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# **Evolution of splashback boundaries and gaseous outskirts: insights from mergers of self-similar galaxy clusters**

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#### **ABSTRACT**

A self-similar spherical collapse model predicts a dark matter (DM) splashback and accretion shock in the outskirts of galaxy clusters while missing a key ingredient of structure formation – processes associated with mergers. To fill this gap, we perform simulations of merging self-similar clusters and investigate their DM and gas evolution in an idealized cosmological context. Our simulations show that the cluster rapidly contracts during the major merger and the splashback radius  $r_{\rm sp}$  decreases, approaching the virial radius  $r_{\rm vir}$ . While in the self-similar model  $r_{\rm sp}$  depends on a smooth mass accretion rate parameter  $\Gamma_{\rm s}$ , our simulations show that in the presence of mergers,  $r_{\rm sp}$  responds to the changes in the total mass accretion rate  $\Gamma_{\rm vir}$ , which accounts for both mergers and smooth accretion. The scatter of the  $\Gamma_{\rm vir} - r_{\rm sp}/r_{\rm vir}$  relation indicates a generally low  $\Gamma_{\rm s} \sim 1$  in clusters in cosmological simulations. In contrast to the DM, the hot gaseous atmospheres significantly expand by the merger-accelerated (MA-) shocks formed when the runaway merger shocks overtake the outer accretion shock. After a major merger, the MA-shock radius is larger than  $r_{\rm sp}$  by a factor of up to  $\sim 1.7$  for  $\Gamma_{\rm s} \lesssim 1$  and is  $\sim r_{\rm sp}$  for  $\Gamma_{\rm s} \gtrsim 3$ . This implies that (1) mergers could easily generate the MA-shock-splashback offset measured in cosmological simulations, and (2) the smooth mass accretion rate is small in regions away from filaments where MA-shocks reside. We further discuss the shapes of the DM haloes, various shocks, and contact discontinuities formed at different epochs of the merger, and the ram-pressure stripping in cluster outskirts.

**Key words:** hydrodynamics – shock waves – methods: numerical – galaxies: clusters: intracluster medium – dark matter – large-scale structure of Universe.

#### 1 INTRODUCTION

Galaxy clusters are important laboratories for studying galaxy and large-scale structure formation theories (see e.g. Kravtsov & Borgani 2012; Vikhlinin et al. 2014 for reviews). Observations reveal that the properties of stellar and hot gaseous components of many clusters are quite regular within  $r_{500}$ , especially outside of the innermost region (e.g.  $\gtrsim 0.15 r_{500}$ ). This regularity is reflected in the low scatter of scaling relations between the cluster's observables, e.g. X-ray luminosity, temperature, and Sunyaev–Zel'dovich (SZ) decrement (see Kravtsov & Borgani 2012 and Giodini et al. 2013 for reviews), providing a basis for cluster cosmology (see e.g. Vikhlinin et al. 2009; Mantz et al. 2014; and also Allen, Evrard & Mantz 2011; Pratt et al. 2019).

From a theoretical point of view, the baseline expectation of cluster's scaling relations and other properties (e.g. entropy profiles; see Tozzi & Norman 2001; Voit, Kay & Bryan 2005) is provided by the self-similar spherical collapse model (Gunn & Gott 1972;

Fillmore & Goldreich 1984; Bertschinger 1985). Several predictions of the model have been qualitatively confirmed by cosmological simulations of clusters, including the existence of caustics in the collisionless dark matter (DM) density distribution and accretion shock at the boundary of the intracluster medium (ICM). However, a key non-linear process accompanying the cluster formation, namely mergers of clusters, is missing. This caveat significantly limits the utility of the self-similar model in interpreting observations and/or cosmological simulations.

In the hierarchical formation scenario, both mergers and smooth accretion contribute significantly to the cluster mass assembly (e.g. Genel et al. 2010). By definition, the mergers involve accretion of objects having comparable or moderately smaller masses and therefore are accompanied by (1) non-linear perturbations of mass and potential distributions on a cluster dynamical time-scale and (2) supersonic gas flows inside the ICM. The former results in significant matter redistributions, while the latter creates shocks and contact discontinuities (CDs) often observed in merging clusters (see Markevitch & Vikhlinin 2007 for a review).

Since mergers involve highly non-linear processes, their theoretical study requires numerical modeling. Both cosmological and idealized (non-cosmological) merger simulations have been used extensively in such studies in the past. The former gives an opportunity

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 $<sup>^{1}</sup>$ The  $r_{500}$  represents the radius within which the mass density is 500 times the critical density at the cluster redshift.

to understand the merger process in 'realistic' cosmological contexts (e.g. Nagai & Kravtsov 2003; Paul et al. 2011; Iapichino, Federrath & Klessen 2017; Zinger et al. 2018b; Wittor et al. 2019; Shi et al. 2020) and study the mergers' statistical properties (e.g. merger rate, see Fakhouri & Ma 2008; pairwise-velocity distribution, see Dolag & Sunyaev 2013). The idealized simulations, in contrast, offer a unique way to study merger processes in a controlled setting (e.g. Roettiger, Loken & Burns 1997; Ricker 1998; Poole et al. 2006). The clusters therein are usually assumed to be spherical and in equilibrium in the initial conditions and the parameters of the merger, such as its mass ratio and orbital parameters, can be set by hand (i.e. the merger process is isolated from the cluster formation history). Therefore, this approach is suitable for (1) modeling individual observed clusters (e.g. the 'Bullet' and 'El Gordo' clusters; see Springel & Farrar 2007; Zhang, Yu & Lu 2015, respectively) to figure out their merger configurations; and (2) exploring some aspects of the merger physics, including, e.g. merger-driven gas mixture (e.g. Mitchell et al. 2009; ZuHone 2011; Vijayaraghavan & Ricker 2015), baryonic effects on merger time-scale (Zhang, Yu & Lu 2016).

Although cluster mergers have been extensively studied using the aforementioned approaches, they mostly focused on the inner regions of the clusters, within the virial radius  $r_{\rm vir}$  ( $\simeq 2r_{500}$ ). The role of the mergers in shaping the cluster outskirts, however, is still poorly understood. Both simulation approaches have limitations in this respect. In cosmological simulations, the spatial resolution is usually poor in low-density regions far from the cluster centre (e.g.  $\gtrsim r_{\rm vir}$ ). It is also non-trivial to trace an individual merger event to study how it affects the cluster outskirts, where the environment is highly chaotic. The idealized merger simulations, however, face a more serious issue: the initial density profiles of the clusters are always oversimplified at  $r \gtrsim r_{\rm vir}$  (see e.g. Kazantzidis, Magorrian & Moore 2004a). The smooth mass accretion that might be dynamically important in the outer cluster regions is also absent in such models.

A plethora of physical processes accompanying mergers can affect the properties of DM and gas in the cluster outskirts. For example, Zhang et al. (2019b) found that bow shocks that arise during mergers detach from the merging clusters that initially drive them and propagate all the way to larger cluster radii. A 'habitable zone' populated by these runaway merger shocks exists beyond  $\sim r_{500}$  (see Zhang et al. 2020 for an observational example). These runaway shocks eventually collide with the external accretion shock and dramatically change the morphology of the ICM (Zhang et al. 2020a). Specifically, a salient forward shock, known as the Mergeraccelerated Accretion shock (or MA-shock), is formed in such process. It overtakes and replaces the accretion shock as the new outer boundary of the ICM. A giant CD is also expected to appear near the cluster virial radius. In observations, such a CD candidate has been recently identified in the outskirts of the Perseus cluster (Walker et al. 2020; Zhang et al. 2020b). However, we note that the theoretical scenario described above is mostly obtained from the onedimensional (1D) models and simulations. For robust predictions, it is important to perform three-dimensional (3D) simulations and to quantitatively explore how a merger shapes the cluster's atmosphere near and beyond the virial radius.

Cluster mergers also affect the DM distribution in the cluster periphery. The radius of the outermost DM caustic, or the splashback radius  $r_{\rm sp}$ , has been proposed as a new definition of the boundary of the DM haloes (e.g. Adhikari, Dalal & Chamberlain 2014; Diemer & Kravtsov 2014; More, Diemer & Kravtsov 2015). These and subsequent studies of cosmological simulations showed that the splashback radius strongly depends on the total mass accretion rate (MAR; see also Diemer et al. 2017; Mansfield, Kravtsov &

Diemer 2017) that includes mass accreted from both mergers and periods of smooth accretion. Calculations based on the self-similar model predict that a stronger smooth accretion tends to diminish the splashback radius (Fillmore & Goldreich 1984; Adhikari et al. 2014; Shi 2016). It shows the same trend to that of the cosmological simulation results, but the quantitative dependence predicted by the self-similar model is considerably stronger than found in the simulations (Diemer et al. 2017). It is unclear what role the mergers, in particular, major mergers play in this process.

In addition, cosmological simulations show that the boundaries of the gaseous and DM haloes are at different radii in galaxy clusters. The accretion shock radii are on average larger than the splashback radii by a factor of ≃1.5–2.5 (Molnar et al. 2009; Lau et al. 2015; Zinger et al. 2018a; Walker et al. 2019; Aung, Nagai & Lau 2020). This is very different from the self-similar model predictions (Shi 2016), where the splashback and external shock radii are very close to each other. Recently, Zhang et al. (2020a) suggested that the mergers and the formation of MA-shocks may cause an offset between external shock and splashback boundary. However, their arguments have not been directly tested yet in full 3D simulations.

In this study, we propose a novel approach to numerically explore the effects of mergers on the outskirts of clusters, whereby selfsimilar profiles are used to initialize clusters before the merger. Such idealized cosmological simulations have several advantages when studying how and to what extent the mergers reshape the outskirts of galaxy clusters. First, the self-similar solution provides a selfconsistent initial setup from the core to the outer region. The smooth accretion, as well as accretion shocks, are automatically included in the model. It thus provides a unique chance to investigate an individual merger event in a setting closest to the real cosmological conditions. Secondly, the splashback radius is well defined in our undisturbed cluster, providing an important baseline for quantifying how it changes during the merger. In this sense, our simulations bridge the spherical self-similar models and cosmological simulations of cluster formation and shed light on how non-linear processes during mergers redistribute mass and break the self-similarity of the initial setup.

In our study, we will distinguish the smooth MAR of galaxy clusters that corresponds to the accretion-rate parameter involved in the self-similar model ( $\Gamma_s$ ; see equation 1; and also Shi 2016) and a conventional total MAR ( $\Gamma_{\rm vir}$ ; see equation 3) commonly used in the literature (e.g. Diemer & Kravtsov 2014; Mansfield et al. 2017). The latter includes contributions of the smooth accretion, mergers, and pseudo-evolution of mass (e.g. Diemer, More & Kravtsov 2013). We find that the mergers (particularly major mergers) and the smooth accretion play very different roles in shaping properties of galaxy clusters and argue that distinguishing their effects is crucial for studying the evolution of the splashback and accretion/MA- shock radii and their dependence on the MAR.

This paper is organized as follows. In Section 2, we describe the model and simulation method adopted in this work. The main results from our simulations are presented in Sections 3 and 4, where we investigate how the DM haloes and gaseous atmospheres evolve in the merging clusters, respectively. In Section 5, we discuss the implications of our merger simulations in galaxy clusters (i.e. constraints on the smooth MAR) and their member galaxies (i.e.  $r_{\rm sp}$  measurements in galaxy observations and ram-pressure stripping at large cluster radii). In Section 6, we summarize our conclusions.

Throughout the paper, we assume an Einstein–de Sitter universe with  $\Omega_{\rm m}=1$ ,  $\Omega_{\rm b}=0.1$ , and  $H_0=70\,{\rm km\,s^{-1}\,Mpc^{-1}}$ , unless stated otherwise. Correspondingly, the virial radius  $r_{\rm vir}$  is defined as the radius enclosing the density contrast of  $\Delta_{\rm vir}=18\pi^2$  relative to

the mean density of the universe,  $\rho_m$ , which in this cosmology is equal to the critical density of the universe  $\rho_c = \rho_m$ . Although the adopted Einstein–de Sitter cosmology is far from the parameters describing the real universe, the focus in this study is on the universal dynamical processes accompanying mergers of clusters with the structure predicted by the self-similar model. The results apply to mergers in any cosmological model, and the use of the Einstein–de Sitter cosmological model is appropriate.

# 2 SIMULATING MERGERS OF SELF-SIMILAR CLUSTERS

We simulate mergers between two self-similar galaxy clusters in the cosmological background. A detailed description of our simulation method is presented in this section. Specifically, we show how we model self-similar clusters and set the initial conditions for the merger simulations in Sections 2.1 and 2.2, respectively.

All our simulations are performed using the moving-mesh code AREPO (Springel 2010; Weinberger, Springel & Pakmor 2020) that provides advantages of high computational efficiency and sufficient treatments of the fluid instabilities and discontinuities. In these simulations, we ignore any astrophysical non-adiabatic processes (e.g. radiative cooling) and focus solely on the gravitational and hydrodynamic effects. We stored 40 snapshots in each run to guarantee a sufficient time resolution for our post-analysis. They are uniformly distributed in the cosmic time with the time interval of  $\simeq 0.2 \, \mathrm{Gyr}$ .

### 2.1 Modeling self-similar clusters

Each cluster in our simulations is initially modeled by a self-similar solution of the spherical collapse model (Fillmore & Goldreich 1984; Bertschinger 1985; Adhikari et al. 2014; Shi 2016). In such solutions, a cluster is determined by two parameters: a constant smooth MAR parameter  $\Gamma_s$  and an initial turnaround radius  $r_{ta}(z_{ini})$  at the starting redshift  $z_{ini}$  of the simulation. The evolution of the cluster mass M(z) with redshift z therefore follows

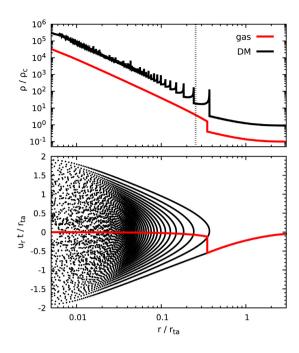
$$M(z) = M(z_{\rm ini}) \left[ \frac{a(z)}{a(z_{\rm ini})} \right]^{\Gamma_{\rm s}}, \tag{1}$$

where a(z) is the scale factor. We note that in equation (1), the cluster grows through only smooth mass accretion (no contribution from mergers at all). Fig. 1 shows an example of the scaled gas and DM density (and radial velocity) profiles in a cluster with  $\Gamma_s=1$ . The cluster's self-similarity guarantees the phase-space distribution of its DM particles is fully determined in the model (see the bottom panel in Fig. 1 and more technical details in Appendix A1). In all our simulations, we fix the isothermal sound speed of the intergalactic medium to  $30\,\mathrm{km\,s^{-1}}$ , much lower than that of the ICM.

The 1D self-similar model accounts for only radial components of both the DM and gas velocities. For the initial conditions in 3D simulations, we artificially include an additional tangential velocity for the DM particles as

$$u_{\rm t}(r) = \sqrt{\eta \frac{GM(r_{\rm ta})}{r_{\rm ta}} \left[ \zeta(r/r_{\rm ta})^2 + 1 - \zeta \right]}, \tag{2}$$

where G is the gravitational constant,  $M(r_{ta})$  represents the cluster mass within  $r_{ta}$  at redshift  $z_{ini}$ , to avoid radial-orbit instabilities that may develop otherwise (Antonov 1973; Vogelsberger et al. 2009). The velocity direction of each particle is set randomly in the tangential plane so that the total angular momentum of the DM



**Figure 1.** Top panel: DM and gas density profiles (in units of the critical density of the universe  $\rho_c$ ) in the self-similar spherical collapse model with  $\Gamma_s$  = 1. The vertical dotted line indicates the cluster virial radius. Bottom panel: Phase-space distribution (radius versus radial velocity) of the DM particles overlaid with the gas radial velocity profile (red line). This figure shows an example of the initial profiles of our self-similar cluster implemented in the simulations (see Section 2.1).

halo is zero. In equation (2), the magnitude and the radial dependence of the tangential velocities are controlled by two parameters  $\eta$  and  $\zeta$ . The former simply sets the velocity scale, such that for  $\eta=1$ , it is of the order of the circular velocity of the infalling halo  $\sqrt{GM/r}$  at the truncation radius  $r_{\rm ta}$ . The latter parameter  $\zeta$  sets the suppression level of the tangential anisotropy in the core. The limiting cases of  $\zeta$  equal to 0 and 1 correspond to the flat anisotropy profile and the tangential component linearly increasing with radius, respectively. We fix  $\eta=0.2$  and  $\zeta=0.9$  when  $r< r_{\rm ta}$  otherwise  $\zeta=0$  in our simulations. We note in passing that this parameterization is rather arbitrary and only serves the purpose of introducing mild anisotropy needed to avoid the development of the instability. We have tested different parameter settings (e.g.  $\eta=0.1$  and 0.3 and/or  $\zeta=0.8$  when  $r< r_{\rm ta}$ ) and confirmed that the simulation results do not change significantly.

