

# Projected changes in monthly baseflow across the U.S. Midwest

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## 1 **Abstract**

2           Baseflow is an essential water resource because it is the groundwater discharged to streams  
3 and represents long-term storage. Understanding its future changes is a major concern for water  
4 supply and ecosystem health. This study examines the impacts of climate and agriculture on  
5 monthly baseflow in the U.S. Midwest through the end of the 21<sup>st</sup> century. We use a statistical  
6 approach to evaluate three scenarios. The first scenario is based on downscaled and bias corrected  
7 Global Climate Model (GCM) outputs and the Representative Concentration Pathway (RCP) 8.5,  
8 and agriculture is held constant (and equal to the mean from 2013-2019). In the next two scenarios,  
9 climate is held constant (2010-2019) to isolate the impact of agriculture on baseflow. In terms of  
10 agricultural changes, we consider scenarios representative of either increases or decreases with  
11 respect to the production of corn and soybeans. Changes in the climate system point to increases  
12 in baseflow that are likely a result of increased precipitation and antecedent wetness. Seasonally,  
13 warmer temperature in the winter and spring (i.e., February to July) is expected to cause increasing  
14 trends in baseflow. Changes in land use showed that agriculture would either mitigate the impact  
15 of climate change or possibly amplify it. Expanding corn and soybean areas would increase  
16 baseflow in the Corn Belt region. On the other hand, converting land back to perennial vegetation  
17 would decrease baseflow throughout the entire year. Despite its simplicity, this study can provide  
18 basic information to understand where to expect adverse effects on baseflow and thus improve  
19 land management practices in those areas.

20

21

## 22 **Introduction**

23 In the U.S. Midwest, climate change is expected to cause more intense precipitation with  
24 longer, drier periods between events (e.g., Ukkola et al., 2020; USGCRP, 2018). There is evidence  
25 that drought-induced stress on water supply and crop production will increase reliance on  
26 groundwater (e.g., Jin et al., 2017; Phung et al., 2019). Groundwater that is discharged to streams  
27 is referred to as baseflow, and it is what sustains flow between precipitation events (Brutsaert,  
28 2008). Baseflow also represents minimum streamflow conditions needed to sustain ecological  
29 health, farming and drinking water supply. Given that baseflow can stabilize streamflow and water  
30 supply during droughts, it is important that we analyze how future climate and land use changes  
31 are expected to influence it.

32 Baseflow in the U.S. Midwest has changed over the last half century because of climate  
33 and agriculture (Ahiablame et al., 2017a; Ayers et al., 2019; Buttle, 2018; Xu et al., 2013).  
34 Specifically, increases in baseflow have been linked to increases in precipitation, soil moisture and  
35 corn and soybean areas, while decreases have been attributed to increasing temperature and  
36 decreasing precipitation (Ayers et al., 2020; Ficklin et al., 2016; Schilling and Libra, 2003).  
37 Temperature influences baseflow differently depending on the time of year where warmer  
38 temperature is associated with increases (decreases) in winter (summer) (Hellwig et al., 2020;  
39 Ayers et al., 2020). Increasing greenhouse gas emissions have already been documented and are  
40 expected to intensify hydrologic regimes in the future (IPCC, 2007; Wuebbles and Hayhoe, 2004).  
41 Winter warming will likely continue to influence the timing and type of precipitation which  
42 influences groundwater recharge and seasonal baseflow (Barnett et al., 2008; Carroll et al., 2019).  
43 Previous studies have found a tendency towards increasing trends in precipitation, especially in

44 the extremes (e.g., Byun et al., 2019; Southworth et al., 2000; Villarini et al., 2013). These  
45 projected changes can have differing effects on baseflow trends, and we need to understand their  
46 influence in combination with land use change.

47         There is evidence that increasing baseflow in the U.S. Midwest is partly related to historical  
48 changes in agriculture (Ayers et al., 2020; Schilling, 2005; Schilling et al., 2008). Since the 1940s  
49 small grains and diverse perennial cropping systems have been converted to annual corn and  
50 soybean row crops which altered the water balance of these watersheds (Zhang and Schilling,  
51 2006). Agricultural land management practices associated with corn and soybean production can  
52 have differing effects on baseflow. Tillage changes infiltration and runoff processes, which affect  
53 recharge to groundwater and delivery of water and sediment to surface water (Winter et al., 1998).  
54 In the Midwest, corn and soybeans are related to subsurface drainage that drains soils and lowers  
55 the water table. Tile drains predominantly affect the baseflow portion of streamflow, and are a  
56 contributing factor to increasing baseflow trends (Arenas Amado et al., 2017; Schilling and  
57 Helmers, 2008; Schilling and Libra, 2003). On the other hand, groundwater pumping has been  
58 shown to reduce baseflow because pumping removes aquifer storage available for discharge  
59 (Kundzewicz and Doll, 2009; Wen and Chen, 2006). Although agriculture and associated land  
60 management practices significantly altered baseflow in the past, we do not know how these  
61 patterns will continue to impact baseflow, especially given the projected changes in the climate  
62 system.

63         Previous studies usually focused on the effect of climate and land use to streamflow (e.g.,  
64 Ahiablame et al., 2017b; Byun et al., 2019; Cherkauer and Sinha, 2010; Chien et al., 2013; Koirala  
65 et al., 2014; Kundzewicz et al., 2008; Villarini et al., 2015); however, it is difficult to know how

66 subsurface water will respond to changes since the relationship is more complicated (Green et al.,  
67 2011). Numerical groundwater flow models are useful because they can incorporate complexities  
68 associated with linking climate change to groundwater recharge, discharge, and withdrawal (e.g.,  
69 Hanson et al., 2012; Peterson et al., 2020). Because physical models are computationally  
70 demanding, their application is limited over large regions; however, previous studies can provide  
71 useful insight into factors driving the direction and magnitude of future baseflow trends. Some  
72 studies have indicated baseflow will increase, but the results tend to be more difficult to discern  
73 than streamflow (e.g., Cherkauer and Sinha, 2010; Demaria et al., 2016; Ghafouri-Azar et al.,  
74 2021; Kahsay et al., 2018; Maurer et al., 2010). Baseflow in mountainous regions has been studied  
75 extensively because of shifts in snowmelt timing that may cause baseflow discharge earlier in the  
76 year (e.g., Barnett et al., 2005; Huntington and Niswonger, 2012; Stewart et al., 2005). Other  
77 studies have analyzed the impact of projected climate change on baseflow, but in other parts of the  
78 world (e.g., Eckhardt and Ulbrich, 2003; Hellwig and Stahl, 2018; Kahsay et al., 2018; Li and  
79 Zhang, 2018; Nyenje and Batelaan, 2009; Peterson et al., 2020; Samuel et al., 2012) or on a larger,  
80 global scale (e.g., Koirala et al., 2014). Because statistical models are less computationally  
81 intensive and are easier to implement over large regions, they can help fill in the gap to project  
82 changes in baseflow.

83 To date, no studies have sought to examine how future climate and land use changes will  
84 affect baseflow in the U.S. Midwest through the end of the 21<sup>st</sup> century, which is what this study  
85 addresses. We use a statistical modeling framework to relate changes in baseflow to changes in  
86 precipitation, temperature, antecedent wetness and harvested acres of corn and soybeans (i.e.,  
87 agriculture in the region) on a monthly scale. The statistical models are driven by downscaled and

88 bias corrected climate projections from two ensembles of Global Climate Models (GCMs) under  
89 the Representative Concentration Pathway (RCP) 8.5. To account for changes in agriculture we  
90 assess three difference scenarios where harvested acres of corn and soybeans remain constant,  
91 increase or revert back to perennial vegetation.

92

## 93 **2. Methods**

### 94 *2.1 Data*

95 In this study, we focus on the U.S. Midwest, which includes 10 states: Illinois, Indiana,  
96 Iowa, Michigan, Minnesota, Missouri, North Dakota, Ohio, South Dakota, and Wisconsin (Fig. 1).  
97 We considered 393 U.S. Geological Survey (USGS) streamflow gages that had a record of at least  
98 50 years of data (dating back to a common year of 1966). Daily mean discharge was downloaded  
99 from the USGS NWIS website (U.S. Geological Survey, 2016). These data were used to obtain a  
100 time series of monthly mean baseflow via a hydrograph separation method. The one-parameter  
101 digital filter method first proposed by Lyne and Hollick (1979) was used because it is consistent  
102 with what was used in Ayers et al. (2020) and was validated in other studies (Arnold and Allen,  
103 1999; Ladson et al., 2013; Xie et al., 2020; Zhang et al., 2017). This method uses a single baseflow  
104 filter parameter, the recession constant ( $\alpha$ ), and represents the rate that streamflow declines after  
105 a precipitation event. We used  $\alpha = 0.925$  because it has been shown to be an accurate value for  
106 catchments in the Midwest (Arnold and Allen, 1999; Nathan and McMahon, 1990). Digital filter  
107 methods also provide a quick and easy way to create a time series for baseflow. All baseflow  
108 separation calculations were performed in R using the EcoHydRology package (Fuka et al., 2018).

