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Article in *Journal of Differential Equations* · May 2021

DOI: 10.1016/j.jde.2021.05.046

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# Maximal $L^p$ -regularity for an abstract evolution equation with applications to closed-loop boundary feedback control problems

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## Abstract

In this paper we present an abstract maximal  $L^p$ -regularity result up to  $T = \infty$ , that is tuned to capture (linear) Partial Differential Equations of parabolic type, defined on a bounded domain and subject to finite dimensional, stabilizing, feedback controls acting on (a portion of) the boundary. Illustrations include, beside a more classical boundary parabolic example, two more recent settings: (i) the 3d-Navier-Stokes equations with finite dimensional, localized, boundary tangential feedback stabilizing controls as well as Boussinesq systems with finite dimensional, localized, feedback, stabilizing, Dirichlet boundary control for the thermal equation.

## 1 Introduction and statement of main result.

Though written at the outset at the abstract level for an abstract linear model, the present paper is actually motivated by, and ultimately directed to, nonlinear Partial Differential Equations (PDEs) of parabolic type, defined on a bounded domain and subject to finite dimensional, stabilizing, *feedback controls acting on (a portion of) the boundary*. A key preliminary goal is to establish uniform stabilization of the corresponding *linearized boundary-based*, feedback, closed-loop problem. The extra property to be established is that such boundary-based feedback linearized system possesses the maximal  $L^p$ -regularity property up to  $T = \infty$  in the natural functional setting, where uniform stabilization is achieved. Maximal  $L^p$ -regularity up to  $T = \infty$  is then critically used to provide a novel, much streamlined, improved treatment of the consequent nonlinear analysis of well-posedness and uniform stabilization of the nonlinear parabolic problem in the vicinity of an unstable equilibrium solution. See [L-P-T.2] for the Navier-Stokes equations and [L-P-T.4] for the Boussinesq system, to be compared to prior treatments such as in [B.1], [B.2], [B-T.1], [B-L-T.1], [B-L-T.2], [B-L-T.3], [L-T.6]. Our driving motivating illustration is the 3d Navier-Stokes equations of Section 5. Here, the functional setting where uniform stabilization with a finite dimensional, localized, boundary, even tangential, control is achieved in full generality cannot be a Hilbert-Sobolev space. In fact, in studying local well-posedness and uniform stabilization near an unstable equilibrium solution, handling the N-S nonlinearity requires a sufficiently high topological level as to impose compatibility conditions between the initial conditions and the boundary-based control. In truth, whether it was possible at all to achieve uniform stabilization of the Navier-Stokes equations in the vicinity of an unstable equilibrium solution by virtue of a

localized boundary-based feedback control that is finite dimensional also for  $d = 3$  was an open problem that was solved in the affirmative in the recent paper [L-P-T.2]. It required a suitable Besov space setting, with tight indices, based on  $L^q(\Omega)$ ,  $q > d$  and ‘close’ to  $L^3(\Omega)$ , which possesses two features: (i) a topological level high enough to be able to handle the  $3d$  non-linearity; (ii) without recognizing compatibility conditions. Such Besov setting then replaces the Hilbert-Sobolev setting that was traditionally used in the literature on parabolic stabilization of fluids over many years. Stabilization of the Navier-Stokes equations was pioneered by A. Fursikov [Fur.1], [Fur.2], [Fur.3].

While it has been known for many years that analyticity of the s.c. semigroup and maximal regularity are equivalent properties in the Hilbert setting [Sim], in the Banach setting maximal regularity implies, but need not be implied by, maximal regularity [Dore.2], [K-W.2].

Maximal regularity at the abstract functional analytic level, as well as maximal regularity of (linear) parabolic problems on bounded (or even unbounded) domains is of course a much worked out topic over many years; however, in the latter case of a bounded domain, typically with homogeneous boundary conditions. There is a vast literature on this topic, that covers non only classical parabolic operators but also Navier-Stokes-based operators such as the Stokes operator, see [D-V], [Dore.1], [Dore.2], [Sim], [DaP-V], [DaP-G.1], [DaP-G.2], [Gi.1], [Gr], [Sol.1], [K-W.1], [K-W.2], [Ves], [Weis], [P-S], to name a few.

In this paper, our focus is instead on linear parabolic problems with *boundary-based* stabilizing feedback control of finite dimension.

Our abstract maximal  $L^p$ -regularity theorem up to  $T = \infty$  of Section 1 is tuned to capture the  $3d$  Navier-Stokes linearized illustration of Section 5, mentioned above. In fact, there are critical genuine intrinsic properties pertinent to such Navier-Stokes-illustration in the  $L^q(\Omega)$ -setting,  $q > d$  that are extracted and elevated to become abstract assumptions of the theorem of Section 1.

To ease the transition on the applications, we provide in Section 4 a more classical illustration of parabolic boundary stabilization, that was studied in the Hilbert setting  $L^2(\Omega)$  in the early 80s [L-T.1], [L-T.2], [Tr.1], [Tr.2], [Tr.3] and which is here re-presented in the  $L^q$  setting, to conclude now with maximal  $L^p$ -regularity up to  $T = \infty$  in the stabilized case. Section 6 then goes on by providing an additional illustration of our abstract theorem of Section 1, as applied to a linearized Boussinesq system, coupling the Navier-Stokes equations with a thermal equation, where a Dirichlet boundary stabilizing finite dimensional feedback localized control acts on the heat component [L-P-T.4]. Again, ultimately, well-posedness and uniform stabilization is achieved in a Besov space setting, by virtue of the asserted maximal  $L^p$ -regularity of the linearized system.

## 1.1 Standing assumptions.

We introduce the following assumptions.

**(H.1)** Let  $Y$  be a Banach space which, moreover, is a UMD-space [K-W.2, p 75]; hence reflexive [H-N-V-W, Theorem 4.3.3, p306].

**(H.2)** Let  $-A : Y \supset \mathcal{D}(A) \longrightarrow Y$  be the generator of a s.c. bounded analytic semigroup  $e^{-At}$  on  $Y$ ,  $t \geq 0$ . Accordingly, the fractional powers  $A^\theta$ ,  $0 < \theta < 1$ , of  $A$  are well-defined, possibly after a translation.

(H.3) Let  $-A^* \supset Y^* \supset \mathcal{D}(A^*) \longrightarrow Y^*$  have maximal  $L^p$ -regularity on  $Y^*$  up to  $T$ :  $-A^* \in MReg(L^p(0, T; Y^*))$  (so that, a-fortiori,  $-A^*$  is the generator of a s.c. bounded analytic semigroup  $e^{-A^*t}$  on  $Y^*$ ; as implied by (H.2) via the reflexivity of  $Y$  in (H.1)).

(H.4) Let  $U$  be another Banach space and let  $G$  be a (“Green”) operator satisfying

$$G : \text{continuous } U \longrightarrow \mathcal{D}(A^\gamma) \subset Y, \text{ or } A^\gamma G \in \mathcal{L}(U, Y) \quad (1.1)$$

for some constant  $\gamma$ ,  $0 < \gamma < 1$ .

(H.5) Consider the following three operators  $A_o, \mathcal{A}, F$

$$A_o : Y \supset \mathcal{D}(A_o) = \mathcal{D}(A^{1-\varepsilon}) \longrightarrow Y, \varepsilon > 0, \quad (1.2a)$$

$$\text{with } Y^* \supset \mathcal{D}(A_o^*) = \mathcal{D}(A^{*1-\varepsilon}) \longrightarrow Y^* \quad (1.2b)$$

so that, by the closed graph theorem,  $A_o A^{-(1-\varepsilon)} \in \mathcal{L}(Y)$  is a bounded operator on  $Y$  and  $A_o^* A^{*- (1-\varepsilon)} \in \mathcal{L}(Y^*)$  is a bounded operator on  $Y^*$ ;

$$\mathcal{A} = -A + A_o : Y \supset \mathcal{D}(\mathcal{A}) = \mathcal{D}(A) \longrightarrow Y; \quad (1.3)$$

$$F \in \mathcal{L}(Y, U), \text{ } F \text{ (stands for “feedback”)}. \quad (1.4)$$

Since the perturbation  $A_o$  of  $-A$  in (1.3) is  $A^{1-\varepsilon}$ -bounded and  $-A$  is a s.c. analytic semigroup generator, it follows [Pazy, Corollary 2.4, p 81] that

$$\mathcal{A} \text{ is the generator of a strongly continuous analytic semigroup } e^{\mathcal{A}t} \text{ on } Y, t > 0. \quad (1.5)$$

The focus of our main interest in this paper is the operator

$$\begin{cases} A_F = \mathcal{A}(I - GF) : Y \supset \mathcal{D}(A_F) \longrightarrow Y \\ \mathcal{D}(A_F) = \{x \in Y : (I - GF)x \in \mathcal{D}(\mathcal{A})\}. \end{cases} \quad (1.6a)$$

$$\quad (1.6b)$$

**Remark 1.1.** a) We quote from [K-W.2, p 75]: “All subspaces and quotient spaces of  $L^q(\Omega)$ ,  $1 < q < \infty$  have the UMD property but  $L^1(\Omega)$  or spaces of continuous functions  $C(K)$  do not. As a rule of thumb, we can say that Sobolev spaces, Hardy spaces and other well-known spaces of analysis are UMD if they are reflexive”.

b) In applications to PDE closed-loop systems,  $\Omega$  is an open bounded domain in  $\mathbb{R}^d$  with sufficiently smooth boundary  $\Gamma = \partial\Omega$ , while the feedback control acts on the boundary  $\Gamma = \partial\Omega$  of  $\Omega$ . Then the space  $U$  will be based on  $\Gamma$ , say  $U = L^q(\Gamma)$ , possibly subject to further conditions. The operator  $\mathcal{A}$  has compact resolvent.

## 1.2 The dynamical model generated by $A_F$ : Main results.

**Proposition 1.1.** *Under the given assumptions (H.1), (H.2), (H.4), (H.5) the operator  $A_F$  in (1.6) generates a s.c. analytic semigroup  $e^{A_F t}$  on  $Y$ ,  $t \geq 0$ . If moreover  $\mathcal{A}$  has compact resolvent, then likewise the resolvent  $R(\lambda, A_F)$  is compact on  $Y$  and so the semigroup  $e^{A_F t}$  is compact as well for all  $t > 0$ . [Pazy, Thm 3.3, p 48]*

A proof is given in Section 2. On the basis of Proposition 1.1, we consider the following abstract dynamical system on the space  $Y$ :

$$\frac{dy}{dt} = \mathcal{A}(I - GF)y + f \equiv A_F + f, \quad y(0) = y_0 \in Y \quad (1.7a)$$

$$y(t) = e^{A_F t} y_0 + \int_0^t e^{A_F(t-s)} f(s) ds \quad (1.7b)$$

with the forcing term  $f$  specified below. Equation (1.7) serves as an abstract model of the Partial Differential Equations of parabolic type, written in a closed loop form, with feedback controls acting on the boundary  $\Gamma$  of the smooth bounded domain  $\Omega \in \mathbb{R}^d$  in Remark 1.1. This will be illustrated in subsequent sections. The goal of the present paper is to establish the following result on the maximal  $L^p$ -regularity on  $Y$  of the feedback operator  $A_F$ .

**Theorem 1.2.** *Assume (H.1)-(H.5). With reference to the dynamics (1.7), let  $y_0 = 0$*

*a) Then the map*

$$f \mapsto (Lf)(t) = \int_0^t e^{A_F(t-s)} f(s) ds : \quad (1.8a)$$

$$\text{continuous } L^p(0, T; Y) \longrightarrow X_p^T \equiv L^p(0, T; \mathcal{D}(A_F)) \cap W^{1,p}(0, T; Y), \quad 1 < p < \infty \quad (1.8b)$$

*so that there is a constant  $C = C_{p,T} > 0$  such that*

$$\|y_t\|_{L^p(0,T;Y)} + \|A_F y\|_{L^p(0,T;Y)} \leq C \|f\|_{L^p(0,T;Y)}. \quad (1.9)$$

*In short: the operator  $A_F$  has maximal  $L^p$ -regularity on  $Y$  up to  $T < \infty$ . We express this symbolically, using the notation of [Dore.2]*

$$A_F \in MReg(L^p(0, T; Y)). \quad (1.10)$$

*b) Assume further that the s.c. analytic semigroup  $e^{A_F t}$  of Proposition 1.1 is uniformly stable on  $Y$ . Then, the above results (1.8), (1.9) hold true with  $T = \infty$ , so that [Dore.2, Theorem 5.2, p307]*

$$A_F \in MReg(L^p(0, \infty; Y)). \quad (1.11)$$

*c) Suppose there exists a bounded operator  $B \in \mathcal{L}(Y)$  such that the s.c. analytic semigroup  $e^{A_F t}$  generated via Proposition 1.1 by*

$$A_F = A_F + B = \mathcal{A}(I - GF) + B \quad (1.12)$$

*is uniformly stable in  $Y$ . Then*

$$A_F \in MReg(L^p(0, \infty; Y)). \quad (1.13)$$

**Remark 1.2.** Case b) occurs in the case of uniform stabilization of the closed-loop linearized Navier-Stokes equations with a feedback control pair  $\{\mathbf{v}, \mathbf{u}\}$ , with boundary feedback control  $\mathbf{v}$  acting on an arbitrary small connected portion  $\tilde{\Gamma}$  of the boundary  $\Gamma = \partial\Omega$  of the bounded domain  $\Omega$ , and interior control  $\mathbf{u}$  acting tangentially (parallel to  $\tilde{\Gamma}$ ) on an arbitrary interior collar  $\omega$  supported by  $\tilde{\Gamma}$ . Fig 2, Section 5. The operator  $F$  is the feedback operator for  $\mathbf{v}$ , the operator  $B$  is the feedback operator for  $\mathbf{u}$ . The control  $\mathbf{u}$  cannot be dispensed with to obtain maximal  $L^p$ -regularity up to  $T = \infty$ : the presence of the additional bounded operator  $B \in \mathcal{L}(Y)$  is critical to achieve such uniform stabilization. With  $B = 0$  one obtains maximal  $L^p$ -regularity only up to any  $T < \infty$ . All this is to be discussed in Section 5. In other cases (Sections 4 and 6), we can take  $B = 0$ .