Fig. 2 compares the evolution of the isolated clusters with (top panels) and without (bottom panels) the initial DM tangential velocity. One can see that the DM haloes evolve into very different shapes in these two cases (also cf. fig. 4 in Vogelsberger et al. 2009). The initial tangential velocity is important for maintaining the cluster's stability, particularly in the outer regions. In the test run  $\Gamma 1$  (top panels; for simulation runs, see Table 1), the scaled gas profile evolves closely to the self-similar prediction throughout the simulation. The DM profile shows some deviations from self-similarity during the early stages, but the evolution becomes close to self-similar prediction after  $t \simeq 5$  Gyrs. The early deviations from self-similarity are mainly due to the added tangential velocities of the DM particles and the corresponding dynamical adjustment at the start of the simulation.

The cluster's outermost DM caustic and accretion shock are well resolved in this run. The location of the splashback radius matches the analytical prediction during later stages of evolution

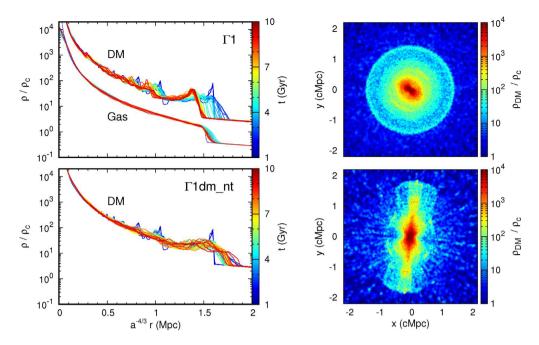


Figure 2. Comparison of the isolated clusters evolved in the simulations Γ1 (with initial tangential velocity components; top panels) and Γ1dm\_nt (without initial tangential velocity components; bottom panels). Left-hand panels show their DM (solid lines) and gas (dotted lines) density profiles. Line colour encodes the cosmic time. The radius is scaled by  $a(t)^{1+\Gamma_s/3}$  to highlight the deviations of the clusters from the self-similarity. Right-hand panels show the DM-density slices of the clusters in the x-y plane (h=0) at redshift z=0. This figure shows that the initial tangential velocity of the DM particles efficiently suppresses the radial-orbit instabilities and maintains the cluster's self-similarity (see Section 2.1).

to better than  $\sim 10$  per cent. Morphologically, the cluster core has a bar-like shape (see the top right-hand panel) that emerges as a result of gravitational instability at  $\Delta t \lesssim 0.5\,\mathrm{Gyr}$  after the start of the simulation. Its orientation, however, is uncontrollable and rather random in our simulations (see also Fig. 3). The cluster's outer region is not affected by this instability and maintains its spherical shape throughout the simulation (compare to the bottom panels in Fig. 2). These results demonstrate the robustness of our simulations for modeling the outskirts of the self-similar clusters.

#### 2.2 Setup of merger initial conditions

We include two self-similar clusters with the same MAR parameter  $\Gamma_s$  in each of our cluster merger simulations. Their masses and sizes are determined by their initial turnaround radii  $r_{\text{ta},\,1}$  and  $r_{\text{ta},\,2}$  ( $r_{\text{ta},\,1} \geq r_{\text{ta},\,2}$ ). The merger mass ratio  $\xi(>1)$  in the simulations is defined as the ratio of the initial virial masses of the primary (main) and secondary (sub) merging clusters.

We adopt a Cartesian coordinate system (x, y, h) while setting up the initial conditions. The centres of the two clusters are initially put on the x-axis. Their initial distance is  $\kappa_{\text{sep}}(r_{\text{ta},1} + r_{\text{ta},2})$ , where  $\kappa_{\text{sep}}$  is fixed to 0.6. In the initial conditions, the main cluster is set at rest, while the subcluster moves in the x-y plane with the relative velocity  $\mathbf{v_0}$ . The two merging clusters overlap inevitably. Therefore, we set up their gas and DM distributions following two different strategies for major and minor mergers (see an illustration in Fig. A1). In the minor merger case (i.e.  $\xi > 4$ ), we discard the outer part of the subcluster ( $r > \kappa_{\text{sep}} r_{\text{ta},2}$ ) and embed the remaining part in the main cluster. In the major merger scenario ( $\xi \le 4$ ), we define a 'background' region (i.e. the area beyond  $\kappa_{\text{sep}} r_{\text{ta},1}$  and  $\kappa_{\text{sep}} r_{\text{ta},2}$  from the centres of the main and sub- clusters, respectively), where the gas and DM distributions are a linear combination of those of the two individual clusters (see

Appendix A2 for more details). Note that due to such modifications, the clusters generally need a few 0.1 Gyr to relax in the simulations. This does not affect the mergers we are discussing here since the primary pericentric passage usually occurs at  $\sim 1-2$  Gyr after the start of the simulation.

To trace the gas mixing during the mergers, we include a scalar field  $f_{\rm dye}$  in the simulation. It is initially set as  $f_{\rm dye}=1$  in the gas cells belonging to the subcluster ICM and  $f_{\rm dye}=0$  in all other areas, and is passively advected with the gas flow during the simulation.

All our merger simulations start from the redshift  $z_{\rm ini}=2$  that corresponds to the cosmic time t=1.8 Gyr. Non-periodic boundary conditions are adopted. The gravitational softening length of the DM particles is fixed to 5 comoving kpc (ckpc) throughout the simulations. In the runs including hydrodynamics, the gas-mass resolution reaches  $1.4\times10^7\,M_\odot$ . We have also performed two additional high-resolution simulations with a ten times higher gas mass resolution to (1) check the convergence of our numerical results and (2) explore the fine gaseous structures formed in the cluster outskirts during the merger. In all our simulations, we limit the gas spatial resolution  $\gtrsim 5$  ckpc.

In this study, we explore a broad range of parameter space of the initial conditions, including the cluster's smooth MAR parameter,  $\Gamma_s$ , and the parameters determining the merger configurations (i.e.  $\xi$ ,  $\mathbf{v_0}$ ). Both major and minor mergers with different initial orbital angular momentum are covered in this study. For a robust comparison of these simulations, we fix the initial virial mass of the primary cluster as  $\simeq 5 \times 10^{13} \, M_{\odot}$  in all the runs, which is generally a typical mass of massive haloes at z=2 (see e.g. fig. 2 in Bocquet et al. 2016). Three  $\Gamma_s$  values (=0.7, 1, 3) explored in this work cover typical smooth MARs of galaxy clusters (see Sections 5.1 and 5.2 for discussion). Table 1 summarizes the main parameters used in our simulations. Each simulation is labeled by an ID of the form  $\xi aVbc\Gamma d$ , where a indicates the merger mass ratio, b and c represent

**Table 1.** Parameters of simulations (see Section 2).

$\overline{\mathrm{IDs}^a}$	$\Gamma^b_{ m s}$	$r^{c}_{\mathrm{ta},1/2}$ (Mpc)	$\xi^d$	$\mathbf{v}_0^e$	$\kappa_{\max,1/2}^f$	$N_{\rm DM}^g \\ (10^6)$	$m_{ m gas}^h$ $(10^6{ m M}_\odot)$	$L_{\text{box}}^{i}$ (cMpc)	$M_{\rm vir}(z=0)^{j}$ $(10^{14} {\rm M}_{\odot})$
Γ1dm	1.0	1.0 / -	_	_	3.0/-	7.4	_	28	1.31
Γ1dm_nt <sup>k</sup>	1.0	1.0/-	-	_	3.0 / -	7.4	-	28	_
<i>ξ</i> 1V10Γ1dm	1.0	1.0 / 1.0	1	(1, 0, 0)	3.0/3.0	15	-	28	2.00
<i>ξ</i> 2V10Γ1dm	1.0	1.0 / 0.79	2	(1, 0, 0)	3.0/3.0	12	-	28	1.58
$\xi 4V10\Gamma 1dm$	1.0	1.0 / 0.58	4	(1, 0, 0)	3.0 / 3.0	9.4	-	28	1.35
Γ1	1.0	1.0 / -	_	_	3.0/-	7.4	14	28	1.32
ξ2V10Γ1	1.0	1.0 / 0.79	2	(1, 0, 0)	3.0 / 3.0	6.8	14	28	1.59
ξ2V1hΓ1	1.0	1.0 / 0.79	2	(1, 0.5, 0)	3.0 / 3.0	6.8	14	28	1.59
ξ2V1hΓ1_hres	1.0	1.0 / 0.79	2	(1, 0.5, 0)	3.0 / 3.0	14	1.4	28	1.59
ξ2V21Γ1	1.0	1.0 / 0.79	2	(2, 1, 0)	3.0 / 3.0	6.8	14	28	1.65
ξ10V1hΓ1	1.0	1.0 / 0.46	10	(1, 0.5, 0)	3.0 / 0.6	7.6	14	28	1.37
ξ10V1hΓ1_hres	1.0	1.0 / 0.46	10	(1, 0.5, 0)	3.0 / 0.6	9.0	1.4	28	1.39
ξ10V21Γ1	1.0	1.0 / 0.46	10	(2, 1, 0)	3.0 / 0.6	7.6	14	28	1.37
Γ0.7	0.7	0.95 / -	_	_	3.0 / -	4.1	14	28	0.942
ξ2V1hΓ0.7	0.7	0.95 / 0.75	2	(1, 0.5, 0)	3.0 / 3.0	6.4	14	28	1.17
ξ10V1hΓ0.7	0.7	0.95 / 0.44	10	(1, 0.5, 0)	3.0 / 0.6	4.2	14	28	0.991
Γ3	3.0	1.2/-	_	_	4.0 / –	8.5	14	43	10.6
ξ2V1hΓ3	3.0	1.2 / 0.95	2	(1, 0.5, 0)	4.0 / 4.0	12	14	43	10.8
ξ10V1hΓ3	3.0	1.2 / 0.56	10	(1, 0.5, 0)	4.0 / 0.6	8.5	14	43	10.8

<sup>&</sup>lt;sup>a</sup>The IDs of our simulation runs, which are written as  $\xi aVbc\Gamma d$ , where a indicates the merger mass ratio, b and c represent the initial relative velocity in the x- and y-axes ('h' is short for 0.5), d is the smooth MAR parameter Γ<sub>s</sub>. The suffixes 'dm' and 'hres' indicate that the simulations are DM-only and in high gas-mass resolution, respectively.

 $<sup>^</sup>k$ This run uses the same initial parameters as those in the ' $\Gamma$ 1dm', except that the DM particles have zero initial tangential velocity (see a comparison between the runs ' $\Gamma$ 1dm' and ' $\Gamma$ 1dm and ' $\Gamma$ 1dm in Fig. 2).

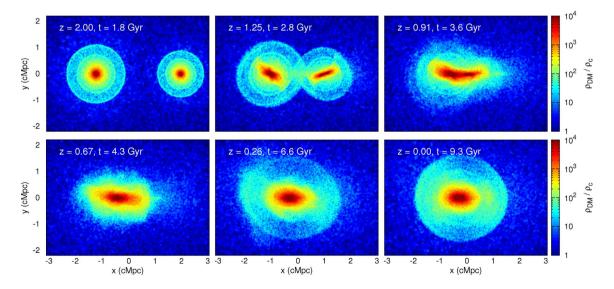


Figure 3. Evolution of the DM density slices (in units of  $ρ_c$ ) in the x-y plane (h=0) in the DM-only simulation ξ2V10Γ1dm. This figure illustrates a major head-on merger between two DM haloes. While approaching each other, these two (ellipsoidal) haloes tend to be aligned along the merger axis by the gravitational torque. They experience a rapid contraction after the core passage due to the dramatic change of the gravitational potential. A genuine DM outermost caustic surface emerges after  $t \simeq 5$  Gyr (see Section 3).

<sup>&</sup>lt;sup>b</sup>The cluster's smooth MAR parameter (see equation 1).

<sup>&</sup>lt;sup>c</sup>The initial turnaround radii of the main and sub- merging clusters.

 $<sup>^{</sup>d}\mbox{\sc The merger}$  mass ratio between the main and sub-clusters.

<sup>&</sup>lt;sup>e</sup>The initial relative velocity between two merging clusters in units of  $u_r(\kappa_{sep}r_{ta, 1} + \kappa_{sep}r_{ta, 2})$ , where  $u_r(r)$  is the gas radial velocity profile given by the self-similar model.

<sup>&</sup>lt;sup>f</sup>Maximum initial radii of the main and subclusters in units of their turnaround radii (see a sketch in Fig. A1).

<sup>&</sup>lt;sup>g</sup>The total number of DM particles used in the simulations.

<sup>&</sup>lt;sup>h</sup>The mass resolution of gas cells.

<sup>&</sup>lt;sup>i</sup>The box size for the simulations in units of comoving Mpc (cMpc).

<sup>&</sup>lt;sup>j</sup>The final virial mass of the merger remnant at z = 0.

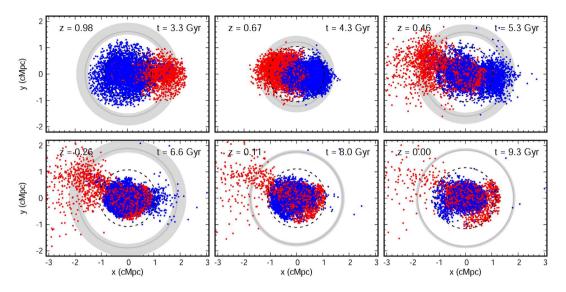


Figure 4. Projected spatial distributions of DM particles (along the h-axis) in the simulation  $\xi 2V10\Gamma 1dm$ . Blue and red colours indicate the particles belonging to the main and sub- clusters in the initial condition, respectively. They are randomly selected (1 out of 100) from the simulation within  $2r_{vir}$  of the clusters. The black dashed circles indicate the cluster virial radii. The solid grey circles and the accompanying shaded annuli show the azimuthally averaged splashback radii and their scatters, respectively. Note that we have shifted the particles in this figure (compared to that shown in Fig. 3) so that the potential minimum of the merging system is at the origin of the coordinates. This figure shows how the DM particles mix in a merging process (see also Fig. 5 for the evolution of their phase-space distribution). Some particles could reach very large cluster radii after the primary pericentric passage, even beyond the splashback radii (see Section 3).

the initial relative velocity in the x- and y- axes, d is the smooth MAR parameter  $\Gamma_s$ . The suffixes 'dm' and 'hres' indicate that the simulations are run with only DM and that in high gas-mass resolution, respectively.

#### 2.3 Measuring splashback and MA-shock radii

In this study, we determine the splashback and MA- (and accretion) shock radii of merging clusters,  $r_{sp}$  and  $r_{mas}$ , based on their DMdensity and gas-entropy profiles, respectively. The centre of each cluster is fixed at the location of the deepest potential of the system. Since the merging clusters deviate from a spherical shape, we measure the radii along 10<sup>3</sup> directions uniformly distributed on the surface of a unit sphere. Along each direction, we estimate the locations of the steepest slopes of the DM-density and gas-entropy profiles to identify  $r_{\rm sp}$  and  $r_{\rm mas}$ , respectively. The bin sizes of the profiles are fixed to 20 kpc for all our estimations. To suppress the noise (e.g. caused by the small-scale structures), we smooth the radial profiles with the Gaussian and Savitzky-Golay filters before calculating their gradients (see also Mansfield et al. 2017). For each cluster, we present the averaged  $r_{\rm sp}$  and  $r_{\rm mas}$  over the  $10^3$  directions and their scatters (10th-90th percentiles) in this work. The latter reflects the deviations of the caustic/shock surface from spherical geometry (see Figs 15 and 16 for examples, where the shaded area indicates the scatter).

#### 3 EVOLUTION OF DM HALOES

We begin by exploring the evolution of the DM haloes in merging clusters. Since the impact of a minor merger on the DM distribution (including the splashback radius) of the main cluster is minor (see e.g. Figs 7, B2, and 15), we only focus on the major mergers in this section. However, we note that multiple minor mergers taking place in a short time period may deepen the gravitational potential similarly

to a major merger, and, thus, significantly change the DM distribution of the main cluster. The processes we discuss are therefore at least in part relevant to such a situation.

Fig. 3 shows the time evolution of the DM density slices in our DM-only simulation  $\xi 2V10\Gamma 1$ dm. The initial density distribution (at z=2) is shown in the first panel. As we mentioned in Section 2.1, the radial-orbit instabilities drive the DM cores into a bar shape rapidly. While the two dense 'bars' approach each other, the gravitational torque tends to align them along the merger axis (see the second and third panels in order of time). However, at the moment of the core passage (i.e. primary pericentric passage,  $t \simeq 3.7$  Gyr), the alignment is sensitive to the initial orientations, orbits, and mass ratio of the two merging clusters (see also Fig. B1). After the pericentric passage, the DM haloes penetrate each other. Their apocentric distance is much smaller than their initial separation due to the collective dynamical effects that lead to the dissipation of the kinetic energy and angular momentum of the merging clusters. They eventually merge into one elongated halo at  $t \simeq 5$  Gyr ( $z \simeq 0.5$ ; see also Fig. 4), the relaxation of which lasts for a longer time (see also e.g. Poole et al. 2006; Nelson et al. 2012; Zhang et al. 2016). The relaxation process mainly occurs within the virial radius, where potential fluctuations caused by the merger are the strongest.