109           The historical meteorological data were obtained from the Parameter-elevation Regression  
110 on Independent Slopes Model (PRISM) climate group (Daly et al., 2002). Temperature and  
111 precipitation are available on a ~4-km grid resolution for the conterminous United States, and  
112 extend back to 1895. For every USGS gage, the monthly mean precipitation and temperature were  
113 calculated as basin average values using the boundaries from the USGS Streamgage NHDPlus  
114 Version 1 (Stewart et al., 2006). As an approximation for basin wetness, antecedent wetness was  
115 calculated as the sum of precipitation from the three months prior to the current month (Neri et al.,  
116 2019; Slater and Villarini, 2017). Antecedent wetness defined using the sum of the previous three  
117 months' precipitation has been found to capture subsurface water availability for baseflow  
118 conditions (e.g., Ayers et al., 2020). In addition, soil moisture data are insufficient for analyzing  
119 baseflow response over the last 50 years, and precipitation data are easily obtained from the GCM  
120 outputs used in this analysis. These data were used as input to our modeling framework and then  
121 for comparison with climate projections, consistent with Ayers et al. (2020).

122           To simulate baseflow through the end of the 21<sup>st</sup> century, projections of monthly  
123 precipitation and temperature were obtained from two different datasets: the North American  
124 Coordinated Regional Downscaling Experiment (NA-CORDEX; Mearns, 2017) and the Localized  
125 Constructed Analogs (LOCA; Pierce et al., 2014). The NA-CORDEX is a data archive that  
126 provides output from regional climate models (RCMs) run over most of North America. It uses  
127 boundary conditions from GCM simulations in the Coupled Model Intercomparison Project 5  
128 archive (CMIP5; Taylor et al., 2012). These simulations run on a monthly scale from 1950-2100  
129 at a spatial resolution of 0.22° (about 25 km). We considered ten members of the NA-CORDEX  
130 which were generated using five GCMs that provided initial boundary conditions to five RCMs,

131 although not every RCM was used for each GCM. LOCA is a statistical downscaling technique  
132 that simulates daily precipitation, and daily minimum and maximum temperature. Here we used  
133 the mean of the minimum and maximum temperature to calculate an average monthly time series.  
134 These data use outputs from GCMs part of the CMIP5 archive, and have a 1/16<sup>th</sup> degree spatial  
135 resolution. We used all 32 members from downscaling 32 GCMs.

136 We considered the historical period from 1950 to 2005 and compared the simulations to  
137 observational data. The projection period spans from 2006 to 2100, and we focused on the RCP  
138 8.5 for both NA-CORDEX and LOCA. We focused on the RCP 8.5 because that is what is  
139 currently available in the NA-CORDEX dataset for their higher-resolution (0.22<sup>o</sup>) RCM  
140 simulations. Within the NA-CORDEX dataset, other RCPs were only available on a resolution of  
141 0.44<sup>o</sup>. Thus, they were not included because of uncertainties related to coarser data at the regional  
142 scale (e.g., Qian and Zubair, 2010). RCP 8.5 also provides the most extreme scenario in terms of  
143 radiative forcing which is expected to increase to 8.5 W m<sup>-2</sup> from continued greenhouse gas  
144 emissions (Taylor et al., 2012). However, we acknowledge that our results do not capture the  
145 uncertainties associated with the response of the regional climate to different scenarios.

146 GCM projections were used to compute a basin-averaged time series of monthly average  
147 temperature, total precipitation, and antecedent wetness. To mitigate issues related to inter-model  
148 variability, we calculated the ensemble mean for each basin where each GCM member had equal  
149 weight (Meehl et al., 2009). The delta-change method was used to bias correct the ensemble mean  
150 of the NA-CORDEX and LOCA (Maraun, 2016). This approach was selected because it is simple,  
151 and it allows the structure of the projected time series to closely follow the observed one (Räty et  
152 al., 2014). We used a modification of the delta-change method that corrects the variance in the

153 projected time series according to the observations, similar to what was done in Neri et al. (2020).  
154 The correction of the mean involved shifting the time series by the average difference between the  
155 simulated and observed data over the historical time period (1950-2005). The standard deviation  
156 of the shifted GCM time series was normalized so that it matched the standard deviation of the  
157 observations over the historical period. Fig. 2 shows an example (USGS site 03091500 at  
158 Mahoning River near Princetown, OH) of the monthly time series for the NA-CORDEX and  
159 LOCA projections.

160 For land use and land cover change we only considered agriculture defined as the fraction  
161 of land dedicated to corn and soybeans within a basin. Total harvested acres for each crop are  
162 reported at the county level from the U.S. Department of Agriculture (USDA)'s National  
163 Agriculture Statistics Services (NASS) database (USDA; NASS, 2020). Following previous  
164 studies (e.g., Ayers et al., 2019; Neri et al., 2019; Slater and Villarini, 2017; Slater et al., 2017),  
165 we obtained a time series for the annual harvested acres of combined corn and soybeans reported  
166 as a percent of the total watershed area. We calculated it as the fraction of the county within each  
167 basin multiplied by the total agricultural acreage of that county. We assumed that the agricultural  
168 area is uniformly distributed within each county, and then summed the values for all counties.  
169 Agriculture was only considered in model selection if the percentage of land dedicated to corn and  
170 soybeans was greater than 30% at any point in the time series.

171 To consider future changes in agriculture, we used a simple approach that did not require  
172 outputs from GCMs. We used the observed basin averaged harvested area to consider two potential  
173 agricultural scenarios (i.e., increases or decreases). Since the 1940s there has been an increase in  
174 the percent of corn and soybean across the Corn Belt region. For each watershed, we fit a logistic

175 function to the USDA annual time series over the historical period, which allowed us to compute  
176 projections in agriculture through the end of the 21<sup>st</sup> century using time as a variable. The increased  
177 agricultural scenario assumed that corn and soybean areas will continue to increase at  
178 approximately the same rate as over the recent past. The decreasing agriculture scenario accounts  
179 for decreases by assuming that the fraction of land cultivated in corn and soybeans is reverted back  
180 to pre-1940s production by 2100.

## 181 *2.2 Statistical modeling framework*

182 The models build on the methodology described by Ayers et al. (2020) who showed how  
183 baseflow,  $Q_i$ , can be modeled using a gamma distribution where the parameters depend on  
184 covariates. The parameterization was based on the Generalized Additive Models for Location,  
185 Scale and Shape (GAMLSS; Rigby and Stasinopoulos, 2005), and has two parameters,  $\mu$  and  $\sigma$ .  
186 The location parameter,  $\mu$ , for each month and each watershed was described by one of 16 possible  
187 models that related baseflow to the four covariates (predictors): precipitation ( $x_p$ ), antecedent  
188 wetness ( $x_m$ ), temperature ( $x_t$ ), and agricultural intensity ( $x_a$ ). Table 1 shows the results of the five  
189 most commonly selected models. Model selection was based on the Bayesian Information  
190 Criterion (BIC; Schwarz, 1978). For every month, the analysis was only run if that month  
191 contributed more than 5% of total annual baseflow.

192 We fit the models to each station and month from 1940-2019 (start date depends on data  
193 availability). The parameters were estimated for each of the best-fit models and a time series for  
194 predicted monthly baseflow was obtained using observations as inputs to the models. Model  
195 performance was evaluated by calculating the correlation coefficient between observed and  
196 predicted (median of the probabilistic model fit) baseflow (see also Ayers et al. (2020)). To obtain

10

197 a future time series of monthly baseflow, we used the model created over the historical time period  
198 but with inputs from climate and agriculture projections. For each scenario, we did not combine  
199 the effect of climate and agriculture together. Rather, to differentiate the role of each driver, either  
200 climate or agriculture was held constant in the model formulation. We examined baseflow  
201 response to climate change by using NA-CORDEX and LOCA projections (i.e., precipitation,  
202 temperature and antecedent wetness) while agriculture was held constant by calculating the mean  
203 of the percent total harvested area from the previous six years (2013-2019). These years were  
204 selected because they are the most recent and exclude the 2012 drought as an outlier. To isolate  
205 the effects of agricultural changes, climate was held constant by computing the average over the  
206 most recent decade (2010-2019). For the agricultural scenarios, the analysis was only performed  
207 for watersheds and months that historically selected agriculture as a predictor in the model  
208 formulation.

209 To identify statistically significant baseflow trends in both the historical and projection  
210 periods, the Mann-Kendall (MK) trend test was used (Kendall, 1948; Mann, 1945). It is a  
211 nonparametric, rank-based test that determines monotonic trends in a time series. To be consistent  
212 with Ayers et al. (2019), we used a modification of the MK test, the pre-whitened MK statistical  
213 test (Yue et al., 2002) that removes a lag-one autoregressive (AR(1)) process from the time series  
214 (consult Serinaldi and Kilsby (2016) for a discussion of the limitation of using this type of test and  
215 correction). We set the significance level to 5%. Statistical analyses were performed on the  
216 baseflow time series for each streamflow gage and for every month over the historical and  
217 projection periods. For trend analysis, we focused on the historic period of 1950-2005 because that  
218 is the common period for the GCM historic projections. The MK test was run using the longest

219 period of record possible for each watershed, dating as far back as 1950, but no later than 1966  
220 which is the common year for discharge data.

221

## 222 **3. Results and Discussion**

### 223 *3.1 Model performance and comparison of historical trends*

224 Before we examine future changes in monthly baseflow, we need to understand how well  
225 our models and the GCM simulations reproduce baseflow trends. First we compared observed  
226 trends to those predicted using the PRISM dataset over the historical period (1950-2005). Then  
227 the results of the NA-CORDEX and LOCA were examined for the same period. The climate data  
228 varied for each historical run, but the observed USDA harvested acres of corn and soybeans were  
229 used as inputs for agricultural watersheds. Fig. 3 illustrates the trend results using each climate  
230 dataset, and Fig. 4 highlights differences between the observed trends and the respective datasets  
231 (i.e., PRISM, NA-CORDEX and LOCA). Figs. 3-4 show one month for each season (i.e., March,  
232 June, September, and December) for simplicity while supplemental material S1-S2 show the  
233 results for all months.

