

1 **Variability of the Sub-Antarctic Mode Water subduction rate during the Argo period**

2
3 Tangdong Qu,¹ Shan Gao,^{2, 3, 4} and Rana A. Fine⁵

4 ¹ Joint Institute for Regional Earth System Science and Engineering, University of California, Los
5 Angeles, CA

6 ² Institute of Oceanology, Chinese Academy of Sciences, Qingdao, China

7 ³ Center for Ocean Mega-Science, Chinese Academy of Sciences, Qingdao, China

8 ⁴ Laboratory for Ocean Dynamics and Climate, Pilot National Laboratory for Marine Science
9 and Technology, Qingdao, China

10 ⁵ Rosenstiel School of Marine and Atmospheric Science, University of Miami, Miami, FL

11
12 **Key Points:**

13 1. A quasi-biennial variability in the Sub-Antarctic Mode Water subduction rate is revealed in
14 response to the mixed layer depth variability;

15 2. The Sub-Antarctic Mode Water subduction rate has increased, contributing to the water's volume
16 increase during the Argo period;

17 3. Changes in wind stress curl associated with the westerly winds play a key role in modulating the
18 Sub-Antarctic Mode Water subduction rate.

19
20 (Submitted to *Geophysical Research Letter* on 01/27/20 and accepted for publication on 06/09/20)

21
22 **Corresponding Author Address:** Dr. Tangdong Qu, Joint Institute for Regional Earth System
23 Science and Engineering, University of California at Los Angeles,

24 607 Charles E. Young Drive East, Los Angeles, CA 90095, Email: tangdong@ucla.edu

25 **Abstract**

26 Both a quasi-biennial variability and an overall linearly increasing trend are identified in
27 the Sub-Antarctic Mode Water (SAMW) subduction rate across the southern hemisphere ocean,
28 using the Argo data during 2005-2019. The quasi-biennial variability is mainly due to variability
29 of the mixed layer depth. Variability of wind stress curl in the SAMW formation regions
30 associated with the Southern Annular Mode plays a critical role in generating the quasi-biennial
31 variability of the mixed layer depth and consequently the SAMW subduction rates. The SAMW
32 subduction rate across the southern hemisphere ocean, long-term mean totaling 56 Sv, has
33 increased at $0.73 \pm 0.65 \text{ Sv yr}^{-1}$ over the past 15 years. The increase has directly contributed to the
34 observed increase in the total SAMW volume. Much of this increasing trend can be explained
35 by the deepening mixed layers, which in turn are primarily forced by the strengthening westerly
36 winds under an increasing Southern Annular Mode.

37

38

39 **Plain Language Summary**

40 The upper ocean heat content has increased globally during the past decades. Recent studies
41 have shown that this warming trend has concentrated in the extra-tropical southern hemisphere
42 ocean and can be largely explained by the Sub-Antarctic Mode Water (SAMW) variability.
43 Analysis of the Argo data reveals an increasing trend of the SAMW subduction rate during the
44 period 2005-2019. Superimposed with this increasing trend is a quasi-biennial variability resulting
45 from changes of the mixed layer depth. The increasing trend of the SAMW subduction rate
46 directly contributes to the decade-long increase of the total SAMW volume. A large portion of this
47 increasing trend is due to deepening of the mixed layer. Enhanced downward Ekman pumping in
48 the SAMW subduction regions associated with an increasing Southern Annular Mode is primarily
49 responsible for the increasing trends of the mixed layer depth and consequently the SAMW
50 subduction rate.

51

52 **1. Introduction**

53 Recent studies have shown that the upper ocean heat content has increased globally over the past
54 decades (Gille, 2002; Roemmich et al., 2015; Llovel and Terray, 2016). This warming trend was
55 particularly evident since 2005, when the upper ocean (0-2000 m) monitoring by Argo became
56 available (Roemmich et al., 2015; Llovel and Terray, 2016). Analyses of the Argo data indicated that
57 the observed upper ocean heat gain is not evenly distributed over the global ocean but especially
58 pronounced in the mid- to high-latitude southern hemisphere ocean (e.g., Roemmich et al., 2015;
59 Llovel and Terray, 2016; Sallee, 2018). A prominent water mass of the region, the Sub-Antarctic
60 Mode Water (SAMW), is identified around the southern subtropical gyres as a layer of low potential
61 vorticity (PV) acquired during its formation (McCartney, 1977). Variability of the SAMW properties
62 on decadal and longer time scales has been reported by recent studies (e.g., Bindoff and McDougall
63 2000; Gille 2002; Bryden et al. 2003; Gao et al., 2018; Kolodziejczy et al., 2019; Portela et al., 2020).
64 Among others, Gao et al. (2018) showed that the SAMW has significantly thickened, deepened, and
65 warmed during the period from 2005 to 2015. These changes in the SAMW properties may explain
66 up to 65% of the total heat gain in the upper 2,000 m of the extra-tropical southern hemisphere ocean
67 between 30°S and 60°S. The subduction of the SAMW also opens a window of ventilation that takes
68 up a significant amount of climatically important gases, such as CO₂ and chlorofluorocarbons (e.g.,
69 Sabine et al. 2004; Willey et al. 2004; Hartin et al., 2011). For all these reasons, study of the SAMW
70 has become a topic of interest.

71 Subduction of the SAMW occurs to the north of the Sub-Antarctic Front (SAF) (Orsi et al, 1995)
72 at various locations. Ekman fluxes of the Antarctic surface water, winter cooling, and eddy diffusion
73 generate convection that results in deep mixed layers (e.g., McCartney, 1977; Sallee et al., 2008;
74 Holte et al., 2012). Once subducted, the SAMW spreads into the subtropical gyres and fills much of

75 the southern hemisphere ocean at depths of the permanent thermocline (e.g., Hanawa and Talley,
76 2001). Some portion of this water mass returns to the Sub-Antarctic Zone in the southward-flowing
77 western boundary currents, directly contributing to the inter-connected circulation system of the
78 southern subtropical gyres, known as the Southern Hemisphere Super-Gyre, and potentially the
79 Atlantic meridional overturning circulation (e.g., Fine, 1993; Speich et al., 2002; Ridgeway and
80 Dunn, 2007; Roemmich, 2007; Qu et al., 2019).

