

Research Paper

Photo-induced degradation of PFASs: Excited-state mechanisms from real-time time-dependent density functional theory



Sharma S.R.K.C. Yamijala ^{a,b}, Ravindra Shinde ^a, Kota Hanasaki ^a, Zulfikhar A. Ali ^a, Bryan M. Wong ^{a,1,*}

^a Department of Chemical & Environmental Engineering, Materials Science & Engineering Program, Department of Chemistry, and Department of Physics & Astronomy, University of California Riverside, Riverside, CA 92521, USA

^b Department of Chemistry and Center for Atomistic Modelling and Materials Design, Indian Institute of Technology Madras, Chennai 600036, India

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ABSTRACT

Per- and polyfluoroalkyl substances (PFASs) are hazardous, carcinogenic, and bioaccumulative contaminants found in drinking water sources. To mitigate and remove these persistent pollutants, recent experimental efforts have focused on photo-induced processes to accelerate their degradation; however, the mechanistic details of these promising degradation processes remain unclear. To shed crucial insight on these electronic-excited state processes, we present the first study of photo-induced degradation of explicitly-solvated PFASs using excited-state, real-time time-dependent density functional theory (RT-TDDFT) calculations. Furthermore, our large-scale RT-TDDFT calculations show that these photo-induced excitations can be highly selective by enabling a charge-transfer process that only dissociates the C—F bond while keeping the surrounding water molecules intact. Collectively, the RT-TDDFT techniques used in this work (1) enable a new capability for probing photo-induced mechanisms that cannot be gleaned from conventional ground-state DFT calculations and (2) provide a rationale for understanding ongoing experiments that are actively exploring photo-induced degradation of PFASs and other environmental contaminants.

1. Introduction

Per- and polyfluoroalkyl substances (PFASs) are artificially-made compounds with strong carbon-fluorine (C—F) bonds that endow them with exceptional chemical stability. Because of their intrinsic longevity, these compounds have been used in a multitude of technologies, including non-stick coatings, water-resistant membranes, and fire-resistant foams (Sunderland et al., 2019; Lau et al., 2007; Kotthoff et al., 2015). However, the very same characteristics that enable this stability also poses severe health hazards since their presence in drinking-water sources is toxic and carcinogenic to humans (Sunderland et al., 2019; Boone et al., 2019). In particular, the intrinsic strength of the C—F bond in PFASs prevents most organisms from decomposing these persistent contaminants (Liou et al., 2010), which further exacerbates their bio-accumulation and toxicity. Moreover, due to their strong chemical stability, conventional treatments (such as chemical oxidation methods) are less effective in the degradation of PFASs, making them extremely difficult to remove (Su et al., 2019).

Because of their resistance to conventional chemical treatments, immense interest has recently focused on photo-induced processes for directly decomposing PFAS contaminants (Gu et al., 2016; Duan et al., 2020; Wang et al., 2020; Olatunde et al., 2020; Xu et al., 2017). In contrast to conventional filtration techniques that merely remove PFASs (which still require subsequent treatment after filtration), very recent studies have suggested that PFAS degradation can be accelerated with electromagnetic/optical fields, such as those used in photocatalysis or commercially available laser sources (Gu et al., 2016; Duan et al., 2020; Wang et al., 2020; Olatunde et al., 2020; Xu et al., 2017; Ohno et al., 2014). While these recent findings hold immense promise for directly treating PFASs, the exact mechanisms in these degradation processes remain unknown (Duan et al., 2020), and a guided path for rationally identifying photoactive materials and experimental conditions remains elusive.

To shed crucial mechanistic insight into these new degradation processes, we present the first application of real-time time-dependent density functional theory (RT-TDDFT) (Goings et al., 2018; Provorse and

* Corresponding author.

E-mail address: bryan.wong@ucr.edu (B.M. Wong).

¹ Web: <http://www.bmwong-group.com>

Isborn, 2016) for understanding photo-induced degradation mechanisms in PFASs. While DFT calculations have become more common in environmental research, prior DFT studies on PFASs have only focused on reactions on the *electronic ground-state potential energy surface* (Raza et al., 2019; Yamjala et al., 2020). In contrast, the RT-TDDFT formalism used in this work can describe electronic excited-state dynamics beyond conventional ground-state DFT to explore photo-induced mechanisms and bring a fundamental understanding of these degradation processes. In other words, the photo-induced degradation mechanisms in PFASs inherently occur on *electronic-excited-state potential surfaces that cannot be treated with ground-state DFT*, and excited-state RT-TDDFT is required for capturing the resulting photochemical reaction dynamics.

