 °C temperature range. This can be due to the extraction of solvents, moisture and adsorbed water and hydroxyl groups still remaining in the hybrid material. Also, this weight loss has some contribution from the elimination of the unreacted phenolic groups (OH) contained in the PVP polymer. Later, the second weight loss of around 32 % is observed in the temperature region from 250 °C to 600 °C. This is the major weight loss originated from the degradation of PVP polymer and the trapped organic solvents in the hybrid network. At higher temperature, no negligible weight loss was identified, which suggests that the polymer decomposition is finalized and that the 64 % remaining material at the highest temperature is the amount of inorganic phase  $\text{HfO}_2$  in the hybrid material.

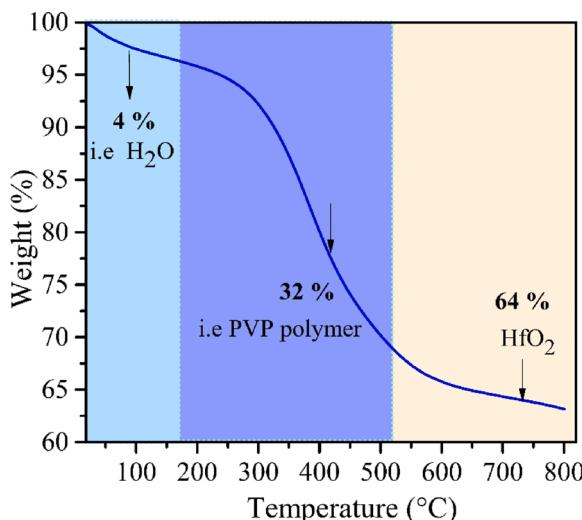


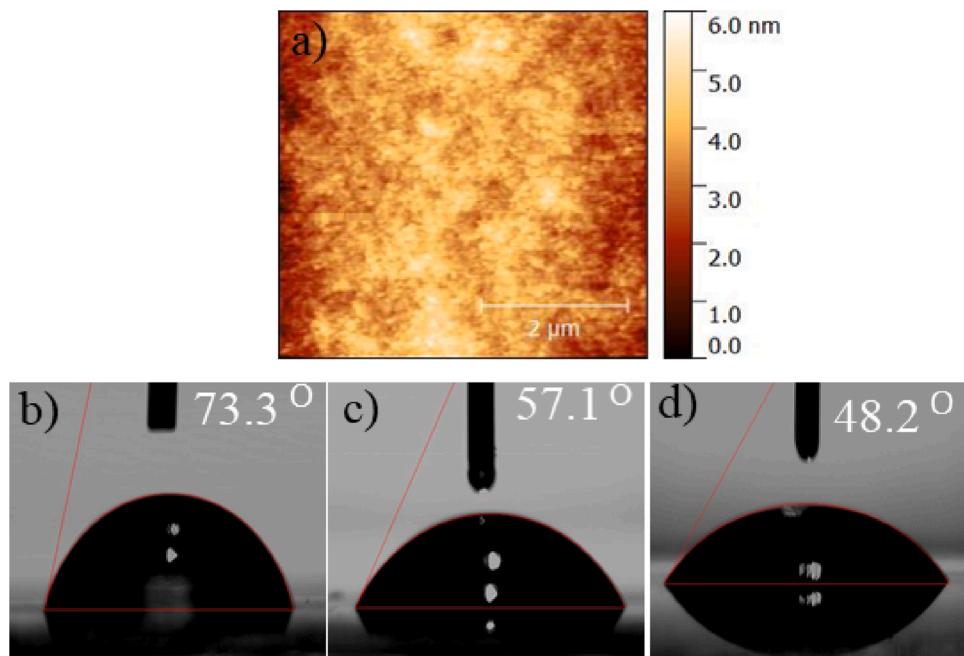
Fig. 4. Thermogravimetric analysis of the  $\text{HfO}_2$ -PVP hybrid dielectric material.

