

# Tri-Gate GaN Junction HEMTs: Physics and Performance Space

Yunwei Ma, Ming Xiao, Zhonghao Du, Han Wang, *Senior Member, IEEE*, and Yuhao Zhang, *Member, IEEE*

**Abstract**— We present the physics and performance space of the tri-gate GaN junction high-electron-mobility transistor (Tri-JHEMT), a new tri-gate GaN device proposed recently. In Tri-JHEMTs, p-n junctions wrap around two-dimensional-electron-gas (2DEG) fins in the gate region. Our fabricated Tri-JHEMT demonstrates, for the first time, the kilovolt blocking capability at 150 °C in all tri-gate GaN HEMTs. 3-D TCAD simulations were then calibrated with experimental devices and used to study p-GaN-based Tri-JHEMTs with various design parameters for a direct comparison with the industrial planar p-gate GaN HEMTs. Owing to the unique physics of the sidewall p-GaN/2DEG junction, the 2DEG distribution in junction tri-gates is very different from that in conventional tri-gates, enabling smaller gate capacitance and superior gate controllability. As a result, a lower resistance in the gated channel, a higher wafer 2DEG density, and a scaled gate length can be concurrently realized in normally-off Tri-JHEMTs. GaN Tri-JHEMTs designed for a wide range of voltage classes (15–1200 V) are predicted to enable a 15–75% lower on-resistance ( $R_{ON}$ ), 3–10-fold smaller  $R_{ON} \cdot Q_G$  (gate charge), and 45–63% smaller  $R_{ON} \cdot Q_{OSS}$  (output charge) as compared to similarly-rated planar p-gate HEMTs. Considering their fabrication compatibility with existing foundry process, Tri-JHEMTs show great potentials as the next-generation lateral GaN power switches.

**Index Terms**— Gallium nitride, HEMT, power electronics, p-GaN, Tri-gate, FinFETs, on-resistance, gate charges, p-n junction

## I. INTRODUCTION

The GaN high electron mobility transistor (HEMT) has been commercialized as a power device superior to Si in the voltage classes from 15 V to 650 V [1], [2]. The lateral GaN HEMT is intrinsically normally-on. Most of commercial enhancement-mode (E-mode) HEMTs (except for the composite devices like cascode and direct-drive) reply on a planar p-GaN gate.

Recently, some 3-D gate stacks, such as FinFET and tri-gate structures, have been introduced to lateral GaN HEMTs and vertical GaN FETs. These devices have been reviewed in [3], [4]. They can realize superior gate controllability and E-mode operation with a higher current on/off ratio and lower gated channel resistance as compared to the planar counterparts. The state of the arts include the large-area vertical GaN FinFETs [5]–[8] and multi-channel tri-gate HEMTs [9]. All of them show a breakdown voltage ( $BV$ ) of >1.2 kV and a specific on-resistance ( $R_{ON}$ ) lower than similarly-rated Si and SiC devices.

The first tri-gate GaN HEMT uses a Schottky stack [10], [11]; later, the metal-insulator-semiconductor (MIS) gate stack has become a more popular tri-gate of choice [12]–[15]. Despite

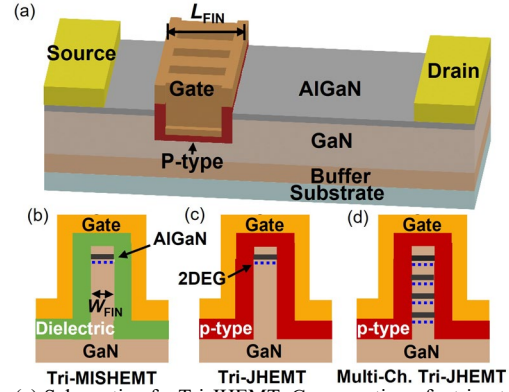


Fig. 1. (a) Schematic of a Tri-JHEMT. Cross-section of a tri-gate fin in the (b) Tri-MISHEMT, (c) Tri-JHEMT, and (d) multi-channel Tri-JHEMT.

excellent performance, some challenges of tri-gate GaN MIS-HEMTs (Tri-MISHEMTs) make their commercialization very slow. First, the E-mode realization requires very narrow fins or an additional AlGaIn recess [3]. For example, 15-nm fins were used to make multi-channel E-mode Tri-MISHEMTs [9]. By contrast, most of today's industrial power device manufacturing rely on the 180-nm-node process; even the high-end GaN RF device manufacturing rarely goes below 60–90 nm. Second, the MIS tri-gate produces parasitic MIS channels and interface traps at the fin sidewalls, which increase the gate charge and induce high-temperature instabilities [16]. To date, there has been no reports of a high  $BV$  at 150 °C in GaN Tri-MISHEMTs, while this is required for any commercial power device.

Recently, we proposed a new type of tri-gate HEMTs, the tri-gate junction HEMT (Tri-JHEMT), in which the p-n junction wraps around the AlGaIn/GaN fins in the gate region [17]. Fig. 1 illustrates the Tri-JHEMT and Tri-MISHEMT structures. As compared to the MIS tri-gate, the junction tri-gate allows stronger depletion, thus relaxing the lithography requirement to realize the E-mode operation and avoiding the punch-through at high drain biases ( $V_D$ ). Tri-JHEMTs also obviate the sidewall MIS channel and minimize the sidewall trapping. In [17], a GaN Tri-JHEMT was fabricated using the p-type nickel oxide (NiO), which demonstrated many benefits of the Tri-JHEMT concept. Moreover, in this work, we show that these fabricated Tri-JHEMTs have a  $BV$  close to 2 kV at 150 °C. This high-temperature  $BV$  was not reported in [17], and shows the true viability of GaN Tri-JHEMTs for industrial applications.

This work aims at comprehensively studying the physics and performance space of GaN Tri-JHEMTs in comparison with the

Y. Ma and M. Xiao contributed equally to this work.

Y. Ma, M. Xiao, and Y. Zhang are with the Center of Power Electronics Systems, Virginia Polytechnic Institute and State University, Blacksburg, VA 24060 USA (e-mail: yhzhang@vt.edu).

Z. Du and H. Wang are with the Ming Hsieh Department of Electrical and Computer Engineering, University of Southern California, Los Angeles, California 90086, USA.

