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Dynamic, In-situ, Nonlinear-Inelastic Response and Post-Cyclic Strength of a Plastic Silt Deposit

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5 ABSTRACT

6 This study presents the use of controlled blasting as a source of seismic energy to obtain the 7 coupled, dynamic, linear-elastic to nonlinear-inelastic response of a plastic silt deposit. 8 Characterization of blast-induced ground motions indicate that the shear strain and corresponding 9 residual excess pore pressures (EPPs) are associated with low frequency near- and far-field shear 10 waves that are within the range of earthquake frequencies, whereas the effect of high frequency P-11 waves are negligible. Three blasting programs were used to develop the initial and pre-strained 12 relationships between shear strain, EPP, and nonlinear shear modulus degradation. The initial 13 threshold shear strain to initiate soil nonlinearity and to trigger generation of residual EPP ranging 14 from 0.002 to 0.003% and 0.008 to 0.012%, respectively, where the latter corresponded to $\sim 30\%$ of G_{max} . Following pre-straining and dissipation of EPPs within the silt deposit, the shear strain 15 16 necessary to trigger residual excess pore pressure increased two-fold. Greater excess pore 17 pressures were observed in-situ compared to that of intact direct simple shear (DSS) test specimens 18 at a given shear strain amplitude. The reduction of in-situ undrained shear strength within the blast-19 induced EPP field measured using vane shear tests compared favorably with that of DSS test 20 specimens.

21 INTRODUCTION

22 Geotechnical earthquake engineering practice regarding the seismic response of silt deposits 23 generally center on assessments of their cyclic and post-cyclic responses. Such assessments may 24 consider the plasticity index, PI, fines content, FC, overconsolidation ratio, OCR, effective confining or vertical stress, $\sigma'_{\nu 0}$, static shear stress, and soil fabric (Sanin and Wijewickreme 2006; 25 Soysa 2015; Dahl et al. 2010, 2014; Beyzaei et al. 2019; Wijewickreme et al. 2019; Jana and 26 27 Stuedlein 2020). Critical dynamic soil properties useful for calibrating site response and constitutive models include the threshold shear strain to trigger nonlinearity, γ_{te} , threshold shear 28 29 strain to trigger nonlinear-inelasticity and generate residual excess pore pressure, γ_{tp} , and the relationship between shear strain amplitude and residual excess pore pressure ratio, $r_{u,r}$, defined as 30 ratio of residual excess pore pressure, $u_{e,r}$, and $\sigma'_{\nu 0}$ (e.g., Hashash et al. 2010; Markham et al. 31 32 2016). Quantification of constitutive threshold shear strains and relationships between shear strain, γ , and r_{ur} have been largely based on strain-controlled cyclic tests on reconstituted specimens (Hsu 33 34 and Vucetic 2006; Mortezaie and Vucetic 2016) and a few studies performed on intact specimens 35 (Tabata and Vucetic 2010; Ichii and Mikami 2018).

Best practices for the evaluation of the cyclic resistance of silt generally consist of conducting 36 37 tests on relatively undisturbed soil samples (Boulanger et al 1998; Bray and Sancio 2006; 38 Boulanger and Idriss 2007), where sample quality is evaluated using changes in void ratio during 39 recompression (Lunne et al. 2006), recompression index ratio (DeJong et al. 2018), and shear wave 40 velocity criteria. Some amount of disturbance is inevitable and is generally more severe for silts 41 of lower plasticity, the consequence of which is significantly lower cyclic resistance relative to in-42 situ conditions (Kurtulus and Stokoe 2008; Dahl et al. 2010; Wijewickreme et al. 2019). Whereas 43 significant progress has been made in understanding the elemental cyclic response of silt in the

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laboratory, researchers have identified differences between the observed *in-situ* penetration testbased (Cubrinovski 2019; Yost et al. 2019) and laboratory-predicted response of natural silts
(Beyzaei et al. 2018, 2019). The complexity of the *in-situ* dynamic response arises from excess
pore pressure diffusion, soil variability, interlayering, and multi-directional seismic shaking
(Dobry and Abdoun 2015; Adamidis and Madabhushi 2018, Beyzaei et al. 2018, 2019; Ni et al.
2020; Jana and Stuedlein 2021), which are difficult to simulate in laboratory settings.

50 Evaluation of the *in-situ*, nonlinear-inelastic, coupled shear strain and excess pore pressure can 51 serve to address the aforementioned needs in geotechnical earthquake engineering. One established and successful approach to capture the dynamic *in-situ* response of soils uses large 52 53 mobile shakers with embedded sensor arrays and application of horizontal or vertical shaking to 54 the ground surface or a deep foundation, respectively (Chang et al. 2007; Kurtulus and Stokoe 55 2008; Cox et al. 2009; Stokoe et al. 2014; Roberts et al. 2016, Zhang et al. 2019). The more 56 common technique with horizontal shaking of the ground surface is appropriate for relatively 57 shallow soils (e.g., 3 to 4 m; van Ballegooy et al. 2016) with a ground surface that can transmit the 58 imposed energy to the instrumented layers. Gohl et al. (2001) demonstrated the utility of alternate 59 sources of seismic energy, specifically the detonation of explosives, to provide an indication of the 60 nonlinear-inelastic response of soils at greater depths; however, the understanding of the 61 constitutive soil response to blast-induced ground motions and mechanisms of excess pore 62 pressure generation remains limited.

Few studies present a direct comparison of the dynamic, coupled, *in-situ* and laboratory element responses. Kurtulus and Stokoe (2008) tested unsaturated non-plastic silty soils to determine their *in-situ* shear modulus reduction behavior, with shear strains generally limited to 0.05%, just larger than typical γ_{tp} . Excess pore pressures were not measured, likely due to the low 67 degree of saturation of the soil. However, implementation of shear modulus, G, and reference shear 68 strain scaling techniques demonstrated that the normalized laboratory element and in-situ 69 responses were comparable over the small-to-medium range in strain investigated. In ideal 70 conditions, a seismic energy source could be supplied to achieve small-to-large shear strains at any 71 depth and the corresponding coupled behavior observed to deduce robust *in-situ* dynamic soil 72 properties to identify similarities and differences with laboratory element test observations. Jana 73 and Stuedlein (2021) present one such example for medium dense sands at an average depth of 25 74 m and with direct simple shear-equivalent shear strains exceeding 1%; however, similar 75 observations for plastic soils at depth have not been reported.

76 This study presents the application of controlled blasting to obtain the coupled, dynamic 77 properties of an alluvial plastic silt deposit from the linear-elastic to nonlinear-inelastic constitutive 78 regimes. First, the characterization of the deposit based on subsurface and laboratory investigations 79 performed *in-situ* and on specimens derived from undisturbed samples is presented. The 80 experimental approach and controlled blasting program is described, followed by the 81 characterization of blast-induced ground motions in terms of their body wave components and 82 frequency content, and their influence on the soil response to demonstrate the appropriateness of 83 the technique. The relationship between the *in-situ* shear strain, excess pore pressure generation, 84 shear modulus degradation, and loss of strength in the silt deposit is then presented. The *in-situ* and 85 laboratory-based dynamic responses is compared to identify similarities and differences in their 86 behavior and establish the benefit of *in-situ* testing using the controlled blasting technique.

87 EXPERIMENTAL SETTING AND SOIL CHARACTERIZATION

88 Site and Subsurface Conditions

89 The experiments were conducted at a test site located at the Port of Portland, OR, 90 approximately 1 km southeast of and aligned with the South Runway at Portland International 91 Airport. Figure 1a illustrates the relevant components of the experiments, including blast casings, 92 pore pressure transducers (PPTs), velocity transducers, and the locations of explorations. The 93 linear experimental array of blast casings and instruments extends approximately 45 m in length 94 and focuses on two separate instrumented arrays, termed the Sand Array (25 m center depth; Jana 95 and Stuedlein 2021) and the Silt Array (10.2 m center depth), the latter of which forms the basis 96 for this study. Subsurface investigations included thin-walled tube sampling, cone penetration tests 97 (CPTs) with dissipation, vane shear tests (VSTs), and downhole geophysical tests. Dredge sand 98 and silty sand fill comprise the upper 5 to 6 m of the subsurface, and is underlain by an 99 approximately 2 m thick layer of native, alluvial, loose, clean sand. Below the native sand deposit 100 lies the 5 to 6 m thick alluvial, medium stiff, plastic silt (ML and MH) deposit with traces of sand 101 and thin stringers of sandy silt (ML). Extending below and to the 30 m depth of the explorations 102 lies a deposit of alluvial, medium dense, clean sand (SP) to sand with silt (SP-SM; Jana and 103 Stuedlein 2021). The groundwater table depth varied from 3.0 to 7.3 m associated with seasonal 104 fluctuations in the Columbia River and occasional dewatering operations conducted at and 105 adjacent to nearby Port facilities.

106 Geotechnical Characterization of the Plastic Silt Deposit

Installation of instruments necessary to conduct the experiments were preceded by thin-walled tube sampling using an Osterberg piston sampler within mud-rotary boreholes B-4 and B-6 (Fig. 1a). The average and range in corrected cone tip resistance, q_i , and Soil Behavior Type Index, I_c (Robertson 2009) is 0.97 MPa and 0.82 to 1.19 MPa, and 3.0 and 2.9 to 3.1, respectively, from depths of 8.9 to 11.7 m corresponding to the Silt Array and thin-walled tube samples (Fig. 1b).