### 3.3. Hybrid dielectric morphology and surface energy

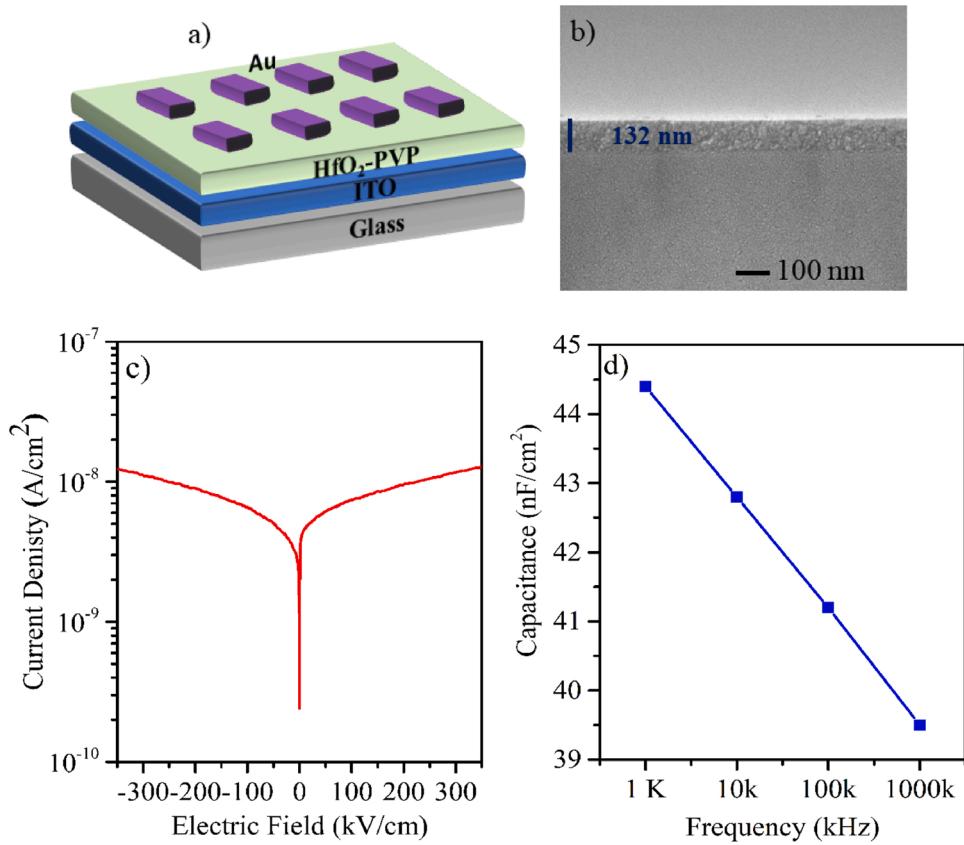
The surface roughness of hybrid dielectric thin films has been measured by atomic force microscopy (AFM). As shown in Fig. 5 (a), the surface topography of the hybrid thin film exhibits uniform and smooth surface with very low RMS surface roughness of 0.7 nm, without pin-holes or any other defect. The homogenous and low surface roughness of the hybrid films is accomplished by the suitable blending of inorganic and organic phases coupled with crosslinking molecules. This suggests that the hybrid surface comprises with strong covalent chemical bonds (e.g. Hf-O-C, C-H) and polar groups (OH) confirmed by the FTIR and XPS analysis. Furthermore, the surface energy of the hybrid thin films was determined from contact angle measurements by using water, ethylene glycol and diiodomethane as testing liquids. The results are shown in Fig. 5(b) (73.3°), (c) (57.1°) and (d) (48.2°) for the three test liquids, respectively and this indicates that the hybrid dielectric surface has less hydrophilic behavior, which is stable in high humidity environment. By using the geometric model equation [36], the obtained dispersion ( $\gamma_s^d$ ) and polar ( $\gamma_t^p$ ) components of the surface energies and the surface free energy ( $\gamma_s$ ) of the crosslinked  $\text{HfO}_2$ -PVP hybrid thin film were 26.6 mJ m<sup>-2</sup> and 10.1 mJ m<sup>-2</sup> and 36.7 mJ m<sup>-2</sup>, respectively. In fact, our estimated hybrid thin-film surface free energy is lower than that reported for other crosslinked PVP based polymer dielectrics [43]. This result suggests that the hybrid surface contains less polar groups, such as  $-\text{OH}$  groups, due to the successful crosslinking by PMF molecules with PVP polymer in a hybrid dielectric network. Compared with other dielectric surfaces, the low surface energy of the hybrid dielectrics offers an excellent growth conditions for solution based semiconductor layers especially for improving the interface properties [44,45]. The dielectric/semiconductor interface is very crucial for the device performance, by reducing the charge carrier traps in this region enables the achieving of high device mobility and reduction of hysteresis [45].

