

TWISTED KÄHLER-EINSTEIN METRICS

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Dedicated to D. H. Phong on the occasion of his 65th birthday.

ABSTRACT. We prove an existence result for twisted Kähler-Einstein metrics, assuming an appropriate twisted K-stability condition. An improvement over earlier results is that certain non-negative twisting forms are allowed.

1. INTRODUCTION

Let M be a Fano manifold, together with a line bundle $T \rightarrow M$. Let $\beta \in c_1(T)$ be a smooth non-negative form that can be expressed as an average

$$(1) \quad \beta = \int_{|T|} [D] d\mu(D),$$

where $d\mu$ is a volume form on the linear system $|T|$. A typical example is obtained if $|T|$ is basepoint free, and β is the pullback of the Fubini-Study metric under the corresponding map $M \rightarrow \mathbf{P}^N$ (see [17, Theorem 19]). More generally we could allow the divisors D to be in the linear system $|kT|$ for some $k > 1$, but for simplicity of notation we will only consider the case $k = 1$.

Our goal is to study the existence of solutions to the equation

$$\mathrm{Ric}(\omega) = \omega + \beta$$

on M . We necessarily have $\omega \in c_1(L)$, where $L = K^{-1} \otimes T^{-1}$ in terms of the canonical bundle K of M . We call a solution ω of this equation a twisted Kähler-Einstein metric on (M, β) . The main result is the following.

Theorem 1. *There exists a twisted Kähler-Einstein metric on (M, β) if (M, β) is K-stable.*

We will define K-stability of the pair (M, β) in Section 2 below. Note that if T is trivial, so that $\beta = 0$, then $L = K^{-1}$, and we are seeking a Kähler-Einstein metric on M . In this case Theorem 1 was proven by Chen-Donaldson-Sun [4] in solving the Yau-Tian-Donaldson conjecture [14, 26, 29]. When $\beta \in c_1(M)$ is strictly positive, Datar and the second author [7] showed a slightly weaker statement, namely that if (M, β) is K-stable, then for any $\epsilon > 0$ there is a solution of the equation $\mathrm{Ric}(\omega) = \omega + (1 + \epsilon)\beta$. This is more or less equivalent to replacing “K-stable” by “uniformly K-stable” in the statement of Theorem 1. In much more generality, allowing positive currents β , the result assuming uniform K-stability was also shown by Berman-Boucksom-Jonsson [23], using very different techniques. In the setting when $\beta \in c_1(M)$ is the current of integration along a smooth divisor, the

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statement of Theorem 1 was also shown by Chen-Donaldson-Sun [4], where instead of twisted Kähler-Einstein metrics, one considers Kähler-Einstein metrics with cone singularities along the divisor. Let us also remark that it would be natural to extend Theorem 1 to pairs (M, β) that admit automorphisms, using a suitable notion of K-polystability rather than K-stability. This would not introduce substantial new difficulties, however in this paper we focus on the case of no automorphisms to simplify the discussion.

In Section 2 we will give the definition of K-stability of a pair (M, β) , which is similar to log-K-stability [18] and twisted K-stability [9]. In the case when β is the pullback of a positive form by a map, stability of the pair is related to the stability of the map in the sense of [10]. We then prove Theorem 1 in Section 3 along the lines of the argument in [7]. An important simplification of the prior arguments in Chen-Donaldson-Sun [4] as well as [7, 25] is provided by the work of the second author and Liu [19] on Gromov-Hausdorff limits of Kähler manifolds with only lower bounds on the Ricci curvature, rather than a two-sided bound as in Donaldson-Sun [16]. An additional observation, given in Corollary 9 below, allows us to obtain the existence of a twisted Kähler-Einstein metric under the assumption of K-stability, rather than the stronger uniform K-stability which would follow more directly from the methods of [7].

2. K-STABILITY

Let M, T, β be as in the introduction, and $L = K^{-1} \otimes T^{-1}$. Note that since M is Fano, the line bundles T, L are uniquely determined by β , given that $\beta \in c_1(T)$. In this section we discuss K-stability of (M, β) , and prove some basic properties. First we have the following definition, which agrees with that in Tian [26] when T is the trivial bundle so that $\beta = 0$.

Definition 2. A special degeneration for (M, L) of exponent $r > 0$ consists of an embedding $M \subset \mathbf{P}^N$ using a basis of sections of L^r , together with a $\mathbf{C}^*$ -action λ on $\mathbf{P}^N$, such that the limit $\lim_{t \rightarrow 0} \lambda(t) \cdot M$ is a normal variety.

We will refer to a special degeneration by the $\mathbf{C}^*$ -action λ , leaving implicit the projective embedding of M that is also part of the data. Next, we define the Donaldson-Futaki invariant $DF(M, \lambda)$ in the same way as in Donaldson [14], in terms of the weights of the action on the spaces of sections $H^0(M, L^{kr})$ as $k \rightarrow \infty$. In addition we will need a differential geometric formula for the Donaldson-Futaki invariant. For this let $Z = \lim_{t \rightarrow 0} \lambda(t) \cdot M$. We can assume that the S^1 -subgroup of λ acts through $SU(N+1)$, and so we have a Hamiltonian function θ on $\mathbf{P}^N$ generating λ .

Proposition 3. *Let ω denote the restriction of the Fubini-Study metric to Z . We then have*

$$DF(M, \lambda) = -V^{-1} \int_Z \theta (n \operatorname{Ric}(\omega|_Z) - \hat{R}\omega) \wedge \omega^{n-1},$$

where V is the volume of Z , and $\hat{R}$ is the average scalar curvature, so that the integral above is unchanged by adding a constant to θ .

