

1 Probing the Water Uptake and Phase State of Individual Sucrose 2 Nanoparticles Using Atomic Force Microscopy

3 Chamika K. Madawala, Hansol D. Lee, Chathuri P. Kaluarachchi, and Alexei V. Tivanski*[†]



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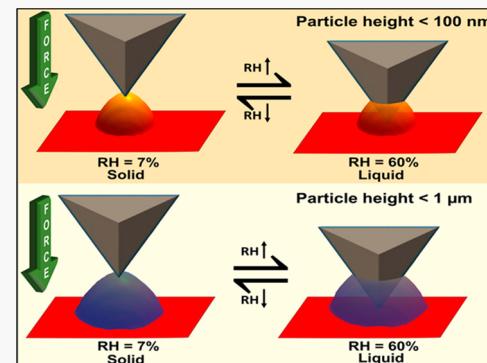
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4 **ABSTRACT:** The effects of atmospheric aerosols on the climate and atmosphere
5 of Earth can vary significantly depending upon their properties, including size,
6 morphology, and phase state, all of which are influenced by varying relative
7 humidity (RH) in the atmosphere. A significant fraction of atmospheric aerosols is
8 below 100 nm in size. However, as a result of size limitations of conventional
9 experimental techniques, how the particle-to-particle variability of the phase state
10 of aerosols influences atmospheric processes is poorly understood. To address this
11 issue, the atomic force microscopy (AFM) methodology that was previously
12 established for sub-micrometer aerosols is extended to measure the water uptake
13 and identify the phase state of individual sucrose nanoparticles. Quantified growth
14 factors (GFs) of individual sucrose nanoparticles up to 60% RH were lower than
15 expected values observed on the sub-micrometer sucrose particles. The effect could
16 be attributed to the semisolid sucrose nanoparticle restructuring on a substrate. At
17 RH > 60%, sucrose nanoparticles are liquid and GFs overlap well with the sub-
18 micrometer particles and theoretical predictions. This suggests that quantification of GFs of nanoparticles may be inaccurate for the
19 RH range where particles are semisolid but becomes accurate at elevated RH where particles are liquid. Despite this, however, the
20 identified phase states of the nanoparticles were comparable to their sub-micrometer counterparts. The identified phase transitions
21 between solid and semisolid and between semisolid and liquid for sucrose were at ~18 and 60% RH, which are equivalent to
22 viscosities of $10^{11.2}$ and $10^{2.5}$ Pa s, respectively. This work demonstrates that measurements of the phase state using AFM are
23 applicable to nanosized particles, even when the substrate alters the shape of semisolid nanoparticles and alters the GF.



24 **KEYWORDS:** atomic force microscopy, phase state, sucrose, aerosol particles, relative humidity, nanoparticles

25 ■ INTRODUCTION

26 Exploring the physical–chemical properties of atmospheric
27 aerosols is important because they play a major role in
28 regulating climate-relevant processes.^{1–7} Aerosols can have
29 direct and indirect effects on the climate, leading to radiative
30 forcing.⁶ The direct aerosol effect refers to the ability to scatter
31 and absorb solar radiation, while the indirect effect refers to the
32 aerosols acting as cloud condensation nuclei (CCN) or ice
33 nucleating particles (INPs), facilitating cloud formation.^{6,8–11}
34 A variety of aerosols originate from primary and secondary
35 sources.¹² The natural and anthropogenic sources give rise to
36 primary aerosols, including soot, volcanic ash, and sea spray
37 aerosols (SSAs).^{12,13} SSAs in particular consist of a highly
38 diverse size-dependent mixture of various organic, inorganic,
39 and biological compounds, including but not limited to salts,
40 saccharides, fatty acids, amino acids, carboxylic acids, and
41 biological debris.^{4,14–19} SSAs are typically super-micrometer
42 (size > 1 μ m), sub-micrometer (size < 1 μ m), and sub-100 nm
43 in size.^{3,17,20} Secondary aerosols are predominantly generated
44 by oxidation of volatile compounds, followed by condensation
45 of oxidized products, with secondary organic aerosols (SOAs)

46 and secondary marine aerosols (SMAs) as the two common
47 examples.^{21–27} SOAs contain organic compounds, such as
48 organosulfates and carboxylic acids,^{27–30} while SMAs contain
49 sulfates, ammonium, and other organic species.³¹ SOAs and
50 SMAs are typically sub-100 nm in size.^{27,32–34} Collectively,
51 SSAs, SOAs, and SMAs account for a significant fraction of the
52 total mass of atmospheric aerosols.^{35,36}

53 Characterization of sub-100 nm aerosol properties is
54 challenging as a result of their size. First, the small sizes pose
55 significant constraints on existing conventional instrumen-
56 tation. For example, the bead mobility, poke flow, and optical
57 tweezer techniques are often used to quantify the viscosity to
58 solve for the diffusion constants.^{7,37} However, such measure-
59 ments are limited to the super-micrometer size range.²¹ Other

