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Free products of finite-dimensional and other von Neumann algebras in terms of free Araki–Woods factors



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ABSTRACT

We show that any free product of finite-dimensional von Neumann algebras equipped with non-tracial states is isomorphic to a free Araki–Woods factor with its free quasi-free state possibly direct sum a finite-dimensional von Neumann algebra. This gives a complete answer to questions posed by Dykema in [5] and Shlyakhtenko in [10], which had been partially answered by Houdayer in [7] and Ueda in [16]. We also extend this to suitable infinite-dimensional von Neumann algebras with almost periodic states.

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0. Introduction

Since the advent of free probability by Voiculescu, there has been significant interest in studying free products of von Neumann algebras (see [2,4,9,5,10–12,7,13,14,1,15,16] among others). A landmark result of Dykema expressed free products of finite-

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dimensional von Neumann algebras with tracial states in terms of interpolated free group factors. Specifically, he proved:

Theorem ([2, Theorem 4.6]). Let A and B be hyperfinite von Neumann algebras equipped with faithful normal tracial states ϕ and ψ , respectively. Assume that $\dim(A) \geq 2$, $\dim(B) \geq 2$, and $\dim(A) + \dim(B) \geq 5$. Then

$$(A, \phi) * (B, \psi) \cong (L(\mathbb{F}_t), \tau) \oplus C$$

where C is finite-dimensional (possibly zero), and t can be computed directly from (A, ϕ) and (B, ψ) using "free dimension."

This theorem therefore established that free group factors were the "minimal" II_1 factors that could appear in a free product of two von Neumann algebras with tracial states.

Later, Rădulescu [9] studied the free product $(L(\mathbb{Z}), \tau) * (M_2(\mathbb{C}), \psi)$ for ψ a nontracial state, and showed that it is a type III_{λ} factor with centralizer $L(\mathbb{F}_{\infty})$ and discrete core $L(\mathbb{F}_{\infty}) \otimes \mathcal{B}(\mathcal{H})$. Dykema [5] and Ueda [16] (using different techniques) extended Rădulescu's result with the following theorem.

Theorem ([5, Theorem 3] and [16, Theorem 3]). Let A and B be two separable von Neumann algebras equipped with faithful normal states ϕ and ψ respectively, at least one of which is not a trace. Assume that A and B are not one-dimensional, and are countable direct sums of the following

- (1) Type I factors
- (2) Diffuse hyperfinite von Neumann algebras on which ϕ or ψ is a trace

Let $(\mathcal{M}, \varphi) = (A, \phi) * (B, \psi)$. Then $\mathcal{M} = \mathcal{M}_0 \oplus C$ where C is finite-dimensional (possibly zero) and, \mathcal{M}_0 is a type III factor. Defining $\varphi_0 = \varphi|_{\mathcal{M}_0}$, we have $\mathcal{M}_0^{\varphi_0} \cong L(\mathbb{F}_{\infty})$, φ_0 is almost periodic, and the point spectrum of Δ_{φ} (the modular operator of φ) is the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} .

Notably, the above theorem does not address how to determine when two different \mathcal{M}_0 's are isomorphic. Shlyakhtenko created a natural candidate for \mathcal{M}_0 when he constructed the (almost periodic) free Araki-Woods factors (T_H, φ_H) , indexed by countable, non-trivial subgroups $H < \mathbb{R}^+$, and equipped with faithful normal states called free quasi-free states [10]. Shlyakhtenko showed that for countable, non-trivial $H < \mathbb{R}^+$, (T_H, φ_H) is a factor of type III $_\lambda$ for $\lambda \in (0, 1]$, and $\lambda \neq 1$ if and only if $H = \langle \lambda \rangle$. In addition, it was shown that the point spectrum of Δ_{φ_H} is exactly H, the family $\{(T_H, \varphi_H): H < \mathbb{R}^+\}$ is closed under taking free products, H uniquely determines $(T_H, \varphi_H), (T_H)^{\varphi_H} \cong L(\mathbb{F}_\infty)$, and T_H has discrete core isomorphic to $L(\mathbb{F}_\infty) \otimes \mathcal{B}(\mathcal{H})$. Furthermore, Shlyakhtenko showed that the factor of Rădulescu [9] is isomorphic to $(T_\lambda, \varphi_\lambda)$ for some $\lambda \in (0, 1)$.

These von Neumann algebras arise from a Fock space construction; namely, a modification of Voiculescu's free Gaussian functor [17]. In particular, the free quasi-free state is given by the vacuum state and for $H = \langle 1 \rangle$ the construction yields a free group factor. It is thus natural to assert that (T_H, φ_H) are the non-tracial analogues of the free group factors. This led to the following question posed by Shlyakhtenko:

Question ([10]). Suppose that (A, ϕ) , (B, ψ) , and $(\mathcal{M}_0, \varphi_0)$ are as in the above theorem. Must $(\mathcal{M}_0, \varphi_0) \cong (T_H, \varphi_H)$ where H is the subgroup of \mathbb{R}^+ generated by the point spectra of Δ_{ϕ} and Δ_{ψ} ?

A partial answer to the above question was obtained by Houdayer [7] who identified $(M_2(\mathbb{C}), \phi) * (M_2(\mathbb{C}), \psi)$ with an almost periodic free Araki–Woods factor provided that at least one of ϕ or ψ is not a trace. An essential step in his proof was to identify $(M_2(\mathbb{C}), \phi) * [\mathbb{C} \oplus \mathbb{C}]$ with an almost periodic free Araki–Woods factor (see Lemma 1.5 below). However, his methods required one to assume that the mass in $\mathbb{C} \oplus \mathbb{C}$ was not overly concentrated in a single summand, and this hypothesis prevents one from applying his methods to free products of higher dimensional matrix algebras.

More evidence of a positive answer to Shlyakhtenko's question was obtained by Ueda, who showed in [16] that the discrete core of \mathcal{M}_0 as above is isomorphic to $L(\mathbb{F}_{\infty}) \otimes \mathcal{B}(\mathcal{H})$.

Despite these breakthroughs, it was still unknown how to identify the following free products with almost periodic free Araki–Woods factors (see Section 1.1 for an explanation of the notation):

- $M_2(\mathbb{C}) * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \\ \beta \oplus 1-\beta \end{bmatrix}$ where $\frac{1}{2} < \alpha < \beta < 1$;
- $(M_n(\mathbb{C}), \phi) * \left[\mathbb{C}_{\beta} \oplus \mathbb{C}_{1-\beta} \right]$ for ϕ non-tracial and $n \geq 3$;
- $(M_n(\mathbb{C}), \phi) * (\bar{M}_m(\mathbb{C}), \bar{\psi})$ for arbitrary n and m and at least one of ϕ or ψ non-tracial.

In this paper we answer Shlyakhtenko's question in the affirmative, namely we prove the following theorem (see Theorem 2.11).

Theorem A. Let (A, ϕ) and (B, ψ) be two finite-dimensional von Neumann algebras with faithful states ϕ and ψ respectively, both of which are at least two-dimensional. Assume that at least one of ϕ or ψ is not a trace, and that up to unitary conjugation,

$$(A,\phi) = \bigoplus_{i=1}^n M_{k_i}(\mathbb{C}) \atop M_{i,1},\cdots,\alpha_{i,k_i} \qquad and \qquad (B,\psi) = \bigoplus_{j=1}^m M_{\ell_j}(\mathbb{C}) \atop M_{\ell_j}(\mathbb{C}).$$

Let H be the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} . Then

$$(A, \phi) * (B, \psi) \cong (T_H, \varphi_H) \oplus C$$

where C is finite-dimensional (possibly zero) and can be determined explicitly from (A, ϕ) and (B, ψ) . See Theorem 2.11 below.

This theorem is extended to the hyperfinite case in the following Theorem (see Theorem 3.13).

Theorem B. Let (A, ϕ) and (B, ψ) be von Neumann algebras with normal faithful states ϕ and ψ with at least one of ϕ or ψ not a trace. Assume further that $\dim(A), \dim(B) \geq 2$ and A and B are countable direct sums of algebras of the following types:

- separable type I factors with faithful normal states; diffuse von Neumann algebras of the form $\bigotimes_{n=1}^{\infty} (F_i, \phi_i)$ where each F_i is finitedimensional and the state is the tensor product of the ϕ_i ;
- $(M, \gamma) \otimes (L(\mathbb{F}_t), \tau)$ with M a separable type I factor, finite or infinite-dimensional;
- $(N, \gamma') \otimes (T_G, \varphi_G)$ with N a separable type I factor, finite or infinite-dimensional, and G a countable, non-trivial subgroup of \mathbb{R}_+ .

Let $(\mathcal{M}, \varphi) = (A, \phi) * (B, \psi)$. Then $(\mathcal{M}, \varphi) \cong (T_H, \varphi_H) \oplus C$ where H is the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} , and C is finite-dimensional and is determined exactly as in Theorem 2.11 below.

The key to attacking this problem is a non-tracial free graph von Neumann algebra $\mathcal{M}(\Gamma,\mu)$ constructed by the authors in [6]. Here $\Gamma=(V,E)$ is a finite, directed, connected graph and $\mu: E \to \mathbb{R}$ is a weighting on the edges. In [6] the authors identified $\mathcal{M}(\Gamma, \mu)$ with an almost periodic free Araki–Woods factor (up to direct sum copies of \mathbb{C}). The key feature of this von Neumann algebra is that it is naturally expressed as amalgamated free product (see Subsection 1.4 below). By converting a free product of the form

$$(M_n(\mathbb{C}), \phi) * \left[\mathbb{C}_{\beta} \oplus \mathbb{C}_{1-\beta} \right]$$

to an amalgamated free product over the diagonal of $M_n(\mathbb{C})$ and using induction, we can realize a corner of this free product as corner of some $\mathcal{M}(\Gamma,\mu)$. We then identify this corner with $\mathcal{M}(\Gamma', \mu')$ for some other graph Γ' and edge weighting μ' . Using standard free product techniques of Dykema, we are able to extend the computation of $M_n(\mathbb{C})*[\mathbb{C}\oplus\mathbb{C}]$ to free products of arbitrary finite-dimensional algebras. Along the lines of [2] and [3] we then develop standard embeddings of almost periodic free Araki-Woods factors, and use these to prove Theorem B.

The outline of the paper is as follows: In Section 1, we establish notation and review some preliminaries and relevant results about free products. We also recall the construction of the von Neumann algebra $\mathcal{M}(\Gamma,\mu)$. In Section 2, we work through the computation of $M_n(\mathbb{C}) * [\mathbb{C} \oplus \mathbb{C}]$ (including n = 2) and use this to prove Theorem A. We also extend this to a computation of $\mathcal{B}(\mathcal{H}) * [\mathbb{C} \oplus \mathbb{C}]$, where $\mathcal{B}(\mathcal{H})$ is equipped with an arbitrary faithful normal state, and use this to prove a version of Theorem A that allows infinite-dimensional type I factors in the direct summands. In Section 3, we develop a hyperfinite matricial model for (T_H, φ_H) which fuses Shlyakhtenko's matricial model for (T_H, φ_H) [10] and Dykema's model for $(L(\mathbb{F}_t), \tau)$ [3]. We use this to develop the notion of a standard embedding of free Araki–Woods factors and utilize this to prove Theorem B.

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1. Preliminaries

1.1. Some notation

Given the non-tracial nature of our analysis, it is important that we specify the positive linear functionals involved in any free product. Toward that end, we establish some common notation for positive linear functionals that will be frequently used:

- After [2,5] we use the following notation:
 - \circ For t > 0 and a projection p

$$\overset{p}{\mathbb{C}}:=(\mathbb{C}p,\phi),$$

where ϕ is determined by $\phi(p) = t$. We may suppress either 't' or 'p' if they are clear from context. In the context of a direct sum, if $t \leq 0$ then we mean that the summand should be omitted.

 \circ For $\alpha_1, \dots, \alpha_n > 0$ and p_1, \dots, p_n orthogonal minimal projections of $M_n(\mathbb{C})$

$$M_n(\mathbb{C}) := (M_n(\mathbb{C}), \phi),
\alpha_1, \dots, \alpha_n$$

where ϕ is determined by $\phi(x) = \text{Tr}(xA)$ where $A = \sum_{i=1}^{n} \alpha_i p_i$. We may suppress any of ' α_i ' or ' p_i ' if they are clear from context.

 \circ For t>0 and a von Neumann algebra A with identity element p and a state ϕ

$$(A, \phi) := (A, t\phi).$$

We may suppress any of 't', 'p', or ' ϕ ' if they are clear from context (e.g. a II₁ factor and its canonical trace).

The above notations allow us to concisely express direct sums with explicit (and sometimes implicit) weightings. E.g.:

$$\overset{p_1}{\mathbb{C}} \oplus \overset{p_2,q_2}{M_2(\mathbb{C})} \oplus (\overset{p_3}{A},\varphi).$$

$$\overset{r_1}{t_1} \oplus \overset{p_2,q_2}{M_2(\mathbb{C})} \oplus \overset{r_3}{t_3}.$$

If $t_1 + s_2 + t_2 + t_3 = 1$ then the associated positive linear functional on this direct sum is a state. However, it will often be notationally convenient to **not** demand such normalization. If such an unnormalized direct sum appears in a free product, we will ensure that each factor in the free product has the same total mass.

• Let \mathcal{H} be a separable infinite-dimensional Hilbert space and $\{e_{i,j}\}_{i,j\in\mathbb{N}_0}$ a system of matrix units for $\mathcal{B}(\mathcal{H})$. For $\lambda \in (0,1)$, after [10] we define a normal state $\psi_{\lambda} \colon \mathcal{B}(\mathcal{H}) \to \mathbb{C}$ by

$$\psi_{\lambda}(e_{i,j}) := \begin{cases} \lambda^{i}(1-\lambda) & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}.$$

If \mathcal{H} is finite-dimensional so that $\mathcal{B}(\mathcal{H}) \cong M_n(\mathbb{C})$ is generated by matrix units $\{e_{i,j}\}_{i,j=0}^{n-1}$, for some $n \in \mathbb{N}$, we define a state $\psi_{\lambda} \colon M_n(\mathbb{C}) \to \mathbb{C}$ by

$$\psi_{\lambda}(e_{i,j}) := \begin{cases} \lambda^{i} \frac{(1-\lambda)}{(1-\lambda^{n})} & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}.$$

• For a von Neumann algebra A with a positive linear functional ϕ and a non-zero projection $p \in A$, denote

$$\phi^p(\ \cdot\) := \frac{1}{\phi(p)}\phi(p\ \cdot\ p).$$

1.2. Free Araki-Woods factors

We recall the main features of Shlyakhtenko's almost periodic free Araki–Woods factors [10] that we will use in this paper. See [10] for the general construction, and [6] for an overview of the construction.

If $\lambda \in (0,1)$, then the (unique) type III_{λ} almost periodic free Araki–Woods factor $(T_{\lambda}, \varphi_{\lambda})$ arises on the full Fock space of \mathbb{C}^2 , $\mathcal{F}(\mathbb{C}^2)$. If $\{u, v\}$ is an orthonormal basis of \mathbb{C}^2 , then $(T_{\lambda}, \varphi_{\lambda}) \subset B(\mathcal{F}(\mathbb{C}^2))$ is the von Neumann algebra generated by

$$y_{\lambda} = \ell(u) + \sqrt{\lambda}\ell(v)^*$$

with $\ell(\xi)$ the creation operator for $\xi \in \mathbb{C}^2$, and φ_{λ} is the vacuum state. We will call y_{λ} a generalized circular element of parameter λ . Using the polar decomposition of y_{λ} , it was shown that

$$(T_{\lambda}, \varphi_{\lambda}) \cong (L(\mathbb{Z}), \tau) * (\mathcal{B}(\mathcal{H}), \psi_{\lambda}). \tag{1}$$

For any countable, non-trivial $H < \mathbb{R}_+$ with generating set $(\lambda_i)_{i \in I}$, $\lambda_i \in (0,1)$, Shlyakhtenko showed that the free product $(\mathcal{M}, \varphi) := \bigstar_{i \in I}(T_{\lambda_i}, \varphi_{\lambda_i})$ is a factor that is independent of the generating set of H and the multiplicity of the generators in the free product, and satisfies $\mathcal{M}^{\varphi} \cong L(\mathbb{F}_{\infty})$ (Recall that $\mathcal{M}^{\varphi} = \{x \in \mathcal{M} : \varphi(xy) = \varphi(yx) \text{ for all } y \in \mathcal{M}\}$ is the *centralizer* of φ .). Furthermore the state φ is almost periodic and Δ_{φ} has point spectrum H. Shlyakhtenko also showed that (\mathcal{M}, φ) is uniquely determined by H.

We will denote (\mathcal{M}, φ) as (T_H, φ_H) , and we will call φ_H the free quasi-free state on T_H . Note that (T_H, φ_H) is of type III₁ if and only if H is not cyclic. Shlyakhtenko proved the following additional structural results of the factors (T_H, φ_H) .

Theorem ([10]).

- $(T_H, \varphi_H) * (L(\mathbb{F}_{\infty}), \tau) \cong (T_H, \varphi_H).$
- $(T_{\lambda}, \varphi_{\lambda}) \cong (L(\mathbb{Z}), \tau) * (M_n(\mathbb{C}), \psi_{\lambda}) \text{ for any } n \geq 2.$
- $(T_H, \varphi_H) * (T_{H'}, \varphi_{H'}) \cong (T_G, \varphi_G)$ where $G = \langle H \cup H' \rangle$.

The property in the first bullet point is known as *free absorption*, and will be referred to as such throughout the paper. A consequence of free absorption is that $(T_H, \varphi_H) * (A, \phi) \cong (T_H, \varphi_H)$ whenever A is a countable direct sum of finite-dimensional von Neumann algebras, diffuse hyperfinite von Neumann algebras, and interpolated free group factors where ϕ is a trace.

Moreover, one can choose (A, ϕ) to be $\mathcal{B}(\mathcal{H})$ for \mathcal{H} a separable Hilbert space and ϕ any faithful normal state (cf. Equation (1)). Indeed, note that if ϕ is a faithful normal state on $\mathcal{B}(\mathcal{H})$, then $\phi(x) = \text{Tr}(xy)$ where y is a positive trace-class operator with trace 1. We can therefore assume that after conjugating by a unitary, there is set of matrix units $\{e_{i,j}\}$ and $\alpha_i > 0$ satisfying $\phi(e_{i,j}) = \delta_{i,j}\alpha_i$.

Proposition 1.1. Assume that \mathcal{H} is separable and that $\mathcal{B}(\mathcal{H})$ is equipped with a faithful normal non-tracial state ϕ satisfying $\phi(e_{i,j}) = \delta_{i,j}\alpha_i$ for a system of matrix units $\{e_{i,j}\}_{i,j\geq 0}$ and $\alpha_i > 0$. Then

$$(L(\mathbb{Z}), \tau) * (\mathcal{B}(\mathcal{H}), \phi) \cong (T_H, \varphi_H),$$

where $H < \mathbb{R}^+$ is the subgroup generated $\{\frac{\alpha_i}{\alpha_j} : i, j \geq 0\}$.

Proof. Let \mathcal{K} be a separable and infinite-dimensional Hilbert space containing an infinite orthonormal set $(\xi_{ij})_{i,j\in\mathbb{N}_{\geq 0}}$, and $\mathcal{F}(\mathcal{K})$ the full Fock space on \mathcal{K} . Let ω be the state on $\mathcal{B}(\mathcal{F}(\mathcal{K}))$ defined by $\omega(x) = \langle x\Omega, \Omega \rangle$ with Ω the vaccum vector in $\mathcal{F}(\mathcal{K})$. Within $(\mathcal{B}(\mathcal{F}(\mathcal{K})) \otimes \mathcal{B}(\mathcal{H}), \omega \otimes \phi)$, let $L = \sum_{i,j \geq 0} \sqrt{\alpha_i \ell(\xi_{i,j})} \otimes e_{i,j}$ with $\ell(\xi_{ij})$ the creation operator.

Then $(\mathcal{B}(\mathcal{H}), \phi) * L(\mathbb{Z})$ is modeled by the sub von Neumann algebra $\mathcal{M} \subset (\mathcal{B}(\mathcal{F}(\mathcal{K})) \otimes \mathcal{B}(\mathcal{H}), \omega \otimes \phi)$ generated by $L + L^*$ and $1 \otimes \mathcal{B}(\mathcal{H})$, and $L + L^*$ is *-free from $1 \otimes \mathcal{B}(\mathcal{H})$ [10]. From this, we see that $e_{0,0}\mathcal{M}e_{0,0} = W^*((\sqrt{\alpha_i}\ell(\xi_{ij}) + \sqrt{\alpha_j}\ell(\xi_{ji})^*) \otimes e_{0,0} : i, j \geq 0)$. From [10], this means that $(e_{0,0}\mathcal{M}e_{0,0}, (\omega \otimes \phi)^{e_{0,0}}) \cong (T_H, \varphi_H)$.

We also note that if D is the diagonal of $\mathcal{B}(\mathcal{H})$, then $(L(\mathbb{Z}), \tau) * (D, \phi)$ is a factor by [2]. Therefore, $1 \otimes e_{0,0}$ has full central support in $\mathcal{M}^{\omega \otimes \phi}$. Applying Lemma 1.7 below finishes the proof. \square

This proposition spawns the following useful corollaries, which we will use extensively

Corollary 1.2. Assume that \mathcal{H} is separable and that $\mathcal{B}(\mathcal{H})$ is equipped with a faithful state ϕ satisfying $\phi(e_{i,j}) = \delta_{i,j}\alpha_i$ for a system of matrix units $\{e_{i,j}\}_{i,j\geq 0}$ and $\alpha_i > 0$, and \mathcal{H} is a countable subgroup of \mathbb{R}_+ . Then

$$(T_H, \varphi_H) * (\mathcal{B}(\mathcal{H}), \phi) \cong (T_G, \varphi_G)$$

where G is the group generated by H and H' where $H' = \langle \frac{\alpha_i}{\alpha_j} : i, j \geq 0 \rangle$.

