

Increased Climate Response and Earth System Sensitivity from CCSM4 to CESM2 in mid-Pliocene simulations

Ran Feng¹, Bette L. Otto-Bliesner², Esther C. Brady², and Nan Rosenbloom²

¹Department of Geosciences, University of Connecticut

²National Center for Atmospheric Research

Corresponding author: Ran Feng (ran.feng@uconn.edu)

Key Points:

- PlioMIP2 simulations are completed with Earth System Models CCSM4, CESM1 and CESM2 from the NCAR family
- Simulated mid-Pliocene climate by CESM2 features greater changes in many climate metrics than previous versions
- CESM1 and 2 match paleo-observations better than CCSM4, yet CESM2 likely overestimates the Earth System Sensitivity

Abstract

Three new equilibrium Mid-Pliocene (MP) simulations are implemented with the Community Climate System Model version 4 (CCSM4), and Community Earth System Model versions 1.2 (CESM1.2) and 2 (CESM2). All simulations are carried out with the same boundary and forcing conditions following the protocol of Pliocene Model Intercomparison Project Phase 2 (PlioMIP2). These simulations reveal amplified MP climate change relative to preindustrial going from CCSM4 to CESM2, seen in global and polar averages of surface warming, sea ice reduction in both Arctic and Antarctic, and weakened Hadley circulation. The enhanced global mean warming arises from enhanced Earth System Sensitivity (ESS) to not only CO₂ change, but also changes in boundary conditions primarily from vegetation and ice sheets. ESS is amplified by up to 70% in CCSM4, and up to 100% in CESM1.2 and CESM2 relative to the Equilibrium Climate Sensitivity of respective models. Simulations disagree on several climate metrics. Different from CCSM4, both CESM1.2 and 2 show reduction of cloud cover at all heights, and weakened Walker circulation accompanied by an El Niño-like mean state of the tropical Pacific in MP simulations relative to the preindustrial. The simulated El Niño-like mean state is consistent with paleo-observational SSTs, suggesting an improvement upon CCSM4. The performances of MP simulations are assessed with a new compilation of observational MP sea surface temperature. The model-data comparison suggests that CCSM4 is not sensitivity enough [to the mid-Pliocene forcings](#), but CESM2 [is likely too sensitive](#), especially in the tropics.

Plain Language Summary

Our knowledge of past climate evolves with both new paleo-observations and advancements in modeling past climates. Using the mid-Pliocene (MP, 3.205 million years ago) as an example, we demonstrate how to implement geological reconstructions of past topography, bathymetry, and vegetation distribution in Earth System Models (ESM), how to initialize these experiments, and finally, the new knowledge learnt from simulations with three consecutive versions of the ESMs from the same model lineage. In our simulations, the MP climate warms substantially more than the estimates which only consider changes in CO₂ radiative forcing. The simulated MP climate features strongly amplified polar warmth, massive loss of Arctic and Antarctic summer sea ice, and weakened northern hemispheric cell of the Hadley circulation. Interestingly, two newer versions of ESMs are more sensitive to not only CO₂ changes but also

changes in biome range and ice sheets than the earlier version. Paleo-observations suggest that MP global warming is underestimated by the previous versions of models, but may be overestimated by the latest version.

1 Introduction

Mid-Piacenzian (or Mid-Pliocene) Warm period (MP for short, at 3.205 Millions of years ago, Ma) has been identified as one of the key targets of the Paleoclimate Model Intercomparison Project (PMIP) (Haywood, et al, 2013b; Masson-Delmotte et al., 2013). Despite good agreement in simulating modern and preindustrial climate, Earth System models (ESMs) diverge in predicting many fundamental aspects of future climate change, including the transient melting behavior of the Arctic Sea ice (Stroeve et al., 2012), Arctic feedbacks (Pithan & Mauritsen, 2014), changes in equatorial Pacific SST pattern (Vecchi and Soden, 2007; Coats & Karnauskas, 2017; Seager et al., 2019), changes in subtropical precipitation (Collins et al., 2013), among many others. Paleo-observations can provide independent out-of-sample data to help constrain these uncertainties (Haywood, et al., 2018). To this end, Pliocene Model Intercomparison Project (PlioMIP) (Haywood, Dowsett, & Dolan, 2015; Haywood et al., 2010) and Pliocene Research, Interpretation and Synoptic Mapping Project (PRISM) (Dowsett et al., 2013; 2010; 2016) have been carried out with separate focuses on paleoclimate modeling and paleo-observational data synthesis. The simulations featured in the current study contribute to the second phase of PlioMIP (PlioMIP2). The CESM2 simulation is the CMIP6 Tier 1 experiment: *midPliocene-eoi400*.

PlioMIP2 targets at the time slice of 3.205 Ma during the Mid-Piacenzian (Dowsett et al., 2013; Haywood, et al., 2013b). The selection of 3.205 Ma allows the alignment of model simulations with the interglacial of Marine Isotope Stage (MIS) KM5C (Prescott, Haywood, Dolan, & Hunter, 2014), which occurred with present-day orbits and 400 ppm CO₂ (Haywood, et al., 2013b). The Greenland ice sheet is prescribed with results from the Pliocene Ice Sheet Modeling Project (Dolan, et al, 2012). This ice sheet configuration features only 25% modern coverage in Greenland and a deglaciated western Antarctic (Dowsett, et al., 2016). A global soil map was generated for MP (Pound, et al., 2014). A dynamic topography model was applied to estimate topographic changes due to changes in mantle convection and removal of ice sheets (Dowsett, et al., 2016). Sea level and other sedimentary information were integrated with the simulation of dynamic topography to determine changes to ocean gateways and coastal shelves,

resulting in closed Bering and Canadian Arctic Archipelago straits, exposed Sunda, Sahul, and Baltic shelves, and an exposed Hudson Bay (Dowsett, et al., 2016).

In the modeling realm, following the previous PlioMIP (PlioMIP1) and Climate Model Intercomparison Project 5 (CMIP5), many new versions of Earth System Models (ESMs) have been released, including the Community Earth System Model version 1 and 2 (CESM1 and 2). PlioMIP1 was carried out with the Community Climate System Model version 4 (CCSM4) (Rosenbloom, et al., 2013). Different from CCSM4, CESM1 features extensive updates in representing atmospheric physics including a new radiation calculation, boundary layer scheme, shallow convection scheme, and cloud microphysics (Hurrell et al., 2013). The model also incorporates a modular aerosol model (Liu et al., 2012). CESM1 shows improved simulations of cloud climatology and radiative forcing compare to CCSM4 (Kay et al., 2012), and substantial changes in cloud feedback to CO₂ warming with an overall less cloud cooling effect in response to surface warming (Gettelman, Kay, & Shell, 2012). CESM1 also has a higher equilibrium climate sensitivity (ECS) of 4 K per doubling of CO₂ (Gettelman, Kay, & Fasullo, 2013). ECS is 3.2 K in CCSM4 (Bitz et al., 2012). In published CESM1.2 PlioMIP1 simulations, the capability of simulating aerosol cloud interactions and the removal of anthropogenic pollutants are shown to substantially amplify the Arctic warmth (Feng et al., 2019). The combined changes of atmospheric physics in CESM1.2 also produce an El Niño-like equatorial Pacific response in a PlioMIP1 simulation, which is not featured in CCSM4 (Tierney, et al., 2019).

From CESM1 to CESM2, substantial updates were made to all model components (Danabasoglu, Lamarque, & Bacmeister, et al., 2019). In particular, the new land model features changes in both CO₂ and nitrogen fertilization (Fisher et al., 2019). Parameterizations of planetary boundary layer and shallow convection are now unified with the Cloud Layers Unified by Bi-Normals Parameterization (CLUBB) scheme (Bogenschutz et al., 2013). Sea ice model has now included the mushy layer physics (Turner & Hunke, 2015). ECS has increased again to 5.3 K per doubling of CO₂ (Gettelman et al., 2019).

