

1 Seismic ambient noise analyses reveal changing
2 temperature and water signals to 10s of meters depth in
3 the critical zone

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12 **Key Points**

- 13 • We use high-frequency ambient seismic noise to detect changes in seismic velocity in the
14 critical zone at the Shale Hills catchment.
15 • We observe diurnal, meteorological-event-based, and seasonal changes in velocity.
16 • We interpret velocity changes to be primarily driven by temperature changes at the
17 surface, while water movement plays a secondary role.

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30 **Abstract**

31 The critical zone sustains terrestrial life, but we have few tools to explore it efficiently
32 beyond the first few meters of the subsurface. Using analyses of high-frequency ambient seismic
33 noise from densely-spaced seismometers deployed in the forested Shale Hills subcatchment of
34 the Susquehanna Shale Hills Critical Zone Observatory (SSHCZO), we show that temporal
35 changes in seismic velocities at depths from ~ 1 m to 10s of m can be detected. These changes are
36 driven by variations at the land surface. The Moving-Window Cross-Spectral (MWCS) method
37 was employed to measure seismic-velocity changes in coda waves at hourly resolution in ten
38 different frequency bands. We observed a diurnal signal, a seasonal signal, and a meteorological-
39 event-based signal. These signals were compared to time-series measurements of precipitation,
40 well water levels, soil moisture, soil temperature, air temperature, latent heat flux, and air
41 pressure in the heavily instrumented catchment. Most of the velocity changes can be explained
42 by variations in temperature that result in thermoelastic strains that propagate to depth. But some
43 double minima in seismic velocity time-series observed after large rain events were attributed in
44 part to the effects of water infiltration. These results show that high-frequency ambient noise
45 data may in some locations be used to detect changes in the critical zone from ~ 1 m to ~ 100 m or
46 greater depth with hourly resolution. But interpretation of such data requires multiple
47 environmental datasets to deconvolve the complex inter-relationships among thermoelastic and
48 hydrological effects in the subsurface critical zone.

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50 **Plain Language Summary**

51 Measuring changes in Earth's subsurface over time is necessary to understand processes such as
52 groundwater flow and soil formation that are important to human life, but unlike at the Earth's
53 surface, these underground changes are difficult to observe directly. In this study, we analyzed
54 vibrations in the ground driven by ambient noise at the land surface. By measuring small changes
55 in the velocity of seismic waves moving through the earth, we detected changes occurring in the
56 upper ~ 100 m over several months. We observed seismic-velocity changes related to daily and
57 longer-term seasonal weather variations. We were able to interpret the data because we
58 compared our results to a multitude of environmental measurements at the same location. We
59 attribute most of the changes in seismic velocity to changes in temperature at the surface that in
60 turn create small stresses in the earth that propagate downward. We also observed velocity
61 changes after rainstorms that are likely caused by the infiltration of water into the ground.

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63 **1. Introduction**

64 1.1 Ambient Noise

65 Time-varying environmental processes within Earth's critical zone, such as water
66 movement and temperature changes, are essential in making it a habitable environment for life
67 and in driving processes such as bedrock weathering and soil formation. These processes can be
68 readily observed in the above-ground parts of the critical zone – defined as the region in which

69 rock, soil, air, water, and life interact (Brantley et al., 2007) – but below ground, they can only be
70 directly observed by drilling. This limits direct observations to a few narrow and widely spaced
71 locations. Geophysical methods provide an alternative way to observe the subsurface critical
72 zone without the need for drilling (Holbrook et al., 2014; Parsekian et al., 2015), but most
73 geophysical methods provide only a snapshot in time. In this study, we use ambient-noise
74 seismology to observe subsurface changes in seismic velocity within the critical zone of the
75 heavily instrumented Shale Hills watershed in central Pennsylvania. Together with the extensive
76 set of ancillary data recorded at the surface in the watershed, these observations provide insight
77 into how changes at the land surface propagate downward to deeper within the critical zone and
78 show that high-frequency (10s–100s of Hz) ambient noise can reveal changes in the critical zone
79 at sub-daily temporal resolution.

80 Ambient-noise seismology is a relatively new geophysical technique based on the cross-
81 correlation of ambient vibrations recorded at two different seismometers. It differs from more
82 traditional methods that require an active energy source such as a hammer blow and so are less
83 suited to continuous monitoring. Application of ambient-noise seismology to critical zone studies
84 is in its early stages (Parsekian et al., 2015), but the method holds significant promise. Because
85 ambient noise can be passively recorded over long periods of time, ambient-noise seismology is
86 well suited to detecting temporal changes in seismic velocity and therefore to studying time-
87 varying critical zone processes. While ambient- noise seismology has been previously applied to
88 image the near surface, especially in engineering applications (e.g. Planès et al., 2016; Joubert et
89 al., 2018), it has only occasionally been used to study time-varying critical zone processes (e.g.
90 in the permafrost studies of James et al., 2017; 2019). Further, high-frequency time-lapse
91 ambient noise studies have been limited to frequencies below 40 Hz, and still higher- frequency
92 ambient noise offers the possibility to distinguish changes occurring within the top few meters of
93 the critical zone.

94 Ambient-noise seismology makes use of background seismic vibrations, produced by
95 both natural processes and human activity, rather than distinct events such as earthquakes or the
96 energy from active seismic sources. Cross-correlation of the ambient wavefield at two different
97 seismometers over sufficiently long periods of time can be used to approximate the Green's
98 function between the two sensors (Sabra, Gerstoft, Roux, Kuperman, and Fehler, 2005; Shapiro
99 and Campillo, 2004). The technique was initially applied to crustal-scale studies (Sabra, Gerstoft,
100 Roux, and Kuperman, 2005; Shapiro et al., 2005), but more recent work has applied it to imaging
101 of the shallow subsurface (e.g. Picozzi et al., 2009; Pilz et al., 2012; 2013; 2014) and even to
102 critical-zone studies (e.g. Kiefer et al., 2019; Wang et al., 2019) using high-frequency ambient
103 noise (up to 130 Hz in Kiefer et al., 2019). These and most other ambient-noise studies make use
104 of Rayleigh waves, which are typically the dominant wave type observed in ambient-noise cross-
105 correlations. Rayleigh waves are most sensitive to seismic velocity (especially V_s) at a depth that
106 depends on frequency, with higher frequencies being more sensitive to shallower depths. The
107 most significant noise sources at frequencies higher than 1 Hz are typically anthropogenic
108 (Bonney-Claudet et al. 2006), such as from road traffic, and we expect that the same is true at
109 the SSHCZO, which is adjacent to a road, although natural sources of noise such as wind may
110 also play a role. An analysis of noise sources is, however, beyond the scope of this study.

111 Beginning with Sens-Schönfelder and Wegler (2006), ambient noise has been used not
112 only for static imaging but also for measuring changes in velocity over time by detecting small
113 changes in travel time within the coda of the cross-correlation. While many of these studies have
114 again focused on depths much greater than those relevant to the critical zone, ambient noise at
115 high frequencies ranging from 1.5 to 39 Hz has been used to detect changes in seismic velocity
116 in the shallow subsurface in engineering applications (e.g. Planès et al., 2016; Joubert et al.,
117 2018) and in the study of landslides (Voisin et al., 2016), permafrost (James et al. 2017; 2019),
118 ground freezing (Gassenmeier et al., 2015), and rock glaciers (Guillemot et al., 2020). While
119 previous critical-zone geophysical studies have mostly focused on changes in space, this
120 approach provides the potential for studying changes in time. To our knowledge, the highest
121 frequency targeted for previous applications of ambient noise to study velocity changes is that of
122 Joubert et al. (2018), who used a 21–39 Hz frequency band to observe changes in a sea dike. Our
123 study, which considers frequency bands as high as 100–200 Hz thus aims to apply this technique
124 at higher frequencies (and thus shallower depths) than previously studied, in order to better
125 observe very near surface critical-zone processes.

126 A variety of physical processes can drive seismic-velocity changes, with hydrological and
127 meteorological processes being of most interest for critical-zone studies. Increases in the water
128 saturation of soil or rock driven by infiltration after a rainstorm are expected to increase P-wave
129 velocity (V_p) and decrease S-wave velocity (V_s). For the type of study we are conducting, this
130 change typically results in a net decrease in the observed velocity due to the ambient noise being
131 dominated by surface waves (Clements and Denolle, 2018; Kim and Lekic, 2019). Such effects
132 have been observed in other recent studies of ambient seismic noise (e.g. Civilini et al., 2020;
133 Clements and Denolle, 2018; Fores et al., 2018; Hillers et al., 2014; Joubert et al., 2018; Kim and
134 Lekic, 2019; Lecocq et al., 2017; Liu et al., 2018; Rivet et al., 2015; Sens-Schönfelder and
135 Wegler, 2006; Viens et al., 2018; Viens and Van Houtte, 2020; Voisin et al., 2016; Wang et al.,
136 2017), but our work extends the analysis to higher frequencies and allows comparison with other
137 types of environmental data. Temperature changes at the surface are also known to drive
138 seismic-velocity changes at depth, as has been observed in a number of previous ambient-noise
139 studies, again mostly working at lower frequencies, including those by Hillers et al. (2015),
140 Hillers et al. (2019), Lecocq et al. (2017), Mao et al. (2019), Meier et al. (2010), Qui et al.
141 (2020), and Richter et al. (2014). As temperature increases, velocity is expected to increase due
142 to thermoelastic stresses (Richter et al., 2014). Finally, at least one team (Olivier and Brenguier,
143 2016) has also observed long-term seismic-velocity changes due to air-pressure changes (with
144 velocity increasing as air pressure increases), although those changes were almost undetectably
145 small (about 0.002%). Besides these hydrological and meteorological processes, other processes
146 that may drive changes in seismic velocity include tides (Takano et al., 2014; Mao et al., 2019),
147 earthquakes (Wegler and Sens-Schönfelder, 2007; Brenguier, Campillo, et al., 2008), and
148 volcanic activity (Brenguier, Shapiro, et al., 2008).

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150 1.2 Shale Hills

151 Our study was conducted at the Shale Hills catchment of the Susquehanna / Shale Hills
152 Critical Zone Observatory (SSHCZO) in central Pennsylvania, U.S.A. (Figure 1). The inland,
153 tectonically quiet location is well suited for observing changes in seismic velocity related to
154 temperature changes and water movement in the critical zone. Shale Hills is a first-order
155 catchment developed on Silurian Rose Hill shale. As a Critical Zone Observatory, it is a heavily
156 instrumented and intensively studied watershed, which provides an ideal location to relate
157 changes detected by our ambient-noise experiment to critical-zone processes that are
158 continuously monitored by the variety of hydrological and meteorological instruments at the site.
159 Previously, the temporal dynamics of moisture storage and preferential flow in soil (Lin, 2006;
160 Guo et al., 2014) have been studied at Shale Hills, but the dynamics of the deeper critical zone
161 have not been studied.

162 Within the SSHCZO, we focus on the South Planar Transect, a well-studied hillslope on
163 the south side of the catchment. The South Planar Transect has been the focus of previous
164 studies, beginning with Jin et al. (2010), and contains multiple instrument sites at ridge top
165 (SPRT), mid-slope (SPMS), and valley floor (SPVF) locations. We focus on the SPMS and
166 SPVF sites, allowing us to observe seismic-velocity variations at two sites with different water
167 table depths and potentially different responses to hydrologic or other events.