Proof. Using Proposition 1.1 and free absorption, we have

$$(\mathcal{B}(\mathcal{H}), \phi) * (T_H, \varphi_H) \cong (\mathcal{B}(\mathcal{H}), \phi) * (L(\mathbb{Z}), \tau) * (T_H, \varphi_H)$$
$$\cong (T_{H'}, \varphi_{H'}) * (T_H, \varphi_H) \cong (T_G, \varphi_G) \quad \Box$$

Corollary 1.3. Let $H < \mathbb{R}^+$ be countable and non-trivial. Let $\alpha_0 \ge \cdots \ge \alpha_n \ge \cdots > 0$ have the property that $\frac{\alpha_i}{\alpha_j} \in H$ for any pair $i, j \ge 0$ (we are allowing for infinite or finite sequences). Assume that \mathcal{H} is separable and that $\mathcal{B}(\mathcal{H})$ is equipped with a faithful normal non-tracial state ϕ satisfying $\phi(e_{i,j}) = \delta_{i,j}\alpha_i$ for a system of matrix units $\{e_{i,j}\}_{i,j\ge 0}$. Then

$$(T_H, \varphi_H) \cong (T_H, \varphi_H) \otimes (\mathcal{B}(\mathcal{H}), \phi).$$

Proof. Note from Corollary 1.2 that

$$(\mathcal{M}, \varphi) := (T_H, \varphi_H) * (\mathcal{B}(\mathcal{H}), \varphi) \cong (T_H, \varphi_H).$$

By Lemma 1.7 (see below), this also establishes that $(e_{0,0}\mathcal{M}e_{0,0},\varphi^{e_{0,0}})\cong (T_H,\varphi_H)$. This completes the proof since

$$(\mathcal{M}, \varphi) = (e_{0,0} \mathcal{M} e_{0,0}, \varphi^{e_{0,0}}) \otimes (\mathcal{B}(\mathcal{H}), \phi). \quad \Box$$

1.3. References to existing results

For the convenience of the reader, we state here some existing results that will be cited frequently in the present paper. Where appropriate, we have adapted the notation. In particular, for M a von Neumann algebra and $p \in M$ a projection, we denote the central support of p in M by z(p: M).

The first lemma concerns free products with respect to general states and follows from the same proof as [2, Theorem 1.2] (see also [5, Proposition 5.1] and [7, Proposition 3.10]). In particular, we will frequently use the cases when either $\mathcal{B}(\mathcal{H}) = \mathbb{C}$ or B = 0.

Lemma 1.4. Let (A, ϕ) , (B, ψ) , and (C, ν) be von Neumann algebras equipped with faithful normal states. Let \mathcal{H} be a separable Hilbert space, equip $\mathcal{B}(\mathcal{H})$ with a faithful normal state ω , and let $p \in \mathcal{B}(\mathcal{H})^{\omega}$ be a minimal projection. If

$$(M,\varphi) := [\{(A,\phi)\bar{\otimes}(\mathcal{B}(\mathcal{H}),\omega)\} \oplus (B,\psi)] * (C,\nu)$$
$$(N,\varphi) := [(\mathcal{B}(\mathcal{H}),\omega) \oplus (B,\psi)] * (C,\nu),$$

then

$$(pMp, \varphi^p) \cong (pNp, \varphi^p) * (A, \phi).$$

Moreover, z(p: M) = z(p: N).

Let A and B be von Neumann algebras with normal faithful states ϕ and ψ respectively, and let $i: A \to B$ be a normal, injective von Neumann algebra homomorphism. After [7, Definition 1.4], we say that i is a modular inclusion if it is state preserving and if i(A) is globally invariant under the modular group σ^{ψ} .

The next lemma was useful in helping determine the structure of the free graph von Neumann algebras studied in [6] (see Subsection 1.4). It will also be useful in establishing a suitable base case in our computation of free products of finite-dimensional von Neumann algebras.

Lemma 1.5 ([7, Theorems 3.1 and 4.3]). Suppose α and β satisfy $\frac{1}{2} \leq \beta \leq \alpha < 1$ with $\alpha > \frac{1}{2}$, and let $\lambda = \frac{1-\alpha}{\alpha}$

$$(1) \ \ M_2(\mathbb{C}) * \left[\underset{\alpha, 1-\alpha}{\mathbb{C}} \oplus \underset{1-\beta}{\mathbb{C}} \right] \cong (T_{\lambda}, \varphi_{\lambda}).$$

(2) Suppose (A, ϕ) and (B, ψ) are two von Neumann algebras with faithful normal states, and that there exist modular inclusions $M_2(\mathbb{C}) \hookrightarrow A$ and $(\mathbb{C} \oplus \mathbb{C}) \hookrightarrow B$. Then $\alpha, 1-\alpha$

$$(A, \phi) * (B, \psi) \cong (A, \phi) * (B, \psi) * (T_{\lambda}, \varphi_{\lambda}).$$

The following lemma is a crucial ingredient for converting certain free products over the scalars into amalgamated free products and vice-versa. Consequently, it lets us appeal to our graph algebras in Subsection 1.4.

Proposition 1.6 ([7, Proposition 4.1]). Let (M, ϕ) be a von Neumann algebra with a faithful normal state, and $B \subset M$ a von Neumann subalgebra with a ϕ -preserving conditional expectation $E_1: M \to B$. Let (A, ψ) be another von Neumann algebra with faithful normal state, and $E_2: (A, \psi) * (B, \phi) \to (B, \phi)$ the canonical ϕ -preserving conditional expectation. Set

$$(\mathcal{M}, E) = (M, E_1) *_B ((A, \psi) * (B, \phi), E_2).$$

Then if $\varphi = \phi \circ E$,

$$(\mathcal{M}, \varphi) \cong (M, \phi) * (A, \psi).$$

The following result appears in [6]. This lemma, combined with Lemma 1.4 enables us to compute free products by examining suitable compressions. It will be used extensively.

Lemma 1.7 ([6, Lemmas 3.1 and 3.2]). spacer

(1) Let H be a countable multiplicative subgroup of \mathbb{R}^+ and let $p \in (T_H)^{\varphi_H}$ be a nonzero projection. Then

$$(pT_H p, \varphi_H^p) \cong (T_H, \varphi_H).$$

(2) Let M be a von Neumann algebra with almost-periodic faithful normal state φ . Let $p \in M^{\varphi}$ a projection such that $(pMp, \varphi^p) \cong (T_H, \varphi_H)$ for some non-trivial, countable subgroup H of \mathbb{R}^+ , and such that $z := z(p : M^{\varphi}) = z(p : M)$. Then

$$(zM, \varphi^z) \cong (T_H, \varphi_H).$$

In particular, if $z(p: M^{\varphi}) = 1$ then $(M, \varphi) \cong (T_H, \varphi_H)$.

1.4. Non-tracial graph algebras

In [6], a von Neumann algebra was constructed from a weighted graph. We will outline the construction here. To begin, we let Γ be a finite directed graph with vertex set V and edge set E with source and target maps s and t respectively. There is an involution on E, denoted op, which satisfies $s(e^{\operatorname{op}}) = t(e)$ and $t(e^{\operatorname{op}}) = s(e)$ for all $e \in E$. If e is a self-loop based at $v \in V$, then it is possible to have $e^{\operatorname{op}} = e$, but we do not require this. Denote the space of loops in Γ by Λ_{Γ} .

We will also assume that Γ comes equipped with an edge weighting $\mu: E \to \mathbb{R}^+$ such that $\mu(e)\mu(e^{\operatorname{op}}) = 1$ for all $e \in E$. For $\sigma = e_1 \cdots e_n \in \Lambda_{\Gamma}$, denote $\mu(\sigma) = \mu(e_1) \cdots \mu(e_n)$. In order to ensure that the von Neumann algebra we construct is non-tracial, we will assume:

$$\exists \sigma \in \Lambda_{\Gamma} \colon \mu(\sigma) \neq 1.$$

We define $A := \ell^{\infty}(V)$ and we let p_v denote the indicator function on $v \in V$. In [6], the authors showed that there is a Fock space representation of a C*-algebra $\mathcal{S}(\Gamma, \mu)$ generated by A and elements $(Y_e)_{e \in E}$ satisfying $p_v Y_e p_w = \delta_{v,s(e)} \cdot \delta_{w,t(e)} Y_e$ and $Y_e^* = \frac{1}{\sqrt{\mu(e)}} Y_{e^{\text{op}}}$. In addition, this Fock space representation produced a faithful conditional expectation $\mathbb{E} : \mathcal{S}(\Gamma, \mu) \to A$ under which the C*-algebras $C^*(A, Y_f, Y_{f^{op}})$ as f ranges through all pairs (e, e^{op}) are free with amalgamation over A under \mathbb{E} .

The key observation about the elements Y_e that we will use in this paper is their distribution. Specifically, if we let ϕ be any faithful positive linear functional on $\mathcal{S}(\Gamma, \mu)$ that is preserved by \mathbb{E} , and let $(\mathcal{M}(\Gamma, \mu), \phi)$ denote the von Neumann algebra generated by $\mathcal{S}(\Gamma, \mu)$ via the GNS representation associated to ϕ , then as a subalgebra of $(\mathcal{M}(\Gamma, \mu), \phi)$,

$$(p_{s(e)}W^*(Y_eY_e^*)p_{s(e)},\phi^p) \cong \begin{cases} (L(\mathbb{Z}),\tau) & \text{if } \mu(e) \ge 1\\ (L(\mathbb{Z}),\tau) \oplus \mathbb{C} & \text{if } \mu(e) < 1\\ \phi(p_{s(e)})\mu(e) & \phi(p_{s(e)})(1-\mu(e)) \end{cases}$$

If we let $Y_e = u_e |Y_e|$ be the polar decomposition, then $u_e u_e^* = p_{s(e)}$ if and only if $\mu(e) \ge 1$ and $u_e^* u_e = p_{t(e)}$ if and only if $\mu(e) \le 1$.

The main result of [6] is identifying $\mathcal{M}(\Gamma, \mu)$ with an almost periodic free Araki-Woods factor under an appropriate positive linear functional, φ . To construct φ , we let Γ_{Tr} be a subgraph of Γ maximal subject to the condition

$$\mu(\sigma) = 1 \qquad \forall \sigma \in \Lambda_{\Gamma_{\mathrm{Tr}}}.$$

Note that while Γ_{Tr} need not be unique, the condition $\mu(e)\mu(e^{\text{op}}) = 1$ implies it will always contain every vertex of V. We define φ on A as follows:. Let * be a fixed vertex of V, pick $\alpha \in \mathbb{R}^+$ and declare $\varphi(p_*) = \alpha$. For any $v \in V$, then define

$$\varphi(p_v) = \mu(e_1) \cdots \mu(e_n) \alpha$$

where $e_1 \cdots e_n$ is any path in Γ_{Tr} with source * and target v. We extend φ to be defined on $\mathcal{M}(\Gamma, \mu)$ by pre-composing with \mathbb{E} . Let Δ_{φ} be the modular operator of φ . By [6], we have the following:

Theorem 1.8.

- (1) φ is an almost periodic positive linear functional on $\mathcal{M}(\Gamma,\mu)$.
- (2) $\mathcal{M}(\Gamma_{\mathrm{Tr}}, \mu) \subset \mathcal{M}(\Gamma, \mu)^{\varphi}$.
- (3) Each Y_e is an eigenoperator of Δ_{φ} with eigenvalue $\mu(e)\mu(e_1)\cdots\mu(e_n)$ such that $e_1\cdots e_n$ is a path in Γ_{Tr} with source t(e) and target s(e).
- (4) Suppose that $H := \langle \mu(\sigma) : \sigma \in \Lambda_{\Gamma} \rangle < \mathbb{R}^+$ is non-trivial. Then

$$(\mathcal{M}(\Gamma,\mu),\varphi)\cong (T_H,\varphi_H)\oplus\bigoplus_{v\in V} \overset{r_v}{\mathbb{C}},$$

where $r_v \leq p_v$ is non-zero if and only if $\sum_{\substack{e \in E \\ s(e)=v}} \mu(e) < 1$, in which case

$$\varphi(r_v) = \varphi(p_v) \left[1 - \sum_{\substack{e \in E \\ s(e) = v}} \mu(e) \right].$$

In particular, if

$$\sum_{\substack{e \in E \\ s(e) = v}} \mu(e) \ge 1$$

for all $v \in V$, then $(\mathcal{M}(\Gamma, \mu), \varphi) \cong (T_H, \varphi_H)$.

We will use this graphical picture of (T_H, φ_H) as a mechanism for realizing free products of certain finite-dimensional von Neumann algebras.

2. Free products of finite-dimensional von Neumann algebras

In this section we will compute free products of arbitrary finite-dimensional von Neumann algebras (see Theorem 2.11). Our first step is to compute for $\alpha, \beta \in (\frac{1}{2}, 1)$

$$M_2(\mathbb{C}) * \left[\mathbb{C} \oplus \mathbb{C} \atop \beta \mapsto 1-\beta \right].$$

In the case $\alpha \geq \beta$, this is done in [7, Theorem 3.1]. To handle the case $\alpha < \beta$, we will appeal to the graphical picture of the free Araki–Woods factors from Subsection 1.4.

This computation will serve as the base case for computing more general free products of the form $M_n(\mathbb{C}) * [\mathbb{C} \oplus \mathbb{C}]$.

2.1. Computing $M_2(\mathbb{C}) * [\mathbb{C} \oplus \mathbb{C}]$

Theorem 2.1. Suppose that $\frac{1}{2} < \alpha < \beta < 1$. Define

$$(\mathcal{M}, \varphi) := M_2(\mathbb{C}) * \begin{bmatrix} q \\ \mathbb{C} \\ \beta \end{bmatrix} \cdot \mathbb{C}$$

Then for $\lambda := \frac{1-\alpha}{\alpha}$ and $\gamma := \frac{1-\beta}{\alpha} + \frac{1-\beta}{1-\alpha}$, one has

$$(\mathcal{M}, \varphi) \cong \begin{cases} (T_{\lambda}, \varphi_{\lambda}) & \text{if } \gamma \geq 1 \\ (T_{\lambda}, \varphi_{\lambda}) \oplus \underset{\alpha(1-\gamma), (1-\alpha)(1-\gamma)}{\underbrace{F_{11}, F_{22}}} & \text{if } \gamma < 1 \end{cases}.$$

In the second case, one has $\overline{e_{ii}} \leq e_{ii} \wedge q$ for $i \in \{1, 2\}$.

Proof. We begin by writing (\mathcal{M}, φ) as an amalgamated free product so that we can identify it with (a corner of) a free graph von Neumann algebra. Let $D = \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C}$ be the diagonal of $M_2(\mathbb{C})$ and let

$$E_1 \colon \underset{\alpha, 1-\alpha}{\overset{e_{11}, e_{22}}{M_2(\mathbb{C})}} \to D$$

$$E_2 \colon \left[\underset{\beta}{\overset{q}{\mathbb{C}}} \oplus \underset{1-\beta}{\mathbb{C}} \right] * D \to D$$

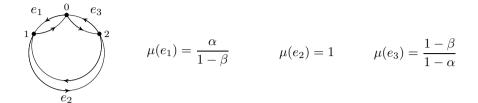
be the canonical state-preserving conditional expectations. From Proposition 1.6, we have

$$(\mathcal{M},\varphi) \cong \left(\begin{pmatrix} e_{11}, e_{22} \\ M_2(\mathbb{C}), E_1 \\ \alpha, 1-\alpha \end{pmatrix} * \left(\begin{bmatrix} q \\ \mathbb{C} \oplus \mathbb{C} \\ \beta \end{bmatrix} * D, E_2 \right), \varphi \right).$$

By [2], this free product is

$$\begin{pmatrix} e_{11}, e_{22} \\ M_2(\mathbb{C}), E_1 \end{pmatrix} * \begin{pmatrix} q \wedge e_{11} \\ \mathbb{C} \\ \beta + \alpha - 1 \end{pmatrix} (1-\beta), (1-\beta) \oplus \mathcal{C} \\ \beta - \alpha, E_2 \end{pmatrix}.$$

Let $\Gamma = (V, E)$ be the following graph with edge weighting μ :



Let $\mathcal{M}(\Gamma, \mu)$ be the associated graph algebra. By choosing Γ_{Tr} so that it contains e_1 and e_3 and declaring $\phi(p_0) := 1 - \beta$, we can find a faithful normal positive linear functional ϕ on $\mathcal{M}(\Gamma, \mu)$ satisfying:

$$\phi(p_1) = \alpha$$
 $\phi(p_2) = 1 - \alpha$.

Consider the following subalgebra of $\mathcal{M}(\Gamma, \mu)$:

$$\mathcal{N} := W^*(Y_{e_1}, u_2, u_3, p_0, p_1, p_2)$$

where u_i is the polar part of Y_{e_i} . Set $P = p_1 + p_2$ and $B = \mathbb{C}^{p_1} \oplus \mathbb{C}^{p_2}$, and let

$$E_B: P\mathcal{M}(\Gamma, \mu)P \to B$$

be a ϕ^P -preserving conditional expectation. Recall that Y_{e_1} , u_2 , and u_3 are free with amalgamation over $W^*(p_0, p_1, p_2)$, and therefore

$$(P\mathcal{N}P, E_B) \cong (PW^*(u_2, p_0, p_1, p_2)P, E_B) *_B (PW^*(Y_{e_1}, u_3, p_0, p_1, p_2)P, E_B)$$

Since $\mu(e_1) = 1$, it follows that $u_2^* u_2 = p_2$ and $u_2 u_2^* = p_1$ hence

$$(PW^*(u_2, p_0, p_1, p_2)P, E_B) \cong \begin{pmatrix} e_{11}, e_{22} \\ M_2(\mathbb{C}), E_1 \\ \alpha, 1-\alpha \end{pmatrix},$$

where the isomorphism sends p_i to e_{ii} . Since $\mu(e_1) > 1$, it follows that $Y_{e_1}Y_{e_1}^*$ is diffuse in $p_0 \mathcal{N} p_0$ and $Y_{e_1}^* Y_{e_1}$ has an atom of size $\beta + \alpha - 1$ in $p_1 \mathcal{N} p_1$. Furthermore, $u_3^* u_3 = p_0$ and $u_3 u_3^*$ is a projection of mass $1 - \beta$ under p_2 . Therefore

$$(PW^*(Y_{e_1}, u_3, p_0, p_1, p_2)P, E_B) \cong \begin{pmatrix} q \wedge e_{11} \\ \mathbb{C} \\ \beta + \alpha - 1 \end{pmatrix} \oplus M_2(L(\mathbb{Z})) \oplus \mathbb{C} \\ \beta - \alpha \\ \end{pmatrix}.$$

Hence

$$(P\mathcal{N}P, E_B) \cong \begin{pmatrix} e_{11}, e_{22} \\ M_2(\mathbb{C}), E_1 \\ \alpha, 1-\alpha \end{pmatrix} *_D \begin{pmatrix} q \wedge e_{11} \\ \mathbb{C} \\ \beta+\alpha-1 \end{pmatrix} \oplus M_2(L(\mathbb{Z})) \oplus \mathbb{C} \\ \beta-\alpha \end{pmatrix} \xrightarrow{q \wedge e_{22}} E_2 , E_2$$

and consequently

$$(P\mathcal{N}P, \phi^P) \cong (\mathcal{M}, \varphi).$$

So it suffices to compute (PNP, ϕ^P) . Observe that

$$(P\mathcal{N}P,\phi^P)\cong(p_1\mathcal{N}p_1,\phi^{p_1})\otimes M_2(\mathbb{C})$$
 $\alpha,1-\alpha$

since p_1 and p_2 are equivalent in PNP, and so it further suffices to compute (p_1Np_1, ϕ^{p_1}) . This will be accomplished by viewing p_1Np_1 as living under $p_0 + p_1$ rather than P.

Set
$$P' = p_0 + p_1$$
 and $B' = \mathbb{C}_{1-\beta}^{p_0} \oplus \mathbb{C}_{\alpha}^{p_1}$, and let

$$E_{B'} \colon P' \mathcal{M}(\Gamma, \mu) P' \to B'$$

be a $\phi^{P'}$ -preserving conditional expectation. Using the fact that u_2u_3 is a partial isometry and the decomposition of \mathcal{N} as an amalgament of the product, it follows that

$$(P'\mathcal{N}P', E_{B'}) \cong (P'W^*(u_2, u_3, p_0, p_1, p_2)P', E_{B'}) *_{B'}(P'W^*(Y_{e_1}, p_0, p_1, p_2)P', E_{B'})$$

$$\cong \begin{pmatrix} p_0, r_1 & & p_1 - r_1 \\ M_2(\mathbb{C}) & \oplus & \mathbb{C} \\ 1 - \beta, \frac{\alpha}{1 - \alpha}(1 - \beta) & \alpha(1 - \frac{1 - \beta}{1 - \alpha}) \end{pmatrix} *_{B'} \begin{pmatrix} M_2(L(\mathbb{Z})) & \oplus & \mathbb{C} \\ 1 - \beta, 1 - \beta & \alpha - (1 - \beta) \end{pmatrix},$$

where in both factors of the free product, p_0 and p_1 are realized by:

$$p_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \oplus 0$$
 and $p_1 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \oplus 1$.

Consider the second factor in the above free product. By Dykema's picture [2] of the isomorphism

$$M_2(L(\mathbb{Z})) \oplus \underset{\alpha-(1-\beta)}{\mathbb{C}} \cong \left[\overset{p_0'}{\underset{1-\beta}{\mathbb{C}}} \oplus \overset{p_1'}{\underset{\alpha}{\mathbb{C}}} \right] * \left[\overset{\mathbb{C}}{\underset{1-\beta}{\mathbb{C}}} \oplus \overset{\mathbb{C}}{\underset{\alpha}{\mathbb{C}}} \right].$$

It follows that there is an isomorphism which maps p_i to p_i' for $i \in \{0, 1\}$. Therefore, by Proposition 1.6,

$$(P'\mathcal{N}P',\phi^{P'})\cong\begin{bmatrix}p_0,r_1\\M_2(\mathbb{C})\\1-\beta,\frac{\alpha}{1-\alpha}(1-\beta)\\\alpha(1-\frac{1-\beta}{1-\alpha})\end{bmatrix}*\mathbb{C}_{1-\beta}\oplus\mathbb{C}_{\alpha}$$

Consider the following von Neumann subalgebra of P'NP':

$$(\mathcal{K}, \phi^{P'}) := \begin{bmatrix} p_0 + r_1 & p_1 - r_1 \\ \mathbb{C} & \mathbb{C} \\ (1-\beta) + \frac{\alpha}{1-\alpha}(1-\beta) & \alpha(1-\frac{1-\beta}{1-\alpha}) \end{bmatrix} * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \\ 1-\beta & \alpha \end{bmatrix}.$$

Let $Q := p_0 + r_1$. Then by Lemma 1.4, we have

$$(Q\mathcal{N}Q,\phi^Q) \cong M_2(\mathbb{C}) * (Q\mathcal{K}Q,\phi^Q).$$

<u>Case 1:</u> Assume $\gamma \geq 1$. Note that this implies $1 - \beta + \frac{\alpha}{1-\alpha}(1-\beta) \geq \alpha$. In this case, Q has full central support in $\mathcal{K} \subset (P'\mathcal{N}P')^{\phi^{P'}}$. Furthermore, after computing $Q\mathcal{K}Q$ we see that

$$(Q\mathcal{N}Q,\phi^Q) \cong M_2(\mathbb{C}) * \left[\mathbb{C} \oplus \mathbb{C}$$

The right hand side accepts a trace-preserving inclusion of $\mathbb{C}_{\frac{\alpha}{1-\alpha}(1-\beta)} \oplus \mathbb{C}_{1-\beta}$, so it follows from Lemma 1.5 and free absorption that

$$(Q\mathcal{N}Q,\phi^Q)\cong (T_\lambda,\varphi_\lambda).$$

Using Lemma 1.7 we see that $(P'\mathcal{N}P', \varphi^{P'}) \cong (T_{\lambda}, \varphi_{\lambda})$. Applying Lemma 1.7 again, we obtain $(p_1\mathcal{N}p_1, \phi^{p_1}) \cong (T_{\lambda}, \varphi_{\lambda})$. Therefore

$$(\mathcal{M}, \varphi) \cong (P\mathcal{N}P, \phi^P) \cong (p_1\mathcal{N}p_1, \phi^{p_1}) \otimes M_2(\mathbb{C}) \cong (T_\lambda, \varphi_\lambda).$$

<u>Case 2:</u> Assume $\gamma < 1$. In this case, define $\overline{p_1} := P' - z(Q: P'\mathcal{N}P') = P' - z(Q: \mathcal{K})$. Then $\overline{p_1} \le p_1$ is nonzero, minimal, and has mass $\alpha(1 - \gamma)$. Computing $Q\mathcal{K}Q$ in this case yields

$$(Q\mathcal{N}Q,\phi^Q) \cong M_2(\mathbb{C}) * \left[\mathbb{C} \atop \frac{\alpha}{1-\beta,\frac{\alpha}{1-\alpha}(1-\beta)} * \left[\mathbb{C} \atop \frac{\alpha}{1-\alpha}(1-\beta)} \oplus (L(\mathbb{Z}),\tau) \right].$$

The right hand side of this free product once again accepts a trace-preserving inclusion of $\mathbb{C}_{\frac{1-\alpha}{1-\alpha}(1-\beta)} \oplus \mathbb{C}_{1-\beta}$. Arguing as above gives

$$(p_1 \mathcal{N} p_1, \phi^{p_1}) \cong (T_{\lambda}, \varphi_{\lambda}) \oplus \overset{\overline{p_1}}{\underset{\alpha(1-\gamma)}{\mathbb{C}}},$$

and tensoring gives

$$(\mathcal{M}, \varphi) \cong (T_{\lambda}, \varphi_{\lambda}) \oplus M_{2}(\mathbb{C})$$

 $\gamma \qquad \qquad \alpha(1-\gamma), (1-\alpha)(1-\gamma)$.

