Vertically Aligned Ag$_x$Au$_{1-x}$ Alloyed Nanopillars Embedded in ZnO as Nanoengineered Low-Loss Hybrid Plasmonic Metamaterials

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ABSTRACT: Hybrid plasmonic metamaterials offer a pathway to exotic properties and technologically important applications including subdiffraction imaging and plasmonic energy harvesting. Challenges remain for practical applications including high absorption losses of noble metals and tedious growth/fabrication processes. In this work, a self-assembled hybrid plasmonic metamaterial consisting of anisotropic Ag$_{61}$Au$_{39}$ alloy nanopillars embedded in a ZnO matrix has been successfully grown. The chemical composition of the nanoalloy was determined to be Ag$_{61}$Au$_{39}$. The microstructure and optical properties arising from ZnO-Ag$_{61}$Au$_{39}$ alloyed hybrid systems were investigated and compared with that of the ZnO-Ag particle-in-matrix nano-composite and the ZnO-Au vertically aligned nanocomposite. The ZnO-Ag$_{61}$Au$_{39}$ hybrid system demonstrates anisotropic morphology, excellent epitaxial quality, and enhanced optical properties, including surface plasmon resonance, hyperbolic dispersion, low absorption losses, and numerous epsilon-near-zero permittivity points, making it a promising candidate for practical applications of hybrid plasmonic metamaterials.

KEYWORDS: oxide-metal hybrid material, vertically aligned nanocomposite, nanoalloy, plasmonics, metamaterials

Hyperbolic metamaterials are a class of materials demonstrating unique dielectric function, owing to their anisotropic nanostructure. The anisotropic nanostructure leads to a hyperbolic dispersion in the dielectric function, in which the dielectric function will behave as a metal in one direction and as a dielectric material in the opposite direction. Potential applications of hyperbolic metamaterials include subwavelength wave-guides, subdiffraction imaging, cloaking, and platforms for studying fundamental physical phenomena. The most popular approaches for realizing practical hyperbolic metamaterials are through layered dielectric-metal nanocomposites and nanowire arrays. Owing to the plasmonic coupling at the metal-dielectric heterointerface, nanostructured hyperbolic metamaterials have multifunctionalities not only as metamaterials, but also in energy harvesting and sensor technologies.

One of the major limitations of metallic plasmonic metamaterials in practical applications is the high absorption losses of plasmonic noble metals such as Ag and Au. Using nanostructured low-loss metals is proposed as one way to overcome absorption losses; some promising candidates include nanostructured aluminum and copper. These metals are promising, they suffer from chemical and thermal instability issues. Another proposed but less studied way is utilizing nanoalloys. Nanoalloys are a strong candidate for hybrid plasmonic metamaterials; however, they also suffer from chemical and thermal instability issues. It could be possible to achieve a stable nanoalloy by combining it with a chemically stable oxide matrix.

In this work, we propose nanoscale alloying of the metallic constituent of oxide-metal VAN for a 2-fold effect. The first is...
to create enhanced hybrid plasmonic metamaterials by utilizing nanoalloys for improved optical response and tunability, in oxide-metal VAN. Nanoalloys suffer from thermal stability issues in high temperatures, preventing them from advanced applications. Encapsulation in an oxide matrix will provide a platform for plasmonic hybridity and enhanced chemical and thermal stability. The second purpose of alloying the oxide-metal hybrid material is to enable VAN growth to overcome the typical particle-in-matrix oxide-metal form. Exotic functionalities of metamaterials depend on the strong anisotropy in the VAN nanostructures, while many of the oxide-metal hybrid materials prefer to grow as an isotropic particle-in-matrix structure, limiting their anisotropic nature. By properly selecting the alloy composition with a metal preferring pillar structure, it is possible to facilitate the pillar-in-matrix growth for superior combined properties and microstructure.

For this demonstration, we have selected \(\text{Ag}_{x}\text{Au}_{1-x}\) alloyed nanopillars embedded in the ZnO matrix as a VAN through a single-step PLD technique, schematically shown in Figure 1.

