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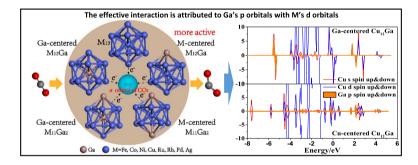
# Unveiling the critical role of p-d hybridization interaction in $M_{13-n}Ga_n$ clusters on $CO_2$ adsorption



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#### GRAPHICAL ABSTRACT



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#### ABSTRACT

Inspired by conclusions of previous studies that Ga has the promoting effect on  $CO_2$  conversion, we performed density functional theory (DFT) investigations of  $CO_2$  adsorption on forty icosahedral ( $I_h$ ) symmetry 13-atom clusters. They include  $M_{13}$ , Ga-centered  $M_{12}Ga$ , M-centered  $M_{12}Ga$ , Ga-centered  $M_{11}Ga_2$  and M-centered  $M_{11}Ga_2$  clusters (M = Fe, Co, Ni, Cu, Ru, Rh, Pd and Ag). Initially, the stabilities of these clusters were studied. The results show that Ga doped Cu, Pd, and Ag clusters are more stable than their pure metal analogues, and except Pd and Ag clusters, M-centered species are more stable than Ga-centered clusters. In addition, the activation of  $CO_2$  on these clusters was studied. The results show that most of M-centered  $M_{12}Ga$  clusters transfer more electron density to  $CO_2$  than other corresponding Ga-doped analogues. The amount of Bader charge transfers has noteworthy linear relationship with the structural parameters of  $CO_2$ . DOS analyses show that empty  $\sigma$  orbital of  $CO_2$  is acceptor of electrons from cluster. It is worth to mention that  $Ag_{13-n}Ga_n$  clusters have little interaction with  $CO_2$ . To explain the effects of Ga on the adsorption of  $CO_2$ , the electronic properties of clusters were studied. The projected density of states (PDOSs), charge density differences, Bader charge transfers and electron localization functions (ELFs) analyses show that Ga transfers electron density to M atom, and the effective

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#### 1. Introduction

It has been demonstrated that sub-nanometre sized metal clusters consisting of limited number of atoms with unique physical and chemical properties [1] have improved catalytic performances [2-5]. Experiments also showed that the sizes of metal catalysts can be tuned by the loading contents [3,6], which means that compared with bulk catalysts, clusters with a few atoms can largely reduce the loading amounts and thus reduce the costs of industrial processes. In addition, the electronic and magnetic properties of sub-nanometre sized clusters are largely changed compared to their bulk analogues and larger nanoparticles [1]. Among sub-nanometre sized clusters, transition metal 13-atom clusters  $TM_{13}$  (TM = Fe [7,8], Co [8], Ni [8,9], Cu [10,11], Pd [12], Ag [13], Pt [9,14–16] and Au [17]) have been extensively investigated experimentally and theoretically because of their large surface areas. In particular, TM<sub>13</sub> clusters can exhibit a high symmetric I<sub>h</sub> structure even though some do not represent the lowest energy structures, including  $Au_{13}[18]$ ,  $Pd_{13}[19]$ , and  $Pt_{13}[20]$ .

It is well known that  $\mathrm{CO}_2$  capture [21,22] and chemical and electrochemical processes for  $\mathrm{CO}_2$  reduction to fuels [23–27] have brought widespread attention of researchers because of its continuous increasing concentration in the atmosphere. Among the reduction products, methanol ( $\mathrm{CH}_3\mathrm{OH}$ ) is an easily marketable and useful feedstock. Although the studies on effective catalysts aiming for  $\mathrm{CO}_2$  reduction to  $\mathrm{CH}_3\mathrm{OH}$  have emerged in numerous publications [28–32]; however, the existing catalysts still have a long way before commercial utilization due to the low process and cost-efficiency. Therefore, great efforts still have to be dedicated to the design of new catalysts.

A large number of experimental and theoretical studies proved that compared with monometallic catalysts, bimetallic catalysts have higher catalytic activities [12,33]. For all the bimetallic combinations applicable for CO<sub>2</sub> reduction to CH<sub>3</sub>OH, Ga contained transition metal catalysts would be promising choices due to their good catalytic effects. For example, the studies performed by Studt et al. [34] and Fiordaliso et al. [35] respectively showed that Ni-Ga and Pd-Ga bimetallic catalysts are more effective for CO<sub>2</sub> reduction to methanol than traditional Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalysts. Ga plays an important role in the reaction. In Ga doped Cu/ZnO/ZrO<sub>2</sub> catalysts [36], the presence of Ga increases surface Cu and metallic Cu<sup>0</sup> and thus the active sites for CO<sub>2</sub> hydrogenation to CH<sub>3</sub>OH due to the segregation of Cu to the surface. The same conclusion was obtained by Toyir et al. [37]. In addition, Collins et al. [38,39] concluded that on a Pd/Ga<sub>2</sub>O<sub>3</sub> catalyst, CO<sub>2</sub> is stepwise hydrogenated

to  $CH_3OH$  on the surface sites of gallium oxide in the process of  $CO_2$  hydrogenation. In this reaction the role of Pd or Pd-Ga particles is to provide atomic hydrogen to the sites via spillover. Medina et al. [40] carried out a comparative study of  $Cu/SiO_2$  and Ga doped  $Cu/SiO_2$  in the hydrogenation of  $CO_2$  to  $CH_3OH$ , and found that formate can adsorb on both Cu and  $CO_2$  and  $CO_3$  and

With the development of computational approaches including DFT methods, more and more catalytic reactions could be investigated without spending much time and money. For example, theoretical study by Santiago-Rodríguez et al. [41] suggested that Ga doped Cu(1 1 1) surface may be among the promising catalysts for  $CO_2$  hydrogenation. In particular, using computational methods the structure parameters and the electronic properties can be well described, providing basis for predicting their catalytic effects. Previous studies [8,42] showed that the activation of  $CO_2$  is one of the most important descriptors in the  $CO_2$  reduction process.