Note that by construction $\overline{e_{ii}} \leq e_{ii}$ for each i. Also note that 1-q is in the diffuse summand of \mathcal{M} , so by minimality, $\overline{e_{ii}} \leq q$. \square

Remark 2.2. One might hope to use this graphical picture in the case $\alpha \geq \beta$ and thereby recover [7, Theorem 3.1]. However, this result was used directly in the above proof in the form of Lemma 1.5. Thus the above theorem should be seen as an extension of [7, Theorem 3.1] rather than a generalization.

By following the arguments of Theorem 4.3 in [7], we obtain the following corollary.

Corollary 2.3. Assume that $\frac{1-\beta}{\alpha} + \frac{1-\beta}{1-\alpha} \geq 1$. Suppose (A, ϕ) and (B, ψ) are two von Neumann algebras with faithful normal states, and that there exist modular inclusions $M_2(\mathbb{C}) \hookrightarrow A$ and $(\mathbb{C} \oplus \mathbb{C}) \hookrightarrow B$. Then $(\mathbb{C} \oplus \mathbb{C}) \hookrightarrow B$.

$$(A, \phi) * (B, \psi) \cong (A, \phi) * (B, \psi) * (T_{\lambda}, \varphi_{\lambda}).$$

2.2. Computing $M_n(\mathbb{C}) * [\mathbb{C} \oplus \mathbb{C}]$

We will compute $M_n(\mathbb{C})*[\mathbb{C}\oplus\mathbb{C}]$ in terms of almost periodic free Araki-Woods factors. Before we do so, we need the following proposition.

Proposition 2.4. Let $D = \mathbb{C}^{e_{11}}_{\alpha_1} \oplus \cdots \oplus \mathbb{C}^{e_{nn}}_{\alpha_n}$ be embedded down the diagonal of $M_n(\mathbb{C})$ with standard matrix units $\{e_{ij}\}$. Let E_1 be the canonical state-preserving conditional expectation $E_1: M_n(\mathbb{C}) \to D$. Assume that A is a von Neumann algebra containing D with a conditional expectation $E_2: A \to D$. Define

$$(\mathcal{M}, E) := (M_n(\mathbb{C}), E_1) \underset{D}{*} (A, E_2),$$

$$(\mathcal{N}, E) := \begin{pmatrix} e_{11}, \dots, e_{n-1, n-1} \\ M_{n-1}(\mathbb{C}) \\ \alpha_1, \dots, \alpha_n \end{pmatrix} \oplus \overset{e_{nn}}{\mathbb{C}}, E_1 \underset{D}{*} (A, E_2).$$

Let
$$P = e_{n-1,n-1} + e_{n,n}$$
 and $D' = \mathbb{C}_{\alpha_{n-1}}^{e_{n-1,n-1}} \oplus \mathbb{C}_{\alpha_n}^{e_{n,n}}$. Then

$$(P\mathcal{M}P,E) = \begin{pmatrix}^{e_{n-1,n-1},e_{nn}} \\ M_2(\mathbb{C}) \end{pmatrix} \underset{D'}{*} (P\mathcal{N}P,E).$$

Proof. It is straightforward to see that PMP is generated by $M_2(\mathbb{C})$ and PNP. To establish freeness, let w be an alternating word consisting of expectationless elements in $e_{n-1,n-1},e_{nn}$

 $M_2(\mathbb{C})$ and PNP. Since all expectationless elements of $M_2(\mathbb{C})$ are off-diagonal matrices, we may assume (after taking linear combinations) that w is an alternating

word in $\{e_{n-1,n}, e_{n,n-1}\}$ and $(PNP)^{\circ \circ}$. Here $C^{\circ \circ}$ denotes the elements of C with zero expectation.

By Kaplansky density, we may assume that every element in $(P\mathcal{N}P)^{\circ\circ}$ is of the form PxP where x is an alternating word in $\begin{pmatrix} e_{11}, \cdots, e_{n-1,n-1} \\ M_{n-1}(\mathbb{C}) \\ \alpha_1, \dots, \alpha_n \end{pmatrix} \oplus \mathbb{C}, E_1 \end{pmatrix}^{\circ\circ}$ and $A^{\circ\circ}$. Again, by taking linear combinations, we can assume that every element of $\begin{pmatrix} e_{11}, \cdots, e_{n-1,n-1} \\ M_{n-1}(\mathbb{C}) \\ \alpha_1, \dots, \alpha_n \end{pmatrix} \oplus \mathbb{C}, E_1 \end{pmatrix}^{\circ\circ}$ appearing in x is in $\{e_{i,j} : i \neq j \text{ and } 1 \leq i, j \leq n-1\}$. Regrouping shows that w is a linear combination of alternating words in $\{e_{i,j} : i \neq j\}$ and $A^{\circ\circ}$ which has expectation 0 by freeness. \square

We now compute $M_n(\mathbb{C}) * [\mathbb{C} \oplus \mathbb{C}]$ via an induction argument with the base case covered by the previous subsection. As in the previous subsection, we will understand this free product as a particular subalgebra of a free graph von Neumann algebra. By concatenating a pair of edges in the graph, we can witness this subalgebra as a *corner* of a different free graph von Neumann algebra, and hence can determine its isomorphism class.

Theorem 2.5. Let $n \geq 2$ and $1 > \alpha_1 \geq \alpha_2 \geq \cdots \geq \alpha_n > 0$ with $\sum_{i=1}^n \alpha_i = 1$ and at least one strict inequality amongst the α 's. Let $\beta \in [\frac{1}{2}, 1)$, and let $\gamma = \sum_{i=1}^n \frac{1-\beta}{\alpha_i}$. Let H be the multiplicative subgroup of \mathbb{R}^+ generated by $\{\alpha_i/\alpha_j : 1 \leq i, j \leq n\}$. Then:

(1)

$$\frac{e_{1,1},\cdots,e_{n,n}}{M_n(\mathbb{C})} * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \\ \beta \oplus 1-\beta \end{bmatrix} \cong \begin{cases} (T_H,\varphi_H) & \text{if } \gamma \geq 1 \\ (T_H,\varphi_H) \oplus \frac{\overline{e_{1,1}},\cdots,\overline{e_{n,n}}}{\overline{e_{1,1}},\cdots,\overline{e_{n,n}}} & \text{if } \gamma < 1 \\ \gamma & \gamma_1,\cdots,\gamma_n \end{cases} .$$

In the case when $\gamma < 1$, $\gamma_i = \alpha_i(1 - \gamma)$, and $\overline{e_{i,i}} \leq e_{i,i} \wedge p$.

(2) Assume that $\gamma \geq 1$. Suppose (A, ϕ) and (B, ψ) are two von Neumann algebras with faithful normal states, and that there exist modular inclusions $M_n(\mathbb{C}) \hookrightarrow A$ and $(\mathbb{C} \oplus \mathbb{C}) \hookrightarrow B$. Then

$$(A,\phi)*(B,\psi) \cong (A,\phi)*(B,\psi)*(T_H,\varphi_H).$$

Proof. We prove this by induction on n, with the base case (n = 2) handled by Lemma 1.5, or Theorem 2.1 and Corollary 2.3. Set (\mathcal{M}, φ) to be the free product in (1). Consider the following von Neumann subalgebra of \mathcal{M} :

$$(\mathcal{N},\varphi) := \begin{cases} \begin{bmatrix} e_{1,1},\cdots,e_{n-1,n-1} & e_{n,n} \\ M_{n-1}(\mathbb{C}) & \oplus & \mathbb{C} \\ \alpha_1,\dots,\alpha_{n-1} & \alpha_n \end{bmatrix} * \begin{bmatrix} p \\ \mathbb{C} \oplus \mathbb{C} \\ \beta & 1-\beta \end{bmatrix} & \text{if } \alpha_1 > \alpha_2 \\ \\ \begin{bmatrix} e_{1,1} & e_{2,2},\cdots,e_{n,n} \\ \mathbb{C} \oplus M_{n-1}(\mathbb{C}) \\ \alpha_1 & \alpha_2,\dots,\alpha_n \end{bmatrix} * \begin{bmatrix} p \\ \mathbb{C} \oplus \mathbb{C} \\ \beta & 1-\beta \end{bmatrix} & \text{if } \alpha_1 = \alpha_2 \end{cases}$$

We will compute (\mathcal{M}, φ) by way of computing (\mathcal{N}, φ) .

We will prove the inductive step when $\alpha_1 > \alpha_2$, then sketch the (minor) modifications one must take into consideration when $\alpha_1 = \alpha_2$. Set $Q = e_{1,1} + \cdots + e_{n-1,n-1}$, and

$$(\mathcal{N}_0, \varphi) := \begin{bmatrix} Q & e_{n,n} \\ \mathbb{C} & \mathbb{C} \\ \alpha_1 + \dots + \alpha_{n-1} + \alpha_n \end{bmatrix} * \begin{bmatrix} p \\ \mathbb{C} \\ \beta \end{bmatrix} \cdot \mathbb{C} \\ 1 - \beta \end{bmatrix}.$$

From Lemma 1.4, we have

$$(Q\mathcal{N}Q,\varphi^Q) \cong (Q\mathcal{N}_0Q,\varphi^Q) * \mathop{M_{n-1}(\mathbb{C})}_{\alpha_1,\dots,\alpha_{n-1}}^{e_{1,1},\dots,e_{n-1,n-1}}.$$

To finish computing (N, φ) , we consider two cases:

<u>Case 1:</u> Assume $\alpha_1 + \cdots + \alpha_{n-1} \geq \beta$. In this case, Q has full central support in \mathcal{N}_0 (hence in \mathcal{N}^{φ}), and computing $Q\mathcal{N}_0Q$ yields

$$(Q\mathcal{N}Q,\varphi^Q) = \begin{bmatrix} Q \wedge p & Q \wedge (1-p) \\ \mathbb{C} & \mathbb{C} \\ \alpha_1 + \dots + \alpha_{n-1} - (1-\beta) & \oplus (L(\mathbb{Z}),\tau) \oplus \mathbb{C} \\ \alpha_n & \alpha_1 + \dots + \alpha_{n-1} - \beta \end{bmatrix} * \begin{matrix} e_{1,1}, \dots, e_{n-1,n-1} \\ M_{n-1}(\mathbb{C}) \\ \alpha_1, \dots, \alpha_{n-1} \end{matrix}.$$

Note that the left factor always accepts a trace-preserving inclusion of $\mathbb{C}_{\alpha_1+\cdots+\alpha_{n-1}-(1-\beta)} \oplus \mathbb{C}_{1-\beta}$. If $1-\beta>\alpha_1+\cdots+\alpha_{n-1}-(1-\beta)$, then it follows by appropriately partitioning the identity of $L(\mathbb{Z})$ that the left factor will accept a modular inclusion of $\mathbb{C}_{\tilde{\beta}} \oplus \mathbb{C}_{\tilde{\beta}}$ where $\tilde{\beta}=\frac{1}{2}(\alpha_1+\cdots+\alpha_{n-1})$, and it will follow from the inductive hypothesis of (2) above, as well as free absorption that

$$(Q\mathcal{N}Q, \varphi^Q) \cong (T_{H'}, \varphi_{H'}),$$

where H' the multiplicative subgroup of \mathbb{R}^+ generated by $\{\alpha_i/\alpha_j: 1 \leq i, j \leq n-1\}$. We will therefore assume that $1-\beta \leq \alpha_1 + \cdots + \alpha_{n-1} - (1-\beta)$. Let $\gamma' = \sum_{i=1}^{n-1} \frac{1-\beta}{\alpha_i}$ and let H' be as before. If $\gamma' \geq 1$, then it follows from the inductive hypothesis of (2) above, as well as free absorption that

$$(Q\mathcal{N}Q,\varphi^Q)\cong (T_{H'},\varphi_{H'}).$$

If $\gamma' < 1$, then from the inductive hypothesis of (1) we have

$$\begin{pmatrix} Q \wedge p \\ \mathbb{C} \\ \alpha_1 + \dots + \alpha_{n-1} - (1-\beta) \oplus \mathbb{C} \\ \alpha_1 + \dots + \alpha_{n-1} - (1-\beta) & * M_{n-1}(\mathbb{C}) \\ \alpha_1 + \dots + \alpha_{n-1} & * M_{n-1}(\mathbb{C}) \\ \alpha_2 + \dots + \alpha_{n-1} & * M_{n-1}(\mathbb{C}) \\ \alpha_1 + \dots + \alpha_{n-1} & * M_{n-1}(\mathbb{C}) \\ \alpha_2 + \dots + \alpha_{n-1} & * M_{n-1}(\mathbb{C}) \\ \alpha_1 + \dots$$

where $\gamma_i' = \alpha_i(1 - \gamma')$ and $q_i \leq e_{i,i} \wedge p \leq Q \wedge p$. It follows from this, Lemmas 1.4 and 1.7, and free absorption that

$$((Q - Q \wedge p)\mathcal{N}(Q - Q \wedge p), \varphi^{Q - Q \wedge p}) \cong \left((L(\mathbb{Z}), \tau) \oplus \mathbb{C}_{\alpha_1 + \dots + \alpha_{n-1} - \beta} \right) * (T_{H'}, \varphi_{H'})$$

$$\cong (T_{H'}, \varphi_{H'})$$

From the central support statement in Lemma 1.4 and Lemma 1.7 we have

$$(Q\mathcal{N}Q,\varphi^Q) \cong (T_{H'},\varphi_{H'}) \oplus \mathop{M_{n-1}}_{\gamma_1,\cdots,\gamma_{n-1}'}^{q_1,\cdots,q_{n-1}} \mathbb{C}).$$

Since Q has full central support in \mathcal{N}^{φ} in either case, applying Lemma 1.7 again gives

$$(\mathcal{N}, \varphi) \cong \begin{cases} (T_{H'}, \varphi_{H'}) & \text{if } \gamma' \ge 1\\ (T_{H'}, \varphi_{H'}) \oplus M_{n-1}(\mathbb{C}) & \text{if } \gamma' < 1\\ \gamma'_1, \dots, \gamma'_{n-1} & \end{cases}.$$

<u>Case 2:</u> Assume $\alpha_1 + \cdots + \alpha_{n-1} < \beta$. In this case, $1 - z(Q : \mathcal{N}_0)$ is nonzero and minimal in \mathcal{N}_0 , so it follows that $1 - z(Q : \mathcal{N})$ is nonzero and minimal in \mathcal{N} . Furthermore, it is easy to see that $1 - z(Q, \mathcal{N}_0) = p \wedge e_{n,n}$. The free product for $Q\mathcal{N}Q$ becomes

$$(Q\mathcal{N}Q,\varphi^Q) = \begin{bmatrix} Q \wedge p & \\ \mathbb{C} & \\ \alpha_1 + \dots + \alpha_{n-1} - (1-\beta) & \\ 1 - \beta & \end{bmatrix} * \begin{matrix} e_{1,1}, \dots, e_{n-1,n-1} \\ M_{n-1}(\mathbb{C}) \\ \alpha_1, \dots, \alpha_{n-1} \end{matrix}.$$

Letting $\gamma' = \sum_{i=1}^{n-1} \frac{1-\beta}{\alpha_i}$, $\gamma'_i = \alpha_i (1-\gamma')$ and arguing just as in Case 1, we see that

$$(Q\mathcal{N}Q, \varphi^Q) \cong \begin{cases} (T_{H'}, \varphi_{H'}) & \text{if } \gamma' \ge 1\\ (T_{H'}, \varphi_{H'}) \oplus M_{n-1}(\mathbb{C}) & \text{if } \gamma' < 1\\ \gamma'_1, \dots, \gamma'_{n-1} & \end{cases}$$

Using Lemma 1.7, it follows that

$$(\mathcal{N}, \varphi) \cong \begin{cases} (T_{H'}, \varphi_{H'}) \oplus \mathbb{C} & \text{if } \gamma' \ge 1\\ \frac{\alpha_n (1 - \frac{1 - \beta}{\alpha_n})}{q_1, \dots, q_{n-1}} & & \\ (T_{H'}, \varphi_{H'}) \oplus M_{n-1}(\mathbb{C}) \oplus \mathbb{C} & \text{if } \gamma' < 1\\ \gamma'_1, \dots, \gamma'_{n-1} & \alpha_n (1 - \frac{1 - \beta}{\alpha_n}) & \end{cases}$$
(2)

We now proceed using our computations of (\mathcal{N}, φ) from Cases 1 and 2 above. Set $P = e_{n-1,n-1} + e_{n,n}$. Let $D = \mathbb{C}_{\alpha_1} \oplus \cdots \oplus \mathbb{C}_{\alpha_n}$, and let

$$E_1 : \frac{e_{1,1}, \cdots, e_{n-1,n-1}}{M_{n-1}(\mathbb{C})} \oplus \mathbb{C} \atop \alpha_n \to D$$

$$E_2 : \left[\mathbb{C} \oplus \mathbb{C} \atop \beta \oplus \mathbb{C} \right] * D \to D$$

be the canonical state-preserving conditional expectations. By Proposition 1.6,

$$(\mathcal{N},E)\cong\begin{pmatrix}e_{1,1},\cdots,e_{n-1,n-1}\\M_{n-1}(\mathbb{C})\\\alpha_1,\dots,\alpha_{n-1}\oplus\mathbb{C}\\\alpha_n\end{pmatrix}\oplus\mathbb{C}\\D_0+\mathbb{C}\\D_0+\mathbb{C}\\B=0\\D=0\\D=0\\D$$

and

$$(\mathcal{M}, E) \cong \begin{pmatrix} e_{1,1}, \cdots, e_{n,n} \\ M_n(\mathbb{C}) \\ \alpha_1, \cdots, \alpha_n \end{pmatrix} * \left(\begin{bmatrix} p \\ \mathbb{C} \oplus \mathbb{C} \\ \beta & 1-\beta \end{bmatrix} * D, E_2 \right).$$

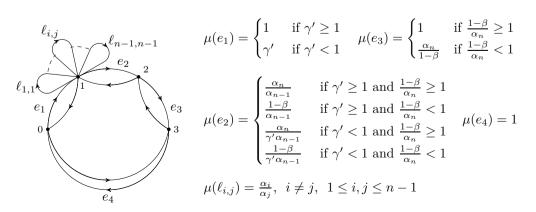
It follows from Proposition 2.4 that

$$(P\mathcal{M}P,E) = \begin{pmatrix} e_{n-1,n-1},e_{n,n} \\ M_2(\mathbb{C}) \\ \alpha_{n-1},\alpha_n \end{pmatrix} \underset{PDP}{*} (P\mathcal{N}P,E).$$

Note that from Equation (2) and Lemma 1.7,

$$(PNP, \varphi^{P}) \cong \begin{cases} (T_{H'}, \varphi_{H'}) & \text{if } \alpha_{1} + \dots + \alpha_{n-1} \geq \beta, \text{ and } \gamma' \geq 1 \\ (T_{H'}, \varphi_{H'}) \oplus \overset{q_{n-1}}{\mathbb{C}} & \text{if } \alpha_{1} + \dots + \alpha_{n-1} \geq \beta, \text{ and } \gamma' < 1 \\ (T_{H'}, \varphi_{H'}) \oplus \overset{q_{n}}{\mathbb{C}} & \text{if } \alpha_{1} + \dots + \alpha_{n-1} < \beta, \text{ and } \gamma' \geq 1 \\ (T_{H'}, \varphi_{H'}) \oplus \overset{q_{n-1}}{\mathbb{C}} \oplus \overset{q_{n}}{\mathbb{C}} & \text{if } \alpha_{1} + \dots + \alpha_{n-1} < \beta, \text{ and } \gamma' \geq 1 \\ (T_{H'}, \varphi_{H'}) \oplus \overset{q_{n-1}}{\mathbb{C}} \oplus \overset{q_{n}}{\mathbb{C}} & \text{if } \alpha_{1} + \dots + \alpha_{n-1} < \beta, \text{ and } \gamma' < 1 \end{cases}$$

with $q_i \leq e_{i,i}$. Let $\Gamma = (V, E)$ be the following graph with edge weighting μ :



Notice that $\mu(e_1)\mu(e_2)\mu(e_3)\mu(e_4) = \alpha_n/\alpha_{n-1}$. We assign ϕ to $\mathcal{M}(\Gamma, \mu)$ by choosing Γ_{Tr} so that it contains e_1, e_2, e_3 and declaring that $\phi(p_0) = \alpha_{n-1}$. This forces $\phi(p_3) = \alpha_n$.