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60 techniques also exist that can identify the phase state of sub-
61 100 nm particles without measuring the viscosity, such as the
62 particle rebound method.^{7,37} However, the method is only
63 applicable over a relatively narrow range of viscosities. Second,
64 atmospheric aerosols can exhibit size-dependent properties.
65 For example, Hasenecz et al. observed an increase in the
66 organic mass fraction with a decreasing particle size, reaching
67 ~70% for sub-180 nm SSAs.³⁸ Furthermore, the morphologies
68 of SSAs have been found to vary significantly with the particle
69 size.^{39–41} Finally, atmospheric aerosols from the same source
70 and similar size range can exhibit significant particle-to-particle
71 variability.⁴¹ This requires studies that can be performed on a
72 single particle based on aerosol properties, such as the water
73 uptake and phase state.⁴¹

74 The water uptake and phase states of aerosols are important
75 to understand, because they influence the reactivity of aerosols
76 with various atmospheric gases,⁴² SOA formation and
77 partitioning,^{43–45} CCN and water uptake behavior,^{8,32,46,47}
78 heterogeneous and multiphase reactions,^{48,49} and the ability to
79 act as INPs.^{50–53} The size-dependent aerosol composition
80 results in highly variable and relative humidity (RH)-
81 dependent water uptake, which, in turn, affects the phase
82 state by changing the aerosol solute concentration and
83 viscosity.⁵⁴ This is particularly true for sub-100 nm aerosols
84 that are predominantly organic³⁹ and, thus, generally have
85 lower water uptake.^{17,33,55}

86 The effects of the aerosol size on the water uptake were
87 reported previously on the basis of the hygroscopic growth
88 factor (GF) measurements.⁵⁶ The GF at a particular RH is
89 defined as the ratio of the aerosol volume-equivalent diameter
90 at a corresponding RH over the dry diameter (ca. 7% RH). As
91 RH increases, aerosols can take up varying amounts of water
92 that usually increases the GF with larger values typically
93 indicative of a more hygroscopic aerosol.⁵⁷ Previously, Biskos
94 et al. demonstrated the effect of the nanoparticle size on water
95 uptake using a humidified tandem differential mobility analyzer
96 (HTDMA), where the size effects can be described by the
97 Kelvin effect. Specifically, a lower GF at 80% RH was observed
98 for deliquesced NaCl nanoparticles (size range of 6–40 nm)
99 compared to their micrometer-sized counterparts.^{58–60} Fur-
100 thermore, for non-deliquesced NaCl nanoparticles, HTDMA
101 data sometimes revealed a decreasing GF trend with increasing
102 RH ranging between 10 and 70%.⁶¹ Concurrently, the authors
103 noted a significant change in the nanoparticle shape using
104 transmission electron microscopy, which partially accounted
105 for the observed GF trend.^{61–63} These studies underscore the
106 fact that sub-100 nm aerosols with high surface/volume ratios
107 can display water uptake properties that can be different
108 relative to their larger counterparts (e.g., super- and sub-
109 micrometer sizes). Thus, a simple extrapolation of the
110 properties of larger aerosols onto sub-100 nm sized aerosols
111 can sometimes lead to inaccurate results. Instead, single-
112 particle methods that enable direct measurements of water
113 uptake and identification of the phase state as a function of RH
114 on individual sub-100 nm atmospheric aerosols (e.g., SOAs
115 and SMAs) are required. The phase state measurements over a
116 wide range of sizes may potentially yield to the development of
117 models that could be used to more accurately extrapolate
118 aerosol properties measured on larger aerosols toward smaller
119 sizes.

120 We previously reported a new method that permits accurate
121 determination of the water uptake and phase state of individual
122 substrate-deposited sub-micrometer aerosols as a function of

123 RH using atomic force microscopy (AFM) imaging and force
124 spectroscopy.^{57,64,65} By varying RH, solid, semisolid, and liquid
125 phase states were directly probed for these sub-micrometer
126 aerosols. For sucrose sub-micrometer particles, the phase
127 transition occurs at ~18% RH (corresponding viscosity of
128 $10^{11.2}$ Pa s), while the semisolid to liquid transition occurs at
129 ~60% RH (corresponding viscosity of $10^{2.5}$ Pa s). However,
130 the method was not applied to individual sub-100 nm aerosols.
131 In addition, the AFM method requires a substrate, and the
132 presence of the substrate in some cases may influence
133 measured properties of substrate-deposited particles (e.g.,
134 particle shape changes because of the impaction/recovery on
135 a solid substrate).³⁷ However, AFM can analyze the data on an
136 individual particle basis, which can potentially reveal important
137 outliers to the aerosol population data that may otherwise go
138 undetected if probed by an ensemble-averaged technique, such
139 as HTDMA.
140

141 Here, we extend our previously established AFM method-
142 ology to individual sucrose nanoparticles with varying heights
143 below 100 nm. The sucrose nanoparticles were selected as a
144 model system due to two reasons. First, the parametrized
145 relationship between the viscosity, phase state, and RH for
146 sucrose particles is already established,^{57,66} enabling direct
147 comparison between the sub-100 nm and sub-micrometer
148 particles. Second, sucrose shares some functional groups
149 similar to those found in SOAs, and saccharides constitute a
150 significant portion of the organic content in SSAs.^{57,64} In this
151 study, the RH was increased from ~7 to 80% to measure the
152 GF of several individual sucrose nanoparticles with heights
153 ranging between 50 and 110 nm (volume equivalent diameter
154 range of 100–230 nm). A decreasing trend in the GF was
155 observed with increasing RH up to 60%, which could be
156 attributed to semisolid sucrose nanoparticles restructuring on a
157 solid surface. However, the GF measurements at RH > 60%,
158 where sucrose nanoparticles are liquid, converge with the
159 response quantified on larger particles and overlaps with the
160 theoretical predictions. By employing contact mode AFM force
161 spectroscopy, the solid, semisolid, and liquid phase states of
162 individual sucrose nanoparticles were identified as a function of
163 RH, extending the previously established AFM methodology
164 from sub-micrometer to now include sub-100 nm particle sizes.
165