In this study, we document the implementation of PlioMIP2 boundary conditions in three generations of models from the same lineage: CCSM4, CESM1.2, and CESM2, and quantify simulated large-scale MP climate changes relative to preindustrial (PI). Model agreements and disagreements are highlighted. A new compilation of paleo-observational sea surface temperature (SST) (Foley & Dowsett, 2019) is used to evaluate performances of these three models.

2 Materials and Methods

2.1 Experiments

2.1.1 Model components, resolution, and new ocean grid mesh created for PlioMIP2

All simulations use the released versions of the CCSM4 and CESMs. CCSM4 incorporates Community Atmospheric and Land Models version 4, Community Ice Code version 4, and Parallel Ocean Program version 2. CESM1.2 has an updated atmospheric component: Community Atmospheric Model version 5.3. The other model components are the same as CCSM4. All model components of CESM2 have been updated. The model incorporates Community Atmospheric Model version 6, Community Land Model version 5, Community Ice Code version 5, and updated Parallel Ocean Program version 2 with major changes to the estuary and vertical mixing schemes (Tseng et al., 2016; Sun, et al., 2017; Van Roekel et al., 2018). The model configurations of CCSM4 and CESM2 are consistent with the ones used for CMIP5 and CMIP6.

Following the CMIP5 and CMIP6 simulations, the atmosphere and land components in our PlioMIP2 simulations are set to 0.9° latitude by 1.25° longitude horizontal resolution. Atmosphere components feature 26, 30, and 32 levels from ~ 992.6 hPa at the bottom to ~ 3.5 hPa at the top of CCSM4, CESM1.2, and CESM2. The ocean component features 60 vertical levels from 5 m depth to 5375 m depth in all models. Both ocean and sea ice components use horizontal grid mesh of 384 by 320 grids with grid sizes identical to published CMIP simulations north of $\sim 60^\circ\text{S}$. Due to the terrestrial presence of western Antarctic ice sheet in PI and present-day boundary conditions, the default 384 by 320 ocean and sea ice grid mesh does not cover the western Antarctic. In order to simulate ocean circulation and sea ice of the western Antarctic, we add 10 meridional rows of ocean grids to the present-day grid mesh. Each row is a replica of the southmost row of the default 384 by 320 mesh. One may choose to generate new ocean grid mesh with the same number of grid cells but different grid sizes, which is commonly done in simulations of pre-Quaternary climates. However, different grid sizes may introduce artificial differences when comparing with the PI simulation, and hence, is not used here.

2.1.2 Boundary conditions

We implement the enhanced boundary conditions following the protocol of PlioMIP2 (Haywood et al., 2015; Dowsett et al., 2016). We first calculate anomalies of MP topography and

bathymetry relative to PI at 0.5° resolution (50 km). Both are derived from ETOPO dataset by the PRISM4 project (Dowsett, et al., 2016). Anomalies are then interpolated to the resolution of model components and added to the preindustrial topography and bathymetry used by CCSM4 and CESMs. Due to the lack of information of high-resolution topographic roughness, modern roughness is mapped to paleotopography by proximity, with the exception of where the ice sheets were absent during the MP. Topographic roughness from the nearest unglaciated land is prescribed to cover the deglaciated area of the Greenland and Antarctic.

MP changes to topography are typically less 500 meters (Fig. 1a and b). Bathymetric changes mainly occur in the newly opened western Antarctic coastal shelf, uplifted Mariana trench and East Pacific Rise, and around maritime continents due to mantle convection. Coastlines are adjusted to feature closed Bering and Canadian Arctic Archipelago straits, exposed Hudson Bay, Baltic sea shelf, Sunda and Sahul Shelf (Dowsett, et al., 2016). Greenland and Antarctic ice sheets are reduced (Dolan et al., 2012) (Fig. 1c and d).

The MP paleo-biome distribution was generated by blending the fossil records with BIOME4 simulations (Salzmann, et al., 2008). Although no change occurs in reconstructed biome types from PlioMIP1 to PlioMIP2 (Salzmann, et al., 2008), a more general mega-biome map is provided for PlioMIP2 (Dowsett et al., 2016). We also updated the mapping method to convert biome types to plant functional types (PFTs) used by CCSM4 and CESMs. PFTs are prescribed as boundary conditions. Yet, productivity and plant phenology are prognostic, and solved as part of the terrestrial carbon cycle in equilibrium with climate and prescribed CO₂.

Our updated mapping method includes the following steps: 1) We group the modern PFTs into groups of modern megabiome types (PFT_{BIOME-present}) defined by the BIOME4 dataset (BIOME-present). PFTs located in the same area as a megabiome type are indexed with that type. 2) We quantify the latitudinal offset of a megabiome type between its MP (BIOME-MP) and present-day location (BIOME-present) using the mean meridional Euclidian distance. 3) Interim maps of PFTs (PFT_{BIOME-i}) and megabiome types (BIOME-i) are generated by shifting PFT_{BIOME-present} and BIOME-present to their MP latitudes. 4) We map the PFTs from the PFT_{BIOME-i} to the final MP locations based on spatial correspondence function G: PFT_{BIOME-MP} = G(PFT_{BIOME-i}). G is determined with BIOME-MP = G(BIOME-i) by proximity and inverse distance weighting within a radius of 500 km. The second and third step are not included in the previous mapping method (Rosenbloom, et al., 2013). Without these steps, MP boreal forest PFTs were extrapolated

from the northern edge of the present-day boreal forest by proximity, resulting in muted changes in PFTs (Fig. 2).

Using the same approach, we apply the MP soil map (Pound, et al., 2014) to generate the soil color and organics in our MP simulations. MP lakes are prescribed according to reconstructions (Pound, et al., 2014). Yet, in CCSM4 and CESMs, lake water balance is maintained with the assumption of fixed lake depth (Oleson et al., 2010). Our test runs suggest that the atmospheric net water input cannot maintain the lake levels of the Northern African lakes. Water from the nearest estuary outlet, i.e., the Mediterranean, is extracted to maintain the lake level. To avoid this unphysical process, the Northern African lakes are replaced with the nearby PFTs in our simulations (Fig. 2).

2.1.3 Implementation

All simulations are carried out on Cheyenne supercomputer (CISL, 2017a, b), which is maintained at Computational Information Systems Lab and funded by National Science Foundation. For CCSM4 and CESM1.2, we branch out two preindustrial (PI) simulations from the long simulations (1000 years) of PI performed on the now retired Yellowstone supercomputer using CCSM4 and CESM1.0. (Gent et al., 2011; Hurrell et al., 2013). These two PI simulations are continued for an additional 180 and 300 years on Cheyenne with the same model CCSM4 and slightly updated model CESM1.2. Changing supercomputer or small model updates create no change in the net top of the atmosphere (TOA) radiation imbalance ($\sim 0.1 \text{ W/m}^2$) (Fig. 3a). Simulated global mean surface temperatures of PI are also consistent with published values (Fig. 3b). These two PI simulations (CCSM4-PI and CESM1.2-PI) serve the baseline for comparisons with MP simulations using respective models (CCSM4-MP and CESM1.2-MP). CESM2 PI simulation is part of the CMIP6 Tier 1 experiment: *piControl.001* and has recently been completed on Cheyenne (Danabasoglu et al., 2020). This simulation serves as the baseline for comparisons with the CESM2-MP simulation (CESM2-MP). Model initialization, forcing, run length, and net TOA radiation imbalance are summarized in Table 1.

Details of initializing land and ocean components are discussed here. To reduce the spin-up time of carbon-nitrogen cycle on land, we carried out separate land-only simulations with arbitrary initial conditions, and forcings generated by the coupled MP test runs using CESM1.2 (featuring Community Land Model version 4) and CESM2 (featuring Community Land Model version 5). Land initial conditions for these two coupled test runs were interpolated from the PI

runs. These two test runs were continued for ~200 years before producing the 30-year forcing data. This spin-up procedure is meant to reduce the runtime by producing a land initial state close to the MP.

