

1 A Review on Lithium Phosphorus Oxynitride

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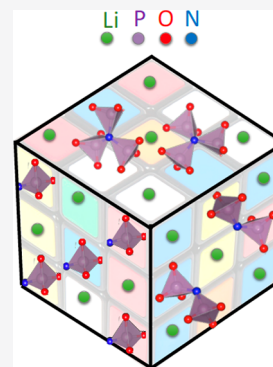


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3 **ABSTRACT:** Lithium phosphorus oxynitride (LiPON) has paved the way for thin film solid-state
4 battery technology development and has shown application in a large range of fields since its first
5 reported synthesis in 1993. The term LiPON describes the family of materials with the general formula
6 of $\text{Li}_x\text{P}_y\text{O}_z\text{N}_w$ offering a great range of stoichiometries with varying properties. Understanding how the
7 properties of LiPON are affected by different preparation methods is important for tuning this material
8 to fit the required application. It is also useful to understand the LiPON relation between the
9 amorphous structure and ionic conductivity, as well as the electrochemically degradation in contact
10 with electrode materials. This review summarizes various methods of synthesizing LiPON and evaluates
11 them on the basis of multiple properties of the resulting material. Structure–property relationships are
12 identified to categorize the various LiPON stoichiometries that have been synthesized. Possible lithium
13 ion conduction pathways and electrochemical degradation mechanism in LiPON are discussed.
14 Representative applications of LiPON films are also introduced. At the end of this review, insights for
15 future research into LiPON materials are provided.



1. INTRODUCTION

16 Invention and commercialization of lithium ion batteries in
17 1980s powered a revolution in consumer electronics and energy
18 technologies, ranging from small devices such as laptops,
19 cellphones, and wearable electronics, to larger scale systems
20 such as electric vehicles to solar energy storage.^{1–3} Over the
21 years, lithium ion batteries (LIBs) have become one of the most
22 important energy storage technologies of modern society, as was
23 recently celebrated by the 2019 Noble Prize in chemistry.
24 However, traditional LIBs technology relies heavily on the use of
25 highly flammable organic solvents as the transport medium for
26 lithium ions, resulting in potential safety issues. These concerns
27 may be mitigated using Li ion solid-state electrolytes,^{4–7} which
28 date back to 1960s,^{8,9} yet remain a relatively new field of study
29 for Li battery technology.¹⁰

30 Lithium phosphorus oxynitride (LiPON) has helped the way
31 to solid-state lithium batteries, since its synthesis in early 1990s
32 at Oak Ridge National Laboratories,^{11,12} for primary use in thin
33 film batteries. Literature reported values of LiPON's ionic
34 conductivity range from 10^{-8} to 10^{-6} S/cm.¹³ The mechanical
35 properties of LiPON feature a shear modulus of 77 GPa, a value
36 over 19 times that of lithium metal, proposed to enhance
37 dendrite protection in LIBs with Li metal anodes.¹⁴ As a result,
38 LiPON has been the center of attention for safer lithium battery
39 technology with high energy and power densities enabled by Li
40 metal anodes. For example, LiPON has been successfully used as
41 an electrolyte for lithium batteries with planar geometries and
42 also showed great promise for lithium batteries with in 3D
43 structured electrolytes.^{15,16} Furthermore, LiPON has been
44 applied in other technologies, such as electrochromic materials,

microelectromechanical systems (MEMS), and wireless sen-
sors.^{17–20}

The term LiPON describes the family of compounds with a
chemical formula of $\text{Li}_x\text{P}_y\text{O}_z\text{N}_w$, allowing for many different
stoichiometries to be studied. This large number of possible
stoichiometries allows for fine-tuning LiPON synthesis and
structure to achieve high ionic conductivities and great
mechanical properties. Both amorphous and crystalline
LiPON materials have been achieved, and each show distinct
properties depending on the synthesis method.^{14,21–25} As
summarized in Table 1, LiPON materials with a wide range of
properties have been synthesized through a number of methods,
including radio frequency magnetron sputtering, chemical vapor
deposition (CVD), atomic layer deposition (ALD), pulsed laser
deposition (PLD), solid-state reactions, and others.^{11,15,19,24–30}
Despite its importance for solid-state electrolytes, there are no
reviews dedicated to LiPON, though several reviews on solid
electrolytes include LiPON as a part of the discussion.^{5,10,31,32}
Thus, it is of great interest to have a focused review of different
LiPON synthesis methods, stoichiometries and structures, and
the corresponding properties. It is also great to note that a very
recent noncrystalline solid-state electrolyte review paper has a
large portion covering LiPON,³³ which offers some alternative

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Table 1. Summary of Various Synthesis Methods and the Resulting LiPON Stoichiometries Synthesized

pros of synthesis method	cons of synthesis method	LiPON stoichiometry	ionic conductivity (S/cm) ^a	activation energy (eV)	ref
Radio Frequency Magnetron Sputtering					
·currently the most popular method to produce LiPON	·expensive	Li _{3.1} PO _{3.8} N _{0.16}	2.00 × 10 ⁻⁶	0.57	7
·capability for thin or thick films	·high vacuum required	Li _{3.3} PO _{3.8} N _{0.22}	2.40 × 10 ⁻⁶	0.56	7
·high quality, conformal coatings achievable	·inert atmosphere required for synthesis	Li _{2.9} PO _{3.3} N _{0.46}	3.30 × 10 ⁻⁶	0.54	7
·large range of stoichiometries by changing sputtering conditions	·poor coatings on complex 3D structures	Li _{3.13} PO _{1.69} N _{1.39}	4.90 × 10 ⁻⁶	0.55	10
·deposition rate controllable down to the nanometer	·slow deposition rates	N/A	1.80 × 10 ⁻⁶	0.43	13
·nontoxic precursor materials		Li _{4.4} PO _{4.3}	1.00 × 10 ⁻⁶	0.64	19
·radio frequency prevents charge build up on the target		Li _{4.0} PO _{3.9} N _{0.4}	1.70 × 10 ⁻⁶	0.62	19
		Li _{3.7} PO _{3.4} N _{0.7}	2.30 × 10 ⁻⁶	0.6	19
		Li _{3.5} PO _{3.2} N _{0.8}	2.60 × 10 ⁻⁶	0.59	19
		Li _{3.4} PO _{3.1} N _{0.9}	2.80 × 10 ⁻⁴	0.58	19
		Li _{3.2} PO _{3.0} N _{1.0}	3.00 × 10 ⁻⁶	0.57	19
		Li _{2.9} PO _{2.6} N _{0.91}	2.10 × 10 ⁻⁶	0.52	22
		Li _{1.8} PO _{1.2} N _{1.5}	1.70 × 10 ⁻⁶	0.49	22
		Li _{3.3} PO _{2.9} N _{0.83}	3.10 × 10 ⁻⁶	0.47	22
		Li _{2.9} PO _{1.875} N _{1.25O}	1.67 × 10 ⁻⁶	0.492	29
		Li _{2.410} PO _{2.651} N _{0.909}	6.20 × 10 ⁻⁷	0.499	29
		Li _{2.795} PO _{2.670} N _{0.750}	7.46 × 10 ⁻⁷	0.551	29
		Li _{2.708} PO _{2.920} N _{0.420}	2.83 × 10 ⁻⁷	0.551	29
		Li _{2.852} PO _{2.931} N _{0.400}	2.10 × 10 ⁻⁶	0.594	29
		Li _{3.4} PO _{4.5} N _{0.4}	N/A	N/A	30
		Li _{1.9} PO _{3.9} N _{0.7}	N/A	N/A	30
		Li _{1.4} PO _{3.9} N _{0.5}	N/A	N/A	30
		Li _{2.637} PO _{2.810} N _{0.325}	8.95 × 10 ⁻⁷	N/A	33
		Li _{3.056} PO _{3.293} N _{0.221}	1.85 × 10 ⁻⁸	N/A	33
		Li _{3.493} PO _{3.641} N _{0.107}	7.35 × 10 ⁻⁹	N/A	33
Pulsed Laser Deposition					
·high quality, conformal coatings achievable	·low ionic conductivity	Li ₂ PO ₂ N	1.50 × 10 ⁻⁸	0.68	31
·direct transfer of target stoichiometry to the substrate possible	·slow deposition rates				
·deposition rate controllable down to the nanometer	·expensive				
·large range of stoichiometries possible	·vacuum required				
·nontoxic precursor materials					
Metal–Organic Chemical Reaction					
·thickness controllable down to the nanometer	·toxic precursors	N/A	2.95 × 10 ⁻⁷	1.06	8
·good quality films of LiPON	·less stoichiometry available	Li _{1.47} PO _{2.88} N _{0.24} ^b	1.02 × 10 ⁻⁶	N/A	32
	·high temperatures required				
Atomic Layer Deposition					
·LiPON synthesis controllable down to the atomic level	·toxic precursors	N/A	7.50 × 10 ⁻⁹	N/A	14
·high quality, conformal coatings achievable	·limited range of stoichiometries	Li _{0.95} PO _{3.00} N _{0.60}	6.60 × 10 ⁻⁷	0.66	15
·complex 3D architectures attainable					
Solid-State Reactions					
cheap and simple method to produce LiPON	·low ionic conductivity	Li ₂ PO ₂ N	8.80 × 10 ⁻⁷	0.57	20
	·limited range of stoichiometries				
	·high temperatures required				
Solution Processed LiPON					
·cheap and simple method to produce LiPON	·less versatile stoichiometric control	(Li ₂ PO ₂ N) _n	1.7 × 10 ⁻¹⁰ (at 80 °C)	N/A	35
	·low ionic conductivity				
	·time consuming, multistep process				

^aValues reported for room temperature unless marked otherwise. ^bStoichiometry determined from experimental data from source.

