



From Patch to Catchment: A Statistical Framework to Identify and Map Soil Moisture Patterns Across Complex Alpine Terrain

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Climate warming in alpine regions is changing patterns of water storage, a primary control on alpine plant ecology, biogeochemistry, and water supplies to lower elevations. There is an outstanding need to determine how the interacting drivers of precipitation and the critical zone (CZ) dictate the spatial pattern and time evolution of soil water storage. In this study, we developed an analytical framework that combines intensive hydrologic measurements and extensive remotely-sensed observations with statistical modeling to identify areas with similar temporal trends in soil water storage within, and predict their relationships across, a 0.26 km² alpine catchment in the Colorado Rocky Mountains, U.S.A. Repeat measurements of soil moisture were used to drive an unsupervised clustering algorithm, which identified six unique groups of locations ranging from predominantly dry to persistently very wet within the catchment. We then explored relationships between these hydrologic groups and multiple CZ-related indices, including snow depth, plant productivity, macro- (10²->10³ m) and microtopography (<10⁰-10² m), and hydrological flow paths. Finally, we used a supervised machine learning random forest algorithm to map each of the six hydrologic groups across the catchment based on distributed CZ properties and evaluated their aggregate relationships at the catchment scale. Our analysis indicated that 40–50% of the catchment is hydrologically connected to the stream channel, lending insight into the portions of the catchment that likely dominate stream water and solute fluxes. This research expands our understanding of patch-to-catchment-scale physical controls on hydrologic and biogeochemical processes, as well as their relationships across space and time, which will inform predictive models aimed at determining future changes to alpine ecosystems.

Keywords: hydrology, critical zone, topography, random forest, Niwot Ridge LTER, Rocky Mountains, Colorado

INTRODUCTION

Alpine regions are essential sources of fresh water to lower elevation ecosystems and 50% of people around the globe (Winkler, 2019). In addition, they are also some of the most vulnerable to climate change (Buytaert et al., 2011; Seidl et al., 2011; Ernakovich et al., 2014). Many researchers have shown that alpine areas are already warming and predict that they may experience the highest levels and impacts of warming well before other ecosystems (e.g., Bradley, 2004; Cannone et al., 2007; Pepin et al., 2015; Winkler, 2019). These impacts include changes to the timing, amount, and quality of water exported from alpine catchments (Barnett et al., 2005; Horton et al., 2006; Tague, 2009; Schneeberger et al., 2015), among others.

Water export from the alpine zone is controlled both by sources—including, in some cases, glaciers (Cannone et al., 2008), and precipitation, primarily as snow (Bales and Harrington, 1995; Bales et al., 2006)—and their mediation by the critical zone (CZ; Tague and Grant, 2009; Penna et al., 2011; Yang et al., 2014; Baraer et al., 2015; Brooks et al., 2015; Winnick et al., 2017). Many past studies have focused on determining the effects of a warming climate on the distribution of snowpack (Ishida et al., 2018; Smith and Bookhagen, 2018) as well as the timing and rate of snowmelt that feeds the CZ (Magnusson et al., 2012; Musselman et al., 2017; Jennings and Molotch, 2020). An important next step is to delve into how the physical structure of the CZ determines patterns of water storage and routing within alpine catchments; this is baseline knowledge required to predict changes to alpine water balances as well as ecological and biogeochemical functions.

Multiple scales of the CZ affect patterns of alpine water storage and routing. In particular, topographical features, both at macro- (10^2 – 10^3 m) and micro-scales ($<10^0$ – 10^2 m) play important roles. At the macro-scale, the characteristics of complex relief, including hillslope position, aspect, and slope, which interact with winds to influence snow accumulation and redistribution (Winstral et al., 2002). At the micro-scale, freeze-thaw processes produce morphological features, such as patterned ground, nivation depressions, and solifluction lobes and terraces that affect snow depth, water storage, and hydrologic connectivity (Russell, 1933; Matsuoka et al., 2005; Wainwright et al., 2015, 2017). At still finer, sub-meter scales, soil properties and vegetation influence infiltration rates (see Hinckley et al., 2014b; Brooks et al., 2015). When combined, these characteristics

of the CZ create areas of like hydrologic behavior, characterized by both the quantity and rate of change of soil water storage.

Mapping areas of like hydrologic behaviors across alpine catchments is an important step toward understanding the aggregate effects of patch-scale processes. For example, mapping the spatial distribution of hydrologic behaviors aids in quantifying the temporal stability of soil water storage (Lee and Kim, 2019) and water transit time distributions (Harman, 2015). These are both key factors that complicate hillslope modeling studies (Kirchner, 2016; Guio Blanco et al., 2018) and efforts to quantify chemical export from the CZ (Ward et al., 2019). Furthermore, different hydrologic behaviors may map to important ecosystem control points (Bernhardt et al., 2017)—areas that support continuously or periodically high rates of biogeochemical cycling (Darrouzet-Nardi and Bowman, 2011; Knowles et al., 2015; Chen et al., 2020). Finally, patch-scale variability in snowmelt and soil moisture affects plant phenology and physiology (Bjorkman et al., 2018; Winkler et al., 2018) and results in catchment-scale spatial patterns of plant community composition and productivity (Seastedt, 2020) and responses to change (Suding et al., 2015; Winkler et al., 2016). Thus, the capacity to identify and predict the spatial organization of distinct hydrologic behaviors is relevant not only to understanding how alpine systems will change under a warmer climate, but also to addressing outstanding questions in hydrology and CZ science more broadly. This capacity has remained a challenge, however, because of limitations in our approach to connect point-scale hydrologic observations to broader scales, which is necessary for understanding catchment processes such as water and chemical export.

Previous analytical approaches have included a combination of terrain-derived topographic indices and other CZ-related properties to predict hydrologic states. For example, Western et al. (1999) used the upslope flow accumulation area and potential radiation indices to determine soil moisture values, finding that these variables were useful for prediction at different points in time. Williams et al. (2009) applied a similar approach to show that both static (e.g., slope, aspect, soil texture) and dynamic (e.g., snowmelt) properties controlled soil moisture spatiotemporal variability. More recently, Oroza et al. (2018) were able to accurately predict soil water storage over multiple years, relying on high-resolution soil moisture sensor network data from the Southern Sierra Critical Zone Observatory. Moving beyond prediction of point-scale surface soil moisture measurements, terrain-derived indices have also been used to identify areas of connected saturation or hydrologic connectivity to stream channels (Ali et al., 2013) and explain subsurface flow variability (Bachmair and Weiler, 2012). Combined, these studies demonstrate analytical approaches that connect multiple scales of physical properties with observations to explain spatiotemporal variability in soil water storage across landscapes.

Another set of analytical approaches extrapolate hydrologic, biogeochemical, and ecological measurements beyond the locations of field observations by combining point measurements, topographic indices, and statistical models. At Hubbard Brook Experimental Forest, Gillin et al. (2015) extrapolated soil hydropedons across a catchment with

Abbreviations: CI, Convergence index; CIr, Range in convergence index over 5 m; CZ, Critical zone; D, Dry; D-D, Dry cluster, Dry hydrologic group; D-MD, Dry cluster, Moist-to-dry hydrologic group; D-WM, Dry cluster, Wet-to-moist hydrologic group; DEM, Digital elevation model; DTM, Digital terrain model; FA, Flow accumulation; GNSS, Global navigation satellite system; mNDVI, Maximum normalized difference vegetation index measured; MPI, Morphometric protection index; MSD, Maximum snow depth measured; OD2C, Overland distance to channel; OOB, Out-of-bag error estimate; PC, Principal component; PCA, Principal component analysis; Slope-L, Local slope; Slope-M, Macro slope; SWFA, Snow-weighted flow accumulation; TPI, Topographic position index; TPIr, Range in topographic position index over 20 m; TWI, Topographic wetness index; UAV, Unmanned aerial vehicle; VD2C, Vertical distance to channel; W, Wet; W-RD, Wet cluster, Rapid dry-down hydrologic group; W-W, Wet cluster, Wet hydrologic group; W-VW, Wet cluster, Very wet hydrologic group; WS, Wind shelter.

topographic wetness index, topographic position index, and bedrock-weighted upslope accumulation area using a multinomial logistic regression model. Another study by Wainwright et al. (2015) mapped carbon fluxes across arctic ice wedge polygons using high-resolution transects of geophysical and topographic metrics and support vector machine learning. Similarly, tower-based net ecosystem productivity, soil chamber CO₂ flux measurements, and topographic indices were combined to calculate regions of a catchment that were either strong carbon sources or sinks (Emanuel et al., 2011). Falco et al. (2019) developed a new data fusion and machine learning approach that assimilated remote sensing and surface geophysical measurements to categorize plant communities and estimate soil moisture distributions across a lower montane-floodplain landscape in Colorado. This set of predictive modeling studies demonstrates a movement toward harnessing on the ground observations, remotely-sensed data, and statistical approaches to map integrated CZ processes at broader spatial scales.