Let Γ_0 be the subgraph of Γ obtained by deleting the edges e_4 and e_4^{op} . It follows from Subsection 1.4 that

$$((p_{0}+p_{3})\mathcal{M}(\Gamma_{0},\mu)(p_{0}+p_{3}),\phi^{p_{0}+p_{3}})$$

$$\cong \begin{cases}
(T_{H'},\varphi_{H'}) & \text{if } \alpha_{1}+\cdots+\alpha_{n-1} \geq \beta, \text{ and } \gamma' \geq 1 \\
(T_{H'},\varphi_{H'}) \oplus \mathbb{C} & \text{if } \alpha_{1}+\cdots+\alpha_{n-1} \geq \beta, \text{ and } \gamma' < 1 \\
(T_{H'},\varphi_{H'}) \oplus \mathbb{C} & \text{if } \alpha_{1}+\cdots+\alpha_{n-1} < \beta, \text{ and } \gamma' \leq 1
\end{cases}$$

$$(T_{H'},\varphi_{H'}) \oplus \mathbb{C} \oplus \mathbb{C}$$

where $q' \leq p_0$ and $q'' \leq p_3$. We immediately see that there is a state-preserving isomorphism

$$(P\mathcal{N}P, \varphi^P) \cong ((p_0 + p_3)\mathcal{M}(\Gamma_0, \mu)(p_0 + p_3), \phi^{p_0 + p_3})$$

sending $e_{n-1,n-1}$ to p_0 and $e_{n,n}$ to p_3 . Let $D' = \mathbb{C} \oplus \mathbb{C}$, and let u_4 be the polar part of Y_{e_4} . Note that $u_4u_4^* = p_3$ and $u_4^*u_4 = p_0$. It follows that

$$(PMP, \varphi^P) \cong ((p_0 + p_3)M(\Gamma_0, \mu)(p_0 + p_3), \phi^{p_0 + p_3}) *_{D'} M_2(\mathbb{C})$$

where u_4 is in the copy of $M_2(\mathbb{C})$. From the geometry of the graph and the fact that $u_4u_4^*=p_3$ and $u_4^*u_4=p_0$,

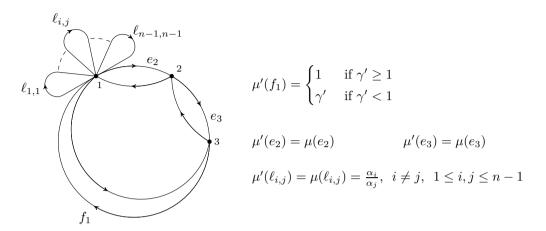
$$(e_{n,n}\mathcal{M}e_{n,n},\varphi^{e_{n,n}}) \cong (p_3W^*(u_4Y_{e_1},Y_{e_2},Y_{e_3},(Y_{\ell_{i,j}})_{i,j},p_0,p_1,p_2,p_3)p_3,\phi^{p_3}).$$

The element $u_4Y_{e_1}$ has right support p_2 . As for the other support, note that from the distribution of Y_{e_1} , we see that

$$(p_3 W^*(u_4 Y_{e_1} Y_{e_1}^* u_4^*) p_3, \phi^{p_3}) = \begin{cases} (L(\mathbb{Z}), \tau) & \text{if } \gamma' \ge 1 \\ \alpha_n \\ (L(\mathbb{Z}), \tau) \oplus \mathbb{C} & \text{if } \gamma' < 1 \end{cases}.$$

Hence the left support $u_4Y_{e_1}$ is p_3 if $\gamma' \geq 1$, and otherwise is a projection of mass $\alpha_n\gamma'$ under p_3 .

Let $\Gamma' = (E', V')$ be the following graph with edge weighting μ' :



We assign ϕ' to $\mathcal{M}(\Gamma', \mu')$ by choosing Γ_{Tr} so that it contains e_2, e_3 and declaring that $\phi'(p_3) = \alpha_n$. It follows that

$$((p_1 + p_3)W^*(Y_{f_1}, p_1, p_3)(p_1 + p_3), (\phi')^{p_1 + p_3})$$

$$\cong ((p_1 + p_3)W^*(u_4Y_{e_1}, p_1, p_3)(p_1 + p_3), \phi^{p_1 + p_3})$$

$$(Y_{f_1}, p_1, p_3) \mapsto (u_4Y_{e_1}, p_1, p_3),$$

and in particular, this mapping preserves the canonical conditional expectations onto $\mathbb{C} \oplus \mathbb{C}$. Consequently,

$$(p_3\mathcal{M}(\Gamma',\mu')p_3,(\varphi')^{p_3}) \cong (p_3W^*(u_4Y_{e_1},Y_{e_2},Y_{e_3},(Y_{\ell_{i,j}})_{i,j},p_0,p_1,p_2,p_3)p_3,\varphi^{p_3}$$

$$\cong (e_{n,n}\mathcal{M}e_{n,n},\varphi^{e_{n,n}}).$$

Recall that H is the multiplicative subgroup of \mathbb{R}^+ generated by $\{\alpha_i/\alpha_j : 1 \leq i, j \leq n\}$ and note that from our definition of γ above, $\gamma = \gamma' + \frac{1-\beta}{\alpha_n}$. It follows from Subsection 1.4 that

$$(e_{n,n}\mathcal{M}e_{n,n},\varphi^{e_{n,n}}) \cong (p_3\mathcal{M}(\Gamma',\mu')p_3,(\phi')^{p_3}) \cong \begin{cases} (T_H,\varphi_H) & \text{if } \gamma \geq 1\\ (T_H,\varphi_H) \oplus \mathbb{C} & \text{if } \gamma > 1 \end{cases}.$$

Tensoring with $M_n(\mathbb{C})$ and using Corollary 1.3 gives the desired result, and proves (1) in the case that $\alpha_1 > \alpha_2$.

If $\alpha_1 = \alpha_2$, let \mathcal{N} be defined as at the beginning of the proof. Then $\alpha_1 < 1/2$, so it follows that $e_{1,1} \wedge (1-q) = 0$. This means that $e_{1,1} \mathcal{N} e_{1,1}$ will contain at most one

minimal projection. This observation means that the computation for PNP continues exactly as above, and the graphical models for PNP and PNP are still valid after we redefine $\gamma' = \sum_{i=2}^{n} \frac{1-\beta}{\alpha_i}$ and exchange any mention of α_n and α_{n-1} with α_1 and α_2 respectively.

The proof of (2) follows directly from the result of (1) as well as the proof of [7, Lemma 1.5(2)]. \Box

2.3. Free products of finite-dimensional von Neumann algebras

We will use Theorem 2.5 to establish the requisite base cases/inductive steps for computing the free product of any two finite-dimensional von Neumann algebras. The following three propositions are the "building blocks" between Theorem 2.5 above, and Theorem 2.11 below.

Proposition 2.6. Let $1 > \alpha_1 \ge \alpha_2 \ge \cdots \ge \alpha_n > 0$ with $\sum_{i=1}^n \alpha_i^n = 1$, and let $1 > \beta_1 \ge \beta_2 \ge \cdots \ge \beta_m > 0$ with $\sum_{j=1}^m \beta_j = 1$. Let

$$H = \langle \{\alpha_i/\alpha_j : 1 \le i, j \le n\} \cup \{\beta_i/\beta_j : 1 \le i, j \le m\} \rangle < \mathbb{R}^+,$$

and assume H is not trivial. Then

$$M_n(\mathbb{C}) * M_m(\mathbb{C}) \cong (T_H, \varphi_H).$$

 $\alpha_1, \dots, \alpha_n \quad \beta_1, \dots \beta_m$

Proof. We will show that Theorem 2.5.(2) can always be applied.

<u>Case 1:</u> Assume only one of the sets $\{\alpha_i/\alpha_j : 1 \leq i, j \leq n\}$ or $\{\beta_i/\beta_j : 1 \leq i, j \leq m\}$ is non-trivial. Without loss of generality, we can assume it is the former. Consequently,

$$H = \langle \{\alpha_i/\alpha_j : 1 \le i, j \le n\} \rangle,$$

and $\beta_1 = \cdots = \beta_m = \frac{1}{m}$. Set $\beta := \lceil \frac{m}{2} \rceil \frac{1}{m} \in [\frac{1}{2}, 1)$, so that $M_m(\mathbb{C})$ accepts a modular inclusion of $\mathbb{C} \oplus \mathbb{C}$. Note that $\alpha_n \leq 1 - \alpha_1$. It follows that

$$\gamma := \sum_{i=1}^{n} \frac{1-\beta}{\alpha_i} \ge (1-\beta) \left[\frac{n-1}{\alpha_1} + \frac{1}{1-\alpha_1} \right] \ge (1-\beta) [n+2\sqrt{n(n-1)}],$$

where the last inequality follows from an easy calculus exercise. Now, note that $1-\beta \geq \frac{1}{3}$ (where this minimum is attained for m=3 and the inequality is strict otherwise), and since $n\geq 2$ we have $\gamma\geq 1$. Thus we may apply Theorem 2.5.(2), free absorption, and Proposition 1.1 to obtain

$$M_{n}(\mathbb{C}) * M_{m}(\mathbb{C}) \cong (T_{H}, \varphi_{H}) * M_{m}(\mathbb{C}) * M_{n}(\mathbb{C})$$

$$\beta_{1}, \dots \beta_{m} \cong (T_{H}, \varphi_{H}) * M_{n}(\mathbb{C})$$

$$\cong (T_{H}, \varphi_{H}) * M_{n}(\mathbb{C})$$

$$\alpha_{1}, \dots \alpha_{n}$$

$$\cong (T_{H}, \varphi_{H}).$$

<u>Case 2:</u> Assume both sets $\{\alpha_i/\alpha_j: 1 \leq i, j \leq n\}$ and $\{\beta_i/\beta_j: 1 \leq i, j \leq m\}$ are non-trivial. Now, either $\frac{\beta_m}{\alpha_n} \geq 1$ or $\frac{\alpha_n}{\beta_m} \geq 1$. Without loss of generality, assume the former. Let $k \in \{1, \ldots, m\}$ be the smallest index such that $\beta_1 + \cdots + \beta_k \geq \frac{1}{2}$. Then $k \leq m-1$ since $\beta_m \leq \frac{1}{m} \leq \frac{1}{2}$, which implies $\beta_1 + \cdots + \beta_{m-1} \geq \frac{1}{2}$. Set $\beta := \beta_1 + \cdots + \beta_k \in [\frac{1}{2}, 1)$, so that $1 - \beta \geq \beta_m$. Then

$$\gamma := \sum_{i=1}^{n} \frac{1-\beta}{\alpha_i} \ge \frac{1-\beta}{\alpha_n} \ge \frac{\beta_m}{\alpha_n} \ge 1.$$

Letting $H' = \langle \{\alpha_i/\alpha_j : 1 \leq i, j \leq n\} \rangle$, we may apply Theorem 2.5.(2), free absorption, and Proposition 1.1 to obtain

$$M_{n}(\mathbb{C}) * M_{m}(\mathbb{C}) \cong (T_{H'}, \varphi_{H'}) * M_{n}(\mathbb{C}) * M_{m}(\mathbb{C})$$

$$\alpha_{1}, \dots, \alpha_{n} \qquad \beta_{1}, \dots \beta_{m}$$

$$\cong (T_{H'}, \varphi_{H'}) * M_{m}(\mathbb{C})$$

$$\beta_{1}, \dots \beta_{m}$$

$$\cong (T_{H}, \varphi_{H}). \quad \Box$$

Proposition 2.7. Let $1 > \alpha_1 \ge \alpha_2 \ge \cdots \ge \alpha_n > 0$ with $\sum_{i=1}^n \alpha_i = 1$, and let $1 > \beta_1 \ge \beta_2 \ge \cdots \ge \beta_m > 0$ with $\sum_{j=1}^m \beta_j = 1$. Let $\gamma = \sum_{i=1}^n \frac{1-\beta_1}{\alpha_i}$. Suppose $H = \langle \{\alpha_i/\alpha_j : 1 \le i, j \le n\} \rangle$. Then

$$\frac{e_{1,1},\cdots,e_{n,n}}{M_n(\mathbb{C})} * \begin{bmatrix} p \\ \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C} \oplus \cdots \oplus \mathbb{C} \\ \beta_1 & \beta_2 \end{bmatrix} \cong \begin{cases} (A,\varphi) & \text{if } \gamma \geq 1 \\ (A,\varphi) \oplus \frac{\overline{e_{1,1},\cdots,\overline{e_{n,n}}}}{M_n(\mathbb{C})} & \text{if } \gamma < 1 \end{cases},$$

where (A, φ) is an interpolated free group factor if $\alpha_1 = \cdots = \alpha_n$ and is (T_H, φ_H) if at least one inequality in the α 's is strict. In the case when $\gamma < 1$, $\gamma_i = \alpha_i(1 - \gamma)$, and $\overline{e_{i,i}} \leq e_{i,i} \wedge p$.

Proof. The case when $\alpha_1 = \cdots = \alpha_n$ is handled by [2]. We therefore assume that at least one of the inequalities are strict. Moreover, note that the case m = 2 follows from Theorem 2.5.(1).

If $\gamma \geq 1$, then we claim there is a modular inclusion

$$\mathbb{C}_{\beta} \oplus \mathbb{C}_{1-\beta} \hookrightarrow \mathbb{C}_{\beta_1}^p \oplus \mathbb{C}_{\beta_2} \oplus \cdots \oplus \mathbb{C}_{\beta_m},$$

where $\beta \in [\frac{1}{2},1)$ and $\sum_{i=1}^n \frac{1-\beta}{\alpha_i} \geq 1$. Indeed, if $\beta_1 \geq \frac{1}{2}$ then simply set $\beta := \beta_1$. Otherwise, let $k \in \{1,\ldots,m\}$ be the largest index such that $\beta_k + \cdots + \beta_m \geq \frac{1}{2}$, and set $\beta := \beta_k + \cdots + \beta_m$. Note that $k \geq 2$, since $\beta_2 + \cdots + \beta_m = 1 - \beta_1 > \frac{1}{2}$. Since $\sum_{i=1}^n \frac{1}{\alpha_i} \geq n^2 \geq 4$, it suffices to show $\beta \leq \frac{3}{4}$. If $\beta > \frac{3}{4}$, then $\beta_1 \leq 1 - \beta < \frac{1}{4}$ so that $\beta_k < \frac{1}{4}$. But then $\beta_{k+1} + \cdots + \beta_m = \beta - \beta_k > \frac{3}{4} - \frac{1}{4} = \frac{1}{2}$, contradicting our choice of k. Thus the claim holds.

From Theorem 2.5.(2), free absorption, and Proposition 1.1 we have

$$\frac{e_{1,1}, \cdots, e_{n,n}}{M_n(\mathbb{C})} * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C} \oplus \cdots \oplus \mathbb{C} \\ \mathbb{B}_1 \oplus \mathbb{B}_2 \oplus \cdots \oplus \mathbb{B}_m \end{bmatrix} \cong \frac{e_{1,1}, \cdots, e_{n,n}}{M_n(\mathbb{C})} * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C} \oplus \cdots \oplus \mathbb{C} \\ \mathbb{B}_1 \oplus \mathbb{B}_2 \oplus \cdots \oplus \mathbb{B}_m \end{bmatrix} * (T_H, \varphi_H)$$

$$\cong M_n(\mathbb{C}) * (T_H, \varphi_H)$$

$$\cong (T_H, \varphi_H).$$

Now, if $\gamma < 1$, let (M, φ) be the free product in the statement of the proposition. By Theorem 2.5.(1),

$$(N,\varphi) := \underbrace{M_n(\mathbb{C})}_{\alpha_1,\cdots,\alpha_n} * \begin{bmatrix} p & 1-p \\ \mathbb{C} & \mathbb{C} \\ \beta_1 & 1-\beta_1 \end{bmatrix} \cong (T_H,\varphi_H) \oplus \underbrace{M_n(\mathbb{C})}_{\gamma_1,\cdots,\gamma_n}$$

with $\gamma_i = \alpha_i(1-\gamma)$, and $\overline{e_{i,i}} \leq e_{i,i} \wedge p$. From Lemmas 1.4 and 1.7,

$$((1-p)\mathcal{M}(1-p),\varphi^{1-p}) = ((1-p)\mathcal{N}(1-p),\varphi^{1-p}) * \left[\underset{\beta_2}{\mathbb{C}} \oplus \cdots \oplus \underset{\beta_m}{\mathbb{C}} \right] \cong (T_H,\varphi_H)$$

We have $z(1-p\colon \mathcal{N}^{\varphi})=z(1-p\colon \mathcal{N})=z(1-p\colon M)$. As $\mathcal{N}^{\varphi}\subset \mathcal{M}^{\varphi}$, it follows that $z(1-p\colon \mathcal{M}^{\varphi})=z(1-p\colon \mathcal{N}^{\varphi})$ and so from Lemma 1.7 we have that

$$(\mathcal{M}, \varphi) \cong (T_H, \varphi_H) \oplus \frac{\overline{e_{1,1}}, \cdots, \overline{e_{n,n}}}{M_n(\mathbb{C})}_{\gamma_1, \cdots, \gamma_n}$$

as claimed. \Box

Proposition 2.8. Let $1 > \alpha_1 \ge \cdots \ge \alpha_n > 0$ and H be as in the statement of Proposition 2.7. Let $1 > \beta \ge 0$. Let (B, ϕ) be either $(L(\mathbb{F}_t), \tau)$ for $t \in [1, \infty]$ or $(T_{H'}, \varphi_{H'})$ for some countable, non-trivial H'. Let $\gamma = \sum_{i=1}^n \frac{1-\beta}{\alpha_i}$. Then

$$\frac{e_{1,1}, \cdots, e_{n,n}}{M_n(\mathbb{C})} * \left[\mathbb{C} \oplus (B, \phi) \atop \beta = 1 - \beta \right] \cong \begin{cases} (A, \varphi) & \text{if } \gamma \geq 1 \\ \frac{\overline{e_{1,1}}, \dots, \overline{e_{n,n}}}{M_n(\mathbb{C})} & \text{if } \gamma < 1 \end{cases},$$

where $\gamma_i = \alpha_i(1-\gamma)$, $\overline{e_{i,i}} \leq e_{i,i} \wedge (1-q)$. Here $(A,\varphi) \cong (L(\mathbb{F}_s),\tau)$ for some s > 1 if H is trivial and B is tracial, and otherwise $(A,\varphi) \cong (T_G,\varphi_G)$ where G is the group generated by H and H'. If (B,φ) is tracial, we interpret H' to be $\{1\}$.

Proof. The case where H and H' are both trivial is handled in [2]. We will therefore assume that at least one of H or H' is non-trivial. If $\beta = 0$, then this follows from Proposition 1.1 or Corollary 1.2. Thus we further assume $\beta > 0$.

Let

$$(\mathcal{M}, \varphi) := \frac{\stackrel{e_{1,1}, \dots, e_{n,n}}{M_n(\mathbb{C})}}{\stackrel{e_{1,1}, \dots, e_{n,n}}{M_n(\mathbb{C})}} * \left[\underset{\beta}{\mathbb{C}} \oplus (\stackrel{q}{B}, \phi) \right]$$
$$(\mathcal{N}, \varphi) := \frac{\stackrel{e_{1,1}, \dots, e_{n,n}}{M_n(\mathbb{C})}}{\stackrel{e_{1,1}, \dots, e_{n,n}}{M_n(\mathbb{C})}} * \left[\underset{\beta}{\mathbb{C}} \oplus \underset{1-\beta}{\mathbb{C}} \right].$$

Let $\delta := \sum_{i=1}^n \frac{\beta}{\alpha_i}$. Since $\gamma + \delta = \sum_{i=1}^n \frac{1}{\alpha_i} \ge 4$, we cannot have $\gamma, \delta < 1$. We consider three possible cases:

<u>Case 1:</u> Assume $\gamma, \delta \geq 1$. In this case, (\mathcal{N}, φ) is either $(L(\mathbb{F}_s), \tau)$ for some s > 1 if H is trivial [2], or is (T_H, φ_H) from Theorem 2.5. Applying Lemmas 1.4 and 1.7, gives $(q\mathcal{M}q, \varphi^q) \cong (T_G, \varphi_G)$. Noting that $z(q: \mathcal{N}^{\varphi}) = 1$ and $\mathcal{N}^{\varphi} \subset \mathcal{M}^{\varphi}$, we obtain $z(q: \mathcal{M}^{\varphi}) = 1$. We then apply Lemma 1.7 again to obtain $(\mathcal{M}, \varphi) \cong (T_G, \varphi_G)$.

<u>Case 2:</u> Assume $\gamma < 1 \le \delta$. In this case,

$$(\mathcal{N}, \varphi) \cong \begin{cases} (L(\mathbb{F}_s), \tau) \oplus \overline{e_{1,1}, \dots, e_{n,n}} \\ (L(\mathbb{F}_s), \tau) \oplus \overline{M_n(\mathbb{C})} & \text{if } H = \{1\} \\ \gamma & \frac{\gamma_1, \dots, \gamma_n}{e_{1,1}, \dots, e_{n,n}} \\ (T_H, \varphi_H) \oplus \overline{M_n(\mathbb{C})} & \text{if } H \neq \{1\} \end{cases}$$

for some s > 1 and $\overline{e_{i,i}} \le e_{ii} \wedge (1-q)$. Applying Lemmas 1.4 and 1.7, gives $(q\mathcal{M}q, \varphi) \cong (T_G, \varphi_G)$. Noting that $z(q: \mathcal{N}^{\varphi}) = z(q: \mathcal{N}) = z(q: \mathcal{M}) = p$, and $\mathcal{N}^{\varphi} \subset \mathcal{M}^{\varphi}$, we apply Lemma 1.7 again to obtain $\mathcal{M} \cong (T_G, \varphi_G) \oplus M_n(\mathbb{C})$.

<u>Case 3:</u> Assume $\delta < 1 \le \gamma$. In this case,

$$(\mathcal{N}, \varphi) \cong \begin{cases} (L(F_s), \tau) \oplus \frac{\overline{e_{1,1}, \dots, \overline{e_{n,n}}}}{M_n(\mathbb{C})} & \text{if } H = \{1\} \\ \delta & \frac{\delta_1, \dots, \delta_n}{\overline{e_{1,1}, \dots, \overline{e_{n,n}}}} \\ T_H, \varphi_H) \oplus M_n(\mathbb{C}) & \text{if } H \neq \{1\} \end{cases}$$

for some s > 1, $\delta_i = \alpha_i(1 - \delta)$, and $\overline{e_{i,i}} \leq e_{i,i} \wedge q$. In either case, we have $z(q: \mathcal{N}^{\varphi}) = 1$, and hence $z(q: \mathcal{M}^{\varphi}) = 1$ since $\mathcal{N}^{\varphi} \subset \mathcal{M}^{\varphi}$. By Lemma 1.4

$$(q\mathcal{M}q,\varphi^q)\cong (q\mathcal{N}q,\varphi^q)*(B,\phi)$$

If H is trivial, then H' is not. So free absorption gives $(q\mathcal{M}q, \varphi^q) \cong (T_G, \varphi_G)$, and applying Lemma 1.7 as above gives $(\mathcal{M}, \varphi) \cong (T_G, \varphi_G)$.

