

## Summary

**Goal:** Do pretrained transformer (PT) and RNN models achieve performance gains in short answer scoring for the “right” reasons?

**Task:** Ordinal score prediction for regression models; expert analysis of saliency maps for responses

**Methods:** PT- and RNN-based text regression models; expert analysis of saliency maps for responses

**Data:** U.S. middle school students using an online science platform

**Results**

- PT- and RNN-based models can produce **substantially different saliency profiles while predicting the same scores** for the same student responses
- Models **do not show an ability to learn key phrases or longer linguistic units corresponding to ideas**, which are targeted by question rubrics

## Introduction

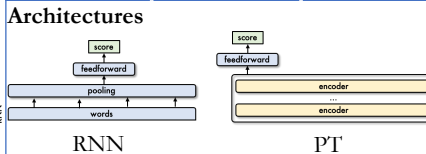
- Online science education environment: WISE <https://wise.berkeley.edu/>
- Knowledge integration (KI) scores: 1-5; reward linking evidence to claims and adding multiple evidence-claim links to explanations

## Datasets

Musical Instruments (MI): Students develop ideas about properties of sound waves (wavelength, frequency, amplitude, pitch).

Solar Ovens (SO): Students collect evidence related to a claim made by a fictional peer about the functioning of a solar oven.

## Experiment Setup



## Training procedure

- 10-fold cross-validation (train/dev/test)
- Analysis of “pooled” predictions on test

## Analysis procedure

- Sampled 25 responses from 4 outcome conditions: RNN+ PT+ (RNN correct, PT correct); RNN+ PT-; etc.
- Experts viewed the model’s saliency map for each response and labeled the model’s behavior with 1 or more labels

## Labels for Model Saliency Behavior

<b>Captured the most important keywords (+kw)</b>	Key words that are indicative of accurate understanding are salient.
<b>Missed link between keywords (-link)</b>	Some key words are salient but not others; all are required for a credible score decision.
<b>Non-keyword is salient (+nkw)</b>	Some words that are <i>not</i> indicative of accurate understanding are salient.
<b>Did not consider context of keywords (-ctxt)</b>	Some typical key words are salient, but in the context of other key words, the identified key words do not indicate accurate understanding.

## Quantitative Results

Ques.	Model	Pearson	QWK	MSE
MI	RNN	0.7989	0.7642	0.3058
	PT	0.8134	0.7733	0.2956
SO	RNN	0.7612	0.7116	0.2619
	PT	0.7691	0.7127	0.2608

## Q Cond. Model +kw -link +nkw -ctxt

MI	RNN+ PT	19	10	12	2
	PT+ RNN	20	12	4	0
	RNN+ PT	19	6	9	4
	PT- RNN	19	9	14	6
RNN-	RNN- PT	23	9	3	1
	PT+ RNN	21	9	10	3
	RNN- PT	12	3	8	9
	PT- RNN	13	5	10	12
SO	RNN+ PT	22	1	5	1
	PT+ RNN	25	0	0	1
	RNN+ PT	17	3	16	12
	PT- RNN	24	0	14	1
RNN-	RNN- PT	24	0	9	2
	PT+ RNN	18	5	11	11
	RNN- PT	16	6	15	14
	PT- RNN	16	3	17	12

When a model was wrong, it was less likely to identify the important keyword.

When both models were wrong, not considering the context of keywords was a particular problem.

## Qualitative Results

Different patterns of saliency sometimes result in the same model predictions.

191704  
RNN score=4 prediction=4  
If the full glass has more **mass** in it then the pitch will be **lower** .  
PT score=4 prediction=4  
[CLS] if the full glass has more mass in it then the pitch will be **lower** . [SEP]

190386  
RNN score=3 prediction=3  
It is **different** because the water will slow down the sounds . The more full will make the sound **lower** .  
PT score=3 prediction=3  
[CLS] it is **different** because the water will slow down the sounds . the more full will make the sound lower . [SEP]

148006  
RNN score=1 prediction=3  
The glass is **lower** .  
PT score=1 prediction=3  
[CLS] the glass is lower . [SEP]

Both model types sometimes associate correct keywords with an incorrect score.

254470  
RNN score=4 prediction=3  
the empty glass is able to **reverberate** more and make a **high** pitch noise  
PT score=4 prediction=2  
[CLS] the empty glass is able to **rev ##ex ##ber ##ate** more and make a **high** pitch noise . [SEP]

Both model types sometimes attribute saliency to non-keywords.

230094 RNN score=3 prediction=2  
An empty glass would make one sound but a full glass can make different sound depending on how full the glass is like for example the glass can make different pitches.  
188198 RNN score=3 prediction=2  
it 's **different** because one is full and the other is empty .

190674 PT score=2 prediction=1  
[CLS] because there is nothing to block the sound wave for the empty cup of water it i ' ll go faster [SEP]  
233477 PT score=3 prediction=3  
[CLS] i **chose** this answer because the empty glass will have a **higher** pitch sound because the glass is empty . [SEP]