Due to the high computational expenses of CESM1.2 and CESM2, we test both PI and warm initial conditions to determine a cost-effective approach for ocean initialization. 3D ocean temperature and salinity of equilibrium PI runs are mapped to the MP bathymetry based on proximity to create PI initial conditions. Warm initial conditions are created following the approach used by CCSM4 PlioMIP1 simulation (Rosenbloom et al., 2013). Sea water temperature anomalies between MP reconstructions and PI observations are added to simulated PI SSTs to create the initial ocean temperature. Salinity is initialized from the published PlioMIP1 simulation (Rosenbloom, et al., 2013).

When initialized with PI conditions, the CCSM4-MP shows rapid decline of TOA radiation imbalance within the first 50 model years, yet both CESM1.2-MP and CESM2-MP show slow decline with high TOA radiation imbalance even after 100 model years. In comparison, warm initialization reduces the TOA radiation imbalance at the beginning of CESM1.2-MP and CESM2-MP, suggesting reduced runtime to reach equilibrium (Fig. 4). Thereby, these two simulations were carried out with warm initialization. The sea ice component is initialized with zero ice content in all simulations.

2.1.4 Diagnostics of equilibrium

MP simulations are run for over 1000 years. For the last 200 years of model simulations, TOA radiation imbalance is within $\sim 0.1 \text{ W/m}^2$ of the published imbalance of the PI runs (Table 1), suggesting quasi-equilibrium. Model equilibrium is also assessed with trends of global mean surface temperature, net fluxes of ecosystem carbon exchange, and strength of the Atlantic Meridional Overturning Circulation (AMOC) (Fig. 5). For the final 200 model years, the calculated trends of these quantities are all within 5% changes per century. Nonetheless, global mean ocean temperatures still show detectable trends in three simulations. Deep ocean is known difficult to reach equilibrium even in multi-millennial simulations (Brady, et al., 2013; Rugenstein et al., 2019).

2.2 Analysis of results

MP climate change is quantified as the difference in climatology between the MP and PI simulations using the same model. The climatology is calculated as average of the last 100 model

years. We also calculate a series of climate metrics to quantify large-scale climate change. Definitions and references of these metrics are provided in Table 2.

3 Results

Metrics of simulated global mean surface condition, moist state, and changes of the atmosphere and ocean circulation are shown in Table 3, 4, and 5. Model agreements and disagreements are described in the following sections.

3.1 Global mean surface climate

Among three versions of the simulations, CESM2-MP features the greatest changes in surface climate, CCSM4-MP features the smallest (Table 3). Surface warming (both in the air temperature and SST) is strongly amplified towards high latitudes with greater amplification in the northern high latitudes than the southern high latitudes. This warming pattern is consistent with previous findings of PlioMIP1 (Haywood, et al., 2013a) and paleo-observations (Dowsett et al., 2012). Moreover, our MP simulations show substantial reduction of annual sea ice in both Arctic and Antarctic regions. In particular, the Arctic Ocean is sea ice free during the boreal summer (August to October) in CESM1.2 and CESM2. Southern Ocean is nearly sea ice free during the austral summer (February to April) in CESM2 (Fig. 6) (Table 3).

Despite enhanced Arctic warming from CCSM4 to CESM2, the relative strength of Arctic feedbacks to global mean decreases. Using a linear feedback framework (e.g., Goosse et al., 2018), the total feedback factor (γ), which measures the relative strength of all feedbacks to the strength of Planck's feedback, can be estimated as: $\gamma = 1 - \frac{\Delta T_0}{\Delta T_s}$, ΔT_0 and ΔT_s are the surface temperature change due to Planck's feedback and due to all feedbacks respectively. γ scales positively with ΔT_s and negatively with ΔT_0 . We assume that intermodal difference is small for global and Arctic ΔT_0 . This is generally the case in multi-model ensembles (Gettelman et al., 2012; 2019; Pitan et al., 2014; Zelinka et al., 2020) and supported by the similar reference state of the global and Arctic mean climate in PI simulations. As such, in our MP simulations, the difference in feedback factor scales with the difference in surface temperature change relative to PI: $\gamma \sim \Delta T_s$.

We use the ratio of Arctic (ΔT_{sp}) to global mean ΔT_s (ΔT_{sg}) to compare Arctic amplification of feedback strength between our simulations: $\frac{\Delta T_{sp}}{\Delta T_{sg}}$ is 3.0 in CCSM4 and CESM1.2 but only 2.4 in CESM2, suggesting weakened Arctic amplification of feedbacks. As a result, the

enhanced Arctic surface warming in CESM1.2 and CESM2 is likely a result of warmer global mean climate instead of amplified Arctic feedback strength. (Table 3).

3.2 Global mean precipitation and cloud

Global mean annual precipitation increases in all MP simulations (Table 4). The rate of precipitation increase is around 2% per degree of surface warming, consistent with previous modeling studies (Held & Soden, 2006). This result suggests weakened convective mass overturning in response to surface warming (Held & Soden, 2006), which is also shown in the relative smaller increase in the convective precipitation compared to the increase of total precipitation (Table 4).

Cloud coverage increases in CCSM4-MP but decreases in both CESM1.2-MP and CESM2-MP. (Table 4). This inter-model disagreement is consistent with changes of relative humidity in the troposphere. CCSM4-MP features 11.6% increase in specific humidity per degree of tropospheric warming, this ratio is 8.7% and 7.5% in CESM1.2-MP and CESM2-MP. The increase in specific humidity of CCSM4-MP far exceeds the increase in saturation vapor pressure (7% per degree of warming), suggesting increasing relative humidity of the troposphere, consistent with increasing condensation and cloud cover.

3.3 Tropical Circulation change and model dependency

Hadley Circulation (HC) weakens in all MP simulations (Table 5) (Fig. 7). This weakening primarily occurs in the Northern Hemisphere with 10 to 20% reduction in overturning mass flux. The Southern Hemisphere mainly displays changes in the HC morphology (Table 5). Weakening of the HC is broadly consistent with the weakening of tropical convective mass overturning manifested in the slower increase of precipitation relative to the increase of lower tropospheric water vapor content (Held and Soden, 2006). Yet, simulations of future climate tend to show more robust weakening of the Walker circulation than the HC (Vecchi and Soden, 2007). This discrepancy between simulations of the MP and future may be attributable to the non-CO₂ changes in MP boundary conditions.

The hemispherically asymmetric weakening of the HC seemingly coincides with the hemispherically asymmetric changes in meridional SST structure. Mean SST contrast between the tropics (15°S – 15°N) and extratropics (40°N/S – 70°N/S) decreases by ~3 - 4°C in the northern hemisphere, but only by ~1°C in the southern hemisphere (Table 1). The connection between changing meridional temperature structure and the strength or symmetry of the HC has been

proposed based on previous experiments with prescribed SSTs (Rind, 1998; Brierley et al., 2009; Carrapa, Clementz, & Feng, 2019; Feng, Poulsen, & Werner, 2016), radiation flux adjustments (Burls & Fedorov, 2017; Frierson & Hwang, 2012; Frierson et al., 2013), and coupled Earth System simulations (Chiang and Friedman, 2012; Friedman et al., 2013). The connection can be established through meridional heat transport: heating from the extratropics in both hemispheres or in one hemisphere can alter the radiation imbalance of the atmosphere, leading to changes in the strength (Rind, 1998; Burls & Fedorov, 2017; Carrapa et al., 2019), or hemispheric symmetry of the atmospheric heat transport and HC (Frierson & Hwang, 2012; Frierson et al., 2013; Chiang and Friedman, 2012; Friedman et al., 2013).

This proposed connection cannot explain the intermodal difference in simulated HC strength. From CCSM4-MP to CESM2-MP, despite a greater weakening of the northern hemisphere HC strength, changes in the meridional atmospheric heat transport go from a strong reduction in CCSM4-MP to nearly constant in CESM2-MP in the northern extratropics (Fig. 8).

Model dependency is also seen in the simulated MP Walker circulation changes. CCSM4-MP simulates strengthened Walker circulation, whereas, both CESM1.2-MP and CESM2-MP simulate small (-0.7%) to substantial (-9.0%) weakening of the Walker circulation (Table 3). This inter-model spread is well coupled to the inter-model spread of changes in the east-west SST contrast across the equatorial Pacific, which increases by 0.3°C in CCSM4-MP, but decreases by 0.3°C and 1°C in CESM1.2-MP and CESM2-MP relative to the PI (Fig. 9). Both simulations also feature weakened upwelling in the upper ocean of the eastern equatorial Pacific (Fig. 9). Consequently, coupled atmosphere-ocean state of the tropical Pacific becomes El Niño-like in both CESM1.2-MP and CESM2-MP, but remains unchanged in CCSM4-MP.