If H is non-trivial, then by Lemma 1.7

$$(q\mathcal{M}q,\varphi^q)\cong \left[(T_H,\varphi_H)\oplus \frac{\overline{e_{1,1},\dots,\overline{e_{n,n}}}}{M_n(\mathbb{C})}_{\delta_1,\dots,\delta_n}\right]*(B,\phi)$$

To compute this, we study the following subalgebras of $q\mathcal{M}q$:

$$(\mathcal{M}_1, \varphi^q) = \begin{bmatrix} h \oplus \mathbb{C} \oplus \mathbb{C} \end{bmatrix} * (B, \phi)$$
$$(\mathcal{M}_2, \varphi^q) = \begin{bmatrix} h \oplus \frac{\overline{e_{1,1}}, \dots, \overline{e_{n,n}}}{M_n(\mathbb{C})} \\ \delta_1, \dots, \delta_n \end{bmatrix} * (B, \phi)$$

Note that $(\mathcal{M}_1, \varphi^q) \cong (L(\mathbb{F}_r), \tau)$ for some r > 1 if H' is trivial, and otherwise is $(T_{H'}, \varphi_{H'})$. Applying Lemmas 1.4 and 1.7, we compute

$$((q-h)\mathcal{M}_2(q-h),\varphi^{q-h}) \cong ((q-h)\mathcal{M}_1(q-h),\varphi^{q-h}) * \underbrace{M_n(\mathbb{C})}_{\substack{\delta_1, \dots, \delta_n \\ \delta_1, \dots, \delta_n}} \cong (T_G, \varphi_G).$$

The last isomorphism follows from either Proposition 1.1 or Corollary 1.2, depending on whether or not H' is trivial (which dictated the form of $(\mathcal{M}_1, \varphi^q)$). Now, $z(q - h: \mathcal{M}_1^{\varphi^q}) = q$ since $\mathcal{M}_1^{\varphi^q}$ is always a factor, and $\mathcal{M}_1^{\varphi^q} \subset \mathcal{M}_2^{\varphi^q}$. Thus Lemma 1.7 implies $(\mathcal{M}_2, \varphi^q) \cong (T_G, \varphi_G)$. Applying Lemmas 1.4 and 1.7 again gives

$$(h\mathcal{M}h, \varphi^h) \cong (h\mathcal{M}_2h, \varphi^h) * (T_H, \varphi_H) \cong (T_G, \varphi_G).$$

Since $z(h: \mathcal{M}_2^{\varphi^q}) = q$ and $\mathcal{M}_2^{\varphi^q} \subset (q\mathcal{M}q)^{\varphi^q}$, Lemma 1.7 implies $(q\mathcal{M}q, \varphi^q) \cong (T_G, \varphi_G)$. Finally, recall $z(q: \mathcal{M}^{\varphi}) = 1$ so that $(\mathcal{M}, \varphi) \cong (T_G, \varphi_G)$ as desired. \square

Proposition 2.9. Let $1 > \alpha_1 \ge \cdots \ge \alpha_n > 0$ and H be as in the statement of Proposition 2.7. Let $1 > \beta_1 \ge \cdots \ge \beta_m > 0$, $m \ge 2$, and $\beta > 0$ satisfy $\beta + \sum_{j=1}^m \beta_j = 1$. Let (B, ϕ) be either $(L(\mathbb{F}_t), \tau)$ for $t \in [1, \infty]$ or $(T_{H'}, \varphi_{H'})$ for some non-trivial H'. Let H'' be the group generated by $\{\beta_i/\beta_j : 1 \le i, j \le m\}$. Let G be the group generated by H, H', and H'' (where we declare H' to be trivial if $B = L(\mathbb{F}_t)$). We have

$$\frac{ {}^{e_{1,1},\cdots,e_{n,n}}_{n} }{ M_n(\mathbb{C}) } * \begin{bmatrix} M_m(\mathbb{C}) \oplus (B,\phi) \\ {}^{\beta_1,\cdots,\beta_m} \end{bmatrix} \cong \begin{cases} (T_G,\varphi_G) & \textit{if G is non-trivial} \\ (L(\mathbb{F}_s),\tau) & \textit{otherwise, for some $s>1} \end{cases}.$$

Proof. The case where H, H' and H'' are all trivial is handled by [2], so we can assume that at least one of H, H'', or H'' is non-trivial. Let

$$(\mathcal{M},\varphi):= \frac{\stackrel{e_{1,1},\cdots,e_{n,n}}{M_n(\mathbb{C})}}{\stackrel{m_{1,1},\cdots,\alpha_n}{M_n(\mathbb{C})}} * \begin{bmatrix} M_m(\mathbb{C}) \oplus (B,\phi) \\ \stackrel{m_{1,1},\cdots,\alpha_n}{\beta} \end{bmatrix}.$$

Case 1: Assume H is non-trivial. Denote

$$(C,\psi) := M_m(\mathbb{C}) \oplus (B, \phi).$$

<u>Case 1.a:</u> Assume $\sum_{i=1}^{n} \frac{1-\beta_1}{\alpha_i} \ge 1$. We claim there exists $\beta' \in [1/2, 1)$ satisfying $\sum_{i=1}^{n} \frac{1-\beta'}{\alpha_i} \ge 1$ and a modular inclusion

$$\mathbb{C}_{\beta'} \oplus \mathbb{C}_{1-\beta'} \hookrightarrow (C,\psi).$$

Indeed, since B is diffuse, for some $m' \geq 1$ we can split q into orthogonal projections $q_1, \ldots, q_{m'}$ with masses $\psi(q_j) \leq \beta_m$ and then we simply proceed as in the proof of Proposition 2.7. Consequently, Theorem 2.5.(2) and Corollary 1.2 yield

$$(\mathcal{M},\varphi) = M_n(\mathbb{C}) * (C,\psi) \cong (T_H,\varphi_H) * M_n(\mathbb{C}) * (C,\psi) \cong (T_H,\varphi_H) * (C,\psi)$$

We compute this latter free product by considering the following subalgebras of \mathcal{M}

$$(\mathcal{N}_1, \varphi) := (T_H, \varphi_H) * \left[\underset{1-\beta}{\mathbb{C}} \oplus \underset{\beta}{\mathbb{C}} \right]$$
$$(\mathcal{N}_2, \varphi) := (T_H, \varphi_H) * \left[\underset{1-\beta}{\mathbb{C}} \oplus (B, \varphi) \right].$$

So by Lemmas 1.4 and 1.7 we have

$$(q\mathcal{N}_2q,\varphi^q)\cong (q\mathcal{N}_1q,\varphi^q)*(B,\phi)\cong (T_H,\varphi_H)*(B,\phi)\cong (T_{\langle H,H'\rangle},\varphi_{\langle H,H'\rangle}),$$

where we appeal to free absorption for the last isomorphism if H' is trivial. Since $z(q: \mathcal{N}_2^{\varphi}) = z(q: \mathcal{N}_1^{\varphi}) = 1$ by virtue of $\mathcal{N}_1^{\varphi} \subset \mathcal{N}_2^{\varphi}$, it follows from Lemma 1.7 that $(\mathcal{N}_2, \varphi) \cong (T_{\langle H, H' \rangle}, \varphi_{\langle H, H' \rangle})$. Appealing to the same lemma and using Corollary 1.2 we have

$$((1-q)\mathcal{M}(1-q),\varphi^{1-q}) \cong ((1-q)\mathcal{N}_2(1-q),\varphi^{(1-q)}) * M_m(\mathbb{C})$$

$$\cong (T_{\langle H,H'\rangle},\varphi_{\langle H,H'\rangle}) * M_m(\mathbb{C})$$

$$\beta_1,\cdots,\beta_m$$

$$\cong (T_G,\varphi_G).$$

Finally, $z(1-q:\mathcal{M})=z(1-q:\mathcal{N}_2^{\varphi})=1$ by virtue of $\mathcal{N}_2^{\varphi}\subset\mathcal{M}^{\varphi}$, and hence Lemma 1.7 yields the claimed isomorphism class for (\mathcal{M},φ) .

<u>Case 1.b:</u> Assume $\sum_{i=1}^{n} \frac{1-\beta_1}{\alpha_i} < 1$. Then $\sum_{i=1}^{n} \frac{1-(1-\beta)}{\alpha_i} = \sum_{i=1}^{n} \frac{\beta}{\alpha_i} < 1$. Since $\sum_{i=1}^{n} \frac{1}{\alpha_i} \ge 4$, it follows that $\beta < \frac{1}{4}$ and in particular $1 - \beta \ge \frac{1}{2}$. Consider the following subalgebras of \mathcal{M} :

$$(\mathcal{M}_{1},\varphi) := \frac{\stackrel{e_{1,1},\cdots,e_{n,n}}{M_{n}(\mathbb{C})}}{\stackrel{e_{1,1},\cdots,e_{n,n}}{M_{n}(\mathbb{C})}} * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \\ 1-\beta \oplus \mathbb{C} \end{bmatrix}$$
$$(\mathcal{M}_{2},\varphi) := \frac{\stackrel{e_{1,1},\cdots,e_{n,n}}{M_{n}(\mathbb{C})}}{\stackrel{e_{1,1},\cdots,e_{n,n}}{M_{n}(\mathbb{C})}} * \begin{bmatrix} \mathbb{C} \oplus (B,\phi) \\ 1-\beta \oplus B,\phi \end{bmatrix}.$$

From Theorem 2.5.(1) we have

$$(\mathcal{M}_1, \varphi) \cong (T_H, \varphi_H) \oplus M_n(\mathbb{C}),$$

 $\gamma_1, \dots, \gamma_n$

where $\gamma_i = \alpha_i (1 - \sum_{j=1}^n \frac{1 - (1 - \beta)}{\alpha_j})$ and the copy of $M_n(\mathbb{C})$ lies under 1 - q. Using free absorption and tracking the central support of q, it follows from Lemmas 1.4 and 1.7 that

$$(\mathcal{M}_2, \varphi) \cong (T_{\langle H, H' \rangle}, \varphi_{\langle H, H' \rangle}) \oplus M_n(\mathbb{C}).$$

Applying Lemmas 1.4 and 1.7 again, we see that

$$((1-q)\mathcal{M}(1-q),\varphi^{1-q}) \cong \left[(T_{\langle H,H'\rangle},\varphi_{\langle H,H'\rangle}) \oplus M_n(\mathbb{C}) \atop 1-\beta-(\gamma_1+\cdots+\gamma_n) \qquad \gamma_1,\cdots,\gamma_n \atop \gamma_1,\cdots,\gamma_n \right] * M_m(\mathbb{C}).$$

Now, the assumption $\sum_{i=1}^{n} \frac{1-\beta_1}{\alpha_i} < 1$ implies that $\beta_1 > \alpha_1 \ge \gamma_1$. Indeed, the second inequality is immediate from the definition of γ_1 , and to see the first simply observe

$$1 > \sum_{i=1}^{n} \frac{1 - \beta_1}{\alpha_i} \ge \frac{1 - \beta_1}{\alpha_2} \ge \frac{1 - \beta_1}{1 - \alpha_1}.$$

Using the same reasoning, it must therefore be the case that $\sum_{j=1}^{m} \frac{(1-\beta)-\gamma_1}{\beta_j} \geq 1$. Proceeding as in Case 1.a, we can find $\beta' \in [\frac{1}{2}(1-\beta), 1-\beta)$ satisfying $\sum_{j=1}^{m} \frac{(1-\beta)-\beta'}{\beta_j} \geq 1$ and a modular inclusion

$$\mathbb{C}_{\beta'} \oplus \mathbb{C}_{(1-\beta)-\beta'} \hookrightarrow (T_{\langle H,H' \rangle}, \varphi_{\langle H,H' \rangle}) \oplus M_n(\mathbb{C}),$$

$$1-\beta-(\gamma_1+\cdots+\gamma_n) \xrightarrow{\gamma_1,\cdots,\gamma_n}$$

and we can show that $((1-q)\mathcal{M}(1-q), \varphi^{1-q}) \cong (T_G, \varphi_G)$. Noting that $z(1-q) \colon \mathcal{M}_1^{\varphi} = 1$ and $\mathcal{M}_1^{\varphi} \subset \mathcal{M}^{\varphi}$, we may apply Lemma 1.7 to obtain the desired isomorphism result.

<u>Case 2:</u> Assume H is trivial. Then $\alpha_1 = \cdots = \alpha_n = 1/n$ and $\sum_{i=1}^n \frac{1}{\alpha_i} = n^2$. Clearly we never have $1 - \beta, \beta < \frac{1}{n^2}$, so we consider three cases:

Case 2.a: Assume $1 - \beta, \beta \ge \frac{1}{n^2}$. Let \mathcal{M}_1 and \mathcal{M}_2 be as in Case 1.b. By [2], (\mathcal{M}_1, ϕ) is an interpolated free group factor equipped with its trace. In particular, $z(q: \mathcal{M}_1^{\varphi}) = z(1 - q: \mathcal{M}_1^{\varphi}) = 1$. If H' is trivial, then by Lemma 1.4 (\mathcal{M}_2, φ) is also an interpolated free group factor equipped with its trace. Otherwise, using Lemma 1.4, free absorption, and Lemma 1.7 we see that $(\mathcal{M}_2, \varphi) \cong (T_{H'}, \varphi_{H'})$. The same approach applied to $(1 - q)\mathcal{M}(1 - q)$ reveals the desired isomorphism class for (\mathcal{M}, φ) .

<u>Case 2.b:</u> Assume that $1-\beta < \frac{1}{n^2} \leq \beta$. Let \mathcal{M}_1 and \mathcal{M}_2 be as in Case 1.b. By [2],

$$(\mathcal{M}_1, \varphi) \cong (L(\mathbb{F}_r), \tau) \oplus M_n(\mathbb{C}),$$

 $\frac{1}{n} - n(1-\beta), \cdots, \frac{1}{n} - n(1-\beta)$

for some r > 1 and the copy of $M_n(\mathbb{C})$ lies under q. Note that $z(q: \mathcal{M}_1^{\varphi}) = 1$, and from Lemma 1.4 (and possibly free absorption)

$$(q\mathcal{M}_2q, \varphi^q) \cong \begin{cases} (T_{H'}, \varphi_{H'}) & \text{if } H' \text{ is non-trivial} \\ (L(\mathbb{F}_{r'}), \tau) & \text{otherwise, for some } r' > 1. \end{cases}$$

It follows that

$$((1-q)\mathcal{M}_2(1-q),\varphi^{1-q}) \cong \begin{cases} (T_{H'},\varphi_{H'}) & \text{if } H' \text{ is non-trivial} \\ (L(\mathbb{F}_{r''}),\tau) & \text{otherwise, for some } r'' > 1, \end{cases}$$

where in the former case we have applied Lemma 1.7 twice, and in the latter case we simply note that q has full central support in \mathcal{M}_1 and hence in \mathcal{M}_2 . Applying Lemma 1.4 gives $((1-q)\mathcal{M}(1-q),\varphi^{1-q})\cong (T_G,\varphi_G)$ (recall G is assumed to be non-trivial), and applying Lemma 1.7 once more gives the desired isomorphism.

<u>Case 2.c:</u> Assume that $\beta < \frac{1}{n^2} \le 1 - \beta$. Let \mathcal{M}_1 be as in Case 1.b, but now consider

$$(\mathcal{M}_3,\varphi) := \frac{e_{1,1},\cdots,e_{n,n}}{M_n(\mathbb{C})} * \begin{bmatrix} M_m(\mathbb{C}) \oplus \mathbb{C} \\ \beta_1,\cdots,\beta_m \end{bmatrix}.$$

By [2]

$$(\mathcal{M}_1, \varphi) \cong (L(\mathbb{F}_r), \tau) \oplus M_n(\mathbb{C}),$$

 $\frac{1}{n} - n\beta, \dots, \frac{1}{n} - n\beta$

for some r > 1 and the copy of $M_n(\mathbb{C})$ is under 1 - q. Thus $z(1 - q : \mathcal{M}_1^{\varphi}) = 1$. Since all weights on the $M_n(\mathbb{C})$ in \mathcal{M}_1 are identical, it follows that there exists $\beta' \in [\frac{1}{2}(1-\beta), 1-\beta)$ satisfying $\sum_{k=1}^m \frac{(1-\beta)-\beta'}{\beta_k} \geq 1$ and a modular inclusion

$$\mathbb{C} \oplus \mathbb{C}_{(1-\beta)-\beta'} \hookrightarrow ((1-q)\mathcal{M}_1(1-q), \varphi^{1-q}).$$

By Lemma 1.4 we have

$$((1-q)\mathcal{M}_3(1-q),\varphi^{1-q}) \cong ((1-q)\mathcal{M}_1(1-q),\phi^{1-q}) * M_m(\mathbb{C})$$

It follows that

$$((1-q)\mathcal{M}_3(1-q),\varphi^{1-q}) \cong \begin{cases} (T_{H''},\varphi_{H''}) & \text{if } H'' \text{ is non-trivial} \\ (L(\mathbb{F}_{r'}),\tau) & \text{otherwise, for some } r' > 1 \end{cases}$$

Indeed, the former case follows by Theorem 2.5.(2), Corollary 1.2, and free absorption, while the latter case follows from [2]. From here we proceed exactly as in Case 2.b, and obtain the desired isomorphism class for (\mathcal{M}, φ) . \square

In a similar manner, one can prove the following:

Proposition 2.10. Let $1 > \alpha_1 \ge \cdots \ge \alpha_n > 0$ and H be as in the statement of Proposition 2.7. Let $1 > \beta_1 \ge \cdots \ge \beta_k > 0$, $1 > \beta_{i,1} \ge \cdots \ge \beta_{i,m_i} > 0$, $m_i \ge 2$ for all i, and $\beta > 0$ satisfy $\beta + \sum_{i=1}^k \beta_i + \sum_{i=1}^\ell \sum_{j=1}^{m_i} \beta_{j,m_j} = 1$. Let (B,ϕ) be either $(L(\mathbb{F}_t),\tau)$ for $t \in [1,\infty]$ or $(T_{H'},\varphi_{H'})$ for some non-trivial H'. Let H'' be the group generated by $\{\beta_{i,j}/\beta_{i,k}: 1 \le i \le \ell, 1 \le j, k, \le m_i\}$. Set $\gamma = \sum_{i=1}^n \frac{1-\beta_1}{\alpha_i}$ and let G be the group generated by H, H', and H'' (where we declare H' to be trivial if $B = L(\mathbb{F}_t)$). We have

$$\underbrace{M_n(\mathbb{C})}_{\alpha_1, \cdots, \alpha_n} * \left[\bigoplus_{i=1}^k \bigcup_{\beta_i}^{q_i} \oplus \bigoplus_{i=1}^\ell M_{m_i}(\mathbb{C}) \oplus (B, \phi) \right] \cong \begin{cases} (A, \varphi) & \text{if } \gamma \geq 1 \\ (A, \varphi) \oplus M_n(\mathbb{C}) & \text{if } \gamma < 1 \end{cases}$$

where (A, φ) is an interpolated free group factor if G is trivial, and is (T_G, φ_G) otherwise. Here, $\overline{\gamma_i} = \alpha_i(1 - \gamma)$, and $\overline{e}_{i,i} \leq q_1 \wedge e_{i,i}$.

Theorem 2.11. Let (A, ϕ) and (B, ψ) be finite-dimensional von Neumann algebras (both with dimension at least two) equipped with faithful states ϕ and ψ . Assume that at least one of ϕ or ψ is not a trace, and that up to unitary conjugation,

$$(A,\phi) = \bigoplus_{i=1}^n M_{k_i}(\mathbb{C}) \atop M_{i,1},\cdots,\alpha_{i,k_i} \qquad and \qquad (B,\psi) = \bigoplus_{j=1}^m M_{\ell_j}(\mathbb{C}) \atop M_{\ell_j}(\mathbb{C}).$$

Let H be the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} . Then

$$(A,\phi)*(B,\psi)=(T_H,\varphi_H)\oplus C$$

where C is finite-dimensional (possibly zero). The central summands of C are determined exactly as in [5] as follows: C can only be nonzero if either $k_i = 1$ for some i or $\ell_j = 1$

for some j. If $k_i = 1$ for some i, then a nonzero central summand appears if and only if there is an index j, satisfying $\gamma := \sum_{l=1}^{\ell_j} \frac{1-\alpha_{i,1}}{\beta_{j,l}} < 1$. This central summand is

$$\overline{q_1}, \cdots \overline{q_{\ell_j}}$$
 $M_{\ell_j}(\mathbb{C})$
 $\gamma_1, \cdots, \gamma_{\ell_j}$

where $\gamma_k = \beta_{j,k}(1-\gamma)$ and $\overline{q_k} \leq p_{i,1} \wedge q_{j,k}$. An analogous remark holds for $\ell_j = 1$.

Proof. Let Z(A) and Z(B) denote the centers of A and B respectively. If Z(A) and Z(B) are both one-dimensional, then this result is simply Proposition 2.6. If only one of the two is one-dimensional, say Z(A), then first compute $(A, \phi) * (Z(B), \psi)$ using Proposition 2.7. Let B have minimal central projections q_1, \dots, q_m . For $j = 0, 1, \dots, m$, set

$$(\mathcal{M}_j,\varphi) := (A,\phi) * \left[\bigoplus_{k=1}^j M_{\ell_k}(\mathbb{C}) \oplus \mathbb{C}^{q_{j+1}} \oplus \cdots \oplus \mathbb{C}^{q_m} \right].$$

Thus we have a chain of inclusions:

$$A * Z(B) = \mathcal{M}_0 \subset \mathcal{M}_1 \subset \cdots \subset \mathcal{M}_m = A * B.$$

At each step, we use Lemmas 1.4 and 1.7 and either Proposition 2.8 or 2.9 to compute $(q_j \mathcal{M}_j q_j, \varphi^{q_j})$. Lemma 1.4 keeps track of the central support of q_j , which by induction will be in $(A * B)^{\varphi}$, and Lemma 1.7 (or the amplification formula) determines each (\mathcal{M}_j, φ) , including $(A, \phi) * (B, \psi)$.

If Z(A) and Z(B) both have dimension at least two, let their minimal projections be p_1, \dots, p_n , and q_1, \dots, q_m , respectively. We first compute $(Z(A), \phi) * (Z(B), \psi)$ using [2]. Then for $i = 0, 1, \dots, n$ and $j = 0, 1, \dots, m$, set

$$(\mathcal{M}_{i,j},\varphi) := \left[\bigoplus_{\ell=1}^{i} M_{k_i}(\mathbb{C}) \oplus \mathbb{C} \oplus \mathbb{C} \oplus \mathbb{C} \oplus \cdots \oplus \mathbb{C} \right] * \left[\bigoplus_{k=1}^{j} M_{\ell_k}(\mathbb{C}) \oplus \mathbb{C} \oplus \cdots \oplus \mathbb{C} \right].$$

Here we have many chains of inclusions to potentially examine, e.g.

$$Z(A)*Z(B)=\mathcal{M}_{0,0}\subset\mathcal{M}_{1,0}\subset\cdots\subset\mathcal{M}_{n,0}\subset\mathcal{M}_{n,1}\subset\cdots\subset\mathcal{M}_{n,m}=A*B.$$

We may consider any chain which increments exactly one index by one at each step. We again use Lemmas 1.4 and 1.7 and either Proposition 2.8, 2.9, or 2.10 to compute $(q_j \mathcal{M}_{i,j} q_j, \varphi^{q_j})$ and/or $(p_i \mathcal{M}_{i,j} p_i, \varphi^{p_i})$ inductively. Lemma 1.4 keeps track of the central support of q_i , which by induction will be in $(A * B)^{\varphi}$, Lemma 1.7 (or the amplification formula), determines each $(\mathcal{M}_{i,j}, \varphi)$, including hence $(A, \varphi) * (B, \psi)$. \square

2.4. Computing $\mathcal{B}(\mathcal{H}) * [\mathbb{C} \oplus \mathbb{C}]$

We can fairly easily extend the above result to allow finite direct sums of all separable type I factors, but to do so we must first compute $\mathcal{B}(\mathcal{H}) * [\mathbb{C} \oplus \mathbb{C}]$ when $\mathcal{B}(\mathcal{H})$ is equipped with an arbitrary faithful normal state ϕ . We require slightly more general modular inclusions than we have considered so far.