## 2 Proof of Proposition 1.1.

The short proof, patterned after [L-T.4, p 151], is inserted here for completeness. It uses two key ingredients: the classical perturbation theory of the resolvent  $R(\lambda, A_F)$  in terms of  $R(\lambda, \mathcal{A})$  [Pazy, p 80]; and assumption (1.1) on  $G$ , in addition to (1.4) for  $F$ . Both statements: (i) that  $A_F$  generates a s.c. analytic semigroup  $e^{A_F t}$  on  $Y$ ,  $t > 0$ , and (ii) that the resolvent  $R(\lambda, A_F)$  is compact on  $Y$  rely on the first ingredient. The classical perturbation formula [Pazy, p 80] written for  $A_F$  in (1.6) is:

$$R(\lambda, A_F) = [I + R(\lambda, \mathcal{A})AGF]^{-1} R(\lambda, \mathcal{A}), \quad (2.1)$$

at least for  $\lambda \in \rho(A)$ , with  $\operatorname{Re} \lambda > \text{some } \rho_0 > 0$ . Next, property  $A^\gamma G \in \mathcal{L}(Y)$  for some  $0 < \gamma < 1$  as in (1.1) yields  $\hat{\mathcal{A}}^\gamma G = (kI - \mathcal{A})^\gamma G \in \mathcal{L}(U, Y)$  for some  $k > 0$  suitably large, as  $\mathcal{D}(\mathcal{A}) = \mathcal{D}(A)$  by (1.3), where  $-\hat{\mathcal{A}} = \mathcal{A} - kI$  generates a s.c. analytic semigroup  $e^{\hat{\mathcal{A}}t}$  on  $Y$  by (1.5). Moreover,  $\hat{\mathcal{A}}^\gamma GF \in \mathcal{L}(Y)$  by (1.4). Accordingly a well-known formula [Kre, Eq (5.15), p 115] gives

$$\|R(\lambda, \hat{\mathcal{A}})\hat{\mathcal{A}}GF\|_{\mathcal{L}(Y)} = \|R(\lambda, \hat{\mathcal{A}})\hat{\mathcal{A}}^{1-\gamma}(\hat{\mathcal{A}}^\gamma GF)\|_{\mathcal{L}(Y)} \leq C_\gamma \|R(\lambda, \hat{\mathcal{A}})\hat{\mathcal{A}}^{1-\gamma}\|_{\mathcal{L}(Y)} \quad (2.2)$$

$$\leq \frac{\tilde{C}_\gamma}{|\lambda|^\gamma} \longrightarrow 0 \text{ as } |\lambda| \longrightarrow \infty, \lambda \in \rho(\hat{\mathcal{A}}) \quad (2.3)$$

Then by (2.1) and (2.3), we obtain

$$\|R(\lambda, A_F)\|_{\mathcal{L}(Y)} \leq C_{\gamma, \rho_0} \|R(\lambda, \mathcal{A})\|_{\mathcal{L}(Y)}, \quad \forall \lambda, \operatorname{Re} \lambda > \text{some } \rho_0 > 0. \quad (2.4)$$

Thus, via (2.4) the properties of  $R(\lambda, \mathcal{A})$  [generation by  $A$  of a s.c. analytic semigroup on  $Y$  by (1.5) and compactness transfer into corresponding properties for  $R(\lambda, A_F)$ ] [Fat, Lemma 4.2.3, p 185]. Finally, compactness of the resolvent and analyticity of the semigroup a-fortiori imply compactness of the semigroup for all  $t \geq 0$  [Pazy, Thm 3.3 p48]. Proposition 1.1 is proved.

## 3 Proof of Theorem 1.2.

*Part a) Step 1:* With  $F \in \mathcal{L}(Y, U)$  by (1.4) and  $G$  satisfying (1.1), the intrinsic presence of the operator  $GF$  as a right factor in the expression of  $A_F$  in (1.6a) makes such expression not directly suitable for deducing its maximal  $L^p$ -regularity on  $Y$ , as it would leave the power  $A^{1-\gamma}$  on the LHS unaccounted for on  $Y$ . Accordingly, we find it convenient to consider instead the more amenable adjoint/dual operator.

$$A_F^* = (I - GF)^* A^* = -(I - GF)^* A^* + (I - GF)^* A_o^* \quad (3.1a)$$

$$Y^* \supset \mathcal{D}(A_F^*) = \mathcal{D}(A^*) = \mathcal{D}(A^*) \longrightarrow Y^* \quad (3.1b)$$

via (1.3), since  $GF \in \mathcal{L}(Y)$  and  $(I - GF)^* \in \mathcal{L}(Y^*)$ . We rewrite  $A_F^*$  in (3.1a) as

$$A_F^* = -A^* + [F^* G^* A^{*\gamma}] A^{*1-\gamma} + (I - GF)^* (A^{-(1-\varepsilon)} A_o)^* A^{*1-\varepsilon} \quad (3.2)$$

whereby the adjoint of the right factor  $(I - GF)$  in (1.6a) becomes now a left factor  $(I - GF)^*$  in (3.2). In obtaining in (3.1a) the form of  $A_F^*$  from that of  $A_F$  in (1.6a), we have used [Fat, p 14] that  $(I - GF) \in \mathcal{L}(Y)$ . Moreover, we have also used  $A_o = A^{1-\varepsilon} (A^{-(1-\varepsilon)} A_o)$ , hence  $A_o^* = (A^{-(1-\varepsilon)} A_o)^* A^{*1-\varepsilon}$ ,

with  $(A^{-(1-\varepsilon)}A_o)^* \in \mathcal{L}(Y^*)$  by (1.2b).

Step 2: By duality on Proposition 1.1 on the reflexive Banach space  $Y$ , the operator  $A_F^*$  in (3.1) generates a s.c. analytic semigroup  $e^{A_F^* t}$  on  $Y^*$ .

Step 3:

**Proposition 3.1.** *For the generator  $A_F^*$  in (3.1) of the s.c. analytic semigroup  $e^{A_F^* t}$  on  $Y^*$ , we have*

$$A_F^* \in MReg(L^p(0, T; Y^*)), \quad 0 < T < \infty. \quad (3.3)$$

*Proof.* The proof is based on a perturbation argument. With  $[A^\gamma GF]^* = F^* G^* A^{*\gamma} \in \mathcal{L}(Y^*)$  by (H.4)=(1.1) and (1.4), rewrite (3.2) as:

$$A_F^* = -A^* + \Pi \quad (3.4)$$

$$\Pi = [F^* G^* A^{*\gamma}] A^{*1-\gamma} + [(I - GF)^* (A^{-(1-\varepsilon)} A_o)^*] A^{*1-\varepsilon}. \quad (3.5)$$

In (3.5), both terms in the square brackets [ ] are bounded in  $Y^*$  by assumption (H.4) = (1.1) and (H.5). The following estimates then hold true:

$$(i) \quad \left\| [F^* G^* A^{*\gamma}] A^{*1-\gamma} x \right\|_{Y^*} \leq C \left\| A^{*1-\gamma} x \right\|_{Y^*}, \quad \forall x \in \mathcal{D}(A^{*1-\gamma}) \quad (3.6)$$

$$(ii) \quad \left\| [(I - GF)^* (A^{-(1-\varepsilon)} A_o)^*] A^{*1-\varepsilon} x \right\|_{Y^*} \leq C \left\| A^{*1-\varepsilon} x \right\|_{Y^*}, \quad \forall x \in \mathcal{D}(A^{*1-\varepsilon}). \quad (3.7)$$

Hence, by (3.6), (3.7) the perturbation  $\Pi$  in (3.5) satisfies

$$\|\Pi x\|_{Y^*} \leq C \left\| A^{*\theta_0} x \right\|_{Y^*}, \quad x \in \mathcal{D}(A^{*\theta_0}), \quad \theta_0 = \max\{1 - \varepsilon, 1 - \gamma\} < 1. \quad (3.8)$$

We are now in a position to draw some consequences from (3.4), (3.8):

(a) The perturbation  $\Pi$  is  $A^{*\theta_0}$ -bounded on  $Y^*$ ,  $0 < \theta_0 < 1$ .

(b) On the other hand, by (H.3), we have  $A^* \in MReg(L^p(0, T; Y^*))$ .

Then via (3.4), properties (a), (b) imply via [Dore.2, Theorem 6.2, p 311] or [K-W.1, Remark 1i, p 426 for  $\beta = 1$ ] that  $A_F^* \in MReg(L^p(0, T; Y^*))$  and Proposition 3.1 is proved.  $\square$

Step 4: We now prove Theorem 1.2 that  $A_F \in MReg(L^p(0, T; Y))$  as claimed in (1.10). To this end, we invoke the fundamental result of L. Weis [K-W.2, Theorem 1.11, p 76], [Weis, Theorem, p 198]. Since by Proposition 1.1,  $A_F$  generates a s.c. analytic semigroup  $e^{A_F t}$  on the UMD-space  $Y$  which modulo a translation (innocuous for the present argument), we may take to be bounded. Then the sought after property that  $A_F \in MReg(L^p(0, T; Y))$  is equivalent to the property that the family  $\tau \in \mathcal{L}(Y)$

$$\tau = \{tR(it, A_F), \quad t \in \mathbb{R} \setminus \{0\}\} \text{ be } R\text{-bounded}, \quad (3.9)$$

where  $R(\cdot, A_F)$  denotes the resolvent operator of  $A_F$ . However, in our present UMD setting for  $Y$ , the family  $\tau$  in (3.9) is  $R$ -bounded if and only if the corresponding dual family  $\tau'$  in  $\mathcal{L}(Y^*)$

$$\tau' = \{tR(it, A_F^*), \quad t \in \mathbb{R} \setminus \{0\}\} \text{ is } R\text{-bounded}. \quad (3.10)$$

This result follows from [H-N-V-W, Proposition 8.4.1 p. 211] which shows such equivalence in  $K$ -convex spaces, combined with [H-N-V-W, Ex 7.4.8, p 113] stating that a UMD space is  $K$ -convex. The special case of such duality with respect to the space  $Y = L^q(\Omega)$ ,  $1 < q < \infty$ , with  $Y^* = L^{q'}(\Omega)$ ,  $1/q + 1/q' = 1$  is given in [K-W.2, Corollary 2.11, p 90]. But the  $R$ -boundedness property in (3.10) is equivalent by the same result [K-W.2, Theorem 1.11, p 76], [Weis, Theorem, p 198], to the property that  $A_F^* \in MReg(L^p(0, T; Y^*))$ , and this is true by Proposition 3.1. In conclusion, we have  $A_F \in MReg(L^p(0, T; Y))$ , and Theorem 1.2, part a) is proved.

*Part b)* If it is known that the s.c. analytic semigroup  $e^{A_F t}$ ,  $t \geq 0$  on  $Y$  is uniformly stable, then we can take  $T = \infty$  by invoking [Dore.2, Theorem 5.2, p 307]:  $A_F \in MReg(L^p(0, \infty; Y))$ .

*Part c)* is now obvious as  $B \in \mathcal{L}(Y)$ . □

**Corollary 3.2.** In a UMD space  $Y$ , maximal  $L^p$ -regularity of  $A : Y \supset \mathcal{D}(A) \rightarrow Y$  is equivalent to maximal  $L^p$ -regularity for  $A^* : Y^* \supset \mathcal{D}(A^*) \rightarrow Y^*$ .

This is contained in the proof given in Step 4 above.

## 4 A classical parabolic equation with finite dimensional boundary feedback control: maximal $L^p$ -regularity on $Y = L^q(\Omega)$ , $1 < q < \infty$ .

### 4.1 Open and closed-loop boundary control problem

Let  $\Omega$  be an open bounded domain in  $\mathbb{R}^d$ ,  $d \geq 2$ , with sufficiently smooth boundary  $\Gamma = \partial\Omega$ . Let  $\omega$  be an arbitrary small open smooth subset of the interior  $\Omega$ ,  $\omega \subset \Omega$ , of positive measure.

