

Easterly waves in the East Pacific during the OTREC 2019 field campaign

Lidia Huaman*

Texas A&M University, Department of Atmospheric Sciences, College Station, Texas

Eric D. Maloney

Colorado State University, Department of Atmospheric Science, Fort Collins, Colorado

Courtney Schumacher

Texas A&M University, Department of Atmospheric Sciences, College Station, Texas

George N. Kiladis

Physical Sciences Division, NOAA/Earth System Research Laboratory, Boulder, Colorado

*Corresponding author address: Lidia Huaman, Texas A&M University, Department of Atmospheric Sciences College Station, Texas

E-mail: lidiana.huaman@tamu.edu

ABSTRACT

13 Easterly waves (EWs) are off-equatorial **tropical** synoptic disturbances with
14 a westward phase speed between 11-14 m s⁻¹. Over the East Pacific in boreal
15 summer, the combination of EWs and other synoptic disturbances, plus local
16 mechanisms **associated with** sea surface temperature (SST) gradients, define
17 the climatological structure of the Intertropical Convergence Zone (ITCZ).
18 The East Pacific ITCZ has both deep and shallow convection that is linked to
19 deep and shallow meridional circulations, respectively. The deep convection
20 is located around 9°N over warm SSTs. The shallow convection is located
21 around 6°N and is driven by the meridional SST gradient south of the ITCZ.
22 This study aims to document the interaction between east Pacific EWs and the
23 deep and shallow meridional circulations during the Organization of Tropical
24 East Pacific Convection (OTREC) field campaign in 2019 using field cam-
25 paign observations, ERA5 reanalysis, and satellite precipitation. We identi-
26 fied three EWs during the OTREC period using precipitation and dynamical
27 fields. Composite **analysis shows that the** convectively active part of the EW
28 enhances the ITCZ deep circulation and is associated with an export of col-
29 umn integrated moist static energy (MSE) by vertical advection. The sub-
30 sequent convectively suppressed, anticyclonic part of the EW enhances the
31 shallow circulation and the shallow overturning flow at 850 hPa. Horizontal
32 moisture advection associated with the EWs' anticyclonic circulation supports
33 **stronger and slightly deeper** shallow convection over the southern part of the
34 ITCZ **and associated** column integrated MSE import by vertical advection.
35 **Therefore, EWs appear to strongly modulate shallow and deep circulations in**
36 **the East Pacific ITCZ.**

37 1. Introduction

38 Easterly waves (EWs) are prominent synoptic (i.e., 2 to 10 day period) features in the Pacific
39 Intertropical Convergence Zone (ITCZ) with westward phase speeds between 11 - 14 m s⁻¹ (Serra
40 et al. 2008). EWs commonly serve as precursors to tropical cyclones and hurricanes in the East
41 Pacific (Pasch et al. 2009; Serra et al. 2010) and are associated with 25 to 40% of the deep con-
42 vective clouds and produce up to 50% of the seasonal precipitation over the far East Pacific during
43 boreal summer (Dominguez et al. 2020). Thus, EWs impact both the weather and climate of the
44 East Pacific ITCZ.

45 EWs are found in the Caribbean Sea (Riehl 1954), the western and central Pacific (Reed and
46 Recker 1971; Reed and Johnson 1974), the eastern Atlantic and West Africa (Reed et al. 1977; Ki-
47 ladis et al. 2006; Berry et al. 2007; Janiga and Thorncroft 2013; Gomes et al. 2019), and the East
48 Pacific (Tai and Ogura 1987; Raymond et al. 1998; Zehnder et al. 1999; Serra et al. 2008; Rydbeck
49 et al. 2017). In the East Pacific, EWs are consistent with Riehl's classical "inverted trough" model
50 (Riehl 1954). In this model, positive specific humidity anomalies are concentrated in the lower
51 troposphere in advance of the trough axis and deepen within and behind the trough where en-
52 hanced convection and column-integrated moisture anomalies are favored. The maximum vertical
53 component of vorticity is located between 700 and 600 hPa and EW wavelengths range between
54 4200 and 5900 km. Ahead of the wave (i.e., west of the trough axis), the planetary boundary layer
55 (PBL) is warm and moist, and northerly winds are predominant. Behind the wave (i.e., east of
56 the trough axis), the PBL is cold and dry and dominated by southerly winds. Serra et al. (2010)
57 showed that while some East Pacific EWs originate from Atlantic disturbances east of 70°W, oth-
58 ers are generated locally in the Caribbean and East Pacific. Additionally, it is important to mention
59 that the EWs predominate over warm SST regions and notable mean meridional humidity gradi-

60 ents. Rydbeck and Maloney (2015) showed that anomalous meridional winds acting on the mean
61 meridional moisture gradient of the ITCZ produce moisture anomalies that enhance convection in
62 the though side of the EW. The orientation of EWs is generally southwest-northeast, which helps
63 an EW maintain kinetic energy through barotropic conversion in the presence of a meridionally
64 sheared zonal flow (Rydbeck and Maloney 2014; Rennick 1976; Thorncroft and Hoskins 1994).

65 EWs are important features of the East Pacific ITCZ. The net effect of many synoptic-scale
66 disturbances, such as EWs and convectively coupled equatorial waves (CCEWs; Kiladis et al.
67 2009; Serra et al. 2014; Huaman et al. 2020), plus local mechanisms like low-level convergence
68 produced by strong meridional sea surface temperature (SST) gradients (Lindzen and Nigam 1987;
69 Back and Bretherton 2009), define the climatological structure of the ITCZ. The mean vertical
70 structure of the East Pacific ITCZ has been studied in some detail. Back and Bretherton (2006)
71 showed maximum vertical motion at 850 hPa based on reanalysis fields that was associated with
72 an import of moist static energy (MSE) through vertical advection. However, a second vertical
73 motion peak aloft was observed using two months of data from the East Pacific Investigation of
74 Climate Processes in the Coupled Ocean – Atmosphere System (EPIC-2001) field campaign and
75 satellite data (Zhang et al. 2004, 2008; Huaman and Takahashi 2016). Additionally, Huaman and
76 Schumacher (2018) used 16 years of CloudSat and Tropical Rainfall Measuring Mission (TRMM)
77 satellite data to demonstrate that two peaks of latent heating associated with deep and shallow
78 convection are apparent in this region and linked to deep and shallow meridional circulations.
79 They also found that the vertical structure of the ITCZ is tilted meridionally; shallow convection
80 occurs around 6°N in the southern part of the ITCZ, and transitions to deep convection around 9°N
81 in the northern part of the ITCZ .

82 Most of the studies in the East Pacific have relied on reanalyses to describe the three-dimensional
83 structure of EWs. The lack of direct observations in the East Pacific causes reanalysis datasets to

84 rely heavily on model physical parameterizations, supporting the need for targeted field cam-
85 paigns. Serra and Houze (2002) used the Tropical Eastern Pacific Process Study (TEPPS-1997)
86 research cruise dataset to study synoptic-scale convection and found that EWs are prominent con-
87 vective features during boreal summer. Petersen et al. (2003) used the EPIC-2001 field campaign
88 dataset to study EWs, revealing their thermodynamic characteristics and four-dimensional pre-
89 cipitation structure using shipborne C-band, Doppler radar data. The Organization of Tropical
90 East Pacific Convection (OTREC) is the latest field campaign over the East Pacific and took place
91 from 4 August to 2 October 2019 (Fuchs-Stone et al. 2020). OTREC goals were to determine
92 the large-scale environmental factors that control convection over the tropical oceans and to char-
93 acterize the interaction of convection with tropical disturbances, especially EWs. OTREC used
94 the NSF/NCAR Gulfstream V aircraft to survey the East Pacific and deploy gridded patterns of
95 dropsondes from a high altitude (i.e., 13 km) to characterize the large-scale environmental state
96 and integrated effects of convection. The aircraft also provided profiles of radar reflectivity with a
97 W-band radar.

98 Figure 1 shows the mean precipitation from the Integrated Multi-satellitE Retrievals for Global
99 Precipitation Measurement (IMERG) dataset and mean SST from the Operational Sea Surface
100 Temperature and Sea Ice Analysis (OSTIA) (left panel) and a cross section of vertical motion
101 and flow in the meridional plane over the far East Pacific from ERA5 reanalysis (right panel)
102 for August-September 2019, a period approximately corresponding to the OTREC field campaign
103 period. Section 2 describes the precipitation and reanalysis data sets in more detail. The OTREC
104 field campaign was held in the far East Pacific in a box delineated approximately by 89° - 86° W and
105 0° - 13° N (indicated by the blue rectangle in Fig. 1a); this will hereafter be called the OTREC region
106 box. Over the OTREC region box the maximum precipitation (Fig. 1a) was located around 9° N
107 over a weak SST gradient (i.e., the East Pacific warm pool) and precipitation extended towards

108 this region from the Colombian coast (Toma and Webster 2010a,b). A strong meridional SST
109 gradient was seen south of the precipitation maximum, with coldest SSTs south of the equator
110 (i.e., the East Pacific cold tongue). The vertical motion cross section (Fig. 1b) shows shallow
111 and deep vertical motion peaks associated with differing convective profiles that are linked to
112 shallow and deep circulations, respectively. Jaramillo et al. (2017) showed that the deep convection
113 located around 8°N over warmer SSTs is associated with mesoscale convective systems (MCSs),
114 while the shallow vertical motion peak at 6°N has been shown to be driven by the strong SST
115 meridional gradient and associated low-level convergence (Lindzen and Nigam 1987) that forms
116 shallow cloud structures with light precipitation (Huaman and Schumacher 2018). The shallow
117 structures likely do not evolve into deep structures because of the cooler SST and dry upper-level
118 air in that region (Zuidema et al. 2006).