112 The *PI* of the silt deposit varied from 14 to 39 with an average of 28 (Fig. 1c), whereas the OCR 113 varied from 1.6 to 2.2 (Fig. 1f). The average compressive wave velocity, V_p of intact specimens consolidated to $\sigma'_{vc} = \sigma'_{v0}$ determined using bender disk tests was 1,030 m/s compared to an 114 115 average of 940 m/s from downhole and crosshole geophysical testing (Fig. 1e). The average shear 116 wave velocity, V_s, of specimens consolidated to their *in-situ* stresses was approximately 122 m/s, 117 similar to that obtained using geophysical tests (Fig. 1d). Vane shear tests were conducted prior to 118 and immediately following the Shallow Blast Program indicated an initial, average undrained shear 119 strength ratio, $s_{u,VST}/\sigma'_{vc}$ of 0.56, slightly larger than the monotonic, direct simple shear (DSS) testbased $s_{u,DSS}/\sigma'_{vc} = 0.49$ (Fig. 1g). Undrained shear strengths correlated to q_t agreed with the DSS 120 121 and vane shear strengths. Constant-volume, cyclic, stress- and strain-controlled DSS tests were 122 conducted on intact silt specimens (Jana and Stuedlein 2020) for comparison of the in-situ and 123 laboratory-based responses of the silt and presented below. Comprehensive details are in Jana and

124 Stuedlein (2020).

125 EXPERIMENTAL PROGRAM

126 Instrumentation Comprising the Silt Array

127 Instruments necessary to capture the *in-situ* response to controlled blasting were installed 128 within 200 mm mud-rotary boreholes and grouted to form the Shallow Array (Fig. 2). The geometry of the Silt Array allowed quantification of the shear modulus, G, and u_e with the shear 129 130 strains imposed, where shear strain is deduced from displacement-based finite element analysis 131 (FEA) framework proposed by Rathje et al. (2005) and implemented in various studies (Chang et 132 al. 2007; Cox et al. 2009; Stokoe et al. 2014; Roberts et al. 2016, 2017; Cappa et al. 2017; Zhang 133 et al. 2019; Jana and Stuedlein 2021). Shown in Figs. 1 and 2, the Silt Array consisted of four 134 boreholes: (1) I-2 housed a full-depth inclinometer casing fitted with sondex settlement rings to

capture post-shaking volumetric strains (Fig. 1a, I-2), (2) B-5 drilled to place the pore pressure transducer (PPT) string, and (3) B-4 and B-6 drilled to place the triaxial geophone packages (TGPs), which consisted of 28 Hz triaxial geophones and a six-axis accelerometer gyroscope to capture static tilt. The TGPs were placed to form two rectangular finite elements with nodal displacements derived from the recorded particle velocities. The location of the PPTs were selected to represent the center of each element and correspond to the location of shear strain computation. The effort to calibrate, locate, and orient each instrument is described in detail by Jana et al. (2021).

142 Summary of Blast Programs Conducted

143 *In-situ* dynamic testing was performed using three separate controlled blasting events: (1) the 144 Test Blast Program (TBP) (2) the Deep Blast Program (DBP) and (3) the Shallow Blast Program 145 (SBP). These blast events were performed on three consecutive days starting on 3 October 2018. 146 The TBP was performed in order to obtain the small-strain linear-elastic baseline crosshole 147 response of the soil, assess the functionality of the various sensors and data acquisition systems, and evaluate attenuation relationships. The primary objective of the DBP was to excite the Sand 148 149 Array (Fig. 1a, Jana and Stuedlein 2021), whereas the SBP was primarily executed to excite Silt 150 Array. Seismic energy produced during each blast program was registered in the Silt Array and 151 are used to interpret the responses, and changes in constitutive response, described herein.

The controlled blasting programs used Ikon electronic detonators, Cordtex detonation cord, and Pentex cast boosters with an explosive detonation pressure and velocity of 24 MPa and 7,900 m/s respectively. The TBP implemented eight charges varying from 0.227 to 3.628 kg set within a single blast casing CX located approximately 30 m west from blast casing C1 (Fig. 1a) that extended to a depth of 27.4 m. The DBP and the SBP each consisted of thirty charges spatiallydistributed within the blast casings C1 to C10 and C6 to C15, respectively (summarized in Table 158 1). Figure 3 illustrates the 30s detonation time history and corresponding charge locations for the 159 SBP, indicating a progressive increase in charge weight to Blast #13 and #14, upon which the 160 charge weight progressively reduced as the distance between successive charges and the Silt Array 161 decreased. The detonation of successive charges alternated between the west and east sides of the 162 Silt Array in order to alternate the polarity of the seismic signal (Heelan 1953), and to roughly 163 approximate unbiased cyclic loading of the Silt Array.

164 **Computation of Shear Strain**

165 The general approach used to compute the imposed shear strains followed the displacement-166 based FEA described by Cox et al. (2009). The selected approach does not require the assumption 167 of plane wave propagation and is appropriate for shear strain estimation in any seismic wavefield 168 (Cox 2006); this approach has been widely-implemented for *in-situ*, large scale, and centrifuge 169 tests to evaluate dynamic shear strains (e.g., Chang et al. 2007; Stokoe et al. 2014; Roberts et al. 170 2016; Cappa et al. 2017; Zhang et al. 2019; Jana and Stuedlein 2021). Two isoparametric finite 171 elements were formed by the Silt Array where TGPs S3, S4, S7, and S6 form the nodes of Element 172 1 and TGPs S4, S5, S8, and S7 form the nodes of Element 2 (Fig. 2). Measured particle velocities 173 in each TGP are corrected to the east-west or longitudinal, x, and vertical, z-direction using the 174 true bearings of the mutually-perpendicular geophone axes as described by Jana et al. (2021). 175 Particle velocities, V_x and V_z , were integrated to obtain the particle displacements, D_x and D_z , at each node. Thereafter, the Cauchy shear strains (i.e., normal strains ε_{xx} , ε_{zz} and shear strain, γ_{xz}) in 176 177 each element were computed from D_x and D_z using appropriate shape functions (e.g., 178 Chandrupatla et al. 2002). The full waveform including compression or *P*-waves, the near-field 179 shear or S-waves, and the far-field S-waves were used to compute the shear strain in the soil, thus 180 allowing decomposition of the influence of each body wave component in the dynamic soil response. Owing to the dimensionality of each blast pulse (addressed below), the octahedral shear strain, γ_{oct} induced in the soil is computed from the Cauchy strain tensor assuming plane strain

183 conditions for comparison to DSS test results using (Cappa et al. 2017):

184
$$\gamma_{oct} = \left(\frac{2}{3}\right) \sqrt{(\varepsilon_{xx})^2 + (-\varepsilon_{zz})^2 + (\varepsilon_{zz} - \varepsilon_{xx})^2 + 6\left(\frac{\gamma_{xz}}{2}\right)^2}$$
(1)

185 where all variables have been previously defined.

186 CHARACTERIZATION OF BLAST-INDUCED GROUND MOTIONS

187 Ground motions associated with controlled blasting differ from the commonly-assumed 188 vertically-propagating horizontally-polarized shear waves associated with earthquakes (Seed 189 1979): indeed, the former depends on both the source-to-site distance (i.e., observation distance) 190 and the scale of interest (Heelan 1953; Blair 2010, 2015; Gao et al. 2019). Buried explosives 191 produce a very short duration, high-pressure compressive shock wave (P-wave) that propagates 192 radially from the source through the soil (Dowding and Duplaine 2004), followed by vertically-193 polarized shear or SV-waves generated upon unloading of the expanding shockwave front (Hrvciw 194 1986; Fragaszy and Voss 1986; Narin van Court and Mitchell 1994; Gianella and Stuedlein 2017). 195 Although the peak amplitude of the *P*-wave particle velocity from controlled blasting can be quite 196 large, particularly in comparison to earthquake loading as observed near the surface and away from 197 the fault rupture plane, it has been shown for saturated sands (Jana and Stuedlein 2021) that the 198 associated predominant frequency is so high as to prevent significant displacements, shear strain, 199 and residual excess pore pressures, $u_{e,r}$, in soil as postulated by Ishihara (1967).

200 Dynamic Soil Response to P- and S-waves

Figure 4 presents the measured velocities, integrated displacements, and corresponding soil responses associated with 90 and 150 g charges detonated at a large and small distance, respectively, from the Silt Array as observed during the SBP. The longitudinal and vertical

204 components of the measured ground motions V_x and V_z , and corresponding D_x and D_z , normalized 205 to their maximum amplitudes are shown in Figs. 4a and 4b for SBP Blast #1 recorded in TGP S3. 206 Particle velocity records indicate that the relatively high-frequency longitudinal P-wave (with 207 f_{P_X} = 80 Hz) is followed by a lower-frequency ($f_{near-field,SV_X}$ = 40 Hz) near-field SV_X-wave generated 208 due to the three-dimensional seismic disturbance (Fig. 4a; Sanchez-Salinero et al. 1986). 209 Following the *P*-wave arrival (i.e., 0.1 s), a low-frequency ($f_{far-field,SV_Z}$ = 21 Hz) far-field SV_Z-wave was registered by TGP S3z (Fig. 4b). The maximum V_x and V_z measured during SBP Blast #1 were 210 211 0.0077 and 0.0063 m/s, respectively, corresponding to maximum D_x and D_z of 0.057 and 0.051 212 mm which occurred in response to the low-frequency far-field SV-wave generated at the charge 213 location. Figure 4c illustrates the measured u_e response and corresponding increment in γ_{oct} derived using displacement-based FEA. The maximum octahedral shear strain, $\gamma_{oct,max}$ during SBP Blast 214 215 #1 was 0.011% in Element 1. Although passage of the *P*-wave produced a maximum excess pore pressure ratio, $r_{u,pmax} = 5.88\%$, its high frequency prevented significant shear strain upon 216 unloading, limiting it to approximately 25% of $\gamma_{oct,max}$ and resulting in a nonlinear-elastic soil 217 218 response preventing generation of $u_{e,r}$ (Ishihara 1967). The shear strain magnitude was smaller 219 than the threshold shear strain to trigger residual excess pore pressures as observed by Hsu and 220 Vucetic (2006) and Mortezaie and Vucetic (2016). Note that the SV waves generated by the unloading of the *P*-wave was responsible for $\gamma_{oct,max}$ owing to their low-frequency content. 221 222 Figures 4d and 4e illustrate the normalized particle velocities and displacements in TGP S3

during SBP Blast #30 (150 g charge) corresponding to a small source-to-site distance. In this case, the near- and far-field *SV*-waves are superimposed upon one another as described by Sanchez-Salinero et al. (1986) due to the small space-time provided to the far-field *SV*-wave to traverse the Silt Array. Due to small distance and limited filtering and attenuation provided, the predominant

