

1 **Ecology under lake ice**

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80 **Statement of Authorship**

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82 SEH conceived of the project idea. SEH, AWEG, and KHW initiated the project and provided
83 oversight during data collection, integration, and aggregation. SEH, EHS, and TO served on
84 the steering committee. KHW, AWEG, and SGL led QA/QC on the submitted data sets. SMP,
85 TO, RDB, CMO, SS, NRL, EHS, RLN, JDS, RA, and GAW performed exploratory analyses and
86 helped determine scope and structure of manuscript. SMP, SEH, and SGL performed final
87 analyses and designed figures and tables. All other listed authors aggregated data for
88 submission to this project and contributed to interpretation of results and final manuscript
89 text.

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101 **Abstract**

102 Winter conditions are rapidly changing in temperate ecosystems, particularly for those that
103 experience periods of snow and ice cover. Relatively little is known of winter ecology in
104 these systems, due to a historical research focus on summer "growing seasons." We
105 executed the first global quantitative synthesis on under-ice lake ecology, including 36
106 abiotic and biotic variables from 42 research groups and 101 lakes, examining seasonal
107 differences and connections as well as how seasonal differences vary with geophysical
108 factors. Plankton were more abundant under ice than expected; mean winter values were
109 42.8% of summer values for chlorophyll *a*, 15.8% of summer phytoplankton biovolume,
110 and 34.4% of summer zooplankton density. Dissolved nitrogen concentrations were
111 typically higher during winter, and these differences were exaggerated in smaller lakes.
112 Lake size also influenced winter-summer patterns for dissolved organic carbon (DOC), with
113 higher winter DOC in smaller lakes. At coarse levels of taxonomic aggregation,
114 phytoplankton and zooplankton community composition showed few systematic
115 differences between seasons, although literature suggests that seasonal differences are
116 frequently lake-specific, species-specific, or occur at the level of functional group. Within
117 the subset of lakes that had longer time-series, winter influenced the subsequent summer
118 for some nutrient variables and zooplankton biomass.

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123 **Introduction**

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125 Reduced ice cover on lakes and rivers worldwide (Magnuson et al. 2000; Benson et
126 al. 2012) highlights an urgent need for research focused on under-ice ecosystem dynamics
127 and their contributions to whole-ecosystem processes. Recently a global synthesis of
128 summer lake temperature trends in lakes (O'Reilly et al. 2015) revealed that winter ice
129 cover is a major force in determining the characteristics of summer warming trends,
130 demonstrating the cascading effects between seasons. Cross-seasonal cascades can involve
131 both abiotic and biotic variables, such as when winter ice characteristics influence spring
132 and summer algal growth (e.g., Gerten & Adrian 2000; Straile 2002; Adrian et al. 2006;
133 Blenckner et al. 2007). Consequently, in water bodies that freeze, the timing and physical
134 characteristics of ice cover are likely to drive some of the most important biological
135 changes for lakes overall (Salonen et al. 2009; Moore et al. 2009; Benson et al. 2012).

136 Marine research is ahead of freshwater research in studies of under-ice ecology,
137 providing compelling evidence that winter conditions and changes in ice phenology play an
138 important role in sea-ice system dynamics (Arrigo & Thomas 2004; Arrigo et al. 2008;
139 Meier et al. 2014). The presence of ice in marine systems drives primary productivity that
140 is critical for food webs (Lizotte 2001; Grebmeier 2012); for example, ice-associated algae
141 in the Antarctic contribute 25-30% of total annual productivity for the region (Arrigo &
142 Thomas 2004). But for lakes, there is very little information about the physics,
143 geochemistry, and biology under ice, and this knowledge gap severely limits our ability to
144 predict how changes in winter conditions will affect the ecology and productivity of inland
145 waters. A recent study reported that only 2% of peer-reviewed freshwater literature has

146 included under-ice lake processes (Hampton et al. 2015). The paucity of under-ice research
147 in freshwater systems is especially surprising when one considers that half of the world's
148 lakes periodically freeze, i.e. slightly more than 50 million lakes (Verpoorter et al. 2014).
149 Also, the majority of lakes in the world are located between 60° and 66° N where annual ice
150 cover duration currently averages more than 150 days (Weyhenmeyer et al. 2011).

151 The initial and highly influential model of the plankton ecology group (the PEG
152 model; Sommer et al. 1986) hypothesized that winter in ice-covered lakes is a time of
153 limited, if any, activity by primary or secondary producers. The widespread use of the term
154 "growing season" to describe summer months in temperate lakes reflects the prevailing
155 viewpoint of winter as an inactive period. In general, freshwater scientists have assumed
156 that overall biological activity under lake ice is inconsequential or that under-ice primary
157 producers resort to heterotrophy or dormancy, as has been observed in some studies (e.g.,
158 McKnight et al. 2000; Leppäranta 2015), particularly for high-latitude systems with heavy
159 snow coverage. While the PEG model has since been revised (Sommer et al. 2012) with a
160 call for additional winter work, areas of uncertainty range from the identity and activity of
161 plankton to ecosystem-level processes such as whole-lake metabolism and greenhouse gas
162 emissions. The lake studies that have included under-ice work strongly suggest that winter
163 food webs and physical processes are both active and complex, but with few patterns that
164 are readily generalizable (reviewed in Salonen et al. 2009; Bertilsson et al. 2013;
165 Bruesewitz et al. 2015; Hampton et al. 2015).

166 Prior work indicates that winter under-ice conditions can be very similar to, or very
167 different from, the ice-free summer conditions. Depending upon snow characteristics, ice
168 can allow for up to 95% of photosynthetically active radiation (PAR) transmission

169 (Bolsenga & Verderploeg 1992), fueling winter algal blooms that rival those of summer
170 (e.g., Jewson et al. 2009). In Lake Erie, phytoplankton growth and loss rates during winter
171 can be similar to those of summer (Twiss et al. 2014). For certain lakes, the composition of
172 phytoplankton communities is different under ice, dominated by smaller species (e.g.,
173 Wetzel 2001), or conversely dominated by large ice-associated filamentous diatoms (e.g.,
174 Katz et al. 2015; Beall et al. 2016), whereas other lakes do not appear to have distinct
175 seasonal changes in phytoplankton community composition (Dokulil et al. 2014). Although
176 zooplankton biomass generally appears to be lower under ice, changes in community
177 composition can be highly variable across lakes (Dokulil et al. 2014). Even more scarce is
178 information about nutrient and dissolved organic carbon concentrations under the ice that
179 may help to drive many of these plankton dynamics (but see Özkundakci et al. 2016).

180 The pathways through which winter conditions may affect lake ecology throughout
181 the year are similarly diverse. Winter ice conditions have been observed to alter
182 phytoplankton biomass and composition in the subsequent ice-free season (Weyhenmeyer
183 et al. 2008). For zooplankton, early emergence from diapause, synchronized with the
184 timing of warming at the end of winter can be associated with higher summer density for
185 zooplankton grazers (Gerten & Adrian 2000; Adrian et al. 2006). Such carry-over between
186 seasons is not restricted to winter's influence on summer, of course, and there is evidence
187 that under-ice zooplankton dynamics can depend in part on late summer zooplankton
188 biomass (Dokulil et al. 2014). The diversity of responses found by under-ice studies
189 suggests that a synthesis of existing knowledge is greatly needed and would help identify
190 key next steps in winter limnology as well as promote productive collaborations (Hampton
191 et al. 2015).

