



Synchrotron self-Compton radiation from magnetically dominated turbulent plasmas in relativistic jets

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ABSTRACT

Relativistic jets launched by rotating black holes are powerful emitters of non-thermal radiation. Extraction of the rotational energy via electromagnetic stresses produces magnetically dominated jets, which may become turbulent. Studies of magnetically dominated plasma turbulence from first principles show that most of the accelerated particles have small pitch angles, i.e. the particle velocity is nearly aligned with the local magnetic field. We examine synchrotron self-Compton radiation from anisotropic particles in the fast cooling regime. The small pitch angles reduce the synchrotron cooling rate and promote the role of inverse Compton (IC) cooling, which can occur in two different regimes. In the Thomson regime, both synchrotron and IC components have soft spectra, $\nu F_\nu \propto \nu^{1/2}$. In the Klein–Nishina regime, synchrotron radiation has a hard spectrum, typically $\nu F_\nu \propto \nu$, over a broad range of frequencies. Our results have implications for the modelling of BL Lacertae objects (BL Lacs) and gamma-ray bursts (GRBs). BL Lacs produce soft synchrotron and IC spectra, as expected when Klein–Nishina effects are minor. The observed synchrotron and IC luminosities are typically comparable, which indicates a moderate anisotropy with pitch angles $\theta \gtrsim 0.1$. Rare orphan gamma-ray flares may be produced when $\theta \ll 0.1$. The hard spectra of GRBs may be consistent with synchrotron radiation when the emitting particles are IC cooling in the Klein–Nishina regime, as expected for pitch angles $\theta \sim 0.1$. Blazar and GRB spectra can be explained by turbulent jets with a similar electron plasma magnetization parameter, $\sigma_e \sim 10^4$, which for electron–proton plasmas corresponds to an overall magnetization $\sigma = (m_e/m_p)\sigma_e \sim 10$.

Key words: plasmas – radiation mechanisms: non-thermal – turbulence – BL Lacertae objects: general – gamma-ray bursts.

1 INTRODUCTION

Relativistic jets from accreting black holes are powerful emitters of non-thermal radiation. Examples include gamma-ray bursts (GRBs; e.g. Piran 2004; Kumar & Zhang 2015) and blazars (e.g. Urry & Padovani 1995; Blandford, Meier & Readhead 2019).

Relativistic jets may be launched by a universal physical process, in which the rotational energy of the black hole is extracted through electromagnetic stresses (e.g. Blandford & Znajek 1977; Komissarov et al. 2007; Tchekhovskoy, Narayan & McKinney 2011). This process produces magnetically dominated jets, where the magnetic energy density exceeds the rest mass energy density of the plasma. Since there is a huge separation of scales between the transverse scale of the jet and the kinetic scales of the plasma, turbulence is a natural candidate to dissipate the magnetic energy and accelerate a population of non-thermal particles.

Since GRBs and blazars convert a similarly large fraction of the jet energy into gamma-rays (e.g. Nemmen et al. 2012), it is natural to consider fast cooling conditions, i.e. the emitting particles radiate their energy on short time-scales compared with the dynamical time of the jet expansion. When most of the jet energy is stored in the magnetic fields, synchrotron emission is usually expected to be the

dominant cooling channel. Then fast cooling particles produce a soft synchrotron spectrum, $\nu F_\nu \propto \nu^\alpha$ with $\alpha = 1/2$. For GRBs, this prediction of the synchrotron model is problematic, as the observed bursts show harder spectra with $\alpha \sim 1$ (e.g. Preece et al. 2000; Kaneko et al. 2006; Nava et al. 2011; Gruber et al. 2014).

The hard GRB spectra generally favour photospheric emission models, where the peak of the spectrum is formed by multiple Compton scattering during the opaque stage of the jet expansion (for a review, see e.g. Beloborodov & Mészáros 2017). Some GRBs appear to have a clear photospheric origin (e.g. Ryde et al. 2010). However, for many other GRBs the emission mechanism is not established. It is possible that in many GRB jets the dissipation occurs in the optically thin zone, and synchrotron dominates the observed emission (e.g. Oganessian et al. 2019; Burgess et al. 2020). Polarization of the prompt radiation may help discriminate between different emission models (e.g. Lundman, Vurm & Beloborodov 2018; Gill, Granot & Kumar 2020), however observations using different instruments are not yet conclusive (e.g. Yonetoku et al. 2011, 2012; Burgess et al. 2019; Chand et al. 2019; Chattopadhyay et al. 2019; Sharma et al. 2019; Zhang et al. 2019; Kole et al. 2020).

The observed spectral slopes remain an important constraint for GRB and blazar models. For blazars, the emission is almost certainly due to synchrotron and inverse Compton (IC; e.g. Maraschi, Ghisellini & Celotti 1992; Sikora, Begelman & Rees 1994). The spectrum is softer than for GRBs, and the typical slope, $\alpha \sim 1/2$,

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may be consistent with the standard fast cooling scenario. Although a common dissipation process in blazars and GRBs is an attractive possibility, one immediate challenge for such a model is to explain the spectral difference.¹ This issue is investigated in this paper.

In recent years, increased computational capabilities made it possible to study non-thermal particle acceleration in magnetically dominated turbulence from first principles (e.g. Zhdankin et al. 2017, 2018, 2020; Comisso & Sironi 2018, 2019; Comisso, Sobacchi & Sironi 2020; Nättälä & Beloborodov 2020; Sobacchi, Nättälä & Sironi 2021). Particle acceleration proceeds in two stages (e.g. Comisso & Sironi 2018, 2019). First, particles experience an impulsive acceleration event that is powered by reconnection in large-scale current sheets. Since the reconnection electric field is nearly aligned with the local magnetic field, the distribution of the accelerated particles is strongly anisotropic (particles move nearly along the direction of the local magnetic field). Second, particles may be further accelerated by stochastic scattering off the turbulent magnetic fluctuations, similar to the original picture of Fermi (1949). Stochastic acceleration is suppressed in fast cooling conditions since the acceleration time-scale is comparable with the light crossing time of the system (e.g. Nättälä & Beloborodov 2020; Sobacchi & Lyubarsky 2020; Zhdankin et al. 2020; Sobacchi et al. 2021). Impulsive acceleration is practically unaffected by cooling since it operates on extremely short time-scales.²

Motivated by these results, we study synchrotron self-Compton emission from anisotropic particles.³ The anisotropy has an important impact on the properties of the emitted radiation. Since particles move nearly along the direction of the local magnetic field, the rate of synchrotron cooling is strongly reduced. As a result, even in a magnetically dominated plasma, IC scattering can become the dominant cooling channel and shape the particle distribution function, in particular in the fast cooling regime. Then the radiation spectrum depends on the IC scattering regime. Particle cooling in the Thomson regime leads to soft synchrotron and IC spectra, $\nu F_\nu \propto \nu^{1/2}$, while cooling in the Klein–Nishina regime leads to hard synchrotron spectra, typically $\nu F_\nu \propto \nu$. Then the difference between blazars and GRBs could be explained if the IC scattering regime is different.

Several authors argued that hard GRB spectra may be due to IC cooling in the Klein–Nishina regime (e.g. Derishev, Kocharyan & Kocharyan 2001; Bošnjak, Daigne & Dubus 2009; Nakar, Ando & Sari 2009; Daigne, Bošnjak & Dubus 2011). However, these authors did not consider the effect of particle anisotropy. Then IC cooling can have a strong effect on the particle distribution only in weakly magnetized plasmas. A basic point of this paper is that strong particle

¹ Several authors argued that magnetic energy dissipation in GRB jets provides a continuous source of heating, which may prevent particles from cooling down by radiative losses (e.g. Zhang & Yan 2011; Beniamini & Piran 2014; Beniamini, Barniol Duran & Giannios 2018; Xu, Yang & Zhang 2018). The resulting synchrotron spectrum is harder than in the standard scenario where the heating/acceleration process is impulsive.

² Even though we focus on simulations of magnetically dominated plasma turbulence, anisotropic particle distributions may be produced in any system where particle injection is governed by reconnection in the strong guide field regime, and where fast cooling prevents further particle energization. This may happen in the non-linear stages of the kink instability (Davelaar et al. 2020) and of the Kelvin–Helmholtz instability (Sironi, Rowan & Narayan 2021).

³ In synchrotron self-Compton emission, the synchrotron photons are IC scattered to higher energies by the non-thermal electrons within the jet. We neglect IC scattering off any photon field that is produced outside the jet.

Table 1. Energy density of the synchrotron and IC photons emitted by particles with Lorentz factor γ , particle cooling time, and optical depth for pair production, for pitch angles $\theta \lesssim \theta_{\text{KN}}$ (see Section 3.1). The synchrotron photon energy is $\varepsilon_s \simeq (\theta/\theta_{\text{KN}})(\gamma^2/\sigma_e^3)m_e c^2$. The IC photon energy is $\varepsilon_{\text{IC}} \simeq (\theta/\theta_{\text{KN}})(\gamma^2/\sigma_e)m_e c^2$. We assume fast cooling conditions, i.e. $t_{\text{cool}} \lesssim t_{\text{dyn}}$. The magnetic compactness ℓ_B and the critical pitch angle θ_{KN} are defined in equations (14) and (19), respectively.

$\gamma \lesssim \sigma_e$
$U_s[\varepsilon_s] = \theta \left(\frac{\gamma}{\sigma_e} \right) U_B$
$U_{\text{IC}}[\varepsilon_{\text{IC}}] = \left(\frac{\gamma}{\sigma_e} \right) U_B$
$t_{\text{cool}}[\gamma] = \left(\frac{1}{\theta} \right) \left(\frac{1}{\gamma} \right) \frac{t_{\text{dyn}}}{\ell_B}$
$\tau_{\gamma\gamma}[\varepsilon_{\text{IC}}] = 0$

Table 2. Same as Table 1, for pitch angles $\theta_{\text{KN}} \lesssim \theta \lesssim \theta_{\text{KN}}^{1/3}$ (see Section 3.2.2). The synchrotron photon energy is $\varepsilon_s \simeq (\theta/\theta_{\text{KN}})(\gamma^2/\sigma_e^3)m_e c^2$. The IC photon energy is $\varepsilon_{\text{IC}} \simeq (\theta/\theta_{\text{KN}})(\gamma^2/\sigma_e)m_e c^2$ if $\gamma \lesssim (\theta_{\text{KN}}/\theta)\sigma_e$, and $\varepsilon_{\text{IC}} \simeq \gamma m_e c^2$ if $\gamma \gtrsim (\theta_{\text{KN}}/\theta)\sigma_e$.

$\gamma \lesssim \left(\frac{\theta_{\text{KN}}}{\theta} \right) \sigma_e$	$\left(\frac{\theta_{\text{KN}}}{\theta} \right) \sigma_e \lesssim \gamma \lesssim \sigma_e$
$U_s[\varepsilon_s] = (\theta\theta_{\text{KN}})^{1/2} \left(\frac{\gamma}{\sigma_e} \right) U_B$	$U_s[\varepsilon_s] = \left(\frac{\theta^3}{\theta_{\text{KN}}} \right)^{1/2} \left(\frac{\gamma}{\sigma_e} \right)^2 U_B$
$U_{\text{IC}}[\varepsilon_{\text{IC}}] = \left(\frac{\gamma}{\sigma_e} \right) U_B$	$U_{\text{IC}}[\varepsilon_{\text{IC}}] = \left(\frac{\gamma}{\sigma_e} \right) U_B$
$t_{\text{cool}}[\gamma] = \left(\frac{\theta_{\text{KN}}}{\theta^3} \right)^{1/2} \left(\frac{1}{\gamma} \right) \frac{t_{\text{dyn}}}{\ell_B}$	$t_{\text{cool}}[\gamma] = \left(\frac{1}{\theta\theta_{\text{KN}}} \right)^{1/2} \left(\frac{1}{\sigma_e} \right) \frac{t_{\text{dyn}}}{\ell_B}$
$\tau_{\gamma\gamma}[\varepsilon_{\text{IC}}] = 0$	$\tau_{\gamma\gamma}[\varepsilon_{\text{IC}}] = \left(\frac{\sigma_{\gamma\gamma}}{\sigma_T} \right) (\theta\theta_{\text{KN}})^{1/2} \sigma_e \ell_B$

anisotropy allows magnetically dominated jets to emit in the IC-dominated regime, with hard synchrotron spectra.

