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# Phylogenomics of flavobacterial insect nutritional endosymbionts with implications for Auchenorrhyncha phylogeny

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#### Abstract

"Candidatus Sulcia muelleri" (Sulcia) is a diverse lineage of intracellular nutritional endosymbiotic bacteria strictly associated with auchenorrhynchous hemipteran insects. Sulcia has undergone long-term codiversification with its insect hosts but the phylogeny of these endosymbionts, their relationships to other bacteria, and the extent of their occurrence within various groups of Auchenorrhyncha remain inadequately explored. Comprehensive phylogenetic analyses of Sulcia and related bacteria were performed to elucidate its position relative to other members of Phylum Bacteroidetes and the degree of congruence to the phylogeny of its auchenorrhynchous hosts. Maximum likelihood (ML) and maximum parsimony (MP) analyses of Flavobacteriales based on genomic data from 182 bacterial strains recover a monophyletic Sulcia within a larger clade of flavobacterial insect endosymbionts, closely related to Weeksellaceae. Molecular divergence time analysis of Sulcia dates the origin of Sulcia at approximately 339.95 million years ago (Myr) and the initial divergence within Sulcia at approximately 256.91 Myr but these are considered underestimates due to the tendency for endosymbionts to evolve at higher rates compared to their free-living relatives. Screening of 96 recently sequenced hemipteran transcriptomes revealed that 73 of these species, all Auchenorrhyncha, harbored Sulcia. Phylogenetic analysis of 131 orthologous genes plus 16S rRNA for 101 Sulcia strains, representing six fulgoroid families and all the families of Cicadomorpha except Tettigarctidae, recover largely congruent phylogenies between Sulcia and Auchenorrhyncha. The phylogeny of Sulcia strongly supports the superfamily relationships Fulgoroidea + (Cicadoidea + (Cercopoidea + Membracoidea)). Relationships within individual superfamilies are also largely concordant, with the few areas of apparent incongruence between Sulcia and insect genes attributable to low branch support in one or both datasets. These results suggest that analysis of Sulcia phylogeny may contribute to resolution of contentious aspects of Auchenorrhyncha phylogeny. © 2021 Willi Hennig Society.

#### Introduction

Bacterial symbionts occur in a wide range of insects (Baumann et al., 2006; Kikuchi, 2009) and often provide fitness benefits to the hosts, including provision of essential nutrients (Moran et al., 2003; McCutcheon and Moran, 2007), enhancing digestion of food (Tokuda and Watanabe, 2007; Salem et al., 2017), increasing resistance to parasitism (Oliver et al., 2008; Eleftherianos et al., 2018) and insecticides (Kikuchi et al., 2012), and improving tolerance to abiotic stresses (Montllor et al., 2002; Neelakanta et al., 2010;

living environment for their bacterial partners (Nováková et al., 2013). In many cases of such mutualism, endosymbionts and hosts have formed longterm associations and even mirror the phylogenies of each other (Clark et al., 2000; Lo et al., 2003; Moran et al., 2005; Gruwell et al., 2007; Liu et al., 2013). Codiversification has most often been observed in priendosymbionts, also known as endosymbionts, due to their strictly vertical transmission (Clark et al., 2010). This suggests that the phylogeny of endosymbionts could be used to elucidate the evolutionary history of their hosts (Takiya et al., 2006; Kölsch and Pedersen, 2010; Nováková et al., 2013).

Engl et al., 2018). In turn, host insects afford a stable

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"Candidatus Sulcia muelleri" (hereafter called 'Sulcia') is one such group of vertically transmitted, intracellular primary endosymbiotic bacteria obligately associated with a wide range of sap-feeding hemipteran insects, including planthoppers, cicadas, spittlebugs, leafhoppers and treehoppers (Müller, 1962; Moran et al., 2005). Within the insect body, Sulcia and other primary endosymbionts inhabit specialized clusters of cells in the abdomen called bacteriomes where they exchange nutrients with the host. They are transmitted vertically, from parent to offspring, and there is no evidence that they can be horizontally transmitted. Thus, functionally, these bacteria act as specialized organelles of their host insects and their phylogeny is expected to mirror that of the hosts. Sulcia belongs to Phylum Bacteroidetes and is closely related to Flavobacteriales (Moran et al., 2005; McCutcheon and Moran, 2007; Matsuura et al., 2018). So far, Sulcia is only definitely recorded from the hemipteran suborder Auchenorrhyncha, with doubtful reports from a moss bug (Coleorrhyncha) and a true bug (Heteroptera) (Müller, 1962; Koohpayma et al., 2018). During the long-term association with auchenorrhynchan insects, the Sulcia genome has undergone remarkable reduction (< 0.3 Mb), but retained conserved functions for nutritional synthesis (Wu et al., 2006; McCutcheon et al., 2009). Although ability to synthesize essential amino acids (EAAs) varies among lineages, Sulcia and at least one other primary endosymbiont collaboratively provide the ten EAAs to their host insects (Bennett and Moran, 2013; Koga and Moran, 2014: Mao et al., 2017: Bennett and Mao, 2018; Matsuura et al., 2018). Moran et al. (2005) reconstructed a phylogeny of Sulcia based on 16S rRNA which is largely congruent with the phylogeny of Auchenorrhyncha. Based on this result, they suggested that the origin of Sulcia dated back to the origin of the common ancestor of Auchenorrhyncha (260-280 million years ago (Myr) based on fossils). Later, strict codiversification was shown to have occurred between Sulcia and several major lineages Auchenorrhyncha, including leafhoppers within (Takiya et al., 2006), planthoppers (Urban and Cryan, 2012) and cicadas (Matsuura et al., 2018; Simon et al., 2019). Therefore, this endosymbiont may provide additional evidence relevant to estimating the phylogeny of Auchenorrhyncha.

Based on microscopic observations of Sulcia (denoted "a-symbiont") and other bacteriome-inhabiting endosymbionts, Müller (1962) suggested the phylogeny Coleorrhyncha + (Fulgoromorpha + Cicadomorpha). However, although the endosymbionts of Coleorrhyncha and Auchenorrhyncha appear similar morphologically, the presence of Sulcia in Coleorrhyncha has not been confirmed by molecular methods. A previous 16S-based phylogeny of Sulcia supported the sister

relationship between Fulgoromorpha and Cicadomorpha, and Cicadoidea as sister to Cercopoidea plus Membracoidea (Moran et al., 2005). However, the dataset included only 20 Sulcia strains representing a limited number of Auchenorrhyncha hosts and the phylogeny was based on data from a single gene region. Recent genomic studies of many organisms harboring Sulcia endosymbionts (Wu et al., 2006; McCutcheon and Moran, 2007; McCutcheon et al., 2009; McCutcheon and Moran, 2010; Woyke et al., 2010; Bennett and Moran, 2013; Bennett et al., 2014; Koga and Moran, 2014; Van Leuven et al., 2014; Campbell et al., 2015; Chang et al., 2015; Bennett et al., 2016; Jia et al., 2017; Mao et al., 2017; Ankrah et al., 2018; Bennett and Mao, 2018; Matsuura et al., 2018; Shih et al., 2019; Waneka et al., 2020) provide an ideal opportunity to conduct a comprehensive phylogenomic study of Sulcia, examine its relationships to related bacterial lineages, and rigorously test the degree to which these endosymbionts have codiversified with their hosts. Our main questions were: (i) how is Sulcia related to free-living flavobacteria and various other lineages of flavobacterial endosymbionts? (ii) to what degree have Sulcia cospeciated with their auchenorrhynchan insect hosts; and (iii) do phylogenetic relationships among Sulcia help resolve contentious relationships among their host Auchenorrhyncha? To address these questions, we analyzed three large datasets: (i) a dataset comprising data from 51 single-copy genes of 182 bacterial strains was used to estimate relationships between Sulcia and Flavobacteriales including free-living and endosymbiont lineages; (ii) a dataset comprising 131 singlecopy genes plus 16S was used to estimate relationships among Sulcia from 101 Auchenorrhyncha species representing all major lineages of this hemipteran suborder and compare the phylogeny of Sulcia with that of their Auchenorrhyncha hosts; (iii) a dataset comprising 16S sequences for 233 Sulcia and other insect endosymbionts was used to assess relationships more broadly among flavobacterial insect endosymbionts.

#### Methods

Retrieval of genome data and 16S rRNA gene

Genomes of 182 bacterial strains were downloaded from the National Center for Biotechnology Information (NCBI) assembly database to represent all the genera of *Flavobacteriales* that had genome data available as of December 8, 2020 (Table S1). For the free-living species, we chose one genome for each genus, in most cases selecting the type of strain of the type species. For the endosymbionts related to *Flavobacteriales*, all available assemblies were downloaded. Overall, the 182 genomes represent 126 free-living species of *Flavobacteriales*, 53 endosymbiont strains (Sulcia: 40; *Blattabacterium*: 11; "*Candidatus* Uzinura diaspidicola" (hereafter

Uzinura): 1; "Candidatus Walczuchella monophlebidarum" (hereafter Walczuchella): 1) and three species of Bacteroidales (outgroup). In total, 233 sequences of the bacterial 16S rRNA gene were used in the phylogenetic analysis (accession numbers are shown in Fig. S1a, b), including 75 sequences (≥ 1400 bp) obtained from the NCBI nucleotide database, 89 retrieved from the genomes mentioned above and 69 predicted from the transcriptome assemblies of Auchenorrhyncha (see below).

#### Transcriptome assembly

Transcriptomic data for 96 hemipteran insects were obtained from previous studies (Tassone et al., 2017; Galetto et al., 2018; Johnson et al., 2018; Wang et al., 2019; Skinner et al., 2020) (Table 1). We reconducted *de novo* transcriptome assembly for all these data because different assembly methods may have been used for the original assembles which may have resulted in microbial genes being discarded. Raw reads were processed using TrimmomaticPE (Bolger et al., 2014) to remove poor quality data with a minimum length of 50, leading and trailing settings of 5, slidingwindow setting of 4:15 and an Illuminaclip setting of 2:30:10. Trimmed reads were assembled using Trinity v2.6.6 (Haas et al., 2013) with a minimum assembled contig length of 50.