227 frequency of the *P*-wave was 180 and 350 Hz in the longitudinal and vertical directions, 228 respectively, whereas the frequency of the near- and far-field SV waves was 32 and 22 Hz, 229 respectively. The high-frequency P-wave limited P-wave induced displacements to 5 and 19% of 230 the maximum D in the x and z directions, respectively; D_{max} was again associated with the low-231 frequency superimposed SV-waves. Figure 4f illustrates the measured u_e and corresponding 232 increment in γ_{oct} calculated for Element 1 and SBP Blast #30. The $\gamma_{oct,max}$ in the silt was 0.267% 233 with an incremental γ_{oct} , $\Delta \gamma_{oct}$, equal to 0.066%. Although the *P*-wave produced $r_{u,pmax} = 181.6\%$, 234 the elastic nature of the *P*-wave (Ishihara 1967) could not produce residual excess pore pressure; rather, passage of the near- and far-field SV-waves provided sufficient γ_{oct} to produce $r_{u,r} = 12.3\%$. 235 236 Inspection of Fig. 4f and the inset figure indicates direct correlation of time and frequency between 237 the shear strain and shear-induced r_{u} . The shear strain and corresponding residual excess pore 238 pressures developed during controlled blasting are associated with the low-frequency shear waves 239 as suggested by Gohl et al. (2001), despite existing correlations to one-dimensional compressive 240 strain amplitudes (e.g., Charlie 1992, 2013).

Figures 5a to 5c illustrates the full particle velocity time histories recorded using TGP S7 during the 8 s TBP, 30 s DBP, and 30 s SBP. The magnitude of the particle velocity is governed by the ray path distance between the charge location and the TGP and the charge weight. Since charges detonated during the TBP were located far away (i.e., 63 m) from the Silt Array (Fig. 1a), the maximum particle velocities were significantly lower than those measured during the DBP and SBP. The constitutive soil response ranged from linear–elastic, to nonlinear-elastic, to nonlinearinelastic over the course of the three blast programs as described in detail below.

248

249 Frequency Content of Blast-induced Ground Motions

250 The frequency content of the various body wave components associated with controlled 251 blasting may be conveniently identified using the short-term Fourier transformation termed the 252 normalized Stockwell spectrogram (Stockwell et al. 1996), indicating the evolution of body wave 253 frequency with time (Kramer et al. 2016). Figure 6a illustrates the variation of the frequency-time 254 response of the P-, near-, and far-field SV-waves for TGP S3x during SBP Blast #10, whereas the 255 corresponding Fourier amplitude spectra of the same record is presented in Fig. 6b with a 256 predominant frequency, f, of 13 Hz. Figure 6c presents the predominant f associated with the 257 relevant components of the P- and SV-waves during the SBP for TGP S3 and S5. The predominant 258 frequencies for the *P*-waves range from 75 to 800 Hz and increased as the distance between the 259 source and site decreased, owing to decreased attenuation and filtering of the high-frequency 260 energy. The frequency of near-field SV waves varied from 9 to 45 Hz with an average f = 27 Hz, 261 whereas f for the far-field SV waves ranged from 10 to 33 Hz, with an average f = 17 Hz. The 262 predominant f of the SV waves decreased as blasting proceeded in response to the dynamic 263 softening of the silt due to increased shear strain and the generation of u_{er} . The predominant 264 frequency of the far-field SV-waves decreased initially, and then increased, and again decreased in 265 response to changes in the rate of drainage during the SBP as described below. In general, the 266 blast-induced SV-wave frequencies are within the range of the earthquake ground motions and 267 were responsible for the maximum seismic strain energy responsible for the global dynamic silt 268 response, despite the amplitude of the blast-induced *P*-waves.

269 **Dimensionality of Body Waves**

270 Body wave fronts and associated blast-induced ground motions may be considered 2D plane 271 waves or 3D waves depending on the source-to-observation distance and the scale of the

272 observation (i.e., size of the array; Heelan 1953; Sanchez-Salinero et al. 1986; Blair 2015; Gao et 273 al. 2018). Seismic waves recorded at a significant distance from the energy source and presented 274 here could often be assumed as 2D plane waves. For example, the vertical particle velocity records 275 of two vertically-adjacent geophones within the same borehole exhibited similar amplitudes and 276 phase differences of the propagating SV-waves during many blasts (i.e., Figs. 5d to 5k) to indicate 277 a 2D planar shear wave field traversing the Silt Array. Subtle differences in the particle velocities 278 represent local variation in soil properties, ray path distances, and the local diameter of the grout 279 column encapsulating the TGPs. Variability within the natural silt deposit was identified during 280 the subsurface and laboratory investigation (Figs. 1b to 1g). Ground motions associated with the 281 detonation of a charge close to the Silt Array are shown in Figure 51 (SBP Blast #30), illustrating 282 a significant phase difference between the two-particle velocity records within the same borehole, 283 indicative of a 3D wave field. Use of the displacement-based FEA to compute shear strain does 284 not require 1D wave approximations used by Charlie et al. (2013) and are appropriate for any 285 seismic wave field (Cox et al. 2009).

286 IN-SITU DYNAMIC RESPONSE OF THE PLASTIC SILT DEPOSIT

287 Generation of Excess Pore Pressure with Shear Strain

The response of the Silt Array observed during the 8 s Test Blast Program (TBP) was described by Jana et al. (2021) to illustrate the feasibility of the dynamic test method used in this study. The maximum γ_{xz} observed during the TBP was 0.0118% and 0.0072% for Elements 1 and 2, respectively, and the maximum residual γ_{xz} was 0.0073% and 0.0033%. TBP Blast #8 produced $r_{u,r} = 0.35\%$, and 0.77% at Elements 1 (PPT-2) and 2 (PPT-3) respectively, indicating exceedance of the threshold shear strain, γ_{tp} to trigger residual excess pore pressure in the silt.

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Table 2 summarizes results for the TBP reported by Jana et al. (2021) and compares γ_{tp} and $r_{u.r}$ for the TBP and SBP.

296 The charges detonated during the Deep Blast Program (Table 1) were primarily used to excite 297 the Sand Array as described by Jana and Stuedlein (2021); however, the energy produced during 298 the DBP excited the Silt Array and the measured body waves have been used to further establish 299 the shear strain-dependent trends in excess pore pressure generation in the Silt Array. The particle 300 velocities recorded in TGP S7 are shown in Fig. 5b for the entire blast program, whereas Figs. 5e, 301 5h, and 5k present the full waveform of the vertical particle motion for TGP S7z and S8z. Figs. 7a- 7c present the γ_{xz} , γ_{oct} , and r_u time histories in the Silt Array resulting from the DBP. Due to 302 303 the proximity of the second charge (i.e., DBP Blast #2) to the Silt Array (approximately 5 m; Fig. 304 1a and Table 1), the particle velocity is significantly greater than similar charges (i.e., 90 g charges, 305 DBP Blasts #1, #3, and #4). For example, the peak body wave velocity measured in TGPs S3 and S7 for Blast #2 was 20- and 5-fold larger than Blasts #1 and #3, resulting in a maximum γ_{xz} for 306 307 Blast #2 that was approximately 40 times larger than that of Blasts #1 and #3. Blast #1 produced γ_{xz} equal to approximately 0.0018%, which was insufficient to trigger $u_{e,r}$, whereas Blast #2 308 309 exhibited significant u_{er} (Fig. 7c). Figures 7a – 7c show that as blasting continued and detonated 310 charges approached the center of the Sand Array, the dynamic loading of the Silt Array reduced. 311 The absolute maximum γ_{xz} induced by Blast #2 was equal to 0.0525 and 0.0666% for Elements 1 312 and 2, respectively (Fig 7b) and the threshold shear strain to trigger $r_{u,r}$ was exceeded, considering 313 $r_{u,r}$ equal to 1.97% and 6.01% in Elements 1 and 2, respectively, following Blast #2. Due to the 314 proximity of Element 2 to Blast #2, a significant positive residual shear strain developed, and 315 slowly reversed in direction as the ray path orientation changed over the course of the detonation 316 time history. On the other hand, Element 1 exhibited a gradual increase in accumulated shear strain with time. The maximum absolute γ_{xz} in Elements 1 and 2 were equal to 0.1230% and 0.1155%, respectively, with a maximum residual γ_{xz} of 0.0853% and 0.0729%, respectively. The maximum γ_{oct} in Elements 1 and 2 were equal to 0.1472% and 0.1917%, respectively, with corresponding $r_{u,r}$ equal to 5.39% and 5.69% in Elements 1 and 2, respectively. Following detonation of the DBP, $r_{u,r}$ slowly increased in response to the significant $r_{u,r}$ generated in the sand layer directly below it (Jana and Stuedlein 2021), indicative of upward water flow (Cubrinovski et al. 2019).