This paper is organized as follows. In Section 2, we discuss the general properties of our model. In Section 3, we describe the emitted radiation spectrum. We refer the reader not interested in the technical details of the derivation to Tables 1–6, where we summarize the properties of the radiation spectrum. In Section 4, we discuss the astrophysical implications of our results.

2 PHYSICAL MODEL

We consider a turbulent plasma in the jet rest frame. The plasma may be roughly described as a cloud of some density n_e and size $l \sim R/\Gamma$, where Γ is the jet Lorentz factor at a radius R . The jet carries magnetic field B , and we assume that turbulence is strong, with fluctuations $\delta B \sim B$ on scale l . It is convenient to introduce the ‘electron magnetization’ parameter,

$$\sigma_e = \frac{U_B}{n_e m_e c^2}, \quad (1)$$

where $U_B = B^2/8\pi$ is the magnetic energy density, m_e is the electron mass, and c is the speed of light.⁴ In electron–proton plasmas, the overall magnetization (normalized with respect to the proton rest mass energy) is $\sigma = (m_e/m_p)\sigma_e$, where m_p is the proton mass. In pair plasmas, the overall magnetization is $\sigma = \sigma_e$. The magnetization parameter σ_e is defined as the available magnetic energy per unit electron rest mass energy.

⁴ If electrons are initially relativistically hot, the electron magnetization in equation (1) is usually normalized to the electron enthalpy density.

In the magnetically dominated regime $\sigma \gg 1$, the magnetic energy is dissipated on a time-scale

$$t_{\text{dyn}} = \frac{l}{c} \quad (2)$$

and generates a population of non-thermal particles (e.g. Comisso & Sironi 2018, 2019). The conservation of energy suggests that the impulsive acceleration by reconnection can be described as injection of energetic particles with Lorentz factors $\gamma \sim \sigma_e$. We assume that the injected particles have pitch angles θ (θ is the angle between the particle velocity and the local magnetic field).

First principles simulations of magnetically dominated turbulence mostly focused on pair plasmas. When the plasma has a proton component, we assume that impulsive acceleration by reconnection transfers a large fraction of the magnetic energy to the electrons. Our assumption is supported by studies of relativistic reconnection in electron–proton and electron–positron–proton plasmas (e.g. Ball, Sironi & Öznel 2018; Werner et al. 2018; Petropoulou et al. 2019). Then the energized electrons have Lorentz factors $\gamma \sim \sigma_e$, independent of the plasma composition.

The pitch angle remains constant while the particles cool since the synchrotron and IC photons are emitted nearly along the direction of the particle motion. We consider pitch angles $1/\gamma \lesssim \theta \lesssim 1$, so that the particle momentum transverse to the magnetic field is relativistic. The regime of extremely small pitch angles, $\theta \lesssim 1/\gamma$, has been discussed by Lloyd & Petrosian (2000) and Lloyd-Ronning & Petrosian (2002).

2.1 Electron energy distribution shaped by radiative cooling

The particle injection rate per unit volume may be written as $(n_e/t_{\text{dyn}})\delta[\gamma - \sigma_e]$, where $\delta[\dots]$ is the Dirac delta function. Particles injected with $\gamma \sim \sigma_e$ cool on a time-scale $t_{\text{cool}} \ll t_{\text{dyn}}$ and form a steady distribution $dn_e/d\gamma$ described by

$$\frac{d}{d\gamma} \left(\dot{\gamma} \frac{dn_e}{d\gamma} \right) + \frac{n_e}{t_{\text{dyn}}} \delta[\gamma - \sigma_e] = 0, \quad (3)$$

where $\dot{\gamma}$ is the rate of change of γ due to radiative losses. We are neglecting the effect of pair creation via two-photon annihilation (we discuss this assumption in Section 4.2.2). Integrating equation (3), one finds

$$\frac{dn_e}{d\gamma} = -\frac{n_e}{t_{\text{dyn}}\dot{\gamma}}. \quad (4)$$

The particle distribution extends from $\gamma = \sigma_e$ down to $\gamma = \gamma_{\text{cool}}$, where γ_{cool} is defined by the condition that the particle cooling time is equal to the dynamical time, i.e. $t_{\text{dyn}} = -\gamma/\dot{\gamma}$. By definition, in the fast cooling regime we have $\gamma_{\text{cool}} \ll \sigma_e$.

The particle loses energy via synchrotron and IC emission with rate $\dot{\gamma}m_e c^2 = -P_s[\gamma] - P_{\text{IC}}[\gamma]$. The synchrotron power is

$$P_s[\gamma] \simeq c\sigma_T\theta^2 U_B \gamma^2, \quad (5)$$

where σ_T is the Thomson cross-section. We have taken into account that the synchrotron power is suppressed by a factor $\sin^2\theta \sim \theta^2$ when the energized particles have small pitch angles θ . The IC power is

$$P_{\text{IC}}[\gamma] \simeq c\sigma_T U_{\text{s,av}} \gamma^2, \quad (6)$$

where $U_{\text{s,av}}$ is the ‘available’ energy density of the synchrotron photons, i.e. the energy density of the synchrotron photons with energies smaller than the Klein–Nishina threshold,⁵ $m_e c^2/\gamma$. The net

cooling rate of the particle is then

$$\dot{\gamma} = -\frac{\sigma_T\gamma^2}{m_e c} (\theta^2 U_B + U_{\text{s,av}}). \quad (7)$$

Note that we have assumed the synchrotron radiation field to be approximately isotropic. This assumption relies on the fact that the magnetic field is tangled on the scale of the emitting cloud, as expected for strong turbulence with $\delta B \sim B$.

2.2 Synchrotron and IC radiation

Electrons with Lorentz factor γ radiate synchrotron photons of energy,

$$\varepsilon_s[\gamma] \simeq \theta\gamma^2 \left(\frac{B}{B_q} \right) m_e c^2, \quad (8)$$

where $B_q = m_e^2 c^3 / \hbar e = 4.4 \times 10^{13}$ G (\hbar is the reduced Planck constant and e is the electron charge), and θ is the pitch angle. Each particle radiates a synchrotron spectrum that peaks at ε_s , has a slope of 4/3 below the peak, and an exponential cut-off above the peak. When the spectrum is convolved with an electron distribution, the net result is similar to what would be obtained if each particle emits all synchrotron photons with $\varepsilon_s[\gamma]$. This approximation is used throughout this paper.

Most of the synchrotron energy is carried by photons with energy $\varepsilon_{\text{s,pk}} = \varepsilon_s[\sigma_e]$. The photons with energies $\varepsilon_{\text{s,pk}}$ are the main targets for IC scattering by an electron with Lorentz factor γ as long as their scattering can occur in the Thomson regime, i.e. $\gamma \lesssim m_e c^2 / \varepsilon_{\text{s,pk}}$. The resulting IC photons have energies $\varepsilon_{\text{IC}} \simeq \gamma^2 \varepsilon_{\text{s,pk}}$. In the opposite case, $\gamma \gtrsim m_e c^2 / \varepsilon_{\text{s,pk}}$, the electron mainly scatters photons with $\varepsilon_s \simeq m_e c^2 / \gamma$ above which IC scattering is suppressed by the Klein–Nishina effects. Then, the IC photons carry a significant fraction of the electron energy $\gamma m_e c^2$. The two regimes may be summarized as

$$\varepsilon_{\text{IC}}[\gamma] \simeq \min [\gamma^2 \varepsilon_{\text{s,pk}}, \gamma m_e c^2]. \quad (9)$$

We define $U[\varepsilon]$ as the radiation energy density of photons per unit of $\log \varepsilon$. Our goal is to evaluate $U_s[\varepsilon_s]$ and $U_{\text{IC}}[\varepsilon_{\text{IC}}]$ for the synchrotron and IC radiation. We assume that the current sheets are uniformly distributed throughout the plasma cloud. Then the radiation energy density is also approximately uniform. Since photons escape from the plasma cloud on a time-scale $t_{\text{esc}} = t_{\text{dyn}} = l/c$, the energy density of radiation generated by electrons with Lorentz factors $\sim \gamma$ is $U_s + U_{\text{IC}} = \gamma (dn_e/d\gamma) (P_s + P_{\text{IC}}) t_{\text{dyn}}$, which gives

$$U_s + U_{\text{IC}} = \left(\frac{\gamma}{\sigma_e} \right) U_B. \quad (10)$$

Since we assumed that the magnetic energy converts to heat on the light crossing time l/c , and the heat quickly converts to radiation, energetic electrons with $\gamma \sim \sigma_e$ emit a total radiation energy density $U_s + U_{\text{IC}} \sim U_B$.

The synchrotron fraction $f_s = U_s/(U_s + U_{\text{IC}}) = P_s/(P_s + P_{\text{IC}}) = \theta^2 U_B / (\theta^2 U_B + U_{\text{s,av}})$ gives

$$U_s[\varepsilon_s] = \frac{\theta^2 U_B}{\theta^2 U_B + U_{\text{s,av}}} \left(\frac{\gamma}{\sigma_e} \right) U_B, \quad (11)$$

where $\varepsilon_s[\gamma]$ is given by equation (8). When IC scattering occurs in the Thomson limit, and therefore $U_{\text{s,av}}$ is independent of γ , from equations (8) and (11) we recover the familiar result that

⁵When the spectrum of the target photons is described by a power law, i.e. $\nu F_\nu \propto \nu^\alpha$, IC losses are dominated by scattering of photons near the Klein–

Nishina threshold for $\alpha \lesssim 3/2$ (e.g. Moderski et al. 2005). This condition is always satisfied by target synchrotron photons since $\alpha \lesssim 4/3$.

$U_s \propto \gamma \propto \varepsilon_s^{1/2}$. The synchrotron spectrum reaches the peak at $\epsilon_{s,\text{pk}}$ and is exponentially suppressed at $\epsilon_s \gtrsim \epsilon_{s,\text{pk}}$. The IC fraction $f_{\text{IC}} = 1 - f_s$ gives

$$U_{\text{IC}}[\varepsilon_{\text{IC}}] = \frac{U_{s,\text{av}}}{\theta^2 U_B + U_{s,\text{av}}} \left(\frac{\gamma}{\sigma_e} \right) U_B, \quad (12)$$

where $\varepsilon_{\text{IC}}[\gamma]$ is given by equation (9). When the IC scattering occurs in the Thomson limit, and therefore $U_{s,\text{av}}$ is independent of γ , from equations (9) and (12) we recover the familiar result that $U_{\text{IC}} \propto \gamma \propto \varepsilon_{\text{IC}}^{1/2}$.

2.3 Electron cooling time and electron energy density

Using equations (7) and (11), the particle cooling time, $t_{\text{cool}}[\gamma] = -\gamma/\dot{\gamma}$, can be conveniently expressed as

$$t_{\text{cool}}[\gamma] = \frac{U_s}{U_B} \frac{\sigma_e}{\theta^2 \gamma^2} \frac{t_{\text{dyn}}}{\ell_B}, \quad (13)$$

where

$$\ell_B = \frac{\sigma_T U_B t_{\text{dyn}}}{m_e c} \quad (14)$$

is the magnetic compactness. When particles are isotropic, i.e. $\theta \sim 1$, cooling is dominated by synchrotron, and then $U_s = (\gamma/\sigma_e)U_B$. In this case, equation (13) gives $t_{\text{cool}} = t_{\text{dyn}}/\gamma\ell_B$. Within a dynamical time, electrons cool down to Lorentz factors $\gamma_{\text{cool}} \simeq \max[1/\ell_B, 1]$.

Using equations (4) and (11), the energy density of the electrons with Lorentz factors $\sim \gamma$, $U_e[\gamma] = (\gamma m_e c^2) [\gamma (dn_e/d\gamma)]$, can be expressed as

$$U_e[\gamma] = \frac{U_s}{\gamma \theta^2 \ell_B} = \frac{t_{\text{cool}}}{t_{\text{dyn}}} \left(\frac{\gamma}{\sigma_e} \right) U_B. \quad (15)$$

The electron energy density is smaller than the energy density of the emitted radiation by the factor $t_{\text{cool}}/t_{\text{dyn}} \ll 1$. When a significant fraction of the magnetic energy is dissipated, the ratio of magnetic and electron energy densities is $U_B/U_e \sim t_{\text{dyn}}/t_{\text{cool}}^{\text{pk}}$, where we have defined $t_{\text{cool}}^{\text{pk}} = t_{\text{cool}}[\sigma_e]$.

