

# Measurement of optimal flow rate in gradient elution liquid chromatography

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## Abstract

Method development in gradient LC relies upon the selection of a solvent time program and a mobile phase flow rate. The flow rate, optimal for gradient separation cannot be inherently predicted by the isocratic value optimal for a given analyte, and rather should be identified independently to ensure the highest separation performance of gradient analysis. The optimal flow rate ( $F_{\text{opt}}$ ) is defined herein as the solvent volumetric flow rate ( $F$ ) maximizing the separation ( $\Delta s$ ) of a predetermined peak-pair or the separation capacity ( $s_c$ ) of the entire LC analysis. The theoretical background and the experimental technique of measurement of  $F_{\text{opt}}$  in gradient elution analysis were considered and experimentally demonstrated. The technique of measuring  $F_{\text{opt}}$  is based on translatable changes of  $F$  where the product  $Ft_M$  was the same for all values of  $F$ . The  $F_{\text{opt}}$  was found as  $F$  corresponding to the maximum in  $\Delta s$  or in  $s_c$ .

## 23 1. Introduction

24 The relation of a solvent volumetric *flow rate* ( $F$ ) to its optimum ( $F_{\text{opt}}$ ) is an important factor of LC  
25 column operation. It is assumed throughout this report that  $F$  and the column *temperature* ( $T$ ) do **not**  
26 **change** during the analysis, and that the time ( $t$ ) is measured **since the sample introduction** (and,  
27 therefore, the effect of dwell time is eliminated).

28 It might be desirable to operate a column at  $F > F_{\text{opt}}$ . This, together with accompanying increase in  
29 the column *length* ( $L$ ) makes it possible to reduce the *analysis time* ( $t_{\text{anal}}$ ) required for a predetermined  
30 *separation performance* (*separation* of a critical peak pair, *peak capacity*, etc.) [1] (assuming that this  
31 approach is not prevented by the factors like maximum pressure available from the equipment and/or  
32 acceptable for the column, column overheating, etc.). On the other hand, increasing  $F$  far above  $F_{\text{opt}}$   
33 together with increasing  $L$  (to maintain a required separation performance) might result in practically  
34 insignificant shortening of  $t_{\text{anal}}$  while significantly increasing the solvent consumption. Hence, there is  
35 a compromise for practically reasonable ratio  $F/F_{\text{opt}}$ . For example, Scott and Hazeldean suggested [1]  
36 that the mobile phase *optimal practical velocity* in open-tubular columns (OTC) corresponds to  
37  $F/F_{\text{opt}} = 2$ . The practically justified  $F/F_{\text{opt}}$  ratio in packed columns can be larger than 2. These  
38 considerations indicate that it is important to know  $F_{\text{opt}}$  in a given analysis in order to choose a  
39 reasonable value of  $F$ .

40 Typically,  $F_{\text{opt}}$  is understood as the one that causes the minimum ( $H_{\text{min}}$ ) in *plate height* [2] (*apparent*  
41 *plate height* [3]):

$$42$$
$$43 H = L / N \tag{1}$$
$$44$$

45 where, in *isocratic* analysis with no extra-column peak broadening [4, 5],

46

$$N = (t_R / \sigma)^2 \quad (\text{isocratic}) \quad (2)$$

48

49 is the *plate number* [2] (*number of theoretical plates* [6]) for a peak with *retention time* ( $t_R$ ) and *width*  
 50 (*standard deviation*)  $\sigma$ . Generally,  $N$  and, therefore,  $H$  can be different for different solutes. Frequently,  
 51 however, the difference is practically insignificant and  $N$  can be considered as the column parameter  
 52 the same for all solutes.

53 The relations are more complex in gradient LC (see the Appendix).  $H$ , and therefore,  $H_{\min}$  and  $F_{\text{opt}}$   
 54 for a given solute in a given column, depend on the solute retention factor which, in turn, depends on  
 55 the solvent *composition* (the volumetric fraction,  $\phi$ , of the stronger component) that changes during  
 56 gradient analysis and can be different at different locations along the column. Additionally, to calculate  
 57  $H$  at the time ( $t_R$ ) of elution of the solute of interest, one needs to know the solute distribution between  
 58 the stationary phase and the solvent at  $t_R$  [7, 8]. As shown in the Appendix, this distribution cannot be  
 59 measured directly. In view of these complications, one might choose to measure  $F_{\text{opt}}$  in isocratic  
 60 analysis and treat it as  $F_{\text{opt}}$  in gradient analysis. However, there is no guarantee that the optimal  $F$  in  
 61 isocratic analysis is also optimal in gradient analysis.

62 The coordinate-dependent  $\phi$  within a column in gradient LC analysis is controlled by the time-  
 63 programming of the solvent composition ( $\phi_i$ ) at the column inlet. Generally, the program can consist of  
 64 a sequence of several *ramps* [9] and *holds*. The inlet composition ( $\phi_i$ ) changes during the ramps and  
 65 remains fixed during the *holds*. The choice of the column, solvent, and its programming can be time  
 66 consuming. Because  $F_{\text{opt}}$  might depend on the column, the solvent and its programming details (the  
 67 initial and the final  $\phi_i$ , the *rates* of changing  $\phi_i$  during the ramps, the holds, etc.), it is desirable to  
 68 optimize  $F$  after the solvent programming has been developed. This, together with the complications in  
 69 practical measurement of  $H_{\min}$  in gradient LC calls for a second look at the minimization of  $H$  as the  
 70 flow optimization goal. After all, the goal of chromatographic analysis is the separation of solutes in a

sample and the minimization of  $H$  is a way of achieving that goal, but not the goal itself. The goal of the flow optimization in chromatography, including gradient LC, might be achieving the best separation of a target peak-pair in the sample, or maximizing the number of *resolved* (quantifiably and identifiably separated) peaks, or improvement of other metrics of separation performance. This approach was used in temperature-programmed GC [10] and in comparison of specific columns in gradient LC [11]. General theoretical and practical aspects of these techniques in gradient LC are the topic of this report. The **bold face** type highlights the assumptions in this study. The experimental results are compiled in the Supplementary material.

## 2. Theory

### 2.1 Method translation

The change in the solvent composition ( $\phi$ ) within a column in gradient LC is implemented by time programming of solvent composition ( $\phi_i$ ) at the column inlet. A program (Figure 1) can consist of one or several *mixing ramps* [9] of *durations*  $\Delta t_{G1}$ ,  $\Delta t_{G2}$ , ..., etc., and *holds* of *durations*  $\Delta t_{h1}$ ,  $\Delta t_{h2}$ , ..., etc. between the ramps. During a hold,  $\phi_i$  remains fixed, while, during a ramp,  $\phi_i$  changes from that in proceeding hold to the one in the subsequent hold. The derivative  $R_\phi = d\phi_i/dt$  is the *mixing rate* [9] (also referred to as *gradient slope* [12-14], *time steepness of the gradient* [11, 15], *time-based gradient steepness* [16], or *temporal gradient steepness* [17]). A ramp can be either *linear* (Figure 1) with  $R_\phi$  remaining fixed during the ramp or *non-linear (curved)* otherwise. The mixing rate of a linear ramp can be found as  $R_\phi = \Delta\phi_i/\Delta t_G$  where  $\Delta t_G$  and  $\Delta\phi_i$  are, respectively, the ramp duration and the solvent composition increment during the ramp.