119 Most previous studies about the vertical structure of the East Pacific ITCZ and associated cir-
120 culations have been focused on seasonal scales, but synoptic variations of the deep and shallow
121 circulations have not yet been examined in detail. Further, previous analyses were limited to re-
122 analysis data and satellite retrievals (e.g., Back and Bretherton 2006; Handlos and Back 2014;
123 Huaman and Takahashi 2016; Huaman and Schumacher 2018) because of the lack of observations
124 in the East Pacific. In this study, we aim to 1) characterize the synoptic variability in the East
125 Pacific during the OTREC 2019 field campaign, providing useful large-scale context for more
126 specialized studies in this region, and 2) understand how this synoptic variability influences con-
127 vection and deep and shallow circulations in the East Pacific ITCZ during OTREC by modulating
128 the moisture and MSE fields. The modulation of shallow and deep meridional circulations associ-
129 ated with the passage of EWs will be assessed using ERA5 reanalysis fields, satellite precipitation,
130 and OTREC field campaign data. Thompson et al. (1979) stated that shallow clouds were found
131 to be abundant near the EW ridge, whereas detrainment from both deep and mid-level cumulus

clouds dominated in the wave trough. Therefore, we hypothesize that shallow clouds near the EW ridge are linked to an intensification of shallow circulation, and deeper clouds in the wave trough are associated with a stronger deep circulation. This article is organized as follows: Section 2 presents the data and methods. Section 3 describes the synoptic variability and the horizontal and vertical structure of EWs, followed by the moisture budget of the EWs in Section 4. The interaction of EWs and the shallow and deep meridional circulations are presented in Section 5, and a summary and conclusions are provided in Section 6.

2. Data and methods

a. Data description

We used hourly data from the ERA5 reanalysis (Hersbach and Dee 2016) with a horizontal grid spacing of 0.25° and 37 pressure levels. The hourly ERA5 data were averaged to daily data. The variables from ERA5 used in this study include horizontal and vertical winds, specific humidity, temperature, and precipitation during the OTREC period (August 5 - October 3 of 2019).

Daily precipitation retrievals from the Global Precipitation Measurement (GPM) mission (Hou et al. 2014) were also used. IMERG is a unified satellite precipitation dataset produced by NASA to estimate surface precipitation over most of the globe (Huffman et al. 2015). Precipitation estimates from the GPM core satellite are used to calibrate precipitation estimates from microwave and infrared sensors on other satellites. After merging the estimates from multiple satellites, surface precipitation maps are produced at 0.1° horizontal resolution in the IMERG product.

We also used OTREC dropsondes from the NSF/NCAR Gulfstream V aircraft. Flight operations for OTREC took place from 5 August to 3 October 2019. While other regions were also sampled, twelve research flights (RFs) were performed over an East Pacific OTREC flight box (89° - 86° W,

154 3° - 11°N), a slightly smaller area than the OTREC region delineated in Fig. 1a. Each flight
155 lasted six hours, starting in the southern part of the box at 12 UTC and reaching the northern
156 part at 18 UTC. The flight pattern is shown in Figure 1 of Fuchs-Stone et al. (2020). Around
157 32 dropsondes were deployed during each flight from an altitude near 13 km. The dropsondes
158 collected measurements of horizontal winds, temperature, and humidity between the aircraft and
159 the surface with vertical resolution of around 0.5 hPa. We linearly interpolated the data to a
160 resolution of 20 hPa. As part of the OTREC field campaign, radiosondes in Santa Cruz, Costa
161 Rica (10.26°N, 85.58°W) were also launched between 20 August and 30 September 2019, at 00
162 and 12 UTC (6 am and 6 pm local time, respectively). OTREC dropsonde and sounding data were
163 sent to the Global Telecommunication System (GTS) and ERA5 reanalysis assimilated these data.

164 In addition to dropsondes, we utilized observations from the Hiaper cloud radar (HCR) installed
165 on the NSF/NCAR Gulfstream V aircraft (Rauber et al. 2017). HCR is a polarimetric, millimeter-
166 wavelength (W-band) radar that can detect light rain and ice and liquid clouds. It collects reflec-
167 tivity and Doppler radial velocity measurements, which at a vertical incident angle include the
168 vertical wind speed and particle fall speed. The aircraft flies at an average ground speed of 190
169 m s⁻¹, with a radar sampling rate of 0.1 s. All OTREC datasets were processed by the National
170 Center of Atmospheric Research (NCAR, Vömel et al. 2020).

171 Additionally, Geostationary Operational Environmental Satellite (GOES)-16 images were used
172 to complement OTREC dataset. GOES-16 is a current geostationary satellite operated by NOAA
173 and NASA and provides 16 spectral bands including 10 infrared (IR) channels. This study used
174 the GOES-16 longwave IR channel 14 with a 6 km resolution. GOES-16 images were processed
175 by NCAR/EOL and are available at <http://catalog.eol.ucar.edu/maps/otrec>.

176 *b. Identification of EWs*

177 The ERA5 and IMERG anomaly values used in the identification of the EWs were calculated
178 by removing the first three harmonics of the seasonal cycle based on the climatology between
179 1998 and 2018. Additionally, the OTREC period average was removed in order to **eliminate**
180 any decadal **or** interannual signal that may **have occurred** during this period. EWs during the
181 OTREC campaign were identified as follows. First, precipitation anomalies **and dynamical fields**
182 were filtered using a fast Fourier technique retaining wavenumbers between -20 to 0 and periods
183 between 2.5 and 10 days corresponding to EWs. This filtered domain **band** is also referred to as
184 tropical depression (TD) type disturbance region (Frank and Roundy 2006). Although this region
185 of wavenumber-frequency space includes both TD-type disturbances and mixed Rossby-gravity
186 (MRG) waves (Yokoyama and Takayabu 2012), we are confident that the features we **derived** are
187 EWs since the horizontal structure of winds and vorticity for each event **are also** consistent with
188 previous EW studies. Additionally, we used an extended time period **for this calculation** (from
189 June to November 2019) to minimize edge effects and **ensure no data loss due to filtering** for the
190 OTREC period.

191 We calculated the total precipitation and TD-band precipitation anomaly averaged over the
192 OTREC flight box (89° - 86° W, 3° - 11° N) and identified potential **convectively-active** EW events
193 when the total precipitation and TD-band precipitation anomaly were larger than the mean + 1.25
194 standard deviation. **While we begin our identification of EWs with strong filtered precipitation sig-**
195 **nals in the East Pacific ITCZ**, the potential EWs defined on the basis of precipitation were checked
196 to ensure they **were** also accompanied by strong **filtered** vorticity and meridional wind signals at
197 600 and 700 hPa that resemble EWs and not other westward propagating disturbances such as
198 MRG waves that have a similar phase speed but a different dynamical horizontal structure. In

199 particular, the convectively-active EWs selected had horizontal structures similar to those studied
200 by Serra et al. (2008) and Rydbeck and Maloney (2015). Additionally, we ensured that the wave
201 life cycle lasted more than two days as in Hodges (1995, 1999).

202 Figure 2 shows Hovmöller diagrams of total and EW filtered anomalies for precipitation, 600-
203 hPa vorticity, and 600-hPa meridional wind in the OTREC flight box. The 700-hPa Hovmöller
204 diagrams look generally similar to the 600-hPa Hovmöller, and are not shown. Based on the
205 criteria discussed above, we identified three convectively-active EWs in the Hovmöller precipita-
206 tion diagram accompanied by strong vorticity and meridional wind signals that propagated from
207 southwest to northwest. EWs 1 and 3 produced enhanced precipitation in the OTREC region on
208 7 August and 17 September, respectively, associated with positive vorticity anomalies at 600 hPa.
209 Southerly wind anomalies at 600 hPa were seen the next day. EW 2 produced enhanced precipita-
210 tion in the OTREC region on 15 August, and the strongest vorticity and southerly wind anomalies
211 were seen at 700 hPa (not shown). EWs 1 and 2 showed strong signals over the Caribbean at
212 day -1 and seemed to pass from the Caribbean to the East Pacific, although EW 3 appeared to
213 be generated in the East Pacific (not shown). Although vorticity and meridional winds displayed
214 westward propagation during the last two weeks of September, these propagating features did not
215 have a strong reflection in precipitation, and hence are not analyzed further here.

216 The total precipitation and TD-band precipitation anomaly time series over the OTREC flight
217 box are shown in Fig. 3. The convectively-active EWs identified had a strong positive precipitation
218 peak ($> 17 \text{ m d}^{-1}$), accompanied by cyclonic vorticity anomalies as seen in Fig. 2, followed
219 by suppressed precipitation two days later. There were two additional events that exceeded our
220 1.25 deviation standard threshold but, based on Fig. 2, were not analyzed further due to the lack
221 of vorticity and meridional wind signals (9 September) or unclear precipitation propagation (25
222 September). Two OTREC RFs coincided with the passage of the EWs we identified. The first

OTREC RF, on 7 August, corresponded to a day with enhanced precipitation associated with the trough of the EW. The other OTREC RF, on 17 August, corresponded to a day with suppressed precipitation associated with the ridge of the EW. Although OTREC RFs only partially captured two EWs, ERA5 data was employed to study the three EWs previously identified with the IMERG data. ERA5 assimilated all available dropsondes and intensive radiosonde operations occurred in Costa Rica and Colombia during OTREC that provided further constraints on the reanalysis fields for all EWs examined.

3. Horizontal and vertical structure of the OTREC EWs

a. Composite EW structure

Figures 4 and 5 show the composite precipitation during the passage of the three EWs. Precipitation at day 0 (Figs. 4a, 5c) corresponds to the precipitation averaged on 7 August, 15 August and 17 September, when precipitation peaks in the OTREC region, and day +2 (Figs. 4b, 5h) represents the suppressed precipitation phase two days later. On day 0, enhanced precipitation associated with the convective part of the EW was seen over the far East Pacific ITCZ centered over the OTREC box. At day +2, the enhanced precipitation associated with the EW propagated northwestward along the coast to 105°W, 17°N, while precipitation became suppressed in the OTREC box. This northwestward propagation is confirmed in Fig. 5. The mean zonal phase speed of the three EWs was estimated from the longitude-time diagram of composite precipitation for the latitude range 3°-11°N, which corresponds to the OTREC flight box latitudes (Fig. 4c). Composite precipitation associated with the EWs propagated westward at about 11.5 m s⁻¹ between 80° and 115°W, although it was slower near 100°W. The zonal propagation of precipitation was seen both

244 in the total precipitation (Fig. 4c) and precipitation anomalies (Fig. 4d), although it should be
245 noted that there **was** also substantial meridional propagation (not shown).