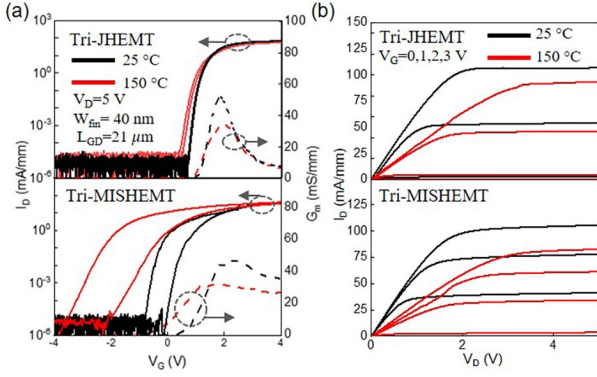


Fig. 2. (a) Double-sweep transfer and transconductance characteristics and (b) output characteristics of the Tri-JHEMT and Tri-MISHEMT at 25 °C and 150 °C. Both devices have a 40-nm  $W_{FIN}$  and 21- $\mu$ m  $L_{GD}$ .

commercial planar p-gate HEMTs. For this, we propose a p-GaN based Tri-JHEMT from three considerations. First, the built-in potential ( $\phi_{bi}$ ) of the p-GaN/2DEG junction ( $\sim 3$  V [18]) is higher than that of the p-NiO/2DEG junction ( $\sim 1.3$  V [17]), making it easier to realize the E-mode and allowing a larger headroom for gate voltage ( $V_G$ ) operation. Second, the p-GaN gate on a planar or recessed AlGaN barrier [19] is an industrial foundry process; high-quality p-GaN/2DEG junctions have also been demonstrated recently [18]. These suggest the feasibility of the industrial manufacturing of Tri-JHEMTs. Third, p-GaN Tri-JHEMT allows a direct comparison with the commercial p-gate HEMTs, thus unveiling the benefits brought by the new device design instead of different p-type materials.

3-D TCAD simulation is employed in this work to traverse a large design space for the p-GaN-based Tri-JHEMT (e.g., fin width, 2DEG density). The simulation models are calibrated with the experimental characteristics of the fabricated p-NiO-based Tri-JHEMTs. This paper is organized as follows. Section II presents the experimental characteristics of p-NiO-based Tri-JHEMTs, highlighting those at 150 °C. Section III describes the 3-D TCAD simulation models. Subsequently, section IV probes the trade-offs between threshold voltage ( $V_{TH}$ ) and  $R_{ON}$ , section V analyzes the gate capacitances and 2DEG distributions, and section VI analyzes the gate scaling. Section VII compares the performance space of Tri-JHEMTs, Tri-MISHEMTs and planar p-gate HEMTs. Section VIII concludes the paper.

## II. EXPERIMENTAL DEMONSTRATION

The NiO-based Tri-JHEMTs and Tri-MISHEMTs (15-nm  $Al_2O_3$  as the gate dielectrics) were fabricated on an industrial 6-inch GaN-on-Si wafer from Enkris Semiconductor, with the wafer structure and fabrication process detailed in [17]. The 2DEG density and sheet resistance are  $8.5 \times 10^{12}$  cm $^{-2}$  and 480  $\Omega$ /sq, respectively. The hole concentration in NiO is over  $10^{19}$  cm $^{-3}$ . Fig. 2 shows the transfer and output characteristics of Tri-JHEMTs and Tri-MISHEMTs at 25 °C and 150 °C. Both devices have a 21- $\mu$ m gate-to-drain distance ( $L_{GD}$ ), 1- $\mu$ m fin length ( $L_{FIN}$ ), 40 nm fin-width ( $W_{FIN}$ ), and 150 nm fin spacing. Tri-JHEMTs show a very small hysteresis and a  $V_{TH}$  shift of only -0.19 V at 150 °C. By contrast, Tri-MISHEMTs show a large hysteresis and  $V_{TH}$  shift (-2.5 V) at 150 °C. Both devices show a similar  $R_{ON}$  increase by about 2-fold at 150 °C.

Fig. 3 shows the off-state I-V characteristics of Tri-JHEMTs

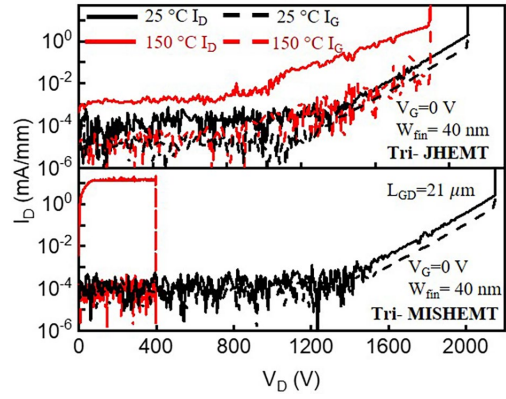


Fig. 3. Off-state  $I_D$ - $V_D$  and  $I_G$ - $V_D$  characteristics of (top) Tri-JHEMTs and (bottom) Tri-MISHEMTs at 25 °C and 150 °C with  $V_G = 0$  V.

and Tri-MISHEMTs at 25 °C and 150 °C, with the substrate floating and  $V_G = 0$  V. Both devices show a similar  $BV \sim 2$  kV at 25 °C. At 150 °C, Tri-JHEMTs retain a  $BV$  over 1.9 kV with a drain leakage current ( $I_D$ ) about 10-100 times higher than that at 25 °C. This  $I_D$  increase is smaller than that in commercial p-gate GaN HEMTs [20]. At 150 °C, Tri-MISHEMTs punch through, as featured by a much higher  $I_D$  but a low gate leakage current ( $I_G$ ). This punch through is due to the reduced potential barrier in the AlGaN/GaN fins [17], [20], which is attributable to the more pronounced interface trapping and the resulted gate control weakening at 150 °C. With the substrate grounded, at 25 °C, both devices show a  $BV$  of  $\sim 1.3$  kV limited by the vertical leakage current, while the Tri-MISHEMT still shows the punch through at 150 °C and  $V_G = 0$  V.

To date, our Tri-JHEMTs, for the first time, demonstrate the kilovolt blocking capabilities at 150 °C in tri-gate GaN HEMTs. This is attributable to a superior and more thermally stable gate controllability in the Tri-JHEMT.

