

Susan Friedlander’s contributions in mathematical fluid dynamics

Alexey Cheskidov ^{*} Nathan Glatt-Holtz [†] Natasa Pavlovic [‡] Roman Shvydkoy [§]
Vlad Vicol [¶]

August 11, 2021

Susan Friedlander received her undergraduate degree in Mathematics from University College London, in 1967. Having been awarded one of the prestigious Kennedy Scholarships to study at Massachusetts Institute of Technology, Friedlander moved to the US, and earned her MS degree at MIT in 1970. She subsequently started her PhD studies at Princeton University, completing her doctorate thesis under the title “Spin Down in a Rotating Stratified Fluid” in 1972 with the supervision of the fluid dynamicist Louis Norberg Howard. After being a visiting member at New York University’s Courant Institute of Mathematical Sciences, Friedlander moved to the University of Illinois at Chicago, where she worked until 2008. Since then, Friedlander is a Professor and the Director of Center for Applied Mathematical Sciences at University of Southern California.

Throughout her career, Friedlander has focused on the mathematical analysis of partial differential equations (PDEs) arising in fluid dynamics. While the fundamental models are several centuries old, to date fluid dynamics remains the source of some of the most fascinating and challenging problems at the intersection of mathematics and physics. Without a doubt, the phenomenon of “turbulence” is chief among them. A unifying theme in Friedlander’s research is an emphasis on problems of clear physical interest and importance.

Friedlander’s impact on the field of mathematical



Figure 1: Friedlander lecturing at the Fields Institute in 2008.

fluid dynamics, and on the mathematical community as a whole, extends far beyond her research contributions. Prior to ’89, she has opened bridges to the fluids communities behind the iron curtain. Since the early 90s she has served in several leadership positions at the American Mathematical Society, including as Associate Secretary. For the past 15 years Friedlander is the Editor in Chief of the Bulletin of the AMS, and more recently Friedlander was one of the key figures in the founding of the Mathematical Council of the Americas.

Susan is an exceptional mentor. Since early stages of our careers, the authors of this paper were fortunate enough to collaborate with Susan, benefiting from her guidance, academic generosity, and perspective on mathematics as a whole. Susan has helped

^{*}University of Illinois at Chicago. acheskid@uic.edu

[†]Tulane University. negh@tulane.edu

[‡]University of Texas Austin. natasa@math.utexas.edu

[§]University of Illinois at Chicago. shvydkoy@uic.edu

[¶]New York University. vicol@cims.nyu.edu

shape both our careers and our views of mathematics, and we are truly thankful for her inspiration, thoughtful guidance and limitless positive energy.

In this review celebrating Friedlander’s contributions we will focus on her work on hydrodynamic instability as it relates to the transition from laminar to turbulent flow, on dyadic models in fluid dynamics and Onsager’s conjecture analyzing the transfer of energy in turbulent flows, and on magneto-hydrodynamics as it relates to large scale motions in Earth’s fluid core.

1 Equations of fluid dynamics

The fundamental partial differential equations that describe the macroscopic properties of the motion of an incompressible, inviscid fluid with constant density are the Euler equations:

$$\frac{\partial u}{\partial t} + (u \cdot \nabla)u + \nabla p = 0, \quad (1)$$

$$\nabla \cdot u = 0, \quad (2)$$

with the initial condition

$$u(x, 0) = u_0(x), \quad (3)$$

for the unknown velocity vector field $u = u(x, t) \in \mathbb{R}^d$ and the pressure $p = p(x, t) \in \mathbb{R}$, where $x \in \mathbb{R}^d$ and $t \in [0, \infty)$ and $d = 2, 3$. Despite the fact that Leonhard Euler introduced them in 1757, many basic questions concerning Euler equations in $d = 3$ are still unresolved. For example, it is an outstanding problem to find out if solutions of the 3D Euler equations form singularities in finite time, from smooth initial data.

The equations modeling the macroscopic properties of viscous, incompressible, homogeneous fluids were formulated by Claude-Louis Navier (1822) and Sir George Stokes (1845). The Navier-Stokes equations that they derived are written as:

$$\frac{\partial u}{\partial t} + (u \cdot \nabla)u + \nabla p = \nu \Delta u, \quad (4)$$

$$\nabla \cdot u = 0, \quad (5)$$

with the initial condition

$$u(x, 0) = u_0(x), \quad (6)$$

and appropriate boundary conditions. As with the Euler equations the theory of the Navier-Stokes equations in three dimensions is far from being complete. One of the major open problems are global existence and uniqueness of smooth solutions to the Navier-Stokes equations in 3D. This is one of Millennium Problems of the Clay Mathematics Institute.

Beyond the Navier-Stokes and Euler equations many other models animate modern research in mathematical fluid dynamics. Of particular interest in Friedlander’s work are the magneto-hydrodynamics equations (cf. (34)–(37) below) and other equations arising in geophysics.

2 Instabilities

The late 70s and early 80s were marked by the discovery of a new type of instability in incompressible fluids – the so called shortwave or broad-band instability. Such instabilities occur when a fluid rushes through a pipe leading to the formation of elliptical vortices near the walls. Although such vortices themselves are two dimensional, and in fact stable under two dimensional perturbations, they are manifestly unstable when perturbed in the direction of their axes of rotation. Moreover, the frequency κ of unstable modes corresponding to the same exponential rate λ ,

$$\mathcal{L}v \sim \lambda v, \quad v = e^{-i\kappa \cdot x} \phi(x),$$

corresponds to a range of values $\kappa > \kappa_0$ instead of being uniquely determined by a dispersive relation $\kappa = \kappa(\lambda)$. Hence, the term “broad-band”. Grounded in numerous physical works by Orszag, Patera, Bayly, Pierrehumbert, Craik, Criminale, and others, these novel instabilities lacked a rigorous foundation presenting a unique challenge for the mathematical community in the early 1980’s.

2.1 The fast dynamo problem

A similar type of instability appears in the kinematic dynamo problem. This problem seeks to describe

persistent growth of a magnetic field $H(x, t)$ transported by a given velocity field of electrically conducting fluid $u(x, t)$ in the limit of vanishing magnetic resistivity. Specifically, for H satisfying the system

$$\frac{\partial H}{\partial t} = -u \cdot \nabla H + H \cdot \nabla u + \varepsilon \Delta H \quad (7)$$

$$\nabla \cdot H = 0, \quad (8)$$

the dynamo is called *fast* if one has

$$\limsup_{\varepsilon \rightarrow 0} \omega_\varepsilon > 0, \quad (9)$$

where ω_ε is the exponential type of the C_0 -semigroup $\mathbf{G}_t^\varepsilon$ generated by (7)-(8). Similar to the fluid problem such instabilities are expected to be of highly oscillatory nature as the corresponding spectral problem $\mathcal{L}_\varepsilon H_\varepsilon = \lambda_\varepsilon H_\varepsilon$ would require an increasing range of frequencies as $\varepsilon \rightarrow 0$.

In groundbreaking works [FV91, FV92, VF93], which marked the beginning of a productive collaboration with Misha Vishik, Friedlander developed a novel approach to the fast dynamo problem, which later proved to be a universal tool to tackle a range of instability questions in fluids, geophysics, and magneto-hydrodynamics. The approach is based on studying shortwave asymptotic expansions of the corresponding evolution semigroup or the associated Green's function. Here the general methodology is to reduce the evolution of an *infinite dimensional* system to the leading order “core” dynamics. Remarkably in many cases the reduced dynamics is governed by a *finite dimensional* system of ODEs.

In the context of the fast dynamo problem (7)–(9), the Green function $G(x, y, t)$ of the evolution operator $\mathbf{G}_t^\varepsilon$ can be represented in Lagrangian coordinates

$$\partial_t \varphi_t(x) = u(\varphi_t(x), t), \quad G(\varphi_t(x), y, t) = \Gamma(x, y, t)$$

as the Fourier integral operator

$$\Gamma(x, y, t) = \frac{1}{2\pi\sqrt{\varepsilon}} \int_{\mathbb{R}^n} e^{\frac{i(x-y) \cdot \xi}{\sqrt{\varepsilon}}} b(x, \xi, t, \sqrt{\varepsilon}) d\xi,$$

where the symbol b has an asymptotic expansion

$$b(x, \xi, t, \sqrt{\varepsilon}) = \sum_{n=0}^{\infty} b_n(x, \xi, t) \varepsilon^{n/2}. \quad (10)$$

Here the principal symbol b_0 which plays the determining role in exponential growth of the dynamics is obtained by

$$\frac{db_0}{dt} = \partial u(\varphi_t, t) b_0, \quad (11)$$

the tangent push-forward transport map.