246 Figure 5 shows the composite horizontal structure of **EW** precipitation anomalies and 600-hPa
247 horizontal wind anomalies and the north-south cross sections of vertical velocity and meridional
248 motion in the OTREC box from day -2 to day +3. We used **vertical velocity** as a proxy for
249 convective strength. Weak vertical velocities (**$> -0.1 \text{ m s}^{-1}$**) **were** seen at 90°W , 9°N especially
250 at low levels (**below 600 hPa**) due to the cold SSTs **in this** region (i.e., the Costa Rica dome, Xie
251 et al. 2005) that inhibit deep convection. At day -2 (Figs. 5a,d), positive precipitation anomalies of
252 about 10 mm d^{-1} **were** located in the ITCZ axis, with generally easterly 600-hPa wind anomalies.
253 **Over the OTREC box**, the vertical motion cross section suggests shallow and deep convection
254 similar to the August-September average cross section in Fig. 1b. Shallow convection associated
255 with a shallow meridional circulation **was located** at 850 hPa and 6°N , while deep convective
256 vertical motion associated with a deep meridional circulation **was located** at 300 hPa and 8°N .

257 At day -1 (Figs. 5b,e), enhanced precipitation (with anomalies larger than 30 mm d^{-1}) associated
258 with **the EW trough (i.e., the center of maximum vorticity) was greatest** at 80°W , **slightly east of**
259 **the OTREC box**. Over the OTREC box, ahead of **the EW trough**, the northerly flow at 850 hPa
260 associated with the shallow circulation weakened **from 4 m s^{-1} at day -2 to 2 m s^{-1} at day -1**
261 and the region of shallow vertical motion moved northward toward the region of deep convection.
262 **The trough of the EW was associated with a transition of the shallow convection structure over**
263 **the OTREC box to a deep convective structure**. Additionally, the anomalous wind field at 600 hPa
264 indicated a strengthening of the Caribbean low-level jet (CLLJ) **during the passage of EWs with**
265 **easterly zonal wind anomalies around 5 m s^{-1}** located near 15°N , 75°W that maximized at 925
266 hPa (**not shown**) and **extended upward** to 600 hPa (Martin and Schumacher 2011; Poveda et al.
267 2014; Rapp et al. 2014; Whitaker and Maloney 2018). **The cyclonic circulation of EWs has been**

268 associated with strengthening of the CLLJ easterly flow at 850 hPa (Molinari and Vollaro 2000),
269 which can then penetrate from the Caribbean into the Pacific through a gap in the mountains as
270 the Papagayo jet (Shapiro 1986; Molinari et al. 1997). The variations of the CLLJ during OTREC
271 are consistent with Whitaker and Maloney (2020) who showed the strengthening of the CLLJ
272 and Papagayo jet during the passage of an individual EW in the East Pacific. However, further
273 examination of the interactions between the CLLJ and EWs is outside the scope of this study.

274 At day 0 (Figs. 5c,f), the enhanced precipitation associated with the EW trough was located at
275 90°W, next to the OTREC box. The horizontal winds at 600 hPa were characterized by anomalous
276 cyclonic rotation and positive midlevel vorticity that supported deep convection and enhanced
277 precipitation. Raymond et al. (2014) used observational data over the tropics to show that mid-
278 level vortices modify the virtual temperature profile (i.e., cooler below the mid-level vortex and
279 warmer above) to create low-level instability that fosters strong low-level convergence and subsequent
280 deep convection. Over the OTREC box, the deep circulation was dominant compared to the
281 shallow circulation. The vertical velocity peak was centered at 400 hPa and 8°N, indicating a stratiform
282 profile (Schumacher et al. 2004). A weak shallow vertical velocity peak also existed at day
283 0, consistent with the presence of updrafts in convective elements that accompany the stratiform
284 features, as noted in previous studies (Masunaga and Luo 2016).

285 At day +1 (Figs. 5g,j), enhanced precipitation associated with the trough of the EW moved to
286 100°W, a few degrees west of the OTREC box, and the circulation field was oriented southwest -
287 northeast. Over the OTREC box and behind the EW trough center, the vertical motion associated
288 with deep convection was still strong but weaker compared to the previous day, and the shallow
289 circulation was still muted. The deep convection was likely maintained by the enhanced mid-
290 level southerly inflow associated with the cyclonic circulation of the EW that brings horizontal
291 convergence (Huaman and Takahashi 2016; Nolan et al. 2007, 2010). At day +2 (Figs. 5h,k),

the enhanced precipitation associated with the EW trough was centered at 110°W, 15°N, and suppressed precipitation and associated negative vorticity anomalies in the EW ridge (i.e., the center of minimum vorticity) were predominant over the OTREC box. The EW circulation was oriented west-east at day 0, but developed a southwest-northeast tilt on subsequent days. This tilted structure has been argued by previous studies to be associated with vortex stretching and horizontal elongation from southwest to northeast of the dynamical signature of the wave (Rydbeck and Maloney 2015). The cross section over the OTREC box shows suppressed deep convection and a pronounced shallow circulation with a strong overturning circulation at 850 hPa (i.e., meridional winds larger than 7 m s^{-1}) south of 6°N. This shallow circulation became deeper and strengthened at day +3 (Figs. 5i,l). The composite analysis suggests strong modification of the shallow and deep circulations during the passage of EWs, including intensification of the deep circulation at day +0 and shallow circulation at days +2 and +3. All three EWs examined during OTREC have qualitatively similar modulation of the deep and shallow circulations (Figs. S1, S2, and S3). The mechanisms through which the deep and shallow circulations are modulated by the passage of the EWs will be discussed in section 5.

The vertical structure of EWs at the two latitude ranges where the deep and shallow circulations predominated will now be described. Figure 6 shows time-height diagrams of anomalous ERA5 vorticity, specific humidity, meridional wind, and vertical velocity composited for the three EWs over the northern part of the OTREC box (7 - 11°N) where the deep circulation in the ITCZ was dominant (Figs. 6a-d) and the southern part of the OTREC box (3 - 7°N) where the shallow circulation was dominant (Figs. 6e-h). We note that the composite evolution of the EW vertical structure during the OTREC campaign in the northern part of the ITCZ is consistent with Serra et al. (2008).

At days - 2 and -1, ahead of the EW trough, positive vorticity (Fig. 6a), positive humidity anomalies (Fig. 6b), and northerly winds (Fig. 6c) occurred below 600 hPa between 7 - 10°N, with upward vertical motion anomalies throughout the troposphere (Fig. 6d). However, the southern part of the OTREC box (3 - 7°N) was not as strongly impacted by the EWs, and the vorticity (Fig. 6e), meridional wind (Fig. 6g), and upward motion (Fig. 6h) anomalies were weak. At day 0, within the convective part of the EW, positive vorticity and strong upward vertical velocity anomalies occurred throughout the troposphere between 7 - 10°N, with positive specific humidity anomalies above 800 hPa and negative specific humidity anomalies below 800 hPa. The meridional wind anomalies suggest a strengthening of the deep meridional circulation, with intensification of the upper-level (200 hPa) meridional outflow and low to mid-level meridional inflow, especially around 600 hPa where anomalies were up to 5 m s^{-1} . This structure is consistent with convective and stratiform structures in MCSs with deep circulations (Whitaker and Maloney 2020) and in other equatorial disturbances (Kiladis et al. 2009). However, in the southern part of the OTREC box, the vorticity and upward vertical motion anomalies were weak throughout the troposphere at day 0, which suggests only weak impact of EWs on shallow convection and associated circulations at these latitudes. At day +2, behind the trough of the EW, negative vorticity anomalies and downward anomalous vertical motion were seen throughout the troposphere with anomalous northerly winds at mid-levels between 7 - 10°N. In the southern part, positive vorticity anomalies and positive specific humidity anomalies occurred, with strong meridional outflow around 800 hPa characterized by anomalies up to 4 m s^{-1} and shallow upward vertical motion that intensified until day +3, suggesting significant impacts on shallow convection and associated circulations at these latitudes. The vertical structure of the EWs between 7 - 10°N from ERA5 was consistent with the vertical structure of EW 3 derived using OTREC radiosondes from Santa Cruz, Costa Rica (not shown).

339 *b. Individual EWs*

340 We now analyze the individual EW events using the OTREC field campaign observations, sup-
341 plemented by ERA5 and GOES IR imagery. Two RFs occurred during the passage of EWs (Figs.
342 2 and 3). During OTREC RF 1 (7 August), the NSF/NCAR Gulfstream V aircraft flew in the
343 region of enhanced precipitation associated with the trough of EW 1. During OTREC RF 5 (17
344 August), the aircraft flew in the suppressed precipitation associated with the ridge of EW 2.

345 Figure 7 shows the air temperature (red) and dewpoint temperature (blue) profiles at days 0 and
346 +2 at 8°N and 4°N during all three EWs from ERA5 and OTREC. We used OTREC dropsondes
347 from two RFs and used profiles from ERA5 for the other times. ERA5 profiles are similar to the
348 OTREC profiles during the August 7 and August 17 events shown here (Fig. S4). Table 1 shows
349 the lifting condensation level (LCL), convective available potential energy (CAPE), and convective
350 inhibition (CIN) values for each day and latitude.

351 At day 0 and 8°N (Fig. 7a), the soundings showed moist conditions throughout the troposphere
352 associated with the convectively active part of the EWs (Figs. 5c,f). Table 1 indicates that the LCL
353 was around 970 hPa in each EW, CAPE was 1934 J kg⁻¹ for EW 1 and around 1185 J kg⁻¹ for
354 the other two EWs, while CIN was 0 J kg⁻¹ for all EWs. At day +2 (Fig. 7b), when the composite
355 EW trough had moved west and there was no longer a deep meridional circulation (Figs. 5h,k), a
356 shallow moist layer was seen between 1000 and 900 hPa, with drier conditions above, especially
357 during EW 1. The LCL varied between 956 hPa and 975 hPa, making the LCL slightly higher in
358 two of the three EWs (i.e., EW 1 and EW 3) at day +2 compared to day 0. CAPE increased in EW
359 2 and EW 3 at day 2, reaching 2260 J kg⁻¹ in EW 2. This large value indicates that this index is
360 inadequate by itself for detecting the potential for deep convection, as found in other studies (e.g.,

361 Sherwood et al. 2004), since suppressed convection is associated with the ridge of the EW (Fig.
362 5h). CIN remained zero in all three EWs at day +2.