MATERIALS AND METHODS

166 **Sucrose Nanoparticle Generation.** Sucrose was pur-
167 chased from Sigma-Aldrich (reagent grade, 99.99% purity) and
168 used without additional purification. A 0.1 M sucrose aqueous
169 solution was atomized with a constant output atomizer (model
170 3076, TSI, Inc.). The aerosols were substrate-deposited by
171 impaction onto hydrophobically coated silicon wafers using a
172 micro-orifice uniform deposit impactor (MOUDI, model 110,
173 MSP, Inc.).^{39,57,64,67} The silicon wafer was placed on the
174 MOUDI stage 9, which corresponds to the aerodynamic
175 diameter 50% cutoff range of 92–180 nm. Before deposition
176 onto a silicon wafer,⁶⁷ the aerosol stream was mixed with wet
177 air at a constant rate of 20 L/min to achieve ~80% RH in the
178 mixing chamber.⁶⁷ The substrate-deposited sucrose nano-
179 particles were stored in clean Petri dishes and kept inside a
180 laminar flow hood (NU-425-400, NuAire, Inc.) at room
181 temperature (20–25 °C) and ambient pressure at 20–25%
182 RH, and all AFM experiments were conducted on the
183 following day.⁶⁸

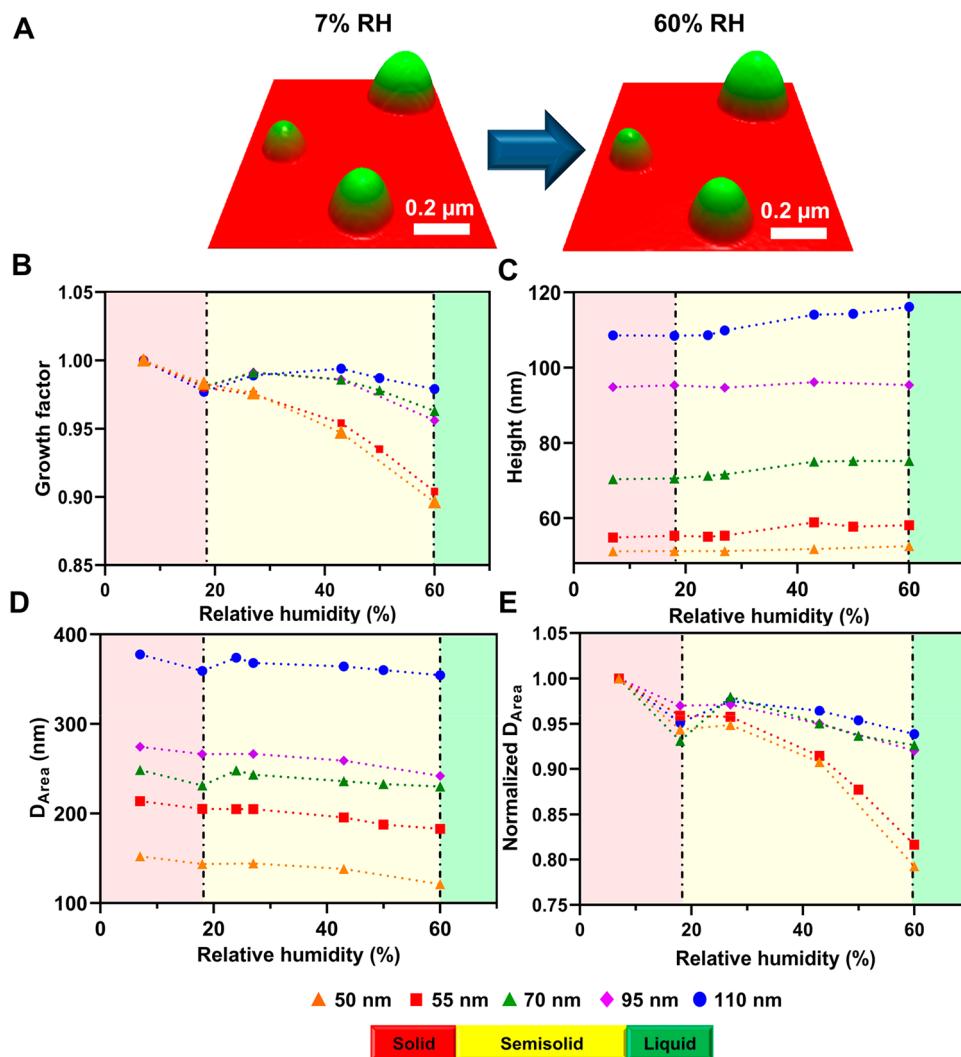


Figure 1. (A) AFM 3D height images of three representative individual sucrose nanoparticles with heights (at 7% RH) of 55, 70, and 110 nm and D_{vol} of 130, 155, and 230 nm, respectively, at (left) 7% and (right) 60% RH. Plot of the (B) growth factor, (C) particle height, (D) projected area diameter D_{area} , and (E) normalized D_{area} (relative to 7% RH) versus RH for five selected particles with heights (at 7% RH) of 50 nm (orange), 55 nm (red), 70 nm (green), 95 nm (purple), and 110 nm (blue) with D_{vol} of 100, 130, 155, 185, and 230 nm, respectively. The RH range for the solid, semisolid, and liquid phase states is indicated by red, yellow, and green color bars, respectively. The solid to semisolid and semisolid to liquid phase transitions are expected to occur at \sim 18 and 60% RH for sucrose, respectively. This figure was reproduced from ref 57. Copyright 2017 American Chemical Society (ACS).

184 AFM Water Uptake and Phase State Measurements.

185 All AFM studies were conducted using a molecular force probe
 186 three-dimensional (3D) AFM (Asylum Research, Santa
 187 Barbara, CA, U.S.A.). AFM imaging and force measurements
 188 were performed at room temperature (20–25 °C) and
 189 pressure using silicon nitride probes (model CSC37,
 190 MikroMasch) with a nominal spring constant of 1.0 N/m
 191 and a typical tip radius of curvature of 10 nm with a scan rate
 192 of 1 Hz. The actual AFM cantilever spring constant was
 193 determined using the thermal noise method.⁶⁹ AFM height
 194 images of individual sucrose nanoparticles were collected at a
 195 particular RH using an intermittent contact mode (AC mode).
 196 A custom-made humidity cell was used to control the RH with
 197 a range between \sim 7 and 80%, as described previously.⁷⁰ After