Proposition 2.12. Let $i_1, i_2 : M_n(\mathbb{C}) \oplus \mathbb{C} \to (A, \phi)$ be two modular inclusions, and assume that A^{ϕ} is a factor. Then there exists a unitary $u \in A^{\phi}$ conjugating i_1 to i_2

Proof. Since A^{ϕ} is a factor and the inclusions are modular (in particular state-preserving), there exist partial isometries $v, w \in A^{\phi}$ satisfying

$$v^*v = i_1(e_{11})$$
 $vv^* = i_2(e_{11})$
 $w^*w = i_1(p)$ $ww^* = i_2(p)$

It is easy to check that $u = i_2(p)wi_1(p) + \sum_{i=1}^n i_2(e_{i1})vi_1(e_{1i})$ does the job. \square

The next proposition follows directly from the proof of [7, Theorem 4.3].

Proposition 2.13. Assume that $1 \ge \alpha_1 \ge \alpha_2 \ge \cdots \ge \alpha_n > 0$ with at least one inequality amongst the α 's strict and let $H = \langle \frac{\alpha_i}{\alpha_j} : 1 \le i, j \le n \rangle$. Let $\gamma > 0$. Let $\beta \in (0,1)$ be such that

$$\begin{bmatrix} M_n(\mathbb{C}) \oplus \mathbb{C} \\ \alpha_1, \cdots, \alpha_n \end{bmatrix} * \begin{bmatrix} \mathbb{C} \oplus \mathbb{C} \\ \beta \end{bmatrix}$$

is a factor (necessarily (T_H, φ_H)). If (A, ϕ) and (B, ψ) are von Neumann algebras with faithful states accepting the modular inclusions

$$M_n(\mathbb{C}) \oplus \mathbb{C} \hookrightarrow (A, \phi)$$
 and $\mathbb{C} \oplus \mathbb{C} \to (B, \psi),$
 $\alpha_1, \dots, \alpha_n \mapsto (B, \psi),$

then
$$(A, \phi) * (B, \psi) \cong (A, \phi) * (B, \psi) * (T_H, \varphi_H).$$

Assume that \mathcal{H} is a separable infinite-dimensional Hilbert space, and ϕ a faithful normal state on $\mathcal{B}(\mathcal{H})$. Recall that we can assume that—after conjugating by a unitary—there is a set of matrix units $\{e_{i,j}\}$ satisfying $\phi(e_{i,j}) = \delta_{i,j}\alpha_i$, where $\alpha_i > 0$ and $\sum_{i=1}^{\infty} \alpha_i = 1$.

Theorem 2.14. Let \mathcal{H} be a separable infinite-dimensional Hilbert space. Let ϕ be a faithful normal state on $\mathcal{B}(\mathcal{H})$ such that $\phi(e_{i,j}) = \delta_{i,j}\alpha_i$ for matrix units $\{e_{i,j}\}_{i,j\in\mathbb{N}}$ and $\alpha_i > 0$. If $\beta \in (0,1)$, then

$$(\mathcal{B}(\mathcal{H}), \phi) * \left[\mathbb{C} \oplus \mathbb{C} \right] \cong (T_H, \varphi_H)$$

where $H = \langle \frac{\alpha_i}{\alpha_i} : i, j \in \mathbb{N} \rangle$.

Proof. For each $n \in \mathbb{N}$, $(\mathcal{B}(\mathcal{H}), \phi)$ accepts a modular inclusion of

$$(A,\psi) := \mathop{M_n(\mathbb{C})}_{\alpha_1,\cdots,\alpha_n}^{e_{11},\cdots,e_{nn}} \oplus \mathop{\mathbb{C}}_{\gamma},$$

where $\gamma = 1 - (\alpha_1 + \dots + \alpha_n)$. Choose n large enough so that

$$(A,\psi)*\left[\mathop{\mathbb{C}}_{\beta}\oplus\mathop{\mathbb{C}}_{1-\beta}\right]$$

is a factor and so that for some $i, j \leq n$, $\frac{\alpha_i}{\alpha_j} \neq 1$. Let $H' = \langle \frac{\alpha_i}{\alpha_j} : 1 \leq i, j \leq n \rangle$. It follows from Propositions 2.13 and 1.1 that

$$(\mathcal{B}(\mathcal{H}), \phi) * \left[\mathbb{C}_{\beta} \oplus \mathbb{C}_{1-\beta} \right] \cong (\mathcal{B}(\mathcal{H}), \phi) * \left[\mathbb{C}_{\beta} \oplus \mathbb{C}_{1-\beta} \right] * (T_{H'}, \varphi_{H'})$$

$$\cong (\mathcal{B}(\mathcal{H}), \phi) * L(\mathbb{Z}) * (T_{H'}, \varphi_{H'})$$

$$\cong (T_{H}, \varphi_{H}) * (T_{H'}, \varphi_{H'})$$

$$\cong (T_{H}, \varphi_{H})$$

as claimed.

2.5. Free products of finite direct sums of type I factors

Using the results of Proposition 2.13 and Theorem 2.14, one can prove the following proposition in exactly the same manner as Propositions 2.6, 2.7, 2.8, and 2.9.

Proposition 2.15.

(i) Let A and B be separable type I factors, at least one of which is infinite-dimensional, equipped with faithful normal states, ϕ and ψ respectively. Then

$$(A,\phi)*(B,\psi)\cong (T_H,\varphi_H)$$

where H is the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} .

(ii) Let \mathcal{H} be a separable and infinite-dimensional Hilbert space, and let ϕ be a faithful normal state on $\mathcal{B}(\mathcal{H})$. Then

$$(\mathcal{B}(\mathcal{H}), \phi) * \left[\underset{\alpha_1}{\mathbb{C}} \oplus \cdots \oplus \underset{\alpha_n}{\mathbb{C}} \right] \cong (T_H, \varphi_H)$$

where H is the group generated by the point spectrum of Δ_{ϕ} .

(iii) Let \mathcal{H} be a separable and infinite-dimensional Hilbert space, and let ϕ be a faithful normal state on $\mathcal{B}(\mathcal{H})$. Let (B, ψ) be either $(L(\mathbb{F}_t), \tau)$ for $t \geq 1$ or (T_H, φ_H) for some non-trivial H. Let $1 > \alpha_1 \geq \alpha_2 \geq \cdots \geq \alpha_n > 0$, $n \geq 1$, and $\alpha > 0$. Then

$$(\mathcal{B}(\mathcal{H}), \phi) * \left[M_n(\mathbb{C}) \oplus (B, \psi) \atop \alpha_1, \dots, \alpha_n \right] \cong (T_G, \varphi_G)$$

where G is the group generated by Δ_{ϕ} , Δ_{ψ} , and $\left\langle \frac{\alpha_i}{\alpha_j} : 1 \leq i, j \leq n \right\rangle$.

The next theorem follows from Proposition 2.15 and the proof of Theorem 2.11.

Theorem 2.16. Let (A, ϕ) and (B, ψ) be finite direct sums of separable type I factors with faithful normal states ϕ and ψ respectively, both of which are at least two-dimensional. Assume that at least one of ϕ or ψ is not a trace, and that up to unitary conjugation,

$$(A,\phi) = \bigoplus_{i=1}^{n_0} M_{k_i}(\mathbb{C}) \oplus \bigoplus_{i=1}^{n_1} (\mathcal{B}(\mathcal{H}_i), \phi_i) \quad and$$

$$(B,\psi) = \bigoplus_{j=1}^{m_0} M_{\ell_j}(\mathbb{C}) \oplus \bigoplus_{j=1}^{m_1} (\mathcal{B}(\mathcal{K}_j), \psi_i),$$

where the \mathcal{H}_i and \mathcal{K}_j are infinite-dimensional. Let G be the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} . Then

$$(A, \phi) * (B, \psi) = (T_G, \varphi_G) \oplus C,$$

where C is finite-dimensional (possibly zero), and is determined exactly as in Theorem 2.11.

3. Standard embeddings and inductive limits

In [5], the notion of "free subcomplementation" of $(A, \varphi) \hookrightarrow (B, \varphi)$ was defined and used to prove Dykema's theorem on the structure of free products of inductive limits of finite-dimensional von Neumann algebras. In order to identify the type III summand of such a free product with an almost periodic free Araki–Woods factor, the notion of a standard embedding along the lines of [2] is needed for almost periodic free Araki–Woods factors.

3.1. Hyperfinite matricial models

Lemma 3.1. Let R be the hyperfinite Π_1 factor, and let $\{y_i\}_{i\in\mathbb{N}}$ be a free family of generalized circular elements free from R. Let y_i have parameter $\lambda_i \leq 1$, $H = \langle \lambda_i : i \in \mathbb{N} \rangle$, and

define $(M, \varphi) = (W^*(R \cup \{y_i\}_{i \in \mathbb{N}}), \tau * \varphi_H)$. Suppose p_1, \dots, p_n are equivalent projections in R, and for $j = 1, \dots, n$ let $u_j \in R$ satisfy $u_1 = p_1$, $u_j^* u_j = p_1$, and $u_j u_j^* = p_j$. Then $\{u_j^* y_i u_k : i \in \mathbb{N}, j, k = 1, \dots, n\}$ is a free family of generalized circular elements (with respective parameters λ_i) in $(p_1 \mathcal{M} p_1, \varphi^{p_1})$, which is also free from $p_1 R p_1$.

Proof. We first recall Shlyakhtenko's matricial model (see [10, Section 5]). Let \mathcal{H} be an infinite-dimensional Hilbert space, let $\mathcal{F}(\mathcal{H})$ denote the full Fock space over \mathcal{H} , and let $N \in \mathbb{N}$. Equip

$$\mathcal{B}(\mathcal{F}(\mathcal{H})) \otimes M_N(\mathbb{C})$$

with the state $\omega \otimes \text{tr.}$ Let $\{\xi_{j,k}^i, \eta_{j,k}^i : i \in \mathbb{N}, j, k = 1, ..., N\}$ be an orthonormal family in \mathcal{H} . For each $i \in \mathbb{N}$ define

$$Y_i := \frac{1}{\sqrt{N}} \sum_{j,k=1}^{N} \left[\ell(\xi_{j,k}^i) + \sqrt{\lambda_i} \ell(\eta_{k,j}^i)^* \right] \otimes e_{j,k}$$

Then $\{Y_i\}_{i\in\mathbb{N}}$ is a free family and free from $M_N(\mathbb{C})$ with respect to $\omega\otimes \operatorname{tr}$, and $(Y_i,\omega\otimes \operatorname{tr})\sim_d(y_i,\varphi_H)$ where \sim_d means equality in moments.

We first assume $\tau(p_1) = \frac{a}{b} \in \mathbb{Q} \setminus \{1\}$. Let

$$P_1 := e_{1,1} + \dots + e_{a,a} \in M_b(\mathbb{C})$$

Denote $U_1 := P_1$ and for j = 2, ..., n set

$$U_j := e_{(j-1)a+1,1} + e_{(j-1)a+2,2} + \dots + e_{ja,a}.$$

Note that $U_i^*U_j = P_1$, and $\{U_jU_i^*\}_{i=1}^n$ are orthogonal.

Now, for $d \in \mathbb{N}$, let $\{Y_i^{(d)}\}_{i \in \mathbb{N}}$ be as above for $N = b^d$. Let $P_1^{(d)} = P_1 \otimes I_{b^{d-1}} \in M_{b^d}(\mathbb{C})$, and similarly define $U_j^{(d)}$ for $j = 1, \ldots, n$. By mutual orthogonality of $\{\xi_{j,k}^i, \eta_{j,k}^i\}$, we have that $\{(U_j^{(d)})^*Y_i^{(d)}U_k^{(d)}: i \in \mathbb{N}, j, k = 1, \ldots, n\}$ is a free family of generalized circular elements (with respective parameters λ_i), which is also free from $P_1^{(d)}M_{b^d}(\mathbb{C})P_1^{(d)}$. Let $A \in M_{b^d}(\mathbb{C})$. It follows that $(P_1AP_1, \{(U_j^{(d)})^*Y_i^{(d)}U_k^{(d)}: i \in \mathbb{N}, j, k = 1, \ldots, n\})$ converges in moments to $(p_1Ap_1, \{u_j^*y_iu_k: i \in \mathbb{N}, j, k = 1, \ldots, n\})$ in (p_1Mp_1, φ^{p_1}) where we picture $p_1Ap_1 \in \bigotimes_{i=1}^{\infty} M_b(\mathbb{C})$, an ultra-weakly dense *-subalgebra of R. By freeness and ultra-weak continuity, it follows that p_1Rp_1 is free from $\{u_j^*y_iu_k: i \in \mathbb{N}, j, k = 1, \ldots, n\}$ in (p_1Mp_1, φ^{p_1}) , and moreover, the latter set is a free family of generalized circular elements with respective parameters λ_i .

Next, we assume $\tau(p_1)$ is irrational. Let $\{q_m\}_{m\in\mathbb{N}}\subset\mathbb{Q}$ be a sequence converging to $\tau(p_1)$ from below. For $j=1,\ldots,n$, let $(u_j^{(m)})_{m\in\mathbb{N}}\subset R$ be a sequence converging *-strongly to u_j and satisfying:

•
$$\tau((u_j^{(m)})^*u_j^{(m)}) = q_m;$$

- $p_1^{(m)} := (u_1^{(m)})^* u_1^{(m)} = \dots = (u_n^{(m)})^* u_n^{(m)};$ $u_1^{(m)} (u_1^{(m)})^*, \dots, u_n^{(m)} (u_n^{(m)})^*$ are mutually orthogonal.

Then from our treatment of the rational case, we have that for each $m \in \mathbb{N}$, $\{(u_i^{(m)})^*y_iu_k^{(m)}: i \in \mathbb{N}, j, k = 1, \dots, n\}$ is a free family of generalized circular elements (with respective parameters λ_i) which are free from $p_1^{(m)}Rp_1^{(m)}$ in $(p_1^{(m)}\mathcal{M}p_1^{(m)},\varphi^{p_1^{(m)}})$. Since *-strong convergence implies convergence in moments, we obtain the desired result. \square

Lemma 3.2. Let R be the hyperfinite II_1 factor, (y_1, y_2) a free pair of generalized circular elements of parameter $\lambda \leq 1$ that free from R, and p a nonzero projection in R. Then:

- $py_1 + (1-p)y_2$ is a generalized circular element of parameter λ and free from R;
- $y_1p + y_2(1-p)$ is a generalized circular element of parameter λ and free from R;
- $py_1p + (y_2 py_2p)$ is a generalized circular element of parameter λ and free from R.

Proof. We will treat the first claim, as the others follow by the same techniques. As in the previous lemma, we first consider the case when $\tau(p) = \frac{a}{b} \in \mathbb{Q} \setminus \{1\}$. Let

$$P := e_{1,1} + \cdots + e_{a,a}$$
.

For $d \in \mathbb{N}$, let $\{Y_1^{(d)}, Y_2^{(d)}\}$ be as in Shlyakhtenko's matricial model for $N = b^d$:

$$Y_{i}^{(d)} = \frac{1}{\sqrt{b^{d}}} \sum_{j,k=1}^{b^{d}} \left[\ell(\xi_{j,k}^{i}) + \sqrt{\lambda} \ell(\eta_{j,k}^{i})^{*} \right] \otimes e_{j,k}$$

Let $P_1^{(d)}=P_1\otimes I_{b^{d-1}}\in M_{b^d}(\mathbb{C})$. Then by mutual orthogonality of the $\{\xi^i_{j,k},\eta^i_{j,k}\}$ we have that $P_1^{(d)}Y_1^{(d)} + (1-P_1^{(d)})Y_2^{(d)}$ is a generalized circular element of parameter λ which is free from $M_{b^d}(\mathbb{C})$. Let $A \in M_{b^d}(\mathbb{C})$. It follows that the moments of $(A, P_1^{(d)}Y_1^{(d)} + (1 P_1^{(d)}Y_2^{(d)}$ converge to the moments of $(A, py_1 + (1-p)y_2)$ where as above, we picture p and A in $\bigotimes_{n=1}^{\infty} M_b(\mathbb{C})$. By ultra-weak continuity, it follows that $py_1 + (1-p)y_2$ is a generalized circular element of parameter λ which is free from R. For the case $\tau(p)$ is irrational, we proceed exactly as in the previous lemma.

Proposition 3.3. Let R be the hyperfinite Π_1 factor, and let p be a non-trivial projection in R. Let y be a generalized circular element with parameter $\lambda \in (0,1)$, free from R. Then

$$W^*(R \cup \{pyp\}) \cong W^*(R \cup \{y\}).$$

Moreover, this isomorphism is state-preserving and restricts to the identity on R.

Proof. We first note that if $\{y_i\}_{i\in\mathbb{N}}$ is a countably infinite family of freely independent generalized circular elements all of parameter λ , then

$$W^*(R \cup \{y_i\}_{i \in \mathbb{N}}) \cong W^*(R \cup \{y\}),$$

on account of $(T_{\lambda}, \varphi_{\lambda}) \cong \underset{i \in \mathbb{N}}{\bigstar} (T_{\lambda}, \phi_{\lambda})$, and of course this isomorphism is the identity on R

Let $a \in \mathbb{N}$ such that $a < \frac{1}{\tau(p)} \le a+1$. Let p_1, \ldots, p_{a+1} be orthogonal projections in R summing to 1 such that $p_1 := p, p_2, \ldots, p_a$ are equivalent to p_1 , and $p_{a+1} \le p_1$. Let $u_1, \ldots, u_{a+1} \in R$ be partial isometries implementing these (sub)equivalences such that $u_1^*u_j \le p_1$. Observe that

$$\mathcal{M} := W^*(R \cup \{y_i\}_{i \in \mathbb{N}}) = W^*(R \cup \{u_i^* y_i u_k : i \in \mathbb{N}, j, k = 1, \dots, a + 1\}).$$

By Lemma 3.1, $\{u_j^*y_iu_k: i \in \mathbb{N}, j, k = 1, ..., a\}$ is a free family of generalized circular elements with parameter λ , which is free from p_1Rp_1 .

Let $q_1 := u_{a+1}^* u_{a+1} \le p_1$. Let

$$t := \frac{(a+1)\tau(p_1)}{a\tau(p_1) + \tau(q_1)}.$$

Consider the amplification R^t of R. Let $P \in R^t$ be a projection such that we can identify R with PR^tP . By Lemma 3.1, we can find a free family $\{y_i^{(t)}\}_{i\in\mathbb{N}}$ of generalized circular elements of parameter λ that are free from R^t , and which satisfy $Py_i^{(t)}P = y_i$ for each $i \in \mathbb{N}$. Let $p_{a+1}^{(t)} \in R^t$ satisfy $p_{a+1}^{(t)} = 1 - (p_1 + \dots + p_a)$. By our choice of t, $p_{a+1}^{(t)}$ is equivalent to p_1 , so let $u_{a+1}^{(t)} \in R^t$ be a partial isometry implementing this equivalence (with $(u_{a+1}^{(t)})^*u_{a+1}^{(t)} = p_1$). Moreover, we can choose this partial isometry so that $u_{a+1}^{(t)}q_1 = u_{a+1}$. Consequently, for $i \in \mathbb{N}$ and $j, k = 1, \dots, n$ we have

$$u_{a+1}^* y_i u_k = q_1 (u_{a+1}^{(t)})^* y_i^{(t)} u_k$$

$$u_j^* y_i u_{a+1} = u_j^* y_i^{(t)} u_{a+1}^{(t)} q_1$$

$$u_{a+1}^* y_i u_{a+1} = q_1 (u_{a+1}^{(t)})^* y_i^{(t)} u_{a+1}^{(t)} q_1$$
(3)

Note that by Lemma 3.1,

$$\{u_i^* y_i^{(t)} u_k, (u_{a+1}^{(t)})^* y_i^{(t)} u_k, u_i^* y_i^{(t)} u_{a+1}^{(t)}, (u_{a+1}^{(t)})^* y_i^{(t)} u_{a+1}^{(t)} \colon i \in \mathbb{N}, \ j, k = 1, \dots, a\}$$

is a free family of generalized circular elements of parameters λ that is free from $p_1 R^t p_1 = p_1 R p_1$ in $(p_1 \mathcal{M} p_1, \varphi^{p_1})$.

Now, let

$$\alpha: \mathbb{N} \times \mathbb{N} \times \{\ell, r, c\} \to \mathbb{N} \times \{1, \dots, a\} \times \{1, \dots, a\}$$

$$\beta \colon \mathbb{N} \to \mathbb{N} \times \{1, \dots, a\}$$

be bijections. If $\alpha(s,t,\epsilon)=(i,j,k)$ for $s,t\in\mathbb{N}$ and $\epsilon\in\{\ell,r,c\}$, then set

$$y_{s,t}^{\epsilon} := u_i^* y_i u_k.$$

If $\beta(s) = (i, j)$ for $s \in \mathbb{N}$ set

$$y_{s,0}^{\ell} := u_j^* y_i u_{a+1}$$
 and $y_{s,0}^r := u_{a+1}^* y_i u_j$.