363 At day 0 and 4°N (Fig. 7c), which represented conditions south of the main precipitation area of
364 the convectively-active EW, there was a layer of moist air below 800 hPa, consistent with shallow
365 convection driven by the strong meridional SST gradients (Back and Bretherton 2009). Dry air
366 predominated aloft, especially between 500 and 300 hPa. The temperature profiles also suggested
367 a weak trade wind inversion between 950 and 850 hPa. Table 1 shows that the LCLs were similar
368 to 8°N values but that CAPE was substantially less, with values ranging from 62 to 395 J kg⁻¹,
369 and CIN was strongly negative with values ranging from -36 to -68 J kg⁻¹. At day +2 (Fig.
370 7d), the low-level moist layer extended up to 800 hPa and was capped by a stronger trade wind
371 inversion between 850 and 750 hPa, which was higher than on day 0. Conditions remained dry
372 aloft. LCL heights became higher in EW 1 and EW 3 and CAPE increased in EW 2 and EW 3
373 (similar to the day 0 to day +2 trends at 8°N). CIN was less strongly negative compared to day 0
374 except in EW 3.

375 Figure 7 and Table 1 indicate that the thermodynamic structure variations of the three EWs
376 between days 0 and +2 was qualitatively similar, although the magnitude of the variations showed
377 substantial differences across events. Of note is a larger spread in the dew point profiles at the mid
378 and upper levels than in the temperature profiles, and this difference became more pronounced at
379 4°N. While it could be argued that some of this variability was introduced by only assimilating
380 OTREC dropsondes in a subset of the profiles, the very strong dry air layer during EW 1 exists
381 in the ERA5 profiles two days after the OTREC dropsondes were assimilated so it appears that
382 the ERA5 reanalysis can successfully represent EW structure and its variability to some extent.
383 The OTREC dropsondes launched through cloudy vs clear air may also account for some of this
384 difference.

385 We now examine the convective structures seen by the HCR, the cloud radar installed on the
 386 NSF/NCAR Gulfstream V aircraft. On 7 August, RF 1 sampled a large MCS located in the north-
 387 ern part of the OTREC box (Fig. 8a). In regions of deep convective structures and stratiform
 388 rain regions with a well-defined bright band near an altitude of 4.5 km (Fig. 8c) , the radar was
 389 strongly attenuated in the lower troposphere given the nature of W-band retrievals in deep convec-
 390 tive systems. The southern part of the OTREC box did not show deep convection (Fig. 8b) and
 391 was impacted by very dry mid-level air (Fig. 7c). The HCR observed shallow cumulus clouds with
 392 echo-top heights around 1 km (or 900 hPa) and cirrus clouds near 10 km (Fig. 8d). On 17 August,
 393 RF 3 sampled suppressed convection in the northern part of the OTREC box associated with the
 394 non convective part of the EW that passed through two days before (Fig. 8e). The HCR detected
 395 isolated convective cells with echo-top heights near 6 km (Fig. 8g). In the southern part of the
 396 OTREC box (Fig. 8f), the HCR observed shallow cumulus clouds with echo-top heights at 1.5 km
 397 (or 850 hPa) and cirrus clouds at 10 km. The shallow cumulus extended slightly higher than those
 398 seen during RF1, potentially because of the elevated trade inversion at day +2 (cf. Figs. 7c and d).
 399 Therefore, deep convection in the northern part of the OTREC box and muted shallow convection
 400 in the southern part of the OTREC box dominated at day 0 when the trough center of the EW
 401 was near. Deep convection at day 0 was accompanied by an enhanced deep circulation (Fig. 5f).
 402 Suppressed deep convection in the northern part of the OTREC box and enhanced shallow con-
 403 vection in the southern part of the OTREC box dominated at day +2 with the EW ridge passage.
 404 The shallow convection at day +2 was accompanied by a strong shallow circulation (Fig. 5k). We
 405 performed a separate analysis with radar observations from the GPM satellite over the East Pacific
 406 OTREC box during the three EWs and also found stronger and deeper shallow structures in the
 407 southern part of the ITCZ at day +2 compared to day 0 (not shown).

4. Moisture budget

In this section, the moisture budget is examined to help understand why convection varies as a function of EW phase in climatologically shallow and deep regions. Many previous studies have shown that convection is favored when the lower free troposphere is moist (e.g., Raymond et al. 1998; Holloway and Neelin 2009). Rydbeck and Maloney (2015) further suggest that the distribution of convection within EWs is strongly constrained by the moisture field. The moisture budget is represented as:

$$\left[\frac{\delta q}{\delta t} \right] = -[v_h \cdot \nabla_h q] - \left[\omega \frac{\delta q}{\delta p} \right] + E - P \quad (1)$$

where q is specific humidity, v_h is horizontal wind, ω is vertical velocity, E is evaporation and P is precipitation. The brackets represent the mass-weighted vertical integral from 1000 to 200 hPa. The term on the left-hand side of Eq. (1) represents the vertically integrated specific humidity tendency. The first term on the right-hand side of Eq. (1) is the moisture tendency resulting from horizontal advection. The second term on the right-hand side is the moistening by vertical advection. The third and fourth terms on the right-hand side are the column moisture tendency as a result of surface evaporation and precipitation, respectively. In this study, we do not explicitly examine E because it was previously shown to be of second order importance for determining the EW modulation of convection (Rydbeck and Maloney 2015). Vertical advection minus precipitation has parallels to the sum of vertical advection and radiation in the column-integrated MSE budget, and has been referred to as the “column process” by some studies (e.g., Wolding et al. 2016).

The vertically integrated moisture budget has been used to study regions with large precipitation produced by EWs. For example, Rydbeck and Maloney (2015) showed that anomalous

horizontal advection has large contributions to the positive tendency of column-integrated moisture tendencies ahead of the EW convection, and to negative moisture tendencies behind the EW convection. Ahead of the cyclonic EW center, northeasterly flow advects moist air from the East Pacific warm pool, and behind the cyclonic EW center, southwesterly flow advects dry air from the East Pacific cold tongue. They also examined the difference between the tendency resulting from vertical advection and precipitation, formulated as the residual of the other terms in the budget and sometimes related to the “column process” in the atmospheric science literature. Positive regions of this quantity indicate where anomalous vertical advection is moistening the atmosphere more than anomalous drying by precipitation. Rydbeck and Maloney (2015) showed that while moistening not counteracted by precipitation preferentially occurs ahead of the wave trough, vertical advection minus precipitation is anomalously negative behind the wave trough. The difference between vertical advection and precipitation is substantially smaller than the total moisture tendency, suggesting that horizontal advection is the largest contributor to the positive tendency of column-integrated moisture tendencies ahead of EW convection.

This previous work implies in the context of the current study that shallow, non-precipitating convection might play a moistening role ahead of the wave because of anomalously large low-level moisture convergence and suppressed precipitation. On the other hand, regions of stratiform rain from deep convection with muted low-level moisture convergence and large precipitation might play a drying role behind the wave. Figure 9 shows the composite moisture tendency anomalies resulting from horizontal advection and from vertical advection minus precipitation. We used precipitation from ERA5 in the moisture budget rather than IMERG because of the better physical consistency with the convergence field. The spatial structure of the ERA5 precipitation was generally consistent with IMERG precipitation structure (Fig. 5). However, ERA5 precipitation

452 anomalies are weaker and are up to 20% lower than the IMERG precipitation anomalies within
453 the EW at days -1, 0, and +1 (not shown).

454 At day -1 (Fig. 9a), the enhanced precipitation associated with the EW trough was located
455 at 80°W and ERA5 precipitation (blue contours) showed a zonal band of precipitation at 8°N.
456 While dynamical features resembling an EW at day -1 are generally weak, positive horizontal
457 advection anomalies (shaded) were slightly less than 3 mm d⁻¹ ahead of the wave (i.e., west of
458 the trough axis) and around -5 mm d⁻¹ behind the wave (i.e., east of the trough axis) near the
459 South American coast. The difference between the vertical advection and precipitation (Fig. 9f)
460 indicates anomalous moistening to the northwest (83°W, 10°N) of the convectively-active part of
461 the EW trough. At day 0 (Fig. 5c), enhanced precipitation was associated with the EW trough
462 centered near 90°W, next to the OTREC box. Negative horizontal advection anomalies increased
463 over the southeastern part of this convectively active region (Fig. 9b). The vertical advection
464 and precipitation mostly cancel each other at the EW trough axis (around 90°W, Fig. 9g), but
465 moistening by vertical advection exceeded drying by precipitation ahead of the wave, suggesting a
466 region with large low-level convergence and weak precipitation (i.e., cumulus congestus). Behind
467 the wave, anomalous precipitation exceeded vertical advection, resulting in drying, suggesting
468 a region with anomalously weak low-level convergence and enhanced precipitation (stratiform
469 structures). These horizontal patterns, while noisier given the smaller sample size, are consistent
470 with Rydbeck and Maloney (2015) and propagated westward in association with the EW.

471 At day +1 (Fig. 9c), enhanced circulation and precipitation associated with the EW trough were
472 oriented southwest-northeast. The EW trough was centered at 100°W, with anomalous negative
473 horizontal moisture advection behind the wave trough also oriented southwest-northeast. Over the
474 OTREC box, anomalously negative horizontal moisture advection and 600-hPa southerly winds
475 were predominant, consistent with intrusion of dry air from the equatorial region of the SST cold

476 tongue. The difference between anomalous vertical advection and precipitation (Fig. 9h) suggests
477 moistening by vertical advection exceeded drying by precipitation ahead of the wave. Behind the
478 wave trough, precipitation exceeded vertical advection, resulting in drying. This behavior suggests
479 shallow and stratiform structures ahead of and behind the wave trough, respectively. The stratiform
480 structure behind the wave trough is consistent with Fig. 5j.