81 A question that remains is what causes the observed variability in the SAMW properties. Since
82 subduction is the only oceanic process that directly links the SAMW to surface forcing, it is
83 hypothesized that much of the observed variability in the SAMW properties is due to changes in the
84 SAMW subduction rate, though recent studies also emphasize the importance of diapycnal
85 transformation from below (e.g., Portela et al, 2020). Increased ventilation in the southern subtropical
86 gyres has been recognized by previous studies using tracer data and numerical models (e.g.,
87 McDonagh et al., 2005; Fine, 2011, Waugh et al., 2013; Tanhua et al., 2013; Wang et al., 2014; Talley
88 et al., 2016; Fine et al., 2017), but variability of the SAMW subduction rate over the entire southern
89 hemisphere ocean has not been carefully examined using in-situ observations. Here, we analyze the
90 15-year-long (2005-2019) time series of the Argo data to quantify variability of the SAMW
91 subduction rate. The atmospheric and oceanic forcing of this variability is also examined.

92

93 **2. Data and method of analysis**

94 A large number of Argo floats have been deployed since the early 2000s, recording
95 temperature and salinity of the ocean from a typical upper level of ~5 m to about 2000 m. Based
96 on all Argo temperature and salinity profiles that have a “passed” flag, the Asian Pacific Data
97 Research Center (APDRC) of the International Pacific Research Center, University of Hawaii, has

98 created a near real-time, monthly temperature/salinity product of the global ocean on a $1^\circ \times 1^\circ$ grid.
99 This data product (called the APDRC data product below) has a total of 26 (standard) vertical
100 levels and spans from January 2005 to the present. Temperature and salinity profiles from the
101 APDRC product for the period 2005-2019 over the southern hemisphere ocean between 10°S and
102 60°S are used for the present study. Also used for the present study is the NCEP/ NCAR re-
103 analysis wind product (Kalnay et al., 1996). Additional information about these data and reanalysis
104 products can be found on the web pages provided in the Acknowledgements.

105 The annual subduction rate, S_{ann} , is defined as the volume flux of mixed layer water entering
106 the thermocline per unit horizontal area during a year (e.g., Woods, 1985; Williams et al., 1995).
107 Following Qiu and Huang (1995), we release particles at the base of the winter (September) mixed
108 layer at every grid point and track them in a Lagrangian framework for one year with a time interval
109 of 5 days. According to their Eq. (5), S_{ann} can be expressed as

$$110 \quad S_{ann} = \overline{-(w_{ek} - \frac{\beta}{f} \int_{-h_m}^0 v dz)} + \frac{1}{T} (h_m(t_1) - h_m(t_2)), \quad (1)$$

111 where h_m is the mixed layer depth (MLD), w_{ek} is the Ekman pumping velocity, v is the meridional
112 velocity, f is the planetary vorticity, β is the gradient of the planetary vorticity, t_1 and t_2 are the end
113 of the first and second winter, respectively, and T is 1 year, representing the time period between t_2
114 and t_1 . The first term on the right hand side of Eq. (1) is the contribution from vertical pumping, and
115 the overbar indicates an average over the one-year trajectory. The second term is the difference of
116 the MLD at locations of a particle separated in time by one year between the first and second winter,
117 representing the contribution from lateral induction due to sloping of the mixed layer base (also see
118 Huang and Qiu, 1998, and Qu et al., 2002). Due to data limitation, [effects of mixing are ignored in](#)
119 [this study](#).

120 The MLD is a key factor influencing S_{ann} . The criteria used to define the MLD have been
121 diverse in literature (e.g., Kara et al., 2000; de Boyer Montegut et al., 2004). Following de Boyer
122 Montegut et al. (2004), we define the MLD using a threshold value of density, $\Delta\sigma_0=0.03 \text{ kg m}^{-3}$,
123 from a near-surface value at 10 m depth, which is believed to provide optimal estimates of the
124 MLD. To test the sensitivity of the MLD to its defining criteria, we also tried different
125 temperature increments (e.g., 0.2°C , 0.5°C) using the density-based criteria (Lukas and
126 Lindstrom, 1991; Sprintall and Tomczak, 1992). These criteria yield essentially the same MLD
127 patterns, except for some slight differences in its magnitude.

128 The gridded temperature and salinity from the APDRC data product are converted into
129 dynamic height by assuming a level of no-motion at 2,000 db, from which geostrophic velocity
130 is calculated. Analysis of these datasets provides the first observation-based estimate of the
131 SAMW subduction rate for the period from 2005 to 2019.

132

133 3. Annual subduction rate and variability

134 Following earlier studies (McCartney, 1977; Hanawa and Talley, 2001; Gao et al., 2018), we
135 define the SAMW as the water formed in the surface density range $\sigma_0=26.5-27.1 \text{ kg m}^{-3}$ north of the
136 SAF (Orsi et al., 1995), where surface temperature and salinity are relatively low (Figs. 1a-b). In
137 response to the strong westerly winds, vertical Ekman pumping in the Sub-Antarctic Zone is
138 essentially downward (Fig. 1c), which together with surface cooling generate deep winter mixed
139 layers over the region (Fig. 1d). Within the selected density range, the winter mixed layer is often
140 deeper than 300 m, and sometimes reaches as deep as 500 m, providing a favorable condition for
141 SAMW formation (e.g., Qu et al., 2008; Liu and Huang, 2012).

142 We calculate the SAMW annual subduction rate using the MLD and velocity fields from Argo
143 (section 2). The 2005-2019 averaged annual subduction rate shows a large spatial variability (Fig.
144 2a). High subduction rates ($>100 \text{ m yr}^{-1}$) are visible in all the three ocean basins, but most prominent
145 in the eastern South Indian (south of Australia) and central to eastern South Pacific Oceans.
146 Consistent with earlier studies (e.g., McCartney, 1977; Hanawa and Talley, 2001; Liu and Huang,
147 2012), high subduction rates extend southward as we progress eastward in the South Indian and
148 Pacific Oceans. These high subduction rates coincide with large MLD gradients (Figure 1d), where
149 differences are largest in the MLDs between the first and second winter on particle trajectories (Figure
150 S1). In the South Atlantic, as compared with the other two oceans, the SAMW subduction rate is
151 relatively low and its subduction region extends farther northward. Integrating over the entire
152 southern hemisphere ocean between the 26.5 and 27.1 kg m^{-3} isopycnal surfaces yields a long-term
153 mean volumetric subduction rate estimate of 56 Sv ($1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$). Vertical pumping induced by
154 positive wind stress curl (Fig. 1c) is generally less than 50 m yr^{-1} (Fig. 2b), which contributes about
155 19 Sv (34%) to the total volumetric subduction rate. The remaining 37 Sv (66%) is accounted for by
156 lateral induction, reflecting strong influence of the sloping winter MLDs (Fig. 2c).