Our study builds on these previous efforts to address this gap in our ability to connect point- or patch-scale hydrologic observations to broader spatial scales. We addressed three primary research questions: (1) Are there unique temporal trends, or hydrologic behaviors, in soil water storage across an alpine catchment? (2) Which CZ-related properties explain these different behaviors? and (3) What are the spatial scales that dictate the pattern of these hydrologic behaviors across an alpine catchment? To address these questions, we developed an analytical framework that integrates existing statistical approaches to (1) identify unique hydrologic behaviors from intensive *in situ* soil moisture observations, (2) explore CZ-related drivers of these behaviors, and then (3) map the occurrence and spatial pattern of behaviors across a study catchment. We apply this framework to an alpine system in the Colorado Rocky Mountains where physical properties of the CZ clearly exert control over hydrologic patterning at multiple scales. Developing this predictive capability to move from patch-scale measurements to catchment-scales is extremely valuable in alpine landscapes, due to their complexity, fragility, and societal importance as sources of fresh water.

MATERIALS AND METHODS

Study Area

We focused our research at the Niwot Ridge Long-term Ecological Research (NWT-LTER) site (40.05 N, 105.59 W), a high elevation, mountainous, alpine tundra ecosystem in the Colorado Rocky Mountains, U.S. This region, broadly, is part of the traditional territories of the Cheyenne, Ute, and Arapaho Peoples. The mean annual temperature is -4.1°C (Greenland and Losleben, 2001), although the annual minimum temperature has increased $\sim 0.43^{\circ}\text{C}$ per decade from 1953 to 2008, with the largest increases during July (McGuire et al., 2012). There is some evidence of spring warming (March–June) and winter cooling (October, December; McGuire et al., 2012). Annual precipitation is $\sim 1,000$ mm and long-term records at Niwot Ridge show that precipitation has increased by 60 mm per decade (Kittel et al., 2015). The majority ($\sim 80\%$) of precipitation falls as snow

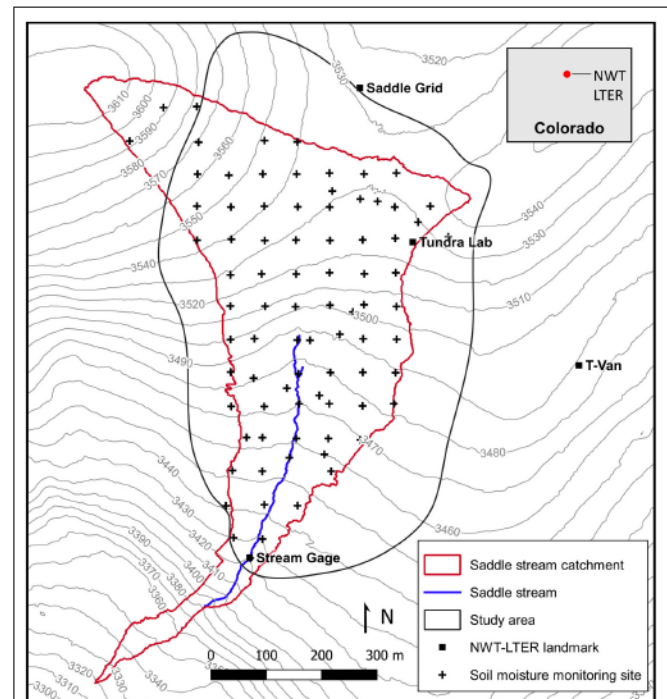


FIGURE 1 | Headwater catchment (Saddle stream) of North Boulder Creek within the Niwot Ridge (NWT) Long-term Ecological Research (LTER) site in the Colorado Rocky Mountain Front Range. Repeat soil moisture measurements occurred at 84 locations (black "+") and were combined with UAV-derived observations over the study area (black outline). Gray lines show 10 m elevation contours. The catchment outline and contours were derived from a 1 m digital terrain model (National Ecological Observatory Network, 2018).

during winter and spring and localized convective rainstorms occur in the summer (Greenland and Losleben, 2001). Strong westerly winds redistribute snow, and topography dictates spatial patterns in snow accumulation and melt (Litaor et al., 2008). In turn, snow heterogeneity influences biogeochemical process rates (Darrouzet-Nardi and Bowman, 2011), solute transport (Perrot et al., 2014), and alpine plant community distributions (May and Webber, 1982; Caine, 1995). Snowmelt and streamflow typically peak during late May–June, and streamflow stops by late November (Williams and Caine, 2001). This climatology normally restricts the growing season to 1–3 snow-free months.

We used a 0.26 km^2 headwater catchment within the North Boulder Creek Watershed as a study area (Figure 1). Ranging $\sim 3,330$ to $3,610$ m in elevation, the study catchment is primarily south-facing, with east and west hillslopes. The upper portion of the catchment is alpine tundra, which transitions downslope to sub-alpine forest. A seasonally intermittent stream bifurcates the catchment and stream flow has been recorded since 1999 (Caine et al., 2020). Soils are typically ≤ 2 m deep and are categorized as Cryochrepts and Cryumbrepts, with some Cryorthents in areas without biota (Burns, 1980). Solifluction deposits, characterized by hummocks, turf- and stone-banked lobes and terraces, and ephemeral ponds are found throughout the catchment (Benedict, 1970); they are the dominant microtopographical features.

Point-Scale Characterization of Near Surface Hydrology

During the summer of 2017, we established a grid of 84 monitoring locations across the study catchment. The locations were on roughly a 60 m × 60 m grid to maximize the spatial extent of monitoring across the landscape (Figure 1). We surveyed positions of monitoring locations to within 0.05 m using a GNSS rover receiver/antenna (Septentrio Altus APS3G) with dual band L1/L2 GPS and GLONASS functionality. Rover positions were differentially post-processed against base station observations from the five closest 1 second Continuously Operating Reference Stations (CORS) operated by the National Geodetic Survey (station codes: STBT, TMGO, P041, EC01, COFC). Two locations were marked by hand-held consumer grade GPS to 5 m accuracy. At each monitoring location, a 0.3 m × 0.3 m plot was established, with the northeast corner of the plot aligned with the surveyed point.

We measured surface soil moisture as a proxy for soil water storage. Repeat soil moisture surveys occurred weekly to biweekly with survey start dates on July 3, July 10, July 18, July 24, August 1, August 7, August 24, and September 8, 2017. The surveys began during late snowmelt, captured summer rainfall mid-July and August, and ended before snowfall recommenced in late September (Figure 3). Each survey took 2–4 days to complete. We measured soil moisture to 0.05 m depth five times within each 0.3 m × 0.3 m plot using a Delta-T Devices Ltd. SM-150 Soil Moisture Sensor. The five measurements captured fine-scale heterogeneity within plots, but we report soil moisture as plot averages. A site-specific calibration converted raw instrument voltages to volumetric soil moisture, which resulted in values >1.0 v/v for some locations (Supplementary Materials, Delta-T Devices Ltd. SM-150 Soil Moisture Sensor User Manual, v. SM150-UM-1.2, September 2016).

High-Resolution Characterization of Landscape-Scale Properties

As part of a related study we completed weekly multispectral (red, green, blue, near infrared) photogrammetric surveys of the study catchment with an unmanned aerial vehicle (UAV). These flights were coordinated with our soil moisture surveys. Eight flights between June–August 2017 characterized spatial patterns of snow accumulation and depth and plant productivity (Wigmore and Molotch, 2018). Multispectral imagery collected at 0.03 m spatial resolution was used to derive 0.05 m orthomosaics and 0.10 m digital elevation models (DEM) using a Structure from Motion workflow (Westoby et al., 2012; Fonstad et al., 2013; Wigmore et al., 2019). Maximum snow depth (MSD) measured (21st June 2017) was calculated through DEM differencing against a snow free DEM (14th August 2017; Bühler et al., 2016; Webb et al., 2020) and accuracy assessed against extensive field-based snow depth measurements. From the multispectral surveys we calculated the Normalized Difference Vegetation Index (NDVI) for each date, which we use here as a proxy for plant productivity. We took this multi-temporal series and returned the maximum NDVI value for each pixel across the series, which is reported as

max NDVI and is used as an index of peak productivity for the survey period.

We derived a 1 m digital terrain model (DTM) from publicly available LiDAR survey data. We downloaded raw .laz point cloud tiles over our area of interest from a National Ecological Observatory Network (NEON) aerial LiDAR survey of Niwot Ridge completed on September 4, 2017 (National Ecological Observatory Network, 2018). NEON point clouds are already classified by land cover/terrain features (e.g., ground, vegetation, building etc.); however, we re-classified the point cloud in ENVI LiDAR to better account for local terrain parameters and identify structures, low shrubs, trees, and bare ground. We calculated a 1 m DTM from the ground classified points and smoothed it to 5, 10, 20, 30, 65, and 100 m scales in MATLAB (v. 9.5.0.1033004, R2018b, Update 2) using the *smooth2a* function (Reeves, 2020).