481 At days +2 and +3 (Figs. 9d and e), the convectively active part of the southwest-northeast
482 oriented EW was centered around 110°W and 115°W (i.e., the trough), respectively. As on the
483 previous days, anomalous negative horizontal moisture advection was observed behind the wave.
484 Over the OTREC box, the precipitation was suppressed. In the northern part of the OTREC box,
485 anomalous negative horizontal moisture advection predominated, and in the southern part, anomalous
486 positive horizontal moisture advection predominated. Since the EW was tilted with height,
487 the time-height diagram in Fig. 6g can also be interpreted as longitude-height diagram. The hor-
488 izontal flow was mainly northerly at 850 hPa in the southern part and this was the main source
489 of moistening. The structure of the horizontal advection field likely played an important role in
490 regulating local shallow convection and circulation near 4-5°N (Figs. 5h-l, 8h). The difference
491 between the vertical advection and precipitation (Figs. 9i and j) shows that moistening by vertical
492 advection was small over the OTREC box at day +2 and positive around 5°N at day +3, also sug-
493 gesting the importance of shallow convection there (Fig. 8h) for fostering column moistening in
494 addition to horizontal advection.

495 Figures 10a and b show the EW composite time-latitude plots of omega at 400 and 900 hPa
496 that display deep and shallow convective structure evolution, respectively. In the OTREC average
497 (Fig. 1), omega peaks at 400 and 900 hPa were located at 8.5° and 5°N, respectively (dashed
498 lines in Figs. 10a and b). The vertical and horizontal advection of MSE calculated as in Back
499 and Bretherton (2006) are shown in Figs. 10c and d. MSE is a thermodynamic variable that helps

500 explain the interactions between convection and the large-scale circulation. The MSE budget has
501 an advantage over the moisture budget in the deep tropics where temperature gradients are weak
502 in that it accounts for the cancellation of vertical moisture advection and drying by precipitation in
503 the its vertical advection term, especially when considered in conjunction with radiation (Wolding
504 and Maloney 2015). Additionally, the vertical advection of MSE is strongly related to the shape of
505 the vertical motion profile in the ITCZ, and its use provides direct comparison to studies involving
506 the vertical structure of the ITCZ and moist static stability (Back and Bretherton 2006; Inoue
507 and Back 2015). Positive values indicate an import of MSE and maximum vertical velocity at
508 low levels (i.e., a bottom-heavy structure), and negative values indicate the export of MSE with
509 maximum vertical velocity at high levels (i.e., a top-heavy structure). Additionally, the moisture
510 tendency resulting from vertical and horizontal advection (section 4) are shown in Figs. 10e and f,
511 respectively. Vertical advection minus precipitation is shown in contours in Figure 10e.

512 For the deep convection regime at day 0 (Fig. 10a), the upper-level vertical velocity at 8°N is
513 enhanced, associated with an anomalous export of MSE through vertical advection (Fig. 10c). The
514 export of MSE suggests that precipitation was stronger than vertical moisture advection, consistent
515 with Fig. 10e (contours). For the climatological shallow convection region near 4-5°N, where the
516 upward vertical motion is generally stronger at 900 hPa compared to 400 hPa, shallow convection
517 was inhibited at day 0, especially at 4°N (Fig. 10b), with enhanced shallow convection at days +2
518 and +3 (cf. Figs. 5k-l). At day +2, the shallow convection region was associated with anomalous
519 import of MSE ($+100 \text{ W m}^2$) and a positive moisture tendency resulting from horizontal advection
520 (Figs. 10d and f) consistent with a deepening of the shallow clouds as suggested by the HCR data
521 (Fig. 8h). The horizontal advection of MSE and the moisture tendency resulting from horizontal
522 advection changed from negative values ($< -150 \text{ W m}^2$ and -4 mm d^{-1}) at day 0 to positive
523 values ($+100 \text{ W m}^2$ and $+3 \text{ mm d}^{-1}$) at days +2 and +3. It is interesting to note that although

the strongest shallow meridional overturning occurred on day +2 (Fig. 5k), the largest low-level vertical velocity occurred at day +3 (Fig. 5l). At day +3, the vertical moisture advection term was larger than the precipitation (Fig. 10e), consistent with the positive anomalous MSE import and indicative of the stronger congestus structures with large lower tropospheric vertical velocity.

5. EWs and the shallow and deep meridional circulations

The horizontal and vertical structure of EWs and associated moisture tendency fields suggest substantial perturbations to the climatological deep and shallow circulations during wave passage (Figs. 5, 8 and 9). In this section, we discuss mechanisms responsible for the modification of deep and shallow circulations during the passage of EW. Figures 11a-d show total vertical velocity and relative humidity cross sections during the enhanced (day 0) and suppressed (day +2) convection periods associated with the passage of EWs across the OTREC box.

At day 0, a deep circulation was observed in the ITCZ around 8°N with surface southerly inflow and return upper-level northerly outflow (Fig. 11a) and high relative humidity throughout the troposphere (Fig. 11c). The moisture tendency anomaly resulting from vertical advection was positive (8 mm d^{-1}) in this deep convection region (Fig. 11e). Dry inflow between 600 and 400 hPa (Figs. 7c and 11c) was also observed that could have induced a positive feedback to a deep convective structure by inducing temperature anomalies as proposed by Zuidema et al. (2006) and Nolan and Rappin (2008). However, in the southern part of the ITCZ, where a shallow circulation is found in the climatology (i.e., around 6°N), negative horizontal moisture and MSE advection anomalies were apparent and likely inhibited shallow convection (Fig. 8d). The shallow circulation had a weak northerly overturning flow at 850 hPa between 3 and 6°N associated with the cyclonic circulation around the trough of the EW that provided southerly wind anomalies (Fig. 11e) and weakened the shallow circulation overturning flow (Fig. 11a).

At day +2, the deep circulation was muted but a strong shallow circulation south of 5°N was observed with strong overturning northerly flow at 850 hPa (Fig. 11b). The shallow circulation associated with the shallow convection was likely inhibited from transitioning to deep convection due to the dry mid and upper level conditions imposed by the non convectively active part of the EW (Fig. 11d). The climatological ITCZ axis (8 °N) was dominated by a negative tendency due to vertical advection and deep convection was also suppressed (Fig. 11f). However, horizontal advection anomalies were positive south of 5°N and likely helped maintain the shallow convection at day +2 (Fig. 8h). The strong northerly shallow overturning flow at 850 hPa was part of the EW horizontal structure that showed an anticyclonic circulation with strong northerly flow at day +2 (Fig. 11f). The anticyclonic circulation was vertically tilted, with northerly flow at 850 hPa (600 hPa) that reached the OTREC box at day +2 (+3) as shown in Fig. 6. Additionally, the difference between vertical advection and precipitation suggests that this region was dominated by shallow structures that produced weak precipitation (i.e., shallow cumulus and stratocumulus clouds) but relatively strong low-level convergence at days +2 and +3 (Figs. 8h and 9i,j).

To show the consistency of the three EWs in modifying the climatological deep and shallow circulations in the East Pacific ITCZ, Fig. 12 compares the meridional wind profile of each wave at days 0 and +2 at 4 - 5°N (the shallow circulation region) and 7 - 8 °N (the deep circulation region) with the August-September 2019 mean profile. On day 0 at 7 - 8°N (Fig. 12a), a deep circulation with southerly winds at low levels and northerly winds at upper levels was consistently produced across the three EWs. The deep circulations during the passage of EWs were stronger compared to the August-September average, especially during EW 1 and 2 with stronger low-level inflow. On day 0 at 4 - 5°N (Fig. 12c), there was weaker overturning flow near 800 hPa in the EWs compared to the August-September profile. At day +2, the deep circulation was weaker at 7 -

8°N (Fig. 12b), but the overturning flow around 800 hPa at 4 - 5°N was stronger after the passage of the EWs compared to the August-September profile (Fig. 12d).

6. Summary and conclusions

This study aimed to identify synoptic variability associated with EWs during the OTREC field campaign, and determine their impacts on the climatological deep and shallow circulations in the East Pacific. Using OTREC observations, ERA5 reanalysis, and satellite precipitation estimates, we identified three strong EWs with consistent mid-level vorticity and meridional wind structures. Modulation of the climatological shallow and deep circulations in the far East Pacific at longitudes near the OTREC box were found during the passage of EWs, which we depict as a schematic in Fig. 13. Normal conditions (Fig. 13a) represent the August-September 2019 mean (Fig. 1b), with a shallow circulation near 6°N below 850 hPa and a deep circulation at 8°N between the surface and 200 hPa. Positive low-level vorticity (i.e., cyclonic circulation) is also characteristic of climatological conditions (Raymond et al. 2014), with associated surface westerly winds at 7°N. Easterly winds occur near the equator between 700 and 600 hPa.

The EWs during OTREC strongly modulated these seasonal mean conditions. At day -1 of the EW evolution (Fig. 13b), deep convection was enhanced at 8°N and the total low-level positive vorticity (Fig. 6a) was stronger than climatology and associated with intensified near-surface westerly flow. At day 0 (Fig. 13c), the trough of the EW was associated with enhanced deep convection and a deep circulation at 8°N and shallow convection was displaced north of 6°N. Positive vorticity predominated near the surface and at 600 hPa in the region of deep convection, associated with intensifying near-surface westerly flow just to the south of the deep convective region. At day +1 after the passage of the trough (Fig. 13d), deep convection decayed and stratiform clouds predominated at 8°N, with the net effect of vertical moisture advection and precipitation produc-

ing drying. Enhanced mid-level southerly inflow associated with the EW structure (Figs. 5g,j) and upper level northerly outflow also occurred. At day +2 (Fig. 13e), the ridge of the EW was associated with suppressed deep convection and downward motion at 8°N in the anticyclonic circulation region of the EW, and the same anticyclonic circulation enhanced the mid-level easterly flow near the equator. The positive low-level vorticity became weaker and a strong shallow circulation with increased shallow convection was observed at 4°N. The strong shallow overturning circulation at 850 hPa was associated with an EW structure that drove strong northerly winds between 700 and 800 hPa (Fig. 6b). At day +3 (Fig. 13f), weak convection was observed at 8°N, although the positive low-level vorticity recovered. The shallow circulation was still prominent associated with deeper and stronger shallow convection.

