

Variability in Isolated Convective Activity between Louisville, Kentucky and Nearby Rural Locations

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Abstract

This study analyzes the frequency of strong, isolated convective cells in the vicinity of Louisville, Kentucky. Data from the Severe Weather Data Inventory (SWDI) are used to compare the frequency of convective activity over Louisville to the observed frequency at nearby locations from 2003–2019. The results show that Louisville experiences significantly more isolated convective activity compared to the rural locations. The difference in convective activity between Louisville and the rural locations is strongest during summer, with peak differences occurring between May and August. Compared to the rural locations, Louisville experiences isolated convective activity in the afternoon and early evening, but less activity after sunset and into the early morning. Isolated convective events over Louisville are most likely to occur during quiescent synoptic conditions, while rural events are more likely during active synoptic systems.

To determine if these differences can be attributed primarily to urban effects, two additional cities are shown for comparison—Nashville, Tennessee and Cincinnati, Ohio. Bothville and Cincinnati experience more isolated convective activity than all five of their rural comparison areas, but the results for both are statistically significant at four of the ral locations. In addition, the analysis of Cincinnati includes a sixth comparison site that os the urbanized area of Columbus, Ohio. For that location, differences in convective y are not statistically significant.

26 **1. Introduction**
27

28 Numerous studies have found that precipitation patterns can be influenced by large urban
29 areas. One of the first projects to study this effect was the Metropolitan Meteorological Experiment
30 (METROMEX; e.g., Changnon et al. 1971; Huff and Changnon 1973; Changnon et al. 1977),
31 which found evidence of precipitation enhancement downwind of St. Louis, Missouri. Since
32 METROMEX, numerous subsequent studies have focused on other metropolitan areas. There is
33 general agreement amongst these studies that urban areas can modify precipitation patterns, but
34 results have differed regarding the type of modification, magnitude of the signal, and underlying
35 physical mechanism(s) responsible for alterations to precipitation distributions around these areas.

36 While St. Louis, Missouri was the primary focus of many pioneering studies on urban-
37 induced precipitation variations, Atlanta, Georgia has been the most frequent focus of modern
38 studies (e.g., Dixon and Mote 2003; Mote et al. 2007; Shem and Shepherd 2009; Bentley et al.
39 2012; Haberlie et al. 2015). Although these studies have shown that Atlanta experiences more
40 warm season convective events than surrounding rural regions, the results from one city should
41 not necessarily be extrapolated to other large cities. For example, Huff and Changnon (1973) found
42 evidence of urban-induced precipitation enhancement in six of eight cities studied, but there was
43 substantial variability regarding magnitude, location, and even timing of the enhancement amongst
44 those cities. Shepherd et al. (2002) found evidence of downwind precipitation enhancement in five
45 different U.S. cities, however the magnitude of those anomalies (relative to precipitation amounts
46 in the upwind area) varied from 15–51%. Furthermore, Ganeshan et al. (2013) determined that the
47 urban influence on precipitation patterns varies by geography, with inland cities experiencing an
48 increase in nocturnal convection and coastal cities experiencing an increase in afternoon
49 convection. Recently, Liu and Niyogi (2019) performed a meta-analysis of previous studies on

50 urban-induced precipitation modification. They found that the most commonly reported signal was
51 precipitation enhancement 20–50 km downwind of the urban center.

52 There is also some disagreement as to the exact physical mechanism responsible for urban-
53 induced precipitation changes. While it has been suggested that surface roughness gradients (e.g.,
54 Hjelmfelt 1982; Thielen et al. 2000), urban aerosols (e.g., Jin et al. 2005; van den Heever and
55 Cotton 2007; Stallins et al. 2013; Ochoa et al. 2015), and urban moisture availability (e.g., Braham
56 et al. 1981) may play a role, numerous studies are in general agreement that the urban heat island
57 (UHI) is responsible in some way (e.g., Bornstein and Lin 2000; Dixon and Mote 2003; Rozoff et
58 al. 2003). These studies have found evidence that UHIs produce convergence zones that can initiate
59 new convection.

60 Most previous work has focused on all forms of convective activity, with some studies
61 including cells that reach a maximum reflectivity as low as 40 dBZ (e.g., Haberlie et al. 2015).
62 Several recent studies have focused specifically on the impact urban areas may have on strong,
63 deep convection capable of producing severe weather. Naylor and Sexton (2018) found that storm-
64 based NWS warnings and the frequency of convective cells with a reflectivity of 50 dBZ or more
65 are maximized on the downwind side of several large cities. Reames and Stensrud (2018)
66 performed WRF simulations of a supercell and found that the strength of low-level rotation, as
67 well as the track of the near-surface mesocyclone, can be impacted by the presence of a large city.

68 Results from METROMEX suggest that urban areas are more likely to enhance existing
69 precipitation systems than to initiate new convective cells (e.g., Huff and Changnon 1973). A
70 similar conclusion was reached by Shem and Shepherd (2009) in their analysis of two separate
71 convective events over Atlanta, Georgia. Niyogi et al. (2011) also found observational evidence
72 of storms changing structure when interacting with a city. Using a large radar-based climatology

73 of convective events over a 10-year period, they found that thunderstorms approaching
74 Indianapolis, Indiana were more likely to change structure when passing over the city compared
75 to thunderstorms passing over nearby rural regions. However, other studies have found strong
76 evidence of urban-induced convective initiation (e.g., Bornstein and Lin 2000, Craig and Bornstein
77 2002; Dixon and Mote 2003; Haberlie et al. 2015). These initiation events are most often
78 associated with warm humid air masses and synoptically benign conditions during the peak in
79 summer. As pointed out by Haberlie et al. (2015), studies investigating the climatology of urban-
80 induced convection are much less common in the literature than more general climatological
81 studies of thunderstorm occurrence around large cities.

82 The goal of this study is to further investigate the impact of large urban areas on convective
83 initiation and the occurrence of isolated deep convective storms capable of producing hazards such
84 as flash floods, damaging winds, and hail. The primary focus area is Louisville, Kentucky.
85 Previous research has shown that Louisville has one of the strongest UHIs in the U.S. (Debbage
86 and Shepherd 2015). Since processes associated with UHIs are believed to be related to
87 precipitation modification, it is possible that Louisville may have a detectable influence on area
88 precipitation. An example of a summertime convective initiation event over Louisville, Kentucky
89 on 10 July 2019 is shown in Figure 1. This cell developed during the afternoon on the eastern side
90 of the city with no other convective activity in the vicinity. This cell remained relatively stationary
91 as it grew in size over a period of approximately 40–50 min and reached a maximum radar
92 reflectivity value ≥ 50 dBZ. After the storm propagated out of the city, it dissipated (not shown).
93 The main goal of this study is to determine if events such as this are more common over Louisville
94 than nearby rural areas.

95 This study differs from previous studies of urban-induced and urban-enhanced convection
96 in several ways. First, we focus only on “strong” convective cells, with convective strength based
97 on observed radar reflectivity. Second, many previous studies have focused only on warm season
98 convection, while others have gone a step further to restrict their search to warm season events
99 that occur under a specific type of airmass (e.g., Dixon and Mote 2003; Mote et al. 2007; Bentley
100 et al. 2012; Haberlie et al. 2015). This study has no such restrictions. Any convective event that
101 meets the specified criteria will be considered, regardless of the season in which it occurred, or the
102 synoptic conditions associated with it. Finally, the focus of this current study is the initiation of
103 new cells over the urban area itself. While many previous studies have found an increase in
104 precipitation downwind of large cities, our goal is to examine the occurrence of strong, discrete
105 convective activity over a large city.

106 **2. Methodology**

107 *a. Identification of urban and rural cases*

108 Data from the Severe Weather Data Inventory (SWDI; Ansari et al. 2009) are used to create
109 a radar-based climatology of convective activity around a specified point from January 2003
110 through December 2019. The SWDI dataset includes the Level III “Storm Structure” product from
111 National Weather Service WSR-88D radars, which contains information for identified cells such
112 as time, latitude/longitude, maximum reflectivity, vertically integrated liquid water content, and
113 cloud depth. These data have a temporal resolution of 5 minutes. For an analysis of Louisville and
114 the surrounding area, data from the KLVX radar at Fort Knox, Kentucky are used.

115 To identify instances of strong, isolated convective activity over Louisville, we create a
116 “cone of influence” relative to a particular location using a process described in Naylor and Sexton
117 (2018). This creates fan-like areas emanating from the reference location in either direction (Figure

118 2). Similar approaches have been adopted by many previous studies involving urban precipitation
119 anomalies (e.g., Huff and Changnon 1972; Shepherd and Burian 2003). The ‘focus’ area over
120 Louisville (purple portion in Fig. 2) extends 25 km eastward from the point of origin and the
121 ‘control’ area (blue portion of Fig. 2) extends 20 km westward from the point of origin. Since
122 convection is discrete by its very nature, initiation of convection over a particular point on the
123 surface does not necessarily mean that the properties of the underlying surface substantially
124 impacted that development. To determine if isolated convective activity over Louisville is more
125 common compared to nearby areas, the methodology described above was repeated at five rural
126 points (herein RPs). At each of these selected RPs, focus and control areas were defined (centered
127 on the latitude and longitude of the RP) and the SWDI dataset was searched for instances of strong
128 convection in the focus area but not in the control area. Because cell detection and radar reflectivity
129 are strongly influenced by distance from the radar, the RPs were chosen at points located at a
130 similar distance from the KLVX radar as Louisville (Figure 2). The lowest possible radar beam
131 height (assuming 0.5° elevation angle) within the various focus regions shown in Figure 2 ranges
132 from approximately 300 m to 900 m.

133 The reference location and radial extent were chosen such that the majority of Louisville
134 is contained within the focus area. The geometry of the focus region is intended to minimize non-
135 urban land use within the shape. For example, if a semi-circle were chosen instead of a cone, the
136 focus area would extend to areas 20 km directly north and south of the radial center. This would
137 include a heavily forested area near Fort Knox, Kentucky and rural areas in southern Indiana. To
138 be considered a strong isolated event, convection exceeding a predefined reflectivity threshold
139 must be identified within the focus area with no detections of 30 dBZ or greater in the control area
140 during a moving three-hour window. The radial extent of each cone was determined by trial and

141 error. The purpose of the control area is to identify and remove events with a) existing cells that
142 were advected over Louisville due to the prevailing winds and b) widespread convective initiation
143 over a large area.

144 Case selection was automated using a Python script to search the SWDI dataset for events
145 that met the defined criteria. Various reflectivity thresholds were tested and identified cases were
146 manually checked for consistency. It was found that lower reflectivity thresholds (such as 50 dBZ)
147 produced too many “false alarms”—cases where relatively weak cells met the maximum
148 reflectivity threshold for just a single scan. Choosing too large of a threshold limited the number
149 of available cases. Thus, 55 dBZ was chosen as an acceptable threshold to identify “strong”
150 convection. This threshold value yielded a reasonable number of events (116) while also limiting
151 the number of weaker convective events detected by the data-mining code.