157 The SAMW volumetric subduction rate (simply called the SAMW subduction rate below)
158 varies from year to year (Fig. 3a). This year-to-year variability is predominantly quasi-biennial,
159 particularly after 2009. To extract longer period variability, we apply a 3-year running mean filter
160 to the time series, and the result shows an increasing trend of $0.73 \pm 0.65 \text{ Sv yr}^{-1}$ at 95% confidence
161 in the annual subduction rate. This increasing trend is consistent with but somewhat smaller than
162 the earlier estimate (1.3 Sv yr^{-1}) based on model outputs for the Antarctic Circumpolar Current
163 region during 1976-2006 (Liu and Huang, 2012). Given that the SAMW ventilation rate has
164 remained relatively flat after 2010 (Fine et al., 2017), our estimate seems to be reasonable.

165 Using the Argo data from 2005 to 2015, Gao et al. (2018) recently reported a decade-long
166 increase ($4.7 \pm 0.4 \times 10^4 \text{ km}^3 \text{ yr}^{-1}$) in the total SAMW volume. To explain this volume increase, we
167 also calculate the linear trend of the SAMW subduction rate during 2005-2015. The increasing
168 trend ($1.04 \pm 0.90 \text{ Sv yr}^{-1}$) during this period is notably higher than that ($0.73 \pm 0.65 \text{ Sv yr}^{-1}$) during
169 2005-2019. As such, nearly 70% of the observed increase in the total SAMW volume between
170 2005 and 2015 can be explained by the increasing trend of the SAMW subduction rate.

171 Close inspection of two subduction rate components (Eq. 1) indicates that lateral induction
172 dominates the SAMW subduction rate variability (Fig. 3a). Vertical pumping remains little changed
173 during the period of observation. As one can see from Eq. (1), changes in the MLDs and gyre
174 circulation are processes governing the SAMW lateral induction. To identify the relative importance
175 of these two processes, we also calculate the SAMW subduction rate and its components with (1)
176 the long-term mean geostrophic velocities and temporally varying MLDs and (2) the long-term
177 mean MLDs and temporally varying geostrophic velocities. The results show that temporally
178 varying MLDs are the leading process modulating the SAMW subduction rate (Fig. 3b), though
179 contributions from temporally varying geostrophic velocities are not negligible (Fig. 3c).

180 A quasi-biennial variability of the MLDs in the Sub-Antarctic Zone was recently reported by
181 Lu et al. (2018) using the Argo data. To confirm this earlier result, we examine the time-longitude
182 variability of the MLD averaged within the surface density range between 26.5 and 27.1 kg m^{-3}
183 (Fig. 4a). As expected, the quasi-biennial variability of the MLDs is markedly evident across the
184 Indian-Pacific Ocean. An eastward propagating signal is identified at a speed ($\sim 0.15 \text{ m s}^{-1}$) that is
185 essentially the same as the surface current (Lu et al., 2018). Averaged over the entire southern
186 hemisphere ocean within the surface density range between 26.5 and 27.1 kg m^{-3} , a quasi-biennial
187 signal of the MLD is also visible (Fig. 4b). There are peak values occurring roughly every two

188 years (say, 2007, 2009, 2011, 2013, etc.), which is generally consistent with the quasi-biennial
189 variability of the SAMW subduction rate (Figs. 3a-b).

190 Approximately, 78% of the increasing trend of the SAMW subduction rate (Fig. 3a) is due
191 to temporally varying MLDs (Fig. 3b), and about 22% is attributed to temporally varying
192 geostrophic velocities (Fig. 3c) associated with the strengthening southern hemisphere super gyre
193 (e.g., Roemmich, 2007; Qu et al., 2019). During the period of observation, the MLD averaged
194 within the surface density range between 26.5 and 27.1 kg m⁻³ has deepened at 0.37±0.13 m yr⁻¹
195 (Fig. 4b). The deepening of the MLDs directly contributes to the increasing trend of the SAMW
196 subduction rate (Fig. 3b).

197

198 **4. Subduction rate variability and Southern Annular Mode**

199 From the analysis above, we have clearly demonstrated the importance of the MLDs in
200 modulating the SAMW subduction rates. On interannual time scales, the quasi-biennial variability
201 of the MLD largely contributes to the SAMW subduction rate variability, while its linear trend
202 explains more than two thirds of the SAMW subduction rate increase (Fig. 3). Then, what controls
203 the variability and trend of the MLDs? To address this question, we examine variability of the wind
204 stress curl averaged over the SAMW subduction regions within the surface density range between
205 26.5 and 27.1 kg m⁻³ (Fig. 5a). In general, the time series of the wind stress curl shows a good
206 correspondence with the MLDs (Fig. 4b). During the period of observation, the correlation between
207 the two-time series reaches 0.72, satisfying the 95% confidence level, with the wind stress curl
208 leading the MLDs by about 9 months. Closely related ($r=0.76$) to the wind stress curl in the SAMW
209 subduction regions is the Ekman transport across the SAF (Fig. 5b). Ekman transport of the

210 Antarctic surface water directly contributes to the MLD variability in the SAMW formation regions
211 (e.g., Sallee et al., 2008; Holte et al., 2012).

212 In addition to the Quasi-Biennial Oscillation of the tropical Pacific (Lu et al., 2018), we also
213 emphasize the importance of the Southern Annular Mode (SAM) in driving the MLD variability.
214 As shown in Fig. 5, both the wind stress curl in the SAMW subduction regions and the Ekman
215 transport across the SAF are tightly linked to SAM. Measured as a normalized difference of sea
216 level pressure between 40°S and 70°S (Thompson et al., 2000), SAM derived from the NCEP
217 reanalysis product shows a large interannual variability with a notable quasi-biennial signal (Fig.
218 5c). Its correlation with the wind stress curl in the SAMW subduction regions and the Ekman
219 transport across the SAF reaches 0.81 and 0.72, respectively, and both satisfy the 95% confidence
220 level. Fluctuations of the westerly winds associated with SAM influence the northward Ekman
221 transport across the SAF, also the wind stress curl and MLDs in the SAMW subduction regions,
222 and eventually the SAMW subduction rate across the southern hemisphere ocean.