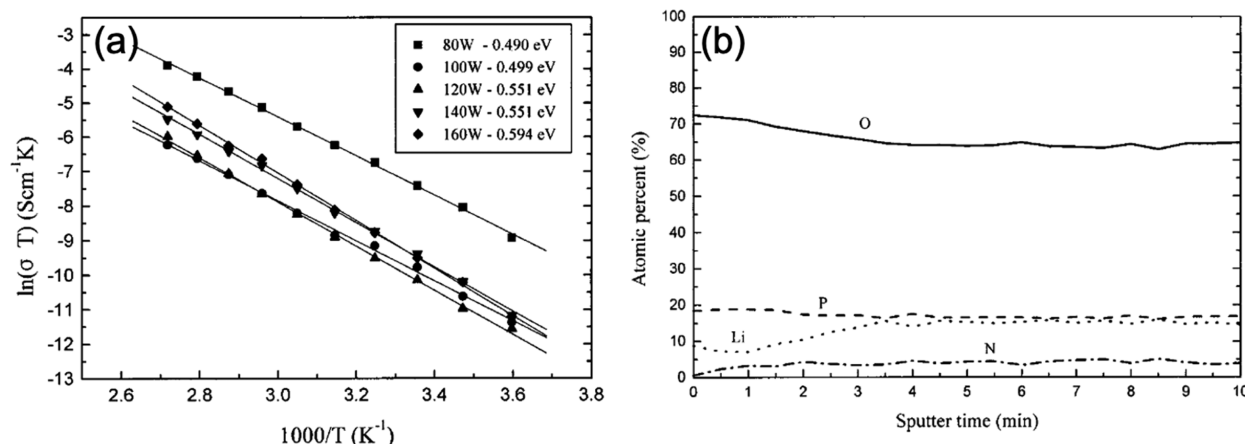


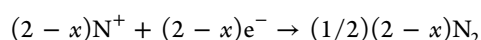
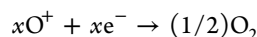
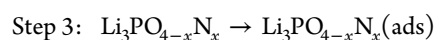
Figure 1. LiPON films deposited by RF sputtering. (a) Arrhenius plot of ionic conductivity of sputtered LiPON deposited at a RF power of 80–160 W vs temperature. The conductivity becomes lower and the slope becomes steeper as the sputtering power increases. (b) AES composition depth profile of LiPON. The chemical contents of the film do not change with the depth of the LiPON film. Reprinted with permission from ref 34. Copyright 2002 The Electrochemical Society.

perspectives on LiPON in addition to this LiPON exclusive review work.

In this review, various methods of synthesizing LiPON are summarized and evaluated on the basis of relevant properties of the resulting films. From the research findings of these different synthesis methods, structure–property relationships are evaluated to compare the various LiPON stoichiometries that have been synthesized over the past two decades. Possible lithium ion conduction mechanism and LiPON degradation pathways are summarized. Representative LiPON applications are also introduced, and insights for future research into LiPON materials are provided.

2. SYNTHETIC METHODS

2.1. Radio Frequency Magnetron Sputtering. Radio frequency (RF) magnetron sputtering of Li_3PO_4 in a nitrogen atmosphere is the original technique used to synthesize LiPON and is still, by far, the most common.¹¹ RF magnetron sputtering is a sputtering technique that uses a high-frequency alternating field to accelerate ionized argon, hitting a target made of the material to be sputtered and depositing the material on a substrate. During this process, argon does not react with the target material, reactive RF magnetron sputtering may be achieved through incorporation of a reactive gas such as nitrogen.³⁴ RF magnetron sputtering offers good quality films and can be scaled up, and thicknesses are controllable down to the nanometer level. On the other hand, this method is costly, requires vacuum technology, and offers low rates of deposition. However, RF magnetron sputtering allows for a simple synthesis that can easily be modified to adjust the stoichiometry of the resulting films allowing for more in-depth studies of the material over a large range of stoichiometries by adjusting power, gas pressure, and sputtering gases. In the sputtering of LiPON, Li_3PO_4 is usually used as target and pure nitrogen gas used as a reactive gas and in some cases, argon may be used as a carrier gas with nitrogen.^{17,19,34,35} During the sputtering synthesis process of LiPON, three distinct reaction steps have been devised by Choi et al.:³⁴



(3)

Step one and step three are fast reactions, this is due to the high energy of the plasma in step one breaking nitrogen's bonds and the momentum of the particles in step three.³⁴ Step one shows the nitrogen gas being split into nitrogen ions and electrons, resulting in a reactive nitrogen cation species. Step two shows the incorporation of nitrogen ions into the lithium phosphate structure as a plasma. In step three, the nitrogen incorporated in the $\text{Li}_3\text{PO}_{4-x}\text{N}_x$ adsorbs to the substrate while oxygen removed from the lattice combines with electrons to produce diatomic oxygen. Finally, the excess nitrogen ions recombine with electrons to become electrically neutral.³⁴ Therefore, the rate limiting step is step two and is determined by the power applied to the target during sputtering.

Figure 1a shows the ionic conductivity of the LiPON films synthesized at various powers as a function of temperature. Fitting the data using the Arrhenius equation, it is found that the activation energies of the films increase with increasing power.³⁴ It is concluded in this study that the higher the power applied to the target, the lower the ionic conductivities and the higher the activation energies of the resulting LiPON. Figure 1b shows an Auger electron spectroscopy (AES) depth profile of LiPON. Throughout the sample, it is seen that the nitrogen content is uniform during the deposition. It is suggested that nitrogen incorporation in the LiPON is due to reactive sputtering and not due to poisoning of the target,³⁴ but we note that these two processes are intimately linked to each other. Furthermore, lower power sputtering results in higher ionic conductivity at the cost of stability. Cycling behavior is shown to become worse for the lower powered sputtering process due to the increase in diffusion range.³⁴ Developing the best performing LiPON electrolytes is a balancing act between high ionic conductivity and cycling stability, understanding the processes that affect these properties is important for further development of LiPON electrolytes.

2.2. Pulsed Laser Deposition. Pulsed laser deposition (PLD) is a synthesis method that uses a high-powered laser to

hit a target to be deposited on a substrate and offers its own advantages such as high deposition rates, easily controllable film thicknesses, and the ability to perform reactive/nonreactive depositions.^{27,36} In PLD, a high-power pulsed laser is focused on the target material under a high vacuum; the pulsed laser vaporizes the target, which is then deposited on a substrate. In a study published in 2002, LiPON is prepared from a Li_3PO_4 target in a nitrogen atmosphere.²⁷ The first instance of LiPON produced through PLD shows characteristics very similar to those of LiPON produced through RF magnetron sputtering. Key parameters of the deposition process are explored, including nitrogen pressure and beam intensity. Results from this study show that increasing the nitrogen pressure decreases the film growth rate and improves the ionic conductivity through the incorporation of more nitrogen into the LiPON film; furthermore, increasing the laser power from 5 to 20 J/cm² shows an increase in ionic conductivity and film growth rate.²⁷

Nonreactive deposition processes are of interest. Because in the absence of reactive gases, the stoichiometry of the target may be directly transferred to the substrate. This property is explored by the PLD of a $\text{Li}_2\text{PO}_2\text{N}$ target synthesized through the solid-state reaction route. It is found that by using the crystalline LiPON target and depositing in a nitrogen atmosphere, the resulting thin film has a good ionic conductivity and an amorphous structure.³⁶ Further, the influence of the PLD-LiPON layer on the charge transfer resistance between amorphous LiPON and $\text{LiMn}_{1.485}\text{Ni}_{0.45}\text{Cr}_{0.05}\text{O}_4$ (LNM) cathode is explored. Findings from this study indicate that by depositing the amorphous PLD-LiPON between the LNM cathode and LiPON the charge transfer resistance is greatly reduced.³⁶ Reducing the charge transfer resistance between individual battery components is highly desired when developing solid-state batteries and is still an important issue to tackle. Having the ability to reduce resistances between LiPON and electrode materials by using another form of LiPON is an excellent solution to decreasing charge transfer resistances. It is theorized that this is due to the increase of nitrogen in the LiPON film by sputtering from a high nitrogen content target.³⁶ However, no conclusive explanation for the mechanism of this improvement is offered.

2.3. Metal–Organic Chemical Vapor Deposition.

Metal–organic chemical vapor deposition (MOCVD) has also been successfully applied to synthesize LiPON through several reaction routes.^{13,37} MOCVD uses vapor-phase precursors to deposit thin films of materials onto a substrate's surface. After the reactions are complete, the waste products are purged, and the film is collected. One possible route for LiPON from MOCVD is reacting lithium dipivaloylmethane (Li(DPM)), triethyl phosphate (TEP), and ammonia. Shown in Figure 2 is the reactor schematic for this process. Nitrogen gas is used as the inert gas for carrying Li(DPM) and TEP individually through heated lines into the reactor vessel (Figure 2). During this process, Li(DPM) is thermally degraded, requiring a Li⁺ acceptor.¹³ When TEP is decomposed, two distinct decomposition routes are seen; the first is where $-\text{O}-\text{C}_2\text{H}_5$ forms $-\text{OH}$ and C_2H_4 , and the second is where $-\text{OH}$ and $-\text{C}_2\text{H}_5$ form $-\text{C}_2\text{H}_5\text{OH}$.¹³ Ionic bonds form between the Li⁺ from the Li(DPM) decomposition and the oxygen sites from the TEP decomposition; this reaction occurs at 500 °C.¹³ Ammonia, incidentally decomposing at >500 °C, is introduced into the reactor to incorporate nitrogen into the film, producing LiPON. The growth rate increases monotonically up to 575 °C, as shown in Figure 3a.¹³

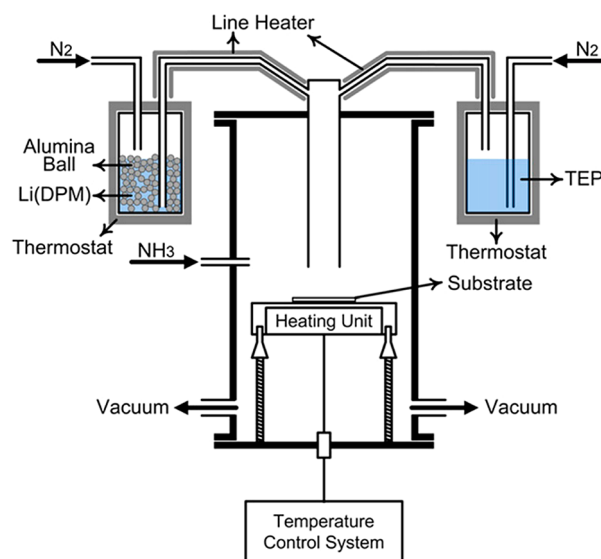


Figure 2. Schematic diagram of experimental setup for LiPON thin film deposition using MOCVD. Reprinted with permission from ref 13. Copyright 2013 Elsevier.

Figure 3b shows the temperature dependence of ionic conductivity of the LiPON film, it is seen that the ionic conductivity improves at higher reaction temperatures. Shown in Figure 3c is the ratio of triply coordinated to doubly coordinated nitrogen as a function of temperature. It can be seen that a higher amount of triply coordinated nitrogen is incorporated at higher temperatures, resulting in higher ionic conductivities. At 550 and 575 °C ionic conductivities for the LiPON range from 2.75×10^{-7} to 2.95×10^{-7} S/cm⁻¹, this is within the reported literature values for LiPON; however, on the low end, possibly due to adduct materials formed.¹³

Precursors of LiO^tBu and triethyl phosphate are also used to synthesize LiPON in an Ar–H₂–N₂ plasma assisted CVD process in the 2012 study by Maxie et al.³⁷ Deposition in an Ar–O₂–N₂ atmosphere is also investigated. Plasma enhanced chemical vapor deposition allows for LiPON deposition at lower temperatures and offers a higher rate of deposition. Results of this study show ionic conductivity consistent with typical LiPON and offer a higher growth rate compared to RF magnetron sputtering from Li_3PO_4 . Furthermore, preparation in an Ar–N₂–H₂ atmosphere yields an ionic conductivity much higher than in the Ar–N₂–O₂ atmosphere.³⁷ A lower ionic conductivity for synthesis in the presence of oxygen indicates that the presence of oxygen may reduce the mobility of the lithium ions in the LiPON matrix.