152 Detected cases are a combination of discrete convective initiation as well as the
153 enhancement of existing, weak clouds. For example, it is possible for a weak, developing
154 convective cell with a reflectivity of less than 30 dBZ to move from the control region and then
155 intensify to greater than 55 dBZ in the focus region¹. New cells may also be initiated directly in
156 the focus region without any prior weak cell detections in the control region. A manual inspection
157 of cases identified by the detection script revealed that the majority of cases involve the initiation
158 of a single cell near Louisville with no other convection within 30 km of the city—similar to the
159 event depicted in Figure 1. There are also several cases where an existing convective system
160 approaches Louisville without passing into the control area, and new cells initiate within the
161 defined focus region. It is also possible that a strong existing cell that developed well outside of

¹ Mecikalski and Bedka (2006) define convective initiation as having occurred when reflectivity of 35 dBZ or greater is detected by a WSR-88D radar. By that criteria, weak cells moving from the control area into the focus area before intensifying to 35 dBZ or more would be categorized as having initiated in the focus area.

162 Louisville could pass through one of the “gaps” between the focus and control regions, travel into
163 the focus region and be labeled as an initiation event by the analysis code. A manual investigation
164 revealed that this scenario was relatively rare and accounts for less than 10% of the total number
165 of detections.

166 Statistical analysis was performed to determine if significant differences in convective
167 activity exist between Louisville and the RPs. Statistical significance was investigated using
168 permutation testing of yearly convective counts at each location (e.g., Wilks 2006). The test
169 statistic used for the resampling method was the ratio of yearly mean isolated convective cases
170 between the urban location and RPs (herein, $\overline{urb}/\overline{rur}$). For each RP, 100,000 randomly generated
171 samples of the observed yearly convective urban and rural counts were created. For each
172 sample, $\overline{urb}/\overline{rur}$ was calculated and the distribution of $\overline{urb}/\overline{rur}$ was compared to the observed
173 value. The p -value was determined by the fraction of simulated $\overline{urb}/\overline{rur}$ values that exceeded the
174 observed value. For comparison to the permutation method, the p -value was also calculated using
175 the commonly used Wilcoxon signed-rank test for each location (Wilcoxon 1945).

176 *b. Synoptic environments of cases*

177 To investigate how cases are related to synoptic environments, a competitive neural
178 network known as the Self Organizing Map (SOM, Kohonen 1989) was used to objectively
179 classify 500 hPa geopotential height patterns from the North American Regional Reanalysis
180 (NARR, Mesinger et al. 2006). For the sake of brevity, the reader is referred to Kennedy et al.
181 (2016), Kennedy et al. (2019) and Wang et al. (2019) for details on the merits of SOMs. The
182 training process for this study is most similar to Wang et al. (2019). Differences with this study
183 include geographical area, the selection of training samples, and the use of only 500 hPa heights

184 (vs. combinations of atmospheric variables). In the latter case, additional variables did not improve
185 discrimination of urban vs. rural cases.

186 SOMs were created for the summer (June-July-August) from 00 UTC NARR height
187 patterns from 2003-2019. This subset of time (vs. the entire season) allowed us to emphasize
188 variability between quiescent and synoptically-active periods and also highlights a period when
189 the greatest difference in cases was observed (see Section 3a). Inclusion of patterns from other
190 months skewed results to more active patterns and led to larger classification errors. In total, 17
191 years of summer seasons provided 1564 training samples. Like these prior studies, NARR was
192 averaged to $1^\circ \times 1^\circ$ grid for a 15° latitude $\times 19^\circ$ longitude region, but centered on Louisville, KY.
193 The height field was calculated as an anomaly from the domain average to prevent biases due to
194 seasonally dependent thermal thickness (Kennedy et al. 2016). Various size SOMs were created,
195 but the best balance between number of convective cases and variability expressed by the SOM
196 occurred for a 28-class, 7×4 map. Urban and rural cases were then classified to the SOM using the
197 minimum Euclidean distance for the NARR 3-hr time step closest in time.

198 **3. Results**

199 *a. Convective initiation near Louisville, Kentucky*

200 Using the criteria outlined above, 116 cases were identified over Louisville, Kentucky
201 during the period from 2003–2019 (Table 1). The total number of events at the RPs ranges from
202 62 (RP1) to 87 (RP4). The average (6.8) and median (7.0) values are also greater than at any of
203 the RPs. At RP1, the median is less than half of the Louisville value. To determine the statistical
204 significance of these results, permutation testing was completed using 100,000 randomly generated
205 samples. Figure 3 shows the distribution of the test statistic, $\overline{urb}/\overline{rur}$, at RPs 1–5². At each

² For clarity and to prevent overlap, only rural locations 1–5 in Table 1 are shown.

206 location, the observed value of $\overline{urb}/\overline{rur}$ is located at the tail end of the randomly generated values.
207 The one-sided p -values indicate that the observed differences are statistically significant at the
208 95% confidence level (or greater) for each of the five RPs (Table 1).

209 Convective events were grouped by month and the difference in monthly count between
210 Louisville and each RP was calculated (Figure 4). As expected, the largest differences in urban
211 and rural counts occur during meteorological summer, with May through August typically being
212 the months of peak difference. Although convective activity is less common at all locations during
213 the cool season, Louisville appears to have less convective activity than several of the RPs during
214 the winter and early spring. A comparison between the timing of convective events over Louisville
215 and the RPs is shown in Figure 5. Differences are largest between 1800 and 0200 UTC, indicating
216 that Louisville experiences more convective activity (compared to the surrounding RPs) beginning
217 in the afternoon and ending before midnight local time. This time frame is similar to that identified
218 by Haberlie et al. (2015) for convective initiation around Atlanta, GA but slightly longer than the
219 time period of maximum urban-associated rainfall over Atlanta identified by McLeod et al. (2017).
220 At four of the five RPs shown in Figure 5, the difference is largest between 1800-2400 UTC.
221 However, Figure 5 also shows that each RP experiences more convective activity overnight and
222 during the morning hours relative to Louisville. This difference peaks sometime between 0400-
223 1000 UTC.

224 Figure 6 shows time series of urban/rural convective activity during each year of the study
225 period. The most convective events over Louisville occurred in 2006, 2010. Overall, there is no
226 detectable upward or downward trend in the number of events per year. For the RPs, there is some
227 shared signal, but not always. For example, all locations experienced a relatively high amount of
228 convective activity in 2010 and a lower amount in 2013. Similar patterns were also evident for

229 Louisville during these years. At each RP, there is at least one year where convective activity was
230 the same or greater than Louisville. RP4 has the greatest number of years when this occurs (7),
231 while RP1 has the least (2).

232 *b. Partitioning of events by 500hPa height patterns*

233 The summer months of June–August were used to classify climatological 500 hPa height
234 patterns surrounding Louisville, Kentucky from 2003–2019 (Figure 7). The SOM captures
235 variability across this season with patterns ranging from a stronger flow on the left side of the
236 feature map and quiescent conditions on the right (Figure 7a). In total, 131 urban and rural cases
237 were classified from the summer months to nodes on the SOM (Figure 7b). Cases were least likely
238 to occur on the upper-left side of the SOM; these patterns have strong NW flow aloft with the
239 trough axis located to the east of Louisville, Kentucky. In these situations, subsidence is generally
240 expected from quasi-geostrophic theory as there is anticyclonic vorticity advection increasing with
241 height. Cases occurred across the remainder of the SOM with cases ranging from near-zonal flow
242 (bottom center), to southwesterly flow (bottom right), to weak flow with various positions with
243 respect to the thermal ridge (upper right). The speckled nature of case counts in the bottom of the
244 SOM is more likely to be tied to sampling issues vs. true neighboring class variability. Rather, we
245 focus our attention to broad changes across the SOM (e.g., strong vs. weak flow).

246 Urban and rural cases were separated to understand whether they have preferential 500 hPa
247 patterns of occurrence (Figure 8). To limit issues with sampling, results are plotted as the fraction
248 of total cases. While sampling cannot be completely negated (e.g., noise in fractions seen along
249 the perimeter with urban cases), a clear shift in patterns can be seen. Overall, urban cases are more
250 likely under quiescent conditions on the right-hand side of the SOM (Figure 8a.) while rural cases
251 are more likely under synoptically active time periods (Figure 8b.).

252 *c. Other cities*

253 The results show that Louisville experiences significantly more days with isolated deep
254 convective storms compared to nearby rural areas. However, a causal relationship for this has not
255 been established. While it is likely that urban processes are responsible for this difference, it is
256 also possible that other features—such as topographical variations—play a role. To further
257 investigate this possibility, the procedure described in Section 3a was repeated for Nashville,
258 Tennessee and Cincinnati, Ohio with some modifications to the geometry of the focus/control
259 areas (Figure 9). For Nashville, the radial extent of the focus and control areas is 25 km, and 20
260 km, respectively. For Cincinnati, the limits were 35 km and 30 km. Data from the KILN radar
261 were used for Cincinnati and surrounding RPs, while KOHX radar data were used for Nashville
262 and surrounding RPs. The range of possible radar beam heights for Nashville and surrounding RPs
263 is approximately 100 m to 500 m within the focus regions. For Cincinnati (and RPs), the lowest
264 possible beam height ranges from 500 m to 2000 m. In addition to the five RPs identified around
265 Cincinnati, a sixth location at 39.9697° latitude and -83.1691° longitude was included (Figure 9b).
266 The focus area of this location extends over the Columbus, Ohio metropolitan area (herein this
267 point is referred to as CBS). The purpose of this location is to directly compare convective activity
268 over two different urban areas, both of which are approximately the same distance from the same
269 radar.

270 The total number of isolated convective events over Nashville is 175 and values over the
271 RPs range from 132 to 146 (Table 2). Figure 10 shows the results from the permutation resampling
272 and the *p*-values from this analysis are shown in Table 2. At four of the five RPs, the difference in
273 isolated convective activity (relative to Nashville) is statistically significant at the 95% confidence
274 level when significance was determined by the permutation method. RP2 (*p*-value of 0.056) was

275 the only comparison point where differences were not significant at the 95% confidence interval.
276 When the Wilcoxon signed-rank test was used, a statistically significant difference in convective
277 activity (at the 95% confidence interval) was found at three of the RPs, with RP2 and RP4 being
278 the locations that were not significantly different compared to Nashville. Differences in convective
279 activity between the urban and rural environment tend to be maximized in June and July (Fig. 11),
280 which is a narrower time frame than was found for Louisville, where peak differences relative to
281 the various RPs occurred from May through August.