Proof. Let us denote by ω_s the restriction of the Fubini-Study metric on $\lambda(e^{-s}) \cdot M$. We thus have a family of metrics $\omega_s = \omega_0 + \sqrt{-1} \partial \bar{\partial} \varphi_s$ on M in a fixed Kähler

class. Since the central fiber Z of our degeneration is normal, the Donaldson-Futaki invariant $DF(M, \lambda)$ is given by the asymptotic derivative of the Mabuchi functional [20] along this family ω_s (see Paul-Tian [22, Corollary 1.3]). I.e. we have

$$DF(M, \lambda) = \lim_{s \rightarrow \infty} -V^{-1} \int_M \dot{\varphi}_s (n \text{Ric}(\omega_s) - \hat{R}\omega_s) \wedge \omega_s^{n-1}.$$

In addition we have $\dot{\varphi}_s = \theta$ under identifying M with $\lambda(e^{-s}) \cdot M$. It therefore remains to show that these integrals on M converge to the corresponding integral on Z .

If Z were smooth, then this convergence would be immediate. It is thus enough to show that the singularities of Z do not contribute to the limit. For this, note first that we have a uniform upper bound $\text{Ric}(\omega_s) < C\omega_s$ for the Ricci curvatures, where C depends on the curvature of the Fubini-Study metric, since curvature decreases in holomorphic subbundles. We can view $C\omega_s - \text{Ric}(\omega_s)$ as a positive current of dimension $(n-1, n-1)$, supported on $\lambda(e^{-s}) \cdot M$. As $s \rightarrow \infty$, these currents converge (along a subsequence if necessary) weakly to a limit current T , supported on Z . On the regular part of Z , this limit current is necessarily given by $C\omega - \text{Ric}(\omega)$ in terms of the Fubini-Study metric ω , and since the codimension of the singular set is at least 2, this determines T . $\square$

We are now ready to define the twisted Futaki invariant of the special degeneration.

Definition 4. Suppose that we have a special degeneration λ for M with Hamiltonian θ as above, and $Z = \lim_{t \rightarrow 0} \lambda(t) \cdot M$. Under the assumption (1) we have an induced current $\gamma = \lim_{t \rightarrow 0} \lambda(t)_* \beta$ on Z . The twisted Futaki invariant of this special degeneration is then defined to be

$$\text{Fut}_\beta(M, \lambda) = DF(M, \lambda) + nV^{-1} \int_Z \theta (\gamma - c\omega_{FS}) \wedge \omega_{FS}^{n-1},$$

where c is a constant so that the expression is invariant under adding a constant to θ .

Given this, we define K-stability of (M, β) as follows.

Definition 5. The pair (M, β) is K-stable, if $\text{Fut}_\beta(M, \lambda) \geq 0$ for all special degenerations for (M, L) , with equality only if λ is trivial.

It will be important for us to replace the smooth form β with currents of integration along divisors. The definition of the twisted Futaki invariant above applies in this case too, leading to log-K-stability (see Donaldson [15], Li [18]), and we will need to compare these two notions. As in [7], the twisted Futaki invariant with a smooth form β is the same as the twisted Futaki invariant using a generic divisor in the same class. This follows from the decomposition (1), together with the following result from Wang [27, Theorem 26].

Proposition 6. Let $D \subset \mathbf{P}^N$ have dimension $n-1$, and λ a $\mathbf{C}^*$ -action with Hamiltonian θ as above. Suppose that θ is normalized to have zero average on $\mathbf{P}^N$. Let $D_0 = \lim_{t \rightarrow 0} \lambda(t) \cdot D$, and denote by $w(D_0, \lambda)$ the weight of the induced action on the Chow line over D_0 . Then (up to a multiplicative normalization constant)

$$w(D_0, \lambda) = - \int_{D_0} \theta \omega^{n-1}.$$

Under a projective embedding of the Chow variety, we can view each D as in this proposition as a line in a vector space V spanned by a vector v_D . The weight $w(D_0, \lambda)$ is determined by the lowest weight in the weight decomposition of v_D under the $\mathbf{C}^*$ -action λ . It follows that as D varies in a linear system as in (1), there will be a hyperplane section $H \subset |T|$ such that the corresponding weights will all be equal for $D \notin H$. More precisely we have the following.

Proposition 7. *Given any $\mathbf{C}^*$ -action λ with Hamiltonian θ on $\mathbf{P}^N$, there is a hyperplane $H \subset |T|$ such that for all $D \in |T|$ we have*

$$(2) \quad \lim_{t \rightarrow 0} \int_{\lambda(t) \cdot D} \theta \omega^{n-1} \leq \lim_{t \rightarrow 0} \int_{\lambda(t) \cdot M} \theta (\lambda(t))_* \beta \wedge \omega^{n-1},$$

with equality for $D \in |T| \setminus H$. In addition, given an action of a torus $\mathbf{T}$, we can choose a $D \in |T|$ such that equality holds above for all $\lambda \subset \mathbf{T}$.

Proof. (Compare [17, Lemma 9].) Using (1) the equation (2) is true when averaged over $|T|$, i.e. we have

$$\int_{|T|} \lim_{t \rightarrow 0} \int_{\lambda(t) \cdot D} \theta \omega^{n-1} d\mu(D) = \lim_{t \rightarrow 0} \int_{\lambda(t) \cdot M} (\lambda(t))_* \beta \wedge \omega^{n-1}.$$

At the same time by Proposition 6, up to a normalizing constant, the limit on the left hand side of (2) is a Chow weight in geometric invariant theory. In particular it is given by the minimal weight under the weight decomposition of the vector corresponding to D in the Chow variety, under the $\mathbf{C}^*$ -action λ . Generically, i.e. on the complement of a hyperplane (corresponding to the vanishing of the lowest weight component), this weight will achieve its minimum and is independent of D .

For the second statement in the Proposition, we can take a generic D that has a non-zero component in all the weight spaces which appear under the action of $\mathbf{T}$ on elements in $|T|$. $\square$

This result leads to an important finiteness property of special degenerations inside a fixed projective space. We first have the following (that is essentially a standard piece of Geometric Invariant Theory).