192 Research that builds a knowledge base about the processes occurring over nearly
193 half the annual cycle for approximately half of the world's lakes is a worthy challenge, with
194 potentially global repercussions. Here we explore differences between winter and summer
195 conditions both across and within lakes, focusing on dynamics of phytoplankton,
196 zooplankton, nutrients, and dissolved organic carbon. We address two overarching
197 questions on under-ice ecology: 1) What is the magnitude and direction of ecological
198 change from winter to summer; and 2) For which variables and to what extent are winter
199 and summer seasons connected, i.e., what is the influence of winter conditions on the
200 following summer season, and the influence of summer conditions on the following winter?
201 We hypothesized that winter biomass and density of phytoplankton and zooplankton are
202 significantly lower than that of summer, due to a low-light environment unfavorable for
203 emergence or growth (e.g., Vincent & Vincent 1982; Cáceres & Schwalbach 2001; Jewson et
204 al. 2009), low temperature (e.g., Özkundakci et al. 2016), or nutrient limitation (e.g.,
205 O'Brien et al. 1992; Özkundakci et al. 2016), and that these differences would be modified
206 by geophysical characteristics of lakes. Further, we hypothesize that lake conditions can
207 carry over across seasons, as suggested in the revised PEG model (Sommer et al. 2012;
208 Domis et al. 2013), such that an understanding of winter conditions will improve
209 understanding of summer conditions, and vice versa. The presence of seasonal carry-over
210 would indicate that winter is not simply a "reset" that leads back to similar spring ice-out
211 conditions year after year, and would suggest revisions to current field and laboratory
212 approaches currently focused on "growing season" dynamics.
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216 **Methods**

217 *Data Acquisition*

218 Data were acquired from both an initial literature review to provide baseline
219 expectations for ecological patterns and, much more comprehensively, from a collation of
220 primary data.

221 Literature review. As an initial step toward synthesizing knowledge, we compiled
222 under-ice data for chlorophyll *a* (chl *a*) concentration from a literature survey. We found 14
223 papers for which data would be readily compared to those solicited from primary data
224 contributors (based on criteria in Supplemental Information). From these papers, we
225 compiled data from 17 lakes (Fig. 1), extracting data from text, tables, or from figures. For
226 the literature review effort, we were unable to compare ice-on (winter) and ice-off
227 (summer) data, as only 7 of the lakes in these papers also included biological data during
228 the summer season.

229 Primary data collation. The scientific community was solicited for data on physical,
230 chemical, and biological variables of lakes and reservoirs (hereafter together called “lakes”)
231 during ice cover. We used an open call for participation through electronic mailing lists and
232 professional networks, and then interacted extensively with data contributors. In total, we
233 collated winter under-ice and summer observations between 1940 and 2015 for 101 lakes
234 at 135 unique sampling locations across wide gradients of latitudes, production, and
235 trophic status (Fig. 1). For the Laurentian Great Lakes, most sampling stations were located
236 nearshore or in bays.

237 Contributors of primary data used a structured template to report values from
238 winter periods when the lake had complete ice cover (hereafter “winter”), and summer
239 periods when the lake was completely open and, in dimictic systems, stratified (hereafter
240 “summer”). For 10 lakes that were polymictic or lack reliable summer stratification,
241 summer data are from a representative open water period chosen by the primary data
242 contributors, usually midsummer. We asked researchers to provide data aggregated from
243 the photic zone, for each lake and season. Across all lakes, the median sample depth during
244 winter was 2.0 m, and the mean ratio of sample depths (winter:summer) was 1.01. We did
245 not include winter data from those years that did not have ice cover (e.g., Müggelsee
246 sometimes does not freeze). Each seasonal value used in our analysis was computed by the
247 individual data providers (Box S1; Fig. S1). The number of within-season sampling events
248 was reported by researchers for 71% of our compiled seasonal averages; of these, 64% of
249 the winter averages and 79% of the summer averages were based on 3 or more sampling
250 events. When a lake had multiple sampling stations, the stations were generally treated
251 independently. Exceptions were cases where researchers specified multiple stations that
252 were functionally similar and could be pooled in aggregate. After pooling the functionally
253 similar stations, the majority of lakes (84 of 101 lakes) did not retain multiple distinct
254 stations for analyses (see SI).

255 Data availability differed among lakes and variables. For several major variables,
256 paired winter and summer observations were present in at least 30 stations, often over 10
257 years. All stations had at least one variable with both winter and summer data, and the
258 variable-specific sample sizes and periods of record are in Table S1. The median period of
259 record for most variables was 2-3 years. Variables included water temperature (107

unique stations with paired winter-summer data), chlorophyll *a* (chl *a* as $\mu\text{g L}^{-1}$; 118 stations), total phosphorous (TP as $\mu\text{g L}^{-1}$; 106 stations), total dissolved phosphorus (TDP as $\mu\text{g L}^{-1}$; 72 stations), total nitrogen (TN as $\mu\text{g L}^{-1}$; 75 stations), total dissolved nitrogen (TDN as $\mu\text{g L}^{-1}$; 73 stations), TN:TP (atomic ratio; 74 stations), TDN:TDP (atomic ratio; 66 stations), and dissolved organic carbon (DOC as mg L^{-1} ; 81 stations). Our reported values for TDP and TDN are conservative, because not all researchers performed the digestion step. Nonetheless, because common nutrient methods were usually used at a given lake, our approach still captures the relative difference between seasons (winter-summer), except in lakes where the dissolved organic fraction varies substantially between seasons. In addition, 36 stations had data for total zooplankton density (individuals L^{-1}). Group-specific zooplankton counts (proportion of total abundance) for calanoid, cyclopoid, *Daphnia*, rotifer, other cladoceran, and unspecified other zooplankton were also available. Methodology for zooplankton data collection differs across programs to a degree that complicates comparisons across lakes for rotifers and unspecified other zooplankton, such that those data were not analyzed here and total zooplankton densities were accordingly adjusted as well. Subsequent references to zooplankton density include *Daphnia*, other cladocerans, cyclopoid and calanoid copepods for all 36 stations. For phytoplankton biovolume $\text{mm}^{-3} \text{L}^{-1}$, there were data for 17 stations. Group-specific phytoplankton counts (proportion of total abundance) for chlorophyte, cryptophyte, cyanophyte, bacillariophyte, dinoflagellate, and other phytoplankton were available at 17 stations. Specific ultraviolet absorbance (SUVA, $\text{L mg C}^{-1} \text{m}^{-1}$), and color (platinum units) were also available at some stations. Although we solicited benthic data, only a few researchers provided data for any type of benthic variable, suggesting a widespread lack of benthic winter sampling. The lake-

283 specific averages for winter and summer conditions, by variable, are shown in Table S2. For
284 chl *a*, TP, TDP, TN, TDN, DOC, and zooplankton density, more than 25% of stations had a
285 period of record ≥ 10 years. The complete dataset is available in the Knowledge Network
286 for Biocomplexity (<https://knb.ecoinformatics.org/>).

287 *Data analysis*

288 We approached data analysis in two ways. The first approach was to quantify the
289 average winter-summer differences across all lakes in the data set, identifying major
290 physical features of lakes that affect the magnitude of observed winter-summer differences.
291 The second approach was to examine univariate seasonal dynamics within lakes, including
292 winter-summer differences and winter-summer correlations, using the subset of lakes
293 where longer-term (≥ 10 years) time series were available.