223 Motivated by the need to understand the warming trend of subsurface heat content in the extra-
224 tropical southern hemisphere oceans (Gille, 2002; Roemmich et al., 2015), recent studies have noted
225 the strengthening of the westerly winds (e.g., Qiu and Chen, 2006; Roemmich, 2007; Cai et al.,
226 2011; van Sebille et al., 2012; Zhang and Qu, 2015; Gao et al., 2018; Qu et al., 2019). Here, we
227 show that both the wind stress curl in the SAMW subduction regions (Fig. 5a) and the northward
228 Ekman transport across the SAF (Fig. 5b) have increased during the past 15 years, with their rate
229 reaching $5.6 \pm 9.8 \times 10^{-10} \text{ N m}^{-3} \text{ yr}^{-1}$ and $0.25 \pm 0.14 \text{ Sv yr}^{-1}$ at 95% confidence, respectively. These
230 trends are closely related to the SAM (Fig. 5c). The SAM has experienced a notably increasing
231 trend over the past decades (e.g., Thompson et al., 2000; Marshall, 2003; Wang et al., 2014; Qu et
232 al., 2019), and this trend continues during the Argo period (Fig. 5c).

233 Sea surface density averaged in the SAMW subduction regions within the surface density
234 range between 26.5 and 27.1 kg m^{-3} has slightly decreased at a rate of $-0.8 \pm 0.4 \times 10^{-3} \text{ kg m}^{-3} \text{ yr}^{-1}$
235 during the period of observation (Fig. 5d). This decreasing trend in surface density is largely due
236 to surface warming ($0.7 \pm 0.7 \times 10^{-2} \text{ }^{\circ}\text{C yr}^{-1}$) (Fig. 5e), while contribution of increasing sea surface
237 salinity ($0.6 \pm 0.9 \times 10^{-3} \text{ psu yr}^{-1}$) is relatively minor (Fig. 5f). Decreasing sea surface density suggests
238 that the increasing trend of the MLDs in the SAMW subduction regions cannot be accounted for by
239 surface buoyancy fluxes, which is consistent with the earlier work of Gao et al. (2018). We therefore
240 conclude that across the southern hemisphere ocean the trend of increasing wind stress curl
241 associated with the strengthening westerly winds is primarily responsible for the deepening MLDs
242 in the SAMW subduction regions. Consequently, the SAMW subduction rates across the southern
243 hemisphere ocean have increased and should continue to increase, despite large differences between
244 the three southern subtropical oceans (e.g., Lu et al., 2018; Meijers et al., 2019; Hong et al., 2020).
245 The enhanced subduction of warmer surface water may directly contribute to the previously
246 reported subsurface heat gain in the mid- to high-latitude southern hemisphere ocean.

247

248 **5. Concluding remarks**

249 This study has revealed a quasi-biennial variability in the SAMW subduction rates across the
250 southern hemisphere ocean. Following earlier studies of the MLDs (Lu et al., 2018), we show that
251 the quasi-biennial variability of the SAMW subduction rates is mostly due to variability of the
252 MLDs. Similar quasi-biennial signals are also seen in wind stress curl over the SAMW subduction
253 regions and Ekman transport across the SAF, both of which are tightly linked to the SAM. This
254 suggests that fluctuations of the westerly winds in the mid- to high-latitudes of the southern

255 hemisphere ocean play a critical role in generating the observed quasi-biennial variability of the
256 MLDs and consequently the SAMW subduction rates.

257 It has been recognized that the thickening and deepening of the SAMW explain a large portion
258 of the subsurface (0-2000m) heat gain in the extra-tropical southern hemisphere ocean (e.g.,
259 Roemmich et al., 2015; Gao et al., 2018). Here, we show that the SAMW subduction rate across
260 the southern hemisphere ocean, with a long-term mean value of 56 Sv, has increased over the past
261 15 years. Nearly 70% of the observed increase in the total SAMW volume between 2005 and 2015
262 can be accounted for by the increasing SAMW subduction rates. Enhanced westerly winds
263 associated with an increasing SAM directly contribute to the deepening of the MLDs, the
264 strengthening of the subtropical gyres (Ridgeway and Dunn, 2007; Roemmich, 2007; Qu et al.,
265 2019), the increasing of the SAMW subduction rates, and eventually the warming of the subsurface
266 layers in the extra-tropical southern hemisphere ocean.

267
268

269 **Acknowledgements:**

270 This study was supported by NSF through grants 1829809 and 1829824. S. Gao was
271 supported by the National Natural Science Foundation of China through grants 41676009. The
272 Argo data were collected and made freely available by the international Argo Program and the
273 national programs that contribute to it. The gridded Argo data product was downloaded at
274 <http://apdrc.soest.hawaii.edu/>, and the NCEP Reanalysis wind product was downloaded at
275 <https://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.surfaceflux.html/>. The authors
276 are grateful to L. Talley for useful discussion on the topic.

277

278

279 **References**

280 Bindoff, N. L., and T. J. McDougall (2000), Decadal changes along an Indian Ocean section at
281 328S and their interpretation. *J. Phys. Oceanogr.*, 30, 1207–1222.

282 Bryden, H. L., E. L. McDonagh, and B. A. King (2003), Changes in ocean water mass properties:
283 Oscillations or trends. *Science*, 300, 2086–2088.

284 Cai, W., A. Sullivan, and T. Cowan (2011), Interactions of ENSO, the IOD, and the SAM in
285 CMIP3 Models, *J. Clim.*, 24(6), 1688–1704, doi: 10.1175/2010JCLI3744.1.

286 de Boyer Monte' gut, C., G. Madec, A. S. Fischer, A. Lazar, and D. Iudicone (2004), Mixed layer
287 depth over the global ocean: An examination of profile data and a profile-based climatology,
288 *J. Geophys. Res.*, 109, C12003, doi:10.1029/2004JC002378.

289 Fine, R.A. (1993), Circulation of Antarctic Intermediate Water in the Indian Ocean. *Deep-Sea*
290 *Res.*, 40, 2021-2042.