Lemma 8. *Fix $r > 0$. There is a finite set $\mathcal{F} \subset \mathbf{R}$ with the following property. Suppose that we have a special degeneration λ of exponent r for M , and a divisor $D \in |T|$ on M such that the limit (M_0, D_0) of the pair (M, D) under λ is not fixed by any $\mathbf{C}^*$ subgroup of $SL(N+1)$ commuting with λ , apart from λ itself (i.e. the centralizer of λ in the stabilizer group is just λ). Let θ be the Hamiltonian for λ normalized to have zero average on $\mathbf{P}^N$, and let $\|\lambda\|$ denote the L^2 -norm of θ on $\mathbf{P}^N$. Then the normalized twisted Futaki invariant $\|\lambda\|^{-1} \text{Fut}_D(M, \lambda)$ lies in $\mathcal{F}$.*

Proof. Note first of all that since any $\mathbf{C}^*$ -subgroup can be conjugated into a maximal torus of $SL(N+1)$, up to moving the pair (M, D) in its orbit, we can assume that λ is in a fixed maximal torus $\mathbf{T}$. Then if (M_0, D_0) is as in the statement of the Lemma, the normalized twisted Futaki invariant is determined by the pair (M_0, D_0) , since the induced $\mathbf{C}^*$ -action is uniquely determined up to scaling.

The pair (M_0, D_0) is represented by a point in a product of Chow varieties, i.e. under a projective embedding by a line spanned by a vector v in a vector space V admitting a $\mathbf{T}$ -action. Under the decomposition of V into weight spaces for the $\mathbf{T}$ -action, the weights appearing in the decomposition of v must lie in a codimension-one affine subspace of $\mathfrak{t}^*$ by the assumption that (M_0, D_0) has a one

dimensional stabilizer in $\mathbf{T}$. The normalized twisted Futaki invariant is determined by this affine subspace rather than the components of v in each corresponding weight space. Since there are only a finite number of possible such affine subspaces, we can have only finitely many different normalized twisted Futaki invariants. $\square$

Corollary 9. *Fix $r > 0$. Suppose that for any $\epsilon > 0$ we have a special degeneration λ of exponent r for (M, L) such that $\|\lambda\|^{-1} \text{Fut}_\beta(M, \beta) < \epsilon$. Then (M, β) is not K -stable.*

Proof. Given a special degeneration λ , we will show that we can either find another special degeneration with non-positive twisted Futaki invariant, or we can find a special degeneration λ' to which Lemma 8 applies, and which has smaller normalized twisted Futaki invariant than λ . If ϵ is sufficiently small, this will necessarily be non-positive.

By conjugating, we can assume that λ is in a fixed maximal torus $\mathbf{T}$. By Proposition 7, we can choose a $D \in |T|$, such that the twisted Futaki invariant $\text{Fut}_\beta(M, \tau) = \text{Fut}_D(M, \tau)$ for any $\mathbf{C}^*$ subgroup τ in $\mathbf{T}$. Let us consider the effect of varying the $\mathbf{C}^*$ -action on the central fiber and the normalized twisted Futaki invariant.

As above, we can view the pair (M, D) as a line spanned by a vector v in a vector space V with an action of $\mathbf{T}$. We decompose $v = \sum v_{\alpha_i}$ into components on which the torus acts by weights $\alpha_i \in \mathfrak{t}^*$. Let us denote by $\mathcal{W} \subset \mathfrak{t}^*$ the weights that appear in this decomposition. For any $\mathbf{C}^*$ -subgroup $\tau \subset \mathbf{T}$, we will also denote by $\tau \in \mathfrak{t}$ its generator. The central fiber (M_0, D_0) under this $\mathbf{C}^*$ is determined by the sum of those components v_α for which $\langle \alpha, \tau \rangle$ is minimal, i.e. $\langle \alpha, \tau \rangle \leq \langle \beta, \tau \rangle$ for all $\beta \in \mathcal{W}$. Let us denote by $\mathcal{W}_\tau \subset \mathcal{W}$ the set of these minimal weights. The stabilizer of (M_0, D_0) in $\mathbf{T}$ is then the subgroup with Lie algebra

$$\{\eta \in \mathfrak{t} \mid \eta \text{ is constant on } \mathcal{W}_\tau\},$$

where we can view any $\eta \in \mathfrak{t}$ as a function on $\mathfrak{t}^*$. In particular the stabilizer of (M_0, D_0) is τ precisely when $\mathcal{W}_\tau$ spans a codimension-one affine subspace in $\mathfrak{t}^*$.

Consider again our given special degeneration λ . If $\mathcal{W}_\lambda$ spans a codimension-one affine subspace, then we are already done. Otherwise, we can find another $\mathbf{C}^*$ -action τ which is orthogonal to λ in $\mathfrak{t}$ (here we use the inner product on $\mathfrak{t}$ given by the L^2 -product on $\mathbf{P}^N$ of the corresponding Hamiltonian functions), and is constant on $\mathcal{W}_\lambda$. For rational t let us consider the $\mathbf{C}^*$ -actions $\lambda + t\tau$. We can find an interval (a_1, a_2) containing 0, such that if $t \in (a_1, a_2)$ then $\mathcal{W}_{\lambda+t\tau} = \mathcal{W}_\lambda$, however for $i = 1, 2$ we have $\mathcal{W}_{\lambda+a_i\tau} \supsetneq \mathcal{W}_\lambda$. For $t \in (a_1, a_2)$ the central fibers (M_0, D_0) of the degenerations given by $\lambda + t\tau$ will all be the same. As a result the twisted Futaki invariant varies linearly in t , while the norm is smallest when $t = 0$. It follows that the normalized twisted Futaki invariant of $\lambda + t\tau$ will be strictly smaller for either $t = a_1$ or $t = a_2$ than for $t = 0$. Moreover the original central fiber (M_0, D_0) will be a specialization of the new (M'_0, D'_0) , and so M'_0 is also normal. The new central fiber has smaller stabilizer, and so after finitely many such steps the result follows. $\square$

3. PROOF OF THE MAIN RESULT

In this section we prove Theorem 1, along similar lines to the argument in [7]. Instead of the partial C^0 -estimate in [25], we will use the main result in [19], which leads to substantial simplifications, and allows us to work with non-negative

β rather than just those that are strictly positive. We first set up the relevant continuity method.