91 *Method translation* in chromatography [18] is valid for linear and non-linear mixing ramps in the  
92 same or in different columns. However, as the ramps in practical gradient LC analyses are typically  
93 linear, and as only the linear ramps in the same column were used in experiments for this report, only  
94 the **linear mixing ramps in the same column** are assumed from now on.

95 According to the method translation theory [18],

96 • the mixing rates,  $R_\phi$ , and the hold durations ( $\Delta t_h$ ) in the solvent strength programs are not  
97 meaningful in themselves, but only in relation to the analysis *void time* ( $t_M$ )  
98 two gradient analyses (A and B) utilizing the same column and the same solvents are *mutually*  
99 *translatable*, i.e. are *translations* of each other if (Figure 1):

- 100 ○ both start at the same *initial*  $\phi_i$  ( $\phi_{\text{init}}$ ) and end at the same *end*  $\phi_i$  ( $\phi_{\text{end}}$ )
- 101 ○ each hold in A has its counterpart in B and vice-versa – both maintaining the same  $\phi_i$   
102 during the times,  $\Delta t_{h,A}$  and  $\Delta t_{h,B}$ , relating to each other as  $\Delta t_{h,B}/t_{M,B} = \Delta t_{h,A}/t_{M,A}$  where  
103  $t_{M,A}$  and  $t_{M,B}$  are the void times in analyses A and B, respectively
- 104 ○ each ramp in A has its counterpart in B and vice-versa – their durations,  $\Delta t_{G,A}$  and  $\Delta t_{G,B}$ ,  
105 relate to each other as  $\Delta t_{G,B}/t_{M,B} = \Delta t_{G,A}/t_{M,A}$

106

107 In short, the solvent strength programs in mutually translatable analyses are the *rescaling* of each other  
108 in time domain so that the duration of a ramp or a hold in analysis B is in the same proportion to the  
109 void time in analysis B as the duration of its counterpart in A to the void time in A.

110 If analyses A and B are mutual translations of each other, then the ratio  $G_s = t_{R,A}/t_{R,B}$  of the retention  
111 times ( $t_{R,A}$  and  $t_{R,B}$ ) for a solute in analyses A and B is the same for all solutes [18]. This justifies the  
112 interpretation of  $G_s$  as the *speed gain* in B relative to A [19]. As a consequence, a solute *elution solvent*  
113 *composition* ( $\phi_R$ ) – the outlet solvent composition at the solute retention time ( $t_R$ ) – is the same in all

analyses that are translations of each other. As a consequence of that, the solute *elution retention factor* ( $k_R$ ) – the ratio of its amounts in the stationary phase and in the solvent at the time  $t_R$  – is the same in all analyses that are translations of each other. Only the **translatable changes** in  $F$  are considered below.

In analysis with a single linear *mixing ramp* starting from  $\phi_i = \phi_{\text{init}}$  at  $t = 0$ , the  $\phi_R$  of a solute with retention time  $t_R$  can be found as:

$$\phi_R = \phi_{\text{init}} + R_\phi(t_R - t_M) \quad (3)$$

## 2.2 Optimal flow rate

As shown in the Appendix, it is unknown how to measure the plate number ( $N$ ) or the plate height ( $H$ ) in gradient LC analysis and, therefore, how to find the solvent optimal flow typically considered as the one minimizing  $H$  and maximizing  $N$ . Instead, the optimal flow rate ( $F_{\text{opt}}$ ) in this report is the solvent volumetric flow rate ( $F$ ) that maximizes a column separation performance.

### 2.2.1 Separation measure

The separation performance of a column in LC analysis can be expressed as the *resolution* ( $R_s$ ) [2, 20] of two neighboring peaks (e.g., the resolution of a *critical* peak-pair in the sample), or as the *peak capacity* ( $n_c$ ) [21] of the entire analysis. Both metrics have shortcomings. Introduced by Giddings [21] as the quantity “which approximates the maximum number of peaks to be separated on a given column”,  $n_c$  has since been inconsistently defined [22] and its use for practical evaluation of the number of peaks that a chromatographic analysis can resolve (identifiably and quantifiably separate) requires additional explanations. The shortcomings of  $R_s$  were discussed in several studies [8, 22, 23]. Originally introduced [20] “only ... for time being”,  $R_s$  has two widely known definitions [2, 24] that

are compatible with each other only for Gaussian peaks and may not make sense otherwise. Among other shortcomings of  $R_s$  that it is *not an additive* metric [22] – if A, B and C are consecutive peaks then, unless all three are Gaussian and have equal widths,  $R_{s,AC} \neq R_{s,AB} + R_{s,BC}$  where  $R_{s,AC}$ ,  $R_{s,AB}$ ,  $R_{s,BC}$  are the resolutions of, respectively, peaks A and C, A and B, B and C. As a result, and because the definitions of  $R_s$  and  $n_c$  are based on different underlying principles, the sum,  $R_{s1} + R_{s4} + R_{s4} + \dots$ , of the resolutions of all neighboring peaks in a chromatogram starting from unretained peak and ending at the last peak of interest might be substantially different from  $n_c$  [23]. For example, the resolution of the unretained and the last peak in isocratic analysis cannot exceed  $\sqrt{N}/2$  ( $R_s \leq \sqrt{N}/2$ ) [23] no matter how long the analysis lasts, while there is no limit to increasing  $n_c$  of the isocratic analysis with increasing its analysis time.

In this report, a single metric – the *separation measure* ( $\Delta s$ ) [22, 23] – is used for representing the separation of peak pairs and of the number of peaks that can be resolved by a column. The *standard deviation* ( $\sigma$ ) is the only peak *width* measure in this report, unless contrary is explicitly stated. This makes all results of the study suitable for any peak shape.

*The separation measure ( $\Delta s$ ) of a  $\Delta t$ -wide time-interval ( $t_A$ ,  $t_B$ ) between time markers  $t_A$  and  $t_B$  in the separation space of a chromatogram is the number of adjacent  $\sigma$ -slots ( $\sigma$ -wide segments) in ( $t_A$ ,  $t_B$ )*

*If  $t_A$  and  $t_B$  are retention times of peaks A and B then  $\Delta s$  is the separation of peaks A and B.*

*Otherwise,  $\Delta s$  is the separation capacity of the interval ( $t_A$ ,  $t_B$ )*

Both, the peak separation and the peak capacity of an arbitrary interval in the separation space of a chromatogram are transparent additive metrics defined from a single perspective and representing a

158 *system* of mutually compatible metrics based on the same principle of the number of  $\sigma$ -slots in an  
 159 interval in the separation space of a chromatogram.