To this end, the present work constitutes the first quantum dynamical study of photo-induced degradation mechanisms of perfluoroctanoic acid (PFOA) and perfluoroctanesulfonic (PFOS) contaminants. We first give a brief description of the RT-TDDFT formalism and our computational methods, which includes our custom implementation of optical electromagnetic fields in the GPAW software package (Mortensen et al., 2005). We then present a series of RT-TDDFT calculations and electronic diagnostics showing that this photo-induced process selectively degrades PFOA/PFOS while keeping the water molecules in the surrounding environment intact. Our results are complemented by a variety of analyses, including real-time electronic properties and time-dependent orbital occupations that explain the underlying excited-state mechanisms of the degradation process. Finally, we conclude with a discussion and summary of our results, with additional perspectives of future applications of RT-TDDFT that can have a broad impact in probing photo-induced interactions of environmental contaminants.

2. Computational details

We used RT-TDDFT with Ehrenfest-based molecular dynamics (Ojanperä et al., 2012) to probe the degradation of PFOA/PFOS solvated with 43 explicit water molecules under the influence of electromagnetic radiation. Previous work by us on PFOA/PFOS has shown that 43 water molecules was sufficient to capture explicit solvent effects (Yamjala et al., 2020), which is necessary to mimic the natural solvation environment required for exploring the photo-induced dynamics of PFOA/PFOS. The use of explicit solvent in this work also provides a more accurate simulation of fluorine dissociation dynamics (and the possible formation of photo-induced reaction products) since hydrogen-bonding effects are more accurately captured by explicit solvent compared to coarse-grained polarizable continuum model approaches. The RT-TDDFT formalism with Ehrenfest-based molecular dynamics allows us to accurately capture non-adiabatic processes, such as the optically-induced, excited-state degradation dynamics examined in this work. The time-dependent orbitals were obtained by solving the self-consistent time-dependent Kohn-Sham equations:

$$i\hbar \frac{\partial \psi_n^{\text{KS}}(\mathbf{r}, t)}{\partial t} = [H^{\text{KS}}(\mathbf{r}, t) + V_{\text{ext}}(\mathbf{r}, t)] \psi_n^{\text{KS}}(\mathbf{r}, t), \quad (1)$$

where $\psi_n^{\text{KS}}(\mathbf{r}, t)$ is the n th time-dependent Kohn-Sham orbital, $H^{\text{KS}}(\mathbf{r}, t)$ is the Kohn-Sham Hamiltonian, and $V_{\text{ext}}(\mathbf{r}, t)$ includes the electric field of the applied optical pulse, which generates the subsequent electronic and atomic dynamics. In this study, we implemented custom optical pulses in the GPAW software package (Mortensen et al., 2005) having the form:

$$E(t) = E_0 e^{-\frac{(t-t_0)^2}{2\tau_0^2}} \sin(\omega(t-t_0)), \quad (2)$$

where E_0 , t_0 , τ_0 , and ω are the amplitude, center, width, and frequency of the electromagnetic pulse, respectively. We used the PBE exchange-correlation functional (Perdew et al., 1996) within the projector augmented wave formalism (Mortensen et al., 2005) and a 0.3-Å real-space grid spacing for both the geometry optimizations and

RT-TDDFT calculations. The use of a 0.3-Å real-space grid in our simulations is on par with the settings recommended for RT-TDDFT simulations in GPAW, 2021. To further assess the accuracy of our simulation settings, we also carried out two separate benchmark DFT calculations of a single PFOA molecule with a 0.3 and 0.1 Å real-space grid. Our benchmark calculations show that the density of states obtained with a 0.3-Å real-space grid is very similar to those obtained with 0.1 Å. Since the orbital energies near the Fermi level (which are responsible for most of the excitations) are very similar for both grid spacings, the use of a 0.3-Å real-space grid supports the robustness of our results (further details are given in the [Supporting information](#)).