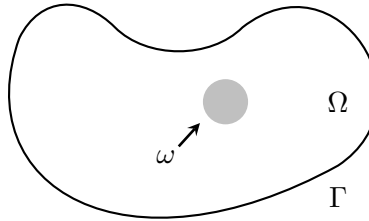


Fig 1: Internal subportion  $\omega$ .

For notational simplicity and space constraints, we shall focus on the canonical case of the Laplacian translated, in order to make the original boundary homogeneous problem (4.1a-b-c) with  $f \equiv 0$  unstable. This will then introduce the boundary feedback stabilization problem that will ultimately be an illustration of the abstract Theorem 1.2 with  $T = \infty$  in Section 4.3. Without uniform stabilization, the boundary feedback problem (4.3a-b-c) will claim maximal  $L^p$ -regularity only for  $T < \infty$  in Theorem 4.1. The treatment extends to second order (say), uniformly strongly elliptic operators. Thus, we consider the following parabolic problem in the unknown  $y(t, x)$ ,  $x \in \Omega$ , initially with open loop



boundary control  $f$  in the Dirichlet B.C.

$$\begin{cases} y_t = (\Delta + c^2)y & \text{in } Q \equiv (0, T] \times \Omega \\ y|_{t=0} = y_0 & \text{in } \Omega \\ y|_{\Sigma} = f & \text{in } \Sigma \equiv (0, T] \times \Gamma \end{cases} \quad \begin{array}{l} (4.1a) \\ (4.1b) \\ (4.1c) \end{array}$$

Our goal is to convert the open loop control system (4.1) into a closed loop feedback control system. We choose the open loop control  $f$  to be expressed as a finite dimensional feedback operator  $F$  of the form

$$f = Fy = \sum_{k=1}^K \langle y, w_k \rangle_{L^2(\omega)} g_k, \quad (4.2)$$

with given vectors  $w_k \in L^2(\omega)$ ,  $g_k \in L^q(\Gamma)$ ,  $1 < q < \infty$ , so that corresponding closed loop feedback control problem is

$$\begin{cases} y_t = (\Delta + c^2)y & \text{in } Q \equiv (0, T] \times \Omega \\ y|_{t=0} = y_0 & \text{in } \Omega \\ y|_{\Sigma} = \sum_{k=1}^K \langle y, w_k \rangle_{L^2(\omega)} g_k, & \text{in } \Sigma \equiv (0, T] \times \Gamma \end{cases} \quad \begin{array}{l} (4.3a) \\ (4.3b) \\ (4.3c) \end{array}$$

Our basic function space is  $Y \equiv L^q(\Omega)$ ,  $1 < q < \infty$ .

#### 4.2 Abstract model of the closed loop system (4.3). Verification of Theorem 1.2, $T < \infty$ .

We introduce the translated Dirichlet Laplacian and corresponding Dirichlet map.

$$\mathcal{A}_{tr}\varphi = (\Delta + c^2)\varphi, \quad \mathcal{A}_{tr} : Y \supset \mathcal{D}(\mathcal{A}_{tr}) = \{\varphi \in W^{2,q}(\Omega) : \varphi|_{\Gamma} = 0\} \longrightarrow Y. \quad (4.4)$$

$$\phi = Dg \iff \{(\Delta + c^2)\phi \equiv 0 \text{ in } \Omega, \quad \phi|_{\Gamma} = g\} \quad (4.5a)$$

$$D : L^q(\Gamma) \longrightarrow W^{1/q,q}(\Omega) \subset \mathcal{D}\left((-A)^{1/2q}\right) \quad (4.5b)$$

where

$$\mathcal{A}\varphi = \Delta\varphi, \quad \mathcal{D}(\mathcal{A}_{tr}) = \mathcal{D}(\mathcal{A}) \quad (4.6)$$

is a suitable translation of  $\mathcal{A}_{tr}$ , so that the fractional powers  $(-A)^{\theta}$ ,  $1 < \theta < \infty$ , are defined by complex interpolation [Adams]. The operator  $\mathcal{A}_{tr}$  in (4.4) has compact resolvent on  $Y = L^q(\Omega)$  and is the generator of a s.c. analytic semigroup  $e^{\mathcal{A}_{tr}t}$  on  $Y \equiv L^q(\Omega)$  [Fri, Example, p101]. Returning to problem (4.1) and using the definition of the Dirichlet map  $D$  in (4.5), we can rewrite Eq (4.1a) as

$$y_t = (\Delta + c^2)(y - Df) \text{ in } Q, \quad [y - Df]|_{\Gamma} = 0. \quad (4.7)$$

Hence, the abstract version of the open-loop system (4.1) is

$$y_t = \mathcal{A}_{tr}(y - Df) \text{ on } Y \equiv L^q(\Omega). \quad (4.8)$$

Next, returning to (4.2) with  $F \in \mathcal{L}(L^2(\omega), L^q(\Gamma))$ , we see that the abstract version (4.8) of the closed-loop system (4.3) specializes to

$$y_t = \mathcal{A}_{tr}(I - DF)y = A_{F,tr}y, \quad y(0) = y_0, \quad \text{on } Y \equiv L^q(\Omega). \quad (4.9)$$

We next verify that the boundary feedback closed loop control problem (4.3a-c) that is, its abstract model (4.9), satisfies Theorem 1.2 for  $T < \infty$ .

**Theorem 4.1.** *Let  $1 < q < \infty$ ,  $w_k \in L^2(\omega)$ ,  $g_k \in L^q(\Gamma)$ .*

(i) *The feedback operator in (4.9)*

$$A_{F,tr} = \mathcal{A}_{tr}(I - DF) \quad (4.10a)$$

$$L^q(\Omega) \supset \mathcal{D}(A_{F,tr}) = \{x \in L^q(\Omega) : (I - DF)x \in \mathcal{D}(\mathcal{A}_{tr})\} \quad (4.10b)$$

*is the generator of a s.c. analytic semigroup  $e^{A_{F,tr}t}$  on  $Y \equiv L^q(\Omega)$ ,  $t \geq 0$ .*

(ii) *Moreover,  $A_{F,tr}$  has maximal  $L^p$ -regularity on  $Y \equiv L^q(\Omega)$  up to  $T < \infty$ ,*

$$A_{F,tr} \in MReg(L^p(0, T; Y)). \quad (4.11)$$

*Proof.* (i) Of course part (ii) implies part (i). But the direct proof of part (i) is more direct. See [L-T.1], [L-T.2], [Tr.2] for  $q = 2$ . Appropriate modifications yield the desired conclusion a) also for  $1 < q < \infty$ .

(ii) We need to verify assumptions (H.1) through (H.5) of Section 1, except for boundedness of  $e^{-A^*t}$  on  $Y^*$  in (H.3), so that the maximal  $L^p$ -regularity for the operator  $\mathcal{A}_{F,tr}$  in (4.10) will hold for  $T < \infty$ . (H.1) Since  $Y \equiv L^q(\Omega)$ ,  $1 < q < \infty$ , assumption (H.1) holds true. (H.2) This is a-fortiori true, since  $\mathcal{A}_{tr}$  is the generator of a s.c., analytic semigroup  $e^{\mathcal{A}_{tr}t}$  on  $Y \equiv L^q(\Omega)$ ,  $t \geq 0$ . (H.3)  $Y \equiv L^q(\Omega)$ ,  $1 < q < \infty$ , is reflexive and  $Y^* = (L^q(\Omega))^* = L^{q'}(\Omega)$ ,  $1/q + 1/q' = 1$ . Moreover the operator  $\mathcal{A}_{tr}^*$

$$\mathcal{A}_{tr}^* \varphi = (\Delta + 2c^2)\varphi, \quad L^{q'}(\Omega) \supset \mathcal{D}(\mathcal{A}_{tr}^*) = \left\{ \varphi \in W^{2,q'}(\Omega) : \varphi|_{\Gamma} = 0 \right\} \quad (4.12)$$

is also the generator of a s.c., analytic semigroup  $e^{\mathcal{A}_{tr}^*t}$  on  $Y^*$ ,  $t \geq 0$ . In addition, it is well-known that  $\mathcal{A}_{tr}^*$  has maximal  $L^p$ -regularity on  $Y^*$ :  $\mathcal{A}_{tr}^* \in MReg(L^p(0, T; Y^*))$ . Thus (H.3) holds true (without boundedness). (H.4) We take  $U = L^q(\Gamma)$ . Then (4.5b) verifies (H.4) for  $D$  with  $\gamma = 1/2q$ . (H.5) We are actually taking  $A_o = 0$  in the present illustration. Thus, (H.1)-(H.5) have been verified and Theorem 1.2 a) yields our present part (ii) of Theorem 4.1: maximal  $L^p$ -regularity up to any  $T < \infty$ . □

**4.3 Case  $T = \infty$ . Uniform stabilization of problem (4.3a-c), by boundary feedback control  $f = Fy$  as in (4.2), for suitable  $w_k \in L^2(\omega)$ ,  $g_k \in L^q(\Gamma)$ .**

In the present subsection, under suitable assumptions, we seek to specialize the class of localized interior vectors  $w_k \in L^2(\omega)$  and boundary vectors  $g_k \in L^q(\Gamma)$ , so that the s.c. analytic semigroup

$e^{A_{F,tr}t}$ ,  $t \geq 0$ , on  $Y$ , guaranteed by Theorem 4.1 (i) is, in addition, uniformly stable on  $Y$ . This goal can be rephrased as a uniform stabilization problem for the open-loop parabolic system (4.1a-c), by virtue of a suitable feedback control  $f = Fy$  in (4.2), for suitable vectors  $\{w_k, g_k\}_{k=1}^K$ . Moreover, we seek  $K$  to be minimal number. A solution of this problem for  $q = 2$  and  $\omega$  replaced by  $\Omega$  was given in [Tr.3, Theorem 2.1D and Theorem 2.4D].

**Remark 4.1.** The vectors  $w_k$  are selected from the full rank conditions in [Tr.3, (2.11)] which hold true also with the  $L^p(\Omega)$  inner-product in [Tr.3, (2.11)] replaced by the  $L^p(\omega)$  inner-product [B-T.1, Claim 3.3, p1458], due to the Unique Continuation Theorem in [B-T.1, Lemma 3.7, p1466], [Tr.6]. Instead, the vectors  $g_k$  are obtained by showing a moment problem such as [Tr.3, (A.7)]. Once uniform stability of  $e^{A_{F,tr}t}$  on  $Y$  is achieved, we can then conclude that the maximal  $L^p$ -regularity of  $A_{F,tr}$  can be pushed to  $T = \infty$ , hence improving (in this special setting) Theorem 4.1.

**Theorem 4.2.** *Under the setting of Remark 4.1 regarding the special choice of the vectors  $w_k \in L^2(\omega)$  and  $g_k \in L^q(\Gamma)$ , the s.c. analytic semigroup  $e^{A_{F,tr}t}$  is uniformly stable on  $Y$ . Hence  $A_{F,tr}$  has maximal  $L^p$ -regularity up to  $T = \infty$ :  $A_{F,tr} \in MReg(L^p(0, \infty; Y))$ .*

## 5 Linearization of Navier-Stokes equations with boundary feedback control: maximal $L^p$ -regularity on $L_\sigma^q(\Omega)$ and $B_{q,p}^{2-2/p}(\Omega)$ up to $T = \infty$ .

### 5.1 Linearized controlled Navier-Stokes problem.

Notation: Bold notation refers to vector-valued ( $d$ -valued) quantities and corresponding spaces.

This section is based on paper [L-P-T.2] and its predecessors [B-L-T.1], [B-L-T.2], [L-T.5], [L-T.6] which provides uniform stabilization near an unstable equilibrium solution  $y_e$  of the Navier-Stokes equations,  $d = 2, 3$  in closed-loop form, by virtue of a finite-dimensional feedback control pair  $\{v, u\}$  on  $\{\tilde{\Gamma}, \omega\}$ . Here see Fig 2,  $\tilde{\Gamma}$  is an arbitrary small connected portion of the boundary  $\Gamma = \partial\Omega$ , of a bounded sufficiently smooth domain  $\Omega$  in  $\mathbb{R}^d$ ,  $d = 2, 3$ , while  $\omega$  is an arbitrarily small collar supported by  $\tilde{\Gamma}$ .