160 Being an additive metric, the *separation capacity of an analysis* ( $s_c$ ) can be found as

161

$$162 \quad s_c = \Delta s_1 + \Delta s_2 + \Delta s_3 + \dots + \Delta s_{\text{last}} \quad (4)$$

163

164 where  $\Delta s_1, \Delta s_2, \Delta s_3, \dots$  are the separations of consecutive peak-pairs from unretained peak to the last  
 165 peak of interest in the analysis. If peaks A and B with retention times  $t_A$  and  $t_B$  have the same  $\sigma$  then  
 166 their separation is  $\Delta s = \Delta t / \sigma$  where  $\Delta t = t_B - t_A$ . Otherwise [22, 23]:

167

$$168 \quad \Delta s = \int_{t_A}^{t_B} ds = \int_{t_A}^{t_B} \frac{dt}{\sigma(t)} \quad (5)$$

169

170 where  $\sigma(t)$  is a known dependence of  $\sigma$  on  $t$ . If  $\sigma(t)$  changes linearly from  $\sigma_A$  to  $\sigma_B$  (like it does in, e.g.,  
 171 isocratic LC and isothermal GC) then Eq. (5) yields [22]:

172

$$173 \quad \Delta s = \frac{\Delta t}{\sigma_B - \sigma_A} \ln \left( \frac{\sigma_B}{\sigma_A} \right) \quad (6)$$

174

175 If a wider of two peaks (A and B) is no more than 50% wider than the narrower one then their



176 separation can be approximated with less than 1.5% error [22] as<sup>1</sup>:

177

178 
$$\Delta s \approx \Delta t / \bar{\sigma}, \quad \bar{\sigma} = (\sigma_A + \sigma_B) / 2 \quad (7)$$

179

### 180 2.2.2 Optimal flow rate and velocity

181 *Definition. Optimal flow rate ( $F_{\text{opt}}$ ) is the one that maximizes the separation ( $\Delta s$ ) of two*  
182 *predetermined solutes in the chromatographic analysis.*

183 The two *predetermined solutes* in this definition can be represents by two neighboring or not  
184 neighboring peaks the chromatogram. In the case when these are the unretained peak and the last peak  
185 of interest,  $\Delta s$  is the separation capacity ( $s_c$ ) of the analysis and  $F_{\text{opt}}$  is the flow rate maximizing  $s_c$ .

186 If the void time ( $t_M$ ) and its optimum ( $t_{M,\text{opt}}$ ) are measured along with the measurement of  $F$  and  $F_{\text{opt}}$   
187 (by having unretained solute in the sample) then velocities  $u_M = L / t_M$  and  $u_{M,\text{opt}} = L / t_{M,\text{opt}}$  of  
188 transporting the solvent molecules from the inlet to the outlet are, respectively, the solvent *transport*  
189 *velocity* [25], (briefly, *velocity*, also known as the *linear velocity* [26-29], the *migration linear velocity*  
190 [30], the *unretained peak velocity* [31], the *chromatographic velocity* [29]) and its optimum. The  $u_{M,\text{opt}}$   
191 can also be found from the measurement of the longes  $t_M$  ( $t_{M,\text{max}}$ ) when  $F$  is the smallest in the  
192 experiments ( $F_{\text{min}}$ ), and the shortest  $t_M$  ( $t_{M,\text{min}}$ ) when  $F$  is the largest in the experiments ( $F_{\text{max}}$ ). Once

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<sup>1</sup> In this case,  $R_s \approx \Delta s/4$  although, as mentioned earlier, so found  $R_s$  might not make sense for non-Gaussian peaks, while Eqs. (5), (6) and (7) for  $\Delta s$  are suitable for any peak shape.

193  $F_{\text{opt}}$  is found,  $u_{\text{M,opt}}$ , can be found from linear interpolation:

194

$$195 \quad u_{\text{M,opt}} = u_{\text{M,min}} + \frac{F_{\text{opt}} - F_{\text{min}}}{F_{\text{max}} - F_{\text{min}}} (u_{\text{M,max}} - u_{\text{M,min}}) \quad (8)$$

196

197 where  $u_{\text{M,min}} = L / t_{\text{M,max}}$ ,  $u_{\text{M,max}} = L / t_{\text{M,min}}$ .

198 Typically, the values of  $F$  and, therefore,  $F_{\text{opt}}$  are readily available while measurement of  $u_{\text{M}}$  and

199  $u_{\text{M,opt}}$  requires the presence of unretained solute in the sample in, at least, two analyses – at  $F_{\text{min}}$  and

200  $F_{\text{max}}$ . On the other hand,  $F_{\text{opt}}$  is less universal than  $u_{\text{M,opt}}$ . In the case of, e.g., particulate columns, the

201 former depends on the particle size ( $d_{\text{p}}$ ) and on the *internal diameter* ( $d$ ) of the column tubing, while

202  $u_{\text{M,opt}}$  is essentially independent of  $d$ . If  $F_{\text{opt}}$  and  $u_{\text{M,opt}}$  for column A are known, then their counterparts

203 for column B having the same structure but possibly different  $d$  and  $d_{\text{p}}$  can be found as:

$$204 \quad u_{\text{opt,B}} = \frac{d_{\text{p,A}} u_{\text{opt,A}}}{d_{\text{p,B}}}, \quad F_{\text{opt,B}} = \frac{d_{\text{p,A}}}{d_{\text{p,B}}} \frac{d_{\text{B}}^2}{d_{\text{A}}^2} F_{\text{opt,A}} \quad (9)$$

### 205 3. Experimental

206 All experiments were made using Vanquish Horizon UHPLC (Thermo Fisher Scientific, Germering,

207 Germany), a C18 core-shell column (Thermo Fisher Scientific, Bellefonte, PA), and ACN in water

208 solvent (both HiPerSolv HPLC grade from VWR, Radnor, PA). Column parameters are listed in Table

209 1. A mixture of uracil, acetophenone, butyrophenone, and valerophenone referenced below as solutes

210 0, 1, 2 and 3, respectively, was analyzed in single-ramp gradient analyses with no initial holds. Several

211 combinations of flow rates and gradient times were used, Table 2. All analyses were designed to be

212 translations of each other with the volume-fraction ( $\phi$ ) of ACN changing from 10% to 100% and the

213 gradient solvent volumes,  $V_{\text{G}} = F t_{\text{G}}$ , being the same in all analyses.

214 In addition to the gradient analyses, the plate heights of solutes 2 and 3 were measured in isocratic  
215 analyses at all flow rates in Table 2 and outlet solvent composition existed at the elution time of solutes  
216 2 and 3, respectively, in gradient analyses.

217 Each analysis was repeated 3 times with essentially identical results. The experimental results for run  
218 #2 are provided in the Supplementary material (Table S.2 - S.4). They served as the basis for the  
219 following discussion.

## 220 4. Results

### 221 4.1 Gradient analyses

222 The gradient volumes ( $V_G$ ) were essentially the same in all analyses (Table 2). As all analyses started  
223 at the same solvent composition ( $\phi_{\text{init}}$ ) and ended at the same  $\phi_{\text{end}}$ , they were essentially the translations  
224 of each other. As a result, a given solute eluted at essentially the same outlet solvent composition ( $\phi_R$ )  
225 in all analyses (Table 3). Two gradient elution chromatograms are shown in Figure 2.