In this work, aiming to screening potential catalysts for  $CO_2$  conversion, we designed a series of Ga doped  $I_h$  symmetry 13-atom clusters ( $M_{13}$ , Ga-centered  $M_{12}Ga$ , M-centered  $M_{12}Ga$ , Ga-centered  $M_{11}Ga_2$  and M-centered  $M_{11}Ga_2$  clusters (M=Fe, Co, Ni, Cu, Ru, Rh, Pd and Ag)) for the activation of  $CO_2$  by using DFT level computational studies. The stabilities of these clusters were initially investigated; and then the adsorption activities of  $CO_2$  were calculated. To further probe into the effect of Ga atoms on  $CO_2$  adsorption, the electronic properties of  $M_{13-n}Ga_n$  clusters were analyzed. The study can make advancement in understanding the effect of Ga in bimetallic catalysts towards the adsorption of  $CO_2$ , and provide the possibility of designing highly efficient catalysts for  $CO_2$  conversion to  $CH_3OH$ .

#### 2. Computational details

#### 2.1. Computational methods

In this work, all the first principles calculations were performed by the Vienna ab initio simulation package (VASP) [43–45] code. The exchange-correlation function was described by the generalized gradient approximation (GGA) with the formula of Perdew-Burke-Ernzerhof (PBE)[46]. The projector augmented wave (PAW) [47,48] pseudopotentials was used to treat the ion-electron interactions. A plane wave cut off energy of 400 eV was used to expand the electron function. The Brillouin zone was only sampled on gamma point for the

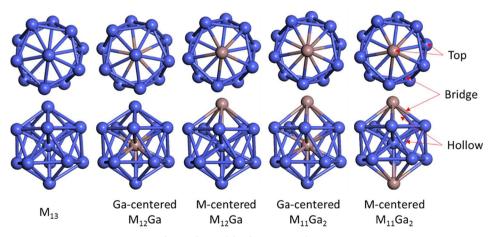


Fig. 1. The models of  $M_{13-n}Ga_n$  clusters.

geometry optimizations. The criterions that were used to terminate the electronic and ionic calculation steps are 1.0  $\times$  10<sup>-5</sup> eV and 0.01 eV/Å, respectively.

#### 2.2. Calculation models

Clusters' compositions and structures are important factors that affect their properties. The  $M_{13}$  clusters were initially built with highly symmetric  $I_h$  structures and  $M_{12}Ga$  or  $M_{11}Ga_2$  clusters were built based on optimized  $M_{13}$  clusters. In all simulations, the  $M_{13-n}Ga_n$  (n=0,1, and 2) cluster was placed in a  $20\times20\times20$  Å $^3$  cubic cell with periodic boundary conditions. The clusters' structures are shown in Fig. 1, and the average bond lengths are listed in Table S1 of Supplementary Materials. The adsorption activities of  $CO_2$  were probed using the optimized structures of  $M_{13-n}Ga_n$  clusters.

#### 3. Results and discussions

#### 3.1. Structure stabilities of $M_{13-n}Ga_n$ clusters

To evaluate the stabilities of  $M_{13-n}Ga_n$  clusters, the binding energy per atom ( $E_b$ ) is calculated, the used equation is as follows:

$$E_b = \frac{E_{M_{13-n}Ga_n} - (13-n)E_M - nE_{Ga}}{13}$$
 (1)

where  $E_{M_{13-n}Ga_n}$  is the total energy of  $M_{13-n}Ga_n$  cluster;  $E_M$  and  $E_{Ga}$  represent the chemical potentials of M and Ga atoms, respectively. The lower the  $E_b$  is, the more stable the cluster is. The  $E_b$  of  $M_{13-n}Ga_n$  clusters are listed in Table 1. As is shown for Fe, Co, Ni, Ru and Rh, the pure metal clusters have lower  $E_b$  than their corresponding Ga doped analogues, while for Cu, Pd, and Ag, Ga doped clusters have lower  $E_b$  than their pure metal compounds. The results suggest that Ga doped Cu, Pd, and Ag clusters are more stable than their pure analogues. For  $M_{12}$ Ga clusters, M-centered  $M_{12}$ Ga clusters have lower binding energies than the corresponding Ga-centered  $M_{12}$ Ga clusters except  $Pd_{12}$ Ga. This suggests that M-centered structures are more stable than Ga-centered structures, except  $Pd_{12}$ Ga. For  $M_{11}$ Ga<sub>2</sub> clusters, except  $Pd_{11}$ Ga<sub>2</sub> and  $Ag_{11}$ Ga<sub>2</sub> clusters, the same conclusion as for the  $M_{12}$ Ga species could be derived