## III. 3-D SIMULATION MODEL

Physics-based 3D TCAD simulation is performed in Silvaco Atlas for Tri-JHEMTs and Tri-MISHEMTs. A planar p-gate HEMT, i.e., a gate injection transistor (GIT) with no barrier recess, is simulated as a reference device. For tri-gate devices, 3-D simulation is conducted in a single-fin unit-cell (Fig. 4). The p-GaN-based Tri-JHEMT concept applies to both the Ohmic- and Schottky-type gates, which are the two contacts used in commercial planar p-gate HEMTs [21]. In this work, we simulate the Ohmic-type Tri-JHEMT as a showcase, and the revealed design and performance are expected to be also applicable to Schottky-type Tri-JHEMTs.

The main simulation parameters are listed in TABLE I. The acceptor concentration ( $N_A$ ) and activation energy in p-GaN are determined via the 2-D simulation of a commercial GIT (with barrier recess) to match the datasheet  $V_{TH}$ . The commercial GIT cross-sectional geometry is extracted from [21].

For tri-gate device simulations, the relation between fin width ( $W_{FIN}$ ) and the 2DEG concentration in the fin region at zero biases ( $n_{FIN}$ ) is critical.  $n_{FIN}$  decreases with  $W_{FIN}$  due to the piezoelectric stress release [22] and sidewall surface states [23]. While a physics-based model is not available, we calibrate the  $W_{FIN} \sim n_{FIN}$  relation using the experimental  $V_{TH}$  data of our fabricated NiO-based Tri-JHEMTs. As shown in Fig. 5(a),  $n_{FIN}$

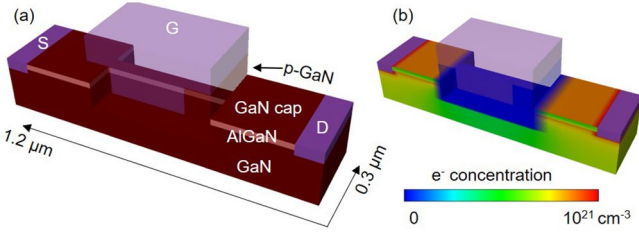


Fig. 4. (a) 3-D schematic of the simulated unit-cell of a p-GaN-based Tri-JHEMT. (b) Simulated electron concentration distribution at zero biases.

TABLE I. Main Simulation Parameters

Symbol	Quantity	Values
$L_{GD}$	Gate-to-drain distance	0.2 to 21 $\mu\text{m}$
$L_{SD}$	Source-to-drain distance	0.2 $\mu\text{m}$
$L_G$	Gate length	0.5 to 0.1 $\mu\text{m}$
$t_{cap}$	GaN cap thickness	3 nm
$t_{barrier}$	AlGa barrier thickness	22 nm
$t_{FIN}$	Fin height	75 nm
$W_{FIN}$	Fin width	40 to 300 nm
$W_{spacing}$	Fin spacing	$= W_{FIN}$
$L_{FIN}$	Fin length	0.5 to 0.1 $\mu\text{m}$
$t_{pGaN}$	p-GaN thickness	100 nm
$t_{AlO}$	$\text{Al}_2\text{O}_3$ thickness	15 nm
$t_{sub}$	Substrate thickness	150 $\mu\text{m}$
$R_c$	Contact resistance	0.1 $\Omega\cdot\text{mm}$
$N_A$	P-GaN acceptor concentration	$2 \times 10^{19} \text{ cm}^{-3}$
$E_A$	P-GaN acceptor energy	0.16 eV
$n_{2DEG}$	Wafer 2DEG concentration	$3 \times 10^{12}$ to $2 \times 10^{13} \text{ cm}^{-2}$

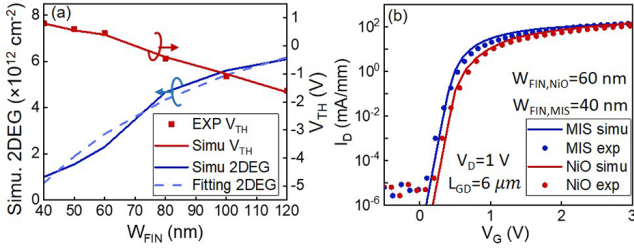


Fig. 5. (a) Derivation of the  $n_{FIN}$  v.s.  $W_{FIN}$  relation through matching the simulated  $V_{TH}$  with experimental values, as well as the calibration of an empirical model. (b) Comparison of the experimental and simulated transfer curves of a Tri-MISHEMT and a NiO-based Tri-JHEMT.

was adjusted for different  $W_{FIN}$  in simulations to ensure that the simulated  $V_{TH}$  matches experimental data. The calibrated  $n_{FIN}$  is then empirically fit to the equation below, which is modified based on the empirical  $n_{FIN}$  models reported in [22], [24].

$$n_{FIN} = n_{2DEG} \left[ 1 - \alpha \cdot e^{-\frac{W_{FIN}}{\beta}} \cdot \left( 1 + e^{-\frac{W_{FIN}}{\beta}} \right) \right] \quad (1)$$

where  $n_{2DEG}$  is the wafer 2DEG concentration;  $\alpha$  and  $\beta$  are two fitting constants representing the degree of relaxation associated with  $W_{FIN}$ . Using  $\alpha = 0.9$  and  $\beta = 85 \text{ nm}$ , the fitting matches the experimental and simulated  $V_{TH}$ . In the next few sections, this equation will be used to set  $n_{FIN}$  in the simulated p-GaN-based Tri-JHEMTs with different  $W_{FIN}$  and  $n_{2DEG}$ .

All other simulation models are based on our prior GaN FinFET and HEMT simulations [25]–[28]. Fig. 5(b) shows an accordance between the experimental and simulated transfer characteristics of the NiO Tri-JHEMT with 60 nm  $W_{FIN}$  and the Tri-MISHEMT with 40 nm  $W_{FIN}$ . Such an accordance has been achieved in Tri-JHEMTs and Tri-MISHEMTs with a wide range of  $W_{FIN}$ , validating our 3-D simulation models.