### 3.4. Electrical properties of $\text{HfO}_2$ -PVP dielectric

Fig. 6 a) shows the scheme of the Metal-Insulator-Metal (MIM) capacitor structure, with Au/ $\text{HfO}_2$ -PVP/ ITO configuration, fabricated to determine the dielectric properties of the hybrid thin films with different inorganic volumetric ratios of 80/20, 60/40 and 20/80 (v/v %) of  $\text{HfO}_2$ -PVP. Among these, the best enhanced dielectrical properties were obtained by 60/40 composition and the obtained results were presented here. The other compositions results were shown in Fig. S1 and S2 respectively. In Fig. 6 b), the FE-SEM cross-section image of the hybrid dielectric film (60/40) shows its uniform thickness of 132 nm. Fig. 6 c) shows the leakage current density versus electric field ( $J$ - $E$ ) characteristics of the hybrid dielectric. Leakage current is one of the essential parameter to assess the reliability of a dielectric material for its implementation in electronic devices. As observed, the leakage current density varies from  $1 \times 10^{-8}$  to  $1 \times 10^{-10}$  A/cm<sup>2</sup> for an applied electric field -300 to 0 kV/cm. Particularly, the procured low leakage current may be attributed to the dense hybrid thin film with very smooth surface and low number of hydroxyl groups, as shown by AFM and contact angle measurements. The low leakage current density of the gate dielectric layer is highly essential for preventing the heat dissipation while device operating at higher voltages and to accomplish the low-off state currents in high-performance TFTs. The capacitance versus frequency ( $C$ - $f$ ) plots measured also in the same MIM device at different frequencies varying from 1 kHz to 1 MHz are shown in Fig. 6 d). It was found that the measured capacitance density of the 132 nm thick hybrid dielectric film decreased from 44.5 to 39.6 nF/cm<sup>2</sup> when the frequency increased from 1 kHz to 1 MHz. This slight drop of the hybrid dielectric layer capacitance of about 11 % is due to its different polarization mechanism, some of which have relatively slow polarization response and this frequency dispersion is corresponding to lack of slow polarization response at higher frequencies due to some organic impurities and the polarization



**Fig. 5.** Surface morphology of spin coated hybrid  $\text{HfO}_2$ -PVP dielectric thin-film of (a) 2D AFM topographic image and surface contact angle optical images of (b) water (c) ethylene glycol and (d) diiodomethane.



**Fig. 6.** a) Schematic of MIM capacitor structure, b) FESEM crosssection image of  $\text{HfO}_2$ -PVP hybrid dielectric, c)  $J$ - $E$  and d)  $C$ - $f$  characteristics of  $\text{HfO}_2$ -PVP dielectric.

orientation in the hybrid thin films takes long time. The dielectric constant ( $k$ ) of the hybrid dielectric film was determined from using a simple parallel plate capacitance equation and the thickness of the hybrid dielectric layer. The obtained dielectric constant values are 6.5, 6.3, 6.1 and 5.8 for 1 kHz, 10 kHz, 100 kHz, and 1 MHz, respectively.

Finally, the obtained overall dielectric performance of the solution processed hybrid dielectric films in the MIM devices is highly favorable for gate dielectric applications in TFTs and the accomplished electrical parameters are equivalent to others reported for hafnium based hybrid dielectrics [31,33].

