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Stuffed pumpkins: mechanochemical synthesis of host-guest complexes with cucurbit[7]uril

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Stuffed pumpkins: mechanochemical synthesis of host-guest complexes with cucurbit[7]uril†

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Solvent-free mechanochemical synthesis (ball-milling) was used to prepare inclusion complexes with cucurbit[7]uril and four model guest molecules (adamantane, adamantyl-1-amine hydrochloride, toluidine hydrochloride, and p-phenylenediamine dihydrochloride). Successful formation of individual inclusions was independently confirmed by one- and two-dimensional solid-state NMR techniques and differential scanning calorimetry. Mechanochemical synthesis represents an alternative path towards new types of cucurbit[n]uril/guest inclusion complexes that are not accessible due to limited solubility of the individual components.

Cucurbit[n]uril macrocycles (CB[n]) are now well-established hosts for the encapsulation of guests in aqueous medium, 1-3 often with extreme binding affinities (up to $7.2 \times 10^{17} \text{ M}^{-1}$). $^{4-6}$ Native $CB[n]s^{7-11}$ and their acyclic congeners have been shown to greatly enhance the solubility of hydrophobic substrates in aqueous medium upon encapsulation. For example, CB[6] and CB[7] enhance the solubility of anti-parasitic Albendazole by 2000-fold, 15 and Calabadion, an acyclic CB[n] congener developed by the Isaacs group, enhances the solubility of anti-tumoral paclitaxel by 2750-fold. 14 Solubilities can then approach or even exceed that of the free hosts (typically in the low mM range). However, while this effect is an essential feature of CB[n] encapsulation, it is highly likely that researchers in the field have had to cope at some point with suspensions of a CB[n] host and a potent guest, and their inability to form the desired complex due to either (i) very low solubilities of either or To overcome this complication, we show here that mechanical force can be used to encapsulate guests into CB[n]s, by simple solvent-free ball-milling of both substrates. Mechanochemistry has been successfully applied in several studies. ^{16–20} We used this technique in earlier studies towards the synthesis of various 2D and 3D arrays of molecular machines in highly porous zeolite-like tris(o-phenylenedioxy)-cyclotri-phosphazene. ^{21–26} While CB[n]s have been used as solid excipients ^{8,10} in the formulation of oral tablets, ^{27,28} encapsulation of the guest molecules into the CB[n] cavities when both hosts and guests are mixed as powders has never been systematically investigated. To the best of our knowledge, this study provides such detailed evidence for the first time.

As a proof of concept, we present here the mechanical encapsulation of guests 1–4 into the cavity of CB[7] (Chart 1). All guests bind tightly to CB[7] in aqueous medium; Isaacs and coworkers extracted the binding affinity of adamantane in D₂O from phase-solubility diagrams $(5.2 \times 10^6 \, \text{M}^{-1})$, ²⁹ and obtained binding affinities of 4.2×10^{12} , 2.1×10^6 and $8.4 \times 10^6 \, \text{M}^{-1}$ for

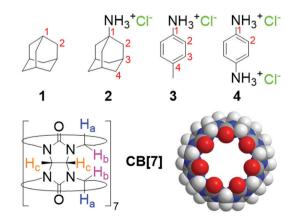


Chart 1 Guest molecules 1–4 with atom numbering; top view of a space filling model of CB[7] (structure from X-ray diffraction analysis). 31

both partners, or (ii) exceedingly slow deaggregation of either or both partners in aqueous medium.

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[†] Electronic supplementary information (ESI) available: Experimental procedures, solution and solid-state NMR spectra of compounds **1–4** and their inclusion complexes with CB[7], DSC traces for compounds **2–4**, and ESI MS data for **1–4** \subset CB[7]. See DOI: 10.1039/d1cc00240f

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guests 2–4, respectively, by competitive nuclear magnetic resonance spectroscopy (NMR) experiments in a 50 mM sodium acetate buffer (pD 4.74).³⁰

Free guests were characterized first as solids before and after ball-milling by 1 H and 13 C magic-angle-spinning solid-state nuclear magnetic resonance technique (MAS ssNMR). Inclusion complexes were then prepared by ball-milling both guest and host for 4×5 min at room temperature in three different guest/ CB[7] ratios (2:1, 1:1 and 1:2), and were thoroughly characterized by 1 H and 13 C MAS ssNMR, as well as differential scanning calorimetry (DSC). Finally, all inclusion complexes were dissolved in D_2 O to allow the typical host–guest recognition in water to take place, after which samples were evaporated to dryness, and characterized by 1 H and 13 C MAS ssNMR again. Identical spectra recorded before and after water treatment would confirm encapsulation by ball-milling.