# Identification of orthologues

OrthoFinder v2.5.1 (Emms and Kelly, 2019) was used to identify orthologous groups among the bacterial genomes under default parameters. To cluster the orthologous groups shared among the species of *Flavobacteriales* and related endosymbionts, we used the protein files of all downloaded genomes. Orthologues shared among Sulcia were predicted based on the genomes of 40 Sulcia strains and three outgroup species (Blattabacterium cuenoti, B. clevelandi and B. punctulatus). Universal or near-universal single-copy genes were selected for use in phylogenetic analysis. To increase the number of loci included we followed a relaxed strategy used in previous phylogenomic analyses (e.g., Zhan et al., 2011; Li et al., 2020) whereby absence or duplication in no more than two species was tolerated but loci duplicated in individual species were eliminated from those species in the final dataset. This screening of loci resulted in 51 orthologs of Flavobacteriales species and 131 orthologs of Sulcia strains, respectively.

To predict Sulcia genes within the transcriptomes of hemipteran insects, we used the full repertoires of protein sequences of four Sulcia strains (BGSS, SMAURBIH, PSPU and OLIH) and five genes from other strains as query sequences, which together represent all orthologous groups (290) shared among Sulcia genomes. TBLASTN searches were performed against the transcriptome assemblies with a cut-off E value of  $10^{-5}$ . Candidate sequences were then used for BLASTX search against the database comprising the original query sequences and the full protein files of Homalodisca vitripennis and Nilaparvata lugens. Sequences eligible for reciprocal best BLAST hits were further confirmed through TBLASTN against the standard nucleotide databases in NCBI under default settings. Only the sequences with the best hit as a Sulcia gene and matching length ≥ 150 bp were treated as "Sulcia gene". For phylogenomic analyses, we only chose the samples containing at least 40 of the 131 universal single-copy genes of Sulcia identified using genomic data. The final datasets of Sulcia presented 101 strains, 39 of which have genome data and the remaining samples were based on the genes retrieved from transcriptome data. We also predicted the 16S rRNA gene of Sulcia using the 16S rRNA of strain PSPU (NCBI accession: AP013293.1) as the query with a TBLASTN cut-off of E value  $10^{-5}$ . Sequences with matching length  $\geq 500$  bp were then confirmed by a best hit of Sulcia in NCBI.

# Alignment and trimming

Amino acid sequences of each orthologous group were aligned independently using PASTA v1.8.5 (Mirarab et al., 2015) under default settings. Alignments of nucleotide sequences were generated according to the amino acid alignments by a custom perl script, except the 16S rRNA gene which was directly aligned using PASTA. To generate the concatenated data matrixes, individual alignments were concatenated first by a custom perl script then trimmed by trimAl v1.4 (Capella-Gutiérrez et al., 2009) with a gapthreshold setting of 0.3. Individual nucleotide alignments were also processed using the same trimming method before constructing gene trees. The alignment of 233 16S sequences was edited and trimmed manually. Aligned data used in the phylogenetic analyses are deposited in the Illinois Data Bank (https://doi.org/10.13012/B2IDB-7486289\_V1).

#### Maximum likelihood (ML) analyses

To estimate the relationships among Sulcia and other species of Flavobacteriales, a concatenation analysis using the ML method was performed. Specifically, a ML tree based on the amino acid alignments of 51 single-copy genes was constructed by RAxML v8.2.8 (Stamatakis, 2014) with the "-f a" algorithm and model setting of PROTGAMMAAUTO. Branch support was evaluated using 100 bootstrap replicates. ML analysis for the 16S rRNA gene of 233 bacterial strains was performed under the GTR + G + I model of RAxML with 1000 bootstrap replicates. Model selection was based on the best model suggested by PartitionFinder v2.1.1 (Lanfear et al., 2016). We prepared two datasets of Sulcia for ML analyses: one contained the concatenated amino acid sequences of 131 singlecopy genes, and another incorporated the corresponding nucleotide alignment as well as 16S rRNA. The nucleotide dataset was partitioned by gene using PartitionFinder v2.1.1 with reluster max = 100. GTR + G + I model was determined as the best model for the majority of the partition schemes. Settings of the ML analyses were the same for Flavobacteriales, except that the GTR + G + I model was applied for the nucleotide dataset.

The previously sequenced Sulcia strain Neo (NCBI assembly accession: GCA\_002277765.2) was excluded after the initial phylogenetic analysis due to the following observations: (i) we found a large number of duplicate genes (present in 36 orthologous groups) in Neo, which is very rare in the other strains; (ii) almost all the duplicate genes comprised two types of paralogs: with at least one copy nearly identical to the Sulcia genes from cicadas and another copy highly similar to the homologs from leafhopper-associated strains; and (iii) the two types of paralogs were never clustered, conflicting with the finding that gene duplication usually occurs in adjacent regions. Instead, the genes highly similar to the homologs from leafhoppers (also include some single-copy genes) were clustered on several scaffolds, which lack the genes highly similar to the cicadaderived Sulcia genes. Because the original study that generated the Neo strain sequenced a Sulcia strain from a leafhopper in addition to the cicada strain (Ankrah et al., 2018) we suspect that crosscontamination among samples occurred and, therefore, excluded data from this strain from further analyses.

#### Maximum parsimony (MP) analyses

MP analyses were performed using TNT v1.5 (Goloboff and Catalano, 2016) based on the concatenated datasets of *Flavobacteriales* (amino acid dataset) and Sulcia (nucleotide dataset) independently. All characters were weighted equally and gaps were treated as missing data. New technology searches were performed using sectorial search, ratchet, tree-drifting and tree-fusing algorithms under default settings. Ten initial random addition sequences were used and

Table 1 Prediction of Sulcia genes using transcriptomic data of hemipteran species

SRA run No.	Taxon	Number of Sulcia genes*	single-copy orthologs of Sulcia†	Presence of Sulcia 16S rRNA ‡	Reference
	Coleorrhyncha			1	
SRR5134708	Peloridiidae: Hackeriella veitchi	_ `	0 (	z;	Skinner et al. (2020)
SKK1821949	Pelorididae: Peloridium pomponorum	0	0	Z	Skinner et al. (2020)
SRR2051515	Peloridiidae: Xenophyes metoponcus	0	0	Z	Johnson et al. (2018)
SRR921658	Peloridiidae: Xenophysella greensladeae	0	0	Z	Misof et al. (2014)
	Auchenorrhyncha				
	Cercopoidea				
SRR2051468	Aphrophoridae: Aphrophora alni	213	114	¥	Johnson et al. (2018)
SRR1821955	Aphrophoridae: Philaenus spumarius	150	95	X	Johnson et al. (2018)
SRR921578	Cercopidae: Cercopis vulnerata	189	107	<b>×</b>	Misof et al. (2014)
SRR1821958	Cerconidae: Prosania hicinota	128	78	>	Tohnson et al (2018)
SP P 2050080	Clastonteridae: Clastontera arizonana	\$11	27	• >	Tassone et al (2017)
SP P 2496621	Clostontaridae: Clastontara obtusa	183	901	• >	Skinner et al (2010)
SDD 2406652	Daismeiden Enimes en	23.6	301	- >	Skinner et al. (2020)
SKK2490053	Epipygidae. Epipygd sp.	230	571	1	Skilliel et al. (2020
3KK2490048	Machaeroudae: Fectinariophyes stain	193	711	×	Skinner et al. (2020)
	Cicadoidea				
SRR2496613	Cicadidae: Burbunga queenslandica	0	0	Z	Skinner et al. (2020)
SRR2496636	Cicadidae: Chilecicada sp.	222	123	Y	Skinner et al. (2020)
SRR2496614	Cicadidae: Guineapsaltria flava	9	4	Y	Skinner et al. (2020)
SRR 2496629	Cicadidae: Kikihia scutellaris	15	111	×	Skinner et al. (2020)
SRR 2496631	Cicadidae: Maoricicada tennis	O	0	Z	Skinner et al. (2020)
SP B 1821973	Cicadidae. Megatibican dorsatus	143	» °		Skinner et al (2020)
SINT 1021973	Cicatidae. Meganoncen uorsanus	21.5	50	, , , , , , , , , , , , , , , , , , ,	M:6 1 (2020
SKR921625	Cicadidae: Okanagana villosa	213	11.7	<b>X</b>	Misot et al. (2014)
SRR2496615	Cicadidae: Tamasa doddi	26	16	<b>\</b>	Skinner et al. (2020)
SRR2496640	Cicadidae: Tettigades auropilosa	212	113	Y	Skinner et al. (2020)
SRR2496625	Tettigarctidae: Tettigarcta crinita	0	0	Z	Skinner et al. (2020)
	Fulgoroidea				
SRR 1821891	Acanaloniidae: Acanalonia conica	0	0	Z	Tohnson et al. (2018)
SR R 2496620	Achilidae: Catonia nava	° «	944		Skinner et al (2020)
CDD 1021002	College Durch Surgery	00.5	- 2	• >	Tobassa at al. (2019)
SKK 1021902	Caliscelluae: Druchomorphu Ocuiuid	<b>,</b> 1	53	1	
SRR2051474	Caliscelidae: Caliscelis bonellii	1.1	54	X	
SRR1821943	Cixiidae: Melanoliarus placitus	113	81	$\prec$	Johnson et al. (2018)
SRR2051512	Cixiidae: Tachycixius pilosus	88	64	Y	Johnson et al. (2018)
SRR1821950	Delphacidae: Idiosystatus acutiusculus	26	69	X	Johnson et al. (2018)
SRR 921622	Delnhacidae: <i>Nilanarvata lugens</i>	O	0	Z	Ξ.
SP 2496623	Derbidge: Omoliona ublari	· · ·	· · ·	. Z	
3KK2470023	Delotac. Omoncha unien			<b>7</b> 1	Skilling of al. (2020
SKK1821915	Dictyopharidae: Chondrophana gayi	55	41	<b>X</b>	
SRR2051482	Dictyopharidae: Dictyophara europaea	89	51	X	Johnson et al. (2018)
SRR1821952	Dictyopharidae: Phylloscelis atra	59	46	Y	Johnson et al. (2018)
SRR5134743	Dictyopharidae: Yucanda albida	21	16	X	Skinner et al. (2020)
SRR 2496650	Eurybrachidae: Platybrachys sp.	44	35	>	Skinner et al. (2020)
SR R 4949629_30	Flatidae Geisha distinctissima	: <	; <	· Z	Wang et al (2019)
05 (2004) AND G G G	Distillation I amalla anotamica	o c			Strings of all (2010)
SKK249003/	Flatidae: Jamena austranae	0 (	0	7 7	Skinner et al. (2020
SKK2051504	Flatidae: Metcalfa prumosa	o	Ð	Z	Johnson et al. (2018)
10010010		_		-	