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169 **2. Data and Methods**

170 **2.1 Data**

171 For ambient-noise data collection, we used 5 Hz three-component Magseis
172 FairfieldNodal ZLand seismometers borrowed from IRIS PASSCAL. The seismometers were set
173 to a sampling frequency of 1000 Hz, allowing us to measure ambient noise at frequencies up to
174 500 Hz (the Nyquist frequency). To interrogate processes in different seasons, the seismometers
175 were deployed from March 20 to June 11, 2019 and again from October 2 to 29, 2019. Since the
176 seismometer batteries last for about a month, the nodes in the spring deployment were replaced
177 with fresh ones on April 22 and May 22. While 50 seismometers were deployed in the
178 experiment, in this paper we primarily use data from two dense subarrays, each consisting of
179 nine seismometers spaced about 5 m apart, that were deployed at the SPMS and SPVF
180 instrumentation sites (red triangles in Figure 1). Data from some additional seismometers
181 (orange triangles in Figure 1) were used only in constructing a 1D velocity model. The data are
182 archived at the IRIS data management center using the network codes XZ and 5A (Nyblade,
183 2019a, 2019b).

184 Data on hydrological and meteorological processes occurring in the CZO came from the
185 large number of continuously operating instruments in this watershed (Brantley et al., 2016,
186 2018). GroundHOG sites (Brantley et al., 2016; Tang et al., 2020) recorded soil moisture and soil
187 temperature separately at SPMS and SPVF and precipitation at SPMS (Li and Eissenstat, 2020a,
188 2020b; Eissenstat et al., 2020). Water levels were monitored in three wells near the SPMS and
189 SPVF sites (CZMW8 at the ridge crest and Wells 5 and 7 at the valley floor) (Brantley and Gu,
190 2020a, 2020b, 2020c). Barometric pressure and air temperature were also measured at CZMW8

191 (Brantley and Gu, 2020d). Latent heat flux, which provides a measure of evapotranspiration, was
 192 measured at the flux tower on the northern side of the watershed, approximately 258 m from
 193 SPMS (Davis, 2020). Locations of all these instruments are shown in Figure 1. For this study,
 194 measurements from these instruments were averaged or summed as appropriate over hour-long
 195 time segments to compare to the hourly time scale on which we observed seismic-velocity
 196 changes.

197 2.2 Ambient Noise Cross-Correlation

198 Ambient-noise data were processed with the MSNoise version 1.6 software (Lecocq et
 199 al., 2014), mostly following the steps described by Bensen et al. (2007). Raw three-component
 200 seismograms were cut into hour-long segments and high-pass filtered above 5 Hz, and
 201 instrument responses were removed. The three component seismograms were then rotated into a
 202 coordinate system aligned with the local surface slope. (To do this, we slightly modified the
 203 original MSNoise code.) We approximated the surface as locally planar at each of the subarrays,
 204 using the best-fit plane to the nine station locations in each subarray; these define a slope of
 205 23.13° with aspect 8.89° at SPMS and a slope of 9.49° with aspect 351.67° at SPVF. This
 206 rotation was done because high-frequency surface waves are expected to follow topography
 207 (Ping et al., 2020). For each pair of stations, the horizontal components were further rotated into
 208 radial and transverse directions within the slope-aligned coordinate system prior to cross-
 209 correlation.

210 After rotation, hourly seismograms were split into 20-second-long, 75%-overlapping
 211 segments (Seats et al., 2012), which were spectrally whitened and clipped at 3 times RMS
 212 amplitude. For each time segment, the data were then cross-correlated between all possible
 213 station pairs (36 pairs for 9 stations in each subarray), and the cross-correlations were trimmed to
 214 a maximum lag time of 3 seconds. The cross-correlations for each station pair were then stacked
 215 for each hour of each day during the study period. Cross-correlations were computed for the
 216 vertical (Z) and radial (R) components of ground motion (ZZ and RR cross-correlations), where
 217 vertical is defined as perpendicular to the local slope and radial is parallel to the slope.

218 2.3 Moving-Window Cross-Spectral Analysis

219 To measure changes in seismic velocity over time, we used the Moving-Window Cross-
 220 Spectral (MWCS) technique (Clarke et al., 2011; Poupinet et al., 1984) on the vertical
 221 component cross-correlations. MWCS operates on the coda of cross-correlations (Figure 2),
 222 which is more stable over time than is the direct surface wave (Colombi et al., 2014), and it
 223 detects small changes in velocity relative to a reference cross-correlation. The cross-correlations
 224 were filtered within a frequency band of interest, a sliding window was used to select short
 225 segments in time within the coda, and the phase of the cross spectrum between each waveform
 226 and the reference as a function of frequency was used to calculate a change in travel time (dt). A
 227 linear regression was then performed over all dt vs. t (the center time of the sliding window)
 228 pairs, and the change in velocity (dv) relative to the reference velocity (v) was calculated as

$$\frac{dv}{v} = -\frac{dt}{t} \quad (1)$$

229 In this study, we used MWCS to detect changes in velocity on an hourly time scale, using
230 a stack over the entire spring deployment (March 20 to June 11) as the reference waveform. We
231 used the singular-value-decomposition-based Wiener filter (SVDWF) of Moreau et al. (2017) to
232 reduce noise in the data prior to MWCS. We kept singular values equal to 95% of the total sum
233 of all singular values, and we used a filter order of 5 in both dimensions of the Wiener filter
234 (hour and cross-correlation lag time). We did SVDWF filtering after bandpass filtering in each
235 frequency band and after windowing the coda waves (separately on the causal and acausal sides)
236 in order to focus on the part of interest for this study.

237 We conducted MWCS analysis within ten overlapping frequency bands, in which the
238 maximum frequency was twice the minimum frequency: 5–10, 10–20, 15–30, 20–40, 30–60, 40–
239 80, 50–100, 60–120, 80–160, and 100–200 Hz. The MWCS window length was three times the
240 longest period (T_{\max}) in each MWCS frequency band, and the window was moved with a step
241 size of half T_{\max} . The lag times used for MWCS depended on interstation distance (d) and the
242 longest period in each MWCS frequency band. Assuming a minimum direct-wave velocity (v_{\min})
243 of 100 m/s (a conservative estimate – see Figure 3a and b), the part of the coda used for the
244 analysis began at $d/v_{\min} + 2/T_{\max}$ and had a width of $10 T_{\max}$ (Figure 2).

245 In order to increase the signal-to-noise ratio and to avoid the possibility that changes in
246 the noise source distribution could affect the observed dv/v (Colombi et al., 2014), we used the
247 dt vs. t values from all thirty-six station pairs in each subarray to calculate a single dv/v for each
248 of the two sites. We fit a line to the dt vs. t data, forcing it to go through the origin, and
249 calculated dv/v from its slope and Eqn. 1. In this regression, dt values were used only if they met
250 three conditions: the coherence between the hourly and reference waveforms was ≥ 0.80 , the
251 error in the dt value was ≤ 0.01 , and the dt/t value was ≤ 0.02 . These conditions removed outliers
252 and restricted the analysis to times at which the hourly waveform could be clearly interpreted as
253 a phase-shifted version of the reference waveform.

254 The MWCS procedure requires a number of user-chosen parameters, as listed above.
255 There are not yet established standards for these values, but our choices are generally similar to
256 previous work (except in using high frequencies). We describe the reasons for our choices
257 further in Text S1. The only choice that we found to have a substantial effect on the results was
258 the width of the coda window (Text S1 and Figure S1), which affects the amplitudes of the dv/v
259 variations that we observe.

260 2.4 Group Velocity Measurement and Velocity Model

261 In order to estimate the depths at which velocity changes revealed by MWCS occurred,
262 we must have a velocity model from which to calculate sensitivity of Rayleigh waves to changes
263 in shear wave velocity (V_S) as a function of depth. For this, we used frequency-time analysis
264 (FTAN) (Dziewonski et al., 1969; Levshin and Ritzwoller, 2001) to find a Rayleigh-wave group-
265 velocity dispersion curve centered on SPMS, which we then inverted for a one-dimensional
266 shear-wave velocity model. In FTAN analysis, cross-correlations are filtered with Gaussian
267 filters to produce a frequency-time diagram, which is interpolated to frequency-velocity based on
268 the interstation distance, and the group-velocity dispersion curve is picked along a maximum

269 amplitude ridge of the diagram. Our FTAN analysis was based on the methods described in
270 Bensen et al. (2007), with some modifications.

271 For FTAN analysis, we used cross-correlations stacked over the entire spring deployment
272 in order to produce an average velocity model. We made use of not only the 36 station pairs
273 within the SPMS subarray, but also of longer offset pairs within a set of 10 additional stations
274 around it (orange triangles in Figure 1), which are approximately (within 0.5 m) on the same
275 topographic plane as the SPMS stations and could therefore be rotated into the same slope-
276 aligned coordinate system. In total, we considered a range of interstation distances from 4.6 to
277 57.6 m. Cross-correlations with similar interstation distances (binned in 0.25 m increments) were
278 stacked together to help increase signal-to-noise ratio (Boué et al., 2013; Mordret et al., 2014;
279 2020; Nakata et al., 2015). Cross-correlations were then high-pass filtered at the frequency that
280 would produce a wavelength equal to the interstation distance – typically the limit for FTAN
281 analysis (Luo et al., 2015) – at a velocity of 350 m/s (a value chosen empirically after initial
282 analysis). The causal and acausal sides of each cross-correlation were then averaged. We
283 conducted FTAN on the ZZ and RR cross-correlation components, since these (as well as the ZR
284 and RZ components) record Rayleigh waves and can be used together to improve dispersion
285 curve identification (Pan et al., 2018; Zigone et al., 2015). We did not use ZR and RZ because
286 we found that these reduced the sharpness of the FTAN peak amplitude for our data. Dispersion
287 images from all interstation distances and both components were individually normalized and
288 then stacked together in the frequency-velocity domain to produce a single dispersion image. A
289 preliminary dispersion curve was extracted from this dispersion image, and instantaneous
290 frequencies for this curve (Bracewell, 1978) were calculated based on the amplitude-weighted
291 average of instantaneous frequencies from the individual stacked dispersion diagrams. Finally,
292 cross-correlations were windowed in time around the range of velocities in the preliminary
293 dispersion curve, and this dispersion curve was used to perform phase-matched filtering (Levshin
294 and Ritzwoller, 2001) on the cross-correlations to produce cleaned dispersion images, which
295 were again stacked to produce the final dispersion images, from which the final dispersion curve
296 was extracted.

297 To fit one-dimensional velocity models to the dispersion curve, we used the hierarchical,
298 transdimensional Markov chain Monte Carlo method of Bodin et al. (2012). In this method, the
299 number of layers in the model and the uncertainty in the data are not fixed but are determined
300 from the data. The method produces an ensemble of models drawn from the posterior
301 distribution of model parameters, which can be used to calculate both an average model and its
302 uncertainty. Following Gao and Lekić (2018), we inverted for V_S and V_P/V_S with independent
303 parameterization. Rayleigh waves are only weakly sensitive to V_P/V_S , but inverting for both
304 avoids making a potentially-incorrect assumption about V_P/V_S and allows us to constrain it to
305 the extent allowed by the data. Density was calculated using the equation of Gardner et al.
306 (1974). Based on velocities in well logs from CZMW8 and 10 (Gu et al., 2020), the maximum
307 V_S was limited to 2600 m/s. Low velocity zones were allowed but were limited to a 40% drop in
308 velocity (again based on what is seen in the well logs) in order to avoid physically-unreasonable
309 solutions and limit the non-uniqueness of results. The range of V_P/V_S as a function of V_S was
310 limited to values allowed by the rock-physics model of Gu et al. (2020) for Shale Hills, in order

311 to limit the non-uniqueness of inverting for both V_s and V_p/V_s . Forward modeling of dispersion
312 curves from the layered models used a computer code from Herrmann et al. (2013). Further
313 details of the choices made in the velocity inversion, are given in supporting Text S2.