234 The comparison between the observation and the median of the models using the observed  
235 predictors showed uncertainties inherent in the statistical models (Figs. 3-4, column 2). The  
236 statistical models captured the increasing trends in monthly baseflow well. While there are many  
237 similarities, especially in the central and eastern parts of the U.S. Midwest, the observations  
238 detected more increasing trends overall. Trends are reproduced better from May to August, which  
239 coincides with when the models performed best (Ayers et al., 2020). In the Corn Belt region, there

240 were more matches likely as a result of an additional parameter (i.e., corn and soybeans) selected  
241 in the models during the growing season (Fig. 4). The model predictions differed in statistical  
242 significance the most during the winter. In December and January, mismatches were observed in  
243 the Ohio River basin and in Missouri. In May, the models had difficulty capturing trends in North  
244 and South Dakota, likely as a result of snowmelt processes. The models also failed to detect  
245 significant decreasing trends in the Great Lakes Region which points to their inability to capture  
246 the relationship of baseflow with decreasing precipitation and increasing minimum temperature in  
247 this area (Norton et al., 2019). Although the models have limitations for some months and areas,  
248 they perform well overall. This simple modeling framework captures tendencies in baseflow  
249 response across the region, demonstrating that it is suitable to project trends in monthly baseflow.

250 Trend analysis using NA-CORDEX and LOCA ensemble means yielded errors due to the  
251 GCMs' skill to reproduce the historical regional climate. The models were run with monthly  
252 climate projections and observed annual corn and soybean harvested area (Fig. 3, columns 3-4).  
253 Although differences in observations and model predictions were carried over into the projected  
254 trend results, there was strong agreement between observations and projected trends (Fig. 4). For  
255 NA-CORDEX and LOCA there was strong agreement in terms of increasing trends. The location  
256 of the trends followed a similar pattern from March to June (in Iowa, Illinois, Indiana), but there  
257 were noticeable differences between the two datasets. Overall, the trends by the NA-CORDEX  
258 reproduces those by the observations more closely than LOCA. For simplicity and to avoid  
259 redundancy, we will only report trend results from NA-CORDEX in the following sections. We  
260 recognize that the performance of projections over the historical period does not demonstrate their  
261 ability to capture future trends; however, NA-CORDEX has higher-resolution simulations that

262 may be better suited to capture local climate trends because of its more realistic representation of  
263 topography and mesoscale processes (e.g., Gao and Schlosser, 2019; Karmalkar, 2018; Martynov  
264 et al., 2013; Qian and Zubair, 2010; Wang and Kotamarthi, 2015; Zhu and Liang, 2007). While  
265 the models and data have limitations, this approach allows us to assess changes in baseflow  
266 response.

### 267 *3.2 Climate and land use change impacts to baseflow*

268 Here we examine three different scenarios to analyze how predictors (i.e., precipitation,  
269 temperature, antecedent wetness and agriculture) will impact baseflow through the 21<sup>st</sup> century.  
270 Fig. 5 displays the trend results for all three different scenarios (climate change, increases to  
271 agriculture and decreases to agriculture, with respect to corn and soybeans). We start with the  
272 simplest, baseline scenario because only changes in climate were accounted for (Fig. 5, column  
273 1). We only show the results for one month from each season (i.e., March, June, September, and  
274 December), but trend detection was run for every month (see Figs. S3-S4 for all months for both  
275 NA-CORDEX and LOCA). First, we used projections of monthly precipitation, temperature, and  
276 antecedent wetness, and annual combined corn and soybean crop area was kept constant (set to the  
277 mean from 2013-2019) in the models. Overall, more increasing than decreasing trends were  
278 present from January to July. Positive baseflow trends will likely be the result of increases in  
279 precipitation and subsequent increases in soil moisture which are the two main drivers of baseflow  
280 in the U.S. Midwest (Ayers et al., 2020). GCMs have shown that the frequency and intensity of  
281 precipitation are expected to increase across the region, especially in the spring and winter (e.g.,  
282 Byun and Hamlet, 2018; Easterling et al., 2017; Jha et al., 2006; Villarini et al., 2013; Wuebbles  
283 and Hayhoe, 2004).

284 Projected baseflow trends displayed potential changes to the seasonality of discharge.  
285 Beginning in January, increasing trends were present in the eastern part of the study region (i.e.,  
286 Ohio, Indiana and southern Michigan), and moving into February increasing trends were located  
287 further west. As temperatures increase in March, increasing trends were detected across the entire  
288 domain and increases persisted into the summer. By August, few increasing trends were  
289 concentrated in the central part of the domain (i.e., Minnesota, Iowa, Wisconsin, and Illinois), but  
290 increases were no longer present in the southeast where they occurred earlier in the year (January  
291 and February). There were few increases in baseflow from September to December. This shift in  
292 baseflow timing suggests temperature will be a driving factor in the future. Warmer temperatures  
293 in the winter and spring have been associated with an earlier onset of snowmelt and more  
294 precipitation falling as rain particularly in the Missouri River Basin (Rosenberg et al., 2003;  
295 Woodhouse and Wise, 2020). By the end of the summer and in the fall, baseflow trends showed  
296 little to no change possibly from decreases (or no change) in precipitation or because the influence  
297 of precipitation will be outweighed by warmer temperature and higher evapotranspiration.  
298 Interestingly, the results using LOCA had a more pronounced shift in seasonality than NA-  
299 CORDEX where increases were no longer present by July (Fig. S4). These findings agree with  
300 other regional analysis that have shown a shift in precipitation earlier in the spring and decreases  
301 in the summer by the end of the century (e.g., Cherkauer and Sinha, 2010; Winkler et al., 2012;  
302 Wuebbles and Hayhoe, 2004). Although precipitation in the late summer may decrease, the results  
303 of this study suggest that the absence of rain paired with warmer temperatures will not change  
304 baseflow, indicating the importance of antecedent conditions.

305 Baseflow is projected to decrease in the Dakotas for some months (i.e., April, May and  
306 July). In this area of the upper Missouri River basin, streamflow decreases have already been  
307 reported because of spring and summer temperatures that have increased the amount of  
308 precipitation falling as snow, leading to drier conditions later in the year (Wise et al., 2018;  
309 Woodhouse and Wise, 2020). Decreases to snowpack will likely persist in a warmer future, and  
310 be a driving factor for baseflow trends. In April, there are widespread decreasing trends in baseflow  
311 across the region for both NA-CORDEX and LOCA (Fig. S3 and S4). Temperature may be a  
312 significant factor for trends, potentially as a result of increased temperature outweighing the  
313 influence of precipitation and antecedent wetness. However, our model also performs poorly  
314 during April (Ayers et al., 2020), so there is some uncertainty in interpreting decreasing trends  
315 reported for this month.

316 To understand the influence of agriculture, baseflow simulations were run based on  
317 increases in corn and soybeans with the climate projections previously analyzed (Fig. 5, column 2  
318 and Fig. S5). In this scenario, climate change was not accounted for in combination with  
319 agriculture (i.e., it was held constant to the average of the 2010-2019 period) in the model  
320 formulation). Increases to agricultural watersheds assumed that the fraction of total cropped area  
321 would increase at the same rate since the 1940s. Increasing crop area indicated increasing trends  
322 in baseflow in the Corn Belt Region (i.e., Iowa, Illinois and Indiana). Increasing trends were  
323 detected for nearly all months that agriculture was selected as a predictor in the model formulation,  
324 which highlights the direct relationship between the two variables, and shows the control that land  
325 use has on baseflow throughout the year. These results suggest that if we continue to expand the  
326 land area dedicated to corn and soybeans there will be more baseflow discharged to streams. There

327 is also a potential for land use to intensify climate change and amplify increases to baseflow  
328 (Ahiablame et al., 2017b).

329         Compared to the native land covers of prairie, wetland and forest, corn and soybeans have  
330 caused the baseflow portion of streamflow to increase because of decreased evapotranspiration,  
331 artificial drainage and land management practices (e.g., Brye et al., 2000; Schilling and Drobney,  
332 2014; Schilling et al., 2008; Zhang and Schilling, 2006). For example, Ayers et al. (2020) found  
333 that corn and soybeans had a direct impact on increases to baseflow during the growing season.  
334 Trends documented later in the summer could be a result of a prolonged growing season. Studies  
335 have shown that over the last 30 years crops have been planted increasingly earlier in the spring  
336 with an extended season, and this trend is expected to continue with climate change (e.g., Kucharik,  
337 2006; Walthall et al., 2013). Increasing trends in baseflow also indicate that subsurface drainage  
338 will continue to alter the water balance. While artificial drainage has influenced the hydrology of  
339 agricultural watersheds, changes typically occur in conjunction with land management practices  
340 that play a significant role (Blann et al., 2009). These practices (e.g., conservation tillage, terraces,  
341 and contour cropping) were put in place to decrease erosion and increase infiltration which sustains  
342 higher baseflow (Schilling and Libra, 2003). Overall, it is difficult to separate out the influence of  
343 corn and soybeans from subsurface drainage and management practices because they are strongly  
344 related to one another.