Additionally, to further evaluate the site preference of Ga atom in  $\rm M_{12}Ga$  and  $\rm M_{11}Ga_2$  clusters, its segregation energy ( $E_{seg}^{Ga}$ ) is calculated, which is defined as

$$E_{\text{seg}}^{Ga} = E_{Ga-centered} - E_{M-centered} \tag{2}$$

where  $E_{Ga-centered}$  and  $E_{M-centered}$  represent the total energy of Ga-centered and M-centered  $M_{12}$ Ga ( $M_{11}$ Ga<sub>2</sub>) clusters, respectively. The negative value of  $E_{Sa}^{Gg}$  demonstrates that Ga favors the central position, and positive value means that Ga tends towards the surface position. Table 2 lists the segregation energies of Ga in  $M_{12}$ Ga and  $M_{11}$ Ga<sub>2</sub> clusters. It can be seen that except in  $Pd_{12}$ Ga and  $Ru_{12}$ Ga, Ga prefers the surface position in all the other  $M_{12}$ Ga clusters. It is worth to mention that for  $Ru_{12}$ Ga, surface Ga and center Ga atoms are compatible. Among

Table 2 The segregation energy of Ga in  $M_{12}\text{Ga}$  and  $M_{11}\text{Ga}_2$  clusters.

clusters	$E_{seg}^{Ga}/\mathrm{eV}$	clusters	$E_{seg}^{Ga}/\mathrm{eV}$
Fe <sub>12</sub> Ga	1.60	Fe <sub>11</sub> Ga <sub>2</sub>	1.28
Co <sub>12</sub> Ga	2.35	$Co_{11}Ga_2$	2.03
Ni <sub>12</sub> Ga	1.25	$Ni_{11}Ga_2$	1.95
Cu <sub>12</sub> Ga	1.66	$Cu_{11}Ga_2$	0.75
Ru <sub>12</sub> Ga	2.31	$Ru_{11}Ga_2$	2.00
Rh <sub>12</sub> Ga	0.005	$Rh_{11}Ga_2$	0.79
Pd <sub>12</sub> Ga	-0.81	$Pd_{11}Ga_2$	-0.49
Ag <sub>12</sub> Ga	0.97	$Ag_{11}Ga_2$	-0.43

 $M_{11}Ga_2$  clusters, Ga also favors the surface position except in  $Pd_{11}Ga_2$  and  $Ag_{11}Ga_2$  clusters. The result is consistent with that obtained from evaluation of  $E_h$ .

#### 3.2. The adsorption characteristics of $CO_2$ on $M_{13-n}Ga_n$ clusters

#### 3.2.1. Charge transfers between $CO_2$ and $M_{13-n}Ga_n$ cluster

Previous studies showed that charge transfers between substrate and  $CO_2$  is a key descriptor that assesses the activation of  $CO_2$  [8,49]. Bader charge is often useful for charge analysis [50–52]. Therefore, the adsorption of  $CO_2$  on  $M_{13-n}Ga_n$  clusters is checked on all potential sites (top, bridge, and hollow sites in Fig. 1). The Bader charge transfer of  $CO_2$  is defined as follows,

$$T_{Bader-CO_2} = \sum VC_{alom-in-molecule} - \sum VC_{single-alom}$$
(3)

where  $T_{Bader-CO_2}$  is the Bader charge transfer of  $CO_2$ ,  $\sum VC_{atom-in-molecule}$  is the sum of valence charge of each atom in  $CO_2$  after adsorption,  $\sum VC_{single-atom}$  is the sum of valence charge of each single atom in  $CO_2$ . The positive value of  $T_{Bader-CO_2}$  represents  $CO_2$  accepts electrons from clusters.

The structure with the highest Bader charge transfers (Table 3) from cluster to  $CO_2$  is selected as the aim of this study for each  $M_{13-n}Ga_n$  cluster. From Table 3, it can be seen that almost all the Ga doped clusters are characterized by higher Bader charge transfers than their corresponding pure metal clusters, except Ag. Among Ga doped clusters, M-centered  $M_{12}Ga$  clusters have the highest values of the Bader charge transfers and the average charge transfers is 0.18 e higher than that of pure metal clusters. The adsorption structures of  $CO_2$  on  $M_{13-n}Ga_n$  clusters with the highest Bader charge transfer values are shown in Fig. S1 of Supplementary Materials. For all clusters,  $CO_2$  prefers to adsorb on the M composed bridge or hollow sites.

To clearly understand the direction of the charge transfers, the charge density differences of  $CO_2$  adsorbed on  $Cu_{13-n}Ga_n$  clusters are calculated and shown in Fig. 2. The isosurfaces indicate that the charge density changes mainly occur near the adsorption sites, and the charge densities between C(O) and clusters increase and those between C and C decrease. It suggests that upon the bonding the bond strengths between substrate and  $CO_2$  are enhanced, and the C-C bonds are weakened. This indicates that  $CO_2$  is activated by  $Cu_{13-n}Ga_n$  clusters.