291 Fine, R. A. (2011), Observations of CFCs and SF₆ as ocean tracers, *Annu. Rev. Mar. Sci.*, 3, 173–
292 195.

293 Fine, R. A., S. Peacock, M. E. Maltrud, and F. O. Bryan (2017), A new look at ocean ventilation
294 time scales and their uncertainties, *J. Geophys. Res. Oceans*, 122, 3771–3798,
295 doi:10.1002/2016JC012529

296 Gao L, Rintoul SR, Yu W (2018), Recent wind-driven change in Subantarctic Mode Water and its
297 impact on ocean heat storage. *Nat Clim Chang* 8:58–63. doi: 10.1038/s41558-017-0022-8

298 Gille, S. T. (2002), Warming of the Southern Ocean since the 1950s. *Science*, 295, 1275–1277.

299 Hanawa, K. and L.D. Talley (2001), Mode Waters, In *Ocean Circulation and Climate*, G. Siedler
300 and J. Church (eds.), *Intl. Geophysics Series*, Academic Press, 373-386.

301 Hartin, C.A., R. A. Fine, B. M. Sloyan, L. D. Talley, T. K. Chereskin, J. Happell (2011), Formation
302 rates of Subantarctic Mode Water and Antarctic Intermediate Water within the South Pacific.
303 Deep-Sea Res. I, 58, 524-534.

304 Holte JW, Talley LD, Chereskin TK, Sloyan BM (2012), The role of air-sea fluxes in Subantarctic
305 Mode Water formation. J Geophys. Res. 117:1–17. doi: 10.1029/2011JC007798.

306 Hong, Y., Du, Y., Qu, T., Zhang, Y., & Cai, W. (2020), Variability of the subantarctic mode water
307 volume in the South Indian Ocean during 2004–2018. Geophysical Research Letters, 47,
308 e2020GL087830. <https://doi.org/10.1029/2020GL087830>

309 Huang, R. X., and B. Qiu (1998), The structure of the wind-driven circulation in the subtropical
310 South Pacific Ocean, J. Phys. Oceanogr., 28, 1173-1186.

311 Kalnay, E., M. Kanamitsu, R. Kistler, W. Collins, D. Deaven, L. Gandin, M. Iredell, S. Saha, G.
312 White, J. Woollen, Y. Zhu, M. Chelliah, W. Ebisuzaki, W. Higgins, J. Janowiak, K. C. Mo, C.
313 Ropelewski, J. Wang, A. Leetmaa, R. Reynolds, R. Jenne, and D. Joseph (1996), The
314 NCEP/NCAR 40-Year Re- analysis Project. Bull. Amer. Meteor. Soc., 77, 437–471,
315 doi:10.1175/ 1520-0477(1996)077, 0437:TNYRP.2.0.CO;2.

316 Kara, A. B., P. A. Rochford, and H. E. Hurlburt (2000), An optimal definition for ocean mixed
317 layer depth, J. Geophys. Res., 105, 16,803–16,821.

318 Kolodziejczyk, N., W. Llovel, and E. Portela (2019), Interannual variability of upper ocean water
319 masses as inferred from Argo Array. Journal of Geophysical Research, 124, 6067–6085.
320 <https://doi.org/10.1029/2018JC014866>

321 Liu, L. L., and R. X. Huang (2012), The Global Subduction/Obduction Rates: Their Interannual
322 and Decadal Variability, J. Climate, 25, 1096-1115.

323 Llovel, W., and L. Terray (2016). Observed southern upper-ocean warming over 2005–2014 and
324 associated mechanisms. *Environ. Res. Lett.*, 11, 124023. doi:10.1088/1748-
325 9326/11/12/124023

326 Lu, Y., L. D. Talley, I. Cerovecki, S.-P. Xie, M. R. Mazloff, S. Gille, Q. Liu (2018), Interannual
327 variations and trend of Southern Ocean deep mixed layers and Sub-Antarctic mode water based
328 on Argo data: patterns and mechanisms, 2018 AGU Fall Meeting Abstract (OS31H-1889).

329 Lukas, R. and E. Lindstrom (1991), The mixed layer of the western equatorial Pacific Ocean, *J.*
330 *Geophys. Res.*, 96, 3343-3358.

331 Marshall, G. J. (2003). Trends in the Southern Annular Mode from Observations and Reanalyses. *J.*
332 *Climate*, 16, 4134–4143.

333 McCartney, M. S. (1977), Subantarctic Mode Water. *A Voyage of Discovery: George Deacon 70th*
334 *Anniversary Volume*, M. V. Angel, Ed., Pergamon Press, 103-119.

335 McDonagh, E. L., H. L. Bryden, B. A. King, R. J. Saunders, S. A. Cunningham, and R. Marsh
336 (2005), Decadal changes in the south Indian Ocean thermocline. *J. Climate*, 18, 1575–1590.

337 Meijers, A. J. S., Cerovečki, I., King, B. A., & Tamsitt, V. (2019). A see-saw in Pacific
338 Subantarctic Mode Water formation driven by atmospheric modes. *Geophysical Research*
339 *Letters*, 46, 13,152–13,160. <https://doi.org/10.1029/2019GL085280>.

340 Orsi, A., I. T. Whitworth, and J. W. D. Nowlin (1995), On the meridional extent and fronts of the
341 Antarctic Circumpolar Current. *Deep Sea Res.* 42, 641–673.

342 Portela, E., N. Kolodziejczyk, C. Maes, Thierry Virginie (2020), Interior Water-Mass
343 Variability in the Southern Hemisphere Oceans during the last decade. *J. Phys. Oceanogr.*,
344 50(2), 361-381. <https://doi.org/10.1175/JPO-D-19-0128.1>.

345 Qiu, B., and R. X. Huang (1995), Ventilation of the North Atlantic and North Pacific:
346 Subduction versus Obduction. *J. Phys. Oceanogr.*, 25, 2374-2390.

347 Qiu, B. and S. Chen (2006), Decadal variability in the formation of the North Pacific Subtropical
348 Mode Water: Oceanic versus atmospheric Control, *J. Phys. Oceanogr.*, 36, 1365-1380.

349 Qu, T., S.-P. Xie, H. Mitsudera, and A. Ishida (2002), Subduction of the North Pacific mode
350 waters in a global high-resolution GCM, *J. Phys. Oceanogr.*, 32, 746-763.

351 Qu, T., S. Gao, I. Fukumori, R. A. Fine, and E. J. Lindstrom (2008), The subduction of South
352 Pacific waters, *Geophys. Res. Lett.*, 35, L02610, doi:10.1029/2007GL032605.