![Figure 1. Schematic diagram of an oxide-alloyed metal vertically aligned nanocomposite.](image)

\(\text{Ag}_{x}\text{Au}_{1-x}\) was chosen as the nanoalloy for this demonstration because of the widely studied plasmonic properties of Au and Ag. Ag has lower optical losses than Au; however, it is chemically unstable. Alloying Ag, together with Au, helps combine the optical properties of Ag with the chemical stability of Au. ZnO was selected as the dielectric medium as it is widely available and a well-studied photocatalytic and energy-harvesting material. As shown in Figure 1, ZnO-Ag and ZnO-Au were grown and compared to ZnO-Ag\(\text{Au}_{1-x}\)-x. ZnO-Ag has a preferred particle-in-matrix microstructure, while ZnO-Au grows as a pillar-in-matrix structure. Alloying Ag with Au in the ZnO matrix will grow ZnO-Ag\(\text{Au}_{1-x}\) with a vertical, anisotropic microstructure as seen in Figure 1. The microstructures of ZnO-Ag, ZnO-Au, and ZnO-Ag\(\text{Au}_{1-x}\)-x were carefully investigated and compared. The optical properties were measured and compared with single metal cases to demonstrate the structure and property tunability.

**Results/Discussion.** The challenge of alloying at the nanoscale was overcome by selecting compatible alloy constituents that were immiscible in the ZnO matrix. For comparison, ZnO-Ag and ZnO-Au hybrid materials were grown at the same parameters as ZnO-Ag\(\text{Au}_{1-x}\) on the \(\alpha\)-Al\(_2\)O\(_3\) [0001] substrate (see Methods section). The chemical composition of the nanoalloy was determined through energy dispersive spectroscopy (EDS) and found to be Ag\(_x\)Au\(_{1-x}\); the resulting table can be found in Table S1. The ZnO-Ag particle-in-matrix can be seen in the cross-sectional scanning transmission electron microscopy (STEM) image in Figure 2a and plan-view in Figure 2d. Depicted in STEM images, the morphology of ZnO-Ag is particle-in-matrix. The inset SAED pattern in Figure 2a shows the low epitaxial quality of the ZnO-Ag particle-in-matrix nanocomposite. There are satellite diffraction peaks, caused by minor orientations of Ag and ZnO. Figure 2b shows vertical and well-ordered Au nanopillars inside a ZnO matrix. Plan-view STEM in Figure 2e shows individual Au nanopillars with a hexagonal shape and mesoscale quasi-hexagonal in-plane ordering. The inset image shows the SAED pattern, with diffraction spots from Au, ZnO, and Al\(_2\)O\(_3\), indicating the high epitaxial quality. The out-of-plane epitaxial relationship is Al\(_2\)O\(_3\) (0006) \(\parallel\) ZnO (0002) \(\parallel\) Au (111), and the in-plane relationship is Al\(_2\)O\(_3\) (1120) \(\parallel\) ZnO (1010) \(\parallel\) Au (220). High epitaxial quality, like in ZnO-Au, is preferred as it leads to enhanced optical properties. EDS chemical mapping of ZnO-Au and ZnO-Ag can be found in Figure S1, showing no interdiffusion between phases. Successful oxide-nanoalloy ZnO-Ag\(_{61}\text{Au}_{39}\) growth is presented in Figure 2cf. The cross-sectional STEM indicates vertical growth of the alloyed phase, while the inset SAED pattern shows the high epitaxial quality and the same out-of-plane and in-plane epitaxial relationship maintained from the ZnO-Au nanocomposite. Comparing microstructures indicates that alloying is an effective approach to induce pillar-in-matrix morphology for materials like Ag that grow with preferred particle-in-matrix morphology. X-ray diffraction (XRD) scans of the films and their targets were performed to investigate the microstructure and epitaxial quality, and a discussion can be found in Figure S2. Furthermore, it is possible to tune the alloy composition by varying the target stoichiometry or growth parameters. For demonstration, the background pressure was tuned, and besides the Ag\(_x\)Au\(_{1-x}\) nanoalloy deposited at 20 mTorr, two other compositions of Ag\(_{33}\)Au\(_{67}\) and Ag\(_{67}\)Au\(_{33}\) were determined for deposition in a vacuum and 150 mTorr, respectively. The graph of the Ag/Au ratio vs deposition pressure of these films can be seen in Figure S3a, which was calculated based on the EDS data summarized in Table S1–3. A ratio of Ag/Au < 1 implies that the alloy is Au-rich, while a ratio of Ag/Au > 1 implies that the alloy is Ag-rich.