226 Depending on the flow rate ( $F$ ), there was substantial (up to 3-fold) difference in the widths of peak 0  
227 and its neighbor peak 1, Table 4. In view of that, Eq. (6) was used for calculation of separations ( $\Delta s$ ) of  
228 these peaks. The peak width difference in other two neighbors (1-2 and 2-3 pairs) was lower than 10%  
229 (column  $\sigma$ -ratios in Table S.2. of the Supplementary material) and, therefore, Eq. (7) could have been  
230 used for  $\Delta s$  calculations of these pairs. However, for the sake of their uniformity, Eq. (6) was used in  
231 all calculations of  $\Delta s$ . The separation capacity ( $s_c$ ) of the entire analysis was calculated as the sum, Eq.  
232 (4), of the separations of all neighboring peak-pairs. The results are illustrated in Figure 3. The solvent  
233 optimal flow rates corresponding to the maxima in the curves of Figure 3 are listed in Table 5 along  
234 with optimal velocities calculated from Eq. (8).

## 235 4.2 Isocratic analyses

236 Plate heights ( $H$ ) and *phase retention factors* [32] ( $k$ ) of solutes 2 (butyrophenone) and 3  
237 (valerophenone) were measured under isocratic solvent compositions close to their respective elution  
238 averages (Table 3) in gradient analyses. The  $H$  vs.  $F$  plots are shown in Figure 4. The solvent optimal  
239 flow rates ( $F_{\text{opt}}$ ) and velocities ( $u_{\text{M,opt}}$ ) for each solute are listed in Table 6 along with the solvent  
240 compositions ( $\phi$ ) in respective isocratic analyses and the solute retention factors ( $k$ ).

## 241 5. Discussion

242 The goal of this study was not to optimize a particular analysis, but to justify and demonstrate a  
243 technique of measurement of the solvent optimal flow rate ( $F_{\text{opt}}$ ) and related parameters in gradient  
244 analyses. The lowest separation ( $\Delta s$ ) of two peaks (peaks 2-3) at suboptimal  $F$  (0.25 mL/min) was  
245 larger than 50 (Figure 3c) – more than 50  $\sigma$ -slots between the peak retention times. This is larger than  
246 probably might ever be necessary in practice (Figure 2a). Here, however, the impacts of suboptimal  
247 operations are discussed as if the separation of the critical peak-pair and the separation capacity ( $s_c$ ) of  
248 the analysis were barely adequate, and the column optimization was practically important. The  
249 discussion is based on the assumption the flow rate ( $F$ ) optimization in gradient analysis is performed  
250 after the solvent composition program has been developed at some  $F$  chosen more or less arbitrarily.

251 Suppose that solutes 2 and 3 were a critical pair.  $F_{\text{opt}}$  maximizing their gradient separation ( $\Delta s$ ) is  
252 1.5 mL/min (Table 5), while  $F_{\text{opt}}$  minimizing the plate height ( $H$ ) of one of the solutes in the pair  
253 (solute 3) in isocratic analysis is 1.10 mL (Table 6). In other words,  $F_{\text{opt}}$  for a member of a peak-pair in  
254 isocratic analysis was almost 30% lower than  $F_{\text{opt}}$  for the separation of the pair in gradient analysis.

255 If the purpose of measuring  $F_{\text{opt}}$  is to operate the analysis at the highest  $\Delta s$  for a *given column* then  
256 30% error in measuring  $F_{\text{opt}}$  can have barely noticeable effect.

Example 1. In a packed column with  $A$ -term of van Deemter equation being approximately half of  $H_{\min}$  [33], 30% departure of  $F$  from  $F_{\text{opt}}$  results in 3% larger  $H$  and 1.5% lower  $\Delta s$  compared to their respective optimal levels.

However, to reduce the analysis time for a required separation performance, a method developer might choose not to operate the column at  $F_{\text{opt}}$ , but to operate it at  $F > F_{\text{opt}}$  (together with using longer column if necessary) [1] with a predetermined ratio  $X_F = F/F_{\text{opt}}$ . According to van Deemter equation, when  $X_F$  is large,  $H$  is almost proportional to  $X_F$ , and, as  $\Delta s$  and  $s_c$  are inversely proportional to  $\sqrt{H}$ , the departure of  $\Delta s$  from  $\Delta s_{\text{max}}$  or  $s_c$  from  $s_{c,\text{max}}$  might be proportional to  $1/\sqrt{X_F}$ , causing a substantial reduction in  $\Delta s$  or  $s_c$  compared to their targets. Furthermore, the difference between  $F_{\text{opt}}$  in actual gradient analyses and in its counterpart found from isocratic measurements might be larger than the 30% observed in our limited set of experiments. Among the reasons for that could be (a) greater than in our experiments dependence of  $F_{\text{opt}}$  on the solvent composition, (b)  $\phi_R$  calculated from Eq. (3) for a solute and used as  $\phi$  in isocratic analysis of the solute only approximately represents  $\phi$  actually experienced by the solute during its migration in the gradient analysis, (c) gradient distortion [34] making Eq. (3) unsuitable for calculation of  $\phi_R$  and further increasing the difference between  $\phi$  in isocratic analysis of the solute and  $\phi$  actually experienced by it in the gradient analysis. These and other factors together with substantial difference between  $F_{\text{opt}}$  in isocratic and gradient analyses found in our experiments suggest that the direct measurement  $F_{\text{opt}}$  in gradient analysis considered herein might lead to its substantially better optimization.

## 6. Conclusions

Optimal flow rate ( $F_{\text{opt}}$ ) is defined herein as the solvent flow rate ( $F$ ) maximizing the separation of a predetermined peak-pair or the separation capacity of the entire LC analysis. The theoretical

background and the experimental technique of measurement of  $F_{\text{opt}}$  in gradient elution analysis were considered and experimentally demonstrated. Alternatively,  $F_{\text{opt}}$  for a peak-pair in gradient analysis or for the entire gradient analysis can be considered as  $F$  minimizing the plate height of a peak in isocratic analysis. General considerations and experimental results show that  $F_{\text{opt}}$  found under isocratic conditions can be substantially different from that actually optimal for gradient analysis and can result in its substantial under-performance. The experimental results are compiled in the Supplementary material.