Fig. 4 shows the projected spatial distributions of the DM particles in the same simulation as in Fig. 3. These particles are randomly selected at the initial time within  $2r_{\rm vir}$  of the main (blue) and sub-(red) clusters. Their phase-space distributions (radius versus radial velocity) are correspondingly shown in Fig. 5 (see also Fig. 6). In Figs 4 to 5 and 6, the origin of the coordinates is set at the position of the deepest potential of the system (different from that shown in Fig. 3). Figs 4 and 5 show that most DM particles from the two progenitor haloes stay within  $r_{\rm vir}$ , shortly after the core passage (i.e.  $t \gtrsim 6\,{\rm Gyr}$ ). The matter distribution between  $r_{\rm vir}$  and  $r_{\rm sp}$ , particularly in the directions deviating  $\gtrsim 30^\circ$  from the merger axis, is mostly determined by the smooth mass accretion (characterized by the parameter  $\Gamma_{\rm s}$ ).

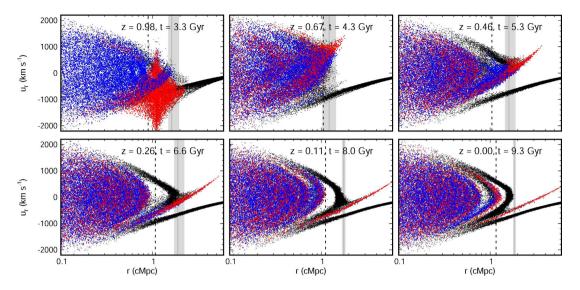


Figure 5. Phase-space distributions (radius versus radial velocity) of DM particles in the same simulation shown in Fig. 4. The black dots show the randomly selected (1 out of every 100) DM particles from the whole system (i.e. including the merging clusters, accreting background particles, etc.). The red and blue particles belong to the main and sub- clusters, respectively (similar to Fig. 4). They overlap with the black dots in the inner regions. The vertical dashed black lines indicate the cluster virial radii. The solid grey lines and the accompanying shaded areas show the azimuthally averaged splashback radii and their scatters. This figure illustrates how the DM phase-space distribution evolves during a major merger. It further demonstrates that the splashback radii identified in the DM density profiles provide a good match to those inferred from the DM phase-space distribution in our model (see Section 3).

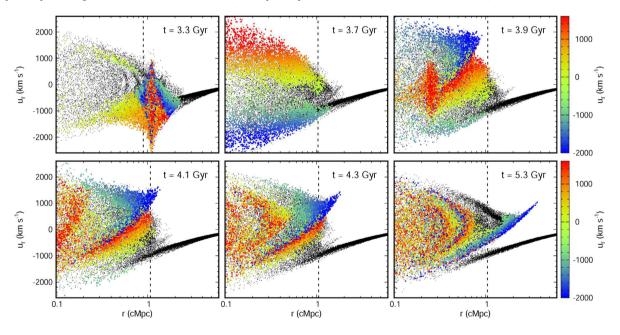


Figure 6. Similar to Fig. 5 but highlighting with colours the DM particles that initially belong to the subcluster only. These particles are coloured based on their radial velocities at t = 3.7 Gyr (the top middle panel), close to the moment of the core passage. Black points show the distributions of all DM particles in the simulation (same as in Fig. 5). The vertical black dashed lines indicate the virial radii. For some particles among the initially lagging ones from the infalling subhalo (the bluish particles), the gravitational accelerations from the main and sub- haloes add constructively during the first infall. After the core passage, these particles have high radial velocities and therefore they reach very large radii ( $\gtrsim 3r_{\text{vir}}$  of the merger remnant; see also Fig. 5). Meanwhile, the orbit of the subcluster decays fast due to the dissipative dynamical effects associated with the merger (see Section 3).

Combining the spatial and velocity information of the particles, we see that some particles that escape to large radii reach the average splashback radius (the solid grey lines) and beyond. For example, in the third panel of Fig. 4, approximately 20 and 4 per cent of the particles exceed the cluster virial and splashback radii, respectively (see the corresponding panels in Figs 5 and 6 and also Carucci et al. 2014). In major mergers, bulk velocities of the merging haloes are

comparable to their internal velocity dispersion. Initially, these largeradii particles lag their host haloes and are distributed near the merger axis. They move in the same direction as the bulk of the host halo and feel strong gravitational acceleration when approaching the other cluster. Some of them get ahead of their host (e.g. yellowish particles in the first panel in Fig. 6) before the cluster pericentric passage and form a long stream in the phase-space distribution (see also

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Kazantzidis, Zentner & Kravtsov 2006; Valluri, Vass & Kazantzidis 2007; Vogelsberger & White 2011). Particles that continue lagging their host until the pericentric passage (the bluish particles in Fig. 6) could gain the largest radial velocities, reaching up to  $\simeq 5r_{\rm vir}$  of the merger remnant at  $t \gtrsim 5$  Gyr. Later, these particles re-accrete on to the remnant in a new infall stream traced by red particles in the lower panels of Fig. 5. In Section 3.2, we will see that these stripped particles affect our measurements of the DM haloes' shape.

## 3.1 Splashback radii

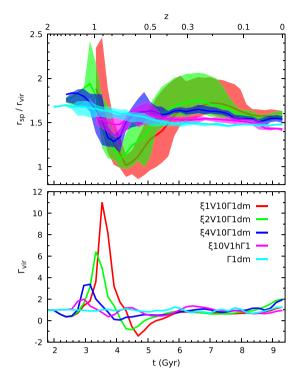
The main goal of this study is to explore the evolution of the cluster's splashback radius during mergers. The head-on major merger in the run  $\xi 2V10\Gamma 1$ dm offers a representative example (see Figs 3, 4, and 5). In the pre-merger stage, the cluster's outermost caustic surface is well-preserved. One can even see the intersections of the caustic surfaces from the two merging clusters (the second panel in Fig. 3). When the two clusters are sufficiently close, they experience a rapid contraction due to the dramatic deepening of the gravitational potential (the third and fourth panels in Fig. 3). Such rapid change is a specific feature of the merger process and does not occur in the self-similar evolution with the constant smooth accretion rate (in this case,  $\Gamma_s = 1$ ).

Despite the significant and rapid dynamical evolution of DM distribution of the merging clusters, there is still a clear splashback boundary of the cluster in the DM density distribution that becomes closer to the cluster's virial radius.<sup>2</sup> At this moment, a fraction of particles near this boundary has not yet reached their apocentres. These are the stripped particles from their parent merging haloes that have high positive radial velocities (the second panel in Fig. 5). As these particles move towards the apocentres of their orbits, the outer splashback boundary rapidly increases.

After  $\sim 1-2$  Gyr from the core passage (approximately the cluster's dynamical time-scale  $\tau_{\rm dyn}$ ), a well-defined outermost caustic surface of the halo starts to develop (see the fifth panel in Fig. 3). It first becomes most prominent in the directions close to the merger axis since it is formed by the apocentric passages of the particles most accelerated during the merger (the third panel in Fig. 5). Shortly after that, a nearly spherical caustic surface emerges. Comparing the distributions of the black and coloured points in Fig. 5, one can see that, after  $t \simeq 6$  Gyr, the outermost caustic is dominated by the newly smoothly accreted DM particles.

Fig. 7 compares the evolution of the cluster splashback radii  $r_{\rm sp}$  in units of  $r_{\rm vir}$  in our major-merger simulations with the different merger mass ratio  $\xi$ . In the top panel of Fig. 7, the solid lines show  $r_{\rm sp}$  averaged over  $10^3$  directions. The 10th–90th percentile region of the splashback radii along these directions is shown by the shaded areas (see Section 2.3). Comparing with Fig. 5, one can see that the measurements of the splashback radii based on the slope of the DM density profiles are consistent with those inferred from the DM phase-space distributions.

Major mergers affect the clusters' splashback radii in two main aspects. First, as seen in Fig. 3, the sharp boundary of the DM halo shrinks prominently during the primary pericentric passage.



**Figure 7.** Top panel: Evolution of the splashback radii in units of  $r_{\rm vir}$  in our DM-only simulations. The solid lines show the azimuthally averaged splashback radii over  $10^3$  uniformly distributed directions. The shaded areas indicate the scatters within the 10th–90th percentile range. Bottom panel: Cluster's MAR measured at the virial radius based on equation (3). There is a strong correlation between  $\Gamma_{\rm vir}$  and  $r_{\rm sp}/r_{\rm vir}$  but with a  $\simeq 1$  Gyr time offset between the moments of maximum  $\Gamma_{\rm vir}$  and minimum  $r_{\rm sp}/r_{\rm vir}$ . This figure illustrates that the major mergers have a strong effect on both  $r_{\rm sp}$  and  $\Gamma_{\rm vir}$  that could last for a few Gyrs (see Section 3.1).

This effect is more significant if  $\xi$  is smaller and generally lasts for  $1{\text -}2\tau_{\rm dyn}$ . Secondly, the halo's outermost caustic surface noticeably deviates from a spherical symmetry when  $\xi\lesssim 2$ , as reflected in the large scatters of the red and green curves (see also Mansfield et al. 2017). It is elongated along the merger axis (see Fig. 3), explaining why the averaged  $r_{\rm sp}/r_{\rm vir}$  is closer to the lower bound of its scatter. This asymmetry of the splashback surface is the key reason that a fraction of matter and subhalos are outside of the spherically averaged splashback radius (Mansfield & Kravtsov 2020). We have also checked the evolution of the splashback radius in simulations of mergers with the different initial orbital parameters. We find that when the initial impact parameter of the subcluster is not too large, as is in the case of most major mergers (Sarazin 2002), the merger mass ratio is the main factor determining the evolution of the azimuthally averaged  $r_{\rm sp}$  (see the blue curves in Fig. 15).

Finally, we discuss the dependence of  $r_{\rm sp}/r_{\rm vir}$  on the MAR experienced by the main cluster during a merger. Recall that the  $r_{\rm sp}/r_{\rm vir}$  ratio in the self-similar clusters is a function of only the mass accretion parameter  $\Gamma_{\rm s}$  (Adhikari et al. 2014; Shi 2016). For example,  $r_{\rm sp}/r_{\rm vir}$  is  $\simeq 1.8, 1.5, 1.0$  when  $\Gamma_{\rm s} = 0.7, 1, 3$ , respectively. However, during cluster mergers, the MAR generally becomes a function of time and radius. We follow the common choice in the literature and use the logarithmic rate of change of virial mass with scale factor as the measure of MAR (e.g. Diemer & Kravtsov 2014; Mansfield et al.

 $<sup>^2</sup>$ The splashback at this stage of evolution also becomes close to the radius of the secondary outermost caustic of the DM halo in the self-similar model (see Fig. 1), although the two outermost caustics of the merger remnant are well separated when the total MAR  $\Gamma_{\rm vir}$  is low (see the last two panels in Fig. 3 and also Adhikari et al. 2014; Diemer & Kravtsov 2014; Deason et al. 2020).

2017)

$$\Gamma_{\text{vir}}(a_1) = \frac{\log \left[ M_{\text{vir}}(a_2) / M_{\text{vir}}(a_0) \right]}{\log \left( a_2 / a_0 \right)},\tag{3}$$

where  $a_0$ ,  $a_1$ , and  $a_2$  are the scale factors of three successive snapshots.<sup>3</sup>

The bottom panel in Fig. 7 shows the measurements of  $\Gamma_{vir}$  in our simulations. Merger leaves a prominent imprint in the evolution of  $\Gamma_{\text{vir}}$  in the form of a peak with the amplitude of up to 10 times higher than the initial smooth  $\Gamma_s$ . These peaks are further followed by troughs due to the contraction of the particle distribution during the core passage discussed above. The duration of the peak of  $\Gamma_{vir}$ and the subsequent trough last up to  $1-2\tau_{\rm dyn}$ . Comparison of the top and bottom panels of Fig. 7 shows a strong temporal correlation between  $\Gamma_{\rm vir}$  and  $r_{\rm sp}/r_{\rm vir}$  but with a  $\simeq 1$  Gyr time offset between the moments of maximum  $\Gamma_{\rm vir}$  and minimum  $r_{\rm sp}/r_{\rm vir}$  (approximately half of the cluster crossing time-scale  $\sim \tau_{\rm dyn}$ ). Interestingly, this correlation shows the same trend as that of the scaling relation  $\Gamma_s$  $-r_{\rm sp}/r_{\rm vir}$  predicted in the self-similar model. When the cluster's mass growth rate ( $\Gamma_s$  or  $\Gamma_{vir}$ ) is higher, the ratio  $r_{sp}/r_{vir}$  is smaller (compare Figs 7 and 16). In other words, a small  $r_{\rm sp}/r_{\rm vir}$  (e.g.  $\simeq 1$ ) could be caused by either a merger effect or a high smooth accretion rate  $\Gamma_s$  of the cluster or a combination of both.

Fig. 8 shows the  $\Gamma_{\rm vir} - r_{\rm sp}/r_{\rm vir}$  diagram, where each point shows  $\Gamma_{\rm vir}$  and  $r_{\rm sp}/r_{\rm vir}$  (and the scatter of the latter) measured in one simulation snapshot. In the top panel, the  $\Gamma_{vir}$  is estimated using equation (3). In the bottom panel, however, we use a different version of  $\Gamma_{\rm vir}$ , measured from the two snapshots with  $\Delta t = 1.2\,{\rm Gyr}$  [i.e. setting  $a_2 = a_1$  and  $t(a_1) = t(a_0) + \Delta t$  in equation (3)]. This definition is closer to that used in the analyses of cosmological simulations. The three black diamonds overlaid in the figure correspond to the self-similar solutions with  $\Gamma_s = 0.7, 1, 3$ . This figure shows that the scaling relation  $\Gamma_{\rm vir} - r_{\rm sp}/r_{\rm vir}$  measured in the simulations is sensitive to the definition of  $\Gamma_{vir}$ . Major mergers mostly increase the scatter of the relation over a wide range of  $\Gamma_{vir}$ . In the low MAR regime (e.g.  $\Gamma_{\rm vir} \lesssim 1$ ), the time lag between  $\Gamma_{\rm vir}$  and  $r_{\rm sp}/r_{\rm vir}$  (see Fig. 7) plays an important role in shaping the scaling relation, which is no longer monotonic as expected in the self-similar model (see the top panel). However, if a sufficiently large  $\Delta t$  (larger than the time lag) is adopted to estimate the  $\Gamma_{vir}$ , the dependence is close to be monotonic (see the bottom panel). On the other hand, the scatter of the relation in the high MAR regime (e.g.  $\Gamma_{\rm vir} \gtrsim 3$ ) is mostly contributed by the major mergers with small  $\Gamma_s$  ( $\simeq$ 1) rather than those with the large values (i.e.  $\Gamma_s \simeq \Gamma_{vir}$ ). The bottom panel of the figure also shows that for sufficiently high  $\Gamma_s$  ( $\gtrsim 3$ ), the mergers, including the major mergers, only mildly affect the difference between  $r_{\rm sp}$  and  $r_{\rm vir}$  (see also the blue lines in Fig. 16), and the scatter in the  $\Gamma_{\rm vir} - r_{\rm sp}/r_{\rm vir}$ diagram is relatively narrow.

The scaling relation  $\Gamma_{\rm vir}-r_{\rm sp}/r_{\rm vir}$  has been extensively explored in cosmological simulations (e.g. Diemer & Kravtsov 2014; More et al. 2015; Diemer et al. 2017; Mansfield et al. 2017). In Fig. 8, we overplot the best-fitting relations from Diemer et al. (2017)

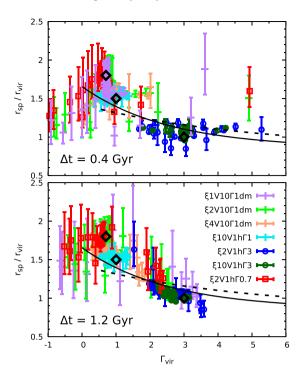


Figure 8.  $\Gamma_{\rm vir}-r_{\rm sp}/r_{\rm vir}$  diagram measured in our simulations. Each point shows the scaled splashback radius and its azimuthal scatter in one snapshot of the simulation. In the top panel,  $\Gamma_{\rm vir}$  is estimated using equation (3). In the bottom panel,  $\Gamma_{\rm vir}$  is estimated using the two snapshots with a larger time interval, i.e. setting  $a_2=a_1$  and  $t(a_1)=t(a_0)+\Delta t$  in equation (3), where  $\Delta t=1.2$  Gyr. The three black diamonds show the self-similar solutions for  $\Gamma_{\rm s}=0.7,1,3$ . The solid and dashed black lines are the best-fitting scaling relations from Diemer et al. (2017) and Mansfield et al. (2017), respectively. This figure shows that major mergers with small  $\Gamma_{\rm s}\simeq 1$  contribute most to the scatter of the  $\Gamma_{\rm vir}-r_{\rm sp}/r_{\rm vir}$  relation. Also, the shape of the correlation is sensitive to the way we estimate  $\Gamma_{\rm vir}$  (see Section 3.1).

and Mansfield et al.  $(2017)^4$  as the black solid and dashed lines, respectively. However, we have to emphasize that a quantitative comparison between our idealized and full cosmological simulations is non-trivial. First, the data points shown in Fig. 8 are simply a collection of our simulation results. Their distribution does not include any information on the statistical properties of the mergers (e.g. cluster mass function and merger rate) inferred from the cosmological simulations. Secondly, it is not easy to measure the intrinsic parameter  $\Gamma_s$  for individual clusters in the cosmological simulations. The measured  $\Gamma_{vir}$  in cosmological simulations always includes the smooth accretion, mergers, and pseudo-evolution over a long time-scale (see e.g. Diemer et al. 2013 and references therein). Nevertheless, we may still constrain the typical smooth MAR parameter  $\Gamma_s$  in galaxy clusters, as discussed below.