The El Niño-like warming pattern has been identified in instrumental observations of tropical SST during the 1980s and 90s, and attributed to the greater response of cloud cover and albedo to CO₂ increase in the eastern equatorial Pacific (Meehl and Washington, 1996; Xie et al., 2020) even without ocean dynamical contributions (Vecchi and Soden, 2007; Xie et al., 2020). Yet, the debate remains open on whether or not this feature originates from model bias in simulating equatorial Pacific cold tongue (Seager et al., 2019). An El Niño-like warming pattern implies an overall positive cloud feedback in the eastern equatorial Pacific (Zhou et al., 2017), and higher climate sensitivity to CO₂ forcing compared to an uniform warming pattern or an La Niña-like pattern (Clement et al., 1996; Xie et al., 2020). Most CMIP6 models now feature an El Niño-

like warming pattern in response to CO₂ increase (Xie et al., 2020). An El Niño-like warming pattern is also seen in observed MP SSTs (Fedorov et al., 2013; Tierney et al., 2019), supporting the simulations by CESM1.2 and 2.

3.4 Atlantic Meridional Overturning Circulation (AMOC)

Different from the PlioMIP1 simulations by CCSM4 and CESM1.2 (Rosenbloom et al., 2013; Feng et al., 2019), our experiments show strengthened AMOC compared to PI corresponding to increased salinity in the north Atlantic (Fig. 10). PlioMIP1 features open Bering and Canadian Arctic Archipelago straits (Haywood et al., 2013). Subsequent sensitivity studies find that closure of those Straits can enhance AMOC in several models (Brierley and Fedorov, 2016; Hill et al., 2015; Otto-Bliesner, et al., 2017) through restricting the fresher water export from the Arctic towards the north Atlantic, which weakens the overall vertical stratification of the North Atlantic (Otto-Bliesner et al., 2017). The consistent response between previous sensitivity studies and ours highlights the importance of Arctic Ocean gateways in modulating the strength of AMOC. The effect of CO₂ and other boundary condition changes on simulated MP AMOC strength is likely secondary.

4 Discussion

4.1 Enhanced Earth System Sensitivity from CCSM4 to CESM2

Earth System Sensitivity (ESS) describes the long-term equilibrium surface temperature response to a doubling of CO₂, which measures long-term feedbacks from changes in ocean circulation, vegetation distribution, and ice sheets in addition to short-term feedbacks, but excludes feedbacks from carbon cycle such as marine productivity or weathering (Lunt et al., 2009). Estimates of ESS from MP simulations are known greater than the equilibrium climate sensitivity (ECS) (Haywood, Hill, et al., 2013a; Lunt et al., 2009), which only measures the sub-millennial time scale feedbacks in the climate system.

In our simulations, the global mean warming solely from CO₂ radiative forcing can be estimated with the known model ECSs. Using the MP and preindustrial CO₂ ($\ln(400/284.7)$) and ECSs of individual models, we estimate CO₂ induced global warming of 1.6°C, 2.0°C, and 2.6°C in CCSM4-MP, CESM1.2-MP, and CESM2-MP. Increasing ECS in CESM2 (Gettelman et al., 2019) and in many CMIP6 models has been attributed to the incorporation of aerosol-cloud interactions into the model and a stronger positive extratropical cloud feedback (Zelinka et al., 2020). Nonetheless, a greater ECS is insufficient to explain the increase in surface warming from

CCSM4-MP to CESM2-MP (Table 2). Changes in boundary conditions amplify the simulated global mean warming by 70% of the ECS-estimated warming in CCSM4, but by 100% in both CESM1.2 and CESM2 (Table 2).

Despite ice sheets and PFTs are prescribed in our MP simulations, the terrestrial carbon cycle and plant phenology are prognostic and dependent on both model versions and simulated climates. The radiative forcing efficacy associated with changing vegetation and ice sheets may also depend on simulated climate states. Similarly, although prescribed emissions of aerosols and precursors are the same for MP and PI experiments, climatically important aerosols from the surface such as dust and sea salt are semi-prognostic. Aerosol transport and removal are also prognostic. Moreover, CESM1 and CESM2 predict binned size distribution and hygroscopicity of aerosol particles (Liu et al., 2012; 2016), and aerosol-cloud interactions (Morrison and Gettelman, 2008; Gettelman et al., 2015), which are not included in CCSM4. Consequently, simulated aerosol conditions and forcings are likely different between experiments. Changing aerosol conditions during the MP may have a substantial radiative effect (Unger and Yue, 2014; Sagoo and Storelvmo, 2017). Future work will explore this effect together with the radiative effect due to changes in vegetation and ice sheets in order to pinpoint the source(s) of the amplified ESS relative to ECS.

Instead, changes in topography, soil, and lakes reflect plate tectonics and weathering, and are independent of ESS. Topographic changes are generally small, ranging from a minimum of -280 m to a maximum of 529 m in all simulations. Global temperature responses to MP changes in soil and lake distribution (Pound, et al, 2014), and closure of Bering and Canadian Arctic Archipelago straits (Feng et al., 2017; Otto-Bliesner et al., 2017) and Indonesian Seaway (Brierley and Fedorov, 2016) are also found to be small.

The high ESS to ECS ratio highlights the long-term warming potential of the Earth System at millennial time scale. From CCSM4 to CESMs, there is a clear amplification of ESS beyond the increase of ECS. Geological reconstructions of warm periods of Neogene (since 23 millions of years ago) may support a high ESS to ECS ratio. For example, global mean SST is estimated to be 5 – 6°C warmer than PI during the early-to-middle Miocene (Goldner, Herold, & Huber, 2014), yet CO₂ estimates are mostly under 500 ppm (Foster, Lear, & Rae, 2012; Londoño et al., 2018).

4.2 Can paleo-observations of MP SSTs help evaluate skills of CCSM4-MP, CESM1.2-MP, and CESM2-MP?

An important goal of paleoclimate modeling is to sample model structural uncertainties with a set of boundary and forcing conditions outside the model calibration range. Comparisons between model simulations and paleo-observations can provide insights into model skill (e.g., Haywood, et al., 2019). In practice, this exercise is often complicated by the uncertainty and sparsity of paleo-observations. With coordinated effort, two new MP SST datasets are now available through compiling data within a narrow age constraint of the targeted MP interval (Foley and Dowsett, 2019, FD hereafter) and applying multiple calibrations (McClymont et al., 2020). These datasets minimize the uncertainty due to unresolved glacial-interglacial cycles in the records, which is known to complicate the MP model-data comparison (Feng et al., 2017; Prescott et al., 2014; Salzmann et al., 2013). Here, we used the FD dataset to help rank the skill of three models in simulating MP climate. A detailed discussion on site-by-site comparison between PlioMIP2 model ensemble and paleo-observational SSTs, and limitations of paleo-observations can be found in Haywood et al., (2020) and McClymont et al., (2020).

4.2.1 Assessment of global mean surface temperature

Due to the sparse sampling, it is unclear whether the observational SSTs contain enough information to estimate the global mean surface warming of the MP. We address this question by examining the relationship between global mean surface warming ($\Delta\{Ts\}_{\text{global-model}}$) and simulated mean SST warming averaged across paleo-observation sites ($\Delta\{SST\}_{\text{sites-model}}$) (Fig. 11). $\Delta\{Ts\}_{\text{global-model}}$ and $\Delta\{SST\}_{\text{sites-model}}$ are calculated for each 30-yr period of the last 900 model years of MP simulations. Surface temperature (ΔTs) and SST anomalies (ΔSST) are calculated relative to the last 100-year averages of the PI simulations. Treating each pair of $\Delta\{Ts\}_{\text{global-model}}$ and $\Delta\{SST\}_{\text{sites-model}}$ as one realization of climate mean state, we notice an excellent linear fit between $\Delta\{Ts\}_{\text{global-model}}$ and $\Delta\{SST\}_{\text{sites-model}}$ across three simulations, suggesting strong predictive power of $\Delta\{SST\}_{\text{sites-model}}$ for $\Delta\{Ts\}_{\text{global-model}}$ (Fig. 11).