Table 1 (Continued)

SRA run No.	Taxon	Number of Sulcia genes*	single-copy orthologs of Sulcia†	Presence of Sulcia 16S rRNA ‡	Reference
SRR1821913	Fulgoridae: Cyrpoptus belfragei	19	13	Y	Johnson et al. (2018)
SRR4949627-28	Fulgoridae: Laternaria candelaria	0	0	Z	Wang et al. (2019)
SRR5134712	Fulgoridae: Lycorma delicatula	83	58	<b>*</b>	Skinner et al. (2020)
SRR5010201-2	Issidae: Gergithus sp.	0	0	Z	Wang et al. (2019)
SRR2496635	Issidae: Thionia simplex	0	0	Z	Skinner et al. (2020)
SRR5134723	Nogodinidae: Bladina sp.	~	S	Z	Skinner et al. (2020)
SRR2496646	Nogodinidae: Lipocallia australensis	27	19	Y	Skinner et al. (2020)
SRR5134739	Ricaniidae: Ricania speculum	0	0	Z	
SRR2496651	Ricaniidae: Scolypopa sp.	0	0	Z	Skinner et al. (2020)
SRR5134718	Tettigometridae: Tettigometra bipunctata	2	1	Z	Skinner et al. (2020)
SRR1821928	Tropiduchidae: Ladella sp.	0	0	Z	Johnson et al. (2018)
	Membracoidea				
SRR5134719	Aetalionidae: Aetalion reticulatum	162	112	Y	Skinner et al. (2020)
SRR5134711	Aetalionidae: Lophyraspis sp.	185	124	Y	Skinner et al. (2020)
SRR1821894	Cicadellidae: Agallia constricta	46	26	Y	Johnson et al. (2018)
SRR5134720	Cicadellidae: Agudus sp.	139	86	¥	Skinner et al. (2020)
SRR5134722	Cicadellidae: Aphrodes bicincta	189	128	Y	Skinner et al. (2020)
SRR 2959665	Cicadellidae: Cuerna arida	210	121	Y	Tassone et al. (2017)
SRR1821917	Cicadellidae: Empoasca fabae	0	0	Z	Johnson et al. (2018)
SRR 2496644	Cicadellidae: Euacanthella palustris	128	74	¥	Skinner et al. (2020)
SRR 5816888	Cicadellidae: Euscelidius variegatus	176	125	Y	Galetto et al. (2018)
SRR 2895344	Cicadellidae: Graphocephala atropunctata	131	83	Y	Tassone et al. (2017)
SRR2051489	Cicadellidae: Graphocephala fennahi	136	87	¥	Johnson et al. (2018)
SRR5134740	Cicadellidae: Haldorus sp.	63	42	Y	Skinner et al. (2020)
SRR1821922	Cicadellidae: Hespenedra chilensis	92	52	Y	Johnson et al. (2018)
SRR 2959971	Cicadellidae: Homalodisca liturata	72	50	Y	
SRR 2496637	Cicadellidae: Idiocerus rotundens	139	95	Y	
SRR2496638	Cicadellidae: Macropsis decisa	124	94	Y	Skinner et al. (2020)
SRR2496639	Cicadellidae: Neocoelidia tumidifrons	69	51	¥	
SRR2496634	Cicadellidae: Nionia palmeri	186	117	Y	
SRR5134736	Cicadellidae: Penestragania robusta	165	117	Y	Skinner et al. (2020)
SRR5134735	Cicadellidae: Penthimia sp.	120	93	Y	Skinner et al. (2020)
SRR1821957	Cicadellidae: Ponana quadralaba	204	121	Y	
SRR2496652	Cicadellidae: Stenocotis depressa	156	105	Y	Skinner et al. (2020)
SRR2496641	Cicadellidae: Tinobregmus viridescens	06	89	Y	Skinner et al. (2020)
SRR5134733	Cicadellidae: Tituria crinita	15	12	Y	Skinner et al. (2020)
SRR2051513	Cicadellidae: Ulopa reticulata	171	114	Y	Johnson et al. (2018)
SRR1821980	Cicadellidae: Vidanoana flavomaculata	194	117	Y	Johnson et al. (2018)
SRR2496643	Cicadellidae: Xestocephalus desertorum	55	37	¥	Skinner et al. (2020)
SRR1821930	Melizoderidae: Llanquihuea pilosa	157	105	<b>X</b>	Johnson et al. (2018)
SRR5134721	Membracidae: Amastris sp.	127	68	Y	Skinner et al. (2020)
SRR2051475	Membracidae: Centrotus cornutus	164	112	Y	Johnson et al. (2018)
SRR5134724	Membracidae: Chelyoidea sp.	178	118	Y	Skinner et al. (2020)
SRR5134725	Membracidae: Cyphonia clavata	186	124	¥	Skinner et al. (2020)
CDD1621004	Manual 1	177	100	4.7	

Table 1

SRA run No.	Taxon	Number of Sulcia genes*	Number of the single-copy orthologs of Sulciat	Presence of Sulcia 16S rRNA ‡	Reference
SRR2496655 SRR1821924	Membracidae: Heteronotus sp. Membracidae: Holdeatiella chenuensis	66	46 91	\ \ \	Skinner et al. (2020) Johnson et al. (2018)
SRR5134729	Membracidae: Lycoderes burmeisteri	149	104	Y	Skinner et al. (2020)
SRR5134730 SRR2496633	Membracidae: Membracis tectigera Membracidae: Microcentrus caryae	157 95	109 63	××	Skinner et al. (2020) Skinner et al. (2020)
SRR1821942 SRR5134734	Membracidae: Nessorhinus gibberulus Membracidae: Notocera sp.	132	95	× ×	Johnson et al. (2018) Skinner et al. (2020)
SRR5134738	Membracidae: <i>Procyrta</i> sp.	149	86	Ϋ́	Skinner et al. (2020)
SRR1821970	Membracidae: Stictocephala bisonia	71	52	Y	Johnson et al. (2018)
SRR5134742	Membracidae: Tolania sp.	901	89	¥	Skinner et al. (2020)
SRR2496642	Membracidae: Umbonia crassicornis	25	16	Y	Skinner et al. (2020)
SRR1821937	Myerslopiidae: Mapuchea sp.	156	93	Y	Johnson et al. (2018)

\*Sequence with best hit of NCBI BLASTN as a Sulcia gene and at least matches 150 bp.

†Number of sequences matching the 131 universal single-copy orthologues of Sulcia.

‡Sequence with best hit of NCBI BLASTN as a 165 rRN4 gene of Sulcia and at least matches 500 bp.

minimum length was found five times. To generate a strict consensus tree and evaluate the branch supports, bootstrap resampling was performed with 100 replicates using new technology search (parameter settings as mentioned above).

# Multispecies coalescent analysis

We also performed multispecies coalescent analysis to construct the phylogeny of Sulcia. Gene trees of  $16S\ rRNA$  and the 131 single-copy protein-coding genes were constructed using nucleotide alignments by the "-f a" algorithm of RAxML under the GTR + G + I model with 100 bootstrap replicates. Then the individual gene trees were employed to infer the coalescent-based species tree using ASTRAL v 4.10.5 (Mirarab and Warnow, 2015) with the full annotation option.

# Divergence time estimation

Divergence times among Flavobacteriaceae lineages were estimated using r8s v1.81 (Sanderson, 2003) based on the ML phylogeny of 51 protein-coding genes. Substitution rates might be different among different lineages of Flavobacteriales, especially between the freeliving microbes and endosymbionts. Therefore, we chose the penalized likelihood (PL) method, which permits rate variation, with the truncated Newton (TN) algorithm for optimization. The optimal value of the smoothing parameter was determined as 10 by a crossvalidation analysis. Due to the absence of fossils for providing independent estimates of node ages in this group of bacteria, four nodes within groups of bacteria related, but external, to the clade comprising flavobacterial insect endosymbionts were calibrated using information obtained from the comprehensive TimeTree database (http:// www.timetree.org/, Kumar et al., 2017): (i) the root age (split of Bacteroidales and Flavobacteriales) was fixed at 676 Myr; (ii) the crown age of Flavobacteriaceae was fixed at 429 Myr; (iii) the divergence time between Luteibaculum and Cryomorpha was constrained at 404 Myr; and (iv) the crown age of Crocinitomicaceae was constrained as 345 Myr (Marin et al., 2017).

# Cophylogenetic pattern

To test the congruence between the phylogenies of Auchenorrhyncha and Sulcia, we used the best ML tree of Auchenorrhyncha constructed by Skinner et al. (2020), which is based on the degeneracy-coded nucleotide dataset of 2139 genes, and the best ML tree of Sulcia based on the nucleotide sequences of 132 genes. Auchenorrhyncha-Sulcia association patterns were represented using the R package cophyloplot. The congruence between the phylogenies of Auchenorrhyncha and Sulcia was tested using the global-fit method in PACo (Balbuena et al., 2013). Significance was assessed by the goodness-of-fit test with 100 000 permutations.