2.4. Atomic Layer Deposition. Atomic layer deposition (ALD) is a method of synthesizing thin films that relies on the reactions of various precursors to build the desired structures layer-by-layer. Because of the ability to grow films in a stepwise fashion, more complex geometries can be prepared than by RF magnetron sputtering; this is especially important for the development of 3D architectures in lithium batteries.^{15,16} ALD was first used to prepare LiPON in 2015, two different groups published their work on ALD of LiPON each using different precursors and reaction routes.^{15,16}

In the first reported synthesis of LiPON through ALD, lithium *tert*-butoxide (LiO^tBu), H₂O, trimethyl phosphate (TMP), and N₂ are reacted in subsequent steps on silicon to grow LiPON thin films.¹⁵ The schematic for this process can be seen in Figure 244 f4

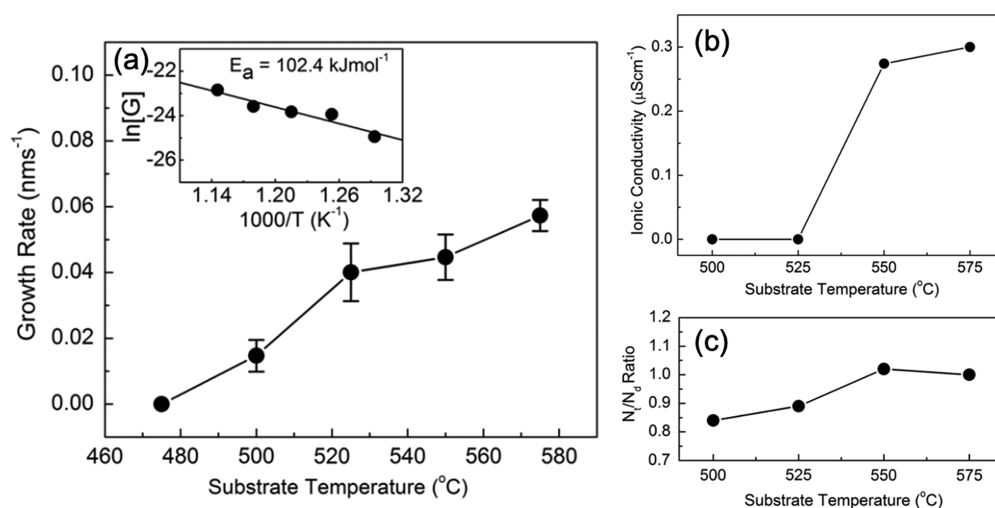


Figure 3. MOCVD of LiPON thin films. (a) Growth rate of LiPON films as a function of substrate temperature and Arrhenius plot for the growth rate (inset). (b) Li ion ionic conductivity of LiPON films as a function of substrate temperature (measurement temperature = 25 °C). (c) Ratio tripled coordinated nitrogen (P=N<P; N_t) to doubly coordinated nitrogen (P=N=P; N_d) as a function of substrate temperature. Reprinted with permission from ref 13. Copyright 2003 Elsevier.

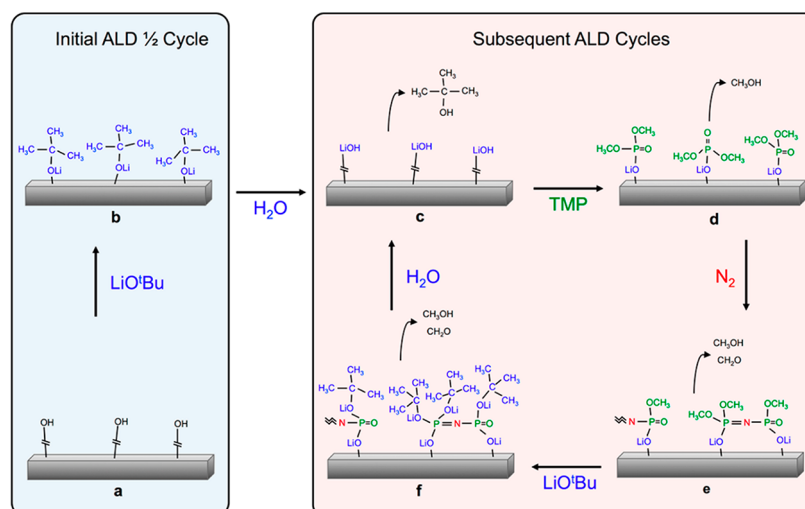


Figure 4. ALD growth mechanism of LiPON films. (a) The hydroxyl terminated substrate is shown. (b) The metastable surface after the LiO^tBu pulse is shown. (c) The H₂O pulse removes the *tert*-butanol ligands and forms LiOH on the surface. (d) TMP reacts with surface LiOH through ligand exchange reaction, evolving CH₃OH. (e) N₂ plasma cross-links phosphorus atoms and evolves CH₃OH and CH₂O. (f) LiO^tBu reacts with -OCH₃ ligands and evolves both CH₂OH and CH₂O. The initial LiOtBu and H₂O pulses shown in (a) and (b) are required to “activate” the substrate prior to deposition. For all subsequent ALD cycles, the process chemistry in (c) through (f) is repeated as one ALD cycle. Reprinted with permission from ref 15. Copyright 2015 American Chemical Society.

4. In the first step, a hydroxyl terminated substrate is reacted with LiO^tBu, resulting in a metastable surface. H₂O is then reacted with this intermediate resulting in a LiOH surface and removing the *tert*-butanol ligand. Next, TMP is reacted with the LiOH through ligand exchange, releasing MeOH. Nitrogen plasma is then introduced to cross-link the phosphorus groups, evolving MeOH and CH₂O. Finally, LiO^tBu is reacted with the -OCH₃ ligand, then MeOH and CH₂O are evolved, and H₂O is flushed through generating a LiOH surface. From this point, the cycle may be repeated until the desired film thickness is reached. This method yields various concentrations of nitrogen in the film by varied nitrogen pulse times, allowing for precise control of the nitrogen content, as determined by X-ray photoelectron spectroscopy (XPS). Nitrogen contents ranging from 0% to 16.3% are achieved through this method; however, it is noted that the resulting films offer lower ionic conductivities (1.45 ×

10⁻⁷ S/cm), an order of magnitude lower compared to the best films from RF magnetron sputtering.¹⁵

In another work, ALD is also used to synthesize LiPON in a water free, binary process involving lithium hexamethyldisilazide (LiN(SiMe)₃(LiHMDS) and diethylphosphoramidate (H₂NP(O))(OC₂H₅)₂ with nitrogen as a carrier and purge gas. The feasibility of 3D structured systems is also assessed through depositing the LiPON on a 3D-microstructured silicon and is depicted in Figure 5. Results from these tests show that the LiPON layer is uniform in thickness from the top to the bottom of the trench, perfectly replicating the nanoscale roughness of the silicon substrate.¹⁶ Similarly to the other LiPON produced from ALD, lower ionic conductivity values are seen with this process when compared to RF magnetron sputtered thin films of LiPON.^{23,26,27,38} One suggestion for this is that the LiPON resulting from ALD has a more ordered

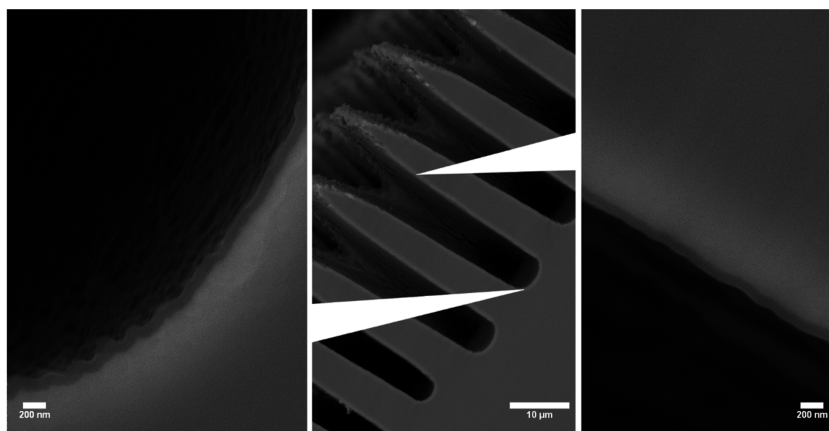


Figure 5. Cross-section SEM images of LiPON deposited by ALD on 3D-microstructured silicon. Reprinted with permission from ref 16. Copyright 2015 American Chemical Society.