2.4 Optical depth for pair production

IC photons of energy ε_{IC} may annihilate with target synchrotron photons of energy $\varepsilon_s \gtrsim \varepsilon_{\text{thr}} \simeq m_e^2 c^4 / \varepsilon_{\text{IC}}$, and create an electron-positron pair. There are targets for photon-photon collisions if $\varepsilon_{\text{thr}} \lesssim \varepsilon_{s,\text{pk}}$. This occurs if the IC photon was emitted in the Klein-Nishina regime with $\varepsilon_{\text{IC}} \simeq \gamma m_e c^2$. Since $\varepsilon_{\text{thr}} \simeq m_e c^2 / \gamma$, the number density of the target synchrotron photons is $n_{\text{thr}} \simeq (\gamma/m_e c^2) U_{s,\text{av}}$. The optical depth for pair production is $\tau_{\gamma\gamma} = \sigma_{\gamma\gamma} c t_{\text{dyn}} n_{\text{thr}}$ where $\sigma_{\gamma\gamma}$ depends on the spectrum of the target synchrotron photons, and is a fraction of σ_T (e.g. Svensson 1987).

The optical depth for pair production, $\tau_{\gamma\gamma}$, can be conveniently expressed as a function of U_s and U_{IC} . When $U_{s,\text{av}} \lesssim \theta^2 U_B$, from equation (12) we may estimate $U_{s,\text{av}} = \theta^2 (\sigma_e/\gamma) U_{\text{IC}}$. Then the optical depth for pair production is

$$\tau_{\gamma\gamma}[\varepsilon_{\text{IC}}] = \frac{\sigma_{\gamma\gamma}}{\sigma_T} \frac{U_{\text{IC}}}{U_B} \theta^2 \sigma_e \ell_B. \quad (16)$$

When instead $U_{s,\text{av}} \gtrsim \theta^2 U_B$, from equation (11) we may estimate $U_{s,\text{av}} = \theta^2 (\gamma/\sigma_e) (U_B/U_s) U_B$. Then the optical depth is

$$\tau_{\gamma\gamma}[\varepsilon_{\text{IC}}] = \frac{\sigma_{\gamma\gamma}}{\sigma_T} \frac{U_B}{U_s} \frac{\theta^2 \gamma^2}{\sigma_e} \ell_B. \quad (17)$$

In this case, we see that $\tau_{\gamma\gamma} = (\sigma_{\gamma\gamma}/\sigma_T) (t_{\text{dyn}}/t_{\text{cool}})$, which may exceed unity in fast cooling conditions (however, note that $\sigma_{\gamma\gamma} \lesssim \sigma_T$).

When $\tau_{\gamma\gamma} \gtrsim 1$, a full Monte Carlo simulation of the pair cascade may be needed to model the radiated spectrum (see Beloborodov, Hascoët & Vurm 2014, where such simulations are performed for IC cascades in shock-heated plasma). In this paper, we limit our analysis to the regime where synchrotron radiation from the secondary pairs does not dominate the emitted spectrum. This condition is further discussed in Section 4.2.2.

3 RADIATION SPECTRUM

In this section we describe the spectrum of synchrotron and IC radiation. We refer the reader not interested in the technical details of the derivation to Tables 1–6, where we summarize our results. We use analytical estimates, neglecting numerical factors of order unity, to identify the possible emission regimes, and evaluate the spectral slope of the produced radiation in each regime.

The radiation spectrum depends on the electron distribution function $dn_e/d\gamma$, which is shaped by cooling. Depending on the parameters of the problem (in particular the particle pitch angle θ), the cooling may be dominated by synchrotron or IC losses, and the IC losses may occur in Thomson or Klein–Nishina regimes. Scattering occurs in the Thomson regime for electron Lorentz factors $\gamma \lesssim \gamma_{\text{KN}}$, and in the Klein–Nishina regime for $\gamma \gtrsim \gamma_{\text{KN}}$. The Lorentz factor γ_{KN} is determined by the condition $\gamma_{\text{KN}} \varepsilon_{s,\text{pk}} = m_e c^2$, which gives

$$\gamma_{\text{KN}} = \left(\frac{\theta_{\text{KN}}}{\theta} \right) \sigma_e, \quad (18)$$

where we have defined

$$\theta_{\text{KN}} = \frac{1}{\sigma_e^3} \left(\frac{B_q}{B} \right). \quad (19)$$

When $\theta \lesssim \theta_{\text{KN}}$ (and therefore $\sigma_e \lesssim \gamma_{\text{KN}}$), IC scattering occurs in the Thomson regime for all the particles in the system. When $\theta \gtrsim \theta_{\text{KN}}$, IC scattering occurs in the Thomson regime for $\gamma \lesssim \gamma_{\text{KN}}$, and in the Klein–Nishina regime for $\gamma_{\text{KN}} \lesssim \gamma \lesssim \sigma_e$.

Electrons with $\gamma \lesssim \gamma_{\text{KN}}$ IC scatter any synchrotron photons (with energies ε_s up to the maximum $\varepsilon_{s,\text{pk}}$) in the Thomson regime. Since photons of energy $\varepsilon_{s,\text{pk}}$ carry most of the synchrotron energy, $U_{s,\text{av}} \simeq U_s[\varepsilon_{s,\text{pk}}]$, so equations (11) and (12) become

$$U_s[\varepsilon_s] = \frac{\theta^2 U_B}{\theta^2 U_B + U_s[\varepsilon_{s,\text{pk}}]} \left(\frac{\gamma}{\sigma_e} \right) U_B \quad (20)$$

and

$$U_{\text{IC}}[\varepsilon_{\text{IC}}] = \frac{U_s[\varepsilon_{s,\text{pk}}]}{\theta^2 U_B + U_s[\varepsilon_{s,\text{pk}}]} \left(\frac{\gamma}{\sigma_e} \right) U_B. \quad (21)$$

Electrons with $\gamma \gtrsim \gamma_{\text{KN}}$ IC scatter photons of energy $\varepsilon_{s,\text{pk}}$ in the Klein–Nishina regime. Since IC losses are dominated by scattering of photons near the Klein–Nishina threshold, the available synchrotron radiation is $U_{s,\text{av}} = U_s[\varepsilon_0]$, where we have defined

$$\varepsilon_0[\gamma] = \frac{m_e c^2}{\gamma}. \quad (22)$$

Equations (11) and (12) now become

$$U_s[\varepsilon_s] = \frac{\theta^2 U_B}{\theta^2 U_B + U_s[\varepsilon_0]} \left(\frac{\gamma}{\sigma_e} \right) U_B \quad (23)$$

and

$$U_{\text{IC}}[\varepsilon_{\text{IC}}] = \frac{U_s[\varepsilon_0]}{\theta^2 U_B + U_s[\varepsilon_0]} \left(\frac{\gamma}{\sigma_e} \right) U_B. \quad (24)$$

Particle cooling is dominated by synchrotron when $U_s[\varepsilon_0] \lesssim \theta^2 U_B$ [in this case, we find that $U_{\text{IC}} \lesssim U_s \simeq (\gamma/\sigma_e) U_B$]. Particle cooling

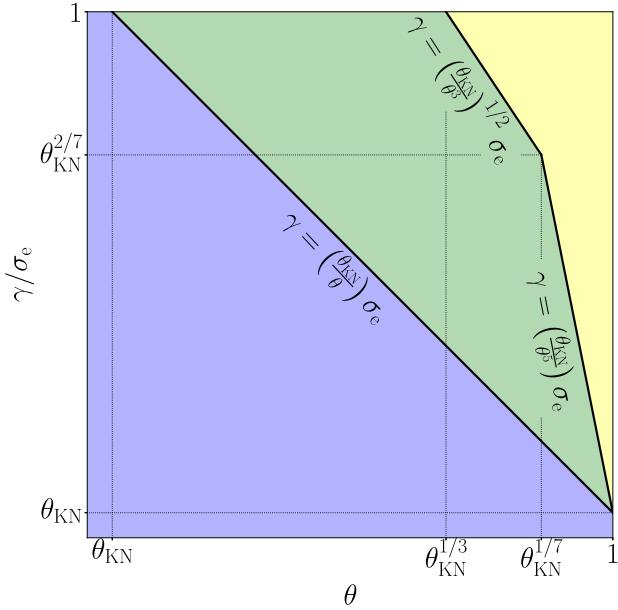


Figure 1. Cooling regime for particles with Lorentz factor γ and pitch angle θ . Yellow: synchrotron-dominated cooling. Green: IC-dominated cooling (Klein–Nishina regime). Blue: IC-dominated cooling (Thomson regime). Particles are injected with $\gamma \sim \sigma_e$, and cool down at constant θ .

is dominated by IC when $U_s[\varepsilon_0] \gtrsim \theta^2 U_B$ [in this case, we find that $U_s \lesssim U_{IC} \simeq (\gamma/\sigma_e) U_B$]. Since $U_s[\varepsilon_0]$ is a decreasing function of γ , IC cooling generally dominates for small Lorentz factors, and synchrotron cooling dominates for large Lorentz factors. The cooling regimes for particles with Lorentz factor γ and pitch angle θ are summarized in Fig. 1.

The Lorentz factor γ_0 of the electrons that emit synchrotron photons of energy ε_0 is determined by solving

$$\varepsilon_s[\gamma_0] = \varepsilon_0[\gamma], \quad (25)$$

which gives

$$\gamma_0[\gamma] = \left(\frac{\theta_{KN}}{\theta} \right)^{1/2} \left(\frac{\sigma_e}{\gamma} \right)^{1/2} \sigma_e. \quad (26)$$

In the formulas for radiation spectra given below it will be convenient to use the Lorentz factor γ_* defined by $\gamma_* = \gamma_0[\gamma_*]$, which gives

$$\gamma_* = \left(\frac{\theta_{KN}}{\theta} \right)^{1/3} \sigma_e. \quad (27)$$

Particles with $\gamma = \gamma_*$ IC scatter at the Klein–Nishina threshold the synchrotron photons that they themselves emit. For these particles $U_s[\varepsilon_0] = U_s[\varepsilon_*]$, where we have defined $\varepsilon_* = \varepsilon_s[\gamma_*]$. Then substituting $\gamma = \gamma_*$ into equation (23) gives

$$U_s[\varepsilon_*] = \frac{2(\theta_{KN}/\theta)^{1/3}}{1 + \sqrt{1 + 4(\theta_{KN}/\theta)^7}^{1/3}} U_B. \quad (28)$$

Equations (20)–(21) and (23)–(24) can be used to find the radiation spectrum in all possible regimes.

3.1 Thomson regime

The synchrotron and IC spectra are easily determined in the Thomson regime,

$$\theta \lesssim \theta_{KN}. \quad (29)$$

In this regime, even the most energetic particles in the system, with Lorentz factors $\gamma = \sigma_e$, IC scatter photons of energy $\varepsilon_{s,pk}$ in the Thomson regime.

Electrons with $\gamma = \sigma_e$ emit synchrotron photons of energy $\varepsilon_{s,pk}$, and IC photons of energy $\varepsilon_{IC,pk} = \sigma_e^2 \varepsilon_{s,pk}$. Substituting $\gamma = \sigma_e$ into equation (20) we find that $U_s[\varepsilon_{s,pk}] = \left[(\sqrt{\theta^4 + 4\theta^2} - \theta^2) / 2 \right] U_B$. Then $U_{IC}[\varepsilon_{IC,pk}] = U_B - U_s[\varepsilon_{s,pk}] = \left[(2 + \theta^2 - \sqrt{\theta^4 + 4\theta^2}) / 2 \right] U_B$.

When particles are isotropic, i.e. $\theta \sim 1$, the above expressions give $U_s[\varepsilon_{s,pk}] \sim U_{IC}[\varepsilon_{IC,pk}] \sim U_B$. When particles are strongly anisotropic, i.e. $\theta \ll 1$, the expressions give $U_s[\varepsilon_{s,pk}] \sim \theta U_B$, and $U_{IC}[\varepsilon_{IC,pk}] \sim U_B$. A simple approximation is then $U_s[\varepsilon_{s,pk}] = \theta U_B$ and $U_{IC}[\varepsilon_{IC,pk}] = U_B$. Then the synchrotron spectrum is

$$U_s[\varepsilon_s] = \theta \left(\frac{\gamma}{\sigma_e} \right) U_B \propto \varepsilon_s^{1/2}, \quad (30)$$

and the IC spectrum is

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\gamma}{\sigma_e} \right) U_B \propto \varepsilon_{IC}^{1/2} \quad (31)$$

for all Lorentz factors $\gamma \lesssim \sigma_e$. Note that $U_s/U_{IC} \sim \theta$. Our results are summarized in Table 1.