353 Qu, T., I. Fukumori, and R. A. Fine (2019), Spin-up of the Southern Hemisphere Super-Gyre, *J.*
354 *Geophys. Res.*, 124, <https://doi.org/10.1029/2018JC014391>.

355 Ridgway, K. R. and J. R., Dunn (2007), Observational evidence for a Southern Hemisphere
356 oceanic supergyre. *Geophys. Res. Lett.* 34, L13612.

357 Roemmich, D., J. (2007), Super spin in the southern seas, *Nature*, Vol. 449, 34-35.

358 Roemmich, D., J. Church, J. Gilson, D. Monselesan, P. Sutton, and S. Wijffels (2015), Unabated
359 planetary warming and its ocean structure since 2006. *Nat. Clim. Change* 5, 240–245.

360 Sabine CL, Feely RA, Gruber N, et al (2004), The oceanic sink for anthropogenic CO₂. *Science*
361 (80-) 305:367–371. doi: 10.1126/science.1097403

362 Sallée, J. B., Morrow, and K. Speer (2008), Eddy heat diffusion and Subantarctic Mode Water
363 formation. *Geophys. Res. Lett.*, 35, L05607.

364 Sallée, J. B., (2018), Southern Ocean warming. *Oceanography*, 31(2): 52-62,
365 <https://doi.org/10.5670/oceanog.2018.215>.

366 Speich, S., B. Blanke, P. de Vries, S. Drijfhout, K. Doos, A. Ganachaud, and R. Marsh (2002),
367 Tasman leakage: A new route in the global ocean conveyor belt, *Geophys. Res. Lett.*, 29,
368 doi:10.1029/2001GL014586.

369 Sprintal, J., and M. Tomczak (1992), Evidence of the barrier layer in the surface layer of the
370 tropics, *J. Geophys. Res.*, 97, 7305– 7316.

371 Talley, L. D., R.A. Feely, B.M. Sloyan, R. Wanninkhof, M.O. Baringer, J.L. Bullister, C.A.
372 Carlson, S.C. Doney, R.A. Fine, E. Firing, N. Gruber, D.A. Hansell, M. Ishii, G.C.
373 Johnson, K. Katsumata, R.M. Key, M. Kramp, C. Langdon, A.M. Macdonald, J.T.
374 Mathis, E.L. McDonagh, S. Mecking, F.J. Millero, C.W. Mordy, T. Nakano, C.L.
375 Sabine, W.M. Smethie, J.H. Swift, T. Tanhua, A.M. Thurnherr, M.J. Warner, and J.-Z. Zhang
376 (2016), Changes in ocean heat, carbon content, ventilation: A review of the first decade Go-
377 SHIP global repeat hydrography, *Annu. Rev. Mar. Sci.*, 185–215.

378 Tanhua, T., D. W. Waugh, and J. L. Bullister (2013), Estimating changes in ocean ventilation from
379 early 1990s CFC-12 and late 2000s SF6 measurements, *Geophys. Res. Lett.*, 40, 927–932,
380 doi:10.1002/grl.50251.

381 Thompson, D., J. Wallace, and G. Hegerl (2000), Annular modes in the extratropical circulation.
382 Part II: Trends. *J. Clim.*, 13, 1018–1036.

383 van Sebille, E., M. H. England, J. D. Zika, and B. M. Sloyan (2012), Tasman leakage in a fine-
384 resolution ocean model, *Geophys. Res. Lett.*, 39, L06601, doi:10.1029/2012GL051004.

385 Wang, G., W. Cai, and A. Purich (2014), Trends in Southern hemisphere wind-driven circulation
386 in CMIPs models over the 21st century: Ozone recovery versus greenhouse forcing. *J.*
387 *Geophys. Res. Oceans*, 119, 2974–2986, doi:10.1002/2013JC009589.

388 Waugh, D. W., T. M. Hall, and T. W. N. Haine (2003), Relationships among tracer ages, *J.*
389 *Geophys. Res.*, 108(C5), 3138, doi:10.1029/2002JC001325.

390 Willey, D. A., Fine, R.A., Sonnerup, R.E., Bullister, J.L., Smethie Jr., W.M., Warner, M.J. (2004),
391 Global oceanic chlorofluorocarbon inventory. *Geophys. Res. Lett.* 31, L01303 1–4.

392 Williams, R. G, M. Spall, and J. C. Marshall (1995), Does Stommel’s mixed layer “demon” work?
393 *J. Phys. Oceanogr.*, 25, 3089–3102.

394 Woods, J. D. (1985), The physics of pycnocline ventilation. *Coupled Ocean–Atmosphere Models*,
395 *J. C. J. Nihoul*, Ed., Elsevier Science, 543–590.

396 Zhang, L., and T. Qu (2015), Low frequency variability of the South Pacific Subtropical Gyre as
397 seen from satellite altimetry and Argo, *J. Phys. Oceanogr.*, 45, 3083–3098. doi:
398 <http://dx.doi.org/10.1175/JPO-D-15-0026.1>.

399

400 **Figure Captions**

401 Figure 1 Long-term (2005-2019) mean characteristics of the region studied: a) sea surface
402 temperature ($^{\circ}\text{C}$), b) sea surface salinity (psu), c) wind stress (vectors, unit: N m^{-2}) and its
403 curl (color, unit: 10^{-7} N m^{-3}), and d) winter (September) mixed layer depth (m) in the
404 southern hemisphere ocean between 20°S and 60°S . White contours indicate the winter
405 (September) sea surface density (kg m^{-3}). The red solid lines indicate the location of the
406 mean Subtropical and Sub-Antarctic Fronts (Orsi et al., 1995), and positive values in c)
407 correspond to downward Ekman pumping.