3.1. The continuity method. Let $\alpha \in c_1(L)$ be a Kähler form, and consider the equations

$$(3) \quad \text{Ric}(\omega_t) = t\omega_t + (1-t)\alpha + \beta,$$

for $\omega_t \in c_1(L)$. For $t = 0$ the equation can be solved using Yau's theorem [28], and the set of $t \in [0, 1]$ for which the solution exists is open. Suppose that we can solve the equation for $t \in [0, T)$. If $t > t_0 > 0$, then by Myers' theorem we have a diameter bound, and since the volume is fixed, the Bishop-Gromov theorem implies that the manifolds (M, ω_t) are uniformly non-collapsed. Along a sequence $t_k \rightarrow T$, we can extract a Gromov-Hausdorff limit Z . Let us denote by M_k the metric spaces (M, ω_{t_k}) , so $M_k \rightarrow Z$ in the Gromov-Hausdorff sense.

Theorem 1.1 in [19] (which is based on ideas of Donaldson-Sun [16]) implies that for a sufficiently large $\ell > 0$, we have a sequence of uniformly Lipschitz holomorphic maps $F_k : M_k \rightarrow \mathbf{P}^N$, using sections of L^ℓ . These converge to a Lipschitz map $F_\infty : Z \rightarrow \mathbf{P}^N$ that is a homeomorphism to its image. We will identify Z with its image $F_\infty(Z)$, which is a normal projective variety. Up to choosing a further subsequence we can assume that

$$(F_k)_*[(1-t_k)\alpha + \beta] \rightarrow \gamma$$

weakly for a positive current γ on Z . Note that since the F_k are all defined using sections of L^ℓ , we have a sequence $g_k \in PGL(N+1)$ such that $F_k = g_k \circ F_1$, so Z is in the closure of the $PGL(N+1)$ -orbit of $F_1(M)$.

We next show that Z admits a twisted Kähler-Einstein metric, which we can formally view as a solution of the equation $\text{Ric}(\omega_T) = T\omega_T + \gamma$. More precisely, let us denote by L the $\mathbf{Q}$ -line bundle on Z such that $L^l = \mathcal{O}(1)$. We then have the following.

Proposition 10. *The $\mathbf{Q}$ -line bundle L over Z admits a metric with locally bounded potentials with the following property. Locally on Z_{reg} , if the metric is given by $e^{-\varphi_T}$, then its curvature form ω_{φ_T} satisfies*

$$(4) \quad \omega_{\varphi_T}^n = e^{-T\varphi_T - \psi}$$

in the sense of measures, where $\sqrt{-1}\partial\bar{\partial}\psi = \gamma$. Here Z_{reg} denotes the regular set of Z in the complex analytic sense.

Proof. The metric on (a power of) L is obtained by the partial C^0 -estimate, as a limit of metrics h_k on $L \rightarrow M_k$ that have curvature ω_{t_k} . More concretely, the partial C^0 -estimate implies that under our embeddings $F_k : M_k \rightarrow \mathbf{P}^N$, the pullback of the Fubini-Study metric is uniformly equivalent to h_k . Using this we can extract a limit metric on $\mathcal{O}(1)|_Z$ which will also be uniformly equivalent to the restriction of the Fubini-Study metric.

Let us now consider a point $p \in Z_{\text{reg}}$ and a sequence $p_k \in M_k$ such that $p_k \rightarrow p$ under the Gromov-Hausdorff convergence. We have a holomorphic chart z_i on a neighborhood of p , and using the maps F_k this gives rise to charts z_{ki} on neighborhoods of $p_k \in M_k$ for large k , converging to z_i . Using these charts we can view the metrics ω_{t_k} as being defined on a fixed ball $B \subset \mathbf{C}^n$. By the gradient estimate for holomorphic functions, we have a uniform bound $\omega_{t_k} > C^{-1}\omega_{Euc}$. In addition, by [19, Proposition 3.1] we can assume (shrinking the charts if necessary) that we have

uniformly bounded Kähler potentials φ_{t_k} for the ω_{t_k} . Let us denote by α_k, β_k the forms corresponding to α, β on M . Equation (3) implies that α_k, β_k have potentials $\psi_{\alpha_k}, \psi_{\beta_k}$ satisfying the equation

$$(5) \quad \omega_{t_k}^n = e^{-t_k \varphi_{t_k} - (1-t_k) \psi_{\alpha_k} - \psi_{\beta_k}},$$

i.e.

$$\text{Ric}(\omega_{t_k}) = t_k \omega_{t_k} + (1-t_k) \alpha_k + \beta_k.$$

Our goal is to be able to pass this equation to the limit as $k \rightarrow \infty$, i.e. $t_k \rightarrow T$.

Let us observe first that since α, β are fixed forms on M , using the lower bound $\omega_{t_k} > C^{-1} \omega_{Euc}$, we have a uniform bound

$$\int_B [(1-t_k) \alpha_k + \beta_k] \wedge \omega_{Euc}^{n-1} < C.$$

It follows that we can take a weak limit

$$\gamma = \lim_{k \rightarrow \infty} (1-t_k) \alpha_k + \beta_k.$$

From (5), and the lower bound for ω_{t_k} we have uniform upper bounds for $(1-t_k) \psi_{\alpha_k} + \psi_{\beta_k}$. These psh functions can also not converge to $-\infty$ everywhere as $k \rightarrow \infty$, since the volume of B with respect to the metric ω_{t_k} is bounded above. It follows that up to choosing a subsequence we can extract a limit

$$(1-t_k) \psi_{\alpha_k} + \psi_{\beta_k} \rightarrow \psi, \text{ in } L_{loc}^1.$$

We then necessarily have $\gamma = \sqrt{-1} \partial \bar{\partial} \psi$.