3.2 Klein–Nishina regime

3.2.1 Large pitch angles

First, we consider the regime of large pitch angles,

$$\theta_{KN}^{1/7} \lesssim \theta \lesssim 1. \quad (32)$$

In this regime synchrotron dominates the cooling of the most energetic particles. Equation (28) gives $U_s[\varepsilon_*] = (\theta_{KN}/\theta)^{1/3} U_B$. Then particles with $\gamma = \gamma_*$ have $U_s[\varepsilon_0] = (\theta_{KN}/\theta)^{1/3} U_B \lesssim \theta^2 U_B$. Since $U_s[\varepsilon_0]$ is a decreasing function of γ , also particles with $\gamma = \sigma_e$ have $U_s[\varepsilon_0] \lesssim \theta^2 U_B$. Then $U_s[\varepsilon_{s,pk}] = U_B$, where $\varepsilon_{s,pk} = \varepsilon_s[\sigma_e]$.

The synchrotron spectrum has two breaks. A low energy break occurs when IC cooling transitions from the Thomson regime (for $\gamma \lesssim \gamma_{KN}$) to the Klein–Nishina regime (for $\gamma \gtrsim \gamma_{KN}$). A high energy break occurs when cooling transitions from the IC-dominated regime (for $\gamma \lesssim \gamma_b$) to the synchrotron-dominated regime (for $\gamma \gtrsim \gamma_b$). The Lorentz factor γ_b is determined by the condition that $U_s[\varepsilon_0] = \theta^2 U_B$. Since $U_s[\varepsilon_0] = (\theta_{KN}/\theta)^{1/3} U_B \lesssim \theta^2 U_B$ for $\gamma = \gamma_*$, and $U_s[\varepsilon_0] = U_B \gtrsim \theta^2 U_B$ for $\gamma = \gamma_{KN}$, we have $\gamma_{KN} \lesssim \gamma_b \lesssim \gamma_*$. Below we show that $\gamma_b = (\theta_{KN}/\theta^5) \sigma_e$.

The synchrotron spectrum is easily determined when $\gamma \lesssim \gamma_{KN}$, and when $\gamma \gtrsim \gamma_b$. When $\gamma \lesssim \gamma_{KN}$, IC scattering occurs in the Thomson regime. Since $U_s[\varepsilon_{s,pk}] \simeq U_B$, equation (20) gives

$$U_s[\varepsilon_s] = \theta^2 \left(\frac{\gamma}{\sigma_e} \right) U_B \propto \varepsilon_s^{1/2}. \quad (33)$$

When $\gamma \gtrsim \gamma_b$, IC scattering occurs in the Klein–Nishina regime. However, synchrotron is the dominant cooling channel since $U_s[\varepsilon_0] \lesssim \theta^2 U_B$. Then equation (23) gives

$$U_s[\varepsilon_s] = \left(\frac{\gamma}{\sigma_e} \right) U_B \propto \varepsilon_s^{1/2}. \quad (34)$$

When $\gamma_{KN} \lesssim \gamma \lesssim \gamma_b$, IC scattering occurs in the Klein–Nishina regime, and IC is the dominant cooling channel since $U_s[\varepsilon_0] \gtrsim \theta^2 U_B$. Then equation (23) gives $U_s[\varepsilon_s] = (\theta^2 U_B/U_s[\varepsilon_0])(\gamma/\sigma_e) U_B$, which can be easily calculated once $U_s[\varepsilon_0]$ is known. Since $\gamma \lesssim \gamma_b \lesssim \gamma_*$, we have $\gamma_0[\gamma] \gtrsim \gamma_* \gtrsim \gamma_b$. Then equation (34) gives $U_s[\varepsilon_0] =$

$(\gamma_0/\sigma_e)U_B = (\theta_{KN}/\theta)^{1/2}(\sigma_e/\gamma)^{1/2}U_B$. Then

$$U_s[\varepsilon_s] = \left(\frac{\theta^5}{\theta_{KN}}\right)^{1/2} \left(\frac{\gamma}{\sigma_e}\right)^{3/2} U_B \propto \varepsilon_s^{3/4}. \quad (35)$$

Equations (34) and (35) should match at γ_b , which gives

$$\gamma_b = \left(\frac{\theta_{KN}}{\theta^5}\right) \sigma_e. \quad (36)$$

One can easily verify that $U_s[\varepsilon_0] = \theta^2 U_B$ for $\gamma = \gamma_b$. Then particle cooling is dominated by IC for Lorentz factors $\gamma \lesssim \gamma_b$, and by synchrotron for $\gamma \gtrsim \gamma_b$.

The IC spectrum has a low energy break when IC scattering transitions from the Thomson regime (for $\gamma \lesssim \gamma_{KN}$) to the Klein–Nishina regime (for $\gamma \gtrsim \gamma_{KN}$). Another break occurs when cooling transitions from the IC-dominated regime (for $\gamma \lesssim \gamma_b$) to the synchrotron-dominated regime (for $\gamma \gtrsim \gamma_b$). In the synchrotron-dominated regime (for $\gamma \gtrsim \gamma_b$), additional breaks occur when the Klein–Nishina threshold energy, $\varepsilon_0 = m_e c^2/\gamma$, passes through a break of the synchrotron spectrum.

When $\gamma \lesssim \gamma_b$, cooling is dominated by IC, and equation (24) immediately gives

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\gamma}{\sigma_e}\right) U_B. \quad (37)$$

Then $U_{IC} \propto \gamma \propto \varepsilon_{IC}^{1/2}$ for $\gamma \lesssim \gamma_{KN}$, and $U_{IC} \propto \varepsilon_{IC}$ for $\gamma_{KN} \lesssim \gamma \lesssim \gamma_b$. When $\gamma_b \lesssim \gamma \lesssim \sigma_e$, cooling is dominated by synchrotron, and IC scattering occurs in the Klein–Nishina regime. Since $U_s[\varepsilon_0] \lesssim \theta^2 U_B$, equation (24) gives $U_{IC}[\varepsilon_{IC}] = (U_s[\varepsilon_0]/\theta^2 U_B)(\gamma/\sigma_e)U_B$, which can be easily calculated once $U_s[\varepsilon_0]$ is known. There are two cases: (i) if $\gamma_b \lesssim \gamma \lesssim (\theta^9/\theta_{KN})\sigma_e$, we have $\gamma_b \lesssim \gamma_0[\gamma] \lesssim \sigma_e$. Then equation (34) gives $U_s[\varepsilon_0] = (\gamma_0/\sigma_e)U_B = (\theta_{KN}/\theta)^{1/2}(\sigma_e/\gamma)^{1/2}U_B$, and

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\theta_{KN}}{\theta^5}\right)^{1/2} \left(\frac{\gamma}{\sigma_e}\right)^{1/2} U_B. \quad (38)$$

Then $U_{IC} \propto \gamma^{1/2} \propto \varepsilon_{IC}^{1/2}$. On the other hand, (ii) if $\gamma \gtrsim (\theta^9/\theta_{KN})\sigma_e$, we have $\gamma_{KN} \lesssim \gamma_0[\gamma] \lesssim \gamma_b$. Then equation (35) gives $U_s[\varepsilon_0] = (\theta^5/\theta_{KN})^{1/2}(\gamma_0/\sigma_e)^{3/2}U_B = (\theta^7\theta_{KN})^{1/4}(\sigma_e/\gamma)^{3/4}U_B$, and

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\theta_{KN}}{\theta}\right)^{1/4} \left(\frac{\gamma}{\sigma_e}\right)^{1/4} U_B. \quad (39)$$

Then $U_{IC} \propto \gamma^{1/4} \propto \varepsilon_{IC}^{1/4}$. Our results are summarized in Tables 5 and 6.

Our results significantly simplify in the standard case of an isotropic pitch angle distribution, i.e. $\theta \sim 1$. The synchrotron spectrum is $U_s = (\gamma/\sigma_e)U_B$. The IC spectrum is $U_{IC} = (\gamma/\sigma_e)U_B$ for $\gamma \lesssim \gamma_{KN}$, and $U_{IC} = \theta_{KN}^{1/2}(\gamma/\sigma_e)^{1/2}U_B$ for $\gamma_{KN} \lesssim \gamma \lesssim \sigma_e$. Then one recovers the familiar result that $U_s \propto \varepsilon_s^{1/2}$, and $U_{IC} \propto \varepsilon_{IC}^{1/2}$.

3.2.2 Small pitch angles

Next, we consider the regime of small pitch angles,

$$\theta_{KN} \lesssim \theta \lesssim \theta_{KN}^{1/3}. \quad (40)$$

As we show in the following, in this regime IC dominates the cooling for all the particles. Then synchrotron is radiatively inefficient, i.e. $U_s[\varepsilon_{s,pk}] \lesssim U_B$.

The synchrotron spectrum has one break. The break occurs when IC cooling transitions from the Thomson regime (for $\gamma \lesssim \gamma_{KN}$) to the Klein–Nishina regime (for $\gamma \gtrsim \gamma_{KN}$).

When $\gamma \gtrsim \gamma_{KN}$, IC scattering occurs in the Klein–Nishina regime, and $U_s[\varepsilon_0] \gtrsim \theta^2 U_B$. Then equation (23) gives

$$\frac{U_s[\varepsilon_s]}{U_B} = \frac{\theta^2\gamma}{\sigma_e} \frac{U_B}{U_s[\varepsilon_0]}. \quad (41)$$

For $\gamma_{KN} \lesssim \gamma \lesssim \sigma_e$, we have $\gamma_{KN} \lesssim \gamma_0[\gamma] \lesssim \sigma_e$. Then equation (41) has a power-law solution, $U_s[\varepsilon_s] \propto \varepsilon_s^\alpha \propto \gamma^{2\alpha}$, and $U_s[\varepsilon_0] \propto \varepsilon_0^\alpha \propto \gamma^{-\alpha}$. Then $\gamma^{2\alpha} \propto \gamma^{1+\alpha}$, and therefore $\alpha = 1$. The normalization of the spectrum can be determined from equation (28), which gives $U_s[\varepsilon_*] = (\theta^5\theta_{KN})^{1/6}U_B$ when $\theta \lesssim \theta_{KN}^{1/7}$. Then

$$U_s[\varepsilon_s] = \left(\frac{\theta^3}{\theta_{KN}}\right)^{1/2} \left(\frac{\gamma}{\sigma_e}\right)^2 U_B \propto \varepsilon_s. \quad (42)$$

Substituting $\gamma = \sigma_e$ into equation (42), we see that that synchrotron is radiatively inefficient when $\theta \lesssim \theta_{KN}^{1/3}$.

When $\gamma \lesssim \gamma_{KN}$, IC scattering occurs in the Thomson regime, and $U_s[\varepsilon_{s,pk}] = (\theta^3/\theta_{KN})^{1/2}U_B$. Then equation (20) gives

$$U_s[\varepsilon_s] = (\theta\theta_{KN})^{1/2} \left(\frac{\gamma}{\sigma_e}\right) U_B \propto \varepsilon_s^{1/2}. \quad (43)$$

Since cooling is dominated by IC, equation (24) immediately gives

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\gamma}{\sigma_e}\right) U_B. \quad (44)$$

Then $U_{IC} \propto \gamma \propto \varepsilon_{IC}^{1/2}$ for $\gamma \lesssim \gamma_{KN}$, and $U_{IC} \propto \varepsilon_{IC}$ for $\gamma_{KN} \lesssim \gamma \vee \sigma_e$. Our results are summarized in Table 2.

3.2.3 Intermediate pitch angles

Finally, we consider the regime of intermediate pitch angles,

$$\theta_{KN}^{1/3} \lesssim \theta \lesssim \theta_{KN}^{1/7}. \quad (45)$$

In this regime synchrotron dominates the cooling of the most energetic particles, i.e. $U_s[\varepsilon_0] \lesssim \theta^2 U_B$ for $\gamma = \sigma_e$. Substituting $\gamma = \sigma_e$ into equation (23) gives $U_s[\varepsilon_{s,pk}] = U_B$. However, IC dominates the cooling of particles with $\gamma = \gamma_*$, i.e. $U_s[\varepsilon_0] \gtrsim \theta^2 U_B$ for $\gamma = \gamma_*$. Then radiation has a different spectrum with respect to the case of large pitch angles, i.e. $\theta_{KN}^{1/7} \lesssim \theta \lesssim 1$.