This schematic is consistent with the climatological deep and shallow circulations being modified by the passage of EWs in the East Pacific. The trough of the EW enhanced the ITCZ deep circulation at day 0 and was associated with an export of column integrated MSE by vertical advection; however, the shallow circulation in the southern part of the ITCZ was weak due to a negative moisture tendency from horizontal advection over the southern part of the ITCZ. On the other hand, the suppressed part of the EW enhanced the shallow circulation at day +2. The shallow overturning flow at 850 hPa was linked to the anticyclonic circulation of the EW. A positive anomalous moisture tendency that resulted from horizontal advection and import of MSE helped drive shallow convection over the southern part of the ITCZ. This MSE import was consistent with moistening by vertical advection that outpaced precipitation, which suggestive of an enhancement of shallow convection that fostered column moistening at day +3. Our results indicate that the three EWs altered the East Pacific ITCZ circulation in a consistent way. Even though ERA5 did not assimilate OTREC data during all the EWs, it appears capable of capturing the salient structures and variations of the EWs during this time. Future work will involve the identification of

EWs during a longer time period using satellite precipitation and ERA5 to confirm the systematic alteration of the shallow and deep circulation during the passage of EWs in the East Pacific ITCZ.

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790 +2 from OTREC RFs and ERA5

EWs	Day 0					Day +2				
	Source (date)	Latitude	LCL	CAPE	CIN	Source (date)	Latitude	LCL	CAPE	CIN
EW 1	OTREC (Aug. 7)	8°N	971	1934	0	ERA5 (Aug. 9)	8°N	958	1713	0
		4°N	977	395	-68		4°N	954	276	-30
EW 2	ERA5 (Aug. 15)	8°N	968	1190	0	OTREC (Aug. 17)	8°N	975	2259	0
		4°N	958	207	-46		4°N	975	1053	-12
EW 3	ERA5 (Sep. 16)	8°N	974	1182	0	ERA5 (Sep. 18)	8°N	956	1331	0
		4°N	972	62	-36		4°N	947	303	-44

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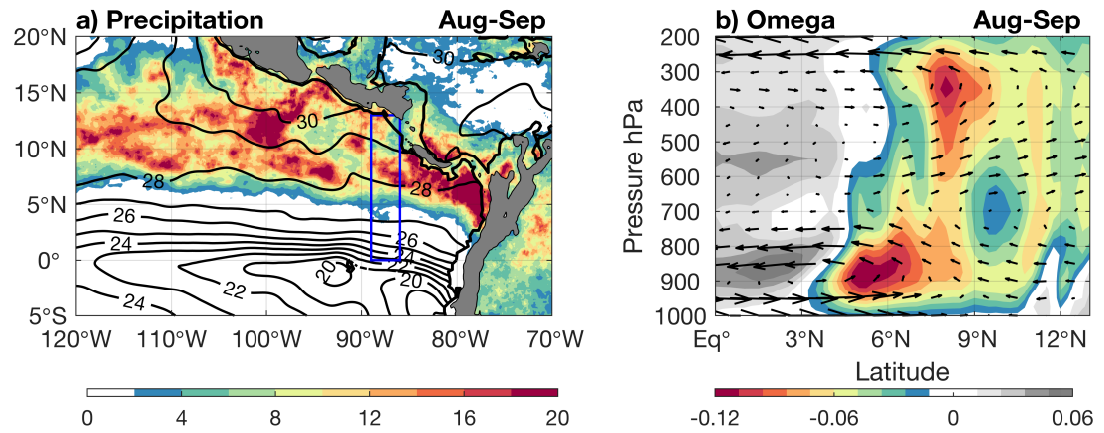


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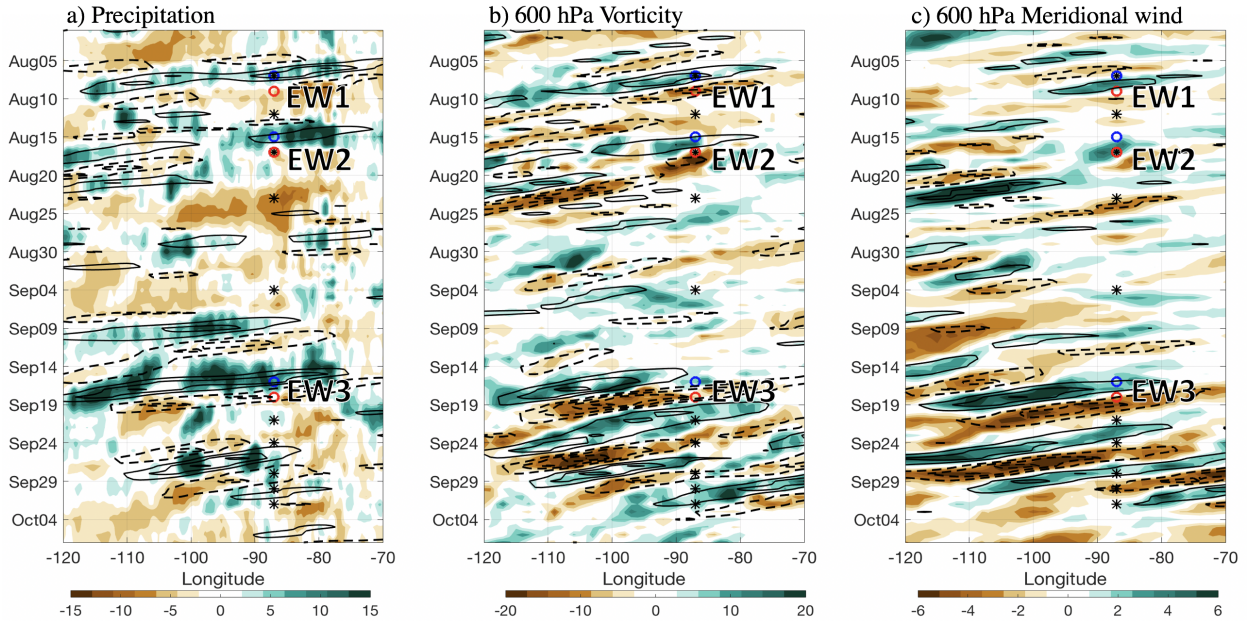
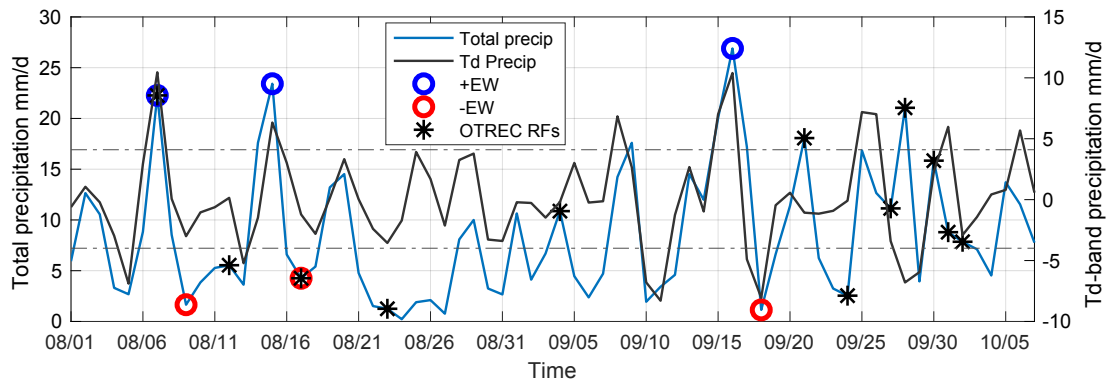


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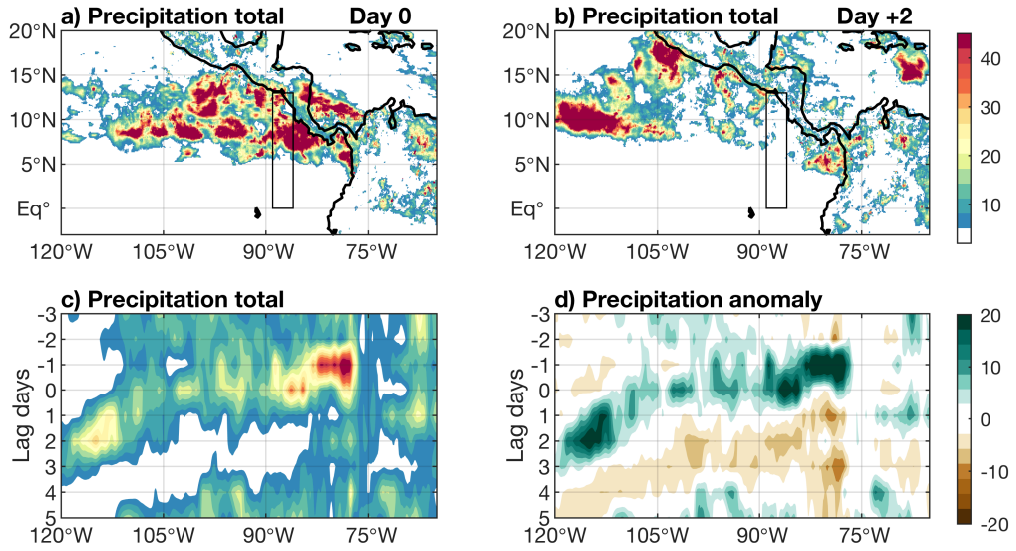