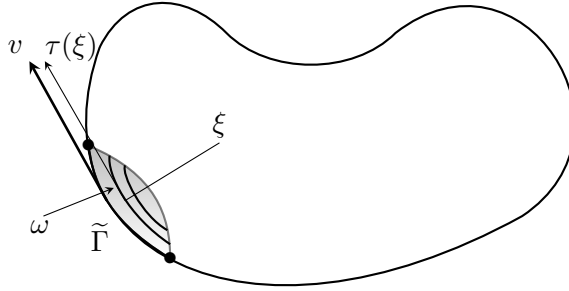


Fig 2: Internal localized collar  $\omega$  of subportion  $\tilde{\Gamma}$  of boundary  $\Gamma$

The (eventually feedback) boundary control  $\mathbf{v}$  acts tangentially over  $\tilde{\Gamma}$ , while the (eventually feedback) interior control  $\mathbf{u}$  acts “tangential-like”, that is, it acts in the tangential direction  $\tau$ , parallel to the boundary in the small boundary layer  $\omega$ . See Fig 2. To this end, a critical intermediary step towards the uniform stabilization of the nonlinear N-S system consists in considering the following linearized problem near the equilibrium solution  $\mathbf{y}_e$ , defined in Theorem 5.1 below:

$$\begin{cases} \mathbf{w}_t - \nu_o \Delta \mathbf{w} + L_e(\mathbf{w}) + \nabla \chi - (m(x)\mathbf{u})\tau = 0 & \text{in } Q & (5.1a) \\ \operatorname{div} \mathbf{w} = 0 & \text{in } Q & (5.1b) \\ \mathbf{w} = \mathbf{v} & \text{on } \Sigma & (5.1c) \\ \mathbf{w}(0, x) = \mathbf{w}_0(x) & \text{on } \Omega & (5.1d) \end{cases}$$

Here  $m$  is the characteristic function of  $\omega$  :  $m \equiv 1$  on  $\omega$ ,  $m \equiv 0$  on  $\Omega \setminus \omega$ , while  $\nu_o > 0$  is the viscosity coefficient.  $L_e$  is the first order Oseen perturbation

$$L_e(\mathbf{w}) = (\mathbf{y}_e \cdot \nabla) \mathbf{w} + (\mathbf{w} \cdot \nabla) \mathbf{y}_e \quad (5.2)$$

where  $\mathbf{y}_e$  is the equilibrium solution, obtained from the following known result, the basic starting point of the analysis, see [A-R, Theorem 5.iii, p58] for  $1 < q < \infty$  and [C-F, Theorem 7.3, p59] for  $q = 2$ .

**Theorem 5.1.** *Consider the following steady-state Navier-Stokes equations in  $\Omega$*

$$-\nu_o \Delta \mathbf{y}_e + (\mathbf{y}_e \cdot \nabla) \mathbf{y}_e + \nabla \pi_e = \mathbf{f} \quad \text{in } \Omega \quad (5.3a)$$

$$\operatorname{div} \mathbf{y}_e = 0 \quad \text{in } \Omega \quad (5.3b)$$

$$\mathbf{y}_e = 0 \quad \text{on } \Gamma. \quad (5.3c)$$

Let  $1 < q < \infty$ . For any  $\mathbf{f} \in \mathbf{L}^q(\Omega)$  there exists a solution (not necessarily unique)  $(\mathbf{y}_e, \pi_e) \in (\mathbf{W}^{2,q}(\Omega) \cap \mathbf{W}_0^{1,q}(\Omega)) \times (W^{1,q}(\Omega)/\mathbb{R})$ .

**Case 1:** The equilibrium solution is unstable. Instability of the equilibrium solution means that the corresponding Oseen operator  $\mathcal{A}_q$  in (5.11) below - which depends on  $\mathbf{y}_e$  - has  $N$  unstable eigenvalues:  $\dots \leq \operatorname{Re} \lambda_{N+1} < 0 \leq \operatorname{Re} \lambda_N \leq \dots \leq \operatorname{Re} \lambda_1$ . To counteract such instability, [L-P-T.2] seeks a boundary tangential control  $\mathbf{v}$  acting with support on  $\tilde{\Gamma}$ , and an interior control  $\mathbf{u}$  acting tangential-like on  $\omega$ , of the preliminary form (for  $\mathcal{F}$  see [L-P-T.1, Eq (5.4)])

$$\mathbf{v} = \sum_{k=1}^K \nu_k(t) \mathbf{f}_k, \quad \mathbf{f}_k \in \mathcal{F} \subset \mathbf{W}^{2-1/q,q}(\Gamma), \quad q \geq 2, \quad \text{so that } \mathbf{f}_k \cdot \nu = 0, \quad \text{hence } \mathbf{v} \cdot \nu = 0 \quad \text{on } \Gamma \quad (5.4)$$

$$\mathbf{u} = \sum_{k=1}^K \mu_k(t) \mathbf{u}_k, \quad \mathbf{u}_k \in \mathbf{W}_N^u \subset \mathbf{L}_\sigma^q(\Omega), \quad \nu_k(t) = \text{scalar}, \quad \mu_k(t) = \text{scalar}, \quad (5.5)$$

-in fact, eventually in feedback from as in (5.17), (5.18). This will lead to the following boundary feedback closed loop PDE-system:

$$\left\{ \begin{array}{ll} \mathbf{w}_t - \nu_o \Delta \mathbf{w} + L_e(\mathbf{w}) + \nabla \chi = m \left( \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{q}_k \rangle_{\mathbf{W}_N^u} \mathbf{u}_k \right) \tau & \text{in } Q \quad (5.6a) \\ \operatorname{div} \mathbf{w} = 0 & \text{in } Q \quad (5.6b) \\ \mathbf{w} = \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle_{\mathbf{W}_N^u} \mathbf{f}_k & \text{on } \Sigma \quad (5.6c) \\ \mathbf{w}(0, x) = \mathbf{w}_0(x) & \text{on } \Omega \quad (5.6d) \end{array} \right.$$

to be further explained below. Qualitatively, the main result of the present Section 5 is: for a suitable explicit selection of the boundary tangential vector  $\mathbf{f}_k$  and interior vectors  $\mathbf{q}_k, \mathbf{u}_k, \mathbf{p}_k \in \mathbf{W}_N^u$  as in (5.4), (5.5) the resulting boundary feedback closed loop system (5.6a-b-c-d) generates a s.c. semigroup, which is analytic, uniformly stable, with generator that has maximal  $L^p$ -regularity up to  $T = \infty$  in a suitable  $\mathbf{L}^q$ /Besov setting,  $q > d$ . to be identified below. Moreover,  $K = \max\{\text{geometric multiplicity of } \lambda_i, i = 1, \dots, N\}$ . For the corresponding formal statements, we refer to Theorems 5.2-5.4 below. Maximal  $L^p$ -regularity will be an application of the abstract Theorem 1.2 as it will be established in the present section. We note that in order to obtain uniform stabilization, and hence maximal  $L^p$ -regularity up to  $T = \infty$ , the interior tangential-like feedback control  $\mathbf{u}$  in (5.5) ultimately acting on  $\omega$ , cannot be dispensed with. This is due to a counter-example [F-L] as explained in [L-P-T.2]. The presence of such  $\mathbf{u}$  is, abstractly, accounted for by the operator  $B \in \mathcal{L}(Y)$  in Theorem 1.2, part c). Uniform stabilization of problem (5.6a-b-c-d) rests critically at the outset of the (finite dimensional) analysis on a suitable Unique Continuation Property for a suitably overdetermined adjoint eigenproblem [L-T.5], [L-T.6] to avoid the counterexample of [F-L]. Here below, we shall put the PDE problem (5.6a-b-c-d) in the abstract setting of Theorem 1.2, part c). To this end, we need some preliminary background.

## 5.2 Preliminaries: Helmholtz decomposition

**Definition 5.1.** Let  $1 < q < \infty$  and  $\Omega \subset \mathbb{R}^n$  be an open set. We say that the Helmholtz decomposition for  $\mathbf{L}^q(\Omega)$  exists whenever  $\mathbf{L}^q(\Omega)$  can be decomposed into the direct sum of the solenoidal vector space  $\mathbf{L}_\sigma^q(\Omega)$  and the space  $\mathbf{G}^q(\Omega)$  of gradient fields

$$\mathbf{L}^q(\Omega) = \mathbf{L}_\sigma^q(\Omega) \oplus \mathbf{G}^q(\Omega), \quad (5.7a)$$

$$\begin{aligned} \mathbf{L}_\sigma^q(\Omega) &= \overline{\{\mathbf{y} \in C_c^\infty(\Omega) : \operatorname{div} \mathbf{y} = 0 \text{ in } \Omega\}}^{\|\cdot\|_q} \\ &= \{\mathbf{g} \in \mathbf{L}^q(\Omega) : \operatorname{div} \mathbf{g} = 0; \mathbf{g} \cdot \nu = 0 \text{ on } \partial\Omega\}, \end{aligned} \quad (5.7b)$$

for any locally Lipschitz domain  $\Omega \subset \mathbb{R}^d, d \geq 2$  [Ga.1, p 119]

$$\mathbf{G}^q(\Omega) = \{\mathbf{y} \in \mathbf{L}^q(\Omega) : \mathbf{y} = \nabla p, p \in W_{loc}^{1,q}(\Omega) \text{ where } 1 \leq q < \infty\}.$$

Both of these are closed subspaces of  $\mathbf{L}^q(\Omega)$ . The unique linear, bounded and idempotent (i.e.  $P_q^2 = P_q$ ) projection operator  $P_q : \mathbf{L}^q(\Omega) \rightarrow \mathbf{L}_\sigma^q(\Omega)$  having  $\mathbf{L}_\sigma^q(\Omega)$  as its range and  $\mathbf{G}^q(\Omega)$  as its null space is called the Helmholtz projection. Under the present assumption of smoothness of  $\Omega$  ( $C^1$ -smoothness is enough [Ga.1]), the Helmholtz projection is known to exist: The Helmholtz decomposition exists for  $\mathbf{L}^q(\Omega)$  if and only if it exists for  $\mathbf{L}^{q'}(\Omega)$ , and we have: (adjoint of  $P_q$ ) =  $P_q^* = P_{q'}$  (in particular  $P_2$  is

orthogonal), where  $P_q$  is viewed as a bounded operator  $\mathbf{L}^q(\Omega) \rightarrow \mathbf{L}^q(\Omega)$ , and  $P_q^* = P_{q'}$  as a bounded operator  $\mathbf{L}^{q'}(\Omega) \rightarrow \mathbf{L}^{q'}(\Omega)$ ,  $1/q + 1/q' = 1$ .

### 5.3 Preliminaries: The Stokes and Oseen operators

First, for  $1 < q < \infty$  fixed, the Stokes operator  $A_q$  in  $\mathbf{L}_\sigma^q(\Omega)$  with Dirichlet boundary conditions is defined by

$$A_q \mathbf{z} = -P_q \Delta \mathbf{z}, \quad \mathcal{D}(A_q) = \mathbf{W}^{2,q}(\Omega) \cap \mathbf{W}_0^{1,q}(\Omega) \cap \mathbf{L}_\sigma^q(\Omega). \quad (5.8a)$$

The operator  $A_q$  has a compact inverse  $A_q^{-1}$  on  $\mathbf{L}_\sigma^q(\Omega)$ , hence  $A_q$  has a compact resolvent on  $\mathbf{L}_\sigma^q(\Omega)$ . Moreover, it is well-known that  $-A_q$  generates a s.c. analytic Stokes semigroup  $e^{-A_q t}$  which is uniformly stable on  $\mathbf{L}_\sigma^q(\Omega)$ : there exist constants  $M \geq 1, \delta > 0$  (possibly depending on  $q$ ) such that

$$\|e^{-A_q t}\|_{\mathcal{L}(\mathbf{L}_\sigma^q(\Omega))} \leq M e^{-\delta t}, \quad t > 0. \quad (5.8b)$$

It is equally well-known [Sol.5] that  $-A_q$  has maximal  $L^p$ -regularity on  $\mathbf{L}_\sigma^q(\Omega)$  up to  $T = \infty$ :  $-A_q \in MReg(L^p(0, \infty; \mathbf{L}_\sigma^q(\Omega)))$ . Next, we recall from (5.2) the first order Oseen perturbation  $L_e$

$$L_e(\mathbf{z}) = (\mathbf{y}_e \cdot \nabla) \mathbf{z} + (\mathbf{z} \cdot \nabla) \mathbf{y}_e, \quad (5.9)$$

and define the first order operator  $A_{o,q}$ ,

$$A_{o,q} \mathbf{z} = P_q L_e(\mathbf{z}) = P_q [(\mathbf{y}_e \cdot \nabla) \mathbf{z} + (\mathbf{z} \cdot \nabla) \mathbf{y}_e], \quad \mathcal{D}(A_{o,q}) = \mathcal{D}(A_q^{1/2}) = \mathbf{W}_0^{1,q}(\Omega) \cap \mathbf{L}_\sigma^q(\Omega), \quad (5.10)$$

Thus,  $A_{o,q} A_q^{-1/2}$  is a bounded operator on  $\mathbf{L}_\sigma^q(\Omega)$ , and thus  $A_{o,q}$  is bounded on  $\mathcal{D}(A_q^{1/2})$ . This leads to the definition of the Oseen operator

$$\mathcal{A}_q = -(\nu_o A_q + A_{o,q}), \quad \mathcal{D}(\mathcal{A}_q) = \mathcal{D}(A_q) \subset \mathbf{L}_\sigma^q(\Omega) \quad (5.11)$$

also with compact resolvent. Moreover  $\mathcal{A}_q$  generates a s.c. analytic semigroup  $e^{\mathcal{A}_q t}$  on  $\mathbf{L}_\sigma^q(\Omega)$ ,  $t \geq 0$ .

### 5.4 Preliminaries: Well-posedness in the $L^q$ -setting of the non-homogeneous stationary Oseen problem: the Dirichlet map $D$ : boundary $\rightarrow$ interior.