294 Winter-summer differences across lakes. We calculated the mean winter value and
295 the mean summer value for every station and variable, and examined mean winter-summer
296 differences across all lakes in the data set. Magnitude, direction and significance of
297 differences between winter and summer were determined using linear mixed effect (LME)
298 modeling with year as a random intercept (Bates et al. 2015). For the multivariate plankton
299 compositional data, we used permutational analysis of variance (PERMANOVA; Anderson
300 2001) from the vegan package in R ("adonis" function, Oksanen et al. 2016; R Core Team
301 2016) on sites that had complete cases for both winter and summer communities. To
302 discern major physical variables correlated with the magnitude and sign of winter-summer
303 differences, we used a regression tree approach (rpart package in R, Therneau et al. 2015,
304 with applications from Breiman et al. 1984). We used the variable-specific average winter-
305 summer difference as the response variable; the candidate explanatory variables were lake

306 area, lake maximum depth, latitude (absolute), and elevation. Trees were cross-validated
307 and pruned using the complexity parameter value which minimized the cross-validated
308 error. Mean winter-summer difference and standard error of the difference were
309 calculated for each branch of the regression trees. We also used a regression tree approach
310 to analyze average winter-summer difference in plankton community composition as a
311 matrix response (mvpard package in R, Therneau et al. 2014), for both the crustacean
312 zooplankton community and the phytoplankton community data. Candidate explanatory
313 variables included the same four variables as previous trees, as well as winter-summer
314 difference in water temperature and, for zooplankton, the summer chl *a*.

315 Due to differences in the available period of record, the overall winter average can
316 represent 30+ years for some lakes and variables, while for others the overall average
317 represents only one year of data. We expected that variation in sample size might create
318 noise that could obscure differences (Type 2 error), but not suggest differences that do not
319 exist (Type 1 error).

320 Winter-summer differences within lakes. For time series that were available, we
321 examined within-lake differences between winter and summer. For this we used only time
322 series that had ≥ 10 winter values, meaning at least 10 years of data and 20 values overall.
323 To allow a robust examination of winter-summer correlations (below), we used contiguous
324 portions of each time series, allowing no more than 1 data gap. Before examining
325 differences, every time series was detrended using a 7-point moving average filter (3.5
326 years) to account for longer-term trends, and we confirmed that no significant linear trends
327 remained after filtering. With each detrended time series, summer-winter differences were
328 examined using a simple seasonal model

329 $y_t = b_{ice} * D + b_0 + e$ (1)

330 where b_{ice} is the coefficient describing the winter-summer difference, D is a dummy
331 variable (1 in winter, 0 in summer) that employs the b_{ice} coefficient, b_0 is the intercept
332 (representing the mean summer value), and e is the error term. We then compared the
333 seasonal model (2 parameters) to the simple intercept model (1 parameter, b_0 ,
334 representing the overall mean) using the Akaike Information Criterion corrected for small
335 sample sizes (AIC_c) (Burnham & Anderson 2002). If the seasonal model differed from the
336 simple intercept model by $\Delta AIC_c \geq 2$, we interpreted this result to mean that the time series
337 showed a seasonal difference. Detailed diagnostic plots including raw and detrended time
338 series are provided in Figure S4 for one example lake (Big Muskellunge Lake, chl *a*). For
339 194 of the 238 available time series (82%), residuals from eq. 1 were not autocorrelated at
340 lag 1 according to the Box-Ljung test, and this is demonstrated by the partial
341 autocorrelation plot of the detrended+deseasoned data (Fig. S4). For the other 44 time
342 series, we added a first-order autocorrelated error structure to eq. 1. The percent of time
343 series having winter values greater than summer values, or vice versa, was tabulated by
344 variable.

345 Winter-summer correlations within lakes. Using the same univariate, contiguous,
346 moving-average detrended time series as above (those with >10 winter values), we
347 examined temporal correlations between winter and summer. These included: i)
348 correlations between winter and the previous summer season ($summer_{t-1}$), or summer-
349 into-winter (*SW*) correlations; and ii) correlations between winter and the subsequent
350 summer ($summer_{t+1}$), or winter-into-summer (*WS*) correlations. We determined the sign of
351 seasonal correlations, if present, using a simple model of the detrended data

352
$$Y_{winter,t} = b_{SW} * Y_{summer,t-1} + b_0 + e \quad (2)$$

353 where t is the index of the time series and b_{SW} is the slope of the relationship between
354 winter and the previous summer. If this SW correlation model did not show AIC_c
355 improvement > 2 AIC_c units compared to the intercept model (1 parameter, b_0 , representing
356 the overall mean), the time series was interpreted as not seasonally correlated. We then
357 separately evaluated the corresponding WS correlation model, $Y_{summer,t+1} = b_{WS} * Y_{winter,t} + b_0$
358 $+ e$, also using AIC_c . A minority of these SW and WS correlation models produced
359 autocorrelated residuals, and to these we added a first-order autocorrelated error
360 structure, although this modification did not change the model selection nor the sign of b_{SW}
361 or b_{WS} for any time series. Here a positive WS correlation indicates that high summer values
362 follow high winter values, or low summer values follow low winter values. Alternatively, a
363 negative WS correlation indicates anti-persistence, such that low summer values follow
364 high winter values, or high summer values follow low winter values. As examples, we
365 illustrate the presence/absence of winter-summer correlations for every chl a time series,
366 including SW correlations (Fig. S5) and WS correlations (Fig. S6). The percent of time series
367 having positive/negative SW correlations or positive/negative WS correlations was
368 tabulated by variable (Table S5).

369 **Results**

370 *Seasonal differences across lakes*

371 Indicators of plankton biomass were lower in the winter than during the summer.
372 Across lakes and latitude, average winter chlorophyll a (\pm SE) ($5.87 \pm 0.88 \mu\text{g L}^{-1}$, Fig. 2) in
373 the primary data ranged much more widely than in those from our literature survey
374 (Supplemental Fig. S2), although still significantly lower than that of summer (13.6 ± 2.84

375 $\mu\text{g L}^{-1}$, $p < 0.0001$, Table 1). Using the regression tree approach, the shallowest lakes (max
376 depth < 2.93 m, $n = 13$ lakes) showed greatest disparity in chlorophyll, with summers
377 higher than winters by $52.3 \pm 18.2 \mu\text{g L}^{-1}$ (R^2 for chl a tree = 0.330). Under-ice
378 phytoplankton biovolume averaged about 1/6th that of the summer average ($n=17$,
379 $p < 0.001$ by LME, Table 1). However, at our coarse level of taxonomic aggregation,
380 phytoplankton community composition did not differ significantly between winter and
381 summer when examined across all lakes (PERMANOVA, $p=0.77$; Fig. 3). Across lakes,
382 average zooplankton density ($\pm \text{SE}$) was significantly lower under ice (27.8 ± 11.2
383 individuals L^{-1}) than during summer (110 ± 30.8 individuals L^{-1} ; $p < 0.001$), and winter
384 crustacean zooplankton community composition differed significantly from that of summer
385 (PERMANOVA; $p=0.05$), with cladocerans generally more abundant in summer (Fig. 3).
386 Regression trees did not provide further insights into plankton community shifts,
387 differentiating only two lakes out of 17, and are not discussed further here. The complete
388 list of summary statistics, for every variable, is shown in Table S3. The PERMANOVA
389 statistical outputs are in Table S4.