The technical analysis of the asymptotic series (10) is rather involved. Ultimately it connects the limiting exponential rate of $\mathbf{G}_t^\varepsilon$ as $\varepsilon \rightarrow 0$ over the energy space L^2 to that of the inviscid problem, and hence to the ODE (11). At the same time, the asymptotic behavior of (11) is well-known. For steady states it is simply determined by the largest Lyapunov-Oseledets exponent of the underlying velocity field u_0 :

$$\omega_0 = \lim_{t \rightarrow \infty} \frac{1}{t} \log \sup_x |\partial \varphi_t(x)|,$$

(or otherwise the exponent of the corresponding cocycle family), which is positive if and only if the flow-map $\varphi_t(x)$ exhibits exponential stretching of its trajectories. The main result of [FV91] reads as follows.

Theorem 2.1. *If the system (7)-(8) has a fast dynamo (9) then necessarily $\omega_0 > 0$, and in fact*

$$\limsup_{\varepsilon \rightarrow 0} \omega_\varepsilon \leq \omega_0.$$

Thus, a necessary condition for a fast dynamo is the presence of an instability in the underlying conducting fluid itself.

2.2 The geometric optics method

As we already described above the asymptotic methods developed by Friedlander and Vishik in attacking the fast dynamo problem proved to be applicable to a wide range of problems arising in fluid dynamics. Indeed, the works [VF93, FV92] laid the foundation to what is now called the *geometric optics approach* to shortwave instabilities, a particular case of which is the elliptic instability we mentioned in the beginning of this section.

To describe the method in more detail let us consider the example of the classical incompressible Eu-

ler system linearized around a given steady state u_0 :

$$\frac{\partial v}{\partial t} = -u_0 \cdot \nabla v - v \cdot \nabla u_0 - \nabla p, \quad (12)$$

$$\nabla \cdot v = 0. \quad (13)$$

where v is the linear perturbation of u_0 and p is the perturbed pressure, which plays the role of projecting the right side of (12) onto the space of divergence-free fields. We consider (12) with periodic boundary conditions, $x \in \mathbb{T}^n$, or the whole space $x \in \mathbb{R}^n$, $n \geq 2$. The method seeks to find effective dynamics of a localized oscillatory wave written in the form of a geometric optics ansatz

$$v(x, t) = b(x, t)e^{iS(x, t)/\varepsilon} + O(\varepsilon). \quad (14)$$

If initially $b(0) = b_0$ is localized near position x_0 , and the frequency of initial oscillation is ξ , i.e. $S(0) = S_0 = \xi \cdot x$, we obtain a new wave at time t approximately localized near the Lagrangian particle $x(t) = \varphi_t(x_0)$. Plugging this ansatz into the Euler system (12) one reads off the leading order evolution of the amplitude b and phase S in the Lagrangian coordinates of the underlying field u_0 :

$$\frac{dS}{dt} = 0, \quad \frac{db}{dt} = -\partial u_0(x)b + 2\langle \xi, \partial u_0(x)b \rangle \frac{\xi}{|\xi|^2},$$

where $\xi = \nabla S$ is the frequency covector. To write the system in closed form we replace the transport of S by the transport of the frequency vector, and the resulting system reads

$$\begin{cases} \frac{dx}{dt} = u_0(x), \\ \frac{d\xi}{dt} = -\partial^\top u_0(x)\xi, \\ \frac{db}{dt} = -\partial u_0(x)b + 2\langle \xi, \partial u_0(x)b \rangle \frac{\xi}{|\xi|^2}, \end{cases} \quad (15)$$

supplemented by initial conditions $x(0) = x_0$, $\xi(0) = \xi_0$, $b(0) = b_0$, and the incompressibility constraint $b_0 \cdot \xi_0 = 0$. This is a so-called bicharacteristic-amplitude system (BAS for short). From a dynamical viewpoint the first two equations represent a bicharacteristic flow on the tangent bundle of the fluid domain $\Omega = T^*\mathbb{T}^n$, denoted $\chi_t(x, \xi)$, and the last amplitude equation represents evolution of a vector b

on the fibre bundle over Ω with fibers given by orthogonal planes $\pi^{-1}(x, \xi) = \{b : b \cdot \xi = 0\}$. Thus, $b(x_0, \xi_0, b_0, t) = \mathbf{B}_t(x, \xi)b_0$ is a cocycle family of maps over the flow χ .

The asymptotic expansion of the Euler semigroup $\mathbf{G}_t$, defined by (12)–(13), is dominated by the B -cocycle playing the role of the principle symbol

$$\mathbf{G}_t = \mathbf{P}\Phi_t \text{Op}[\mathbf{B}_t] + \mathbf{K}_t,$$

where $\mathbf{P}$ is the Leray projection onto the divergence-free fields, $\Phi_t v = v \circ \varphi_{-t}$,

$$\text{Op}[\mathbf{B}_t]v(x) = \int e^{i(x-y)\cdot\xi} \mathbf{B}_t(y, \xi)v(y) dy d\xi$$

is the leading order pseudo-differential operator, and $\mathbf{K}_t$ is a similar operator of order -1 . For the high frequency waves the frequency localization of pseudo-differential operator $\text{Op}[\mathbf{B}_t]$ leads precisely to the ansatz (14) which becomes justified a posteriori.

The shortwave instabilities can now be studied by looking into the Lyapunov spectrum of the BAS whose maximal exponent is given by

$$\mu = \lim_{t \rightarrow \infty} \frac{1}{t} \log \sup_{x_0, \xi_0, b_0 \cdot \xi_0 = 0, |b_0|=1} |B_t(x_0, \xi_0)b_0|.$$

The main result of [VF93] establishes a direct relationship between the growth rate of the BAS and the growth rate of the Euler dynamics in the energy space.

Theorem 2.2. *Let ω_0 denote the exponential rate of the semigroup $\mathbf{G}_t$ in L^2 . Then*

$$\omega_0 \geq \mu.$$

The high frequency asymptotic relationship between $\mathbf{G}_t$ and $\mathbf{B}_t$ makes it possible to relate short-wave instabilities to the *essential spectrum* of the semigroup. The BAS was found to be fully descriptive of the essential spectrum in later works. For particular flows, however, Theorem 2.2 proved to be extremely versatile in many different situations. For example, for the aforementioned elliptic vortices, locally given by $u_0 = (a^2y, -b^2x, 0)$, the growth of BAS system becomes a Floquet problem over time periodic elliptic trajectories. This case was also studied

in works of Lifschitz and Hameiri around the same time. The amplitudes b become unstable in directions pointing off of the xy -plane, which is consistent with the empirical observations of Orszag, Patera, and others. A systematic study of BAS and various dynamic scenarios leading to instabilities was performed in [FV92]. First, in 2D, the quantity $|b||\xi|$ is conserved. Hence, μ is related precisely to the exponential stretching of the underlying field u_0 . Here the cotangent cocycle associated with the ξ -equation has the same Lyapunov spectrum as that of the tangent cocycle (11). Thus, $\mu = \omega_0$ in this case. In particular, all parallel shear flows are shortwave stable. In 3D, the analogue of this law is conservation of the volume

$$\text{Vol}(b', b'', \xi) = (b' \times b'') \cdot \xi$$

for any pair of amplitudes b', b'' over the same frequency ξ . Hence, in 3D we obtain

$$\mu \geq \frac{1}{2}\omega_0.$$

In any case, exponential stretching makes the flow spectrally unstable. On the other hand, some integrable flows u_0 where $u_0 \times (\nabla \times u_0) = \nabla B$, on non-degenerate level tori of the Bernoulli function B are found to be stable, namely $\mu = 0$. Geometric instability criteria for vortex rings without swirl were provided as well.