Let $\kappa > 0$, and denote by E_κ the set where the Lelong numbers of γ are at least κ . By Siu's theorem [24] E_κ is a subvariety in B . From [19, Claim 4.3], and the subsequent argument, it follows that for any $q \notin E_\kappa$, we have $V_{2n} - \lim_{r \rightarrow 0} r^{-2n} \text{vol}(B(q, r)) < \Psi(\kappa)$, where the volume is measured using the limit metric on Z . Here, and below, $\Psi(\kappa)$ denotes a function converging to zero as $\kappa \rightarrow 0$, which may change from line to line. In other words in the limit space Z the complement of E_κ is contained in the ϵ -regular set for $\epsilon = \Psi(\kappa)$.

Suppose now that $q \notin E_\kappa$, and δ is sufficiently small so that $V_{2n} - \delta^{-2n} \text{vol}(B(q, \delta)) < \epsilon$, where V_{2n} is the volume of the Euclidean unit ball. Then we can apply Lemma 11 below to see that on $B(q, \delta)$ the metrics ω_{t_k} are bi-Hölder equivalent to ω_{Euc} . On these balls the Kähler potentials φ_{t_k} satisfy uniform gradient estimates with respect to ω_{t_k} , since $\Delta_{\omega_{t_k}} \varphi_{t_k} = n$, and so the φ_{t_k} satisfy uniform Hölder bounds with respect to ω_{Euc} . It follows from this that up to choosing a subsequence we can find a limit $\varphi_{t_k} \rightarrow \varphi_T$ in $C_{loc}^\alpha(B \setminus E_\kappa)$, and φ_T is uniformly bounded on B . In particular for $\omega_T = \sqrt{-1} \partial \bar{\partial} \varphi_T$, the measures $\omega_{t_k}^n$ converge weakly to ω_T^n on $B \setminus E_\kappa$.

To derive the required equation (4), we note that on $B \setminus E_\kappa$ we have

$$e^{-(1-t_k) \psi_{\alpha_k} - \psi_{\beta_k}} \rightarrow e^{-\psi} \text{ in } L_{loc}^1.$$

From the semicontinuity theorem of Demailly-Kollár [8] this follows if we bound the Lelong numbers of ψ , which will be the case if κ is sufficiently small. It follows that on $B \setminus E_\kappa$ we have an equality of measures $\omega_T^n = e^{-T \varphi_T - \psi}$, and since E_κ has zero measure with respect to ω_T^n , the equality holds on B as well. $\square$

We used the following lemma in the argument.

Lemma 11. *Suppose that $B(p, 1)$ is a unit ball in a Kähler manifold with $\text{Ric} \geq 0$, together with holomorphic coordinates z_i that give an ϵ -Gromov-Hausdorff approximation of $B(p, 1)$ to the Euclidean unit ball $B(0, 1) \subset \mathbf{C}^n$. There exists an $\alpha > 1 - \Psi(\epsilon)$ and $C > 0$ such that for $q, q' \in B(p, 1/2)$ we have*

$$d(q, q') \leq C|z(q) - z(q')|^\alpha.$$

As above, $\Psi(\epsilon)$ denotes a function converging to zero as $\epsilon \rightarrow 0$, which may change from line to line.

Proof. We can assume that $z(p) = 0$. It is enough to prove that for any $\delta > 0$, if ϵ is sufficiently small, then for all $k > 0$ and $q \notin B(p, 2^{-k})$, we have $|z(q)| > (2 + \delta)^{-k}$. We prove this by induction.

Suppose that we have shown that $|z| > (2 + \delta)^{-k}$ outside of $B(p, 2^{-k})$. Denote by $2^k B(p, 2^{-k})$ the same ball scaled up to unit size. By Colding's volume convergence theorem [6] and the Bishop-Gromov monotonicity, together with [19, Theorem 2.1], we have holomorphic coordinates w on this ball, giving a $\Psi(\epsilon)$ -Gromov-Hausdorff approximation to the Euclidean unit ball. We can assume that $w(p) = 0$. Let us also use the coordinates $z' = (2 + \delta)^k z$, which map our ball onto a region containing the Euclidean unit ball. Viewing w as a function of z , the Schwarz lemma implies that $|w| \leq (1 + \Psi(\epsilon))|z'|$ on the unit z' -ball, and so in particular, using that w is a Gromov-Hausdorff approximation, we have $|z'| \geq (1 - \Psi(\epsilon))/2$ outside of the ball $2^k B(p, 2^{-k-1})$. Scaling back, this means that $|z| \geq (2 + \Psi(\epsilon))^{-1}(2 + \delta)^{-k}$ outside of $B(p, 2^{-k-1})$. We then just need to choose ϵ small enough to make $\Psi(\epsilon) < \delta$, and the inductive step follows. $\square$

3.2. The Ding functional and the Futaki invariant. We will next use the existence of a twisted Kähler-Einstein metric as in Proposition 10 to deduce the vanishing of the twisted Futaki invariant, and the reductivity of the automorphism group.

Let $Z \subset \mathbf{P}^N$ be a normal variety, together with the following additional data. We have a $\mathbf{Q}$ -line bundle L on Z (a power of which is just $\mathcal{O}(1)$), and a locally bounded metric $e^{-\varphi_0}$ on L . In addition we have a closed positive current γ on Z . We say that these define a twisted Kähler-Einstein metric if the conclusion of Proposition 10 holds, i.e. locally on Z_{reg} we have the equation $\omega_{\varphi_0}^n = e^{-T\varphi_0 - \psi}$, where $\sqrt{-1}\partial\bar{\partial}\psi = \gamma$. In terms of this we can define the twisted Ding functional on the space of all metrics $e^{-\varphi}$ with locally bounded potentials. Abusing notation slightly, we will denote by $e^{-T\varphi - \psi}$ the measure

$$e^{-T\varphi - \psi} = e^{-T(\varphi - \varphi_0)} \omega_{\varphi_0}^n.$$

Note that while φ, φ_0 are only locally defined in terms of trivializations of L , $\varphi - \varphi_0$ is a globally defined bounded function on Z .