345         In the U.S. Midwest, agricultural watersheds have changed from the untilled perennial  
346 cover of wetlands and prairie to cultivated annual row crops (Knox, 2001; Smith, 1998). The  
347 decreasing scenario infers that land use gradually returns to perennial systems by the end of the  
348 21<sup>st</sup> century. In this case, perennial systems could include the integration of perennial bioenergy

349 crops into the traditional corn-soybean rotation (Gassman et al., 2017), or possibly increasing crop  
350 rotations (Davis et al., 2012) or prairie strips (Hernandez-Santana et al., 2013). Decreasing row  
351 crop intensity would result in decreases to baseflow (Fig. 5, column 3 and Fig. S6). Decreasing  
352 baseflow trends were present throughout the entire year, and more often than in the increasing  
353 scenario. These results show how a more diverse system with native vegetation would decrease  
354 discharge, likely because the organic matter in soils beneath native perennials would sequester  
355 more precipitation (Brye et al., 2000). For example, Schilling and Drobney (2014) found that for  
356 a watershed in Iowa, prairie reconstruction increased evapotranspiration and reduced drainage  
357 from the soil profile, thus lowering baseflow.

358 It is important to note that caution is merited when interpreting these results. Decreasing  
359 the harvested area of row crops does not necessarily require removal of constructed drainage, and  
360 it is likely impractical in most circumstances. Decreasing trends in baseflow associated with  
361 agriculture will also depend on land management practices that alter infiltration (Ahiablame et al.,  
362 2017a; Price, 2011; Schilling and Libra, 2003). Overall, this study showed that agriculture has a  
363 more sustained impact than climate on the direction of changes to baseflow in the Corn Belt  
364 Region. These results are consistent with Phung et al. (2019) and Xu et al. (2013) who showed that  
365 for watersheds in the U.S. Midwest, streamflow was impacted by climate more than land use  
366 change which had a greater impact on changes in baseflow.

367

### 368 **3. Conclusions and future implications**

369           The main objective of this study was to evaluate the influence of climate and agriculture  
370 on projected changes in monthly baseflow. We used a statistical modeling approach to examine  
371 trends at 393 USGS streamflow gages across the U.S. Midwest. Projected changes were based on  
372 downscaled and bias corrected GCM outputs using the RCP 8.5 scenario, and predicted corn and  
373 soybean harvested acres. We analyzed changes through the 21<sup>st</sup> century based on three different  
374 climate and agricultural scenarios. The main findings of this study are:

- 375       • Historical trends (1950-2005) based of the statistical models forced with observations  
376       captured observed monthly trends well, although the models reproduced increasing trends  
377       better than decreasing ones. Both NA-CORDEX and LOCA recreated historical trends  
378       closely with observations, but NA-CORDEX captured trends better.
- 379       • Climate projections indicated that monthly baseflow will mostly increase, and these  
380       increases will likely be driven by increases in precipitation and antecedent wetness.  
381       Temperature is expected to change the timing of baseflow. Most of the increasing trends  
382       were detected from January to July, indicating that there will be more discharge earlier in  
383       the year. By the fall (September), not many increasing trends were present. For some  
384       months (April, May and July), decreasing trends were concentrated in the Dakota,  
385       potentially related to temperature and snowmelt processes.
- 386       • Results showed that the expansion of the area dedicated to corn and soybeans will increase  
387       baseflow for agricultural watersheds in the Corn Belt Region. On the other hand,  
388       decreasing the cultivated area caused decreases in baseflow. Both scenarios result in  
389       baseflow trends that will persist throughout the year, which highlights the potential control  
390       of land use on infiltration. The decreasing scenario assumes restoration of native covers in

391 agricultural watersheds, which could help mitigate the effects of climate change by storing  
392 more water in the basin.

393  
394 This approach provides a clear and simple way to assess projected changes in monthly  
395 baseflow. The statistical models assumed that the relationship between the response variable (i.e.,  
396 baseflow) and the predictors (i.e., precipitation, antecedent wetness, temperature and agriculture)  
397 was stationary. While this assumption is an approximation, it is useful in the modeling framework  
398 in that it can be applied in other regions of the world. In addition, we assumed that the performance  
399 of the GCMs in the historical period represented their future performance. To reduce the  
400 uncertainty and prediction error, we used bias correction and compared the historical trend results.  
401 In the future, these findings can be updated and improved with recent and higher resolution GCMs.

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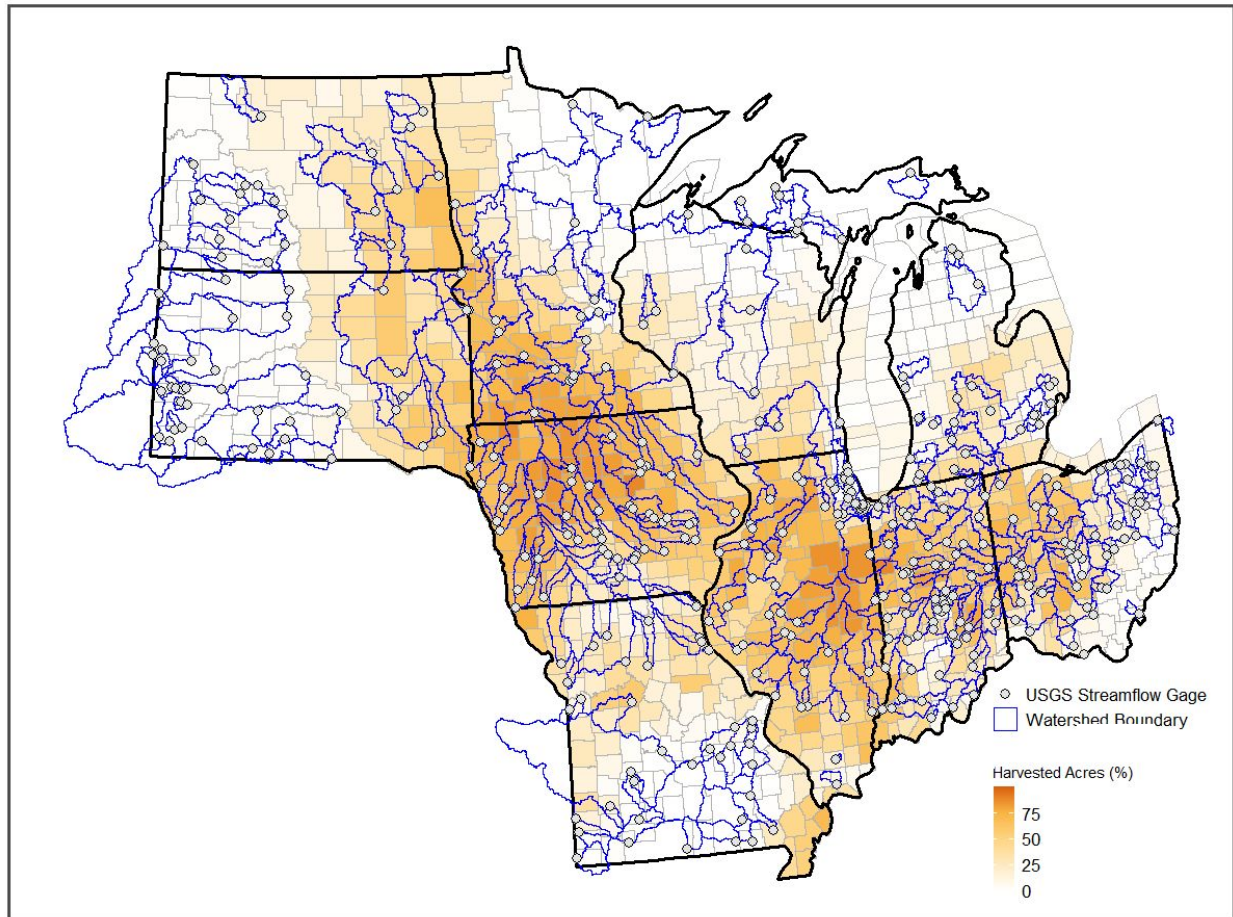
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668 **Fig. 1.** Location of the 393 USGS gaging stations and mean annual (from 2013-2019) county level  
669 corn and soybean harvested acres as a fraction of the total county land area.