282 Cincinnati had 104 total events over the study period, which was the smallest of the three
283 cities analyzed (Table 2). The total number of urban convective events over Cincinnati is greater
284 than each of the five comparison RPs as well as the location over Columbus, Ohio (CBS). The
285 results from the permutation resampling were statistically significant at the 95% confidence
286 interval (Figure 12, Table 2) at four of the five RPs. As was the case with Nashville, the lone
287 comparison RP that was not statistically significant (RP2; *p*-value of 0.054) was slightly outside
288 the defined confidence interval. Interestingly, the CBS location yielded the most convective events
289 of all of the comparison locations. Differences in convective activity between Cincinnati and CBS
290 were not statistically significant, with *p*-values of 0.22 and 0.23 from the permutation testing and
291 Wilcoxon methods. Figure 13 shows that differences in convective activity between Cincinnati
292 and the RPs is maximized in July and August (Figure 13), which is slightly later than the peak
293 differences observed for Louisville and Nashville.

294

295 **4. Discussion**

296 The results show that Louisville experiences strong, isolated convective activity more often
297 than surrounding rural locations. This activity is more likely under quiescent conditions when there

298 is weaker synoptic forcing. In order to determine if the results from Louisville were dominated by
299 the impact of urban processes or may have been influenced by other local factors, the procedure
300 was repeated for two nearby large cities—Nashville, Tennessee and Cincinnati, Ohio.
301 Indianapolis, Indiana was also considered for analysis, however the KIND radar is located only
302 about 15 km from the downtown center of Indianapolis. This made it impossible to define RP
303 focus regions that were approximately the same distance from the radar as the urban focus region
304 and did not overlap with the urban focus region. This presents a limitation of our methodology—
305 it cannot be used in cities where the nearest WSR-88D is very close to the city itself.

306 The results from the analysis of Nashville and Cincinnati also revealed greater convective
307 activity in these cities compared to nearby rural areas, although each city had one RP where
308 convective activity was not statistically different from that in the comparison urban area at the
309 95% confidence interval. Also, Cincinnati presented a unique opportunity since the KILN radar is
310 nearly halfway between Cincinnati and Columbus, Ohio. A sixth comparison site placed such that
311 the focus area extended over the Columbus region. This location produced the smallest difference
312 in convective activity (relative to Cincinnati) and the largest *p*-value of all tested points. This
313 finding provides further evidence that convective initiation is enhanced over urban areas.

314 To examine the sensitivity of the results to the geometry of the control and focus areas, two
315 additional experiments were completed for Louisville (not shown). In one test, the control and
316 focus areas were rotated to be oriented from southwest to northeast—in better agreement with the
317 mean summer wind direction and storm motion³. This slightly changed the total number of events
318 at each location but the urban-rural difference in convective activity remained statistically

³ Wind climatology was determined using data from the Iowa Environmental Mesonet website:
https://mesonet.agron.iastate.edu/sites/windrose.phtml?station=LOU&network=KY_ASOS

319 significant at each RP. In the second test, we removed the ‘gaps’ between the control and focus
320 area by increasing the size of the control area. This resulted in substantially fewer events at each
321 location do the more stringent criteria. For example, 71 isolated events were identified over
322 Louisville using this method whereas our original method yielded 116 events (see Table 2). With
323 this method, statistically significant differences in convective activity were found at four of the
324 five RPs. Only RP1 did not produce a statistically significant result.

325 Since differences in urban-rural convective activity were strongest during the summer, the
326 analysis was repeated considering only convective activity during the ‘warm’ season, which we
327 defined as May–September (not shown). This did not alter the significance of the results. For
328 Louisville and Cincinnati, the number of RPs with significantly less convective activity remained
329 the same. For Nashville, only three of the RPs yielded a statistically significant result when
330 considering only the warm season, compared with four out of five when considering the full
331 dataset.

332 While the findings of this study indicate that Louisville and the other cities tested
333 experience more convective activity compared to nearby rural locations, none of the findings
334 establish a physical mechanism for the increased convection or provide any assistance in predicting
335 future convective events within the city. The University of Louisville is currently in the process of
336 deploying a network of surface-based weather stations around Louisville to study its UHI and other
337 urban effects. The network is composed of Davis Vantage Pro2 stations located throughout the
338 city. Observations from the partially completed network were examined to determine if a physical
339 mechanism for convective initiation could be found and if urban-induced convective activity may
340 be forecasted ahead of time. Figure 14 shows a surface analysis of eight stations within the
341 network, as well as observations from the ASOS station at Bowman Field, from the afternoon of

342 10 July 2019. Note that this is the same date as the convective initiation event shown in Figure 1.
343 Figure 14a shows the surface conditions at 18 UTC. The highest temperatures are located within
344 the northeast corner of the city and with lower values found in the south and southeast portions of
345 Louisville. Winds are less than 5 knots, with one of the stations in the eastern half of the city
346 indicating westerly/southwesterly flow. By 19 UTC (Figure 14b), westerly winds increase in
347 strength at locations in the northwest portion of the city. Farther to the east, the Bowman Field
348 ASOS station reports winds from the southeast at 10 knots. To the south of Bowman Field, winds
349 are from the southwest. The shifting winds indicate a localized region of convergence near the
350 center of Louisville. By 1917 UTC reflectivity values of 30-40 dBZ begin to appear within this
351 prospective region of convergence, and by 1917 UTC, reflectivity values of over 50 dBZ are
352 present (Figure 1). This agrees with Bornstein of Lin (2000), who found evidence of near-surface
353 convergence preceding urban initiated convection in Atlanta, Georgia. While these initial results
354 are promising, research involving the use of this observation network to anticipate convective
355 development in real-time is ongoing.

356

357 **5. Summary and Conclusions**

358 This study investigated differences in the occurrence of strong, isolated convective cells
359 between Louisville and five select nearby rural locations. Compared to five rural locations used
360 for comparison, Louisville experienced significantly more strong isolated convective events over
361 the period 2003-2019. Differences in convective activity between Louisville and the surrounding
362 rural areas are largest during meteorological summer, with a peak difference from May through
363 August at most locations. Furthermore, it was found that relative to the rural locations, Louisville
364 experiences more convective activity beginning in the afternoon and ending before midnight.

365 Activity over the city is more likely during synoptically quiescent conditions. These findings agree
366 with those of Haberlie et al. (2015) and their analysis of urban-induced convective initiation events
367 in Atlanta, Georgia. In contrast, the rural locations tend to experience more convection during the
368 morning hours and upper-level patterns suggested events were more likely tied to synoptic-scale
369 forcing.

370 A similar analysis was completed for Nashville, Tennessee and Cincinnati, Ohio. Each of
371 these cities experienced more frequent convective activity over the study period than their five
372 nearby rural locations. However, for both these cities differences in convective activity are
373 statistically significant at four of the five rural locations. It was also found that the peak differences
374 in convective activity, relative to the surrounding rural regions, occur in July–August for
375 Cincinnati and June–July for Nashville.

376 Further research is needed to explore the physical mechanism(s) responsible for these
377 observed differences. Based on the time of day and time of year that the differences are maximized,
378 it is likely that the urban heat island plays a substantial role. However, it is possible that non-urban
379 effects such as topography are impacting convective activity around these cities. Future work will
380 focus on analyzing observations from the surface-based network discussed in Section 4 in
381 combination with convective-allowing WRF simulations to explore these remaining questions.

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387

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485 **Tables**

486 Table 1. Summary of convective activity at Louisville, Kentucky and each of the nearby rural
 487 locations (RPs). The latitude and longitude values represent the origin point of the focus and
 488 control regions. The *p*-value column represents the one-sided value calculated from permutation
 489 testing of $\overline{urb}/\overline{rur}$. The Wilcoxon *p*-value column represents the *p*-value from a Wilcoxon signed-
 490 rank test. Statistically significant differences (as determined by *p*-value) at the 95% confidence
 491 level or greater are italicized and bold.

Location	Latitude	Longitude	Total Events	Average	Median	p-value	Wilcoxon p-value
Louisville	38.2211	-85.8211	116	6.8	7		
RP1	38.2195	-86.5935	62	3.6	3	0.00005	0.006
RP2	37.8332	-86.6332	77	4.5	5	0.006	0.006
RP3	37.5589	-86.2859	78	4.6	5	0.004	0.002
RP4	37.5185	-85.7295	87	5.1	5	0.03	0.02
RP5	37.7071	-85.4441	74	4.3	5	0.003	0.007

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505 Table 2. Same as Table 1, except for Cincinnati, Ohio and Nashville, Tennessee.

Location	Latitude	Longitude	Total Events	Average	Median	p-value	Wilcoxon p-value
Nashville	36.214	-86.8215	175	10	10		
RP1	36.4779	-86.5905	143	8.4	8	0.045	0.04
RP2	36.3945	-86.84	146	8.6	9	0.056	0.054
RP3	36.0201	-86.6546	136	8	8	0.017	0.03
RP4	36.0956	-86.3415	145	8.5	8	0.047	0.08
RP5	36.3945	-86.3394	132	7.7	7	0.012	0.02
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Location	Latitude	Longitude	Total Events	Average	Median	p-value	Wilcoxon p-value
Cincinnati	39.2031	-84.712	104	6.1	6		
RP1	38.6428	-84.3979	70	4.1	4	0.008	0.012
RP2	39.5923	-84.9523	58	3.4	3	0.001	0.007
RP3	40.0927	-84.5832	76	4.5	4	0.03	0.04
RP4	39.3382	-82.8723	82	4.8	5	0.054	0.08
RP5	38.5272	-83.7555	70	4.1	4	0.008	0.02
CBS	39.9697	-83.1691	89	5.2	4	0.22	0.23