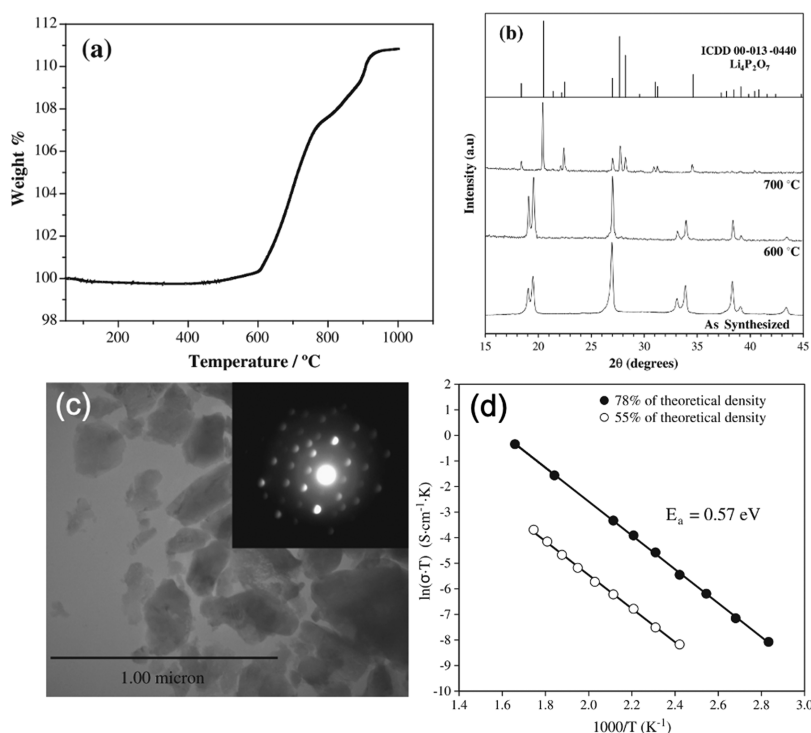


Figure 6. Bulk solid-state synthesis of LiPON. (a) The TGA curve after heating to 1000 °C in air and (b) XRD patterns of SD- $\text{Li}_2\text{PO}_2\text{N}$ heated in air at 600 and 700 °C compared with the room temperature “as synthesized” pattern. The reference XRD pattern of the $\text{Li}_4\text{P}_2\text{O}_7$ decomposition material is shown in the top panel. (c) Representative TEM micrograph and electron diffraction pattern (inset) of SD- $\text{Li}_2\text{PO}_2\text{N}$ acquired in bright field mode. (d) Arrhenius plot of measured impedance for SD- $\text{Li}_2\text{PO}_2\text{N}$ for pellets with 55% and 78% of the theoretical density. Reprinted with permission from ref 24. Copyright 2013 Elsevier.

structure as an inherent feature of the deposition process. With other processes, such as RF magnetron sputtering, there is a more random nature to the particle collisions, resulting in a more amorphous LiPON. It is also worth mentioning that NaPON (sodium phosphorus oxynitride), inspired by and analogous to LiPON, recently was successfully prepared *via* a thermal ALD process, as the first sodium ion conductor synthesized by ALD.³⁹ Ultimately, ALD offers more versatility for electrolyte geometry compared to sputtering processes since the layers are built in subsequent steps and can be a great way to design electrolyte systems for 3D batteries in particular.

2.5. Solid-State Reactions. LiPON can be synthesized from a plethora of methods; however, it is uncommon to see

crystalline LiPON. Solid-state reactions allow for the synthesis of these crystalline LiPON stoichiometries. Solid-state reaction routes are perhaps the least used method for synthesis of LiPON, the first reported solid-state synthesis of LiPON is in 1995 from Bate's group.⁴⁰ This work also reports the first synthesis of crystalline LiPON, although it is noted that another group also made claims of a Li_3PON_2 material but supplied no diffraction data to back their claims.⁴⁰ During the initial attempts, Li_2O and P_3N_5 are used as precursor materials and resulted in multiple phases. Li_3N and LiPO_3 are found to produce a single-phase material. Preparation of the LiPON through solid-state reaction is done by thoroughly crushing the precursors in an agate mortar, packed in a reaction vessel under

nitrogen, and then heated to 600 °C for 24 h. From this work, $\text{Li}_{2.88}\text{PO}_{3.73}\text{N}_{0.14}$ is reported as a polycrystalline sample and has a structure similar to $\gamma\text{-Li}_3\text{PO}_4$ with much higher ionic conductivity and lower activation energies due to Li ion and anion site vacancies.⁴⁰ Since there are a large number of stoichiometries for LiPON, there is still room for improvement on ionic conductivity of crystalline LiPON by modifying the preparation method.

In the 2012 study, Senevirathne et al. explored the synthesis of crystalline $\text{Li}_2\text{PO}_2\text{N}$ from Li_2O , P_2O_5 , and P_3N_5 . In this work, the precursors are ground together in a mortar, compressed into a pellet, and then sintered under a vacuum at 950 °C for 10 h.²⁴ The resulting LiPON is shown to have a strong $-\text{P}-\text{N}-\text{P}-\text{N}-$ backbone that is stabilized, retaining this stability up to 1050 °C *in vacuo* and 600 °C in air, as shown in Figure 6a.²⁴ Further, Figure 6b shows the change in the X-ray diffraction (XRD) pattern for the as-synthesized LiPON and after heating to 600 and 700 °C. Results from this data indicate that the as-synthesized LiPON decomposes into a $\text{Li}_4\text{P}_2\text{O}_7$ structure.²⁴ Transmission electron microscopy (TEM) images and electron diffraction (inset) (Figure 6c) show this synthesis produces irregular discrete crystalline particles instead of a film. Further, the ionic conductivity, shown in Figure 6d, is evaluated for samples that are at 55% and 78% the theoretical density of $\text{SD-Li}_2\text{PO}_2\text{N}$ (the name refers to their experimentally obtained compound), revealing high ionic conductivity at higher density. These findings hold important implications for the development of LiPON based technology applications in high temperature environments because the LiPON particles do not degrade at 600 °C.

2.6. Solution-Processed LiPON. Wet chemical methods for LiPON synthesis are currently at the infancy stage. Recently, in an effort to prepare LiPON from wet chemical methods, polymeric LiPON has been synthesized from a modified polyphosphazene synthesis route.⁴¹ Synthesis of LiPON from wet chemical methods is very attractive for large scale manufacturing, as all of the current industrial methods for LiPON synthesis require vacuum technology and as a result thicker films are more costly.

Figure 7 shows the reaction steps for the one-pot synthesis of polymeric LiPON. Step 1 shows the polymerization of poly(dichlorophosphazene) from lithium bis(trimethylsilyl)-amide ($\text{LiN}(\text{SiMe}_3)_2$), phosphorus trichloride (PCl_3), phosphorus pentachloride (PCl_5), and thionyl chloride (SO_2Cl_2). In

step 2, dimethyl sulfoxide (DMSO) is added to the dried reaction product from step 1 and then reacted for 48 h at 40 °C, forming the reaction product of poly(phosphoramidic acid). Finally, in the third step of the reactions sequence, poly(phosphoramidic acid) is reacted with *n*-butyllithium in toluene for 96 h under stirring to produce polymeric LiPON. The overall yield of polymeric LiPON is 58% on the basis of $(\text{NPCI}_2)_n$.⁴¹ Polymeric LiPON prepared through this reaction route shows excellent solubility in toluene and tetrahydrofuran with no indications of cross-linking.⁴¹ Solubility of the polymeric LiPON in these solvents clearly distinguishes the polymeric LiPON from LiPON prepared from other methods and has profound implications regarding processability and industrial scale up. However, the ionic conductivity of the polymeric LiPON is determined to be 1.7×10^{-10} S/cm, which is much lower than LiPON produced from any other methods.⁴¹ It is noted that reaction between LiPON and lithium metal forms a stable interface of high conducting reactants such as Li_3N and Li_3P and this may be beneficial and a possible way to improve the performance *in situ*.^{25,41} Exploration of wet chemistry methods to synthesize LiPON shows great promise for the development of future of LiPON based technologies.

3. STRUCTURE–PROPERTY RELATIONSHIPS

Elucidating the structure of amorphous materials is difficult, making developing structure–property relationships arduous. There have been no conclusive explanations for the excellent electrochemical properties of LiPON; however, it is a general consensus that the incorporation of nitrogen is responsible for the properties of LiPON. In the original work regarding LiPON, it is explained that the incorporation of nitrogen creates $-\text{N}=\text{P}-$ and $-\text{N}<$ bonds with phosphorus, where $-\text{N}<$ is referred to as a “cross-linked” structure and is said to be the reason for the high ionic conductivity.¹¹ In later years the scientific community, in agreement with this theory, began referring to $-\text{N}=\text{P}-$ and $-\text{N}<$ as doubly (N_d) and triply (N_t) coordinated nitrogen, respectively. Further, in Mascaraque’s work on interpreting the ionic conductivity increase related to nitrogen, a relationship between the triply coordinated nitrogen and doubly coordinated nitrogen in a LiPON film is suggested. The relationships between the number of N_t , N_d , bridging oxygens (BO), and nonbridging oxygens (NBO) is described by the following equations:⁴²

$$\text{N}_t = \frac{3}{2}\text{BO}$$

$$\text{N}_d = 1\text{NBO} + \frac{1}{2}\text{BO}$$

This theory of LiPON structure containing N_d and N_t configurations has been accepted by the scientific community since it was suggested, in recent years more insight into the structure of amorphous LiPON has been achieved.

In 2018, the amorphous structure of LiPON is resolved by Dudney’s team utilizing both theoretical calculations and experimental data. Results of this study suggests that nitrogen exists as apical nitrogen (N_a) in isolated $\text{P}(\text{O},\text{N})_4$ tetrahedra as well as bridges between two phosphate groups (N_b).⁴³ Figure 8 shows a schematic of the simulated $\text{Li}_{2.9}\text{PO}_{3.5}\text{N}_{0.31}$ with the presence of apical nitrogen and doubly coordinated nitrogen. These results agree with literature in that there are two distinct nitrogen arrangements in LiPON; however, this work suggests a perhaps better description of the nitrogen binding arrange-

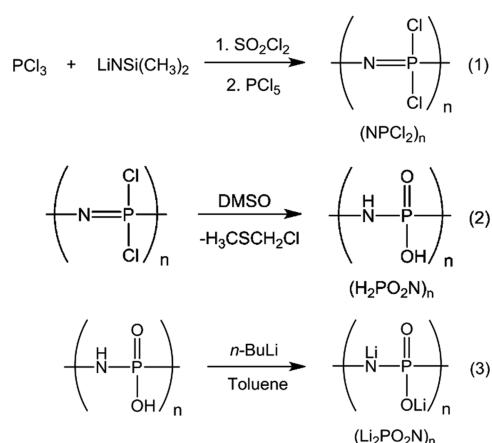


Figure 7. Reaction scheme for synthesis of polymeric LiPON. Reprinted with permission from ref 41. Copyright 2020 Elsevier.