The dark blue and dark green points in Fig. 8 correspond to simulations with a strong smooth accretion  $\Gamma_s$  (i.e.  $\Gamma_{vir} \approx \Gamma_s$ ). The scatter of these points is smaller than those with the lower  $\Gamma_s$ . This means that if  $\Gamma_{vir} \simeq \Gamma_s \gtrsim 3$ , one might expect a tight correlation between  $\Gamma_{vir}$  and  $r_{sp}/r_{vir}$ . Cosmological simulations reveal a large scatter in this relation (see e.g. fig. 6 in Mansfield et al.

 $<sup>^3</sup>$ We emphasize that the evaluation of  $\Gamma_{vir}$  depends on the form of equation (3), and is also sensitive to the time interval  $\Delta t$  between the snapshots used to interpolate the accretion rate (see e.g. Fig. 8). We use  $\Delta t = t(a_2) - t(a_0) = 0.4\,\mathrm{Gyr} \sim \tau_{dyn}/4$  to resolve the main features that a merger imprints on the accretion rate curve. However, in full cosmological simulations, coarser  $\Delta t (\gtrsim \tau_{dyn})$  are usually adapted to suppress the scatter caused by the cluster's chaotic mass accretion history (see e.g. Diemer et al. 2017).

<sup>&</sup>lt;sup>4</sup>See equation (13) in Mansfield et al. (2017) and equations (5)–(7) for  $R_{\rm sp}^{87}$  per cent  $/R_{\rm 200m}$  in Diemer et al. (2017), where we simply fix  $\nu_{\rm 200m} = 2$ . The latter relation is estimated using COLOSSUS PYTHON package (Diemer 2018).

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2017) that is more consistent with the scatter of the low- $\Gamma_s$  cases in our simulations. This indicates that mergers likely boost  $\Gamma_{vir}$  in cosmological simulations while the smooth accretion  $\Gamma_s$  is small ( $\sim$ 1; see also Diemand & Kuhlen 2008, Sugiura et al. 2020, and more discussions in Sections 5.1 and 5.2).

#### 3.2 Halo shape and orientation

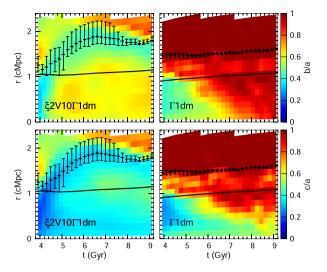
It is well known that the shape of the relaxed (or closely relaxed) cluster haloes in cosmological simulations can be well approximated by a triaxial ellipsoid (e.g. Dubinski & Carlberg 1991; Jing & Suto 2002; Allgood et al. 2006). The major axes of the ellipsoid tend to align with the directions of the large-scale filaments (e.g. Splinter et al. 1997; Kasun & Evrard 2005; Zhang et al. 2009). Cluster major mergers undoubtedly significantly affect halo's shape and orientation. Thus, it is of interest to check such process in our idealized merger simulations, where we could clearly see the impact from a single merger event on the remnant shape.

Following the method used in Zemp et al. (2011), we find the best-fitting ellipsoidal shape for the DM mass distribution at a given cluster radius (i.e. the length of the semi-major axis, a, of the ellipsoid) by evaluating the eigenvalues and eigenvectors of the following normalized moment-of-inertia tensor over a narrow ellipsoidal shell  $S_a$ ,

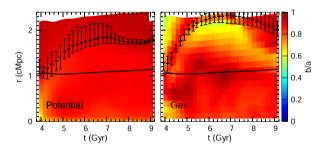
$$\mathbf{I} = \frac{\sum_{S_a} m_{\mathrm{DM}} \mathbf{r} \mathbf{r}^T}{\sum_{S_a} m_{\mathrm{DM}}},\tag{4}$$

where  $m_{\rm DM}$  and  ${\bf r}$  denote the mass and position vector of the DM particles within the shell. The shell radial width along its major-axis is fixed to  $\Delta a = r_{\rm vir}/8$  in our estimation. The initial shape of the shell is spherical with the radius a, and then the shell's shape and orientation iteratively update based on the eigenvalues and eigenvectors of  ${\bf I}$  until the intermediate-to-major and minor-to-major axis ratios, b/a and c/a, converge.

Fig. 9 shows the evolution of the axis-ratio profiles b/a and c/a measured in the simulations  $\xi 2V10\Gamma 1dm$  (left-hand panels) and Γ1dm (right-hand panels). By comparing an isolated cluster with the one undergoing a major merger, we can see that the merger significantly affects the cluster shape, particularly in the outer regions. The core of the isolated cluster is aspherical, mainly due to the developed radial-orbit instabilities. As the mass accretes isotropically, the core gradually becomes rounder. Beyond  $r_{vir}$ , the halo is nearly spherical throughout the isolated cluster simulation. In a merging cluster (see the left-hand panels in Fig. 9), the axis ratios are approximately constant at  $r \lesssim r_{\rm sp}$  ( $b/a \simeq 0.6$  and  $c/a \simeq 0.4$ ) and do not significantly evolve with time after  $t \simeq 5$  Gyr. The figure shows that the axis ratios are noticeably smaller near the splashback radius. Indeed, there is a marked local minimum in both bla and cla around  $r_{sp}$  (shown by the points with error bars) that persists at all epochs. The distinct elongated shape of the DM distribution near the splashback boundary is mainly due to the DM particles experiencing the largest acceleration during the approach and pericentric passage of the merging haloes, as these particles distribute predominantly along the merger axis (see Figs 3 and 4). These results show that the sharp change around the splashback radius exists not only in the DM density profile and phase-space distribution but also in the shape of the mass distribution.



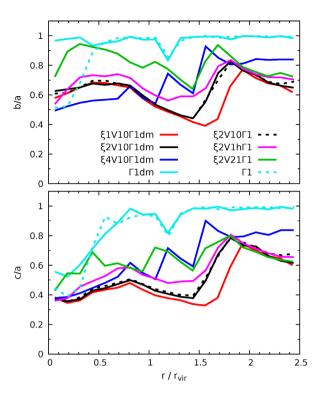
**Figure 9.** Evolution of the ellipsoidal shape of the DM mass distribution in the simulations  $\xi 2V10\Gamma 1dm$  (left-hand panels) and  $\Gamma 1dm$  (right-hand panels). The top and bottom panels show the intermediate-to-major (*b/a*) and minor-to-major (*c/a*) axis ratios, respectively. The overlaid solid lines and points with error bars indicate the virial and splashback radii of the clusters. This figure shows the significant role of a major merger in shaping the cluster's ellipticity (see Section 3.2).



**Figure 10.** Evolution of the intermediate-to-major (b/a) axial ratio of the gravitational potential (left-hand panel) and the gas mass distribution (right-hand panel) in the simulation  $\xi 2V10\Gamma 1$ . The solid black lines indicate the cluster virial radius. The black points with error bars in the left-hand and right-hand panels show the splashback and MA-shock radii, respectively. This figure shows that the shape of the gravitational potential is much rounder than that of the DM halo in a merging cluster (cf. Fig. 9). The shape of the gaseous atmosphere to the first approximation reflects the shape of the potential (see Section 3.2).

The shape of the stable outermost caustic surface is nearly spherical in the DM density slices (see the last two panels in Fig. 3), and it is mainly determined by the shape of the gravitational potential rather than the mass distribution. The rounder shape of the potential compared to the DM density is not surprising, given that the latter is the Laplacian of the former. To measure the shape of the isopotential surfaces of a cluster, we bin its DM particles by their gravitational potential. The best-fitting ellipsoidal shape for the particles in each bin is obtained through the inertia tensor I, equation (4), with the replaced  $m_{\rm DM}$  by the volume of the particles (i.e.  $m_{\rm DM}/\rho_{\rm DM}$ , where  $\rho_{\rm DM}$  is the DM density at the particle position). Unlike the mass distributions, the gravitational potential has  $b \simeq c$  and b/a much closer to unity. The left-hand panel in Fig. 10 shows the evolution of the potential ellipsoid axial ratio b/a in the run  $\xi 2V10\Gamma 1$ . The almost spherical shape of the potential (i.e.  $b \simeq c$  and  $b/a \gtrsim 0.8$ ) means that particles on different orbits during the merger have experienced

<sup>&</sup>lt;sup>5</sup>For clarity, we redefine symbol a to be the length of the major axis in Section 3.2. In the other sections of this paper, a represents the cosmic scale factor.



**Figure 11.** Radial profiles of the axis ratios b/a and c/a of the DM haloes at redshift z=0. They generally range  $b/a \simeq 0.6-0.8$  and  $c/a \simeq 0.4-0.6$  within the splashback radius,  $r_{\rm sp} \simeq 1.6 r_{\rm vir}$ . Long after the merger ( $\sim 5$  Gyr after the core passage), the DM haloes still have ellipsoidal shapes that only mildly depend on the merger parameters (e.g.  $\xi$  and  $\mathbf{v}_0$ ; see Section 3.2).

similar potential change (and hence similar accelerations). This can explain why the DM outermost caustic surface in Fig. 3 is nearly spherical.

We also note that the simulations  $\xi 2V10\Gamma 1$ dm and  $\xi 2V10\Gamma 1$  show almost identical results in terms of the DM halo shape (see also Fig. 11). Therefore, we can directly compare Figs 9 and 10. This also implies that the gaseous component in our cluster is dynamically unimportant to the DM. However, baryonic effects on the DM halo's shape have been discussed in the literature (e.g. Kazantzidis et al. 2004b; Debattista et al. 2008). They mainly work through the dissipative processes in the ICM (e.g. condensation of the cooling gas to the cluster centre) that are not included in our simulations.

The distribution of the adiabatic gas should follow the shape of the gravitational potential as well unless the ICM significantly deviates from the hydrostatic equilibrium (e.g. Lau et al. 2011). This is clearly seen in Fig. 10. Here we measure the shape of the ICM the same way as that for the DM halo but replace  $m_{\rm DM}$  by the gas cell mass in equation (4). Only at the large cluster radius,  $\gtrsim r_{\rm vir}$ , the ellipticity of the ICM significantly deviates from that of the potential. This is mainly caused by the formation of MA-shocks and other non-equilibrium hydrodynamic structures in the cluster outskirts (see more discussions in Section 4).

Fig. 11 compares the shape of the DM haloes in the simulations with the different merger parameters (e.g.  $\xi$ ,  $\mathbf{v}_0$ ) at redshift z = 0.6 All

<sup>6</sup>In Fig. 11, one can see a noticeable difference on b/a in the inner regions of the clusters ( $<0.5r_{\rm vir}$ ) in the runs  $\Gamma1$  and  $\Gamma1$ dm. This difference is caused by the radial-orbit instability rather than baryonic physics. To verify this, we have performed additional simulations with the same initial conditions as in

haloes experiencing major mergers have triaxial shapes within the splashback radius, i.e.  $b/a \simeq 0.6-0.8$  and  $c/a \simeq 0.4-0.6$ . The shapes only mildly depend on the merger mass ratio and impact parameter. The larger the initial orbital angular momentum, the rounder the merger remnant (though the impact parameter in major mergers is usually small; see Sarazin 2002). The latter, however, is true only long after the core passage of the merging clusters. During the merger and especially during the near core passage moment, the larger initial orbital angular momentum could lead to a larger asphericity of the halo (see the blue lines in Fig. 15).

In addition, we also find that the clusters are always elongated along the merger axis in our simulations (see e.g. Figs 3 and B1). This may provide a natural explanation for the alignment of the clusters' major axes with the surrounding large-scale structures (Paz et al. 2011; Codis et al. 2012). In general, our models are broadly consistent with the predictions from the cosmological simulations in terms of the halo's shape and orientation (see e.g. Lau et al. 2011). Although a quantitative comparison here is non-trivial due to, e.g. the absence of filaments and large-scale tidal fields in our idealized model (see e.g. Gouin, Bonnaire & Aghanim 2021), our simulations robustly reveal the significant role of major mergers in shaping galaxy clusters

#### 4 EVOLUTION OF GASEOUS ATMOSPHERES

The hot gaseous atmospheres behave very differently from the collisionless DM in merging clusters. An example of an off-axis major merger in the run  $\xi 2V1h\Gamma 1$ \_hres is shown in Figs 12, 14, and 18, which illustrate different stages of the merger process, respectively. Turbulence and discontinuities (including shocks and CDs) driven by the collisions of the atmospheres are clearly visible in the figures (see also Fig. B1).

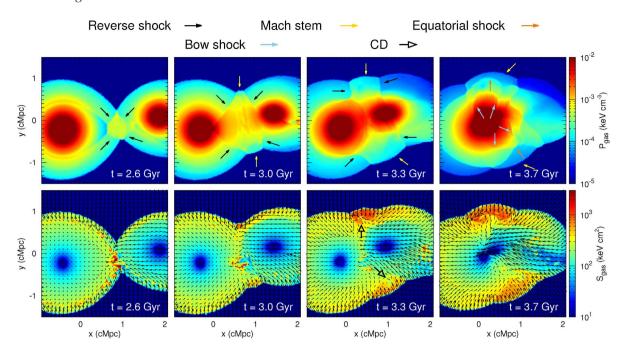
In this section, we will mainly focus on the gaseous structures that emerge in the outskirts of the merging clusters. These structures are formed in two ways. One is by the direct interactions of the ICM from the two merging clusters that mainly take place in the premerger stage before the primary pericentric passage (see Section 4.1). The other is via the effect of the runaway merger shocks that sweep through and heat the gas at the large cluster radii and reshape the morphology of the ICM (see Sections 4.2 and 4.3). This phase occurs near or after the primary apocentric passage of the subcluster.

# 4.1 Pre-merger stage

Fig. 12 highlights the evolution of the cluster atmosphere during the pre-merge stage in the high-resolution run  $\xi 2V1h\Gamma 1$ \_hres. The shocks and CDs formed in this stage are clearly seen in the pressure and entropy slices, respectively. As the two clusters are approaching each other, their atmospheres become tidally elongated. Subsequently, their accretion shocks contact and merge into one peanut-shape envelope, forming the baryonic boundary of the merged clusters. Meanwhile, two reverse shocks with small Mach numbers

the  $\Gamma$ 1dm run but different random seeds. We find the shape of the halo's central region in these runs is rather random with b/a ranging between  $\simeq$ 0.5–1. This effect is not significant in our merging clusters since the DM particles in the cluster core have been violently re-distributed during the major merger process.

<sup>7</sup>The accretion shocks of the two merging clusters likely merge at a much earlier time if a large-scale filament connects the clusters. In this case, the accretions shocks of the clusters may not collide with each other and remain connected by the accretion shock of the filament. Nevertheless, the following



**Figure 12.** Evolution of the gas pressure (top) and entropy (bottom) slices in the pre-merger stage of the cluster in the simulation  $\xi 2V1h\Gamma 1$ \_hres. The overlaid arrows in the bottom panels show the gas velocity field in the x-y plane. This figure illustrates rich shock structures formed in the cluster outskirts as the two merging clusters approach each other. The collision of the accretion shocks generates a pair of reverse shocks marked by the black arrows in the top panels. The interaction between these reverse shocks and the accretion shock further drives a Mach stem (yellow arrows). The gas shock-heated by the Mach stem is hotter and has higher entropy than the rest of the ICM. These two gas phases are separated by a CD, marked by the hollow black arrows in the bottom panel. When the clusters get closer, a pair of bow shocks emerges (blue arrows) as well as an equatorial shock (orange arrows), which propagates perpendicularly to the merger axis (see Section 4.1).