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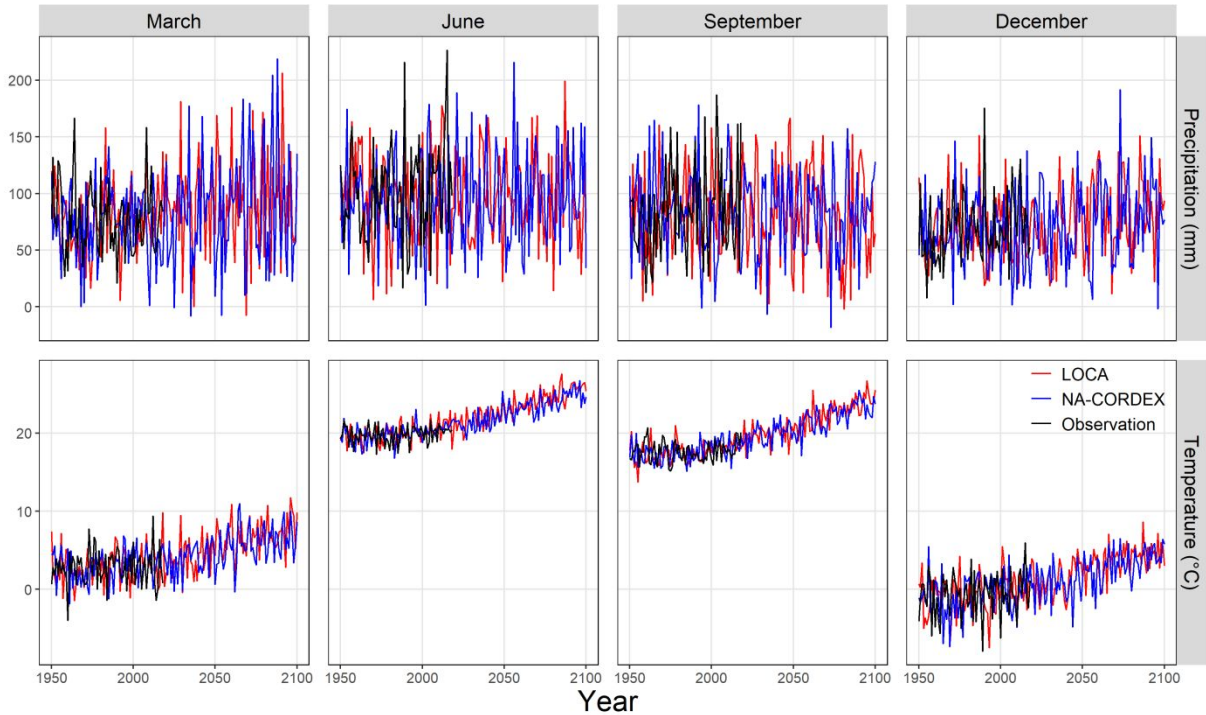
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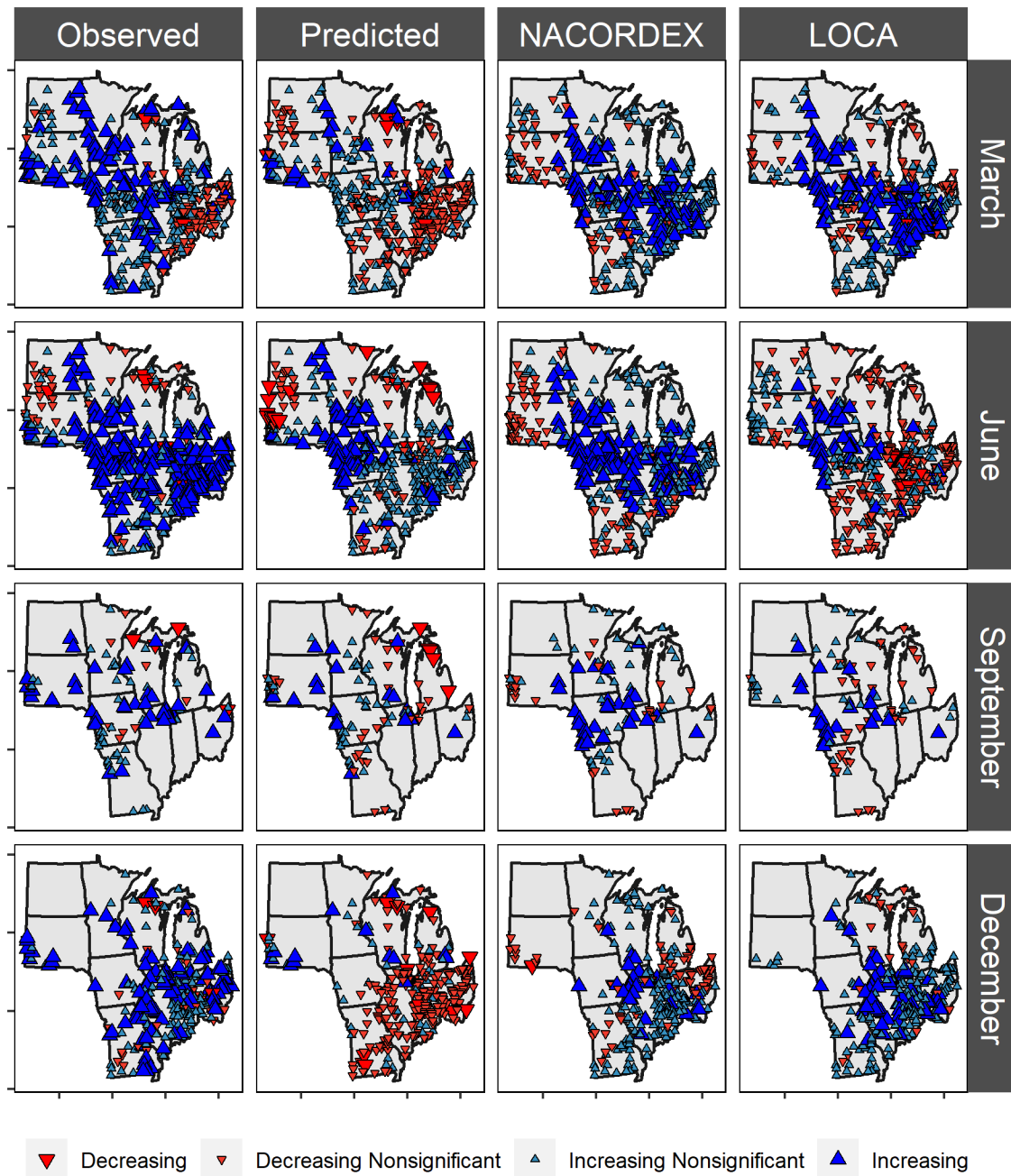
680 **Fig. 2.** The observed and projected bias-corrected monthly precipitation time series for USGS  
 681 streamflow gage 03091500 (Mahoning River at Princetown, OH). The black line represents the  
 682 observed values over the historical period (1950-2005), while LOCA and NA-CORDEX are run  
 683 for the same period and for the projection period (2006-2100). The projections are run for every  
 684 month, but in this figure we included only four months for reference. Projections are bias corrected  
 685 using the delta change method.

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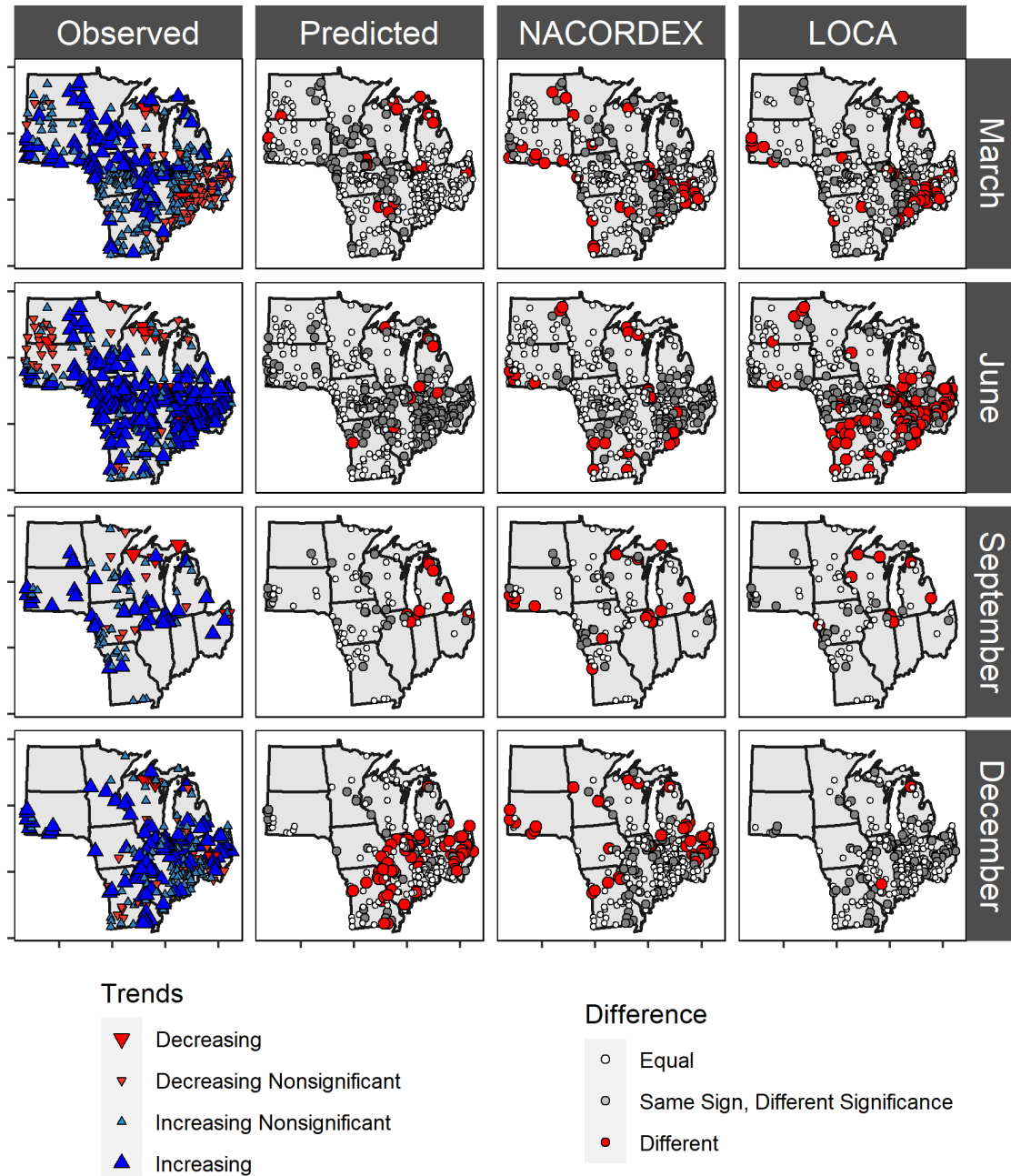
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691 **Fig. 3.** Maps illustrating monthly baseflow trends over the historical period (1950-2005). Each  
 692 column shows the results for four different datasets: the observations, the median of the models  
 693 using the PRISM dataset (i.e., predicted), and the model run with NA-CORDEX or LOCA  
 694 datasets. Each month's results are displayed in a row where a large blue upward (red  
 695 downward) indicates an increasing (decreasing) trend significant at the 5% level. Smaller arrow  
 696 correspond to nonsignificant trends where the colors correspond to the trend direction.