 198 each change in RH, 10–15 min of equilibration time was
 199 allocated to ensure that the nanoparticles are in thermody-
 200 namic equilibrium with the surrounding water vapor.⁷⁰ At a
 201 particular RH, the height, projected area diameter, and volume
 202 equivalent diameter of individual sucrose nanoparticles were

determined from AFM height images.^{57,64,71,72} AFM force spectroscopy studies were performed in contact mode with the maximum applied force of 20 nN. A total of 17 individual sucrose nanoparticles with heights ranging from 50 to 110 nm (volume equivalent diameter range of 100–230 nm) were studied for the water uptake and phase state measurements. For each nanoparticle, five repeated force versus tip–sample separation measurements (i.e., force plots) were collected at an approximate particle center at a particular RH. On the basis of the force plots, the viscoelastic response distance (VRD) and relative indentation depth (RID) values were determined for each nanoparticle at a particular RH, as described previously,^{41,57} with each value reported as an average and one standard deviation.^{41,57}

RESULTS AND DISCUSSION

Figure 1A shows AFM 3D height images at 7 and 60% RH of three selected representative individual sucrose nanoparticles with heights (at 7% RH) of 55, 70, and 110 nm (volume

221 equivalent diameter, D_{vol} of 130, 155, and 230 nm,
 222 respectively). The nanoparticles display rounded morphology
 223 consistent with the previous studies on sub-micrometer
 224 sucrose particles.⁵⁷ For water uptake, the 3D GF was
 225 quantified over each individual nanoparticle at a particular
 226 RH value ranging from 7 to 60%, which is defined as the ratio
 227 of D_{vol} at the corresponding RH over that at 7% RH (eq 1).

$$\text{GF}(\text{RH}) = \frac{D_{\text{vol}}(\text{RH})}{D_{\text{vol}}(7\%)} \quad (1)$$

229 The GF was decreasing with an increase in RH (Figure 1B). At
 230 60% RH, the GF ranged from 0.89 to 0.98, with smaller
 231 nanoparticles displaying lower GF values. We previously
 232 reported the GF value of 1.08 at 60% RH for a significantly
 233 larger (160 nm particle height and D_{vol} of 400 nm at 7% RH)
 234 sucrose particle.⁵⁷ Each nanoparticle displayed a modest
 235 increase in the particle height as RH increases (Figure 1C)
 236 and a concurrent decrease in the projected area diameter D_{area}
 237 (Figure 1D). Hence, the overall decrease in the GF with
 238 increasing RH stems from a significant decrease in the
 239 projected particle area that counteracts the increase in height.
 240 To ensure that the GF reduction is not due to an imaging
 241 artifact as a result of repeated AFM imaging, the experiments
 242 were conducted on several different sucrose samples and GF
 243 values were also measured during both increasing and
 244 decreasing RH (i.e., hydration and dehydration modes), with
 245 all measurements yielding similar GF results.