The alloyed growth in Figure 2c has a few interesting microstructural characteristics compared to the vertical ZnO-Au growth. The major difference when comparing ZnO-Au and ZnO-Ag\(_{61}\text{Au}_{39}\) is apparent in the plan-view image. The alloyed pillars of ZnO-Ag\(_{61}\text{Au}_{39}\) maintained a similar hexagonal shape and quasi-hexagonal ordering; however, nanoalloy pillars were larger than Au pillars in the ZnO-Au nanocomposite. The average diameters of the ZnO-Au and ZnO-Ag\(_{61}\text{Au}_{39}\) pillars were calculated, and the distribution of pillar diameter was plotted in Figure S4. Diameter calculations were conducted by measuring the diameter of nanopillars via 3DS micrograph software in plan-view images in Figure 2 and plotting them as a histogram in Figure S4. This method is adopted from the calculation of particle size distributions. ZnO-Au pillars have an average diameter of 6.14 nm, while ZnO-Ag\(_{61}\text{Au}_{39}\) pillars have an average diameter of 13.7 nm. The diameter for the ZnO-Ag was not calculated due to irregular morphology. Comparing the growth of the alloy film to that of the ZnO-Au film indicates Ag has an increased pillar diameter. Moreover, some particles appear in the alloy film, most likely influenced...
by Ag. Ag tends to have intraplanar diffusion, leading to large particle growth as seen in the ZnO-Ag sample in this work or even leads to tilted pillar growth in other VAN. It is possible to tune the morphology of ZnO-Ag to make the pillars more uniform in the matrix, similar to the ZnO-Au growth. This was achieved by tailoring the growth parameters and performing the deposition under vacuum. The new optimized morphology can be seen in the TEM cross-sectional micrograph in Figure S3b, where pillars are uniformly distributed in the matrix. Moreover, the TEM plan-view image in Figure S3c depicts well-ordered pillar distribution.

A detailed microstructure characterization study was performed on the ZnO-AgAu VAN and presented in Figure 3. Figure 3a shows a high-resolution plan-view transmission electron micrograph (HRTEM), indicating the hexagonal shape of nanopillars and the (111) in-plane stacking arrangement. The sides of nanopillars are of {220} planes. Figure 3b presents a STEM plan-view image, showing the quasi-hexagonal ordering maintained from the ZnO-Au microstructure. The EDS maps, coupled with STEM images, shown in Figure 3c–f, depict the chemical composition of the nanoalloy VAN. Figure 3c shows a composite of all measured maps with no overlap between the alloy or ZnO, indicating phase separation between the matrix and nanopillars. Figure 3d,f presents the EDS map of Ag and Au, respectively. From the plan-view EDS map, the Au and Ag signals appear evenly mixed, indicating no significant phase separation in the nanopillars and that the nanopillars are well alloyed. Figure 3k shows a cross-sectional STEM image with the corresponding EDS elemental mapping shown in Figure 3g–j. The maps for Ag and Au appear in Figure 3h,i, respectively, and confirm that there is no phase separation and interdiffusion to the matrix in the alloy, as seen in the plan-view EDS maps. Figure 3g is a composite of the measured maps and, like the plan-view EDS, indicates no overlap between the nanopillars and the oxide matrix. High-resolution STEM was performed on the cross-section sample in Figure 3l to discern the atomic structure and stacking arrangement. The alloy nanopillars grow with out-of-plane [111] direction and have an FCC stacking sequence. The growth direction of nanopillars matches with the HCP atomic stacking of ZnO and [0002] out-of-plane growth direction.