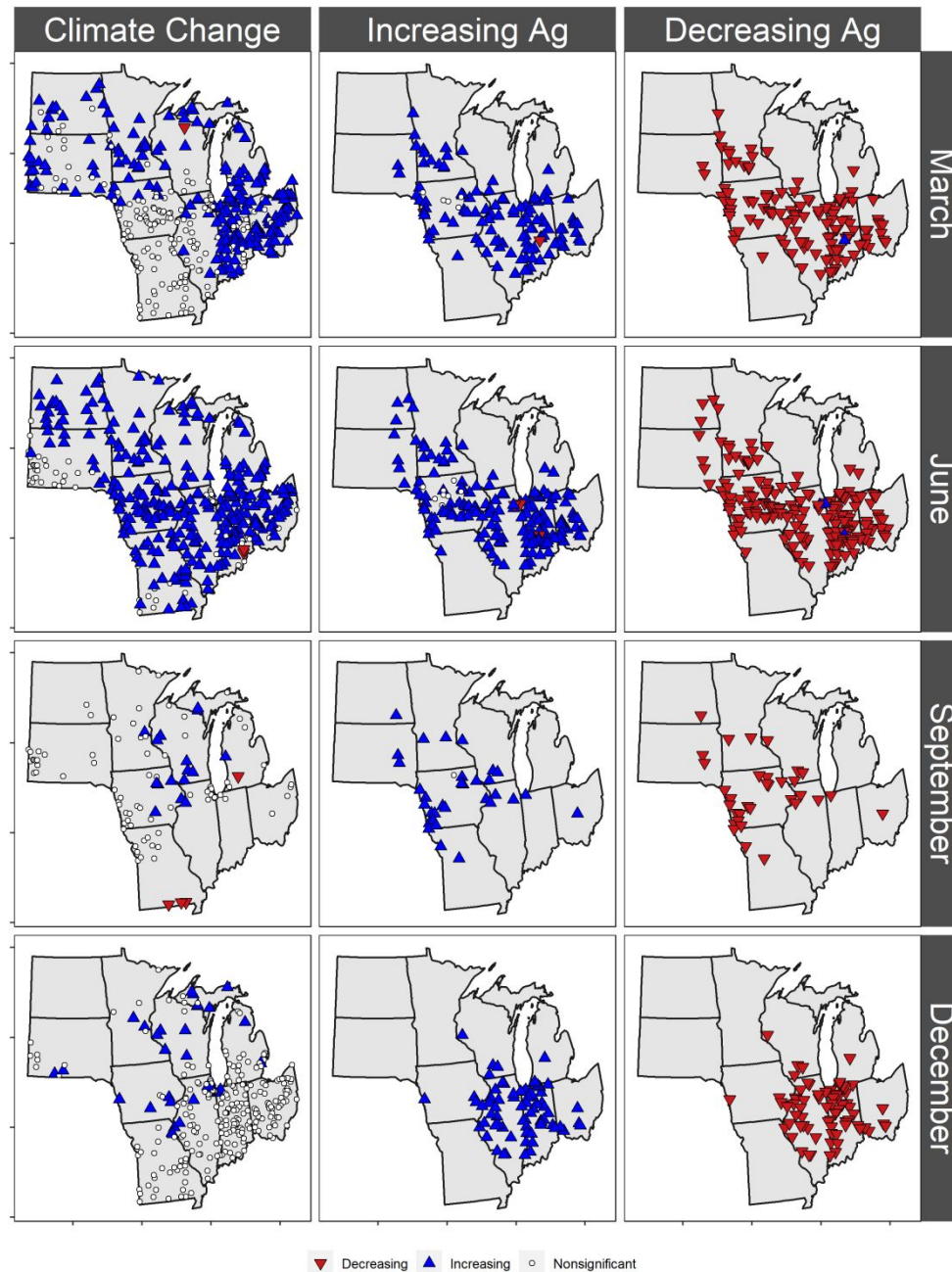
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698 **Fig. 4.** Maps illustrating the difference in each dataset compared to the observed historical  
 699 baseflow trends (column one, consistent with Fig. 3). Columns two to four illustrate the difference  
 700 in trend detection between the observations and each dataset (i.e., PRISM, NA-CORDEX, and  
 701 LOCA). “Equal” indicates that the significance of the trend was the same, which is shown as white  
 702 dot. A grey circle shows that the sign of the trends was consistent but the significance was detected  
 703 differently. Finally, a red circle indicates that the trends were different in significance and sign.  
 704 Trend significance was set at the 5% level.

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706 **Fig. 5.** Projected baseflow trend results (2006-2100) using the NA-CORDX dataset for the three  
 707 scenarios representative of climate change, and increases and decreases with respect to the  
 708 production of corn and soybeans. Results are shown for selected months; one from each season  
 709 (i.e., March, June, September and December). See supplemental material (Figs. S3-S6) for results  
 710 of every month for both NA-CORDEX and LOCA. A blue upward arrow (red downward) indicates  
 711 an increasing (decreasing) trend significant at the 5% level. The white dots signifies that no  
 712 significant trend was detected.

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713 **Table 1.** The five most commonly selected statistical models to model monthly baseflow as a  
 714 function of the four predictors: precipitation ( $x_p$ ), antecedent wetness ( $x_m$ ), temperature ( $x_t$ ), and  
 715 agricultural intensity ( $x_a$ ). The  $\sigma$  parameter does not depend on predictors.

<b>Model Name</b>	<b>Model Formulation</b>
Precipitation + Antecedent Wetness	$\log(\mu_1) = \alpha_1 + \beta_1 \cdot x_p + \gamma_1 \cdot x_m$
Precipitation + Antecedent Wetness + Agriculture	$\log(\mu_2) = \alpha_2 + \beta_2 \cdot x_p + \gamma_2 \cdot x_m + \delta_2 \cdot x_a$
Precipitation + Antecedent Wetness + Temperature	$\log(\mu_3) = \alpha_3 + \beta_3 \cdot x_p + \gamma_3 \cdot x_m + \delta_3 \cdot x_t$
Antecedent Wetness	$\log(\mu_4) = \alpha_4 + \beta_4 \cdot x_m$
Temperature + Antecedent Wetness	$\log(\mu_5) = \alpha_5 + \beta_5 \cdot x_t + \gamma_5 \cdot x_m$