Observed SST warming ($\Delta\{SST\}_{\text{sites-obs}}$) is then calculated by averaging the differences between observed MP SSTs and mean SSTs of 1871 to 1900 (using the HadISST data, Rayner et al., 2003) across all paleo-observational sites. The one standard deviations (1σ) of individual paleo-observations are propagated to the 1σ of $\Delta\{SST\}_{\text{site-obs}}$ assuming Gaussian distributions of paleo-SSTs and site independency. $\Delta\{SST\}_{\text{sites-obs}}$ is 3.6 ± 0.6 °C, much higher than the equilibrium $\Delta\{SST\}_{\text{sites-model}}$ of CCSM4-MP, but closer to CESM1.2-MP and CESM2-MP. CESM1.2-MP

underestimates $\Delta\{Ts\}$, whereas CESM2-MP overestimates $\Delta\{Ts\}$, suggesting underestimating and overestimating ESS by these two models (Fig. 11).

4.2.2 Assessment of meridional SST structure

A key spatial signature of the MP SST warming is the northern high latitude amplification. SST changes are muted in the tropics and are relatively small in the southern high latitudes (Dowsett et al., 2012; Haywood, Hill, et al., 2013c; McClymont et al., 2020). This meridional structure is known challenging for CCSM4 to capture (Feng et al., 2017; Rosenbloom et al., 2013) (Fig. 12).

We treat observed paleo-SST anomalies relative to the 1871 – 1990 averages (ΔSST_{obs}) as random samples of zonal mean ΔSST_{obs} . A polynomial fit using $\sin(\varphi)$ as the basis (φ : latitude in radian) is used to estimate distribution of zonal mean ΔSST_{obs} (Fig. 12). The choice of $\sin(\varphi)$ is to account for the hemispherically asymmetric amplification of the SST warming. Statistically significant fit is achieved with the second order polynomial. P-value is 0.03 through the likelihood ratio test against an intercept-only model. The polynomial fit well captures the observed SST warming structure.

To account for the zonal heterogeneity in paleo-observations, we estimate one standard deviation (1σ) of predicted zonal mean ΔSST_{obs} by polynomial fit (Fig. 12, dash lines). Of all latitudes where paleo-observations are available, 27% of the simulated zonal mean ΔSST s by CCSM4 are within 1σ of the predicted zonal mean ΔSST_{obs} . This percentage is 78% for CESM1.2 and 43% for CESM2. CESM1.2 shows overall the best skill at capturing the meridional warming structure of the MP SST. Within the tropics ($20^\circ S - 20^\circ N$), simulated zonal mean ΔSST by CESM2 is the warmest among three models and mostly above the 1σ of zonal mean ΔSST_{obs} , consistent with CESM2's highest ECS and potential overestimate of ESS.

Fig. 13 shows the spatial distribution of ΔSST_{model} and ΔSST_{obs} . From CCSM4-MP to CESM2-MP, ΔSST_{model} displays a closer match to ΔSST_{obs} in the North Atlantic with the mean difference decreasing from $-2.8^\circ C$ to $-0.3^\circ C$. CESM1.2-MP shows the best match ($0^\circ C$ mean difference) with ΔSST_{obs} at tropical sites ($<20^\circ N/S$), whereas CCSM4-MP underestimates ($-1.0^\circ C$ mean difference) and CESM2-MP overestimates ($+1^\circ C$ mean difference) tropical SSTs. Persistent model-observation mismatch remains high around Benguela current, Gulf Stream, North Atlantic current and Mediterranean. These mismatches are also noticed in MP multimodal ensembles (McClymont et al., 2020). These regional mismatches may come from high spatial heterogeneity

of ocean currents and insufficient model resolution. High-resolution is shown to improve skills of CCSM4 and CESM1 in simulating present-day upwelling and current systems (Gent, et al., 2009; Small et al., 2014). Along the upwelling zones and its surroundings, amplified warming may be generated by the weakening of upwelling-favorable wind events (Li et al., 2019) and optimal combinations of ocean stratification, wind stress, and alongshore pressure gradient (Miller & Tziperman, 2017). These conditions may not be featured in the fully coupled simulations.

5 Conclusions

Three versions of Earth System Models maintained at National Center for Atmospheric Research are applied to simulate mid-Piacenzian warm period, a target interval of Paleoclimate Model Intercomparison Project. All three simulations agree in the signs of MP changes of global mean and meridional structure of surface temperature, precipitation, sea ice cover, Hadley Circulation, and Atlantic meridional overturning circulation relative to preindustrial. Specifically, global mean surface air temperature increases by 2.7°C in CCSM4 and 5.2°C in CESM2. Surface warming is amplified in the polar region. Arctic amplification is 3 times global mean in CCSM4 and CESM1.2, and 2.4 times in CESM2. CESM2 features near complete sea ice free conditions during both Arctic and Antarctic summer. Summer sea ice remains present in both Arctic and Antarctic in CCSM4. Precipitation increases by 5% in CCSM4 and 11% in CESM2 relative to PI, slower than the expected increase of surface saturation vapor pressure, suggesting slowing down of convective mass overturning. Hadley circulation weakens by 10 to 22% in the northern hemisphere. AMOC strengthens by 3 to 8 Sv. All simulations demonstrate greater Earth System Sensitivity (ESS) compared to the Equilibrium Climate Sensitivity (ECS). ESS is amplified by 70% in CCSM4 and 100% in CESM1.2 and CESM2 relative to ECS.

Simulations disagree in the signs of global mean cloud changes relative to preindustrial: CCSM4 shows small increase in both low and high cloud covers; both CESM1.2 and CESM2 show reduction of cloud covers at all heights. Simulations also disagree in changes of the Walker circulation and mean climate state of the tropical Pacific. CCSM4-MP shows strengthened Walker circulation, and unchanged mean climate state of the tropical Pacific relative to preindustrial. Both CESM1.2-MP and CESM2-MP show weakened Walker circulation and an El Niño-like tropical Pacific state, an improvement towards matching observed SSTs of the MP.

Finally, we find that MP SST observations are sufficient to rank simulation skill between models. Based on those data, MP global mean surface warming is largely underestimated by CCSM4, but overestimated by CESM2. Both CESM1.2 and CESM2 are better at capturing the amplified northern high latitude warming than CCSM4. Yet, CESM2 overestimates warming in the tropics. Overall, paleoclimate constraints from the MP suggest that CESM2 may be too sensitive to forcings from CO₂, vegetation, and ice sheet changes.

Acknowledgments and Data

The authors are grateful for the helpful insights about paleo-observation data from Harry Dowsett, PlioMIP2 boundary condition design from Alan Haywood and Aisling Dolan, estuary box model from Y.-H. Tseng, land model boundary conditions from Erik Kluzek, land model initialization from Keith Olson, and land model debugging from Bill Sacks. We would also like to acknowledge high-performance computing support from Cheyenne (doi:10.5065/D6RX99HX) provided by NCAR's Computational and Information Systems Laboratory, sponsored by the National Science Foundation. This research is sponsored by NSF grant 1903650 to R. Feng, 1418411 to Bette L. Otto-Bliesner. The CESM project is supported primarily by the National Science Foundation (NSF). This material is based upon work supported by the National Center for Atmospheric Research (NCAR), which is a major facility sponsored by the NSF under Cooperative Agreement No. 1852977. Computing and data storage resources, including the Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided by the Computational and Information Systems Laboratory (CISL) at NCAR.

Open Research

Simulation data are available through Climate Data Gateway maintained at NCAR. CESM2 run is available through Earth System Grid, and is part of NCAR's contribution to CMIP6.