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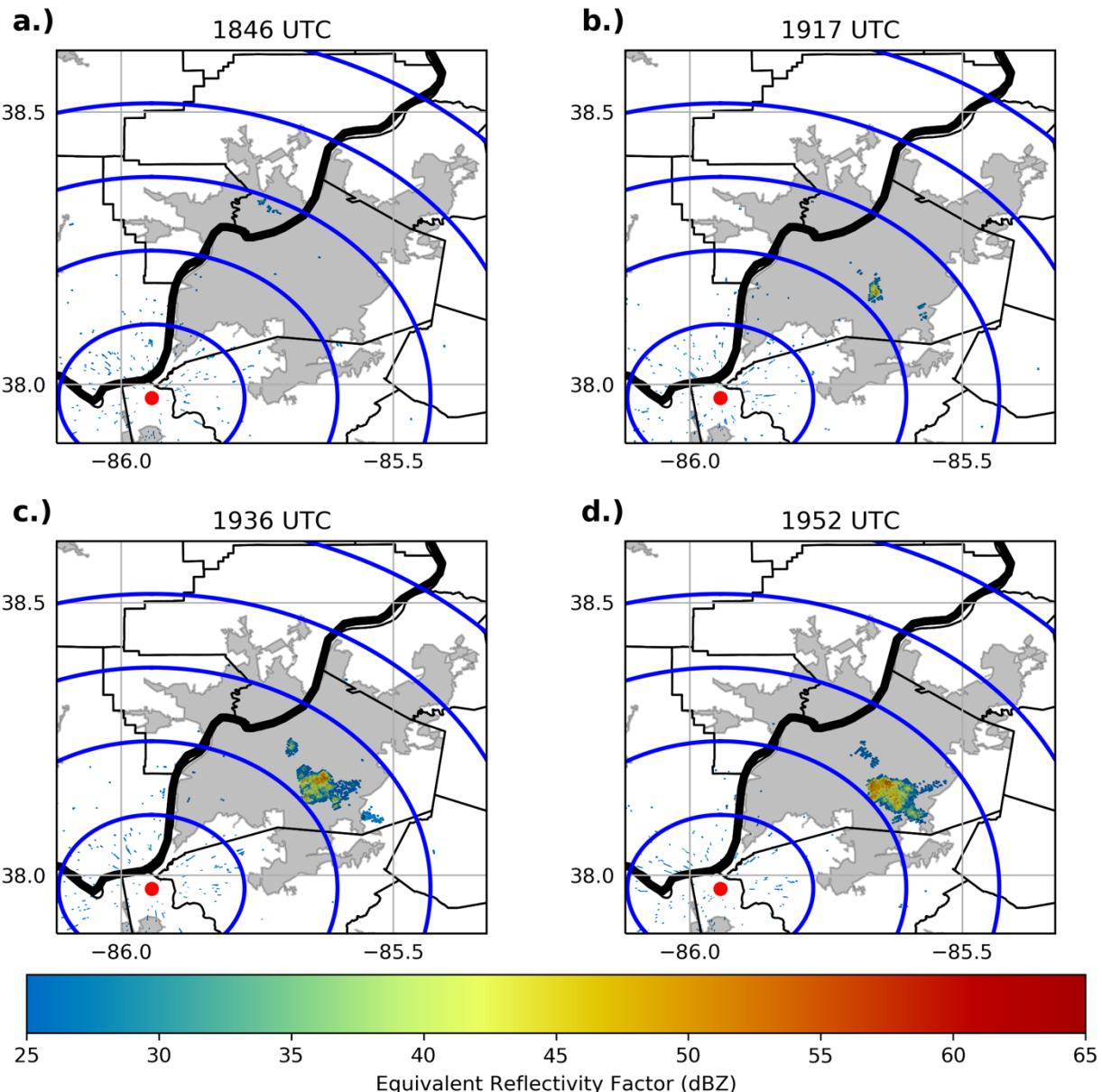
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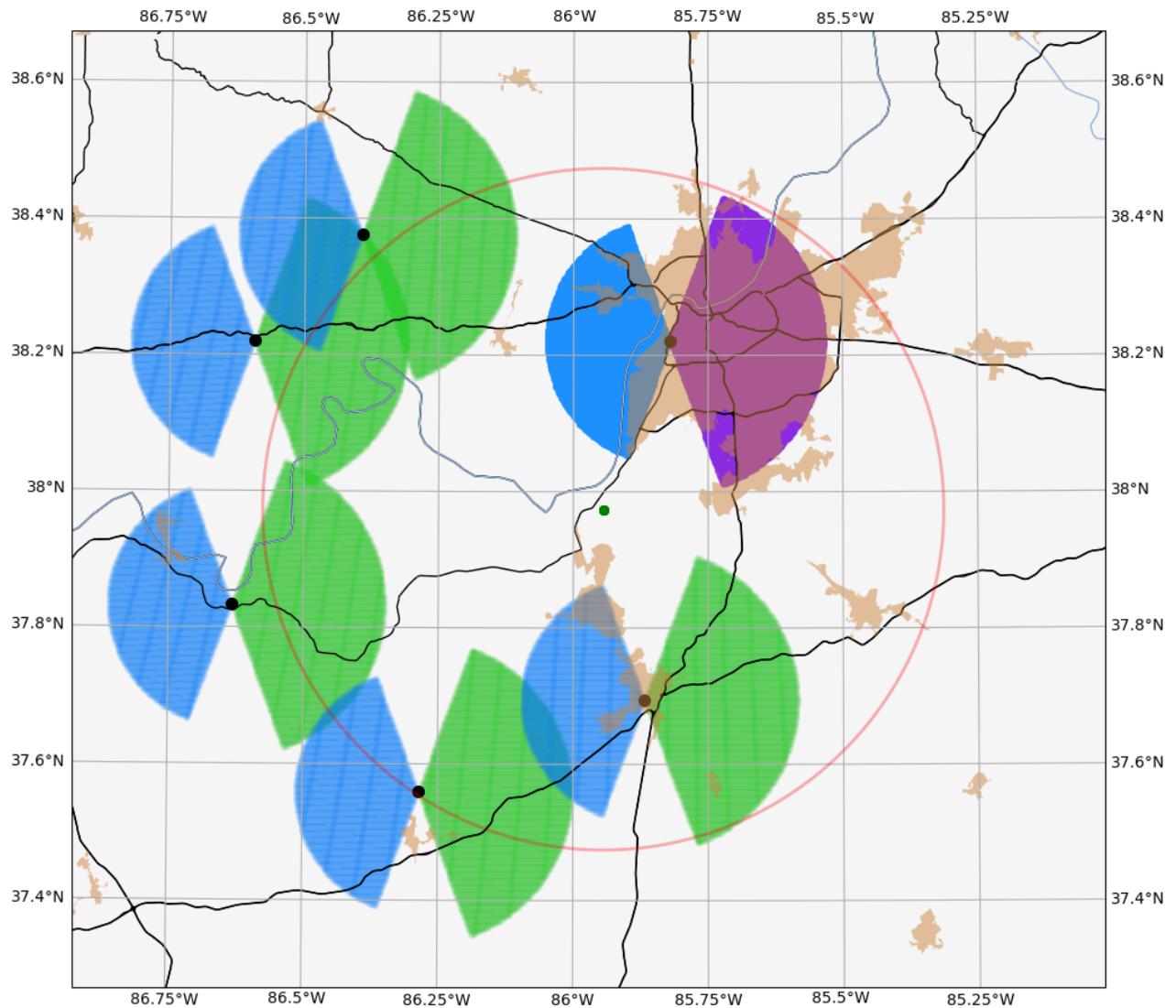
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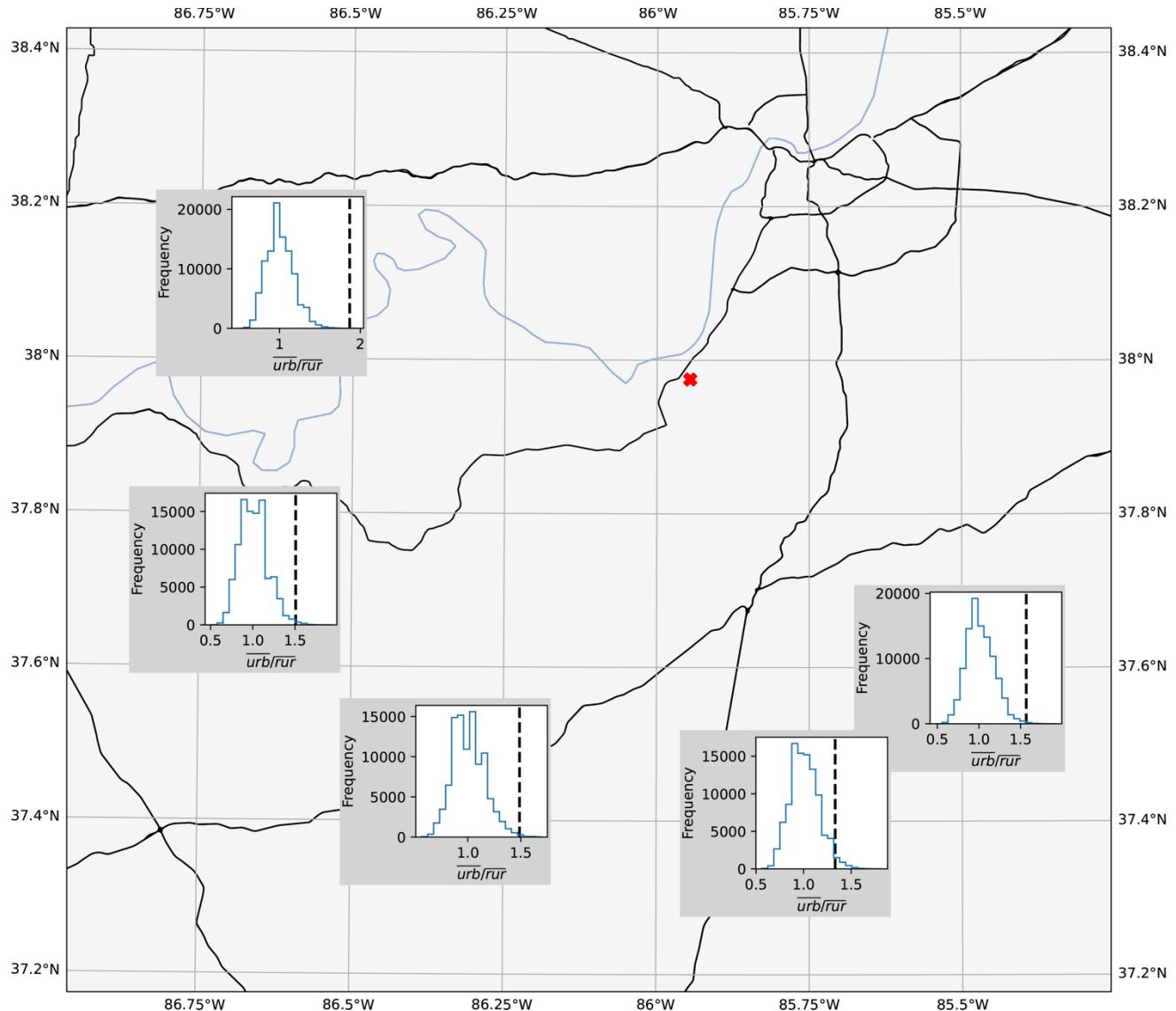
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517 **Figures**
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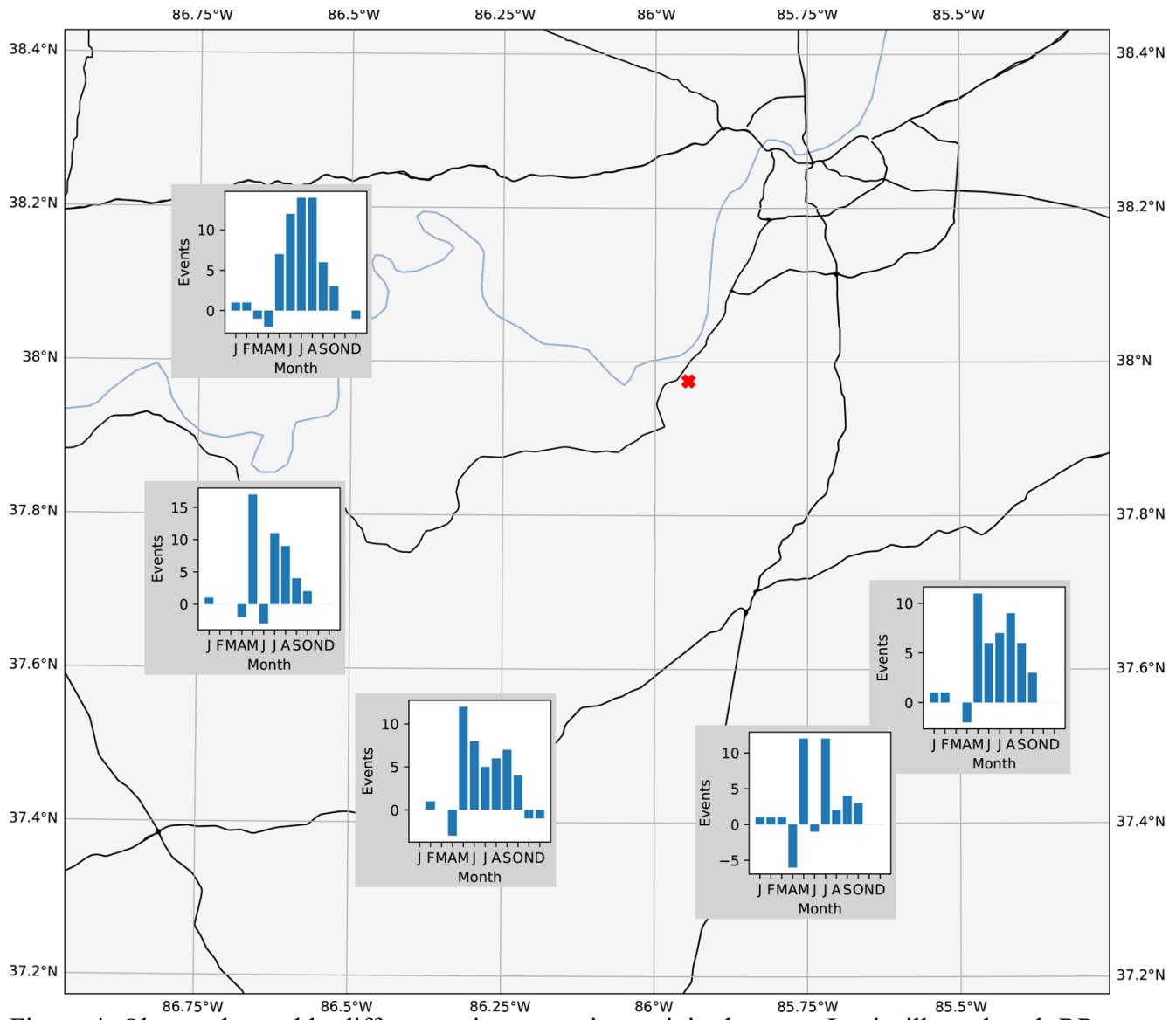