In several subsequent works, see [Fri01, FS05] and references therein, Friedlander expanded the geometric optics method to a range of models appearing in the geophysics and magnetohydrodynamics. In all these cases the underlying bicharacteristic flow remains the same but the amplitude equation changes according to a simple recipe – it captures the principal symbol of the linearization:

$$\frac{db}{dt} = a_0(x, \xi)b, \quad \mathcal{L} = -u_0 \cdot \nabla + \text{Op}[a_0] + \text{Op}[a_1] + \dots$$

Thus, for the Surface Quasi-Geostrophic equation describing evolution of a potential temperature on horizontal surface the b -equation reads

$$\frac{db}{dt} = i \frac{\xi^\perp \cdot \nabla \theta_0(x)}{|\xi|} b,$$

where θ_0 is the underlying steady temperature. In this case the essential spectrum is neutral $\mu = 0$. For density stratified fluids we have

$$\begin{aligned} \frac{db}{dt} &= \left(2 \frac{\xi \otimes \xi}{|\xi|^2} - \text{id} \right) \partial u(x)b + r \left(\text{id} - \frac{\xi \otimes \xi}{|\xi|^2} \right) \nabla \Phi(x), \\ \frac{dr}{dt} &= -b \cdot \nabla \rho_0(x). \end{aligned}$$

Here Φ is the gravitational potential. The kinematic dynamo falls under the same scheme and yields (11). Camassa-Holm (Euler- α) gives

$$\frac{db}{dt} = \left(\frac{\xi \otimes \xi}{|\xi|^2} - \text{id} \right) \partial u^\top(x)b + \frac{\xi \otimes \xi}{|\xi|^2} \partial u(x)b.$$

Inviscid systems of non-relativistic superconductivity:

$$\frac{db}{dt} = \left(2 \frac{\xi \otimes \xi}{|\xi|^2} - \text{id} \right) \partial u(x)b + \left(\text{id} - \frac{\xi \otimes \xi}{|\xi|^2} \right) B \times b.$$

Numerous other applications of the theory were found to non-Newtonian fluids also. The reach of the method proved to be truly astonishing.

2.3 From linear to nonlinear instability

Justification of the linearization procedure for inviscid fluids remains a very challenging problem to this day. Providing an explicit bound on the "bad" essential part of the spectrum given by exponent μ is a helpful tool to prove a range to sufficient conditions for the analogue of the Lyapunov theory – going from linear to non-linear instability. In several subsequent works Friedlander established several pioneering results in this direction. First, in 2D if there is a point spectrum (exact eigenvalue) beyond μ , i.e.

$$\mathcal{L}v = \lambda v, \quad \text{Re} \lambda > \mu,$$

then the underlying steady flow u_0 is unstable in the energy norm, [VF03]. Construction of flows with oscillatory laminar regions that fulfill this condition have been provided in Friedlander's works with Yudovich. In the region outside of the essential spectrum, in fact one can also construct unstable invariant manifolds by analogy with finite-dimensional theory and dissipative systems as was done later in works

of Lin and Zeng. Next, for the linearized Navier-Stokes system

$$\frac{\partial v}{\partial t} = -u_0 \cdot \nabla v - v \cdot \nabla u_0 - \nabla p + \varepsilon \Delta v, \quad (16)$$

$$\nabla \cdot v = 0 \quad (17)$$

both in 2D and in 3D, those dominant eigenvalues reappear for small viscosities $\varepsilon \rightarrow 0$ in a strong spectral limit: for any eigenvalue of the linearized Euler system with $\operatorname{Re} \lambda > \mu$ and ε sufficiently small the Navier-Stokes system gains point spectrum in a vicinity of λ with the same multiplicity, and moreover the Riesz projection $\mathbf{P}^\varepsilon$ corresponding to those spectral subspaces near λ tends to that of the Euler equation $\mathbf{P}^0$ in the uniform operator topology. This result proves to be particularly interesting in view of the fact that the nonlinear Navier-Stokes system inherits instability in L^3 (and in fact any L^p , for $p > 1$) from the linearization, a classical result of Yudovich. Thus, any steady flow in 3D that has inviscid spectrum beyond μ becomes non-linearly unstable in the vanishing viscosity sense.

3 Dyadic models

One way to gain an understanding of certain aspects of the equations of fluid motion is to introduce toy models which share properties with the actual equations, but are simpler to analyze. During last two decades, the work of Friedlander has shaped studies of so called *dyadic models* of the fluid equations, which simulate the energy cascade through dyadic frequency shells¹. In these models, the nonlinearity of the Euler equations $(u \cdot \nabla)u$ is simplified so that only local interactions between neighboring scales are considered. However, simplifications of the nonlinear term vary, and as a consequence the models differ. Some of the first examples of models of this type were derived by Desnyanskiy and Novikov in the context of oceanography, and by Gledzer, whose model was

¹Specifically, a j^{th} dyadic shell refers to a region where the Fourier frequency ξ lies in the annular domain $2^{j-1} \leq |\xi| \leq 2^j$. The fluid velocity in the j^{th} dyadic shell is modeled with a single representative, u_j .

subsequently generalized by Ohkitani and Yamada (and is now known as the GOY model).

The dyadic models that Friedlander explored are designed to share with the actual equations of fluid motion the scaling of the nonlinear term in 3D (which we motivate in the next subsection) and the following properties:

- A skew-symmetry property of the nonlinear term,

$$\langle (u \cdot \nabla)u, u \rangle_{L^2(\mathbb{R}^3)} = 0. \quad (18)$$

- Conservation of energy for the classical solutions to the Euler equations,

$$\|u(\cdot, T)\|_{L^2}^2 = \|u_0\|_{L^2}^2, \quad (19)$$

which is a consequence of (18) and divergence free condition, as can be seen by pairing in L^2 sense the Euler equation (1) with u .

- Decay of energy for classical solutions to the Navier-Stokes equations (4)-(6),

$$\|u(\cdot, T)\|_{L^2}^2 = \|u_0\|_{L^2}^2 - 2\nu \int_0^T \langle (-\Delta)u, u \rangle. \quad (20)$$

Broadly speaking, dyadic models provide a framework for studying specific aspects of turbulence theory, while being mathematically accessible. Moreover, in some instances these models motivated results on actual equations of fluid motion, as was the case in e.g. [CCFS08].

3.1 Introducing a dyadic model

Let us now recall a version of a dyadic model from [CFP07]. This model was inspired by a wavelets model introduced in [KP02] as a tool to help guide a partial regularity result for actual Navier-Stokes equations with hyper-dissipation. We start by briefly revisiting the wavelets model.

First, we recall some terminology from [KP02]. A cube Q in $\mathbb{R}^3$ is called a dyadic cube if its sidelength is an integer power of 2, 2^l , and the corners of the cube are on the lattice $2^l \mathbb{Z}^3$. Let $\mathcal{D}$ denote the set of dyadic cubes in $\mathbb{R}^3$. Let $\mathcal{D}_j$ denote the subset of dyadic cubes

having sidelength 2^{-j} . The parent of Q , denoted by PQ , is introduced as the unique dyadic cube in $\mathcal{D}_{j(Q)-1}$ which contains Q . On the other hand, one defines $\mathcal{C}^k(Q)$, the k th order grandchildren of Q to be the set of those cubes in $\mathcal{D}_{j(Q)+k}$ which are contained in Q .

The modeling starts by replacing a vector valued function u by a scalar valued one. An orthonormal family of wavelets is denoted by $\{w_Q\}$, with w_Q the wavelet associated to the spatial dyadic cube $Q \in \mathcal{D}_j$. Then u can be represented as:

$$u(x, t) = \sum_Q u_Q(t) w_Q(x).$$

Note that due to spatial localization of w_Q

$$\|w_Q\|_{L^\infty} \sim 2^{\frac{3j(Q)}{2}}. \quad (21)$$

On the other hand

$$\|\nabla w_Q\|_{L^2} \sim 2^j. \quad (22)$$

Having in mind (21) and (22), a cascade down operator is defined through its Q^{th} coefficient as follows:

$$(C_d(u, v))_Q = 2^{\frac{5j(Q)}{2}} u_{PQ} v_{PQ},$$

with the scaling $2^{5j(Q)}$ that reflects the upper bound on the nonlinear term, implied by (21) - (22). Similarly, a cascade up operator is defined as the adjoint of $C_d(u, v)$ via:

$$(C_u(u, v))_Q = 2^{\frac{5(j(Q)+1)}{2}} u_Q \sum_{Q' \in \mathcal{C}^1(Q)} v_{Q'}.$$

Then the cascade operator is introduced as

$$C(u, v) = C_u(u, v) - C_d(u, v).$$

Having defined Laplacian as $\Delta(w_Q) = 2^{2j} w_Q$, one introduces the following model equations:

- Dyadic Euler equation:

$$\frac{du}{dt} + C(u, u) = 0.$$

- Dyadic Navier-Stokes equation:

$$\frac{du}{dt} + C(u, u) + \Delta u = 0.$$

By construction of the cascade operators, we have $\langle C_u(u, u), u \rangle = \langle C_d(u, u), u \rangle$, which implies skew-symmetry property of the operator C

$$\langle C(u, u), u \rangle = 0. \quad (23)$$

A simple consequence of (23) is conservation of energy for the dyadic Euler equations and decay of energy for the dyadic Navier-Stokes equations, at least at the formal level (for sufficiently regular solutions).