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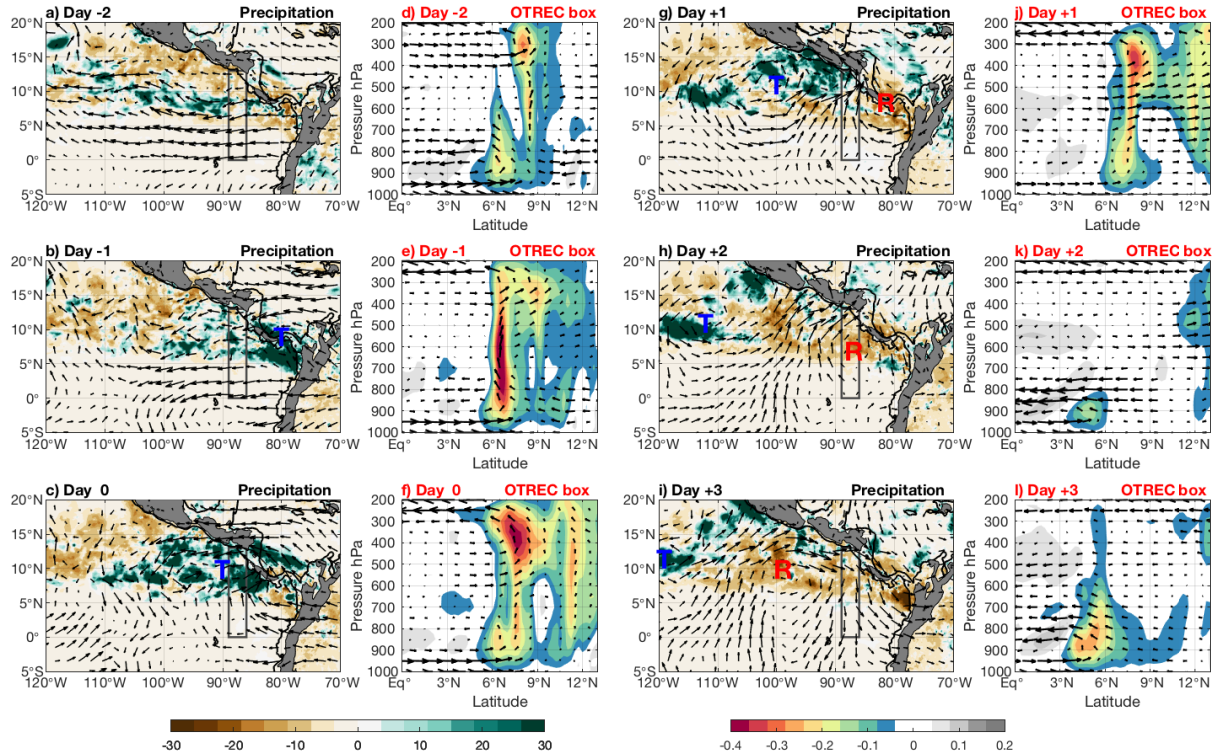


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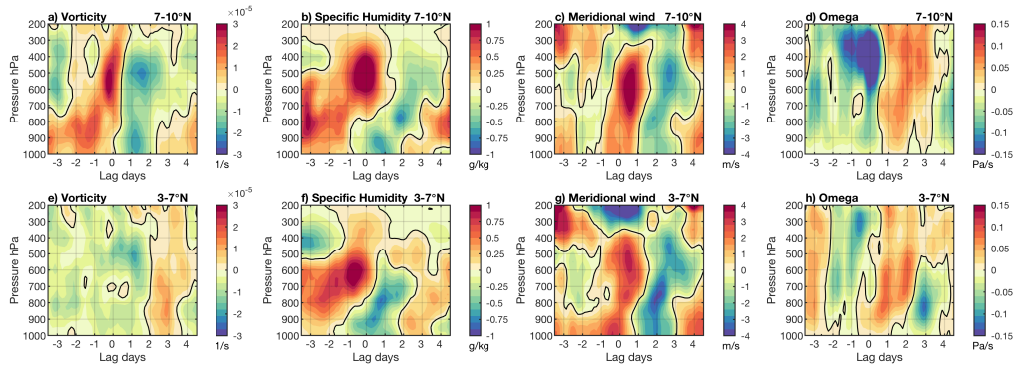


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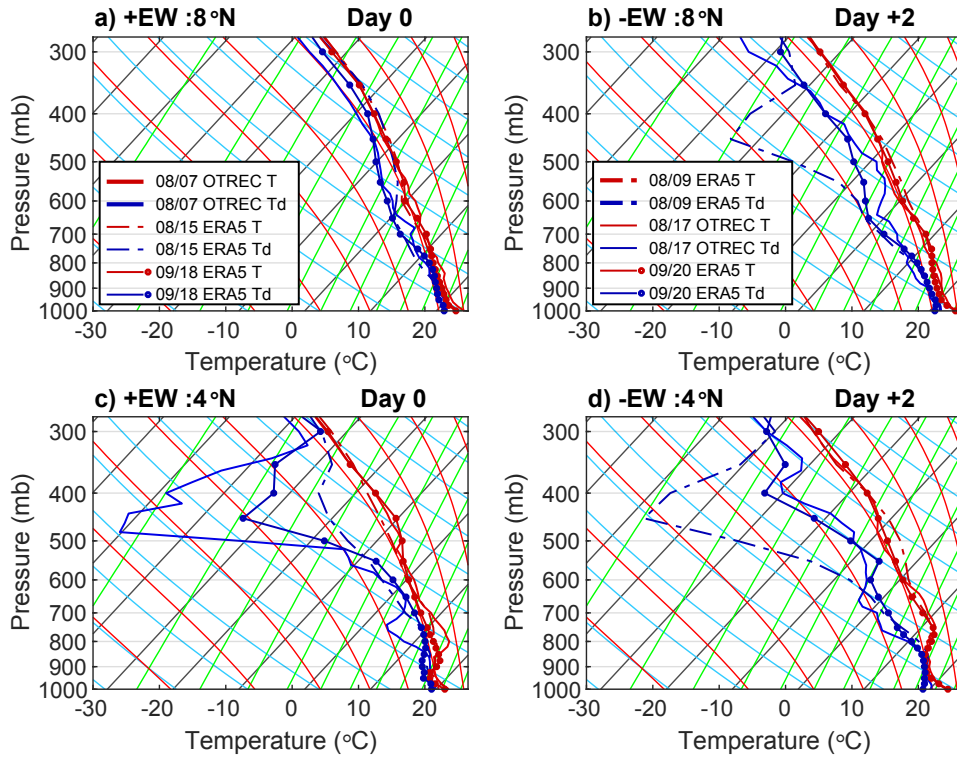


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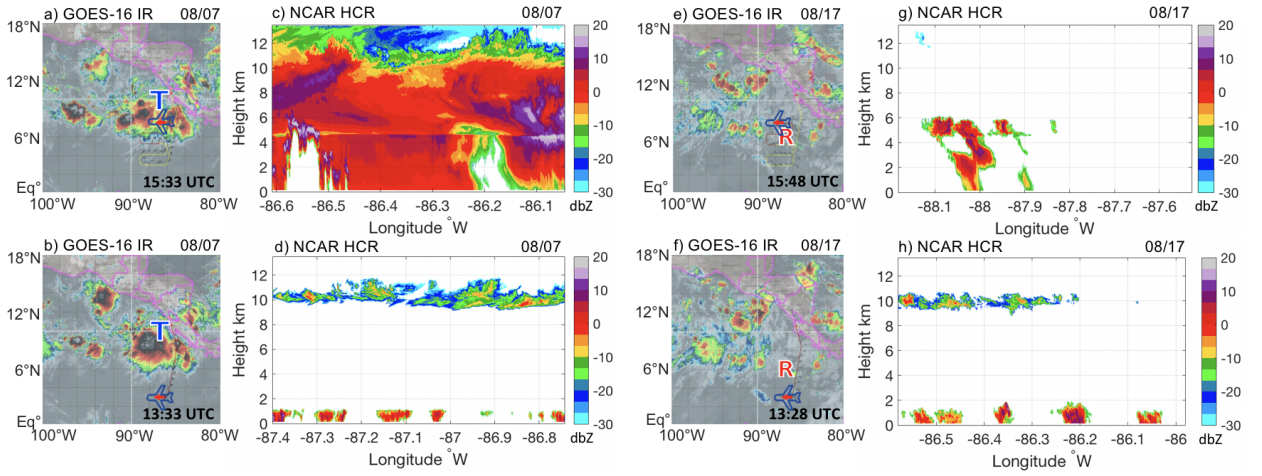


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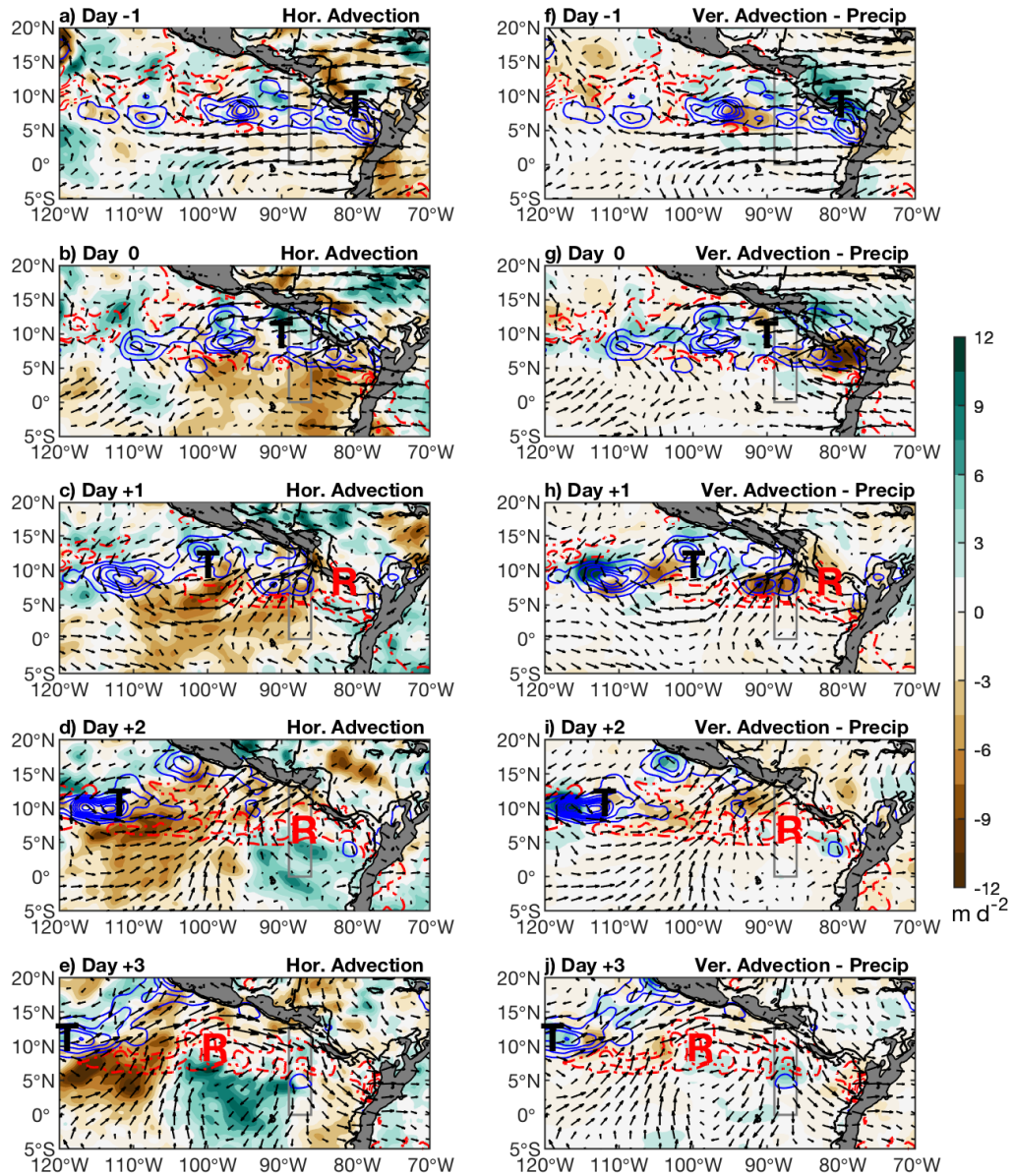