323 The Shallow Blast Program (Table 1, Fig. 3) was conducted primarily to excite the Silt Array 324 and produced the largest amplitudes of dynamic loading to the silt layer (with maximum V = 0.28325 m/s; Fig. 5c). Figure 8 presents examples of blast-induced shear strain waveforms and corresponding r_u in Elements 1 and 2, indicating the accumulation of γ_{xz} and $r_{u,r}$ during the SBP 326 327 and that the variation in r_u is correlated to the shear strain in time and amplitude. Figures 7d- 7f 328 present the γ_{xz} , γ_{oct} , and r_u time histories in the Silt Array during the SBP. The maximum γ_{xz} induced 329 within Elements 1 and 2 was 0.27% and 0.13%, respectively, and resulted in a peak in-shear $r_u =$ 22% and 17% in Elements 1 and 2, respectively. Following the SBP, the residual γ_{xz} in Elements 330 331 1 and 2 was equal to 0.19% and 0.056%, respectively. The larger peak and residual shear strains within Element 1 resulted in the largest $r_{u,r} = 12.6\%$ as compared to Element 2 ($r_{u,r} = 8.2\%$). 332

The TBP resulted in relatively small γ_{oct} within Element 2 but produced the largest excess pore pressure (exceeding γ_{tp}) corresponding to the smallest residual shear strain (Jana et al. 2021). On the other hand, Element 2 produced the largest u_e and residual γ_{oct} during the DBP (Figs. 7b and 7c), due to the proximity of Blast #2 and the role of charge length on the shear wave amplitude and ray path (Blair 2010). However, it is noted that following DBP Blast #2, the excess pore pressure in Element 1 responded more strongly to continued blasting (Fig. 7c). This suggests that the consistency and plasticity in Elements 1 and 2 are somewhat different from one another (Fig 1c) and that the unsampled soil between 10.47 and 11.13 m may have exhibited lower *PI* than determined from tests on sampled soils (Fig.1c). This observation appears to be confirmed in Fig. 7e, where Element 2 produced the smallest γ_{oct} and exhibited drainage during the latter half of the SBP (Fig. 7f). Occasional lenses of sandy silt revealed in the CPT data and laboratory test analyses (e.g., at 8.71 m depth: *FC* = 74% and *PI* = 14) could provide drainage pathways for the overall silt deposit (with *FC* > 95%, *PI* > 25).

346 In Situ Relationship between Shear Strain and Excess Pore Pressure

To compare the maximum mobilized *in-situ* shear strain during the three blast programs with the DSS tests conducted on intact specimens reported by Jana and Stuedlein (2020), the DSSequivalent, constant-volume shear strain, $\gamma_{DSS,eq}$ was computed using γ_{oct} by imposing constant volume boundary conditions on Eq. 1 (Cappa et al. 2017):

351
$$\gamma_{DSS,eq} = \sqrt{\frac{3}{2}} \gamma_{oct}$$
(2)

352 The three blast events described above were used to construct the relationship between the 353 maximum DSS-equivalent shear strain, $\gamma_{DSS,max}$ for each blast-induced waveform and the maximum shear-induced excess pore pressure ratio, $r_{u,max}$, and $r_{u,r}$. Two additional finite elements 354 355 derived from the Silt Array were formulated to evaluate the effect of element shape on the dynamic 356 response to the SBP and enable the use of PPT 5 (Fig. 2). Elements 3 and 4 were constructed as 357 rhombus-shaped elements consisting of TGPs S8, S4, S3, and S7, and TGPs S7, S5, S4, and S6, 358 respectively. The maximum shear strain, $\gamma_{DSS,max}$ imposed during the three blast events was 0.35% 359 (Element 1), resulting in a corresponding $r_{u,max} = 24\%$. The largest in-shear $r_{u,max}$ observed was 360 31% which occurred during the DBP in Element 2 in response to a peak shear strain ($\gamma_{DSS,max}$) of 0.20%. Note that the γ - r_{μ} relationship presented in Fig. 9a does not indicate how the number 361

velocity pulses (i.e., "cycles") relate to u_e , as commonly interpreted using equivalent uniform cycles, N, and the resulting scatter is apparent in Fig. 9a.

Figure 9b presents the variation of maximum $r_{u,r}$ with $\gamma_{DSS,max}$ representing a standard 364 365 presentation of strain-controlled cyclic DSS test data (e.g., Hsu and Vucetic 2006; Mortezaie and 366 Vucetic 2016). Although some scatter remains, the variability in the overall shear strain-pore 367 pressure response is significantly smaller than that presented in Fig. 9a. The threshold shear strain to trigger excess pore pressure is apparent, and excess pore pressure rises rapidly for $\gamma_{DSS,max} > \gamma_{tp} =$ 368 369 ~0.01%, consistent with previously-reported cyclic data on plastic soils (e.g., Mortezaie and 370 Vucetic 2016). The largest $r_{u,r}$ observed was approximately 15% and corresponded to $\gamma_{DSS,max}$ = 371 0.20 to 0.35%. The maximum shear-induced $r_{u,r}$ was equal to 15%, 12.6%, and 8.8% as observed 372 in the middle of the Silt Array (PPT 5, Elements 3 and 4), Element 1, and Element 2, respectively. 373 Greater excess pore pressures developed in Element 1 compared to Element 2, attributed to 374 variability in the silt deposit within the instrumented array as described above. Considering that 375 the average PI for Elements 1 and 2 was 27 and 29, the average OCR derived from oedometric 376 testing for Elements 1 and 2 was 1.86 and 2.1 (Jana and Stuedlein 2020), and the average $s_{u,VST}/\sigma'_{vc}$ for Elements 1 and 2 was 0.59 and 0.67, respectively, the greater stiffness of 377 378 Element 2 served to prevent larger shear strains and corresponding excess pore pressures.

Table 2 presents γ_{tp} for the *in-situ* finite elements observed during the three blasting events (note that γ_{tp} could not be clearly defined for the DBP due to Blast #2). However, the dissipation of the relatively large residual excess pore pressure (i.e., $r_{u,r} = 5\%$) in the DBP resulted in two-fold increase in γ_{tp} relative to the initial γ_{tp} observed during the TBP (i.e., 0.008 to 0.0016%; Table 2). This observation is consistent with the measured increase in V_s within the Silt Array following the 384 DBP, discussed further below. Silt subjected to low amplitude shear strains results in an increase
385 in its dynamic shearing resistance (Soysa and Wijewickreme 2019).

386 Comparison to Laboratory Test-based Excess Pore Pressure-Shear Strain 387 Relationships

388 Constant-volume, strain-controlled cyclic DSS tests were performed on intact specimens 389 prepared from thin-walled tube samples to develop the cyclic excess pore pressure versus cyclic 390 shear strain relationship (Jana and Stuedlein 2020). Specimens were consolidated to the *in-situ* $\sigma'_{vc} = \sigma'_{v0} = 106$ kPa and cyclically-sheared with various amplitudes of uniform shear strain cycles 391 392 at f = 0.1 Hz. Development of u_e during cyclic shearing was inferred from the reduction in σ'_v 393 under constant volume per Dyvik et al. (1987). Figure 9c presents the comparison of $r_{u,r}$ with $\gamma_{DSS,max}$ for the *in-situ* and DSS test results: the range in γ_{tp} of 0.008 to 0.012% measured *in-situ* 394 is similar to that obtained in the laboratory, however, the *in-situ* tests produced greater u_e than that 395 measured in the laboratory for $\gamma_{DSS.max} > 0.01\%$. This observation is somewhat surprising, given 396 397 that the laboratory tests are conducted under an artificially-imposed undrained (i.e., constant-398 volume) boundary condition, whereas the excess pore pressures in the field are allowed to drain during shaking. The initial slope of the $\gamma_{DSS,max}$ - $r_{u,r}$ curve (i.e., in proximity to γ_{tp}) derived from the 399 400 *in-situ* test results does not suffer from apparatus compliance and is representative of a larger soil 401 volume; therefore, the *in-situ* test results presented herein are considered more reliable for 402 representing the global response of the plastic silt deposit.

403 Shear Modulus Degradation with Shear Strain

Extensive downhole and crosshole testing provided the baseline and post-event maximum shear modulus, G_{max} , for normalization of *G*. Although some degree of anisotropy in V_s determined using the vertically-propagating horizontal shear (SH) waves and horizontally-propagating SV-

407 waves was expected, no significant anisotropy was observed in the Silt Array (Donaldson 2019). 408 Thus, downhole measurements made following each blast event and computed using the interval method (ASTM 2019) could be used for development of G/G_{max} curves. Apparent consolidation 409 410 of the silt deposit followed the DBP and resulted in an apparent increase in V_s . Table 3 presents 411 the average downhole small-strain (i.e., linear-elastic) V_s for various TGP pairs measured in the 412 Silt Array before the TBP and SBP, which ranged from 119 to 154 m/s for any given TGP pair, 413 indicative of the variability observed within CPT-3. A representative $V_s = of 126$ m/s appears 414 appropriate for the initial, pre-TBP conditions and was confirmed using the small strain crosshole 415 V_s observed during the TBP.