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 532 Figure 2. Map showing the relative positions of Louisville, Kentucky, the KLVX WSR-88D (green
 533 dot), and the five RPs used for comparison. The urban focus area over Louisville is indicated in
 534 purple, and the control area is in blue. For each RP, the focus area is in green and the control area
 535 in blue. The red ring represents a circle centered on the KLVX radar to indicate the relative radar
 536 distance of each of the focus areas. U.S. Census Bureau urban areas are shaded in orange. The
 537 black lines are major area roads, and the blue line is the Ohio River.
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Figure 3. Distributions of the mean number of urban events per year divided by the mean number of rural events per year at each RP. Distributions are based on permutation testing of 100,000 samples. The vertical dotted black line indicates the observed ratio of the mean values at each RP. The red x represents the location of the KLVX radar. Black and blue lines are the same as in Figure 2.



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Figure 4. Observed monthly differences in convective activity between Louisville and each RP. Lines and markers are the same as in Figure 3.

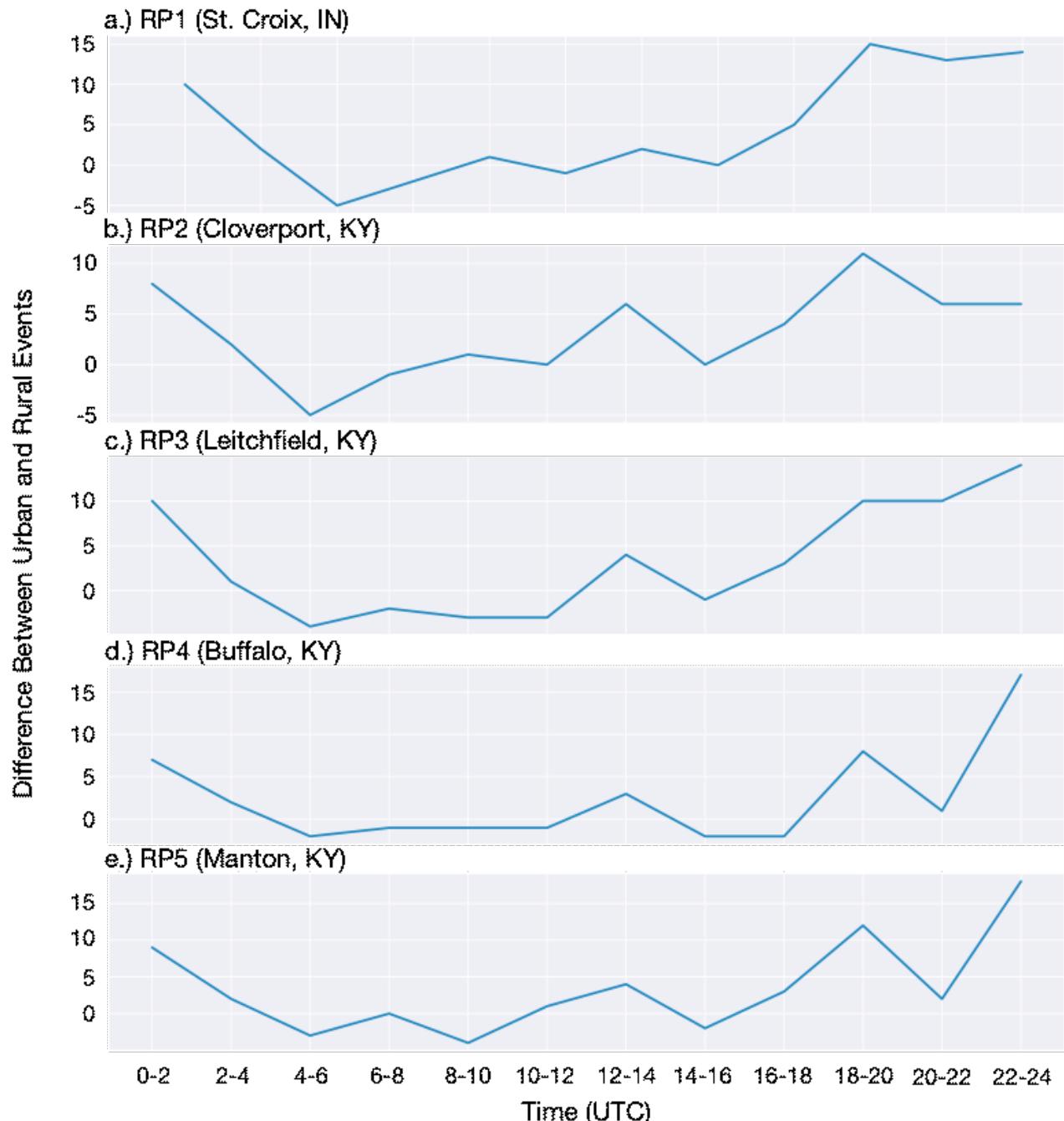
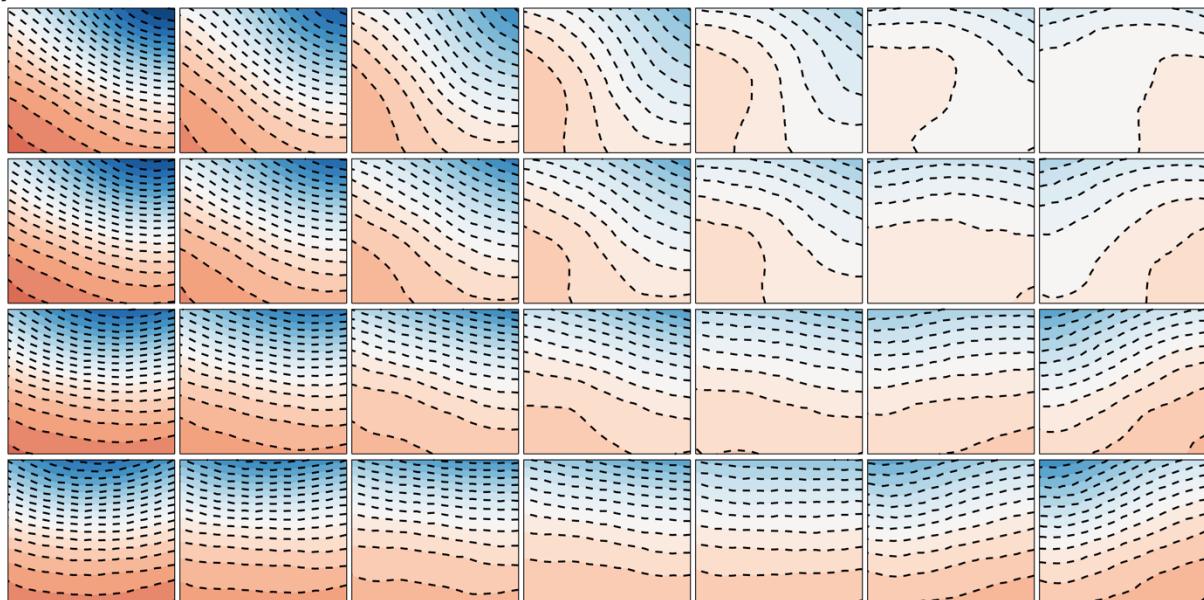


Figure 5. Differences in convective activity between Louisville and each RP as a function of time of day.