 $(\mathcal{M}_s \simeq 1.5)$  are formed and propagate in opposite directions (indicated by the black arrows in the top panels). A Mach stem then appears (yellow arrows; von Neumann 1943), resulting from the interaction between the accretion and reverse shocks. The gas shockheated by the Mach stem is hotter and has higher entropy than the rest of the ICM. These two gas phases are separated by a CD (marked by the hollow black arrows in the entropy slice). In the full 3D picture, the CD and the ICM in general are susceptible to various hydrodynamical instabilities. For instance, the departure of shocks and the gas equi-density surfaces from spherical symmetry implies that a baroclinic mechanism of vorticity generation is at work (e.g. Vazza et al. 2017), along with the Kelvin-Helmholtz instability due to velocity shear, or the Richtmyer-Meshkov instability. The giant eddies formed near the interfaces of the CD are clearly seen in the entropy slices. As the two merging clusters get closer ( $t \gtrsim 3$  Gyr), the gas between them strongly compresses. Two bow shocks are formed ahead of the two clusters' cores in this stage (marked by the blue arrows; see also Zhang et al. 2019a). At the same time, the squeezed gas between the bow shocks drives an outflow (see the velocity vector field overlaid in the bottom right-hand panel), further exciting the so-called 'equatorial shocks' marked by the orange arrows (see e.g. Ha, Ryu & Kang 2018). The lifetime of the equatorial shocks, however, is relatively short (< 1 Gyr). They eventually overtake the Mach stem and form MA-shocks (see the second panel in Fig. 14 and more discussions in Section 4.2). In X-ray observations, such hot outflows along the directions perpendicular to the merger axis

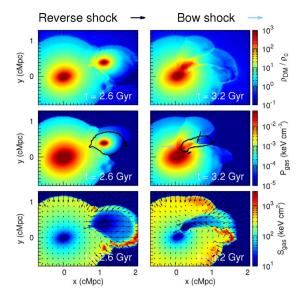
evolution (e.g. the formation of bow shocks and perpendicular outflow) would be similar to that described in our idealized simulations.

have been discovered in several pre-merging clusters (e.g. Akamatsu et al. 2017; Gu et al. 2019).

Minor mergers, in contrast, show a very different picture from that described above since the sizes of the two merging clusters are very different (see an example in Fig. 13). The atmosphere of the infalling subcluster is compressed and stripped off from its original shallower gravitational potential as it penetrates the main cluster (see also e.g. Roediger et al. 2015; Vijayaraghavan & Ricker 2015). Before reaching the pericentre, the subcluster's atmosphere deforms into a cylindrical shape (see the black contours of  $f_{\rm dye}$ ; and also Fig. B2 for the merger with a larger initial impact parameter). Only a bow shock formed in front of the subcluster and a reverse shock propagating in the subcluster atmosphere are prominently seen in the pressure slices.

# 4.2 Formation and evolution of MA-shocks

After the first pericentric passage, the bow shocks driven during the pre-merger stage gradually evolve into runaway merger shocks (Zhang et al. 2019b). They eventually overtake the accretion shock and reshape the morphology of the ICM (Zhang et al. 2020a). Such a process is illustrated in Fig. 14, where the runaway and accretion shocks collide around  $t \simeq 4.3$  Gyr. In this collision, a MA-shock forms a new baryonic boundary of the cluster and subsequently moves (radially) outwards. Note that, in contrast to the 1D models presented in Zhang et al. (2020a), our 3D merger simulations show that the MA-shock is first formed near the directions perpendicular to the merger axis and therefore is no longer spherically symmetric. At the same time, a CD appears near the initial position of the accretion shock, which is the product of the shock collision as well (see also Fig. B1 and Section 4.3). The gas between the CD and MA-shock



**Figure 13.** Similar to Fig. 12 but for the pre-merger stage of a minor merger in the simulation  $\xi$  10V1h $\Gamma$ 1\_hres. The contours overlaid in the pressure slices indicate the regions where  $f_{\rm dye} > 0.9$ . The blue and black arrows mark the bow shock formed in front of the subcluster and the reverse shock propagating in the subcluster, respectively. The atmosphere of the infalling subcluster is quickly deformed into a cylindrical shape before reaching the pericentre (see Section 4.1).

front is heated only by the MA-shock and has higher entropy than the rest of the ICM that is heated successively by the pristine accretion shock and runaway shock (see more discussions in Zhang et al. 2020a).

Due to the ongoing mass accretion, the MA-shock front would eventually stall at a large cluster radius and then fall backwards. 1D simulations by Zhang et al. (2020a) have pointed out two key parameters that determine the evolution of MA-shocks at the peripheries of the clusters. One parameter is the Mach number of the runaway shock, while the other one is the smooth MAR parameter  $\Gamma_s$ . The MA-shock could survive for a longer time if the runaway shock is stronger and/or  $\Gamma_s$  is smaller. We here check their findings in more realistic 3D merger simulations and investigate the relative positions between the MA-shock front and the outermost DM caustic surface.

Fig. 15 compares the evolution of the splashback and MA-shock radii ( $r_{\rm sp}$  and  $r_{\rm mas}$ ) in the simulations with the same  $\Gamma_{\rm s}(=1)$  but different merger parameters. As a baseline, the evolution of an isolated cluster is shown in the bottom right-hand panel. For each cluster, we measure its splashback and MA-shock radii in  $10^3$  directions. The MA-shock front is identified as the outermost jump in the gas-entropy profile (see Section 2.3). The azimuthally averaged  $r_{\rm sp}$  and  $r_{\rm mas}$  are shown as the solid blue and red lines in the upper subplot in each panel. The shaded areas indicate their scatters (10–90 percentiles). This figure shows that the major mergers affect both  $r_{\rm mas}$  and  $r_{\rm sp}$  much more significantly than the minor ones. However, in either case, the MA-shock front always reaches a larger cluster radius than the DM caustic surface. We show the ratios between the averaged MA-shock and splashback radii in the lower subplot of each panel. They generally range from  $r_{\rm mas}/r_{\rm sp} \simeq 1.3-1.4$  to 1.0-1.2

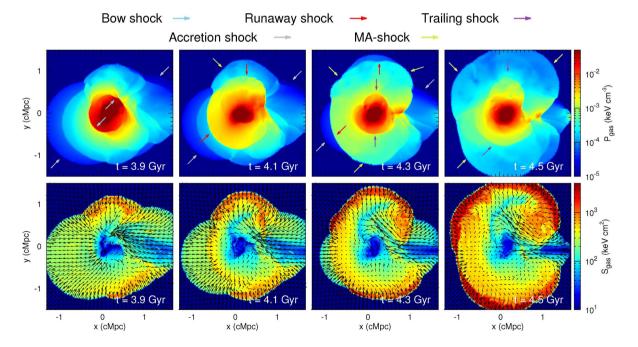


Figure 14. Similar to Fig. 12 but highlighting the moments shortly after the core passage in the simulation  $\xi$ 2V1hΓ1\_hres. The bow shocks (blue arrows) driven by the merging clusters evolve rapidly into the runaway shocks (red arrows), which then collide with the accretion shock (grey arrows) at  $t \simeq 4.3$  Gyr. The MA-shocks (lime arrows) are consequently formed in such shock collision, becoming the new gaseous boundary of the merger remnant (see Section 4.2). They firstly form in some directions (see the snapshot at t = 4.3 Gyr) and eventually cover most of the remnant. Also, a pair of trailing-shock edges (purple arrows) appear in the central region at  $t \simeq 4.3$  Gyr. Together with the runaway shocks, they constitute the *N*-wave structures discussed by Zhang, Churazov & Zhuravleva (2021).

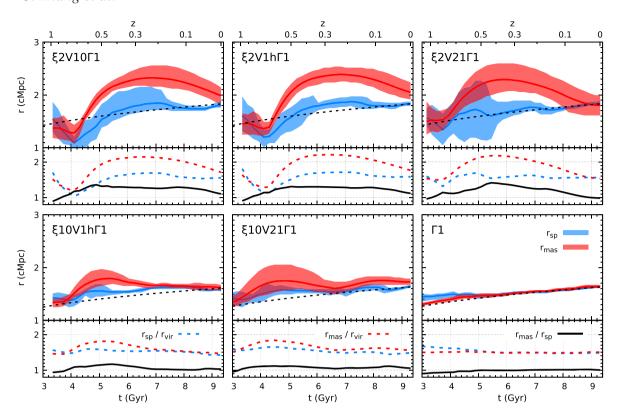


Figure 15. Evolution of the cluster MA-shock (red) and splashback (blue) radii in the simulations with the same  $\Gamma_{\rm s}$  (= 1) but different merger parameters. In the upper subplot of each panel, the solid lines and their shaded areas show the azimuthally averaged  $r_{\rm sp}$  (or  $r_{\rm mas}$ ) and the scatters within the 10th–90th percentile range. The dashed black line shows the self-similar scaling relation  $r \propto t^{2\Gamma_{\rm s}/9}$  with the amplitude normalized to  $r_{\rm sp}$  at z=0. The evolution of the ratios  $r_{\rm sp}/r_{\rm vir}$ ,  $r_{\rm mas}/r_{\rm vir}$  and  $r_{\rm mas}/r_{\rm sp}$  is shown in the lower subplots. One can see that the cluster mergers significantly affect the shock and splashback radii. The ratio  $r_{\rm mas}/r_{\rm sp}$  is typically above 1 and depends on the merger mass ratio  $\xi$  (see Section 4.2).

in major ( $\xi=2$ ) and minor ( $\xi=10$ ) mergers, respectively, and show only mild dependence on the merger's initial relative velocity and impact parameter. The largest  $r_{\rm mas}/r_{\rm sp}$  ratios could generally last for a few Gyrs in the major mergers. To further illustrate the deviation of our merging clusters from the self-similar model, we overlaid the self-similar scaling relation  $r \propto t^{\delta}$  as the dashed black lines in Fig. 15, where  $\delta=2\Gamma_{\rm s}/9$  and r is in the comoving coordinates (Shi 2016). Their amplitudes are normalized to  $r_{\rm sp}$  at z=0. We can clearly see that both the locations of the baryonic and DM boundaries of galaxy clusters do not evolve self-similarly during major mergers. The evolution of DM splashback, however, approaches the self-similar prediction shortly after the merger completes, while the MA-shock does it at a much later epoch.

Fig. 16 further shows the dependence of the radii  $r_{\rm sp}$  and  $r_{\rm mas}$  on the smooth MAR  $\Gamma_{\rm s}$ . When  $\Gamma_{\rm s}$  is smaller, the MA-shocks survive for a longer time and reach larger cluster radii. This is in line with the previous findings by Zhang et al. (2020a). In this study, we further quantify the dependence of the ratio  $r_{\rm mas}/r_{\rm sp}$  on  $\Gamma_{\rm s}$ , namely,  $r_{\rm mas}/r_{\rm sp} \simeq 1$  when  $\Gamma=3$  and  $r_{\rm mas}/r_{\rm sp}\simeq 1.7$  ( $\xi=2$ ) and 1.3 ( $\xi=10$ ) when  $\Gamma=0.7$ . A larger smooth MAR pushes the MA-shock front closer to the splashback radius.

To directly compare with the 1D models presented in Zhang et al. (2020a), we measure the Mach number  $\mathcal{M}_{rs}$  of the runaway merger shocks (driven by the subcluster) shortly before colliding with the accretion shock in the simulations presented in Figs 15 and 16. We estimate their Mach numbers approximately along the merger axis and find that  $\mathcal{M}_{rs} \simeq 3-4.5$  and  $\simeq 2-2.5$  in the major and minor mergers, respectively. They mildly depend on  $\Gamma_s$  (i.e.

the smaller  $\Gamma_s$ , the larger  $\mathcal{M}_{rs}$ ) and are almost independent of the subclusters' trajectories. These results partially explain why MAshocks are more significant in major mergers (see Zhang et al. 2020a for more discussions on the role of  $\mathcal{M}_{rs}$  in the evolution of MAshocks). However, in our 3D simulations, the runaway merger shock powering a MA-shock depends not only on  $\mathcal{M}_{rs}$  but also on the shock geometry (shape, size). The shock strength is highly non-uniform on the shock front. We find that Zhang et al. (2020a) quantitatively overestimated the dependence of MA-shocks on the parameter  $\mathcal{M}_{rs}$  (see their figs 4 and 5), which is mainly caused by the spherical symmetry assumed in their 1D models. Given the complexity of the 3D mergers, we suggest that the merger mass ratio  $\xi$  is a more natural parameter to quantify the evolution of MA-shocks than  $\mathcal{M}_{rs}$ .

A prominent departure of the accretion shock location from the splashback radius in galaxy clusters has been noticed in cosmological simulations, namely,  $r_{\rm mas}/r_{\rm sp} \simeq 1.5-2.5$  with a large scatter (see fig. 3 in Aung et al. 2020 and also Molnar et al. 2009; Lau et al. 2015; Zinger et al. 2018a). Fig. 16 shows that the large  $r_{\rm mas}/r_{\rm sp}$  arises only in mergers set up with  $\Gamma_{\rm s} \lesssim 1$ , while  $r_{\rm mas}$  and  $r_{\rm sp}$  are always comparable when  $\Gamma_{\rm s}$  is high ( $\gtrsim 3$ , i.e. the smooth accretion dominates the cluster mass growth rather than the merger). Given that the  $r_{\rm mas}/r_{\rm sp}$  measured in cosmological simulations is consistently large ( $\sim 1.5-2.5$ ) for clusters in different evolutionary stages and at different ages, one may naïvely conclude that smooth MAR in cosmological clusters is always small. However, this is not true. A key ingredient of clusters forming in a self-consistent cosmological environment is the highly anisotropic mass accretion with generally high accretion rates along filamentary directions, and much lower MAR and smoother

accretion along other directions. Strong MA-shocks around clusters forming in cosmological simulations are detected primarily along directions away from prominent filaments where the accretion rate of gas is low. Thus, the large  $r_{\rm mas}/r_{\rm sp}$  ratios for the external shocks around clusters in such simulations is mainly due to the low local gas accretion rate along non-filamentary directions, in which the merger shocks propagate easily and quickly (see Section 5.1 for additional discussion on this issue).

In our MA-shock scenario, the mergers, particularly major mergers, play an important role. Given the major merger rate of galaxy clusters is about one per halo per unit redshift (e.g. Fakhouri & Ma 2008; Fakhouri, Ma & Boylan-Kolchin 2010) and the typical lifetime of the MA-shocks is a few Gyrs if  $\Gamma_s \simeq 1$ , the high Mach number shocks observed at the cluster peripheries that separate the ICM and intergalactic medium (usually referred to as 'accretion shocks' in the literature) are mostly MA-shocks.

One thing to note is that the  $r_{\text{mas}}/r_{\text{sp}}$  in our model (see e.g. Figs 15 and 16) only reflects the effect of a single merger event. A strong cumulative effect of multiple runaway shocks on MA-shock is expected if several major/minor mergers take place consecutively within a relatively short time period (e.g. a few Gyrs; see an example in figs 6 and 7 in Zhang et al. 2020a from the cosmological simulation), that would further increase  $r_{\text{mas}}/r_{\text{sp}}$ . This effect is even seen in our run  $\xi$  10V21 $\Gamma$ 1, where the infalling subcluster has a large initial impact parameter. In the bottom middle panel of Fig. 15, we can see that the MA-shock was driven twice, corresponding to the first and second orbits of the subcluster, respectively (compare to Fig. 21), although the secondary runaway shock is rather weak. In this sense, the location of the MA-shock front depends on the merger history of the cluster. Our simulations of single merger events provide only a lower limit of the ratio  $r_{\rm mas}/r_{\rm sp}$ . The effects from multiple mergers will accumulate, becoming sufficient to explain the  $r_{\rm mas}/r_{\rm sp}$ ratio seen in the cosmological simulations if the local smooth mass accretion is low. Aung et al. (2020) showed the effect of mergers on radially expanding atmospheres along the non-filamentary directions (see their fig. 7). However, it is not trivial to quantify this effect in cosmological simulations. The large-scale filaments connecting the merging clusters may significantly bias the measurements of  $r_{\rm mas}/r_{\rm sp}$ . Also, the cumulative effect of multiple mergers could blur the measured effect of a single major-merger event on  $r_{\rm mas}/r_{\rm sp}$  (see e.g. their fig. 8).

#### 4.3 Shock-driven CDs

Cluster mergers drive not only shocks but also CDs that can be generally classified into three types according to their origin. The type-I CD appears at the interface of the atmosphere of a small cluster moving into the ICM of a larger system [see e.g. the entropy slices in Fig. 13 and also Vikhlinin, Markevitch & Murray (2001); Markevitch et al. (2002), and Nagai & Kravtsov (2003) for examples in observations and cosmological simulations]. The type-II CDs arise when the gas core of a cluster is perturbed by either a passing subcluster (e.g. Ascasibar & Markevitch 2006) or shock (e.g. Churazov et al. 2003). The gas layers with different entropies are offset from their equilibrium positions. They are also known as the 'sloshing cold fronts' in galaxy clusters (see Markevitch & Vikhlinin 2007; Zuhone & Roediger 2016 for reviews). The type-III CDs, namely shock-driven CDs, are formed in the collisions of shocks (Birnboim, Keshet & Hernquist 2010; Zhang et al. 2020a, b), which are specifically described by the Riemann problem in the 1D situation (Landau & Lifshitz 1959). Our simulations show that all three types of CDs co-exist in a merging cluster.