We used the 1 m DTM to calculate a wide range of topographic indices. Topographic index calculations are detailed in the **Supplementary Materials**. In brief, we calculated: slope (both local, L, and macro, M), aspect, northness, eastness, flow accumulation (FA), topographic position index (TPI), the range in TPI across 20 m (TPIr), topographic wetness index (TWI), wind shelter (WS), convergence index (CI), the range in CI across 5 m (CIr), morphometric protection index (MPI), overland distance to channel network (OD2C), and vertical distance to channel network (VD2C; **Supplementary Table 1**). Slope, aspect, FA, TWI, and TPI indices were examined at a number of spatial scales (1, 5, 10, 20, 30, 65, 100, 200, and 300 m). We transformed indices that were strongly skewed to better approximate normal distributions or at least increase dispersion (**Supplementary Table 1**). All indices were scaled to account for differing means. Average topographic index values were extracted at the 84 soil moisture monitoring locations using either a 1, 5, or 10 m buffer radius around each location's GPS point. Topographic indices were visually inspected using QGIS (v. 3.12 Bucuresti). Collectively, we refer to the UAV-derived snow and NDVI layers and DTM-derived topographic indices as "CZ indices."

Statistical Analyses

We combined multiple statistical methods into a data analytical framework, which allowed us to (1) identify unique hydrologic behaviors from intensive *in situ* observations, (2) explore physical drivers with extensive remotely sensed parameters, (3) and map behaviors across broader spatial scales (Figure 2). The framework began with an unsupervised agglomerative hierarchical cluster analysis to identify groups of locations with like hydrologic behaviors within the study catchment. To cluster the locations, we included each location's time series of soil moisture; importantly, we included missing values for some monitoring locations due to snow cover. We calculated a Euclidean distance matrix and then used the *hclust* function in the base *stats* R package using a *ward.D2* linkage method. This method minimizes within-group variance (Murtagh and Legendre, 2014), which was found to be most representative for grouping locations based on related studies in mountainous ecosystems (Devadoss et al., 2020). We visualized cluster separation using a dendrogram and a plot of the total within sum of squares for

each increasing cluster number (Hastie et al., 2009). We named clusters of locations, “hydrologic groups,” which represented unique hydrologic behaviors.

We then used a suite of univariate and multivariate statistical analyses to identify CZ indices that had differences across hydrologic groups. First, we removed highly co-variate CZ indices that had a Pearson correlation coefficient $\geq \pm 0.8$, which was particularly useful for determining optimal micro- and macrotopographical spatial scales in our study catchment. Second, we reduced CZ indices to a final subset that differentiated at least two hydrologic groups using pairwise Wilcoxon tests with Bonferroni p -value adjustments ($p < 0.1$ significance threshold), visual examination of boxplots, and principal components analysis (PCA) and principal component (PC) biplots. The PCA analysis was primarily used as a visualization tool to understand the relationships among CZ indices and between hydrologic groups.

We used a supervised machine learning classification approach to extrapolate the hydrologic groups across the study catchment. We selected Breiman’s (2001) random forest classification algorithm, which generates a large number of decision trees with bootstrapped sample data, and each tree is trained with a random selection of predictor variables. We selected random forest, because it does not assume underlying data distributions, avoids data overfitting, produces strong results compared to other machine learning-based classification methods, and performs well without substantial tuning (Breiman’s, 2001; Liaw and Wiener, 2002; Cutler et al., 2007; Hastie et al., 2009; James et al., 2013). Furthermore, CZ indices seemed to separate hydrologic groups in a rule-based fashion.

We developed two random forest models: one with six hydrologic groups and the other with three. For both, we used the *randomForest* algorithm in the *randomForest* R package (v 4.6-14; Liaw and Wiener, 2002) to classify hydrologic groups using a suite of CZ indices as predictor variables. We used a grid-search approach to tune the number of predictor variables included in each tree and test the effect of different numbers of trees per forest. We selected the combination of hyperparameters that minimized “out-of-bag” (OOB) error and maximized Cohen’s kappa coefficient (Cohen, 1960). Both models had a single

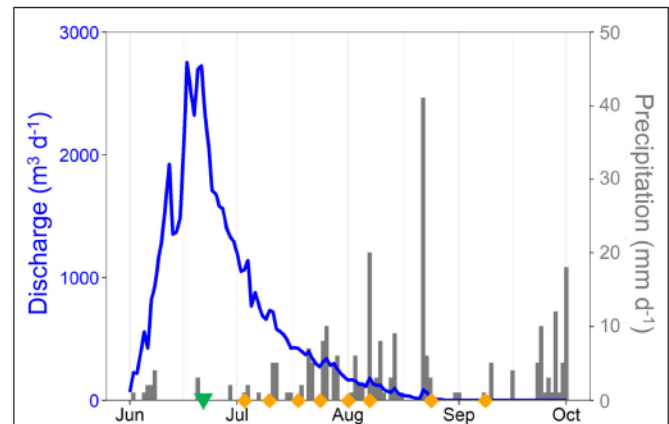


FIGURE 3 | Summer 2017 climatology for the NWT Saddle stream catchment depicting stream discharge (blue line; Caine et al., 2020), precipitation (gray bars; Morse et al., 2020), date of our maximum snow depth UAV survey (green inverted triangle), and soil moisture surveys (yellow diamonds).

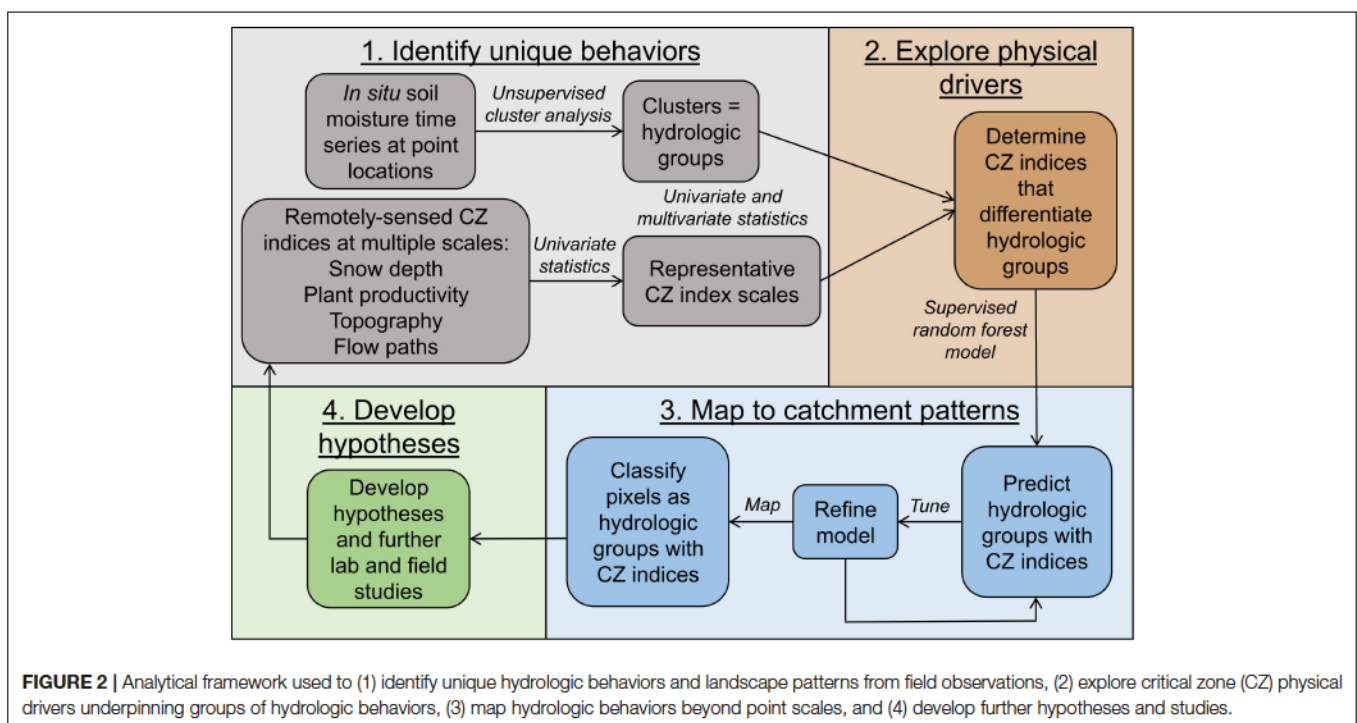


FIGURE 2 | Analytical framework used to (1) identify unique hydrologic behaviors and landscape patterns from field observations, (2) explore critical zone (CZ) physical drivers underpinning groups of hydrologic behaviors, (3) map hydrologic behaviors beyond point scales, and (4) develop further hypotheses and studies.

observation per terminal node and bootstrapped samples without replacement, which produces less bias with unbalanced classes (Boehmke and Greenwell, 2020). The six-group model had one predictor variable per tree and 500 trees, while the three-group model used nine predictor variables and 250 trees. We performed leave-one-out cross-validation (LOOCV) to assess overall model accuracy and class-specific balanced accuracy (Kuhn, 2008; James et al., 2013). We also calculated the local variable importance, which is the increase in the percent of times a site was misclassified when a CZ index was permuted. Though local importance calculations are often noisy, they provided some indication of which indices best separated groups (Touw et al., 2013).