### 3.5. Electrical characterization of solution processed $\text{In}_2\text{O}_3$ TFTs

To examine the feasibility of the  $\text{HfO}_2$ -PVP hybrid thin films as gate dielectric layer, TFTs were fabricated integrating solution processed  $\text{In}_2\text{O}_3$  as the n-type semiconductor channel layer. Fig. 7 a) depicts the schematic of the  $\text{In}_2\text{O}_3$  TFT device assembled with bottom gate/top contacts architecture with width and length channel dimensions of  $W=100\text{ }\mu\text{m}$  and  $L=100\text{ }\mu\text{m}$ , respectively. Here, the  $\text{In}_2\text{O}_3$  thin films engineered over the hybrid gate dielectric by solution process and deposited by low-cost efficient spin coating technique. Fig. 7 b) and c) show the atomic force microscope (AFM) surface morphologies of  $\text{In}_2\text{O}_3$  channel layer surface deposited on the hybrid gate dielectric and annealed at two distinct temperatures of  $200\text{ }^\circ\text{C}$  and  $230\text{ }^\circ\text{C}$ , respectively. The measured surface RMS roughness of the  $\text{In}_2\text{O}_3$  channel layers are  $3.58\text{ nm}$  and  $1.28\text{ nm}$ , respectively. The surface morphology of the semiconductor channel layer is crucial because it strongly affects the electron charge carrier transport in the channel layer and the charge traps at the electrical contacts/semiconductor interface of the devices [46]. The smoother surface of the  $\text{In}_2\text{O}_3$  thin film annealed at higher temperature reveals its higher film densification, which is favorable to improve better electrical properties such as high electron mobilities ( $\mu_{\text{FE}}$ ), low threshold voltage ( $V_{\text{TH}}$ ) and low subthreshold swing (SS).

The output curves of the  $\text{In}_2\text{O}_3$ -based TFTs with the different processing temperatures of  $200\text{ }^\circ\text{C}$  and  $230\text{ }^\circ\text{C}$  are shown in Fig. 8 a) and c), respectively. Both types of devices exhibit typical drain current ( $I_{\text{DS}}$ ) versus source-drain voltage ( $V_{\text{DS}}$ ) curves for n-channel conductivity, with linear behavior at low  $V_{\text{DS}}$  and saturating as  $V_{\text{DS}}$  increases. However, in particular, the output curves of the device with the channel layer processed at lower temperature suffers from the non linear ideal behavior at low  $V_{\text{DS}}$ , showing a shift to the right. As reported in literature, this effect is mainly caused by the high channel contact resistance at the electrical contacts/semiconductor channel interface [46]. Interestingly, this effect nullified in the output curves of the device with channel layer processed at  $230\text{ }^\circ\text{C}$  indicating the benefits of the higher temperature treatment. Also, the saturation drain currents measured at the highest source-drain voltage in this device increase about one order of magnitude as consequence of the lower contact resistance. On the other hand, Fig. 8 b) and d) show the typical transfer characteristic curves of both  $\text{In}_2\text{O}_3$ -based TFTs. In both cases, the source-drain current versus source-gate voltage curves ( $I_{\text{DS}}-V_{\text{GS}}$ ) were measured in the current saturation region at  $V_{\text{DS}}=10$  and  $4\text{ V}$ , respectively, in forward and reverse voltage scans. No hysteresis is observed between the forward and reverse scans which sense is indicated by the arrows in the graph..