We follow [B-L-T.1], [L-T.5], [L-T.6], [L-P-T.2]. Recalling the first order operator  $L_e(\boldsymbol{\psi}) = (\boldsymbol{\psi} \cdot \nabla) \mathbf{y}_e + (\mathbf{y}_e \cdot \nabla) \boldsymbol{\psi}$  from (5.9) and introducing the differential expression  $\mathbb{A} \boldsymbol{\psi} = -\nu_o \Delta \boldsymbol{\psi} + L_e(\boldsymbol{\psi})$ , we consider the stationary, boundary non-homogeneous Oseen problem on  $\Omega$ :

$$\begin{cases} \mathbb{A} \boldsymbol{\psi} + \nabla \pi^* = -\nu_o \Delta \boldsymbol{\psi} + L_e(\boldsymbol{\psi}) + \nabla \pi^* = 0 \\ \operatorname{div} \boldsymbol{\psi} = 0 \text{ in } \Omega; \quad \boldsymbol{\psi} = \mathbf{g} \text{ on } \Gamma, \quad \mathbf{g} \cdot \boldsymbol{\nu} = 0 \text{ on } \Gamma. \end{cases} \quad (5.12a)$$

$$(5.12b)$$

Problem (5.12) may not define a unique solution  $\boldsymbol{\psi}$ ; that is, the operator  $\mathbf{g} \rightarrow \boldsymbol{\psi}$  may have a nontrivial (finite dimensional) null space. To overcome this, one replaces in (5.12) the differential expression  $\mathbb{A} \boldsymbol{\psi} = -\nu_o \Delta \boldsymbol{\psi} + L_e(\boldsymbol{\psi})$  with its translation  $k + \mathbb{A}$ , for a positive constant  $k$ , sufficiently large as to obtain a unique solution  $\boldsymbol{\psi}$ . In line with the considerations made in [L-P-T.2] and also in the name of simplicity of notation, we are here justified to admit henceforth that problem (5.12) (with  $k = 0$ )

defines a unique solution  $\psi$ . We shall then denote the “Dirichlet” map  $\mathbf{g} \longrightarrow \psi$  by  $D : D\mathbf{g} = \psi$  in the notation of (5.12). More precisely, define

$$U_q = \{\mathbf{v} \in \mathbf{L}^q(\Gamma) : \mathbf{v} \cdot \nu = 0 \text{ on } \Gamma\}. \quad (5.13)$$

Then with reference to problem (5.12) we have, recalling [Wahl, (0.2.17), p XXI]

$$\psi = D\mathbf{v}, \mathbf{v} \in U_q \longrightarrow D\mathbf{g} \in \mathbf{W}^{1/q,q}(\Omega) \cap \mathbf{L}_\sigma^q(\Omega) \subset \mathcal{D}\left(A_q^{1/2q-\varepsilon}\right) \quad (5.14)$$

$$\text{or } A_q^{1/2q-\varepsilon} D \in \mathcal{L}(U_q, \mathbf{L}_\sigma^q(\Omega)). \quad (5.15)$$

### 5.5 Abstract model of the linearized $w$ -problem (5.1).

After the above background, we can finally give the abstract model (in additive form) of the linearized  $w$ -problem in (5.1) in PDE-form still for  $1 < q < \infty$ . It is given by [L-T.5], [L-T.6], [L-P-T.2]

$$\begin{cases} \mathbf{w}_t - \mathcal{A}_q \mathbf{w} + \mathcal{A}_{ext,q} D\mathbf{v} - P_q[(m\mathbf{u})\tau] = 0 \text{ on } [\mathcal{D}(\mathcal{A}_q^*)]' \\ \mathbf{w}(x, 0) = \mathbf{w}_0(x) = \mathbf{y}_0(x) - \mathbf{y}_e \text{ in } \mathbf{L}_\sigma^q(\Omega). \end{cases} \quad (5.16)$$

In this section,  $\mathcal{A}_{ext,q}$  is the extension of  $\mathcal{A}_q$  in (5.11) from  $\mathbf{L}_\sigma^q(\Omega) \rightarrow [\mathcal{D}(\mathcal{A}_q^*)]'$ .

**The operator  $\mathbb{A}_{F,q}$  defining the linearized  $w$ -problem in feedback form.**

Paper [L-P-T.2] constructs suitable controllers  $\{\mathbf{v}, \mathbf{u}\}$ , this time in feedback form and thus going beyond (5.4), (5.5), with tangential boundary controller  $\mathbf{v}$  supported on  $\tilde{\Gamma}$ , and the tangential-like interior controller  $\mathbf{u}$  supported on  $\omega$  of the form

$$\begin{aligned} \mathbf{v} = F\mathbf{w} &= \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle_{\mathbf{W}_N^u} \mathbf{f}_k, \quad \mathbf{f}_k \in \mathcal{F} \subset \mathbf{W}^{2-1/q,q}(\Gamma), \mathbf{p}_k \in (\mathbf{W}_N^u)^* \subset \mathbf{L}_\sigma^{q'}(\Omega), q \geq 2 \\ &\mathbf{f}_k \cdot \nu|_\Gamma = 0; \text{ hence } \mathbf{v} \cdot \nu|_\Gamma = 0, \mathbf{f}_k \text{ supported on } \tilde{\Gamma} \end{aligned} \quad (5.17)$$

$$\mathbf{u} = J\mathbf{w} = \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{q}_k \rangle_{\mathbf{W}_N^u} \mathbf{u}_k, \quad \mathbf{q}_k \in (\mathbf{W}_N^u)^* \subset \mathbf{L}_\sigma^{q'}(\Omega), \mathbf{u}_k \text{ supported on } \omega. \quad (5.18)$$

Once inserted, this time, in the linear abstract  $w$ -problem (5.16), such  $\mathbf{v}$  and  $\mathbf{u}$  in (5.17), (5.18) yield the linearized feedback dynamics driven by the dynamical feedback stabilizing operator  $\mathbb{A}_{F,q}$  below

$$\frac{d\mathbf{w}}{dt} = \mathcal{A}_q \mathbf{w} - \mathcal{A}_q D \left( \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle_{\mathbf{W}_N^u} \mathbf{f}_k \right) + P_q \left( m \left( \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{q}_k \rangle_{\mathbf{W}_N^u} \mathbf{u}_k \right) \tau \right) \equiv \mathbb{A}_{F,q} \mathbf{w}, \quad (5.19)$$

$$\frac{d\mathbf{w}}{dt} = \mathcal{A}_q \mathbf{w} - \mathcal{A}_q D F \mathbf{w} + P_q m (J \mathbf{w}) \equiv \mathbb{A}_{F,q} \mathbf{w}. \quad (5.20)$$

Eq (5.19) is the abstract version of the boundary feedback problem (5.6a-d) in PDE-system. More specifically  $\mathbb{A}_{F,q}$  is rewritten as

$$\mathbb{A}_{F,q} = A_{F,q} + B : \mathbf{L}_\sigma^q(\Omega) \supset \mathcal{D}(\mathbb{A}_{F,q}) \longrightarrow \mathbf{L}_\sigma^q(\Omega), q \geq 2 \quad (5.21)$$

$$\begin{cases} A_{F,q} = \mathcal{A}_q(I - DF) : \mathbf{L}_\sigma^q(\Omega) \supset \mathcal{D}(A_{F,q}) \longrightarrow \mathbf{L}_\sigma^q(\Omega), \quad q \geq 2 \\ \mathcal{D}(A_{F,q}) = \{\mathbf{h} \in \mathbf{L}_\sigma^q(\Omega) : \mathbf{h} - DF\mathbf{h} \in \mathcal{D}(\mathcal{A}_q) = \mathbf{W}^{2,q}(\Omega) \cap \mathbf{W}_0^{1,q}(\Omega) \cap \mathbf{L}_\sigma^q(\Omega)\} = \mathcal{D}(\mathbb{A}_{F,q}) \end{cases} \quad (5.22a)$$

$$F(\cdot) = \sum_{k=1}^K \langle P_N \cdot, \mathbf{p}_k \rangle_{\mathbf{W}_N^u} \mathbf{f}_k \in \mathbf{W}^{2-1/q,q}(\tilde{\Gamma});$$

$$B(\cdot) = P_q \left( m \left( \sum_{k=1}^K \langle P_N \cdot, \mathbf{q}_k \rangle_{\mathbf{W}_N^u} \mathbf{u}_k \right) \tau \right) \in \mathbf{L}_\sigma^q(\Omega) \quad (5.23a)$$

$$F \in \mathcal{L}(\mathbf{L}_\sigma^q(\Omega), L^q(\tilde{\Gamma})); \quad B \in \mathcal{L}(\mathbf{L}_\sigma^q(\Omega)), \quad q \geq 2. \quad (5.23b)$$

## 5.6 Application of abstract results of Section 1 to the linearized Navier-Stokes boundary feedback problem (5.1) in the abstract form (5.20).

The operator  $\mathbb{A}_{F,q}$  on  $\mathbf{L}_\sigma^q(\Omega)$ ,  $q \geq 2$ , in (5.22) is of the same form as the abstract operator  $A_F$  in (1.6a) under the following correspondence:

- (1) The space  $Y$  in (H.1) is now  $\mathbf{L}_\sigma^q(\Omega)$ ,  $q \geq 2$ , which is a UMD-space. Assumption (H.1) holds true.
- (2) The abstract operator  $-A$  in (H.2) is now the Stokes operator  $-A_q$  in (5.8a). As noted,  $-A_q$  is the generator of a s.c. analytic semigroup  $e^{-A_q t}$  on  $Y = \mathbf{L}_\sigma^q(\Omega)$ , which moreover is uniformly stable by (5.8b). It is equally classical that  $-A_q$  has maximal  $L^p$ -regularity on  $\mathbf{L}_\sigma^q(\Omega)$  up to  $T = \infty$ . So, a-fortiori, (H.2) holds true [Sol.1], [Sol.2], [Sol.3], [Sol.4].
- (3) The space  $\mathbf{L}_\sigma^q(\Omega)$ ,  $q \geq 2$ , is reflexive. The adjoint operator  $-A_q^*$  in the  $\mathbf{L}_\sigma^q(\Omega) \longrightarrow \mathbf{L}_\sigma^{q'}(\Omega)$  duality pairing is given by

$$A_q^* \mathbf{f} = -P_{q'} \Delta \mathbf{f}, \quad \mathcal{D}(A_q^*) = \mathbf{W}^{2,q'}(\Omega) \cap \mathbf{W}_0^{1,q'}(\Omega) \cap \mathbf{L}_\sigma^{q'}(\Omega), \quad (5.24)$$

and thus  $-A_q^*$  generates a s.c. analytic uniformly stable semigroup  $e^{-A_q^* t}$  on  $Y^* = (\mathbf{L}_\sigma^q(\Omega))' = \mathbf{L}_\sigma^{q'}(\Omega)$ . Moreover, such  $-A_q^*$  has maximal  $L^p$ -regularity on  $Y^*$  up to  $T = \infty$ . Thus assumption (H.3) holds true.

- (4) The abstract Green map  $G$  in (H.4) is now the Dirichlet map (5.14) and the abstract Banach space  $U$  in (H.4) is now  $\mathbf{U}_q$  as defined in (5.13). The assumption (1.1) for  $G$  is given by (5.14), (5.15) with constant  $\gamma = 1/2q - \varepsilon$ . This way, assumption (H.4) holds true.
- (5) The abstract operator  $A_o$  in (H.5) is the Oseen perturbation  $A_{o,q}$  in (5.10). Thus, (1.2a) holds true with  $1 - \varepsilon = 1/2$  by (5.10). The abstract operator  $\mathcal{A}$  in (1.3) is the Oseen operator (5.11). The operator  $F$  in (1.4) is the operator in (5.17).

We next recall that [L-P-T.2] shows that one can construct explicitly, vectors  $\mathbf{p}_k, \mathbf{u}_k, \mathbf{f}_k, \mathbf{q}_k$  hence an operator  $B$  in (5.23a-b) such that the operator  $\mathbb{A}_{F,q} = A_{F,q} + B$  generates a s.c. analytic semigroup on  $e^{\mathbb{A}_{F,q} t}$  on  $\mathbf{L}_\sigma^q(\Omega)$ , which moreover is uniformly stable,

$$\left\| e^{\mathbb{A}_{F,q} t} \right\|_{\mathcal{L}(\mathbf{L}_\sigma^q(\Omega))} \leq C_{\gamma_0} e^{-\gamma_0 t}, \quad t \geq 0, \quad q \geq 2, \quad (5.25)$$



with decay rate  $\gamma_0 = |\operatorname{Re} \lambda_{N+1}| - \varepsilon$ ,  $\lambda_{N+1}$  being the first unstable eigenvalue of  $\mathcal{A}_q$ , see below (5.3c). In order to achieve (5.25), it is *critical* to use a suitable operator  $B$  as in (5.23a), i.e. the interior tangential-like control  $\mathbf{u}$ , in view of the counter example [F-L] to a required Unique Continuation Property even for the Stokes over-determined problem for  $d = 2$ . More insight is given in [L-P-T.2].