The above dyadic models are special cases of the following infinite system of coupled ordinary differential equations, which was studied by Friedlander:

$$\frac{d}{dt} a_j + \nu 2^{2j} a_j - 2^{c(j-1)} a_{j-1}^2 + 2^{cj} a_j a_{j+1} = f_j, \quad (24)$$

for $j = 0, 1, 2, \dots$, where $a_{-1} = 0$, c is a positive parameter related to intermittency, and $\frac{1}{2} a_j^2$ represents the total energy in the frequencies of order 2^j . The force f is such that $f_0 > 0$ and $f_j = 0$ for all $j > 0$, so that the energy is pumped on low modes.

As we have seen above, the model preserves many features of the fluid equations, while the nonlinearity is simplified by considering only local interactions between scales. Moreover, the choice of the constant $c = 5/2$ ensures that the nonlinearity in the dyadic model obeys the same L^2 -based estimates as Euler (see (19)) and Navier-Stokes equations (see (20)). Thanks to these L^2 -based estimates and a certain *monotonicity* present in the model, a finite-time blow-up was exhibited for the inviscid dyadic model [KP05], as well as the viscous dyadic model with some “small” degrees of dissipation [KP05] or large values of c [Che08]. For instance, solutions blow up when $c > 3$, in which case the dyadic model scales as 4+ dimensional Navier-Stokes equations. Such a monotonicity property resembles monotonicity of certain quantities present in so called “cooperative” systems (see for example the work of Palais and the work of Bernoff and Bertozzi where singularities in a modified Kuramoto-Sivashinsky equation were identified). Finite time blow-up in the inviscid case was sharpened by Kiselev and Zlatoš. A three dimensional vector model for the incompressible Euler equations was introduced in [FP04], which is similar in some features

to a discretized approximate model constructed by Dinaburg and Sinai for the Navier-Stokes equations in Fourier space. It was shown in [FP04] that for special initial data the evolution equations of the divergence free vector model reduced to the scalar dyadic Euler system and finite time blow-up occurs in this model for the three dimensional incompressible Euler equations. This was a brief snapshot of results for dyadic models around 2005, when Susan Friedlander initiated the study of phenomena related to turbulence at the level of dyadic models.

3.2 Onsager's and Kolmogorov's conjectures

Up to now we discussed conservation of energy at a formal level, i.e. for sufficiently regular solutions to Euler equations (1). However one might wonder about the minimal level of regularity of a solution to the Euler that guarantees conservation of energy. In fact this seemingly naive question is connected with the statistical theories of turbulence developed by Kolmogorov [Kol41] and Onsager [Ons49]. In their seminal works, it is suggested that an appropriate mathematical description of 3-dimensional turbulent flow is given by weak solutions of the Euler equations which are not regular enough to conserve energy. Onsager conjectured that for the velocity Hölder exponent $h > 1/3$ the energy is conserved² and that this ceases to be true for $h \leq 1/3$. This latter phenomenon is now called turbulent or anomalous dissipation. Kolmogorov's theory predicts that in a fully developed turbulent flow the energy spectrum $E(|k|)$ in the inertial range is given by

$$E(|k|) = c_0 \bar{\epsilon}^{2/3} |k|^{-5/3}, \quad (25)$$

where $\bar{\epsilon}$ is the average of the energy dissipation rate.

While the rigidity part of Onsager's conjecture (namely the regime corresponding to the conservation of energy) has been understood well due to works of Eyink and Constantin-E-Titi — prior to works on

²A very rough motivation for the appearance of the Hölder exponent $1/3$ is “sharing” of one derivative among three copies of velocity u in the skew-symmetry relation (18), which has a crucial role in producing energy equalities (19) and (20).

dyadic models — the flexibility part represented a challenge for a long period of time. Thanks to advances in the method of convex integration due to De Lellis-Székelyhidi, the flexibility part of the Onsager conjecture for the Euler has been very recently settled by Isett, and by Buckmaster-De Lellis-Székelyhidi-V. for dissipative solutions. However, the state of the puzzle regarding the flexible part of the conjecture was completely open back in 2007. In that context, the dyadic model (24) provided a mathematical laboratory for addressing the phenomena predicted by Onsager and Kolmogorov.

More precisely, in [CFP07, CFP10] Friedlander et al showed that the inviscid ($\nu = 0$) dyadic model possesses a unique fixed point $\tilde{a}$, whose energy spectrum $\mathcal{S}(\kappa) \sim \varepsilon^{2/3} \kappa^{-8/3}$, which is just on the borderline of the Sobolev space $H^{5/6}$, where the H^s Sobolev space is equipped with the norm:

$$\|a\|_{H^s}^2 = \sum_{j=0}^{\infty} 2^{2sj} a_j^2.$$

This showed that all the solutions of the dyadic Euler model stop satisfying energy equality at some time (which resolved Onsager's conjecture in the negative direction), and the long-time behavior is exactly as predicted by Kolmogorov's theory of turbulence, but with extreme (or what we now call fully intermittent) energy spectrum. In fact, as it was observed in [CF09], the dyadic model (24) covers the whole intermittency range $d \in [0, 3]$, where the intermittency dimension d is connected to the parameter c as $c = 1 + \frac{3-d}{2}$ (see Subsection 3.3). Then

Theorem 3.1 ([CFP07, CFP10]). *The following hold for the dyadic system (24) in the inviscid case $\nu = 0$:*

1. *Every regular solution (defined to be a solution with bounded $H^{5/6}$ norm) satisfies the energy equality.*
2. *There exists a unique fixed point $\tilde{a}$ to (24), which is a global attractor. The fixed point is not in $H^{5/6}$. In fact, it lies exactly in the space $B_{3,\infty}^{\frac{1}{3}}$ (defined in (31) below), which takes into account intermittency.*

3. The energy spectrum of the fixed point:

$$\mathcal{S}(\kappa) \sim \varepsilon^{2/3} \kappa^{-\frac{8-d}{3}},$$

where $\tilde{a}_0 f_0$ is the energy input rate, that corresponds to the anomalous energy dissipation rate.

4. Every solution blows up in finite time in $H^{5/6}$ and in $B_{3,\infty}^{\frac{1}{3}+\epsilon}$, for any $\epsilon > 0$.

5. The H^s norms of every solution are locally square integrable in time for $s < 5/6$, and every solution eventually dissipates energy.

We note that the relevance of the Sobolev exponent $5/6$ stems from three copies of modeled velocity “sharing” the scaling of the localized coefficient $2^{5/2}$ in the skew-symmetry property for the dyadic nonlinear term (23). The existence of a global attractor for an inviscid system, at first, seems surprising. However it is exactly consistent with the concept of anomalous or turbulent dissipation conjectured by Onsager [Ons49].

The relation between the fixed points of inviscid and viscous dyadic models is as follows:

Theorem 3.2 ([CF09]). *The following hold for the dyadic system (24) in the viscous case $\nu > 0$:*

1. The global attractor for the viscous dyadic model is a fixed point $\tilde{a}^\nu$.
2. The fixed point of the viscous system $\tilde{a}^\nu$ converges in l^2 to the fixed point of the inviscid system $\tilde{a}$ as $\nu \rightarrow 0$. Moreover, the energy dissipation rate converges to the anomalous energy dissipation rate of the inviscid system, i.e.,

$$\lim_{\nu \rightarrow 0} \varepsilon^\nu = \langle f, \tilde{a} \rangle = \varepsilon,$$

where

$$\varepsilon^\nu = \nu \|\tilde{a}^\nu\|_{H^1}^2 = \langle f, \tilde{a}^\nu \rangle$$

is the energy dissipation rate.