We then applied the random forest models to distributed CZ index maps to classify a hydrologic group for every pixel in the study area. We compared predicted maps to spatial patterns of hydrologic features observed during field surveys and high-resolution multispectral imagery across the study catchment to qualitatively evaluate model performance. Lastly, we calculated the areal extent of each hydrologic group across our study area. All statistical analyses were conducted in R, v. 4.0.0 (R Core Team, 2017).

RESULTS

Growing Season Climatology and Soil Moisture Observations

Measurements collected in summer 2017 captured late snow melt, rainfall, and dry-down. The earliest UAV survey, June 21, occurred during peak streamflow (June 16–June 23; Figure 3) and measured a maximum snow depth of 4.8 m and median snow depth of 1.1 m (Supplementary Figure 1). During our first three soil moisture surveys (July 3, July 10, July 18), snow was still present at 12, 7, and 2 monitoring sites, respectively. Frequent convective thunderstorms began mid-July, and cumulative monthly rainfall peaked in August at 0.11 m (surveys 5–7; Figure 3). The catchment was completely snow-free by August 14, as shown by the high-resolution UAV imagery surveys. Between the 7th and 8th soil moisture surveys, precipitation was <0.001 m, and surveys ended before snowfall began again in late September (Figure 3).

Soil moisture measurements reflected these seasonal patterns in snowmelt and rainfall. Overall, median soil moisture was highest for surveys 1, 6, and 7 at 0.3 v/v (Figure 4A). It was lowest for surveys 3 and 8, near 0.1 v/v. The widest range in soil moisture, 1.1 v/v, was during the first two surveys. By the final survey, 80% of sites had dried to < 0.2 v/v. Despite these overall trends, every survey had a wide range in soil moisture values with coefficients of variation across the catchment 50 to 110.

Unsupervised Hierarchical Cluster Analysis of Soil Moisture

Our unsupervised hierarchical cluster analysis revealed that monitoring locations assembled into two main clusters. The plot of within-sum-of-squares by cluster number showed an “elbow” at two clusters (Supplementary Figure 2). The locations in the

first cluster had lower median soil moisture overall than the locations in the second cluster, and so we named them “DRY” (D) and “WET” (W), respectively. The D cluster locations exhibited a decline in soil moisture between surveys 1–3 in response to snowmelt, and then an increase in soil moisture later in the study period in response to summer rainfall events (Figure 4A). Locations in the W cluster had either wet (> 0.4 v/v) or very wet (> 0.8 v/v) soil moisture conditions during the first two surveys.

Using a dendrogram, we subdivided the D and W clusters into six groups of locations with common soil moisture quantities and temporal trends over the observed period (Supplementary Figure 3). We summarize the relationships between the two clusters and six hydrologic groups in Table 1 and Figure 4B. The first D group we named “dry” (D-D) since it had soil moisture 0.2 v/v during surveys 1–3. This group’s median soil moisture peaked at 0.3 v/v during surveys 6–7 and coincident with maximum summer rainfall (Figure 3). We named the second group “wet-to-moist” (D-WM). Median soil moisture was highest during the first survey at 0.5 v/v and then peaked again during surveys 6–7, similar to the D-D group. The third group, “moist-to-dry” (D-MD), had soil moisture values in between the D-D and D-WM groups. The fourth group, “rapid dry-down” (W-RD), had median soil moisture at 1.1 v/v which decreased approximately linearly to 0.13 v/v over the eight field surveys. The final two groups were either “persistently wet” (W-W; 0.4–1.0 v/v) or “persistently very wet” (W-VW; > 0.8 v/v) until the last survey. We note that for each hydrologic group, its range in soil moisture values overlapped with one or more other groups (shaded areas, Figure 4A).

Relationships Between CZ Indices and Soil Moisture-Derived Hydrologic Groups

Critical zone indices were first narrowed by linear correlation analysis. We started with 47 CZ indices representing snow, plant productivity, macrotopography, microtopography, and flow accumulation patterns. Twenty-eight indices were highly covariate with either the same index calculated at a different spatial resolution or another index representing similar topographic patterns (Supplementary Table 1). For example, we calculated slope at 1, 5, 10, 20, 30, 65, and 100 m scales, but all scales except 1 and 65 m had Pearson correlation coefficients < 0.8. Thus, these two scales were retained to represent local slope and macro-slope, respectively. In another example, CI was highly correlated with TPI-30 m ($r = 0.9$) and so CI was removed.

With the 19 remaining CZ indices, we aimed to hone the CZ indices to a set that separated as many hydrologic groups as possible in univariate or multivariate space. Pairwise Wilcoxon tests determined that 11 CZ indices (MSD, mNDVI, OD2C, VD2C, CIr, TPIr, SWFA, TWI-1 m, TWI-10 m, TPI-300 m, and northness) had significant differences across one or more hydrologic groups (Supplementary Table 2). Four pairs of hydrologic groups could not be statistically separated by CZ indices, but five CZ indices (elevation, MPI, WS, slope-L, slope-M) did show trends toward differences when CZ indices were visualized using boxplots (Figure 5; Supplementary Table 2). While some CZ index values, such

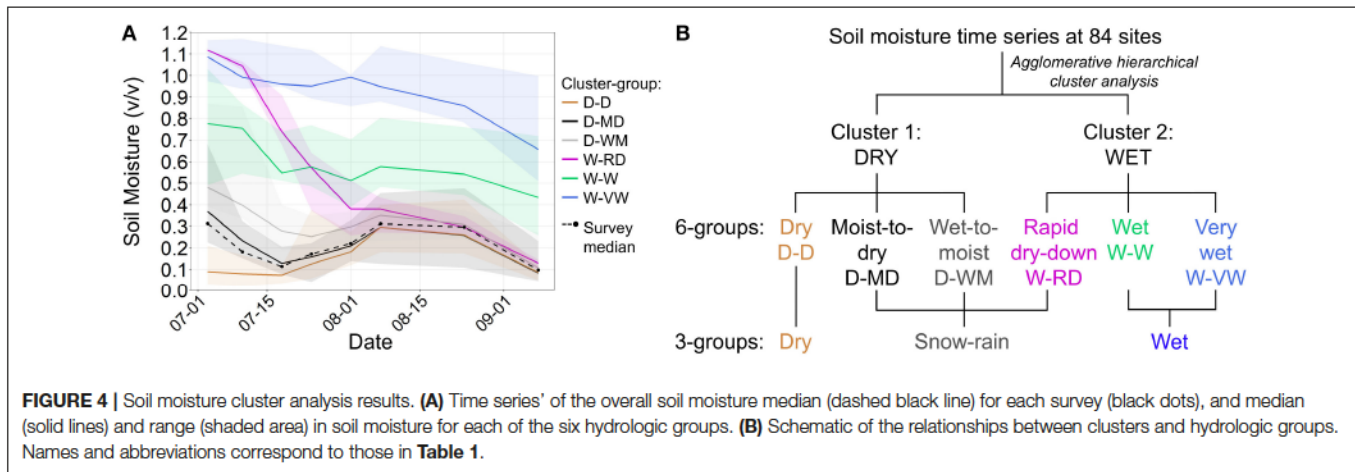


TABLE 1 | Soil moisture clusters and hydrologic groups.

Cluster	6-group model	Abbreviation	Locations per group	3-group model	Important CZ indices ^a
DRY	Dry	D-D	33	Dry	MSD, TWI-10m, mNDVI, slope-L, TPI-300m
DRY	Moist-to-dry	D-MD	22	Snow-rain	MSD, TPIr, North, MPI, mNDVI
DRY	Wet-to-moist	D-WM	14	Snow-rain	North, WS, SWFA , Elevation, VD2C
WET	Rapid dry-down	W-RD	4	Snow-rain	MSD, TPIr
WET	Persistently wet	W-W	7	Wet	TWI-10m, North, OD2C, TPIr
WET	Persistently very wet	W-VW	4	Wet	CIr, TWI-1m, MSD, Slope-L, OD2C

^aTop CZ indices based on local importance calculations from the six-group random forest model. Indices in bold text were also important in the three-group model.