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## 8. Appendix

### 8.1 *Measurement of plate number in gradient elution LC*

It follows from Eq. (1) ( main text) that  $F_{\text{opt}}$  minimizing the plate height ( $H$ ) in a given column can be found as  $F$  corresponding to the maximum in the column plate number ( $N$ ) which, in gradient analysis can be defined as [7, 8]:

*The plate number ( $N$ ) for a solute in gradient LC analysis is the one in isocratic analysis operated under conditions existing in the gradient analysis at the solute retention time*

297 To extend Eq. (2) for  $N$  in isocratic analysis, one can notice that the retention time ( $t_R$ ) in isocratic  
298 analysis can be expressed as:

299

300 
$$t_R = (1 + k)t_M \tag{A.1}$$

301

302 where  $k$  is the solute *phase retention factor* [23, 32] (briefly, *retention factor*) – the ratio of the solute  
303 amount in the stationary phase to that in the mobile phase. Substitution of Eq. (A.1) in Eq. (2) yields

304  $N = (1 + k)^2 t_M^2 / \sigma^2$ . A form of this equation valid for isocratic and gradient LC can be expressed as [7,  
305 8]:

306

307 
$$N = (1 + k_R)^2 t_M^2 / \sigma_{iso}^2 \tag{A.2}$$

308

309 where  $k_R$  is  $k$  and  $\sigma_{iso}$  is  $\sigma$  – both in isocratic analysis under conditions existing at  $t_R$  in gradient  
310 analysis<sup>2</sup>.

311 Eq. (A.2) shows that three parameters ( $k_R$ ,  $t_M$  and  $\sigma_{iso}$ ) should be known in order to compute  $N$  for a  
312 solute in gradient LC analysis.

313 The effect of  $F$  on  $t_M$  can be expressed as:

314

---

<sup>2</sup> Sometimes  $k_R$  of a solute in gradient analysis is defined as  $k_R = t_R/t_M - 1$ . This quantity can be substantially different from  $k_R$  in this report when gradient analysis is considered. Essentially,  $k_R$  in this report is the ratio of the solute amount in the stationary phase to that in the mobile phase at the time ( $t_R$ ) of the solute elution (see general definition of  $k$  in comments to Eq. (A.1)).

$$t_M = V_M / F \quad (A.3)$$

316

317 where  $V_M$  is the column *void volume* [35] – a column parameter that can be measured as the solvent  
 318 volume consumed between injection and elution of an *unretained solute*.

319 The peak width ( $\sigma_{iso}$ ) in isocratic analysis under conditions existing at  $t_R$  in gradient analysis can be  
 320 different from the actual peak width ( $\sigma$ ) at  $t_R$  in gradient analysis. Several factors can cause the  
 321 difference. One is the solute *zone compression* due to negative gradients in the solute velocities [36,  
 322 37]. The difference can also be caused by the fact that the solvent composition ( $\phi$ ) at the location of a  
 323 migrating solute changes during the analysis. This changes the solute's  $k$ . As a result, the solute's  $H$   
 324 changes during its migration. This affects the width of the eluting solute zone and the width ( $\sigma$ ) of the  
 325 corresponding peak. The difference between  $\sigma_{iso}$  and actual  $\sigma$  in gradient analysis can be expressed via  
 326 the *peak formation factor* [8]:

327

$$G_p = \sigma / \sigma_{iso} \quad (A.4)$$

329

330 Substitution of Eqs. (A.3) and (A.4) in Eq. (A.2) yields:

331

$$N = \frac{(1 + k_R)^2 V_M^2 G_p^2}{\sigma^2 F^2} \quad (A.5)$$

333

334 Among parameters in the right hand side of this equation, two ( $F$  and  $\sigma$ ) can be measured in each  
 335 particular case. Furthermore,  $V_M$  is a column parameter. It can be measured or calculated once for each  
 336 specific column, it does not depend on  $F$ , and is treated herein as a known quantity. Due to the  
 337 changing mobile phase, and thus changing conditions of solute migration in gradient analysis, it is



unclear how to measure the remaining two parameters ( $k_R$  and  $G_p$ ) of a given analyte under these transient conditions. As a result, it is unknown how to find  $N$  and, therefore,  $N_{\max}$ , and eventually  $F_{\text{opt}}$  defined as  $F$  at  $N = N_{\max}$ . Fortunately, this is not practically necessary for optimizing  $F$  in gradient analysis. In the main text of this report,  $F_{\text{opt}}$  is defined as  $F$  resulting in the highest separation of a predetermined peak pair (rather than in the lowest  $H$  of the highest  $N$  for a predetermined peak).

## 9. Reference

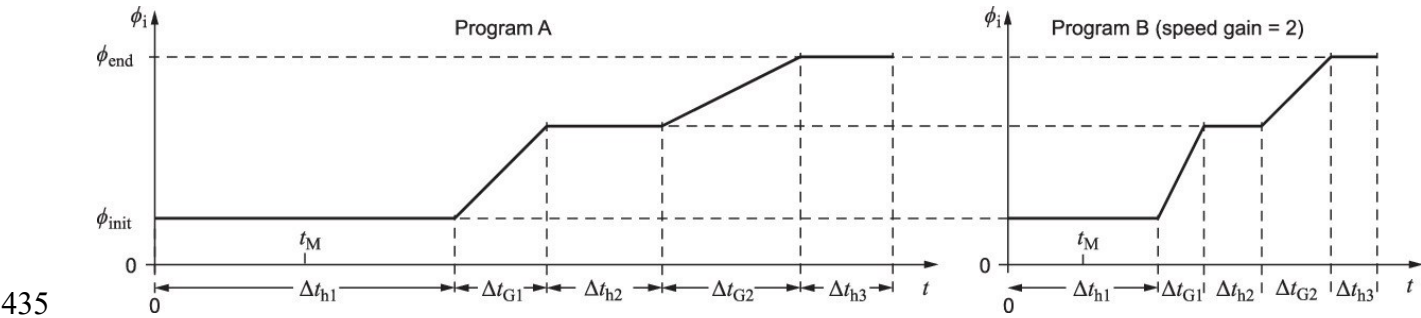
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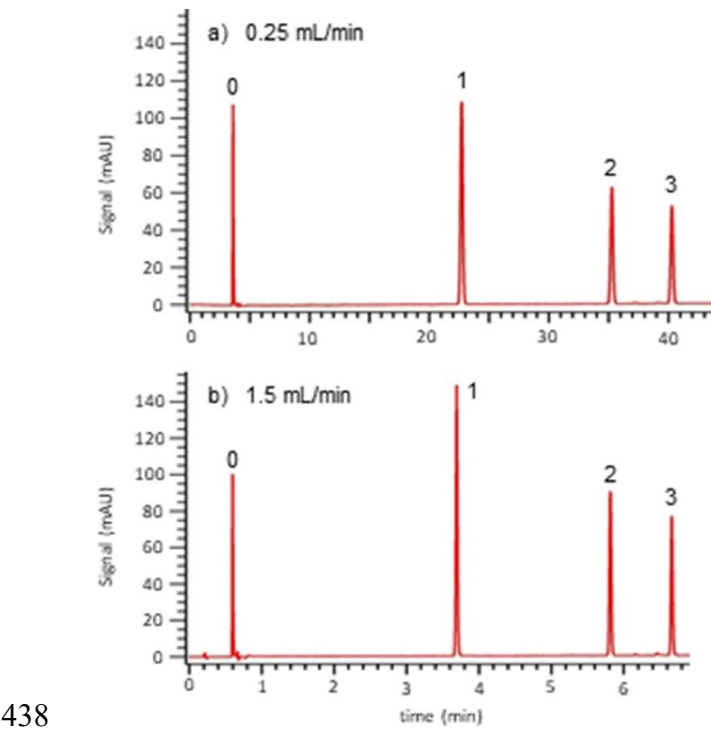
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- 432
- 433

434 **Figures**



436 Figure 1. Program A of changing of inlet solvent composition ( $\phi_i$ ) in time ( $t$ ) and its translation  
437 (Program B) with the speed gain of 2.