3.3 From the dyadic model to the full equations

One of the main features of the dyadic Navier-Stokes model, the forward energy cascade, leads to the question of whether solutions satisfy the energy equality. The nonlinear term in the dyadic model is skew-symmetric by construction, and hence one immediately obtains

$$\frac{1}{2} \frac{d}{dt} \sum_{i=0}^j a_i^2 = -\Pi_j - \nu \sum_{i=0}^j 2^{2i} a_i^2 + \sum_{i=0}^j f_i a_i, \quad (26)$$

where the flux is defined as

$$\Pi_j = 2^{\frac{5}{2}j} a_j^2 a_{j+1},$$

where we again chose $c = 5/6$. Passing to the limit as $j \rightarrow \infty$, it follows that every solution $a \in L^3(0, T; H^{5/6})$ satisfies the energy equality

$$\begin{aligned} \sum_{i=0}^{\infty} a_i^2(t) &= \sum_{i=0}^{\infty} a_i^2(t_0) \\ &+ \int_{t_0}^t \left[-\nu \sum_{i=0}^{\infty} 2^{2i} a_i^2 + \sum_{i=0}^{\infty} f_i a_i \right] ds, \quad (27) \end{aligned}$$

for all $0 \leq t_0 \leq t \leq T$. Surprisingly, this result was not known for the fluid equations at that time, so Friedlander and collaborators extended it to the Navier-Stokes equations in [CSF12].

As we have seen in Section 3.2, a simplified dyadic model (24) mimicked highly nontrivial predictions for real equations, raising the following questions. First, can we rigorously justify the derivation of the model? Second, what is the meaning of the parameter c that affects the energy spectrum, which ranges from classical Kolmogorov’s $5/3$ to the extreme $8/3$ power law? This started a fruitful series of works on obtaining optimal bounds for the energy flux and incorporation a notion of intermittency in the mathematical studies of fluid equations.

Consider the Navier-Stokes equations (4) for the motion of a three-dimensional incompressible viscous fluid. Define

$$u_{\leq \lambda_j} = u * \mathcal{F}^{-1}(\psi(\cdot 2^{-j})),$$

where $\psi(\xi)$ is a smooth nonnegative function supported in the ball of radius one centered at the origin and such that $\psi(\xi) = 1$ for $\xi \leq 1/2$, and $\mathcal{F}$ is the Fourier transform. The energy flux due to nonlinear interactions through the sphere of radius $\lambda_j = 2^j$ is defined as (see [CCFS08])

$$\Pi_j = - \int_{\mathbb{R}^3} (u \cdot \nabla) u \cdot (u_{\leq \lambda_j})_{\leq \lambda_j} dx.$$

Using the test function $(u_{\leq \lambda_j})_{\leq \lambda_j}$ in the weak formulation of the Navier-Stokes equations we obtain

$$\frac{1}{2} \frac{d}{dt} \|u_{\leq \lambda_j}\|_2^2 = -\Pi_j - \nu \|\nabla u_{\leq \lambda_j}\|_2^2 + \langle f_{\leq \lambda_j}, u_{\leq \lambda_j} \rangle. \quad (28)$$

In [CCFS08], Cheskidov, Constantin, Friedlander, and Shvydkoy obtained the following new bounds on the nonlinear term in (4):

$$|\Pi_j| \lesssim \sum_{i=-1}^{\infty} \lambda_{|j-i|}^{-\frac{2}{3}} \lambda_i \|u_i\|_3^3, \quad (29)$$

where $u_j = u_{\leq j+1} - u_{\leq j}$ is the Littlewood-Paley projection of u . This estimate employing the Littlewood-Paley decomposition produced not only a sharpening of the conditions under which there is no anomalous dissipation, but also provides detailed information concerning the cascade of energy through frequency space. More precisely, it shows that the energy flux Π through the sphere of radius κ is controlled primarily by scales of order κ . The estimate also showed that a critical space for solutions in which the energy equality is guaranteed, Onsager's space, is $B_{3,\infty}^{\frac{1}{3}}$ for the Navier-Stokes equations, and $B_{3,c_0}^{\frac{1}{3}}$ for the Euler equations.

Now define $a_j = \|u_j\|_2$, so that $\frac{1}{2} a_j^2$ represents the energy in the dyadic shell of radius 2^j . In order to mimic the flux estimate (29), we need to pass from L^3 to L^2 , which can be done thanks to Bernstein's inequality:

$$a_j^3 \lesssim \|u_j\|_3^3 \lesssim \lambda_j^{\frac{3}{2}} a_j^3. \quad (30)$$

To capture the whole range of possible saturations of the Bernstein inequality, define an intermittency parameter $d \in [0, 3]$, which, roughly speaking, represents the dimension of the set occupied by eddies,

such that

$$\|u_j\|_3 \sim \lambda_j^{\frac{3-d}{6}} a_j.$$

Then it is natural to define the Besov norm of a as

$$\|a\|_{B_{3,\infty}^s} = \sup_j 2^{js} a_j. \quad (31)$$

This combined with the locality of the (29) motivates the following model for the energy flux:

$$\Pi_j = \lambda_j^{1+\frac{3-d}{2}} a_j^2 a_{j+1}. \quad (32)$$

Here $d = 3$ corresponds to the so called Kolmogorov's regime where eddies occupy the whole space (or lower bound on $\|u_j\|_3$ in (30)) and $d = 0$ is the case of extreme intermittency (or upper bound in (30)). Motivated by (28), subtracting subsequent equations (26) (for j and $j-1$) gives

$$\frac{1}{2} \frac{d}{dt} a_j^2 = \Pi_{j-1} - \Pi_j - \nu \lambda_j^2 a_j^2 + f_j a_j, \quad (33)$$

which is exactly the dyadic model (24) with $c = 1 + \frac{3-d}{2}$ by definition of the flux.

4 The magneto-geodynamo

The geodynamo is the process by which the rotating, convecting, electrically conducting molten iron in the Earth's fluid core maintains the geomagnetic field against ohmic decay. The convective processes in the core that produce the velocity fields required for this dynamo action are a combination of thermal and compositional convection. A detailed description of dynamo problem requires the examination of the three dimensional partial differential equations governing incompressible magnetohydrodynamics (MHD) under the effect of Coriolis, Lorentz, and gravity forces (see the system (34)–(37) below). The system also possesses thermal source terms which model radioactive decay within the Earth's core, and can have an essentially stochastic character. The mathematical statement of the geodynamo problem asks whether there are initial data for the MHD system for which the evolution of the magnetic field grows

for sufficiently long time, i.e. the existence of instabilities. These instabilities are also expected to play a fundamental role in magnetostrophic turbulence and turbulent dynamo theory [Mof08].

Due to its complexity, in order to simulate this system, current computational limitations require parameter choices that are several orders of magnitude larger than what is physically realistic. It is therefore reasonable to attempt to gain some insight into the geodynamo by considering a reduction of the full MHD equations to a system that is more tractable, but still maintains some of the key physical features. The magnetogeostrophic (MG) equation proposed by Moffatt and Loper [ML94, Mof08] (see (37) and (41) below) is one such model, which has gained significant interest in the mathematical community, mostly due to Friedlander's work on this subject. During the past decade Friedlander and her collaborators have gone from laying down the mathematical foundations of the MG equations, to proving delicate results about the long-time dynamics of solutions, the instability of its steady states as it relates to the geodynamo, and to rigorously deriving the model from the small parameter regime postulated in its physical derivation.