The geometry of PFOA/PFOS + 43H₂O molecules in a 29 × 24 × 22 Å³ simulation box was first optimized such that the residual forces were less than 0.01 eV/Å (a large box size was chosen to prevent periodic images of the system from interacting with each other). This optimized geometry was then used as an initial condition for the excited-state, photo-induced Ehrenfest dynamics, and the resulting atomic positions were monitored at each time step. We used an optical pulse with ω set to either 6 or 7.5 eV with a $\sim 10^{15}$ W/cm² intensity, which is commensurate with field strengths used in photocatalysis experiments (Hu et al., 2020; Trtica et al., 2019). Our simulations were carried out for a total of 36 fs with a timestep of 10 attoseconds (the electromagnetic optical pulse (c.f. Fig. 1b) acts on the system for the first 20 fs). Finally, it is worth noting that these excited-state RT-TDDFT calculations are significantly more expensive than conventional ground-state DFT calculations, and this work utilized a total of over 570,000 CPU hours on the XSEDE Comet computing cluster.

3. Results and discussion

The RT-TDDFT methodology described previously was used to probe the dissociation of PFOA/PFOS with a variety of optical fields. For clarity, we only discuss the dissociation dynamics of PFOA in the main text, and the results for PFOS (which are similar) are described in the [Supporting information](#). To choose a relevant excitation energy (ω), we first computed the absorption spectrum of PFOA + 43H₂O molecules (depicted in Fig. 1(a)) using a standard RT-TDDFT procedure described in our previous work (Oviedo and Wong, 2016; Ilawe et al., 2017, 2018; Rodríguez-Borbón et al., 2020). Specifically, the Kohn-Sham orbitals for these optical absorption calculations were propagated for 25 fs with a time step of 8 as, and a 0.23 eV full-width-at-half-maximum Gaussian broadening was used to plot the spectrum. The optical absorption spectrum in Fig. 1(b) shows a near continuum of excitations beyond the optical gap of ~ 5 eV, which indicates that the system does not strongly absorb below 5 eV. As such, we set the excitation frequency (ω) of the optical pulse to 6 eV for all our photo-induced studies. Fig. 1(c) depicts the temporal dependence of the electric field of the optical pulse used in our calculations. The polarization of the electric field is oriented perpendicular to the axis of the PFOA molecule.

The dissociation dynamics of various bonds as a function of time are shown in Fig. 2. All the C—F bonds have an initial length of ~ 1.35 Å, and upon irradiation, most of the PFOA bonds begin to oscillate. Selected C—F bond lengths are plotted in greater detail in Fig. 3(a). Most notably, the C3—F14 bond initially contracts (with a minimum value at ~ 12 fs) and rises monotonically thereafter. After ~ 20 fs, all the C—F bonds continue to oscillate until the C3—F14 and C1—F10 bonds finally dissociate. At the end of the simulation, the fluorine atom dissociates to 2.6 Å from the backbone carbon atom. When a higher-energy 7.5 eV optical pulse is used, the degradation of PFOA occurs on a similar timescale and, in the case of PFOS, a different C—F bond dissociates (see [Supporting information](#)). It is interesting to note that, apart from minor elongations of the O—H bond, the water molecules surrounding PFOA/PFOS remain undissociated throughout the simulation. As such, our simulations indicate that these photo-induced processes provide an effective treatment of these systems without unintended side-reactions (i.e., the surrounding water molecules remain intact since none of the