439 Figure 2. Gradient elution chromatograms at (a) the lowest  $F$  in all experiments, and (b)  $F_{opt}$  for  
440 separation of solutes 2 and 3 (Table 5).

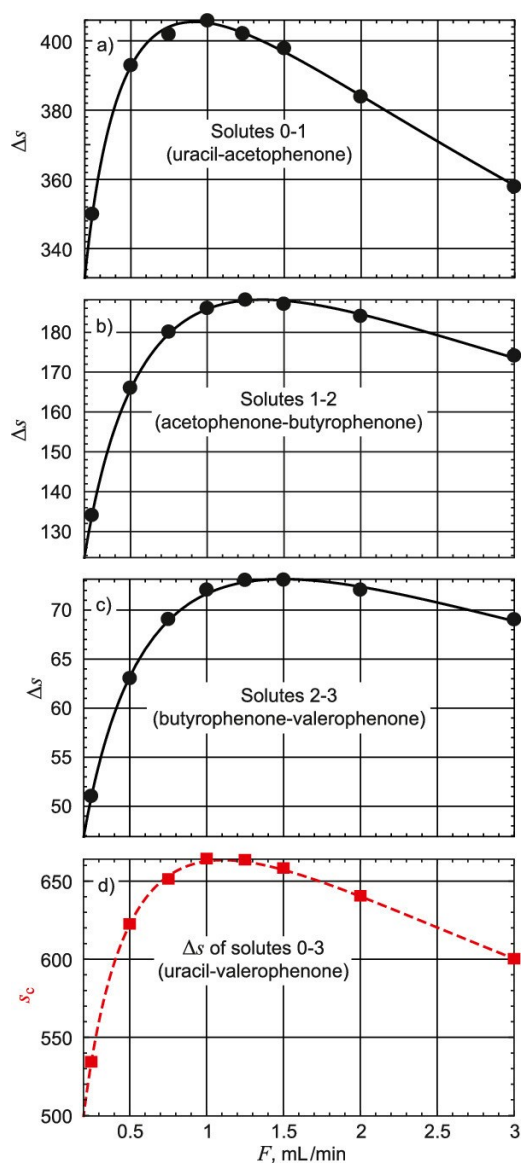
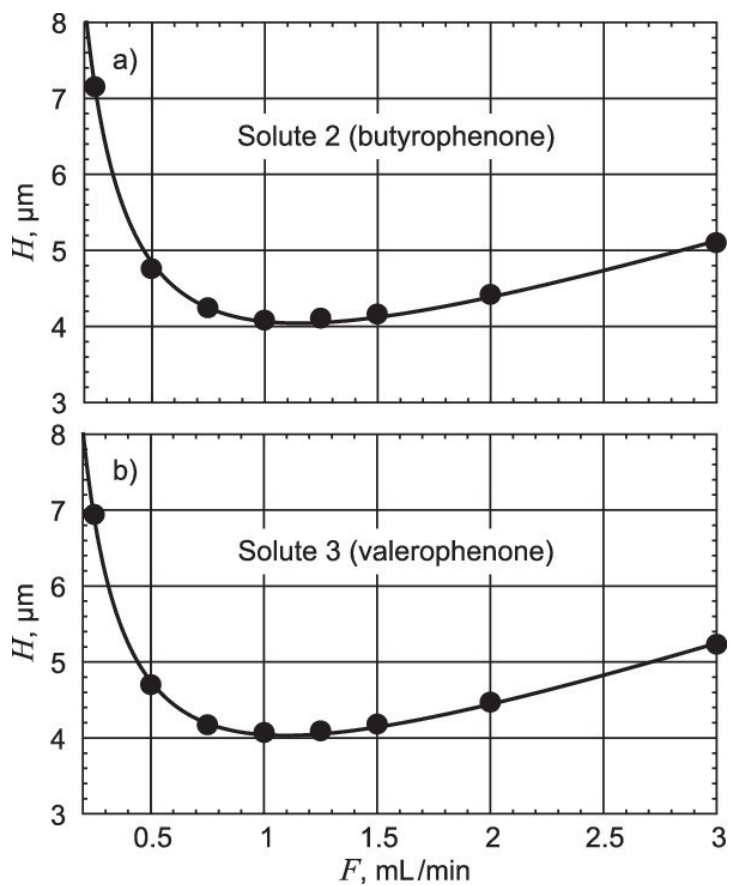


Figure 3. Separations ( $\Delta s$ ) of neighboring peak-pairs (panels a-c), and separation capacities ( $s_c$ ) of the analyses vs. flow rate ( $F$ ). Each dot in panels (a-c) represents  $\Delta s$  calculated from the measurements using Eq. (6). Each square in panel (d) represents  $s_c$  in Eq. (4). The lines are the least-square fits of the curve  $1/\sqrt{A+B/F+CF}$  to respective data, reflecting the proportionality of  $\Delta s$  and  $s_c$  to  $1/\sqrt{H}$  with  $H$  represented by the van Deemter model  $H = A + B/F + CF$ .



448

449 Figure 4. Plate heights for 2 solutes. The dots represent the measurement results. The lines are the  
 450 least-square fits of the curve  $H = A + B/F + CF$  to respective data.

451

452

453 **Tables**

454 **Table 1. Column parameters.**

Parameter	Value
Column dimensions $L \times d$	100 mm $\times$ 4.6 mm
Temperature $T$	25 °C
Packing porosity $\epsilon$	0.41
Particle size $d_p$	2.6 $\mu$ m
Particle porosity $\epsilon_p$	0.25
Shell thickness	0.5 $\mu$ m
Pore size	80 Å

455

456 **Table 2. Flow rates ( $F$ ), gradient times ( $t_G$ ) and volumes ( $V_G$ ).**

$F$ , mL/min	0.25	0.5	0.75	1.0	1.25	1.5	2.0	3.0
$t_G$ , min	64.71	32.208	21.402	16.056	12.852	10.728	8.1	5.436
$V_G = Ft_G$ , mL	16.18	16.10	16.05	16.06	16.07	16.09	16.20	16.31

457

458 **Table 3. Elution solvent compositions ( $\phi_{R0}$ ,  $\phi_{R1}$ ,  $\phi_{R2}$ ,  $\phi_{R3}$ ), %, of solutes 0 through 3, respectively, vs.**  
459 **flow rate ( $F$ , mL/min).**

$F$	0.25	0.5	0.75	1.0	1.25	1.5	2.0	3.0
$\phi_{R0}$	10	10	10	10	10	10	10	10
$\phi_{R1}$	36.6	36.1	36.1	35.9	35.9	36	35.8	35.8
$\phi_{R2}$	54.1	53.8	53.8	53.7	53.7	53.8	53.7	53.8
$\phi_{R3}$	61.	60.8	60.8	60.8	60.8	60.9	60.8	61