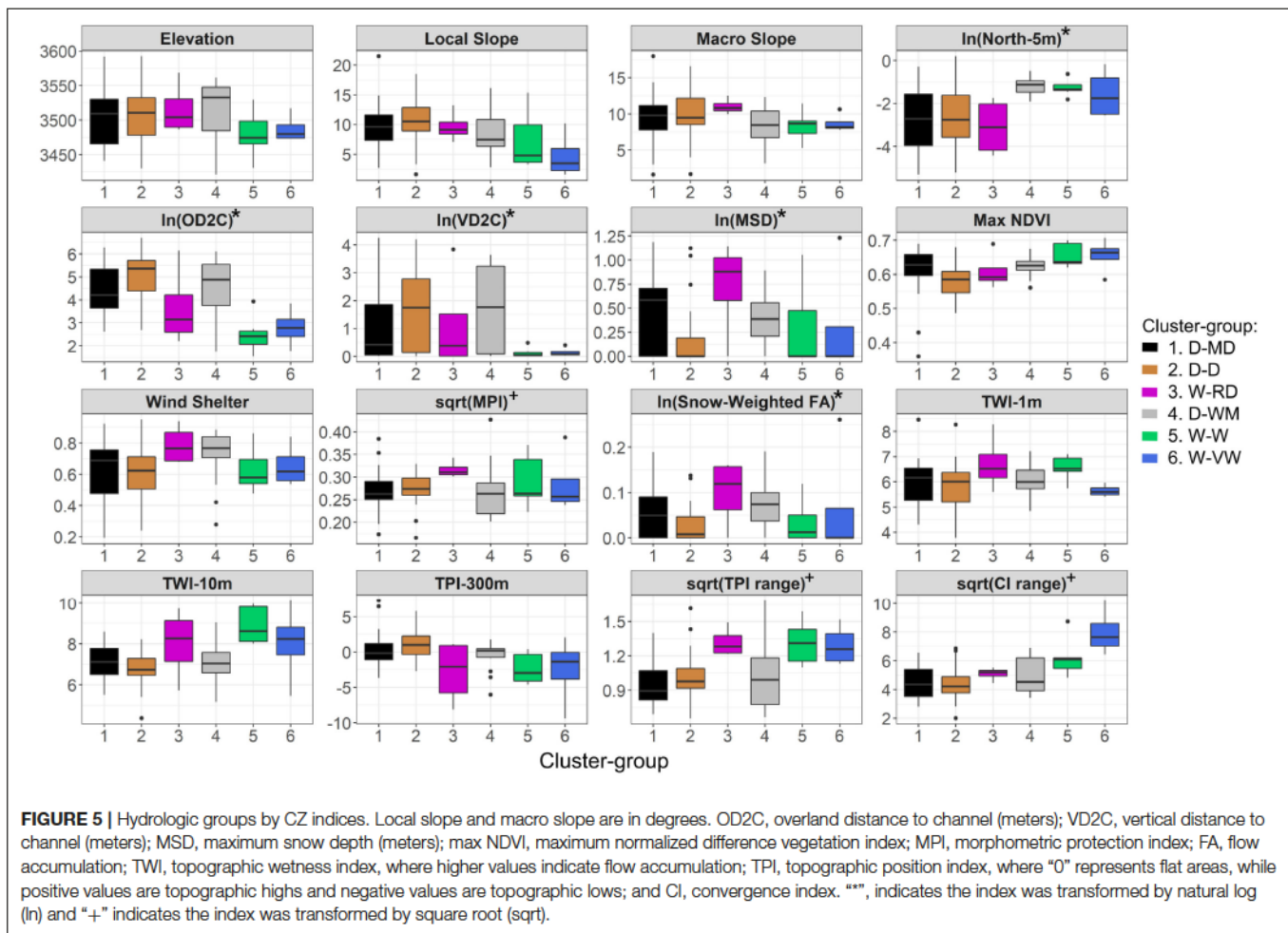
as slope-L and mNDVI, were more of a continuum across hydrologic groups (e.g., local slope or mNDVI), others clearly isolated one or more of them (e.g., OD2C, CIr; Figure 5).

Our PCA analysis showed relationships among CZ indices and hydrologic group separation in multivariate space. The first principal component (PC1) explained 28% of the CZ index variance and generally separated the D cluster from the W-W and W-VW groups (Figure 6A). The D cluster tended to have higher TPI-300 m, elevation, OD2C, and VD2C, while the W-W and W-VW groups had higher mNDVI, CIr, TWI-10 m, and TPIr. The second principal component axis (PC2) generally separated the D cluster from the W-RD group (Figure 6A) and explained 20% of overall variance. Plotting the first and third principal components (PC3), which explained an additional 15% of overall variance, further separated the D-D group from most others with higher TPI-300 m and slope, lower WS and SWFA, and was more south-facing (low northness index) compared with the rest of the groups (Figure 6B). Despite these patterns in PCA biplot space, no one group completely separated from the others, and the first three principal components only explained 63% of overall variance.

Spatial Organization of Hydrologic Groups Across the Catchment

We used random forest models to classify hydrologic groups across the study catchment using CZ indices as predictor variables. The six-group model included all 16 CZ indices and had an overall accuracy of 52.4% (Table 2). Some hydrologic group classifications were more accurate than others. Individual group balanced accuracy rates ranged from 49 to 80%. We reclassified our six hydrologic groups into three to increase sample numbers per group for random forest classification exercises. Locations classified as D-D remained the same across models, but locations classified into the D-MD, D-WM, and W-RD groups were combined into a “snow-rain” group (Table 1, Figure 4B). The remaining W-W and W-VW groups were combined into an overall “wet” group. The three-group random forest model had substantially improved overall accuracy at 71.4% and snow-rain group class balanced accuracy of 72% (Table 3). The wet group still had lower balanced accuracy than the others at 66%.

Local importance calculations identified CZ indices underpinning each of the two main clusters (Table 1). Important CZ indices for the W cluster included CIr, TPIr, MSD, northness, OD2C, slope-L, TWI-1 m, and TWI-10 m,

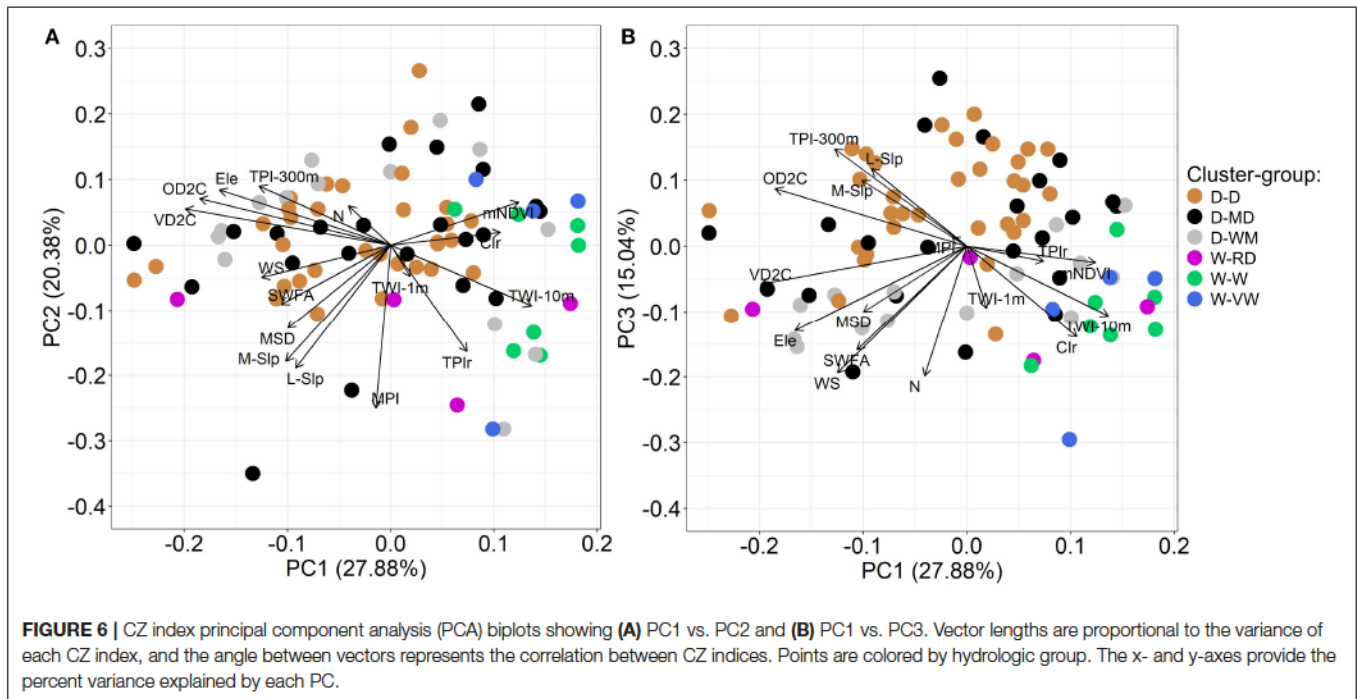


all of which represent microtopography or flow accumulation patterns. In contrast, CZ indices that differentiated the D cluster included mNDVI, WS, MPI, SWFA, northness, TPI-300 m, elevation, TPIr, and TWI-10 m. These indices represent snow accumulation and melt patterns driven by macrotopography and flow paths.

We then applied both the six- and three-group random forest models to distributed CZ index maps to classify each pixel in the study catchment (Figure 7). For the six-group model, the D-D group was mapped throughout the study area and encompassed the highest proportion of total area at 58.3% (Table 2). The upper portion of the catchment was mostly classified as a D-MD and D-WM zone (Figure 7A). These two groups made up 27.2 and 9.7% of the study area, respectively (Table 2). The middle area of the catchment was classified as the overall W cluster (W-RD, W-W, and W-VW; Figure 7A), with isolated patches of the W-W and W-VW groups also found along the eastern flank of the catchment. The W cluster accounted for ~5% of the total study area (Table 2). For the three-group model, the study area was classified as 46% dry, 51% snow-rain, and 3% wet (Table 3). The dry and snow-rain groups generally mapped to broad zones across the study area, with wet patches intermingling

with the snow-rain group in the eastern half of the catchment (Figure 7B).