390 Dissolved N concentrations tended to be higher during winter, and seasonal
391 differences were more prominent for dissolved N than for dissolved P. Across lakes,
392 average ($\pm \text{SE}$) TDN was approximately 2-fold higher under ice ($707 \pm 129 \mu\text{g L}^{-1}$, Fig. 2)
393 than in summer ($375 \pm 62.2 \mu\text{g L}^{-1}$; $p < 0.001$ by lme). The pattern of higher winter TDN
394 appeared particularly pronounced as maximum depth decreased. Regression trees showed
395 that the 7 shallowest lakes (< 2.10 m) had $2070 \mu\text{g L}^{-1}$ higher TDN on average in winter
396 than summer, 7 lakes of intermediate depths ($5.20 < \text{max depth} > 2.10$ m) had TDN winter
397 values that were $758 \mu\text{g L}^{-1}$ higher than summer on average, while the 59 deeper lakes

398 (>5.2m) showed winter TDN values 123 $\mu\text{g L}^{-1}$ higher than summer on average (tree R² =
399 0.722, Fig. S7). TN was also higher during winter ($p < 0.001$, LME-fitted difference of
400 +161 $\mu\text{g L}^{-1}$), likely as a reflection of higher dissolved N, which typically accounted for the
401 majority of the N pool (winter TDN:TN = 0.807 compared to summer TDN:TN = 0.592).
402 Winter and summer did not differ significantly for TP or TDP according to LME models
403 ($p > 0.2$). DOC concentrations did not differ seasonally ($p = 0.863$ by LME). Interestingly,
404 these DOC patterns clearly varied with lake area and elevation (tree R² = 0.538, Fig. S7).
405 Regression trees demonstrated that larger ($\geq 0.373 \text{ km}^2$) lakes had 0.145 mg L⁻¹ lower
406 DOC in winter compared to summer, while smaller ($< 0.373 \text{ km}^2$), low elevation ($< 366 \text{ m}$)
407 lakes (n=19) had 6.69 mg L⁻¹ higher DOC in winter, and similarly small lakes at higher
408 elevations also had 0.810 mg L⁻¹ higher DOC in winter. Regression tree analyses did not
409 produce significant models for plankton density or phosphorus variables.

410 *Winter-summer differences within lakes*

411 In general, within-lake differences between summer and winter were consistent
412 with differences observed across lakes. For example, chl *a* was lower in winter at 17 of the
413 34 sites (50%) that met our longer-term data criteria (Table 2). The summary statistics for
414 each individual time series are in Table S5. Phytoplankton density was also lower in winter
415 compared to summer in 4 of 4 sites. Similarly, zooplankton densities were significantly
416 lower during winter at 10 of 11 sites (91%); the one exception was a bog lake, Trout Bog
417 (USA), which had a relatively low summer zooplankton density and no detectable winter-
418 summer difference. For nutrients, patterns again differed between N and P. Over 70% of
419 the sites had higher winter TDN (11 of 14) and TN (21 of 30), whereas only 14% of sites
420 had higher winter TDP (2 of 14) and 21% had higher TP (7 of 33). Allequash Lake (Fig. 4)

421 provides an example where TP concentration was lower during winter. DOC was lower
422 during winter at 6 of 26 sites (23%), and higher in winter at 3 sites including Trout Bog,
423 USA, with no differences between winter and summer at the other 17 sites (65%). Three
424 variables lacked differences between summer and winter values at >50% of sites (TP, TDP,
425 DOC).

426 *Winter-summer correlations within lakes*

427 From a total of 238 time series for different lakes and variables (Table S5), after
428 accounting for trends, our AIC-based approach detected 94 time series (39%) with some
429 form of correlation between winter and the previous summer, or between winter and the
430 following summer. Several individual variables had seasonal correlations in $\geq 33\%$ of the
431 available time series, including chl *a*, phytoplankton density, TDN, TN, and TDP (Table 2).
432 Negative correlations outnumbered the positive correlations, suggesting seasonal anti-
433 persistence. Positive seasonal correlations were absent for chl *a*, zooplankton density, DOC,
434 TP, TDN, and TDP. For chl *a*, negative winter-into-summer (*WS*) correlations (26% of time
435 series) and summer-into-winter (*SW*) correlations (32%) were both relatively common.
436 For TDN, negative *SW* correlations outnumbered negative *WS* correlations (43% vs. 0%),
437 suggesting summer carry over. Overall, the frequency of these winter-summer negative
438 correlations ranged widely among variables.

439 **Discussion**

440 This global synthesis of under-ice ecology underscores the importance of winter
441 conditions for lake ecology throughout the year. Using multiple approaches, our cross-lake
442 synthesis revealed several clear differences between winter and summer conditions,
443 offering generalizations about winter ecology that have been difficult to infer from prior

444 studies involving one or a few lakes. We also provide new evidence that interseasonal
445 connections are common for several ecological and biogeochemical variables, linking
446 winter to both the previous and subsequent summers. Among our clearest results, primary
447 producers (algae) and consumers (zooplankton) are typically less abundant under ice than
448 in summer, but they maintain substantial populations in winter. Arguably, this may be
449 interpreted as evidence of high winter productivity, and we explore the possibility below.
450 Also clear was the result that winter dissolved nitrogen was consistently higher than
451 summer. While crustacean zooplankton composition showed some general seasonal
452 differences, we found no generalizable differences in phytoplankton community
453 composition between winter and summer at the coarse level of taxonomic aggregation
454 used here. As long-term climate change alters thermal regimes across globally distributed
455 lakes in both summer (O'Reilly et al. 2015) and winter (Magnuson et al. 2000), it is
456 increasingly important to understand how under-ice physical and ecological conditions
457 influence ecology throughout the year. Overall, this work represents an important step
458 towards understanding winter ecology in lakes broadly, as well as the connections to year-
459 round dynamics and whole-lake functionality.

460 Our results indicate seasonal differences in chl *a*, plankton biomass and biovolume,
461 and dissolved nitrogen between winter and summer, both across and within lakes. Despite
462 lower under-ice values, particularly for the shallowest lakes, on average chl *a* was relatively
463 high (42.8% of summer chl *a*) given the shorter photoperiod and variable physical
464 conditions of winter. Indeed, winter levels exceeded those of summer in multiple cases
465 such as Lake Simcoe (Canada), Lake Scharmüetzelsee (Germany), and Fish Lake (USA)
466 which all had more than 10 years of winter data. Previous under-ice lake studies have

467 reported chlorophyll values as high as 154 $\mu\text{g L}^{-1}$ (Twiss et al. 2012). Conversely some
468 lakes have undetectable chlorophyll levels under ice (e.g., Hawes 1985). While the available
469 winter limnology literature provides evidence that lakes can support an abundance of algae
470 under ice - as demonstrated by genetic (e.g., Bashenkhaeva et al. 2015), pigment (e.g.,
471 Catalan et al. 2002), and cell count (e.g., Phillips & Fawley 2002; Katz et al. 2015) data - it is
472 also important to remember that estimates of algal biomass or primary productivity based
473 on chlorophyll can be skewed seasonally. Intracellular pigment content can change with
474 temperature and light conditions (Kirk 2011), such that smaller seasonal differences in
475 chlorophyll could in part be due to light adaptation leading to increased cellular pigment
476 concentrations.