246 The GF reduction observed here for substrate-deposited
 247 sucrose nanoparticles likely originates from the contribution of
 248 the solid substrate, which induces the nanoparticle restructuring
 249 as RH was increasing (here, the restructuring refers to an
 250 increase in the particle height and concomitant D_{area}
 251 reduction), as also reported previously.^{62,63,73,74} Assuming
 252 that the transition from the solid to semisolid phase state of
 253 sucrose nanoparticles occurs at ~18% RH as reported
 254 previously for sub-micrometer sucrose particles,⁵⁷ the
 255 restructuring is likely more evident at and above 18% RH as
 256 a result of progressively lower viscosity of the semisolid
 257 particle.⁵⁷ We note that, at elevated RH where sucrose
 258 nanoparticles become liquid, the nanoparticle restructuring
 259 effect on the measured GF should diminish, and as we
 260 demonstrate below, the GF measurements on sucrose
 261 nanoparticles at RH > 60% overlap well with the measure-
 262 ments on larger particles and theoretical predictions. The
 263 occurrence of restructuring is revealed from the observed
 264 decrease in D_{area} at 18% RH relative to 7% RH (Figure 1D).
 265 This is likely due to the propensity to attain the particle shape
 266 that minimizes the particle surface energy, which is in part
 267 governed by the interactions between the nanoparticle surface
 268 and underlying solid substrate. Because the substrate surface is
 269 hydrophobic, the RH increase results in hydration of
 270 nanoparticles and their interactions with the underlying
 271 hydrophobic surface result in restructuring, where such a
 272 substrate effect becomes more significant for nanosized
 273 particles. The extent of nanoparticle restructuring is likely
 274 dependent upon the type and size of particles, their viscoelastic
 275 properties, and type of substrate used. Unlike the sub-
 276 micrometer sucrose particles, the nanoparticles are expected
 277 to more readily undergo the restructuring as a result of a larger
 278 surface/volume ratio compared to the sub-micrometer
 279 particles.^{63,75,76} The restructuring phenomenon observed
 280 herein was also reported previously on soot, ammonium

281 sulfate, non-deliquesced NaCl, and carbonaceous aerosol 281
 282 nanoparticles deposited on various surfaces, where the particle 282
 283 size was shown to decrease as RH increased.^{61,63,73,74} Figure 283
 284 1E shows normalized D_{area} (relative to 7% RH) as a function of 284
 285 RH, where smaller nanoparticles display progressively lower 285
 286 normalized D_{area} compared to the larger nanoparticles. This 286
 287 affirms the expectation that smaller nanoparticles tend to 287
 288 undergo the restructuring more readily on the surface. The 288
 289 result highlights the significant size-dependent influence of the 289
 290 underlying surface toward studying the water uptake of 290
 291 individual nanoparticles.

292 To further explore the applicability of the AFM GF 292
 293 measurements on sub-100 nm particles, GF was measured 293
 294 on several sucrose nanoparticles over a wider RH range. Figure 294 f2
 295 f2 shows the AFM GF versus RH for three selected individual 295 f2

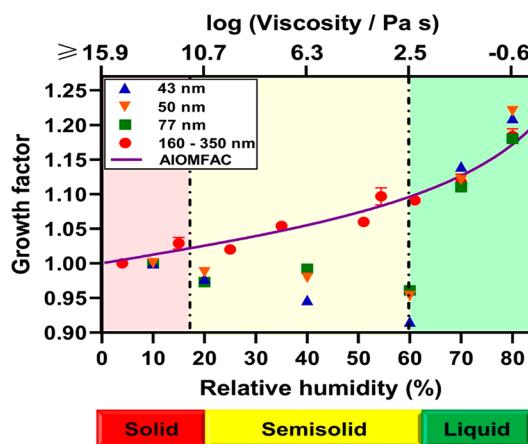


Figure 2. Plot of the AFM growth factor versus RH (bottom axis) or corresponding viscosity (top axis) for sucrose nanoparticles with heights (at 10% RH) of 43 nm (blue triangles), 50 nm (orange inverted triangles), and 77 nm (green squares) with corresponding D_{vol} of 206, 235, and 315 nm, respectively, and previous measurements on sub-micrometer sucrose particles with heights in the range of 160–350 nm and corresponding D_{vol} range of 400–1150 nm (red circles) as a reference.⁵⁷ The RH range for the solid, semisolid, and liquid phase states is indicated by red, yellow, and green color bars, respectively. The RH–viscosity relationship is taken from Song et al. This was reproduced from ref 78. Copyright 2016 American Chemical Society (ACS). The purple line represents theoretical prediction of the growth factor using the AIOMFAC model from Hodas et al. This was reproduced from ref 77. Copyright 2015 Copernicus Publications.

296 sucrose nanoparticles [heights of 43 nm (blue triangles), 50 296
 297 nm (orange inverted triangles), and 77 nm (green squares) 297
 298 with corresponding D_{vol} of 206, 235, and 315 nm, respectively, 298
 299 at 10% RH] over the ~10–80% RH range. In addition, GF 299
 300 data measured on larger sucrose particles (height range of 300
 301 160–350 nm and D_{vol} range of 400–1150 nm at 7% RH) from 301
 302 Lee et al.⁵⁷ and theoretical prediction using the aerosol 302
 303 inorganic–organic mixtures functional groups activity coef- 303
 304 ficients (AIOMFAC) model from Hodas et al.⁷⁷ are shown as a 304
 305 reference. For the RH range at and below 60%, each 305
 306 the extent of GF reduction is higher for smaller particles, 307
 307 consistent with the results shown in Figure 1. However, the GF 308
 308 values at RH greater than 60% start to overlap reasonably well 309
 309 with both the theoretical prediction and results obtained on 310
 310 sub-micrometer sucrose particles. Because sucrose particles at 311
 311 the 60–80% RH range are expected to be liquid, as shown 312