314 Finally, we used the mean one-dimensional velocity model to calculate the sensitivity of
315 Rayleigh-wave phase velocity to changes in V_s as a function of depth. Rayleigh-wave phase
316 velocity is expected to be the primary control on the phase-shifts measured by MWCS under the
317 assumption that ambient noise is dominated by surface waves, and Rayleigh-wave velocities are
318 primarily controlled by V_s . To calculate sensitivity kernels, we used the relative difference
319 method (Feng et al., 2001 as cited by Luo et al., 2011), in which we perturbed V_s slightly in each
320 layer and recorded the percent change in Rayleigh-wave phase velocity.

321

322 **3. Results**

323 3.1 1D Velocity Model

324 Dispersion images (Figures 3a–b and S2) show a dispersion curve that is nearly flat at
325 about 350 m/s at high frequencies and is almost vertical at low frequencies, with a sharp bend at
326 about 20 Hz. Since only one curve is apparent, we assumed that it represents the fundamental
327 mode. The final dispersion curve (Figure 3b) was sampled with logarithmic spacing at 25 points
328 between 15 and 325 Hz, and these data were used for the velocity inversion. The resulting V_s
329 model is shown in Figures 3c, the corresponding V_p , V_p/V_s and density models in Figure S3,
330 and the fit of the mean model to the data in Figure S4. The model shows an abrupt increase in
331 velocity between about 8 and 10 m depth. Since our model is parameterized in terms of discrete
332 layers, it may overestimate the sharpness of the boundary to some degree, but our results clearly
333 indicate a significant change in velocity at these depths. Below about 10 m, the V_s model agrees
334 well with velocities from sonic logs of wells elsewhere in the SSHCZO (Figure 3c). V_s data for
335 these wells are available only from below the well casing, so they cannot be compared to the
336 upper 10 m of the velocity model.

337 To understand the physical origin of the velocity structure, we calculated porosity and
338 water saturation from the velocity model using the rock-physics model of Gu et al. (2020) for
339 Shale Hills (Figure 3d–e). The results show a large drop in porosity and increase in water
340 saturation at about 8–10 m depth where V_s also changes abruptly, although water saturation is
341 highly uncertain, likely due to the lower sensitivity of Rayleigh waves to V_p/V_s . Previous studies
342 have identified a highly porous fractured bedrock layer that is no deeper than 10 m at the surface
343 of the SSHCZO (Brantley et al., 2013; Jin et al., 2010; Jin, Rother, et al., 2011; West et al.,
344 2019). West et al. (2019) have argued the layer is due to frost cracking during past periglacial
345 climate conditions. In addition, Gu et al. (2020) have shown that porosity increases significantly
346 above the depth where chlorite dissolves to react and create significant porosity in the shale
347 matrix. The large increase in water saturation at about 8–10 m depth suggests that the water table
348 also lies at about this depth. The transition that we see at 8–10 m may thus represent some
349 combination of i) the base of the heavily-fractured layer, ii) the base of chlorite dissolution, and
350 iii) the water table. In all three cases, the depths are reasonable. The fractured layer, as identified

351 from P-wave refraction tomography by West et al. (2019), spans highly-variable depths of up to
352 10 m on north-facing hillslopes such as the South Planar Transect. The base of the chlorite-
353 weathering zone lies at about 6 m depth in the valley at CZMW10 and 15 m depth at the ridge
354 crest at CZMW8 (Gu et al., 2020), or about 10.5 m depth at mid-slope if linearly extrapolated
355 (Figure 3). The water table averaged 1.25 m depth during our spring deployment in wells 5 and 7
356 near the valley floor (18 and 47 m away from SPVF respectively) and 17.7 m in well CZMW8 at
357 the ridge crest (42 m distant from SPMS) (Figure 1), and geochemical evidence for groundwater
358 at about 1 m depth at SPVF was reported previously by Jin, Andrews, et al. (2011). Again
359 assuming a linear gradient, these depths put the estimated water table at 9.4 m depth at SPMS
360 (Figure 3). Thus all three boundaries are plausible explanations for the changes that we see at 8–
361 10 m depth and are likely too close together at SPMS to be distinguished in our velocity profile.
362 Furthermore, these three phenomena are likely to be coupled to one another in this part of the
363 watershed anyway.

364 The sensitivity curves for Rayleigh wave phase velocity (Figures 3f, S6–7) show that the
365 frequency bands used in our MWCS analysis (Figures 4 and S8-9) are sensitive to a wide range
366 of depths within the critical zone. Estimates of the depths of peak sensitivity for these bands are
367 given in Table S1 and alongside the spectrograms in Figure 5. The highest-frequency band of
368 100–200 Hz has its peak sensitivity between 0.6 m and 1.4 m, while the lowest-frequency bands
369 are sensitive to depths below 30 m. However, the peak sensitivity depths of the lower
370 frequencies, and especially of 20 and 30 Hz, are significantly uncertain (see Text S3). The soil
371 thickness is 0.6 m at SPMS and 0.7 m at SPVF (Jin et al., 2010), so even the highest-frequency
372 band is unlikely to be very sensitive to processes occurring in the soil; instead we primarily
373 expect to observe processes operating in the weathered rock (termed saprock by Jin, Rother, et
374 al., 2011) or even deeper in the unweathered bedrock. Based on the sensitivity curves, we
375 estimate that frequencies of 40 Hz and above are mostly sensitive to the vadose zone, 20 and 30
376 Hz are likely to be sensitive to depths spanning the water table and chlorite-weathering zone, and
377 15 Hz and below are mostly sensitive to depths below the water table and chlorite-weathering
378 zone. This latter thus likely includes the depths of deeper weathering reactions such as pyrite
379 oxidation or even bedrock that is entirely unweathered. Thus, our data span a large depth range
380 within the critical zone with significant variation in porosity and saturation, including the depths
381 necessary to observe processes operating in the vadose zone, fluctuations of the water table, and
382 processes operating below the water table.

383

384 3.2 MWCS

385 The results of our MWCS analysis show velocity changes of $< 1\%$ occurring on multiple
386 time scales (Figures 4 and S8–9), which exceed the level of uncertainty in the measurements
387 (Figures S10–11). There is a strong diurnal signal at both SPMS and SPVF, which can be seen
388 clearly in a spectral analysis of the dv/v time-series (Figure 5) and appears to some degree in all
389 the frequency bands studied. There is also a much weaker semidiurnal signal, visible most
390 clearly in the 15–30 Hz band, but not clearly identifiable in all frequency bands. To distinguish
391 other signals from the diurnal variation, we apply a lowpass filter (at 0.8 cycles/day) to the data

392 (Figure 4) to highlight non-cyclical and long-term changes. These changes show a high degree of
393 consistency between SPMS and SPVF (Figure 4).

394 Spectrograms of CZO datasets for soil moisture, soil temperature, well water levels, air
395 temperature, air pressure, and latent heat flux (Figure 6) show non-cyclical and cyclical signals.
396 Specifically, soil moisture and valley well water level spectrograms are dominated by the effects
397 of short-term rain events (non-cyclical). Many of the rest show diurnal and often also
398 semidiurnal signals. For example, well water levels show persistent diurnal and semidiurnal
399 cycles, best visible in the ridge-top well CZMW 8 where the semidiurnal signal is especially
400 strong. Air temperature shows a very strong diurnal signal as well as a fairly strong semidiurnal
401 signal. Soil temperature shows the diurnal signal, decreasing in strength with depth, but the
402 semidiurnal signal is much weaker and is not clearly seen at all at 40 cm depth. Air pressure
403 shows a strong semidiurnal signal and a much weaker diurnal signal. Finally, latent heat flux
404 shows clear diurnal and semidiurnal signals with the diurnal signal stronger. These latent heat
405 flux signals increase markedly in strength in early May and have decreased again by October,
406 likely reflecting the effect of leaf growth and death on evapotranspiration.

407 To further elucidate the relationship between dv/v and environmental datasets, we have
408 filtered all datasets between 0.8 and 1.2 cycles/day to isolate the diurnal signal and then cross-
409 correlated them. Figure 7 shows the maximum correlation coefficient and associated lag time for
410 each dv/v frequency band compared to each environmental dataset. The lag time that is plotted is
411 that of maximum positive correlation for the top two rows and maximum negative correlation for
412 the bottom two, based on the expected physical relationships (i.e. dv/v should increase with
413 increasing temperature and decrease with increasing subsurface water), and we consider only
414 positive lags, indicating that the velocity change follows the environmental change as expected
415 for a causal relationship. Due to the narrowband filtering, it is difficult to distinguish a positive
416 lag from a negative one with a 24 hour difference (see Figure S15).

417 The strongest correlations are seen with air temperature, and water-related correlations
418 (soil moisture and well water levels) are much weaker. Correlations with latent heat flux are
419 similar to those with temperature, likely because temperature changes drive evapotranspiration
420 changes. In the lowest four frequency bands, there is a lag time of several hours with air
421 temperature, with progressively shorter lags for soil temperatures at 10 and 20 cm depths. (The
422 long lag at 40 cm for some low-frequency data points shows weaker correlation and given the
423 zero lag time for two of the data points may be a product of the 24 hour phase ambiguity.) The
424 higher-frequency bands (30–60 Hz and up) show a near-zero lag with air temperature, indicating
425 a rapid response to temperature changes. These frequencies show longer lags with soil
426 temperature, which would not make sense if they are responding rapidly to air temperature
427 changes, but this may also be interpreted as a shorter negative lag (see Figure S15), due to both
428 being driven by temperature and the high-frequency dv/v responding more quickly than the soil
429 temperature at 10 cm or greater depth.

430 Comparison of dv/v time series with CZO data after filtering out the diurnal signals
431 (Figures 8 and S12) reveals correlations between non-cyclical and long-term events in these
432 datasets. Many low and high dv/v events appear in both SPMS and SPVF, and several of the

433 more prominent events are indicated by vertical lines in Figure 8. Large rain events, which are
434 accompanied by increases in soil moisture and groundwater level, are often followed by drops in
435 dv/v , at least at the higher frequencies. They are, however, also frequently accompanied by drops
436 in air and soil temperature, making it difficult to distinguish hydrological and temperature
437 effects. A notable velocity peak on March 30 (Figure 8, vertical line 1) is not associated with a
438 major rain event but does coincide with a sharp peak in temperature.

439 The largest rain event of the study period occurred on May 12 and is particularly helpful
440 in interpreting the results. In frequency bands from 30–60 Hz and higher, the negative dv/v
441 excursion following the event exhibits double minima, with the first low point following shortly
442 after peak rainfall and aligning with peak soil moisture and the second aligning approximately
443 with the low point of soil temperature (vertical lines 4 and 5 in Figures 8 and 9). A similar
444 double peak can be seen following another large rain event of April 14–15 (vertical lines 2 and 3
445 in Figures 8 and 9).

446 Longer-term (seasonal) changes are small (Figure 8a) but most frequency bands show a
447 slight net increase in velocity over the spring, while the changes in October are more variable.
448 The velocities at the end of the spring deployment and beginning of the fall deployment are
449 similar in many frequency bands and do not show a consistent direction of offset where they
450 differ. In comparison, air and soil temperature and evapotranspiration (as measured by latent heat
451 flux) both rise during the spring deployment (Figures 8c and S12), while soil moisture and well
452 water levels fall slightly (Figure 8c and e). Air and soil temperatures change only slightly
453 between the end of the spring deployment and the beginning of the October deployment, while
454 soil moisture, well water levels, and latent heat flux all drop substantially (Figures 8 and S12–
455 13).

456

457 **4. Discussion**

458 4.1 Summary and Possible Causes of Seismic-Velocity Changes

459 Seismic ambient noise is a relatively new field of geophysics with the potential for
460 continuous monitoring of small seismic-velocity changes in the subsurface. Such a tool has the
461 potential to improve understanding of time-varying processes in the subsurface part of the
462 critical zone, which is difficult to observe directly. In this study, we have applied the technique
463 to closely spaced seismometers at higher frequencies than in previous work in order to
464 investigate a range of near-surface depths (from ~1 m to 10s of m). Our intent was to identify the
465 causes of seismic-velocity changes at these depths and the ability of ambient noise to detect
466 time-varying processes in the critical zone.