# Results

# Datasets

A total of 51 universal single-copy genes were identified among the 182 genomes of Bacteroidetes, the concatenated amino acid alignment of which contained 10 526 positions (8751 variable sites and 8087 parsimony-informative sites). The alignment of 16S rRNA from 233 bacterial lineages comprised 1455 positions. We identified 131 universal single-copy genes

among the genomes of 40 Sulcia strains and three Blattabacterium species, which were used to infer the phylogeny of Sulcia in addition to 16S rRNA. An additional 62 Sulcia strains were included in the phylogenetic analysis based on data newly retrieved from insect transcriptomes. Among the 96 transcriptome datasets screened for the presence of Sulcia (Table 1), 76 had one or more Sulcia genes present. Auchenorrhynchan groups lacking Sulcia genes mostly occurred among the Cicadoidea (cicadas) and Fulgoroidea (planthoppers). The two concatenated datasets of 101 Sulcia strains contained 41 970 amino acid positions variable sites and 24 434 parsimonyinformative sites) and 127 339 nucleotide positions (74 622 variable sites and 61 154 parsimonyinformative sites) respectively, both representing six planthopper families (Achilidae, Caliscelidae, Cixiidae, Delphacidae, Dictyopharidae and Fulgoridae), a cicada family (Cicadidae), five spittlebug families (Aphrophoridae, Cercopidae, Clastopteridae, Epipygidae and Machaerotidae), two leafhopper families (Cicadellidae and Myerslopiidae) and three treehopper families (Aetalionidae, Melizoderidae, Membracidae).

# Phylogeny of Flavobacteriales and related endosymbionts

Maximum likelihood and maximum parsimony analyses based on the concatenated alignment of 51 protein-coding genes recovered largely concordant higher-level relationships of Flavobacteriales with high bootstrap values (Fig. 1 and Fig. S2). MP analysis using new technology search algorithms yielded 16 most parsimonious trees of length 174 397 (consistency index = 0.256; retention index = 0.690). The strict consensus tree generated by bootstrap resampling is presented in Fig. S2. Both ML and MP analyses grouped flavobacterial insect endosymbionts as a single clade with maximum bootstrap support. This clade is sister to the free-living bacterial group Weeksellaceae according to the ML topology (Fig. 1). However, MP analysis recovered a sister relationship between the clade of insect endosymbionts and a single species of Ichthyobacteriaceae, which together formed a sister clade to Weeksellaceae (Fig. S2). Within the clade of endosymbionts, three well-supported sublineages were recovered, representing the symbiotic bacteria associated with scale insects (Walczuchella and Uzinura), cockroaches (Blattabacterium) and Auchenorrhyncha (Sulcia), respectively. Surprisingly, Sulcia is recovered as sister to Blattabacterium, which conflicts with the closer relationship between the two hemipteran lineages (Auchenorrhyncha and scale insects). Within Sulcia, strains associated with Cicadoidea, Membracoidea and Cercopoidea were recovered as three monophyletic groups with maximum bootstrap support. The Cicadoidea group is sister to the Membracoidea plus Cercopoidea groups.

The maximum likelihood tree based on 16S rRNA showed relationships generally similar to the topology based on genome data but with lower bootstrap values for many branches (Fig. S1a, b). The major differences were observed among the free-living groups, which are not a focus of our study. Even with the much larger taxon sample in the 16S dataset, flavobacterial endosymbionts of insects were still consistently recovered as a well-supported monophyletic group sister to Weeksellaceae, which is congruent with the ML phylogeny based on genomic data. As in the genomic dataset, we recovered Sulcia and Blattabacterium as monophyletic although the Sulcia clade received only moderate support. These two clades were not recovered as sister groups although the branches separating them are very short with low bootstrap values. Endosymbionts of scale insects were placed in two clades, one of which contained only "Candidatus Brownia", and another one comprised Walczuchella, Uzinura and the remaining strains. This result agrees with the previous finding that flavobacterial endosymbionts of scale insects have independent origins (Rosenblueth et al., 2012). Our results also suggested multiple origins of the endosymbionts within beetles. Male-killing bacteria of ladybugs (Coccinellidae) were placed on a clade near the base and the endosymbionts of grain pest beetles formed two lineages, including a large one sister to Sulcia and a small one sister to Blattabacterium. Four large subclades were recovered within Sulcia, corresponding to the strains associated with Fulgoroidea, Cicadoidea, Cercopoidea and Membracoidea, respectively. As in the trees constructed from protein-coding genes (Fig. 1 and Fig. S2), the Fulgoroidea group is sister to the remaining Sulcia strains and the Cicadoidea clade is sister to a clade comprising symbionts of Membracoidea and Cercopoidea.

#### Divergence time estimates

Divergence time analysis was performed based on the ML tree constructed from 51 orthologous genes. The split between the common ancestor of flavobacterial endosymbionts and the free-living group *Weeksellaceae* was estimated at approximately 504.92 Myr (Fig. 2). The crown clade of these endosymbionts was dated approximately 395.56 Myr. Divergence between Walczuchella and Uzinura was estimated at approximately 294.33 Myr, while the split between *Blattabacterium* and Sulcia was dated approximately 339.95 Myr. The initial divergence of Sulcia, corresponding to the split between Fulgoroidea- and Cicadomorpha-associated strains was estimated at approximately 256.91 Myr. Within the Cicadomorpha-clade the origin of the Cicadoidea group was dated in the Cretaceous

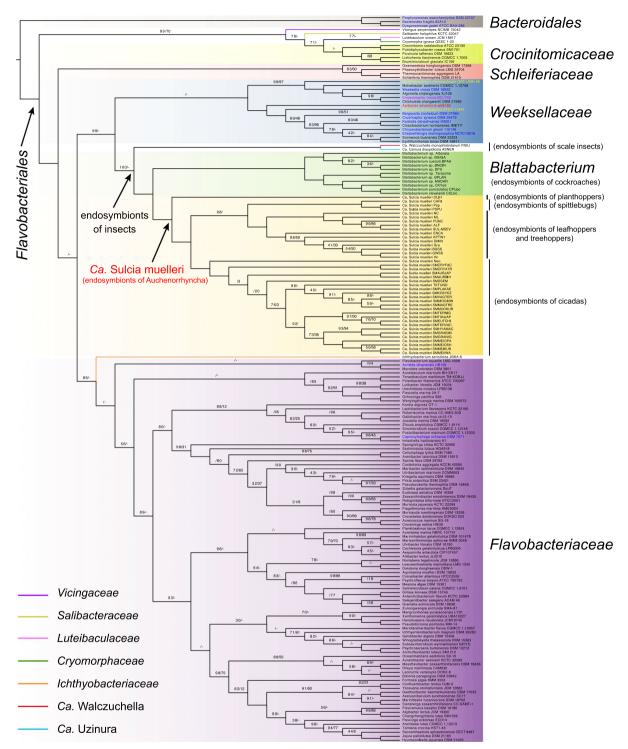


Fig. 1. Phylogeny of Flavobacteriales and related endosymbionts based on genome data. The topology is based on the best ML tree constructed using the amino acid sequences of 51 single-copy orthologous genes. Bootstrap values from ML and MP analyses are indicated above the branches as ML/MP (maximum support values (100) are not shown), relationships not supported by the MP analysis are indicated as "-". Most of the free-living bacteria studied here were isolated from marine environments or fresh-water ecosystems. Free-living microbes with colored tips were not collected from aquatic environment, with blue, yellow, red and purple tips representing the species isolated from human, birds, insect and plant, respectively. Detailed information about isolation sources or hosts is listed in Table S1.

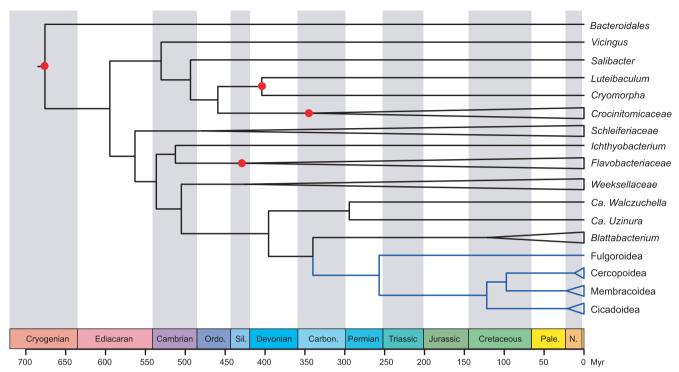


Fig. 2. Timetree chronogram of "Ca. Sulcia muelleri" and other Flavobacteriales. Red dots indicate the calibrated nodes. Hosts are used as the tip labels for the four sublineages of Sulcia (marked in navy).

(~121.95 Myr), followed by the split between the Membracoidea and Cercopoidea groups at approximately 97.37 Myr. Divergences within each major Sulcia clade (the Cicadoidea, Membracoidea and Cercopoidea groups) were dated within the Neogene.

Prediction of Sulcia genes based on insect transcriptome data

To identify the potential hosts of Sulcia, we screened transcriptome datasets of 96 hemipteran insects, including 92 species of Auchenorrhyncha and four species of Coleorrhyncha. As expected, Sulcia genes were present in most species of Auchenorrhyncha but nearly absent in Coleorrhyncha (Table 1). Although a short sequence (207 bp) from the moss bug Hackeriella veitchi matched a Sulcia gene in NCBI, many other sequences were identified as "Candidatus Evansia muelleri" (data not shown), the obligate endosymbiont of Coleorrhyncha (Kuechler et al., 2013). Therefore, our results only supported the association between Sulcia and Auchenorrhyncha. In Auchenorrhyncha, Sulcia protein-coding genes were retrieved from 75 species, although the number of genes predicted greatly varies among species (Table 1). 16S rRNA of Sulcia (sequences shown in Table S2) were identified in 73 species, all of which harbor Sulcia protein-coding genes. Among these 16S rRNA sequences, 59 were full-length 16S and the rest were longer than 1435 bp.