Finally, set $y_{s,0}^c := u_{a+1}^* y_s u_{a+1}$ for each $s \in \mathbb{N}$. With this notation, we therefore have

$$\mathcal{M} = W^*(R, \{y_{s,t}^{\epsilon} : \epsilon \in \{\ell, r, c\}, s \in \mathbb{N}, t \in \mathbb{N}_0\}).$$

For $(s, t, \epsilon) \in \mathbb{N} \times \mathbb{N}_0 \times \{\ell, r, c\}$, define

$$z_{s,t}^{\epsilon} := \begin{cases} y_{s,t}^{\ell} q_1 + y_{s,t+1}^{\ell} (p_1 - q_1) & \text{if } \epsilon = \ell \\ q_1 y_{s,r}^r + (p_1 - q_1) y_{s,t+1}^r & \text{if } \epsilon = r \\ q_1 y_{s,t}^c q_1 + \left[y_{s,t+1}^c - q_1 y_{s,t+1}^c q_1 \right] & \text{if } \epsilon = c \end{cases}$$

Using Equations (3) and Lemma 3.2, it follows that $\{z_{s,t}^{\epsilon}: \epsilon \in \{\ell, r, c\}, s \in \mathbb{N}, t \in \mathbb{N}_0\}$ is a free family of generalized circular elements with parameter λ which are free from p_1Rp_1 in $(p_1\mathcal{M}p_1, \varphi^{p_1})$. We also note that

$$\mathcal{M} = W^*(R, \{z_{s,t}^{\epsilon} : \epsilon \in \{\ell, r, c\}, s \in \mathbb{N}, t \in \mathbb{N}_0\}).$$

Now, observe that by freeness and $(T_{\lambda}, \varphi_{\lambda}) \cong \underset{i \in \mathbb{N}}{\bigstar} (T_{\lambda}, \phi_{\lambda})$

$$p_1 \mathcal{M} p_1 = W^*(p_1 R p_1, \{z_{s,t}^{\epsilon} : \epsilon \in \{\ell, r, c\}, \ s \in \mathbb{N}, \ t \in \mathbb{N}_0\}) \cong W^*(p_1 R p_1, z),$$

where $z = p_1 z p_1$ is a generalized circular element of parameter λ that is free from $p_1 R p_1$, and this state-preserving isomorphism restricts to the identity on $p_1 R p_1$. Call this isomorphism γ . We then define $\tilde{\gamma} \colon \mathcal{M} \to W^*(R, z)$ by

$$\tilde{\gamma}(x) = \sum_{j,k=1}^{n} u_j \gamma(u_j^* x u_k) u_k^*.$$

It is easy to check that this is state-preserving and restricts to the identity on R. Finally, to complete the proof, we appeal to Lemma 3.1 once more in order to realize $z = p_1 Z p_1$ for some generalized circular element with parameter λ which is free from R. Hence $\mathcal{M} \cong W^*(R, p_1 Z p_1)$ via a state-preserving isomorphism that restricts to the identity on R. \square

Suppose $H < \mathbb{R}_+$ is non-trivial and has two generating sets: $(\lambda_i)_{i \in I}$ and $(\lambda_{i'})_{i \in I'}$. Let $(y_i)_{i \in I}$ be a free generalized circular family free from R with each y_i having parameter λ_i . Similarly, let $(y_i')_{i' \in I'}$ be a free generalized circular family free from R with each $y_{i'}$ having parameter $\lambda_{i'}$. Since there is an isomorphism $W^*(R \cup (y_i)_{i \in I}) \cong W^*(R \cup (y_{i'})_{i' \in I'})$ which is the identity on R, we obtain the following corollary:

Corollary 3.4. Suppose $H < \mathbb{R}_+$ is non-trivial and has two generating sets: $(\lambda_i)_{i \in I}$ and $(\lambda_{i'})_{i \in I'}$. Let J be a set disjoint from I and I', let $\lambda_j \in (0,1)$ for each $j \in J$, and let H' be the group generated by H and $(\lambda_j)_{j \in J}$. Let $(y_i)_{i \in I \cup J}$ be a free circular family free from R with each y_i having parameter λ_i . Similarly, let $(y_i')_{i' \in I' \cup J}$ be a free circular family free from R with each $y_{i'}$ having parameter $\lambda_{i'}$. Let $(p_k)_{k \in I \cup I' \cup J}$ be a family of nonzero projections in R. Then $W^*(R \cup (p_i y_i p_i)_{i \in I \cup J}) \cong W^*(R \cup (p_{i'} y_{i'} p_{i'})_{i' \in I' \cup J})$ via a mapping which is the identity on $W^*(R, (p_i y_j p_j)_{i \in J})$.

Recall from [3] that Dykema's construction of the interpolated free group factors $L(\mathbb{F}_t)$ consisted of the following ingredients

- The hyperfinite II_1 factor R;
- A family of projections $(p_s)_{s \in S}$ in R;
- A free semicircular family $(x_s)_{s \in S}$.

The interpolated free group factor with parameter t is given by

$$L(\mathbb{F}_t) := W^*(R \cup (p_s x_s p_s)_{s \in S})$$

where $t = 1 + \sum_{s \in S} \tau(p_s)^2$. It should be noted that if $(x_{s'})_{s' \in S'}$ is another free semicircular family free from R and $(p_{s'})_{s' \in S}$ are any projections in R satisfying $t = 1 + \sum_{s' \in S'} \tau(p_{s'})^2$, then $W^*(R, (p_s x_s p_s)_{s \in S})$ and $W^*(R, (p_{s'} x_{s'} p_{s'})_{s' \in S'})$ are isomorphic via an isomorphism which is the identity on R.

The presence of generalized circular elements with non-trivial parameters eliminates (via free absorption) the need for a fixed value of $\sum_{s' \in S'} \tau(p_{s'})^2$. We thus have the following:

Proposition 3.5. Let I and I' be disjoint sets which are finite or countable, $\lambda_i \in (0,1)$ for each $i \in I \cup I'$, and $H := \langle \lambda_i : i \in I \rangle = \langle \lambda_{i'} : i' \in I' \rangle$. Let S, S', and T be finite or countable (possibly empty) disjoint sets. Assume that we are given the following:

- Families of nonzero projections $(p_s)_{s\in S}$, $(p_{s'})_{s'\in S'}$, $(p_t)_{t\in T}$, $(q_i)_{i\in I}$, and $(q_{i'})_{i'\in I'}$ in R:
- A free semicircular family $(x_s)_{s \in S}$ free from R;
- A free semicircular family $(x_{s'})_{s \in S'}$ free from R;
- A free semicircular family $(x_t)_{t\in T}$ free from $R\cup (x_s)_{s\in S}\cup (x_{s'})_{s'\in S'}$;

- A free family $(y_i)_{i \in I}$ of generalized circular elements with respective parameters λ_i that is free from $R \cup (x_s)_{s \in S \cup T}$;
- A free family $(y_{i'})_{i' \in I'}$ of generalized circular elements with respective parameters $\lambda_{i'}$ that is free from $R \cup (x_{s'})_{s' \in S' \cup T}$.

Then

$$W^*(R \cup (p_s x_s p_s)_{s \in S \cup T} \cup (q_i y_i q_i)_{i \in I}) \cong W^*(R \cup (p_{s'} x_{s'} p_{s'})_{s' \in S' \cup T} \cup (q_{i'} y_{i'} q_{i'})_{i' \in I'})$$

via a state-preserving isomorphism which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T})$.

Proof. From the proof of Proposition 3.3, we see that there is a state-preserving isomorphism

$$W^{*}(R \cup (p_{t}x_{t}p_{t})_{t \in T} \cup (p_{s}x_{s}p_{s})_{s \in S} \cup (q_{i}y_{i}q_{i})_{i \in I})$$

$$\cong W^{*}(R \cup (p_{t}x_{t}p_{t})_{t \in T} \cup (p_{s}x_{s}p_{s})_{s \in S} \cup (y_{i})_{i \in I}),$$

which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (p_s x_s p_s)_{s \in S})$. Let T' be a countably infinite set disjoint from S, S', and T, and $(x_{t'})_{t' \in T'}$ a free semicircular family free from $R \cup (x_t)_{t \in T} \cup (x_s)_{s \in S} \cup (x_{s'})_{s' \in S'} \cup (y_i)_{i \in I} \cup (y_{i'})_{i' \in I'}$. By free absorption, there is a state-preserving isomorphism

$$W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (p_s x_s p_s)_{s \in S} \cup (y_i)_{i \in I})$$

$$\cong W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (p_s x_s p_s)_{s \in S} \cup (y_i)_{i \in I} \cup (x_{t'})_{t' \in T'})$$

which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (p_s x_s p_s)_{s \in S})$. Via Dykema's cutting and pasting argument [3], there is a state-preserving isomorphism

$$W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (p_s x_s p_s)_{s \in S} \cup (y_i)_{i \in I} \cup (x_{t'})_{t' \in T'})$$

$$\cong W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (y_i)_{i \in I} \cup (x_{t'})_{t' \in T'})$$

which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (y_i)_{i \in I})$. Finally, by uniqueness of (T_H, φ_H) , there is a state-preserving isomorphism

$$W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (y_i)_{i \in I} \cup (x_{t'})_{t' \in T'})$$

$$\cong W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (y_{i'})_{i' \in I'}, (x_{t'})_{t' \in T'})$$

which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (x_{t'})_{t' \in T'})$. Composing these isomorphisms gives a state-preserving isomorphism

$$W^{*}(R \cup (p_{t}x_{t}p_{t})_{t \in T} \cup (p_{s}x_{s}p_{s})_{s \in S} \cup (q_{i}y_{i}q_{i})_{i \in I})$$

$$\cong W^{*}(R \cup (p_{t}x_{t}p_{t})_{t \in T} \cup (y_{i'})_{i' \in I'} \cup (x_{t'})_{t' \in T'})$$

which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T})$. Similarly, there is a state-preserving isomorphism

$$W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (p_{s'} x_{s'} p_{s'})_{s' \in S'} \cup (q_{i'} y_{i'} q_{i'})_{i' \in I'})$$

$$\cong W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (y_{i'})_{i' \in I'} \cup (x_{t'})_{t' \in T'})$$

which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T})$. This completes the proof. \square

Remark 3.6. Note that $W^*(R, (p_t x_t p_t)_{t \in T}, (p_s x_s p_s)_{s \in S}, (q_i y_i q_i)_{i \in I})$ equipped with the free product state $\tau * \varphi_H$ is isomorphic to (T_H, φ_H) .

Using freeness of the x's from the y's and Corollary 3.4, we can upgrade Proposition 3.5 to the following corollary which will be useful for our notions of standard embeddings below.

Corollary 3.7. Let I, I', and J be disjoint sets which are finite or countable, and let $\lambda_i \in (0,1)$ for each $i \in I \cup I' \cup J$ and $H := \langle \lambda_i : i \in I \cup J \rangle = \langle \lambda_{i'} : i' \in I' \cup J \rangle$. Let S, S', and T be finite or countable (possibly empty) disjoint sets. Assume that we are given the following:

- Families of nonzero projections $(p_s)_{s\in S}$, $(p_{s'})_{s'\in S'}$, $(p_t)_{t\in T}$, $(q_i)_{i\in I}$, $(q_{i'})_{i'\in I'}$, and $(q_j)_{j\in J}$ in R;
- A free semicircular family $(x_s)_{s\in S}$ free from R;
- A free semicircular family $(x_{s'})_{s \in S'}$ free from R;
- A free semicircular family $(x_t)_{t\in T}$ free from $R\cup (x_s)_{s\in S}\cup (x_{s'})_{s'\in S'}$;
- A free family $(y_i)_{i \in I}$ of generalized circular elements with respective parameters λ_i that is free from $R \cup (x_s)_{s \in S} \cup (x_t)_{t \in T}$;
- A free family $(y_{i'})_{i' \in I'}$ of generalized circular elements with respective parameters $\lambda_{i'}$ that is free from $R \cup (x_{s'})_{s' \in S'} \cup (x_t)_{t \in T}$;
- A free family $(y_j)_{j\in J}$ of generalized circular elements with respective parameters λ_j that is free from $R \cup (x_s)_{s\in S} \cup (x_{s'})_{s'\in S'} \cup (x_t)_{t\in T} \cup (y_i)_{i\in I} \cup (y_{i'})_{i'\in I'}$;

Then

$$W^*(R \cup (p_s x_s p_s)_{s \in S \cup T} \cup (q_i y_i q_i)_{i \in I \cup I''}) \cong W^*(R \cup (p_{s'} x_{s'} p_{s'})_{s' \in S' \cup T} \cup (q_{i'} y_{i'} q_{i'})_{i' \in I' \cup I''})$$

via a state-preserving isomorphism which is the identity on $W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (q_j y_j q_j)_{j \in J})$.

3.2. Standard embeddings

With our hyperfinite matricial model for (T_H, φ_H) , we will proceed as in Section 4 of [2] and develop the notion of a "standard embedding" of almost periodic free Araki–

Woods factors. After the definition, the proofs of Propositions 3.10, 3.11, and 3.12 follow the proofs in Section 4 of [2] very closely.

Definition 3.8. Let H and H' be non-trivial countable subgroups of \mathbb{R}^+ with $H \leq H'$. We say that a modular inclusion $\alpha: (T_H, \varphi_H) \to (T_{H'}, \varphi_{H'})$ is a **standard embedding** if there exist:

- Sets $I \subset I'$, $\lambda_i \in (0,1)$ for all $i \in I'$ such that $H = \langle \lambda_i : i \in I \rangle$, and $H' = \langle \lambda_{i'} : i' \in I' \rangle$;
- Sets $S \subset S'$, families of nonzero projections $(p_s)_{s \in S'}$ and $(q_i)_{i \in I'}$ in the hyperfinite II_1 factor R;
- A free family $\{x_s, y_i : s \in S', i \in I'\}$ free from R where x_s is a semicircular operator, and y_i is a generalized circular element with parameter λ_i ;
- State preserving isomorphisms

$$\beta: (T_H, \varphi_H) \to W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (q_i y_i q_i)_{i \in I})$$
$$\gamma: (T_{H'}, \varphi_{H'}) \to W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (q_i y_i q_i)_{i \in I'})$$

so that $\gamma \circ \alpha \circ \beta^{-1}$ is the canonical inclusion.

If $H = \{1\}$ (i.e. I is empty), then we replace (T_H, φ_H) with $L(\mathbb{F}_t, \tau)$. If I' is also empty then this is just a repetition of the definition of standard embedding in [2].

Before proving properties about standard embeddings, we need to show that the notion is independent of the generating sets of H and H', the projections p_s and q_i , the semicircular operators x_s , and the generalized circular elements y_i .

Proposition 3.9. Let H and H' be countable, non-trivial subgroups of \mathbb{R}^+ , and suppose that $\alpha: (T_H, \varphi_H) \to (T_{H'}, \varphi_{H'})$ is a standard embedding. Let $I \subset I'$, $(\lambda_i)_{i \in I'} \subset (0, 1)$, $S \subset S'$, $(p_s)_{s \in S'}$, $(q_i)_{i \in I'}$, $(x_s)_{s \in S'}$, $(y_i)_{i \in I'}$, β , and γ be as in Definition 3.8. Suppose:

- $J \subset J'$ are sets disjoint from I', and $(\lambda_j)_{j \in J'} \subset (0,1)$ are such that $H = \langle \lambda_j : j \in J \rangle$ and $H' = \langle \lambda_{j'} : j' \in J' \rangle$;
- $T \subset T'$ are sets disjoint from S', and $(p_t)_{t \in T'}$ and $(q_j)_{j \in J'}$ are families of nonzero projections in R;
- $\{x_t, y_j : t \in T', j \in J'\}$ is free from R where x_t is semicircular and y_j is a generalized circular element with parameter λ_j .

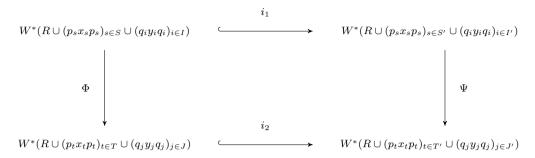
Then there exist state-preserving isomorphisms

$$\delta: (T_H, \varphi_H) \to W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (q_j y_j q_j)_{j \in J})$$

$$\epsilon: (T_{H'}, \varphi_{H'}) \to W^*(R \cup (p_t x_t p_t)_{t \in T'} \cup (q_j y_j q_j)_{j \in J'})$$

so that $\epsilon \circ \alpha \circ \delta^{-1}$ is the canonical inclusion.

Proof. It suffices to show there exist state-preserving isomorphisms Φ and Ψ so that the following diagram commutes:



where i_i and i_2 are the canonical inclusions. Indeed, given such isomorphisms we simply take $\delta := \Phi \circ \beta$ and $\epsilon := \Psi \circ \gamma$.

Using Corollary 3.7, there is a state-preserving isomorphism

$$\theta_1 \colon W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (q_i y_i q_i)_{i \in I'})$$

$$\downarrow$$

$$W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (p_t x_t p_t)_{t \in T' \setminus T} \cup (q_i y_i q_i)_{i \in I} \cup (q_j y_j q_j)_{j \in J' \setminus J}),$$

which is the identity on $W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (q_i y_i q_i)_{i \in I})$. There is also a state-preserving isomorphism

$$\theta_2: W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (p_t x_t p_t)_{t \in T' \setminus T} \cup (q_i y_i q_i)_{i \in I} \cup (q_j y_j q_j)_{j \in J' \setminus J})$$

$$\downarrow$$

$$W^*(R \cup (p_t x_t p_t)_{t \in T'} \cup (q_j y_j q_j)_{j \in J'})$$

which is the identity on $W^*(R \cup (p_{t'}x_{t'}p_{t'})_{t'\in T'\setminus T} \cup (q_{j'}y_{j'}q_{j'})_{j\in J'\setminus J})$. Set $\Psi := \theta_2 \circ \theta_1$, and set Φ to be the restriction of Ψ to $W^*(R \cup (p_sx_sp_s)_{s\in S} \cup (q_iy_iq_i)_{i\in I})$. By construction, the above diagram commutes. \square

Proposition 3.10.

- (i) Let $H_1 \leq H_2 \leq H_3$ be countable, non-trivial subgroups of \mathbb{R}_+ . If $\alpha_1 : (T_{H_1}, \varphi_{H_1}) \to (T_{H_2}, \varphi_{H_2})$ and $\alpha_2 : (T_{H_2}, \varphi_{H_2}) \to (T_{H_3}, \varphi_{H_3})$ are standard embeddings, then $\alpha_2 \circ \alpha_1$ is a standard embedding.
- (ii) Let $H_1 \leq H_2 \leq H_3 \leq \cdots \leq H_n \leq \cdots$ be countable, non-trivial subgroups of \mathbb{R}^+ , and $H = \bigcup_{n \in \mathbb{N}} H_n$. For each $n \in \mathbb{N}$, suppose that $\alpha_n : (T_{H_n}, \varphi_{H_n}) \to (T_{H_{n+1}}, \varphi_{H_{n+1}})$ is a standard embedding. Let (\mathcal{M}, φ) be the inductive limit von Neumann algebra of the $[(T_{H_n}, \varphi_{H_n}), \alpha_n]$. Then $(\mathcal{M}, \varphi) \cong (T_H, \varphi_H)$.

Proof. (i): Based on Proposition 3.9 and its proof, we may assume that there are countable sets $I_1 \subset I_2 \subset I_3$, $S \subset S'$, $T \subset T'$ with S' and T' disjoint, and $\lambda_i \in (0,1)$ for each $i \in I_3$ satisfying $\langle \lambda_i : i \in I_j \rangle = H_j$. We can further assume that there are semicircular families $(x_s)_{s \in S'}$ and $(x_{t'})_{t' \in T''}$ both free from R; free families $(y_i)_{i \in I_2}$ and $(\tilde{y_i})_{i \in I_3}$ of generalized circular elements with respective parameters λ_i that are free from each other, $(x_s)_{s \in S'}$, $(x_t)_{t \in T}$, and R; families of projections $(p_s)_{s \in S'}$ and $(p_t)_{t \in T'}$ in R; and state-preserving isomorphisms

$$\beta: (T_{H_1}, \varphi_{H_1}) \to W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (y_i)_{i \in I_1})$$

$$\gamma: (T_{H_2}, \varphi_{H_2}) \to W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (y_i)_{i \in I_2})$$

$$\overline{\gamma}: (T_{H_2}, \varphi_{H_2}) \to W^*(R \cup (p_t x_t p_t)_{t \in T} \cup (\tilde{y_i})_{i \in I_2})$$

$$\delta: (T_{H_3}, \varphi_{H_3}) \to W^*(R \cup (p_t x_t p_t)_{t \in T'} \cup (\tilde{y_i})_{i \in I_3})$$

so that $\gamma \circ \alpha_1 \circ \beta^{-1}$ and $\delta \circ \alpha_2 \circ \overline{\gamma}^{-1}$ are the canonical inclusions. Utilizing $\gamma \circ \overline{\gamma}^{-1}$, we obtain an isomorphism

$$\overline{\delta}: (T_{H_3}, \varphi_{H_3}) \to W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (\overline{p_t} x_t \overline{p_t})_{t \in T' \setminus T} \cup (y_i)_{i \in I_2} \cup (\tilde{y_i})_{i \in I_3 \setminus I_2}),$$

where $\overline{p_t} \in W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (y_i)_{i \in I_2})^{\varphi}$ and $\alpha_2 \circ \alpha_1 = \overline{\delta}^{-1} \circ i \circ \beta$ (here *i* is the canonical inclusion). Since $W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (y_i)_{i \in I_2})^{\varphi}$ is a factor, choose a unitary

$$u_t \in W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup (y_i)_{i \in I_2},)^{\varphi}$$

so that $u_t^* \overline{p_t} u_t \in R$ for each $t \in T' \setminus T$. Then R, $(x_s)_{s \in S'}$, and $(u_t^* x_t u_t)_{t \in T' \setminus T}$ is a free family and is free from $(y_i)_{i \in I_2}$ and $(\tilde{y_i})_{i \in I_3}$. This means that $\overline{\delta}$ is valued in

$$W^*(R \cup (p_s x_s p_s)_{s \in S'} \cup ((u_t^* \overline{p_t} u_t)(u_t^* x_t u_t)(u_t^* \overline{p_t} u_t)_{t \in T' \setminus T} \cup (y_i)_{i \in I_2} \cup (\tilde{y_i})_{i \in I_3 \setminus I_2})$$

so that $\alpha_2 \circ \alpha_1$ is standard.

(ii): This follows directly from the above proof and (ii) of [2, Proposition 4.3]

Proposition 3.11. Let $H \leq H'$ be countable, non-trivial subgroups of \mathbb{R}^+ , $\alpha: (T_H, \varphi_H) \to (T_{H'}, \varphi_{H'})$ a modular inclusion, and $p \in T_H^{\varphi_H}$ a nonzero projection. Then α is a standard embedding if and only if $\alpha|_{pT_Hp}$ is a standard embedding.

Proof. It is straightforward to see that if α is a standard embedding, then

$$(\alpha \otimes \mathrm{id}): (T_H, \varphi_H) \otimes M_n(\mathbb{C}) \to (T_{H'}, \varphi_{H'}) \otimes M_n(\mathbb{C})$$

$$\frac{1}{n}, \dots, \frac{1}{n}$$

$$\frac{1}{n}, \dots, \frac{1}{n}$$

is a standard embedding. It therefore suffices to prove that α being a standard embedding implies $\alpha \mid_{pT_{H}p}$ is a standard embedding. Let $I \subset I'$, $S \subset S'$, $(p_s)_{s \in S'}$, $(q_i)_{i \in I'}$, $(x_s)_{s \in S'}$,

 $(\lambda_i)_{i\in I'}$, $(y_i)_{i\in I'}$, β , and γ be as in Definition 3.8. Without loss of generality, we can assume that (after conjugating by unitaries in the centralizer) $\beta(p) \in R$ and $\gamma(p) \in R$. We will simply call these images p. We are allowed to assume that $p_s \leq p$ and $q_i \leq p$ for all $s \in S'$. $\alpha \mid_{pT_H p}$ is therefore conjugate to the canonical inclusion

$$W^*(pRp \cup (p_sx_sp_s)_{s \in S} \cup (q_iy_iq_i)_{i \in I}) \hookrightarrow W^*(pRp \cup (p_sx_sp_s)_{s \in S'} \cup (q_iy_iq_i)_{i \in I'})$$

establishing that $\alpha \mid_{pT_Hp}$ is a standard embedding. \square

Proposition 3.12. Let $H < \mathbb{R}_+$ be a countable, non-trivial subgroup. The following are standard embeddings:

- (i) The canonical inclusion i: (A, φ) → (A, φ)*(B, ψ) where A is either an interpolated free group factor with trace φ, or an almost periodic free Araki–Woods factor with free quasi-free state φ, and B is either an interpolated free group factor with trace ψ, or an almost periodic free Araki–Woods factor with free quasi-free state ψ
- (ii) the canonical inclusion $j:(T_H,\varphi_H)\hookrightarrow (T_H,\varphi_H)*(B,\psi)$ where B is finite-dimensional or $\mathcal{B}(\mathcal{H})$ for \mathcal{H} separable and infinite-dimensional and ψ a faithful normal state.