The optical properties of ZnO-AgAu, ZnO-Ag, and ZnO-Au were compared using UV−vis spectroscopy as shown in Figure 4. Images of the bulk thin films are shown in Figure 4a, where ZnO-Ag has a film color of dark gray, and ZnO-Au has a red-blue appearance; ZnO-AgAu is a deep-scarlet red color. The physical appearance of plasmonic films depends on different factors including particle size, composition, and density. Bimetallic alloys of AgAu have been predicted to hybridize their plasmonic resonance and produce a deep, scarlet red color, similar to the color observed in the ZnO-AgAu VAN. UV−vis spectroscopy was performed on each film to measure the transmission and plasmonic resonance; the resulting responses are presented in Figure 4b. ZnO-Au has a plasmonic resonance around 565 nm, ZnO-Ag has a resonance at 504 nm, and ZnO-AgAu has a resonance at 512 nm. The observed SPR of ZnO-AgAu falls within the range predicted of the effective medium theory for AgAu alloy. The plasmonic properties coupled with chemical and thermal stability of ZnO makes the ZnO-AgAu VAN a potential candidate for applications in chemical sensing, biosensing, and energy harvesting.
The anisotropic microstructure of the oxide-metal VAN can be used to engineer a hyperbolic dispersion. To investigate the anisotropic dielectric function of the ZnO-Ag₆₁Au₃₉ VAN, spectroscopic ellipsometry was performed from 210 to 2500 nm to measure the dielectric permittivity as shown in Figure 5. The perpendicular and parallel components of permittivity were resolved by using a uniaxial model coupled with the B-spline model. The measured ellipsometry data and fitted
models for $\Psi$ and $\Delta$ of each film can be found in Figure S5. Moreover, the dielectric response of the alloy VAN was compared with ZnO-Ag and ZnO-Au. The experimental setup with respect to the microstructure is inset in Figure 5d–f. The real parts of ZnO-Ag$_{61}$Au$_{39}$, ZnO-Ag, and ZnO-Au are plotted in Figure 5a–c, respectively, while the imaginary parts are plotted in Figure 5d–f, respectively. Hyperbolic regimes are highlighted on the real permittivity graph color-coded to the type of hyperbolic metamaterial, indicating their photonic density of k-states and isofrequency curve. Type I ($\varepsilon_{//} > 0, \varepsilon_{\perp} < 0$) hyperbolic regimes are highlighted with yellow, and type II ($\varepsilon_{//} < 0, \varepsilon_{\perp} > 0$) hyperbolic regimes are highlighted green. The isofrequency curve of type I hyperbolic metamaterial can be described as a hyperboloid of two sheets, indicating support of high and low k-states. The isofrequency curve of type II hyperbolic metamaterial can be described as a paraboloid of one sheet and supports only high k-states. To confirm the surface plasmon resonance calculated from the UV–vis transmission spectra, angular reflectivity measurements were also performed on the ellipsoid and presented in Figure S6 at angles of 40°, 50°, 60°, and 70°. To confirm the validity of the model used to derive the complex dielectric function, the same uniaxial B-spline model was fitted to the measured angular reflectivity. The measured reflectivity demonstrated SPR at 520 nm for ZnO-Ag$_{61}$Au$_{39}$, 500 nm for ZnO-Ag, and 610 nm for ZnO-Au. Simulated reflectivity models demonstrated SPR within ~20 nm, confirming a good agreement between the simulation and experimental results.