Importantly, CB[n]s as solid samples are notorious for trapping significant amounts of water and hydrochloric acid despite thorough drying. 1,2,32,33 In one of the rare studies that highlights this property, Kaifer reported an apparent molecular weight of approximately 1500 g mol^{-1} for their CB[7] source (vs. 1163 g mol^{-1} in its anhydrous form), that increased to $1900 \text{ and } 2400 \text{ g mol}^{-1}$ after 1 and 13 days exposures to ambient air. 33 The apparent molecular weight of the CB[7] sample used in this study ranged from $1400 \text{ to } 1700 \text{ g mol}^{-1}$, as determined by ^{1}H NMR titration with adamantylammonium (2) present in known quantities and elemental analysis (see ESI,\dagger for details).

Regardless of the nature of the guest, 1H and ^{13}C chemical shifts of the pseudo-axial (H_a), pseudo-equatorial (H_b) and equatorial hydrogens (H_c) of CB[7] are not significantly affected by encapsulation in solution (less than 0.08 ppm for hydrogen nuclei and 0.45 ppm for carbon atoms). As is typical, hydrogen nuclei of the guests undergo significant upfield shifts (towards lower chemical-shift values) when they reside inside the cavity of CB[7] (up to 0.8 ppm for one of the H4 signals of guest 2 and for the H2 signal of guest 4). Carbon nuclei undergo either upfield or downfield shifts (-1.8 to 0.7 ppm).

While the solubility of adamantane (1) in water is exceedingly low (0.78 μ M), stirring in the presence of CB[7] dramatically increases its solubility, albeit slowly (approximately 0.2, 0.8 and 2.4 mM after 1, 24 and 72 h, respectively, in the presence of 3.5 mM CB[7]; see Fig. S2 in the ESI†).

The host–guest assemblies were then characterized in the solid state by ¹H and ¹³C MAS ssNMR spectroscopy with ultra-fast MAS speed of 70 kHz, which leads to a significant narrowing of proton NMR signals. This feature was also exploited in proton-detected two-dimensional (2D) ssNMR experiments, which were necessary for the detection of hydrogen signals of guest molecules overlapped with signals of the host and for an unequivocal confirmation of host–guest complex formation.

The 1H ssNMR spectrum of CB[7] (Fig. 1a) shows overlapping H_a and H_c signals (see Chart 1 for numbering) at 6.0 ppm that are separated from H_b at 4.9 ppm. The broad signal at 1.3 ppm probably corresponds to water molecules trapped inside CB[7], as it disappears upon guest inclusion (Fig. 1 and Fig. S25, ESI \dagger). The spectrum of neat ball-milled adamantane (1) is characterized by a

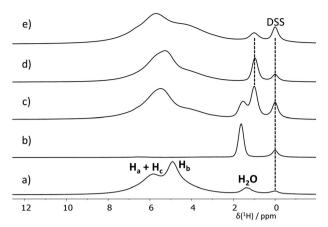


Fig. 1 ¹H ssNMR spectra of ball-milled (a) CB[7], (b) adamantane (1), and 1 in the presence of (c) 0.5, (d) 1.0, and (e) 2.0 equiv. CB[7]. Sodium trimethylsilylpropanesulfonate (DSS) was used as an internal standard.

peak centered at 1.6 ppm (both the CH and CH_2 groups overlap, Fig. 1b). 1H chemical shifts of guest 1 undergo clear upfield shifts (0.6 ppm) upon encapsulation into CB[7]. Signals of both free and bound adamantane (1) can be seen in the presence of a substoichiometric amount of CB[7] (spectrum c), while only CB[7]-bound adamantane (1) is observed in the presence of 1.0 or 2.0 equiv. CB[7] (spectra d and e).