We failed to predict Sulcia genes in nearly half of the planthoppers (13 of 29), most of which belong to four closely related families of "higher planthoppers", Acanaloniidae, Issidae, Flatidae and Ricaniidae (Skinner et al., 2020). So far, only one Sulcia genome has been sequenced from a planthopper (Bennett and Mao, 2018), and it has the smallest known Sulcia genome so far (157 kb, 152 protein genes). Consistent with this prior observation, we retrieved relatively small numbers of Sulcia genes (≤ 113) in this group. In Cicadomorpha, Sulcia genes were absent in only four species, including three cicadas (Maoricicada tenuis, Burbunga queenslandica and Tettigarcta crinita) and one microleafhopper (Empoasca fabae). At least 115 protein-coding genes of Sulcia were predicted in the species of Cercopoidea. In Membracoidea, 32 of the 45 species screened contained over 100 Sulcia genes. Four species of Cicadoidea yielded large numbers of Sulcia genes (> 143), while the remaining species yielded no more than 30 Sulcia genes.

Phylogeny of Sulcia and congruence with Auchenorrhyncha phylogeny

We performed ML analyses using both amino acid (Fig. S3) and nucleotide sequences (Fig. 3 and Fig. S4), as well as a MP analysis (Fig. S5) and a multispecies coalescent analysis (Fig. S6) based on the nucleotide dataset. MP analysis using new technology

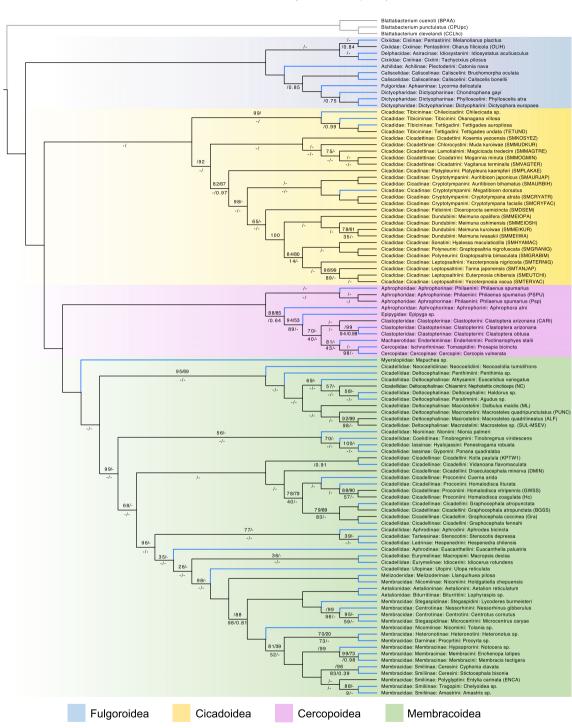


Fig. 3. Phylogeny of "Ca. Sulcia muelleri" based on phylogenomic analyses. The topology shows the best tree from ML analysis based on 16S rRNA and the nucleotide sequences of 131 protein-coding genes. Bootstrap values for ML analyses based on nucleotide (nucl) and amino acid (aa) datasets are shown above branches as nucl/aa, while support values of MP analysis and local posterior probability values of coalescent analysis are indicated below branches as MP/coalescent. Maximum support values (100 for ML and MP, 1 for coalescent) are not shown, relationships not supported by a certein analysis are indicated as "-". The primary endosymbionts of cockroaches (Blattabacterium) were used as outgroups (clades in grey, labeled with bacterial species names). Terminals of Sulcia were labeled with host taxon and available strain names (in parentheses, using genomic data). Bacterial genes of the blue branches were retrieved from insect transcriptomes.

search algorithms yielded three most parsimonious trees of length 173 318 (consistency index = 0.648; retention index = 0.916). All analyses consistently recovered the monophyly of the four groups corresponding to the four superfamilies of Auchenorrhyncha with the relationships Fulgoroidea + (Cicadoidea + (Cercopoidea + Membracoidea) having maximum bootstrap support. In addition, relationships with high support within each major group were largely concordant among all analyses. Based on the best ML trees of Auchenorrhyncha and Sulcia (Fig. 4), the global-fit test indicated highly significant congruence between the phylogenies of Auchenorrhyncha and Sulcia (m2 = 409.7564, P < 0.0001). The few areas of possible incongruence occur in branches that received less than maximum branch support in one or both phylogenies and/or were unstable among trees resulting from different analyses (Fig. 4). For simplicity, we use host names to represent different groups of Sulcia below.

The phylogeny of Sulcia based on the large datasets recovers consistent, well-supported relationships among six families of Fulgoroidea. Cixiidae and Delphacidae form a sister clade to the remaining fulgoroids. Despite the different placements of Delphacidae in the two ML trees (Figs S3, S4), Cixiidae were consistently recovered as paraphyletic. Achilidae is sister to the clade containing Caliscelidae, Fulgoridae and Dictyopharidae. Dictyopharidae is recovered as a monophyletic group sister to Fulgoridae. Except for the paraphyly of Cixiidae, other relationships presented here are congruent with the phylogeny of the fulgoroid hosts (Fig. 4). The phylogeny based on 16S rRNA (Fig. S1a) also supports the sister relationship between the Cixiidae-Delphacidae clade and the other fulgoroids and the paraphyly of Cixiidae, Relationships among Achilidae, Caliscelidae, Fulgoridae and Dictyopharidae are different but branches separating these families received low bootstrap support.

Within Cicadoidea, the MP tree differs from results of the other analyses in the earliest diverging branches. The topologies of ML and coalescent analyses strongly support Tibicininae as a monophyletic clade sister to Cicadettinae plus Cicadinae (Figs S3, S4, S6), which is consistent with the previous study of cicada-associated Sulcia (Matsuura et al., 2018). The phylogeny based on 16S also places Tibicininae on earlier diverging lineages but recovered this subfamily as paraphyletic (Fig. S1b). Based on the MP analysis, however, a species of Cicadinae (Platypleura kaempferi) is placed as sister to the remaining Cicadidae followed by Cicadettinae as next earliest diverging clade (Fig. S5). The remaining species of Cicadinae form a sister clade to (Fig. S5). Despite the Tibicininae inconsistent subfamily-level relationships among different phylogenomic analyses, several tribes of Cicadidae are strongly supported as monophyletic, including Cicadatrini, Cryptotympanini, Dundubiini, Leptopsaltriini, Polyneurini and Tettigadini. Within Tibicininae, Chilecicadini is sister to Tibicinini plus Tettigadini (Figs S3–S6). Cicadettini is sister to the other tribes of Cicadettinae. However, the relationships among Chlorocystini, Lamotialnini and Cicadatrini are inconsistent among analyses. Platypleurini is placed as sister to the remaining Cicadinae based on the ML and coalescent analyses (Figs S3, S4, S6). The placement of Fidicinini is unstable, either sister to Cryptotympanini (Figs S3, S5, S6) or grouped with the other tribes (Fig. S4). Dundubiini, Leptopsaltriini, Polyneurini and Sonatini are consistently placed in the same clade, with Sonatini sister to Polyneurini.

Phylogenetic relationships among cercopoids vary among analyses except that the status of Aphrophoridae is stable in the phylogenomic analyses. This family is paraphyletic, with *Philaenus spumarius* placed as sister to the remaining Cercopoidea followed by Aphrophora alni as next earliest diverging branch. The concatenated analyses uniformly recovered Epipygidae as sister to the clade containing Clastopteridae, Cercopidae and Machaerotidae, but placed Machaerotidae sister to either Cercopidae (Fig. S4, moderate support; Fig. S5, low support) or Clastopteridae (Fig. S3, low support). The coalescent analysis placed Cercopidae near the root of Cercopoidea and Machaerotidae sister to Epipygidae plus Clastopteridae (Fig. S6). The ML and MP analyses based on the nucleotide dataset yielded generally higher bootstrap values than the other analyses.

Within Membracoidea, Myerslopiidae is sister to the remaining taxa and Cicadellidae is paraphyletic with respect to a clade containing three treehopper families, Aetalionidae, Membracidae and Melizoderidae. Several leafhopper and treehopper subfamilies are strongly supported as monophyletic by all analyses, including Deltocephalinae, Cicadellinae, Iassinae, Membracinae and Smiliinae. The largest leafhopper subfamily Deltocephalinae is sister to Neocoelidiinae in the ML trees (Figs S3, S4), which is consistent with Dietrich et al. (2017) and some analyses of Skinner et al. (2020). However, this relationship was not recovered by the MP and coalescent analyses (Figs S5, S6). Within Cicadellinae, the tribe Cicadellini is paraphyletic with respect to the monophyletic tribe Proconiini. As in previous studies (Dietrich et al., 2017; Skinner et al., 2020), relationships among major lineages of leafhoppers were unresolved due to contradictory results among different analyses as well as very short, deep internal branches with low branch support values. The treehopper clade is sister to the leafhopper subfamily Ulopinae with high support. Treehoppers split into two sublineages: one containing Melizoderidae plus Holdgatiella chepuensis (Membracidae: Nicomiinae) and another one comprising Aetalionidae and the Membracidae. remaining Aetalionidae well

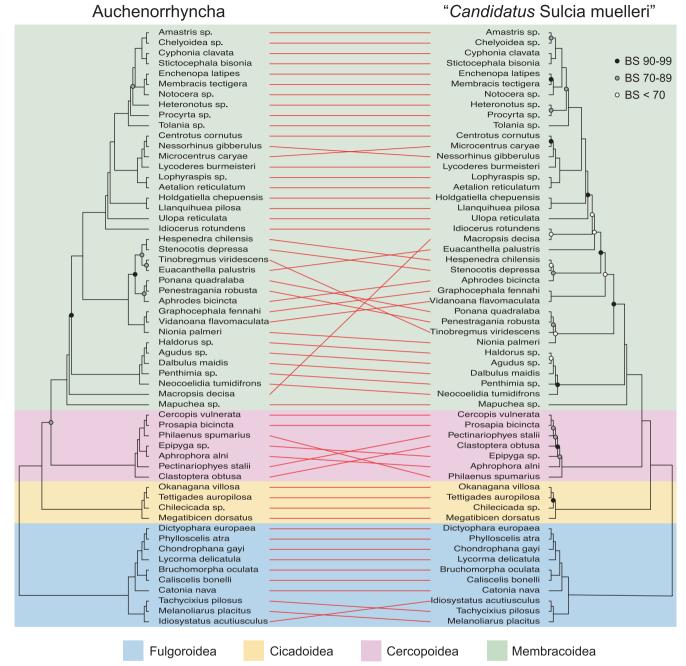


Fig. 4. Cophylogeny of "Ca. Sulcia muelleri" (right) and their Auchenorrhyncha hosts (left). Terminals of Sulcia were labeled with the name of corresponding host taxon. The phylogeny of Auchenorrhyncha is the best tree of ML analysis constructed by Skinner et al. (2020) based on the degeneracy-coded nucleotide dataset of 2139 arthropod orthologs. Bootstrap values (BS) are 100 unless indicated by dots.

supported as a monophyletic group sister to a clade comprising Stegaspidinae and Centrotinae, with the former subfamily consistently paraphyletic. Another species of Nicomiinae, *Tolania* sp., is sister to the remaining Membracidae with relationships resolved either as ((Darninae + Heteronotinae) + (Membracinae + Smillinae)) (Figs S3–S5) or (Darninae + (Heteronotinae + (Membracinae + Smillinae))) (Fig. S6).