477 In general, light can be the limiting factor for photosynthesis under ice, with ice
478 conditions and overlying snow producing spatially (e.g., Cloern et al. 1992, Arrigo and
479 Thomas 2004) and temporally (e.g., Tanabe et al. 2008; Bruesewitz et al. 2015)
480 heterogeneous transmission of light and altered spectral distribution (Roulet & Adams
481 1986). The timing and characteristics of winter precipitation, wind, temperature variation,
482 and solar radiation influence variability in under-ice light conditions, including the
483 formation of clear congelation ice which can have higher light transmittance than lake
484 water (Leppäranta 2010). When light is sufficient for photosynthesis, the under-ice
485 environment can be hospitable for algal growth; complex under-ice convection can keep
486 nutrients and algae mixed in the photic zone (Kelley 1997; but see Vehmaa & Solonen
487 2009), and in Lake Baikal the ice itself can provide a vast habitat for attached algae to
488 maintain access to light (Timoshkin 2001; Bondarenko et al. 2012). In relatively dark
489 conditions with low primary production, we might anticipate lower oxygen conditions,

490 greater winter accumulation and subsequent contributions of greenhouse gases to the
491 atmosphere, smaller algal and grazer populations available to initiate population growth at
492 ice-out (Sommer et al. 2012), and less ice-associated algae sloughing off to feed the benthos
493 as summer begins (Bondarenko et al. 2006). Because increased intracellular chlorophyll
494 content may be an adaptation to low light conditions, exhibited by many but not all (Felip &
495 Catalan 2000) phytoplankton, we recommend measuring primary productivity directly, if
496 that is the variable of interest, or measuring both cell density and community composition
497 in order to characterize plankton biomass and identity.

498 Phytoplankton biovolume was lower under ice than in the summer on average,
499 consistent with chl *a* values, when all lakes were considered together. However, we did not
500 detect systematic seasonal differences in phytoplankton community composition that
501 could be generalized among all lakes. The lack of detectable difference does not imply that
502 algal communities in each lake did not change from season to season; rather, this result
503 suggests that generalizations about “winter” or “summer” taxa are difficult to make at the
504 coarse level of taxonomic grouping we used. Moreover, it is rare for monitoring programs
505 to quantify picoplankton, which constitute substantial portions of algal communities in
506 summer and winter (Callieri & Stockner 2002; Bondarenko et al. 2012), such that their
507 contributions to our results are unknown. Previous studies indicate that many if not all
508 lakes do harbor relatively distinct winter and summer algae, frequently with differences
509 occurring at species level (Kozhova & Izmest'eva 1998; Dokulil et al. 2014; Özkundakci et
510 al. 2016), division level (Carey et al. 2016), or by functional traits (Özkundakci et al. 2016)
511 with winter assemblages characterized by taxa that are more tolerant to cold and low-light
512 conditions. Despite constraints by cold temperature, light limitation or altered mixing

513 under the ice, winter species diversity has been found to be rather high (Salonen et al.
514 2009). Moreover, for Müggelsee, a lake located in a geographical transition phase of
515 becoming ice-free more frequently with current and projected climate warming
516 (Livingstone and Adrian 2009) it has been shown that different phytoplankton
517 communities were favored across a gradient of mild to strong winter severity, associated
518 with the key functional traits of motility, nutritional mode (autotrophy, heterotrophy,
519 mixotrophy) and the ability to form resting stages (Özkundakci et al. 2016).

520 As algal communities adjust to ice cover, seasonal shifts in higher trophic levels such
521 as zooplankton would also be anticipated. In this study, average winter zooplankton
522 density declined to roughly one third that of summer - lower but still substantial enough to
523 suggest that many of these relatively short-lived grazers actively feed and reproduce under
524 ice. In the absence of live primary producers or residual producer biomass, presence of
525 zooplankton under the ice can be explained by the consumption of other carbon sources
526 such as chemolithotrophs (e.g., methane-oxidizing bacteria), or detritus. For example,
527 planktonic heterotrophs and phototrophs, and benthic algae under the ice can provide
528 alternative diet sources throughout winter for zooplankton in some lakes (Karlsson &
529 Säwström 2009; Rautio et al. 2011; Hampton et al. 2015), while cyclopoids may prey on
530 other zooplankton (Ventura & Catalan 2008). Further, as in marine systems (Lee et al.
531 2006), some freshwater grazers may be able to use lipid stores accumulated in prior
532 seasons; lipid percentage in zooplankton samples collected from a lake in northern Finland
533 varied from over 60% in early winter to approximately 20% in late winter (Syväraanta &
534 Rautio 2010).

535 The composition of crustacean zooplankton differed across seasons, with summer
536 characterized by more cladocerans such as *Daphnia*. These taxa are generally associated
537 with greater presence in summer months, with temperature and photoperiod offering cues
538 for hatching, and growth fueled by higher temperature and food availability (Adrian et al.
539 2006). Even so, as we observed for phytoplankton, it seems likely that many differences
540 between summer and winter zooplankton community composition may be lake-specific,
541 species-specific or better captured by functional trait grouping. Many zooplankton are
542 strict diapausing species that disappear from the water column into sediments during
543 winter (Nilssen & Elgmork 1977; Ventura & Catalan 2005; Larsson & Wathne 2006), but
544 several copepod species in high-latitude lakes of Europe and Canada have been shown to
545 reach peak density in mid-winter (Rigler et al. 1974; Rautio et al. 2000; Scharfenberger et
546 al. 2013) undergoing diapause during summer. Further, a variety of rotifers are well known
547 to proliferate under ice (e.g., Pennak 1968; Dokulil & Herzig 2009; Virro et al. 2009; Melnik
548 et al. 2008). Other studies also report persisting populations of *Daphnia* under the ice
549 (Snow 1972; Larsson & Wathne 2006; Slusarczyk 2009) but data are still somewhat scarce.
550 Our study suggests that when aggregating species to coarse taxonomic groups we may see
551 some expected differences, but will miss out on the complexity of plankton composition,
552 dynamics and functionality illustrated in the few detailed single-lake winter studies. It is
553 not necessarily the overall abundance or biomass of major taxonomic groups which differ
554 between seasons or change with global warming – but the species *per se* and the relative
555 species composition (reviewed in Adrian et al. 2009). While this is well known for summer,
556 information on species and functional trait composition during winter is indeed scarce.
557 Given that the ice itself provides a vast potential substrate for attached algae and an

558 associated community of metazoans (Bonderenko et al. 2012), and that common sampling
559 methods do not target this microhabitat, a major advance in winter limnology would be the
560 broader assessment of primary producers at this ice-water interface where some lakes
561 have shown extraordinarily high algal biomass and activity (e.g. Timoshkin 2001;
562 Bondarenko et al. 2012; Twiss et al. 2012). Further, shifts from autotrophy to mixotrophy
563 and heterotrophy clearly occur in some communities under ice (e.g. Rhode 1955,
564 Özkundakci et al. 2016), and examining how this trait varies from winter to summer likely
565 will yield important insights for ecosystem-level carbon cycles.

566 TDN and TN were higher under ice based on both our cross-lakes approach (Table
567 1) and a within-lakes time series approach (Table 2), while winter DOC was variable but
568 more similar to that of summer. The higher concentrations of dissolved N likely reflect
569 winter nutrient mineralization (Cornett & Rigler 1979; Nürnberg et al. 1986, Catalan 1992)
570 providing continued N inputs, while dissolved N uptake may be lower under winter
571 conditions due to low temperature and light, and possibly less denitrification. More
572 specifically, these results indicate that within the first few meters of the water column,
573 dissolved N accumulates disproportionately under ice relative to P, especially in shallower
574 lakes according to the regression tree analysis. A possible explanation is that benthic N
575 mineralization and nitrification dominate winter N cycles in shallow lakes, whereas the
576 higher water volume:surface area ratios in deeper lakes may limit N mineralization per
577 unit volume and perhaps increase the role of pelagic uptake by phytoplankton. While the
578 cross-lakes approach (Table 1) suggested that winter DOC was similar to summer on
579 average, the regression tree analysis indicated DOC was unique among our variables, with
580 opposing patterns in two distinct lake groups. More specifically, larger lakes ($>0.373 \text{ km}^2$)

581 had lower DOC in winter, while smaller lakes (<0.373 km²) had higher DOC in winter,
582 especially those occurring at lower elevation (<366 m). These smaller, lower elevation
583 lakes also tended to have higher DOC overall, possibly resulting in especially dark
584 conditions under ice. The dynamics of DOC can be influenced by multiple interacting
585 factors such as lower terrestrial carbon input during cold winters (Lepistö et al. 2014),
586 sustained benthic metabolism, uptake of DOC by bacteria (Tulonen et al. 1994), and
587 photodegradation (Wetzel 2001), but few studies have partitioned DOC sources and
588 processing during winter. DOC dynamics under ice clearly represent a key area for future
589 research.