Proof. (i) Let R and R' be two free copies of the hyperfinite II₁ factor and S, S', I and I' disjoint sets. (I (resp. I') will be empty if A (resp. B) is an interpolated free group factor.) Suppose $\lambda_i \in (0,1)$ for all $i \in I$, $\lambda_{i'} \in (0,1)$ for all $i' \in I'$, and that $H = \langle \lambda_i : i \in I' \rangle$. Let $(x_i)_{i \in I}$, $(x_{i'})_{i' \in I'}$, be free families of semicircular elements, free from each other and $R \cup R'$. Let $(p_s)_{s \in S}$, and $(q_i)_{i \in I}$ be families of projections in R, and $(p_{s'})_{s' \in S'}$, and $(q_{i'})_{i' \in I'}$ be families of generalized circular elements, free from each other, $(x_i)_{i \in I}$, $(x_{i'})_{i' \in I'}$, and $R \cup R'$. Assume that y_i has parameter λ_i for $i \in I \cup I'$. We need to show that the inclusion

$$W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (q_i y_i y_i)_{i \in I}) \to W^*(R \cup R' \cup (p_s x_s p_s)_{s \in S \cup S'} \cup (q_i y_i y_i)_{i \in I \cup I'})$$

is standard. Note by [3, Corollary 3.6] that there is a semicircular element $x \in W^*(R \cup R')$ which is free from R and satisfies $W^*(R \cup \{x\}) = W^*(R \cup R')$. Let $(u_{s'})_{s' \in S'}$ and $(v_{i'})_{i' \in I'}$ be families of unitaries in $W^*(R \cup \{x\})$ satisfying $\overline{p_{s'}} := u_{s'}^* p_{s'} u_{s'} \in R$ and $\overline{q_{i'}} := v_{i'}^* q_{i'} v_i \in R$. Let $\overline{x_{s'}} = u_{s'}^* x_{s'} u_{s'}$ and $\overline{y_{i'}} = v_{i'}^* y_{i'} v_i$. Therefore, the inclusion above can be realized as the canonical inclusion

$$W^*(R \cup (p_s x_s p_s)_{s \in S} \cup (q_i y_i y_i)_{i \in I})$$

$$\downarrow$$

$$W^*(R \cup R' \cup (p_s x_s p_s)_{s \in S} \cup (\overline{p_{s'}} \cdot \overline{x_{s'}} \cdot \overline{p_{s'}})_{s' \in S'} \cup (q_i y_i y_i)_{i \in I}, (\overline{q_{i'}} \cdot \overline{y_{i'}} \cdot \overline{y_{i'}})_{i' \in I'})$$

which is standard.

(ii) Choose n large enough so that

$$M_n(\mathbb{C}) * (B, \psi)$$
 $\frac{1}{n}, \dots, \frac{1}{n}$

is a factor (necessarily $(L(\mathbb{F}_t), \tau)$ or $(T_{H'}, \varphi_{H'})$). Recall that $(T_H, \varphi_H) \cong (T_H, \varphi_H) \otimes M_n(\mathbb{C})$ and let p be a minimal projection in $M_n(\mathbb{C})$. Note from Lemma 1.4 that $\frac{1}{n}, \dots, \frac{1}{n}$

$$p(T_H, \varphi_H) * (B, \psi))p = p \left[(T_H, \varphi_H) \otimes M_n(\mathbb{C}) \atop \frac{1}{n}, \dots, \frac{1}{n} \right] * (B, \psi)p$$
$$= p \left[M_n(\mathbb{C}) * (B, \psi) \right] p * p(T_H, \varphi_H)p.$$

It follows from (i) that $j \mid_{pT_H p}$ is standard, hence j is standard from Proposition 3.11. \square

3.3. Free products of some hyperfinite and other von Neumann algebras

We will use standard the embedding techniques above to extend Theorem 2.16.

Theorem 3.13. Let (A, ϕ) and (B, ψ) be von Neumann algebras with at least one of ϕ or ψ not a trace. Assume further that $\dim(A), \dim(B) \geq 2$ and A and B are countable direct sums of algebras of the following types:

- Separable type I factors with faithful normal states;
- Diffuse von Neumann algebras of the form $\bigotimes_{n=1}^{\infty} (F_i, \phi_i)$ where each F_i is finite-dimensional and the state is the tensor product of the ϕ_i ;
- $(M, \gamma) \otimes (L(\mathbb{F}_t), \tau)$ with M a separable type I factor, finite or infinite-dimensional;
- $(N, \gamma') \otimes (T_G, \varphi_G)$ with N a separable type I factor, finite or infinite-dimensional, and G a countable, non-trivial subgroup of \mathbb{R}_+ .

Let $(\mathcal{M}, \varphi) = (A, \phi) * (B, \psi)$. Then $(\mathcal{M}, \varphi) \cong (T_H, \varphi_H) \oplus C$ where H is the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} , and C is finite-dimensional and is determined exactly as in Theorem 2.11.

Note that the class of von Neumann algebras in the second bullet point contains all hyperfinite, diffuse, finite von Neumann algebras as well as the Powers factors $(R_{\lambda}, \phi_{\lambda})$ of type III_{λ} , and tensor products of Powers factors. Furthermore observe that the class of von Neumann algebras in the last two bullet points contains the interpolated free group factors with traces and separable free Araki–Woods factors with free quasi-free states,

but is larger in general as tensor products are allowed where γ is not a trace and the point spectrum of $\Delta_{\gamma'}$ need not be a subset of G.

Before we prove the above theorem, we first use standard embeddings to upgrade Theorem 2.16 to handle infinite direct sums:

Lemma 3.14. Let (A, ϕ) and (B, ψ) be von Neumann algebras with faithful states ϕ and ψ , respectively, of the following form (up to unitary conjugation):

$$(A,\phi) = \bigoplus_{i=1}^{\infty} M_{k_i}(\mathbb{C}) \bigoplus_{i=1}^{\infty} \bigoplus_{\alpha_{i,1},\dots,\alpha_{i,k_i}}^{p_{i,k_i}} \bigoplus_{i=1}^{\infty} (B(\mathcal{H}_i), \phi_i) \quad and$$

$$(B,\psi) = \bigoplus_{j=1}^{\infty} M_{\ell_j}(\mathbb{C}) \bigoplus_{j=1}^{\infty} \bigoplus_{\beta_{j,1},\dots,\beta_{j,\ell_j}}^{q_{j,\ell_j}} \bigoplus_{j=1}^{\infty} (B(\mathcal{K}_j), \psi_j).$$

Where the \mathcal{H}_i and \mathcal{K}_j are separable infinite-dimensional Hilbert spaces. We assume at least one of ϕ or ψ is not a trace, that both A and B are at least two-dimensional, and allow either to be finite-dimensional by having the corresponding weights be zero. Let G be the group generated by the point spectra of Δ_{ϕ} and Δ_{ψ} . Then

$$(A, \phi) * (B, \psi) = (T_G, \varphi_G) \oplus C$$

where C is finite-dimensional (possibly zero) and is determined exactly as in Theorem 2.11.

Proof. Define $I_0 \subset \mathbb{N}$ as the subset of $i \in \mathbb{N}$ such that either $k_i = 1$ and there exists $j \in \mathbb{N}$ such that $\sum_{k=1}^n \frac{1-\alpha_{i,1}}{\beta_{j,k}} < 1$, or there exists $j \in \mathbb{N}$ such that $\ell_j = 1$ such that $\sum_{k=1}^n \frac{1-\beta_{j,1}}{\alpha_{i,k}} < 1$. Note that I_0 must be a finite set. Define J_0 similarly. Up to relabeling, we may assume $I_0 = \{1, \ldots, N\}$ and $J_0 = \{1, \ldots, M\}$ for some $N, M \in \mathbb{N}$, and then define

$$A_0 := \bigoplus_{i=1}^N \frac{M_{k_i}(\mathbb{C})}{M_{k_i}(\mathbb{C})} \quad \text{and} \quad B_0 := \bigoplus_{j=1}^M \frac{q_{j,1}, \cdots, q_{j,\ell_j}}{M_{\ell_j}(\mathbb{C})},$$

and let q_A and q_B denote their respective identities. Let K be sufficiently large so that if p_A and p_B are the respective identities of

$$\bigoplus_{i=N+K+1}^{\infty} M_{k_i}(\mathbb{C}) \oplus \bigoplus_{i=N+K+1}^{\infty} (B(\mathcal{H}_i), \phi_i) \quad \text{ and } \\ \bigoplus_{i=N+K+1}^{\infty} M_{\ell_j}(\mathbb{C}) \oplus \bigoplus_{i=N+K+1}^{\infty} (B(\mathcal{H}_i), \phi_i) \quad \text{ and } \\ \bigoplus_{j=M+K+1}^{\infty} M_{\ell_j}(\mathbb{C}) \oplus \bigoplus_{j=M+K+1}^{\infty} (B(\mathcal{K}_j), \psi_j), \\ \sum_{j=M+K+1}^{\infty} M_{\ell_j}(\mathbb{C}) \oplus \bigoplus_{j=M+K+1}^{\infty} (B(\mathcal{K}_j), \psi_j), \quad \text{and }$$

then $\phi(p_A) < 1 - \psi(q_B)$ and $\psi(p_B) < 1 - \phi(q_A)$. Define

$$(A_1, \phi) := \bigoplus_{i=1}^{N+K} \bigoplus_{\substack{\alpha_{i,1}, \cdots, \alpha_{i,k_i} \\ \alpha_{i,1}, \cdots, \alpha_{i,k_i} \\ \beta_{j,1}, \cdots, \alpha_{j,\ell_j} \\ \beta_{j,1}, \cdots, \beta_{j,\ell_j} \\ \oplus \bigoplus_{j=1}^{M+K} (B(\mathcal{H}_i), \phi_i) \oplus \mathbb{C}$$

$$(B_1, \psi) := \bigoplus_{j=1}^{M+K} \bigoplus_{\substack{\beta_{j,1}, \cdots, \beta_{j,\ell_j} \\ \beta_{j,1}, \cdots, \beta_{j,\ell_j} \\ \beta_{j,1}, \cdots, \beta_{j,\ell_j} \\ \end{pmatrix} \bigoplus_{j=1}^{M+K} (B(\mathcal{K}_j), \psi_j) \oplus \mathbb{C}.$$

Increasing K if necessary, we assume that at least one of the summands in either A_1 or B_1 is non-tracial. Let $H_{s,t}$ denote the subgroup of \mathbb{R}^+ generated by

$$\left\{ \frac{\alpha_{i,j}}{\alpha_{i,k}} \colon 1 \le i \le N+K+s-1, \ 1 \le j, k \le k_i \right\},$$

$$\left\{ \frac{\beta_{j,i}}{\beta_{j,k}} \colon 1 \le j \le M+K+t-1, \ 1 \le i, k \le \ell_j \right\},$$

as well as the point spectra of Δ_{ϕ_i} and Δ_{ψ_j} for $i \leq i \leq N+K+s-1$ and $j \leq M+K+t-1$. If we let C be as in the statement of the lemma, then by Theorem 2.11 we have

$$(A_1, \phi) * (B_1, \psi) \cong (T_{H_{1,1}}, \varphi_{H_{1,1}}) \oplus C.$$

Moreover, $p_A, p_B \leq P$ by our choice of K. Now, for $n, m \geq 2$ define

$$(A_n,\phi) := \bigoplus_{i=1}^{N+K} \bigoplus_{\alpha_{i,1},\cdots,\alpha_{i,k_i}}^{p_{i,1},\cdots,p_{i,k_i}} \oplus \bigoplus_{i=1}^{N+K} (B(\mathcal{H}_i),\phi_i) \oplus \left(\bigoplus_{i=N+K+1}^{N+K+n-1} \bigoplus_{p_i}^{p_i} \mathbb{C} \oplus \mathbb{C}\right) \oplus \mathbb{C}$$

$$(B_n,\psi) := \bigoplus_{j=1}^{M+K} \bigoplus_{\beta_{j,1},\cdots,\beta_{j,\ell_j}}^{M+K} \bigoplus_{j=1}^{M+K} (B(\mathcal{K}_j),\psi_j) \oplus \left(\bigoplus_{j=M+K+1}^{M+K+n-1} \bigoplus_{q_j}^{q_j} \mathbb{C} \oplus \mathbb{C}\right) \oplus \mathbb{C}^{p_{B,n}},$$

where

$$p_{i} = p_{i,1} + \dots + p_{i,k_{i}} \qquad \overline{p}_{i} \text{ is the identity of } \mathcal{B}(\mathcal{H}_{i})$$

$$q_{j} = q_{j,1} + \dots + q_{j,\ell_{j}} \qquad \overline{q}_{j} \text{ is the identity of } \mathcal{B}(\mathcal{K}_{j})$$

$$p_{A,n} = p_{A} - (p_{N+K+1} + \dots + p_{N+K+n-1}) - (\overline{p}_{N+K+1} + \dots + \overline{p}_{N+K+n-1})$$

$$p_{B,n} = p_{B} - (q_{M+K+1} + \dots + q_{M+K+n-1}) - (\overline{q}_{M+K+1} + \dots + \overline{q}_{M+K+n-1}).$$

By Lemma 1.4 we have

$$p_{A,1}\left[(A_2, \phi) * (B_1, \psi) \right] p_{A,1} \cong p_{A,1}\left[(A_1, \phi) * (B_1, \psi) \right] p_{A,1} * \begin{bmatrix} p_{N+K+1} & \overline{p}_{N+K+1} & p_{A,2} \\ \mathbb{C} & \oplus & \mathbb{C} \end{bmatrix}$$

Hence it follows from Propositions 3.11 and 3.12 that the canonical inclusion of $(A_1, \phi) * (B_1, \psi)$ into $(A_2, \phi) * (B_1, \psi)$ is a standard embedding. Iterating this argument, we obtain that the canonical inclusions

$$(A_n,\phi)*(B_n,\psi)\hookrightarrow (A_{n+1},\phi)*(B_n,\psi)\hookrightarrow (A_{n+1},\phi)*(B_{n+1},\psi)$$

are standard embeddings. By Proposition 3.10, we therefore have

$$(A^{(1,1)}, \phi) * (B^{(1,1)}, \psi) \cong (T_{H_{1,1}}, \varphi_{H_{1,1}}) \oplus C,$$

where

$$(A^{(1,1)},\phi) := \bigoplus_{i=1}^{N+K} M_{k_i}(\mathbb{C}) \oplus \bigoplus_{i=1}^{N+K} (B(\mathcal{H}_i),\phi_i) \oplus \bigoplus_{i=N+K+1}^{\infty} \mathbb{C} \oplus \bigoplus_{i=N+K+1}^{\overline{p}_i} \mathbb{C}$$

$$(B^{(1,1)},\psi) := \bigoplus_{j=1}^{M+K} M_{\ell_j}(\mathbb{C}) \oplus \bigoplus_{j=1}^{M+K} (B(\mathcal{K}_j),\psi_j) \oplus \bigoplus_{j=M+K+1}^{\infty} \mathbb{C} \oplus \bigoplus_{j=M+K+1}^{\overline{q}_j} \mathbb{C}$$

Next, for $n \geq 2$ define

$$(A^{(n,1)},\phi) := \bigoplus_{i=1}^{N+K+n-1} \bigoplus_{\alpha_{i,1},\cdots,\alpha_{i,k_{i}}}^{p_{i,1},\cdots,p_{i,k_{i}}} \bigoplus_{i=1}^{N+K+n-1} (B(\mathcal{H}_{i}^{\overline{p}_{i}}),\phi_{i}) \oplus \bigoplus_{i=N+K+n}^{\infty} \mathbb{C} \oplus \bigoplus_{i=N+K+n}^{\overline{p}_{i}} \mathbb{C}$$

$$(A^{(n,2)},\phi) := \bigoplus_{i=1}^{N+K+n} \bigoplus_{\alpha_{i,1},\cdots,\alpha_{i,k_{i}}}^{M_{k_{i}}} \bigoplus_{i=1}^{N+K+n-1} (B(\mathcal{H}_{i}^{\overline{p}_{i}}),\phi_{i}) \oplus \bigoplus_{i=N+K+n+1}^{\infty} \mathbb{C} \oplus \bigoplus_{i=N+K+n}^{\infty} \mathbb{C} \oplus \bigoplus_{j=M+K+n}^{\infty} \mathbb{C} \oplus \bigoplus_{j=M$$

By Lemma 1.4 for i = N + K + 1 we have

$$p_i \left[(A^{(1,2)}, \phi) * (B^{(1,1)}, \psi) \right] p_i \cong p_i \left[(A^{(1,1)}, \phi) * (B^{(1,1)}, \psi) \right] p_i * M_{k_i}(\mathbb{C}) \cdot M_{k_i}(\mathbb{C}).$$

As above, we have that the canonical inclusion of $(A^{(1,1)}, \phi) * (B^{(1,1)}, \psi)$ into $(A^{(1,2)}, \phi) * (B^{(1,1)}, \psi)$ is a standard embedding. Iterating yields that the canonical inclusions

$$(A^{(n,1)}, \phi) * (B^{(m,1)}, \psi) \hookrightarrow (A^{(n,2)}, \phi) * (B^{(m,1)}, \psi)$$

$$\hookrightarrow (A^{(n+1,1)}, \phi) * (B^{(m,1)}, \psi)$$

$$\hookrightarrow (A^{(n+1,1)}, \phi) * (B^{(m,2)}, \psi) \hookrightarrow (A^{(n+1,1)}, \phi) * (B^{(m+1,1)}, \psi)$$

are standard embeddings. Appealing to Proposition 3.10 concludes the proof. \Box

Proof of Theorem 3.13. Write

$$A = \bigoplus_{i=1}^{\infty} A_{0,i} \oplus \bigoplus_{i=1}^{\infty} A_{1,i} \oplus \bigoplus_{i=1}^{\infty} A_{2,i} \qquad B = \bigoplus_{j=1}^{\infty} B_{0,j} \oplus \bigoplus_{j=1}^{\infty} B_{1,j} \oplus \bigoplus_{j=1}^{\infty} B_{2,j}$$

where $\{A_{0,i}\}$ consists of all the type I factor direct summands of A, $\{A_{1,j}\}$ consists of all diffuse summands of the form $\bigotimes_{k=1}^{\infty} (F_k, \phi_k)$ for F_k finite-dimensional, and $\{A_{2,i}\}$ consists of the summands which are of the form

- $(M, \gamma) \otimes L(\mathbb{F}_t)$ with M a separable type I factor, finite or infinite-dimensional;
- $(N, \gamma') \otimes (T_G, \varphi_G)$ with N a separable type I factor, finite or infinite-dimensional, and G a nontrivial countable subgroup of \mathbb{R}_+ .

The $\{B_{0,j}\}$, $\{B_{1,j}\}$, and $\{B_{2,j}\}$ are defined similarly. For the collections $\{A_{0,i}\}$ and $\{B_{0,j}\}$ define I_0 and J_0 as in Lemma 3.14. Set

$$A_1 := \bigoplus_{i \in I}^{\infty} A_{0,i} \oplus \bigoplus_{i=1}^{\infty} A'_{1,i} \oplus \bigoplus_{i=1}^{\infty} M_{n_i}(\mathbb{C}) \qquad B_1 = \bigoplus_{j \in I}^{\infty} B_{0,j} \oplus \bigoplus_{j=1}^{\infty} B'_{1,j} \oplus \bigoplus_{j=1}^{\infty} M_{m_j}(\mathbb{C})$$

where $A'_{1,i}$ is a finite-dimensional subalgebra of $A_{1,i}$ with dimension large enough so that its minimal projections have mass smaller than

$$1 - \psi \left(\sum_{j=1}^{\infty} 1_{B_{0,j}} \right).$$

The state on each $M_{n_i}(\mathbb{C})$ is tracial, the identity on $M_{n_i}(\mathbb{C})$ is the identity on $A_{2,i}$, the inclusion of $M_{n_i}(\mathbb{C})$ into $A_{2,i}$ is modular, and n_i is large enough so that the summand $M_{n_i}(\mathbb{C})$ is in the diffuse summand of $A_1 * B_1$. Similar statements hold for the $B'_{1,j}$ and $M_{m_j}(\mathbb{C})$. These conditions ensure that $A_1 * B_1$ has the predicted finite-dimensional C. By Lemma 3.14 we know

$$(A_1, \phi) * (B_1, \psi) \cong (T_{H_{1,1}}, \varphi_{H_{1,1}}) \oplus C,$$

where $H_{1,1}$ is the subgroup of \mathbb{R}^+ generated by the point spectra of $\Delta_{\phi|A_1}$ and $\Delta_{\psi|B_1}$. We then proceed to build back up to A and B by tensoring the summands $A'_{1,i}$ and $B'_{1,j}$ by appropriate finite-dimensional algebras, one at a time, as well as by tensoring von Neumann algebras of the form

• $(M, \gamma) \otimes L(\mathbb{F}_t)$ with M a separable type I factor, finite or infinite-dimensional (first tensor with M, then tensor with $L(\mathbb{F}_t)$);

• $(N, \gamma') \otimes (T_G, \varphi_G)$ with N a separable type I factor, finite or infinite-dimensional, and G a nontrivial countable subgroup of \mathbb{R}_+ (first tensor with N, then tensor with (T_G, φ_G) ;

on each $M_{n_i}(\mathbb{C})$ and each $M_{m_i}(\mathbb{C})$ and using

$$M_n(\mathbb{C}) \otimes (L(\mathbb{F}(1+n^{-2}(t-1))), \tau) \cong (L(\mathbb{F}_t), \tau)$$
 and $M_n(\mathbb{C}) \otimes (T_G, \varphi_G) \cong (T_G, \varphi_G)$

$$\frac{1}{n}, \dots, \frac{1}{n}$$

for G non-trivial. Lemma 1.4 together with Propositions 3.11 and 3.12 ensure the canonical inclusions are standard embeddings on the orthogonal complement of C. The result then follows from Proposition 3.10. \square

Remark 3.15. It should be noted that, by Theorem 7.2 in [8], there are hyperfinite von Neumann algebras equipped with non-almost periodic states whose free product is not a free Araki–Woods factor of any kind. Given Theorem 3.13 above, it is natural to conjecture that the free product of injective von Neumann algebras is a free Araki–Woods factor plus a finite-dimensional von Neumann algebra if and only if both injective von Neumann algebras are equipped with almost periodic states.

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