The real and imaginary parts of dielectric permittivity of ZnO-Ag$_{61}$Au$_{39}$ VAN are plotted in Figure 5a,d, respectively. ZnO-Ag real and imaginary permittivity are depicted in Figure 5b,e, respectively, while the data of ZnO-Au are presented in Figure 5c,f, respectively. Anisotropy of the VAN microstructure is obvious when comparing $\varepsilon_{//}$ and $\varepsilon_{\perp}$ components for ZnO-Au and ZnO-Ag$_{61}$Au$_{39}$. The more isotropic particle-in-matrix microstructure of ZnO-Ag is evident in $\varepsilon_{//}$ and $\varepsilon_{\perp}$ components having a similar plot, minor differences due to irregular particle shape as seen in plan-view in Figure 2d. The ZnO-Ag$_{61}$Au$_{39}$ out-of-plane ($\varepsilon_{\perp}$) component has epsilon-near-zero (ENZ) permittivity at 736 and 2060 nm, while the in-plane ($\varepsilon_{//}$) component has ENZ permittivity at 400, 520, 590, and 736 nm. Moreover, ZnO-Ag$_{61}$Au$_{39}$ hybrid material demonstrates three hyperbolic regimes: type I hyperbolic regimes occur from 400 to 520 nm and 590–736 nm, and a type II hyperbolic region occurs from 736 to 2050 nm. Interestingly, ZnO-Ag has a hyperbolic regime ranging from 1000–2100 nm with ENZ permittivity occurring at 1000 and 2100 nm. ZnO-Au has a hyperbolic regime from 362 to 382 nm and 758–1820 nm with ENZ permittivity occurring at 362, 382, 758, and 1820 nm in the $\varepsilon_{\perp}$ component. Both ZnO-Ag and ZnO-Au have a similar hyperbolic regime in the near-infrared occurring from 1000 to 2100 nm for ZnO-Ag and 758–1820 nm for ZnO-Au, but ZnO-Ag is a type I hyperbolic metamaterial, while ZnO-Au is a type II hyperbolic metamaterial. ZnO-Ag$_{61}$Au$_{39}$ has inherited the hyperbolic properties of ZnO-Au in this regime and has a type II hyperbolic regime from 736 to 2050 nm. ZnO-Au demonstrates high absorption losses in its near-infrared hyperbolic regime (758–1820 nm), depicted in Figure 5e. This is in contrast with the imaginary permittivity of ZnO-Ag in Figure 5f, which has overall much lower values in the near-infrared hyperbolic regime. By alloying Ag and Au, ZnO-Ag$_{61}$Au$_{39}$ VAN has maintained similar hyperbolic regimes in near-infrared as ZnO-Au and has much lower values of imaginary permittivity as seen in Figure 5f. While ZnO-Ag does demonstrate a hyperbolic regime in the near-infrared, it does not have visible regime hyperbolic regimes. Alloying in the ZnO-Ag$_{61}$Au$_{39}$ hybrid material has improved and rectified the shortcomings
of both ZnO-Au and ZnO-Ag nanocomposites. ZnO-Ag_{61}Au_{39} has lower absorption losses than ZnO-Au and demonstrates hyperbolicity in the visible regime, where ZnO-Ag is lacking. All of these, coupled together, indicate that alloying is an effective approach for tuning optical functionality. Moreover, the ZnO-Ag_{61}Au_{39} VAN has demonstrated more significant hyperbolic dispersion and ENZ permittivity across a broad range of wavelengths with low associated imaginary permittivity as compared with other Ag/Au nanowire hyperbolic metamaterials in both the near-infrared and visible regimes. Through the unique one-step self-assembly alloy approach in this report, it is possible to grow pillar-in-matrix ZnO-Ag type hyperbolic metamaterials, where ZnO-Ag usually prefers particle-in-matrix morphology due to complex growth conditions. Furthermore, this approach allows for thin film integration across various substrates and surfaces, broadly expanding the application of HMM and nanoalloys.

Oxide-alloyed metal-based VANs open an entirely new paradigm of multifunctionality, as it combines the field of nanoalloys with the VAN platform. The ZnO-Ag_{61}Au_{39} film demonstrated a broad range of hyperbolic properties and SPR when compared with other Ag/Au nanowire arrays. Therefore, the ZnO-Ag_{61}Au_{39} VAN is envisioned as an ideal candidate for multifunctional applications in both the fields of hyperbolic metamaterials and plasmonics, specifically in the application of subdiffraction imaging and far-field hyperlenses. The optical losses and properties in this work could be further engineered by varying the alloy composition and incorporating other metals in alloy form, such as the inclusion of other low-loss metals like Cu or Al. As seen from the preliminary data in Figure S7, it is possible to achieve ZnO-Au_{x}Cu_{1-x} with nanopillar morphology and well-ordered in-plane structure based on the cross-section and plan-view STEM and EDS mapping results. The investigation on the effect of Cu alloying with Au in the ZnO dielectric matrix on optical properties is currently ongoing. Further improvements could be achieved by alloying with other optically interesting metals, and exotic functionalities could be realized through incorporating magnetic alloy compositions, such as Fe, Co, and Ni. In conclusion, this work presents a new oxide-metallic alloy-based VAN consisting of ZnO and Ag_{61}Au_{39} alloy nanopillars. ZnO-Ag_{61}Au_{39} was deposited through a one-step pulsed laser deposition method and compared with that of the single-case ZnO-Ag and ZnO-Au nanocomposite. Microstructural characterization shows that the new alloy VAN inherits the epitaxy and anisotropy of the ZnO-Au VAN. Spectroscopic ellipsometry was performed to investigate the anisotropic dielectric response of ZnO-Ag_{61}Au_{39} compared to ZnO-Au and ZnO-Ag. The alloyed VAN has demonstrated interesting hyperbolic dispersion and ENZ permittivity as compared with anisotropic ZnO-Au and other Ag/Au nanowire array hyperbolic metamaterials. UV–vis spectroscopy measurements discerned the plasmon response of all films. Angular reflectivity measurements were conducted to confirm the plasmon resonance and compare the response of the alloy VAN to that of ZnO-Ag and ZnO-Au. The ZnO-Ag_{61}Au_{39} alloy film possesses broad optical properties for metamaterial application, indicating the potential of alloyed nanopillars in the field of nanophotonics to produce multifunctional hybrid plasmonic metamaterials. The alloyed oxide-metal hybrid materials also demonstrated a new route for property tuning in nanoscale metamaterial designs. Future work includes the investigation and systematic study of other alloy candidates toward a broad range of morphology and property tuning.