The synchrotron spectrum has three breaks. A low energy break occurs when IC cooling transitions from the Thomson regime (for $\gamma \lesssim \gamma_{KN}$) to the Klein–Nishina regime (for $\gamma \gtrsim \gamma_{KN}$). A high energy break occurs when cooling transitions from the IC-dominated regime (for $\gamma \lesssim \gamma_b$) to the synchrotron-dominated regime (for $\gamma \gtrsim \gamma_b$). In this regime of pitch angles, we have $\gamma_b \gtrsim \gamma_*$. An intermediate energy break appears at $\gamma = \gamma_i$, when the Klein–Nishina threshold energy, $\varepsilon_0[\gamma] = m_e c^2/\gamma$, passes through the high energy spectral break, $\varepsilon_s[\gamma_b]$. Then $\varepsilon_0[\gamma_i] = \varepsilon_s[\gamma_b]$, which gives $\gamma_b = \gamma_0[\gamma_i]$. In the following we show that $\gamma_b = (\theta_{KN}/\theta^3)^{1/2}\sigma_e$, and $\gamma_i = \theta^2\sigma_e$.⁶

The synchrotron spectrum is easily determined when $\gamma \lesssim \gamma_{KN}$, and when $\gamma \gtrsim \gamma_b$. When $\gamma \lesssim \gamma_{KN}$, IC scattering occurs in the Thomson regime, and $U_s[\varepsilon_{s,pk}] = U_B$. Then equation (20) gives

$$U_s[\varepsilon_s] = \theta^2 \left(\frac{\gamma}{\sigma_e}\right) U_B \propto \varepsilon_s^{1/2}. \quad (46)$$

⁶When the pitch angle is $\theta = \theta_{KN}^{1/7}$, we have $\gamma_b = \gamma_*$. The high energy break merges with the intermediate energy break, i.e. $\gamma_b = \gamma_i = \gamma_*$. For larger pitch angles, $\theta \gtrsim \theta_{KN}^{1/7}$, we have only one break at $\gamma_b \lesssim \gamma_*$.

When $\gamma \gtrsim \gamma_b$, IC scattering occurs in the Klein–Nishina regime, and $U_s[\varepsilon_0] \lesssim \theta^2 U_B$. Then equation (23) gives

$$U_s[\varepsilon_s] = \left(\frac{\gamma}{\sigma_e} \right) U_B \propto \varepsilon_s^{1/2}. \quad (47)$$

When $\gamma_{KN} \lesssim \gamma \lesssim \gamma_i$, IC scattering occurs in the Klein–Nishina regime, and $U_s[\varepsilon_0] \gtrsim \theta^2 U_B$. Then equation (23) gives $U_s[\varepsilon_s] = (\theta^2 U_B / U_s[\varepsilon_0]) (\gamma / \sigma_e) U_B$, which can be easily calculated once $U_s[\varepsilon_0]$ is known. Since $\gamma \lesssim \gamma_i$, and $\gamma_0[\gamma_i] = \gamma_b$, we have $\gamma_0[\gamma] \gtrsim \gamma_b$. Then equation (47) gives $U_s[\varepsilon_0] = (\gamma_0 / \sigma_e) U_B = (\theta_{KN} / \theta)^{1/2} (\sigma_e / \gamma)^{1/2} U_B$. Then

$$U_s[\varepsilon_s] = \left(\frac{\theta^5}{\theta_{KN}} \right)^{1/2} \left(\frac{\gamma}{\sigma_e} \right)^{3/2} U_B \propto \varepsilon_s^{3/4}. \quad (48)$$

When $\gamma_i \lesssim \gamma \lesssim \gamma_b$, we have $\gamma_i \lesssim \gamma_0[\gamma] \lesssim \gamma_b$. The same arguments used to derive equation (42) give

$$U_s[\varepsilon_s] = \left(\frac{\theta^3}{\theta_{KN}} \right)^{1/2} \left(\frac{\gamma}{\sigma_e} \right)^2 U_B \propto \varepsilon_s. \quad (49)$$

The Lorentz factors γ_b and γ_i can be determined by requiring that U_s is a continuous function of γ . Then

$$\gamma_b = \left(\frac{\theta_{KN}}{\theta^3} \right)^{1/2} \sigma_e \quad (50)$$

and

$$\gamma_i = \theta^2 \sigma_e. \quad (51)$$

The IC spectrum is easily determined when $\gamma \lesssim \gamma_b$. Since cooling is dominated by IC, equation (24) immediately gives

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\gamma}{\sigma_e} \right) U_B. \quad (52)$$

Then $U_{IC} \propto \gamma \propto \varepsilon_{IC}^{1/2}$ for $\gamma \lesssim \gamma_{KN}$, and $U_{IC} \propto \varepsilon_{IC}$ for $\gamma_{KN} \lesssim \gamma \lesssim \gamma_b$. When $\gamma_b \lesssim \gamma \lesssim \sigma_e$, cooling is dominated by synchrotron, and IC scattering occurs in the Klein–Nishina regime. Since $U_s[\varepsilon_0] \lesssim \theta^2 U_B$, equation (24) gives $U_{IC}[\varepsilon_{IC}] = (U_s[\varepsilon_0] / \theta^2 U_B) (\gamma / \sigma_e) U_B$, which can be easily calculated once $U_s[\varepsilon_0]$ is known. There are two cases: (i) if $\gamma_b \lesssim \gamma \lesssim (\theta_{KN} / \theta^5) \sigma_e$, we have $\gamma_i \lesssim \gamma_0[\gamma] \lesssim \gamma_b$. Then equation (49) gives $U_s[\varepsilon_0] = (\theta^3 / \theta_{KN})^{1/2} (\gamma_0 / \sigma_e)^2 U_B = (\theta \theta_{KN})^{1/2} (\sigma_e / \gamma)^{3/4} U_B$, and

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\theta_{KN}}{\theta^3} \right)^{1/2} U_B. \quad (53)$$

Then $U_{IC} \propto \gamma^0 \propto \varepsilon_{IC}^0$. On the other hand, (ii) if $\gamma \gtrsim (\theta_{KN} / \theta^5) \sigma_e$, we have $\gamma_{KN} \lesssim \gamma_0[\gamma] \lesssim \gamma_i$. Then equation (48) gives $U_s[\varepsilon_0] = (\theta^5 / \theta_{KN})^{1/2} (\gamma_0 / \sigma_e)^{3/2} U_B = (\theta^7 \theta_{KN})^{1/4} (\sigma_e / \gamma)^{3/4} U_B$, and

$$U_{IC}[\varepsilon_{IC}] = \left(\frac{\theta_{KN}}{\theta} \right)^{1/4} \left(\frac{\gamma}{\sigma_e} \right)^{1/4} U_B. \quad (54)$$

Then $U_{IC} \propto \gamma^{1/4} \propto \varepsilon_{IC}^{1/4}$. Our results are summarized in Tables 3 and 4.

4 ASTROPHYSICAL IMPLICATIONS

We now apply our results to the modelling of blazars and GRBs. In Section 3, we neglected factors ~ 1 , and below for numerical estimates we will use better approximate coefficients in P_s , P_{IC} , ε_s , and ε_{IC} :

$$P_s \simeq 2c\sigma_T \theta^2 U_B \gamma^2, \quad (55)$$

$$P_{IC} \simeq \frac{4}{3} c\sigma_T U_{s,av} \gamma^2, \quad (56)$$

$$\varepsilon_s \simeq \frac{1}{2} \theta \gamma^2 \left(\frac{B}{B_q} \right) m_e c^2, \quad (57)$$

$$\varepsilon_{IC} \simeq \max \left[\frac{4}{3} \gamma^2 \varepsilon_{s,pk}, \frac{1}{2} \gamma m_e c^2 \right]. \quad (58)$$

Then θ_{KN} is changed from equation (19) by a factor of 3/4: $\theta_{KN} = (3/4)(1/\sigma_e^3)(B_q/B)$.

4.1 Blazars

Blazar spectra are characterized by two broad non-thermal components, the first one peaking at infrared (IR)–optical–ultraviolet (UV) frequencies, and the second one peaking in the gamma-rays. Spectra follow a well-known sequence, with fainter objects peaking at higher frequencies (e.g. Fossati et al. 1998; Ghisellini et al. 2017). We focus on the faintest blazars in the sequence, i.e. BL Lacertae (BL Lac) objects, where the two spectral components are likely emitted by the same population of non-thermal electrons via synchrotron self-Compton (e.g. Maraschi et al. 1992; Tavecchio, Maraschi & Ghisellini 1998; Tavecchio et al. 2010).⁷

We argue that synchrotron self-Compton emission from a population of fast cooling electrons in a magnetically dominated plasma can naturally explain the common features of typical BL Lac spectra (for a large compilation of BL Lac spectra, see e.g. Tavecchio et al. 2010). First, at frequencies below the peak both synchrotron and IC spectra are well described by a power law, $\nu F_\nu \propto \nu^\alpha$, with a soft spectral slope $\alpha \sim 1/2$. Such a slope is naturally produced by a population of fast cooling electrons when Klein–Nishina effects are minor. Second, the luminosities of the UV and gamma-ray peaks are comparable (typically within an order of magnitude). In fast cooling magnetically dominated plasmas, the magnetic energy is converted into synchrotron radiation on the light crossing time of the system. Since the radiation escape time is equal to the dissipation time, the radiation energy density is equal to the magnetic energy density. If the pitch angle is not too small (see equation 66 below), particles radiate a comparable amount of energy via synchrotron and IC.⁸ A similar explanation for the common features of BL Lac spectra has been discussed by Sobacchi & Lyubarsky (2020). The spectrum is sketched in Fig. 2.

Two basic observed properties of synchrotron self-Compton emission of blazars are (i) the ratio between the IC and the synchrotron peak energies, $\zeta = E_{IC,pk} / E_{s,pk} \sim 10^9$, and (ii) the isotropic equivalent total luminosity, $L_{iso} = L_s + L_{IC} \sim 10^{45}$ erg s⁻¹. The quoted values are meant to represent a ‘typical’ BL Lac (e.g. Tavecchio et al. 2010). We also normalize the bulk Lorentz factor of the emitting plasma to a typical value of $\Gamma \sim 10$ (e.g. Hovatta et al. 2009; Lister et al. 2009). We consider dissipation radii $R \gtrsim 10^{16}$ cm, consistent with a variability time-scale of the light curve $t_{var} \sim R / 2c\Gamma^2 \sim 2 \times 10^3 R_{16} \Gamma_{10}^{-2}$ s. Hereafter we use the notation $\zeta_9 \equiv \zeta / 10^9$, $L_{45} \equiv L_{iso} / 10^{45}$ erg s⁻¹, $R_{16} \equiv R / 10^{16}$ cm, and $\Gamma_{10} \equiv \Gamma / 10$.

⁷The two spectral components are emitted by the same electrons also in the brightest blazars, i.e. flat-spectrum radio quasars (FSRQs). However, gamma-rays in FSRQs are likely produced by IC scattering off an external photon field (e.g. Sikora et al. 1994, 2009; Ghisellini & Tavecchio 2009).

⁸Alternatively, comparable UV and gamma-ray luminosities may be produced also in weakly magnetized plasmas, i.e. in the regime $U_e \gg U_B$. Producing comparable luminosities requires that $U_s \sim U_B$. Then particles should radiate only a small fraction $\sim U_B / U_e$ of their energy. This requires an undesirable fine-tuning of the cooling time, i.e. $t_{cool} \sim (U_e / U_B) t_{dyn}$.

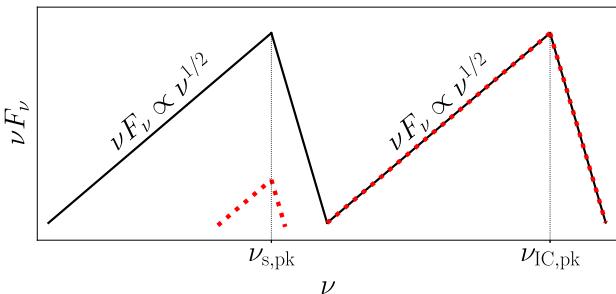


Figure 2. Sketch of the synchrotron self-Compton spectrum of BL Lacs (see also Table 1). Solid line: particle pitch angles $\theta \sim 1$, producing the typical emission, with comparable synchrotron and IC luminosities. Dotted line: particle pitch angles $\theta \ll 1$, producing orphan gamma-ray flares. The ratio between the synchrotron and IC luminosities is $L_s/L_{IC} \sim \theta$ (see equation 66). The ratio between the peak frequencies is $\nu_{IC,pk}/\nu_{s,pk} \sim \sigma_e^2$. In the simple model we consider (i.e. δ -function injection) the spectrum would cut off exponentially above the peak.