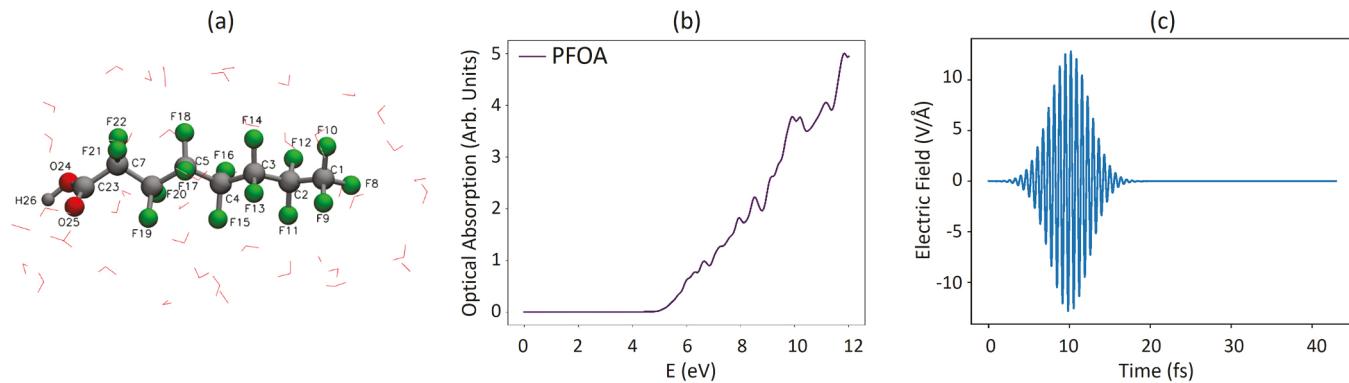


Fig. 1. (a) PFOA molecule surrounded with 43 explicit water molecules (depicted as thin sticks for visual clarity). (b) Optical absorption spectra of PFOA + 43H₂O molecules. (c) Temporal dependence of the electric field of the applied optical pulse used in our calculations.

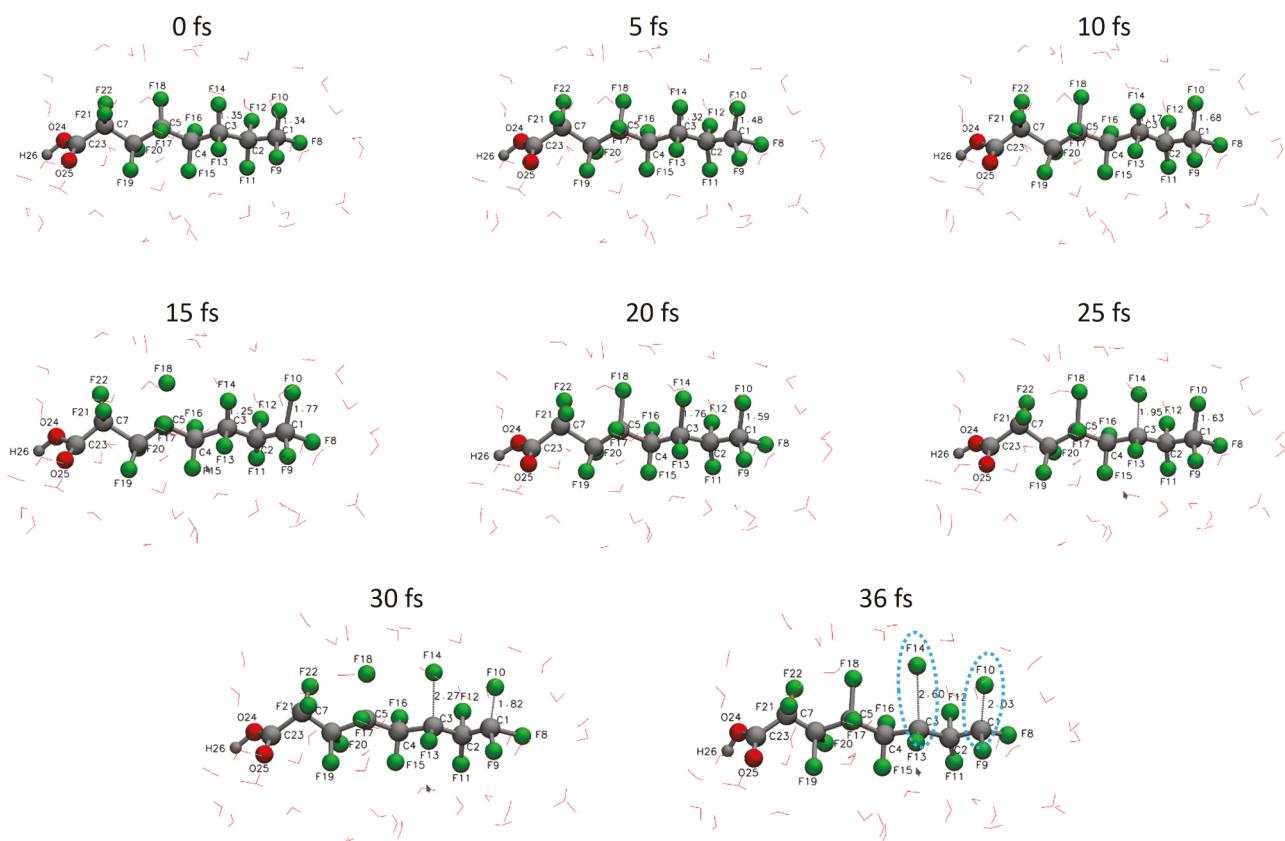


Fig. 2. Variations in the dissociation dynamics of PFOA + 43H₂O molecules as a function of time. The encircled C3—F14 and C1—F10 bonds in PFOA dissociate at the end of 36 fs.