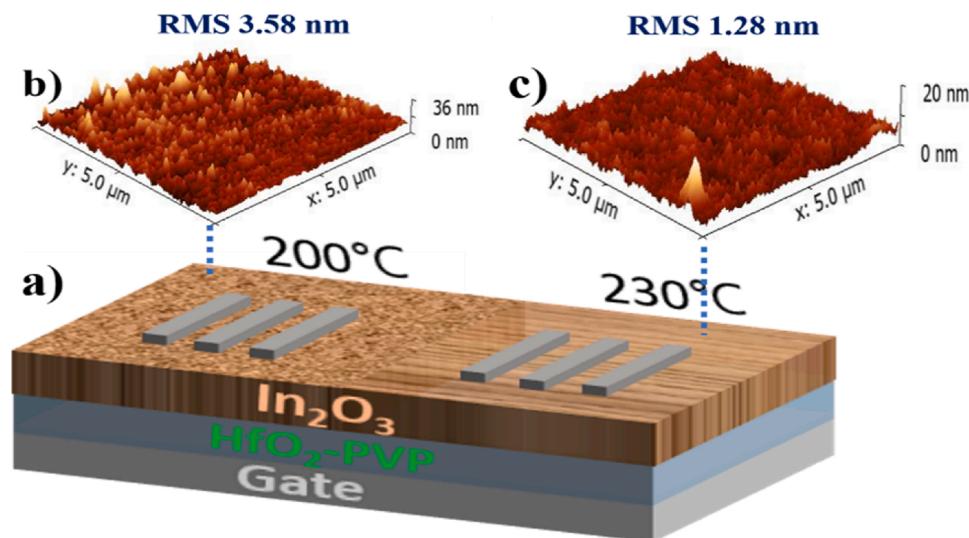


Fig. 7. a) Schematic of indium oxide ( $\text{In}_2\text{O}_3$ ) TFT structure. AFM images of  $\text{In}_2\text{O}_3$  channel layer morphology on  $\text{HfO}_2$ -PVP hybrid gate dielectric processed at b)  $200\text{ }^\circ\text{C}$  and c)  $230\text{ }^\circ\text{C}$ .

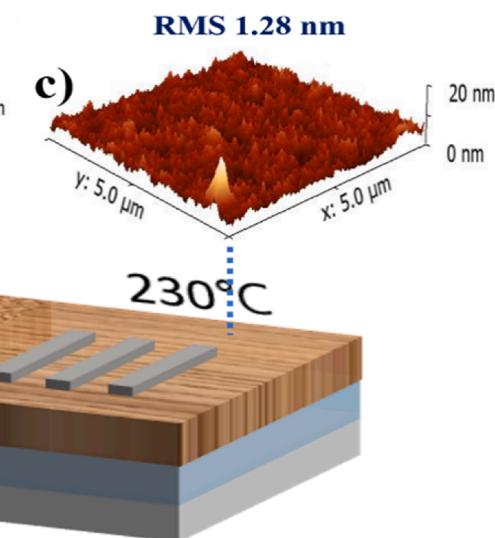
At the lowest values of  $V_{\text{GS}}$ , the drain current is of the same order of  $10^{-11}\text{ A}$  in both devices, which is the off state current. At a low turn on voltage ( $V_{\text{on}}$ ) between 0 and 1 V in both devices, the drain current starts to increase exponentially tending to saturate at values of  $10^{-7}\text{ A}$  and  $10^{-6}\text{ A}$  at the highest  $V_{\text{GS}}$  values of 10 and 5 V, for the  $200\text{ }^\circ\text{C}$  and  $230\text{ }^\circ\text{C}$ -processed devices, respectively. These are the on state currents of the TFTs, which result in the on/off current ratio of the devices of the order of  $10^4$  and  $10^5$ , respectively. The enhancement of the on state current and then of the on/off current ratio is produced by the annealing of the  $\text{In}_2\text{O}_3$  channel layer at  $230\text{ }^\circ\text{C}$ , which improves its electrical and morphological properties and reduces the channel contact resistance. The field effect mobility in the saturation region and threshold voltage of both TFTs were calculated in the saturation region from the square root drain current versus gate voltage ( $\sqrt{I_{\text{DS}}-V_{\text{GS}}}$ ) plots (blue lines) by using the following Eq. 1:

$$\mu_{\text{sat}} = \frac{2L}{WC_G} \frac{d(\sqrt{I_{\text{DS}}})^2}{d(V_G)} \quad (1)$$

where  $\mu_{\text{sat}}$  is the saturation mobility,  $L$  and  $W$  are the length and width of the TFT channel,  $C_G$  is the gate dielectric capacitance and  $d\sqrt{I_{\text{DS}}}/dV_G$  represents the slope of the straight dashed line fitting the  $\sqrt{I_{\text{DS}}-V_G}$  experimental data. On the other hand, the linear fitting of the  $I_{\text{DS}}$  curve (red and black colour) determines the subthreshold swing (SS) values according to the following Eq. 2:

$$SS = (\partial(\log I_{\text{DS}})/(\partial V_G)) \quad (2)$$

The electrical parameters calculated for both types of devices are summarized in Table 1. As expected, the temperature treatment at  $230\text{ }^\circ\text{C}$  enhances the electrical performance of the TFTs, increasing their mobility from  $0.08$  to  $2.6\text{ cm}^2/\text{V.s}$ , shifting the  $V_{\text{TH}}$  from negative,  $-0.9\text{ V}$ , (depletion mode) to positive,  $0.1\text{ V}$  (enhancement mode), value and lowering the SS value from  $770$  to  $330\text{ mV/dec}$ . The enhanced electrical performance of the  $\text{In}_2\text{O}_3$  TFTs devices when the annealing temperature increased from  $200$  to  $230\text{ }^\circ\text{C}$ , is attributed to a higher formation degree of the In-O network established in the channel surface [10]. When the  $\text{In}_2\text{O}_3$  film is processed at lower temperature, the film surface contributes a higher number of In-OH species, which tend to act as charge traps and degrades the device electrical properties. However, when the temperature increases, these hydroxyls groups are transformed into In-O bonds in the active layer increasing the film density and reducing the density of charge traps, which improved the electrical response of the TFTs. To analyze further the density of trap sites, we



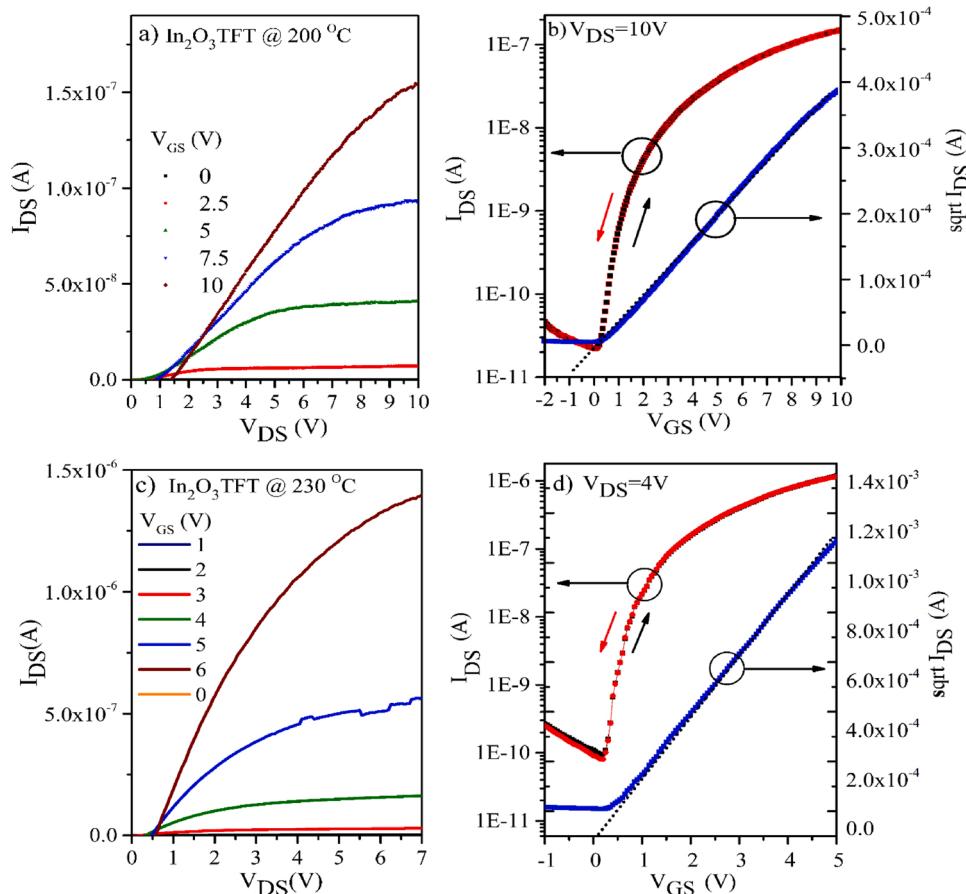


Fig. 8. Output curves of (a) 200 °C and (c) 230 °C and transfer curves of (b) 200 °C and (d) 230 °C In<sub>2</sub>O<sub>3</sub> TFTs based on HfO<sub>2</sub>-PVP hybrid dielectric.