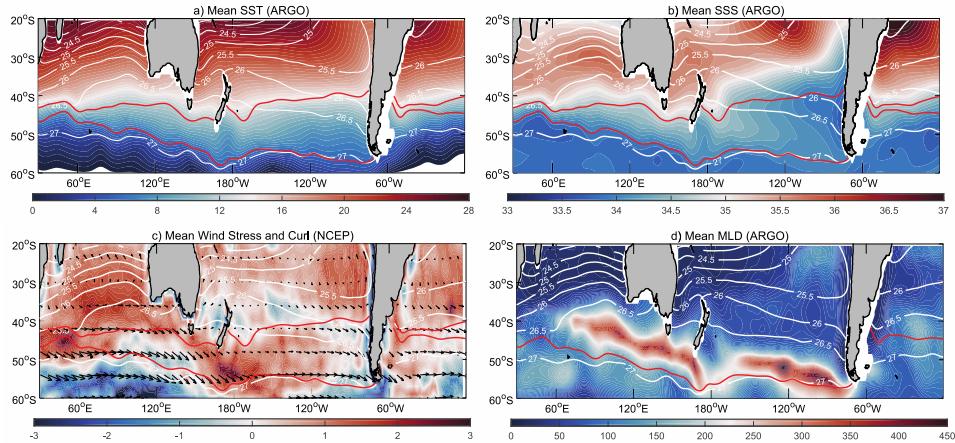
408 Figure 2 Spatial distribution of annual subduction rate (m yr^{-1}) and its components within the
409 surface density range $26.5\text{-}27.1 \text{ kg m}^{-3}$ averaged over the period 2005-2019 from Argo.
410 Negative values, which by definition are interpreted as zero annual subduction rates, are not
411 shown. The black contours indicate the winter sea surface density (kg m^{-3}), and the red solid
412 lines show the location of the mean Sub-Antarctic Front.

413 Figure 3 a) Variability and trend of volumetric annual subduction rate (Sv) of the SAMW and its
414 components within the surface density range $26.5\text{-}27.1 \text{ kg m}^{-3}$, b) Same as a) except using
415 climatological ocean circulation and temporally varying mixed layer depth, and c) Same as
416 a) except using climatological mixed layer depth and temporally varying ocean circulation.
417 The annual subduction rate filtered by a 3-year running mean filter (blue dashed) and its
418 trend (green) are also included. The long-term (2005-2019) mean values have been removed
419 before plotting.

420 Figure 4 Time-longitude variability of the MLD averaged within the surface density range between
421 26.5 and 27.1 kg m^{-3} , and b) temporal variability of the MLD averaged over the entire
422 SAMW subduction regions between the 26.5 and 27.1 kg m^{-3} isopycnal surfaces. A 13-

423 month low-pass filter is applied and the long-term mean value of 83 m is subtracted before
424 plotting in b). The red solid line in b) shows the linear trend of the regionally averaged MLD.
425 Figure 5 Variability and trend of a) wind stress curl, b) Ekman transport across the mean SAF, c)
426 SAM index, d) sea surface density, e) sea surface temperature, and f) sea surface salinity
427 normalized by their respective standard deviations. A 13-month low-pass filter is applied
428 before plotting, and all the time series except for b) and c) are averaged over the SAMW
429 subduction regions within the surface density range $26.5\text{--}27.1 \text{ kg m}^{-3}$.
430