590 In revising the PEG model, Sommer et al. (2012) speculate that winter activity
591 should have effects on phytoplankton and zooplankton in the subsequent season; indeed,
592 we found evidence for strong winter-summer linkage for some lakes and variables. In lakes
593 that had longer time series, such as the Laurentian Great Lakes, northern Wisconsin lakes,
594 northern Europe lakes, and Canadian lakes, the influence of winter conditions on the
595 following summer's value differed among variables. Winter and summer were often
596 negatively related, such that high winter values were associated with low values from the
597 adjacent summer, or low winter values were associated with high values from the adjacent
598 summer (Fig. S5, Fig. S6, Table S5). Among variables in our analysis, these negative cross-
599 seasonal relationships were particularly frequent for zooplankton density and chl *a*,
600 although several other variables also had negative winter-summer correlations in >20% of
601 the available time series. In the case of chl *a*, one explanation for antagonistic winter-
602 summer dynamics is that high winter production may reduce the pelagic nutrient pool,
603 strengthening P limitation or Si limitation in the following summer, in turn reducing

604 summer production. For zooplankton, one possible explanation for negative winter-
605 summer correlations could be that high prior zooplankton abundance or composition
606 reduces the availability of readily-ingestible phytoplankton at the beginning of the next
607 season. Many studies have suggested that overwintering populations can boost summer
608 populations and vice versa (e.g. Sommer et al. 2012). A clear next step would be to examine
609 temporal trends at the population level for zooplankton. Overall, evidence is increasing
610 among independent studies for the prevalence of carryover between seasons. Coherent
611 responses in algal and zooplankton phenology associated with conditions related to the
612 winter North Atlantic Oscillation, which determines winter weather conditions across large
613 parts of Europe, provide well studied examples (Weyhenmeyer et al. 1999; Gerten & Adrian
614 2000; Straile et al. 2003; Blenckner et al. 2007; Straile et al. 2012). Similarly, the severity of
615 winter influenced spring nutrient concentrations in Lake Peipsi (Blank et al. 2009).
616 Altogether such seasonal connections strongly favor previous calls to “close the loop”
617 (Salonen et al. 2009) and study the full annual cycle in order to understand lake dynamics,
618 particularly as lake temperature rises worldwide (O'Reilly et al. 2015). Though winter
619 conditions often present logistical challenges to field sampling, we should dismiss
620 opportunities that are within reach and could greatly increase our basic understanding of
621 winter ecology.

622

623 *Implications*

624 We are losing ice without a deep understanding of what ecological processes are at
625 stake. Our synthesis demonstrates that under-ice environments in lakes are biologically
626 dynamic, and that in some cases understanding winter can help to predict summer

627 conditions, highlighting the importance of expanding our knowledge of under-ice
628 dynamics. Climate change is already altering lake conditions by increasing summer water
629 temperatures (O'Reilly et al. 2015) and decreasing winter ice duration (Magnuson et al.
630 2000; Benson et al. 2012; Shuter et al. 2013). While our study identifies some of these basic
631 patterns across and within lakes, how climate change will influence seasonal differences
632 and connections, as well as the nature of any feedbacks associated with these potential
633 changes, remains unclear. Long-term changes in lake ice already have been associated with
634 shifts in the timing of under-ice phytoplankton blooms (Adrian et al. 1999; Blenckner et al.
635 2007). Here, paleolimnology may offer useful insights into how lakes responded during
636 periods of warming, through the analysis of microfossils in sedimentary records. For
637 example, Smol et al. (2005) show that global warming over the past 150 years has resulted
638 in wide-scale reorganization of circumpolar lake ecosystems through shortening of the
639 winter season, with highest changes in beta-diversity occurring at the most northern
640 latitudes. Over longer timescales, declining winter ice conditions, inferred from
641 chrysophyte cysts, suggest that European Pyrenees lakes gradually warmed from the early
642 Holocene to c. 4000 years ago (Pla & Catalan 2005). While in ancient lakes such as Baikal,
643 we can look to previous warm periods such as the Last Interglacial (125,000 years ago)
644 which reveal a decline in ice-associated diatoms, but greater abundance in species that
645 require either strong mixing conditions or extended summer stratification (Rioual &
646 Mackay 2005).

647 Effects of shortening ice duration may present the most straight-forward scenarios
648 to consider. Predicting the influence of climate change on other ice characteristics, such as
649 clarity, may be a more difficult task. Observed and anticipated shifts in precipitation, wind,

650 and solar radiation patterns associated with climate change are heterogeneous across and
651 within regions, and can greatly alter the under-ice environment by changing the amount of
652 incident light that penetrates the ice. Surface snow accumulation of as little as 10 cm can
653 reduce light penetration to levels insufficient for photosynthesis and convective mixing
654 that influences algal suspension as well as nutrient concentrations in the photic zone
655 (Granin et al. 2000; Mackay et al. 2006; Jewson et al. 2009; Salmi & Salonen 2016). As with
656 many aspects of climate change, the extremes and the timing of shifts, in addition to
657 average changes, are important (reviewed in Adrian et al. 2012).

658 **Conclusion**

659 Our results suggest two principles that should motivate future work: 1) knowledge
660 of under-ice conditions within lake ecosystems may help to refine expectations of how lake
661 conditions, dynamics and functionality will unfold over the next season; 2) under-ice
662 observations, and measures of seasonal connectivity or dis-connectivity between seasons,
663 may enhance our ability to detect and understand ecological responses to lake warming,
664 especially when monitoring is sustained over the long-term. Both of these ideas are
665 testable, but only in the presence of long-term paired winter-summer lake data. Thus, while
666 most lakes can be expected to experience shorter winter ice duration and longer summers,
667 our capability to predict the ecosystem-wide impacts is constrained by our limited
668 knowledge of under-ice ecology and also accurate down-scaled climate predictions that
669 allow us to anticipate under-ice physical conditions. However, as our study demonstrates,
670 lake conditions are not the simple result of weather conditions during the current season
671 but can also depend upon external and internal forces operating on the ecosystem in
672 previous seasons. Our capacity to predict effects of warming waters and shortening ice

673 duration on lake ecosystems, and the resources they provide to society, will depend in part
674 on our ability to rapidly accumulate more knowledge of winter ecology and its influence on
675 ecological processes throughout the year. In the future, we predict that there will be no
676 more “off-seasons” for freshwater ecologists.

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1059

1060 Table 1. Winter-summer differences expressed across lakes. Linear mixed models were used, with a random intercept for year.