References

- Pound, M. J., Tindall, J., & Pickering, S. J. (2014a). Late Pliocene lakes and soils: a global data set for the analysis of climate feedbacks in a warmer world. *Climate of the ...*
- Rosenbloom, N. A., Otto-Bliesner, B. L., Brady, E. C., & Lawrence, P. J. (2013b). Simulating the mid-Pliocene Warm Period with the CCSM4 model. *Geoscientific Model Development*, 6(2), 549–561. <http://doi.org/10.5194/gmd-6-549-2013>

- 500 Bitz, C. M., Shell, K. M., Gent, P. R., Bailey, D. A., Danabasoglu, G., Armour, K. C., et al.
501 (2012). Climate sensitivity of the community climate system model, version 4. *Journal of*
502 *Climate*, 25(9), 3053–3070.
- 503 Bogenschutz, P. A., Gettelman, A., Morrison, H., Larson, V. E., Craig, C., & Schanen, D. P.
504 (2013). Higher-order turbulence closure and its impact on climate simulations in the
505 Community Atmosphere Model. *Journal of Climate*, 26(23), 9655–9676.
- 506 Brady, E. C., Otto-Bliesner, B. L., Kay, J. E., & Rosenbloom, N. (2013). Sensitivity to glacial
507 forcing in the CCSM4. *Journal of Climate*, 26(6), 1901–1925.
- 508 Brierley, C. M., Fedorov, A. V., Liu, Z., Herbert, T. D., Lawrence, K. T., & LaRiviere, J. P.
509 (2009). Greatly Expanded Tropical Warm Pool and Weakened Hadley Circulation in the
510 Early Pliocene. *Science*, 323(5922), 1714–1718. <http://doi.org/10.1126/science.1167625>
- 511 Brierley, C.M. and Fedorov, A.V., (2016). Comparing the impacts of Miocene–Pliocene changes
512 in inter-ocean gateways on climate: Central American Seaway, Bering Strait, and Indonesia.
513 *Earth and Planetary Science Letters*, 444, pp.116-130.
- 514 Burls, N. J., & Fedorov, A. V. (2017). Wetter subtropics in a warmer world: Contrasting past and
515 future hydrological cycles. *Proceedings of the National Academy of Sciences*, 114(49),
516 12888–12893.
- 517 Carrapa, B., Clementz, M., & Feng, R. (2019). Ecological and hydroclimate responses to
518 strengthening of the Hadley circulation in South America during the Late Miocene cooling.
519 *Proceedings of the National Academy of Sciences*, 116(20), 9747–9752.
- 520 Chiang, J.C. and Friedman, A.R., 2012. Extratropical cooling, interhemispheric thermal
521 gradients, and tropical climate change. *Annual Review of Earth and Planetary Sciences*, 40.
- 522 Clement, A.C., Seager, R., Cane, M.A. and Zebiak, S.E., 1996. An ocean dynamical thermostat.
523 *Journal of Climate*, 9(9), pp.2190-2196.
- 524 Coats, S., & Karnauskas, K. B. (2017). Are simulated and observed twentieth century tropical
525 Pacific sea surface temperature trends significant relative to internal variability? *Geophysical*
526 *Research Letters*, 44(19), 9928–9937.
- 527 Collins, M., Knutti, R., Arblaster, J., Dufresne, J.-L., Fichet, T., Friedlingstein, P., et al. (2013).
528 Long-term climate change: projections, commitments and irreversibility, 1029–1136.
- 529 Computational and Information Systems Laboratory. 2017a. Cheyenne: HPE/SGI ICE XA
530 System (Climate Simulation Laboratory). Boulder, CO: National Center for Atmospheric
531 Research. doi:10.5065/D6RX99HX.
- 532 Computational and Information Systems Laboratory. 2017b. Cheyenne: HPE/SGI ICE XA
533 System (University Community Computing). Boulder, CO: National Center for Atmospheric
534 Research. doi:10.5065/D6RX99HX.
- 535 Danabasoglu, G., Lamarque, J.F., Bacmeister, J., Bailey, D.A., DuVivier, A.K., Edwards, J.,
536 Emmons, L.K., Fasullo, J., Garcia, R., Gettelman, A. and Hannay, C., (2020). The
537 community earth system model version 2 (CESM2). *Journal of Advances in Modeling Earth*
538 *Systems*, 12(2), p.e2019MS001916.
- 539 Dolan, A. M., Koenig, S. J., Hill, D. J., Haywood, A. M., & DeConto, R. M. (2012). Pliocene ice
540 sheet modelling intercomparison project (PLISMIP)–experimental design. *Geoscientific*
541 *Model Development*, 5(4), 963–974.
- 542 Dowsett, H. J., Robinson, M. M., Haywood, A. M., Hill, D. J., Dolan, A. M., Stoll, D. K., et al.
543 (2012). Assessing confidence in Pliocene sea surface temperatures to evaluate predictive
544 models. *Nature Climate Change*, 2(5), 365–371. <http://doi.org/10.1038/NCLIMATE1455>

- Dowsett, H. J., Robinson, M. M., Stoll, D. K., Foley, K. M., Johnson, A. L. A., Williams, M., & Riesselman, C. R. (2013). The PRISM (Pliocene palaeoclimate) reconstruction: time for a paradigm shift. *Philosophical Transactions of the Royal Society of London a: Mathematical, Physical and Engineering Sciences*, 371(2011), 20120524. <http://doi.org/10.1098/rsta.2012.0524>
- Dowsett, H., Dolan, A., Rowley, D., Moucha, R., Forte, A. M., Mitrovica, J. X., Pound, M., Salzmann, U., Robinson, M., & Chandler, M. (2016a). The PRISM4 (mid-Piacenzian) paleoenvironmental reconstruction. *Climate of the Past*, 12(7), 1519–1538.
- Fedorov, A. V., Brierley, C. M., Lawrence, K. T., Liu, Z., Dekens, P. S., & Ravelo, A. C. (2013). Patterns and mechanisms of early Pliocene warmth. *Nature*, 496(7443), 43–49. <http://doi.org/10.1038/nature12003>
- Feng, R., Otto-Bliesner, B. L., Fletcher, T. L., Tabor, C. R., Ballantyne, A. P., & Brady, E. C. (2017). Amplified Late Pliocene terrestrial warmth in northern high latitudes from greater radiative forcing and closed Arctic Ocean gateways. *Earth and Planetary Science Letters*, 466, 129–138.
- Feng, R., Otto-Bliesner, B. L., Xu, Y., Brady, E., Fletcher, T., & Ballantyne, A. (2019). Contributions of aerosol-cloud interactions to mid-Piacenzian seasonally sea ice-free Arctic Ocean. *Geophysical Research Letters*, 46(16), 9920–9929.
- Feng, R., Poulsen, C. J., & Werner, M. (2016). Tropical circulation intensification and tectonic extension recorded by Neogene terrestrial $\delta^{18}\text{O}$ records of the western United States. *Geology*, 44(11), 971–974.
- Fisher, R. A., Wieder, W. R., Sanderson, B. M., Koven, C. D., Oleson, K. W., Xu, C., et al. (2019). Parametric controls on vegetation responses to biogeochemical forcing in the CLM5. *Journal of Advances in Modeling Earth Systems*, 11(9), 2879–2895.
- Foley, K.M., and Dowsett, H.J., (2019), Community sourced mid-Piacenzian sea surface temperature (SST) data: U.S. Geological Survey data release, <https://doi.org/10.5066/P9YP3DTV>
- Foster, G. L., Lear, C. H., & Rae, J. W. (2012). The evolution of $p\text{CO}_2$, ice volume and climate during the middle Miocene. *Earth and Planetary Science Letters*, 341, 243–254.
- Frajka-Williams, E., Ansorge, I. J., Baehr, J., Bryden, H. L., Chidichimo, M. P., Cunningham, S. A., et al. (2019). Atlantic Meridional Overturning Circulation: Observed transports and variability. *Frontiers in Marine Science*, 6, 260.
- Friedman, A.R., Hwang, Y.T., Chiang, J.C. and Frierson, D.M., 2013. Interhemispheric temperature asymmetry over the twentieth century and in future projections. *Journal of Climate*, 26(15), pp.5419–5433.
- Frierson, D. M., & Hwang, Y.-T. (2012). Extratropical influence on ITCZ shifts in slab ocean simulations of global warming. *Journal of Climate*, 25(2), 720–733.
- Frierson, D. M., Hwang, Y.-T., Fučkar, N. S., Seager, R., Kang, S. M., Donohoe, A., et al. (2013). Contribution of ocean overturning circulation to tropical rainfall peak in the Northern Hemisphere. *Nature Geoscience*, 6(11), 940.
- Gent, P. R., Danabasoglu, G., Donner, L. J., Holland, M. M., Hunke, E. C., Jayne, S. R., et al. (2011). The community climate system model version 4. *Journal of Climate*, 24(19), 4973–4991. <http://doi.org/10.1175/2011JCLI4083.1>
- Gent, P. R., Yeager, S. G., Neale, R. B., Levis, S., & Bailey, D. A. (2009). Improvements in a half degree atmosphere/land version of the CCSM. *Climate Dynamics*, 34(6), 819–833. <http://doi.org/10.1007/s00382-009-0614-8>