Similarly, ¹³C chemical shifts of adamantane (1) undergo significant changes upon encapsulation (upfield by 1.1 ppm for C(1) and downfield by 0.6 ppm for C(2), see Fig. 2 and Chart 1 for numbering). The chemical shifts of CB[7] (52.8, 71.3 and 156.5 ppm in the neat sample) are not affected by complexation.

Intermolecular interactions, and hence spatial proximity between CB[7] and guest molecules can be observed in spectra that exploit dipolar (through-space) coupling. Fig. 3 shows a 1 H homonuclear double-quantum (DQ) – single-quantum (SQ) correlation spectrum, where correlations between the adamantane (1) and CB[7] hydrogen atoms (overlapping H_a and H_c signals) are clearly visible. The experiment was carried out in

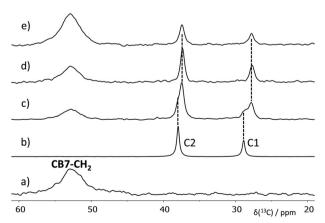


Fig. 2 Part of 13 C CP-MAS ssNMR spectra of ball-milled (a) CB[7], (b) pure adamantane (1), and 1 in the presence of (c) 0.5, (d) 1.0, and (e) 2.0 equiv. CB[7].

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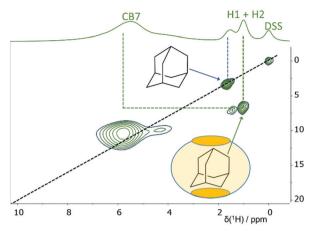


Fig. 3 $^{1}H^{-1}H$ DQ-SQ MAS spectrum of a milled 2:1 mixture of adamantane (1) and CB[7] obtained using rotor-synchronized recoupling at a MAS rate of 70 kHz. DSS was used as an internal standard.

the presence of 0.5 equiv. CB[7], and clearly differentiates between the signals of free and CB[7]-bound adamantane (1). An additional strong autocorrelation signal of the adamantane nuclei indicates larger domains of neat 1 in this sample (Fig. 3).

To confirm that ball milling indeed leads to inclusion complexes similar to those obtained in solution, and not merely to exclusion complexes, the ¹H ssNMR spectrum of the sample obtained after ball milling (Fig. 4a) was compared with the spectrum of the mixture obtained after evaporation of a water solution of the guest and host (Fig. 4b). Both spectra are indeed identical, except for a residual water signal present in the sample prepared from the aqueous solution (Fig. 4b). Notably, its chemical shift (4.3 ppm) is very different from the one observed in free CB[7] (1.3 ppm, Fig. 2a). We propose that the latter belongs to water inside the CB[7] cavity, while the former corresponds to water at the CB[7] portals.

Guests 2–4 also form host–guest inclusion complexes with CB[7] upon ball milling (Fig. 5 and Fig. S13–S27, ESI \dagger), with similar upfield shifts in 1 H spectra upon encapsulation, with the exception of the methyl group in p-toluidine (3) where a 0.6 ppm downfield shift is observed. In the latter case, the significant difference in the chemical shift measured in aqueous solution (2.3 ppm) and in the solid state (1.0 ppm) suggests an unusual packing feature of the free guest in the solid state.

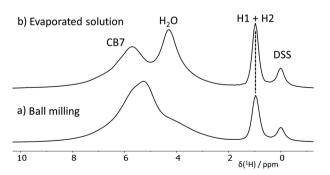


Fig. 4 $\,^{1}$ H ssNMR spectra of a 1:1 mixture of adamantane (1) and CB[7] (a) after ball milling, and (b) prepared in water and evaporated to dryness. DSS is used as internal standard.

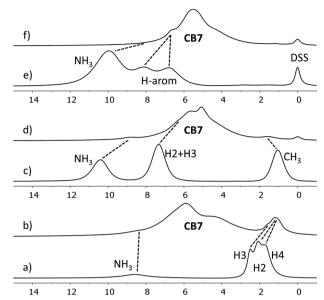


Fig. 5 $\,^{1}$ H ssNMR spectra of: (a) free guest **2**, (b) complex **2** \subset CB[7], (c) free guest **3**, (d) complex **3** \subset CB[7], (e) free guest **4**. (f) complex **4** \subset CB[7]. DSS is used as internal standard, and all complexes obtained by ball-milling. See ESI,† for magnified spectra.