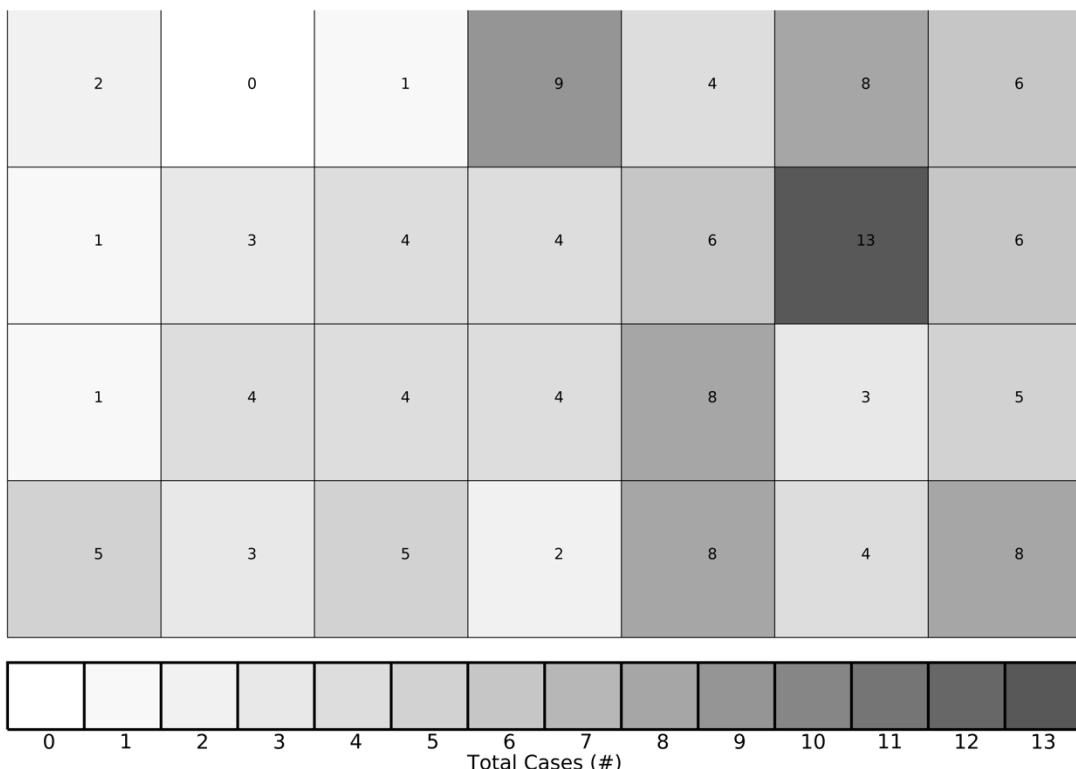


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578 Figure 6. Time series of convective events per year at Louisville (blue line in each panel) and the
579 designated RPs (orange line).
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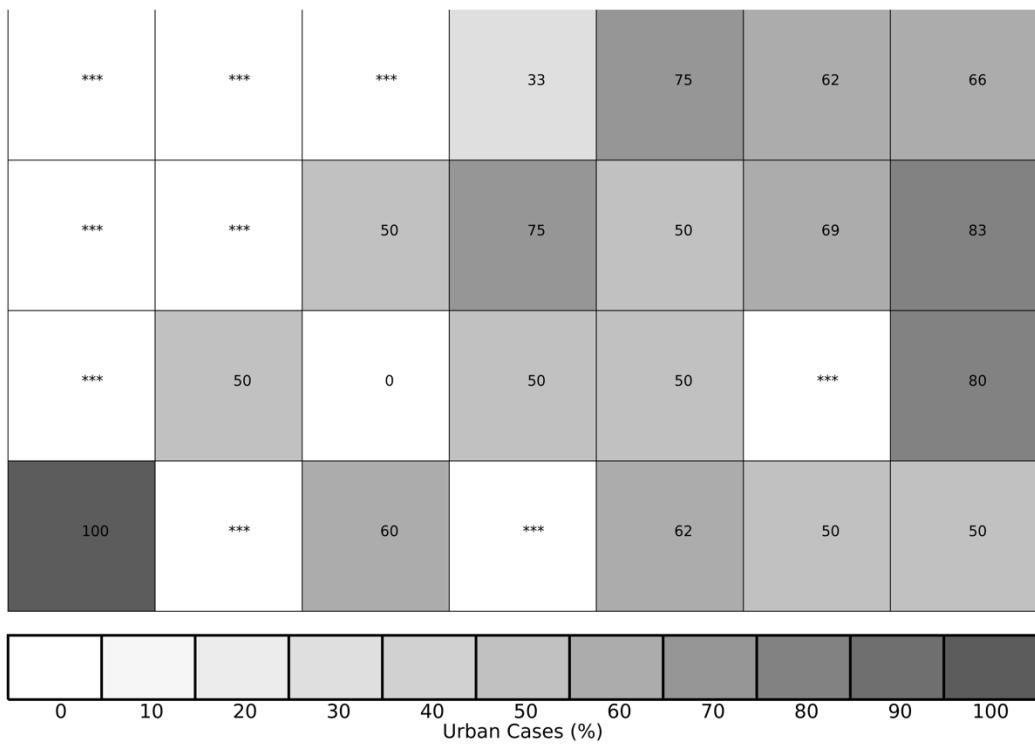
b.)



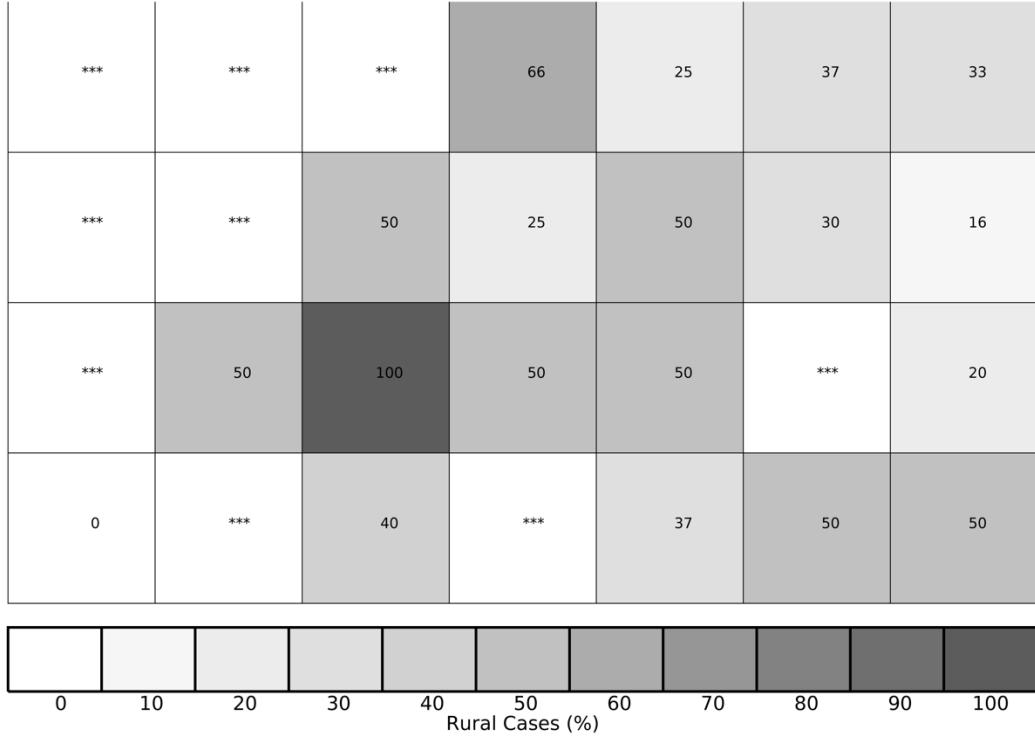
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Figure 7. a.) 500 hPa height anomalies for the 7×4 , 28-class SOM. Warmer (cooler) colors represent positive (negative) anomalies. a.) Total case count for the SOM. The total number of cases within the SOM is 131.

a.)



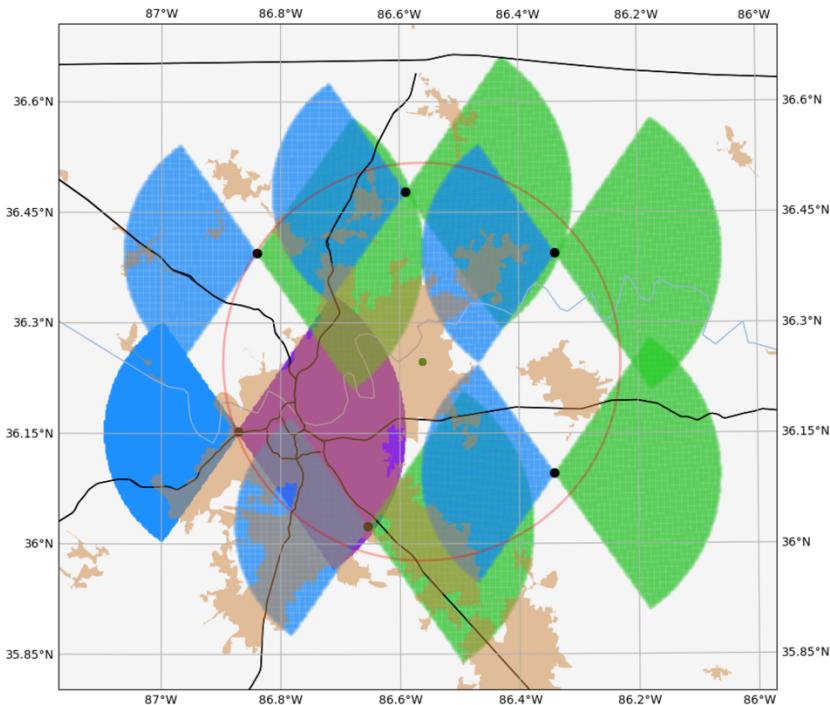
b.)



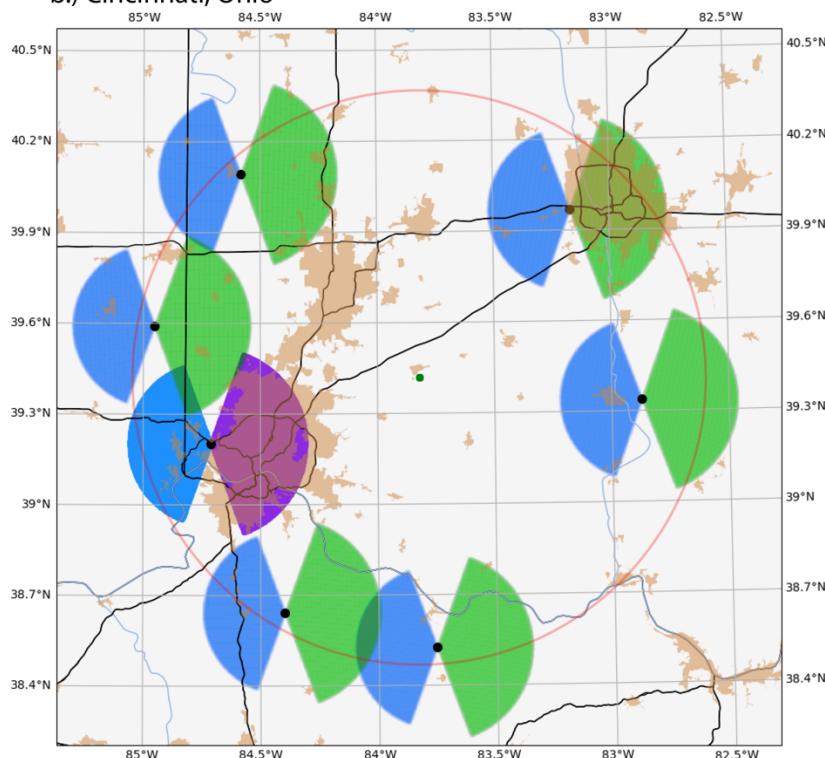
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Figure 8. Fraction of a.) Urban and b.) Rural cases for the 28-class SOM shown in Figure 8. Nodes with three or less cases are masked and denoted with '***'.