Below we describe the parameters of our model that would give the observed blazar spectra. Since in the fast cooling regime the dissipated magnetic energy $\sim U_B$ is promptly converted into radiation, the total luminosity is $L_{iso} \sim c\Gamma^2 B^2 R^2$. Then the magnetic field in the rest frame of the plasma is

$$B \sim 2 L_{45}^{1/2} \Gamma_{10}^{-1} R_{16}^{-1} \text{ G.} \quad (59)$$

Soft blazar spectra may be produced when the electrons are cooling due to IC scattering in the Thomson cooling regime, with $\theta \lesssim \theta_{KN}$. The properties of the emitted radiation are summarized in Table 1. Since $E_{IC,pk}/E_{s,pk} \simeq (4/3)\sigma_e^2$, we find that

$$\sigma_e \sim 3 \times 10^4 \zeta_9^{1/2} \quad (60)$$

and

$$\theta_{KN} \sim 0.9 L_{45}^{-1/2} \Gamma_{10} R_{16} \zeta_9^{-3/2}. \quad (61)$$

Then the condition that $\theta \lesssim \theta_{KN}$ may be satisfied even for large pitch angles. Note that in electron–proton plasmas the overall magnetization is $\sigma = (m_e/m_p)\sigma_e \sim 10$.

The cooling time-scale for electrons with Lorentz factor $\gamma = \sigma_e$ is given by $t_{cool}^{pk}/t_{dyn} = (1/2)\theta^{-1}\sigma_e^{-1}\ell_B^{-1}$, where $\ell_B = \sigma_T U_B t_{dyn}/m_e c$. Since the dynamical time in the rest frame of the plasma is $t_{dyn} = R/\Gamma c$, we have

$$\ell_B \sim 10^{-4} L_{45} \Gamma_{10}^{-3} R_{16}^{-1}. \quad (62)$$

Then

$$\frac{t_{cool}^{pk}}{t_{dyn}} \sim 0.2 L_{45}^{-1} \Gamma_{10}^3 R_{16} \zeta_9^{-1/2} \theta^{-1}. \quad (63)$$

Note that the ratio of magnetic and electron energy densities is $U_B/U_e \sim t_{dyn}/t_{cool}^{pk}$ (see equation 15). The condition for fast cooling, $t_{cool}^{pk} \lesssim t_{dyn}$, can be satisfied if dissipation occurs at relatively small radii, $R \sim 10^{16}$ cm. At these radii, the inferred t_{cool}^{pk}/t_{dyn} may be further reduced if the bulk Lorentz factor of the jet is smaller than its asymptotic value $\Gamma \sim 10$. Imaging of radio emission from extragalactic jets suggests that the bulk acceleration may be still in progress on sub-parsec scales (e.g. Boccardi et al. 2016; Mertens et al. 2016).

The peak energy of the observed synchrotron radiation is $E_{s,pk} = \Gamma \varepsilon_{s,pk} \simeq (1/2)\Gamma\theta\sigma_e^2(B/B_q)m_e c^2$, which gives

$$E_{s,pk} \sim 80 L_{45}^{1/2} R_{16}^{-1} \zeta_9 \theta \text{ eV.} \quad (64)$$

The peak energy of the observed IC radiation is $E_{IC,pk} = \Gamma \varepsilon_{IC,pk} \simeq (1/2)\Gamma\theta\sigma_e^4(B/B_q)m_e c^2$, which gives

$$E_{IC,pk} \sim 80 L_{45}^{1/2} R_{16}^{-1} \zeta_9^2 \theta \text{ GeV.} \quad (65)$$

Then the synchrotron radiation peaks in the UV, and the IC radiation peaks in the gamma-rays, as observed.

The ratio between the synchrotron luminosity and the IC luminosity is

$$\frac{L_s}{L_{IC}} \sim \theta. \quad (66)$$

The typical BL Lac spectra are characterized by comparable UV and gamma-ray luminosities (within a factor of 10). This naturally occurs if the emitting particles have a nearly isotropic pitch angle distribution, i.e. $\theta \gtrsim 0.1$. The effects that control the pitch angle distribution are discussed in Section 4.1.1.

Fitting the spectra of individual BL Lacs under the assumption of isotropic particles, one typically infers a low ratio of the magnetic and electron energy densities, $U_B/U_e \sim 0.01$ (e.g. Tavecchio & Ghisellini 2016). Since the synchrotron frequency and power depend on the component of the magnetic field perpendicular to the particle velocity, $B \sin \theta$, this result is very sensitive to the anisotropy of the emitting particles. For pitch angles $\theta \sim 0.1$, the inferred value of U_B/U_e would increase by a factor of $\theta^{-2} \sim 100$, becoming of order unity. In turn, for $\theta \sim 0.1$ our model gives $U_B/U_e \sim t_{dyn}/t_{cool}^{pk} \sim 1$ (see equation 63) and $L_s/L_{IC} \sim 0.1$ (see equation 66). Then pitch angles $\theta \sim 0.1$ may be consistent with observational constraints.

In our discussion, we have neglected Klein–Nishina effects on IC scattering. Since in the Klein–Nishina regime the IC power is suppressed, one finds that $L_s > \theta L_{IC}$. Then the synchrotron and IC luminosities may be comparable (within a factor of 10) even for pitch angles $\theta < 0.1$. IC scattering occurs deep into the Klein–Nishina regime in the so-called hard-TeV BL Lacs (e.g. Costamante et al. 2018; Biteau et al. 2020). Interestingly, in these objects the ratio of magnetic and electron energy densities inferred from the spectral modelling under the assumption of isotropic particles is very low, $U_B/U_e \sim 10^{-3}–10^{-4}$. Testing our model on hard-TeV BL Lacs is an interesting direction for future work.

4.1.1 Orphan gamma-ray flares

Since UV and gamma-rays are emitted by the same particles, one expects the light curves in the two bands to be correlated. This picture is challenged by the rare occurrence of orphan gamma-ray flares, i.e. flares lacking a luminous low energy counterpart (e.g. Krawczynski et al. 2004; Błażejowski et al. 2005).

We argue that orphan gamma-ray flares may be associated with rare events when the emitting particles have very small pitch angles.⁹ When $\theta \ll 0.1$, the IC luminosity is much larger than the synchrotron luminosity, i.e. $L_{IC} \gg L_s \sim \theta L_{IC}$ (see equation 66). Then the gamma-ray emission may have a suppressed UV counterpart. For a luminous flare with $L_{IC} \sim 10^{46}$ erg s⁻¹, particles with a small pitch angle $\theta \sim 0.02$ are in the fast cooling regime (see equation 63). The spectrum is sketched in Fig. 2. A detailed study of orphan gamma-ray flares has been presented elsewhere (Sobacchi et al. 2021).

⁹Ghisellini et al. (2009) also suggested that orphan gamma-ray flares are produced by particles accelerated along the magnetic field lines. These authors argued that anisotropic particle distributions are produced via magnetocentrifugal acceleration.

The pitch angle distribution of the emitting particles may be regulated by the level of magnetic field fluctuations (as compared to the mean field) from which turbulence develops. Larger initial fluctuations produce more isotropic particle distributions (Comisso et al. 2020; Sobacchi et al. 2021). A complementary possibility, yet to be tested with first principles simulations, is that the pitch angle distribution depends on the plasma composition. In electron–proton plasmas, the pitch angle distribution may be isotropized by a kinetic instability that is absent in electron–positron plasmas (Sobacchi & Lyubarsky 2019).

4.2 Gamma-ray bursts

At frequencies below the peak, the spectrum of the gamma-ray burst (GRB) prompt emission is well described by a power law, $\nu F_\nu \propto \nu^\alpha$, with a typical spectral slope $\alpha \sim 1$ (e.g. Preece et al. 2000; Kaneko et al. 2006; Nava et al. 2011; Gruber et al. 2014). The spectral slope is significantly harder than $\alpha = 1/2$, which is the slope produced by fast cooling electrons when synchrotron is the dominant cooling channel.

The typical spectral slope of the GRB prompt emission spectra can be produced by synchrotron if the emitting electrons radiate most of their energy via IC, and the scattering occurs in the Klein–Nishina regime (e.g. Derishev et al. 2001; Bošnjak et al. 2009; Nakar et al. 2009; Daigne et al. 2011). If the particle pitch angle distribution is isotropic, this requires the radiation energy density to be much larger than the magnetic energy density, i.e. $U_s \gg U_B$ (otherwise cooling would be dominated by synchrotron, and $\alpha = 1/2$). Then such a scenario is not viable in magnetically dominated plasmas, where necessarily $U_s \lesssim U_B$. By contrast, if the pitch angle θ is small, the condition for the IC cooling dominance becomes $U_s \gg \theta^2 U_B$. This condition may be easily satisfied even in magnetically dominated plasmas.

In the following we discuss the parameters of the emitting plasma that could give synchrotron emission with two observed properties: (i) the peak energy of the observed radiation, $E_{s,pk} \sim 1$ MeV, and (ii) the isotropic equivalent of the GRB luminosity, $L_{iso} \sim 10^{52}$ erg s $^{-1}$. The quoted values are meant to represent a ‘typical’ GRB. We also normalize the bulk Lorentz factor of the emitting plasma to a typical value of $\Gamma \sim 300$ (e.g. Lithwick & Sari 2001). We consider sufficiently large dissipation radii $R \gtrsim 10^{15}$ cm, outside the jet photosphere. At these radii, the expected variability time-scale of the light curve is $t_{var} \sim R/2c\Gamma^2 \sim 0.2 R_{15}\Gamma_{300}^{-2}$ s. Hereafter we use the notation $E_6 \equiv E_{s,pk}/1$ MeV, $L_{52} \equiv L_{iso}/10^{52}$ erg s $^{-1}$, $R_{15} \equiv R/10^{15}$ cm, and $\Gamma_{300} \equiv \Gamma/300$.

Assuming that a large fraction of the available electromagnetic jet energy is converted into synchrotron radiation (this is expected if the pitch angle is not too small, see equation 70 below), the observed luminosity is $L_{iso} \sim c\Gamma^2 B^2 R^2$. Then the magnetic field in the rest frame of the plasma is

$$B \sim 2 L_{52}^{1/2} \Gamma_{300}^{-1} R_{15}^{-1} \text{ kG.} \quad (67)$$

The peak energy of the observed radiation is $E_{s,pk} = \Gamma \varepsilon_{s,pk} \simeq (1/2)\Gamma\theta\sigma_e^2(B/B_q)m_e c^2$, which gives

$$\sigma_e \sim 2 \times 10^4 L_{52}^{-1/4} R_{15}^{1/2} E_6^{1/2} \theta^{-1/2}. \quad (68)$$

Note that in electron–proton plasmas the overall magnetization is $\sigma = (m_e/m_p)\sigma_e \sim 10$.

The IC scattering regime is determined by the critical pitch angle $\theta_{KN} = (3/4)(1/\sigma_e^3)(B_q/B)$. For the typical parameters of GRBs, we

find

$$\frac{\theta}{\theta_{KN}} \sim 300 L_{52}^{-1/4} \Gamma_{300}^{-1} R_{15}^{1/2} E_6^{3/2} \theta^{-1/2}. \quad (69)$$

One can see from this equation that $\theta \gg \theta_{KN}$ for any $\theta \lesssim 1$. Hence, IC scattering occurs in the Klein–Nishina regime.¹⁰ Cooling is dominated by synchrotron if $\theta \gtrsim (2\theta_{KN}/3)^{1/3}$, or

$$\theta \gtrsim 0.02 L_{52}^{1/6} \Gamma_{300}^{2/3} R_{15}^{-1/3} E_6^{-1}. \quad (70)$$

For smaller pitch angles, synchrotron is radiatively inefficient.

We illustrate the effect of the pitch angle anisotropy on the synchrotron spectrum assuming that $(2\theta_{KN}/3)^{1/3} \lesssim \theta \lesssim (4\theta_{KN}/9)^{1/5}$, which is the regime described in Table 3. This condition requires $0.02 L_{52}^{1/6} \Gamma_{300}^{2/3} R_{15}^{-1/3} E_6^{-1} \lesssim \theta \lesssim 0.3 L_{52}^{1/14} \Gamma_{300}^{2/7} R_{15}^{-1/7} E_6^{-3/7}$. In this regime of pitch angles, most of the magnetic energy is converted into synchrotron radiation, and IC losses in the Klein–Nishina regime harden the synchrotron spectrum below the peak.