We have the Monge-Ampère energy functional E , defined by its variation

$$\delta E(\varphi) = \frac{1}{V} \int_Z \delta \varphi \omega_{\varphi}^n,$$

where V is the volume of Z with respect to ω_{φ} , and we define the twisted Ding functional [12] by

$$\mathcal{D}(\varphi) = -TE(\varphi) - \log \left(\int_Z e^{-T\varphi - \psi} \right).$$

The variation of $\mathcal{D}$ is

$$\delta\mathcal{D}(\varphi) = -TV^{-1} \int_Z \delta\varphi \omega_\varphi^n - \frac{\int_Z -T(\delta\varphi)e^{-T\varphi-\psi}}{\int_Z e^{-T\varphi-\psi}},$$

and so the critical points satisfy

$$\omega_\varphi^n = Ce^{-T\varphi-\psi}.$$

Up to changing φ by addition of a constant, this is the twisted KE equation as required.

The convexity of the twisted Ding functional follows exactly Berndtsson's argument in [3] (see also [7]), and so in particular if there is a critical point, then $\mathcal{D}$ is bounded below. As in [4, 7], the key consequences of this convexity are the reductivity of the automorphism group of (Z, γ) , and the vanishing of a twisted Futaki invariant.

The reductivity of the automorphism group is a generalization of Matsushima's theorem for Kähler-Einstein metrics [21] (see also [1, 2, 3, 5, 11]). Following [7], we define the Lie algebra stabilizer of (Z, γ) , as a subalgebra of $\mathfrak{sl}(N+1, \mathbf{C})$ by

$$\mathfrak{g}_{Z, \gamma} = \{w \in H^0(TZ) : \iota_w \gamma = 0\}.$$

We then have, following [5] (see also [7, Proposition 7])

Proposition 12. *Suppose that Z admits a twisted KE metric as above. Then $\mathfrak{g}_{Z, \gamma}$ is reductive.*

Following Chen-Donaldson-Sun [4] we also apply the convexity of the twisted Ding functional to deduce the vanishing of a twisted Futaki invariant on Z . For this we consider the variation of $\mathcal{D}$ along a 1-parameter group of automorphisms which fixes the twisting current γ . If the automorphisms are generated by a vector field v with Hamiltonian θ , then the variation of φ is θ , so we get

$$(6) \quad \text{Fut}_{T, \gamma}(Z, v) = -TV^{-1} \int_Z \theta \omega_\varphi^n + T \frac{\int_Z \theta e^{-T\varphi-\psi}}{\int_Z e^{-T\varphi-\psi}}.$$

As a result we have the following.

Proposition 13. *Suppose that Z admits a twisted KE metric as above, and let $e^{-\varphi}$ be a metric on L with locally bounded potentials. Suppose that v is a holomorphic vector field on Z with a lift to L , such that the imaginary part of v acts by isometries on L , and so that $\iota_v \gamma = 0$. Let θ denote a Hamiltonian for v , i.e. $L_v \omega_\varphi = \sqrt{-1} \partial \bar{\partial} \theta$. Then $\text{Fut}_{T, \gamma}(Z, v) = 0$, where $\text{Fut}_{T, \gamma}(Z, v)$ is defined as in (6).*

As in [7], we need to relate this formula to the “untwisted” Donaldson-Futaki invariant. A new difficulty here is that the metric ω is not in $c_1(Z)$, and so the Donaldson-Futaki invariant can not be expressed in terms of the Ding functional. Instead we use the differential geometric formula given in Proposition 3.

Let $e^{-\varphi}$ denote the restriction of the Fubini-Study metric to L on $Z \subset \mathbf{P}^N$, and ω_φ its curvature. We can use a method similar to Ding-Tian [13] to give a more differential geometric formula for the twisted Futaki invariant. The vector field v is given by the restriction of a holomorphic vector field on $\mathbf{P}^N$, and θ is the restriction to Z of a smooth function on $\mathbf{P}^N$. It follows that we have uniform bounds $|\theta|, |\nabla \theta|, |\Delta \theta| < C$ on Z_{reg} , where we are taking the gradient and Laplacian using the metric ω_φ on Z_{reg} . In addition we have an upper bound $\text{Ric}(\omega_\varphi) < C\omega_\varphi$

on Z_{reg} , and so the current $C\omega_\varphi - [\text{Ric}(\omega_\varphi) - \gamma]$ is positive for a sufficiently large constant C .

Proposition 14. *We have the equality*

$$\begin{aligned} -TV^{-1} \int_Z \theta \omega_\varphi^n + T \frac{\int_Z \theta e^{-T\varphi-\psi}}{\int_Z e^{-T\varphi-\psi}} \\ = -nV^{-1} \int_Z \theta (\text{Ric}(\omega_\varphi) - T\omega_\varphi - \gamma) \wedge \omega_\varphi^{n-1}. \end{aligned}$$

Proof. Let us define the (twisted) Ricci potential u on Z_{reg} by

$$(7) \quad e^{-T\varphi-\psi-u} = \omega_\varphi^n.$$

Interpreting this as an equality of metrics on K^{-1} (on Z_{reg}) and taking curvatures, we have

$$(8) \quad T\omega_\varphi + \gamma + \sqrt{-1}\partial\bar{\partial}u = \text{Ric}(\omega_\varphi).$$

Since the current $C\omega_\varphi - [\text{Ric}(\omega_\varphi) - \gamma]$ on Z_{reg} is positive, we have $\sqrt{-1}\partial\bar{\partial}u \leq C\omega_\varphi$ on Z_{reg} . Since the singular set of Z has codimension at least 2, it follows from this that u is bounded below. Consider a resolution $\pi : \tilde{Z} \rightarrow Z$, and let η be a metric on $\tilde{Z}$. Let $\omega_\epsilon = \pi^*\omega_\varphi + \epsilon\eta$. Then ω_ϵ gives a family of smooth metrics on $\tilde{Z}$ converging to $\pi^*\omega_\varphi$ as $\epsilon \rightarrow 0$. Let us denote the pullback of u to $\tilde{Z}$ by u as well. We have $\sqrt{-1}\partial\bar{\partial}u \leq C\omega_\epsilon$ away from the exceptional set, and since u is bounded below, this inequality holds on all of $\tilde{Z}$. In particular we have $\Delta_\epsilon u \leq Cn$. Following Ding-Tian [13], we integrate the inequality

$$\int_{\tilde{Z}} \frac{\Delta_\epsilon u}{1 + (u - \inf u)} \omega_\epsilon^n \leq C$$

by parts to obtain

$$\int_{\tilde{Z}} \frac{|\nabla u|_\epsilon^2}{(1 + (u - \inf u))^2} \omega_\epsilon^n \leq C.$$

Letting $\epsilon \rightarrow 0$, we obtain the same estimate on Z_{reg} with the metric ω_φ . Just as in [13] we have that $u \in L^p$ for any p , and in turn this implies that we have a bound

$$\int_{Z_{reg}} |\nabla u|^p \omega_\varphi^n < C_p,$$

for any $p < 2$.