**Table 1** The binding energy per atom  $(E_b)$  of  $M_{13-n}Ga_n$  clusters.

	E <sub>b</sub> (eV/atom)		E <sub>b</sub> (eV/atom)			E <sub>b</sub> (eV/atom)	
			Ga-centered	M-centered		Ga-centered	M-centered
Fe <sub>13</sub>	-3.49	Fe <sub>12</sub> Ga	-3.31	-3.44	Fe <sub>11</sub> Ga <sub>2</sub>	-3.24	-3.34
Co <sub>13</sub>	-3.31	Co <sub>12</sub> Ga	-3.12	-3.30	$Co_{11}Ga_2$	-3.08	-3.23
Ni <sub>13</sub>	-3.54	Ni <sub>12</sub> Ga	-3.39	-3.49	$Ni_{11}Ga_2$	-3.32	-3.47
Cu <sub>13</sub>	-2.25	Cu <sub>12</sub> Ga	-2.17	-2.30	$Cu_{11}Ga_2$	-2.27	-2.32
Ru <sub>13</sub>	-4.21	Ru <sub>12</sub> Ga	-3.93	-4.11	Ru <sub>11</sub> Ga <sub>2</sub>	-3.83	-3.98
Rh <sub>13</sub>	-3.98	Rh <sub>12</sub> Ga	-3.93	-3.93	$Rh_{11}Ga_2$	-3.84	-3.90
Pd <sub>13</sub>	-2.33	Pd <sub>12</sub> Ga	-2.53	-2.47	$Pd_{11}Ga_2$	-2.63	-2.60
Ag <sub>13</sub>	-1.57	Ag <sub>12</sub> Ga	-1.59	-1.67	$Ag_{11}Ga_2$	-1.72	-1.68

Table 3 The highest Bader charge transfers of  $CO_2$  for each  $M_{13-n}Ga_n$  cluster.

	Bader (e)		Bader (e)	Bader (e)		Bader (e)	
			Ga-centered	M-centered		Ga-centered	M-centered
Fe <sub>13</sub>	0.77	Fe <sub>12</sub> Ga	0.85	0.93	Fe <sub>11</sub> Ga <sub>2</sub>	0.81	0.90
Co <sub>13</sub>	0.76	Co <sub>12</sub> Ga	0.75	0.97	$Co_{11}Ga_2$	0.87	0.73
Ni <sub>13</sub>	0.59	Ni <sub>12</sub> Ga	0.64	0.80	$Ni_{11}Ga_2$	0.77	0.52
Cu <sub>13</sub>	0.53	Cu <sub>12</sub> Ga	0.50	0.72	Cu <sub>11</sub> Ga <sub>2</sub>	0.61	0.56
Ru <sub>13</sub>	0.89	Ru <sub>12</sub> Ga	0.90	1.14	Ru <sub>11</sub> Ga <sub>2</sub>	1.02	1.04
Rh <sub>13</sub>	0.65	Rh <sub>12</sub> Ga	0.76	0.74	Rh <sub>11</sub> Ga <sub>2</sub>	0.80	0.88
Pd <sub>13</sub>	0.43	Pd <sub>12</sub> Ga	0.57	0.59	$Pd_{11}Ga_2$	0.64	0.50
Ag <sub>13</sub>	0.10	Ag <sub>12</sub> Ga	0.11	0.08	Ag <sub>11</sub> Ga <sub>2</sub>	0.09	0.08

#### 3.2.2. Structural parameters of adsorbed CO<sub>2</sub>

Most of studies showed that the adsorption geometry of  $CO_2$  on metal surfaces [53] or clusters [8] is in a "V" shape. The structure parameters, including angles and the average bond lengths of adsorbed  $CO_2$  are listed in Table S2 of Supplementary Materials. The correlations between Bader charge transfers and the angles and the average bond lengths of  $CO_2$  are calculated for all the considered  $M_{13-n}Ga_n$  clusters. As shown in Fig. 3, the Pearson correlation coefficients are as high as 0.89, and 0.94 for angles and average bond lengths of  $CO_2$ , respectively. It suggests that there are obvious linear relationships between Bader charge transfers and the structural parameters of  $CO_2$ . In other words, the activation of  $CO_2$  can be reflected on the degree of its structure deformation.

#### 3.2.3. Adsorption energies of CO2

The adsorption energy ( $E_{ads}$ ) of adsorbate is often used to measure the interaction between adsorbate and substrate. In this study, the  $E_{ads}$  of CO<sub>2</sub> on  $M_{13-n}$ Ga<sub>n</sub> cluster is defined as

$$E_{ads} = E_{total} - E_{M_{13-n}Ga_n} - E_{CO_2}$$
 (4)

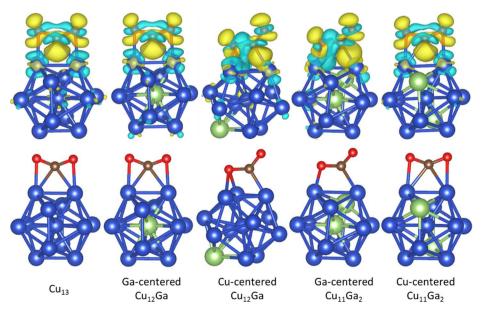
where  $E_{total}$  is the total energy of adsorbed CO<sub>2</sub> on M<sub>13-n</sub>Ga<sub>n</sub> cluster,  $E_{M_{13-n}Ga_n}$  is the energy of bare M<sub>13-n</sub>Ga<sub>n</sub> cluster, and  $E_{CO_2}$  represents the energy of CO<sub>2</sub> in gas phase. The  $E_{ads}$  of CO<sub>2</sub> are listed in Table S2 of Supplementary Materials.