313 below, these results suggest that, while the GF measurements
 314 on sub-100 nm semisolid nanoparticles could lead to
 315 inaccurate determination of the GF, such measurements
 316 become more accurate once particles are in the liquid phase.
 317 We note, however, that, despite the semisolid nanoparticle
 318 restructuring that results in lower than expected GF values at
 319 RH below 60%, the extent of actual water uptake and
 320 corresponding solute concentration, which can be inferred
 321 from the phase state measurements to be discussed next, is
 322 comparable to the sub-micrometer particles. The AFM-based
 323 contact mode force spectroscopy at various RH was next used
 324 to determine the phase state of sucrose nanoparticles as a
 325 function of RH and identify humidity values where transitions
 326 between the solid, semisolid, and liquid phase states occur.
 327 Figure 3 shows representative force versus tip–sample
 328 separation plots collected over an individual sucrose nano-
 329 particle (70 nm in height and D_{vol} of 155 nm at 7% RH) at
 330 varying selected RH values ranging from 7 to 60%. Each force

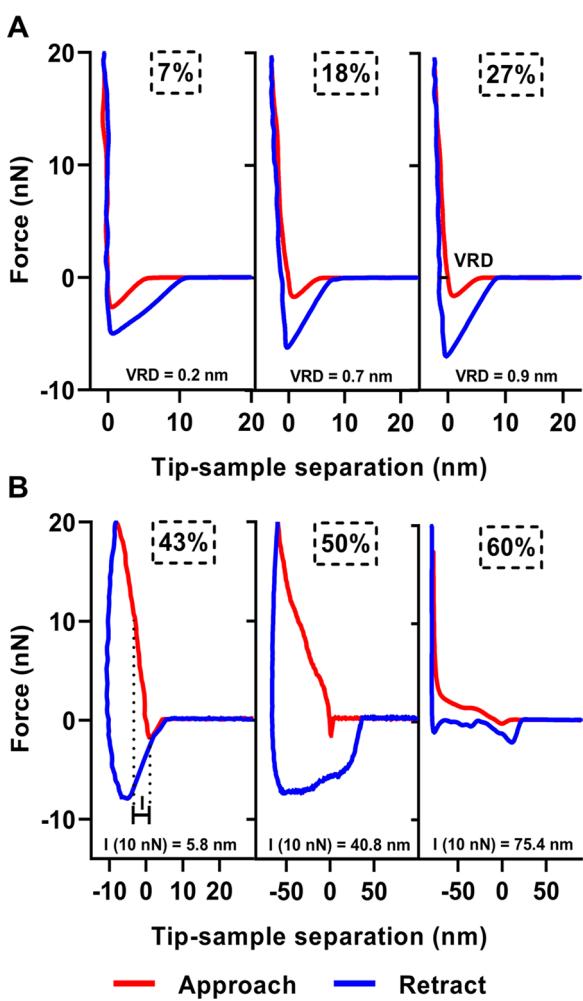


Figure 3. Representative force versus tip–sample separation plots for selected RH ranging from 7 to 60% for an individual sucrose nanoparticle (70 nm height and D_{vol} of 155 nm at 7% RH) with the maximum applied force of 20 nN. The approach and retract data for the AFM probe moving toward and away from the particle surface are shown in red and blue curves, respectively. (A) VRD measurement is shown along with the corresponding values measured for the force plots collected at 7, 18, and 27% RH. (B) I measurement at the applied force of 10 nN is shown along with the corresponding values for the force profiles collected at 43, 50, and 60% RH.

plot was collected at an approximate center of each particle. 331 The force plots for the sucrose nanoparticle are qualitatively 332 similar to those previously reported for sucrose sub-micro- 333 meter particles.⁵⁷ For each force plot at a particular RH, the 334 viscoelastic response distance (VRD) and indentation depth 335 (I) at 10 nN were determined on the basis of the previously 336 established method, as illustrated in Figure 3.^{57,65} The VRD 337 values can be related to the particle viscoelastic nature, where 338 higher values generally correspond to lower viscosity. The 339 relative indentation depth (RID) at 10 nN was quantified by 340 dividing the measured indentation depth at 10 nN by the 341 particle height at the corresponding RH. Previously, a 342 quantitative framework was established to determine the 343 phase state of individual sub-micrometer particles using the 344 VRD and RID measurements.⁶⁵ Specifically, the RID measure- 345 ment is used to differentiate between the semisolid and liquid 346 phases, where a RID value equal or greater than 0.95 is 347 indicative of a liquid and a value less than 0.95 is indicative of a 348 semisolid or solid phase. The VRD measurement is used to 349 differentiate between the solid and semisolid phases, where a 350 VRD value less than 0.5 nm is indicative of a solid phase and a 351 value greater than 0.5 nm is indicative of a semisolid phase. 352