4.1 Derivation of the MG model

For simplicity of notation, assume that the axis of rotation and the gravity are aligned in the direction of the Cartesian vector e_3 . Moffatt and Loper [ML94, Mof08] furthermore assume that the magnetic field is the sum of an underlying purely toroidal constant field $B_0 e_2$ and a perturbation field $b(x, t)$. The fluid velocity vector field is denoted by $u(x, t)$, while the buoyancy scalar field as $\theta(x, t)$. In the rotating frame of reference the MHD system becomes:

$$N^2 [R_o (\partial_t u + u \cdot \nabla u) + e_3 \times u] + \nabla P = e_2 \cdot \nabla b + R_m b \cdot \nabla b + N^2 \theta e_3 + \nu \Delta u \quad (34)$$

$$R_m [\partial_t b + u \cdot \nabla b - b \cdot \nabla u] = e_2 \cdot \nabla u + \Delta b \quad (35)$$

$$\nabla \cdot u = 0, \quad \nabla \cdot b = 0 \quad (36)$$

$$\partial_t \theta + u \cdot \nabla \theta = \kappa \Delta \theta + S. \quad (37)$$

Here $S(x, t)$ is a given thermal source due to radioactive decay acting on the system, and naturally may

be considered to include stochastic components. The *dimensionless* physical parameters appear above are: the inverse Elsässer number N^2 , the Rossby number R_o , the magnetic Reynolds number R_m , the inverse Peclet number κ , and the the inverse square of the Hartman number ν .

The physical postulate of the Moffatt-Loper MG model is that slow cooling of the Earth leads to slow solidification of the liquid metal core onto the solid inner core, releasing latent heat of solidification which drives compositional convection in the fluid core. Based on this physical postulate, arguments are given in [ML94, Mof08] for the appropriate ranges of the aforementioned parameters: $N \approx 1$ and $R_o, R_m \ll 1$. The MG model is obtained by setting $N = 1$ and passing $R_o, R_m \rightarrow 0$ in (34)–(36), equations which simplify to³

$$e_3 \times u = -\nabla P + e_2 \cdot \nabla b + \theta e_3 + \nu \Delta u \quad (38)$$

$$0 = e_2 \cdot \nabla u + \Delta b \quad (39)$$

$$\nabla \cdot u = 0, \quad \nabla \cdot b = 0. \quad (40)$$

The *linear* system of equations (38)–(40) determine the vector fields u and b in terms of the scalar buoyancy θ , encoding the vestiges of the physics in the problem: Coriolis force, Lorentz force, and gravity. Further vector manipulations of (38)–(40) give the expression

$$\begin{aligned} & [(e_3 \cdot \nabla)^2 \Delta + [\nu \Delta^2 - (e_2 \cdot \nabla)^2]^2] u \\ &= (e_3 \cdot \nabla) \Delta (e_3 \times \nabla \theta) \\ &\quad - [\nu \Delta^2 - (e_2 \cdot \nabla)^2] \nabla \times (e_3 \times \nabla \theta) \end{aligned} \quad (41)$$

which allows us to compute u as a function of θ , under the model's self-consistency assumption that both θ and u have zero vertical mean.⁴ Note that all the differential operators appearing in (41) have constant coefficients. Thus, it is convenient to rewrite (41) as $u = M_\nu[\theta]$, where M_ν is a vector Fourier multiplier

³The orders of ν and κ are speculative, but likely very small. For the moment we keep them as free parameters, which allows us to pass $\nu, \kappa \rightarrow 0$ later on in the analysis.

⁴Note that while the physically relevant boundary for the Earth's fluid core is a spherical annulus, for the purposes of studying the mathematical properties of the MG equations we simply consider periodic boundary conditions.

operator with associated symbol $\widehat{M}_\nu$ given by

$$\begin{aligned}\widehat{M}_{\nu 1}(k) &= (k_2 k_3 |k|^2 - k_1 k_3 (k_2^2 + \nu |k|^4)) D_\nu(k)^{-1} \\ \widehat{M}_{\nu 2}(k) &= (-k_1 k_3 |k|^2 - k_2 k_3 (k_2^2 + \nu |k|^4)) D_\nu(k)^{-1} \\ \widehat{M}_{\nu 3}(k) &= ((k_1^2 + k_2^2)(k_2^2 + \nu |k|^4)) D_\nu(k)^{-1} \\ D_\nu(k) &= |k|^2 k_3^2 + (k_2^2 + \nu |k|^4)^2\end{aligned}$$

for $k = (k_1, k_2, k_3) \in \mathbb{Z}_*^3 := \mathbb{Z}^3 \setminus \{k_3 = 0\}$. On $\{k_3 = 0\}$ we define $\widehat{M}_{\nu j}(k) = 0$, for all $j \in \{1, 2, 3\}$, since θ and u have zero vertical mean.

In summary, for $\nu, \kappa \geq 0$, the *magnetogeostrophic* $\text{MG}_{\nu, \kappa}$ equation is the nonlinear advection diffusion equation (37), in which the incompressible velocity field $u = M_\nu[\theta]$ is given by the constitutive law (41). Some of the key properties of the $\text{MG}_{\nu, \kappa}$ equation are that it is three dimensional, its diffusion is given by the classical Laplacian (when $\kappa > 0$), the symbol $\widehat{M}_\nu(k)$ is orthogonal to the wavevector k and is an even function of it. Most importantly, the nature of the operator M_ν changes dramatically between the inviscid case $\nu = 0$ (when M_ν is an unbounded operator) and the dissipative case $\nu > 0$ (when M_ν is a smoothing operator). This latter fact plays a crucial role in the analysis of $\text{MG}_{\nu, \kappa}$.

It is instructive to compare the $\text{MG}_{\nu, \kappa}$ equation to more classical hydrodynamic models in the canon of nonlinear active scalar equations, such as the 2D surface quasi-geostrophic equation (SQG) and its dissipative versions. The SQG equation has received tremendous interest in the mathematical community over the past decades, through works of Constantin, Wu, Cordoba, Caffarelli-Vasseur, Kiselev-Nazarov-Volberg, and many many others. The recent results in the analysis of the SQG equation have played a fundamental role in developing the mathematical foundations for the $\text{MG}_{\nu, \kappa}$ model.

4.2 The inviscid model $\nu = 0$

In Friedlander's original work on this subject [FV11], it is shown that when $\nu = 0$ there exist regions of Fourier space where $\widehat{M}_0(k)$ is unbounded and may even grow as fast as $|k|$ when $|k| \rightarrow \infty$. Thus, in this worst case scenario M_0 acts as an order one Fourier multiplier, and so the map $\theta \mapsto u = M[\theta]$ effectively

loses one derivative. Since the evolution of θ in (37) satisfies the maximum principle (when $S = 0$) one may prove an a priori bound on $\theta \in L_t^\infty L_x^\infty$; this is the strongest norm of θ which is a priori bounded uniformly in time. In turn, in view of the aforementioned properties of M_0 , we may only deduce a bound on u in $L_t^\infty BMO_x^{-1}$, i.e. u behaves as the divergence of a BMO skew-symmetric matrix. The crucial observation is that in three dimensions advection-diffusion equations with incompressible $L_t^\infty BMO_x^{-1}$ drifts are *critical*, meaning that the natural parabolic scaling of equations leave the size of the rescaled drift velocity u unchanged in this norm. When the initial datum θ_0 or the forcing term S are large, it is notoriously difficult to establish the global existence of smooth solutions for such problems, and indeed, prior to [FV11] this was an open problem. Using a variant of the parabolic De Giorgi iteration and the incompressibility of u , Friedlander and the last author have proven:

Theorem 4.1 ([FV11]). *Let the initial data $\theta_0 \in L^2 \cap L^\infty$ and $\theta \in L^\infty(0, \infty; L^2) \cap L^2(0, \infty; H^1)$ be a weak solution of $\text{MG}_{0, \kappa}$, where $\kappa > 0$. Then, for any t_0 , there exists $\alpha > 0$ such that $\theta \in C^{\alpha/2}(t_0, \infty; C^\alpha)$.*

The proof of Theorem 4.1 starts by using De Giorgi iteration to establish the boundedness of the weak solutions to $\text{MG}_{0, \kappa}$. Proving Hölder regularity requires a more delicate argument since the drift velocity u is the divergence of a BMO , rather than L^∞ , matrix. By essentially using the divergence-free nature of u , and by appealing to the John-Nirenberg inequality, one may however prove a suitable L^p -based Caccioppoli inequality, which is the key ingredient in De Giorgi's improvement of oscillation lemma. Note that while Theorem 4.1 only establishes global Hölder continuity of weak solutions to $\text{MG}_{0, \kappa}$, a-posteriori one may deduce these solutions are C^∞ smooth for $t > 0$, and thus unique.