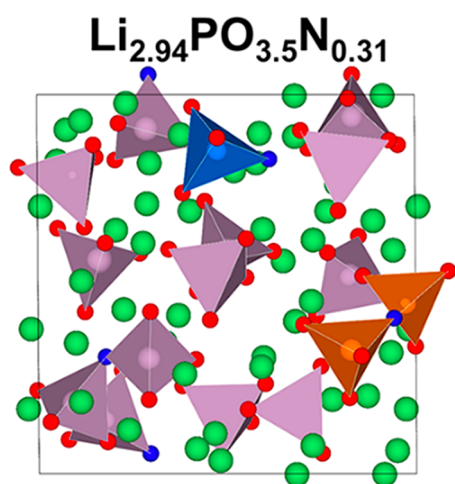


Figure 8. Schematic of simulated $\text{Li}_{2.94}\text{PO}_{3.50}\text{N}_{0.31}$ structure from *ab initio* molecular dynamics. Red atoms are O, blue atoms are N, green atoms are Li, and light gray atoms are P. The triangles are added to outline the phosphate tetrahedra. Reprinted with permission from ref 43. Copyright 2018 American Chemical Society.

of Li, and structural changes. Higher degrees of amorphous character yield higher ionic conductivities, as the triply coordinated nitrogen may slow lithium ion transport. This indicates that the presence of the triply coordinated nitrogen creates a more crystalline material resulting in lower ionic conductivity. Orientational disordering of the phosphate polyhedral in amorphous LiPON creates edge sharing between LiO_4 and PO_4 tetrahedra as well as under- and overcoordinated lithium sites, increasing the energy of the lithium sites.²⁵ This increase in the energy of the lithium sites is attributed to the increase in mobility of lithium particles in the amorphous matrix. Increasing the Li–Li interactions caused by the excessive lithium from the $(\text{N}^{3-}:\text{O}^{2-})$ 1:1 substitution, and the increase in density caused by the bridging (N_b), further increases the lithium site energy.²⁵ Furthermore, the nitrogen bridging condensation of the phosphate units lowers the electrostatic interaction between Li^+ and anions.²⁵ By decreasing the interaction between lithium ions and the anions, the ionic conductivity is improved. It is seen that the incorporation of the nitrogen groups into the ceramic electrolyte allows for the improvement in ionic conductivity for the amorphous LiPON, the presence of oxygen in the matrix decreases ionic conductivity due to the stronger interactions with lithium ions. Annealing LiPON films has also been shown to be effective in improving the ionic conductivity. When LiPON is annealed at 100, 200, 300, and 400 °C, the resistivity is tracked and shows a minimal resistivity at 200 °C with an increase in resistivity after.²¹ This change in ionic conductivity is shown to be a function of the Li/P ratio, shown in Table 2 are the tabulated data from these experiments. Table 2 shows that at higher Li/P ratios, the resistivity of the LiPON film is improved; this indicates that lithium ion mobility is highest when Li/P is high.

Stoichiometric ratios between each element in the LiPON matrix play an important role in the resulting properties of the film. One of the most important ratios is the N/P ratio, increasing this value has been shown to improve the ionic conductivity of the LiPON thin films.²³ Understanding how the N/P ratio changes with respect to Li/P and O/P are important factors to consider for elucidating the reaction details. It has been shown that there is a linear dependence of oxygen to nitrogen where 1.3 oxygens are replaced by 1.0 nitrogen; this processes correlates to a loss of one lithium.²³ This is consistent with the findings from the reaction mechanism in the study from Choi et al. and show that ionic conductivity increases with an increasing N/P ratio (decreasing Li/P ratio), suggesting the improvement in ionic conductivity is a result of the incorporation of nitrogen to the lithium phosphate matrix.³⁴

The local structure of amorphous LiPON is further resolved via a combined experimental and computational approach, showing the prevalence of $\text{Q}^0\text{PO}_4^{3-}$ tetrahedra and identifying N incorporation to form dimeric units via bridging N and separately nonbridging N on orthophosphate tetrahedra.⁴⁵ The high stability of LiPON is described structurally as a combination of the low connectivity of the structure as well as the hyper-annealing that occurs with physical vapor deposition. Free-standing and flexible LiPON film was also successfully prepared in this work, which is a great progress in LiPON synthesis.

4. LITHIUM ION CONDUCTION IN LiPON

As mentioned in the previous section, many researchers, by varying experimental design combinations, have come to realize critical factors that affecting LiPON ionic conductivity such as

ments. It is further suggested that triply coordinated nitrogen ($-\text{N}^<$) may exist, although neutron pair distribution functions along with spectral data back up the simulated data show no triply coordinated nitrogen.⁴³ Validating this work, *ab initio* molecular dynamic simulations are employed and show the lack of triply coordinated nitrogen groups and presence of both apical and double bridging nitrogen.²⁵ Three possible spatial configurations of nitrogen in LiPON are summarized in Figure 9: apical, double, and triple coordination. It is worth noting that

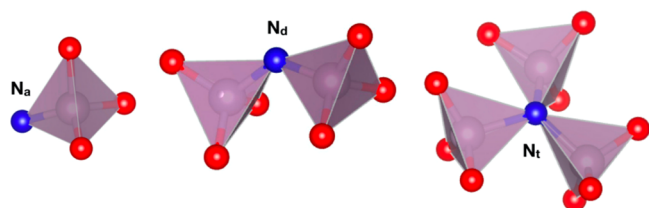


Figure 9. Schematic representation of the possible N configurations in phosphate structures: apical N (N_a), double bridging N (N_d), and triple bridging N (N_t). Color code: N (blue), P (gray), O (red). Reprinted with permission from ref 25. Copyright 2018 American Chemical Society.

a similar amorphous glassy material, lithium boron oxynitride (LiBON) only contains N–B–N bridges between two triangular boron subunits.⁴⁴ Also like LiPON, the suggestion for improvements in ionic conductivity is due to the nitrogen causing decreased local interactions between Li^+ within the matrix. Shown in Figure 10a, the ionic conductivity of LiPON is represented as a function of Li:P ratio and further shows the distinction between apical, double bridges, and triple bridged nitrogen. Figure 10b shows a phase diagram relating the phosphate group arrangements to the ionic conductivity. Evaluation of these figures hints that the presence of triply coordinated nitrogen primarily exists in the meta- and pyrophosphate arrangements. Relating these features to crystallinity indicates that the presence of triply coordinated nitrogen may be more dominant in the more crystalline LiPON stoichiometries. Further, it is suggested that the conductivity trends of LiPON are associated with the amorphousness, excess

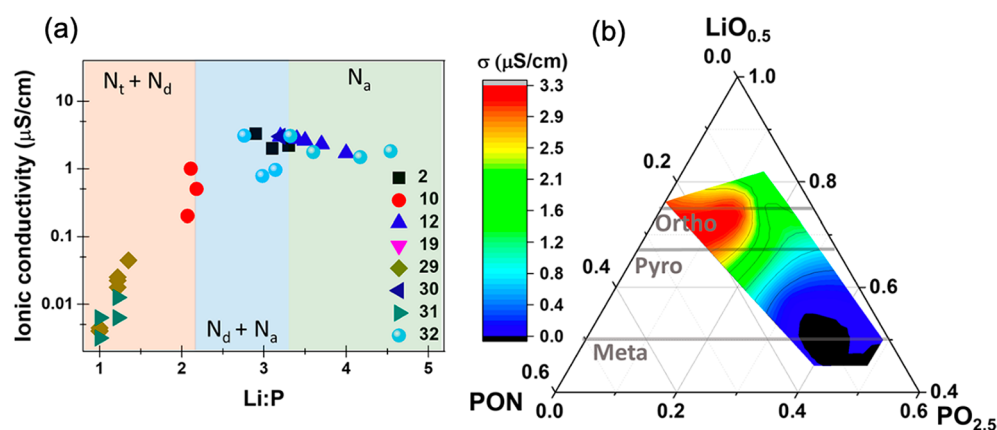


Figure 10. (a) Measured ionic conductivity of LiPON as a function of Li content at room temperature. The legend highlights the literature used to extract the data (the citations listed are from the source paper). (b) Calculated ternary diagram showing the ionic conductivity vs the composition. Reprinted with permission from ref 43. Copyright 2018 American Chemical Society.

Table 2. Literature Reported Values of Resistivity and Li 1s/P 2p Ratio at Variable Annealing Temperatures^a

<i>T</i> (°C)	impedance (Ω)	Li 1s/P 2p
25 (as deposited)	37.87	7.04
100	36	9.52
200	28.62	10.27
300	37.79	5.88
400	269992	3.92

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or the conduction mechanisms in LiPON. Because the vast number of stoichiometries and the complexity of amorphous phases makes the analysis very challenging, thanks to the advancement of computer simulation and machine learning, scientists now could conduct both experiments and calculations with synthesized LiPON films and cross-verify the results, making it easier to reveal more information in great depth of amorphous materials.^{25,43,46,47} As such, the physical chemistry aspects of LiPON can be potentially best understood through a combination of experiments and computational techniques.

Van-Jodin et al. investigated the dielectric properties, conductivity, and Li⁺ ion motion in LiPON thin films prepared by RF magnetron sputtering. In their work, LiPON

the amount of nitrogen incorporation into the glass structure and the ratio of apical, doubly, and triply coordinated nitrogen. However, few works are available on elucidating Li ion motion

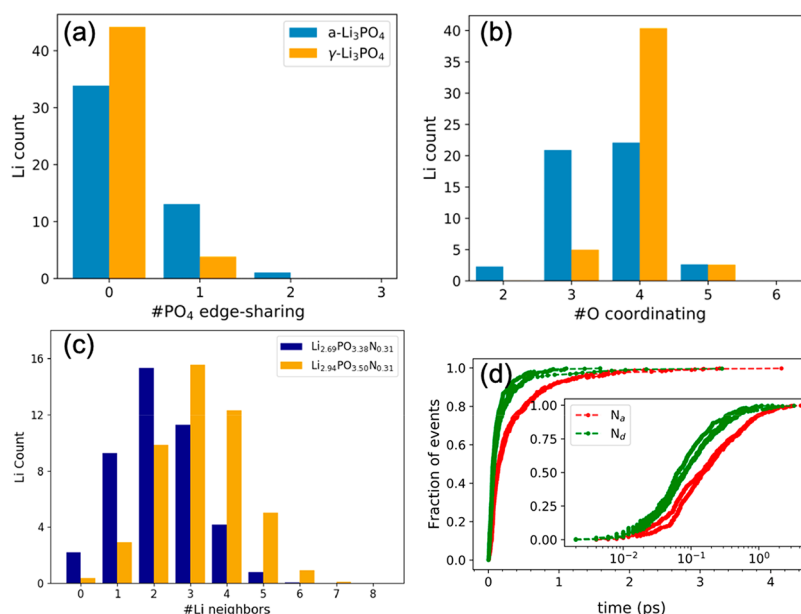


Figure 11. Statistical averages calculated from molecular dynamics for a-Li₃PO₄ and a-Li_{3.25}PO₄ over 10 ps long sample trajectories at 1000 K. Panel a shows the average amount of LiO₄–PO₄ edge-sharing within a maximum Li–P distance of 2.7 Å. Panel b displays the average number of O coordinating Li within a distance of 2.5 Å. Panel c shows the average Li–Li neighbors density distributions calculated for LiPON structure models of compositions Li_{2.69}PO_{3.38}N_{0.31} and Li_{2.94}PO_{3.50}N_{0.31}, over 10 ps long sample trajectories at 1000 K. Li neighbors were selected within a sphere of radius 3.0 Å around each Li. (d) Fraction of events happening around N and O atoms in Li_{2.94}PO_{3.50}N_{0.31}, during a simulation time of 30 ps at 1000 K (semilogarithmic plot in the inset). An event is defined as one Li ion entering a sphere of radius *r* = 2.5 Å (sphere of influence) around the atom. The bottom right panel compares different types of N: there are 2 N_a and 3 N_d species in the structure, represented in red and green, respectively. Reprinted with permission from ref 25. Copyright 2018 American Chemical Society.