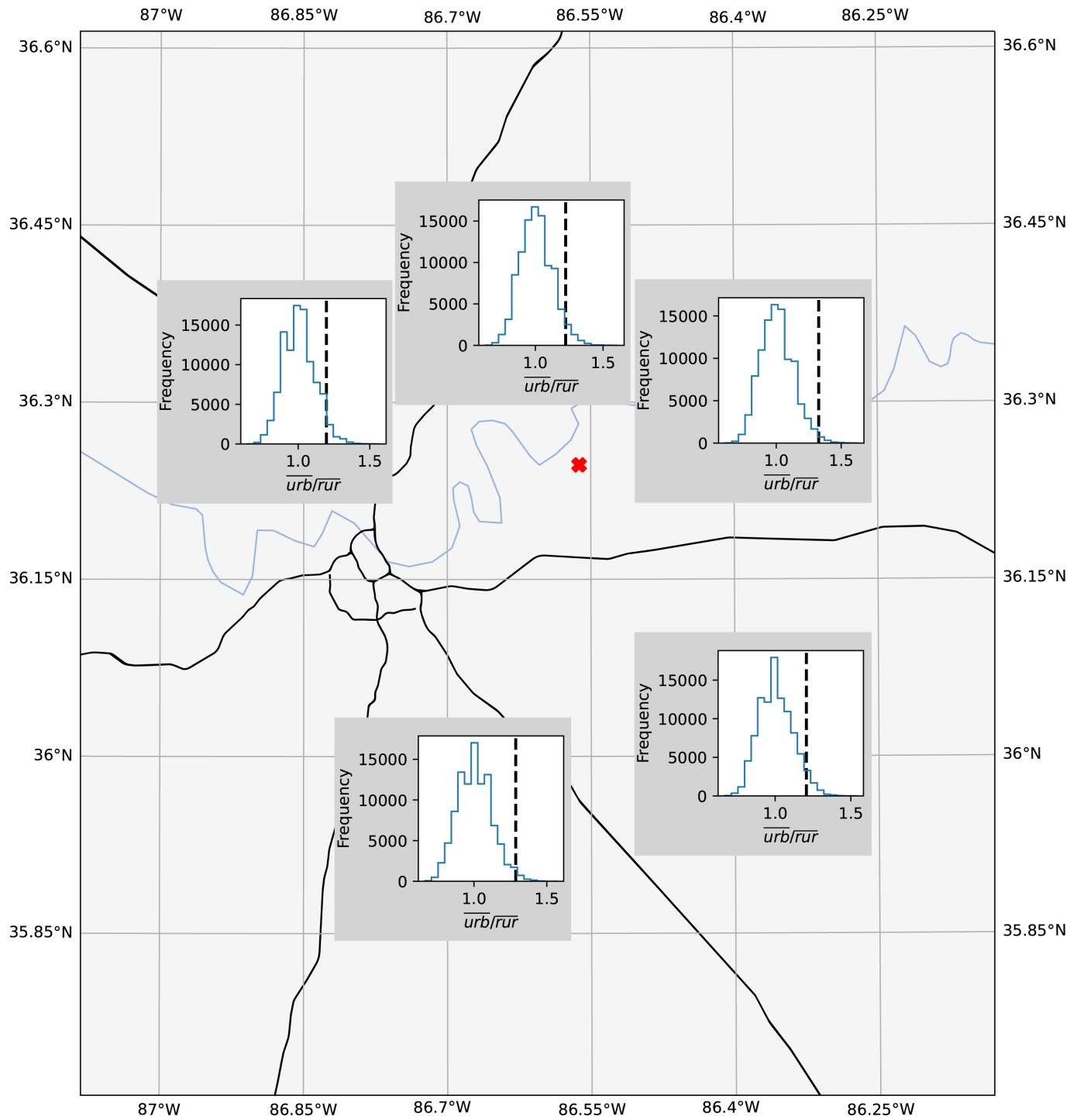
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603 a.) Nashville, Tennessee



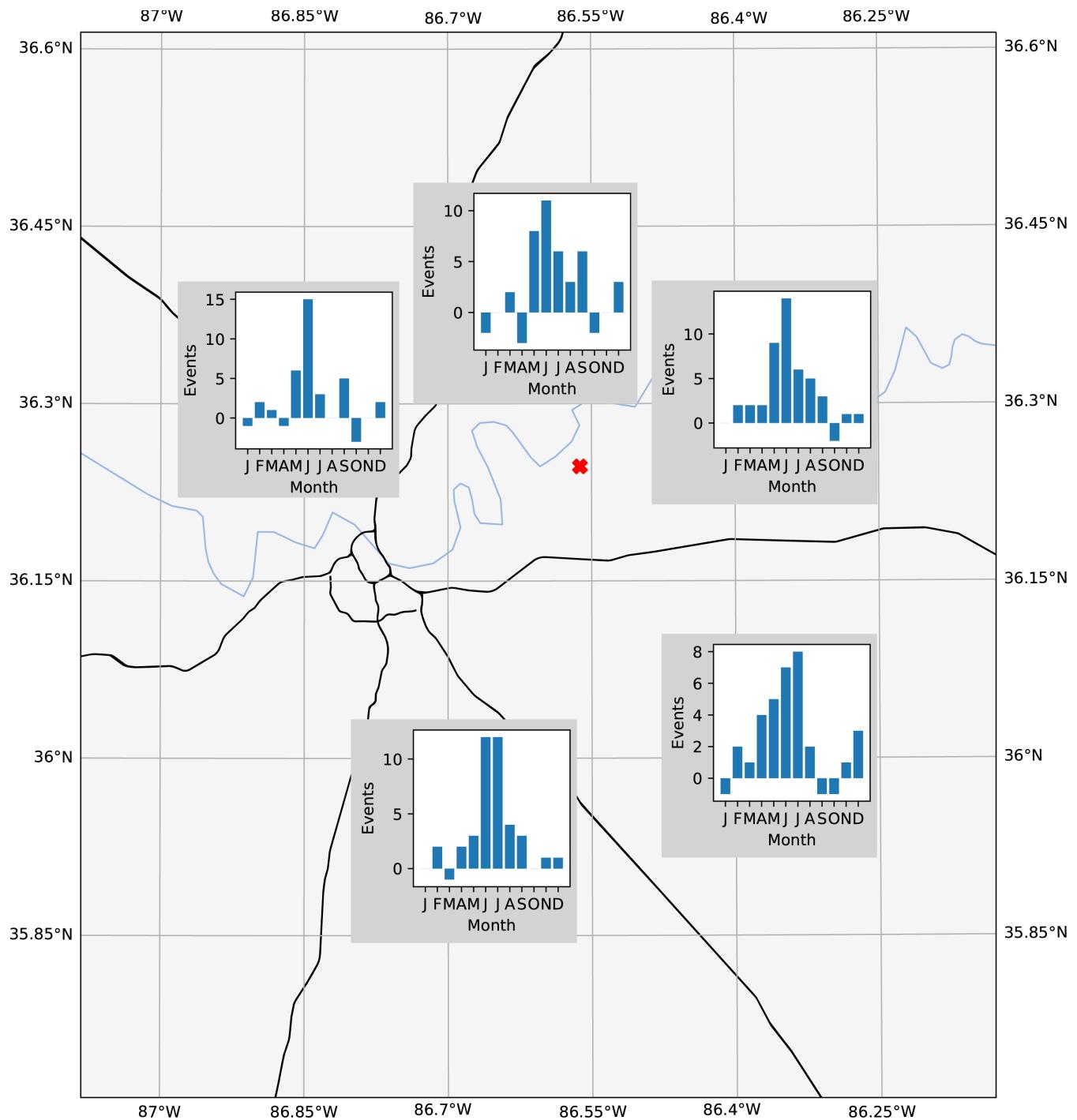
b.) Cincinnati, Ohio



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603 Figure 9. Same as Fig. 2, except for a) Nashville, Tennessee and b) Cincinnati, Ohio. The green
dot in a) indicates the KOHX radar while the green dot in b) indicates the KILN radar. In b) a sixth
comparison site is included (located at 39.9697°, -83.1691°) that overlaps the urbanized area of
Columbus, Ohio.