The cooling time-scale for electrons with Lorentz factor $\gamma = \sigma_e$ is given by $t_{cool}^{pk}/t_{dyn} = (1/2)\theta^{-2}\sigma_e^{-1}\ell_B^{-1}$, where $\ell_B = \sigma_T U_B t_{dyn}/m_e c$. Since the dynamical time in the rest frame of the plasma is $t_{dyn} = R/\Gamma c$, we have

$$\ell_B \sim 0.4 L_{52} \Gamma_{300}^{-3} R_{15}^{-1}. \quad (71)$$

Then

$$\frac{t_{cool}^{pk}}{t_{dyn}} \sim 2 \times 10^{-3} L_{52}^{-3/4} \Gamma_{300}^3 R_{15}^{1/2} E_6^{-1/2} \theta_{-1}^{-3/2}, \quad (72)$$

where $\theta_{-1} \equiv \theta/0.1$. The fast cooling condition $t_{cool}^{pk} \lesssim t_{dyn}$ is satisfied at radii of interest $R \lesssim R_{cool,1}$, where

$$R_{cool,1} = 2 \times 10^{20} L_{52}^{3/2} \Gamma_{300}^{-6} E_6 \theta_{-1}^3 \text{ cm.} \quad (73)$$

The synchrotron spectrum depends on whether electrons with intermediate Lorentz factors, $(3/2)\theta^2\sigma_e \lesssim \gamma \lesssim (2\theta_{KN}/3\theta^3)^{1/2}\sigma_e$, are fast cooling. These electrons have $t_{cool}/t_{dyn} = (8\theta\theta_{KN}/3)^{-1/2}\sigma_e^{-1}\ell_B^{-1}$, i.e.

$$\frac{t_{cool}}{t_{dyn}} \sim 9 \times 10^{-3} L_{52}^{-7/8} \Gamma_{300}^{5/2} R_{15}^{3/4} E_6^{1/4} \theta_{-1}^{-3/4}. \quad (74)$$

Note that t_{cool}/t_{dyn} is independent of γ . The condition $t_{cool} \lesssim t_{dyn}$ for the intermediate γ is stronger than $t_{cool}^{pk} \lesssim t_{dyn}$, and it is satisfied at smaller radii $R \lesssim R_{cool,2}$, where

$$R_{cool,2} = 6 \times 10^{17} L_{52}^{7/6} \Gamma_{300}^{-10/3} E_6^{-1/3} \theta_{-1} \text{ cm.} \quad (75)$$

The expected spectrum in the two cases $R \lesssim R_{cool,2}$ and $R_{cool,2} \lesssim R \lesssim R_{cool,1}$ is sketched in Fig. 3. For our fiducial parameters, we have $R \lesssim R_{cool,2}$. Then the synchrotron spectrum has two spectral breaks at $E_b = (2\theta_{KN}/3\theta^3)E_{s,pk}$ and $E_i = (9/4)\theta^4 E_{s,pk}$,

$$E_b \sim 70 L_{52}^{1/4} \Gamma_{300} R_{15}^{-1/2} E_6^{-1/2} \theta_{-1}^{-3/2} \text{ keV,} \quad (76)$$

$$E_i \sim 0.2 \theta_{-1}^4 E_6 \text{ keV.} \quad (77)$$

The spectral slopes are $\alpha = 1$ for $E_i \lesssim E \lesssim E_b$, when cooling is dominated by IC in the Klein–Nishina regime, and $\alpha = 1/2$ for $E_b \lesssim E \lesssim E_{s,pk}$, when cooling is dominated by synchrotron (note that $E_{s,pk}/E_b$

¹⁰It is easy to see why the scattering occurs in the Klein–Nishina regime. In the rest frame of the plasma, the energy of the photons at the peak of the spectrum is $E_{s,pk}/\Gamma \sim 3 E_6 \Gamma_{300}^{-1}$ keV. This energy is much larger than $m_e c^2/\sigma_e \sim 40 L_{52}^{1/4} R_{15}^{-1/2} E_6^{-1/2} \theta_{-1}^{1/2}$ eV.

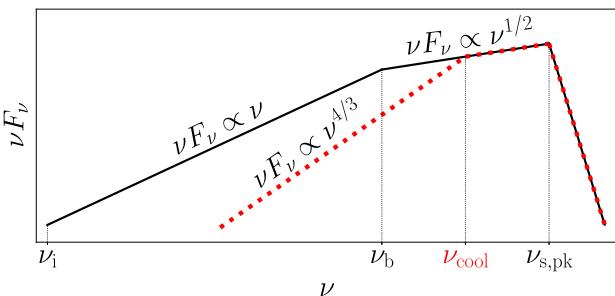


Figure 3. Sketch of the synchrotron spectrum of GRB prompt emission, assuming particle pitch angles $\theta \sim 0.1$ (see also Table 3). Solid line: dissipation radii $R \lesssim R_{\text{cool},2}$, where the cooling break occurs at a frequency $\nu_{\text{cool}} \lesssim \nu_i$. Dotted line: dissipation radii $R_{\text{cool},2} \lesssim R \lesssim R_{\text{cool},1}$, where $\nu_b \lesssim \nu_{\text{cool}} \lesssim \nu_{s,\text{pk}}$. Since $\nu_{s,\text{pk}}/\nu_i \sim 15$, and $\nu_b/\nu_i \sim 350$ (see equations 76 and 77), the hard part of the spectrum, $\nu F_\nu \propto \nu$, extends over a broad range of frequencies. In the simple model we consider (i.e. δ -function injection) the spectrum would cut off exponentially above the peak.

~ 15 , and $E_b/E_i \sim 350$). If particles cool down to Lorentz factors $\gamma \lesssim (\theta_{\text{KN}}/\theta)\sigma_e$, a low energy break appears at $E_{\text{KN}} = (\theta_{\text{KN}}/\theta)^2 E_{s,\text{pk}}$,

$$E_{\text{KN}} \sim 1 L_{52}^{1/2} \Gamma_{300}^2 R_{15}^{-1} E_6^{-2} \theta_{-1} \text{ eV.} \quad (78)$$

The synchrotron spectral slopes are $\alpha = 1/2$ for $E \lesssim E_{\text{KN}}$, and $\alpha = 3/4$ for $E_{\text{KN}} \lesssim E \lesssim E_i$. The spectral break at E_{KN} may be replaced by a cooling break if particles do not cool completely.

Large dissipation radii $R_{\text{cool},2} \lesssim R \lesssim R_{\text{cool},1}$ may be relevant for GRBs with large bulk Lorentz factors. For $\Gamma \sim 1000$, we find that $R_{\text{cool},1} \sim 10^{17} \text{ cm}$, and $R_{\text{cool},2} \sim 10^{16} \text{ cm}$. If $R_{\text{cool},2} \lesssim R \lesssim R_{\text{cool},1}$, the synchrotron spectrum has a cooling break at $E_{\text{cool}} = (t_{\text{cool}}^{\text{pk}}/t_{\text{dyn}})^2 E_{s,\text{pk}}$. Then

$$E_{\text{cool}} \sim 50 L_{52}^{-3/2} \Gamma_{1000}^6 R_{16} \theta_{-1}^{-3} \text{ keV,} \quad (79)$$

where we have defined $\Gamma_{1000} \equiv \Gamma/1000$, and $R_{16} \equiv R/10^{16} \text{ cm}$. Note that E_{cool} is much larger than in the isotropic case $\theta \sim 1$. The spectral slopes are $\alpha = 1/2$ for $E_{\text{cool}} \lesssim E \lesssim E_{s,\text{pk}}$, and $\alpha = 4/3$ (as usual for synchrotron radiation below the cooling break) for $E \lesssim E_{\text{cool}}$. The soft part of the spectrum extends over a relatively narrow range of frequencies since $E_{s,\text{pk}}/E_{\text{cool}} \sim 20$ for the fiducial parameters of the model (the dependence of $E_{s,\text{pk}}/E_{\text{cool}}$ on the parameters is strong). Interestingly, many GRB spectra may be consistent with a broken power law with slopes $\alpha = 4/3$ at low frequencies, and $\alpha = 1/2$ close to the peak (e.g. Oganessian et al. 2017, 2018, 2019; Ravasio et al. 2018, 2019).

We remark that synchrotron emission cannot produce very hard spectral slopes, $\alpha \gtrsim 4/3$.¹¹ Fitting GRB spectra with empirical functions (e.g. Band et al. 1993) suggests that a significant fraction of GRBs have a low frequency slope $\alpha \gtrsim 4/3$, which violates the so-called synchrotron line of death (e.g. Preece et al. 1998). Another challenge for a synchrotron model is reproducing the sharpness of the Band function (e.g. Axelsson & Borgonovo 2015; Yu et al. 2015). However, these results have been recently questioned by fitting GRB spectra directly with synchrotron models (e.g. Burgess 2019; Oganessian et al. 2019; Burgess et al. 2020).

¹¹The regime of extremely small pitch angles, $\theta \lesssim 1/\gamma$, is an exception to this general behaviour (e.g. Lloyd & Petrosian 2000; Lloyd-Ronning & Petrosian 2002). However, in this regime synchrotron radiation is extremely inefficient, making it difficult to produce the large luminosity of GRBs.

4.2.1 IC emission

The total IC luminosity in the Klein–Nishina regime relevant for GRBs is a fraction $\eta = (2\theta_{\text{KN}}/3\theta^3)^{1/2}$ of the synchrotron luminosity (see Table 3). We have

$$\eta \sim 0.3 L_{52}^{1/8} \Gamma_{300}^{1/2} R_{15}^{-1/4} E_6^{-3/4} \theta_{-1}^{-3/4}. \quad (80)$$

If all the IC radiation escapes the system, the spectrum peaks at $E_{\text{IC,pk}} = \Gamma \varepsilon_{\text{IC,pk}} \simeq (1/2) \Gamma \sigma_e m_e c^2$, i.e.

$$E_{\text{IC,pk}} \sim 4 L_{52}^{-1/4} \Gamma_{300} R_{15}^{1/2} E_6^{1/2} \theta_{-1}^{-1/2} \text{ TeV.} \quad (81)$$

The spectrum has two spectral breaks at $E_{\text{IC,b}} = (2\theta_{\text{KN}}/3\theta^3)^{1/2} E_{\text{IC,pk}}$ and $E_{\text{IC,KN}} = (\theta_{\text{KN}}/\theta) E_{\text{IC,pk}}$, i.e.

$$E_{\text{IC,b}} \sim 1 L_{52}^{-1/8} \Gamma_{300}^{3/2} R_{15}^{1/4} E_6^{-1/4} \theta_{-1}^{-5/4} \text{ TeV,} \quad (82)$$

$$E_{\text{IC,KN}} \sim 4 \Gamma_{300}^2 E_6^{-1} \text{ GeV.} \quad (83)$$

The spectral slopes are $\alpha = 1/2$ for $E \lesssim E_{\text{IC,KN}}$, $\alpha = 1$ for $E_{\text{IC,KN}} \lesssim E \lesssim E_{\text{IC,b}}$, and $\alpha = 0$ for $E_{\text{IC,b}} \lesssim E \lesssim E_{\text{IC,pk}}$. The spectral break at $E_{\text{IC,KN}}$ may be replaced by a cooling break if the particles do not cool completely.

In the next section we show that IC photons with energy $E_{\text{IC}} \gtrsim E_{\text{IC,KN}}$ may easily annihilate and produce secondary pairs. Then only a small fraction $E_{\text{IC,KN}}/E_{\text{IC,b}} \sim 4 \times 10^{-3}$ of the total IC luminosity escapes the system directly. Instead, most of the IC luminosity is transformed into kinetic energy of the secondary pairs.