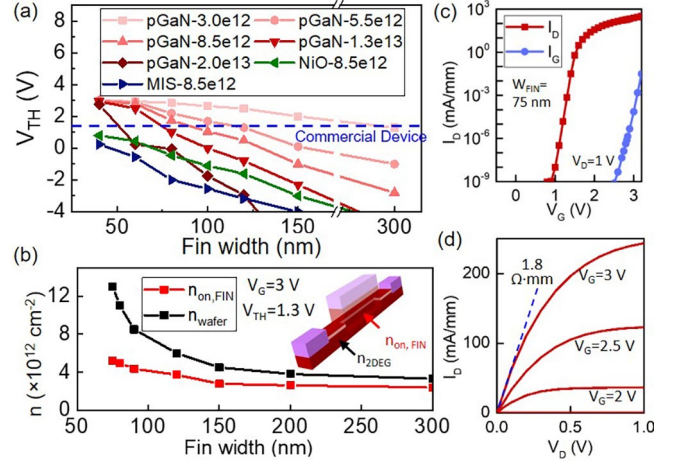


Fig. 6. (a) Simulated  $V_{TH}$  v.s.  $W_{FIN}$  for the p-GaN-based Tri-JHEMTs with various wafer  $n_{2DEG}$  (from low to high, pink to red) and the Tri-MISHEMTs and NiO-based Tri-JHEMTs. (b) Extracted  $n_{2DEG}$  and  $\bar{n}_{on,FIN}$  (at  $V_G = 3 \text{ V}$ ) as a function of  $W_{FIN}$  in p-GaN-based Tri-JHEMTs to enable  $V_{TH} = 1.3 \text{ V}$ . Simulated (c) transfer and (d) output characteristics of a p-GaN-based Tri-JHEMT with  $W_{FIN} = 75 \text{ nm}$  and  $L_{GD} = 0.2 \mu\text{m}$  (used for extracting  $R_{CH,G}$ ).

#### IV. THRESHOLD VOLTAGE AND ON-RESISTANCE

The first design consideration for any power tri-gate HEMT is the E-mode operation. Fig. 6(a) shows the simulated  $V_{TH}$  of Tri-JHEMTs (p-GaN- and NiO-based) and Tri-MISHEMTs as a function of  $W_{FIN}$  and  $n_{2DEG}$ . An  $n_{2DEG}$  range of  $3 \times 10^{12}$  to  $2 \times 10^{13} \text{ cm}^{-2}$  is simulated for p-GaN-based Tri-JHEMTs. The lower end is close to the values in commercial E-mode power HEMTs, and the higher end can be realized in either a single 2DEG channel or a multi-channel structure [9], [29]–[31].

Benefitted from the high  $\phi_{bi}$  and the absence of an insulating layer, the p-GaN-based Tri-JHEMT shows the highest  $V_{TH}$  in all types of tri-gate HEMTs. This in turns allows a higher  $n_{2DEG}$  in the wafer. For example, at  $W_{FIN} = 90 \text{ nm}$ ,  $V_{TH}$  of the p-GaN-based Tri-JHEMTs is  $\sim 1.3 \text{ V}$  with an  $n_{2DEG}$  of  $8.5 \times 10^{12} \text{ cm}^{-2}$ , which is 2-fold higher than the typical  $n_{2DEG}$  in a commercial p-GaN HEMT wafer. In Tri-JHEMTs with narrow fins,  $V_{TH}$  is dominated by sidewall depletion and converges at  $\phi_{bi}$  ( $\sim 3 \text{ V}$ ), allowing a high  $V_{TH}$  for wafers with an  $n_{2DEG}$  of  $2 \times 10^{13} \text{ cm}^{-2}$ .

Note that, in Tri-MISHEMTs,  $V_{TH}$  can be raised by using a higher gate capacitance (e.g., thinner gate dielectric). However, it cannot increase indefinitely and will be limited by the  $\phi_{bi}$  between the gate metal and 2DEG, which, regardless of gate metal, is very difficult to approach that of a GaN p-n junction.

To best accommodate GaN converter designs, we design the  $V_{TH}$  of Tri-JHEMTs to be 1.3 V, the  $V_{TH}$  of most commercial p-gate GaN HEMTs, and study the maximum  $n_{2DEG}$  as a function of  $W_{FIN}$  to realize this  $V_{TH}$ . Fig. 6(b) shows the  $n_{2DEG}$  and  $\bar{n}_{on,FIN}$  as a function of  $W_{FIN}$  for p-GaN-based Tri-JHEMTs at  $V_G = 3 \text{ V}$ , with an identical  $V_{TH} = 1.3 \text{ V}$ . Here  $\bar{n}_{on,FIN}$  is the average 2DEG concentration in the gated fin channel when the device is ON, extracted by averaging the simulated 2DEG profile in the fin across the entire gated fin length. Note  $\bar{n}_{on,FIN}$  is different from  $n_{FIN}$  in Eqn. (1), as  $n_{FIN}$  corresponds to the zero-bias condition.  $\bar{n}_{on,FIN}$  is determined by gate capacitance and gate overdrive, and it determines the gated channel

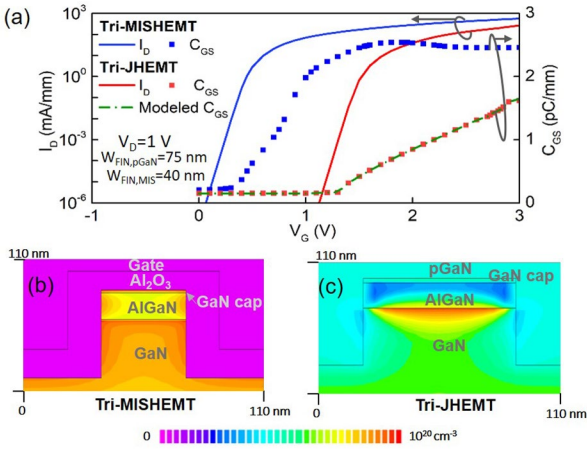


Fig. 7. (a) Simulated  $C_G$ - $V_G$  and  $I_D$ - $V_G$  characteristics of an E-mode Tri-JHEMT ( $W_{FIN} = 75$  nm) and an E-mode Tri-MISHEMT ( $W_{FIN} = 40$  nm), as well as the modeled  $C_G$ - $V_G$  curve of the Tri-JHEMT. Simulated contours of electron concentration in a tri-gate fin in (b) Tri-MISHEMTs and (c) Tri-JHEMTs, both under  $V_G - V_{TH} = 1.7$  V.

resistance ( $R_{CH,G}$ ); in comparison,  $n_{FIN}$  is material and geometry related and has no direct correlation to  $R_{CH,G}$ .