467 We have successfully detected changes of less than 1% in the seismic velocities of coda
468 waves embedded within the ambient-noise wavefield at very high frequencies that are sensitive
469 to the shallow subsurface. We also created a one-dimensional velocity model for the shallow
470 subsurface at mid-slope, which suggests that the upper 8–10 meters are highly porous, due to
471 fracturing, chlorite weathering, or both, and are mostly unsaturated, with a less porous but more

472 water-saturated zone below. Higher saturation above 4.5 m (Fig. 3e) may also indicate the
473 presence of a shallow interflow zone (Sullivan, 2016) in the top few meters. Similarity between
474 velocity change observations at mid-slope and valley floor sites and agreement of our 1D
475 velocity model with borehole logs enhances confidence in our results. The velocity changes
476 demonstrate i) diurnal cyclical variations, ii) short-term events (peaks or troughs) over hours to
477 days, and iii) long-term seasonal changes over weeks to months. As discussed above, physical
478 processes occurring in the critical zone that could hypothetically explain our results include
479 changes in groundwater level and in water and gas saturation, temperature changes, and changes
480 in air pressure, all of which are measured at the SSHCZO. Changes in dv/v are correlated with
481 changes in environmental data, especially temperature, suggesting possible causal relationships.

482 The physical origin of seismic-velocity changes related to water movement is
483 straightforward: addition or removal of water directly changes the medium through which the
484 seismic waves pass. The effect of temperature is not as straightforward, however. Daily changes
485 in temperature are limited to the very near surface (see the difference between 10 and 40 cm
486 depths in Figure 6), but we observe diurnal velocity changes that correlate with temperature at
487 low frequencies that are most sensitive to tens of meters depth (Figure 7). This fact suggests that
488 direct heating and cooling of the rock is unlikely to be the cause of the velocity changes.
489 Thermoelastic strain due to combined spatial and temporal variation of the temperature field over
490 irregular topography can, however, extend much deeper than the actual temperature changes
491 (Ben-Zion and Leary, 1986; Ben-Zion and Allam, 2013; Berger et al., 1975; Tsai, 2001).
492 Therefore, this thermoelastic effect likely drives the temperature-related variations in velocity in
493 our study.

494 Subsurface water movement is of substantial interest in critical zone science for its
495 importance to life and to the evolution of the critical zone through processes such as weathering
496 and soil formation. Such movement is therefore an important target of critical zone geophysics.
497 To the extent that it can be detected with ambient noise, the method offers the potential to
498 improve understanding of how variations in conditions at the surface can affect deeper
499 phenomena. At least at Shale Hills, however, the water-related signal appears smaller than that
500 related to thermoelastic effects, which may limit the applicability of the method to water-related
501 questions. Whether thermoelastic strain affects the evolution of the critical zone (such as by
502 promoting or inhibiting fracture formation) has not to our knowledge been studied and may be a
503 worthwhile subject of future investigation given the strength of the signal we observe from that
504 process.

505

506 4.2 Diurnal and Semidiurnal Variations

507 Our observation of a diurnal signal in dv/v is similar to the results of Mao et al. (2019),
508 who used ambient noise MWCS to monitor hourly velocity variations at frequencies of 1–5 Hz
509 on La Réunion Island. They attributed the diurnal signal there primarily to thermal effects and
510 secondarily to tidal effects, based on spectral analysis. An important difference, however, is that
511 they also saw clear semidiurnal and terdiurnal (3 cycles per day) signals, which they attributed to

512 tidal and thermal effects respectively. In contrast, we see a faint semidiurnal signal in dv/v in
513 only a few of our frequency bands and no terdiurnal signal. Our lack of a terdiurnal signal is
514 consistent with our temperature data, which do not show a clear terdiurnal peak, while our lack
515 of a strong semidiurnal signal indicates that tides are not a major factor in seismic-velocity
516 changes at SSHCZO. It is not surprising that tidal signals are much weaker at the inland
517 SSHCZO than on an island such as La Réunion.

518 The strong diurnal signal and much weaker to non-existent semidiurnal signal in the dv/v
519 spectrograms (Figure 5) are most consistent with the air and soil temperature spectrograms in
520 Figure 6, and these datasets correlate strongly with dv/v (Figure 7). Since the diurnal signal is
521 present in all frequency bands and is especially clear at 10–20 Hz and 15–30 Hz (i.e., relatively
522 low frequencies most sensitive to depths below 10 m), the relationship is inferred to be caused by
523 the thermoelastic effect discussed above. The lag of 10–20, 15–30 and 20–40 Hz dv/v after soil
524 temperature by no more than a few hours (Figure 7) can easily be explained by the thermoelastic
525 effect, which predicts lag times on the order of hours for depths on the order of 10 meters
526 (Richter et al., 2014). The shorter lag time for 5–10 Hz may indicate cycle-skipping in the cross-
527 correlation if the real lag time is greater than 24 hours, although the lower correlation coefficient
528 in this frequency band may simply indicate that this lag time is less meaningful. At high
529 frequencies (30–60 Hz and above), lags between dv/v and air temperature are near zero (Figure
530 7). Since thermoelastic strains explain the lower frequency changes, a simple explanation is that
531 the high frequencies are responding thermoelastically to temperature changes in the very top part
532 of the soil that occur within less than an hour of air temperature changes.

533 The near-zero-lag correlation of high-frequency dv/v with air temperature also raises the
534 possibility of direct thermal effects on the instruments at these frequencies. Previous work
535 (Farrell et al., 2018; Johnson et al., 2019) has documented a temperature-dependent spectral
536 modulation in nodal seismometers of the type used in this study, but this would not necessarily
537 affect dv/v measurements. Any direct effect on the instruments should not change the cross-
538 correlations if it is the same on all instruments, and it is likely to be averaged out among the
539 different station pairs (36 in each subarray) if it is random. It appears likely, therefore, that we
540 are seeing a real change in the medium through which seismic waves pass even at these high
541 frequencies.

542 The strongest component of the latent heat flux, like temperature, is a diurnal signal
543 (Figure 6), and it too correlates well with dv/v (Figure 7) Evapotranspiration can drive diurnal
544 movement of water, at least above the water table (Harmon et al., 2020), which could drive the
545 diurnal velocity variation. Another important feature of the latent heat flux data, however, is that
546 it is highly seasonal. Specifically, these data show a strong increase in the amplitude of the
547 diurnal and semidiurnal signals around the beginning of May, and a drop again sometime before
548 October. This most likely corresponds to the growth of leaves (i.e., “leaf out”) and resulting
549 increase in evapotranspiration. Some ambient-noise frequencies (mostly 10–20 and 15–30 Hz)
550 show a sustained increase in the amplitude of dv/v in the later part of the spring, but these lower
551 frequencies are likely to be most sensitive to depths below the water table, and the same signal is
552 not seen at higher frequencies. While the presence of a seasonal change at some frequencies

553 suggests that evapotranspiration-driven subsurface water movement could play a role in diurnal
554 velocity variations, the greater overall similarity between the dv/v and temperature spectrograms
555 suggests that the thermoelastic effect is the primary control on velocity changes. The high
556 correlation between dv/v and latent heat flux may be explained if temperature is an underlying
557 cause of variation in both measurements.

558 Overall, the spectrogram and cross-correlation analyses are consistent with the
559 thermoelastic effect (driven by diurnal temperature changes in the soil) as the primary driver of
560 the observed diurnal cycle in dv/v . Nonetheless, some of our observations are consistent with
561 evapotranspiration-driven subsurface water movement as a possible secondary control on diurnal
562 velocity variations.

563 4.3 Short-Term Non-Cyclic Events

564 As noted above, short-term, non-cyclic events seen in the lowpass-filtered dv/v in Figures
565 8 and 9 correspond with both rainfall events and high or low temperature events. Since diurnal
566 temperature variations are a major driver of diurnal velocity variations, we expect that non-cyclic
567 temperature changes would also drive non-cyclic velocity changes. The fact that storms often
568 coincide with changes in temperature indicates that thermoelastic effects should be considered
569 alongside hydrologic effects when ambient noise velocity changes are associated with
570 precipitation events. Nonetheless, there is a clear physical reason to expect that water infiltration
571 into the subsurface will also decrease Rayleigh wave velocity by reducing V_s . The negative dv/v
572 excursions associated with rainfall events in Figures 8 and 9 are most apparent at the high
573 frequencies that sample shallow depths. These depths are also those that are most impacted by
574 infiltration of rainfall. In contrast, the thermoelastic effect would not necessarily be more
575 prominent at high frequencies; in fact, the diurnal thermoelastic signals are most prominent at
576 lower frequencies, so the same might be expected for non-cyclic events. As noted above, the
577 rainfall event of May 12 is particularly illustrative, with two dv/v minima aligning with the
578 maximum soil moisture and with the later minimum soil temperature (Figure 9). There is a slight
579 double peak in the air temperature as well, but dv/v for the 30–60, 40–80, and 50–100 Hz bands
580 reaches significantly lower values in the first minimum than the second, which does not match
581 the air temperature but could be explained by superposition of a soil moisture effect on top of the
582 air temperature effect. That this effect is more prominent at SPMS than at SPVF may be due to
583 the much higher pre-storm soil moisture at 10 and 20 cm at the valley site (SPVF) as compared
584 to the mid-slope (SPMS).

585 4.4 Long-Term Seasonal Changes

586 Long-term changes in dv/v over the period of this study are minor, but there is a slight
587 overall increase during the spring in most frequency bands (Figure 8a), which could be explained
588 by rising temperatures, falling groundwater levels, or extraction of water from the unsaturated
589 zone by increasing evapotranspiration. All of these processes would be expected to cause seismic
590 velocity to increase. The processes related to water movement, however, should show a sharp
591 increase in velocity from the end of the spring deployment to the beginning of the October
592 deployment. Such a sharp change is seen in the soil moisture, groundwater depth, and latent heat

593 flux data but is not seen in the dv/v results. Offsets in dv/v from spring to fall are generally small
594 and are not all in the same direction. If groundwater depth is the controlling factor, we would
595 also expect to see a much greater change at SPMS than at SPVF, which we do not observe. Only
596 temperature predicts a small change between the deployments at both sites. This result indicates
597 that temperature is most likely the dominant control on the long-term velocity changes seen at
598 the SSHCZO, although once again water-related changes may play a secondary role.

599 4.5 Applicability of Our Results to Other Sites

600 Our study presents an application of ambient noise coda wave analysis to shorter
601 interstation distances and higher frequencies than we are aware of in previous work, but we
602 expect that our methods could be applied at other critical zone sites. In considering how our
603 results may apply more generally to other study areas, we note that the subsurface in Shale Hills
604 consists of a thin (< 1 m) soil layer overlying fractured and weathered shale bedrock on a
605 hillslope. Rayleigh waves, even at high frequencies, are thus most affected by seismic velocities
606 below the soil layer. Sites with thicker soil layers or unconsolidated sediments may be affected
607 differently. For instance, the effect of water saturation on interparticle stresses in unconsolidated
608 sediments (Shen et al., 2016) as well as the potentially greater porosity and permeability of such
609 sediments might produce a stronger response to water movement than is seen at Shale Hills.
610 Further, topography plays a role in generating thermoelastic strain along with spatial variations
611 in the temperature field and in rock properties (Ben-Zion and Leary, 1986), so its effect may be
612 less pronounced in flatter terrain. Nonetheless, given the prominence of thermoelastic signals in
613 our results, it is most likely that the effect will be widely observed as at least one component of
614 critical zone seismic-velocity changes.