On the basis of the above considerations, in particular subject to the vectors  $\mathbf{p}_k, \mathbf{u}_k, \mathbf{f}_k, \mathbf{q}_k$  as identified in [L-P-T.4], we can apply the abstract Theorem 1.2 and obtain the next three results.

**Theorem 5.2.** *a) The operator  $\mathbb{A}_{F,q} = A_{F,q} + B$  given by (5.21) has maximal  $L^p$ -regularity on  $\mathbf{L}_\sigma^q(\Omega)$  up to  $T = \infty$ :  $\mathbb{A}_{F,q} \in M\operatorname{Reg}(L^p(0, \infty; \mathbf{L}_\sigma^q(\Omega)))$ ,  $q \geq 2$ .*

*b) The operator  $A_{F,q}$  in (5.22a) has maximal  $L^p$ -regularity on  $\mathbf{L}_\sigma^q(\Omega)$  up to  $T < \infty$ :  $A_{F,q} \in M\operatorname{Reg}(L^p(0, T; \mathbf{L}_\sigma^q(\Omega)))$ ,  $q \geq 2$ ,  $T < \infty$ .*

A companion result, established in [L-P-T.2, Theorem 11.4] describes the action of semigroup  $e^{\mathbb{A}_{F,q}t}$  on the subspace

$$\tilde{\mathbf{B}}_{q,p}^{2-2/p}(\Omega) = \left\{ \mathbf{g} \in \mathbf{B}_{q,p}^{2-2/p}(\Omega) : \operatorname{div} \mathbf{g} = 0, \mathbf{g} \cdot \nu|_\Gamma = 0 \right\} \quad (5.26a)$$

$$= \mathbf{B}_{q,p}^{2-2/p}(\Omega) \cap \mathbf{L}_\sigma^q(\Omega), \quad 1 < p < \frac{2q}{2q-1} \quad (5.26b)$$

of the Besov space

$$\mathbf{B}_{q,p}^{2-2/p}(\Omega) = (\mathbf{L}^q(\Omega), \mathbf{W}^{2,q}(\Omega))_{1-\frac{1}{p}, p} \quad (5.27)$$

defined as a real interpolation space, as a specialization of the general formula

$$\mathbf{B}_{q,p}^s(\Omega) = (\mathbf{L}^q(\Omega), \mathbf{W}^{m,q}(\Omega))_{\frac{s}{m}, p} \quad (5.28)$$

for  $m = 2, s = 2/p$ .

**Theorem 5.3.** [L-P-T.2, Theorem 11.4] *Consider now the original s.c. analytic feedback semigroup  $e^{\mathbb{A}_{F,q}t}$  on  $\mathbf{L}_\sigma^q(\Omega)$ , which is uniformly stable here by (5.25). Let  $1 < p < 2q/2q-1$ ,  $q \geq 2$ . Then,*

$$e^{\mathbb{A}_{F,q}t} : \text{continuous } \tilde{\mathbf{B}}_{q,p}^{2-2/p}(\Omega) = (\mathbf{L}_\sigma^q(\Omega), \mathcal{D}(\mathbb{A}_{F,q}))_{1-\frac{1}{p}, p} = (\mathbf{L}_\sigma^q(\Omega), \mathcal{D}(A_q))_{1-\frac{1}{p}, p} \quad (5.29)$$

$$\longrightarrow \mathbf{X}_{p,q,\sigma}^\infty = L^p(0, \infty; \mathbf{W}^{2,q}(\Omega)) \cap W^{1,p}(0, \infty; \mathbf{L}_\sigma^q(\Omega)). \quad (5.30)$$

**Case 2:** The literature reports physical situations where the volumetric force  $f$  in (5.3a), is actually replaced by  $\nabla g(x)$ ; that is,  $f$  is a conservative vector field. In this case, a solution to the stationary problem (5.3) is:  $\mathbf{y}_e \equiv 0, \pi_e = g$ . Taking  $\mathbf{y}_e \equiv 0$  (hence  $L_e(\cdot) = 0$  by (5.2)) one obtains  $A_{o,q} = 0$ ,  $\mathcal{A}_q = -A_q$  and the linearized  $w$ -equation (5.16) specializes to

$$\boldsymbol{\eta}_t + \nu_o A_q(\boldsymbol{\eta} - D\mathbf{v}) = P_q(m\mathbf{u}) \quad \text{in } \mathbf{L}_\sigma^q(\Omega). \quad (5.31)$$

In this case, as discussed in [L-P-T.2], we can enhance at will the uniform stability of the corresponding problem by the use only of the tangential feedback finite dimensional control  $\mathbf{v}$ , as acting on the entire

boundary  $\Gamma$ . Thus we can take  $\mathbf{u} \equiv 0$  in this case. With boundary feedback operator  $F$  as in (5.17) except as acting now on the whole boundary  $\Gamma$ , the resulting, feedback operator is ( $\nu_o = 1$ )

$$A_{F,q} = -A_q(I - DF) \quad (5.32)$$

$$F \cdot = \sum_{k=1}^K \langle P_N \cdot, \mathbf{p}_k \rangle_{\mathbf{W}_N^u} \mathbf{f}_k, \quad \mathbf{f}_k \in \mathcal{F} \subset \mathbf{W}^{2-1/q,q}(\Gamma), \quad (5.33)$$

The corresponding closed-loop feedback system in PDE-form is

$$\begin{cases} \boldsymbol{\eta}_t - \nu_o \Delta \boldsymbol{\eta} + \nabla \pi = 0 & \text{in } Q \\ \operatorname{div} \boldsymbol{\eta} = 0 & \text{in } Q \end{cases} \quad (5.34a) \quad (5.34b)$$

$$\begin{cases} \boldsymbol{\eta}|_{\Gamma} = F \boldsymbol{\eta} = \sum_{k=1}^K \langle P_N \boldsymbol{\eta}, \mathbf{p}_k \rangle_{\mathbf{W}_N^u} \mathbf{f}_k & \text{in } \Sigma \end{cases} \quad (5.34c)$$

On the basis of the above considerations we obtain

**Theorem 5.4.** *Let  $\mathbf{y}_e = 0$ . One can select the vectors  $\mathbf{p}_k, \mathbf{f}_k$  in (5.33) so that the feedback operator  $A_{F,q}$  in (5.32) is the generator of a s.c. analytic semigroup  $e^{A_F t}$  on  $\mathbf{L}_\sigma^q(\Omega)$ , which moreover has an arbitrary preassigned decay rate*

$$\|e^{\mathbb{A}_F t}\|_{\mathcal{L}(\mathbf{L}_\sigma^q(\Omega))} \leq M_r e^{-rt}, \quad t \geq 0. \quad (5.35)$$

$r > 0$ , preassigned. Finally,  $A_F$  has maximal  $L^p$ -regularity on  $\mathbf{L}_\sigma^q(\Omega)$ ,  $q \geq 0$ , up to  $T = \infty$ :  $A_F \in MReg(L^p(0, \infty; \mathbf{L}_\sigma^q(\Omega)))$ .

## 6 Linearization of the Boussinesq system with finite dimensional boundary feedback control: maximal $L^p$ -regularity on $\mathbf{L}_\sigma^q(\Omega) \times L^q(\Omega)$ up to $T = \infty$ .

This section is based on paper [L-P-T.4] which provides uniform stabilization near an unstable equilibrium solution  $\mathbf{y}_e$  of the nonlinear Boussinesq system  $d = 2, 3$  in closed-loop form, by virtue of a pair of finite-dimensional feedback controls  $\{v, \mathbf{u}\}$  acting on  $\{\tilde{\Gamma}, \omega\}$ . Here, see Fig 2, except that  $\mathbf{u}$  is not tangential-like in the present section,  $\tilde{\Gamma}$  is an arbitrary small connected position of the boundary  $\Gamma = \partial\Omega$  of a bounded, sufficiently smooth domain  $\Omega$  in  $\mathbb{R}^d$ ,  $d = 2, 3$ , while  $\omega$  is an arbitrary small collar supported by  $\tilde{\Gamma}$ . To this end, a critical intermediary step - of interest to the present paper - consists in studying the following linearized Boussinesq system in PDE form near  $\mathbf{y}_e$  in the variable  $\mathbf{w} = \{\mathbf{w}_f, w_h\} \in \mathbf{L}_\sigma^q(\Omega) \times L^q(\Omega) \equiv \mathbf{W}_\sigma^q(\Omega)$ :

$$\begin{cases} \frac{d\mathbf{w}_f}{dt} - \nu \Delta \mathbf{w}_f + L_e(\mathbf{w}_f) - \gamma w_h \mathbf{e}_d + \nabla \chi = m \mathbf{u} & \text{in } Q & (6.1a) \\ \frac{dw_h}{dt} - \kappa \Delta w_h + \mathbf{y}_e \cdot \nabla w_h + \mathbf{w}_f \cdot \nabla \theta_e = 0 & \text{in } Q & (6.1b) \\ \operatorname{div} \mathbf{w}_f = 0 & \text{in } Q & (6.1c) \\ \mathbf{w}_f \equiv 0, \quad w_h \equiv v & \text{on } \Sigma & (6.1d) \\ \mathbf{w}_f(0, \cdot) = \mathbf{w}_{f,0}; \quad w_h(0, \cdot) = w_{h,0} & \text{on } \Omega. & (6.1e) \end{cases}$$

with I.C.  $\{\mathbf{w}_f(0), w_h(0)\} \in \mathbf{W}_\sigma^q(\Omega) \equiv \mathbf{L}_\sigma^q(\Omega) \times L^q(\Omega)$ . Here, as in Section 5,  $m$  is the characteristic function of  $\omega$  :  $m \equiv 1$  on  $\omega$ ,  $m \equiv 0$  on  $\Omega \setminus \omega$ , while the first order Oseen perturbation  $L_e$  is defined in (5.2). The term  $\mathbf{e}_d$  denotes the vector  $(0, \dots, 0, 1)$ , while  $\kappa, \nu$  are physical constants. The original nonlinear Boussinesq system models heat transfer in a viscous incompressible heat conducting fluid. It consists of the Navier-Stokes equations (in the velocity vector) coupled with the convection-diffusion equation (for the scalar temperature). The equilibrium solution  $\mathbf{y}_e$  is obtained from the following result, the basic starting point of our analysis.

**Theorem 6.1.** *Consider the following steady-state Boussinesq system in  $\Omega$*

$$\left\{ \begin{array}{ll} -\nu \Delta \mathbf{y}_e + (\mathbf{y}_e \cdot \nabla) \mathbf{y}_e - \gamma(\theta_e - \bar{\theta}) \mathbf{e}_d + \nabla \pi_e = \mathbf{f}(x) & \text{in } \Omega \\ -\kappa \Delta \theta_e + \mathbf{y}_e \cdot \nabla \theta_e = g(x) & \text{in } \Omega \\ \operatorname{div} \mathbf{y}_e = 0 & \text{in } \Omega \\ \mathbf{y}_e = 0, \theta_e = 0 & \text{on } \partial\Omega. \end{array} \right. \quad \begin{array}{l} (6.2a) \\ (6.2b) \\ (6.2c) \\ (6.2d) \end{array}$$

Let  $1 < q < \infty$ . For any  $\mathbf{f}, g \in \mathbf{L}^q(\Omega), L^q(\Omega)$ , there exists a solution (not necessarily unique)  $(\mathbf{y}_e, \theta_e, \pi_e) \in (\mathbf{W}^{2,q}(\Omega) \cap \mathbf{W}_0^{1,q}(\Omega)) \times (W^{2,q}(\Omega) \cap W_0^{1,q}(\Omega)) \times (W^{1,q}(\Omega)/\mathbb{R})$ .

See [Ace], [A-A-C.1], [A-A-C.2] for  $q \neq 2$ . In the Hilbert space setting, see [C-F], [F-T], [V-R-R], [Kim].