As shown in Fig. 6(b), for Tri-JHEMTs with  $V_{TH} = 1.3$  V, a 75-nm  $W_{FIN}$  can accommodate a wafer  $n_{2DEG}$  of  $1.3 \times 10^{13}$  cm $^{-3}$ .  $\bar{n}_{on,FIN}$  increases with smaller  $W_{FIN}$  due to the enhanced gate control in the tri-gate, suggesting an increasingly lower  $R_{CH,G}$ . As both  $n_{2DEG}$  and  $\bar{n}_{on,FIN}$  increases at smaller  $W_{FIN}$ , the  $R_{ON}$  can be increasingly reduced at the price of a more demanding lithography. Fig. 6(c) and (d) show the simulated transfer and output characteristics of the Tri-JHEMTs with 75-nm  $W_{FIN}$ , 0.2- $\mu$ m  $L_{GD}$ , and  $1.3 \times 10^{13}$ -cm $^{-3}$   $n_{2DEG}$ , showing a gate turn-on voltage of 3.1 V extracted at  $I_G$  of 1  $\mu$ A/mm.  $R_{ON}$  is 1.8  $\Omega$ ·mm, and the  $R_{CH,G}$  is extracted to be 1.4  $\Omega$ ·mm.

As shown in Fig. 6(a), our simulation predicts that the  $V_{TH}$  of Tri-MISHEMTs and NiO-based Tri-JHEMTs is difficult to reach 1.3 V for a wafer with  $8.5 \times 10^{12}$ -cm $^{-3}$   $n_{2DEG}$  and Ni gate metal, even when  $W_{FIN}$  is scaled to 30 nm. This is consistent with experimental reports of the NiO-based Tri-JHEMTs ( $V_{TH} \sim 1$  V [17]) and industrial Tri-MISHEMTs ( $V_{TH} \sim 0$  V [32]).

#### V. GATE CAPACITANCE AND ELECTRON DISTRIBUTION

The gate capacitance ( $C_G$ ) of Tri-JHEMTs is expected to be lower than that of Tri-MISHEMTs due to the absence of sidewall and bottom MIS channels. Fig. 7(a) shows the simulated gate-to-source capacitance ( $C_{GS}$ ) as a function of  $V_G$  for an E-mode Tri-JHEMT (75-nm  $W_{FIN}$ ,  $1.3 \times 10^{13}$ -cm $^{-3}$   $n_{2DEG}$ ) and an E-mode Tri-MISHEMT (40-nm  $W_{FIN}$ ,  $8.5 \times 10^{12}$ -cm $^{-3}$   $n_{2DEG}$ ). The  $C_{GS}$  of Tri-JHEMTs is much smaller at the same gate overdrive. This can be understood by scrutinizing the electron distribution in the tri-gate fins, which is simulated at  $V_G - V_{TH} = 1.7$  V for both devices (Fig. 7(b) and (c)). In the Tri-MISHEMT, high-density electrons are present at the sidewall and bottom MIS channels; the 2DEG concentration peaks at the fin edge instead of the fin center. Note that the electron mobility at the fin edge or in the MIS channels is expected to be much lower than the planar 2DEG mobility, due to surface roughness and scattering. This suggests that the effective mobility in the MIS tri-gate region is much lower than the 2DEG mobility. The

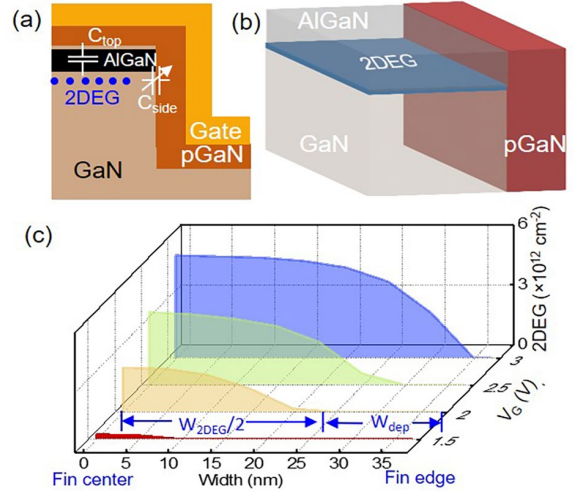


Fig. 8. Schematic of the (a)  $C_G$  components in a half-fin tri-gate unit-cell and (b) a sidewall p-GaN/2DEG junction. (c) Simulated 2DEG density profile in a half-fin unit-cell at  $V_G$  of 1.5, 2, 2.5, and 3 V. The  $W_{2DEG}$  and  $W_{dep}$  are illustrated in the 2DEG density profile at  $V_G = 2$  V as an example.

higher electron density brought by the tri-gate cannot be exploited to reduce  $R_{CH,G}$  and  $R_{ON}$  in Tri-MISHEMTs.

By contrast, as shown in Fig. 7(c), the junction tri-gate has no parasitic conduction paths, and the 2DEG density peaks at the center of the fin. Hence, the effective electron mobility in the junction tri-gate region is close to the wafer 2DEG mobility. Tri-JHEMTs thus present an ideal balance between the high on-state conductivity and the low gate capacitance/charge.

As the  $C_G$  of Tri-JHEMTs is fundamentally different from that in Tri-MISHEMTs or planar p-gate HEMTs, we built an analytical model to probe the underlying physics. Fig. 8(a) illustrates the  $C_G$  components in a half-cell junction-trigate fin, i.e., a sidewall p-GaN/2DEG junction capacitance ( $C_{side}$ ) in parallel with a top MIS-like capacitance ( $C_{top}$ ), which reflects the 2DEG modulation by the side- and top-gates, respectively.  $C_{top}$  is identical to the  $C_G$  of planar p-gate HEMTs; when the gate is Ohmic-type, it equals to a MIS capacitance with the AlGaIn barrier as an effective insulator [33]. As  $W_{FIN}$  reduces,  $C_{side}$  gradually dominates over  $C_{top}$  in the junction tri-gate.

As shown in Fig. 8(b), unlike common bulk PN junctions, the sidewall p-GaN/2DEG junction is a 3D-2D junction, which usually has a longer depletion width that is linearly proportional to the applied bias instead of following the square root relation [34], [35]. Also, the depletion approximation does not hold in 3D-2D junctions; beyond the fully depleted region, a long tail of partially depleted space-charges is present [34]. This faster 2DEG depletion and additional depletion tails lead to smaller junction capacitances. Fig. 8(c) shows the simulated 2DEG concentration profile, i.e.,  $n_{on,FIN}(x)$ , in a half-fin cell of a Tri-JHEMT with 75-nm  $W_{FIN}$  and  $1.3 \times 10^{13}$ -cm $^{-3}$   $n_{2DEG}$  [and  $6.1 \times 10^{12}$ -cm $^{-3}$   $n_{FIN}$  according to Eqn. (1)] at various  $V_G$ .  $n_{on,FIN}(x)$  falls to zero in the full depletion region near the fin edge. It peaks at the fin center and is smaller than  $n_{FIN}$  at  $V_G$  up to 3 V, suggesting that the partial depletion tail of the p-GaN/2DEG junction has extended to the fin center.