416 The strain-dependent shear wave velocity was calculated for each of the laterally-offset TGP 417 pairs using the crosshole time delay of the far-field SV-waves and the corresponding ray path 418 distances. Since the elevation of the charges and TGP pairs were not necessarily shared (compare 419 Fig. 2 and Table 1), the direct linear ray path from the center of the charge to the TGP was used to 420 compute V_s (Heelan 1953). Figure 10a illustrates the three orthogonal components of an example 421 particle velocity record (TBP Blast #3) demarcating the arrival of various body waves. The approximate time of arrival of the far-field SV-wave was estimated using the crosshole V_p , initial 422 423 or antecedent crosshole V_s , and the direct linear ray path distance. The computed arrival time is 424 somewhat later than the actual arrival time, possibly due to: (a) placement of the charge at the 425 interface of the silt and underlying sand layer, the latter of which exhibits a higher V_s ; (b) possible 426 variation in the depth to the interface of these layers between the charge and the array, and (c) 427 possible variation in Vs in the materials between the charge and the array. Arrival times of the far-428 field shear wave were verified using the normalized Stockwell spectrogram of the longitudinal 429 (TGP S3x) and transverse (TGP S3z) particle velocities, the former of which is shown in Fig. 10b and indicated a reduction in the predominant frequency of the far-field *SV*-wave following passage of and relative to the near-field *SV*-wave. The time delay between the *SV*-waves recorded in laterally-offset TGPs within different boreholes are shown in Fig. 10c and 10d; this time delay and the difference in the ray path distances were used to calculate the crosshole V_s within the Silt Array. Note that the arrival of the shear wave and the shear wave velocity changes throughout the blast programs due to the strain-dependent nonlinearity of the soil.

436 The strain-dependent crosshole V_s resulting from each blast in the Test and Shallow Blast 437 Programs, and corresponding shear modulus reduction, is presented in Fig. 11 in terms of $\gamma_{DSS.max}$. Figure 11a demonstrates that: (1) the linear-elastic regime was maintained through $\gamma_{DSS.max} \approx 0.002$ 438 439 to 0.003%, based on the lack of scatter in V_s in this range of shear strain, and (2) the linear-elastic threshold shear strain, γ_{te} , was exceeded to demonstrate observable nonlinearity during the 440 441 relatively small excitation of the TBP (Table 1; Jana et al. 2021). The crosshole Vs corresponding 442 to the linear-elastic shear strain was used as the basis for normalization of G for data derived from 443 the TBP. As the TBP continued, the soil responded nonlinearly and with a degradation in its wave 444 transmissibility (by about 15%) at the end of the TBP. The maximum residual excess pore pressure 445 ratio generated in the Silt Array following the TBP was 0.77%, and little change in soil fabric was 446 anticipated as a result of the TBP based on laboratory cyclic test data on plastic soils reported by 447 Hsu and Vucetic (2006).

Given the significantly different elevations between the charges in the DBP and the TGPs comprising the Silt Array, the ray paths were much steeper than those intended for crosshole testing and the number and reliability of ray paths crossing two TGPs for computation of a diagonal velocity was low (Sanchez-Salinero et al. 1986). Furthermore, liquefaction of the sand layer (Jana and Stuedlein 2021) in proximity to the charge and refraction following the passage through the

453 silt/sand layer contact would have likely altered the ray path. Accordingly, the crosshole shear 454 wave velocities corresponding to the DBP were not computed within the Silt Array. Downhole 455 tests conducted following the DBP were performed to assess possible changes in the soil fabric as 456 a result of the DBP, which generated a maximum $r_{u,r} = 5.69\%$. Table 3 indicates an average 457 increase in V_s of 6%, associated with the dissipation of u_e generated during the DBP and 458 corresponding consolidation (i.e., densification). Owing to the lack of body wave anisotropy noted 459 by Donaldson (2019), the post-DBP (pre-SBP) downhole V_s was used as the basis of normalization of G for crosshole V_s measured during the SBP. Figure 11b presents the V_s - $\gamma_{DSS,max}$ data 460 corresponding to the SBP along with the small-strain pre-SBP downhole V_s , and indicates a 461 462 reduction in V_s of approximately 50% over the duration of the 30 s blast event. Element 1 463 experienced the largest shear strains and residual excess pore pressures (Figs. 7d - 7f) and therefore 464 exhibited the greatest reduction in V_s

465 **Determination of the Shear Modulus Reduction Curves for the Shallow Silt Array**

466 The variation of the strain-dependent V_s during the TBP and SBP (Figs. 11a and 11b) was used 467 to compute the reduction in shear modulus during the blast programs using:

 $468 \qquad \qquad \boldsymbol{G} = \boldsymbol{\rho} \boldsymbol{V}_{\boldsymbol{S}}^2 \tag{3}$

where ρ is the density of the silt, estimated from representative laboratory test specimens and equal to 1,580 kg/m³. The G_{max} for Elements 1 and 2 were equal to 23 and 25 MPa, and 29 and 28 MPa, for the TBP and SBP, respectively, and were used to normalize *G* for each G- $\gamma_{DSS,max}$ pair in Figure 11c. The shear modulus reduced to approximately 0.71 to 0.74 G_{max} at $\gamma_{DSS,max} \approx \gamma_{tp}$ for the TBP and representing the initial soil fabric of the plastic silt deposit to provide a critical observation for calibration of constitutive models. The range in G/G_{max} reduced for the range in γ_{tp} deduced for the SBP as indicated in Figure 11c. Residual excess pore pressure ratios of 10 to 15% correspond to

476 0.25 to $0.50G_{max}$. The upperbound of 0.5 G_{max} appears to result from partial drainage and the 477 corresponding higher stiffness of the silt layer at Element 2, as described above. Further, G reduced 478 to the range of 0.25 to 0.35 G_{max} for shear strains of about 0.23%. Note that $\gamma_{DSS,max}$ computed from 479 observations of the SBP was 0.27% for Blast #28; however, the corresponding shear wave velocity 480 for this blast exhibited a 3D wave-field and was not considered reliable, and was therefore 481 excluded from Fig. 11c. Elements 1 and 2 exhibited drainage during the latter half of the SBP (Fig. 482 7f), and serves to explain the higher G observed for larger shear strains (Figure 11c). This 483 observation is corroborated by the recent centrifuge studies on reconstituted sand reported by 484 Adamidis and Madabhushi (2018) and Ni et al. (2020), as well as the observations of the Sand 485 Array reported by Jana and Stuedlein (2021). Since drainage is unavoidable during earthquakes 486 (Beyzaei et al. 2018, 2019) and owing to the frequency content of the ground motions, the *in-situ* 487 tests reported herein produce realistic soil responses to seismic shaking.

488 **Comparison to Laboratory Test-based G/G**_{max} **Relationships**

489 The strain-controlled, constant-volume, cyclic DSS test results reported by Jana and Stuedlein 490 (2020) allow the estimation of the secant shear modulus reduction with shear strain for comparison 491 to the *in-situ* test results. Bender elements fitted to the DSS loading platens provided the smallstrain shear modulus used to normalize G/G_{max} of the intact specimens consolidated to $\sigma'_{vc} = \sigma'_{v0}$ 492 493 = 106 kPa. The secant shear modulus of each specimen was calculated using the first cycle of the 494 shear stress-shear strain response for unique specimens subjected to a uniform shear strain 495 amplitude. Figure 11c indicates that G degraded to 0.70 G_{max} at a shear strain of 0.01%, similar to 496 the *in-situ* test results and approximately $0.2G_{max}$ at 0.1%, softer than that of the *in-situ* test results. Although good agreement between the DSS and *in-situ* G/G_{max} data is obtained in the nonlinear-497 498 elastic regime ($\gamma_{DSS,max} < 0.01\%$), the differences observed for larger shear strains stems from the

499 increasing role of strain rate-effects associated with the use of a 0.1 Hz loading frequency in the 500 DSS tests and to a lesser degree, apparatus compliance. Due to the difference in the frequency 501 content of the blast-induced far-field SV waves (Fig. 6c) with the DSS testing (f = 0.1 Hz), strain 502 rate corrections were applied to the *in-situ* and laboratory G/G_{max} following the methodology 503 proposed by Vardenga and Bolton (2013). The G/G_{max} data presented in Fig. 11d were corrected 504 for the common earthquake frequency of 1 Hz, considering the strain rate of 0.01/s and assuming 505 a strain rate-effect of 5% per log₁₀ cycle (Vucetic and Tabata 2003; Vardenga and Bolton 2011, 506 2013).

507 Figure 11d also plots the shear modulus reduction curve interpolated from the Vucetic and 508 Dobry (1991) family of G/G_{max} curves for plastic soils for representative PI of 25. The Vucetic 509 and Dobry (1991) curves were based on data that exhibited significant scatter, larger than the 510 scatter associated with measurements of *PI* obtained in the present study. Nonetheless, the Vucetic 511 and Dobry (1991) G/G_{max} curves appear to capture the general trend of the *in-situ* G/G_{max} - $\gamma_{DSS,max}$ 512 observations. Comparison of the *in-situ* test data to the Darendeli (2001) G/G_{max} curve for PI = 30also indicates good agreement; though it is noted that the G/G_{max} curve for PI = 30 is lower than 513 514 PI = 25 curve interpolated from Vucetic and Dobry (1991) and greater than the PI = 28 curve 515 derived by Vardanega and Bolton (2013). Although the variation of G/G_{max} of these various curves 516 varies from one another and were developed based on the limited, existing data, they generally 517 follow the shear modulus degradation observed from the *in-situ* dynamic tests. Moreover, natural 518 variability (Beyzaei et al. 2018), *in-situ* pore pressure migration (Adamidis and Madabhushi 2018) 519 and strain rate-effects (Vucetic and Tabata 2003; Vardanega and Bolton 2011, 2013) present 520 complications that may need to considered when predicting the *in-situ* dynamic response of silt 521 deposits.