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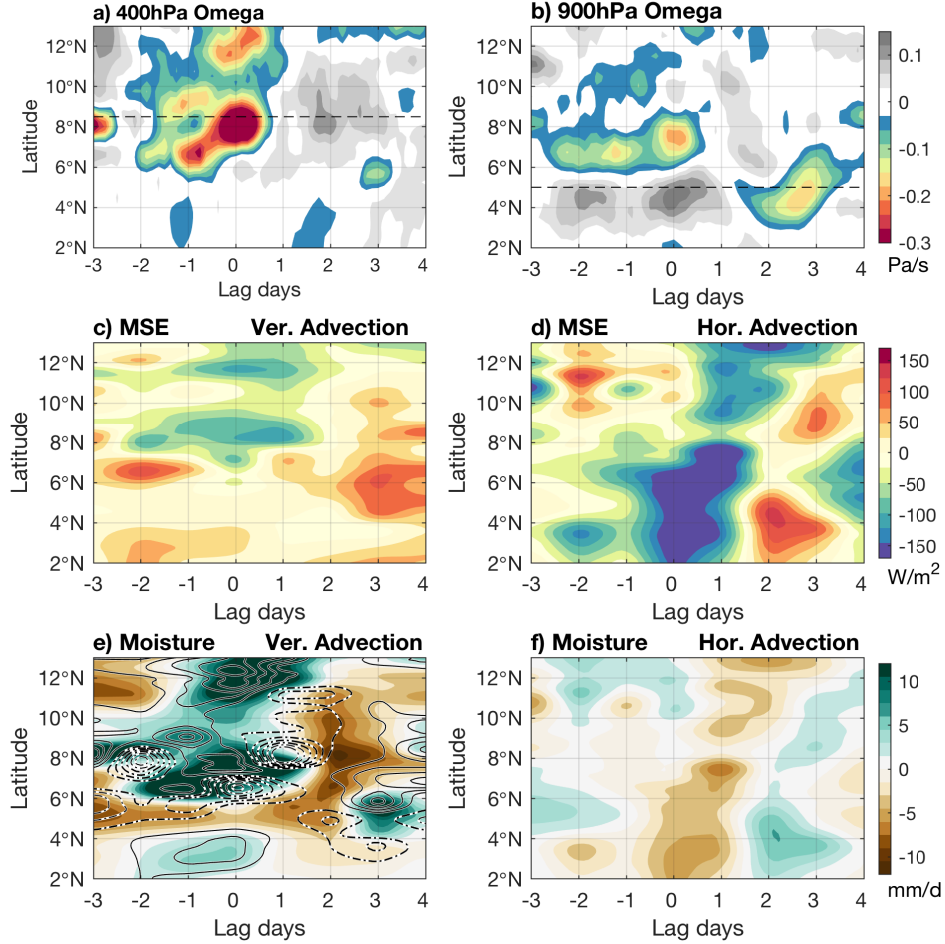


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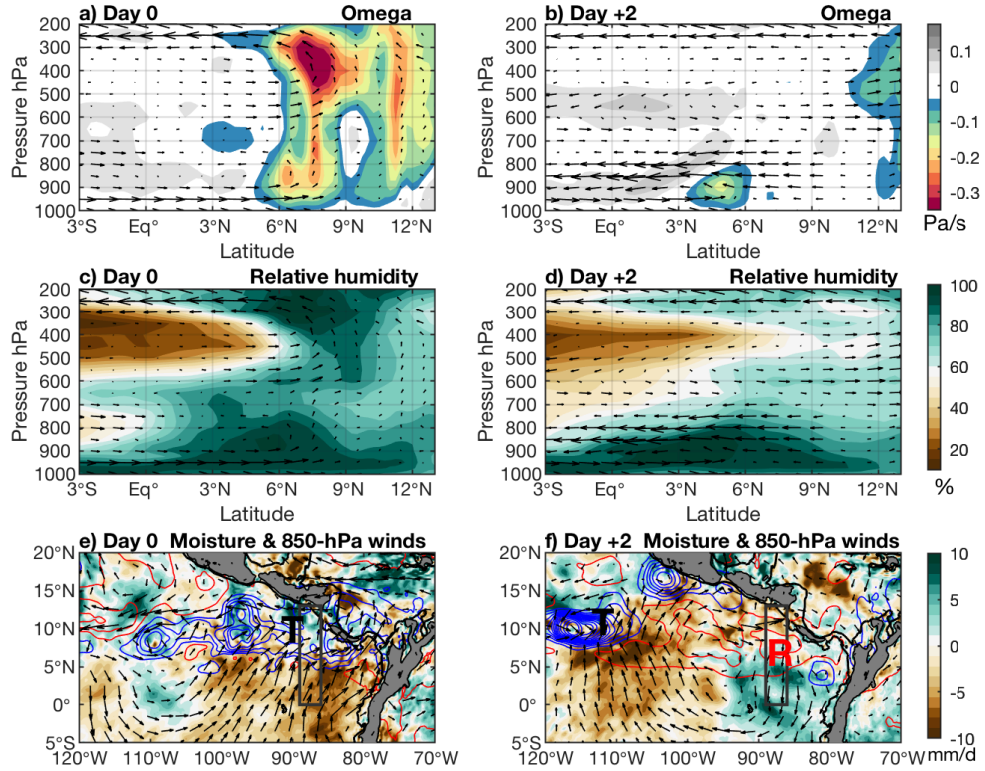


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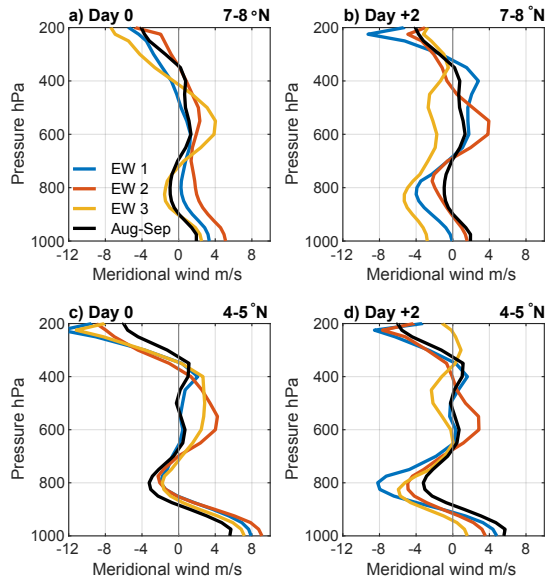


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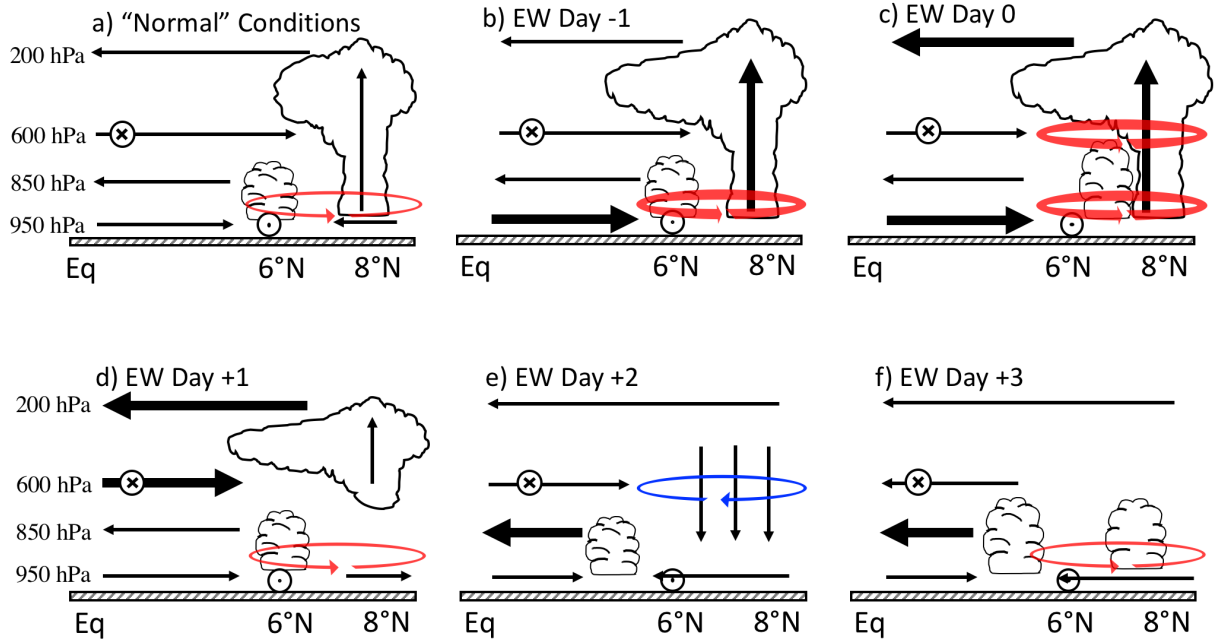


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