- 591 Gettelman, A., Hannay, C., Bacmeister, J. T., Neale, R. B., Pendergrass, A. G., Danabasoglu, G.,
592 et al. (2019). High Climate Sensitivity in the Community Earth System Model Version 2
593 (CESM2). *Geophysical Research Letters*, 46(14), 8329–8337.
- 594 Gettelman, A., Kay, J. E., & Fasullo, J. T. (2013). Spatial Decomposition of Climate Feedbacks
595 in the Community Earth System Model. *Journal of Climate*, 26(11), 3544–3561.
596 <http://doi.org/10.1175/JCLI-D-12-00497.1>
- 597 Gettelman, A., Kay, J. E., & Shell, K. M. (2012). The evolution of climate sensitivity and
598 climate feedbacks in the Community Atmosphere Model. *Journal of Climate*, 25(5), 1453–
599 1469.
- 600 Gettelman, A., Morrison, H., Santos, S., Bogenschutz, P. and Caldwell, P.M., 2015. Advanced
601 two-moment bulk microphysics for global models. Part II: Global model solutions and
602 aerosol–cloud interactions. *Journal of Climate*, 28(3), pp.1288-1307.
- 603 Goldner, A., Herold, N., & Huber, M. (2014). The challenge of simulating the warmth of the
604 mid-Miocene climatic optimum in CESM1. *Climate of the Past*, 10, 536.
605 <http://doi.org/10.5194/cp-10-523-2014>
- 606 Goose, H., Kay, J. E., Armour, K. C., Bodas-Salcedo, A., Chepfer, H., Docquier, D., et al.
607 (2018). Quantifying climate feedbacks in polar regions. *Nature Communications*, 9(1), 1919.
- 608 Haywood, A. M., Dolan, A. M., Pickering, S. J., Dowsett, H. J., McClymont, E. L., Prescott, C.
609 L., Salzmann, U., Hill, D. J., Hunter, S. J., & Lunt, D. J. (2013a). On the identification of a
610 Pliocene time slice for data–model comparison. *Philosophical Transactions of the Royal
611 Society of London a: Mathematical, Physical and Engineering Sciences*, 371(2001),
612 20120515.
- 613 Haywood, A. M., Dowsett, H. J., & Dolan, A. M. (2015). Pliocene Model Intercomparison
614 (PlioMIP) Phase 2: scientific objectives and experimental design. *Climate of the Past*.
- 615 Haywood, A. M., Hill, D. J., Dolan, A. M., Otto-Bliesner, B. L., Bragg, F., Chan, W. L., et al.
616 (2013bc). Large-scale features of Pliocene climate: results from the Pliocene Model
617 Intercomparison Project. *EPIC3Clim. Past, Copernicus Publications*, 9, Pp. 191-209, ISSN:
618 1814-9324. <http://doi.org/10.5194/cp-9-191-2013>
- 619 Haywood, A. M., Valdes, P. J., Aze, T., Barlow, N., Burke, A., Dolan, A. M., Heydt, Von Der,
620 A. S., Hill, D. J., Jamieson, S., & Otto-Bliesner, B. L. (2019). What can Palaeoclimate
621 Modelling do for you? *Earth Systems and Environment*, 3(1), 1–18.
- 622 Haywood, A., Dowsett, H., Otto-Bliesner, B., Chandler, M., Dolan, A., Hill, D., et al. (2010).
623 Pliocene model intercomparison project (PlioMIP): experimental design and boundary
624 conditions (experiment 1). *Geoscientific Model Development*, 3(1), 227–242.
- 625 Haywood, A. M., Tindall, J. C., Dowsett, H. J., Dolan, A. M., Foley, K. M., Hunter, S. J., Hill,
626 D. J., Chan, W.-L., Abe-Ouchi, A., Stepanek, C., Lohmann, G., Chandan, D., Peltier, W. R.,
627 Tan, N., Contoux, C., Ramstein, G., Li, X., Zhang, Z., Guo, C., Nisancioglu, K. H., Zhang,
628 Q., Li, Q., Kamae, Y., Chandler, M. A., Sohl, L. E., Otto-Bliesner, B. L., Feng, R., Brady, E.
629 C., von der Heydt, A. S., Baatsen, M. L. J., and Lunt, D. J.: A return to large-scale features
630 of Pliocene climate: the Pliocene Model Intercomparison Project Phase 2, *Clim. Past
631 Discuss.*, <https://doi.org/10.5194/cp-2019-145>, in review, 2020.
- 632 Held, I. M., & Soden, B. J. (2006). Robust responses of the hydrological cycle to global
633 warming. *Journal of Climate*.
- 634 Hill, D.J., 2015. The non-analogue nature of Pliocene temperature gradients. *Earth and Planetary
635 Science Letters*, 425, pp.232-241.

- Hurrell, J. W., Holland, M. M., Gent, P. R., Ghan, S., Kay, J. E., Kushner, P. J., et al. (2013). The community earth system model: a framework for collaborative research. *Bulletin of the American Meteorological Society*, 94(9), 1339–1360.
- Kageyama, M., Braconnot, P., Harrison, S. P., Haywood, A. M., Jungclaus, J., Otto-Bliesner, B. L., et al. (2018). PMIP4-CMIP6: the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6. *Geoscientific Model Development Discussions*, 11(3), 1033–1057.
- Kay, J. E., Hillman, B. R., Klein, S. A., Zhang, Y., Medeiros, B., Pincus, R., et al. (2012). Exposing global cloud biases in the Community Atmosphere Model (CAM) using satellite observations and their corresponding instrument simulators. *Journal of Climate*, 25(15), 5190–5207.
- Liu, X., Easter, R. C., Ghan, S. J., Zaveri, R., Rasch, P., Shi, X., et al. (2012). Toward a minimal representation of aerosols in climate models: Description and evaluation in the Community Atmosphere Model CAM5. *Geoscientific Model Development*, 5(3), 709.
- Liu, X., Ma, P.L., Wang, H., Tilmes, S., Singh, B., Easter, R.C., Ghan, S.J. and Rasch, P.J., 2016. Description and evaluation of a new four-mode version of the Modal Aerosol Module (MAM4) within version 5.3 of the Community Atmosphere Model. *Geoscientific Model Development (Online)*, 9(PNNL-SA-110649).
- Londoño, L., Royer, D. L., Jaramillo, C., Escobar, J., Foster, D. A., Cárdenas Rozo, A. L., & Wood, A. (2018). Early Miocene CO₂ estimates from a Neotropical fossil leaf assemblage exceed 400 ppm. *American Journal of Botany*, 105(11), 1929–1937.
- Lunt, D. J., Haywood, A. M., Schmidt, G. A., Salzmann, U., Valdes, P. J., & Dowsett, H. J. (2009). Earth system sensitivity inferred from Pliocene modelling and data. *Nature Geoscience*, 3(1), 60–64. <http://doi.org/10.1038/ngeo706>
- Masson-Delmotte, V., Schulz, M., Abe-Ouchi, A., Beer, J., Ganopolski, A., González Rouco, J. F., et al. (2013). Information from paleoclimate archives. *Climate Change*, 383464, 2013.
- McClymont, E. L., Ford, H. L., Ho, S. L., Tindall, J. C., Haywood, A. M., Alonso-Garcia, M., Bailey, I., Berke, M. A., Littler, K., Patterson, M., Petrick, B., Peterse, F., Ravelo, A. C., Risebrobakken, B., De Schepper, S., Swann, G. E. A., Thirumalai, K., Tierney, J. E., van der Weijst, C., and White, S.: Lessons from a high CO₂ world: an ocean view from ~ 3 million years ago, *Clim. Past Discuss.*, <https://doi.org/10.5194/cp-2019-161>, in review, 2020.
- Meehl, G.A. and Washington, W.M., 1996. El Niño-like climate change in a model with increased atmospheric CO₂ concentrations. *Nature*, 382(6586), pp.56-60.
- Morrison, H. and Gettelman, A., 2008. A new two-moment bulk stratiform cloud microphysics scheme in the Community Atmosphere Model, version 3 (CAM3). Part I: Description and numerical tests. *Journal of Climate*, 21(15), pp.3642-3659.
- Oleson, K. W., Lawrence, D. M., Gordon, B., Flanner, M. G., Kluzek, E., Peter, J., et al. (2010). Technical description of version 4.0 of the Community Land Model (CLM).
- Oort, A. H., & Yienger, J. J. (1996). Observed interannual variability in the Hadley circulation and its connection to ENSO. *Journal of Climate*, 9(11), 2751–2767.
- Otto-Bliesner, B. L., Jahn, A., Feng, R., Brady, E. C., Hu, A., & Löfverström, M. (2017). Amplified North Atlantic warming in the late Pliocene by changes in Arctic gateways. *Geophysical Research Letters*, 44(2), 957–964.
- Pithan, F., & Mauritsen, T. (2014). Arctic amplification dominated by temperature feedbacks in contemporary climate models. *Nature Geoscience*, 7(3), 181.