522 Comparison of the Post-cyclic Undrained Shear Strength of Laboratory DSS and 523 In-Situ Tests

524 Following the stress-controlled cyclic loading phase of DSS tests performed on intact 525 specimens, selected specimens were re-centered and monotonically sheared at rate of 5% per hour under constant-volume conditions to evaluate the post-cyclic undrained shear strength, $s_{u,pcy}$. 526 527 Figure 12a illustrates examples of post-cyclic normalized shear stress-shear strain responses of 528 selected specimens with various degrees of cyclic shear-induced maximum excess pore pressure 529 ratio and shear strain magnitude. Since the monotonic shear stress-strain response exhibited strain hardening behavior, the post-cyclic undrained shear strength ratio, $s_{u,pcy}/\sigma'_{vc}$, was set equal to the 530 shear strength mobilized at 15% shear strain (Dahl et al. 2014). The variation of $s_{u,pcy}/\sigma'_{vc}$ with 531 $r_{u,max}$ is shown in Fig. 12b. On average, the static s_u / σ'_{vc} of 0.49 degrades to 0.29 for $r_{u,max} = 85\%$. 532 533 Vane shear tests were conducted within the silt deposit immediately following the Shallow 534 Blast Program. A water- and drilling mud-filled access casing was installed within borehole V-2 535 (Figure 1a) to a depth of approximately 9 m to facilitate execution of the VSTs within the raised 536 excess pore pressure field and prior to substantial dissipation. The two successful post-blast VSTs conducted at depths of 9.64 and 10.14 m exhibited $s_{u,VST}$ equal to 39.3 and 37.8 kPa, respectively, 537 538 associated with $r_{u,max} = 31\%$ and residual excess pore pressure ratios of 12.6 to 17.5%. The $s_{u,VST}/\sigma'_{vc}$ in the silt deposit reduced from an average of 0.57 to approximately 0.39. Figure 12b 539 compares variation of $s_{u,pcv}/\sigma'_{vc}$ with $r_{u,max}$ derived from the *in-situ* and laboratory investigation of 540 541 the overconsolidated, alluvial plastic silt deposit and indicated that the post-blast $s_{u,VST}/\sigma'_{vc}$ follow the general trend determined from the DSS test results. Comparison to the mean $s_{u,pcy}/\sigma'_{vc}$ derived 542 543 from 18 normally-consolidated, reconstituted soils of different plasticity reported by Ajmera et al. (2019) in Figure 12b indicates that the general rate in the reduction in $s_{u,pcv}/\sigma'_{vc}$ with $r_{u,max}$ is 544

similar, though the magnitude of overconsolidation and natural soil fabric contributes to anincreased overall post-cyclic strength.

547 CONCLUDING REMARKS

548 Three controlled blasting experiments were conducted at the Port of Portland to evaluate the in-549 situ, nonlinear-inelastic, coupled fluid-mechanical response of an instrumented plastic silt deposit 550 to form the basis for comparison to laboratory test data derived for the same deposit. Ground 551 motions associated with controlled blasting were characterized to understand the influence of 552 different body wave components on the dynamic soil response. The three blast events described 553 herein were used to construct the relationship between shear strain, excess pore pressure, and shear 554 modulus degradation of the deposit. These *in-situ* dynamic responses are compared with those 555 observed in conventional laboratory tests to identify the similarities and differences in their 556 behavior. Based on the results of the controlled blasting field campaign, the following may be 557 concluded for the alluvial, plastic silt deposit:

Owing to their high frequency nature, the passage of *P-waves* produced elastic excess pore
 pressures and did not produce residual excess pore pressure in the silt;

Near- and far-field *SV* waves components of the ground motions produced the maximum shear
 strain in the silt due to their low frequency content, which lies in the range of earthquake ground
 motions. The maximum particle displacements, shear strains, and corresponding residual
 excess pore pressures generated in the silt are correlated in time and frequency with the low frequency SV-*waves*;

565 3. The *in-situ* test results indicated that the threshold shear strain to enter the nonlinear-elastic 566 constitutive regime, γ_{te} , for the silt deposit ranged from 0.002 to 0.003%;

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4. The threshold shear strain to enter the nonlinear-inelastic constitutive regime, γ_{tp} , and generate excess pore pressure ranged from 0.008 to 0.012% for the initial dynamic loading, similar to that observed in the corresponding strain-controlled cyclic DSS tests. The shear modulus reduced to approximately 0.71 to 0.74 G_{max} upon the triggering of residual excess pore pressure. Subsequent dynamic loading appeared to double the threshold shear strain to generate excess pore pressure indicating a change in the constitutive soil response following dissipation of blast-induced residual excess pore pressures;

5. The *in-situ* test results predict slightly greater excess pore pressure generation in the plastic silt as compared to the laboratory investigation. This observation may point to the role of pore pressure migration governed by the natural system-response. Cyclic elemental tests conducted in the laboratory were unable to capture the redistribution of excess pore pressures *in-situ*;

578 6. Drainage and excess pore pressure migration appears to have contributed to a stiffer large579 strain response than otherwise expected from laboratory-derived shear modulus reduction
580 curves; and,

The trend in post-cyclic undrained shear strength with the maximum excess pore pressure ratio
 derived from DSS test results confirm the observed post-blast *in-situ* vane shear strength.

The experimental controlled blasting technique described herein produced particle velocity amplitudes that ranged from small to large, and resulted in the intended linear-elastic to nonlinearinelastic dynamic response. This technique holds the potential to achieve any desired magnitude of seismic loading using appropriate distributions of charge weights and distances. Controlled blasting can demonstrate the fundamental dynamic response of any kind of soil and at any depth *insitu* and therefore can be leveraged to answer pertinent outstanding questions in the geotechnical earthquake engineering profession.

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603 DECLARATIONS OF INTEREST

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Test Blast Program (TBP)				Deep Blast Program (DBP)				Shallow Blast Program (SBP)			
Detonation sequence and charge location	Time (s)	Depth (m)	Charge weight (gm)	Detonation sequence and charge location	Time (s)	Depth (m)	Charge weight (gm)	Detonation sequence and charge location	Time (s)	Depth (m)	Charge weight (gm)
1: CX	0	6.6	227	1: C1	0	23.14	90	1: C6	0	8.21	90
2: CX	1	8.2	454	2: C10	1	13.69	90	2: C15	1	7.29	90
3: CX	2	10.2	907	3: C1	2	25.27	90	3: C6	2	9.73	90
4: CX	3	12.6	1,814	4: C10	3	23.44	90	4: C15	3	9.73	90
5: CX	4	18.2	454	5: C1	4	26.84	150	5: C6	4	11.97	150
6: CX	5	20.2	907	6: C10	5	26.56	150	6: C15	5	11.59	150
7: CX	6	22.6	1,814	7: C2	6	22.79	228	7: C7	6	7.56	228
8: CX	7	25.7	3,628	8: C9	7	22.64	228	8: C14	7	7.56	228
				9: C2	8	24.70	456	9: C7	8	9.28	456
				10: C9	9	24.22	456	10: C14	9	9.89	456
				11: C2	10	26.59	912	11: C7	10	11.29	912
				12: C9	11	26.59	912	12: C14	11	11.77	912
				13: C3	12	19.91	1824	13: C8	12	5.82	1824
				14: C8	13	19.91	1824	14: C13	13	6.20	1824
				15: C3	14	22.66	1824	15: C8	14	8.65	912
				16: C8	15	22.96	1824	16: C13	15	9.16	912
				17: C3	16	25.87	3648	17: C8	16	11.29	912
				18: C8	17	25.87	3648	18: C13	17	11.39	912
				19: C4	18	19.10	3648	19: C9	18	4.10	456
				20: C7	19	19.10	3648	20: C12	19	4.10	456
				21: C4	20	22.39	2721	21: C9	20	7.76	456
				22: C7	21	22.70	2721	22: C12	21	7.76	456
				23: C4	22	26.04	2721	23: C9	22	11.52	228
				24: C7	23	25.74	2721	24: C12	23	11.88	228
				25: C5	24	23.39	1361	25: C10	24	6.66	150
				26: C6	25	21.25	1361	26: C11	25	6.66	150
				27: C5	26	24.15	1361	27: C10	26	9.40	150
				28: C6	27	24.00	1361	28: C11	27	9.40	150
				29: C5	28	26.53	912	29: C10	28	11.54	150
				30: C6	29	26.53	912	30: C11	29	11.95	150

Table 1 Charge weight, depths, and schedule of detonation comprising the three blast programs(refer to Figs. 1a and 3).

	Test Blast		Shallow Silt Blast	
Element	Threshold shear strain to trigger excess pore pressure,	Residual excess pore pressure ratio,	Threshold shear strain to trigger excess pore pressure,	Residual excess pore pressure ratio,
	γ_{tp} (%)	<i>r_{u,r}</i> (%)	γ_{tp} (%)	<i>r_{u,r}</i> (%)
1	0.012	0.35	0.021	0.24
2	0.008	0.73	0.015	0.84
3	0.016	0.10	0.029	0.37
4	0.011	0.10	0.028	0.37

Table 2. Threshold shear strain to trigger excess pore pressure observed in the blast
programs.

TGP Pair	Borehole	Range in depth (m)	Average V _s prior to TBP (m/s)	Average V _s prior to SBP (m/s)
S3 and S4	B-6	9.0 to 10.2	125	151
S4 and S5	B-6	10.2 to 11.5	126	137
S3 and S5	B-6	9.0 to 11.5	126	139
S6 and S7	B-4	9.0 to 10.2	119	124
S7 and S8	B-4	10.2 to 11.4	154	137
S6 and S8	B-4	9.0 to 11.2	136	131

Table 3 Downhole small-strain shear wave velocity of the Silt Array prior to the Testand Shallow Blast Programs .