To simplify the  $C_G$  modeling, we assume the p-GaN is highly doped so that all depletion occurs in 2DEG. This gives:

$$C_G = \frac{dQ_{2DEG}}{dV_G} = \frac{d(2L_{FIN} \cdot \int_0^{\frac{W_{2DEG}}{2}} n_{on,FIN}(x) dx)}{dV_G} \quad (2)$$

where the total width of 2DEG in a fin can be written as:

$$W_{2DEG}(V_G) = W_{FIN} - 2W_{dep}(V_G) \quad (3)$$

where  $W_{dep}$  is the width of the full depletion region adjacent to each fin sidewall, as illustrated in Fig. 8(c). According to [34],  $W_{dep}$  of an ideal 3D-2D p-n junction can be written as:

$$W_{dep}^{3D-2D} = \frac{\pi^2 \epsilon (\phi_{bi} - V_G)}{8Gq n_{FIN}} \quad (4)$$

where  $\epsilon$  is the permittivity of GaN and  $G$  is the Catalan's constant. In the junction tri-gate, the top p-GaN gate also needs to be accounted for the 2DEG depletion. As  $C_{top}$  functions like a MIS capacitor, its 2DEG depletion is also proportional to  $\phi_{bi} - V_G$ . Thus, we approximate  $W_{dep}$  as

$$W_{dep}(V_G) \approx (1 + \gamma) W_{dep}^{3D-2D} \quad (5)$$

where  $\gamma$  is a fitting factor to enable  $W_{dep} \approx W_{FIN}/2$  at  $V_G = V_{TH}$  (i.e., the 2DEG in the fin is fully depleted at  $V_G \leq V_{TH}$ ).  $\gamma$  is found to be about 0.5 in our fitting.

After  $V_G > V_{TH}$ , the  $n_{on,FIN}(x)$  distribution is impacted by the partial depletion tails of the 3D-2D junction. According to [35], [36], the partially depleted 2D carriers should follow a  $1/y$  distribution, where  $y$  is the distance to the fully-depleted region, i.e.,  $y = W_{2DEG}/2 - x$ . Let  $W_{tail}$  denote the  $y$  at which the depletion charge density falls to a fraction  $t$  (e.g., 10%) of its peak value. According to [35],  $W_{tail}$  is given by

$$W_{tail} = \frac{2\epsilon(\phi_{bi} - V_G)}{\pi t q n_{FIN}} \quad (6)$$

Based on the above  $1/y$  distribution, the partial depletion charge density can be calculated and then subtracted from  $n_{FIN}$ , producing the  $n_{on,FIN}(x)$  distribution:

$$n_{on,FIN}(x) = n_{FIN} \left( 1 - \frac{\frac{tW_{tail}}{1-t}}{\frac{W_{2DEG}}{2} - x + \frac{tW_{tail}}{1-t}} \right) \quad (7)$$

The modeled  $W_{dep}$  and 2DEG distribution from Eqns. (4)-(7) agree with the simulation results in Fig. 8(c). Plugging Eqns. (3)-(7) into (2) and considering the filling factor (i.e.,  $W_{FIN}$  over fin spacing), the modeled  $C_G \sim V_G$  is plotted in Fig. 7(a), which also agrees with the simulation results. This verifies our models, and more importantly, reflects the critical impact of the sidewall p-GaN/2DEG junction on the  $C_G$  and electron distributions in Tri-JHEMTs. The unique properties of the 3D-2D junction, such as the wide and tailed depletion region, enable a strong depletion of the 2DEG in the tri-gate fins and thus a small  $C_G$ .

## VI. GATE CONTROL AND SCALING

Gate controllability determines the short-channel effects and the gate length scaling capability. To compare the gate control in Tri-JHEMTs and Tri-MISHEMTs, the barrier height ( $\Psi_{Fin}$ ), i.e., the local conduction band energy over fermi level, is extracted in the tri-gate fins. Fig. 9(a)-(b) show the simulated  $\Psi_{Fin}$  in tri-gate fins of an E-mode p-GaN Tri-JHEMT (75-nm  $W_{FIN}$ ) and a Tri-MISHEMT (40-nm  $W_{FIN}$ ), both with  $L_{FIN} = 500$  nm and at  $V_G = 0$  V and  $V_D = 1000$  V. The lowest  $\Psi_{Fin}$  appears

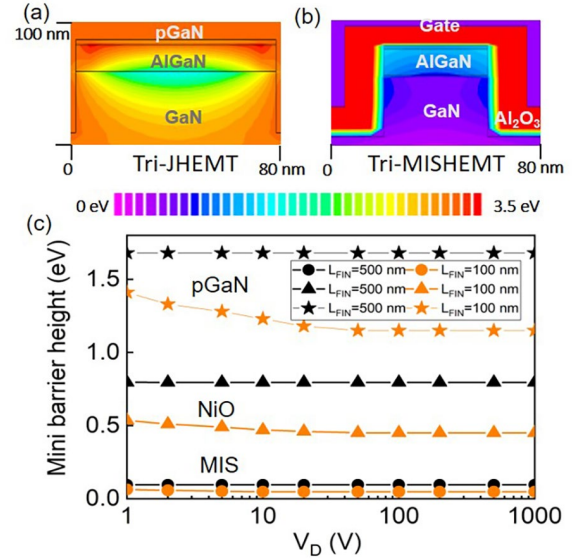


Fig. 9. Simulated  $\Psi_{Fin}$  contours in a tri-gate fin of (a) Tri-JHEMT with  $W_{FIN} = 75$  nm and (b) Tri-MISHEMT with  $W_{FIN} = 40$  nm, both at zero  $V_G$  and  $V_D = 1000$  V. (c) Extracted minimum  $\Psi_{Fin}$  v.s.  $V_D$  for  $L_{FIN}$  of 500 nm and 100 nm in three E-mode devices, i.e., p-GaN-based Tri-JHEMT ( $W_{FIN} = 75$  nm), NiO-based Tri-JHEMT ( $W_{FIN} = 40$  nm), and Tri-MISHEMT ( $W_{FIN} = 40$  nm).

at the center of 2DEG channel, which is over 1.5 eV in the Tri-JHEMT and 0.1 eV in the Tri-MISHEMT. The higher  $\Psi_{Fin}$  shows the stronger gate control in Tri-JHEMTs. This 1.5 eV barrier is much higher than the critical barrier ( $\sim 0.6$  eV [37]), below which the punch-through may occur.