615 5. Conclusions

616 Our results demonstrate that changes in seismic velocity in the shallowest part of the
617 critical zone can be detected at very high frequencies (up to at least 100–200 Hz) and at hourly
618 resolution using cross-correlations of ambient seismic noise. These changes document how
619 surface forcings propagate downward to tens of meters depth below the land surface. The
620 seismic velocities respond to seasonal changes and show strong diurnal cycles and effects from
621 meteorological events. At all timescales and at all studied frequencies, seismic-velocity
622 variations are most clearly associated with changes in air and soil temperature. We therefore
623 attribute most of the signal to the thermoelastic effect. In other words, temperature changes
624 forced by insolation of the land surface cause elastic effects in the earth to tens of meters of
625 depth or more that can be detected with these ambient-noise-related techniques. This effect can
626 drive velocity changes well below the depths to which temperature changes propagate. The
627 velocities also change because of secondary effects driven by water movements. Water-driven
628 effects can be distinguished from the thermoelastic signal following rain events because we
629 observe prominent double minima in seismic velocities. The sequence of minima is attributed
630 first to rainfall infiltration, and then to a drop in soil temperature.

631 This study demonstrates the intriguing benefits of the application of ambient-noise
632 seismology to time-lapse critical-zone studies. The methods used here detect changes in seismic

633 velocities at depths relevant to critical-zone science without the need for repeated active-source
634 experiments and at a higher temporal resolution than would be practical for an active-source
635 study. The work also shows that to understand the complex array of changes in the near-
636 subsurface, temperature must be monitored and its effects fully accounted for before ascribing
637 observed velocity changes to changes in water content such as soil moisture, vadose zone
638 moisture, or groundwater depth. Future studies should be aimed at distinguishing thermoelastic
639 from hydrological effects. The thermoelastic effect that we observe occurs widely and is likely to
640 be an important component of critical-zone seismic-velocity changes in many locations.
641 However, more studies will be needed to generalize these results.

642 Finally, the good agreement between our one-dimensional V_s velocity model and nearby
643 borehole sonic logs (Figure 3) supports the use of ambient noise in static imaging studies of the
644 critical zone. Indeed, we are able to discern structure in shallower layers than interrogated by the
645 sonic logs because the latter are limited by the depth of the water table and well casing.
646 Therefore, even where borehole data are available, high-frequency ambient noise can reveal
647 additional information. In our dispersion curves and in our analysis of velocity changes, we have
648 observed meaningful ambient noise signals over a range of high frequencies from 10s to 100s of
649 Hz, which in this location are sensitive to depths from ~ 1 to 10s of meters.

650

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665

666 **Open Research**

667 **Data Availability Statement**

668 The seismic data are archived by IRIS and are available at
669 https://doi.org/10.7914/SN/XZ_2019 for the spring data and
670 https://doi.org/10.7914/SN/5A_2019 for the fall data. The Shale Hills CZO hydrological and
671 meteorological data are available from the CZO website at the following locations:

672 Evapotranspiration: http://www.czo.psu.edu/data_surfflux.html, Precipitation :
673 http://www.czo.psu.edu/ShaleHills/SHSP_through_10_min_precip_level_0.html, SPVF Soil
674 Moisture and Soil Temperature: http://www.czo.psu.edu/data_ghsmsh_spvf.html, SPMS Soil
675 Moisture and Soil Temperature: http://www.czo.psu.edu/data_ghsmsh_spms.html, Well 5 Water
676 Levels: http://www.czo.psu.edu/data/ShaleHills/wells/sh_well5.html, Well 7 Water Levels:
677 http://www.czo.psu.edu/data/ShaleHills/wells/sh_well_7.html, CZMW8 Water Levels:
678 http://www.czo.psu.edu/data_sh_CZMW8.html, and air temperature and air pressure:
679 http://www.czo.psu.edu/data/ShaleHills/czwm8_baro_at.html. The msnoise program is available
680 at <http://www.msnoise.org/>.

681

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996 **References for Supporting Information**

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1003

1004 **Figure Captions**

1005 **Figure 1:** Locations of nodes and other instruments used in this study within the Shale Hills
 1006 watershed. SPMS and SPVF are the South Planar Transect mid-slope and valley floor
 1007 instrumentation sites. CZMW1, 10, and 8 are wells. FTAN is Frequency-Time Analysis (see
 1008 section 2.4). GroundHOG is Ground Hydrological Observation Gear. Inset: Location of the
 1009 Shale Hills catchment within the SSHCZO in Pennsylvania.

1010 **Figure 2:** Example cross-correlation filtered between (a) 18–42 Hz, (b) 45–105 Hz, and (c) 90–
 1011 210 Hz, which are the filters used for the MWCS analysis frequency bands of 20–40 Hz, 50–100
 1012 Hz, and 100–200 Hz, respectively. Blue shading indicates the expected time window for the
 1013 direct arrival, assuming a velocity in the range 100–2500 m/s, and red shading indicates the coda
 1014 window used for MWCS analysis. The nodes used for this correlation are those in the NW and
 1015 SE corners of the square of red symbols at SPMS in Figure 1, with an interstation distance of
 1016 13.7 m. The cross-correlations are stacked over the entire spring deployment, as used for FTAN
 1017 and the MWCS reference stack.

1018 **Figure 3:** (a) Dispersion images and the group-velocity dispersion curve for Rayleigh waves in
 1019 the vicinity of SPMS. (b) The dispersion images and the dispersion curve after applying phase-
 1020 matched filtering. Alternate versions of a and b with a linear frequency scale can be found in
 1021 Figure S2. (c) A plot of the one-dimensional shear-wave velocity model fit to the final dispersion
 1022 curve (black line in b) in comparison to sonic log velocities from three wells in the catchment.
 1023 The solid line is the mean velocity at each depth and the dotted lines show the 95% confidence
 1024 intervals. (d and e) Porosity and mean values of water saturation (with 95% confidence intervals)
 1025 calculated using the rock-physics model of Gu et al. (2020). These are not modeled above 2.5 m
 1026 because the rock-physics model may not be applicable in the very near surface and especially in
 1027 the soil. (f) Sensitivity curves for Rayleigh-wave phase velocity at different frequencies based on
 1028 the mean velocity model. To calculate the sensitivity curves, the velocity model is discretized
 1029 into 0.25 m thick layers and V_S in each layer is perturbed by 10% with V_P and density held
 1030 constant. (Sensitivities for 5, 10, 15, and 20 Hz are too low to plot on the same scale and are
 1031 shown in Figures S6 and S7.) The estimated depths of the water table and base of the chlorite-
 1032 weathering zone (blue and tan horizontal lines, respectively) at SPMS are the averages of valley
 1033 floor and ridge top values as discussed in the main text.

1034 **Figure 4:** Example dv/v time series from MWCS analysis for two different frequency bands at
 1035 SPMS and SPVF. Arrows indicate examples of features that are seen at both SPMS and SPVF,

1036 highlighting the similarity between the results at the two locations. Plots for all ten frequency
1037 bands can be found in supporting figures S8–9.

1038 **Figure 5:** Spectrograms of hourly dv/v (blue lines in Figures 4 and S8–9). High power spectral
1039 density (PSD), as indicated by yellow colors, shows the dominant frequencies in the dv/v time-
1040 series and how they change over the course of the deployment. Spectrograms are calculated
1041 using a 7-day moving window. PSD is normalized separately for each spectrogram so that they
1042 can be displayed with the same scale. Depth ranges in parentheses indicate the estimated depths
1043 at which each frequency is most sensitive to changes in V_s (Figure 3f and Table S1) for the
1044 minimum and maximum frequencies in each band. Note, however, that each frequency will be
1045 sensitive to some degree to a large range of depths and that the depths for 20 Hz and 30 Hz are
1046 particularly uncertain, as discussed in supporting Text S3.

1047 **Figure 6:** Spectrograms of Shale Hills environmental data. These were made in the same way as
1048 those of dv/v in Figure 5.

1049 **Figure 7:** Lag times and magnitude of correlation coefficient for cross-correlations of dv/v and
1050 environmental datasets. Data are bandpass filtered between 0.8 and 1.2 cycles / day to isolate the
1051 diurnal signal and are cross-correlated in 7-day-long, 50%-overlapping windows, which are then
1052 stacked together. Circles are for dv/v from SPMS and triangles are from SPVF.

1053 **Figure 8:** Comparison of (a) dv/v and (b–e) environmental datasets. All datasets (except
1054 precipitation) have been lowpass filtered at 0.8 cycles/day to remove diurnal variations. For dv/v ,
1055 soil moisture, and soil temperature, solid lines are data from SPMS and dashed lines are data
1056 from SPVF. (For dv/v , these are almost identical much of the time.) The numbered vertical
1057 dashed lines mark dv/v excursions discussed in the text that are thought to be attributable to the
1058 effects of temperature (red) or water (blue). The supporting information contains similar running
1059 means for latent heat flux and air pressure (Figure S12), as well as the hourly data from which
1060 the running means are derived (Figures S8–9 and S13).

1061 **Figure 9:** Comparison of dv/v and environmental datasets (lowpass filtered as in Figure 8)
1062 around the times of major rain events. The numbered lines highlight the double minima with blue
1063 indicating the minima attributed at least partly to water and red the ones attributed to
1064 temperature. All time-series except precipitation have been lowpass filtered to remove the
1065 diurnal signal. The unfiltered time-series can be seen in Figure S14.

1066

Figure1.