Despite some uncertainty related to the six- and three-group maps, the spatial patterns of groups mirrored field observations of key hydrologic and topographic features across the study area (compare Figures 7, 8). In the upper portion of the catchment, mostly classified as D-MD and D-WM groups, snow caught between stone-banked solifluction terraces and accumulated in a wide “saddle” between two knolls. The saddle area had broad areas of wet and moist meadow plant communities, captured as W-W and W-VW groups. Eastern and western flanks of the catchment were predominated by dry meadow plant communities, captured as the D-D group in our models. Mid-catchment, a snow field persists every year until mid-August, adjacent to a broad zone of highly productive shrub and wet meadow plant communities. This area was classified as the W-RD, W-W, and W-VW groups. From this mid-catchment snow field and wet meadow, the stream channel emerged, and continued into sub-alpine forest in the lower portion of the catchment. Throughout the catchment, we observed seeps and ephemeral ponds in areas of local microtopographic variability, which were largely captured as D-MD, W-W, and W-VW groups.

**TABLE 2 |** Confusion matrix and accuracy for the six-group random forest model^a.

		Predicted Hydrologic Group						Group accuracy ^c	% Study Area
		1	2	3	4	5	6		
Actual hydrologic group^b	1 D-MD	9	10	0	3	0	0	0.61	27.2
	2 D-D	4	28	0	1	0	0	0.80	58.3
	3 W-RD	2	1	0	0	1	0	0.49	1.5
	4 D-WM	4	1	0	6	3	0	0.66	9.7
	5 W-W	1	0	0	3	1	2	0.54	3.0
	6 W-VW	1	1	1	0	1	0	0.49	0.3
Overall accuracy								52.4%	

^aEach number in the matrix represents the number of times a site was predicted into a hydrologic group compared to its actual hydrologic group during leave-one-out cross-validation.^bAbbreviations as defined in **Table 1**.^cGroup balanced accuracy.**TABLE 3 |** Confusion matrix and accuracy for the three-group random forest model^a.

		Predicted hydrologic group			Group accuracy ^c	% Study area
		1	2	3		
Actual Hydrologic Group	1 Dry	22	11	0	0.80	45.7
	2 S-R^b	3	34	3	0.72	50.9
	3 Wet	0	7	4	0.66	3.4
Overall accuracy					71.4%	

^aEach number in the matrix represents the number of times a site was predicted into a hydrologic group compared to its actual hydrologic group during leave-one-out cross-validation.^bS-R = "Snow-Rain" group.^cGroup balanced accuracy.

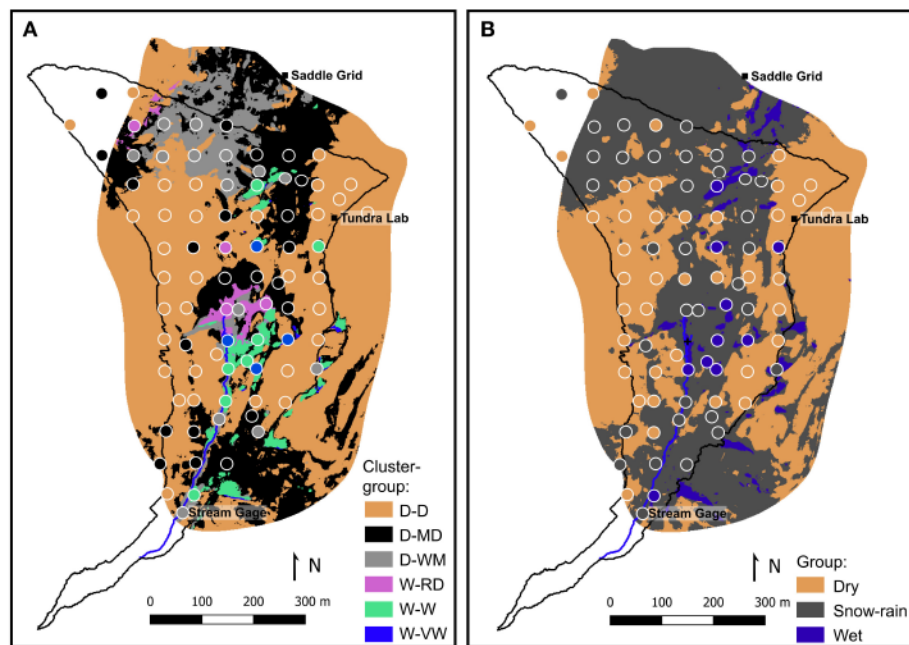


FIGURE 7 | Predictions for the six- (A) and three- (B) hydrologic group random forest models. Colors reflect pixel-by-pixel hydrologic group classifications compared to observed classifications (dots).

DISCUSSION

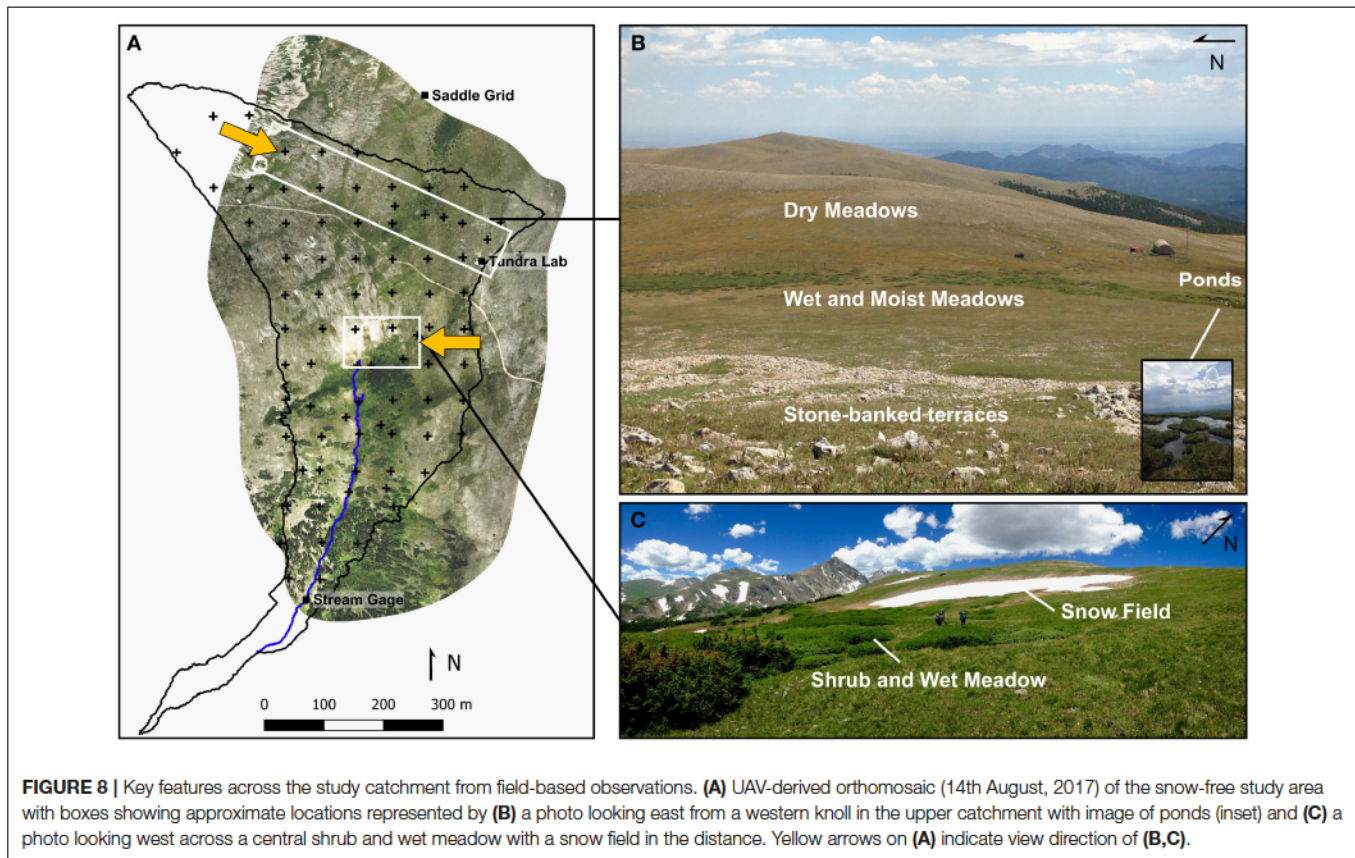
Alpine Hydrologic Patterns Driven by Physical Properties of the Critical Zone

In this study, we used a hierarchical cluster analysis to identify six unique hydrologic behaviors, or the quantity and time evolution of soil moisture, across an alpine catchment at Niwot Ridge. Multiple scales of CZ properties drove the differences among these six groups, affecting patterns in water routing, storage, and potentially export to the stream channel. Notably, macrotopography and snow accumulation and melt across broad zones within the catchment created a continuum from drier sites to those more influenced by snow and snowmelt. For example, sites in the driest group, D-D, were found on hillslope summits or shoulders (high TPI-300 m, higher slope values; Figures 5, 6), which had high wind scour (lower WS values), low to no snow accumulation (low MSD; Figure 5), and were distant from the stream channel (higher OD2C and VD2C). These sites also tended to be south-facing, consistent with prior evidence that equator-facing slopes have higher solar radiation, and, thus, lower snow accumulation and soil moisture (Hinckley et al., 2014b; Pelletier et al., 2018). Water limitation characterizes the D-D areas, making them less likely to contribute to catchment export of water and solutes.