**Table 1**  
Electrical parameters of the In<sub>2</sub>O<sub>3</sub> TFTs processed at 200 °C and 230 °C.

Temp °C	$\mu_{\text{sat}}$ (cm <sup>2</sup> /Vs)	$V_T$ (V)	$I_{\text{ON}}/I_{\text{OFF}}$	SS (mV/dec)	$N_T$ (cm <sup>2</sup> /eV)
200 °C	0.08	-0.9	$10^4$	770	$3.3 \times 10^{12}$
230 °C	2.6	0.1	$10^5$	330	$1.2 \times 10^{12}$

determined the number of traps at dielectric/semiconductor interface,  $N_T$ , from the following Eq. 3:

$$N_T = \left[ \frac{SS \log(e)}{kT/q} - 1 \right] \frac{C_i}{q} \quad (3)$$

where  $q$  is the electron charge,  $k$  is the Boltzmann constant, and  $T$  is the temperature. The computed values of  $N_T$  are  $3.3 \times 10^{12}$  and  $1.2 \times 10^{12}$  cm<sup>2</sup>/eV for the 200 °C and 230 °C-processed devices, respectively. These values are also included in Table 1. The electrical parameters of obtained In<sub>2</sub>O<sub>3</sub>-based TFTs by using HfO<sub>2</sub>-PVP hybrid gate dielectric are competing with those reported for thermally annealed at high temperature inorganic dielectrics [10,47–49].

#### 4. Conclusions

In summary, we developed a novel inorganic-organic hybrid material using hafnium oxide (HfO<sub>2</sub>) as the inorganic material and poly(vinylphenol) (PVP) as organic polymer material, synthesized via sol-gel method at a very low processing temperature under 200 °C. The obtained spin casted hybrid thin films are very homogeneous, smooth, and hydrophobic with a low surface energy, which is beneficial to achieve enhanced dielectric properties. The HfO<sub>2</sub>-PVP hybrid thin films exhibited a low leakage current density ( $\leq 10^{-9}$  A/cm<sup>2</sup> below 5 V) with

dielectric constant (6.5 at 1 kHz). These desirable dielectric properties qualify the hybrids thin films for dielectric gate layers in complete solution processed In<sub>2</sub>O<sub>3</sub>TFTs, fabricated at low temperatures below 230 °C. The obtained complete solution based In<sub>2</sub>O<sub>3</sub>TFTs achieved low operating voltages with excellent electrical characteristics such as saturation electron mobility of 2.6 cm<sup>2</sup>/V.s,  $I_{\text{on}}/I_{\text{off}}$  ratio of  $10^5$ , threshold voltage of 0.1 V and subthreshold swing of 330 mV/dec, respectively. Therefore, these results indicate that the prepared hybrid dielectric thin films are potential candidates for future solution based high performance TFTs.

#### CRediT authorship contribution statement

**M.G. Syamala Rao:** Conceptualization, Writing - original draft, Writing - review & editing. **J. Meza-Arroyo:** Validation, Resources. **K. Chandra Sekhar Reddy:** Writing, Validation, Resources. **L.N.S. Murthy:** Results and discussion. **M.S. de Urquijo-Ventura:** Methodology, Writing - original draft, Resources. **F. Garibay-Martínez:** Methodology, Writing - original draft. **J.W.P. Hsu:** Manuscript revision. **R. Ramirez-Bon:** Conceptualization, Supervision, Manuscript revision, Project administration.

#### Declaration of Competing Interest

The authors reported no declarations of interest.

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## Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.mtcomm.2021.102120>.

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