However, after milling with CB[7], the methyl signal resonates at 1.6 ppm, *i.e.* close to the value observed in a water solution of the $1 \subset CB[7]$ complex (see ESI,† section for details). Symbol " \subset " is used for the inclusion complexes. We also observe that the aromatic hydrogen nuclei of guest 4 are non-equivalent in the solid state (8.1 and 6.8 ppm); this is in agreement with its known crystal structure.³⁴ The aromatic protons become equivalent and resonate at a lower frequency (6.6 ppm) upon encapsulation in CB[7].

Differential scanning calorimetry (DSC) traces of free adamantane (1), free CB[7] and complex $1 \subset CB[7]$ (1:1 ratio) were recorded on ball-milled samples (Fig. 6). In agreement with published data, ^{28,35} the broad endotherm centered at $\sim 140~^{\circ} C$ corresponds to the slow evaporation of water molecules from the cavity and portals of CB[7] while the second one at $\sim 380~^{\circ} C$ refers to the decomposition of the macrocycle (Fig. 6a). The DSC

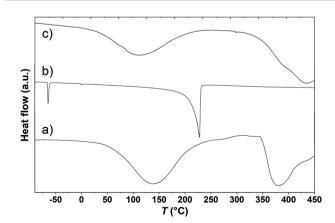


Fig. 6 Differential scanning calorimetry traces from $-90~^{\circ}\text{C}$ to $450~^{\circ}\text{C}$: (a) neat CB[7], (b) neat adamantane (1), and (c) complex 1 = CB[7]. Endotherms are shown as negative peaks.

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trace of adamantane (1)³⁶ is characterized by two sharp endotherms: a phase transition at \sim 64 °C, and sublimation at \sim 227 °C (Fig. 6b). The DSC trace of complex $1 \subset CB[7]$ shows two broad endotherms (Fig. 6c) and resembles the trace of neat CB[7]. The broad peak at \sim 140 °C again corresponds to the loss of residual water molecules at the carbonyl rims, and the endotherm at \sim 420 °C is attributed to the decomposition of the inclusion complex. Both endotherms characterizing neat adamantane (1) are absent in complex $1 \subset CB[7]$, thereby confirming guest encapsulation.

As reminded by Bardelang and coworkers, 37 free CB[7] is notoriously difficult to crystallize, with only two crystal structures reported in the literature by Kim and coworkers.31,38 While the carbonyl portals certainly interact with the positive outer-wall of neighboring CB[7] units,³⁸ the interaction is likely loose enough to allow the mechanical penetration of the guests (like CO₂ in CB[7]³⁹ or acetylene in CB[6]⁴⁰ for example) without major obstruction at the CB[7] rims. As noted above, solid CB[7] is hygroscopic, and contains residual water and hydrochloric acid despite thorough drying. The driest sample used in this study (apparent molecular weight 1471–1485 g mol⁻¹, as determined by elemental analysis and NMR titration, respectively) was found to contain approximately 11 water and 3 HCl molecules. Nau and coworkers showed that 7-8 of these water molecules can fit inside the cavity of the macrocycle. 41 We suspect that upon guest encapsulation, the ejected water molecules added to the interstitial ones already present in the solid could also provide local environments reminiscent of high concentrations in the solution phase, and again favor efficient guest encapsulation.

Using state-of-the-art ssNMR techniques, we showed unequivocally that ball milling leads to the same host-guest inclusion complexes of compounds 1–4 with CB[7] as those formed in aqueous solution. Successful formation of inclusion complexes was also independently confirmed by DSC. Mechanical encapsulation could thus serve as an alternative to mostly used "wet" approaches to the formation of supramolecular complexes, and could lead to new types of materials or architectures that are not accessible in solution due to limited solubility or unfavorable deaggregation of the individual components.

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Conflicts of interest

There are no conflicts to declare.

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