Similarly, the correlation of Bader charge transfers and  $E_{ads}$  is calculated (Fig. 4). The Pearson correlation coefficient is 0.78, which indicates that the Bader charge transfers have lower correlation with  $E_{ads}$  than with the angles and the average bond lengths of  ${\rm CO}_2$ . Therefore, compared with structural parameters,  $E_{ads}$  may not be a good descriptor

to reflect the degree of CO2 activation.

#### 3.2.4. DOS analysis of CO<sub>2</sub> adsorption

To obtain better insight into the nature of CO2 adsorption on M<sub>13-n</sub>Ga<sub>n</sub> clusters, the DOS of some clusters and CO<sub>2</sub> before and after interaction are calculated and shown in Fig. 5. In Fig. 5(a)-(e), (1) represents the DOS projected on clusters' s and d orbitals before CO2 adsorption; (4) is the DOS of CO<sub>2</sub> before adsorption; (2) and (3) are the DOS projected on cluster's s and d orbitals and the DOS projected on CO2 after CO2 adsorption, respectively. Fig. 5(a) displays the DOS of CO<sub>2</sub> and Ag<sub>13</sub> before and after adsorption. It can be seen that during interaction of CO<sub>2</sub> and Ag<sub>13</sub> clusters, the empty σ orbital of CO<sub>2</sub> and the s and d orbitals of Ag<sub>13</sub> clusters change only slightly. It means that there is no electron transfer between Ag13 and CO2. Whereas, for the adsorption of CO2 on Ni13 and Cu13 clusters (Fig. 5(b) and (c)), the empty orbital of CO<sub>2</sub> changes significantly, and it has a little overlap with the cluster's s and d orbitals below the Fermi-level(0). This suggests that  $CO_2$  obtained electrons from Ni<sub>13</sub> or  $Cu_{13}$  clusters and formed  $CO_2^{\delta}$ . Usually, the orbitals below Fermi level(0) are electron occupied orbitals; on the contrary, the orbitals above Fermi level(0) are electron unoccupied orbitals. In addition, the antibonding of s and d orbitals of clusters are above the Fermi level(0), which benefits the adsorption. The results obtained from Bader charge transfers also well support these conclusions. Comparing Fig. 5(c)–(e), one can see that the  $\sigma$  orbital of CO2 drops lower on Cu-centered Cu12Ga cluster compared to Cu13 and Ga-centered Cu<sub>12</sub>Ga clusters. It means that on Cu-centered Cu<sub>12</sub>Ga cluster the adsorption of CO2 is stronger. The results are consistent with that conclusions obtained from Bader charge transfer values.



**Fig. 2.** Charge density differences of  $CO_2$  on  $Cu_{13-n}Ga_n$  clusters. Blue, green, brown and red balls are Cu, Ga, C and O atoms, respectively. Cyan and yellow represent the charge depletion and accumulation, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

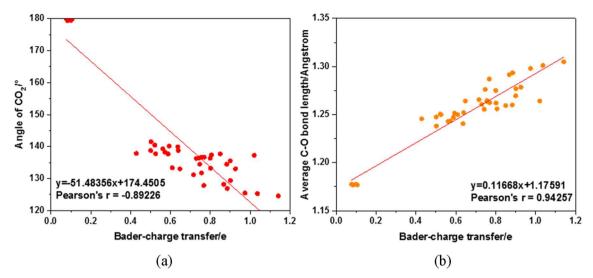


Fig. 3. The correlation between (a) Bader charge transfers from clusters to  $CO_2$  and angles of adsorbed  $CO_2$ , and (b) Bader charge transfers from clusters to  $CO_2$  and average C-O bond lengths of adsorbed  $CO_2$ .

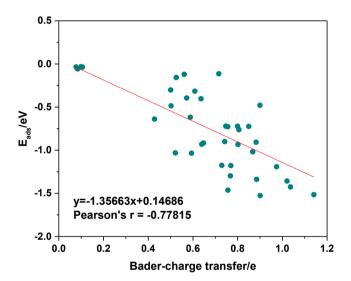


Fig. 4. The correlation between Bader charge transfers from clusters to  $\mathrm{CO}_2$  and  $E_{ads}.$ 

#### 3.3. The effects of doped Ga on CO2 adsorption

### 3.3.1. The d-band center of $M_{13-n}Ga_n$ clusters and the influence on $CO_2$ adsorption

The d-band centers of  $M_{13-n}Ga_n$  clusters are shown in Fig. 6(a). For 3d  $M_{13}$  clusters, the d-band center shift-up from Fe to Ni, while Cu has the lowest d-band center. However, for Ga replaced 3d  $M_{13}$  clusters, the  $Co_{12}Ga$  and  $Co_{11}Ga_2$  have lower d-band center than  $Fe_{12}Ga$  and  $Fe_{11}Ga_2$  clusters, respectively. For 4d  $M_{13}$  clusters, the d-band centers follow the same order:  $Ag_{13-n}Ga_n < Ru_{13-n}Ga_n < Rh_{13-n}Ga_n < Pd_{13-n}Ga_n$ .  $Ag_{13-n}Ga_n$  clusters have the lowest d-bands centers among all the corresponding clusters, then are  $Cu_{13-n}Ga_n$  clusters. In general, compared with the d-band centers of pure metal clusters, their corresponding Ga doped clusters' d-band centers shift-up.