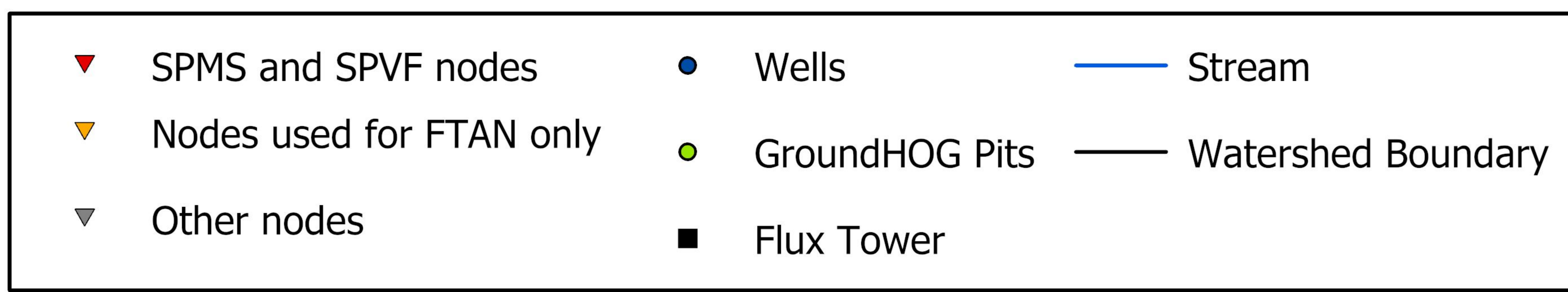
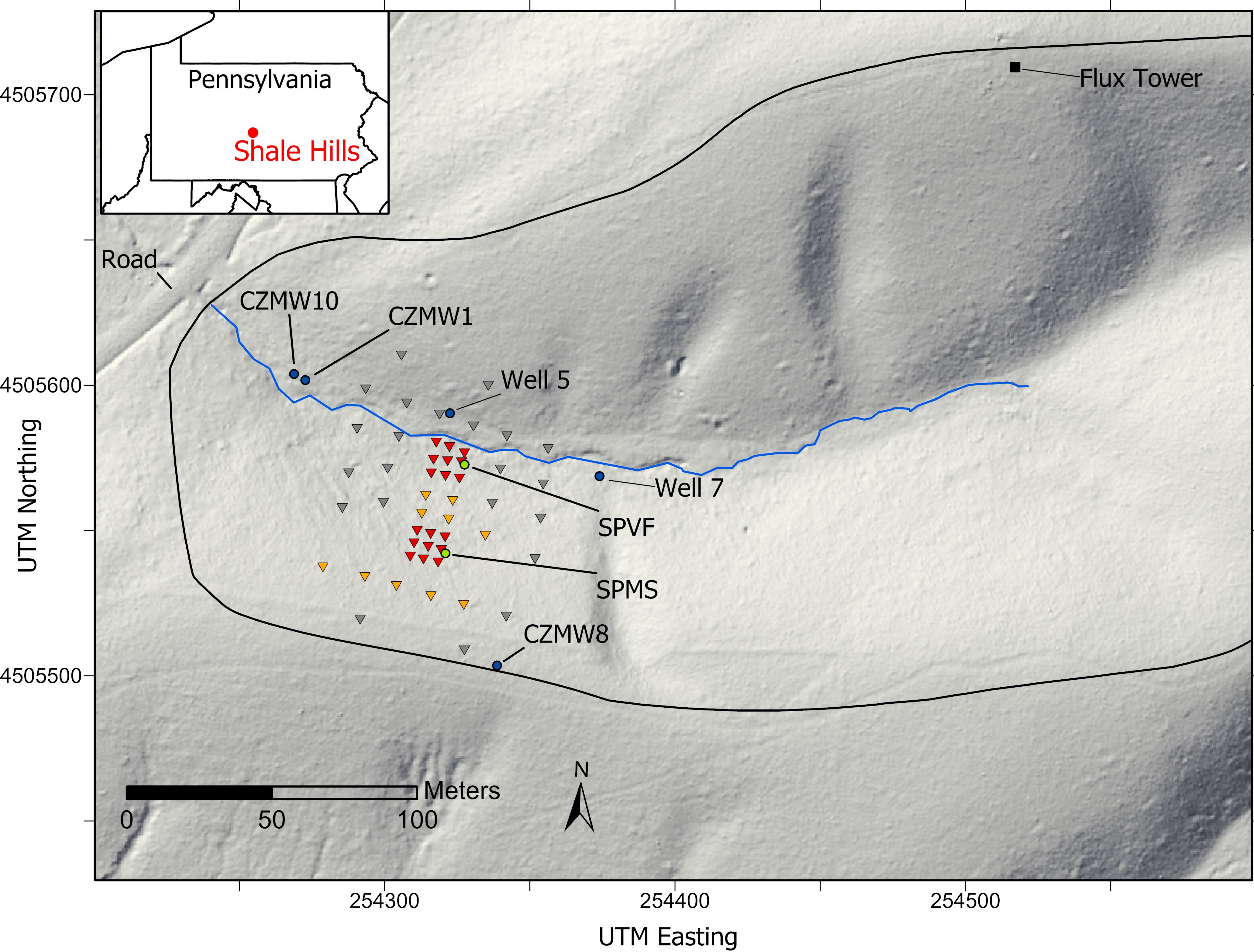


Figure2.

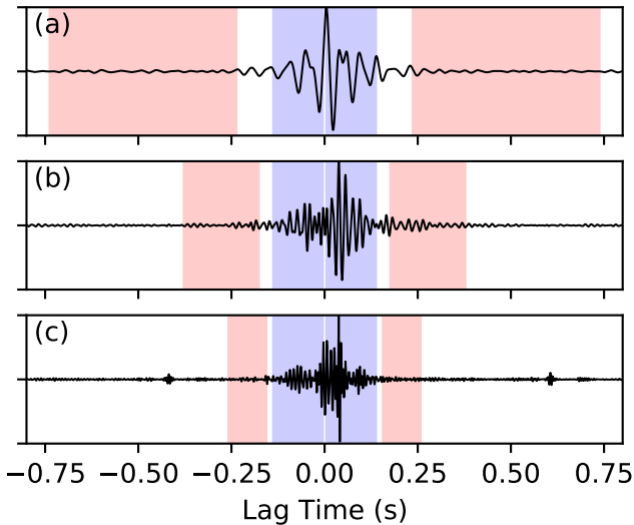


Figure3.

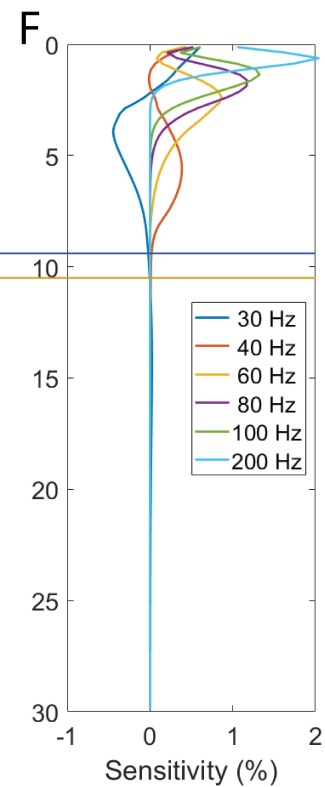
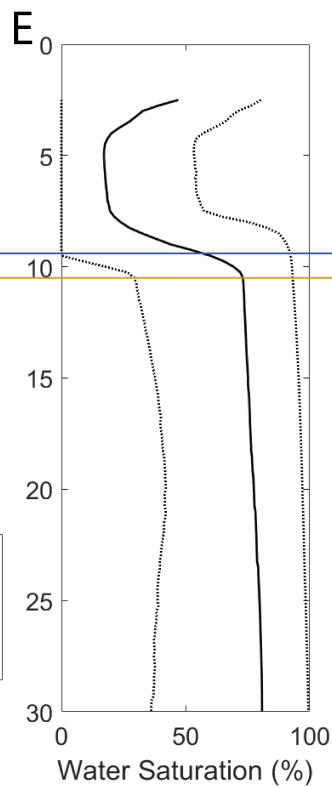
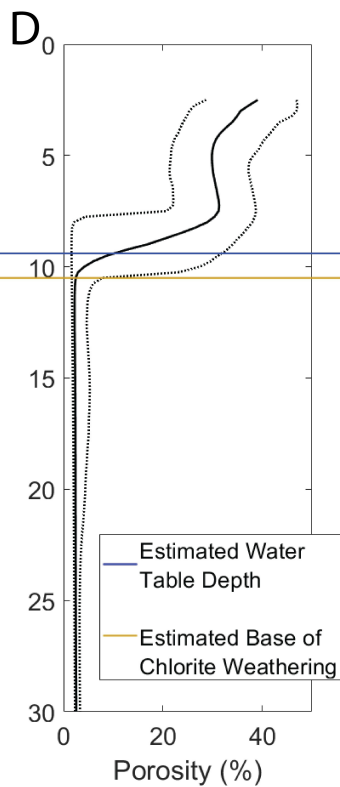
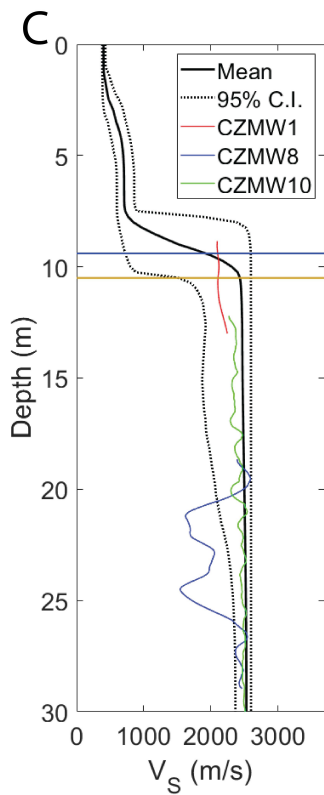
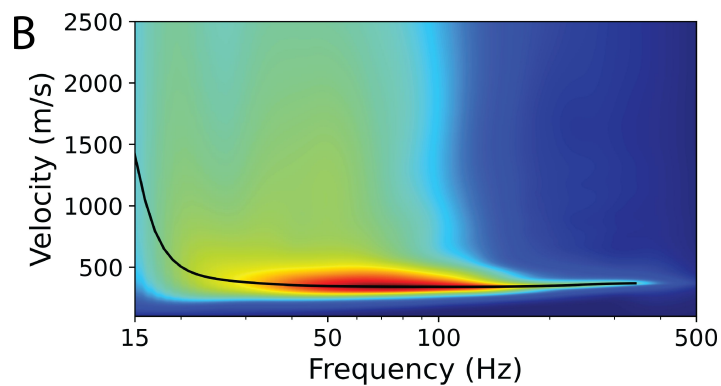
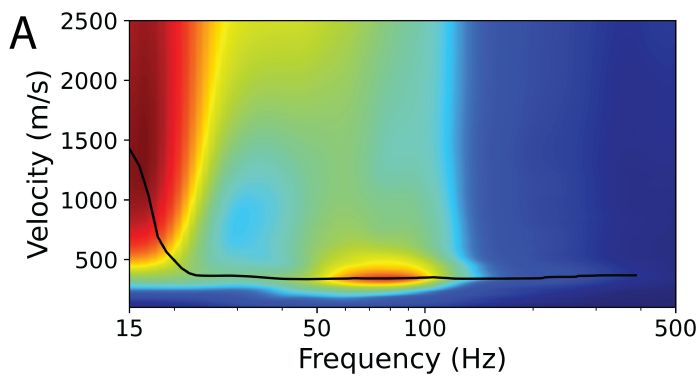


Figure4.

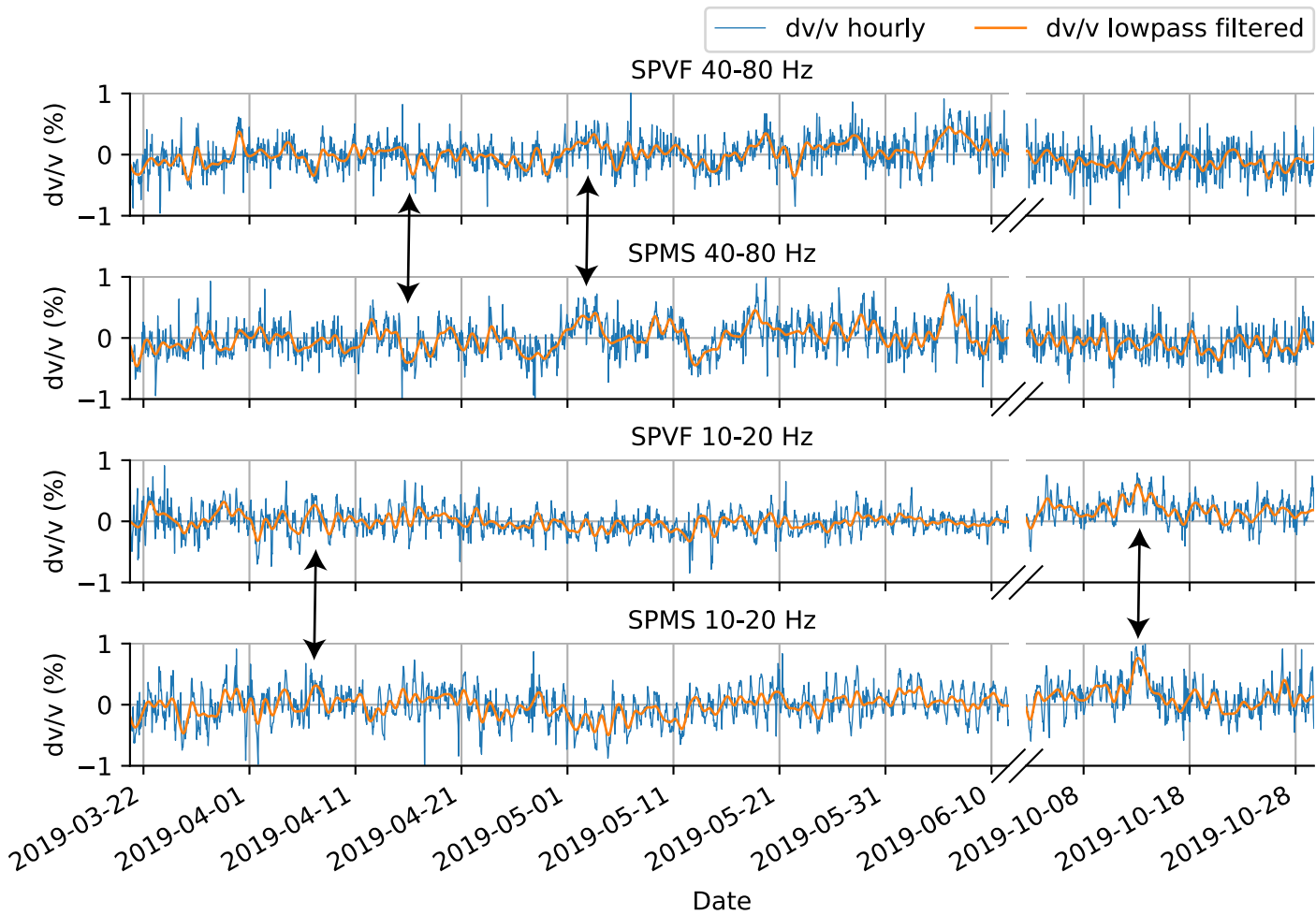


Figure5.