1061

Variable	# winter obvs	# paired obvs	Fitted difference (+ = higher in winter)	s.e. of difference	Intercept (typical summer value)	s.e. of intercept	p-value of difference	p-value of intercept
chl <i>a</i> (µg/L)	119	118	-5.06	0.661	9.13	0.612	<<0.001	<<0.001
phyto biovolume (mm ³ /L)	17	17	-12.8	1.85	14.7	1.31	<<0.001	<<0.001
crustacean zoop density (no./L)	36	36	-41.8	5.82	54.8	4.15	<<0.001	<<0.001
DOC (mg/L)	82	81	-0.0559	0.324	5.53	0.418	0.863	<<0.001
TDN (µg/L)	78	73	262	44.0	300	38.8	<<0.001	<<0.001
TDN:TDP (as atoms)	71	66	27.5	40.6	161	29.6	0.498	<<0.001
TDP (µg/L)	73	72	3.97	3.18	11.8	2.83	0.213	<<0.001
TN (µg/L)	76	75	161	23.1	552	23.7	<<0.001	<<0.001
TN:TP (as atoms)	75	74	24.0	6.44	88.3	4.89	<<0.001	<<0.001
TP (µg/L)	107	106	-1.35	1.95	27.1	1.98	0.488	<<0.001
water temp (°C)	113	107	-15.1	0.19	16.2	0.202	<<0.001	<0.001

1062

1063 Table 2. Summary of winter-summer differences and winter-summer correlations from
 1064 univariate time series of individual lakes. Correlations for summer-into-winter (*SW*) and
 1065 winter-into-summer (*WS*) are both shown. Zooplankton groups are referenced here as
 1066 counts L⁻¹ (calculated from reported proportion of total zooplankton density). Note that for
 1067 chl *a* and phytoplankton density only 3 lakes reported both variables with sufficient data to
 1068 include in our time series analysis, such that patterns are not readily compared between
 1069 these variables.

1070

Variable	# of time series	Seasonal difference present (% of time series)		Sign of summer-winter slope* (% of time series)					
		winter>summer	winter<summer	SW pos	SW neg	WS pos	WS neg	Any pos or neg	
chl <i>a</i>	34	9	50	0	32	0	26	47	
phyto density	4	0	100	25	0	25	0	50	
crustacean zooplankton density	11	0	91	0	18	0	9	18	
DOC	26	12	23	0	15	0	4	19	
TDN	14	79	7	0	43	0	0	43	
TDP	14	14	0	0	21	0	14	36	
TN	30	70	3	0	33	3	10	47	
TP	33	21	21	0	18	0	12	30	
water temp	20	0	100	5	0	5	5	15	

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1072 * Sign of the summer-winter slope determined using detrended data and AIC selection.

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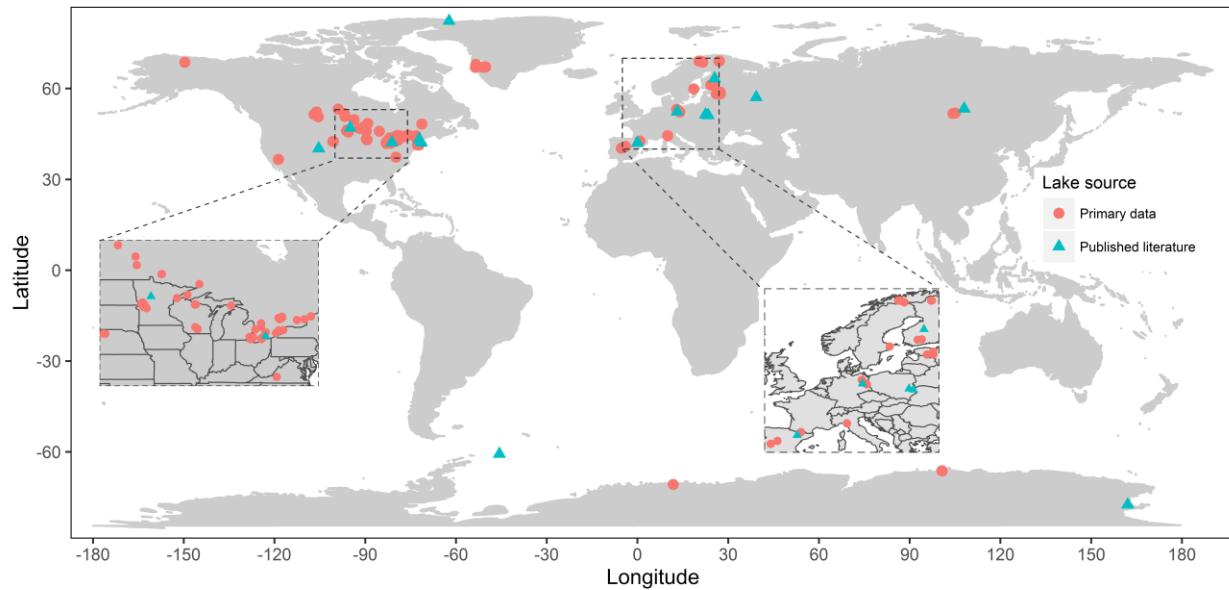
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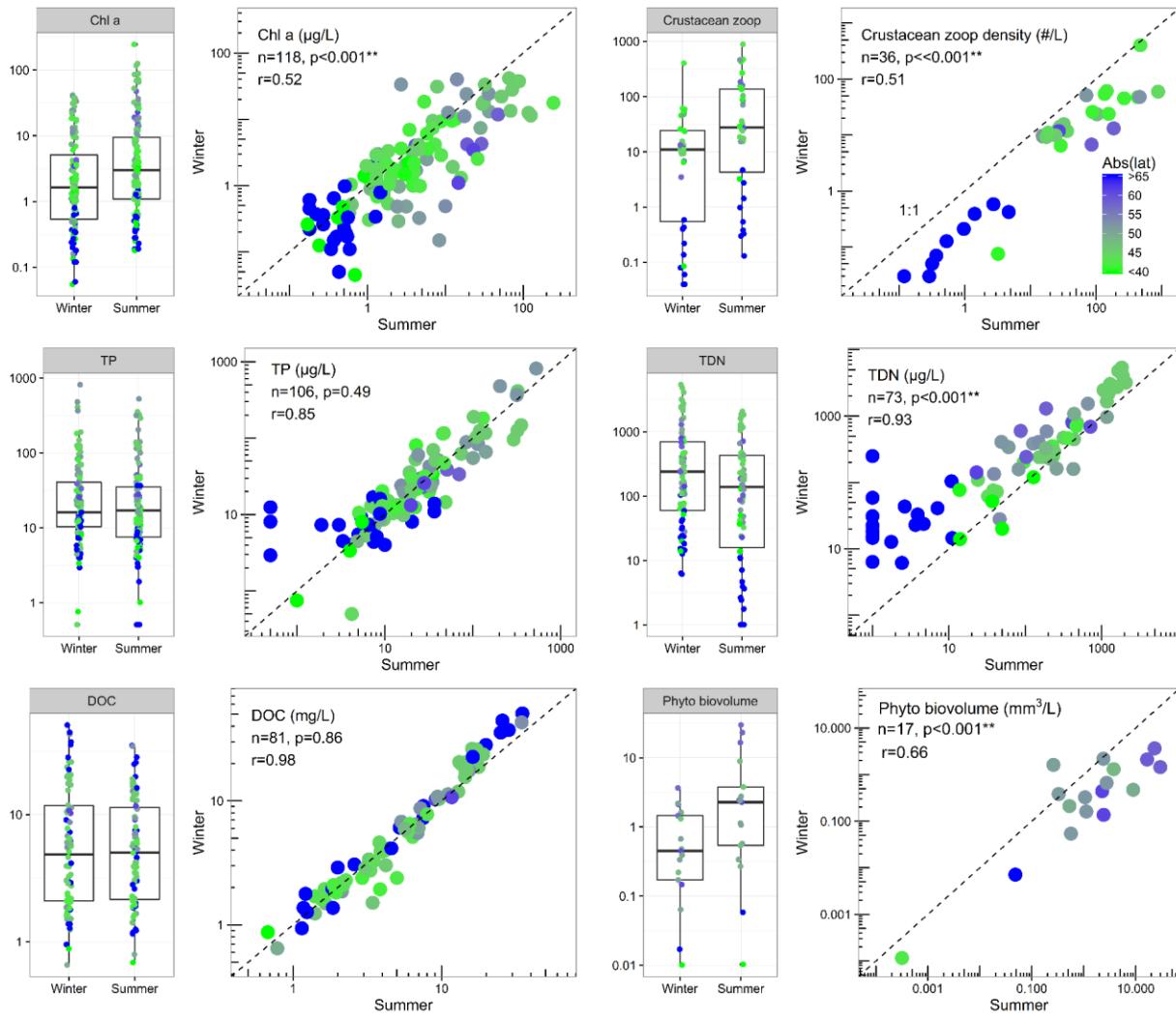
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1082 Figures
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1086 Figure 1. Map of lakes/sampling stations included in the full synthesis under-ice dataset
1087 (i.e., “primary data”) and the published literature review. See Figure S2 for comparison of
1088 aggregated chl *a* between primary data and published literature samples.