The correlation between Bader charge transfer values from clusters to  $CO_2$  and d-band centers of clusters is calculated for  $M_{13}$ , Ga-centered  $M_{12}Ga$ , M-centered  $M_{12}Ga$ , Ga-centered  $M_{11}Ga_2$ , and M-centered  $M_{11}Ga_2$  clusters (Fig. 6(b)). The results show that Bader charge transfers between substrate and  $CO_2$  have notable linear relationship with d-band centers of substrates for each type of clusters with all Pearson correlation coefficients higher than 0.74. The results are consistent with

previous studies that the higher the d-band center is, the more active the catalyst is [54–56]. Therefore, the doping by Ga makes the 13 atom metal clusters more active.

3.3.2. Electronic properties of Ga doped  $M_{13-n}Ga_n$  clusters and the influence on  $CO_2$  adsorption

To find out the nature of the Ga doped  $\rm M_{13}$  clusters, the Bader charge transfer of Ga and the charge density differences are calculated (Table S3 and Fig. S2 in Supplementary Materials, respectively). The Bader charge transfer of Ga is defined as

$$T_{Bader-Ga} = \sum VC_{Ga-in-molecule} - \sum VC_{Ga}$$
 (5)

where  $T_{Bader-Ga}$  is the Bader charge transfer of Ga,  $\sum VC_{Ga-in-molecule}$  is the sum of valence charge of Ga in  $M_{13-n}Ga_n$  cluster,  $\sum VC_{Ga}$  is the sum of valence charge of Ga atoms. The negative value of  $T_{Bader-Ga}$  represents Ga has lost electrons.

By taking the example of Ga doped Cu<sub>13-n</sub>Ga<sub>n</sub> clusters, the Bader charge transfer values from Ga to Cu amount to 0.69 and 0.26 e in Gacentered and Cu-centered Cu12Ga clusters, respectively. In Ga-centered and Cu-centered Cu<sub>11</sub>Ga<sub>2</sub> clusters, those values are 1.07 and 0.97 e. Besides these, there are also charge transfers among Cu atoms. The charge density differences of  $Cu_{13-n}Ga_n$  clusters are shown in Fig. 7. It can be seen that electron density only accumulates between Cu atoms, and Ga atom transfers electrons to Cu atoms. Although Ga atom does transfer electrons to M in Ga doped M<sub>13-n</sub>Ga<sub>n</sub> clusters, the number of electron transfers from Ga to M has no relation with the degree of CO2 activation mentioned above. It is worth to note that CO2 is far away from Ga atom after optimizing all the configurations, in which CO2 is initially located at the top of Ga. This can be explained by the charge density difference of  $M_{13-n} Ga_n$  clusters that indicates little electron accumulation around Ga atom, and thus there is no electron transfer from Ga to CO2.

The ELF is a useful descriptor that is based on orbital wavefunctions used to classify chemical bonds [57,58]. Its value range is defined in [0,1]. The closer to 1 the ELF value is, the more localized the electron is. It means there is covalent bond, lone pair or atomic inner shell. While ELF = 0 represents that there are no electrons. When the value is around 0.5, it means there is metal free electron gas. To gain further insight into the bond classification, the ELFs related to the plane along the central line of  $M_{13-n}Ga_n$  clusters are calculated, which are shown in Fig. S3 of Supplementary Materials. Take the ELFs of  $Cu_{13-n}Ga_n$  clusters for example (Fig. 8), it can be seen that for surface Ga, there are localized electrons (lone pair in s orbital) at the center position of Ga