Having established the global existence of smooth solutions for $\text{MG}_{0, \kappa}$ with $\kappa > 0$, Friedlander and her collaborators have turned their attention to proving the existence of instabilities for the this nonlinear model, since this is after all what the geodynamo problem asks for. Building on the ideas discussed in Section 2, Friedlander was able to prove that for

$m \geq 1$, the operator corresponding to $\text{MG}_{0,\kappa}$ linearized around the steady state $\Theta_0 = \sin(mx_3)$, with associated $U_0 = 0$ and forcing $S = \kappa m^2 \sin(mx_3)$, has unstable point spectrum. Moreover, the largest eigenvalue has real part which is at least as large as $2^{-9}\kappa^{-1}$ once κ is taken to be sufficiently small and $m \ll \kappa^{-1}$. As in Section 2, a careful analysis shows that this linear instability implies that solutions to the full nonlinear $\text{MG}_{0,\kappa}$ are Lyapunov nonlinearly unstable: initially small perturbations of Θ_0 grow exponentially in time, which is consistent with the dynamo instabilities.

Friedlander, jointly with Rusin, and the last author, have obtained a number of further results concerning the $\text{MG}_{0,\kappa}$ model. For instance, the system $\text{MG}_{0,0}$ is Hadamard ill-posed in Sobolev spaces, but local well-posedness is recovered if one adds back a dissipative operator of the type $\kappa(-\Delta)^\gamma$ with $\gamma \geq 1/2$. For further results in the inviscid case, we refer the interested reader to the review paper [FRV14].

4.3 The viscous model $\nu > 0$

The $\text{MG}_{\nu,\kappa}$ equations' nature changes dramatically when considering the *viscous* case $\nu > 0$. To see this, return to the symbol $\widehat{M}_\nu$ defined implicitly by (41). For $\nu > 0$, instead of having an unbounded symbol, one may show that $|k|^2 |\widehat{M}_\nu(k)| \lesssim_\nu 1$ for all $k \in \mathbb{Z}^3$. Thus, the map $\theta \mapsto u = M[\theta]$ is smoothing of order two in the viscous case; a regularization that is even stronger than the Biot-Savart law. Thus, an a priori estimate on $\theta \in L_t^\infty L_x^\infty$ (natural in view of the maximum principle for (36)), yields a bound for $\nabla^2 u$ in $L_t^\infty L_x^p$ for any $p < \infty$. In particular, the Lipschitz norm of u is a priori controlled, globally in time and as for classical ODEs, one may thus hope that the system is globally well-posed even when the diffusivity parameter κ vanishes. This problem was recently resolved by Friedlander jointly with Suen

Theorem 4.2 ([FS15]). *Consider $\nu > 0$ and $\kappa \geq 0$. Assume that $\theta_0 \in L^3$ has zero mean. Then, there exists a unique global weak solution $\theta \in BC((0, \infty); L^3)$ with $u \in C((0, \infty); W^{2,3})$ of the $\text{MG}_{\nu,\kappa}$ equation.*

The remarkable fact about the above result is that it holds even for $\kappa = 0$. It is also shown in [FS15]

that solutions to $\text{MG}_{\nu,\kappa}$ converge in the vanishing viscosity limit $\nu \rightarrow 0$ towards solutions of $\text{MG}_{0,\kappa}$, for $\kappa > 0$. Moreover, there is no anomalous dissipation of energy for (37) in the vanishing diffusivity limit: for any $T > 0$ and $\theta_0 \in H^1$, we have that

$$\lim_{\kappa \rightarrow 0} \int_0^T \int_{\mathbb{T}^3} \kappa |\nabla \theta_{\kappa,\nu}|^2 dx dt = 0,$$

where $\theta_{\kappa,\nu}$ denotes the unique solution of $\text{MG}_{\nu,\kappa}$ guaranteed by Theorem 4.2. The above result addresses the question of magneto-geostrophic turbulence raised by Moffatt [Mof08]. Concerning the geodynamo problem, using techniques similar to those in Section 2, it was proven by Friedlander and Suen in [FS15] that the dissipative $\text{MG}_{\nu,\kappa}$ equation sustains exponentially growing dynamo-type instabilities.

4.4 Singular limits for the magneto-geodynamo in a stochastic setting

Another direction of Friedlander's work in magneto-hydrodynamics concerns the Moffatt-Loper model (34)–(37) in the stochastic setting, where the source term S in thermal evolution equation (37) is specified as a Gaussian white noise. This probabilistic setting of the model interprets white noise driven terms as a heat source which “continuously regenerates the statistically stationary temperature distribution throughout the core” as described by Moffatt [Mof08]. As such, an important feature of Friedlander and her collaborators' work in the stochastic setting is to analyze statistically invariant states, i.e. to study *invariant measures* of the associated Markovian dynamics. More broadly, such measures play an important role in the study of turbulence as they provide a framework for identifying robust statistical quantities in turbulent flows.

In a joint work with Földes, the second co-author, and Richards [FFGHR17], Friedlander considered the singular parameter limits $R_o, R_m \rightarrow 0$ for (34)–(35), with these limits being carried out in terms of the corresponding invariant measures. Roughly speaking, the work [FFGHR17] establishes that statistically robust quantities of the full MHD system

with $0 < R_o, R_m \ll 1$, are well approximated by the those measured using the formal limit system $R_o = R_m = 0$. More precisely, we summarize this result as:

Theorem 4.3 ([FFGHR17]). *Consider (34)–(37) with $\nu, \kappa > 0$, in the presence of a stochastic source term of the form*

$$S(x, t) = \sum_{\substack{k \in \mathbb{Z}_0^3 \\ m \in \{0,1\}}} \alpha_{k,m} \sigma_k^m(x) \dot{W}^{k,m}(t), \quad (42)$$

where $\sigma_k^0(x) := \cos(k \cdot x)$, $\sigma_k^1(x) := \sin(k \cdot x)$, $\alpha_k \in \mathbb{R}$ are amplitudes, and $\{\dot{W}^{k,m}\}$ is a collection of independent white noise processes. Subject to non-degeneracy (hypo-ellipticity) condition that $\alpha_{(1,0,0)m}, \alpha_{(0,1,0)m}, \alpha_{(0,0,1)m}$ are non-zero for $m = 0, 1$ the limit equation (34)–(37) when $R_o = R_m = 0$ has a unique statistically invariant state μ which is achieved at an exponential rate; cf. (44) below. For any collection of statistically invariant states $\{\mu_{R_o, R_m}\}_{R_o, R_m > 0}$ and any suitably regular observable Φ of the dynamics, we have

$$\left| \int \Phi(u, b, \theta) d\mu_{R_o, R_m} - \int \Phi(u, b, \theta) d\mu \right| \leq C(R_o + R_m)^\gamma \quad (43)$$

where the constants $\gamma, C > 0$ are independent of $R_o, R_m > 0$.

An interesting feature of the above result is that the estimate (43) is independent of possible non-uniqueness in the approximating statistics. Here we observe that the formal limit system when $R_o = R_m = 0$, i.e. $\text{MG}_{\nu, \kappa}$ is an active scalar equation with a smoothing constitutive law of order two, and therefore classically yields a Markovian dynamic. On the other hand, for positive values of R_o, R_m , it is not clear that (34)–(37) is well posed or that the associated statistically μ_{R_o, R_m} steady states are unique; for positive R_o, R_m , the invariant states μ_{R_o, R_m} are considered as stochastic analogues (i.e. martingale solutions) of stationary Leray weak solutions.

The strategy in [FFGHR17] turns on establishing a spectral gap condition in suitable in a Wasserstein

metric for the markovian dynamics for the limit system. Here, one considers

$$\mathfrak{W}_\rho(\mu, \nu) := \inf_{\Gamma \in \mathcal{C}_{\mu, \nu}} \int \rho(U, V) \Gamma(dU, dV),$$

where ρ is taken to be a certain metric, topologically equivalent to L^2 , but which punishes elements far from the origin and $\mathcal{C}_{\mu, \nu}$ is the set of couplings of μ and ν . “Weak Harris” mixing results for Markovian systems which are adapted to such topologies $\mathfrak{W}_\rho$ were developed Hairer, Mattingly, Kuksin, Shirikyan and others in the early 2000’s. By leveraging such modern variants of Harris’ classical theorems one may establish bounds of the type

$$\mathfrak{W}_\rho(\mu_{P_t}, \nu_{P_t}) \leq C e^{-\kappa t} \mathfrak{W}_\rho(\mu, \nu). \quad (44)$$

A crucial step in the analysis leading to (44) is to study the delicate interactions of the stochastic source terms S in (42) with the nonlinear portion of the drift $u \cdot \nabla \theta$ present in (41), in order to establish a certain Hörmander-type hypoellipticity condition. This analysis produces a form of smoothing in the markovian dynamics, the so-called asymptotic strong Feller condition of Hairer and Mattingly.