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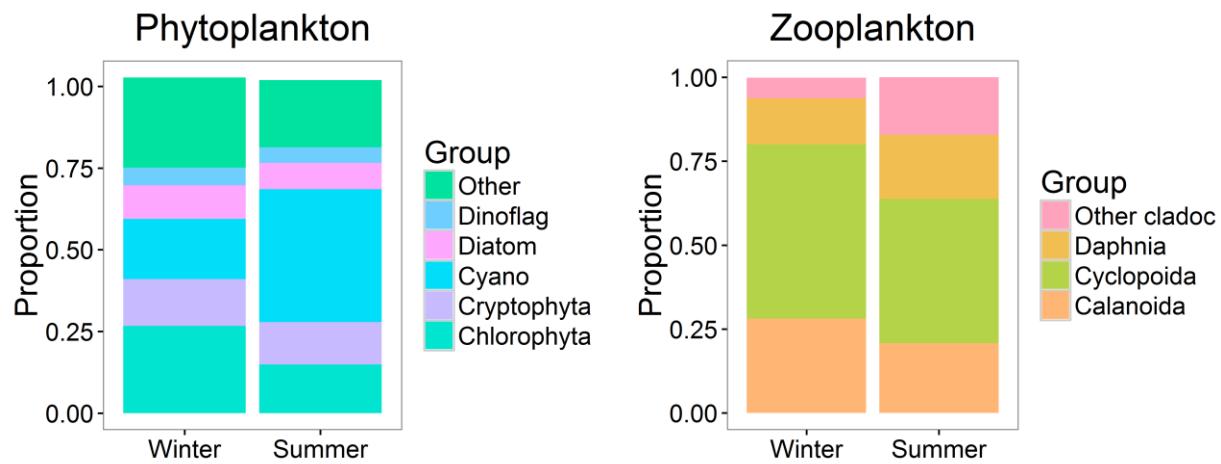
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1101 Figure 2. Average ice-on (winter) versus ice-off (summer) conditions across lakes for major
 1102 limnological variables. Boxplots show all reported available ice-on and ice-off data. Each
 1103 point represents the cross-year average from one sampling location; color indicates
 1104 latitude (absolute). Scatterplots show the paired ice-on-ice-off values at a given each
 1105 location. P-values are from lme models. Scales are logarithmic.

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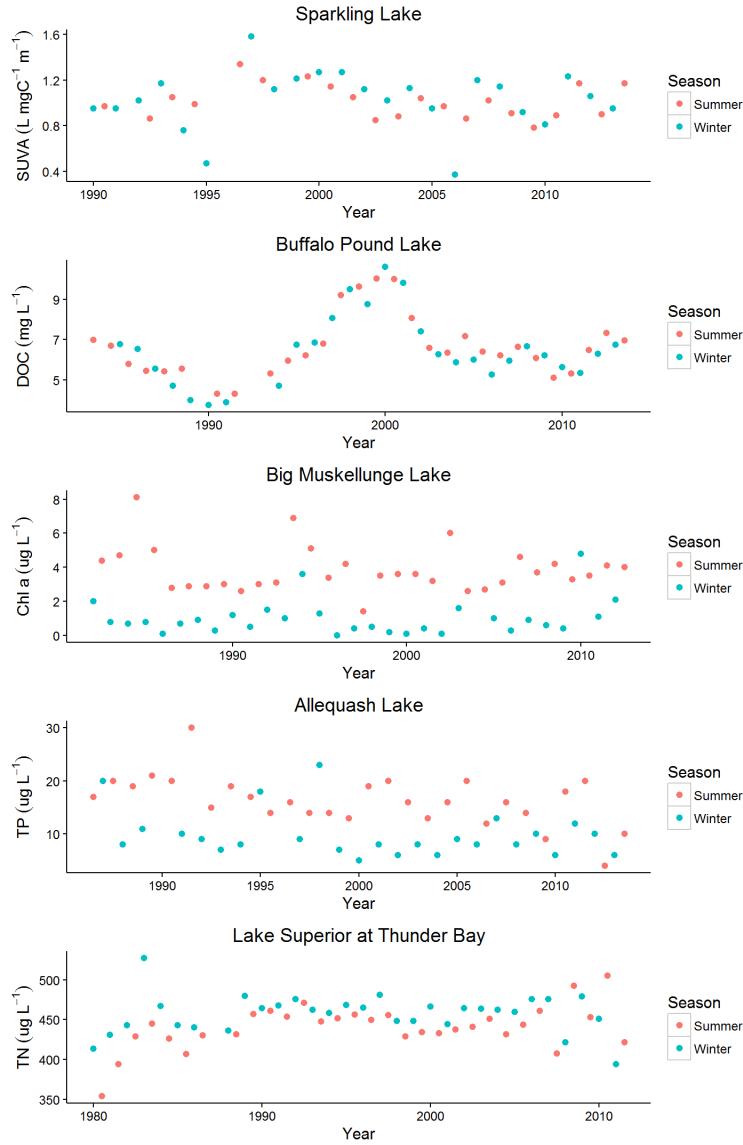
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1111 Figure 3. Average community composition for major phytoplankton and crustacean
1112 zooplankton groups during the winter and summer seasons, expressed as a proportion of
1113 total density.

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1117 Figure 4. Example time series that demonstrate the temporal patterns encountered for
 1118 different lakes and variables. Panel 1: first order autoregressive structure, SUVA in
 1119 Sparkling Lake, Wisconsin, USA; 2: first order autoregressive structure with moving
 1120 average, DOC in Buffalo Pound Lake, Saskatchewan, Canada; 3: seasonal difference, chl *a* in
 1121 Big Muskellunge Lake, Wisconsin, USA; 4: seasonal difference with moving average, TP in
 1122 Allequash Lake, Wisconsin, USA; 5: seasonal difference with first order autocorrelation
 1123 structure and moving average, TN in Lake Superior at Thunder Bay, Ontario, Canada.