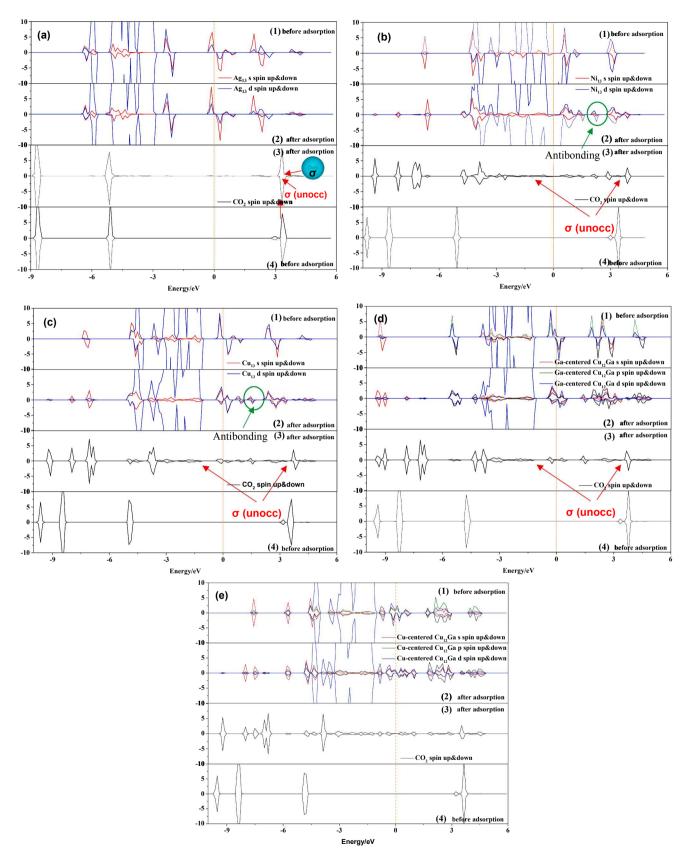


Fig. 5. (a) the PDOS of  $CO_2$  and  $Ag_{13}$ , (b) the PDOS of  $CO_2$  and  $Ni_{13}$ , (c) the PDOS of  $CO_2$  and  $Cu_{13}$ , (d) the PDOS of  $CO_2$  and Ga-centered  $Gu_{12}$ , and (e) the PDOS of  $Go_2$  and Ga-centered  $Gu_{12}$ .

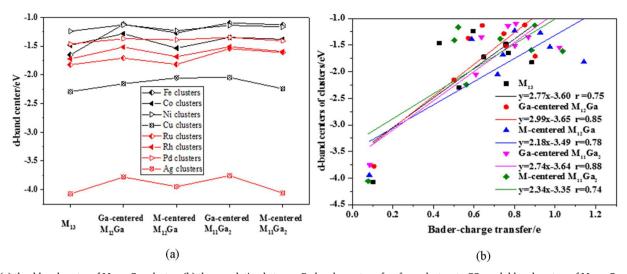


Fig. 6. (a) the d-band center of  $M_{13-n}Ga_n$  clusters (b) the correlation between Bader charge transfers from clusters to  $CO_2$  and d-band centers of  $M_{13-n}Ga_n$  clusters.

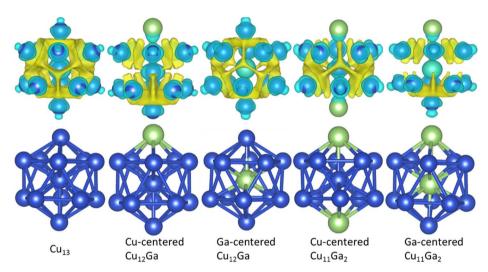


Fig. 7. Charge density differences of  $Cu_{13-n}Ga_n$  clusters. Cyan and yellow represent the charge depletion and accumulation, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

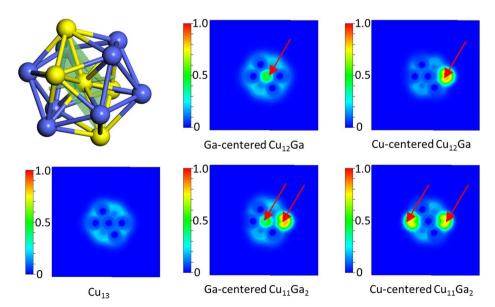


Fig. 8. The ELFs of  $Cu_{13-n}Ga_n$  clusters.

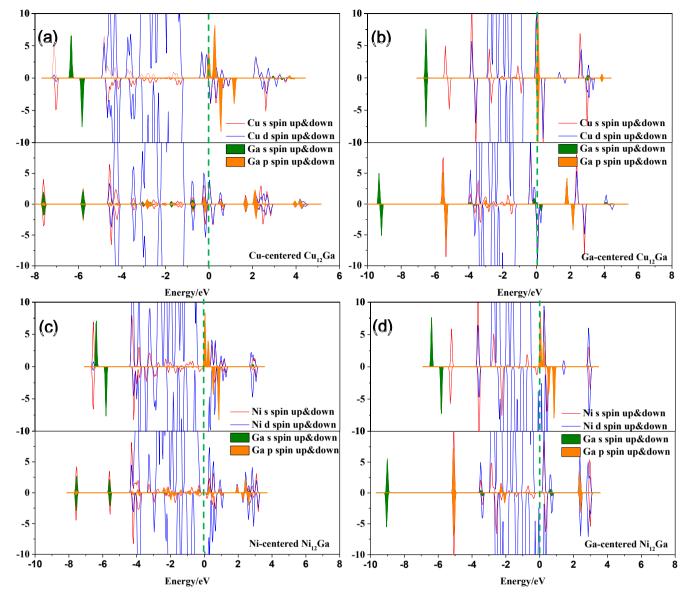


Fig. 9. The PDOS of Cu (Ni) and Ga in (a) Cu-centered  $Cu_{12}Ga$ , (b) Ga-centered  $Cu_{12}Ga$ , (c) Ni-centered  $Ni_{12}Ga$ , and (d) Ga-centered  $Ni_{12}Ga$ .

atom, and around it, there are free electrons. While for center Ga, the degree of localization is lower than that of Ga at the surface site. In addition, the concentration of free electron gas around Ga is greater than that of Cu. The results can well explain that the values of Bader charge transfer from Ga to Cu for Cu-centered  $\text{Cu}_{13-n}\text{Ga}_n$  clusters are lower than for their corresponding Ga-centered clusters. However, one question arises: why center Ga transfers more electron density to Cu than surface Ga, but the structures with surface Ga transfer more electron density to  $\text{CO}_2$ . To answer this question, the following part is conducted. Fig. 3. The correlation between (a) Bader charge transfers from clusters to  $\text{CO}_2$  and angles of adsorbed  $\text{CO}_2$ , and (b) Bader charge transfers from clusters to  $\text{CO}_2$  and average C-O bond lengths of adsorbed  $\text{CO}_2$ .