**Instability of the equilibrium solution.** Instability of the equilibrium solution means that the operator  $\mathbb{A}_q$  in (6.14) below has a finite number, say  $N$  unstable eigenvalues  $\dots \leq \operatorname{Re} \lambda_{N+1} < 0 \leq \operatorname{Re} \lambda_N \leq \dots \leq \operatorname{Re} \lambda_1$ . To counteract such instability, [L-P-T.4] seeks a boundary control  $v$  acting with support  $\tilde{\Gamma}$ , and an interior control  $\mathbf{u}$  acting on  $\omega$ , of the following feedback form

$$v = \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle f_k, \quad f_k \in \mathcal{F} \subset W^{2-1/q,q}(\Gamma), \quad \mathbf{p}_k \in (\mathbf{W}_N^u)^* \subset \mathbf{L}_\sigma^{q'}(\Omega) \times L^q(\Omega), \quad q \geq 2, \quad f_k \text{ supported on } \tilde{\Gamma} \quad (6.3)$$

$$\mathbf{u} = \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle \mathbf{u}_k, \quad \mathbf{u}_k \in \hat{\mathbf{L}}_\sigma^q(\Omega), \quad \mathbf{q}_k(\mathbf{W}_N^u)^* \subset \mathbf{L}_\sigma^{q'}(\Omega) \times L^q(\Omega), \quad \mathbf{u}_k(t) \text{ supported on } \omega \quad (6.4)$$

$\hat{\mathbf{L}}_\sigma^q(\Omega) \equiv$  any (d-1)-dimensional the space obtained from  $\mathbf{L}_\sigma^q(\Omega)$  after omitting one specific co-ordinate, except the  $d^{\text{th}}$  coordinate from the vectors of  $\mathbf{L}_\sigma^q(\Omega)$ .

which, once inserted in (6.1d) and (6.1a) respectively yield the following feedback closed loop PDE-

system

$$\left\{ \begin{array}{ll} \frac{d\mathbf{w}_f}{dt} - \nu \Delta \mathbf{w}_f + L_e(\mathbf{w}_f) - \gamma w_h \mathbf{e}_d + \nabla \chi = m \left( \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle \mathbf{u}_k \right) & \text{in } Q \quad (6.5a) \\ \frac{dw_h}{dt} - \kappa \Delta w_h + \mathbf{y}_e \cdot \nabla w_h + \mathbf{w}_f \cdot \nabla \theta_e = 0 & \text{in } Q \quad (6.5b) \\ \operatorname{div} \mathbf{w}_f = 0 & \text{in } Q \quad (6.5c) \\ \mathbf{w}_f \equiv 0, \quad w_h \equiv \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle f_k & \text{on } \Sigma \quad (6.5d) \\ \mathbf{w}_f(0, \cdot) = \mathbf{w}_{f,0}; \quad w_h(0, \cdot) = w_{h,0} & \text{on } \Omega. \quad (6.5e) \end{array} \right.$$

to be further explained below. Qualitatively, the main result of the present Section 6 is: for a suitable explicit selection of the boundary vectors  $f_k$  and the interior vectors  $\mathbf{p}_k, \mathbf{q}_k, \mathbf{u}_k$  in (6.3), (6.4) the resulting boundary feedback closed loop system (6.5a-b-c-d) generates a s.c. semigroup which is analytic, uniformly stable, with generator that has maximal  $L^p$ -regularity up to  $T = \infty$  in a suitable functional setting to be identified below. Moreover,  $K = \max \{\text{geometric multiplicity of } \lambda_i, i = 1, \dots, N\}$ . The formal statements will be given in Theorems 6.4 and 6.5 below.

The Helmholtz decomposition of Section 5, and related machinery, with projection  $P_q$  applies now in the study of the linearized N-S equation (6.1a). In particular, the space  $\mathbf{L}_\sigma^q(\Omega)$  is defined in (5.7) and is the state space of the velocity vector. Next we define the coupling linear terms as bounded operators on  $L^q(\Omega)$ ,  $\mathbf{L}_\sigma^q(\Omega)$  respectively,  $q > d$ :

$$\text{[from the N-S equation]} \quad C_\gamma h = -\gamma P_q(h \mathbf{e}_d), \quad C_\gamma \in \mathcal{L}(L^q(\Omega), \mathbf{L}_\sigma^q(\Omega)), \quad (6.6)$$

$$\text{[from the heat equation]} \quad C_{\theta_e} \mathbf{z} = \mathbf{z} \cdot \nabla \theta_e, \quad C_{\theta_e} \in \mathcal{L}(\mathbf{L}_\sigma^q(\Omega), L^q(\Omega)); \quad (6.7)$$

Thus applying the Helmholtz projector  $P_q$  to the coupled linearized  $N-S$  equation (6.1a) and recalling the operator  $\mathcal{A}_q$  from (5.11) as well as (6.6), we rewrite (6.1a) abstractly as

$$\frac{d\mathbf{w}_f}{dt} - \mathcal{A}_q \mathbf{w}_f + C_\gamma w_h = P_q(m\mathbf{u}). \quad (6.8)$$

Next, with the goal of writing the abstract model for the coupled heat equation (6.1b), we introduce the following operators

- (i) the heat operator  $B_q$  in  $L^q(\Omega)$  with homogeneous Dirichlet boundary conditions

$$B_q h = -\Delta h, \quad \mathcal{D}(B_q) = W^{2,q}(\Omega) \cap W_0^{1,q}(\Omega); \quad (6.9)$$

- (ii) the first order operator  $B_{o,q}$ , corresponding to  $B_q$ :

$$B_{o,q} h = \mathbf{y}_e \cdot \nabla h, \quad \mathcal{D}(B_{o,q}) = \mathcal{D}(B_q^{1/2}) \subset L^q(\Omega); \quad (6.10)$$

- (iii) the following operator for the heat component

$$\mathcal{B}_q = -(\kappa B_q + B_{o,q}), \quad \mathcal{D}(\mathcal{B}_q) = \mathcal{D}(B_q) \subset L^q(\Omega). \quad (6.11)$$

If we take  $v = 0$  in (6.1d), the abstract version of the corresponding equation (6.1b) is, recalling (6.3)

$$\frac{dw_h}{dt} - \mathcal{B}_q w_h + \mathcal{C}_{\theta_e} \mathbf{w}_f = 0, \quad \text{for } v \equiv 0. \quad (6.12)$$

Thus, by (6.8) and (6.12), the abstract model of the uncontrolled PDE-system (6.1a-e) (that is, with  $v \equiv 0$  and  $\mathbf{u} \equiv 0$ ) is given by

$$\frac{d}{dt} \begin{bmatrix} \mathbf{w}_f \\ w_h \end{bmatrix} = \mathbb{A}_q \begin{bmatrix} \mathbf{w}_f \\ w_h \end{bmatrix} \quad \text{in } \mathbf{W}_\sigma^q(\Omega) \equiv \mathbf{L}_\sigma^q(\Omega) \times L^q(\Omega), \quad \text{with } v \equiv 0, \mathbf{u} \equiv 0 \quad (6.13)$$

where the free dynamics operator  $\mathbb{A}_q$  is given by

$$\begin{aligned} \mathbb{A}_q &= \begin{bmatrix} \mathcal{A}_q & -\mathcal{C}_\gamma \\ -\mathcal{C}_{\theta_e} & \mathcal{B}_q \end{bmatrix} : \mathbf{W}_\sigma^q(\Omega) = \mathbf{L}_\sigma^q(\Omega) \times L^q(\Omega) \supset \mathcal{D}(\mathbb{A}_q) = \mathcal{D}(\mathcal{A}_q) \times \mathcal{D}(\mathcal{B}_q) \\ &= (\mathbf{W}^{2,q}(\Omega) \cap \mathbf{W}_0^{1,q}(\Omega) \cap \mathbf{L}_\sigma^q(\Omega)) \times (W^{2,q}(\Omega) \cap W_0^{1,q}(\Omega)) \longrightarrow \mathbf{W}_\sigma^q(\Omega). \end{aligned} \quad (6.14)$$

Next, in preparation for the abstract version of the fully controlled dynamics (6.1a-e), we introduce the Dirichlet map  $D$  [L-T.4, p181] with reference to the Dirichlet boundary controlled thermal equation (6.1b)

$$\psi = Dv \iff \{\Delta\psi = 0 \text{ in } \Omega, \psi|_\Gamma = v \text{ on } \Gamma\} \quad (6.15a)$$

$$D : L^q(\Gamma) \longrightarrow W^{1/q,q}(\Omega) \subset \mathcal{D}(B_q^{1/2q-\varepsilon}) \text{ continuously} \quad (6.15b)$$

$$B_q^{1/2q-\varepsilon} D \in \mathcal{L}(L^q(\Gamma), L^q(\Omega)), \quad (6.15c)$$

counterpart of (5.15). Accordingly, we rewrite Eq (6.1b) as

$$\frac{dw_h}{dt} - \kappa \Delta(w_h - Dv) + \mathbf{y}_e \cdot \nabla w_h + \mathbf{w}_f \cdot \nabla \theta_e = 0 \text{ in } Q \quad (6.16)$$

where  $[w_h - Dv]_\Gamma = 0$  by (6.1d), (6.15a). Accordingly, invoking the operators  $B_q, \mathcal{C}_{\theta_e}$  from (6.9), (6.7), we can rewrite Eq (6.16) abstractly as

$$\frac{dw_h}{dt} + \kappa B_q(w_h - Dv) + B_{o,q} w_h + \mathcal{C}_{\theta_e} \mathbf{w}_f = 0. \quad (6.17)$$

Thus, setting  $\mathbf{w} = \{\mathbf{w}_f, w_h\}$  and combining Eqts (6.8) with Eq (6.17), we obtain the abstract model of the controlled PDE-linearized Boussinesq system (6.1a-e):

$$\frac{d\mathbf{w}}{dt} = \frac{d}{dt} \begin{bmatrix} \mathbf{w}_f \\ w_h \end{bmatrix} = \begin{bmatrix} \mathcal{A}_q & -\mathcal{C}_\gamma \\ -\mathcal{C}_{\theta_e} & \mathcal{B}_q \end{bmatrix} \begin{bmatrix} \mathbf{w}_f \\ w_h \end{bmatrix} + \begin{bmatrix} P_q(m\mathbf{u}) \\ \kappa B_{ext,q} Dv \end{bmatrix}. \quad (6.18)$$

where  $B_{ext,q}$  extends  $B_q$  in (6.9) from  $L^q(\Omega) \rightarrow [\mathcal{D}(B_q^*)]'$ .

## 6.1 Properties of the operator $\mathbb{A}_q$ in (6.14).

The following result collects basic properties of the operator  $\mathbb{A}_q$ . It is essentially a corollary of Theorems A.3 and A.4 in [L-P-T.4, Appendix A] for the Oseen operator  $\mathcal{A}_q$ , as similar results hold for the operator  $\mathcal{B}_q$ , while the operator  $\mathcal{C}_\gamma$  and  $\mathcal{C}_{\theta_e}$  in the definition (6.14) of  $\mathbb{A}_q$  are bounded operators, see (6.6), (6.7).

**Theorem 6.2.** *With reference to the Operator  $\mathbb{A}_q$  in (6.14), the following properties hold true:*

(i)  $\mathbb{A}_q$  is the generator of strongly continuous analytic semigroup on  $\mathbf{W}_\sigma^q(\Omega)$  for  $t > 0$ ;

(ii)  $\mathbb{A}_q$  possesses the maximal  $L^p$ -regularity property on  $\mathbf{W}_\sigma^q(\Omega)$  over a finite interval:

$$\mathbb{A}_q \in MReg(L^p(0, T; \mathbf{W}_\sigma^q(\Omega))), \quad 0 < T < \infty. \quad (6.19)$$

(iii)  $\mathbb{A}_q$  has compact resolvent on  $\mathbf{W}_\sigma^q(\Omega)$ .

Next, we impose that the pair  $\{v, \mathbf{u}\}$  of controls be given in feedback form as in (6.3), (6.4) [L-P-T.4] repeated here as

$$v = F \cdot = \sum_{k=1}^K \langle P_N \cdot, \mathbf{p}_k \rangle f_k, \quad f_k \in \mathcal{F} \subset W^{2-1/q, q}(\Gamma), \quad (6.20)$$

$$\begin{aligned} \mathbf{p}_k &\in [(\mathbf{W}_\sigma^q(\Omega))_N^u]^* \subset \mathbf{L}_\sigma^{q'}(\Omega) \times L^q(\Omega), \quad q \geq 2, f_k \text{ supported on } \tilde{\Gamma}. \\ \mathbf{u} = J \cdot &= P_q \left( m \sum_{k=1}^K \langle P_N \cdot, \mathbf{q}_k \rangle \mathbf{u}_k \right), \quad \mathbf{q}_k \in [(\mathbf{W}_\sigma^q(\Omega))_N^u]^* \subset \mathbf{L}_\sigma^{q'}(\Omega) \times L^q(\Omega) \quad \mathbf{u}_k \text{ supported on } \omega, \end{aligned} \quad (6.21)$$

so that  $F$  and  $J$  are both bounded operators

$$F \in \mathcal{L}(\mathbf{W}_\sigma^q(\Omega), \mathbf{L}^q(\Gamma)); \quad J \in \mathcal{L}(\mathbf{W}_\sigma^q(\Omega), \mathbf{L}_\sigma^q(\Omega)) \quad (6.22)$$

In (6.20), (6.21),  $\langle \cdot, \cdot \rangle$  denotes the duality pairing  $(\mathbf{W}_\sigma^q(\Omega))_N^u \rightarrow [(\mathbf{W}_\sigma^q(\Omega))_N^u]^*$  and the vectors  $\mathbf{p}_k, \mathbf{q}_k \in [(\mathbf{W}_\sigma^q(\Omega))_N^u]^*$ . Substituting (6.20), (6.21) into (6.18) yields the linearized  $\mathbf{w}$ -problem in feedback form

$$\frac{d\mathbf{w}}{dt} = \begin{bmatrix} \mathcal{A}_q & -\mathcal{C}_\gamma \\ -\mathcal{C}_{\theta_e} & \mathcal{B}_q \end{bmatrix} \mathbf{w} + \begin{bmatrix} P_q \left( m \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{q}_k \rangle \mathbf{u}_k \right) \\ \kappa B_{ext, q} D \left( \sum_{k=1}^K \langle P_N \mathbf{w}, \mathbf{p}_k \rangle f_k \right) \end{bmatrix} \quad (6.23)$$

or

$$\frac{d\mathbf{w}}{dt} = \begin{bmatrix} \mathcal{A}_q & -\mathcal{C}_\gamma \\ -\mathcal{C}_{\theta_e} & \mathcal{B}_q \end{bmatrix} \mathbf{w} + \begin{bmatrix} J\mathbf{w} \\ \kappa B_{ext, q} D F \mathbf{w} \end{bmatrix} \equiv \mathbb{A}_{F, q} \mathbf{w} \quad (6.24)$$