**Methods.** For thin-film growth, ZnO-Au, ZnO-Ag, and ZnO-Ag_{61}Au_{39} nanocomposite films were grown on c-cut Al_{2}O_{3} (0001). The deposition was performed with a KrF excimer laser (Lambda Physik Complex Pro 205, λ = 248 nm), and the substrate temperature was kept constant at 500 °C. The laser beam was focused with an incident angle of 45° with laser energy of 420 mJ. The target-substrate distance was kept constant at 4.5 cm and measured before each deposition to ensure accuracy. Three nanocomposite targets consisting of ZnO-Ag, ZnO-Au, and ZnO-Ag_{50}Au_{50} were developed through solid-state sintering used for laser ablation. Before deposition, the chamber was pumped down to around 10−6 mTorr before an oxygen pressure was inflowed. The oxygen background pressure was maintained at 20 mTorr, and the laser pulse frequency was set to 5 Hz for all depositions. After all depositions, the chamber was cooled to room temperature at a rate of 15 °C/min.

**Microstructure Characterization.** Film morphology was characterized through XRD, TEM, and STEM coupled with EDS mapping. XRD scans of θ−2θ were conducted using a Panalytical X'Pert X-ray diffractometer with Cu Kα radiation. Bright-field TEM, STEM, SAED patterns, and EDS mapping were performed in an FEI Talos F200X TEM. Samples for electron microscopy were prepared, for both cross-section and plan-view, via a standard grinding procedure, which entails manual grinding, polishing, dimpling, and a final ion milling step to achieve electron transparency (PIPS 691 precision ion polishing system, 5 keV for cross-section and 4−4.5 keV for plane-view sample).

**Optical Measurements.** Ellipsometry experiments were carried out on an RC2 Spectroscopic ellipsometer (J.A. Woollam Company). Three angles, 30°, 45°, and 60°, were measured from a spectrum range of 210−2500 nm. Ψ and Δ data were obtained from ellipsometry experiments and then fit with a uniaxial model coupled with a B-spline model that was used to discern anisotropic permittivity properties of ZnO-Au, ZnO-Ag, and ZnO-Ag_{50}Au_{50}; an agreeable mean square error (MSE) < 5 was obtained for all film models. Angular reflectivity measurements were performed on an RC2 Spectroscopic ellipsometer at 40°, 50°, 60°, and 70° and fitted with a uniaxial B-spline model to confirm surface plasmon resonance. Normal incident depolarized transmittance (T%) was measured using an optical spectrophotometer (Lambda 1050 UV–vis spectrophotometer).

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**ASSOCIATED CONTENT**

**Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.nanolett.0c00790.

EDS mapping of ZnO-Au and ZnO-Ag, XRD pattern for all films, composition and morphology tuning of ZnO-Ag_{61}Au_{39} oxide-nanoalloy thin films, diameter calculation, ellipsometry measured and model data for Ψ and Δ, measured and model angular reflectivity, and microstructural characterization of the ZnO-Au_{x}Cu_{1−x} VAN thin film (PDF)
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Notes
The authors declare no competing financial interest.

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