O—H bonds dissociate).

To further examine the induced mechanisms giving rise to this degradation process, Fig. 3(b) plots the HOMO-LUMO quasiparticle gap of the system as a function of time. The quasiparticle gap decreases to a critical value near 12 fs, at which point the electromagnetic field polarizes the C3—F14 bond and induces a charge-transfer excitation that initiates a bond dissociation (and a widening of the quasiparticle gap, which is discussed further below). This excitation process also manifests itself in the C3—F14 bond length, which shows a similar variation in Fig. 3(a). This dynamic charge-transfer process is depicted in greater detail in Fig. 4(a), which plots the Bader charges for atoms C3 and F14 as a function of time. As the C3—F14 bond becomes polarized, the Bader charge of the C3 atom gradually increases within the 12–20 fs range, whereas the F14 atom shows a slight decrease in charge. The

dissociation of the C3—F14 bond can also be visualized in Fig. 4, which plots the total RT-TDDFT charge density as a function of time. For clarity, the charge density of the surrounding water molecules is not displayed, and the fluorine atom and its charge density clearly dissociates at 20 ps.

Finally, to further examine the polarization and subsequent dissociation dynamics of the C—F bonds in PFOA, we computed the Kohn-Sham orbitals as a function of time in Fig. 5. Since these orbitals are complex-valued (i.e., Eq. (1) is a complex-valued integro-differential equation), we used the following visualization scheme in Fig. 5: the opacity denotes the magnitude of the complex-valued orbital, and its color (ranging from red to blue) represents its phase. At $t = 0$, the highest occupied molecular orbital (HOMO) is real-valued and almost entirely localized on nearby water molecules. As time progresses, the

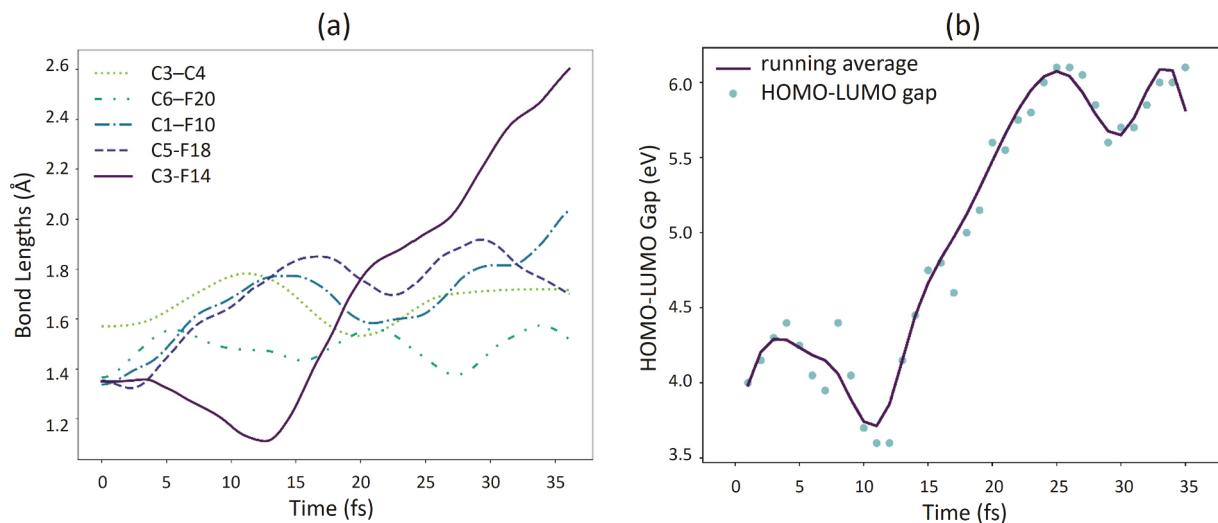


Fig. 3. (a) Variation of selected C—F and C—C bond lengths in PFOA as a function of time (see Fig. 1(a) for atom numbering). After 12 fs, an irreversible elongation of the C3—F14 and C1—F10 bond in PFOA occurs. (b) Variation of the HOMO-LUMO gap of the system as a function of time. At 12 fs, the HOMO-LUMO gap reaches a minimum at which a photo-excited electron transfer occurs, and the C3—F14 bond starts to irreversibly break.