Figure 4 shows VRD and RID measurements with respect to 353 f4 RH over three selected sucrose nanoparticles (particle heights 354 of 55, 70, and 110 nm with D_{vol} of 130, 155, and 230 nm, 355 respectively, at 7% RH) along with the previously reported 356 data for a single sub-micrometer sucrose particle (height of 160 357 nm and D_{vol} of 400 nm at 7% RH). All particles display VRD 358 values less than 0.5 nm at 7% RH, and the VRD values become 359 greater than 0.5 nm at 18% RH, indicative of the phase 360 transition between the solid and semisolid phase states that 361 occurs between these two RH values.⁵⁷ The RID values at 10 362 nN for all particles are lower than 1 below 60% RH and 363 become equal to 1 at 60% RH, indicative of the semisolid to 364 liquid phase transition.⁵⁷ Over the RH range below 43%, the 365 RID values were not changing significantly, which is expected 366 for a relatively stiff particle in the solid and semisolid phase 367 state that results in relatively low indentation depths of 4–6 368 nm. However, as the RH increases from 43 to 60%, as a result 369 of significant lowering of the particle viscosity during water 370 uptake, a significant increase in the indentation depth occurs 371 from ~6 to 75 nm, resulting in a RID value of 1 at 60%, which 372 is indicative of the particle in the liquid phase.⁵⁷ Noteworthy, 373 as the particle height decreases from 160 to 50 nm, a 374 systematic increase in the RID values measured at RH below 375 60% was observed. Because the indentation depths at a 376 particular RH below 60% were comparable for all nanoparticles 377 with different heights studied here, the lower nanoparticle 378 height contributes to a larger corresponding RID value. 379 Despite this, however, the RID measurements and semisolid 380 to liquid phase transition identification are applicable, because 381 the RID values are only evaluated near the 0.95–1 range to 382 identify the phase transition. The RH values where solid to 383 semisolid and semisolid to liquid phase transitions occurred 384 were ~18 and 60% RH, which, for sucrose, are equivalent to 385 viscosities of $10^{11.2}$ and $10^{2.5}$ Pa s, respectively, based on the 386 viscosity measurements performed on sub-micrometer par- 387 ticles.^{57,78} Overall, both the VRD and RID results over 388 individual sucrose nanoparticles show that the phase state 389 methodology established previously for sub-micrometer 390 particles can be similarly extended to nanoparticles with 391 heights as low as 50 nm and volume equivalent diameters as 392 low as 100 nm. As a result of a close overlap of RH values, 393

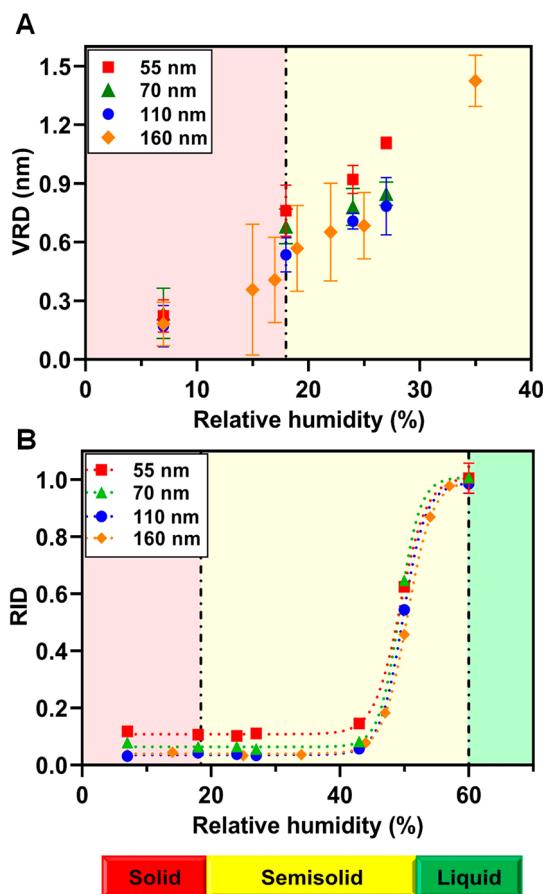


Figure 4. AFM (A) VRD and (B) RID measured at 10 nN versus RH collected over three individual sucrose nanoparticles with the heights (at 7% RH) of 55, 70, and 110 nm and D_{vol} of 130, 155, and 230 nm, respectively. The RID and VRD data for an individual sucrose particle with height (at 7% RH) of 160 nm and D_{vol} of 400 nm are plotted as a reference. This figure was reproduced from ref 57. Copyright 2017 American Chemical Society (ACS). The error bars represent one standard deviation for each data set. The dotted lines represent the fit using a four-parameter logistic sigmoidal function and are for illustrative purposes only. The expected RH values for solid to semisolid and semisolid to liquid phase transitions are shown by the dash-dotted vertical black lines. The RH ranges for the solid, semisolid, and liquid phase states of the sucrose nanoparticles are indicated by the red, yellow, and green color bars, respectively.

394 where each phase transition is expected to occur, we can
 395 conclude that limitations of the AFM rather than a significant
 396 difference in water uptake yielded lower GF values for sucrose
 397 nanoparticles relative to the sub-micrometer counterparts.