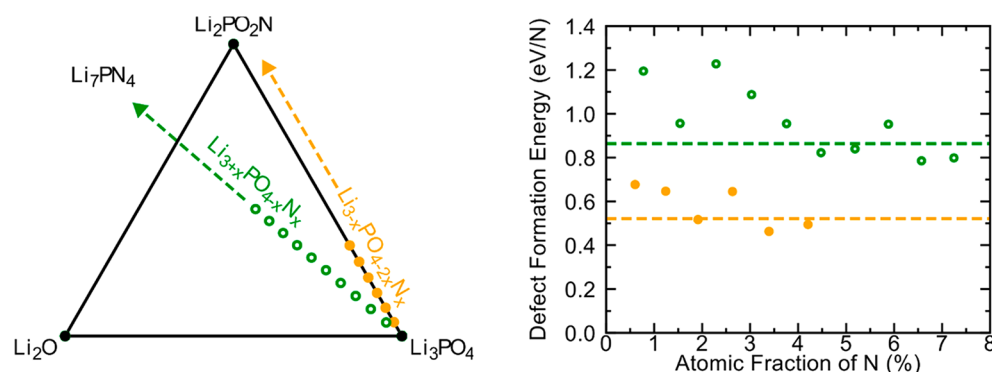


Figure 12. DFT phase diagram for LiPON near-ground-state crystal structures with N_a (green points) and N_d (orange points) defects as identified with the GA-MLP method. All defected structures lie above the hull; i.e., they are thermodynamically unstable and eventually decompose favored with respect to N_a defects. Defect formation energies corresponding to such decompositions are plotted in the right panel, showing that N_d defects are energetically favored. Reprinted with permission from ref 25. Copyright 2018 American Chemical Society.

composition, ionic conductivity, and electronic conductivity are measured experimentally.⁴⁸ While charge carrier concentration, mobility and Li ion diffusion coefficients are concluded by calculation. The influence of temperature (80–380 K) on the conductivity and permittivity properties of LiPON and the time dependence of current under an electrical field are also analyzed in great detail. Transport properties are then correlated with an analysis of the chemical composition. It is concluded that the transport mechanism in LiPON occurs mainly by hopping with only one kind of carrier that has a fixed concentration regardless of the temperature. Therefore, the increase of ionic conductivity is only due to the increase of mobility, as the activation energy of the mobility remains almost the same. Quantitatively, only 4% of Li ions are involved in the conduction process. To facilitate the mobility of ions, a slight expansion of the material without modification of the ions' local surrounding can be created.⁴⁸ The results of this work agrees well with the conclusion drawn by Fleutot et al. For example, they also confirmed by NMR measurements that only a part of lithium from LiPON is involved in the conductivity.²³

Optimization of solid electrolyte design for applications in solid-state batteries is an important area of research. A 3D model is suggested to model the lithium ion conductivity due to the nonlinear conduction pathways.⁴⁹ The conductivity of LiPON is suggested to depend heavily on temperature and film thicknesses. In detail, the thickness of the film controls the Li^+ concentration at constant flux and lower temperature operation shows an increase in the Li^+ mobility due to the electrostatic interactions between Li^+ reducing, allowing for a directional flux of Li^+ . It also suggests a LiPON film with a thickness smaller than 10 nm would provide the highest conductivity. These findings can potentially guide the design LiPON films for low- and high-temperature battery applications.

From computational studies, atomistic-level insight into the mechanisms of Li ion mobility ascribed to the chemistry and connectivity of the phosphate polyanions near Li^+ in amorphous LiPON has been gained by researchers.²⁵ Evaluation of Li ion mobility is completed through *ab initio* molecular dynamics (AIMD) simulations. First, property variances between γ - Li_3PO_4 and amorphous Li_3PO_4 (a - Li_3PO_4) are evaluated, as Li_3PO_4 is commonly used as starting raw material for LiPON synthesis. Second, nitrogen incorporation into the a - Li_3PO_4 was assessed by varying the nitrogen content to investigate the potential condensation between phosphate units as well as lithium ion deficiencies or excess.²⁵ The relative defect

formation energies were also evaluated to offer insight into the effects of N on the coordination environment, uncovering the influences on stoichiometry. Figure 11 shows a summary of the data from this work. Panels a and b of Figure 11 show calculations for Li_3PO_4 that determine the edge sharing and oxygen coordination with Li. In Figure 11c, the neighboring Li–Li density distributions are determined to elucidate the local Li–Li interactions in representative LiPON. Mechanistically, the formation of N_a and N_d defects are described by two routes. N_a is formed by the substitution $(N^{3-} + Li^+) \leftrightarrow O^{2-}$, leaving the (O+N):P ratio at a constant 4:1 and with excess Li^+ along the binary Li_3PO_4 – Li_7PN_4 .²⁵ N_d defects are formed through the substitution $N^{3-} \leftrightarrow (2O^{2-} + Li^+)$, generating deficiencies in Li and anion content with respect to Li_3PO_4 , forming one and three-dimensional double bridging condensates of Li_2PNO_2 and $LiPN_2$.²⁵ Figure 12 shows the DFT phase diagram for LiPON near the ground-state crystal structure and the corresponding traces for N_a and N_d formation (green and orange respectively), as well as the average defect formation energies for N_d and N_a , found to be around 0.5 and 0.9 eV, respectively. In highly energetic synthesis processes, the formation of N_a and N_d are both equally likely; Figure 11d shows the frequencies of N_a and N_d occurrences, indicating the likelihood of both N_a and N_d formation. In comparison to amorphous and crystalline Li_3PO_4 , LiPON is placed into an interesting class of ion conductors where conductivity increases with density, going against the idea that a larger volume with more “open space” improves conductivity, as seen in Li_3PO_4 .²⁵

In short, it is determined that the several factors that contribute to enhanced lithium ion mobility, and therefore allow for ionic conductivity optimization in LiPON, are orientation disordering of PO_4 polyhedra creating LiO_4 – PO_4 edge sharing, increased Li–Li interactions from the 1:1 N:O substitution and densification from N, and the lowering of electrostatic interaction between Li^+ and the anions due to N-bridging.²⁵ Maximizing Li ion mobility in LiPON relies on the accommodation of N_d bridges while accommodating a high concentration of charge carriers, implying excess Li and isolated O should be minimized. Suggesting a relatively comprehensive mechanism of conductivity in LiPON, this work represents a great step toward elucidating the structural and composition aspects of LiPON that govern lithium ion conduction.

5. ELECTROCHEMICAL DEGRADATION OF LIPON

592 As LiPON has been widely applied in electrochemical devices as
593 a solid-state electrolyte or buffer layer to protect electrode
594 materials, understanding how the chemistry of LiPON changes
595 under the influence of an applied field and the interface
596 reaction/stabilization processes of LiPON with cathode/lithium
597 represents an important area of research. In 2018, Put et al.
598 studied LiPON electrochemistry and decomposition chemistry
599 through a combination of computational and experimental
600 methods, contributing to a detailed understanding of the voltage
601 effect and thus the electric field over LiPON.⁵⁰ In the
602 experiment, LiPON is placed between TiN and Au, and then a
603 positive or negative potential bias is applied for observation.
604 Under the influence of a positive bias, the lithium ions diffuse
605 toward the TiN blocking electrode and create an enhanced
606 double layer that keeps the electric field localized at the
607 interfaces. Since TiN does not alloy or intercalate with lithium,
608 lithium ions will be reduced to metallic filaments that
609 shortcircuits the layer under a high enough potential. In return,
610 Joule heating or electromigration resulting from the large
611 currents from the short circuits can destroy part of the filament;
612 LiPON decomposition occurs when the decomposition
613 potential threshold (4.3–4.5 V) is met, releasing Li ions from
614 the layer while creating phosphorus rich components of
615 $\text{Li}_4\text{P}_2\text{O}_7$, LiPO_3 , and finally P_4O_{10} , as well as generating oxygen
616 and nitrogen gas. The Cottrell equation fitting reveals that the
617 decomposition happens in a diffusion limited way. It also
618 suggests the mobile lithium ions in the material are able to keep
619 the electric field located at the interface even at high potentials.
620 Conversely, when a negative bias is applied, the lithium ions
621 diffuse toward the Au electrode. Formation of an Au/Li alloy
622 occurs when the bias exceeds the decomposition voltage (4.3–
623 4.5 V) and later lithium plating happens until all of the lithium is
624 depleted from the LiPON layer, forming P_4O_{10} . The Au
625 electrode is considered as a lithium sink that promotes dielectric
626 breakdown of the remaining phosphate material, resulting in the
627 eventual breakdown of the layer.

Electrochemical breakdown is irreversible and causes permanent damage to the LiPON electrolyte. Furthermore, another extremely crucial governing factor of LiPON breakdown is the time allowed for lithium depletion, occurring through the formation of a percolative pathway. A summary of the different reactions and their associated potentials that can occur on application of a bias to the LiPON layer and the different breakdown models is presented in Figure 13.⁵⁰ Noteworthy, the LiPON decomposition potential found in this work is very close to the decomposition potential range of 4.1–4.25 V calculated by both Richards et al. and Zhu et al.^{51,52}

The property of the electrode–electrolyte interface is very critical for the performance of electrochemical devices (e.g., all-solid battery cells). Wang et al. utilized in situ STEM-EELS analysis to investigate the interlayer formation and evolution in a LiCoO₂/LiPON/Si thin film battery. It is uncovered that a structurally disordered interfacial layer between the LiCoO₂ cathode and LiPON electrolyte inherently exists without cycling. Upon *in situ* charging, this interfacial layer showed profound reactivity and evolved to form highly oxidized Co ionic species along with lithium oxide and lithium peroxide species, suggesting the interfacial impedance at the LiCoO₂/LiPON interface is caused by chemical changes rather than space charge effects.⁵³ Understanding the role of interfaces in solid-state batteries is necessary to improve the current state of the art. A