To explore the gate scaling capabilities, Fig. 9(c) shows the simulated  $\Psi_{Fin}$  versus  $V_D$  for different gate technologies and fin length  $L_{FIN}$ . Both p-GaN- and NiO-based Tri-JHEMTs show higher  $\Psi_{Fin}$  than that of Tri-MISHEMTs. The p-GaN Tri-JHEMT shows the highest  $\Psi_{Fin}$ , allowing for further  $L_{FIN}$  scaling. For  $L_{FIN} = 100$  nm, the Tri-JHEMT maintains a  $\Psi_{Fin} > 1$  eV and  $V_{TH} > 1.1$  V, while the simulated  $\Psi_{Fin}$  and  $V_{TH}$  of planar p-gate HEMTs drop to nearing zero. Such a short  $L_{FIN}$  can significantly reduce  $R_{CH,G}$  and gate charges, which is particularly beneficial for low voltage devices as  $R_{CH,G}$  dominates the device  $R_{ON}$ .

## VII. PERFORMANCE SPACE AND BENCHMARK

To understand the performance space of Tri-JHEMTs as compared to Tri-MISHEMTs and planar p-gate HEMTs, we simulate these three types of devices with different  $L_{GD}$  for four voltage ratings, i.e., 15-V, 200-V, 600-V and 1200-V. As GaN HEMTs have no avalanche capabilities, commercial devices usually have a 50%-200% over-design in  $BV$  [21], [38]. For example, commercial 600/650-V rated GaN HEMTs have a  $BV$  of 1150~2200 V and an  $L_{GD}$  range of  $\sim 11.5$   $\mu\text{m}$  to  $\sim 21$   $\mu\text{m}$  [21], [38], [39]. In our simulations, we design a  $L_{GD}$  of 0.2-, 5-, 15- and 21- $\mu\text{m}$  for the ratings of 15-, 200-, 600- and 1200-V, which provide a  $>50\%$   $BV$  margin. In addition, the Tri-JHEMT has 75-nm  $W_{FIN}$  and  $1.3 \times 10^{13}\text{-cm}^{-3}$   $n_{2DEG}$ , the Tri-MISHEMT has 40-nm  $W_{FIN}$  and  $8.5 \times 10^{12}\text{-cm}^{-3}$   $n_{2DEG}$ , and planar p-gate HEMTs has  $3 \times 10^{12}\text{-cm}^{-3}$   $n_{2DEG}$ . All devices are E-mode, and the  $V_{TH}$  of all Tri-JHEMTs and planar p-gate HEMTs are 1.3 V. A 0.5- $\mu\text{m}$  gate (fin) length is used for three types of devices; in addition, Tri-JHEMTs with 0.1- $\mu\text{m}$   $L_{FIN}$  are also simulated (only Tri-JHEMT can maintain stable  $V_{TH}$  and high  $BV$  at this  $L_{FIN}$ ).

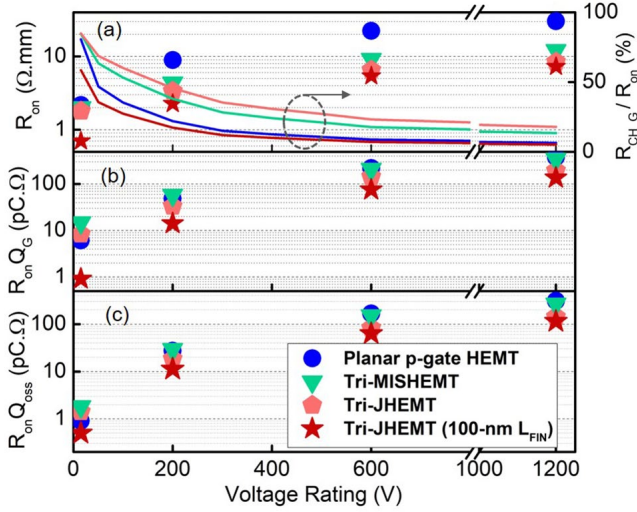


Fig. 10. Simulated (a)  $R_{ON}$  (markers) and  $R_{CH,G}/R_{ON}$  ratio (lines), (b)  $R_{ON} \cdot Q_G$  and (c)  $R_{ON} \cdot Q_{OSS}$  of four types of GaN devices, i.e., planar p-gate HEMT, Tri-MISHEMT, Tri-JHEMT, and the Tri-JHEMT with scaled  $L_{FIN}$  (100 nm), each type designed for four voltage ratings (15-, 200-, 600-, and 1200-V).

Fig. 10(a) shows the simulated  $R_{ON}$  (at  $V_G = 3$  V) of four types of devices at various voltage ratings. The  $R_{ON}$  of tri-gate devices is generally smaller than that of similarly-rated p-gate HEMTs, but the underlying mechanisms vary with voltages. To illustrate them, the  $R_{CH,G}/R_{ON}$  ratio is also plotted. In low-voltage devices,  $R_{ON}$  is dominated by  $R_{CH,G}$ .  $R_{ON}$  of Tri-JHEMTs and Tri-MISHEMTs is 10~15% smaller than p-gate HEMTs, due to the higher 2DEG concentration in the gated fins enabled by the tri-gate structure. Whereas this higher 2DEG density is offset by the fin filling ratio (set as 50% for all tri-gate devices). Tri-JHEMTs show smaller  $R_{ON}$  than Tri-MISHEMTs due to a higher effective mobility in the tri-gate fins. A greater  $R_{ON}$  decrease (>30%) is shown in 100-nm  $L_{FIN}$  Tri-JHEMTs benefitted from the gate scaling.

In high-voltage devices,  $R_{ON}$  is dominated by the gate-drain access region, and the smaller  $R_{ON}$  of tri-gate devices is mainly due to higher wafer  $n_{2DEG}$ . Tri-JHEMTs allow much higher  $n_{2DEG}$  and thereby up to ~75% reduction in  $R_{ON}$  as compared to planar p-gate HEMTs for 600~1200-V ratings.