398 To further validate the nanoparticle phase state measure-
 399 ments, the VRD and RID values were also measured at various
 400 RH during both the hydration and dehydration modes for an
 401 individual 70 nm in height and D_{vol} of 155 nm (at 7% RH)
 402 sucrose nanoparticle (Figure 5). Both the VRD and RID data
 403 show reasonably close overlap between the hydration and
 404 dehydration measurements and yield expected phase transition
 405 RH values of \sim 18 and 60% for the solid to semisolid and
 406 semisolid to liquid phase transitions, respectively.⁵⁷ Note,
 407 somewhat higher VRD values observed at RH $>$ 20% during
 408 the dehydration mode relative to the hydration mode are likely
 409 due to the presence of an additional amount of water at the
 410 surface of the particle and AFM probe. However, despite such
 411 small deviation, the solid to semisolid phase state determini-

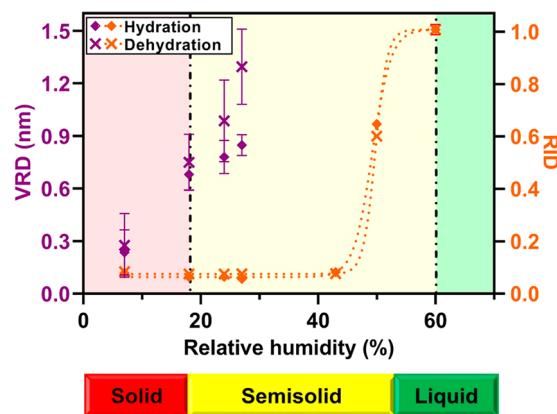


Figure 5. AFM (left) VRD and (right) RID at 10 nN versus RH collected over an individual 70 nm in height and D_{vol} of 155 nm (at 7% RH) sucrose nanoparticle during the hydration (diamonds) and dehydration (crosses) cycle. The error bars represent one standard deviation for each data set. The dotted lines represent the fit using a four-parameter logistic sigmoidal function and are for illustrative purposes only. The expected RH values for solid to semisolid and semisolid to liquid phase transitions are shown by the dash-dotted vertical black lines. The RH ranges for the solid, semisolid, and liquid phase states of the sucrose nanoparticles are indicated by the red, yellow, and green color bars, respectively.

nation method based on the VRD measurements is applicable 412 and accurate for either the hydration or dehydration modes. 413

CONCLUSION

In summary, our findings establish the AFM force spectros- 415 copy as an accurate method to determine the phase state of 416 individual nanoparticles over a wide range of RH. The water 417 uptake studies of substrate-deposited individual sucrose 418 nanoparticles showed that, as RH increased up to 60%, the 419 particle height increased with the concurrent decrease in the 420 projected area diameter, which collectively resulted in the 421 overall decrease of the GF. The decreasing GF with increasing 422 RH up to 60% could be attributed to the substrate effects that 423 result in the semisolid nanoparticle restructuring. At RH $>$ 424 60%, sucrose nanoparticles are in the liquid phase and 425 quantified GFs overlap well with the sub-micrometer particles 426 and theoretical predictions. This suggests that quantification of 427 the GF of nanoparticles may be inaccurate over the RH range 428 where particles are semisolid but becomes accurate at elevated 429 RH where particles are liquid. Despite this, however, 430 application of the AFM phase state method on individual 431 sucrose nanoparticles (particle heights as low as 50 nm and 432 volume equivalent diameter of 100 nm) revealed a close 433 overlap in the solid–semisolid and semisolid–liquid phase 434 transitions between the sub-micrometer and sub-100 nm 435 sucrose particles. Thus, despite the nanoparticle restructuring, 436 the extent of water uptake and corresponding nanoparticle 437 viscosity at a particular RH is comparable to the sub- 438 micrometer particles. Furthermore, the phase determination 439 method was shown to be applicable and accurate for either the 440 hydration or dehydration modes. This AFM methodology 441 enables direct determination of the morphology, size, and 442 phase state of individual sub-100 nm aerosols as a function of 443 RH that could enable a better understanding on how the 444 particle-to-particle variability of the phase state of aerosols 445 influences atmospheric processes.

447 ■ AUTHOR INFORMATION

448 Corresponding Author

449 Alexei V. Tivanski — Department of Chemistry, University of
450 Iowa, Iowa City, Iowa 52242, United States;  orcid.org/0000-0002-1528-2421; Email: alexei-tivanski@uiowa.edu

452 Authors

453 Chamika K. Madawala — Department of Chemistry,
454 University of Iowa, Iowa City, Iowa 52242, United States
455 Hansol D. Lee — Department of Chemistry, University of
456 Iowa, Iowa City, Iowa 52242, United States;  orcid.org/0000-0002-2091-776X

457 Chathuri P. Kaluarachchi — Department of Chemistry,
458 University of Iowa, Iowa City, Iowa 52242, United States;
459  orcid.org/0000-0003-2538-3952

460 Complete contact information is available at:

461 <https://pubs.acs.org/10.1021/acsearthspacechem.1c00101>

463 Author Contributions

464 Chamika K. Madawala and Hansol D. Lee, sample and data
465 collection; Chamika K. Madawala, Hansol D. Lee, Chathuri P.
466 Kaluarachchi, and Alexei V. Tivanski, writing. The manuscript
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