Fig. 17 shows the evolution of the gas entropy profile in our simulations  $\xi 2V1h\Gamma 1$  and  $\xi 10V21\Gamma 1$ . The profile is measured within a cone centred at the position of the deepest potential. The cone axis is along the direction  $\mathbf{n} = (-1, -1, 0)$ , and the opening angle is  $20^{\circ}$ (see the cross-sections of the cones in the x-y plane in Figs B1 and B2). In this figure, we mark the CDs formed during the major/minor mergers. In the inner region ( $r \leq 200 \,\mathrm{ckpc}$ ), sloshing patterns (type-II CDs) appear after the cluster's primary pericentric passage. They are more prominent in  $\xi 10V21\Gamma 1$  (see also Fig. B2), where the subcluster has a larger impact parameter. Unlike those in previous idealized merger simulations (e.g. Ascasibar & Markevitch 2006), the sloshing features in our model always stay in the cluster inner region even a few Gyrs after the core passage, due to the continuous mass accretion of the system. In the same run, the type-I CDs driven by the subcluster are also formed. They enter the conic region (where we measured the profiles) between  $t \sim 3.5 - 4.5$  Gyr. In  $\xi 2V1h\Gamma 1$ , the situation is a bit more complicated. The low-entropy gas that initially resides in the centre of the main cluster is pushed outwards by the infalling subcluster during the core passage (see Fig. B1). The formed CD ( $r \sim 700$  ckpc) is thus a blend of types-I and -II. We find that such a CD is prominent only in our major mergers and indicates the maximum radius that the gas from the subcluster can reach (along the cone's direction **n**). The atmosphere of the main cluster beyond this radius is solely affected by the runaway or MA-shocks.

The shock-driven CD (type-III) is formed when the runaway shock overtakes the accretion shock, as is clearly seen in Fig. 17. It separates the gas heated by the MA-shock and the pristine accretion shock on the radially outer and inner regions. This explains the formation of a high-entropy gas shell between the CD and MA-shock front. Though such features have been well captured in the 1D model presented in Zhang et al. (2020b, see their fig. 2 and also Zhang et al. 2020a), there are several differences between the 3D and 1D results. First, in the 3D runs, the shock-driven CDs radially move inwards shortly after their formation, particularly, the discontinuities formed in the minor mergers. They eventually stall within the cluster virial radius, slightly closer to the cluster centre than predicted in the 1D model. Secondly, since a series of runaway shocks and their trailing edges are generated during the pericentric passages of the subcluster (see Fig. 14 and also Zhang et al. 2021), we could see multiple type-III CDs at large cluster radii in our simulations. Thirdly, the CD lifetime in the 3D simulations is shorter than that in the 1D models since the instabilities are absent in the latter. In our simulations, the CDs are gradually disrupted by the instabilities developed near the interfaces after approximately 3 - 5 Gyr (see e.g. Figs B1 and B2). However, we stress that many other factors may influence these values, including the Reynolds number of the atmosphere, pre-existing turbulence/substructures in cluster outskirts (e.g. Nagai & Lau 2011; Vazza et al. 2013), and effects of magnetic fields (e.g. Berlok et al. 2020), and need to be explored in the

We have compared our simulations  $\xi 2V1h\Gamma 1$  (see Fig. B1) and  $\xi 2V1h\Gamma 1$ -hres (see Fig. 18) to further check if the spatial resolution of the simulations affects the evolution of the CDs. We find that the gaseous structures formed in these two runs are broadly consistent. It is not surprising, though, that there are more small-scale eddies and other turbulent substructures near the CDs in  $\xi 2V1h\Gamma 1$ -hres. These differences almost do not affect the lifetimes of the shock-driven CDs.

Recently, O'Neil et al. (2020) and Aung et al. (2020) reported an edge in the stacked gas-density profiles of galaxy clusters near the virial radius in the cosmological simulations. We speculate that the type-III CDs could be partially responsible for this feature since they

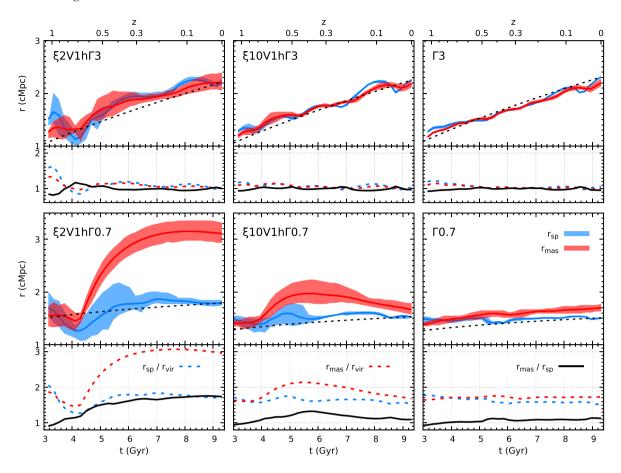


Figure 16. Similar to Fig. 15 but for the simulations with the different smooth MAR  $\Gamma_s = 3$  (top panels) and 0.7 (bottom panels). This figure demonstrates that  $\Gamma_s$  is a key parameter to determine  $r_{mas}/r_{sp}$  in galaxy clusters (see Section 4.2).

are commonly seen in galaxy clusters near the virial radii, and the gas-density profile between the shock-driven CDs and MA-shock fronts is relatively shallow (see Fig. B1 and also fig. 3 in Zhang et al. 2020b).

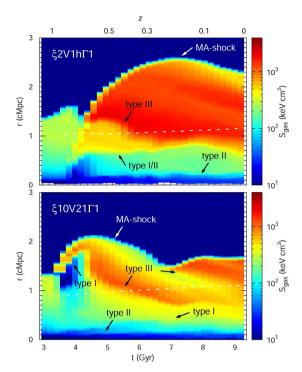
In Fig. 18, we highlight the turbulence in the cluster outskirts driven by a major merger (see the gas-velocity field overlaid in the bottom panels). Its roughly isotropic distribution implies the generation of turbulence has a tight relation with the formation of the MA-shock, type-III CDs, and high-entropy gas shell inbetween. Instabilities are excited near the interfaces of the CDs. They are more prominent close to the directions perpendicular to the merger axis, where the velocity shear is stronger (see the top left-hand panel). As predicted in the 1D model, the entropy profile in the high-entropy shell decreases with the radius (see Fig. 17 and also Zhang et al. 2020a) and is thus convectively unstable. The negative entropy gradient is, however, rather small, implying long time-scales of the instability growth  $\simeq 5-20\,\mathrm{Gyr}$ . It needs further investigations to figure out how efficient this process would drive the turbulence, which is beyond the scope of this study. We defer a detailed analysis of the instabilities formed in our merger simulations and their driven mechanisms to our follow-up paper.

We finally briefly discuss the shock-driven CDs in observations. Since these CDs are generated in either major or minor mergers and could survive for a few Gyrs, they would be commonly seen in the cluster outskirts. They also have distinguishable features from other types of CDs. For example, they are usually Mpc-scale and

tangential to the cluster radial direction. <sup>8</sup> Although detecting these CDs is challenging with existing X-ray observatories as they are located beyond the virial radius, one observational candidate has been identified in the Perseus cluster near the virial radius (Walker et al. 2020; Zhang et al. 2020b). Such CDs could also show up in the SZ maps, provided that the electron and ion temperatures are not equal.

It is worth mentioning A2142 – another interesting candidate (Markevitch et al. 2000) with a giant CD found at  $\sim 0.5 r_{\rm vir}$  southeast from the cluster centre (Rossetti et al. 2013). An infalling group is at a similar radius but in the south of the cluster (Eckert et al. 2014). The morphology of A2142 is similar to the merging cluster modeled in our run  $\xi 10V21\Gamma 1$ . The infalling group is around its primary or secondary apocentre. In such a scenario, the CD could be explained by either a type-I or type-III CD illustrated in the bottom panel of Fig. 17 (see also Fig. B2). A more careful comparison with the observations, however, is required to distinguish these two possibilities. The distribution of metallicity might be a useful tracer since the type-II CD separates the ICM from two different clusters (unlike type-III CDs). The same tracer might work for type-II CDs too, if there is a gradient of metallicity in the ICM of the main halo.

<sup>&</sup>lt;sup>8</sup>Note that a type-I/II (or type-I) CD formed in our simulation has an approximately spherical geometry as well. In contrast to the shock-driven CD, it is located at smaller radii and only prominent in major mergers and minor mergers with large impact parameter (see Fig. 17).



**Figure 17.** Evolution of the gas-entropy radial profiles in the simulations  $\xi 2V1h\Gamma 1$  (top panel) and  $\xi 10V21\Gamma 1$  (bottom panel). The profiles are measured in the cones along the direction  $\mathbf{n}=(-1,-1,0)$  and with the opening angles  $20^\circ$  (see the cross-sections of the cones in the x-y plane in Figs B1 and B2). The dashed white lines show the cluster virial radii. The black arrows indicate different types of CDs driven in the mergers. The type-III CDs are formed in the collisions between the runaway and accretion shocks, accompanying the MA-shocks. They could survive for a few Gyrs in our simulations (see Section 4.3).

# 5 DISCUSSION

#### 5.1 Anisotropic smooth mass accretion in galaxy clusters

The spherical self-similar model, albeit simplified, captures several key features of galaxy clusters, including DM caustic surfaces and accretion shocks. In this work, we try to bridge this model and cosmological simulations of galaxy clusters. A key assumption in the self-similar model that the smooth matter accretion is isotropic obviously deviates from the reality, where the accretion is highly anisotropic even when the merger effects are excluded. The smooth mass accretion is supposed to be high along the filaments and low in directions without filaments (e.g. Molnar et al. 2009; Zinger et al. 2016; Vallés-Pérez, Planelles & Quilis 2020). Therefore, in this section, we consider a two-accretion-mode model to qualitatively discuss the implications of our simulation results for more realistic situations.

In this model, the cluster is assumed to grow via two independent (low and high) accretion modes. Each mode is described by a self-similar solution but with different values of  $\Gamma_s$ . They represent the smooth mass accretions along the non-filamentary and filamentary directions, respectively. Note that in the self-similar model the higher  $\Gamma_s$  reflects the larger amplitude of the gas and DM density profiles in the infall region outside the halo (Shi 2016). The evolution of the total cluster mass M(z) can then be written as

$$M(z) = M(0) \left[ \chi a(z)^{\Gamma_{s,l}} + (1 - \chi) a(z)^{\Gamma_{s,h}} \right], \tag{5}$$

where  $\chi$  is the mass fraction of the cluster at z=0 contributed by the low-accretion mode;  $\Gamma_{s,1}$  and  $\Gamma_{s,h}$  are the smooth MAR parameters of the low and high accretion modes ( $\Gamma_{s,1} < \Gamma_{s,h}$ ), respectively. The averaged smooth MAR parameter of the cluster is then

$$\langle \Gamma_{\rm s} \rangle = \Gamma_{\rm s,l} + \frac{(1-\chi)(\Gamma_{\rm s,h} - \Gamma_{\rm s,l})a^{\Gamma_{\rm s,h} - \Gamma_{\rm s,l}}}{\chi + (1-\chi)a^{\Gamma_{\rm s,h} - \Gamma_{\rm s,l}}}, \tag{6}$$

based on the definition given in equation (1). Collisionless DM particles are subject to the deepening of the gravitational potential. Therefore, the azimuthally averaged  $r_{\rm sp}$  is mostly determined by the total  $\langle \Gamma_{\rm s} \rangle$  rather than  $\Gamma_{\rm s,1}$  or  $\Gamma_{\rm s,h}$ . For the gaseous atmospheres, the evolution of  $r_{\rm sp}/r_{\rm mas}$  depends on the local  $\Gamma_{\rm s}$  (see e.g. Figs 15 and 16). In non-filamentary directions with likely low smooth MAR, i.e.  $\Gamma_{\rm s,1} \sim 1$ , one expects strong accretion/MA-shock and an offset between  $r_{\rm sp}$  and  $r_{\rm mas}$ . This is consistent with the predictions from cosmological simulations. In direction along the filaments, if the mass accretion is high (e.g.  $\Gamma_{\rm s,h} \gtrsim 3$  and also  $\Gamma_{\rm s,h} > \langle \Gamma_{\rm s} \rangle$ ), the ratio  $r_{\rm mas}/r_{\rm sp}$  would always be  $\lesssim 1$ , as shown in the top panels of Fig. 16.

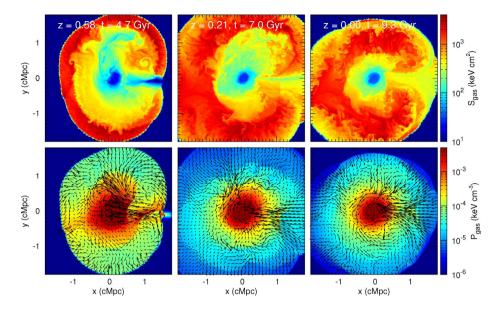
Molnar et al. (2009) noted two groups of shocks existing in cluster outskirts in the cosmological simulations. They have high and low Mach numbers, respectively. The latter are near the cluster virial radius (albeit with large scatter,  $\sim 0.5 r_{\rm vir}$ ) and sometimes referred to as 'virial' shocks. They could be either the runaway merger shocks in the non-filamentary directions (Zhang et al. 2019b, 2021) or the accretion (or sometimes MA-) shocks in the filamentary directions. Our simulations have demonstrated that, when  $\Gamma_{\rm s}=3$ ,  $r_{\rm mas}\simeq r_{\rm vir}$  (see Fig. 16 and also Shi 2016), and major mergers hardly change it. If we assume there are 'virial' shocks in the filamentary directions near  $r_{\rm vir}$ , the smooth accretion rate  $\Gamma_{\rm s,h}$  should be  $\sim 3$  in these directions.

# 5.2 Predicting smooth MAR from extended Press–Schechter formalism

We have shown that the smooth MAR  $\Gamma_s$  plays an important role in determining the splashback and MA-shock radii of galaxy clusters in our simulations. In reality, the situation is more complicated. We need at least to distinguish  $\Gamma_{s,1}$ ,  $\Gamma_{s,h}$ , and  $\langle \Gamma_s \rangle$  (see equations 5 and 6) to consider the anisotropy of the smooth accretion in clusters. It is also important to directly measure such quantities in cosmological simulations, which is non-trivial.

Alternatively, we could determine  $\Gamma_s$  (or  $\langle \Gamma_s \rangle$ ) in the Lambda cold dark matter ( $\Lambda$ CDM) universe in a semi-analytical way, i.e. by modeling the MAR of galaxy clusters using a Monte Carlo approach based on the extended Press–Schechter (EPS) formalism (Bond et al. 1991; Lacey & Cole 1993). Following the method developed in Parkinson, Cole & Helly (2008), we plant halo merger trees for galaxy clusters from redshift z=0 to 4. Note that, instead of the Einstein–de Sitter universe assumed in our merger simulations, we use the same cosmological parameters (a flat  $\Lambda$ CDM cosmology) as those by Parkinson et al. (2008) in this subsection. The mass assembly history of a cluster is assumed to track the tree's main branch, which starts from the host halo at the root and always walks downwards along the branch of the most massive progenitor until reaching the leaf node.

<sup>9</sup>Sugiura et al. (2020) proposed a way to measure the  $\Gamma_s$  parameter for the DM haloes in cosmological simulations by fitting the self-similar model to the halo's phase-space distribution. However, since the particles in the inner regions of the haloes are accreted earlier and reflect the MAR at a higher redshift, the best-fitting  $\Gamma_s$  tends to be biased to a higher value than the present one (see their Section 5). The redistribution of DM particles in (major) mergers may also affect the fitting results.



**Figure 18.** Similar to Figs 12 and 14, but for the post-merger stage of the cluster major merger in the simulation  $\xi$ 2V1hΓ1\_hres. The gas velocity in the ICM in the x-y plane is shown as vectors in the pressure slices. One can see turbulence in the cluster outskirts driven by the major merger and associated with the formation of the MA-shock, type-III CDs, and high-entropy gas shell (see Section 4.3).