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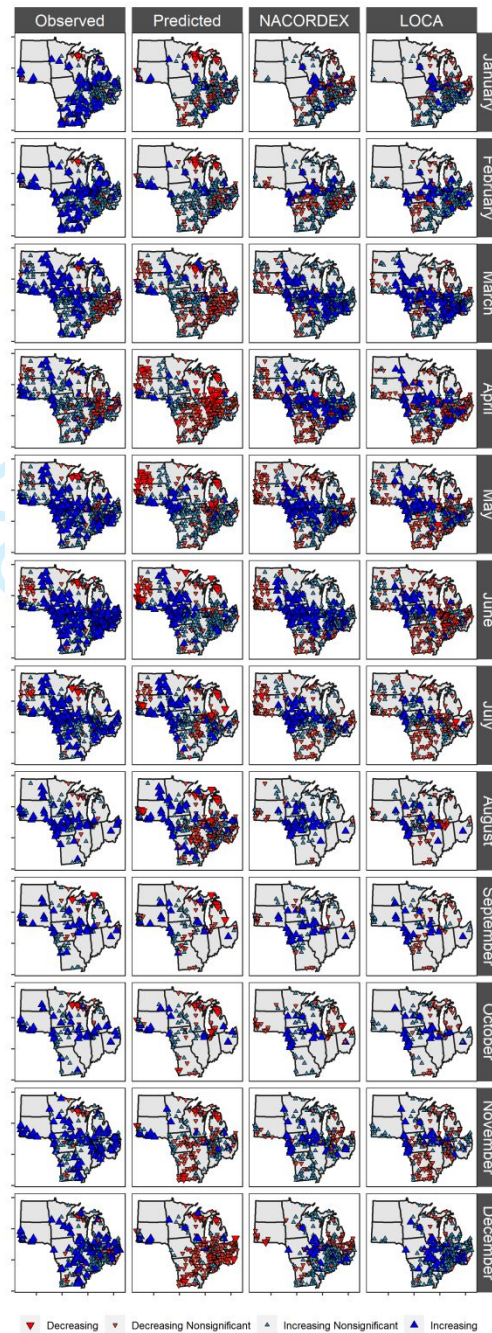
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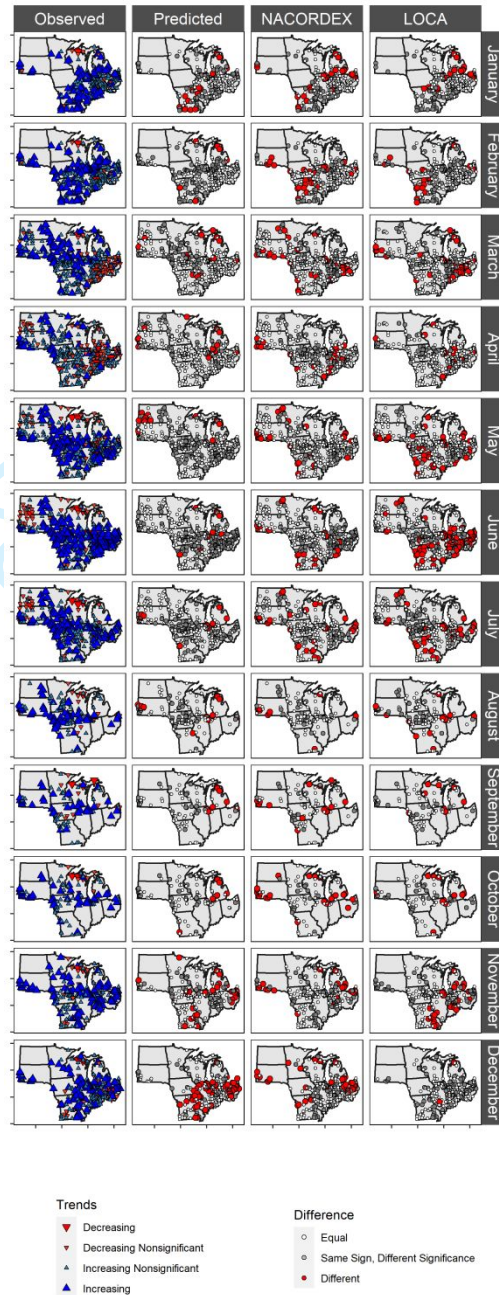
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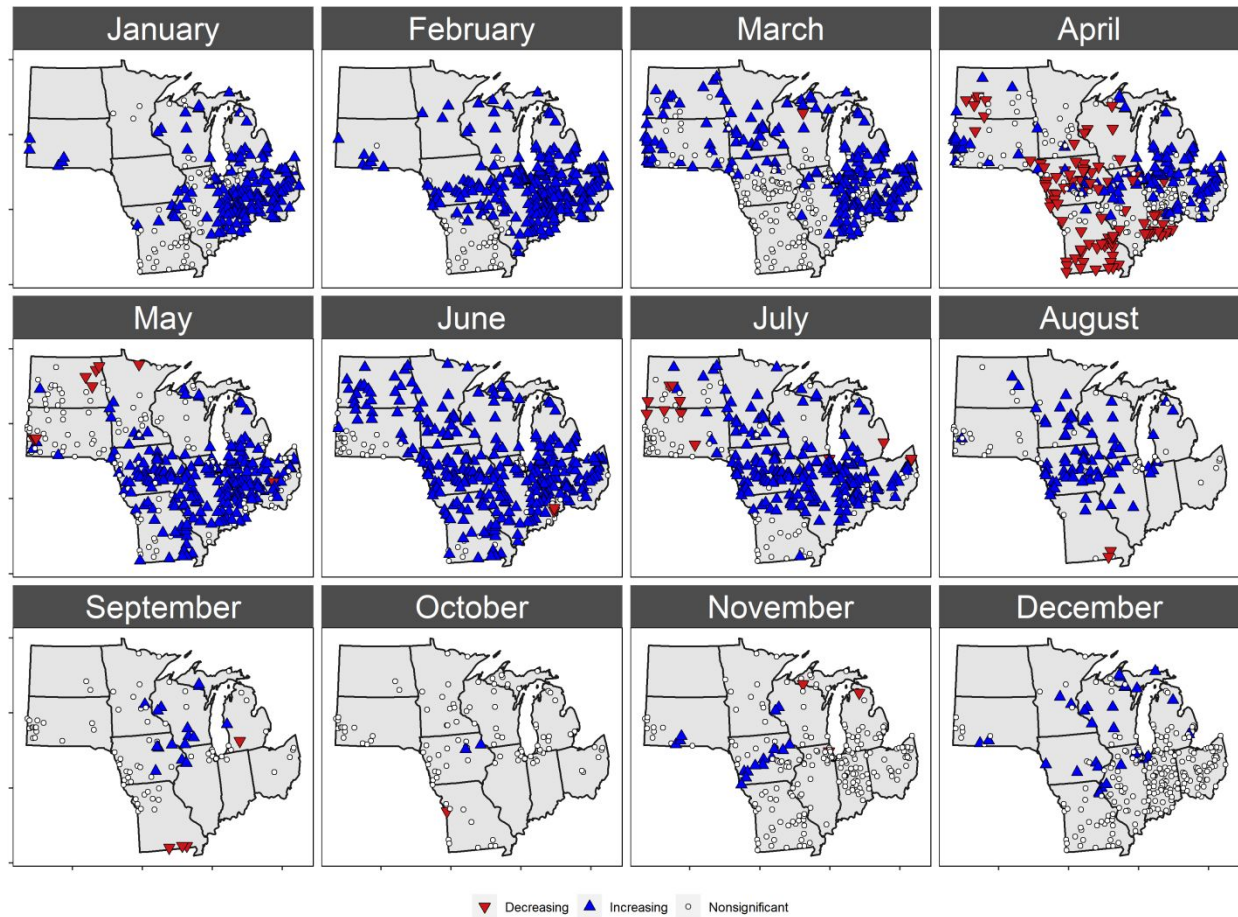
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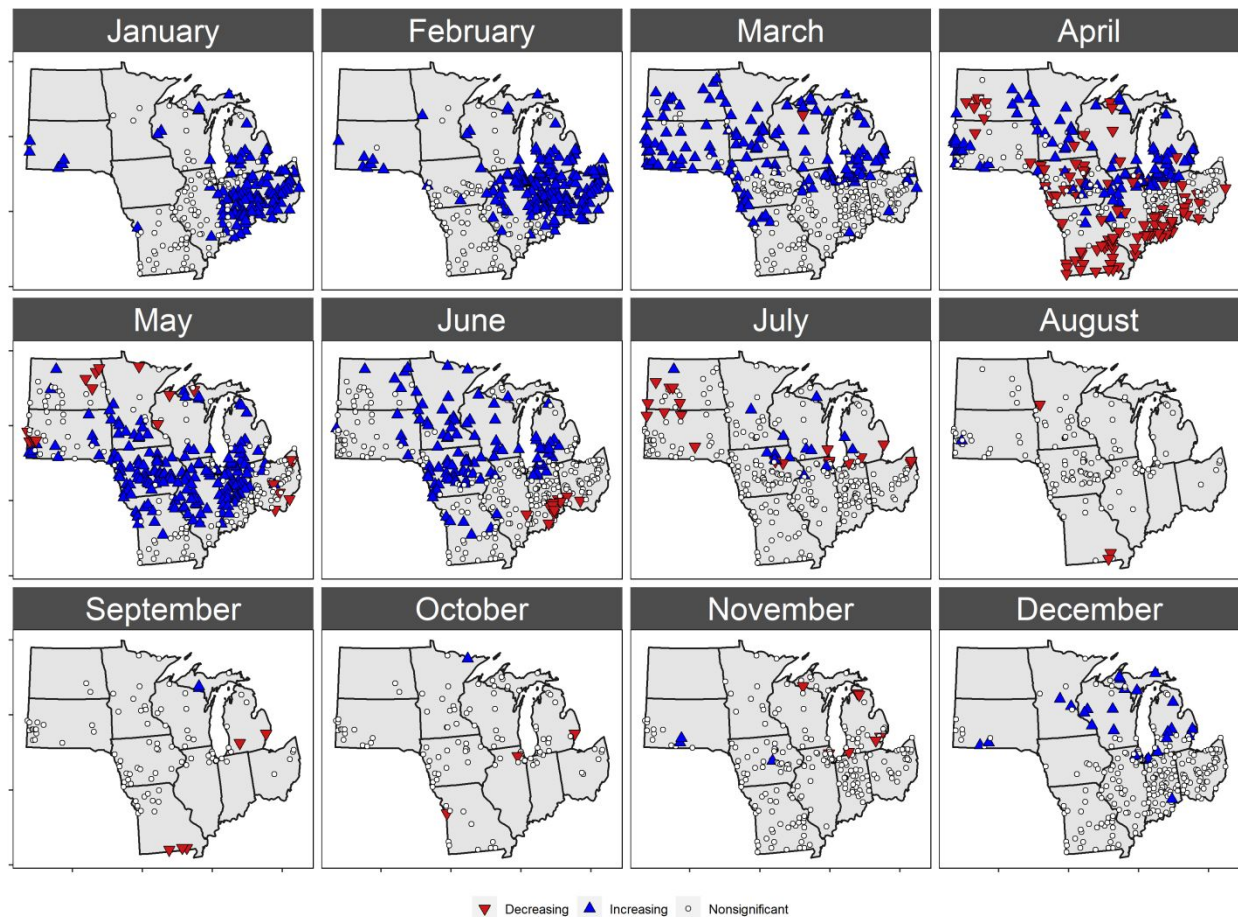
**Fig. S1.** Maps illustrating monthly baseflow trends over the historical period (1950-2005; same as Fig. 3). Trend results are shown in their respective columns for the observations, the median of the model fit using PRISM, and the model run with NA-CORDEX or LOCA datasets. Each month's results are displayed in a row where a large blue upward arrow (red downward) indicates an increasing (decreasing) trend significant at the 5% level. Smaller arrow correspond to nonsignificant trends where the colors correspond to the trend direction.