In contrast to the D-D group, the D-MD, D-WM, and W-RD groups reflected differences in the timing and duration of snow and snowmelt. The D-MD group was found along snowmelt flow paths (Figures 5, 7A), reflecting early snowmelt patterns across the study area; these areas also supported higher plant productivity than the D-D group, as shown by mNDVI

measurements (Figure 5). The D-WM group, which mapped to a broad zone in the upper catchment, reflected an area of delayed snowmelt. These sites had higher wind shelter and were more north-facing than the D-D and D-MD groups. The W-RD group, with the highest MSD measured and highest morphometric protection on average, largely coincided with the persistent snow field mid-catchment. This group had a proximal source of snowmelt throughout the spring and summer, and, hence, was saturated during the first survey. Together, the physical drivers of the D-D to W-RD groups are consistent with prior work showing that wind interacts with macrotopography to drive snow accumulation and re-distribution patterns across Niwot Ridge (Winstral et al., 2002; Erickson et al., 2005; Litaor et al., 2008; Jepsen et al., 2012) and in mountainous regions globally (Grünwald et al., 2013).

Our research demonstrates that multiple scales of topography play a role in defining areas of soil water storage. We found that the W-W and W-VW groups were driven by a combination of macrotopography, microtopography, and hydrological flow paths. Both of these groups were in areas of lower macrotopographic position (lower TPI-300 m and slope relative to the DRY cluster groups; Figure 6). However, the W-W group was closer to stream channels (lower OD2C) or along flow paths (higher TWI) compared to the W-VW group (Figure 5). Sites in the W-VW group, in contrast, had lower local slope and were in areas of microtopographic change on the order of 5 m (higher Clr values; Figure 5). These differences suggest that those areas characterized by the W-W group follow the stream network and may contribute to catchment export, while the W-VW group is associated with areas of water storage (longer residence



time), such as solifluction lobes, terraces, and ephemeral ponds (Benedict, 1970; Harris, 1977; Mercer, 2018). Areas in the W-VW group may have a number of functions: acting as biogeochemical reactors on the landscape, due to storage of water and material, or contribute to groundwater recharge depending on subsurface structure, including the presence of permafrost layers (Leopold et al., 2008; Mercer, 2018; Knowles et al., 2019).

Based on the results of this study and others, we propose a conceptual model of CZ influence on landscape hydrology in regions influenced by snow and ice. At high latitudes, the CZ is predominated by microtopographic features. For example, across great swaths of arctic tundra, microtopographic ice wedge polygons ($\sim 5\text{--}20\text{ m}$) affect near surface soil moisture, permafrost, microbial activity, carbon fluxes, and vegetation (Zona et al., 2011; Hubbard et al., 2013; Wainwright et al., 2015; Dafflon et al., 2016; Taş et al., 2018). On the other end of the continuum, the CZ is predominated by macrotopographic features. For example, Hinckley et al. (2014a,b) found that hydrologic response and the fate of atmospheric nitrogen deposition in the montane were consistent within north- and south-facing aspects, but that the opposing hillslope aspects differed greatly, demonstrating nearly binary behavior when compared to one another. Here, we illustrate that alpine tundra sits between the arctic and montane endmembers: macrotopography dictates broad zones of snow accumulation and melt, as well as hydrological flow paths, while microtopography influences patches of water storage. We

predict that this patch-to-zone structure of the CZ results in the heterogeneous patterns of hydrologic connectivity (Ronayne et al., 2012), biogeochemistry (Darrouzet-Nardi and Bowman, 2011), and plant community composition (Spasojevic et al., 2013; Opedal et al., 2015) that complicate our understanding of these sensitive but critical environments. Additional studies across multiple years and in other alpine regions are warranted to confirm this conceptual model.

A Dialogue Between Datasets and Predictions

Our random forest statistical classification models were strongly informed by our field observations, monitoring, and empirical data. Visual inspection of topographic features during field surveys informed initial topographic index selection and scales of interest. In addition, they led to the calculation of new indices, including C_{Ir} and TPI_r, to capture solifluction lobe and terrace features. We also observed areas of persistent snowpack, ephemeral or subsurface flow paths, and surface ponding, indicating locations of extended water storage. These field observations aided in validating our random forest maps. For example, our six- and three-group random forest models were evaluated on their successful prediction of observed upper- and mid-catchment wet and moist meadows, ponds, extent of dry meadows, and isolated wet patches (Figure 7 compared to Figure 8). The three-group map presents predictions for broad spatial patterns in dry-to-wet areas, while the six-group

map provides insight into patch-scale patterns that reflect more nuanced hydrologic dynamics. As posed by Blöschl et al. (2019), our study shows that employing multiple approaches to characterize alpine hydrology leads to a stronger understanding of how physical drivers affect patterns of hydrologic dynamics across space.

In turn, our random forest predictions identified gaps in our understanding of alpine hydrology, pointing to future field-based research needs in alpine CZ science (Figure 2, box 4). Although all of our groups had some degree of separation based on CZ indices, wide variance in most CZ index values (Figure 5), the incomplete separation of groups in multidimensional space, relatively low explanatory power of principal components (Figure 6), and high single-group error rates in our random forest models (Tables 2, 3) suggests that hydrologic groups may be affected by additional factors that we did not include in our analysis. First, our study did not include sub-meter-scale CZ properties specifically related to soils. Several studies reinforce the importance of soil texture indices for predicting patterns in soil moisture (Williams et al., 2009; Oroza et al., 2018). Other soil characteristics, such as porosity, mineralogy, and organic matter content are important for vertical infiltration rates and lateral flow paths in the alpine (e.g., Quinton et al., 2005; Yang et al., 2014). Stone-banked lobes and terraces may also affect surface soil moisture, similar to the importance of rock-related metrics in defining hypopedologic units in the Hubbard Brook Experimental Forest (Gillin et al., 2015) or explaining subsurface flow variability (Bachmair and Weiler, 2012).

Second, while the regularly spaced grid of monitoring sites enabled broad characterization of the landscape and allowed us to extrapolate hydrologic groups across the area, it yielded few samples of wet patches and zones (Table 1). As a result, it was difficult to predict W groups accurately. When W-W and W-VW groups were combined for the three-group model, wet group balanced accuracy improved from 50 to 66%, but this was still higher error than for the “dry” and “snow-rain” groups (Tables 2, 3). The W-W and W-VW group were mostly misclassified as one another or as W-RD, D-WM, or D-MD groups, which suggests that we did not include some mechanisms that may more clearly differentiate these groups. Our results highlight a need for more rigorous study of wet areas within alpine landscapes to better understand the mechanisms underlying persistent wetness. For example, we do not know whether wet areas stay wet because they continually receive snowmelt or rather that they are areas fed locally by melting subsurface ice lenses and/or permafrost (e.g., Leopold et al., 2008; Knowles et al., 2019).

Third, subsurface characterization will be critical for developing predictive capacity related to quantifying stream flow and chemical export from the CZ. Characterizing subsurface heterogeneity has long been identified as a missing link in developing a unified theory of watershed hydrology (Troch et al., 2008), and our study indicates that the alpine is no exception. We could not physically measure soil moisture under the stone-banked terraces in the upper western portion of the catchment, nor in a broad shrub zone mid-catchment (Figure 8). Yet, we heard water percolating through the terraces and the broad shrub zone appeared saturated throughout most

of the summer season. We know little about subsurface flow in these areas. These two unmeasured areas of the alpine may be important for water transport or storage, respectively, with important implications for water delivery to lower elevations. More broadly, characterizing surface water-groundwater interactions would inform the hydrologic connectivity between our hydrologic groups (Liu et al., 2004; Williams et al., 2015; Mercer, 2018).

Additionally, we believe that estimation error associated with predicting the W-RD group may be due to the lack of characterization of the subsurface CZ structure. This group was found in broad areas of steep relief with snow accumulation (i.e., the snowfield in the middle of the catchment; Figure 8) and in the upper western part of the catchment, where the stone-banked terraces intermix with meadows. These sites were mostly misclassified as the D-MD function, suggesting that the physical drivers of these two groups are similar. Although obviously influenced by snowmelt supply, the W-RD function may reflect subsurface properties at play. Our predicted maps can guide future acquisition of intensive geophysical datasets, such as ground-penetrating radar, as well as tracer studies to determine patterns of hydrologic connectivity at hillslope-to-catchment scales. In summary, our data analysis framework capitalized on the combination of intensive field observations, extensive remotely-sensed CZ indices, and the strength of machine learning models. However, continuing the dialogue between targeted data collection and building predictive frameworks is critical for extending our efforts beyond mapping soil water storage patterns (Figure 2).