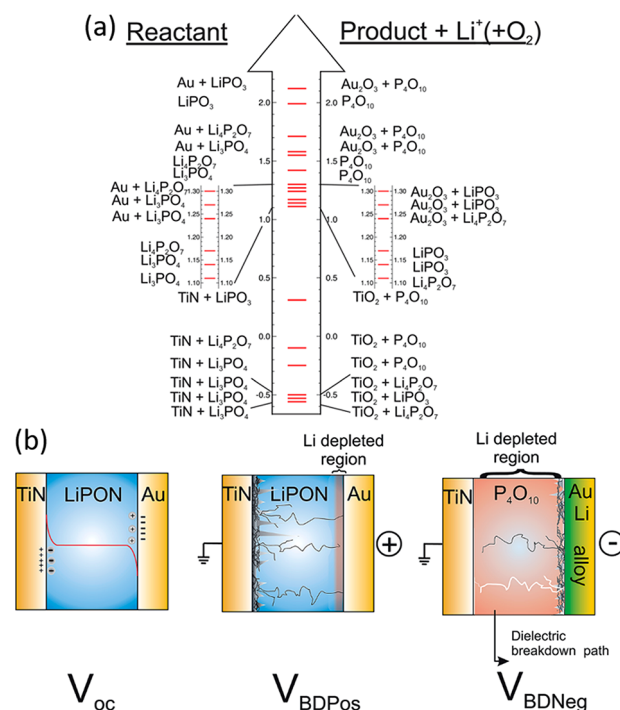


Figure 13. (a) Overview of the different reactions and their associated potentials (in V) that can occur on application of a bias to the LiPO(N) layer. The left side of the graph shows the species involved in the reaction; the right side shows the resulting products. All of the reactions lead to the generation of xLi^- , xLi^+ , and possibly O_2 (not shown on this graph). (b) Schematic illustration of different breakdown modes for a LiPON layer sandwiched between gold and TiN electrodes. V_{OC} shows the structure without a bias application, V_{BDPos} shows the breakdown after application of a positive bias, and V_{BDNeg} depicts the structure after breakdown under negative sweep direction. Eventually hard breakdown occurs through the formation of a percolative defect path. Reprinted with permission from ref 50. Copyright 2018 Royal Society of Chemistry.

semiquantitative method combining scanning transmission electron microscopy with electron energy loss spectroscopy (STEM/EELS) reports precise mapping of the interfaces within nanobatteries.⁵⁴

In the work completed by Fingerle et al. the stability of the LiPON and LiCoO₂ interface was evaluated both computationally and experimentally. They further investigated the annealing effect on the formation and evolution of LiCoO₂–LiPON interfaces to identify interlayer compounds related to the deposition process and to study the reactions and interlayer formation at the LiCoO₂–LiPON interface. Results show that the LiPON layer sputtered on LiCoO₂ exhibits secondary structures such as LiNO₂ formed on the LiCoO₂ surface. During low temperature annealing, the LiNO₂ disappears. When the process temperature is increased, a transformation of the LiPON network first occurs. During the formation of this interface, an electrostatic potential gradient is formed and is retained even after annealing. Upon annealing, the high temperature reactions form Co₃O₄ and degrade the LiPON network.⁵⁵ Photoelectron spectroscopy was also applied to probe the interfacial structure of LiPON/LiCoO₂; it is found that an intermediate layer composed of new species that differs in its chemical composition from the cathode as well as the LiPON solid electrolyte material and changes with growing layer thickness is formed, further supporting that an interfacial reaction between LiPON/LiCoO₂

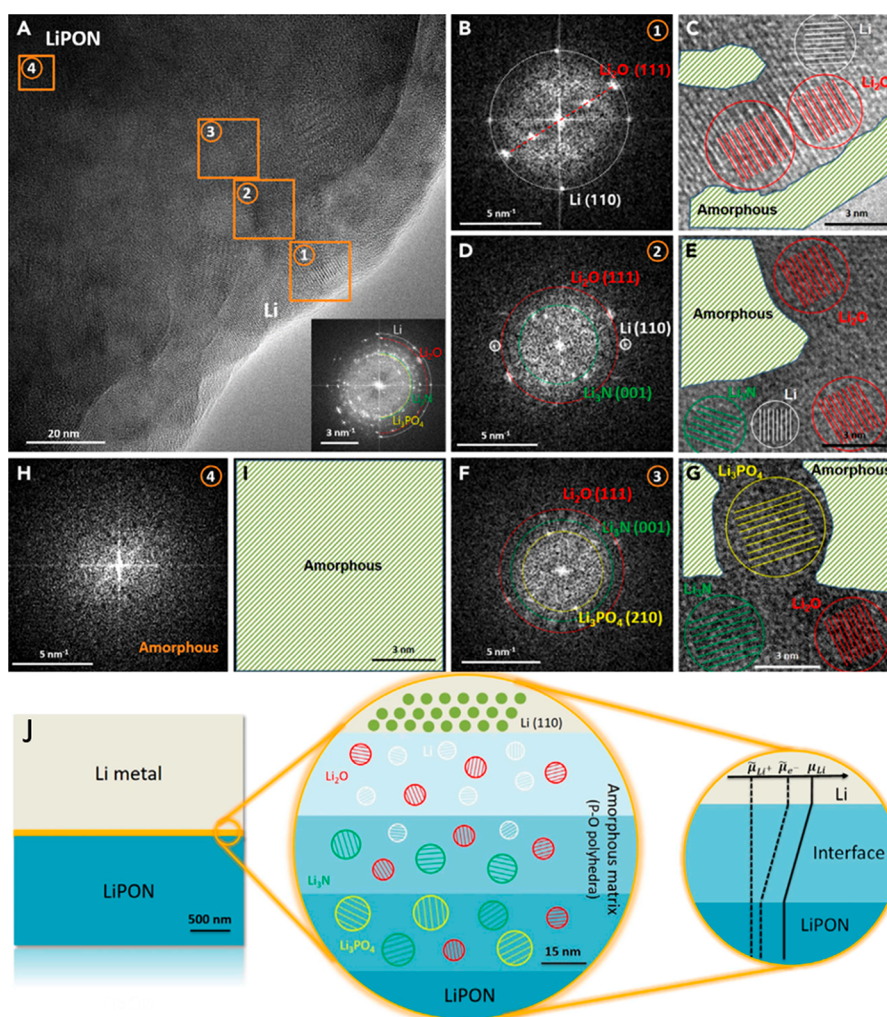


Figure 14. (A) HRTEM image of the interphase where four regions (regions 1–4) are highlighted by orange squares to indicate different stages of the multilayered structure across the interphase. The inset image is the FFT result of the whole area in (A). (B, D, F, and H) FFT patterns corresponding to regions 1–4 as highlighted in (A), respectively. (C, E, G, and I) Nanostructure schematic overlaying the HRTEM images that correspond to regions 1–4 as highlighted in (A), respectively. (J) Li/LiPON multilayered interphase schematic. Reprinted with permission from ref 65. Copyright 2020 Elsevier.

exists.⁵⁶ Electronic structure DFT calculations were also performed to predict interfacial degradation reactions of crystalline LiPON with Li_xCoO_2 and lithium electrodes. Results of this study suggest that LiPON models with purely P–N–P backbones are kinetically inert toward lithium at room temperature. In contrast, oxygen atoms transfer much faster from low-energy $\text{Li}_x\text{CoO}_2(104)$ surfaces to LiPON under ambient conditions. It is also concluded that O atoms added to the crystalline LiPON interior remain mobile, which potentially creates pathways for further degradation of Li_xCoO_2 during battery cycling.⁵⁷ Note that this work assumes that interfacial reactions on amorphous and crystalline LiPON models are similar, which could lead to some level of deviation from experimental results.

The stability of LiPON films with lithium has remained a hot point for discussion and research as inconsistent results are reported by different groups. The general consensus is that LiPON does not react with lithium metal, and the reported values of electrochemical stability window for LiPON are up to 5.5 V (vs Li/Li+).^{11,14,19,24,27,28,34,38,43,58–62} Recently, however, an *in situ* XPS study on the stability of the LiPON/lithium interfaces reveals that there are reactions that occur between

LiPON and lithium metal. Results of this study show that exposure to metallic lithium breaks down the LiPON into smaller species such as Li_3PO_4 , Li_3P , Li_3N , and Li_2O , leading to the disruption of the network structure of the LiPON glass.³⁰ Further analysis indicates that the LiPON/lithium interface stabilizes after the formation of a passivation layer, stopping the reactions. The species formed, such as Li_3N and Li_3P have ionic conductivities on the order of 10^{-4} and 10^{-3} S/cm, respectively, values much higher than LiPON's reported values.^{63,64} This property of LiPON to form a high ionic conductivity passivation layer against lithium metal shows great promise for relieving the stability issues associated with long-term contact with lithium metal, while offering excellent lithium ion transport. The *in situ* XPS results were further supported by a qualitative and quantitative study enabled by cryogenic focused ion beam (cryo-FIB) and cryogenic electron microscopy (cryo-EM), which have the capability to preserve and probe samples for quantitative structural and chemical analysis.⁶⁵ In this recent study, cryo-FIB and cryo-EM were coupled to realize the morphology and chemical analysis of the interphase between LiPON and lithium metal. The interphase is found to be a multilayer-mosaic solid electrolyte interphase (SEI) structure

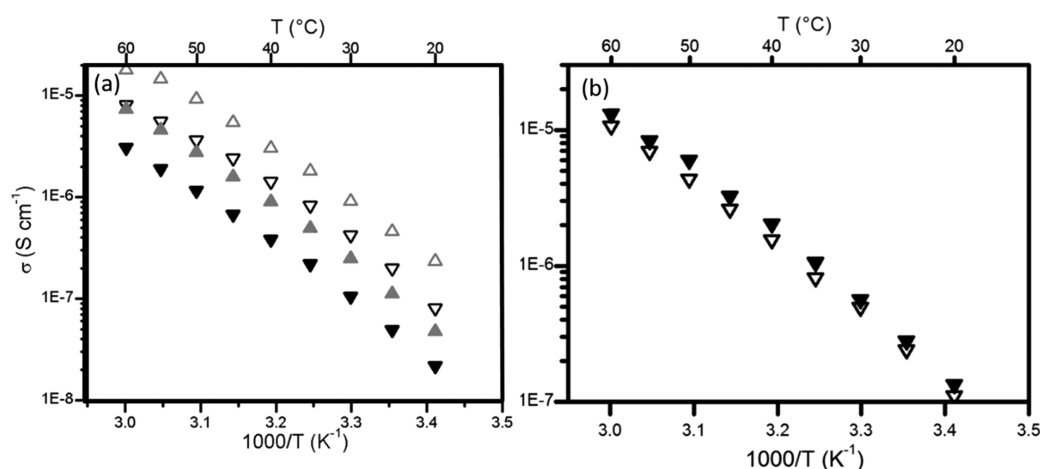


Figure 15. Experimentally measured (closed symbols) and calculated (open symbols) temperature dependence of conductivity for PMMA-EO/LiPON and PS-EO/LiPON. (b) Experimental (closed symbols) and calculated (open symbols) temperature dependence of the bilayer's conductivity for LiPON on PMMA-EO. Reprinted with permission from ref 74. Copyright 2011 The Electrochemical Society.

with concentration gradients of nitrogen and phosphorus and consist a distribution of crystalline decomposition products embedded within an amorphous matrix. As shown in Figure 14A-I, the observed structural and chemical evolution across the interphase identifies the SEI components to be Li₂O, Li₃N, and Li₃PO₄, confirmed by XPS depth profiling. For a more straightforward conclusion, the schematic illustration of the interface is shown in Figure 14J. The composition and the mosaic formation are consistent with previous report.^{30,66} Some SEM imaging observations of the interface changes between LiPON and lithium foil are also provided by Yoon's groups.⁶⁷ In addition to the interface study of LiPON with lithium and LiCoO₂, other research such as the effect of atmospheric humidity on the chemical and microstructural changes of LiPON, lithium dendrite formation on LiPON, and solid-liquid electrolyte interphase formation on LiPON thin films are also available, providing valuable information for LiPON handling and the safety of LiPON based batteries.^{68–70} In short, the results from these studies are important for development of LiPON based devices, as understanding how charge transfer and electrode chemistry affects the LiPON stoichiometry is crucial to minimize adverse effects. Further research into LiPON and electrode interfaces is still necessary due to the variety of LiPON stoichiometry and the lack of widely agreed rules or principles to guide the design and control of these reactive interfaces.