#### Discussion

Evolution of flavobacterial endosymbionts

Sulcia was placed in *Flavobacteriaceae* by Matsuura et al. (2018) based on analysis of the *I6S rRNA* sequences of Sulcia, some other related endosymbionts and a few species of *Flavobacteriaceae*. With the

addition of more free-living bacteria to the analyses of both 16S sequences and protein-coding genes, our results indicate that Sulcia does not belong to Flavobacteriaceae, sensu stricto, but to a large sister clade of this family within Flavobacteriales. Interestingly, this clade strictly comprises Sulcia and endosymbionts of various other insects. In the analysis of phylogenomic data, Sulcia is strongly supported as sister to Blattabacterium, a genus of cockroach endosymbionts and, together, these two clades are sister to a small clade comprising two other insect endosymbionts. Phylogenomic data are not vet available for a broader sample of flavobacterial insect endosymbionts but, according to our analysis of 16S rRNA data for a much larger taxon sample, the lineage comprising Blattabacterium and Sulcia includes additional groups of flavobacterial endosymbionts of insects including two lineages of scale insect endosymbionts, two lineages of grain beetle endosymbionts, and a lineage of malekilling endosymbionts of Coccinellidae (Coleoptera). This entire lineage of insect endosymbionts forms a clade closely related to Weeksellaceae. The habitats of Weeksellaceae are more diverse than those of the other free-living bacteria included in this study. Although the ancestral habitat of Flavobacteriales is most likely to be aquatic environments, given that many freeliving species were isolated from seawater, river, marine/river sediment, aquatic organisms and even sewage water (Table S1), species of Weeksellaceae have been isolated from a wide range of terrestrial organisms, including plants, insects, birds and humans. Therefore, it seems possible that the common ancestor of Weeksellaceae and the flavobacterial insect endosymbionts had already colonized the terrestrial environment, facilitating association with various terrestrial plants and animals. The monophyly of the clade comprising flavobacterial insect endosymbionts suggests that this lineage could have arisen through a single infection of the common ancestor of hemipteran insects, cockroaches and beetles. However, this would require numerous losses of endosymbionts in various descendant insect clades, and the deep phylogenetic relationamong flavobacterial endosymbionts. reconstructed here, are inconsistent with the phylogeny of their hosts (Misof et al., 2014). Also, some bostrichid beetles harbor two different but distantly related flavobacterial endosymbionts, indicating that multiple, independent symbiont acquisitions have occurred (Engl et al., 2018). Thus, despite the grouping of most known flavobacterial endosymbionts into a single clade, available evidence suggests that such endosymbionts have infected insects multiple times independently. Further sampling and characterization of insect microbiomes are needed to explore more fully the diversity of flavobacterial endosymbionts across the Insecta.

# Divergence times of Sulcia

The association between Sulcia and Auchenorrhyncha is most likely due to a single ancient infection, since the monophyly of Auchenorrhyncha was supported by several recent studies (Cryan and Urban, 2012; Johnson et al., 2018; Skinner et al., 2020), Sulcia was also recovered as monophyletic with strong support and the phylogeny of Sulcia is highly congruent with that of its hosts, consistent with the hypothesis that these endosymbionts and their hosts co-diversified over millions of years. Previous studies estimated the time of origin of Sulcia according to the age of Auchenorrhyncha. Using fossil data for auchenorrhynchan insects, Moran et al. (2005) suggested Sulcia diversified 260 Myr. Recent publications (Mao et al., 2017; Waneka et al., 2020) assumed an even earlier time of origin of Sulcia (~300 Myr). Here, we present the first explicit attempt to estimate the age of Sulcia and its included lineages using molecular divergence time estimation. Our timetree of Flavobacteriales estimates the origin of flavobacterial endosymbionts at approximately 504.92 Myr somewhat earlier than the estimated age of Insecta (~479 Myr, Misof et al., 2014). The initial divergence time of flavobacterial endosymbionts (~395.56 Myr) is comparable to the estimated divergence time between Blattodea and Hemiptera (~389 Myr, Misof et al., 2014). The first split of Sulcia is estimated to have occurred in the late Permian (~256.91 Myr), in agreement with the estimate of Moran et al. (2005) but this is much later than the initial divergence time of Auchenorrhyncha estimated by the divergence time analysis of insect phylogenomic data (Johnson et al., 2018). Our timetree also suggests that the three major Sulcia lineages (the Cicadoidea, Membracoidea and Cercopoidea groups) are much younger than their hosts. This is consistent with the results of previous studies, which have shown that the evolutionary rates of endosymbionts are generally higher than those of related free-living microbes (Itoh et al., 2002; Moran et al., 2008). In the case of Sulcia, it would be difficult to account for this possible rate shift in the divergence time analysis without introducing node calibrations internal to the Sulcia lineage. Unfortunately, the only data for such calibrations are the age estimates of the host insects, which are themselves based on very limited fossil information. Given the strong correspondence between the phylogenies of Sulcia and its hosts and the strictly vertical mode of transmission of these endosymbionts, it seems highly unlikely that the disparity in divergence time estimates between Sulcia and Auchenorrhyncha could be due to multiple independent acquisitions of Sulcia by different lineages of Auchenorrhyncha. More likely, the discrepancy in ages reflects a shift to a higher evolutionary rate in Sulcia following the transition from a free-living to endosymbiotic lifestyle.

Association between Sulcia and hemipteran insects

The role of Sulcia as a primary endosymbiont of Auchenorrhyncha is well established. Other than Auchenorrhyncha, only one moss bug and one true bug were previously reported as hosts of Sulcia (Müller, 1962; Koohpayma et al., 2018), but both of these records are questionable. Prior to the availability of molecular methods for characterizing bacteria, Müller (1962) grouped the endosymbiont found in a species of Peloridiidae with the most common symbiont of Auchenorrhyncha ("a-symbiont", later identified as Sulcia) based on morphological similarity. Moran et al. (2005) questioned this result based on amplification of Betaproteobacteria/Microbacteriaceaerelated 16S rRNA from two species of Peloridiidae. Later, Kuechler et al. (2013) examined more species of Peloridiidae and identified the same primary endosymbiont among different samples, which they proposed as a new species of Gammaproteobacteria ("Candidatus Evansia muelleri"). Our screening of the transcriptomes of four species of Peloridiidae failed to detect the presence of Sulcia. Koohpayma et al. (2018) reported Sulcia from the true bug Nysius cymoides based on a 16S sequence highly similar (99% identity. but without reporting matching length or depositing the sequence in a public database) to the 16S rRNA of a Sulcia strain from the leafhopper Orosius albicinctus. Phylogenetic analysis placed this sequence in the clade of four Deltocephalinae leafhopper-associated strains with high support, although the sister relationship between the strains from Nysius cymoides and Orosius albicinctus was not strongly supported. Based on the phylogenetic placement and the lack of other published records of Sulcia from Heteroptera, we strongly suspect that the detection of Sulcia in Nysius cymoides reported by Koohpayma et al. (2018) resulted from sample contamination. Further studies of nonauchenorrhynchan Hemiptera are needed to confirm the absence of Sulcia or Sulcia-like endosymbionts in these groups.

In this study, we obtained full-length or nearly full-length *16S rRNA* sequences of Sulcia in 73 auchenor-rhynchan species. The phylogeny based on these Sulcia sequences was largely congruent with the phylogeny of Auchenorrhyncha (Fig. S1a, b), indicating that our predictions of Sulcia from transcriptomes of particular Auchenorrhyncha species are reliable. Thus, we consider the presence of Sulcia *16S rRNA* as strong evidence for the association between Sulcia and the examined species, even though the number of Sulcia protein-coding genes was low for some species. Our work strongly supports the presence of Sulcia in eight planthopper families, one cicada family and all recognized families of spittlebugs, leafhoppers and treehoppers. Including the previously reported hosts of Sulcia

(Müller, 1962; Urban and Cryan, 2012; Simon et al., 2019), Sulcia is present in all the families of Cicadomorpha and at least the following 13 families of Fulgoromorpha: Achilidae, Caliscelidae, Cixiidae, Delphacidae, Derbidae, Dictyopharidae, Eurybrachidae, Fulgoridae, Issidae, Kinnaridae, Lophopidae, Nogodinidae and Tettigometridae.

In Cicadomorpha, the loss of Sulcia was only observed in a few sublineages of Membracoidea, including leafhopper subfamilies Evacanthinae, Ledrinae, Typhlocybinae and the treehopper tribe Terentiini (Müller, 1962; Moran et al., 2005). We failed to detect Sulcia genes in three cicadas, M. tenuis, B. queenslandica and T. crinita, as well as in the microleafhopper E. fabae. However, both T. crinita and E. fabae were reported as Sulcia hosts in previous studies (DeLay, 2013; Simon et al., 2019). Our failure to detect Sulcia using insect transcriptomes could be due either to the absence of Sulcia or low-quality RNA samples. Since Sulcia provides essential nutrients to its hosts, it is less likely that our failure to detect Sulcia in these species resulted from lack of expression of any Sulcia genes. Based on the study of Skinner et al. (2020), the transcriptomes of T. crinita and E. fabae showed only moderate rates of missing data for the arthropod orthologue set used in that study (39.2-43.2%), suggesting relatively good RNA quality. We were able to retrieve Sulcia genes even from transcriptomes with otherwise high levels of missing data (89.6– 91.6%). Given the discrepancy between our study and previous reports of Sulcia in T. crinita and E. fabae, it is possible that loss of Sulcia has occurred only within certain populations of these species. This hypothesis needs further testing. Previous authors suggested that microleafhoppers (Typhlocybinae) have frequently lost Sulcia and other nutritional symbionts common among other leafhoppers because, unlike most leafhoppers, typlocybines feed preferentially on more nutritious parenchyma cell contents rather than nutrientpoor phloem or xylem sap (Bennett and Moran, 2013).