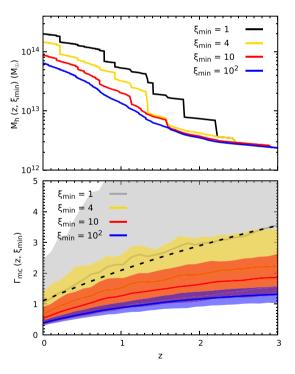
An example of such assembly histories is exhibited in the top panel of Fig. 19 (black line). One can see that the cluster experiences multiple major and minor mergers since z=3. To further quantify the mass contributions from the mergers by different merger mass ratio  $\xi$ , we estimate the evolution of the halo mass  $M_{\rm h}(z,\,\xi_{\rm min})$  excluding all the contributions from the mergers with  $\xi \leq \xi_{\rm min}$  later than redshift  $z_{\rm s}$  (i.e.  $M_{\rm h}(z_{\rm s},\,\xi_{\rm min}) \equiv M_{\rm h}(z_{\rm s},\,1)$ ). In the top panel of Fig. 19, the yellow, red, and blue lines illustrate the evolution of  $M_{\rm h}(z,\,\xi_{\rm min})$  when  $\xi_{\rm min}=4,10$  and  $10^2$  ( $z_{\rm s}=3$ ), respectively. One can see that about one third of the cluster's final mass comes from the smooth accretion and small minor mergers ( $\xi \gtrsim 10^2$ ; see Genel et al. 2010).

$$\Gamma_{\rm mc}(z, \, \xi_{\rm min}) = \frac{\log \left[ M_{\rm h}(z, \, \xi_{\rm min}) / M_{\rm h}(z + \Delta z, \, \xi_{\rm min}) \right]}{\log \left[ a(z) / a(z + \Delta z) \right]} \frac{M_{\rm h}(z, \, \xi_{\rm min})}{M_{\rm h}(z, \, 1)}, \tag{7}$$

We then estimate the halo's MAR as

where  $\Delta z = |z(t) - z(t - t_{\rm dyn})|$  is the redshift interval that is separated by the halo's dynamical time-scale  $t_{\rm dyn} \equiv (4\pi G \Delta_{\rm vir} \rho_{\rm c}(z)/3)^{-1/2}$ . This definition is close to those widely used in the literature when  $\xi_{\rm min} = 1$  (e.g. Diemer et al. 2017). We note that it is meaningless to choose a much shorter time interval here (cf. equation 3) since the mergers are completed instantaneously in the merger trees. In this work, we fix the final mass of the cluster  $M_{\rm h}(0, 1) = 2 \times 10^{14} \, {\rm M}_{\odot}$  and randomly generate  $10^3$  assembly histories.

The bottom panel of Fig. 19 shows the evolution of the modeled  $\Gamma_{\rm mc}(z,\,\xi_{\rm min})$  when  $\xi_{\rm min}=1,4,10,10^2$ . The solid lines and shaded areas show the mean MARs and the 10–90th percentile ranges, respectively. As we expect, the MAR is a strong function of  $\xi_{\rm min}$ . The full MAR (i.e.  $\xi_{\rm min}=1$ ) ranges  $\sim$ 1–4 from z=0 to 3, which has been well known from the previous studies (see e.g. Zhao et al. 2009; Jiang & van den Bosch 2014). Its large scatter is mostly due to the (major) mergers. For comparison, we show the best-fitting mean MAR from the Millennium cosmological simulations as the dashed black line in the figure (Fakhouri et al. 2010). The good agreement here is not surprising because our merger trees are calibrated by the Millennium simulations as well (Parkinson et al. 2008). When the  $\xi_{\rm min}$  gets larger, the corresponding MAR and its scatter become smaller. The  $\Gamma_{\rm mc}$  spans  $\simeq$ 0.5–2 (z=0-3) when  $\xi_{\rm min} \leq 10$ , which



**Figure 19.** Top panel: Mass assembly history of a halo with the final mass  $2 \times 10^{14} \, M_{\odot}$  at z=0 modeled by the Monte Carlo method (black line; see Parkinson et al. 2008). The yellow, red, and blue lines show the evolution of the halo mass while excluding all contributions from the mergers with the mass ratio  $\xi \leq \xi_{\min} = 4$ , 10, and  $10^2$ , respectively. Bottom panel: Evolution of the MAR parameter  $\Gamma_{\text{mc}}$  when  $\xi_{\min} = 1, 4, 10, 10^2$  (see equation 7). The solid lines and shaded areas show the mean rates and their scatters (10th–90th percentiles) over  $10^3$  halo assembly histories, respectively. The dashed black line represents the best-fitting mean MAR from the Millennium cosmological simulations (Fakhouri et al. 2010). This figure shows that the clusters' smooth MAR is  $\simeq 1$  when z < 3, prominently smaller than their full MAR (see Section 5.2).

is mostly contributed by the smooth accretion and mildly increases with redshift. This result is broadly in line with our constraint on  $\Gamma_s$  discussed in Section 3.1.

We emphasize here again that it is crucial to separate the smooth MAR  $\Gamma_s$  from  $\Gamma_{vir}$  (see equations 1 and 3) when analyzing the mass assembly of a cluster. The former is a key factor in characterizing the mass distributions beyond  $r_{vir}$  of galaxy clusters. We also suggest that the method to estimate  $\Gamma_{mc}(z, \, \xi_{min})$  used in this subsection might be a robust way to constrain the  $\Gamma_s$  parameter for individual galaxy clusters in cosmological simulations. However, we also stress that the merger scenario in the EPS formalism is still highly simplified because (1) no structure of the merging haloes is resolved, (2) mergers complete instantaneously, and (3) possible correlations among different merger events and correlations between mergers and periods of increased smooth mass accretion are missing in this ansatz. These simplifications may partially affect the scatter of  $\Gamma_{mc}(z, \, \xi_{min})$  shown in Fig. 19.

# 5.3 The effect of non-radial orbits of galaxies on the splashback location in observed clusters

Observationally, the splashback radii of galaxy clusters have been detected in the stacked member-galaxy surface density (e.g. More et al. 2016; Chang et al. 2018; Murata et al. 2020) and weak lensing measurements (Chang et al. 2018; Contigiani, Hoekstra & Bahé 2019). Some observations measured a lower  $r_{\rm sp}/r_{\rm vir}$  in the optically selected clusters than the theoretical expectations from the cosmological simulations (see Busch & White 2017 for a discussion on the selection and projection effects on this measurement). Such systematic bias, however, disappears if the SZ cluster samples are used instead (e.g. Shin et al. 2019; Adhikari et al. 2020). Theoretically, there is no guarantee that the backsplash galaxies share the same outer boundary with the smoothly accreted DM because of the dynamical friction (Adhikari, Dalal & Clampitt 2016; O'Neil et al. 2020) and the non-radial orbits of the infalling objects. The latter is rarely mentioned in the literature, and we consider it below.

To illustrate the effect of the galaxies' non-radial orbits on their apocentres, we estimate the trajectories of the massless test particles in the self-similar cluster with different initial velocities. The top panel in Fig. 20 shows an example of test particles that are initially set at the virial radius at redshift z=1 and have an initial speed of  $1.1V_{\rm vir}$ , where  $V_{\rm vir} \equiv \sqrt{GM_{\rm vir}/r_{\rm vir}}$  is the virial velocity. All test particles fall into the halo for the first time. These particles show different trajectories due to their various initial radial  $(u_{\rm r})$  and tangential  $(u_{\rm t})$  velocities. Their primary apocentric distance  $r_{\rm apo}$  is smaller if the initial velocity has a larger tangential component. The galaxies' non-radial orbits therefore impact the splashback radius of galaxy clusters determined from their member galaxy distributions, even if the galaxies are so small that the dynamical friction is negligible.

The bottom panel of Fig. 20 further shows the primary apocentric distance of the test particles in units of  $r_{\rm sp}$  as a function of the initial radial and tangential velocities. For comparison, we overplot the best-fitting 2D distribution of the infalling velocities of the subhalos  $f(u_{\rm r}, u_{\rm t})$  at the host halo's virial radius from the cosmological simulations (black contours; see Benson 2005 and their equations 2–4 and parameters for z=1). The contours (from the outer to inner) indicate the regions covering 90, 50, and 10 per cent of the total sample, respectively. This figure shows that the splashback radius inferred by the distribution of small subhalos is close to the real  $r_{\rm sp}$ . We simply estimate the mean ratio  $r_{\rm apo}/r_{\rm sp}$  within the three regions marked by the contours, i.e.  $\int f(u_{\rm r}, u_{\rm t})(r_{\rm apo}/r_{\rm sp}) {\rm d}u_{\rm r} {\rm d}u_{\rm t} = 0.96, 0.92, and 0.90$  (from the outer to inner). It implies that, besides

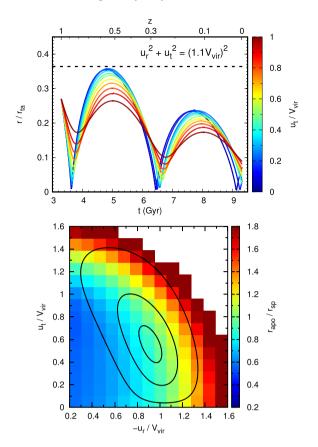


Figure 20. Top panel: Trajectories of massless test particles in a self-similar cluster ( $\Gamma_s = 1$ ). These particles are initially set at the virial radius at redshift z = 1 and have an initial speed of  $1.1V_{\rm vir}$ . The line colour encodes the particles' initial tangential velocities. The horizontal dashed line shows the splashback radius of the self-similar cluster  $r_{\rm sp}$ . This figure shows that the apocentric distance of galaxies depends on their non-radial orbits. Bottom panel: Primary apocentric distance of the test particles in units of  $r_{sp}$  as a function of the particles' initial radial and tangential velocities. The overlaid black contours show the best-fitting two-dimensional (2D) distribution of orbital velocities of the infalling DM subhalos at the host halo's virial radius from cosmological simulations (Benson 2005). These three contours indicate the regions covering 90, 50, and 10 per cent of the subhalo sample (from the outer to inner), respectively. The averaged  $r_{\rm apo}/r_{\rm sp}$  within the regions enclosed by the contours is smaller than the unity, which implies that the non-radial orbits of the clusters' member galaxies may affect the measurements of the splashback radius (see Section 5.3).

the dynamical friction, the non-radial orbits of galaxies could lead to an underestimation of the splashback radius as well. However, this conclusion depends on the distribution of the infalling galaxies' velocities (e.g. Vitvitska et al. 2002; Benson 2005; Jiang et al. 2015) and the angular momentum of the DM particles smoothly accreted into the cluster. The latter is assumed to be zero in this discussion. If these DM particles and galaxies have comparable orbital distributions, they would indicate similar splashback radii but both deviate from the prediction of the 1D self-similar model.

We suggest that a detailed comparison of the splashback radii measured in the weak lensing and galaxy distribution may provide an opportunity to explore the statistics of the galaxies' motions (e.g. distributions of the relative velocities and orbital ellipticity) before they enter the cluster. Such measurements for galaxies with different properties (e.g. star formation, colour, morphological types, etc.)

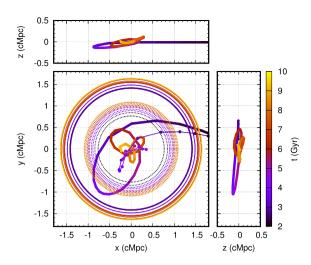


Figure 21. Three orthographically projected views of the orbital trajectory of the infalling subcluster in the simulation  $\xi 10V21\Gamma 1$  (thick solid lines). The line colour encodes the cosmic time. The centre of the main cluster is at the origin of the coordinates. The thin solid and dotted circles show the evolution of the azimuthally averaged splashback radii and cluster virial radii, respectively. For comparison, the projected trajectory of the subcluster in the x-y plane in the run  $\xi 10V1h\Gamma 1$  is overlaid in the bottom left-hand panel as the points are connected by thin lines. This figure shows that the orbital trajectories of the infalling subclusters are sensitive to the initial merger parameters (e.g.  $\mathbf{v}_0$ ; see Section 5.3).

can indicate if galaxy orbits in clusters play a role in shaping these properties (e.g. Adhikari et al. 2020).

For massive galaxies, the dynamical friction and tidal effects are non-negligible, making the situation more complicated (see Adhikari et al. 2016). Fig. 21 shows the orbital trajectory of the infalling subcluster in our simulation  $\xi$  10V21 $\Gamma$ 1 (thick solid line). The line colour encodes the cosmic time. The solid and dashed circles show the evolution of  $r_{\rm sp}$  and  $r_{\rm vir}$ , respectively. For comparison, we also show the projected trajectory of the subcluster in the run  $\xi$  10V1h $\Gamma$ 1 in the bottom left-hand panel (points connected by the thin lines). This figure shows that the apocentric distance of the subclusters is sensitive to the infalling orbits but very different from that predicted in Fig. 20, since the dynamical friction is a function of the object's mass, velocity, and the DM density of the environment. In such a situation, the infalling object along a purely radial orbit may have a smaller apocentric distance than that in a non-radial orbit.

# 5.4 Role of MA-shocks in ram-pressure stripping infalling galaxies

MA-shocks prominently extend the gaseous atmospheres of galaxy clusters up to  $\simeq 2-3r_{\rm vir}$ . The infalling field galaxies would therefore feel the ram pressure even when they are far beyond the cluster virial radius (e.g. Book & Benson 2010; Bahé et al. 2013; Zinger et al. 2018a; Arthur et al. 2019). Once the galaxies cross the MA-shock front, their hot gaseous atmospheres feel a drastic increase of the ram pressure because (1) the gas density of the ambient medium raises by a factor of 4 due to a high Mach number of a MA-shock; and (2) the newly accreted gas stops falling together with the galaxies within the  $r_{\rm mas}$ . In our simulations, we find that the ICM gas density between  $r_{\rm vir}$  and  $r_{\rm mas}$  is approximately uniform  $\rho_{\rm icm} \simeq 10\rho_{\rm c}\Omega_{\rm b}/\Omega_{\rm m}$  (see Fig. B1) and its radial velocity is smaller than  $V_{\rm vir}/4$  (either negative or positive).

We quantify the ram pressure role in striping galaxies in cluster outskirts with the method described in McCarthy et al. (2008; see also Gunn & Gott 1972). The galaxy's hot atmosphere is peeled off beyond the radius r if the ram-pressure force is larger than the gravitational force, i.e.

$$\rho_{\rm icm} u_{\rm rel}^2 > \alpha \frac{G M_{\rm gal}(r) \rho_{\rm gal,gas}(r)}{r}, \tag{8}$$

where  $M_{\rm gal}(r)$  and  $\rho_{\rm gal,\,gas}(r)$  are the galaxy's enclosed total mass and gas density at the radius  $r,\,u_{\rm rel}$  is the relative velocity between the galaxy and the ICM,  $\alpha$  is a geometric parameter. McCarthy et al. (2008) suggested that  $\alpha=2$  provides consistent results between their analytical and numerical models, which we adapt in this study as well. To qualitatively understand the efficiency of the ram pressure, we specifically evaluate equation (8) at the galaxy's virial radius  $(r_{\rm gal,\,vir})$  and  $r_{\rm 500}$  (referred to as  $r_{\rm gal,\,500}$  hereafter), and simply assume  $\rho_{\rm icm}=10\rho_{\rm c}\Omega_{\rm b}/\Omega_{\rm m},\,u_{\rm rel}=V_{\rm vir},$  and  $M_{\rm gal}(r_{\rm gal,\,500})=M_{\rm gal}(r_{\rm gal,\,vir})/2$  in the calculation. The equation (8) could thus be re-written as

$$\xi_{\rm gal} \equiv \frac{M_{\rm vir}}{M_{\rm gal}(r_{\rm gal,vir})} \gtrsim \left(\frac{\alpha \Delta}{10}\right)^{3/2},$$
(9)

where  $\Delta=\Delta_{\rm vir}$  and 500 at  $r_{\rm gal,\,vir}$  and  $r_{\rm gal,\,500}$ , respectively. Note that the gas fraction of galaxies is assumed to be equal to that of the ICM in equation (9). We finally find that the ICM could remove galaxies' atmosphere at  $r_{\rm gal,\,vir}$  if  $\xi_{\rm gal}\gtrsim 10^2$  – the condition for the ICM to have an effect on the infalling galaxies beyond the virial radius. Moreover, a large fraction of the galaxies' atmospheres would be stripped, namely, reaching at least  $r_{\rm gal,\,500}$ , if  $\xi_{\rm gal}\gtrsim 10^3$ . While the cluster-galaxy merger mass ratio largely determines the efficiency of the stripping process, this may not work for the galaxies entering the cluster along filamentary directions. Though the gaseous environment is denser in the filaments, the relative velocity between the galaxies and the surrounding atmosphere in the filaments might be much smaller than the virial velocity.

#### 6 CONCLUSIONS

In this study, we perform idealized cosmological simulations to explore how mergers shape the DM and gas distributions in the outskirts of galaxy clusters. Specifically, we simulate mergers between two galaxy clusters. Each cluster is initialized using the spherical self-similar collapse model with the accretion shock and DM splashback feature self-consistently included. Comparing the results of the merger simulations with the self-similar baseline model allows us to investigate the effects of a merger on the properties of the merger remnant, particularly the locations of the splashback boundary and the external shock. Results of this study can be used to bridge the cluster's self-similar model and the cluster formation simulations in a full cosmological context.

We investigate in detail the evolution of the outer DM caustic (splashback) during and after the merger, development, and propagation of the merger-driven shocks (including the external shocks, namely MA-shocks) and CDs in the gas atmosphere, and evolution of the shape of the DM, gas, and gravitational potential. Our simulation results support the interpretation that mergers drive the prominent offsets between the external shock and splashback radii in galaxy clusters measured in cosmological simulations. We further discuss the effects of smooth accretion and mergers on the evolution of clusters, the effects of orbital parameters of member galaxies on the location of the observational splashback feature, and the role of the MA-shock in stripping infalling galaxies' atmospheres. Our main findings are summarized as follows.