Differentiating the equation (7) along the vector field v we get that on Z_{reg}

$$-T\theta - v(\psi) - v(u) = \Delta\theta.$$

Note that we can think of $v(\psi)$ as being defined by this equation (since ψ itself is only defined in local charts), since all other terms are globally defined functions. In particular by the above estimate for u we have that $v(\psi)$ is in L^p for $p < 2$. At the same time, differentiating (8), and noting that $L_v\gamma = 0$, we get

$$\sqrt{-1}\partial\bar{\partial}[T\theta + v(u) + \Delta\theta] = 0,$$

and therefore we also have $\sqrt{-1}\partial\bar{\partial}v(\psi) = 0$. In particular $\Lambda = v(\psi)$ is a constant on Z , and so

$$(9) \quad -T\theta - \Lambda = \nabla\theta \cdot \nabla u + \Delta\theta.$$

Since the integral

$$\int_Z e^{-T\varphi-\psi}$$

is unchanged by flowing along the vector field v , we obtain

$$\int_Z (-T\theta - \Lambda) e^{-T\varphi-\psi} = 0.$$

Rearranging this,

$$\Lambda = -T \frac{\int \theta e^{-T\varphi-\psi}}{\int e^{-T\varphi-\psi}}.$$

Using this formula in (9), and integrating, we get

$$(10) \quad -T \int \theta \omega_\varphi^n + TV \frac{\int \theta e^{-T\varphi-\psi}}{\int e^{-T\varphi-\psi}} = \int (\nabla \theta \cdot \nabla u + \Delta u) \omega_\varphi^n,$$

where all integrals are on Z_{reg} . To integrate by parts, note that since the singular set of Z has real codimension at least 4, we can find cutoff functions χ_ϵ with compact support in Z_{reg} such that $\chi_\epsilon = 1$ outside the ϵ -neighborhood of Z_{sing} , and $\|\nabla \chi_\epsilon\|_{L^4} < C$. We then have

$$\begin{aligned} \int_{Z_{reg}} \nabla \theta \cdot \nabla u \omega_\varphi^n &= \lim_{\epsilon \rightarrow 0} \int \chi_\epsilon \nabla \theta \cdot \nabla u \omega_\varphi^n \\ &= \lim_{\epsilon \rightarrow 0} \left[- \int \theta \nabla \chi_\epsilon \cdot \nabla u \omega_\varphi^n - \int \chi_\epsilon \theta \Delta u \omega_\varphi^n \right] \\ &= - \int \theta \Delta u \omega_\varphi^n, \end{aligned}$$

Here we used that $|\nabla u| \in L^{4/3}$, and so

$$\left| \int \theta \nabla \chi_\epsilon \cdot \nabla u \omega_\varphi^n \right| \leq C \|\nabla \chi_\epsilon\|_{L^4} \left(\int_{\text{supp}(\nabla \chi_\epsilon)} |\nabla u|^{4/3} \omega_\varphi^n \right)^{3/4} \rightarrow 0 \text{ as } \epsilon \rightarrow 0.$$

Similarly we can check that $\int \Delta u \omega_\varphi^n = 0$. In conclusion, from (10) we find that

$$-TV^{-1} \int \theta \omega_\varphi^n + T \frac{\int \theta e^{-T\varphi-\psi}}{\int e^{-T\varphi-\psi}} = -nV^{-1} \int_{Z_{reg}} \theta (\text{Ric}(\omega_\varphi) - T\omega_\varphi - \gamma) \wedge \omega_\varphi^{n-1},$$

as required. $\square$

Suppose now that Z is the central fiber of a special degeneration for M induced by the one-parameter group $\lambda(t)$. Then using Proposition 3, we can relate the twisted Futaki invariant to the Donaldson-Futaki invariant as follows.

Corollary 15. *The twisted Futaki invariant above is given by*

$$\text{Fut}_{T,\gamma}(Z, v) = DF(M, \lambda) + nV^{-1} \int_Z \theta (\gamma - c\omega_\varphi) \wedge \omega_\varphi^{n-1},$$

where λ is a $\mathbf{C}^*$ -action generated by the vector field v , and c is a constant so that the right hand side is unchanged when we add a constant to the Hamiltonian θ .

3.3. Completion of the proof of Theorem 1. We can now complete the proof of the main result. According to Corollary 9 it is enough to show that either we can find special degenerations for M with arbitrarily small twisted Futaki invariant, thereby contradicting the K-stability of (M, β) , or $T = 1$ and the twisted KE metric that we obtained on Z is actually the twisted KE metric on M that we set out to find.

Let us denote by $Z \subset \mathbf{P}^N$ the Gromov-Hausdorff limit of (M, ω_{t_k}) along the continuity path (3). Using Proposition 10 we know that Z admits a twisted KE metric. In particular the pair (Z, γ) is in the closure of the $PGL(N+1)$ -orbit of $(M, (1-T)\alpha + \beta)$, where $T = \lim t_k$, and we are identifying M with its image $F_1(M)$. We can now closely follow the method in [7] of approximating the forms α, β by currents of integration along divisors in M . Just like in [7], the twisted Futaki invariants become smaller as T increases (see [7, Equation (23)]). Because of this, and to simplify the discussion below, we will assume that $T = 1$. Note that unlike the setting in [7], here we still have a twisting term when $T = 1$, and so this case is not any easier than the case $T < 1$.