Compared to Cicadomorpha, losses of Sulcia appear to have been more common in Fulgoromorpha. Previous studies (Müller, 1962; Moran et al., 2005; Urban and Cryan, 2012) and our work all failed to detect Sulcia in Acanaloniidae, Flatidae, Ricaniidae and Tropiduchidae. Urban and Cryan (2012) also reported the absence of Sulcia in Caliscelidae, Derbidae, Eurybrachidae and Issidae. However, Müller (1962) and/or our study indicate at least some of the species in these families harbor Sulcia, Similarly, Sulcia has been identified in some species of Delphacidae by Müller (1962) and Urban and Cryan (2012) but was not detected by Moran et al. (2005) and Tang et al. (2010) in some other species in this family. Here, we found cases of both the presence and absence of Sulcia in Delphacidae and Fulgoridae. These results suggest that multiple independent losses of Sulcia occurred in planthoppers. Interestingly, most of these families, including Acanaloniidae, Caliscelidae, Eurybrachidae, Flatidae, Issidae, Ricaniidae and Tropiduchidae, were placed in a single, relatively derived sublineage of Fulgoroidea according to a recent phylogeny of Auchenorrhyncha (Skinner et al., 2020), implying recurrent loss of Sulcia in this sublineage. Additional studies of endosymbiont loss and replacement in this lineage are needed to reveal the evolutionary patterns of such changes in the microbiome and the identities and phylogenetic affinities of replacement endosymbionts.

# Implications for Auchenorrhyncha phylogeny

The evolutionary history of the diverse sap-sucking hemipteran suborder Auchenorrhyncha (> 43 000 described species) has been contentious for decades (Cryan and Urban, 2012; Bartlett et al., 2018; Skinner et al., 2020). The suborder comprises two infraorders: Fulgoromorpha, including a single extant superfamily Fulgoroidea (planthoppers, 21 families) and Cicadomorpha consisting of three superfamilies, Cicadoidea (cicadas, two families), Cercopoidea (spittlebugs, five families) and Membracoidea (leafhoppers and treehoppers, five families). Based on some morphological synapomorphies, Hennig (1981) and Zrzavý (1992) suggested that Auchenorrhyncha is monophyletic, although they suggested different placements of this group within Hemiptera (sister to either Sternorrhyncha or Heteropterodea). However, other early studies suggested that Auchenorrhyncha is not monophyletic based on other morphological characters (Hamilton, 1981), fossil evidence (Hamilton, 1996; Szwedo, 2002), molecular data (Campbell et al., 1995) or combined evidence (Bourgoin and Campbell, 2002). A recent phylogeny based on mitochondrial genomes also failed to recover a monophyletic Auchenorrhyncha, placing Cicadomorpha as the sister to Fulgoroidea plus Coleorrhyncha (Li et al., 2017). Nevertheless, Auchenorrhyncha was repeatedly recovered as monophyletic in several recent studies which used either Sanger sequence data from several genes (Cryan and Urban, 2012) or transcriptomes (Misof et al., 2014; Johnson et al., 2018; Skinner et al., 2020). The transcriptomebased studies consistently supported a sister relationship between Auchenorrhyncha and Coleorrhyncha (Johnson et al., 2018; Skinner et al., 2020). Within Auchenorrhyncha, the two infraorders and the four superfamilies are widely accepted as monophyletic, although Emelyanov (1987) and Song et al. (2017) suggested Fulgoroidea plus Membracoidea is sister to Cercopoidea plus Cicadoidea based on morphological data and mitochondrial genomes, respectively. However, the relationships within Cicadomorpha remain controversial, with various studies having proposed all three possible alternative relationships among the three included superfamilies, i.e., (i) Cercopoidea + (Cicadoidea + Membracoidea); (ii) Cicadoidea + (Cercopoidea + Membracoidea) and (iii) Membracoidea + (Cercopoidea + Cicadoidea). Each of these alternatives appears to have at least some morphological support (Evans, 1963, 1977; Hamilton, 1981, 1999; Emelyanov, 1987; Blocker, 1996; Liang and Fletcher, 2002; Rakitov, 2002). The first hypothesis has also been defended based on fossil evidence (Hamilton, 1996; Szwedo, 2002). Early molecular phylogenies based on 18S rRNA suggested either Cicadoidea (von Dohlen and Moran, 1995) or Membracoidea (Campbell et al., 1995; Ouvrard et al., 2000) as sister to the remaining Cicadomorpha, Combining morphological, fossil and genetic evidence, Bourgoin and Campbell (2002) suggested the third topology as the most likely. Li et al. (2017) also proposed Membracoidea as sister to Cercopoidea plus Cicadoidea using mitochondrial genomes. Surprisingly, the last two topologies were both recovered by phylogenomic analyses based on the same genes (> 2000 genes) but using alternative methods of coding characters and conducting the analyses (Johnson et al., 2018; Skinner et al., 2020). Maximum likelihood analyses using amino acid alignments and a degeneracy-coded nucleotide dataset recovered Cicadoidea as the sister to Cercopoidea plus Membracoidea with high to maximum support, while concatenated and coalescent analyses using complete nucleotide sequences (third codon positions unaltered) fully supported the third hypothesis. Skinner et al. (2020) also conducted a separate analysis by grouping genes with different guanine-cytosine (GC) content in the third codon position, which favored a sister relationship between Cercopoidea and Cicadoidea.

The phylogeny of Sulcia is largely concordant with the most recent phylogeny of Auchenorrhyncha based on transcriptomic data (Skinner et al., 2020) with most areas of incongruence attributable to low branch support or topological instability in one or both analyses. Our results strongly supported several phylogenetic hypotheses of Auchenorrhyncha, including: (i) monophyly of Auchenorrhyncha with Fulgoromorpha sister to Cicadomorpha; (ii) monophyly of the four auchenorrhynchan superfamilies, Fulgoroidea, Cicadoidea, Cercopoidea and Membracoidea; and (iii) paraphyly of Cicadellidae with respect to three treehopper families. The higher-level phylogeny of Cicadomorpha remains controversial, although several recent studies recovered Membracoidea as the sister to Cicadoidea plus Cercopoidea (Cryan and Urban, 2012; Li et al., 2017; Johnson et al., 2018; Skinner et al., 2020). Our phylogeny of Sulcia consistently recovered a sister relationship between Membracoidea and Cercopoidea with these two groups together sister to Cicadoidea. This relationship was also recovered in some of the analyses

presented by Johnson et al. (2018; analysis of concatenated amino acid dataset) and Skinner et al. (2020; analyses of concatenated amino acid dataset and nucleotide data with degeneracy-coded third codon positions). It is also supported by some morphological synapomorphies shared among extant Membracoidea and Cercopoidea, such as the presence of subgenital plates and absence of the median ocellus (Hamilton, 1981; Blocker, 1996). Nevertheless, Skinner et al. (2020) reported extensive gene tree conflict in relationships among Cicadoidea, Cercopoidea and Membracoidea, so this aspect of Auchenorrhyncha phylogeny must be considered inadequately resolved at present.

The planthopper lineage (Fulgoroidea) comprises 21 extant families with more than 12 000 species (Bartlett et al., 2018). Although a few early morphology-based studies considered Tettigometridae as the most plesiomorphic fulgoroid family (Muir, 1923; Emelyanov, 1991), many subsequent analyses recovered Cixiidae plus Delphacidae as sister to the other fulgoroids with Tettigometridae in a relatively derived position (Yeh et al., 2005; Urban and Cryan, 2007; Song and Liang, 2013; Skinner et al., 2020). The latter hypothesis was supported by a previous 16S-based phylogeny of Sulcia (Urban and Cryan, 2012), although the support values were generally low. Our phylogenomic results strongly supported the sister relationship between the Cixiidae-Delphacidae lineage and the remaining Fulgoroidea, but we did not recover Sulcia from our tettigometrid transcriptome dataset and the strain previously reported from Tettigometridae (Urban and Cryan, 2012) was not included in our 16S-based phylogenetic analysis. Yeh et al. (2005) and Urban and Cryan (2007) questioned the monophyly of Cixiidae and Delphacidae. Here, we consistently recovered the Cixiidae-associated Sulcia as paraphyletic. In agreement with most prior molecular phylogenies of Fulgoroidea (Yeh et al., 2005; Urban and Cryan, 2007; Skinner et al., 2020), our analyses based on Sulcia genes supported the placement of Achilidae near the root of the tree and also recovered a close relationship between Dictyopharidae and Fulgoridae. Although relationships among the remaining taxa were poorly resolved in previous analyses, many of the families were placed in a large, derived clade, referred to as "advanced" or "higher" fulgoroids by Yeh et al. (2005) and Bartlett et al. (2018), which usually contains Acanaloniidae, Caliscelidae, Flatidae, Issidae, Nogodinidae, Ricaniidae, Tettigometridae Tropiduchidae (Urban and Cryan, 2007; Skinner et al., 2020). As mentioned above, many members of this lineage were found to lack Sulcia.