- Triaxial Geophone Package (TGP) in Grouted Borehole
- Pore Pressure Transducer in Grouted Borehole
- O Blast Casing in Grouted Borehole
- Inclinometer with Sondex Rings Casing in Grouted Borehole
- Vane Shear Testing Location in Open Borehole
- △ Cone Penetration Test (CPTu)

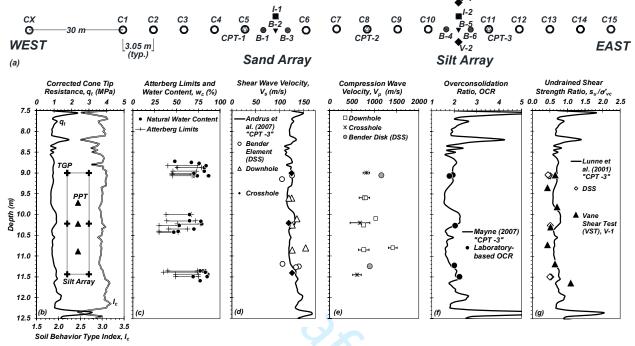


Figure 1. Test site and subsurface conditions: (a) site and exploration plan indicating blast casings and instruments comprising the Sand and Silt Arrays, (b) cone tip resistance and soil behavior type index (CPT-3), (c) natural water content and Atterberg limits, (d) comparison of *in-situ* shear wave and (e) compression wave velocity measurements with those corresponding to intact DSS test specimens, (f) overconsolidation ratio and (g) undrained shear strength ratio and their correlations to the CPT (site-specific $N_k = 10$; Lunne et al. 2001; modified from Jana et al. 2021 with permission © ASTM International).

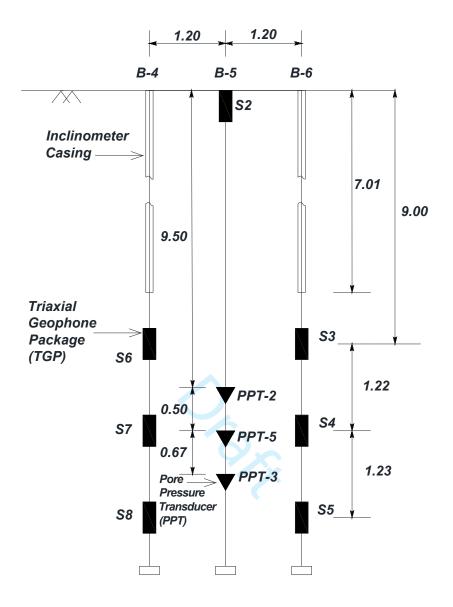


Figure 2. Elevation view of the Silt array comprising various instruments, including triaxial geophone packages (TGP), pore pressure transducers (PPT), and inclinometer casing. Inclinometer casing with sondex rings, I-2, not shown here for clarity (all units in m; modified from Jana et al. 2021 with permission © ASTM International).

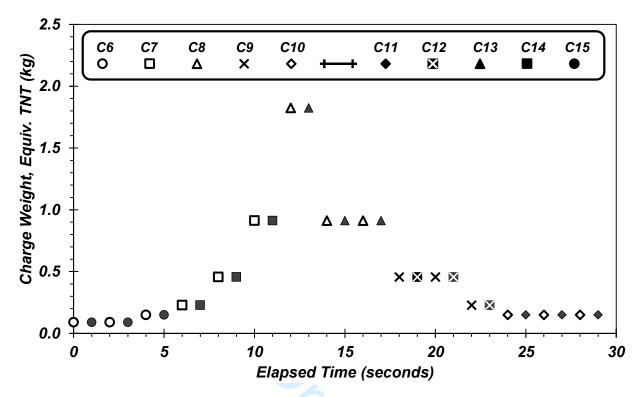


Figure 3. Charge weight detonation time history conducted during the Shallow Blast program (SBP) indicating their distribution within blast casings C6 through C15 (compare to Fig. 1a and Table 1).

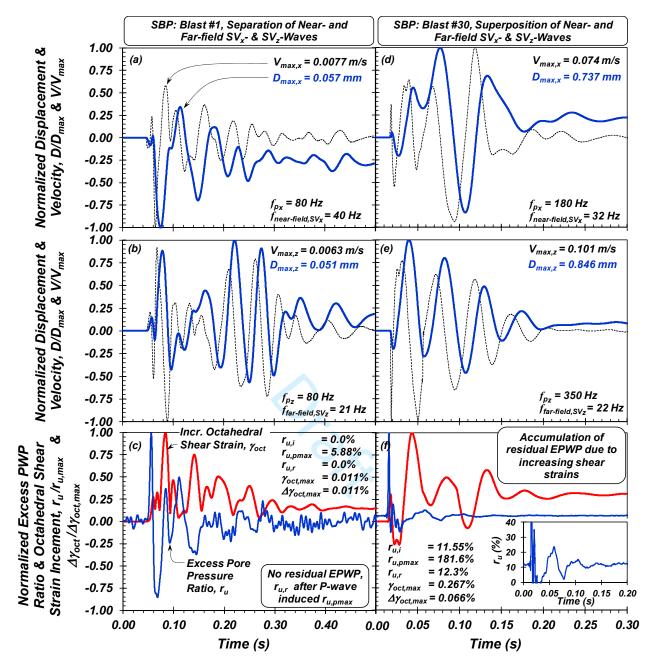


Figure 4. Normalized velocity and displacement in the (a) horizontal and (b) vertical direction for a 90 gram charge detonated at a distance 16.5 m from TGP S3, and (c) corresponding normalized octahedral shear strain increment and excess pore pressure ratio in Element 1, (d) normalized velocity and displacement in the (d) horizontal and (e) vertical direction for a 150 gram charge detonated at a distance 3.5 m from TGP S3, and (f) corresponding normalized octahedral shear strain increment and excess pore pressure ratio in Element 1.

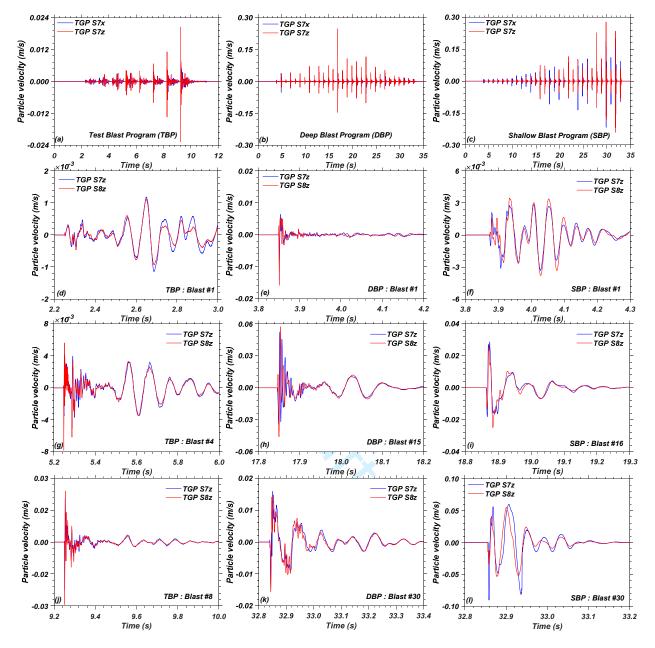


Figure 5. Examples of particle velocity time histories recorded in TGP 7 during the: (a) Test Blast Program (TBP), (b) Deep Blast Program (DBP), and (c) Shallow Blast Program (SBP); and comparison of the body wave amplitudes and phases of two vertically-separated geophones located within the same borehole: (d) TBP Blast #1, (e) DBP Blast #1, (f) SBP Blast #1, (g) TBP Blast #4, (h) DBP Blast #15, (i) SBP Blast #16, (j) TBP Blast #8, (k) DBP Blast #30, and (l) SBP Blast #30.

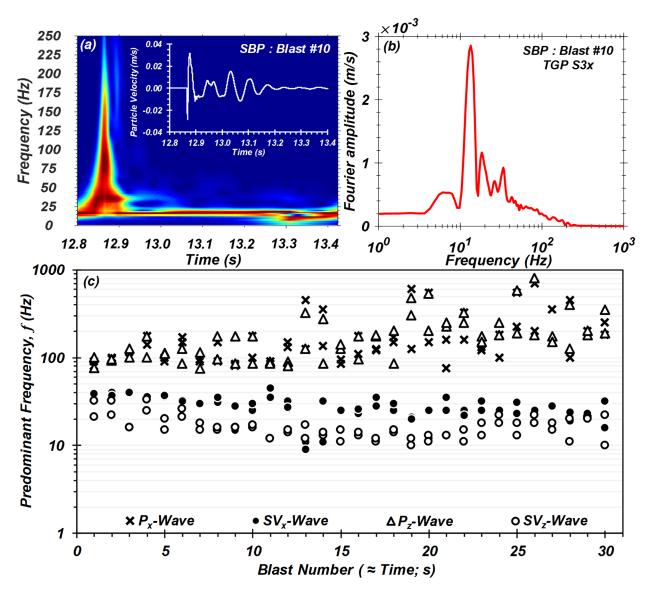


Figure 6. Frequency content of blast-induced ground motions: (a) normalized Stockwell spectrogram showing variation of frequency content of the body wave components over time, (b) Fourier amplitude spectrum of particle velocity for TGP S3x during SBP Blast #10, and (c) variation of predominant frequency of *P-wave*, *SV_x-wave* (near-field) and *SV_z-wave* (far-field) during the Shallow Blast Program from two representative TGPs.