By assumption, the form β on M can be written as an integral of currents of integration, as in Equation (1). Recall also that we have the sequence $g_k \in PGL(N+1)$ such that $F_k = g_k \circ F_1$, and so $g_k(M) \rightarrow Z$. As in [7, Lemma 14], by choosing a subsequence we can ensure that each sequence $g_k(D)$ for $D \in |T|$ converges to a subvariety of $\mathbf{P}^N$ which we denote by $g_\infty(D)$. It follows that we have

$$(g_k)_*\beta \rightarrow \int_{|T|} [g_\infty(D)] d\mu(D),$$

in the weak topology. The twisting current γ on Z is obtained as the limit of $(g_k)_*\beta$ as $k \rightarrow \infty$, and so we have

$$\gamma = \int_{|T|} [g_\infty(D)] \mu(D).$$

Arguing as in [7, Lemma 15], we can find a finite set $D'_1, \dots, D'_r \in |T|$ such that the Lie algebra of the stabilizer of the tuple $(Z, g_\infty(D'_1), \dots, g_\infty(D'_r))$ in $PGL(N+1)$ is $\mathfrak{g}_{Z, \gamma}$, and in particular it is reductive. In addition there is a subset $E \subset |T|$ of measure zero such that if $D_1, \dots, D_K \notin E$, then the stabilizer of the extended tuple $(Z, g_\infty(D'_1), \dots, g_\infty(D'_r), g_\infty(D_1), \dots, g_\infty(D_K))$ is still reductive. Suppose that this tuple is not in the $PGL(N+1)$ -orbit of $(M, D'_1, \dots, D'_r, D_1, \dots, D_K)$. Then we can find a $\mathbf{C}^*$ -subgroup $\lambda_K \subset PGL(N+1)$ and an element $g_K \in PGL(N+1)$ such that

$$\begin{aligned} Z &= \lim_{t \rightarrow 0} \lambda_K(t) g_K \cdot M, \\ g_\infty(D'_i) &= \lim_{t \rightarrow 0} \lambda_K(t) g_K \cdot D'_i, \text{ for } i = 1, \dots, r, \\ g_\infty(D_j) &= \lim_{t \rightarrow 0} \lambda_K(t) g_K \cdot D_j, \text{ for } j = 1, \dots, K. \end{aligned}$$

Suppose that λ_K is generated by a vector field w_K , with Hamiltonian θ_K , and we normalize θ_K so that it has zero average on $\mathbf{P}^N$. In addition we can scale w_K so that $\|\theta_K\|_{L^2} = 1$. Note that since Z is not contained in a hyperplane, the Hamiltonian θ_K cannot be constant on Z , unless λ_K is trivial.

We can choose $D_1, \dots, D_K \in |T| \setminus E$ so that no $d+1$ lie on a hyperplane in $|T|$. Here d is the dimension of the projective space $|T|$. From Proposition 7 we have

$$\begin{aligned} \lim_{t \rightarrow 0} \int_{\lambda_K(t)g_K \cdot M} \theta_K (\lambda_K(t)g_K)_* \beta \wedge \omega_{FS}^{n-1} &= \frac{1}{K} \sum_{i=1}^K \lim_{t \rightarrow 0} \int_{\lambda_K(t)g_K \cdot D_i} \theta_K \omega_{FS}^{n-1} + O(1/K) \\ &= \frac{1}{K} \sum_{i=1}^K \int_{g_\infty(D_i)} \theta_K \omega_{FS}^{n-1} + O(1/K), \end{aligned}$$

since d is independent of K .

At the same time given any $\epsilon > 0$ we can choose K large and the D_i so that

$$\frac{1}{K} \sum_{i=1}^K \int_{g_\infty(D_i)} \theta_K \omega_{FS}^{n-1} \leq \int_Z \theta_K \gamma \wedge \omega_{FS}^{n-1} + \epsilon.$$

Let us denote by $\gamma_K = \lim_{t \rightarrow 0} (\lambda_K(t)g_K)_* \beta$ the limit current on Z . Combining our inequalities, and the assumption of twisted K-stability, we have

$$\begin{aligned} 0 \leq \text{Fut}_\beta(g_K \cdot M, \lambda_K) &= DF(Z, \lambda_K) + nV^{-1} \int_Z \theta_K (\gamma_K - c\omega_{FS}) \wedge \omega_{FS}^{n-1} \\ &= DF(Z, \lambda_K) + nV^{-1} \frac{1}{K} \sum_{i=1}^K \int_{g_\infty(D_i)} \theta_K \omega_{FS}^{n-1} - cnV^{-1} \int_Z \theta_K \omega_{FS}^n + O(1/K) \\ &\leq DF(Z, \lambda_K) + nV^{-1} \int_Z \theta_K (\gamma - c\omega_{FS}) \wedge \omega_{FS}^{n-1} + \epsilon + O(1/K) \\ &= \epsilon + O(1/K). \end{aligned}$$

Note that in the last line we used Proposition 13 and Corollary 15. Choosing ϵ small and K sufficiently large, it follows that if the tuples $(Z, g_\infty(D'_i), g_\infty(D_j))_{i=1, \dots, r, j=1, \dots, K}$ are not in the $PGL(N+1)$ -orbit of $(M, D'_i, D_j)_{i=1, \dots, r, j=1, \dots, K}$ for infinitely many K , then we have special degenerations for (M, β) with arbitrarily small twisted Futaki invariant. Corollary 9 then implies that (M, β) is not K-stable.

Otherwise, Z is in the $PGL(N+1)$ -orbit of M , and since under our assumptions M has discrete stabilizer group, it follows that the group elements g_k are uniformly bounded. As in [7], this implies that the solutions ω_{t_k} along the continuity method satisfy uniform estimates, and so we obtain a solution for $t = T$ as well, as required.

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