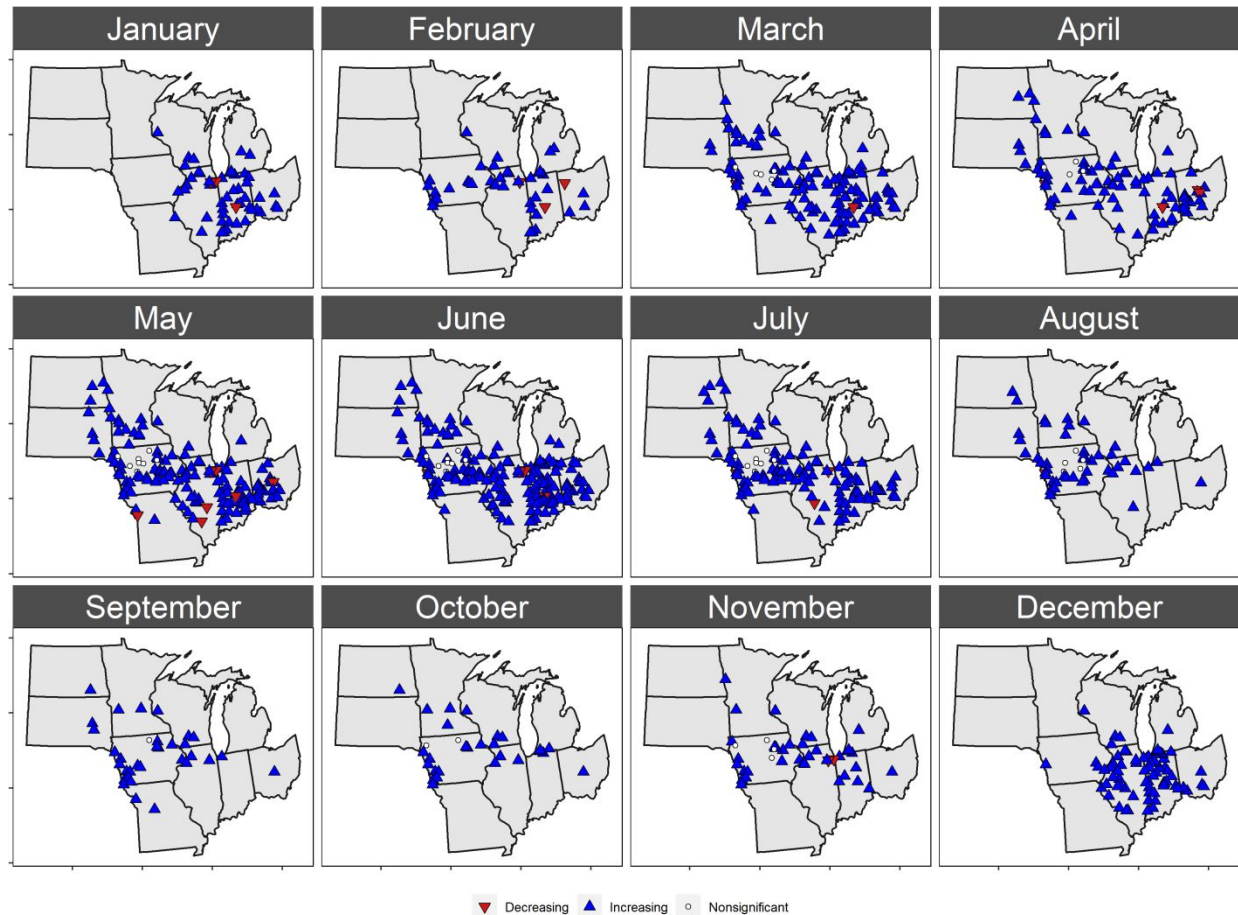
**Fig. S2** Same as Fig. 4 illustrating the difference between the observed baseflow trend results (1950–2005). Trend results for the observations are shown in column one where a large blue upward arrow (red downward) indicates an increasing (decreasing) trend significant at the 5% level. Columns two to four compare trend results to the observations as differences in detection for each dataset (i.e., PRISM, NA-CORDEX and LOCA). Equal indicates that the significance was the same, and is shown as white dot. A grey dot illustrates that the sign of the trends was consistent but the significance was detected differently. Finally, a red dot indicates that the trends were different in significance and sign. The MK trend test was used at the 5% significance level.



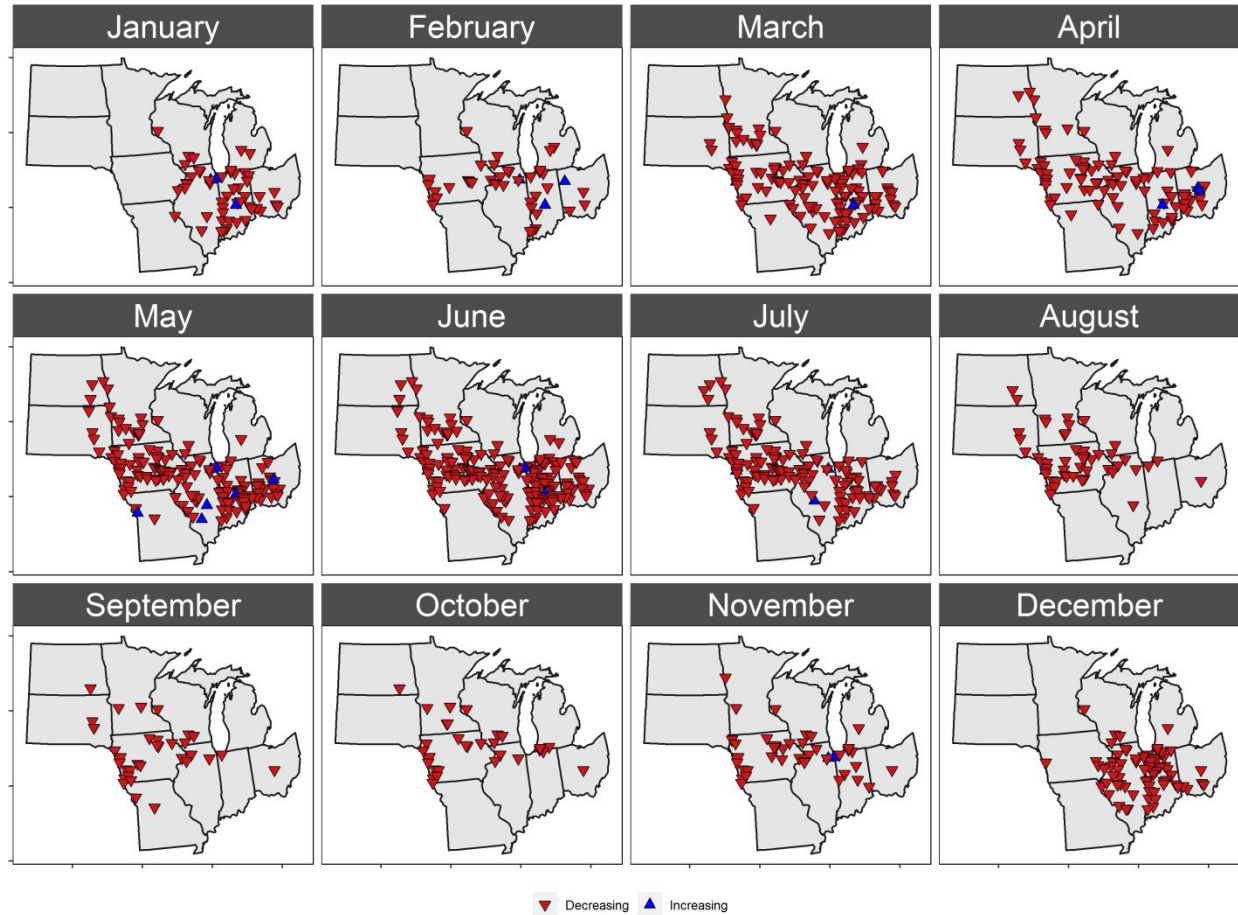
**Fig. S3.** Projected trends (2006-2100) in monthly baseflow based on projections from NA-CORDEX. Agriculture was held constant in the statistical models to account for climate change. A blue (red) upward arrow indicates an increasing (decreasing) statistically significant trend at the 5% level. A white point indicates a non-significant trend result.



**Fig. S4.** Same as in Fig. S3 but using climate projections from LOCA. A blue (red) upward arrow indicates an increasing (decreasing) statistically significant trend at the 5% level. A white point indicates a non-significant trend result.



**Fig. S5.** Projected trends (2006-2100) in monthly baseflow accounting for increases in agriculture without the influence of climate. In this experiment agriculture is projected to increase at the same rate that it has over the recent past and with a limit of the watershed having 100% of the land allocated to corn and soybeans. A blue (red) upward arrow indicates an increasing (decreasing) statistically significant trend at the 5% level. A white point indicates a non-significant trend result.



**Fig. S6.** Same as Fig. S3 but for the decreases in agriculture outside of climate change. The model inputs assume that harvested acres of corn and soybeans will revert back to pre-1940s conditions by the end of the 21<sup>st</sup> century. A blue (red) upward arrow indicates an increasing (decreasing) statistically significant trend at the 5% level. A white point indicates a non-significant trend result.