6. APPLICATIONS

Since its discovery, LiPON has been researched as a material for vastly different applications including lithium batteries, electrochromic devices, and dielectric materials. Versatility is one of the many appealing aspects that LiPON has to offer. LiPON has been successfully applied as a protective layer to solid electrolytes and electrode material in lithium batteries.^{23,46,62} Currently, LiPON is used predominantly in thin film batteries⁷¹ and has been shown to be an effective protective layer preventing dendrite penetration of the electrolyte when deposited on lithium metal.^{72,73} Not only can LiPON offer a protective layer between a polymer electrolyte and lithium metal in a bilayered configuration, the structural integrity of the LiPON layer can be improved. For instance, when LiPON is deposited onto a nonrigid polymer substrate, mechanical stresses are relieved and the bilayer structure is preserved.⁷⁴ This property has profound

implications on the use of LiPON as a protective layer for a higher conductivity polymer electrolyte, since the ionic conductivity of LiPON is typically too low for use in larger than thin film batteries. On the one hand, this study shows that laminating a polymer electrolyte allows for the synergistic combination of the solid polymer electrolyte (SPE) and the inorganic solid electrolyte (ISE). Conversely, by reversing the preparation method to deposit polymer electrolyte on a sputtered LiPON film, it is found that the resistivity increases substantially, as shown in Figure 15a,b.⁷⁴ LiPON has a high chemical resistivity allowing for longer contact time with lithium metal.^{7,11,23,25,29,30,34,38,61,62,66,72,73,75,76,63,64,66–68} In our research, it is found that 30 nm of LiPON is the critical thickness for protecting a solid polymer electrolyte consisting of poly(propylene carbonate) and poly(ethylene oxide) in a bilayered electrolyte system.⁶⁶ It has also been shown that LiPON acts as a protective layer when sputtered onto a La_{2/3-x}Li_{3x}TiO₃ (LLTO) support, enhancing the chemical stability against lithium metal.⁶²

In lithium ion batteries, lithium loss to the graphite containing electrode is one of the major causes of aging losses.⁷⁷ By engineering a surface to include an artificial solid electrolyte interface (SEI), the life of the cell is shown to be improved. LiPON is a suitable candidate for artificial SEI selection due to its relatively good stability and moderate ionic conductivity. In Liu's work, artificial SEI layers are deposited on Li-Ni_{0.8}Co_{0.15}Al_{0.05}O₂/graphite electrode in full cells. When LiPON is compared against the other artificial SEI layers, LiPON shows the best long-term stability as evidenced by capacity loss comparisons.⁷⁷ The results of this study are important for continued development of LiPON based batteries, even though the ionic conductivity may not be as high as more recent materials, the long-term stability and ability to deposit thin films of LiPON are quite appealing for improving long-term performance of current commercial cells.

In Li-S batteries, 250 nm coatings of LiPON on lithium metal anodes are shown to improve the overall performance of the cells.⁷³ When LiPON is deposited onto a lithium metal anode through plasma assisted deposition of e-beam reactive evaporation, the stability of the anode is improved greatly. When in contact with high concentrations of polysulfide solution for 60 days, it is shown that the LiPON is chemically stable, whereas SEI layers typically are etched by these types of

conditions, exposing lithium metal.⁷³ When introduced to a humid environment, the LiPON coated Li and the pure Li are shown to have noticeable differences. In a 40% relative humidity environment, lithium foil tarnishes almost immediately; when coated with 250 nm of LiPON, noticeable tarnishing occurs after 8 h.⁷³ Results from this study indicate that the stability of the lithium anode is improved in both atmospheric conditions and cell conditions. Overall, the LiPON coating is electrochemically stable and mechanically stable and offers a highly ionically conductive interfacial layer for the lithium metal anode in a Li–S battery.⁷³ Results from this study show great promise for the use of LiPON as an artificial SEI layer in Li–S battery chemistries, allowing for much more stable interfaces by minimizing the corrosive reactions typically seen by these types of cells.

When LiPON is coated on lithium metal in a Li(LiPON)/LAGP-PEO(LiTFSI)/LiFePO₄ full cell, similar improvements are noticed. Different thicknesses of LiPON on lithium metal are investigated to show a critical LiPON thickness of 500 nm.⁷² The initial discharge capacity of 152.4 mAh g^{−1} for this full cell does not decay even after 150 cycles.⁷² Results from this study further show that LiPON is a superior material for offering lithium metal anode protection in both solid-state cells and liquid electrolyte cells. Hindering the formation of dendrites improves the safety of the lithium cells even further for liquid electrolyte based batteries and offers an excellent solution to solid-state dendrite formation problem.

In an effort to suppress the degradation of the oxyfluoride electrode, LiPON has also been coated on FeOF type electrodes through atomic layer deposition. FeOF is an attractive electrode material because it offers high voltages of the fluorides FeF_x and the good kinetics of the oxides.⁷⁸ However, the first step in lithiation of FeOF results in the metastable Li_nFeO_xF_{2−x}, soon becoming unstable with a further increase in lithium ions in the FeOF formula unit.⁷⁸ Increasing the lithium content results in spontaneous decomposition into the rock-salt-phase LiFeO and the insulating LiF according to the following reaction: Li_nFeOF → Li_{n−y}FeO + yLiF.⁷⁸ It is found that, when LiPON is deposited on the FeOF electrode through atomic layer deposition, the conversion of lithiation products is suppressed. When a 30 nm LiPON layer is deposited on the FeOF based electrode, the performance of the cells was greatly improved, resulting in a capacity retention of ~90% at 100 cycles.⁷⁸

Beyond applications toward battery materials, LiPON has also been shown to be a suitable dielectric material. Fabrication of high-k dielectric materials is important in developing ultralarge scale integrated storage capacitors for dynamic random access memory technology.⁷⁶ Commonly, silicon dioxide is used in electronics, having a dielectric constant of 3.9; the dielectric constant for LiPON is determined to be 16.6 with a low leakage voltage.⁷⁶ These results are important for development of future memory storage technology where high-k dielectrics are needed, past the traditional dielectric SiO₂. With further advancement of LiPON, more applications will be enabled.

7. SUMMARY AND OUTLOOK

In this review, the recent progress in lithium phosphorus oxynitride synthesis *via* various routes has been summarized and discussed. For each route, the parameter control and LiPON synthesis mechanisms were introduced. Commentary on the advantages and disadvantages of various preparation routes was

also provided. A summarizing LiPON properties *via* different methods was presented in Table 1. Due to the great versatility in LiPON characteristics such as stoichiometry and crystallinity, LiPON has shown different unique properties for applications in a variety of fields. A detailed discussion of the structure–property relationships is included in this review, leading into a detailed discussion of lithium ion mobility and LiPON breakdown chemistry. Along with this, applications of LiPON in multifarious fields are also introduced.

Currently, the most popular routes for LiPON synthesis utilize high-vacuum technology to grow thin films. With these types of methods, the cost is very high and the obtained LiPON material usually is in the limited form of a thin film. Very few reports on solid-state reaction routes prepare LiPON in bulk powder form, and the powders are difficult to produce conformal films. Further research to focus on wet chemistry methods of LiPON synthesis holds great promise for the development of LiPON based materials. Wet chemistry methods of LiPON synthesis offer better processability, scalability, and low-cost synthesis compared to routes that require vacuum synthesis or expensive equipment. In addition, it also has the versatility to produce LiPON in various forms. For example, LiPON produced from wet chemistry routes can produce films by various coating methods like dip or spin coating, be isolated as a powder, or be directly deposited on electrode materials as a protecting layer. Application of LiPON as a protective interface in solid-state batteries is a promising direction to improve the stability of electrode/electrolyte interfaces. Furthermore, additives can potentially be incorporated into the polymeric LiPON during production to improve ionic conductivity, allowing for more versatility.

In addition to LiPON synthesis, recent developments in computer simulations and experimental techniques can allow for the optimization of LiPON and offer great insight on where and how far the future research can go. Since LiPON has a multitude of different stoichiometries, computational methods can be greatly useful for predicting the different combinations of stoichiometries and the electrochemical properties. In detail, computer simulation and machine learning can predict and design LiPON optimized stoichiometry to achieve high ionic conductivity and allow for the specific control of other properties such as mechanical and electrical, which will greatly guide the experimentalists' work and significantly reduce time and cost. With laboratory work in combination with computational methods and molecular modeling, LiPON with new exceptional properties is also possible to be realized for an even wider range of applications. In conclusion, the comprehensive summary of current LiPON status and the insight for future research offered in this review can help the research community better understand this interesting material and further accelerate the development of LiPON for energy field and other innovative applications.

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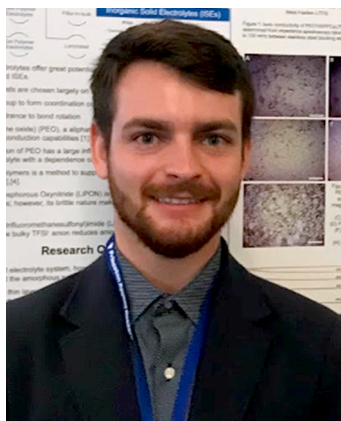
932 Complete contact information is available at:
933 <https://pubs.acs.org/10.1021/acs.jpcc.0c10001>

934 **Author Contributions**

935 The manuscript was written through contributions of all
936 authors. All authors have given approval to the final version of
937 the manuscript.

938 **Notes**

939 The authors declare no competing financial interest.

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