Eq (6.23) is the abstract version of the boundary feedback problem (6.5a-d) in PDE form. Recalling (5.11) for  $\mathcal{A}_q$  and (6.11) for  $\mathcal{B}_q$ , rewrite (6.24) with  $\nu = \kappa = 1, \mathbf{w} = [\mathbf{w}_1, \mathbf{w}_2] \in \mathbf{W}_\sigma^q(\Omega)$ ,

$$\frac{d\mathbf{w}}{dt} = \begin{bmatrix} -A_q \mathbf{w}_1 \\ -B_q \left( \begin{bmatrix} 0 \\ I_2 \end{bmatrix} + DF \right) \mathbf{w} \end{bmatrix} + \begin{bmatrix} -A_{o, q} & -\mathcal{C}_\gamma \\ -\mathcal{C}_{\theta_e} & -B_{o, q} \end{bmatrix} \mathbf{w} + \begin{bmatrix} J\mathbf{w} \\ 0 \end{bmatrix} \equiv \mathbb{A}_{F, q} \mathbf{w} \quad (6.25)$$

$$\frac{d\mathbf{w}}{dt} = \mathbb{A}_{F, q} \mathbf{w} = \hat{\mathbb{A}}_{F, q} \mathbf{w} + \Pi \mathbf{w} \quad (6.26)$$

$$\hat{\mathbb{A}}_{F,q} \mathbf{w} = \begin{bmatrix} -A_q \mathbf{w}_1 \\ -B_q \left( \begin{bmatrix} 0 \\ I_2 \end{bmatrix} + DF \right) \mathbf{w} \end{bmatrix}, \quad \Pi \mathbf{w} = \begin{bmatrix} -A_{o,q} & -\mathcal{C}_\gamma \\ -\mathcal{C}_{\theta_e} & -B_{o,q} \end{bmatrix} \mathbf{w} + \begin{bmatrix} J\mathbf{w} \\ 0 \end{bmatrix}. \quad (6.27)$$

$$\mathcal{D}(\hat{\mathbb{A}}_{F,q}) = \left\{ \mathbf{w} = \begin{bmatrix} \mathbf{w}_1 \\ \mathbf{w}_2 \end{bmatrix} \in \mathbf{W}_\sigma^q(\Omega) = \mathbf{L}_\sigma^q(\Omega) \times L^q(\Omega) : \mathbf{w}_1 \in \mathcal{D}(A_q), \left( \begin{bmatrix} 0 \\ I_2 \end{bmatrix} + DF \right) \mathbf{w} \in \mathcal{D}(B_q) \right\} \quad (6.28)$$

$$\mathcal{D}(\Pi) = \mathcal{D}(A_{o,q}) \times \mathcal{D}(B_{o,q}). \quad (6.29)$$

## 6.2 Maximal $L^p$ -regularity on $\mathbf{W}_\sigma^q(\Omega)$ of the linearized feedback operator $\mathbb{A}_{F,q}$ up to $T = \infty$ .

With reference to the operator  $\mathbb{A}_{F,q}$  in (6.24) or (6.25), consider the following abstract dynamics

$$\chi_t = \mathbb{A}_{F,q} \chi + q, \quad \chi(0) = 0 \text{ in } \mathbf{W}_\sigma^q(\Omega) \quad (6.30)$$

$$\chi(t) = \int_0^t e^{\mathbb{A}_{F,q}(t-s)} q(s) ds \quad (6.31)$$

The main theorem of the present Section 6 is

**Theorem 6.3.** *With reference to the bounded operator  $F$  and  $J$  in (6.20), (6.21), let  $T < \infty$ . Then, the operator  $\mathbb{A}_{F,q}$  in (6.25) has maximal  $L^p$ -regularity on  $\mathbf{W}_\sigma^q(\Omega)$  up to  $T < \infty$ ; that is,*

$$(L\chi)(t) = \int_0^t e^{\mathbb{A}_{F,q}(t-s)} \chi(s) ds \quad (6.32)$$

continuous:

$$L^p(0, T; \mathbf{W}_\sigma^q(\Omega)) \longrightarrow L^p(0, T; \mathcal{D}(\mathbb{A}_{F,q})) \quad (6.33)$$

so that continuously

$$\chi \in L^p(0, T; \mathcal{D}(\mathbb{A}_{F,q})) \cap W^{1,p}(0, T; \mathbf{W}_\sigma^q(\Omega)). \quad (6.34)$$

## 6.3 The problem of feedback stabilization of the $w$ -dynamics (6.23).

We return to the basic preliminary assumption of instability of the equilibrium solution, that is of the operator  $\mathbb{A}_q$  in (6.14), see below (6.2). The following result is proved in [L-P-T.4, Theorem 2.1].

**Theorem 6.4.** *With reference to the closed-loop feedback abstract dynamics  $\mathbf{w}$  on (6.23), whose PDE version is given by the system (6.5a-e), we can select (in infinitely many ways) boundary vectors  $f_k \in W^{2-1/q,q}(\tilde{\Gamma})$  with support on  $\tilde{\Gamma}$ , interior vectors  $\mathbf{u}_k \in \hat{\mathbf{L}}_\sigma^q(\omega)$  with support  $\omega$  as well as vectors  $\mathbf{p}_k, \mathbf{q}_k \in [(\mathbf{W}_\sigma^q(\Omega))_N^u]^*$  so that the s.c. analytic semigroup  $e^{\mathbb{A}_{F,q}t}$  is uniformly stable on  $\mathbf{W}_\sigma^q(\Omega)$*

$$\left\| e^{\mathbb{A}_{F,q}t} \right\|_{\mathcal{L}(\mathbf{W}_\sigma^q(\Omega))} \leq C e^{-\gamma_1 t}, \quad t \geq 0 \quad (6.35)$$

with constant  $\gamma_1$ , satisfying  $\operatorname{Re} \lambda_{N+1} < \gamma_1 < 0$ . Recall (6.4) for  $\hat{\mathbf{L}}_\sigma^q(\Omega)$ ; i.e.  $\mathbf{u}_k$  is  $(d-1)$ -dimensional.

**Theorem 6.5.** *Under the setting of Theorem 6.4, we have that Theorem 6.3 holds true up to  $T = \infty$ :*

$$\mathbb{A}_{F,q} \in MReg(L^p(0, \infty; \mathbf{W}_\sigma^q(\Omega))). \quad (6.36)$$

*Proof of Theorem 6.3.* We return to  $\mathbb{A}_{F,q}$  as given in (6.26)  $\mathbb{A}_{F,q} = \hat{\mathbb{A}}_{F,q} + \Pi$ , where  $\Pi$  is a benign operator regarding the issue of maximal  $L^p$ -regularity as it involves: the bounded operator  $J \in \mathcal{L}(\mathbf{W}_\sigma^q(\Omega), \mathbf{L}_\sigma^q(\Omega))$  in (6.21), the bounded operators  $\mathcal{C}_\gamma \in \mathcal{L}(L^q(\Omega); \mathbf{L}_\sigma^q(\Omega))$  and  $\mathcal{C}_{\theta_e} \in \mathcal{L}(\mathbf{L}_\sigma^q(\Omega), L^q(\Omega))$  in (6.6), (6.7); the operator  $A_{o,q}$  which is  $A_q^{1/2}$ -bounded, see (5.10); and the operator  $B_{o,q}$  which is simply  $B^{1/2}$ -bounded, see (6.10). Thus, it suffices (it is equivalent) to show that  $\hat{\mathbb{A}}_{F,q}$  in (6.27), (6.28) has maximal  $L^p$ -regularity on  $\mathbf{W}_\sigma^q(\Omega)$  up to  $T < \infty$ :  $\hat{\mathbb{A}}_{F,q} \in MReg(L^p(0, T; \mathbf{W}_\sigma^q(\Omega)))$ . We rewrite  $\hat{\mathbb{A}}_{F,q}$  as

$$\hat{\mathbb{A}}_{F,q} \mathbf{w} = \begin{bmatrix} -A_q \mathbf{w}_1 = -A_q \begin{bmatrix} I_1 \\ 0 \end{bmatrix} \mathbf{w} \\ -B_q \left( \begin{bmatrix} 0 \\ I_2 \end{bmatrix} + DF \right) \mathbf{w} \end{bmatrix} \quad (6.37)$$

with domain as in (6.28). To this end, we cannot apply directly Theorem 1.2. Instead, we shall work with the adjoint  $\hat{\mathbb{A}}_{F,q}^*$ , as in the proof of Theorem 1.2. For  $\mathbf{w} \in \mathbf{W}_\sigma^q(\Omega)$  and  $v_2 \in [\mathcal{D}(B_q^*)]'$ , we compute the adjoint of  $B_q DF$ :

$$\langle B_q DF \mathbf{w}, v_2 \rangle_{L^q(\Omega)} = \langle \mathbf{w}, F^* D^* B_q^* v_2 \rangle_{\mathbf{W}_\sigma^q(\Omega)}. \quad (6.38)$$

Thus, for  $\begin{bmatrix} v_1 \\ v_2 \end{bmatrix} \in \mathcal{D}(\hat{\mathbb{A}}_{F,q}^*)$ , we have

$$\hat{\mathbb{A}}_{F,q}^* \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} -A_q^* & 0 \\ 0 & -B_q^* \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} + F^* D^* B_q^* v_2. \quad (6.39)$$

By (6.15c), we have  $D^* B_q^{*\gamma} \in \mathcal{L}(L^{q'}(\Omega), L^{q'}(\Gamma))$ ,  $\gamma = 1/2q - \varepsilon$ , and so  $F^* D^* B_q^* = (F^* D^* B_q^{*\gamma}) B_q^{*1-\gamma}$ , where  $F^* \in \mathcal{L}(L^{q'}(\Gamma), \mathbf{W}_\sigma^{q'}(\Omega))$ . Hence, for the perturbation in (6.38) we estimate

$$\|F^* D^* B_q^* v_2\| = \|(F^* D^* B_q^{*\gamma}) B_q^{*1-\gamma} v_2\| \leq C \|B_q^{*1-\gamma} v_2\| \quad (6.40)$$

$$\leq C \left[ \|B_q^{*1-\gamma} v_2\| + \|A_q^{*1-\gamma} v_1\| \right] \quad (6.41)$$

$$\leq C \left\| \begin{bmatrix} A_q^* & 0 \\ 0 & B_q^* \end{bmatrix}^{1-\gamma} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} \right\| \quad (6.42)$$

and  $F^* D^* B_q^*$  is  $\begin{bmatrix} A_q^* & 0 \\ 0 & B_q^* \end{bmatrix}^{1-\gamma}$ -bounded,  $1 - \gamma < 1$ , where  $\begin{bmatrix} A_q^* & 0 \\ 0 & B_q^* \end{bmatrix}$  has maximal  $L^p$ -regularity on  $\mathbf{W}_\sigma^{q'}(\Omega)$  up to  $T < \infty$ . We now proceed as in the proof of Theorem 1.2, that is, Step 3. By a known perturbation result [Dore.2, Theorem 6.2, p 311] or [K-W.1, Remark 1i, p 426 for  $\beta = 1$ ] we conclude from (6.39) that  $\hat{\mathbb{A}}_{F,q}^*$ - and hence  $\mathbb{A}_{F,q}^*$  in (6.24) has maximal  $L^p$ -regularity on  $\mathbf{W}_\sigma^{q'}(\Omega)$  up to  $T < \infty$ . We finally conclude that  $\mathbb{A}_{F,q}$  has maximal  $L^p$ -regularity in  $\mathbf{W}_\sigma^q(\Omega)$  up to  $T < \infty$  via Step 4 of the proof of Theorem 1.2, as  $\mathbf{W}_\sigma^q(\Omega)$  is UMD.  $\square$



*Proof of Theorem 6.5.* Since, under the setting of Theorem 6.4, the s.c. analytic semigroup  $e^{\mathbb{A}_{F,q}t}$  is also uniformly stable on  $W_\sigma^q(\Omega)$ , see (6.35), then maximal  $L^p$ -regularity holds up to  $T = \infty$ .  $\square$

## Acknowledgments

1. The authors wish to thank Giovanni Dore, University of Bologna, for pointing out that a family of operators is R-bounded if and only if the dual family is R-bounded holds true in UMD spaces, as given by reference [H-N-V-W], thus going beyond the special case in [K-W.2] for  $L^q(\Omega)$ - spaces.
2. The research of I. L. and R. T. was partially supported by the National Science Foundation under grant DMS-1713506. The research of B. P. was partially supported by the ERC advanced grant 668998 (OCLOC) under the EUs H2020 research program.

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