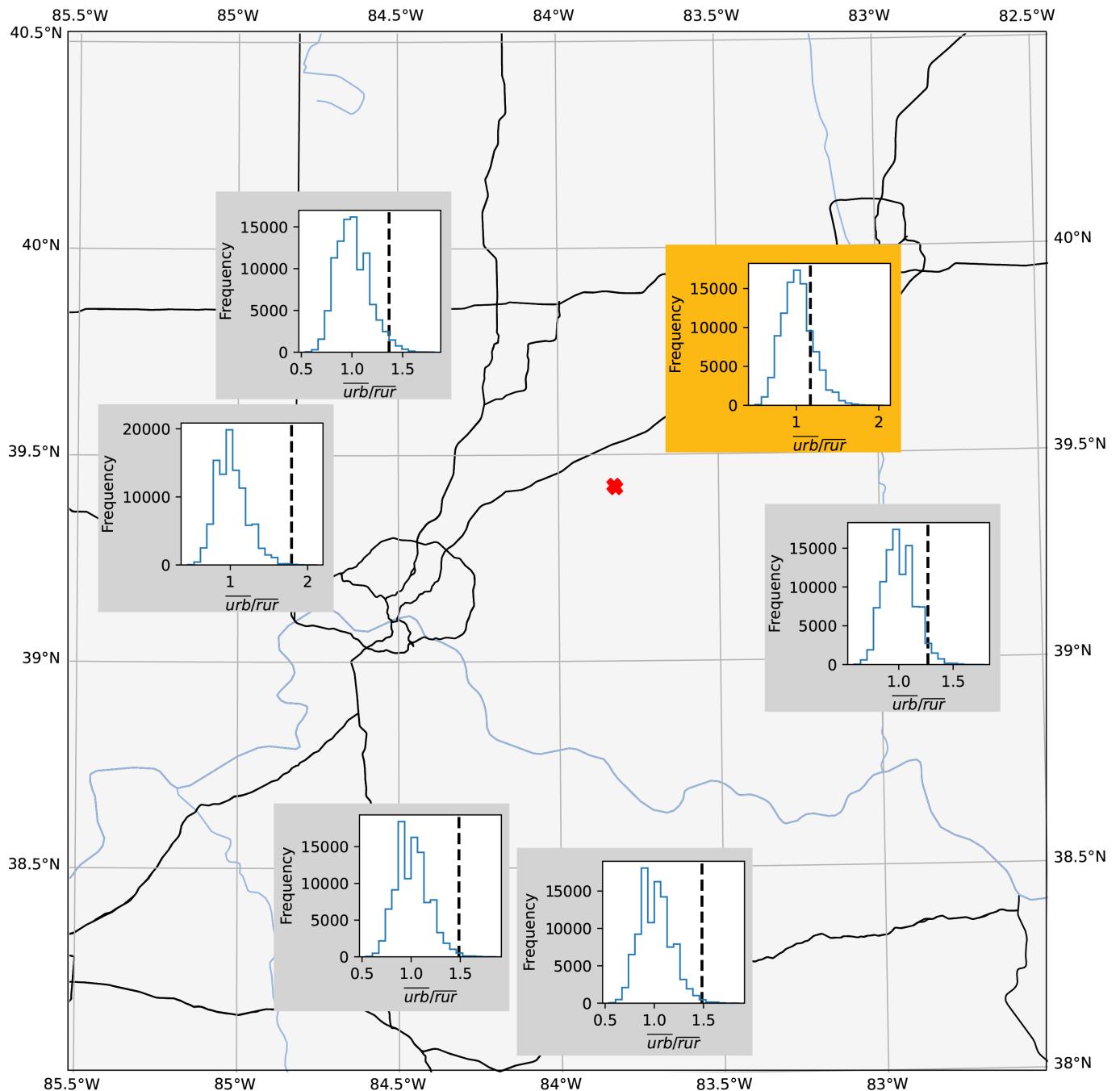


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 605 Figure 10. Same as Fig. 3, except for Nashville, Tennessee. The red x represents the KOHX radar.
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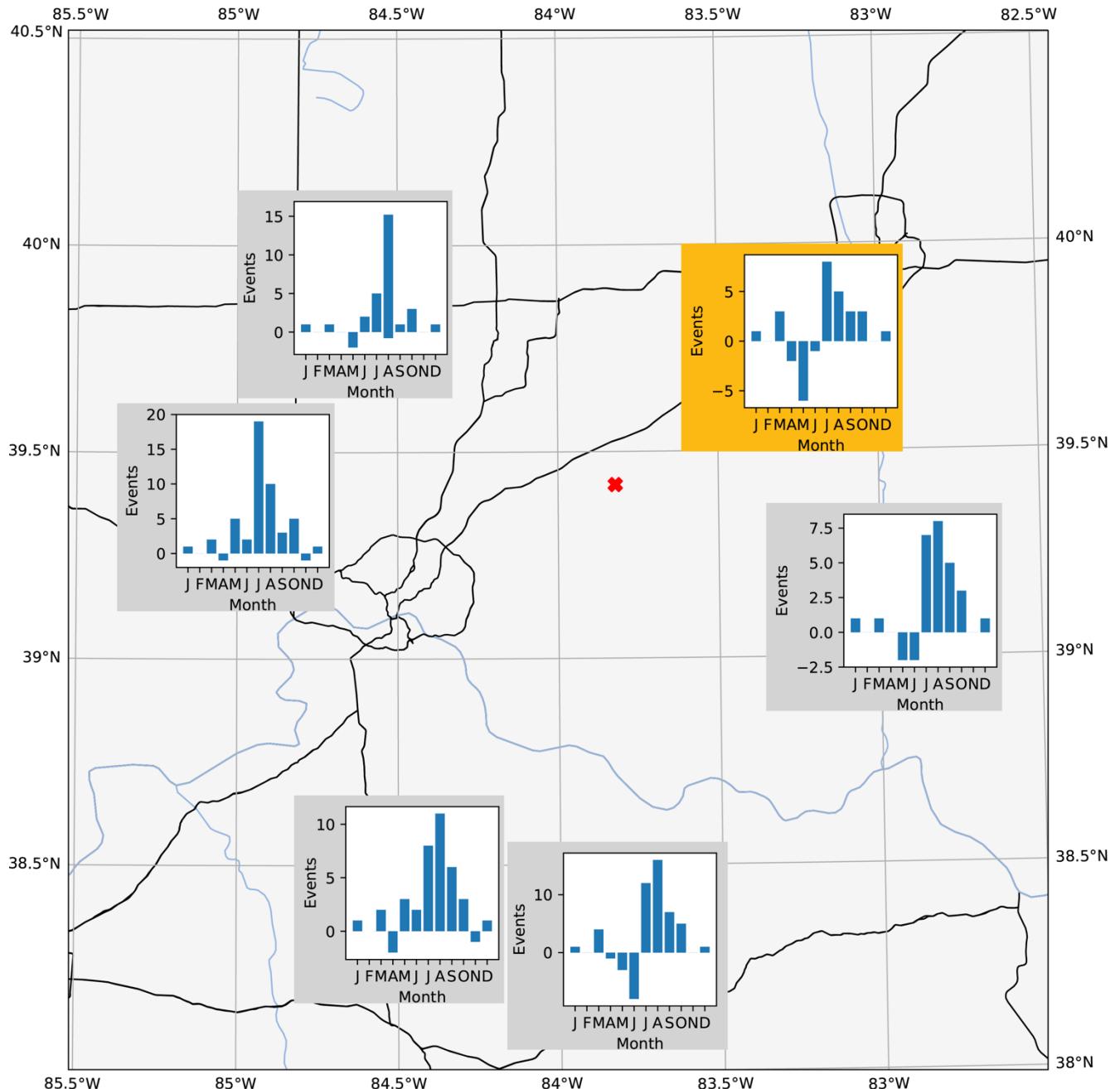
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Figure 11. Same as Fig. 4, except for Nashville, Tennessee. The red x represents the KOHX radar.



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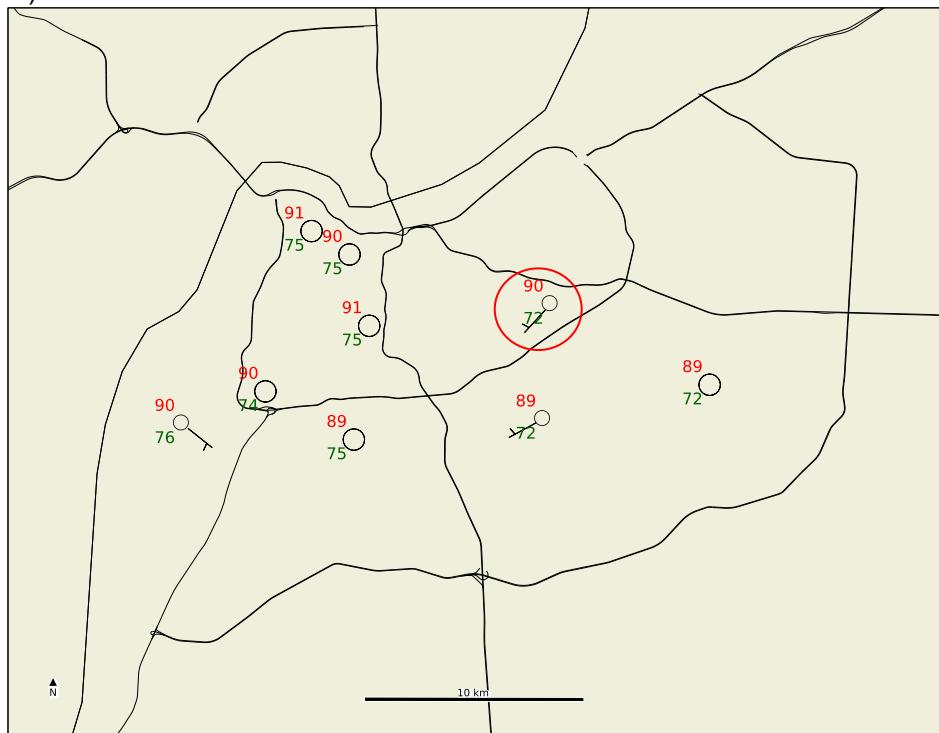
Figure 12. Same as Fig. 3, except for Cincinnati, Ohio. The red x represents the KILN radar. The graph outlined in orange represents the comparison location overlapping Columbus, Ohio.



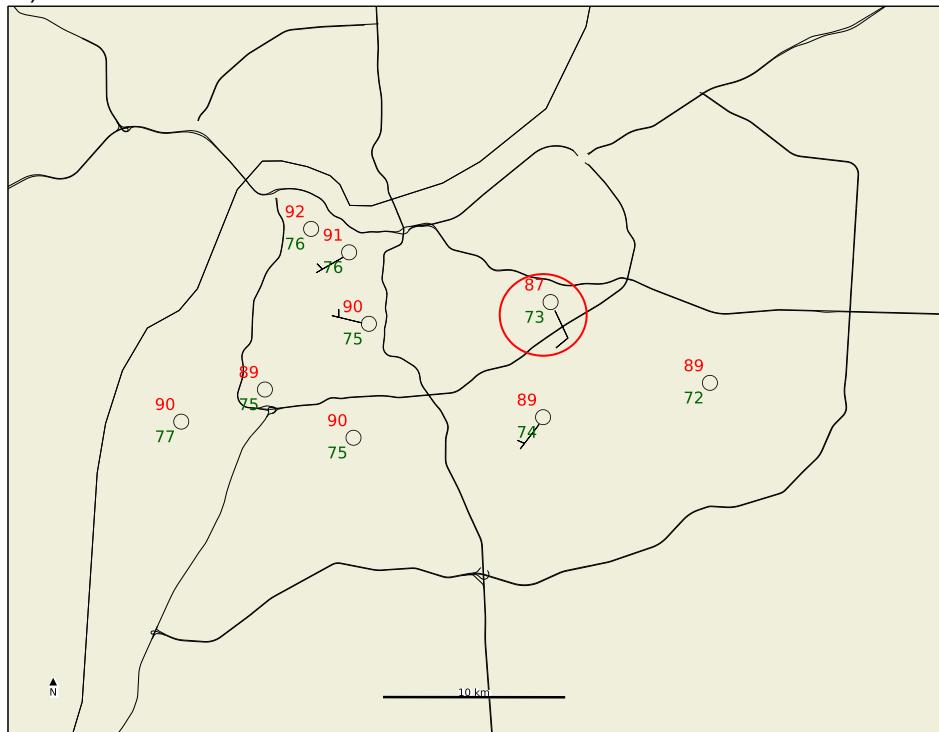
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Figure 13. Same as Fig. 4, except for Cincinnati, Ohio. The red x represents the KILN radar. The graph outlined in orange is the same as in Fig. 12.

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627 a.) 2019-07-10 18:00 UTC



629 b.) 2019-07-10 19:00 UTC



631 Figure 14. Station plots from surface observation stations around Louisville, Kentucky from a) 18
632 UTC on 10 July 2019 and b) 19 UTC on 10 July 2019. The Bowman Field station is circled in red.
633 Wind observations at this station are taken at the standard 10 m level, while wind measurements
634 from the other stations are from approximately 3 m agl.