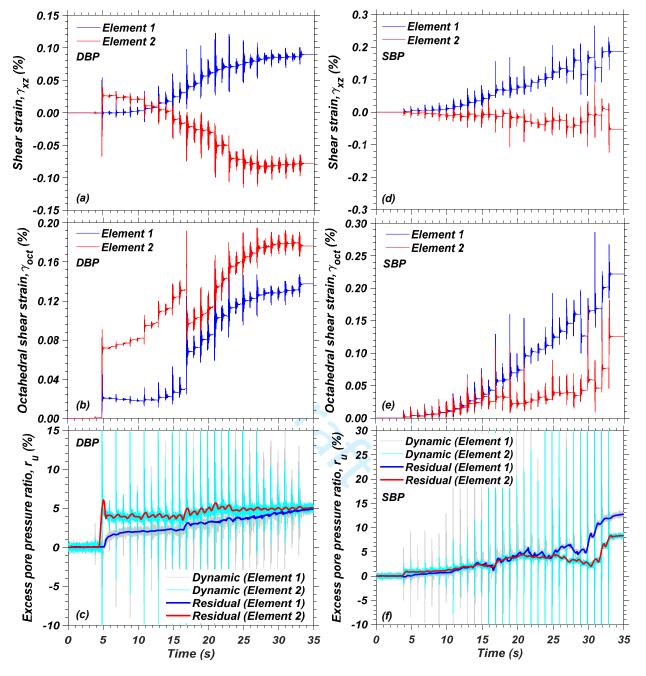


Figure 7. Dynamic response of the Silt Array including the variation of the: (a) Cauchy shear strain, γ_{xz} , (b) octahedral shear strain, γ_{oct} , and (c) excess pore pressure ratio, r_u , time histories for the Deep Blast Program, and the: (d) γ_{xz} , (e) γ_{oct} , and (f) r_u time histories for Shallow Blast Program.

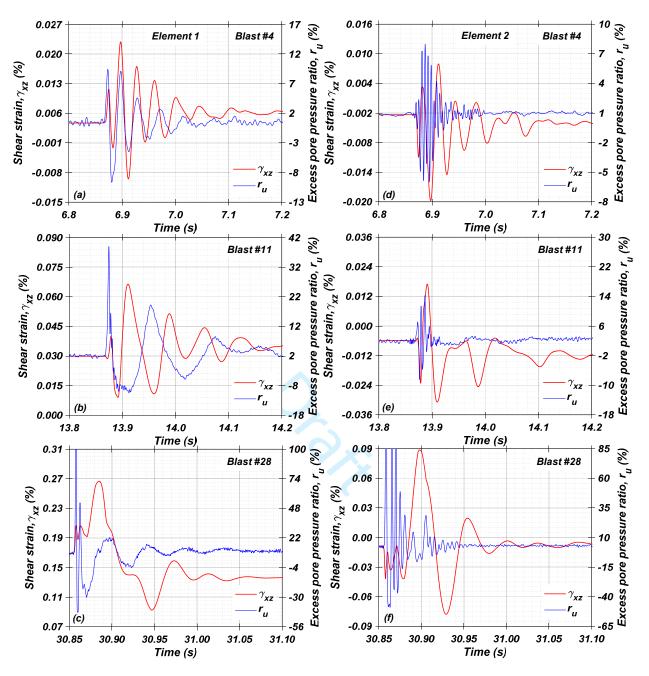


Figure 8. Example of Cauchy shear strain, γ_{xz} and corresponding excess pore pressure ratio, r_u , time histories observed in the Silt Array at Elements 1 and 2 for the various charge detonations during the Shallow Blast Program: (a) – (c) Element 1 (PPT-2), (d) – (e) Element 2 (PPT-3).

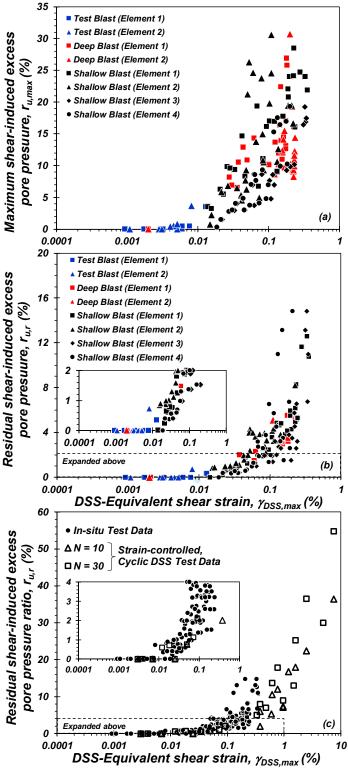


Figure 9. Variation of excess pore pressure, r_u , and maximum DSS-equivalent shear strain, $\gamma_{DSS,max}$, deduced for the Silt Array during the Test (TBP), Deep (DBP), and Shallow Blast Programs (SBP): (a) maximum shear-induced excess pore pressure ratio, $r_{u,max}$, and (b) residual shear-induced excess pore pressure ratio, $r_{u,r}$, with $\gamma_{DSS,max}$, and (c) comparison of $r_{u,r}$ from *in-situ* tests and intact DSS test specimens.

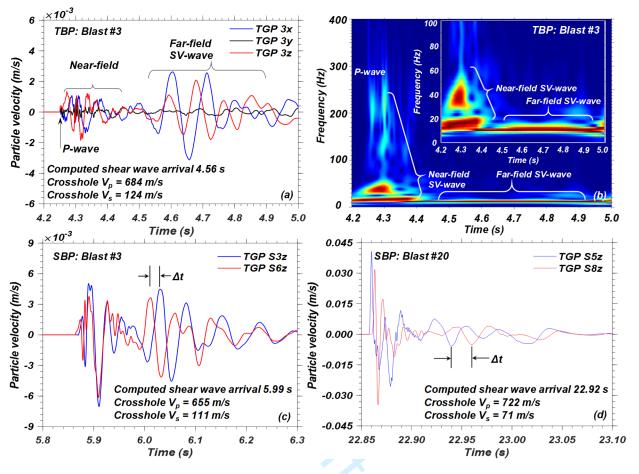


Figure 10. Body wave component identification and velocities: (a) example of an orthogonal, three-component velocity time history (TGP S3, #3) and (b) corresponding normalized Stockwell spectrogram (TGP S3x); and comparison of the vertical body wave amplitudes and phases of two horizontally-separated geophones: (c) SBP Blast #3 observed in TGPs 3 and 6, and (d) SBP Blast #20 observed in TGPs 5 and 8.

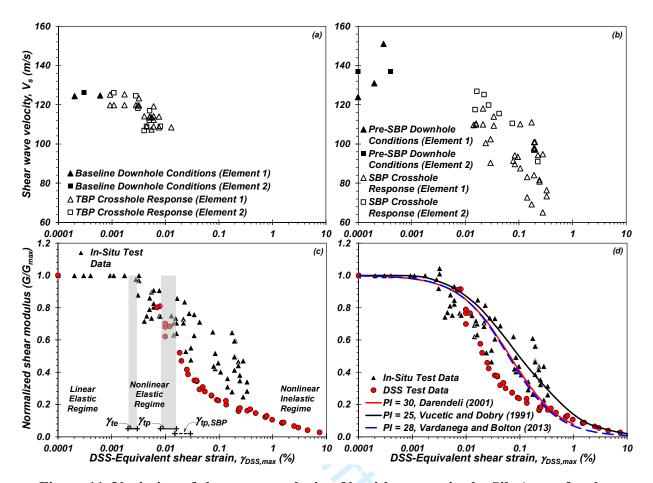


Figure 11. Variation of shear wave velocity, V_s , with $\gamma_{DSS,max}$ in the Silt Array for the: (a) Test Blast Program (TBP), and (b) Shallow Blast Program (SBP); and, comparison of the: (c) measured *in-situ* shear modulus degradation and strain-controlled DSS test data for intact specimens (N = 1) with $\gamma_{DSS,max}$ and threshold shear strains identified, and (d) strain rate-corrected G/G_{max} with $\gamma_{DSS,max}(f = 1 \text{ Hz})$.

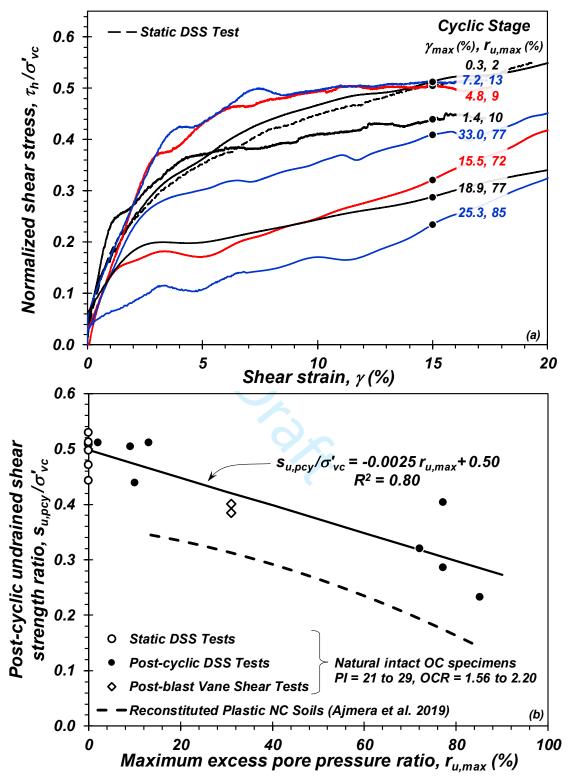


Figure 12. Effect of excess pore pressures on undrained shear strength: (a) normalized, post-cyclic, constant-volume, monotonic shear stress-shear strain response from DSS tests on natural, intact specimens, and (b) variation of post-cyclic DSS and post-blast vane shear test normalized undrained shear strength ratio with cyclic and blast-induced, *in-shear* maximum excess pore pressure ratio.

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