The phylogeny of Cicadoidea was not studied comprehensively until very recently. Based on five molecular markers, Marshall et al. (2018) constructed a phylogeny for 139 cicada species. They confirmed the classification

scheme proposed by Moulds (2005) (two families in Cicadoidea and three subfamilies in Cicadidae) and established a new subfamily. Based on their results, Tettigarctidae and Cicadidae are sister groups and Tibicininae is a monophyletic sister clade to the remaining Cicadidae. However, relationships among the monophyletic groups Cicadinae and Cicadettinae as well as the paraphyletic group Tettigomyiinae were not resolved. Based on mitochondrial genomes, Łukasik et al. (2019) placed a poorly known genus Derotettix as sister to the remaining Cicadidae and recovered Tibicininae as the sister to Cicadettinae plus Cicadinae. More recently, Simon et al. (2019) reconstructed the phylogeny of Cicadoidea using anchored hybrid enrichment data. They recognized a fifth cicadid subfamily to include Derotettix and suggested the following relationships: Tettigarctidae + (Derotettiginae + (Tibicininae + (Tettigomyiinae + (Cicadettinae + Cicadinae)))). They also recovered a similar phylogeny using ten Sulcia genes, which however were unable to resolve the relationships among Tettigomyiinae, Cicadinae Cicadettinae. Only three subfamilies of Cicadidae are represented in our Sulcia dataset, including Tibicininae, Cicadettinae and Cicadinae. Our phylogenomic analyses of Sulcia, except the MP results, support Tibicininae as the sister to Cicadettinae plus Cicadinae, which is highly consistent with the phylogeny of cicadas. As in Łukasik et al. (2019), Chilecicadini is sister to Tibicinini plus Tettigadini. Relationships within Cicadettinae differ slightly among studies. In Marshall et al. (2018). Cicadettini grouped with Chlorocystini, and Lamotialnini was placed in the same clade with Cicadatrini. In contrast, Łukasik et al. (2019) and our study recovered Cicadettini as sister to the other three tribes, but both failed to resolve the relationships among Chlorocystini, Lamotialnini and Cicadatrini. Deeper relationships within Cicadinae were inconsistent among analyses using different data but the sister relationship between Leptopsaltriini and Sonatini plus Polyneurini, and their close relationship to Dundubiini, were strongly supported by previous studies (Marshall et al., 2018; Matsuura et al., 2018; Łukasik et al., 2019) as well as by the ML analyses in our study.

Cercopoidea is a relatively small superfamily of Auchenorrhyncha but remains the least well studied with respect to phylogeny. Previous studies have failed to achieve consensus in either classification schemes or phylogeny of this group. Morphology-based studies classified cercopoids into as many as five families, including Aphrophoridae, Cercopidae, Clastopteridae, Epipygidae and Machaerotidae (Baker, 1927; Metcalf, 1960). Using morphological criteria, Hamilton (2001, 2012, 2015) recognized only three of the five families by treating Machaerotinae as a subfamily of Clastopteridae and Aphrophorinae as a subfamily of the Cercopidae, while other recent authors retained the

five-family system (Bartlett et al., 2018; Paladini et al., 2018; Skinner et al., 2020). The most extensive phylogenetic study of cercopoids (Cryan and Svenson, 2010) suggested Machaerotidae is the earliest diverging lineage, sister to the remaining cercopoids. This relationship was also supported by Cryan and Urban (2012). However, some other analyses recovered Clastopteridae plus Machaerotidae as sister to the remaining cercopoids (Cryan, 2005; Bell et al., 2014; Paladini et al., 2018). In the recent transcriptome-based phylogenetic study of Auchenorrhyncha (Skinner et al., 2020), different analyses recovered either Clastopteridae or Machaerotidae as sister to the remaining Cercopoidea but never placed these two families in a single clade. Our phylogenomic analysis of Sulcia is consistent with several previous studies (Cryan, 2005; Cryan and Svenson, 2010; Cryan and Urban, 2012; Bell et al., 2014; Skinner et al., 2020) in recovering Aphrophoridae as paraphyletic with respect to Epipygidae and Cercopidae, but most of the branches received less than maximum bootstrap support.

Membracoidea is the most speciose group in Auchenorrhyncha, with > 25 000 extant species (Bartlett et al., 2018) placed in five families, Aetalionidae, Cicadellidae, Melizoderidae, Membracidae and Myerslopiidae. Hamilton (1999) suggested Myerslopiidae, a lineage distinct from leafhoppers and treehoppers, is the earliest diverging extant group based on some morphological characters shared with cicadoids and cercopoids but absent in other membracoids. This hypothesis was supported by many subsequent studies (Dietrich, 2002; Cryan and Urban, 2012; Dietrich et al., 2017; Johnson et al., 2018; Skinner et al., 2020) as well as our results. The leafhopper family Cicadellidae was traditionally recognized as sister to treehoppers (Evans, 1946) but phylogenetic studies of morphological and molecular data have consistently shown that Cicadellidae is paraphyletic with respect to the treehopper clade (including Aetalionidae, Melizoderidae and Membracidae) (Hamilton, 1999; Dietrich et al., 2001, 2017; Cryan and Urban, 2012; Johnson et al., 2018; Skinner et al., 2020). Our results agree with these prior studies. Treehoppers were assumed to be most closely related to the leafhopper group Ulopinae, as they both lack the rows of macrosetae on the hind tibia characteristic of other leafhoppers (Hamilton, 1999). Recent molecular phylogenies indicated that the treehopper clade is sister to one or both of the leafhopper subfamilies Megophthalminae and Ulopinae (Cryan and Urban, 2012; Dietrich et al., 2017; Skinner et al., 2020). Although Megophthalminae was not included in our phylogenomic analyses of Sulcia, the analyses recovered the sister relationship between the treehopper lineage and Ulopinae. In the 16S tree for Sulcia, the treehopper lineage was sister to Megophthalminae plus Ulopinae, in agreement with prior phylogenomic analyses (Dietrich et al., 2017; Skinner et al., 2020). Prior analyses have consistently failed to resolve relationships among most major lineages (subfamilies) of Cicadellidae, possibly due to an ancient rapid radiation in this group (Dietrich et al., 2017; Skinner et al., 2020). Our phylogeny based on Sulcia genes also failed to clarify the relationships among major lineages of leafhoppers, suggesting coincident rapid radiation between leafhoppers and associated Sulcia lineages. Within the clade of treehoppers, although most relationships appear to be well resolved by previous analyses, the relationships among the three families remain contentious. Dietrich and Deitz (1993) treated the absence of parapsidal clefts on the mesonotum as a synapomorphy for Aetalionidae and Membracidae, and suggested that Melizoderidae, which resemble leafhoppers in retaining parapsidal clefts, are sister to Aetalionidae plus Membracidae. However, using anchored hybrid enrichment data, Dietrich et al. (2017) recovered Aetalionidae as sister, or paraphyletic with respect, to Melizoderidae plus Membracidae. Transcriptome-based analysis consistently recovered a sister relationship between Melizoderidae and a membracid Holdgatiella chepuensis (Skinner et al., 2020). However, the placements of this clade and Aetalionidae varied among analyses with some placing Aetalionidae as sister to the remaining treehoppers, and others placing Melizoderidae + Holdgatiella as sister to all other treehoppers. Our study consistently recovered Melizoderidae + Holdgatiella as sister to the other treehoppers and Aetalionidae as sister to the Stegaspidinae-Centrotinae clade, which is concordant with previous insect transcriptome-based analyses using either the concatenated amino acid alignment or the degeneracy-coded nucleotide dataset (Skinner et al., 2020).

#### **Conclusions**

The most taxon- and character-rich phylogenetic study of Sulcia and related flavobacterial insect endosymbionts so far confirms that the phylogeny of Sulcia is largely congruent with that of its hosts. Although the phylogeny based on Sulcia genes did not completely resolve the relationships within Auchenorrhyncha, it vielded estimates that are well resolved and largely congruent with recently published phylogenies based on large numbers of insect orthologue sequences. Thus, phylogenetic analyses of Sulcia endosymbiont genomic data are generally informative of phylogenetic relationships of their hosts and contribute to the testing of some contentious hypotheses concerning the phylogeny of Auchenorrhyncha. In agreement with some prior studies, our results strongly suggest that Sulcia occurs exclusively within the

hemipteran suborder Auchenorrhyncha but that this primary endosymbiont has been lost independently in several auchenorrhynchan lineages. Our results also show for the first time that Sulcia belongs to a much larger group of flavobacterial insect endosymbionts that includes symbionts of scale insects, cockroaches, and beetles but incongruence in the phylogenetic relationships among these major symbiont lineages and those of their hosts suggests that some combination of multiple independent acquisition and loss has occurred. Further screening and phylogenetic analyses of the microbiota of insects belonging to these and other lineages are needed to further elucidate patterns of acquisition and loss of flavobacterial endosymbionts during the evolution of the group.

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#### Conflicts of interest

The authors declare no conflicts of interest.

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### **Supporting Information**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Fig S1A**. Best tree constructed by maximum likelihood analysis of "*Ca*. Sulcia muelleri" and related endosymbionts based on *16S rRNA* (top portion).

**Fig S1B**. Best tree constructed by maximum likelihood analysis of "*Ca*. Sulcia muelleri" and related endosymbionts based on *16S rRNA* (bottom portion).

**Fig S2.** Strict consensus tree of *Flavobacteriales* constructed by bootstrap resampling algorithm of maximum parsimony analysis based on the amino acid sequences of 51 orthologues.

Fig S3. Best tree constructed by maximum likelihood analysis of "Ca. Sulcia muelleri" based on the amino acid sequences of 131 orthologues.

**Fig S4.** Best tree constructed by maximum likelihood analysis of "Ca. Sulcia muelleri" based on 16S rRNA and the nucleotide sequences of 131 orthologues.

**Fig S5.** Strict consensus tree of "Ca. Sulcia muelleri" constructed by bootstrap resampling algorithm of maximum parsimony analysis based on 16S rRNA and the nucleotide sequences of 131 orthologues.

**Fig S6**. Species tree of "Ca. Sulcia muelleri" resulting from the coalescent analysis of 132 gene trees (16S rRNA plus 131 orthologues, nucleotide datasets).

**Table S1.** Information of the 182 baterial genomes used in this study.

**Table S2**. Prdicted *16S rRNA* of Sulcia based on the transcriptomes of Auchenorrhyncha.