Our analytical framework provides a strategy for condensing multiple and complex data streams into a process-oriented understanding of within catchment functions. This approach is increasingly important as technology enables observations with higher spatiotemporal resolutions and for longer durations. For example, unmanned aerial vehicles, such as those used in this and prior studies, offer sub-meter-scale observations (Wigmore et al., 2019), commercially available high-resolution (3 m) satellite images provide broad spatial coverage (Planet Team, 2017), and *in situ* soil moisture sensor arrays yield real-time sub-hourly data feeds and long-term monitoring (e.g., Demand et al., 2009; Oroza et al., 2018). Our unsupervised cluster analysis distilled many observations into clear and related soil moisture behaviors, and similar approaches identified characteristic time series of plant productivity in mountainous regions (Devadoss et al., 2020). In turn, our machine learning-derived maps could be used to design sensor array placement in new locations or targeted process oriented transect studies (e.g., Wainwright et al., 2015).

Implications of Soil Moisture Patterns for Biogeochemical and Ecological Processes

Our approach to identify and predict hydrologic groups allowed us to begin exploring their relationships within a catchment, as well as their potential effects on biogeochemical processes, plant productivity, and stream water and solute fluxes. Both our six- and three-group random forest predictions indicated that 40–55% of the catchment is hydrologically active early

in the summer growing season (Tables 2, 3). Areas classified as the D-MD, D-WM, and W-RD groups (>40% of the study area) are expected to contribute significantly to water fluxes and the fluxes of solutes stored in snowpack (e.g., inorganic N; Williams et al., 2015), mobilized from shallow soils (e.g., dissolved organic carbon), or produced from carbonic acid weathering (Winnick et al., 2017). As temperatures warm and soil moisture saturation decreases during the growing season, these areas develop optimal conditions for soil respiration (Knowles et al., 2015), soil N transformation rates (Chen et al., 2020), and plant growth (Supplementary Figure 1). We would expect that D-MD, D-WM, and W-RD groups then “prime” soils for mobilization of solutes in response to snowmelt and convective rainstorms later in the season, but future hydrologic modeling studies are needed to test this hypothesis. While their biogeochemical activity appears to be activated by warming temperatures and declining soil moisture, we predict that their primary role in the catchment is as export control points (Bernhardt et al., 2017); they accumulate and then contribute much of the water, solute, and nutrient fluxes from the terrestrial ecosystem when hydrologically connected to the channel.

Although we estimated that the W-W and W-VW groups are only ~3% of the study area, these sites may be disproportionately important in net ecosystem or catchment processes. Encompassing the riparian zones along the stream channel and areas accumulating snowmelt, these groups align with the “activated control points” typology outlined by Bernhardt et al. (2017). When warming temperatures cause thawing, these areas exhibit high soil respiration rates relative to other areas (Knowles et al., 2015, 2019). Consistent with prior research on Niwot Ridge (Bowman and Fisk, 2001; Seastedt, 2020), the wetter areas also had the highest mNDVI (Supplementary Figure 1). However, since these areas had the highest predictive uncertainty, further study is warranted to better understand their biogeochemical processes, subsurface weathering dynamics, and surface-subsurface hydrologic connectivity.

We classified the other half of the study area as the D-D group. Dry areas have high rates of net N mineralization and nitrification early in the summer season (June–July) and again during August–September (Chen et al., 2020). These biogeochemical processes are stimulated by higher soil moisture during early snowmelt and summer rains. However, the overall low antecedent soil moisture conditions at dry sites prior to rains suggest that they are unlikely to contribute to streamflow, similar to the findings of Penna et al. (2011) in the Italian Alps. Low soil moisture also limits plant productivity; these areas had the lowest mNDVI on average (Supplementary Figure 1), consistent with previous studies on Niwot Ridge (for review, see Bowman and Fisk, 2001). However, these drier areas host the highest plant species richness of alpine plant communities (Seastedt and Vaccaro, 2001; Litaor et al., 2008; Seastedt, 2020). Our findings suggest that while the D-D group may be important areas for local nutrient cycling and plant species diversity, they are less important for ecosystem- or catchment-scale processes; thus, we do not designate them as control points. We do note, however, that D-D group areas may

be more hydrologically relevant during early snowmelt—a period of time that our measurements did not capture.

Our observations and random forest predictions reflect only one growing season’s hydrology in one alpine catchment, but we can consider our findings in the context of long-term climate data and predictions for the region. We suggest that, broadly, the spatial patterns of the different hydrologic groups will be robust to change, since these patterns are driven by the physical template of the landscape. This idea is similar to Williams et al. (2009)—patterns in soil moisture respond to both static (i.e., topography and soils) and dynamic (i.e., snowpack) CZ controls. However, we might expect some shifts in the spatial extent of groups, since over the long term, winter precipitation is increasing (Kittel et al., 2015) but spring and summer are warming (McGuire et al., 2012). Earlier but slower snowmelt (Musselman et al., 2017) may shift more areas to behave like an expanded D-MD group, with early influence of snowmelt, a slower dry-down period, and longer dry conditions prior to summer precipitation. This shift reinforces the importance of summer rains for stimulating microbial activity and plant growth under conditions of temperature stress (Harpold, 2016; Winkler et al., 2016; Chen et al., 2020). Nutrient losses to the channel may increase, since alpine plant productivity may be limited by photoperiod despite early snowmelt and warmer spring temperatures (Ernakovich et al., 2014). The W-W and W-VW areas may experience increases in subsurface thawing with warming summer temperatures, enhancing the hydrologic connectivity of these zones to other areas of the landscape and shifting their role, for example, from activated to transport control points (Bernhardt et al., 2017), important for conveying water and solutes to the channel (e.g., Barnes et al., 2014).

CONCLUSIONS

Our intensive soil moisture observations clustered into six hydrologic groups, characterized by similar quantities and temporal trends in soil moisture over the alpine growing season. Interestingly, we found that multiple scales of topography were at play in differentiating these groups, and these scales of topography interacted to manifest as broad zones or isolated patches of like soil moisture behaviors across the landscape. We expect hydrologic groups may shift in response to changes in snowmelt predicted for the region (Musselman et al., 2017; Jennings and Molotch, 2020), which may affect the roles of different patches or zones as ecosystem control points (Bernhardt et al., 2017). Our study illustrates the novel insights that can be provided by applying an analysis framework that links intensive on-the-ground field observations with empirical evidence, topography-derived terrain indices, and extensive aerial imagery from UAVs. Our framework combined the exploratory nature of hierarchical cluster analysis to identify unique hydrologic behaviors in our observations with the power of machine learning algorithms to predict them across a landscape. This approach yielded a detailed snapshot of hydrologic dynamics and connectivity across space, which will aid in informing targeted,

process-based field and modeling studies in this and other sensitive alpine CZ systems.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories as follows. The original soil moisture measurements presented in this study are publicly available and can be found here: Hermes, A. 2019. Soil moisture and temperature surveys for Saddle Stream Network, 2017 ver 1. Environmental Data Initiative. <https://doi.org/10.6073/pasta/caaa4da3a6ab7a4949a8afb3c0df6c14>. Restrictions apply to the UAV-derived datasets presented in this article. These datasets are currently embargoed on the Environmental Data Initiative. Requests to access the datasets should be directed to Oliver Wigmore, oliver.wigmore@vuw.ac.nz.

We also analyzed existing publicly available datasets, which can be found here: Caine, T.N., Morse J., and Niwot Ridge LTER (2020). Streamflow data for Saddle stream, 1999 - ongoing, ver 3. Environmental Data Initiative. <https://doi.org/10.6073/pasta/5947b3ce4f706470b83da7c4afa4a875> (accessed 2020-05-26).

Morse, J., Losleben, M., and Niwot Ridge LTER (2020). Precipitation data for Saddle chart recorder, 1981 - ongoing, ver 10. Environmental Data Initiative. <https://doi.org/10.6073/pasta/21e69431d63e97b2d311bb35793eb1> (accessed 2020-05-26). National Ecological Observatory Network (2018).

Data Products: NEON_D13_NIWO_DP1_448000_4433000_classified_point_cloud, NEON_D13_NIWO_DP1_448000_4434000_classified_point_cloud, NEON_D13_NIWO_DP1_449000_4433000_classified_point_cloud, NEON_D13_NIWO_DP1_449000_4434000_classified_point_cloud, NEON_D13_NIWO_DP1_450000_4433000_classified_point_cloud, NEON_D13_NIWO_DP1_450000_4434000_classified_point_cloud. Provisional data downloaded from <http://data.neonscience.org> on 17 October 2018. Battelle, Boulder, CO, USA.

AUTHOR CONTRIBUTIONS

AH collected soil moisture observations, performed statistical analyses, and contributed to the original manuscript. HW advised statistical analyses and edited

the original manuscript. OW collected unmanned aerial vehicle datasets, advised statistical analyses, and edited the original manuscript. NF advised statistical analyses and edited the original manuscript. NM edited the original manuscript. E-LH advised field observations and statistical analyses and contributed to the original manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/frwa.2020.578602/full#supplementary-material>

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The reviewer TF declared a past co-authorship with one of the authors NM to the handling editor.

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