Note that, despite the lowest  $R_{CH,G}$  in the Tri-JHEMT, its  $R_{CH,G}/R_{ON}$  ratio is higher than that of Tri-MISHEMTs and planar p-gate HEMTs with the same  $L_{FIN}$ , suggesting that Tri-JHEMTs allow an even more significant reduction in access region resistance benefitted from the higher wafer  $n_{2DEG}$ . When  $L_{FIN}$  scales down, the  $R_{CH,G}/R_{ON}$  ratio of Tri-JHEMTs is significantly reduced.

For power devices,  $R_{ON}$  controls the conduction loss and charges the switching loss. Current industry trend is using GaN HEMTs in high-frequency soft-switching applications, where the switching losses include the device output capacitance loss and gate driver loss. The former loss is controlled by the device output charge ( $Q_{OSS}$ ) and the latter by the gate charge ( $Q_G$ ). Considering the conduction loss, two figure of merits (FOMs) are often used for device comparison:  $R_{ON} \cdot Q_G$  and  $R_{ON} \cdot Q_{OSS}$  [5].  $Q_{OSS}$  and  $Q_G$  of each device can be calculated by

$$Q_{OSS} = Q_{GD} + Q_{DS} = \int_0^{V_{DD}} [C_{GD}(V_D) + C_{DS}(V_D)] \cdot dV_D \quad (8)$$

$$Q_G = Q_{GD} + Q_{GS} = Q_{GD} + \int_0^{3V} C_G(V_G) \cdot dV_G \quad (9)$$

where  $V_{DD}$  is the converter bus voltage (usually 60% the device rated voltage). In (8),  $C_{GD}$  and  $C_{DS}$  are simulated at  $V_G = 0$  V for  $V_{DS}$  up to  $V_{DD}$ . In (9),  $C_G \sim V_G$  are simulated at  $V_{DS} = 1$  V.

Fig. 10(b) and (c) show the  $R_{ON} \cdot Q_G$  and  $R_{ON} \cdot Q_{OSS}$  FOMs of four types of devices at various voltage ratings. For low rated voltages, Tri-JHEMTs and Tri-MISHEMTs cannot provide a superior  $R_{ON} \cdot Q_G$  as compared to the planar p-gate HEMTs with a similar gate length. This is because the higher  $\bar{n}_{on,FIN}$  in the tri-gate decreases  $R_{CH,G}$  but increases  $Q_G$ . In particular, Tri-MISHEMTs show higher  $R_{ON} \cdot Q_G$  due to the low effective mobility in the MIS tri-gate. Nevertheless, the gate scaling in Tri-JHEMTs makes a great difference. As compared to planar p-gate HEMTs, the Tri-JHEMTs with 100-nm  $L_{FIN}$  show 10-fold lower  $R_{ON} \cdot Q_G$  at 15-V class and 3-fold lower at 1200 V.

Similar to  $R_{ON} \cdot Q_G$ , at low voltage classes, Tri-JHEMTs and Tri-MISHEMTs show higher  $R_{ON} \cdot Q_{OSS}$  as compared to the planar p-gate HEMTs with the same gate length, but the Tri-JHEMTs with 100-nm  $L_{FIN}$  allows 45% reduction in  $R_{ON} \cdot Q_{OSS}$ . At higher voltage classes, as the  $R_{ON}$  benefit prevails, the Tri-JHEMTs with 500-nm and 100-nm  $L_{FIN}$  shows 55 % and 63% reduction in  $R_{ON} \cdot Q_{OSS}$ , respectively, as compared to planar p-gate HEMTs. In comparison, despite 40% decrease in  $R_{ON}$ , Tri-MISHEMTs only show 10% decrease in  $R_{ON} \cdot Q_{OSS}$  due to the large junction capacitance.

Finally, these comparisons reflect the performance spaces in the context of idealized device designs. For example,  $R_{ON} \cdot Q_G$  and  $R_{ON} \cdot Q_{OSS}$  of our simulated planar p-gate HEMTs were found to be at least 2-fold smaller than the similarly-rated commercial devices, because complicated field plates, metal interconnects and multi-layer dielectrics are used in commercial devices. This implies an underestimation of the advantages of the proposed Tri-JHEMTs over the commercial counterparts.

## VIII. SUMMARY AND DISCUSSION

This work reveals the device physics and performance space of Tri-JHEMTs in comparison with the planar p-gate HEMTs and Tri-MISHEMTs via 3-D TCAD simulations and analytical models. 3-D simulations are calibrated with the experimental NiO-based Tri-JHEMTs, which demonstrate the first kilovolt blocking capability at 150 °C in all GaN tri-gate HEMTs.

Benefitted from superior gate controllability, Tri-JHEMTs show a lower  $R_{CH,G}$ , a superior  $n_{2DEG} \sim V_{TH}$  trade-off, and better gate scaling capability as compared to planar p-gate HEMTs. The unique physics of the sidewall p-GaN/2DEG junctions make the 2DEG distribution in junction tri-gates quite different from that in MIS tri-gates, enabling a higher effective mobility and smaller  $C_G$ . E-mode Tri-JHEMTs with 75-nm fins are predicted to enable 15~75% lower  $R_{ON}$ , 3~10-fold smaller  $R_{ON} \cdot Q_G$  and 45~63% smaller  $R_{ON} \cdot Q_{OSS}$  as compared to the similarly-rated planar p-gate HEMTs across a wide range of voltage classes from 15 V to 1200 V.

Finally, we discuss the technological challenges for device fabrication. Building upon the experimental demonstration of NiO-based Tri-JHEMTs, the challenges of fabricating p-GaN-based Tri-JHEMTs may be associated with the p-GaN regrowth in the nonplanar gate region. While industry has demonstrated this process in vertical GaN FinFETs [7], [8] and lateral GITs [40], many academic groups have reported a high Si impurity and the resulted high leakage current at the regrown interface [41], [42]. In addition to scrutinizing the regrowth interface, more efforts are needed to study the properties of p-GaN/2DEG junctions. The first demonstration of this junction has shown a considerable sensitivity of its characteristics to fabrication process [18]. Despite these possible challenges, we believe that there are no fundamental barriers to manufacture p-GaN-based Tri-JHEMTs using the existing p-gate GaN foundry process. Hence, Tri-JHEMTs show great potentials as the next-generation GaN lateral power switches that are manufacturable and can deliver breakthrough performance.

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