460

461 **Table 4. The widths ( $\sigma_0$  and  $\sigma_1$ ) of peaks 0 and 1 vs. flow rate ( $F$ , mL/min).**

$F$	0.25	0.5	0.75	1.0	1.25	1.5	2.0	3.0
$\sigma_0$ , s	1.8	0.87	0.6	0.45	0.37	0.31	0.25	0.18
$\sigma_1$ , s	5.4	2.2	1.36	1.0	0.8	0.66	0.51	0.37
$\sigma_1/\sigma_0$	3.0	2.5	2.3	2.2	2.2	2.1	2.1	2.1

462



463 Table 5. Optimal solvent flow rates ( $F_{\text{opt}}$ ) and velocities ( $u_{\text{M,opt}}$ ) for separations of neighboring peak  
 464 pairs and for separation capacity ( $s_c$ ) of the analysis (separation of peaks 0 and 3).

Peaks	0-1	1-2	2-3	0-3
$F_{\text{opt}}$ , mL/min	0.91	1.36	1.5	1.11
$u_{\text{M,opt}}$ , mm/s	1.69	2.51	2.76	2.04
$\Delta S_{\text{max}}$	406	188	73	663

465

466 Table 6. Solvent compositions ( $\phi$ ) in isocratic analyses of 2 solutes and the solute parameters.

Solute 2 (butyrophenone)				Solute 3 (valerophenone)			
$\phi$	$k$	$F_{\text{opt}}$	$u_{\text{M,opt}}$	$\phi$	$k$	$F_{\text{opt}}$	$u_{\text{M,opt}}$
%		mL/min	mm/s	%		mL/min	mm/s
53.8	2.6	1.15	0.666	60.9	2.7	1.10	0.635

467

468

469

470 Supplementary material to  
471 Measurement of optimal flow rate in gradient elution liquid  
472 chromatography

---

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478

479 The purpose of this Supplemental material is to provide the experimental data and calculated  
480 parameters evaluated in the main text.

481 Table S.1. Nomenclature and Definitions

Symbol	Descriptions
A	peak area
F	solvent flow rate
$\Delta s$	peak separation, Eq. (6) of main text
$s_c$	separation capacity of analysis, Eq. (4) of main text
$t_G$	gradient time
$t_M$	void time ( $t_R$ of uracil)
$t_R$	retention time
$V_G$	gradient volume, $V_G = Ft_G$
$\bar{V}_G$	average $V_G$
$w_A$	peak area-over-height width, $w_A = A/Y_{\max}$
$w_b$	peak base width
$w_h$	peak half-height width
$Y_{\max}$	peak height
$\Delta\phi_G$	$\phi$ increment during $t_G$
$\Delta\phi_M$	void increment of $\phi$ ( $\phi$ increment, $\Delta\phi_G t_M / t_G$ , during $t_M$ )
$\sigma$	peak standard deviation, $\sigma = w_A / \sqrt{2\pi}$ [1]
$\sigma$ -ratio	$\sigma/(\sigma$ of preceding peak)
$\phi$	solvent composition (volume-fraction of stronger solvent)
$\phi_R$	outlet $\phi$ at the time $t_R$
Isocratic analyses only	
H	plate height, Eq. (1), main text
N	plate number, Eq. (2), main text
k	retention factor, $k = t_R / t_M - 1$

482

483 In the tables below, non-shaded entries are the set-points ( $F$ ,  $t_G$ ) and parameters ( $t_R$ ,  $w_b$ ,  $w_h$ ,  $A$ ,  $Y_{\max}$ )

484 reported by the chromatographic system. The shaded entries were calculated from non-shaded ones

485 using equations in the main text or the ones described in Table S.1, above.

486 Table S.2. Experimental results of gradient analyses.

$F$	$t_G$	$t_R$	$w_h$	$w_b$	$A$	$Y_{\max}$	$t_M$	$\Delta\phi_M$	$u_M$	$V_G$	$\bar{V}_G$	$\phi_R$	$w_A$	$\sigma$	$\sigma$ -ratio	$\Delta s$	$s_c$
mL/min	min	min	min	min	mAu×min	mAu	min	%	mm/s	mL	mL	l	s	s	l	l	
Uracil																	
0.25	64.71	3.593	0.068	0.120	8.119	107.760	3.593	5.00	0.46	16.18	16.13	0.100	4.521	1.804			
0.50	32.208	1.790	0.033	0.060	4.003	110.410	1.790	5.00	0.93	16.10	16.13	0.100	2.175	0.868			
0.75	21.402	1.192	0.022	0.040	2.637	106.240	1.192	5.01	1.40	16.05	16.13	0.100	1.489	0.594			
1.00	16.056	0.894	0.017	0.030	1.972	105.770	0.894	5.01	1.86	16.06	16.13	0.100	1.119	0.446			
1.25	12.852	0.716	0.014	0.020	1.578	102.930	0.716	5.01	2.33	16.07	16.13	0.100	0.920	0.367			
1.50	10.728	0.598	0.012	0.020	1.311	100.130	0.598	5.02	2.79	16.09	16.13	0.100	0.786	0.313			
2.00	8.1	0.450	0.009	0.020	1.052	102.950	0.450	5.00	3.70	16.20	16.13	0.100	0.613	0.245			
3.00	5.436	0.302	0.007	0.01	0.7093	95.8	0.302	5.00	5.52	16.31	16.13	0.100	0.444	0.177			
Acetophenone																	
0.25	64.71	22.712	0.211	0.360	24.447	108.380	3.593	5.00	0.46	16.18	16.13	0.366	13.534	5.399	2.99	350	
0.50	32.208	11.143	0.085	0.150	12.363	135.100	1.79	5.00	0.93	16.10	16.13	0.361	5.491	2.190	2.52	393	
0.75	21.402	7.389	0.053	0.090	8.310	146.340	1.192	5.01	1.40	16.05	16.13	0.361	3.407	1.359	2.29	402	
1.00	16.056	5.517	0.038	0.070	6.203	149.610	0.894	5.01	1.86	16.06	16.13	0.359	2.488	0.992	2.22	406	
1.25	12.852	4.416	0.03	0.050	4.955	150.150	0.716	5.01	2.33	16.07	16.13	0.359	1.980	0.790	2.15	402	
1.50	10.728	3.692	0.026	0.040	4.116	148.380	0.598	5.02	2.79	16.09	16.13	0.360	1.664	0.664	2.12	398	
2.00	8.1	2.773	0.02	0.030	3.027	140.830	0.45	5.00	3.70	16.20	16.13	0.358	1.289	0.514	2.10	384	
3.00	5.436	1.863	0.014	0.02	2.0301	131.49	0.302	5.00	5.52	16.31	16.13	0.358	0.926	0.370	2.09	358	
Butyrophenone																	
0.25	64.71	35.271	0.23	0.390	15.331	62.490	3.593	5.00	0.46	16.18	16.13	0.541	14.720	5.873	1.09	134	
0.50	32.208	17.459	0.093	0.160	7.823	78.970	1.79	5.00	0.93	16.10	16.13	0.538	5.943	2.371	1.08	166	
0.75	21.402	11.601	0.056	0.100	5.257	86.770	1.192	5.01	1.40	16.05	16.13	0.538	3.635	1.450	1.07	180	
1.00	16.056	8.690	0.041	0.070	3.927	89.320	0.894	5.01	1.86	16.06	16.13	0.537	2.638	1.052	1.06	186	
1.25	12.852	6.959	0.032	0.050	3.149	90.530	0.716	5.01	2.33	16.07	16.13	0.537	2.087	0.833	1.05	188	
1.50	10.728	5.816	0.027	0.050	2.616	89.980	0.598	5.02	2.79	16.09	16.13	0.538	1.744	0.696	1.05	187	
2.00	8.1	4.381	0.04	0.040	1.443	64.510	0.45	5.00	3.70	16.20	16.13	0.537	1.342	0.535	1.04	184	
3.00	5.436	2.947	0.015	0.02	0.9617	60.81	0.302	5.00	5.52	16.31	16.13	0.538	0.949	0.379	1.02	174	
Valerophenone																	
0.25	64.71	40.288	0.235	0.400	13.058	52.240	3.593	5.00	0.46	16.18	16.13	0.610	14.998	5.983	1.02	51	600
0.50	32.208	19.976	0.094	0.160	6.699	66.850	1.79	5.00	0.93	16.10	16.13	0.608	6.013	2.399	1.01	63	640
0.75	21.402	13.284	0.057	0.100	4.497	73.280	1.192	5.01	1.40	16.05	16.13	0.608	3.682	1.469	1.01	69	658
1.00	16.056	9.955	0.041	0.070	3.363	75.580	0.894	5.01	1.86	16.06	16.13	0.608	2.670	1.065	1.01	72	663
1.25	12.852	7.974	0.033	0.060	2.686	76.520	0.716	5.01	2.33	16.07	16.13	0.608	2.106	0.840	1.01	73	664
1.50	10.728	6.664	0.027	0.050	2.233	76.330	0.598	5.02	2.79	16.09	16.13	0.609	1.755	0.700	1.01	73	651
2.00	8.1	5.023	0.021	0.040	1.140	50.790	0.45	5.00	3.70	16.20	16.13	0.608	1.346	0.537	1.00	72	622
3.00	5.436	3.381	0.015	0.03	0.7607	47.77	0.302	5.00	5.52	16.31	16.13	0.610	0.955	0.381	1.01	69	534