## 3.3.3. The orbitals interactions of Ga and M atom in Ga doped $M_{13-n}Ga_n$ clusters and the influence on $CO_2$ adsorption

To answer the arising question and further probe into the structure effects of clusters on  $CO_2$  adsorption, the PDOS of  $M_{12}Ga$  clusters are analyzed. The PDOS of  $Cu_{12}Ga$  and  $Ni_{12}Ga$  clusters are displayed in Fig. 9(a)–(d), those of other clusters are displayed in Fig. S4 of

Supplementary Materials. The top of the figure shows the PDOS of M<sub>12</sub> and free Ga atom, the bottom illustrates the DOS projected on s and d orbitals of M and on s and p orbitals of Ga in M<sub>12</sub>Ga clusters. The difference in electronic configurations of Cu and Ni is the reason for choosing  $Cu_{12}Ga$  and  $Ni_{12}Ga$  as examples for analysis. It can be seen that, for Cu- (Ni-) centered Cu<sub>12</sub>Ga (Ni<sub>12</sub>Ga) cluster, the s orbital of Ga mainly interact with the s orbital of Cu (Ni) far away from the Fermi level(0), the p orbitals of Ga mainly interacts with the d orbitals of Cu (Ni) near the Fermi level(0). Whereas for Ga-centered Cu<sub>12</sub>Ga (Ni<sub>12</sub>Ga), the s and p orbitals of Ga interact only with the s orbital of Cu (Ni). In addition, from Fig. 9(a) and (b), one can clearly see that the value of occupied d orbital density of Cu increases, which means that there is electron transfer from Ga to Cu. The DOS analyses of CO2 adsorption mentioned above shows that the adsorption of CO2 on cluster is ascribed to the interaction of empty  $\sigma$  orbital of CO<sub>2</sub> with the s and d orbitals of cluster near the Fermi level(0). Therefore, in Ga-centered clusters, the interaction of Ga and M are almost ineffective to the adsorption of CO2, while for M-centered clusters, the interaction of Ga affects this process.

#### 4. Conclusions

Comprehensive DFT calculations were preformed to study activation of  $CO_2$  by pure and Ga doped 3d and 4d 13-atom transition metal clusters ( $M_{13-n}Ga_n$ ). We concluded that Ga doped Cu, Pd and Ag clusters are more stable than their analogues pure metal clusters. Mcentered clusters are more stable than their corresponding Ga-centered clusters except Ga doped Pd and Ag clusters. For all the clusters,  $CO_2$  favors to adsorb at the M composed bridge or hollow sites on  $M_{13-n}Ga_n$  clusters except on  $Ag_{13-n}Ga_n$  clusters. Most of Ga doped clusters can transfer more Bader charge density to  $CO_2$  than pure clusters, but Ga doped Ag clusters do not change much compared with  $Ag_{13}$  clusters. In addition, the activation of  $CO_2$  is well reflected by its structure deformation rather than with its adsorption energy.

The electronic properties of clusters greatly affect their catalytic properties. The replacement of M by Ga in  $M_{13}$  clusters has shifted up the d-band centers of clusters, and thus has improved their interactions with CO $_2$ . Ga transfers electrons to M atoms in  $M_{13-n} Ga_n$  clusters and centered Ga atom can transfer more electrons than surface located Ga. However, the numbers of effective electron transfer to CO $_2$  are similar for both Ga- and M-centered clusters. The empty  $\sigma$  orbital of CO $_2$  is the electron acceptor. The larger energy drop of  $\sigma$  orbital of CO $_2$  occurs, the more active CO $_2$  is. In addition, the nature of CO $_2$  adsorption on clusters relates to the interaction of  $\sigma$  orbital of CO $_2$  with the d orbitals of cluster near the Fermi level. The effective interaction of Ga and M relates to the p orbitals of Ga with d orbitals of M, which explains that M-centered  $M_{12}$ Ga clusters can make CO $_2$  more active. This finding helps to design and produce efficient CO $_2$  activation materials and sheds a light on the details of their molecular and electronic structures.

#### CRediT authorship contribution statement

Qingli Tang: Conceptualization, Data curation, Formal analysis, Funding acquisition, Project administration, Visualization, Writing - original draft, Writing - review & editing. Feng Shi: Investigation, Methodology, Visualization, Writing - review & editing. Kan Li: Investigation, Methodology, Visualization, Writing - review & editing. Wenchao Ji: Formal analysis, Software. Jerzy Leszczynski: Software, Validation, Writing - original draft, Writing - review & editing. Armistead G. Russell: Software, Validation, Writing - original draft. Eric G. Eddings: Software, Validation, Writing - original draft. Zhemin Shen: Conceptualization, Data curation, Formal analysis, Funding acquisition, Project administration, Resources, Supervision, Writing - original draft, Writing - review & editing. Maohong Fan: Conceptualization, Formal analysis, Funding acquisition, Resources, Software, Supervision, Writing - original draft, Writing - review & editing.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

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