4.2.2 Pair production

The optical depth for pair production via photon–photon collisions is $\tau_{\gamma\gamma} = (\sigma_{\gamma\gamma}/\sigma_T)(8\theta\theta_{\text{KN}}/3)^{1/2} \sigma_e \ell_B$ (see Table 3). For a $\alpha = 1$ spectrum of the target synchrotron photons, the cross-section for photon–photon collisions is $\sigma_{\gamma\gamma} = (7/12) \sigma_T$ (e.g. Svensson 1987). Then

$$\tau_{\gamma\gamma} \sim 60 L_{52}^{7/8} \Gamma_{300}^{-5/2} R_{15}^{-3/4} E_6^{-1/4} \theta_{-1}^{3/4}. \quad (84)$$

Pair production can be neglected if $\tau_{\gamma\gamma} \lesssim 1$, which gives $R \gtrsim R_{\gamma\gamma}$, where we have defined

$$R_{\gamma\gamma} = 3 \times 10^{17} L_{52}^{7/6} \Gamma_{300}^{-10/3} E_6^{-1/3} \theta_{-1} \text{ cm.} \quad (85)$$

Note that $R_{\gamma\gamma}$ is a fraction $(\sigma_{\gamma\gamma}/\sigma_T)^{4/3} \sim 0.5$ of $R_{\text{cool},2}$ (compare equations 75 and 85). At radii $R \lesssim R_{\gamma\gamma}$, the IC component should be efficiently reprocessed by the cascade of secondary electron–positron pairs, softening the spectrum of the IC component.

The secondary pairs also tend to soften the spectrum of the synchrotron component. This effect depends on the ratio $f_s^{\text{sec}}/f_s^{\text{prim}}$, where $f_s = P_s/(P_s + P_{\text{IC}})$ is the synchrotron fraction of the radiation emitted by the primary and secondary particles. If $f_s^{\text{sec}} \gtrsim f_s^{\text{prim}}$, the synchrotron spectrum emitted by the primary particles may be softened significantly. The ratio $f_s^{\text{sec}}/f_s^{\text{prim}}$ is controlled by the pitch angle of the secondary pairs (f_s^{sec} may be larger than f_s^{prim} if the secondary pairs have a pitch angle $\theta_{\text{sec}} > \theta$).

Since IC photons annihilate after travelling a distance $l_{\parallel} = ct_{\text{dyn}}/\tau_{\gamma\gamma}$ along the direction of the magnetic field, the pitch angle of the secondary pairs may be estimated as $\theta_{\text{sec}} = \max[\theta, (\delta B/B)[l_{\parallel}]]$, where $(\delta B/B)[l_{\parallel}]$ is the amplitude of turbulent fluctuations at the scale l_{\parallel} . Assuming that the amplitude of turbulent fluctuations is $\delta B/B \propto l_{\perp}^{1/3} \propto l_{\parallel}^{1/2}$ (e.g. Goldreich & Sridhar 1995; Thompson & Blaes 1998), we have $(\delta B/B)[l_{\parallel}] = s(l_{\parallel}/ct_{\text{dyn}})^{1/2} = s\tau_{\gamma\gamma}^{-1/2}$ (the scaling constant s is equal to the amplitude of the fluctuations at the scale of the largest turbulent eddy). Then $\theta_{\text{sec}} = \max[\theta, s\tau_{\gamma\gamma}^{-1/2}]$.

The secondary pairs have pitch angles comparable to the primary particles, i.e. $\theta_{\text{sec}} \sim \theta$, if $s\tau_{\gamma\gamma}^{-1/2} \lesssim \theta$. For $\tau_{\gamma\gamma} \sim 60$ and $\theta \sim 0.1$, this condition is practically satisfied even for strong turbulent fluctuations, with $s \sim 1$. A lower level of fluctuations, $s < 1$, is expected if turbulence develops from global instabilities of the jet (e.g. Davelaar et al. 2020).

5 CONCLUSIONS

In this paper, we investigated the synchrotron self-Compton radiation from magnetically dominated turbulent plasmas in relativistic jets. Since observed relativistic jets have a high radiative efficiency, we considered fast cooling conditions, when particles radiate their energy on short time-scales compared with the dynamical time of the jet expansion. Our model is motivated by recent first principles simulations of magnetically dominated plasma turbulence, which show that electrons are impulsively accelerated to Lorentz factors $\gamma \sim \sigma_e$ by reconnection in large-scale current sheets (σ_e is the plasma magnetization, defined with respect to the electron rest mass energy density). Since the reconnection electric field is nearly aligned with the local magnetic field, the accelerated particles are strongly anisotropic.

The anisotropy has a strong impact on the spectrum of the emitted radiation. Since particles move nearly along the direction of the local magnetic field, synchrotron emission is suppressed. Then IC scattering may be the dominant cooling channel, even in magnetically dominated plasmas. The synchrotron and IC spectra emitted by fast cooling particles are described by broken power laws (see Tables 1–6). The slope of the power-law segments is determined by the cooling regime (see Fig. 1). The most important features are summarized below.

(i) When the emitting electrons IC scatter the synchrotron radiation in the Thomson regime, the synchrotron and IC cooling times are inversely proportional to the particle Lorentz factor, i.e. $t_{\text{cool},s} \propto \gamma^{-1}$ and $t_{\text{cool},\text{IC}} \propto \gamma^{-1}$. The number of cooled particles per unit Lorentz factor is $dn_e/d\gamma \propto \gamma^{-2}$, independent of the dominant cooling channel. Then synchrotron and IC radiation components have soft spectra, $\nu F_\nu \propto \nu^{1/2}$. In this regime, the ratio of the synchrotron and IC luminosities is $L_s/L_{\text{IC}} \sim \sin \theta \sim \theta$, where θ is the particle pitch angle (i.e. the angle between the particle velocity and the local magnetic field).

(ii) When the emitting electrons IC scatter the synchrotron radiation in the Klein–Nishina regime, the IC cooling time $t_{\text{cool},\text{IC}}$ typically approaches a constant independent of particle energy. For small particle Lorentz factors, IC is the dominant cooling channel. Then $dn_e/d\gamma \propto t_{\text{cool},\text{IC}}/\gamma \propto \gamma^{-1}$, and synchrotron radiation has a hard spectrum, $\nu F_\nu \propto \nu$. For large particle Lorentz factors, IC cooling is strongly suppressed due to Klein–Nishina effects, and synchrotron becomes the dominant cooling channel. Then $dn_e/d\gamma \propto t_{\text{cool},s}/\gamma \propto \gamma^{-2}$, and synchrotron radiation has a soft spectrum, $\nu F_\nu \propto \nu^{1/2}$.

We remark that the particle anisotropy is essential for the hardening of the synchrotron spectrum in magnetically dominated plasmas. If particles are isotropic, synchrotron emission is inevitably the dominant cooling channel. Then both synchrotron and IC spectra are soft, $\nu F_\nu \propto \nu^{1/2}$.

We have applied our results to BL Lacs and GRB prompt emission, and found that synchrotron self-Compton emission from anisotropic particles may be consistent with the observed spectra. Estimating the required conditions inside the jet from the observed peak frequency and luminosity, we found that (i) the magnetic field strength in the plasma rest frame is $B \sim 1$ G in BL Lacs, and $B \sim 1$ kG in GRBs; (ii)

electrons are accelerated to similar Lorentz factors, $\gamma \sim \sigma_e \sim 10^4$. For electron–proton plasmas, $\sigma_e \sim 10^4$ corresponds to an overall magnetization $\sigma = (m_e/m_p)\sigma_e \sim 10$.

In BL Lacs, electrons heated by magnetically dominated turbulence IC scatter the synchrotron radiation in the Thomson regime. Then under fast cooling conditions synchrotron and IC components have soft spectra, $\nu F_\nu \propto \nu^{1/2}$. For pitch angles $\theta \gtrsim 0.1$, the synchrotron and IC luminosities are comparable (within a factor of 10), consistent with the properties of non-thermal radiation from BL Lacs. An exception to this general behaviour may be represented by orphan gamma-ray flares, i.e. IC flares with a negligible synchrotron counterpart. Since the ratio of the synchrotron and IC luminosities is $\sim \theta$, orphan gamma-ray flares may be produced when the particle distribution is extremely anisotropic (strongly anisotropic particles may produce orphan gamma-ray flares also in flat-spectrum radio quasars; see Sobacchi et al. 2021). The pitch angle anisotropy may be regulated by (i) the level of the magnetic fluctuations from which turbulence develops. Larger fluctuations produce more isotropic particle distributions (Comisso et al. 2020; Sobacchi et al. 2021); (ii) the plasma composition. In electron–proton plasmas, the anisotropy may be erased by kinetic instabilities that are absent in electron–positron plasmas (Sobacchi & Lyubarsky 2019).

In GRBs, electrons heated by magnetically dominated turbulence IC scatter the synchrotron radiation in the Klein–Nishina regime. For a peak frequency of the observed spectrum $h\nu_{\text{pk}} \sim 1$ MeV, we find that IC is the dominant cooling channel for particles with a pitch angle $\theta \sim 0.1$ emitting at frequencies $0.2 \lesssim h\nu \lesssim 70$ keV. Then under fast cooling conditions the synchrotron radiation has a hard spectrum $\nu F_\nu \propto \nu$, consistent with a typical GRB. Synchrotron becomes the dominant cooling channel for particles emitting at frequencies $70 \text{ keV} \lesssim h\nu \lesssim 1$ MeV. Then the synchrotron spectrum softens close to the spectral peak. The break frequency, $h\nu_b \sim 70$ keV, moves close to the spectral peak when either ν_{pk} or θ decrease (we find that $h\nu_b \sim 130$ keV for $\nu_{\text{pk}} \sim 300$ keV, and $h\nu_b \sim 200$ keV for $\theta \sim 0.05$).

There are aspects of our model that deserve further investigation. In GRBs, IC photons escaping from the emitting region may be observed at TeV energies. However, IC photons easily annihilate and produce electron–positron pairs. Although synchrotron radiation from the secondary pairs may be neglected under certain conditions (see Section 4.2.2), it is unclear whether these conditions occur in real GRB jets. We did not consider the reduction of the plasma magnetization due to pair creation. A detailed study of this complicated issue is left for future work.

The peak energy and luminosity of the GRB prompt emission follow a well-known correlation, $E_{\text{pk}} \sim 0.3 L_{\text{iso}}^{1/2}$ MeV (e.g. Wei & Gao 2003; Yonetoku et al. 2004; Ghirlanda et al. 2012). In our model, we find that $E_{\text{pk}} \propto L_{\text{iso}}^{1/2} \sigma_e^2 \theta / R$, where R is the dissipation radius (see equation 68). Since variations of $\sigma_e^2 \theta / R$ tend to smear out the $E_{\text{pk}}-L_{\text{iso}}$ correlation, this quantity would need to be approximately constant among different bursts to reproduce a tight correlation. Similar issues regarding the origin of the $E_{\text{pk}}-L_{\text{iso}}$ correlation in magnetically dominated GRB jets have been discussed by other authors (e.g. Lyutikov 2006; Zhang & Yan 2011). On the other hand, the $E_{\text{pk}}-L_{\text{iso}}$ correlation may arise more naturally in photospheric emission models (e.g. Beloborodov 2013).

Our model describes the emitted spectrum only below the spectral peak, which is produced by particles injected with $\gamma \sim \sigma_e$. Since we assumed that the acceleration time-scale is a step function, $t_{\text{acc}} \ll t_{\text{dyn}}$ for $\gamma \sim \sigma_e$ and $t_{\text{acc}} \sim t_{\text{dyn}}$ for $\gamma \gtrsim \sigma_e$, fast cooling produces an exponential cut-off in the particle distribution for $\gamma \gtrsim \sigma_e$. In a more realistic scenario, t_{acc} may have a smooth dependence on γ . Then particles can be accelerated up to a cut-off Lorentz factor γ_{co}

$\gtrsim \sigma_e$, which is determined by the condition that the acceleration time is equal to the cooling time (e.g. Nättilä & Beloborodov 2020). Particles with $\sigma_e \lesssim \gamma \lesssim \gamma_{co}$ may be injected with a power-law distribution $d\sigma_e/d\gamma \propto \gamma^{-p}$, with $p \sim 3$ (e.g. Comisso & Sironi 2018, 2019). This scenario may be consistent with the fact that BL Lac and GRB spectra are often described by a power law at frequencies larger than the peak frequency.

We assumed that the pitch angle is independent of the particle energy. This assumption is supported by first principles simulations in fast cooling electron–positron plasmas (Nättilä & Beloborodov 2020; Sobacchi et al. 2021). In electron–proton plasmas, pitch angle scattering due to kinetic instabilities may be more efficient for particles with small Lorentz factors, which has implications for the detailed modelling of BL Lac spectra (Sobacchi & Lyubarsky 2019; Tavecchio & Sobacchi 2020). Simulations are needed to investigate the anisotropy of particles with Lorentz factors $\gamma \lesssim \sigma_e$ in fast cooling electron–proton plasmas.

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DATA AVAILABILITY

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