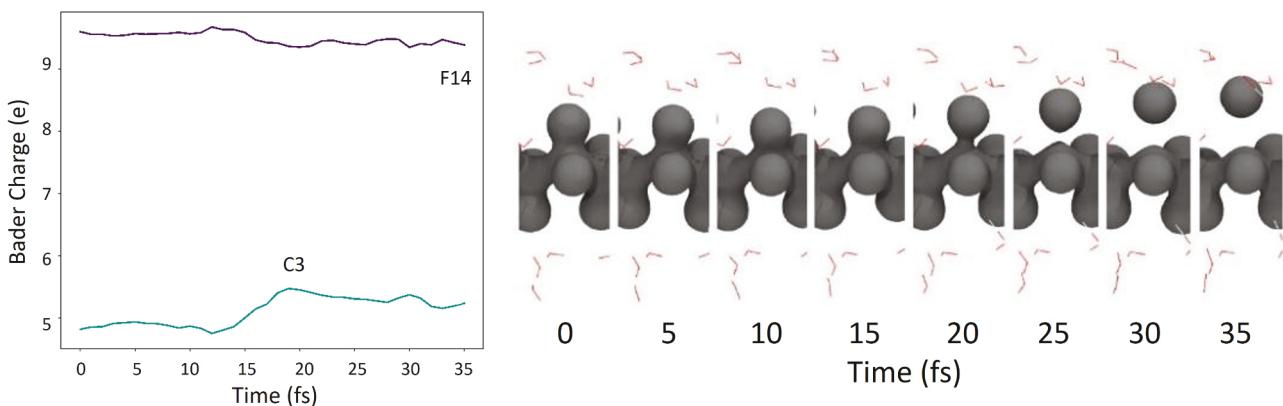


Fig. 4. (left panel) Time-dependent Bader charges for the dissociated C3—F14 bond on PFOA; (right panel) variation of the total RT-TDDFT charge density of PFOA for the C3—F14 bond as a function of time.

HOMO starts to delocalize onto the PFOA molecule during 8–16 fs, and some electronic charge transfers from the water to PFOA. This excess charge occupies the previously empty $3s_F$ and $2p_C$ atomic orbitals on PFOA, which possesses a strong σ^* anti-bonding character. Once these empty orbitals become occupied, a dissociative electron attachment (DEA) process occurs: the subsequent electron-nuclei motion causes the C—F bond to elongate and destabilize (since the HOMO now has an anti-bonding character) until it irreversibly dissociates. This dynamic mechanism is reminiscent of the pseudo-Jahn–Teller effect that enables a charge density redistribution and produces highly directional ionic forces that destabilize specific bonds in chemical/material systems (Lian et al., 2019). Finally, the positively charged hole remaining in the solvent (which arises from a charge-transfer excitation) becomes stabilized by the surrounding water molecules thereafter. This general dissociation mechanism is also similar to that observed in high-resolution gas-phase electron-collision experiments in which electron attachment to a CF_4 molecule also leads to C—F bond dissociation (Xia et al., 2013). However, the major difference is that electrons in these prior experiments were artificially produced with an electron beam/gun, whereas the source of electrons in our solvated PFAS system naturally arises from nearby water molecules that are dynamically excited with an optical pulse. Finally, it is worth mentioning that our approach highlights the importance of utilizing these RT-TDDFT techniques for probing excited-state, photo-induced degradation of PFAS contaminants, which

have started to garner immense attention in the scientific community (Gu et al., 2016; Olatunde et al., 2020). Most importantly, these excited-state, photo-induced mechanisms *cannot be gleaned from conventional ground-state DFT calculations*, and the RT-TDDFT techniques used in this work enable a new capability for understanding the excited-state dynamical mechanisms in these important degradation processes.

4. Conclusions

In conclusion, we have carried out the first RT-TDDFT study of excited-state dynamics in PFAS pollutants to understand their photo-induced degradation mechanisms. By explicitly accounting for non-adiabatic excited-state interactions in solvated PFOA/PFOS, we show that these photo-induced excitations enable a charge-transfer process that polarizes the C—F bond, resulting in a dynamic dissociation on a femtosecond time scale. Moreover, we show that this photo-induced process is highly selective and only affects the PFOA/PFOS molecules while keeping the surrounding water molecules intact. The parameters used in these calculations are commensurate with commercially available monochromatic light/laser sources and shed crucial mechanistic insight into their excited-state degradation dynamics.