- (i) Major mergers strongly affect the splashback radii of galaxy clusters with a small smooth MAR parameter ( $\Gamma_{\rm s} \lesssim 1$ ) used to set up the self-similar initial condition. During the core passage, the matter experiences a rapid contraction. The splashback radius of the main cluster shrinks significantly. For example, in mergers with the mass ratio  $\xi \lesssim 2$ , the splashback radius decreases to  $\simeq r_{\rm vir}$ , while in larger mass-ratio mergers, the decrease is still significant and reaches the minimum value of  $r_{\rm sp}/r_{\rm vir} \simeq 1.3-1.4$  (see Fig. 7). The splashback radius restarts to increase shortly after the core passage and approaches the self-similar prediction after about  $1-2\tau_{\rm dyn}$ .
- (ii) We show that a fraction of particles belonging to the subcluster is in resonance with the merger. They experience increases in their radial velocities while approaching the main cluster, achieve large kinetic energies, and could reach up to  $\simeq 5r_{\rm vir}$  of the merger remnant (see Figs 5 and 6). At later epochs, the outermost caustic surface of the merger remnant is mostly constituted by the newly smoothly accreted DM particles.
- (iii) The total MAR  $\Gamma_{\rm vir}$  experienced by the main cluster during the merger (see equation 3) can be much larger than the smooth MAR parameter  $\Gamma_{\rm s}$ . We find that the  $r_{\rm sp}/r_{\rm vir}$  ratio exhibits a strong temporal correlation with the evolution of  $\Gamma_{\rm vir}$  but with a  $\simeq 1$  Gyr time offset (see Fig. 7). The form of the correlation, however, is sensitive to the way we define  $\Gamma_{\rm vir}$  (see Fig. 8).
- (iv) The major mergers of the clusters with low initial  $\Gamma_s$  ( $\simeq 1$ ) make dominant contribution to the scatter of the relation  $\Gamma_{\rm vir} r_{\rm sp}/r_{\rm vir}$  on the high  $\Gamma_{\rm vir}$  ( $\gtrsim 3$ ) end. Therefore, the large scatter of this scaling relation measured in the cosmological simulation indicates that clusters generally have a low rate of smooth accretion (i.e.  $\Gamma_s \sim 1$ ; see Section 3.1). We show that this conclusion is consistent with the direct measurements of the smooth accretion rate from the mass assembly histories generated using the EPS ansatz (see Section 5.2). Our results imply that it is important to distinguish the  $\Gamma_s$  and  $\Gamma_{\rm vir}$  rates of galaxy clusters when exploring the evolution of their splashback radii.
- (v) We measure triaxial-ellipsoid axis ratios of cluster's DM halo, gas halo, and gravitational potential at different radii during and after mergers. As expected, we find the merger remnant is significantly aspherical, with the major axis of the ellipsoid closely aligned with the merger axis. The axis ratios of the DM haloes are generally  $b/a \simeq 0.6 0.8$  and  $c/a \simeq 0.4 0.6$  within the splashback radius and only mildly depend on the merger parameters (e.g. merger mass ratio, impact parameter). However, they quickly drop to lower values around  $r \simeq r_{\rm sp}$  (see Fig. 9), implying that mergers leave an imprint in the strongly aspherical shape of the DM distribution near the splashback surface.
- (vi) The hot atmospheres of galaxy clusters could significantly expand by the merger-driven MA-shocks that form when runaway shocks generated by mergers overtake the pristine accretion shocks. Our simulations show that the smooth MAR  $\Gamma_s$  and merger mass ratio  $\xi$  are the key parameters that determine the evolution of the MA-shocks during mergers (see Figs 15 and 16). When  $\Gamma_s \lesssim 1$ , the MA-shock fronts reach radii much larger than the DM splashback boundaries, e.g.  $r_{\rm mas}/r_{\rm sp} \simeq 1.7~(\Gamma_s = 0.7)$  and  $1.3~(\Gamma_s = 1)$  when  $\xi = 2$ . In contrast, when the smooth MAR is high (e.g.  $\Gamma_s \gtrsim 3$ ), the MA-shock does not propagate beyond  $\simeq r_{\rm sp}$ , even during a major merger.
- (vii) Given the dependence of the maximum radius of the MAshock on  $\Gamma_s$  in our merger simulations and the fact that the external shocks of galaxy clusters found in cosmological simulations are generally at  $r_{\rm mas}/r_{\rm sp} \simeq 1.5-2.5$ , we conclude that (1) these external shocks are mostly driven by the runaway shocks formed in the mergers. Namely, they are MA-shocks rather than the ordinary accretion shocks (see Section 4.2). (2) The MAR in the directions

- away from filaments, where the shock extends the most, is small (see Section 5.1).
- (viii) Multiple shock structures are developed in the cluster premerger stage. In major mergers, the interaction of the accretion shocks of the two merging clusters drives a pair of reverse shocks and further a strong Mach stem. When the two clusters get closer, bow shocks are formed in front of them. The compressed gas between the clusters generates a hot outflow propagating perpendicularly to the merger axis (see Fig. 12).
- (ix) We classify the CDs formed in cluster mergers into three types based on their formation scenarios. They could co-exist in a single merger event and are well captured in our idealized simulations (see Section 4.3). In particular, the shock-driven CDs, formed in the collisions between the runaway and accretion shocks, are located in the cluster outskirts (from  $\simeq 0.5 r_{\rm vir}$  to  $r_{\rm mas}$ ) and survive for  $\simeq 3-5$  Gyr in our simulations. These CDs and the MA-shocks may further drive turbulent gas motions in the outer cluster regions.
- (x) We show that the location of the splashback feature traced by cluster galaxies depends on their orbital parameters during the infall. The bias is small if the galaxies have similar orbital-parameter distributions with the smoothly accreted DM. However, it can become substantial and bias the measured splashback radius low for subsets of galaxies with more tangential orbits (see Section 5.3). Conversely, such bias detected for subsets of the cluster galaxies with specific properties (e.g. colour, star formation, morphology) can be useful to probe the connections between these properties and galaxy orbits.
- (xi) In galaxy clusters, the gas between  $r_{\rm vir}$  and  $r_{\rm mas}$  can substantially boost the ram-pressure stripping efficiency of the hot gaseous atmospheres of the infalling galaxies. We argue that the cluster-togalaxy mass ratio  $\xi_{\rm gal}$  is a key factor that determines the efficiency of this process. The effect is present when  $\xi_{\rm gal} \gtrsim 10^2$  and becomes efficient when  $\xi_{\rm gal} \gtrsim 10^3$  (see Section 5.4).

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## DATA AVAILABILITY

The data underlying this article will be shared on reasonable request to the corresponding author.

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#### APPENDIX A: SIMULATION METHOD

In this section, we provide additional technical details of our simulations that are not fully covered in Section 2.

# A1 Modeling of DM haloes

We generate the DM particles for a self-similar cluster in the following way. We first select a cosmic time  $t_{\text{ita}}$  much earlier than the starting time of our simulations, i.e.  $t_{\text{ita}} = 10^{-4} t(z_{\text{ini}})$ . The turnaround radius of the halo at  $t_{\text{ita}}$  is therefore  $r_{\text{ita}} = r_{\text{ta}}(z_{\text{ini}}) \left[ t_{\text{ita}} / t(z_{\text{ini}}) \right]^{2(1+\Gamma_s/3)/3}$ . We then split the volume between radii  $r_{ita}$  and  $r_{ta}(z_{ini})$  into a number of spherical shells and calculate shell masses at the moments when they reach their turnaround radii. Each shell here corresponds to one DM

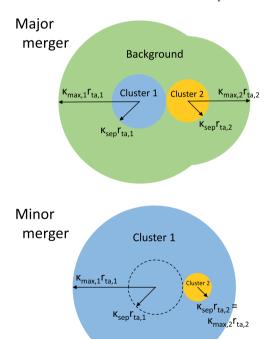


Figure A1. A sketch illustrates how we set two self-similar clusters in the initial conditions for major (top) and minor (bottom) merger cases, respectively. In the major mergers, we retain the inner regions of the two clusters (within  $\kappa_{\text{sep}} r_{\text{ta}, i}$ , i = 1, 2; see the blue and yellow regions) and define a 'background' area (green) where the matter distribution is a combination of those of two individual clusters. In minor mergers, we simply embed the inner region of the subcluster in the main cluster (see Section 2.2 and Appendix A2).

particle of the halo within  $r_{ta}(z_{ini})$  at  $t(z_{ini})$ . We properly select the inner and outer boundaries of the shells so that they approximately have the same mass. Since the self-similar solution provides the trajectories of these shells (Bertschinger 1985; Shi 2016), we can estimate their radial positions and velocities at  $t(z_{ini})$ . To convert these shells into particles, we randomly assign them 3D positions based on their radial positions.

Generating the DM particles outside of the halo's turnaround radius  $r_{ta}(z_{ini})$  is more straightforward based on the self-similar solution. In the same way, we split the volume between  $r_{ta}(z_{ini})$ and  $\kappa_{\rm max} r_{\rm ta}(z_{\rm ini})$  into spherical shells. The  $\kappa_{\rm max}$  determines the outer boundary of the matter distribution surrounding the cluster (see Fig. A1). To save the simulation run time, we logarithmically increase the shell's mass (from the inner to outer) by a factor of  $1 + 2 \times 10^{-7}$ , so that the mass of the outermost shell is approximately 3-5 times higher than the innermost one. The latter is set to be the averaged particle mass within  $r_{ta}(z_{ini})$ . The total number of DM particles in the simulation is reduced by  $\sim$ 50 per cent in such a setting. The bottom panel in Fig. 1 shows an example of the radial positions and velocities of the DM particles in the cluster with  $\Gamma_s = 1$ .

#### A2 Setting-up merger initial conditions

Fig. A1 illustrates our strategy to set two self-similar clusters in the initial conditions. Their initial separation is  $\kappa_{\rm sep}(r_{\rm ta, 1} + r_{\rm ta, 2})$ . In major mergers, the density and velocity distributions of the DM and gas are a linear combination of those of the individual clusters in a 'background' region (green area), i.e.

$$\rho_{\mathbf{X}}(\mathbf{r}) = \sum_{i=1,2} \rho_{\mathbf{X},i}(|\mathbf{r} - \mathbf{r}_{\mathbf{c},i}|) - \rho_{\mathbf{c}},\tag{A1}$$

$$\rho_{\mathbf{X}}(\mathbf{r}) = \sum_{i=1,2} \rho_{\mathbf{X},i}(|\mathbf{r} - \mathbf{r}_{\mathbf{c},i}|) - \rho_{\mathbf{c}}, \tag{A1}$$

$$\mathbf{u}_{\mathbf{X}}(\mathbf{r}) = \frac{\sum_{i=1,2} \rho_{\mathbf{X},i}(|\mathbf{r} - \mathbf{r}_{\mathbf{c},i}|)\mathbf{u}_{\mathbf{X},i}(|\mathbf{r} - \mathbf{r}_{\mathbf{c},i}|)}{\sum_{i=1,2} \rho_{\mathbf{X},i}(|\mathbf{r} - \mathbf{r}_{\mathbf{c},i}|)}, \tag{A2}$$

where the subscript X represents 'DM' and 'gas' for the DM and gaseous components, respectively;  $\mathbf{r}_{c,i}$  is the vector of the cluster i's centre;  $\rho_{X,i}$  and  $\mathbf{u}_{X,i}$  are the density and velocity profiles of the individual cluster. In the region  $|\mathbf{r} - \mathbf{r}_{c,i}| < \kappa_{\text{sep}} r_{\text{ta},i}$ , the density and velocity distributions are fully determined by the profiles of the cluster i (i.e.  $\rho_{X,i}$  and  $\mathbf{u}_{X,i}$ ; see the blue and yellow regions). We note that, in such setups, the gas distribution is initially discontinuous at the boundaries  $|\mathbf{r} - \mathbf{r}_{c,i}| = \kappa_{sep} r_{ta,i}$ , which leads to weak gaseous structures in the early phase of the simulations (see e.g. the left-hand panels in Fig. 12). However, it would not affect any conclusions made in this study. In the minor merger cases, we simply embed the subcluster (only the region within  $\kappa_{\text{sep}}r_{\text{ta},2}$ ) in the main cluster (see the illustration in Fig. A1).

# APPENDIX B: ILLUSTRATION OF MAJOR AND MINOR MERGERS

Figs B1 and B2 show examples of major and minor mergers of our idealized cosmological simulations, respectively. They exhibit the evolution of the slices of the DM density, gas density, temperature, entropy, and scalar field  $f_{\text{dye}}$  in the x-y plane (from the left column to the right) during the merger. Due to the gravitational torque, the merging clusters do not always move in the x-y plane but stay close to it (see also Fig. 21). These figures show that mergers significantly disturb both the DM and gas distributions in galaxy clusters (see detailed discussions in Sections 3 and 4). Various shocks and CDs are driven during the merger process (marked with the white and black arrows, respectively).

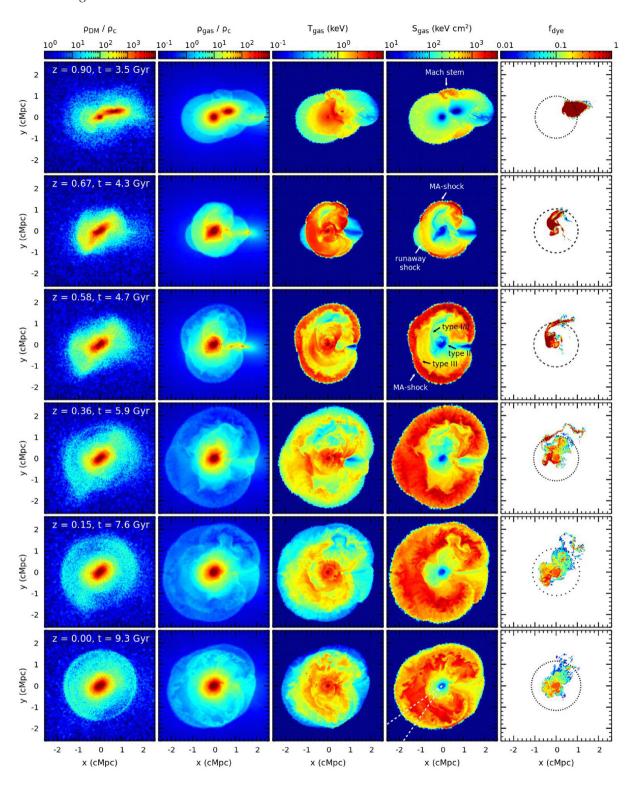
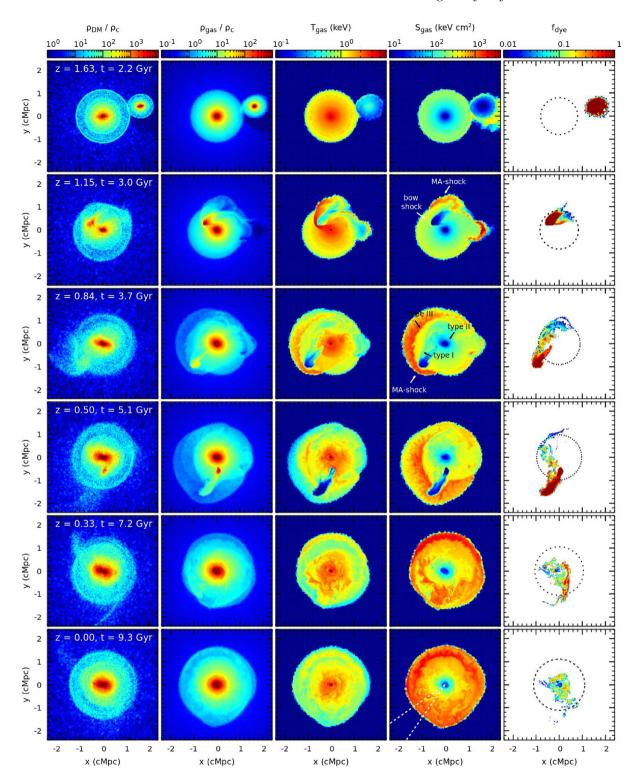


Figure B1. An off-axis major merger modeled in the simulation  $\xi 2V1h\Gamma 1$ . Its primary pericentric passage occurs at  $t \simeq 4$  Gyr. The panels show the evolution of the slices of the DM density, gas density, temperature, entropy, and scalar field  $f_{\rm dye}$  in the x-y plane (from left to right). The black dotted circles overlaid in the right-most column show the cluster virial radius. The white dashed lines in the bottom entropy panel indicate the conic region where the entropy profile shown in Fig. 17 is measured. Different types of CDs and shock fronts formed during the merger are indicated by the black and white arrows in the entropy slices (see also Figs 12, 14, and 17). This figure illustrates an entire major-merger process and its effects on the DM and gas distributions of galaxy clusters (see Sections 3 and 4 and Appendix B).



**Figure B2.** Similar to Fig. B1 but for a minor merger in the simulation  $\xi 10V21\Gamma 1$  (see Section 4 and Appendix B).

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