The bound (44) crucially affords a reduction of the study of the convergence of the stationary states in (43), to the establishing of bounds between positive and limit solutions in the parameters R_o, R_m at a fix finite time $t_* > 0$. The finite time convergence analysis produces interesting challenges due to a phase space mismatch between full system when $R_o, R_m > 0$ and the active scalar equation representing the limit. This formally suggests a multi-time scale analysis to correctly approximate solutions at an initial layer in time. One insight in [FFGHR17] is that, beyond the initial layer, there is no need to correct the dynamics in order to obtain a bound similar to (43) for the thermal components of the dynamics; these decay rates may then be transferred to bounds on velocity and magnetic components.

5 Impact of Friedlander’s work

It is hard to overestimate the significance of Friedlander’s research and her impact on the work of so



Figure 2: Friedlander at the Mathematisches Forschungsinstitut Oberwolfach in 2015.

many mathematicians, including the authors of this survey. The geometric optics method has developed into a powerful tool to study instabilities for a broad range of fluid models. Further advances in this area has led to a full description of the essential spectrum of the 2D and 3D Euler system. This, in turn, made it possible to apply methods from dynamical systems to construct invariant manifolds near unstable equilibria.

Friedlander’s results on the long time behaviour of dyadic models represent a prototype of the “dream scenario” for the full Euler equations – finite time blowup or regularization that leads to the Onsager-critical regularity for solutions with any initial data. The regularization property of the nonlinear term has been investigated further starting with the work of Barbato, Morandin, and Romito. A recent work of Tao exploits the blow up mechanism of the dyadic model and adds the energy cascade delays to break the 4D barrier that result in a construction an “averaged” 3D Navier-Stokes model which fulfills all the same energy estimates as the actual Navier-Stokes, but blows up in finite time – a long awaited result demonstrating that the energy method alone is not enough to resolve the Clay Problem for 3D Navier-Stokes.

The MG-model has sparked research in many directions, and in particular it was one of the first active scalar equations to which the convex integration method was adapted. It played a crucial step in

understanding the role of symmetries in the Fourier multiplier for the scalar-to-velocity constitutive law, as a mechanism for generating wild solutions.

Friedlander’s contribution to the ongoing work on the Onsager conjecture laid the basis for the mathematical formalization of the physical concepts of intermittency and accumulation set for the forward energy cascade in turbulent flows. These concepts proved to be experimentally measurable and were well received in physical community. Friedlander’s analytical results on the energy law remain sharp to date and were extended to fluids models with boundaries, to inhomogeneous and compressible fluids, and even to general hyperbolic conservation laws.

References

- [CCFS08] Alexey Cheskidov, Peter Constantin, Susan Friedlander, and Roman Shvydkoy, *Energy conservation and onsager’s conjecture for the euler equations*, Nonlinearity **21** (2008), no. 6, 1233–1252. MR2422377
- [CF09] Alexey Cheskidov and Susan Friedlander, *The vanishing viscosity limit for a dyadic model*, Phys. D **238** (2009), no. 8, 783–787. MR2522972
- [CFP07] Alexey Cheskidov, Susan Friedlander, and Nataša Pavlović, *Inviscid dyadic model of turbulence: the fixed point and onsager’s conjecture*, J. Math. Phys. **48** (2007), no. 6, 065503, 16. MR2337019
- [CFP10] ———, *An inviscid dyadic model of turbulence: the global attractor*, Discrete Contin. Dyn. Syst. **26** (2010), no. 3, 781–794. MR2600714
- [Che08] Alexey Cheskidov, *Blow-up in finite time for the dyadic model of the Navier-Stokes equations*, Trans. Amer. Math. Soc. **360** (2008), no. 10, 5101–5120. MR2415066
- [CSF12] Alexey Cheskidov, Roman Shvydkoy, and Susan Friedlander, *A continuous model for turbulent energy cascade* (2012), 52–69. MR3050291
- [FFGHR17] Juraj Földes, Susan Friedlander, Nathan Glatt-Holtz, and Geordie Richards, *Asymptotic analysis for randomly forced mhd*, SIAM J. Math. Anal. **49** (2017), no. 6, 4440–4469. MR3723323
- [FP04] Susan Friedlander and Nataša Pavlović, *Blowup in a three-dimensional vector model for the euler equations*, Comm. Pure Appl. Math. **57** (2004), no. 6, 705–725. MR2038114
- [Fri01] Susan Friedlander, *On nonlinear instability and stability for stratified shear flow*, J. Math. Fluid Mech. **3** (2001), no. 1, 82–97. MR1830656

- [FRV14] Susan Friedlander, Walter Rusin, and Vlad Vicol, *The magneto-geostrophic equations: a survey* (2014), 53–78. MR3287785
- [FS05] Susan Friedlander and Roman Shvydkoy, *The unstable spectrum of the surface quasi-geostrophic equation*, J. Math. Fluid Mech. **7** (2005), no. suppl. 1, S81–S93. MR2126131
- [FS15] Susan Friedlander and Anthony Suen, *Existence, uniqueness, regularity and instability results for the viscous magneto-geostrophic equation*, Nonlinearity **28** (2015), no. 9, 3193–3217. MR3403395
- [FV11] Susan Friedlander and Vlad Vicol, *Global well-posedness for an advection-diffusion equation arising in magneto-geostrophic dynamics*, Ann. Inst. H. Poincaré Anal. Non Linéaire **28** (2011), no. 2, 283–301. MR2784072
- [FV91] Susan Friedlander and Misha M. Vishik, *Dynamo theory, vorticity generation, and exponential stretching*, Chaos **1** (1991), no. 2, 198–205. MR1135909
- [FV92] ———, *Instability criteria for steady flows of a perfect fluid*, Chaos **2** (1992), no. 3, 455–460. MR1184488
- [Kol41] A. Kolmogoroff, *The local structure of turbulence in incompressible viscous fluid for very large Reynold’s numbers*, C. R. (Doklady) Acad. Sci. URSS (N.S.) **30** (1941), 301–305. MR0004146
- [KP02] Nets Hawk Katz and Nataša Pavlović, *A cheap Caffarelli-Kohn-Nirenberg inequality for the Navier-Stokes equation with hyper-dissipation*, Geom. Funct. Anal. **12** (2002), no. 2, 355–379. MR1911664
- [KP05] ———, *Finite time blow-up for a dyadic model of the Euler equations*, Trans. Amer. Math. Soc. **357** (2005), no. 2, 695–708. MR2095627
- [ML94] Henry Keith Moffatt and David Loper, *The magnetostrophic rise of a buoyant parcel in the earth’s core*, Geophysical Journal International **117** (1994), no. 2, 394–402.
- [Mof08] Henry Keith Moffatt, *Magnetostrophic turbulence and the geodynamo*, IUTAM Symposium on Computational Physics and New Perspectives in Turbulence, nagoya, japan, september, 11–14, 2006, 2008, pp. 339–346. MR2432632 (2009j:76227)
- [Ons49] L. Onsager, *Statistical hydrodynamics*, Nuovo Cimento (9) **6** (1949), no. Suppl, Supplemento, no. 2 (Convegno Internazionale di Meccanica Statistica), 279–287. MR36116
- [VF03] Misha Vishik and Susan Friedlander, *Nonlinear instability in two dimensional ideal fluids: the case of a dominant eigenvalue*, Comm. Math. Phys. **243** (2003), no. 2, 261–273. MR2021907
- [VF93] Misha M. Vishik and Susan Friedlander, *Dynamo theory methods for hydrodynamic stability*, J. Math. Pures Appl. (9) **72** (1993), no. 2, 145–180. MR1216094