Looking forward, we anticipate that these state-of-the-art RT-TDDFT approaches could accelerate PFAS remediation efforts in two immediate

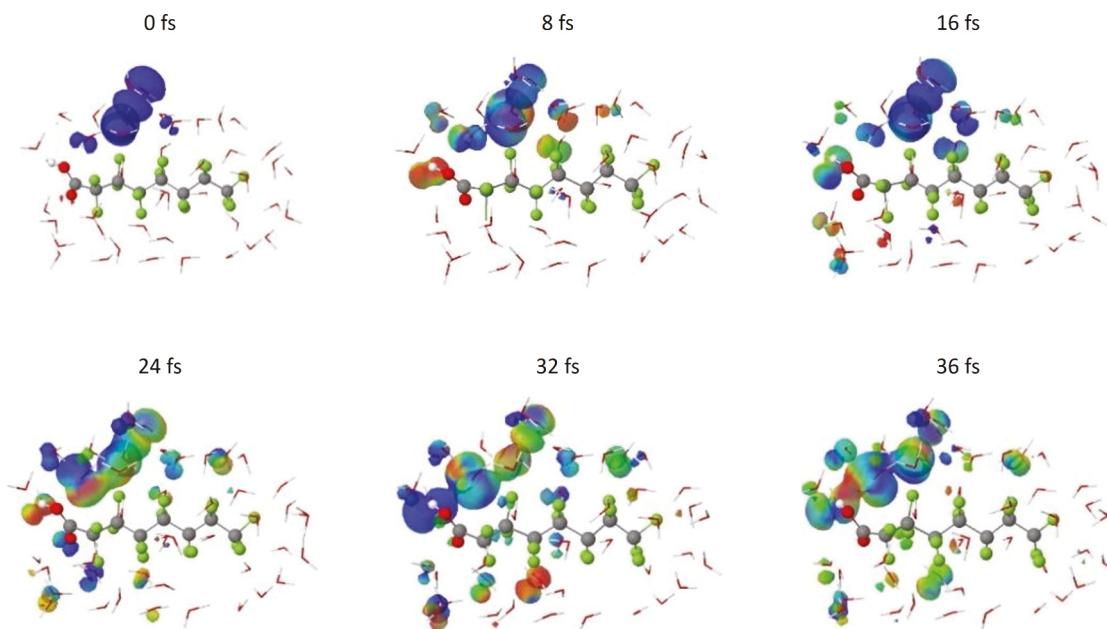


Fig. 5. Variation of the HOMO for PFOA + 43H₂O molecules as a function of time. As time progresses, charge dynamically transfers from the water to an anti-bonding orbital on PFOA. The C—F bond begins to subsequently destabilize and dissociate as it continues to vibrate dynamically. The positively charged hole remaining in the solvent arising from the afore-mentioned charge-transfer excitation becomes stabilized by the surrounding water molecules.

ways. First, our predictive calculations demonstrate that electromagnetic/optical fields are a viable and *direct* approach to degrade PFAS pollutants as opposed to conventional filtration techniques that merely remove PFASs (which still require treatment after filtration). Second, these RT-TDDFT approaches can guide ongoing experimental efforts by rationalizing or high-throughput screening of new photocatalytic materials/surfaces, which have started to garner immense attention for enhanced PFAS degradation. As such, the RT-TDDFT techniques used in this work provide fresh opportunities for exploring excited-state, photo-induced degradation dynamics that are actively being explored in the remediation of PFASs and other environmental contaminants.

Supporting information

Density of states calculated at various grid spacings, optical absorption calculations, variations in the PFOS geometry, variation of selected bond lengths of PFOS, and Bader charge analyses.

CRediT authorship contribution statement

Sharma S.R.K.C. Yamijala: Conceptualization, Methodology, Validation, Formal analysis, Investigation, Data curation, Writing – original draft, Writing – review & editing, Visualization. **Ravindra Shinde:** Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Data curation, Writing – original draft, Visualization. **Kota Hanasaki:** Methodology, Software, Validation, Formal analysis, Data curation, Visualization. **Zulfikhar A. Ali:** Methodology, Software, Validation, Data curation. **Bryan M. Wong:** Conceptualization, Methodology, Validation, Formal analysis, Investigation, Resources, Data curation, Writing – original draft, Writing – review & editing, Visualization, Supervision, Project administration, Funding acquisition.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.jece.2021.105652](https://doi.org/10.1016/j.jece.2021.105652).

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