SPVF

SPMS

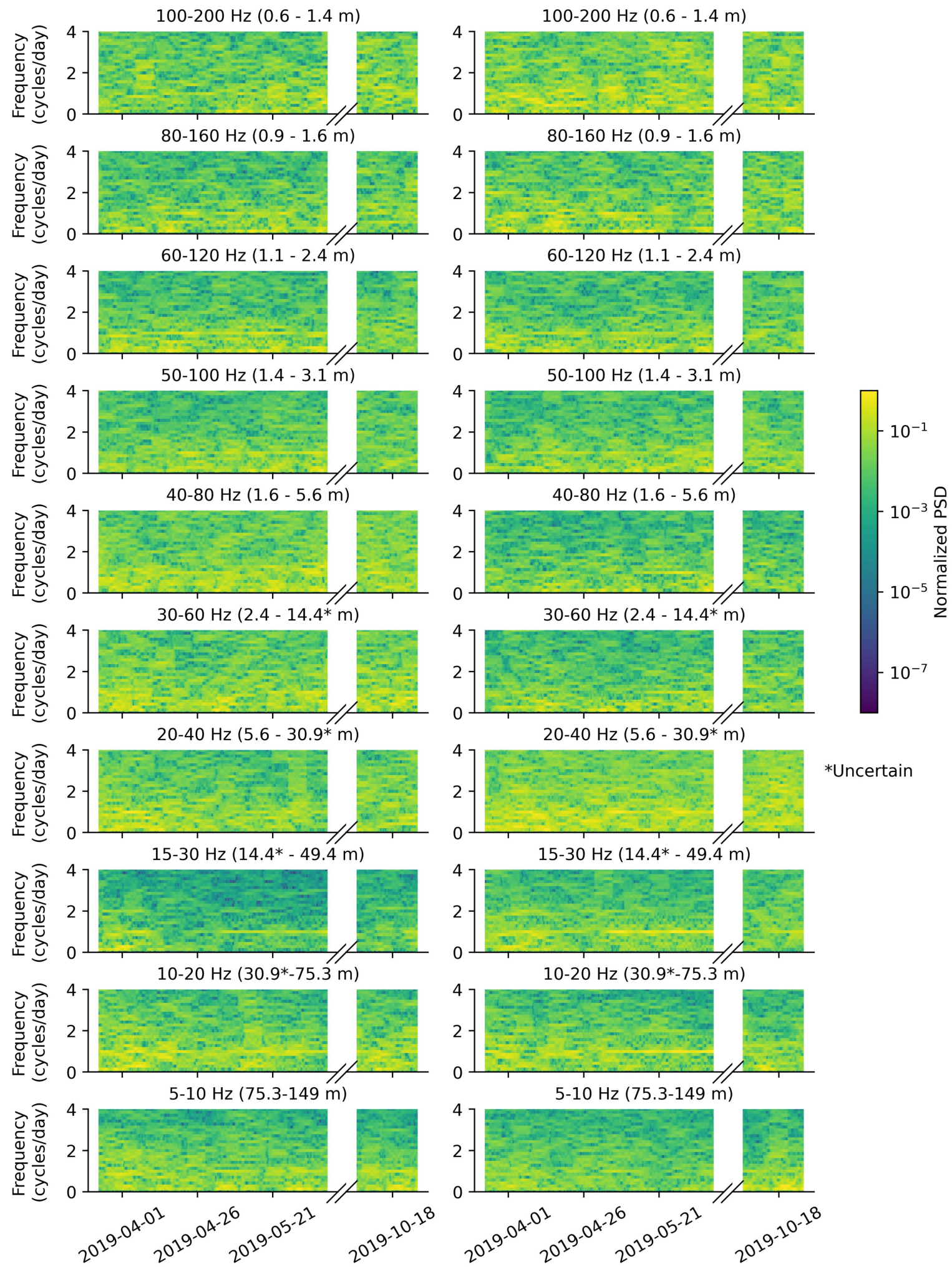


Figure6.

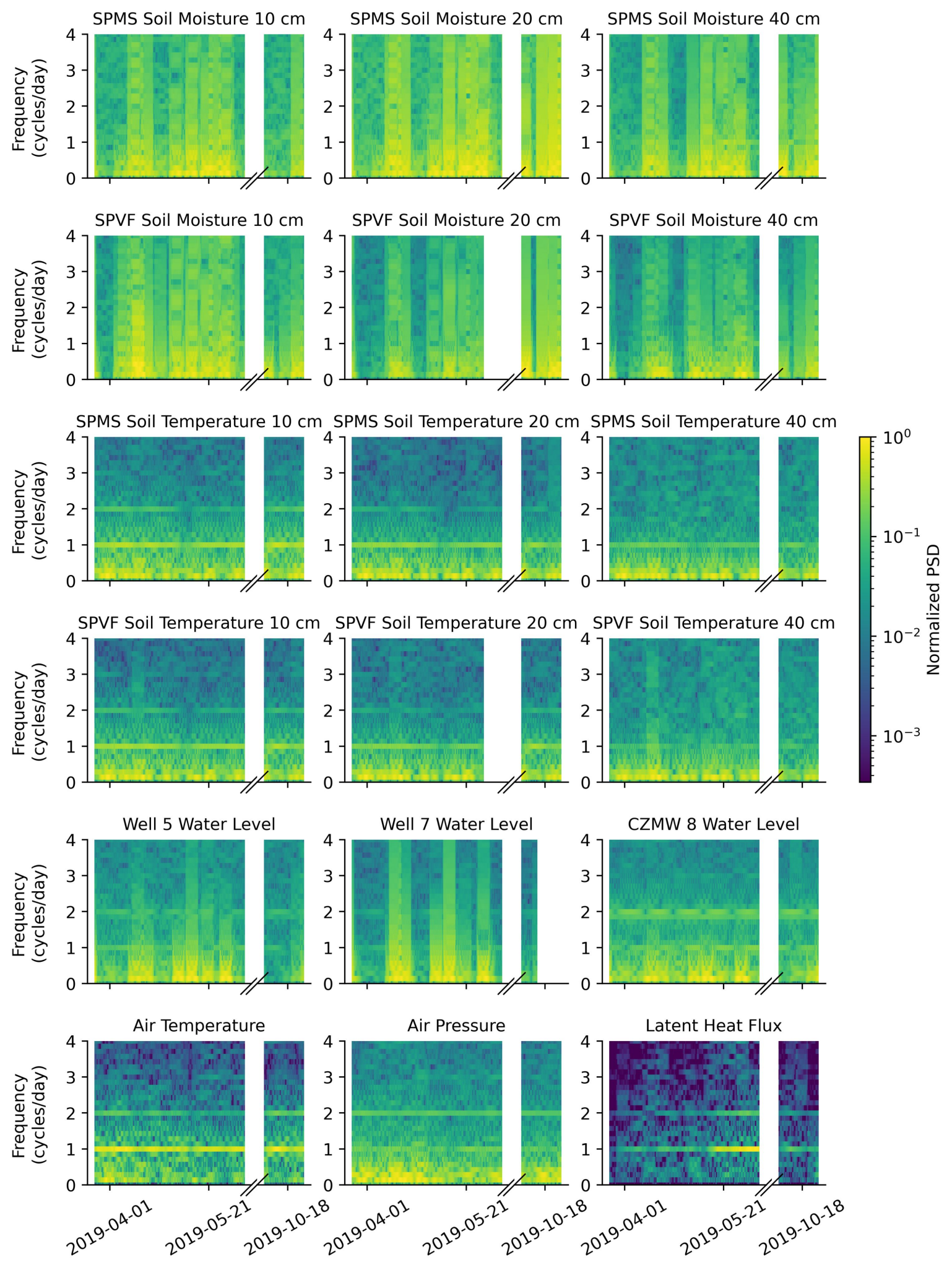


Figure 7.

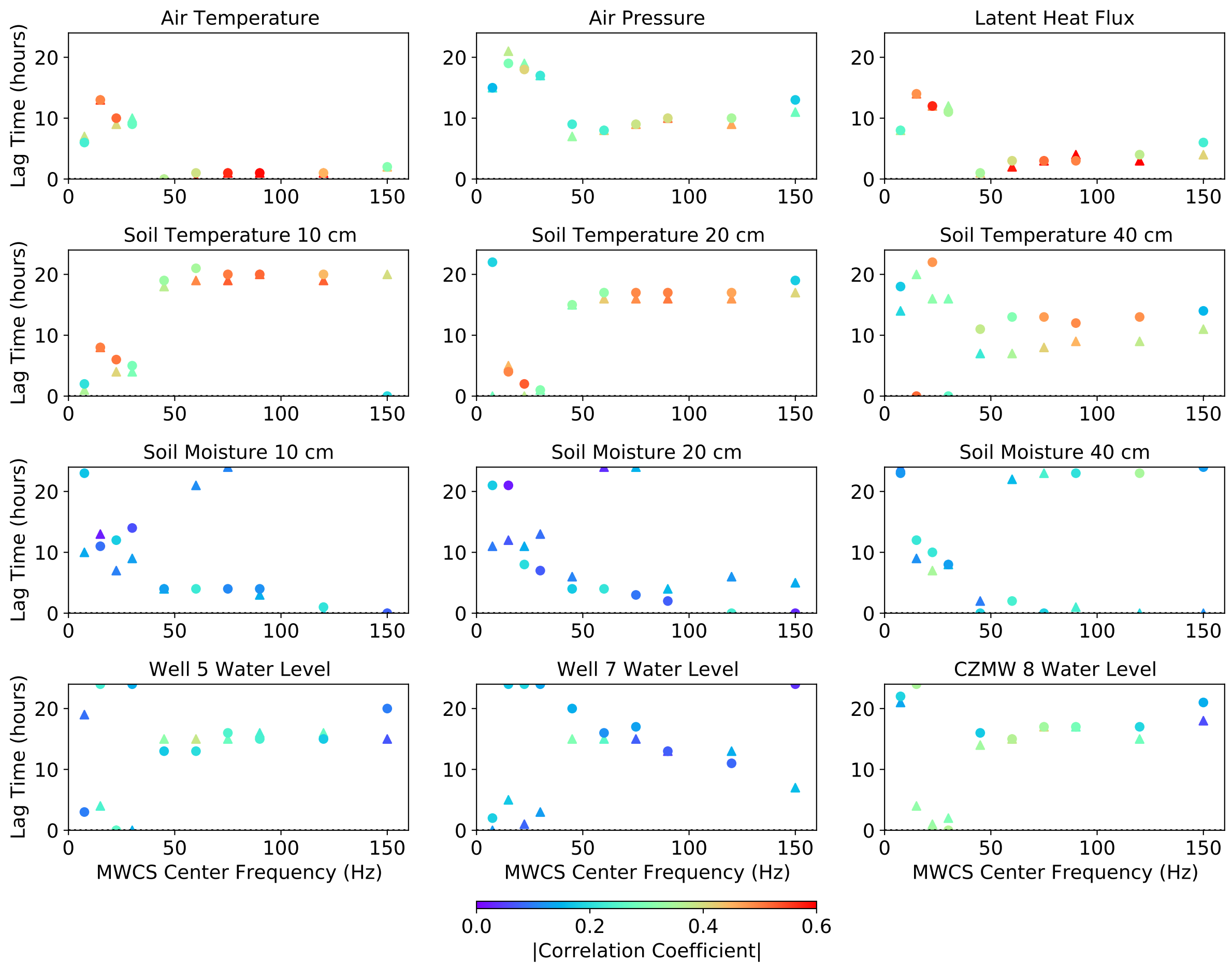


Figure8.