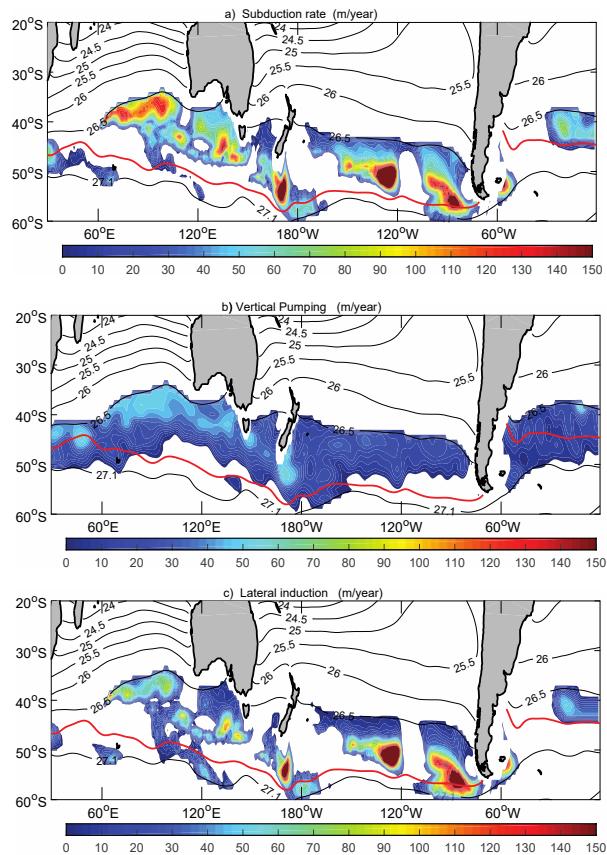
431
432



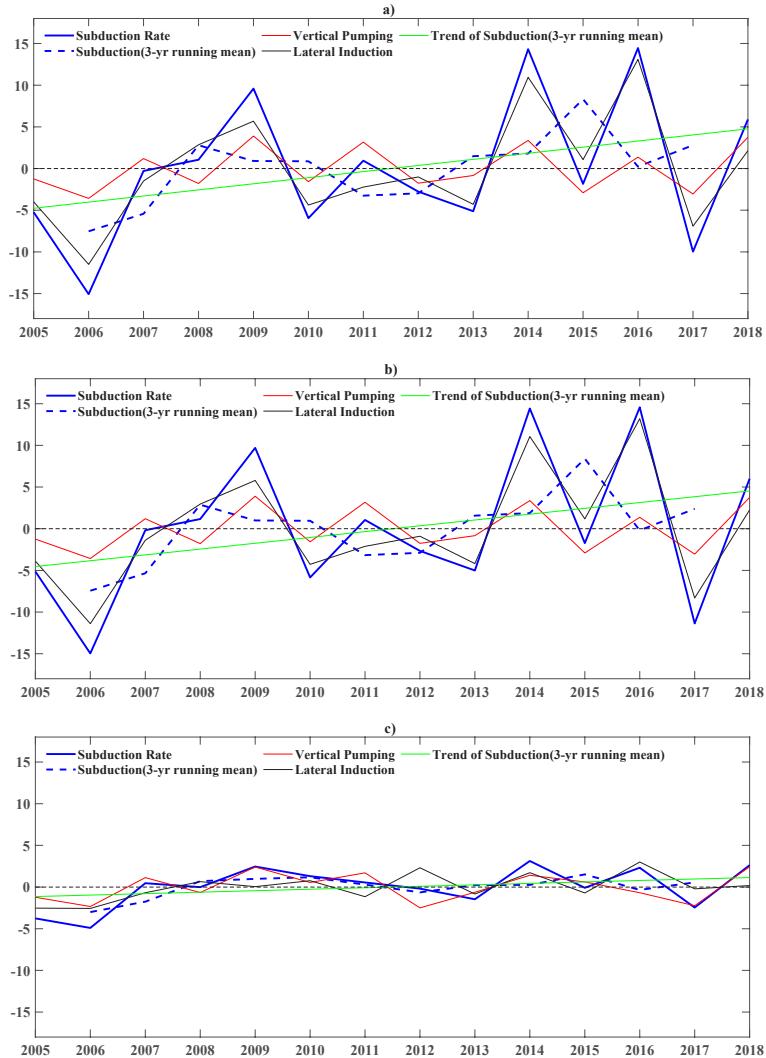
433

434 Figure 1 Long-term (2005-2019) mean characteristics of the region studied: a) sea surface
435 temperature ($^{\circ}\text{C}$), b) sea surface salinity (psu), c) wind stress (vectors, unit: N m^{-2}) and its
436 curl (color, unit: 10^{-7} N m^{-3}), and d) winter (September) mixed layer depth (m) in the
437 southern hemisphere ocean between 20°S and 60°S . White contours indicate the winter
438 (September) sea surface density (kg m^{-3}). The red solid lines indicate the locations of the
439 mean Subtropical and Sub-Antarctic Fronts (Orsi et al., 1995), and positive values in c)
440 correspond to downward Ekman pumping.

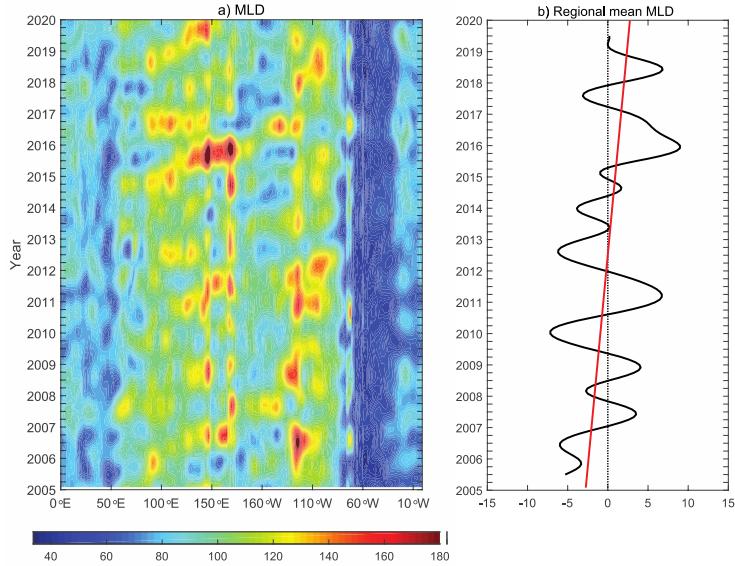
441



444 Figure 2 Spatial distribution of annual subduction rate (m yr^{-1}) and its components within the
 445 surface density range $26.5\text{-}27.1 \text{ kg m}^{-3}$ averaged over the period 2005-2019 from Argo.
 446 Negative values, which by definition are interpreted as zero annual subduction rates, are not
 447 shown. The black contours indicate the winter sea surface density (kg m^{-3}), and the red solid
 448 lines show the location of the mean Sub-Antarctic Front.



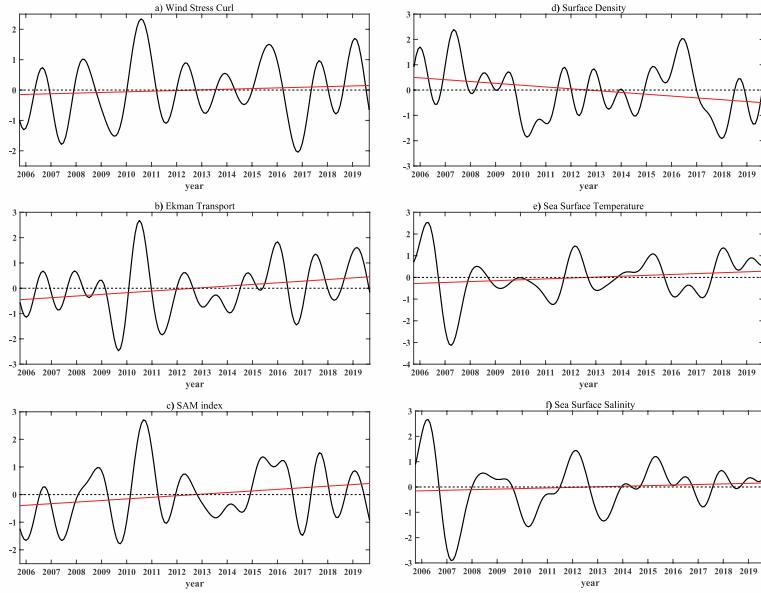
450
451 Figure 3 a) Variability and trend of volumetric annual subduction rate (Sv) of the SAMW and its
452 components within the surface density range $26.5-27.1 \text{ kg m}^{-3}$, b) Same as a) except using
453 climatological ocean circulation and temporally varying mixed layer depth, and c) Same as
454 a) except using climatological mixed layer depth and temporally varying ocean circulation.
455 The annual subduction rate filtered by a 3-year running mean filter (blue dashed) and its
456 trend (green) are also included. The long-term (2005-2019) mean values have been removed
457 before plotting.
458



459

460 Figure 4 Time-longitude variability of the MLDs averaged within the surface density range
 461 between 26.5 and 27.1 kg m⁻³, and b) temporal variability of the MLDs averaged over the
 462 entire SAMW subduction regions between the 26.5 and 27.1 kg m⁻³ isopycnal surfaces. A
 463 13-month low-pass filter is applied and the long-term mean value of 83 m is subtracted
 464 before plotting in b). The red solid line in b) shows the linear trend of the regionally averaged
 465 MLDs.

466



467

468 Figure 5 Variability and trend of a) wind stress curl, b) Ekman transport across the mean SAF, c)
 469 SAM index, d) sea surface density, e) sea surface temperature, and f) sea surface salinity
 470 normalized by their respective standard deviations. A 13-month low-pass filter is applied
 471 before plotting, and all the time series except for b) and c) are averaged over the SAMW
 472 subduction regions within the surface density range $26.5\text{--}27.1 \text{ kg m}^{-3}$.

473

474

475