- Pound, M. J., Tindall, J., Pickering, S. J., Haywood, A. M., Dowsett, H. J., & Salzmann, U. (2014b). Late Pliocene lakes and soils: a global data set for the analysis of climate feedbacks in a warmer world. *Climate of the Past*, 10(1), 167–180.
- Prescott, C. L., Haywood, A. M., Dolan, A. M., Hunter, S. J., Pope, J. O., & Pickering, S. J. (2014). Assessing orbitally-forced interglacial climate variability during the mid-Pliocene Warm Period. *Earth and Planetary Science Letters*, 400, 261–271.
- Prescott, C. L., Haywood, A. M., Dolan, A. M., & Hunter, S. J. (2014). Assessing orbitally-forced interglacial climate variability during the mid-Pliocene Warm Period. *Earth and Planetary ...*
- Rayner, N., Parker, D. E., Horton, E. B., Folland, C. K., Alexander, L. V., Rowell, D. P., et al. (2003). Global analyses of sea surface temperature, sea ice, and night marine air temperature since the late nineteenth century. *Journal of Geophysical Research*, 108(D14).
- Rind, D., 1998. Latitudinal temperature gradients and climate change. *Journal of Geophysical Research: Atmospheres*, 103(D6), pp.5943–5971.
- Rosenbloom, N. A., Otto-Bliesner, B. L., Brady, E. C., & Lawrence, P. J. (2013a). Simulating the mid-Pliocene Warm Period with the CCSM4 model. *Geoscientific Model Development*, 6(2), 549–561. <http://doi.org/10.5194/gmd-6-549-2013>
- Rugenstein, M., Bloch Johnson, J., Gregory, J., Andrews, T., Mauritsen, T., Li, C., et al. (2019). Equilibrium climate sensitivity estimated by equilibrating climate models. *Geophysical Research Letters*.
- Sagoo, N. and Storelvmo, T., (2017). Testing the sensitivity of past climates to the indirect effects of dust. *Geophysical Research Letters*, 44(11), pp.5807–5817.
- Salzmann, U., Dolan, A. M., Haywood, A. M., Chan, W.-L., Voss, J., Hill, D. J., et al. (2013). Challenges in quantifying Pliocene terrestrial warming revealed by data–model discord. *Nature Climate Change*, 3(11), 969.
- Salzmann, U., Haywood, A. M., Lunt, D. J., Valdes, P. J., & Hill, D. J. (2008). A new global biome reconstruction and data-model comparison for the Middle Pliocene. *Global Ecology and Biogeography*, 17(3), 432–447. <http://doi.org/10.1111/j.1466-8238.2008.00381.x>
- Seager, R., Cane, M., Henderson, N., Lee, D.-E., Abernathey, R., & Zhang, H. (2019). Strengthening tropical Pacific zonal sea surface temperature gradient consistent with rising greenhouse gases. *Nature Climate Change*, 9(7), 517.
- Seager, R., Cane, M., Henderson, N., Lee, D.E., Abernathey, R. and Zhang, H., 2019. Strengthening tropical Pacific zonal sea surface temperature gradient consistent with rising greenhouse gases. *Nature Climate Change*, 9(7), pp.517–522.
- Small, R. J., Bacmeister, J., Bailey, D., Baker, A., Bishop, S., Bryan, F., et al. (2014). A new synoptic scale resolving global climate simulation using the Community Earth System Model. *Journal of Advances in Modeling Earth Systems*, 6(4), 1065–1094.
- Stroeve, J. C., Kattsov, V., Barrett, A., Serreze, M., Pavlova, T., Holland, M., & Meier, W. N. (2012). Trends in Arctic sea ice extent from CMIP5, CMIP3 and observations. *Geophysical Research Letters*, 39(16).
- Sun, Q., Whitney, M. M., Bryan, F. O., & Tseng, Y.-H. (2017). A box model for representing estuarine physical processes in Earth system models. *Ocean Modelling*, 112, 139–153.
- The PRISM3D paleoenvironmental reconstruction. *Stratigraphy*, 7(2-3), 123–139.
- Tierney, J. E., Haywood, A. M., Feng, R., Bhattacharya, T., & Otto-Bliesner, B. L. (2019). Pliocene warmth consistent with greenhouse gas forcing. *Geophysical Research Letters*.
- Tseng, Y. H., Bryan, F. O., & Whitney, M. M. (2016). Impacts of the representation of riverine freshwater input in the community earth system model. *Ocean Modelling*, 105, 71–86.

- Turner, A. K., & Hunke, E. C. (2015). Impacts of a mushy-layer thermodynamic approach in global sea-ice simulations using the CICE sea-ice model. *Journal of Geophysical Research: Oceans*, 120(2), 1253–1275.
- Unger, N. and Yue, X., (2014). Strong chemistry-climate feedbacks in the Pliocene. *Geophysical Research Letters*, 41(2), pp.527-533.
- Van Roekel, L., Adcroft, A. J., Danabasoglu, G., Griffies, S. M., Kauffman, B., Large, W., et al. (2018). The KPP Boundary Layer Scheme for the Ocean: Revisiting Its Formulation and Benchmarking One-Dimensional Simulations Relative to LES. *Journal of Advances in Modeling Earth Systems*, 10(11), 2647–2685.
- Vecchi, G. A., & Soden, B. J. (2007). Global warming and the weakening of the tropical circulation. *Journal of Climate*, 20(17), 4316–4340. <http://doi.org/10.1175/JCLI4258.1>
- Xie, S.P., 2020. Ocean warming pattern effect on global and regional climate change. AGU Advances, 1(1), p.e2019AV000130.
- Zelinka, M. D., Myers, T. A., McCoy, D. T., Po-Chedley, S., Caldwell, P. M., Ceppi, P., et al. (2020). Causes of higher climate sensitivity in CMIP6 models. *Geophysical Research Letters*, 47, e2019GL085782
- Zhou, C., Zelinka, M.D. and Klein, S.A., 2017. Analyzing the dependence of global cloud feedback on the spatial pattern of sea surface temperature change with a Green's function approach. *Journal of Advances in Modeling Earth Systems*, 9(5), pp.2174-2189.