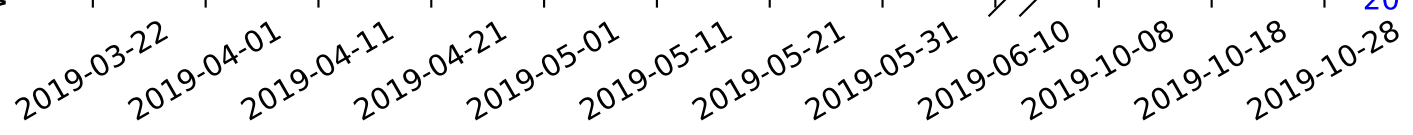
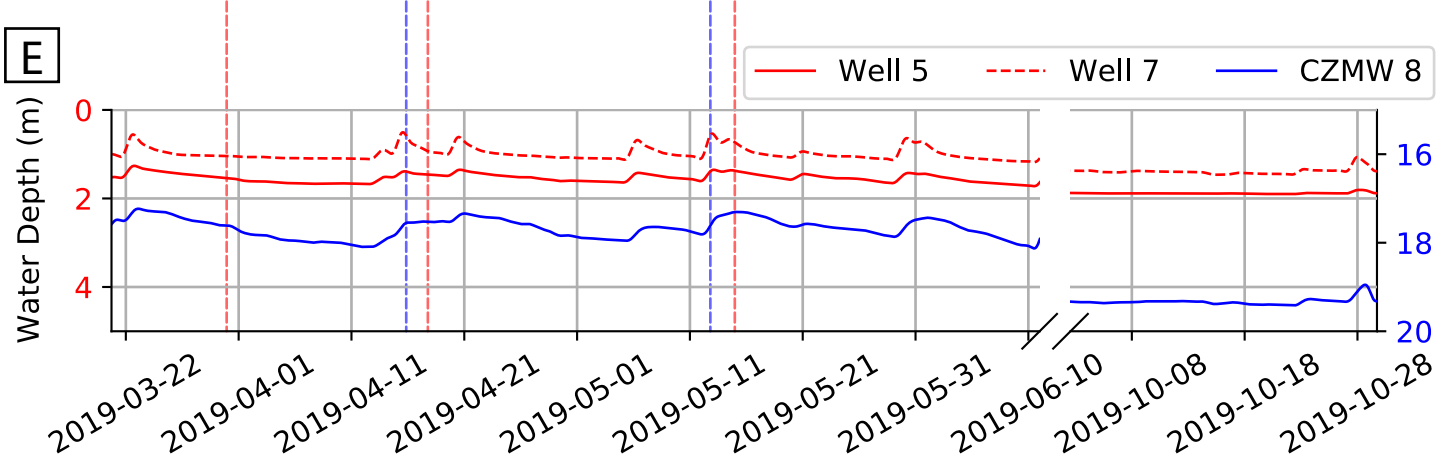
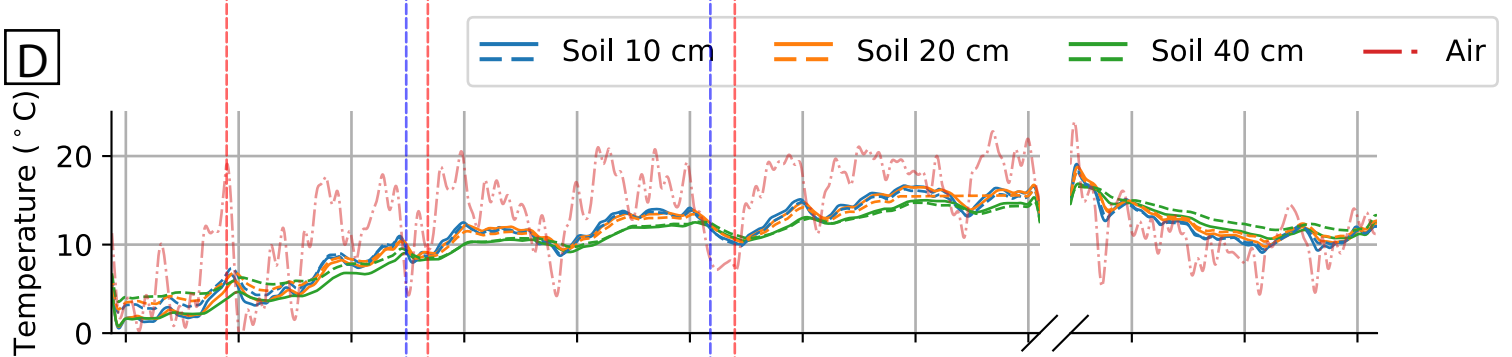
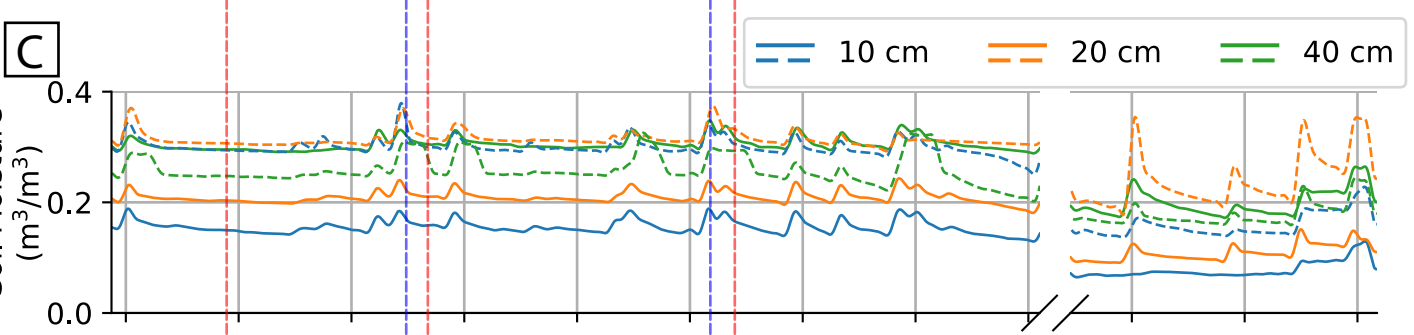
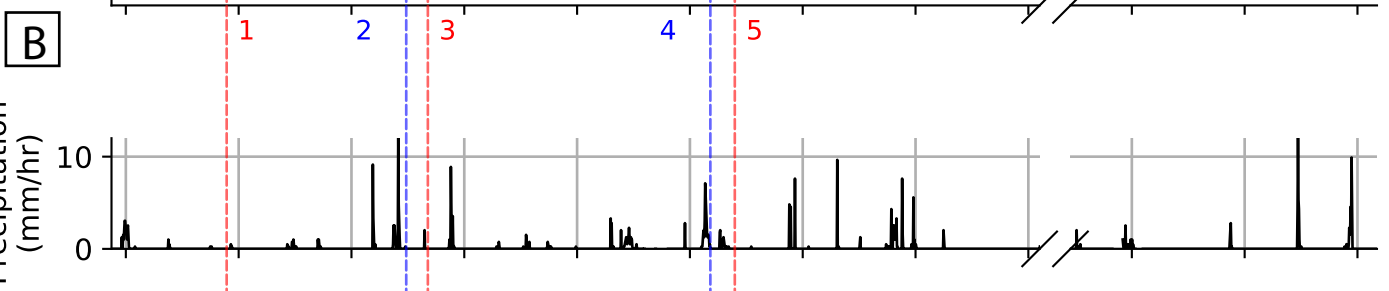
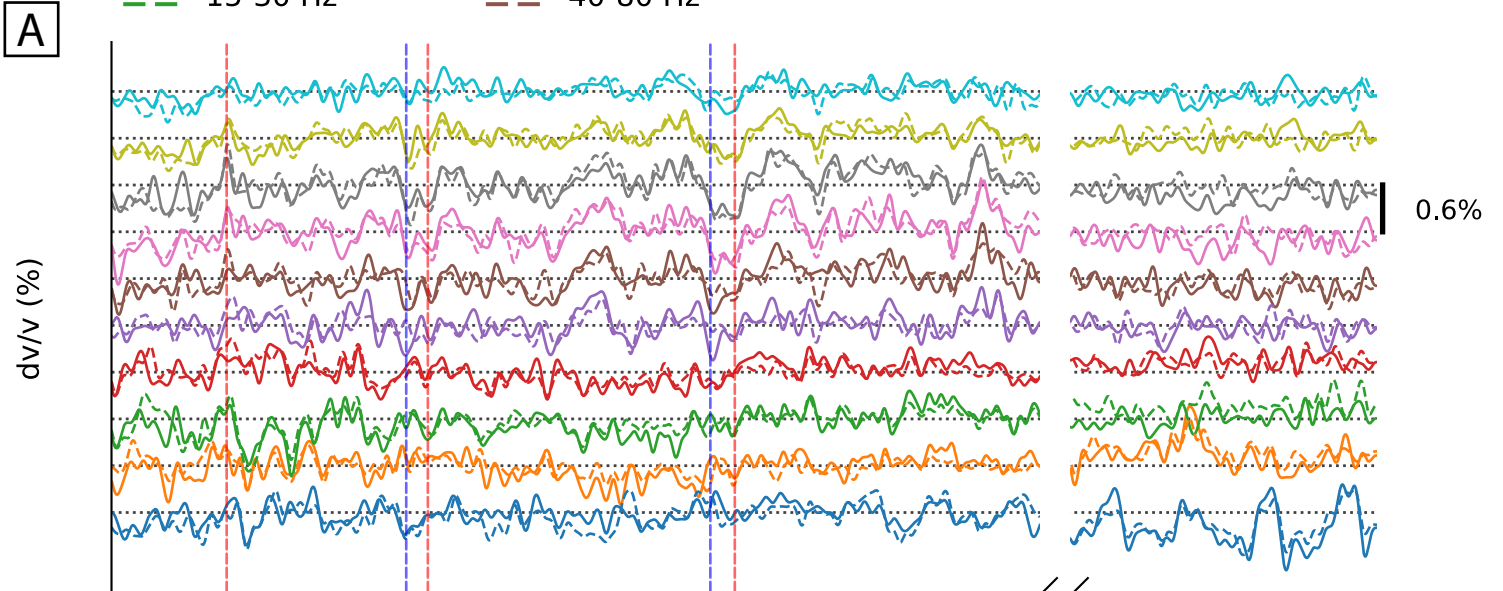


Figure9.