487

488

489 Table S.3. Experimental results of isocratic analyses at  $\phi = 53.8\%$ .

$F$	$t_R$	A	$Y_{\max}$	$w_b$	$w_h$	$w_A$	$\sigma$	$N$	$H$	$k$
ml/min	min	mAu×min	mAu	min	min	s	s	l	μm	l
<b>Uracil</b>										
3.00	0.268	0.644	111.13	0.01	0.005	0.348	0.139	13430	7.45	0
2.00	0.398	0.975	116.60	0.01	0.007	0.502	0.200	14237	7.02	0
1.50	0.529	1.313	120.37	0.02	0.010	0.654	0.261	14777	6.77	0
1.25	0.633	1.586	121.77	0.02	0.012	0.781	0.312	14848	6.73	0
1.00	0.790	1.988	123.98	0.02	0.014	0.962	0.384	15259	6.55	0
0.75	1.052	2.660	125.27	0.03	0.019	1.274	0.508	15427	6.48	0
0.50	1.577	3.987	127.71	0.05	0.028	1.873	0.747	16030	6.24	0
0.25	3.155	7.989	122.35	0.10	0.060	3.918	1.563	14671	6.82	0
<b>Butyrophenone</b>										
3.00	0.960	1.399	81.52	0.03	0.016	1.030	0.411	19661	5.09	2.58
2.00	1.426	2.119	89.30	0.04	0.022	1.424	0.568	22683	4.41	2.58
1.50	1.891	2.859	93.61	0.05	0.028	1.833	0.731	24083	4.15	2.57
1.25	2.263	3.448	94.97	0.06	0.034	2.178	0.869	24415	4.10	2.58
1.00	2.824	4.313	95.53	0.07	0.042	2.709	1.081	24578	4.07	2.57
0.75	3.762	5.769	94.05	0.10	0.057	3.680	1.468	23634	4.23	2.58
0.50	5.652	8.618	88.25	0.15	0.091	5.859	2.338	21047	4.75	2.58
0.25	11.343	17.225	71.69	0.38	0.225	14.416	5.751	14004	7.14	2.60
Average:										2.58

490

491

492 Table S.4. Experimental results of isocratic analyses at  $\phi = 60.9\%$ .

$F$	$t_R$	A	$Y_{\max}$	$w_b$	$w_h$	$w_A$	$\sigma$	$N$	$H$	$k$
ml/min	min	mAu×min	mAu	min	min	s	s	l	μm	l
<b>Uracil</b>										
0.25	3.152	8.859	135.21	0.10	0.060	3.931	1.568	14541	6.88	0
0.50	1.573	4.434	141.55	0.05	0.028	1.879	0.750	15848	6.31	0
0.75	1.050	2.957	139.79	0.03	0.019	1.269	0.506	15479	6.46	0
1.00	0.788	2.218	138.40	0.02	0.014	0.962	0.384	15188	6.58	0
1.25	0.632	1.769	136.81	0.02	0.012	0.776	0.310	15007	6.66	0
1.50	0.527	1.472	135.16	0.02	0.010	0.654	0.261	14704	6.80	0
2.00	0.397	1.091	129.85	0.01	0.008	0.504	0.201	14038	7.12	0
3.00	0.267	0.713	123.11	0.01	0.005	0.348	0.139	13339	7.50	0
<b>Valerophenone</b>										
0.25	11.523	14.384	59.83	0.38	0.225	14.425	5.755	14434	6.93	2.656
0.50	5.724	7.248	73.76	0.16	0.091	5.895	2.352	21323	4.69	2.639
0.75	3.822	4.832	78.16	0.10	0.057	3.709	1.480	24017	4.16	2.640
1.00	2.874	3.626	79.00	0.07	0.042	2.754	1.099	24640	4.06	2.647
1.25	2.308	2.900	78.44	0.06	0.034	2.218	0.885	24488	4.08	2.652
1.50	1.931	2.409	77.02	0.05	0.029	1.876	0.749	23958	4.17	2.664
2.00	1.458	1.790	73.36	0.04	0.022	1.464	0.584	22434	4.46	2.673
3.00	0.984	1.180	66.23	0.03	0.016	1.069	0.427	19152	5.22	2.685
Average:										2.657

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494 **References**

495 [1] L. M. Blumberg, Temperature-Programmed Gas Chromatography, Wiley-VCH, Weinheim,  
496 **2010.**

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